

***Emiliana huxleyi*: bloom observations and the conditions that induce them**

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Summary

Most of what is known about the distribution of blooms of *Emiliana huxleyi* comes from satellite evidence. However, patches of bright water in satellite images are not always *E. huxleyi* blooms and satellite evidence needs to be verified by in situ sampling in the area. In this article we firstly describe the observational evidence for these blooms in various regions of the global ocean, and then proceed to describe mimicking conditions: the occasional bright waters that are not *E. huxleyi* blooms. In the second part of this article we discuss the possible causes of the *E. huxleyi* blooms. We review the various hypotheses concerning the water conditions required to generate these blooms.

Introduction: distribution and environmental effects

Emiliana huxleyi (Fig. 1) is an extremely cosmopolitan coccolithophore species. In addition to the spectacular blooms that are enumerated below, it occurs in lesser (but still significant) numbers in all oceans except the Arctic Ocean and high-latitude Southern Ocean (Winter et al. 1994), with either complete absence or just a few (remnant?) cells observed in the latter two areas (e.g. Winter et al. 1999; Findlay and Giraudeau, 2000). While *E. huxleyi* blooms occur in relatively eutrophic regions (e.g. following diatom spring blooms in temperate latitudes), the species is also rather numerous in the permanently oligotrophic waters of the subtropical gyres. It is frequently the most numerous species in phytoplankton cell counts from surface water samples, although because of the rather small size of the cells (circa 5 μm in diameter), it makes a lesser contribution to total biomass. In fact, for the same reason, *E. huxleyi* blooms are usually associated with low rather than high chlorophyll-*a* concentrations. ‘Bloom’, as generally used, is a

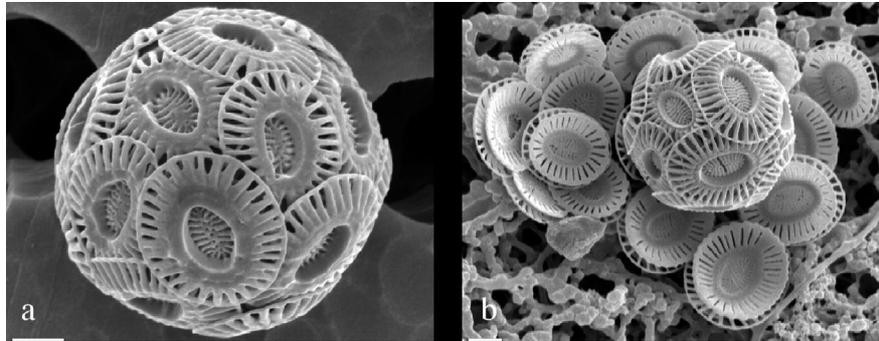


Fig. 1. *Emiliana huxleyi* cell **a.** surrounded by attached coccoliths, and **b.** with coccoliths shedding off (images courtesy of Markus Geisen and Jeremy Young).

rather imprecise term, but in this paper it is defined, arbitrarily, to refer only to *E. huxleyi* cell concentrations of at least 1,000,000 per liter. Blooms can cover very large areas, for instance at least 200,000 km² in the Eastern Bering Sea and Bering Straits in 1997 (Sukhanova and Flint 1998), and 250,000 km² in the North Atlantic south of Iceland in 1991 (Holligan et al. 1993a). Cell concentrations vary between blooms and according to the stage of the bloom (sometimes bright waters contain

Table 1. Field evidence verifying that many areas of bright waters in satellite images correspond to areas where very high *Emiliana huxleyi* cell numbers are observed.

Bloom Location	Concentration (10 ⁶ cells litre ⁻¹)	Year	Source
Norwegian fjords	10 – 100	1950s	Birkenes and Braarud 1952
	up to 115	1955	Berge 1962
	up to 7	1992	Kristiansen et al. 1994
North Sea	<0.1 – >1	'80s	Holligan et al. 1993b
	0.1 – 1.2	1993	Van der Wal et al. 1995
	1 – 6	1994	Head et al. 1998
	0.6 – 2.3	1999	Burkill et al. 2002
Western English Channel	up to 8.5	'80s	Holligan et al. 1983
	up to 2	1992	Garcia Soto et al. 1995
Bay of Biscay	up to 3	1998	Lampert et al. 2002
North Atlantic	up to 4	1987	Malin et al. 1993
	0 – 10	1991	Holligan et al. 1993a
Gulf of Maine	<0.5 – >2	1988–89	Balch et al. 1991
	>1	1988–90	Townsend et al. 1994
Nova Scotian shelf	Up to 1.5	1991	Brown and Yoder 1993
Black Sea	Up to 10	1992	Mankovsky et al. 1996 (cited in
			Cokacar et al. 2001)
Bering Sea	4.7, 31	1990, 93	Mihnea 1997
	2.1 – 2.8	1997	Sukhanova and Flint 1998

abundant light-scattering coccoliths left over from a cell population that has mostly died). *E. huxleyi* is unusual amongst coccolithophores in that under certain environmental conditions the cells *overproduce* coccoliths (Paasche 2002), leading to shedding of excess coccoliths and very large concentrations of detached coccoliths in the water. Light scattering is by both detached coccoliths and coccoliths within coccospheres. Some example measured cell concentrations are given in Table 1. The highest concentration ever reported is 115,000,000 cells per liter, from a Norwegian fjord in 1955 (Berge 1962) (Table 1).

The blooms have significant regional environmental impacts (Westbroek et al. 1993), via increased water albedo (reflectance) (Tyrrell et al. 1999), DMS production (Malin and Steinke this volume), large fluxes of calcium carbonate out of the surface waters and changes in the oceanic uptake of CO₂ (Rost and Riebesell this volume). Because of the light scattering properties of the coccoliths, bloom water is very turbid. This leads to increased light/heat trapping in the surface layers, decreased light/heat penetration to depth, and increased reflection of light/heat back out of the sea surface (Tyrrell et al. 1999). The impacts of the blooms on ocean-atmosphere exchange of CO₂ has been investigated on several occasions (Robertson et al. 1994; Van der Wal et al. 1995; Murata and Takizawa 2002).

History of bloom observations

To the best of our knowledge, the first reported observations of *E. huxleyi* blooms and associated milky-turquoise waters came from fjords on the western coast of Norway (Birkenes and Braarud 1952; Berge 1962). Blooms continue to occur in Norwegian waters at the present time, including offshore out to many miles, as shown for instance in a satellite image from May 2000 in which an enormous bloom stretches up from the Skaggerak and a long way up the western Norwegian coastline <http://www.soes.soton.ac.uk/staff/tt/eh/pics/sat/skag2.jpg>.

As satellites started being used to look at the sea, *E. huxleyi* blooms started being picked up in these images. The possibility that large areas of the open ocean could be significantly paler in color was simply not appreciated to any great extent (although see Hardy 1956) before these satellite images were available. Some of the first satellite studies concentrated on areas to the west of the English Channel (Holligan et al. 1983), or off the west coast of France http://www.soes.soton.ac.uk/staff/tt/eh/v_0.htm. High-resolution modern SeaWiFS (Table 2) images show that small *E. huxleyi* blooms occur all along the NW European shelf break from the Bay of Biscay up to the west coast of Ireland <http://www.soes.soton.ac.uk/staff/tt/eh/pics/sat/three.tif>. Whereas probably the first *E. huxleyi* bloom ever to be seen in a satellite image was in a LANDSAT-1 (Table 2) image http://www.soes.soton.ac.uk/staff/tt/eh/v_1.htm, later studies made use of AVHRR (Table 2) images, for on the Nova Scotian shelf and Grand Bank (Brown and Yoder 1993), in the Gulf of Maine (Balch et al. 1991) and in the North Sea (Holligan et al. 1993b). Much of the first biological and optical information was obtained in the Gulf of Maine (Balch et al. 1991). In 1991 an enormous bloom was seen in

AVHRR images south of Iceland, covering 250,000 km² (Holligan et al. 1993a), and ships traveled from the UK to sample it (Holligan et al. 1993a) and to measure its impact on carbon dioxide concentrations (Robertson et al. 1994). Blooms are still seen south of Iceland in SeaWiFS images <http://www.soes.soton.ac.uk/staff/tt/eh/pics/sat/natl1.jpg>

Other early satellite work with *E. huxleyi* blooms involved the development of an automatic technique to scan CZCS images for the presence of coccolithophore blooms (Brown and Yoder 1994). CZCS images were rather low resolution (each individual pixel corresponded to a rather large area of the Earth's surface) but CZCS's global coverage allowed construction of a global map of where *E. huxleyi* blooms (or at least white waters, most of which are *E. huxleyi* blooms) occur (Brown and Yoder 1994). The global map from the CZCS years (1978–86) gave us our first wide-ranging insight into the distribution of blooms of any single phytoplankton species. As expected from previous work, the North Sea and northern North Atlantic areas showed up as areas of particularly high *E. huxleyi* activity. The North Pacific was shown to be generally less favorable for *E. huxleyi* blooms than the North Atlantic. Less expected was the discovery that *E. huxleyi*

Table 2. Satellites used for *Emiliania huxleyi* bloom observations.

Acronym	Full name	Dates of operation	Number of visible wavebands	Spatial resolution (km)
LANDSAT*	LAND remote-sensing SATellite	1972 – still operating	3	0.03
CZCS	Coastal Zone Color Scanner	1978 – 1986	4	18 [†]
AVHRR	Advanced Very High Resolution Radiometer	1978 – still operating	1	4 [†]
OCTS	Ocean Color and Temperature Scanner	08/1996 – 07/1997	6	0.7
SeaWiFS	Sea viewing Wide Field of view Sensor	1997 – still operating	6	4.5 or 9 [†]
MODIS	MODerate resolution Imaging Spectroradiometer	1999 – still operating	10	1 or 5 [†]
MERIS	MEDium Resolution Imaging Spectrometer instrument	2002 – still operating	8	1 or 5 [†]

* there have been several generations of LANDSAT sensors. LANDSAT-1 started its operation in 1972 and ended in 1978. The last one, LANDSAT-7, was launched in 1999.

[†] the resolution of images frequently examined for the presence of coccolithophore blooms, rather than the maximum resolution of the instrument (e.g. see Fig. 3, Brown and Yoder 1994).

blooms apparently blanket the Patagonian shelf (between Argentina and the Falkland Islands) on a regular basis, as also seen in recent SeaWiFS images during November and December of different years <http://www.soes.soton.ac.uk/staff/tt/eh/pat.html>. Elsewhere in the southern hemisphere *E. huxleyi* blooms were detected less frequently than in the northern hemisphere (Brown and Yoder 1994). Brown and Yoder recognized from the start the possibility of certain water conditions masquerade as *E. huxleyi* blooms (see below for more discussion), and therefore that their global map was not foolproof.

Since 1997 the “tool of choice” for sensing *E. huxleyi* blooms has been the SeaWiFS sensor (Table 2), although new sensors such as MODIS and MERIS (Table 2) are proving equally capable of detecting the blooms. As well as confirming blooms in locations where CZCS saw them, SeaWiFS has also returned images of what appear to be extensive *E. huxleyi* blooms where CZCS saw none. In the Barents Sea, for example, where CZCS detected no blooms (although this area is near the sensor’s latitudinal limit) SeaWiFS has seen many extensive areas of pale waters (July–September 1997–2002) that are most likely *E. huxleyi* blooms. There was apparently little *E. huxleyi* activity in the Black Sea during 1978–86, according to CZCS (Brown and Yoder 1994), but there have since been many basin-wide blooms (Cokacar et al. 2001). SeaWiFS has also seen many areas of moderately pale waters north of the Antarctic Polar Front in the Southern Ocean, for instance between Tasmania and New Zealand <http://www.soes.soton.ac.uk/staff/tt/eh/pics/sat/tasnz.jpg>. The biggest surprise of the last few years, however, has been the dramatic appearance of *E. huxleyi* in the eastern Bering Sea <http://www.soes.soton.ac.uk/staff/tt/eh/ebs.html>. In some of the very first images that SeaWiFS sent back to Earth in September 1997, virtually the whole of the eastern Bering Sea (over the continental shelf) was blanketed by a dense *E. huxleyi* bloom that persisted for many months, approximately from July to October. Local fishermen asserted that the phenomenon was completely new, which has been largely substantiated by a detailed analysis of earlier satellite images of the region (Merico et al. 2003). Careful inspection of CZCS images in 1978–86 and AVHRR images in 1987–1996 revealed no evidence of earlier *E. huxleyi* blooms. Nonetheless, a small patch of bright water (almost certainly, from its location and persistence, a patch of *E. huxleyi*) was visible to the south of St. Matthew Island from the 26th of August to the 3rd of October 1996 (Merico et al. 2003). This small bloom was probably a precursor to the much larger blooms starting the following year.

Intense and widespread *E. huxleyi* blooms also occurred in the eastern Bering Sea in 1998, 1999 and 2000, although not in 2001 or 2002. It is not clear as yet what impact these blooms have on the higher trophic levels of the Bering Sea ecosystem. However, some effects have been observed and considered as direct consequences of these events. In particular, a massive die-off of short-tailed shearwaters took place in summer 1997 (Baudini et al. 2001). The lower body mass and lipid values of the birds suggested starvation as the most likely cause of death. The fact that most of the carcasses were found within the extent of the coccolithophores bloom (Baudini et al. 2001) therefore indicated that the chalky

(very turbid) waters might have played a role in reducing the ability of the birds to spot their prey from above the sea-surface.

A comprehensive and up-to-date coverage of where *E. huxleyi* blooms are occurring at the moment, and have occurred since 1997, can be obtained at Chris Brown's website http://orbit-net.nesdis.noaa.gov/orad2/doc/ehux_www.html.

All that glitters is not *E. huxleyi*

Not all bright waters are caused by *E. huxleyi* blooms. Alternative causes of bright waters are discussed in this section. What has been perhaps even more surprising about SeaWiFS images of the eastern Bering Sea is that blooms appear to have been taking place in the middle of winter (Iida et al. 2002). Given the high latitude, approximately between 56°N and 60°N, wintertime blooms of any phytoplankton should be impossible. According to a well-substantiated theoretical understanding of phytoplankton dynamics in temperate locations experiencing spring blooms, of which the eastern Bering Sea is one, deep mixing and low surface sunlight levels should make net growth of phytoplankton (photosynthesis exceeding respiration) impossible in wintertime (e.g. Sverdrup 1953). But SeaWiFS and OCTS images show bright water patches resembling *E. huxleyi* blooms during for instance February, March, April and May from 1998 to 2000 (Iida et al. 2002; Fig. 1, Broerse et al. 2003), in all cases at times before the spring blooms took place in those years. Because of surprise at these apparent *E. huxleyi* blooms in winter, sampling was undertaken in February 2001 in order to be able to observe what was in the water and imparting the pale turquoise color (Broerse et al. 2003). The results were surprising.

Instead of seeing multitudes of coccoliths, we found instead that, at the brightest station on the transect, coccoliths were strongly outnumbered by empty (no living cells inside) diatom frustules (Fig. 2). From several lines of evidence it was determined that the diatom frustules were remnants from earlier blooms in the year, that had settled to seafloor but had then been resuspended by strong winds during stormy weather. The seafloor is not very deep over the continental shelf (average about 70 meters). Many of the frustules were broken up so that we were seeing more fragments than whole frustules. On the basis of measurements of the scattering properties of opal material, it was calculated that the observed concentration of diatom frustules and fragments was sufficient to produce the sea-surface brightness seen in the satellite images (Broerse et al. 2003).

These observations suggest that resuspension of broken-up diatom tests may also, perhaps, be responsible for other anomalous bright water patches, for instance in winter over the Grand Banks on 03 Mar 2001 <http://visibleearth.nasa.gov/cgi-bin/viewrecord?7769> and 28 Jan 2002 <http://visibleearth.nasa.gov/cgi-bin/viewrecord?11785>. Other coccolithophore species can also turn the waters pale if blooming in sufficient numbers. For instance, the best documented example is of a bloom of *Gephyrocapsa oceanica* (Blackburn and Cresswell 1993) in the

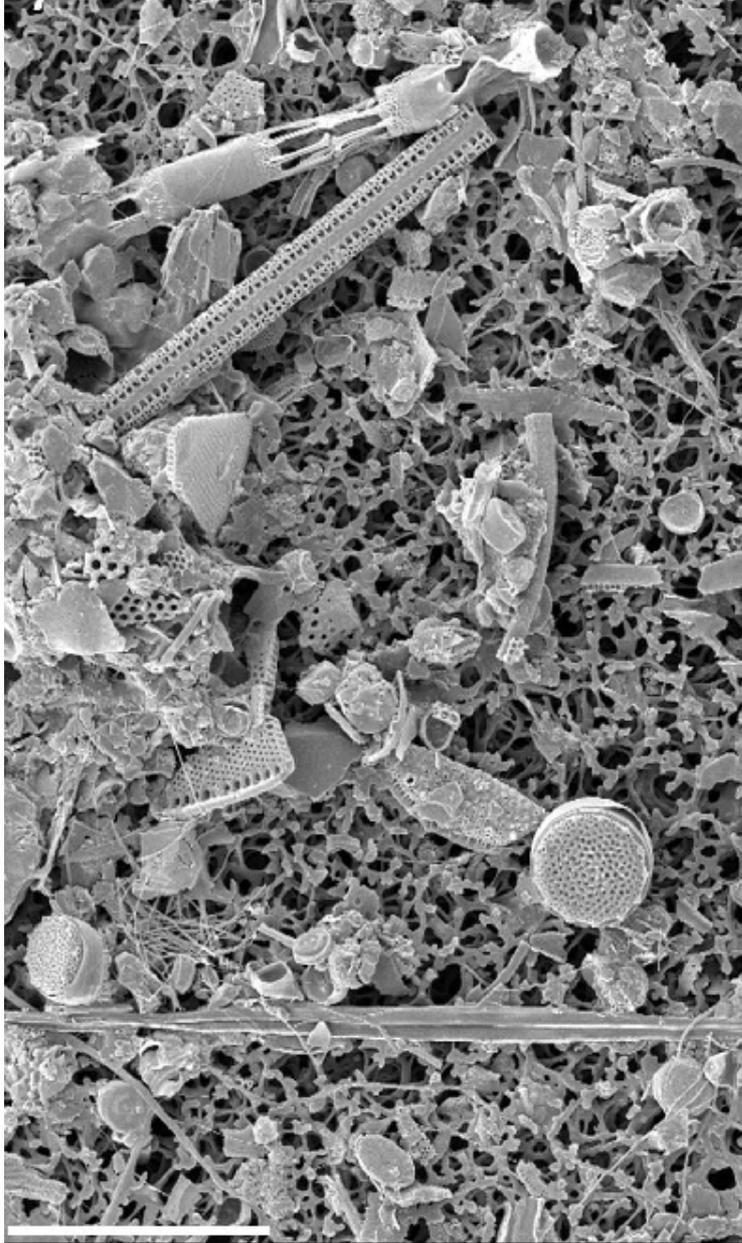


Fig. 2. Scanning electron microscope image from a wintertime bright water station in the eastern Bering Sea (Broerse et al. 2003). The suspended matter is dominated by broken up diatom frustules.

coastal embayment of Jervis Bay on the east coast of Australia in mid December 1992, of which a striking photograph was taken (Blackburn and Cresswell 1993). In general, however, *E. huxleyi* is thought to be unique in overproducing coccoliths and then shedding the excess ones into the water (Paasche 2002), so it is likely that hardly any of the open-ocean bright waters are attributable to species other than *E. huxleyi*.

Other water conditions known to be able to mimic *E. huxleyi* blooms in appearance are: (1) shallow carbonate shelves such as in the Gulf of Carpentaria (Brown and Yoder 1994), and the Grand Banks of the Bahamas, (2) suspended sulphur granules (Weeks et al. 2002), and (3) glacial rock flour in some high-altitude lakes. Suspended sediment, for instance at river mouths, does not as a rule look the same as *E. huxleyi* blooms, because there is in this case usually a brownish tinge to the water color. Live diatom blooms (when the cells still occupy the frustules, as opposed to the wintertime Bering Sea case above) are usually much darker and browner (due to pigments in the diatoms) than are *E. huxleyi* blooms.

Because of the possibility of mimicking conditions, it is important to obtain in situ verification of the cause of each location of bright waters at each time of year. So, for instance, the summer/autumn bright waters have been positively identified (see Table 1 for more information) as *E. huxleyi* blooms in the Norwegian fjords (e.g. Berge 1962), in the North Sea (Holligan et al. 1993b; Van der Wal et al. 1995), in the North Atlantic (Holligan et al. 1993a), in the Bering Sea (Sukhanova and Flint 1998), and in the Gulf of Maine (Balch et al. 1991), and in the Black Sea (Mihnea 1997; Mankovsky et al. 1996).

Major areas of white water that appear to be *E. huxleyi* blooms but for which in situ confirmation is still urgently needed are: (1) the Barents Sea, (2) the Patagonian Shelf.

What causes *Emiliana huxleyi* blooms?

The second part of this article will concern the question as to which water conditions are conducive to the development of *Emiliana huxleyi* blooms. To put it another way, what is the ecological niche for *E. huxleyi*? We will now review several hypotheses for the environmental conditions that favor *E. huxleyi* blooms, the reasons for those hypotheses and the evidence in support of them. The ecology of all coccolithophores is also discussed in other chapters (Balch this volume; Rost and Riebesell this volume). The physiology of *E. huxleyi* is discussed in detail elsewhere (Paasche 2002; Brownlee and Taylor this volume) and is not covered here.

High light

The first hypothesis is that high light conditions trigger *E. huxleyi* blooms. Evidence for this hypothesis was put forward by Nanninga and Tyrrell (1996), and is partially recapitulated here, together with more recent evidence. A literature

search of reports of natural blooms of *E. huxleyi* (and the associated measured water conditions) reveals that they all occur in highly stratified water where the mixed layer depth is usually ~10–20 m, and is always ≤ 30 m (Nanninga and Tyrrell 1996). As an example, the most intense bloom ever reported in the literature occurred in a fjord SE of Norway in May–July 1955 (Berge 1962). There were a staggering 115,000,000 *E. huxleyi* cells per liter. There was very little monitoring of the environment prior to the bloom, but Berge noted:

“... in the summer of 1955, the intensity and extent of the discoloration was so remarkable that quite unusual ecological conditions must have existed. ... The tentative conclusion is drawn that high light intensities during May and June 1955 acted selectively on the plankton, giving *E. huxleyi* advantageous conditions.”

Some of the best data we have on conditions leading to *E. huxleyi* success in competition with other phytoplankton comes from mesocosms in Norwegian fjords, run by Jorun Egge and others at the University of Bergen. Fig. 3 shows the sizes of *E. huxleyi* and *Phaeocystis* populations in mesocosm bags having experienced different nutrient and light conditions. The initial water in the bags was fjord water with an unaltered natural assemblage of phytoplankton. Although many phytoplankton species were present, only the final populations of *E. huxleyi* and *Phaeocystis* are shown for clarity. We can see that the large black circles (*E. huxleyi* blooms) in Fig. 3 occur mostly to the top of each plot, i.e. following higher-than-average light intensities.

The large *E. huxleyi* bloom south of Iceland in 1991 was modeled and high light was shown to be a possible cause (Tyrrell and Taylor 1996). Fig. 4 shows the temperature structure of the water along a north-south transect through the bloom. To the north of the transect where the bloom was most intense, so too is the stratification. Mixing is not very deep and so average light intensities in the surface layer are high.

The most notable difference to the environment of the eastern Bering Sea in 1997, the year of the first massive *E. huxleyi* blooms, was unusually strong stratification from June onwards, following a single strong storm in May (Stabeno et al. 2001). The bloom was first noted in early July, by scientists out at sea (Sukhanova and Flint 1998). A mooring station collected time series of temperature and salinity profiles data continuously through the beginning of the bloom as well as in previous and in subsequent years (Stabeno et al. 2001; Hunt and Stabeno 2002). The very strong stratification in June/July 1997 is apparent (Fig. 5, Hunt and Stabeno 2002).

Further objective evidence for the importance of stratification to the genesis of *E. huxleyi* blooms comes from recent work on the Black Sea. A study of satellite images (Cokacar et al. 2001) found that *E. huxleyi* blooms did not occur randomly with respect to the circulation structure of the Black Sea, but rather that *E. huxleyi* bright waters were more frequently associated with cyclonic as opposed to anti-cyclonic eddies. The surface waters of cyclonic eddies tend to be more strongly stratified than those of anti-cyclonic eddies.

An innovative recent study has compared *E. huxleyi* bloom occurrence on a global scale (as detected in SeaWiFS images using an automatic algorithm:

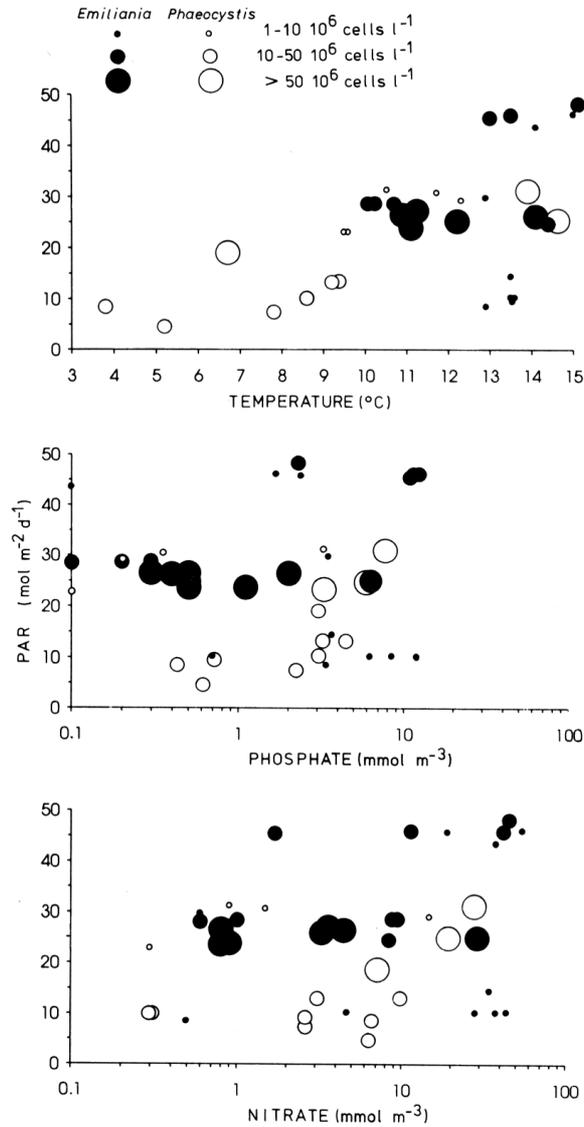


Fig. 3. Magnitudes of mesocosm blooms of *Emiliana huxleyi* and *Phaeocystis* versus several environmental variables (from Egge and Heimdal 1994). Light, nutrients and temperature are 5-day averages, over the five days prior to the measurement of phytoplankton concentration.

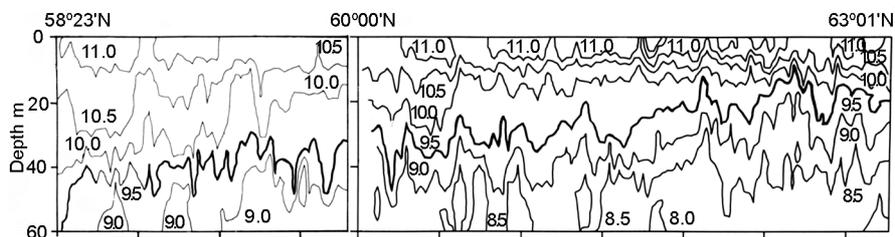


Fig. 4. Temperature along a north-south transect through an *Emiliana huxleyi* bloom south of Iceland, 1991 (taken from Holligan et al. 1993a). Highest *E. huxleyi* concentrations are towards the northern end of the transect.

http://orbit-net.nesdis.noaa.gov/orad2/doc/ehux_www.html, but with shallow low-latitude sites excluded because similar reflectance is generated by resuspended calcareous sediments) with datasets of physical and nutrient parameters (Iglesias-Rodriguez et al. 2002). The study involved an objective analysis of parameters correlated with *E. huxleyi* blooms, although such correlations do not necessarily imply causation (for instance some association between *E. huxleyi* blooms and stratified waters is to be expected due to an effect of the blooms on the water state rather than vice-versa). Their results suggest that *E. huxleyi* blooms are “confined primarily to nutrient-depleted, temperate, and high-latitude oceans with relatively high critical irradiances.” (Iglesias-Rodriguez et al. 2002).

Nanninga and Tyrrell (1996) suggested that the reason *E. huxleyi* outcompetes other phytoplankton at high light intensities is that *E. huxleyi* is uniquely tolerant of high light intensities. That is to say, *E. huxleyi* is not prone to photoinhibition, in contrast to other phytoplankton. Laboratory and field PI-curves in which *E. huxleyi* has been acclimatized to and tested at high light intensities show a lack of photo-inhibition, even at the highest light intensities likely to be encountered in nature (Balch et al. 1992; Nanninga and Tyrrell 1996). While unusual tolerance of high light intensities may turn out to be important (but see Stolte et al. 2000), the evidence is not conclusive and there is no accepted physiological reason (e.g. unique pigments) why *E. huxleyi* should outperform other phytoplankton at high light. It is known that coccoliths do not act as “sunscreens” (Paasche and Klavness 1970).

Low silicate

E. huxleyi is a fast-growing (*r*-selected) phytoplankton species, capable under favorable conditions of multiplying more rapidly than most other species. Most diatoms, however, can multiply even more rapidly (Furnas 1990). Diatoms are typically the fastest-growing of all phytoplankton and dominate in eutrophic (*r*-selected environments) such as regions of upwelling, river mouths, spring blooms and oceanographic fronts as long as there is sufficient dissolved silicate. For non-diatom fast-growers like *E. huxleyi*, it seems plausible that they should do well in

eutrophic environments where diatoms are somehow excluded from the competition, for instance where nitrate, phosphate and other nutrients are still abundant but where dissolved silicate has been exhausted. In mesocosm experiments diatoms tend to dominate the phytoplankton community except when silicate is scarce (Egge and Aksnes 1992). Such a situation occurs towards the end of spring blooms in the northeast North Atlantic (Fasham et al. 2001, Fig. 12a), and *E. huxleyi* blooms also occur in this area (e.g. Holligan et al. 1993a). *E. huxleyi* blooms do not seem to occur in those parts of High Nutrient Low Chlorophyll regions (e.g. North Pacific, high-latitude Southern Ocean) where high concentrations of dissolved silicate persist year-round.

The probable importance of silicate (lack of it) to *E. huxleyi* is graphically illustrated by the case of the Black Sea. Comparison of the pattern of bright waters seen in 1978–86 by the CZCS satellite (Brown and Yoder 1994) with the pattern seen by the SeaWiFS satellite since 1997 (http://orbitnet.nesdis.noaa.gov/orad2/doc/ehux_www.html; Iglesias-Rodriguez et al. 2002) reveals that whereas CZCS detected only a few patches of bright water in the Black Sea, the whole of the Black Sea is bright in the SeaWiFS analysis. Although sediment records show that *E. huxleyi* has been present at some concentration in the Black Sea for about 1600 years (Hay et al. 1991), phytoplankton sampling records show a decline in diatom numbers and an increase in *E. huxleyi* numbers since the 1960s (Mihnea 1997; Humborg et al. 1997). What has caused the recent shift away from diatoms and towards *E. huxleyi* (and other flagellates)? Since 1970–72, when the Iron Gates Dam was constructed across the River Danube (which provides the majority of the freshwater inflow to the Black Sea), the river load of dissolved silicate into the Black Sea has markedly decreased while river load of nitrogen has increased. This has depressed dissolved silicate levels in the Black Sea, with wintertime concentrations decreasing from about 50 down to about 20 $\mu\text{Mol kg}^{-1}$ (Humborg et al. 1997). The large surplus of fixed nitrogen now left over following silicate depletion by spring diatom blooms is being removed by non-diatom species.

Phosphate more limiting than nitrate

Several studies have noted anomalous N:P ratios during *E. huxleyi* blooms. Typically, in the ocean as a whole, the N:P ratio in surface waters is generally rather low, certainly lower than the Redfield ratio of 16:1 (Fanning 1992). In the 1991 *E. huxleyi* bloom to the south of Iceland, surface layer N:P ratios were however similar to or greater than 16 in the bloom waters, and less than 16 further south (Tyrrell and Taylor 1996). In the Gulf of Maine in 1989 lowest phosphate levels were noted at bloom stations (Townsend et al. 1994) (ranging from 0.02 to 0.16 μM , vs. 0.21 to 0.49 μM at the non-bloom stations). Though Townsend et al. (1994) found that the phosphate concentrations were lower in bloom waters, they noted that N:P ratios were not different (ranging from 5.9 to 6.4 at bloom and non-bloom stations), although they were lower, in both bloom and non-bloom waters, than the Redfield ratio. Van der Wal et al. (1995) found in a bloom study in 1993 in the North Sea that phosphate concentrations at bloom stations were about 0.1

μM and about $0.3 \mu\text{M}$ at non-bloom stations. N:P ratios ranged from 6–11 in coccolithophore-rich waters and from 13–15 in non-bloom waters. Mesocosm experiments also observed *E. huxleyi* success (larger final populations) for those bags manipulated by adding lots of N and little P rather than vice-versa (Egge and Heimdal 1994), and it can be seen in Fig. 3 that most *E. huxleyi* blooms (large black circles) occurred at lower phosphate concentrations while the same cannot be said for lower nitrate concentrations. A modeling study of the mesocosms invoked *E. huxleyi* success at low phosphate concentrations to explain the observations (Aksnes et al. 1994).

From a physiological perspective *E. huxleyi* is known to be able to synthesize the enzyme alkaline phosphatase (Kuenzler and Perras 1965; Riegman et al. 2000), which allows uptake of some fractions of dissolved organic phosphates and should therefore impart an advantage to *E. huxleyi* when inorganic phosphate is limiting. “Competition experiments” have been carried out by growing inoculate of several phytoplankton strains in a single chemostat, and in several such experiments greater numbers of *E. huxleyi*, and greater percentages out of the total, were obtained at high N/P ratios rather than at low N/P ratios (Riegman et al. 1992).

However, recent examination of nutrient data from the eastern Bering Sea during the years of the *E. huxleyi* blooms there suggests that those blooms occurred when N was limiting but P was abundant, suggesting that low phosphate is not an absolute requirement for the occurrence of these blooms.

Low dissolved carbon dioxide

The chemical reaction for calcification is



As described by Brownlee and Taylor (this volume), the synthesis of calcium carbonate coccoliths therefore releases CO_2 as a by-product, and this extra CO_2 is probably available for photosynthesis. This indirect means of obtaining CO_2 from HCO_3^- could potentially give *E. huxleyi* an advantage over other phytoplankton if the rate of supply of external CO_2 is limiting for growth. Here this hypothesis is discussed from the ecological rather than the physiological point of view (see Rost and Riebesell this volume, for the latter). Although there is an abundance of dissolved inorganic carbon (DIC) in all ocean waters (usually more than $2000 \mu\text{Mol}$ compared to a maximum of about $30 \mu\text{Mol}$ of nitrate, so order 60 times more DIC than nitrate whereas phytoplankton only require about 7 C atoms for every N atom), at typical pHs most of this carbon exists as bicarbonate (HCO_3^- , about 90% of total DIC) and carbonate (CO_3^{2-} , about 10% of the total), with typically less than 1% as dissolved carbon dioxide ($\text{CO}_2(\text{aq})$).

Although the pre-bloom $\text{CO}_2(\text{aq})$ concentration may be as little as $15 \mu\text{Mol kg}^{-1}$, when $\text{CO}_2(\text{aq})$ is depleted it is quickly (within seconds (Zeebe and Wolf-Gladrow 2001)) replenished by conversion of HCO_3^- to $\text{CO}_2(\text{aq})$. Because of this rapid inter-conversion between different dissolved carbon forms, a phytoplankton drawdown of more than $15 \mu\text{Mol kg}^{-1}$ of carbon does not cause $\text{CO}_2(\text{aq})$ to run out. This can

only happen if all DIC is exhausted or if the pH is significantly changed. In reality DIC only rarely drops below $1800 \mu\text{Mol kg}^{-1}$. Despite the enormous uptake by phytoplankton, it is unlikely that $\text{CO}_2(\text{aq})$ ever falls much below about $10 \mu\text{Mol kg}^{-1}$ in the open ocean, even following intense spring blooms such as those that occur annually in the northeast North Atlantic (Tyrrell and Taylor 1995).

The preceding discussion suggests that carbon availability never sets a limit to the *final amount* of phytoplankton growth in a season, but it is possible that it sets a limit to the *instantaneous rate* of growth at the height of blooms. Despite the ever-presence of DIC, experimental evidence suggests that its rate of supply to cells can limit diatom growth rate during blooms (Riebesell et al. 2000). The hypothesis that CO_2 supply by calcification is important to coccolithophores implies that they should be especially successful at the height of and towards the end of spring blooms, when growth rates are high and $\text{CO}_2(\text{aq})$ concentrations relatively low. An objective analysis does suggest that most *E. huxleyi* blooms are associated with declining nitrate concentrations (Iglesias-Rodriguez et al. 2002), which must correlate with declining $\text{CO}_2(\text{aq})$. However, blooms (or at least bright waters) persist for many months after the spring blooms in the eastern Bering Sea (Sukhanova and Flint 1998; Iida et al. 2002) and elsewhere, into Jul/Aug/Sep when shortages of nitrate and phosphate rather than of $\text{CO}_2(\text{aq})$ are most likely to be critical for growth rate. In addition to physiological/biochemical evidence against this hypothesis (Rost and Riebesell, this volume) from the ecological point of view it appears that this hypothesis is not able to explain all aspects of *E. huxleyi* distribution.

High carbonate saturation state (carbonate ion concentration)

A wide range of experiments, mostly on other marine calcifiers but also on *E. huxleyi*, suggest a possibly important role for carbonate ion concentration (carbonate saturation state) in determining where blooms can occur.

Coral reefs are restricted to low latitudes, most probably because of a dependence on the carbonate saturation state of seawater (Ω) (Kleypas et al. 1999a), which attains highest values between about $0\text{--}30^\circ$ of latitude, and then falls away towards the poles (Fig. 5). Calcium carbonate saturation state is

$$\Omega = [\text{Ca}^{2+}] \cdot [\text{CO}_3^{2-}] / K'_{sp} \quad (2)$$

where K'_{sp} is the stoichiometric solubility product which takes different values for the different mineral phases aragonite (coral reefs, pteropods) and calcite (coccoliths, foraminifera shells) but for which the geographical trend is the same. Calcium concentration varies little throughout the ocean, so variability in Ω is mostly due to variability in CO_3^{2-} . Coral reefs today are found only where Ω is fairly high ($\Omega_{\text{arag}} > 3.0$ or so), and are generally vigorous and productive where Ω is par-

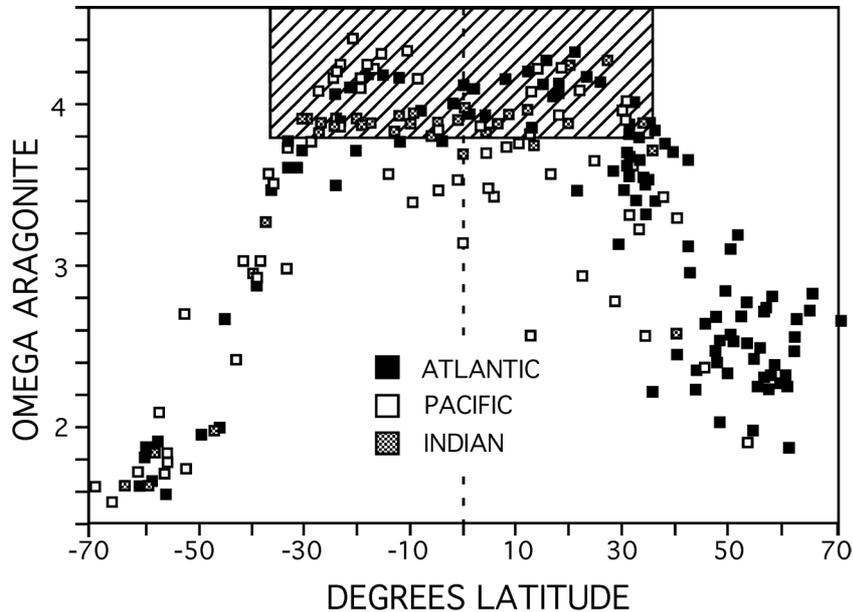


Fig. 5. Latitudinal distribution of aragonite saturation state in different oceans (taken from Opdyke and Wilkinson 1990)

ticularly high, for instance, the Bahamas, the Red Sea, and the coast of Papua New Guinea ($\Omega_{\text{arag}} > 3.9$ or so) (Kleypas et al. 1999b). Manipulation experiments on coral reefs and coralline algae have corroborated the importance of saturation state to coral reefs (references in Kleypas et al. 1999a). The coral reef biome within Biosphere II has been subjected to lowered Ω , again leading to reduced calcification (Langdon et al. 2000).

Although foraminifera exhibit a global distribution including polar waters (unlike reefs which are constrained to high- Ω waters) nevertheless they too respond to the saturation state of seawater. Laboratory experiments in which foraminifera have been grown at varying carbonate ion concentrations (varying Ω) have shown that the shell weight (thickness of the foram shells) is strongly dependent on the carbonate ion concentration (Spero et al. 1997). This was found both when TCO_2 was varied while alkalinity was kept constant, and also while alkalinity was varied while TCO_2 was kept constant (Spero et al. 1997). This effect, which is a precipitation effect and separate from dissolution effects related to carbonate ion concentration (Lohmann 1995), has recently been demonstrated graphically in the discovery of variation of foram shell weights over time. Barker and Elderfield (2002) recovered forams deposited well above the lysocline (so dissolution effects can be ignored) at various times back to the last glacial maximum and beyond. Forams deposited during the LGM, when carbonate ion concentration was higher because

of the lower atmospheric $p\text{CO}_2$, were found to be heavier than any found in the ocean today (Barker and Elderfield 2002).

This sensitivity of other marine calcifiers to carbonate ion concentration appears also to hold for coccolithophores. Coccolithophores (*G. oceanica* and *E. huxleyi*) in cultures, and also in field samples from the open ocean, were subjected to low Ω conditions, at which it was found that calcification rates declined and malformed coccoliths were produced (Riebesell et al. 2000; Rost and Riebesell this volume). The lowered calcification rates of Riebesell et al.'s experiments are somewhat hard to understand from a physiological point of view because *E. huxleyi* appears to obtain its carbon for coccoliths from bicarbonate (HCO_3^-), not from carbonate (CO_3^{2-}) (Paasche 2002). If this is correct then why should Ω be important to coccolithophores? However, corals are also thought to take up bicarbonate but yet they too are found experimentally to be sensitive to carbonate ion rather than bicarbonate ion concentrations (Marubini et al. 2001).

If further work substantiates this probable link between coccolithophore calcification and saturation state, this could be of interest beyond just the calculation of carbon fluxes during *E. huxleyi* blooms. The distribution of coral reefs in the ocean (where they can succeed in competition with sponges, seaweeds, etc) may be heavily influenced by geographical variations in Ω (Kleypas et al. 1999b). Perhaps the geographical distribution of Ω (Fig. 5) also affects where coccolithophores in general (and *E. huxleyi* blooms in particular) can occur (where *E. huxleyi* can compete successfully with other phytoplankton), not just their calcification rate. Perhaps the absence of coccolithophores from cold polar waters is because of difficulty in building sufficiently robust coccoliths at low Ω . In the same way that the geographical and temporal variation in success of diatoms is influenced by the abundance of silicate (e.g. Egge and Aksnes 1992) for diatom shell building, so too could the distribution of coccolithophores be influenced by geographical variation in the ease of coccolith building.

It is rather important to answer this question of sensitivity to carbonate ion because the emission of CO_2 into the atmosphere from burning of fossil fuels is, following diffusion of large amounts of the CO_2 into the surface ocean, rapidly acidifying the surface ocean and decreasing carbonate ion concentration and Ω there. It is estimated that Ω_{arag} averaged ~ 4.6 in the tropics 100 years ago, is currently ~ 4.0 , and is projected to drop to ~ 2.8 by 2100 (Kleypas et al. 1999a). Both coccolithophores and coral reefs could become substantial casualties of the rise in atmospheric and ocean carbon. We need to understand whether coccolithophores, coral reefs, & co. will be driven out of most or all oceanic environments by rising CO_2 and consequent falling CO_3^{2-} .

Type of grazers (microzooplankton and/or jellyfish)

As a top-down rather than a bottom-up forcing, the respective numbers of different species of grazers at a location may influence the viability of that location for different species of phytoplankton. The major grazers of *E. huxleyi* in the NE At-

lantic, as measured in 1991, were microzooplankton (Holligan et al. 1993a) and therefore variations in the density of microzooplankton could be important in determining where blooms of *E. huxleyi* can form.

Recently, Olson and Strom (2002) measured phytoplankton growth and microzooplankton grazing rates, by means of seawater dilution techniques inside and outside an *E. huxleyi* bloom area in the southeastern Bering Sea. They observed that “a reduced microzooplankton grazing is a key component in the formation and temporal persistence of *Emiliana huxleyi* bloom”. Microzooplankton grazing seemed to shift from selective grazing on small phytoplankton cells outside the bloom to selective grazing on large cells (diatoms) inside the bloom. Reduced microzooplankton grazing of *E. huxleyi* than of photosynthetic dinoflagellates was also measured within a bloom off the Devon (UK) coast in July 1999 (Fileman et al. 2002), although not within a North Atlantic bloom in June 1991 where there was higher microzooplankton grazing of *E. huxleyi* than of the phytoplankton assemblage as a whole (Holligan et al. 1993a).

The Black Sea has seen large increases in the numbers of gelatinous carnivores (jellyfish: medusae *Aurelia aurita* and ctenophore *Mnemiopsis leidyi*) during the past two decades (Oguz et al. 2001), while during the last decade or so blooms of *E. huxleyi* have become a feature of the system (Cokacar et al. 2001). A similar scenario has been seen in the eastern Bering Sea, where a dramatic increase in jellyfish (dominated by the scyphozoan *Chrysaora melanaster*) was noted since the early 1990s (Brodeur et al. 2002). The peak of highest total biomass over the whole shelf was noted in 1997, the year of the first massive *E. huxleyi* blooms in the area. It is not clear whether the success of *E. huxleyi* is directly tied to jellyfish (via a trophic cascade). It appears from isotope ratios and stomach content analysis that *Chrysaora melanaster* have the same diet as juvenile pollock but that they also prey on macrozooplankton, in competition with adult pollock (Brodeur et al. 2002). Therefore, although it seems clear that jellyfish can depress zooplankton standing stocks, a direct connection of *E. huxleyi* appearance in 1997 with jellyfish increasing biomass remains to be proven.

In general, however, it needs to be kept in mind that it is intrinsically difficult to test hypotheses involving zooplankton or higher trophic levels due to a lack of sufficient understanding and data on their interactions with phytoplankton. Viruses specific for *E. huxleyi* may also play a role (Bratbak et al. 1996), but are likely to be more important in bloom termination than in bloom commencement (e.g. Wilson et al. 2002).

Other possible factors

In a review of the ‘physiological ecology of marine coccolithophores’, (Brand 1994), it was noted that *E. huxleyi* is likely to be *r*-selected rather than *K*-selected, since it has a high maximum growth rate (up to 2.8 doublings per day (Brand and Guillard 1981)). It was also suggested that *E. huxleyi* is usually found in cold waters, and in waters with low nutrient concentrations. Another review paper (Young 1994) suggested that there are three common features to the occurrence of placo-

lith-bearing coccolithophores such as *E. huxleyi*: firstly, they predominate in areas of upwelling; secondly, they are usually bloom-forming; and thirdly, they are normally dominant in coastal and shallow-sea assemblages.

Neither temperature nor salinity are likely to be significant causal factors. *E. huxleyi* is known to be one of the most eurythermal and euryhaline of species (Winter et al. 1994). Even though water temperature, in combination with other parameters, appears to be a good predictor of *E. huxleyi* blooms (Iglesias-Rodriguez et al. 2002) (they are associated with water temperatures between 3° and 15°C), this is probably due to secondary effects of temperature, such as its effect on water stability. “In general, blooms of *E. huxleyi* follow those of diatoms in waters that have been recently depleted in inorganic nutrients and are becoming more stable in terms of vertical mixing (e.g. following the relaxation of upwelling, or establishment of the seasonal thermocline)” (Holligan et al. 1993b). This precludes most very cold or very warm waters. A strong salinity control on *E. huxleyi* blooms is ruled out by the presence of blooms in both the open ocean (average about 35 ppt) and the semi-saline Black Sea (average about 18 ppt).

Although “seeding effects” (the advection of cells from elsewhere in order to provide the starting population that is required before a bloom can take place) have been considered important in the past (Birkenes and Braarud 1952), the case study of the eastern Bering Sea suggests otherwise. In that case enormous blooms ‘came from nowhere’ in the space of just two years (no visible bloom in 1995 or previous years, small bloom in 1996, enormous bloom in 1997) (Merico et al. 2003). The large blooms were able to develop rapidly from what must have been a small initial population. A time sequence of satellite images <http://www.soes.soton.ac.uk/staff/tt/eh/sequence.html> also shows that blooms can start all along the western coast of Norway at the same time, rather than one bloom seeding another to produce a “chain reaction” of blooms.

E. huxleyi has a requirement for thiamine (vitamin B₁) for growth, unlike many algae, but does not require vitamin B₁₂ (Carlucci and Bowes 1970). This may represent a further reason why *E. huxleyi* is not present during the first stages of spring blooms, but the ecological importance of vitamin B₁ has never been proven (Paasche 2002). *E. huxleyi* can grow well at low concentrations of iron, along with other open-ocean phytoplankton (Brand et al. 1983). However, this ability has not been shown to have any ecological significance, and *E. huxleyi* distribution is not at all correlated with regions of iron deficiency in the oceans.

Conclusions

Progress has been made towards uncovering the main environmental factors facilitating the development of *E. huxleyi* blooms. It seems unlikely that any single factor can explain all blooms. More probably a combination of conditions is required. High light and limiting silicate (to restrict diatoms) are probably essential, and high carbonate saturation state may also be critical, but much work remains to be done.

Modern satellites are excellent tools for mapping the distribution of *E. huxleyi* blooms, but the possibility of mimicking conditions shows the importance of in-situ verification. Annually repeating bright waters in the Barents Sea and on the Patagonian Shelf particularly need to be sampled.

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