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# Predicting Wear of UHMWPE: Decreasing Wear Rate Following a Change in Direction

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#### Abstract (200 words)

- 1 Computational tools are emerging as design tools for the development of total joint replacement with
- 2 improved wear performance. The current wear models of polyethylene assume that wear is linearly
- 3 proportional to sliding distance; however, it is hypothesised that the wear rate varies and is higher near
- 4 a change in direction, but diminishes with continued unidirectional sliding, which eventually exhibits
- 5 negligible wear. Our goals were to (1) reveal the presence of a variable wear rate in polyethylene (2)
- 6 identify the sliding distance required to reestablish unidirectional sliding subsequent to a change in
- 7 sliding direction. The wear of polyethylene was evaluated in pin-on-disk testing for several different
- 8 sliding distances (0mm, 1mm, 2mm, 5mm, 10mm, 100mm) after a 90° change in direction. The results
- 9 indicate the wear rate immediately following the change in direction is high, but with continued linear
- 10 sliding the wear rate appears to drop to near zero—returning to the low wearing condition of
- unidirectional sliding. Furthermore, this transition appears to occur nonlinearly below 5mm from the
- 12 change in direction. While more studies are required to explore other paths and uncover the underlying
- mechanisms, these results should aid the development of computational tools for the design of
- 14 advanced joint replacement.

#### 15 Keywords

- 16 Bio-tribology; joint prostheses; Wear testing; Polymers; Non-ferrous metals; Sliding wear; Wear
- 17 modelling

#### Introduction

- 1 Osteoarthritis, manifest by a loss of the articular cartilage that lines the bone of synovial joints, can
- 2 result in pain that limits activities of daily living. It is estimated that 10% of the global population age 60
- 3 years and older experiences significant clinical problems due to osteoarthritis [1]. Total joint
- 4 replacement has emerged as the standard treatment for advanced osteoarthritis achieving greater than
- 5 90% survivorship after 15 years or more [2, 3]. Not surprisingly with this long-term clinical success, the
- 6 frequency of this procedure has increased substantially in the United States and is expected to grow
- 7 exponentially in the next decades [4, 5]. In addition to the growing numbers of patients, expectations
- 8 are growing with many younger and active patients expecting to return to their previous lifestyle after
- 9 surgery [6-9], enjoying activities such as cycling, hiking, tennis, etc [7]. Consequently, new products are
- 10 emerging that have been designed to meet these increased performance demands.
- 11 In vitro wear simulations are often used to benchmark the wear performance of new products against
- clinically successful predicate devices. While invaluable, these in vitro tests are long, expensive, and can
- only be performed near the end of the design cycle. Computational modeling (both finite element and
- rigid body modeling) techniques have the potential to acquire insights into the wear behavior of new
- designs faster, cheaper, and earlier in the design process. Early studies, implementing Archard's law,
- reported reasonable correlations with implant retrievals [10] and experimental data [11]. However, a
- 17 more extensive study comparing multiple implant designs and kinematic conditions achieves good
- 18 correlation with in vitro wear results (R<sup>2</sup>≈0.6), restricting its use to qualitative comparisons rather than
- 19 absolute quantitative wear values [12].
- 20 Further improvements in the predictive power of in silico wear simulation could be achieved by
- 21 revisiting and refining the underlying wear model for ultrahigh molecular weight polyethylene
- 22 (UHMWPE). The classic Archard relationship for abrasive wear, where wear is proportional to the
- 23 contact pressure and the sliding distance [13], established a foundation for many computational studies
- in total joint replacement [14-17]. Further investigations into the fundamental wear behavior revealed
- accelerated wear of polyethylene when articulated with so called crossing motions as compared to
- linear reciprocation [18-21]. Consequently, a cross-shear term was introduced to augment the original
- 27 Archard relationship [19, 22, 23]. This greatly improved predictive power [12, 24], but as mentioned
- 28 previously, these models are best reserved for qualitative comparisons. Although there are several
- 29 different cross-shear formulations, most are calculated post-hoc from the aggregate motion rather than
- determined at each step in time from a time history of sliding events.
- 31 For example, square articulation paths will produce similar cross-shear regardless of their size and
- 32 therefore the cumulative wear of square paths are solely dependent on the total sliding distance. This
- 33 scale-independence assumes, perhaps erroneously, a constant wear rate along the sliding path. While
- 34 the instantaneous wear rate in a region subsequent to a change in direction will be high, it is possible
- 35 that a very large square will possess sides so long that the instantaneous wear rate will eventually drop
- to near zero similar to the behavior in unidirectional sliding (Figure 1). To the authors' knowledge, this
- 37 variation in wear rate with sliding distance after a change in sliding direction has not been explored
- 38 experimentally.

- 39 Using a novel experimental design for pin-on-disk testing, we combined reciprocating linear sliding with
- 40 90° rotations to investigate the fundamental wear behavior of two commercially available crosslinked
- 41 polyethylenes used in orthopaedic joint replacement. Specifically our goals were to (1) reveal the
- 42 presence of a variable wear rate; (2) identify the sliding distance required to reestablish unidirectional
- 43 sliding subsequent to a change in sliding direction.

#### Methods

- 1 Wear was evaluated for similar articulation paths having six different sliding distances (0mm, 1mm,
- 2 2mm, 5mm, 10mm, 100mm) using an OrthoPOD Wear Testing Machine (AMTI, Watertown, MA). Pins
- 3 (n=3) reciprocated in short segments (0mm, 1mm, 2mm, or 5mm) on a broad arc (radius = 52.4mm)
- 4 under a 330N constant vertical load (~4.7MPa which is similar to mean pressures experienced in total
- 5 joint replacement [12, 14]) and constant sliding velocity (64mm/s) until they achieved the target sliding
- 6 distance per cycle (see Figure 2 and Table 1 for summary of experimental conditions). The pins then
- 7 stopped translating and rotated 90° about their own axis under a reduced vertical load of 30N
- 8 (~0.4MPa). The loading was restored to 330N and sliding recommenced. A complete cycle included two
- 9 sliding portions and two rotations of ±90°. For example, in the case of the 100mm path, pins
- reciprocated 20 times in 5mm increments, rotated 90°, slid 100mm again, and then rotated back to 0°.
- 11 Two different polyethylene materials were evaluated. Both were comprised of GUR 1020 resin. One
- group (GVF<sup>TM</sup>) was gamma irradiated to 40 kGy in a vacuum pouch. The other group (XLK<sup>TM</sup>) was
- 13 irradiated to 50 kGy and then remelted. The pins were machined from molded pucks to final dimensions
- 14 of 17.8mm (0.375 inch) diameter and 9.5mm (0.7 inch) length.
- 15 Each pin articulated against a mirror polished wrought Co28Cr6Mo counterface. The disks, conforming
- to ASTM F1537 Alloy 2 (UNS 31538), were polished to an Ra of less than 10nm. No passivation treatment
- was applied prior to wear testing. The disks had a diameter of 38.1mm (1.5 inch) and were 12.7mm (0.5
- 18 inch) thick.
- 19 Testing was performed at 37±1°C in 90% bovine serum (HyClone, Logan UT) supplemented with 0.2%
- sodium azide and 20mM EDTA to retard bacterial growth and calcium precipitation. The specimens
- 21 articulated in the OrthoPOD for approximately 50 hours and were then removed for analysis. All pins
- were then cleaned and weighed (similar to current ISO and ASTM standards [25, 26]) using an analytical
- balance (AX205, Mettler Toledo, Columbus OH). A "soak corrected wear" value was determined for each
- 24 pin by summing the measured weight loss with the average weight gain of three soak specimens. A
- linear wear rate was then calculated using a best-fit linear regression through the soak corrected data,
- 26 excluding the 0 cycle wear data point. A one-way analysis of variance (ANOVA) was used with a post-hoc
- 27 Tukey multiple comparison tests to detect statistical differences between sliding distances within XLK<sup>TM</sup>
- 28 and  $GVF^{TM}$  materials (experimentwise  $\alpha$ =0.05 within materials).

#### **Results**

- 1 In general, the average wear per cycle increased as the sliding distance between turns increased, but
- 2 appears to plateau after 5-10mm for both GVF<sup>TM</sup> and XLK<sup>TM</sup> (Figure 3). In other words, increasing the

- 3 amount of sliding between changes in direction over an order of magnitude from 5mm to 100mm
- 4 produced little to no concomitant wear. The GVF<sup>TM</sup> groups were nearly twice as much as XLK<sup>TM</sup> for all
- 5 conditions (Figure 4).
- 6 Statistical analysis revealed that smaller sliding distances produce reduced wear rates in GVF. This trend
- 7 was similar in XLK<sup>TM</sup>, but did not reach statistical significance likely due to the large standard deviations
- 8 associated with the 0mm and 100mm groups in XLK<sup>TM</sup>. If these groups are removed, differences
- 9 between the sliding distances emerge. This data can also be expressed 'per turn' rather than 'per cycle'
- through scale factor of 2 given that each cycle included two turns (Figures 4a and 4b).
- 11 When wear is normalized by sliding distance, the conditions with shorter travel between turns appear
- more severe (Figure 4c). Groups with 1-2mm of sliding between turns produced high values that
- diminished in the other groups to near zero for the 100mm group. It is important to note that some
- sliding is required to produce wear—simply rotating the pins (0mm sliding between turns) produced no
- measurable wear. In fact, the pins in this group gained weight, typically 0.1 mg over the course of
- 16 testing.

#### Discussion

- 1 The cumulative wear increased proportionally with the number of cross-shear events (i.e. turns) and the
- 2 results point towards a variable wear rate as the groups with less sliding between turns generated lower
- 3 wear rates. The total wear amount increases as the number of turns increases—more wear is observed
- 4 with increasing cycle count (Figure 3)—as long as the pins experience some sliding after the turn. The
- 5 wear subsequent to a change in direction, however, is not constant as evidenced by the changing values
- 6 of wear normalized by total sliding distance (Figure 4c). This may indicate a return to 'unidirectional'
- 7 sliding as hypothesized (recall Figure 1). Furthermore, the data suggests that the transition from a high
- 8 instantaneous wear rate to a low wear rate occurs very rapidly (less than 5mm).
- 9 Conceptually the wear paths used in this investigation represent different size squares, i.e. squares with
- 10 1, 2, 5, 10, and 100mm sides. It is important to note that current wear algorithms reported in the
- literature [19, 22, 23] do not account for the observed varying wear rate and would incorrectly scale
- 12 wear results with the overall length of the sliding path. Specifically, they would predict a 20 fold increase
- in the wear per cycle of the 100mm path compared to the 5 mm path, which is in stark contrast to the
- 14 nearly uniform results between these groups observed in the current study.
- 15 Wear is an important factor in the long-term success of joint arthroplasty. Computer simulations can
- 16 potentially provide insight into wear performance, but at this time are effective only at qualitative
- 17 comparisons. The data presented here may improve computational tools and promote quantitative
- analysis for the design process. Early and ongoing applications in spine [27] and knee [28] have shown
- 19 promise. Additional work is needed to better understand and apply joint-specific tribological conditions
- that might further improve the predictive power over direct extrapolations of these pin-on-disk results.
- 21 One limitation of the study is the manner that we achieved long sliding distances. In order to attain
- 22 100mm of sliding we reciprocated the pins 5mm. We assert that reciprocal motion, without any
- deviation from linear sliding, does not contribute significantly to wear. This has been borne out in the
- 24 literature [18-21] as well as internal testing. Specifically, the results in the current study comport with
- 25 wear rates of these same polyethylene materials when articulated in a square articulation path. When
- 26 similar GVF and XLK pins were articulated in 10mm x 10mm squares they generated wear rates of 2.10 ±
- 27 0.03 and  $1.07 \pm 0.07$  mg/Mturns, respectively, [29] which compares quite well to the wear rates in this
- study of  $2.40 \pm 0.18$  and  $1.13 \pm 0.21$  mg/Mturns for the 10mm groups of GVF and XLK, respectively.
- 29 Another limitation is the length of testing. Each test was restricted to a two-week duration with 50 hour
- 30 testing intervals and a sliding speed of 64 mm/sec. These constraints provided uniform exposure to
- 31 serum, which denatures during testing, and limited potential viscoelastic effects from creeping into the
- 32 results whilst completing the testing matrix in a reasonable amount of time. The cumulative weight loss
- 33 of all conditions displays linear trends, which offers some evidence for stable wear. Extending testing
- 34 would be required to strengthen this claim, but several investigators have found that pin on disk
- investigations have shown stable wear [19, 21, 30, 31]. Additionally, it would have been interesting to
- 36 evaluate sliding distances smaller than 1mm, however, this was not feasible for two reasons: (1)
- 37 limitations on the resolution of the OrthoPOD motion and (2) the expected weight loss would be on the
- 38 same order as the standard deviations observed in this study and therefore approaching the limit of our

- 39 ability to detect differences (i.e. signal to noise ratio). Lastly, we did not measure surface characteristics
- 40 at a molecular or macromolecular level and cannot comment on the mechanism of the observed wear
- 41 phenomenon. Further experimentation and additional techniques are required to capture the transient
- 42 behavior without introducing artifact.
- 43 It is important to note that the reciprocal motion used in this experiment provided a homogenous cross-
- shear condition across the entire pin area during sliding. This is due to the method of articulation.
- 45 Specifically the orientation of the pin is always parallel to the sliding direction even if it travels on the
- 46 broad arc. Cross-shear is only introduced after the pin is rotated and articulates in a direction skewed
- 47 from the previous sliding direction. If the OrthoPOD test machine was used to create square or
- 48 rectangular motion paths, it would not be able to achieve long sliding distances and it could only
- 49 accomplish these motions through simultaneous pin translation and rotation leading to heterogeneous
- cross-shear conditions (as recognized by previous studies [19]). By decoupling the sliding and rotation
- 51 motions we have avoided these complications.
- While this study advances the fundamental understanding of polyethylene wear, care should be taken
- when interpreting and applying these results to other testing conditions. We have applied two different
- 54 crosslinked polyethylenes in unique sliding motions against cobalt chrome disks in specific
- 55 environmental surroundings. Other polyethylenes are likely to exhibit similar trends but their exact
- behavior will depend on their base resin, additives, and extent of crosslinking [19, 32-34]. Also the
- 57 current study employed only 90° changes in direction. It is not clear how the smaller changes in
- direction would affect wear. Intuitively, the wear will decrease monotonically as the change in direction
- is reduced from 90° down to 0°; however, the exact relationship is not known and is an area of further
- 60 exploration. It is also important to note that we tested in 90% bovine serum supplemented with EDTA
- 61 and sodium azide. The serum concentration and choice of anti-bacterial agent can significantly influence
- 62 wear and should be appreciated when comparing results from different laboratories [35-37].
- 63 In conclusion, we have provided evidence that the wear of moderately crosslinked polyethylene varies
  - along a sliding path, which contrasts to the Archard relationship, which states that wear is linearly
- 65 related to sliding distance. Our results suggest that the wear after a discrete cross-shear event (ie a
- 66 change in sliding direction) is higher, but with continued linear the wear rate appears to drop abruptly to
- 67 near zero—returning to the low wearing condition of unidirectional sliding [18-21]. The data further
- 68 suggests that this sudden transition occurs less than 5mm from the change in direction. The results of
- 69 this study could aid the development of improved computational tools. Additional studies are required
- 70 for non-orthogonal articulation paths as well as exploration into the underlying mechanism of wear.

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- 4 help creating some of the figures.

## <u>Tables</u>

Group	Reciprocations	Total	Total	Total	
Group	per Cycle	Turns	Cycles	Distance	
0mm	0 x 0mm	0.60M	0.30M	0.0km	
1mm	1 x 1mm	1.62M	0.81M	1.6km	
2mm	1 x 2mm	1.56M	0.78M	3.1km	
5mm	1 x 5mm	1.44M	0.72M	7.2km	
10mm	2 x 5mm	1.20M	0.60M	12.0km	
100mm	20 x 5mm	0.48M	0.24M	48.0km	

 Table 1. Experimental conditions.

### **Figures**

Figure 1

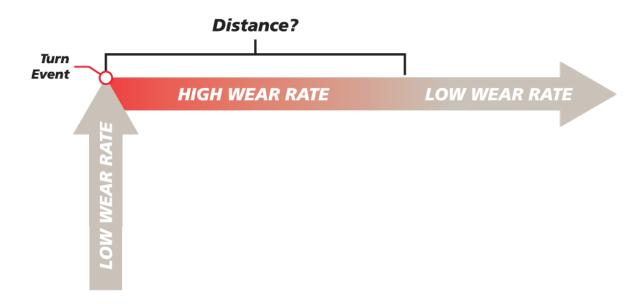


Figure 2

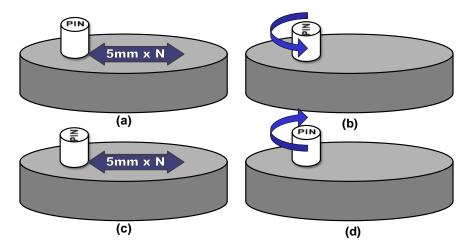


Figure 3a

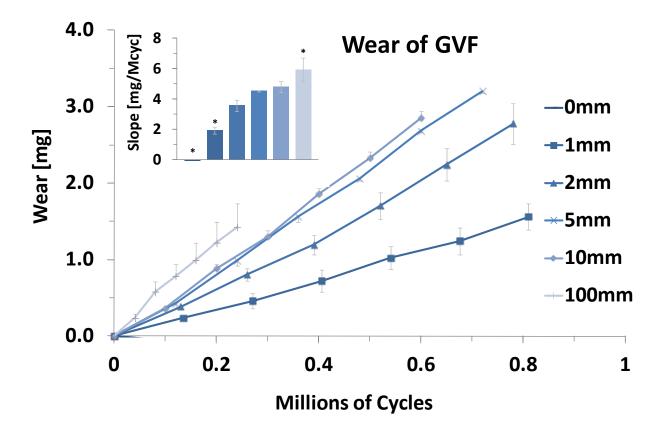


Figure 3b

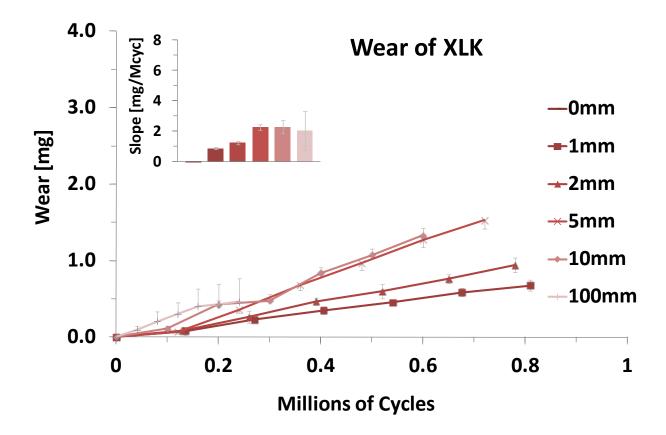


Figure 4a

# **Wear Rates for Various Sliding Conditions**

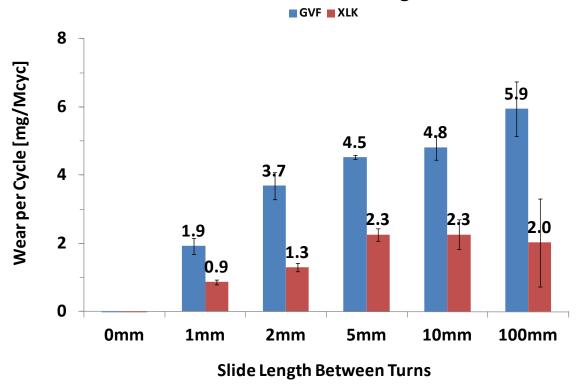


Figure 4b

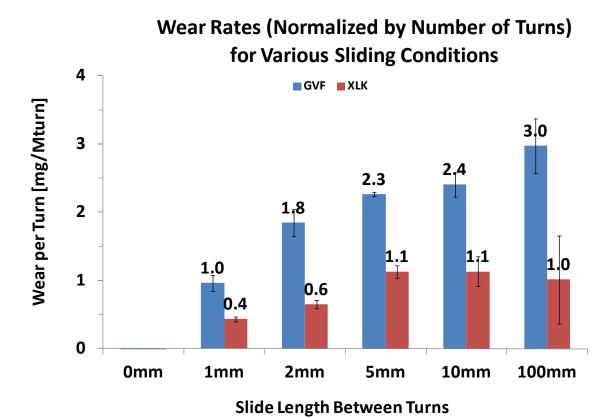
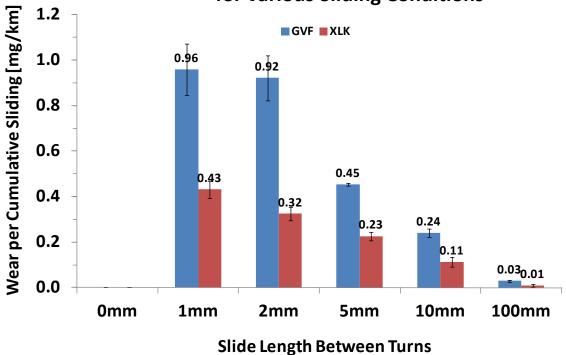


Figure 4c

# Wear Normalized by Cumulative Sliding Distance for Various Sliding Conditions



#### **Captions**

- **Figure 1.** A linear wear path that undergoes a sudden change in direction will generate a high instantaneous wear rate initially and then will transition to a near zero instantaneous wear rate with continued sliding. The sliding distance required to achieve the reduced wear rate is unknown.
- **Figure 2**. (a) Pins reciprocated in segments (1, 2, 5mm) under load until they achieved the target sliding distance (eg 5mm x 20). (b) Pins stopped translating and rotated 90° under reduced load. (c) The loading was restored and sliding recommenced. (d) Pins rotated to 0° under reduced load.
- **Figure 3.** Wear of (a)  $GVF^{\mathbb{T}}$  and (b)  $XLK^{\mathbb{T}}$  pins for several sliding conditions (see text for details). Inset shows the average slope for each group. Note: the 0mm group exhibited weight gain and is not visible on the graph. Error bars represent standard deviation. Note that the time duration and sliding velocity of each interval was held constant to maintain similar testing conditions; consequently the cycle count per interval for each group was different. Statistical differences within each material (experimentwise  $\alpha = 0.05$ ) are denoted by asterisks (\*).
- **Figure 4.** Wear normalized by (a) number of cycles, (b) number of turns, and (c) total sliding distance for the GVF<sup>TM</sup> and XLK<sup>TM</sup> pins under several sliding conditions (see text for details). Note: the 0mm group exhibited weight gain and is not visible on the graph. Error bars represent standard deviation.

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