

# Automorphism Groups of Primitive Distance-Bitransitive Graphs are Almost Simple

JOHN SHAWE-TAYLOR,

## 1. INTRODUCTION, DEFINITIONS AND INITIAL RESULTS

We apply a result of Praeger, Saxl and Yokoyama [4] concerning the automorphism groups of primitive distance-transitive graphs to primitive distance-bitransitive graphs. Imprimitive distance-bitransitive graphs are discussed in Section 2. One of the cases of the Praeger, Saxl and Yokoyama Theorem is considered in Section 3 and the following main result is proved in Section 4.

**THEOREM 1.1.** *If  $(\Gamma, G)$  is a primitive distance-bitransitive pair, then  $\Gamma$  is almost simple.*

We begin with the definition of a distance-bitransitive graph. Let  $G$  be a graph. By  $VG$  we denote the vertex set of  $G$  and by  $EG$  the edge set. For  $u, v$  in  $VG$  we write  $u \sim v$  if  $(u, v)$  in  $EG$ . With  $d_G(u, v) = d(u, v)$  we denote the usual distance in  $G$  between vertices  $u$  and  $v$ . The complement of a graph  $G$  is a graph  $G^c$  with  $VG^c = VG$  and  $u \sim v$  in  $G^c$  if  $u \not\sim v$  in  $G$ . The subdivision graph  $S(G)$  of a graph  $G$  has vertex set  $VS(G) = VG \cup EG$ , and adjacency between elements of  $VG$  and elements of  $EG$  incident in  $G$ . Let  $A(G)$  denote the usual adjacency matrix of a graph  $G$ . The set of eigenvalues of a square matrix  $\mathbf{M}$  is denoted by  $\lambda(\mathbf{M})$ . We also write  $\lambda(G) = \lambda(A(G))$  for the set of eigenvalues of a graph  $G$ .

A pair  $(\Gamma, G)$  where  $G$  is a connected graph and  $\Gamma$  a subgroup of  $\text{aut}(G)$ , is *distance-bitransitive* if  $G$  is a nonregular, not complete bipartite graph with bipartition  $A \cup B = VG$  satisfying that for any four vertices  $u, v, u', v'$  with  $u$  and  $u'$  both in the same part and  $d(u, v) = d(u', v')$ , there exists an automorphism  $g$  in  $\Gamma$  such that  $(u)g = u'$  and  $(v)g = v'$ . A graph  $G$  is also called *distance-bitransitive* if the pair  $(\text{aut}(G), G)$  is distance-bitransitive.

A distance-bitransitive pair  $(\Gamma, G)$  is *imprimitive* if either the permutation group  $(\Gamma, A)$  or the permutation group  $(\Gamma, B)$  is imprimitive. Note that  $\Gamma$  fixes  $A$  setwise as vertices in  $B$  have different degree to those in  $A$ . For a graph  $G$  we denote by  $G^{(k)}$  the graph with vertex set  $VG$  and adjacency defined by  $u \sim v$  iff  $d_G(u, v) = k$ , for  $u, v$  in  $VG$ .

We now define a distance-regularised and distance-biregular graph as introduced in [2]. For  $v$  in  $VG$  and  $i$  in  $\mathbb{N}$ ,  $G_i(v)$  denotes the set of vertices at distance  $i$  from  $v$ . For  $u$  in  $VG$  and  $v$  in  $G_i(u)$  we write  $c(u, v) = |G_{i-1}(u) \cap G_1(v)|$ ,  $b(u, v) = |G_{i+1}(u) \cap G_1(v)|$ ,  $a(u, v) = |G_i(u) \cap G_1(v)|$  and  $k_i(u) = |G_i(u)|$ .

We are interested in vertices  $u$  in  $VG$  for which the numbers  $b(u, v)$ ,  $a(u, v)$  and  $c(u, v)$  are independent of the choice of  $v$  in  $G_i(u)$ . In this case we say  $u$  is *distance-regularised* and we denote  $b(u, v)$ ,  $a(u, v)$  and  $c(u, v)$  by  $b_i(u)$ ,  $a_i(u)$  and  $c_i(u)$ . Let  $d$  be the diameter of  $G$ . Then the array:

$$i(u) = \begin{bmatrix} * & c_1(u) & \dots & c_{d-1}(u) & c_d(u) \\ 0 & a_1(u) & \dots & a_{d-1}(u) & a_d(u) \\ b_0(u) & b_1(u) & \dots & b_{d-1}(u) & * \end{bmatrix}$$

is called the intersection array for  $u$ , and the matrix:

$$\mathbf{I}(u) = \begin{bmatrix} 0 & c_1(u) & 0 & & & \\ b_0(u) & a_1(u) & c_2(u) & & & \\ 0 & b_1(u) & a_2(u) & & & \\ 0 & 0 & b_2(u) & & & \\ & & & & & \\ & & & & & \\ & & & & b_{d-2}(u) & a_{d-1}(u) & c_d(u) \\ & & & & 0 & b_{d-1}(u) & a_d(u) \end{bmatrix}$$

is called the intersection matrix for  $u$ . In the matrix we omit last rows and columns if they are identically zero.

We will call a connected graph in which every vertex is distance-regularised a *distance-regularised* graph. A special case of distance-regularised graphs are bipartite distance-regularised graphs in which vertices in the same partition or colour class have the same intersection array. These graphs are called *distance-biregular*. It is shown in [2] that distance-regularised graphs are distance-regular or distance-biregular.

Unless explicitly stated, we use the following standardised notation for a distance-biregular graph. Sets  $A$  and  $B$  denote the colour partition of  $VG$ ,  $d$  is the diameter of  $G$ ,  $u$  is a vertex in  $A$  and has intersection array:

$$i(A) = \begin{bmatrix} * & 1 & c_2 & \dots & c_d \\ 0 & 0 & 0 & \dots & 0 \\ r & b_1 & b_2 & \dots & * \end{bmatrix} \quad \text{or just} \quad \begin{bmatrix} * & 1 & c_2 & \dots & c_d \\ r & b_1 & b_2 & \dots & * \end{bmatrix}$$

$v$  is a vertex in  $B$  and has intersection array:

$$i(B) = \begin{bmatrix} * & 1 & f_2 & \dots & f_d \\ s & e_1 & e_2 & \dots & * \end{bmatrix}.$$

The corresponding intersection matrices are denoted by  $\mathbf{I}(A)$  and  $\mathbf{I}(B)$  respectively. Note that  $\deg(u) = r$  and  $\deg(v) = s$ . We denote with  $k_i$  the numbers  $|G_i(u)|$  and with  $l_i$  the numbers  $|G_i(v)|$ ,  $i = 0, \dots, d$ . Note that  $l_{d-1} \neq 0$  and  $k_{d-1} \neq 0$  though one of  $l_d$  and  $k_d$  may be zero.

Distance-bitransitive graphs are clearly distance-biregular, as distance-transitive graphs are distance-regular.

A special class of distance-regular graphs which we will refer to is that of  $(k, g)$ -graphs. These are distance-regular graphs with valency  $k$ , girth  $g$  and diameter  $\lfloor g/2 \rfloor$ , which are also bipartite when  $g$  is even. The subdivision graphs of such graphs are distance-biregular (see [3]) and we meet an example of such a graph in Proposition 3.2.

We will first give some examples of distance-biregular and distance-bitransitive graphs in order to show that they form an important and natural generalisation of distance-regular graphs. Two classes of distance-biregular graphs that we will only mention in passing are generalised polygons and the incidence graphs of partial geometries. The following two examples are of distance-bitransitive graphs.

**EXAMPLE 1.** Consider a vector space  $V$  of dimension  $m$  over the Galois field  $\text{GF}(q)$ , where  $q$  is a prime power. The vertices of the graph  $G$  are the  $k$ -dimensional and  $(k+1)$ -dimensional subspaces of  $V$  with  $(X, Y)$  an edge in  $G$  if  $X \subseteq Y$ . To ensure  $G$  is not a regular

graph we require  $m \neq 2k + 1$  (in the case  $m = 2k + 1$  the graph obtained is the  $q$ -analogue of the double cover of the odd graph  $O_k$ ). The group  $GL(m, q)$  acts as a group of automorphisms on  $G$  and it is not hard to check that  $(GL(m, q), G)$  is a distance-bitransitive pair. The group  $GL(m, q)$  has simple socle  $PSL(m, q)$ .

**EXAMPLE 1.3.** It is well known that the 2-(21, 5, 1) design consisting of the points and lines of  $PG(2, 4)$  can be extended to a 3-(22, 6, 1) design by adding an additional vertex to each line and a class of 56 ovals, determined by an equivalence relation on the set of all ovals in  $PG(2, 4)$  (an oval is a maximal set of points no three of which are collinear and the relation is given by  $O \sim O'$  if  $|O \cap O'| = 0, 2$  or  $6$ ). The graph  $G$  has vertex set the points of  $PG(2, 4)$  and the 56 ovals of a chosen class. The pair  $(x, O)$  is an edge of  $G$  if  $x$  is a point of the oval  $O$ . The group  $PSL(3, 4)$  is a group of automorphisms of  $G$  as it is the vertex stabiliser of  $M_{22}$ , the automorphism group of the 3-(22, 6, 1) design. Using the fact that  $PSL(3, 4)$  acts transitively on quadruples of points, exactly three of which are collinear and that three non collinear points uniquely determine an oval vertex adjacent to them in  $G$ , we can check that the simple group  $PSL(3, 4)$  acts distance-bitransitively.

The intersection arrays of  $G$  are:

$$\begin{bmatrix} * & 1 & 2 & 12 & 6 \\ 6 & 15 & 4 & 4 & * \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} * & 1 & 4 & 6 \\ 16 & 5 & 12 & * \end{bmatrix}.$$

We begin with a useful lemma on the relation between the two arrays of a distance-biregular graph.

**LEMMA 1.4.** *Let  $G$  be a distance-biregular graph with the standard notation. Then  $c_{2i+1}c_{2i} = f_{2i+1}f_{2i}$  and  $b_{2i}b_{2i-1} = e_{2i}e_{2i-1}$ , for  $i = 1, \dots, [d/2] - 1$ .*

**Proof.** Let  $1 \leq i \leq [d/2] - 1$  and consider two vertices  $u, v$  with  $d(u, v) = 2i + 1$  ( $\leq d$ ), with  $u$  in  $A$  and  $v$  in  $B$ . We wish to evaluate the size of the set  $G_j(u) \cap G_{2i+1-j}(v)$ . We claim that

$$m_j = |G_j(u) \cap G_{2i+1-j}(v)| = (f_{2i+1} \dots f_{2i+2-j})/(c_1 \dots c_j).$$

We prove the claim by induction on  $j$ . For  $j = 1$ ,  $m_1 = f_{2i+1}$  by the definition of the intersection numbers. Suppose the equation holds for smaller numbers than  $j$ . Each vertex in  $G_{j-1}(u) \cap G_{2i+2-j}(v)$  is adjacent to  $f_{2i+2-j}$  vertices in  $G_{2i+1-j}(v)$  each of which lies in  $G_j(u)$ , while each vertex in  $G_j(u) \cap G_{2i+1-j}(v)$  is adjacent to  $c_j$  vertices in  $G_{j-1}(u)$ , each of which lies in  $G_{2i+2-j}(v)$ . Hence  $m_{j-1}f_{2i+2-j} = m_j c_j$ . Using the induction hypothesis the claim follows. But then  $m_{2i+1} = |G_{2i+1}(u) \cap G_0(v)| = 1$  and so  $(f_{2i+1} \dots f_1)/(c_1 \dots c_{2i+1}) = 1$ , and  $f_{2i+1} \dots f_1 = c_{2i+1} \dots c_1$ . As  $f_{2i-1} \dots f_1 = c_{2i-1} \dots c_1 \neq 0$ , we have  $f_{2i+1}f_{2i} = c_{2i+1}c_{2i}$ .

To prove the second equation of the lemma we partition  $G_{2i}(u)$ , for  $u$  in  $A$  and  $1 \leq i \leq [d/2] - 1$ , into two subsets,  $G_{2i}(u) \cap G_{2i-1}(v)$  and  $G_{2i}(u) \cap G_{2i+1}(v)$ , where  $v$  is a vertex adjacent to  $u$ . We now estimate  $k_{2i} = |G_{2i}(u)|$  in two ways. Firstly in the obvious fashion

$$k_{2i} = (b_0 b_1 \dots b_{2i-1})/(c_1 c_2 \dots c_{2i}) \neq 0, \quad \text{as } 2i < d.$$

To get the second estimate we first prove a claim that

$$n_j = |G_{j+1}(u) \cap G_j(v)| = (b_1 b_2 \dots b_j)/(f_1 f_2 \dots f_j).$$

We again proceed by induction on  $j$ . For  $j = 1$  it is true by the definition of  $b_1$ . Now assume it holds for integers less than  $j$ . Each vertex in  $G_j(u) \cap G_{j-1}(v)$  is adjacent to  $b_j$  vertices in  $G_{j+1}(u)$  all of which are distance  $j$  from  $v$ . Each vertex in  $G_{j+1}(u) \cap G_j(v)$  is adjacent to  $f_j$  vertices in  $G_{j-1}(v)$  all of which are distance  $j$  from  $u$ . Hence  $n_{j-1}b_j = n_j f_j$ . Using the

induction hypothesis

$$n_j = (b_1 b_2 \dots b_j) / (f_1 f_2 \dots f_j).$$

By the symmetry of the definition of a distance-biregular graph

$$|G_{j+1}(v) \cap G_j(u)| = (e_1 e_2 \dots e_j) / (c_1 c_2 \dots c_j).$$

Hence  $k_{2i} = |G_{2i}(u) \cap G_{2i-1}(v)| + |G_{2i}(u) \cap G_{2i+1}(v)|$

$$= \frac{b_1 b_2 \dots b_{2i-1}}{f_1 f_2 \dots f_{2i-1}} + \frac{e_1 e_2 \dots e_{2i}}{c_1 c_2 \dots c_{2i}}$$

By the first part  $c_1 c_2 \dots c_{2i-1} = f_1 f_2 \dots f_{2i-1}$ , and so  $b_0 b_1 \dots b_{2i-1} = b_1 b_2 \dots b_{2i-1} c_{2i} + e_1 e_2 \dots e_{2i}$ , and  $b_1 b_2 \dots b_{2i-1} (b_0 - c_{2i}) = e_1 e_2 \dots e_{2i}$ , or  $b_1 b_2 \dots b_{2i} = e_1 e_2 \dots e_{2i}$ . For  $i > 1$ ,  $b_1 b_2 \dots b_{2i-2} = e_1 e_2 \dots e_{2i-2} \neq 0$  and so  $b_{2i} b_{2i-1} = e_{2i} e_{2i-1}$  as required.

Lemma 1.4 shows that one array of a distance-biregular graph determines the other. This result was proved in [2], but the formulas of Lemma 1.4 give a much simpler connection. The next lemma will not be used in the paper but is presented to give some justification for the exclusion of regular graphs from our definition of a distance-bitransitive pair.

LEMMA 1.5. *A regular distance-biregular graph is distance-regular.*

PROOF. We prove by induction that  $i(A) = i(B)$ . As  $G$  is regular of degree  $r = s$ , the first two columns in each array are identical. Suppose now that the arrays are identical up to and including the  $(2i - 1)$ -st column. Then by Lemma 1.4  $b_{2i} b_{2i-1} = e_{2i} e_{2i-1}$ , and so  $b_{2i} = e_{2i}$ . As  $r = s$  this gives  $c_{2i} = f_{2i}$ . But again by Lemma 1.4  $c_{2i+1} c_{2i} = f_{2i+1} f_{2i}$  and so  $c_{2i+1} = f_{2i+1}$ , yielding  $b_{2i+1} = e_{2i+1}$  and agreement of the next two columns of the intersection arrays.

LEMMA 1.6. *The diameter  $d$  of a non regular distance-biregular graph is even.*

PROOF. Suppose w.l.o.g. that  $G_d(u) \neq \emptyset$  for  $u$  in  $A$ . By arranging the rows and columns of the adjacency matrix  $A(G)$  of  $G$  so that the vertices of  $A$  precede those of  $B$  we obtain a block pattern:

$$A(G) = \begin{bmatrix} \mathbf{0} & \mathbf{M} \\ \mathbf{M}^T & \mathbf{0} \end{bmatrix}$$

with  $\mathbf{M}$  an  $n \times m$  matrix. Then  $\text{rank}(A(G)) \leq 2 \min(n, m)$  as at most this many rows may be linearly independent. As  $n \neq m$ ,  $A(G)$  is not full rank and so 0 is an eigenvalue of  $G$ . In [2] it is shown that  $\lambda(G) = \lambda(I(A)) \cup \lambda(I(B))$ . But then 0 is an eigenvalue of  $I(A)$  or  $I(B)$ . But  $I(A)$  and  $I(B)$  both have zero diagonal and so 0 is one of their eigenvalues iff they have odd order. Suppose  $d$  is odd. Let  $v \in G_d(u) \neq \emptyset$ . But then  $u$  in  $G_d(v)$  and as  $v$  in  $B$  both  $i(A)$  and  $i(B)$  have an even number of non zero columns. Hence  $I(A)$  and  $I(B)$  both have even order, a contradiction.

It is shown in [3] that if  $G$  is a distance-biregular graph then  $G^{(2)}$  is the disjoint union of two distance-regular graphs called the derived graphs of  $G$ . The following lemma presents an analogous result for distance-bitransitive graphs.

LEMMA 1.7. Let  $(\Gamma, G)$  be a distance-bitransitive pair. Then  $G^{(2)}$  is the disjoint union of two connected graphs  $D$  and  $E$  on each of which  $\Gamma$  acts faithfully and distance-transitively.

PROOF. Let  $A \cup B = VG$  be the bipartition of  $G$ . In  $G^{(2)}$  no vertex of  $A$  is adjacent to a vertex of  $B$ . Hence  $G^{(2)}$  is the disjoint union of two graphs  $D$  and  $E$  with  $VD = A$  and  $VE = B$ . For  $u, u'$  vertices in  $A$ ,  $d_{G^{(2)}}(u, u') = d_G(u, u')/2$ . Similarly for  $v, v'$  vertices in  $B$ . So  $D$  and  $E$  are connected graphs and  $\Gamma$  acts transitively on pairs at a given distance apart in both  $D$  and  $E$ . It remains to show that the action of  $\Gamma$  is faithful. Suppose  $g$  in  $\Gamma$  is the identity on  $D$ . Let  $v$  in  $B$  and  $u_1, \dots, u_s$  be the neighbours of  $v$  in  $G$ . Since  $g$  fixes  $u_1, \dots, u_s$ ,  $(v)g$  is also adjacent to precisely  $u_1, \dots, u_s$ . Suppose  $(v)g \neq v$ . Considering the intersection array for  $v$  we must have:

$$i(B) = \begin{bmatrix} * & 1 & s \\ s & r-1 & * \end{bmatrix}.$$

So  $G = K_{r,s}$  the complete bipartite graph excluded in our definition of a distance-bitransitive pair. We conclude that  $g$  fixes every vertex of  $G$ . Hence  $g$  is the identity and  $\Gamma$  acts faithfully on  $D$ . Similarly  $\Gamma$  acts faithfully on  $E$ .

Note that if  $(\Gamma, G)$  is an imprimitive distance-bitransitive graph then one of the distance-transitive derived graphs  $D$  or  $E$  of the lemma will also be imprimitive. It is a well known result of Smith [5] that an imprimitive distance-transitive graph is either bipartite or antipodal. This prompts the following generalisation of the definition of primitivity to distance-regular and distance-biregular graphs. Imprimitive distance-biregular graphs are the subject of Section 2.

A distance-regular graph  $G$  of diameter  $d$  is *antipodal* if  $G^{(d)}$  is disconnected. A distance-regular graph is *primitive* if it is neither bipartite nor antipodal, otherwise it is *imprimitive*. A non regular distance-biregular graph is *primitive* if both of its derived graphs are primitive, otherwise it is *imprimitive*. A non regular distance-biregular graph is *antipodal* if at least one derived graph is antipodal.

The exclusion of regularity in these definitions is justified by Lemma 1.5.

## 2. IMPRIMITIVE DISTANCE-BIREGULAR GRAPHS

It is known that the intersection array of an antipodal distance-regular graph is 'palindromic'. To be precise if a distance-regular graph  $G$  has intersection array

$$\begin{bmatrix} * & c_1 & & c_{d-1} & c_d \\ 0 & a_1 & \dots & a_{d-1} & a_d \\ b_0 & b_1 & & b_{d-1} & * \end{bmatrix}$$

then  $G$  is antipodal if and only if  $b_i = c_{d-i}$ ,  $i = 0, 1, \dots, d$ ,  $i \neq \lfloor d/2 \rfloor$ . The proof of this is in [1] though it is not explicitly stated there. This result means that one of the intersection arrays of an antipodal distance-biregular graph must be 'palindromic', as the next proposition makes explicit.

PROPOSITION 2.1. *Let  $G$  be a non regular distance-biregular graph with derived graph  $D$  on vertex set  $VD = A$ . Then  $D$  is antipodal if and only if  $G_d(u) \neq \emptyset$  for  $u$  in  $A$ , and  $i(A)$  satisfies  $b_i = c_{d-i}$ ,  $i = 0, 1, \dots, d$ ,  $i \neq d/2$ .*

PROOF. ( $\Rightarrow$ ) Suppose  $G_d(u) = \emptyset$ . Then  $c_{d-1} = s$  and the derived graph  $D$  has diameter  $d' = d/2 - 1$ , as  $d$  is even by Lemma 1.6. Let  $D$  have intersection array:

$$i(D) = \begin{bmatrix} * & c'_1 & & c'_{d'} \\ 0 & a'_1 & \dots & a'_{d'} \\ b'_0 & b'_1 & & * \end{bmatrix}.$$

Then  $a'_d = (c_{d-2}(b_{d-3} - 1) + b_{d-2}(c_{d-1} - 1))/c_2$  (see [3]). But  $c_{d-1} = s > 1$ . Hence  $a'_d > 0$  and so  $b'_0 \neq c'_d$ , and  $D$  is not antipodal. We conclude that  $G_d(u) \neq \emptyset$ . Suppose now that  $b_j = c_{d-j}$  for  $j < i$ , for some  $i$ ,  $1 \leq i < d/2$ . This is true for  $i = 1$ , as  $b_0 = c_d = r$ . We consider the possible parities of  $i$  separately.

*Case (a):  $i$  odd.* Here  $b_{i-1}b_i/c_2 = b'_{(i-1)/2} = c'_{(d/2)-[(i-1)/2]} = c'_{(d-i+1)/2} = c_{d-i+1}c_{d-i}/c_2$ , as  $(i-1)/2 \neq [d'/2]$ . But  $b_{i-1} = c_{d-i+1}$  and so  $b_i = c_{d-i}$  as required.

*Case (b):  $i$  even.* Here  $c_i c_{i-1}/c_2 = c'_{i/2} = b'_{(d/2)-(i/2)} = b'_{(d-i)/2} = b_{d-i}b_{d-i+1}/c_2$ , as  $d/2 - i/2 \neq [d'/2]$ . But  $b_{i-1} = c_{d-i+1}$  so  $c_{i-1} = b_{d-i+1}$ , as  $b_{i-1} + c_{i-1} = s = c_{d-i+1} + b_{d-i+1}$ . We conclude that  $c_i = b_{d-i}$  and so  $b_i = c_{d-i}$ . The result follows by induction.

( $\Leftarrow$ ) Let  $d' = d/2$ , the diameter of  $D$  as  $G_d(u) \neq \emptyset$ . Then

$$\begin{aligned} b'_j &= b_{2j}b_{2j+1}/c_2 = c_{d-2j}c_{d-2j+1}/c_2 \\ &= c'_{d'-j}, \quad j = 0, 1, \dots, d' \quad j \neq [d'/2]. \end{aligned}$$

We conclude this section by showing that both derived graphs of a non regular distance-biregular graph cannot be imprimitive.

**PROPOSITION 2.2.** *Let  $G$  be a non-regular distance-biregular graph. Then at least one of the derived graphs is primitive. Suppose the derived graph  $E$  is imprimitive. Then one of the following holds:*

- (a)  $G$  is the subdivision graph of  $E$ , which is a bipartite  $(k, g)$ -graph,
- (b)  $E$  is an antipodal, non-bipartite graph with  $\text{diam}(E) \geq \text{diam}(D)$ .

**PROOF.** We consider first the case when  $G$  has vertices of valency two.

*Case (a):*  $G$  has vertices of valency 2. The main theorem of [3] states that in this case  $G$  is the subdivision graph of one of its derived graphs, which is a  $(k, g)$ -graph. Let  $E$  be this derived graph. Then in the standard notation  $r = 2$  and  $s = k$  the degree of  $E$ . The intersection array of the second derived graph  $D$  may be computed as:

$$\begin{bmatrix} * & 1 & & 1 & 1 & 4 \\ 0 & k-2 & \dots & k-2 & k-1 & 2(k-3) \\ 2(k-1) & k-1 & & k-1 & k-2 & * \end{bmatrix},$$

if  $g$  is odd,

$$\begin{bmatrix} * & 1 & & 1 & 2 \\ 0 & k-2 & \dots & k-2 & 2(k-2) \\ 2(k-1) & k-1 & & k-1 & * \end{bmatrix},$$

if  $g$  is even. In no case is  $D$  bipartite, as we must have  $k = s > 2 = r$  for non-regularity. The only case when the array is antipodal is when  $k = 3$ ,  $g = 3$ . This means that  $E$  is  $K_4$  and  $G = S(K_4)$ .  $E$  is primitive while  $D$  is antipodal and non-bipartite with  $\text{diam}(D) > \text{diam}(E)$ . This is example (b) of the proposition, with  $D$  and  $E$  interchanged. For other values of  $k$  and  $g$ ,  $D$  is primitive, while the  $(k, g)$ -graph  $E$  is imprimitive only if bipartite ( $g$  even). This is example (a) of the proposition.

*Case (b):*  $G$  has no vertices of valency 2. It is immediate that both derived graphs contain triangles and so neither is bipartite. If derived graph  $E$  is antipodal, then  $\text{diam}(E) = d/2$  by Proposition 2.1. But for the second derived graph  $D$ ,  $\text{diam}(D) \leq d/2$  and so  $\text{diam}(E) \geq \text{diam}(D)$ . Hence it remains to prove that both derived graphs cannot be antipodal. Suppose this to be the case. By Proposition 2.1 both intersection arrays for  $G$  are 'palindromic' with

$G_d(u)$  and  $G_d(v)$  non-empty for  $u$  in  $A$ ,  $v$  in  $B$ .

Let

$$i(A) = \begin{bmatrix} * & c_1 & & c_{l-1} & c_l & b_{l-1} & & b_l & r \\ & & \dots & & & & \dots & & \\ r & b_1 & & b_{l-1} & b_l & c_{l-1} & & c_l & * \end{bmatrix}$$

and

$$i(B) = \begin{bmatrix} * & f_1 & & f_{l-1} & f_l & e_{l-1} & & e_l & s \\ & & \dots & & & & \dots & & \\ s & e_1 & & e_{l-1} & e_l & f_{l-1} & & f_l & * \end{bmatrix},$$

where  $l = d/2$ . Consider first  $l$  odd. Here by Proposition 2.1 and Lemma 1.4  $b_l c_{l-1} = e_l f_{l-1}$  and  $c_l c_{l-1} = f_l f_{l-1}$ . Adding we obtain  $c_{l-1}(b_l + c_l) = f_{l-1}(e_l + f_l)$  and so  $f_{l-1}/c_{l-1} = s/r$ . But then  $b_l/e_l = f_{l-1}/c_{l-1} = s/r$ . For  $l$  even  $b_{l-1}b_l = e_{l-1}e_l$  and  $b_{l-1}c_l = e_{l-1}f_l$ , by Lemma 1.4. Adding we have  $b_{l-1}(c_l + b_l) = e_{l-1}(e_l + f_l)$  and so  $b_{l-1}/e_{l-1} = s/r$ . Now suppose that for some  $2i + 1 \leq l$ ,  $b_{2i+1}/e_{2i+1} = s/r$ . As  $c_{2i+1} + b_{2i+1} = s$  and  $e_{2i+1} + f_{2i+1} = r$ , we have  $c_{2i+1}/f_{2i+1} = (s - b_{2i+1})/(r - e_{2i+1}) = s/r$ . Then as  $c_{2i+1}c_{2i} = f_{2i+1}f_{2i}$ ,  $f_{2i}/c_{2i} = c_{2i+1}/f_{2i+1} = s/r$ , and as  $e_{2i} + f_{2i} = s$ , while  $b_{2i} + c_{2i} = r$ , we have  $e_{2i}/b_{2i} = (s - f_{2i})/(r - e_{2i}) = s/r$ . Further as  $b_{2i-1}b_{2i} = e_{2i-1}e_{2i}$ ,  $b_{2i-1}/e_{2i-1} = e_{2i}/b_{2i} = s/r$ . Hence by induction  $b_1/e_1 = (s - 1)/(r - 1) = s/r$  and so  $r = s$ , a contradiction.

### 3. DISTANCE-BIREGULAR GRAPHS WITH HAMMING DERIVED GRAPH

In this section we consider which non-regular distance-biregular graphs have the Hamming graph  $H(d, q)$  or its complement when  $d = 2$  as one of their derived graphs. These results will be used in Section 4.

First we define the Hamming graph  $H = H(d, q)$ .  $H$  has vertex set the  $d$ -vectors over a  $q$ -element set  $X$ ,  $d, q > 1$ . Two vectors are adjacent in  $H$  if they differ in precisely one component.

The following lemma relating the two derived graphs of a distance-biregular graph will prove useful in this section.

**LEMMA 3.1.** *Let  $D$  and  $E$  be the derived graphs of a distance-biregular graph  $G$  with  $VD = A$ . Suppose  $G_4(u) \neq \emptyset$ , for  $u$  in  $A$ . Then the vertices of  $E$  correspond to maximal cliques in  $D$ .*

**PROOF.** Consider a vertex  $v \in B = VE$  as a vertex of  $G$ . Its neighbours  $u_1, \dots, u_s$  will form a clique in the derived graph  $D$ . We must show that this clique is maximal. Suppose a further vertex  $u$  is adjacent to each of  $u_1, \dots, u_s$  in  $D$ . Now  $v$  is distance 3 from  $u$  in  $G$ , but every neighbour of  $v$  is distance 2 from  $u$ . Hence  $c_3 = s$  and  $G_4(u) = \emptyset$ , a contradiction.

**PROPOSITION 3.2.** *The only distance-biregular graph with Hamming derived graph is  $S(K_{q,q})$ , the subdivision graph of  $K_{q,q}$ . This graph is imprimitive and has derived graph  $H(2, q)$ .*

**PROOF.** Suppose  $G$  is a distance-biregular graph with derived graph  $D$  on vertex set  $A$  isomorphic to  $H(d, q)$ . By Lemma 3.1 the vertices of the other derived graph  $E$  correspond to maximal cliques of  $H(d, q)$  as  $G_4(u) \neq \emptyset$ , for  $u$  in  $A$ . The maximal cliques of  $H(d, q)$  are indexed by  $d$ -vectors over  $X' = X \cup \{*\}$  in which precisely one component is  $*$ , a symbol not in the set  $X$  used to define  $H(d, q)$ . The clique indexed by  $c = (i_1, \dots, i_d)$ , with

$i_k = *$ , consists of all the vertices of  $H(d, q)$  which agree with  $c$  in every component except the  $k$ th. We claim that every such clique must correspond to a vertex of  $E$ . We prove this for the general clique  $c$ . The two vertices  $(i_1, \dots, i_k, \dots, i_d)$  and  $(i_1, \dots, i_k'', \dots, i_d)$ , where  $i_k'$  and  $i_k''$  are two distinct elements of  $X$ , are adjacent in  $H(d, q)$ , so there must be a vertex  $v$  of  $B$  adjacent to both of them in  $G$ . The only maximal clique containing both of them is  $c$  and so  $c$  must correspond to  $v$ . Hence the claim holds and  $G$  has vertex set the vertices of  $H(d, q)$  together with its maximal cliques, with adjacency given by inclusion of a vertex in a clique.

Now suppose  $d > 2$ . The clique  $v = (*, i_2, \dots, i_d)$  is distance 4 from  $v' = (i_1, i_2', *, i_4, \dots, i_d)$  and  $v'' = (*, i_2', i_3, \dots, i_d)$ . But every neighbour of  $v''$  is distance 3 from  $v$ , while just one neighbour  $(i_1, i_2', i_3, \dots, i_d)$  of  $v'$  is distance 3 from  $v$ . This contradicts  $G$  being distance-biregular.

If  $d = 2$  the maximal cliques are indexed by  $\{(i, *) , (*, i) | i \in X\}$ . Each vertex  $(i_1, i_2)$  of  $H(2, q)$  can be viewed as the edge joining  $(i_1, *)$  to  $(*, i_2)$  in the complete bipartite graph with parts  $X_1 = X \times \{*\}$  and  $X_2 = \{*\} \times X$ . Hence  $G \cong S(K_{q,q})$ . The derived graphs of  $G$  are  $K_{q,q}$  and  $L(K_{q,q}) \cong H(2, q)$ . As  $K_{q,q}$  is bipartite  $G$  is imprimitive.

**PROPOSITION 3.3.** *Let  $q > 2$ . The existence of a distance-biregular graph  $G$  with derived graph  $H(2, q)^c$  is equivalent to the existence of a projective plane  $P$  of order  $q$ . The graph  $G$  is the incidence graph of the structure  $P'$  obtained from  $P$  by choosing two distinct points  $x$  and  $y$  and deleting all the lines through either of them and all the points on the line  $xy$ . The graph  $G$  is antipodal.*

**PROOF.** ( $\Rightarrow$ ) Suppose  $G$  is a distance-biregular graph with derived graph  $D \cong H(2, q)^c$  on vertex set  $A$  in the standard notation. Let  $X$  denote the set used to define  $H(2, q)$  so that the set  $A$  can be regarded as  $A = \{(i, j) | i, j \in X\}$ , with  $d((i, j), (i', j')) = 2$  iff  $i \neq i'$  and  $j \neq j'$ . Then  $G_4(u) \neq \emptyset$ , for  $u$  in  $A$ , so by Lemma 3.1 the vertices of  $B$  correspond to maximal cliques of  $D$ .

We claim that any maximal clique of  $D$  has  $q$  elements, for suppose  $C = \{(i_1, j_1), \dots, (i_l, j_l)\}$  is a maximal clique of  $H(2, q)^c$ . Then each pair differ in both coordinates and so  $i_1, \dots, i_l$  are all distinct and likewise  $j_1, \dots, j_l$ . Hence  $l \leq q = |X|$ . If  $l < q$  we can choose  $i_{l+1} \in X - \{i_1, \dots, i_l\}$  and  $j_{l+1} \in X - \{j_1, \dots, j_l\}$ . Then  $(i_{l+1}, j_{l+1}) \sim (i_l, j_l)$ , for  $t = 1, \dots, l$ , contradicting the maximality of  $C$ .

We conclude that  $s = q$  and as  $H(2, q)^c$  has intersection array:

$$\begin{bmatrix} * & 1 & (q-1)(q-2) \\ 0 & (q-2)^2 & q-1 \\ (q-1)^2 & 2(q-2) & * \end{bmatrix},$$

we can compute:

$$i(A) = \begin{bmatrix} * & 1 & r/(q-1) & q-2 & r \\ r & q-1 & r(q-2)/(q-1) & 2 & * \end{bmatrix}.$$

By Lemma 1.4  $e_1 e_2 = b_1 b_2$  and so  $e_2 = r(q-2)/(r-1)$ . Hence  $r-1 | q-2$  and  $q-1 | r$ . This forces  $r = q-1$  and so

$$i(A) = \begin{bmatrix} * & 1 & 1 & q-2 & q-1 \\ q-1 & q-1 & q-2 & 2 & * \end{bmatrix}$$

and

$$i(B) = \begin{bmatrix} * & 1 & 1 & q-2 & q \\ q & q-2 & q-1 & 1 & * \end{bmatrix}.$$



By Proposition 2.1  $G$  is antipodal. The derived graph  $E$  on the vertex set  $B$  has intersection array:

$$\begin{bmatrix} * & 1 & q(q-2) \\ 0 & q(q-3) & 0 \\ q(q-2) & q-1 & * \end{bmatrix}.$$

This is an antipodal graph of diameter 2 with  $|\{u\} \cup G_2(u)| = q$ . Hence  $E \cong K_{(q-1)(q)}$ , the complete  $(q-1)$ -partite graph with each part having  $q$  vertices. We label the parts of  $E$  from 1 to  $q-1$ . To complete the first half of the proof it remains to construct a projective plane  $P$  of order  $q$  from  $G$ . The points of the plane  $P$  will be the vertices of  $A = VH$  together with  $q+1$  points labelled  $x, y, p_1, \dots, p_{q-1}$ . The lines of  $P$  will be labelled by the vertices of  $B$  together with  $2q+1$  additional lines  $l_i, m_i, i \in X$  and  $l_\infty$ . Vertex  $v$  of  $B$  in block  $k$  of  $E$  labels a line composed of the points  $\{u \in A | u \sim v\} \cup \{p_k\}$ . The line  $l_i$  is the set of points  $\{(i, j) | j \in X\} \cup \{x\}$  while  $m_i$  is the set  $\{(j, i) | j \in X\} \cup \{y\}$ . Finally  $l_\infty$  is the set of points  $\{x, y, p_1, \dots, p_{q-1}\}$ . It is fairly straightforward to check that each pair of points lie on exactly one line and that each pair of lines intersect in exactly one point. Finally the four points  $x, y, (i, i), (j, j)$  ( $i, j \in X, i \neq j$ ) form a four-point. So  $P$  is a projective plane of order  $q$ .

( $\Leftarrow$ ) Suppose  $P$  is a projective plane of order  $q$ . Let  $x, y, P'$  and  $G$  be as in the proposition statement. Let  $u$  be any point of  $P'$ . The point  $u$  lies on  $q+1$  lines in  $P$ , but the line through  $x$  and the line through  $y$  (distinct because  $u$  is not on  $xy$ ) have been deleted, so  $u$  lies on  $q-1$  lines in  $P'$ . Let  $v$  be a line of  $P'$ . The line  $v$  intersects  $xy$  in  $P$  in a point  $p \neq x$  or  $y$ . Hence  $v$  is incident with  $q$  points in  $P'$  and  $G$  is a semi-regular graph. Two points lie on one line in  $P$  so the incidence graph of  $P$  has girth greater than 4. Hence  $\text{girth}(G) \geq 6$ . Now consider a point  $u$  of  $P'$  and a line  $v$  of  $P'$  not incident with  $u$ . Let  $u'$  be a point on  $v$ . The line  $uu'$  is in  $P'$  iff  $x$  and  $y$  are not on  $uu'$ . Now  $ux$  and  $uy$  intersect  $v$  in two distinct points of  $v$  as  $u$  is not on  $xy$ . Hence precisely  $q-2$  points of  $v$  are collinear with  $u$  in  $P'$ . We thus see that a point vertex of  $G$  has the first seven intersection numbers well defined as follows:

$$\begin{bmatrix} * & 1 & 1 & q-2 & \dots \\ q-1 & q-1 & q-2 & 2 & \dots \end{bmatrix}$$

But in the argument above we took any line not incident with  $u$  and found it was distance 3 from  $u$ . So  $G_5(u) = \emptyset$  and the point vertices of  $G$  are distance-regularised with array:

$$\begin{bmatrix} * & 1 & 1 & q-2 & q-1 \\ q-1 & q-1 & q-2 & 2 & * \end{bmatrix}$$

Finally consider a line  $v$  of  $P'$  and a point  $u$  not incident with it. The only line through  $u$  which does not intersect  $v$  in  $P'$  is the line through the point  $v \cap xy$ . Again we choose any point  $u$  not incident with  $v$ , so  $G_5(v) = \emptyset$  and the line vertices of  $G$  are distance-regularised with array:

$$\begin{bmatrix} * & 1 & 1 & q-2 & q \\ q & q-2 & q-1 & 1 & * \end{bmatrix}.$$

So  $G$  is a distance-biregular graph. We now investigate its derived graph on the point vertices. A point vertex  $u$  of  $G$  can be labelled by an ordered pair of lines  $(ux, uy)$ , which clearly determine  $u$  as their intersection. Conversely a pair of lines  $(l, m)$  with  $x$  on  $l$  and  $y$  on  $m$ , but neither the line  $xy$ , determine a point vertex of  $G$ . We now use this labelling,

so that the point vertices of  $G$  are

$$\begin{aligned} A &= \{(l, m) | l \in L(x) - \{xy\} \text{ and } m \in L(y) - \{xy\}\}. \\ &\cong X \times X, \text{ with } |X| = q. \end{aligned}$$

The distinct vertices  $(l, m)$  and  $(l', m')$  are adjacent in the derived graph of  $G$  iff they are collinear in  $P'$ . This will be true iff the line through them in  $P$  was not deleted, i.e. did not go through  $x$  or  $y$ . But the line  $(l, m)(l', m')$  of  $P$  is incident with  $x$  iff it is  $l = l'$ , while it is incident with  $y$  iff it is  $m = m'$ . We conclude that  $(l, m)$  is adjacent to  $(l', m')$  in the derived graph iff  $l \neq l'$  and  $m \neq m'$ , and so the derived graph is  $H(2, q)^c$ .

#### 4. PRIMITIVE DISTANCE-BITRANSITIVE GRAPHS

We begin this section by introducing the group theoretical definitions we will need.

The *socle* of a group  $\Gamma$  is the product of its minimal normal subgroups. Note that the socle is a characteristic subgroup and so certainly normal. It is a standard group theoretical result that the socle is the direct product of mutually isomorphic simple groups. A group  $\Gamma$  is *almost simple* if there is a finite non abelian simple group  $T$ , such that  $T \leq \Gamma \leq \text{aut}(T)$ . A permutation group  $(\Gamma, X)$  is *affine* if it is primitive and the socle  $N$  of  $\Gamma$  is elementary abelian.

The next well known result tells us more about affine permutation groups. We include a proof for completeness.

**PROPOSITION 4.1.** *If  $(\Gamma, X)$  is an affine permutation group with socle  $N \cong Z_p$ , then  $N$  acts regularly on  $X$  and  $\Gamma \leq \text{AGL}(m, p)$ .*

**PROOF.** First note that  $N$  acts transitively on  $X$  as the orbits of  $N$  would otherwise be non-trivial blocks of imprimitivity:

Let  $g$  in  $\Gamma$  and  $O$  an orbit of  $N$ . Then  $Og$  is an orbit of  $N$ , as for  $n \in N, x \in O$ ,

$$xgn = xn'g \in Og,$$

as  $n' = gng^{-1} \in N$ , and  $xng = xgn''$ , where  $n'' = g^{-1}ng \in N$ .

Now suppose  $n$  in  $N$  fixes  $x$  in  $X$ . Let  $x'$  be any element of  $X$  and  $n'$  in  $N$  such that  $xn' = x'$ . Then

$$\begin{aligned} x'n &= x'n'^{-1}nn' \\ &= xnn' = xn' = x', \end{aligned}$$

so  $n$  is the identity and  $N$  acts regularly.

Finally an element  $g$  in  $\Gamma$  acts on  $N \cong Z_p$  by conjugation. As  $g^{-1}nn'g = g^{-1}ngg^{-1}n'g$ , this gives us a map  $\alpha: \Gamma \rightarrow \text{GL}(m, p)$ . The kernel of  $\alpha$  is  $C_\Gamma(N)$ . Let  $g$  in  $C_\Gamma(N)$ , so that  $g$  fixes an element  $x$ . Then as above for any  $x'$  in  $X$ , choose  $n'$  in  $N$  so that  $xn' = x'$  and we have  $x'g = xng = xgn = xn = x'$ . So  $g$  is the identity,  $C_\Gamma(N)$  acts regularly and as  $C_\Gamma(N) \geq N$ ,  $C_\Gamma(N) = N$ . In conclusion we can write  $\Gamma = \Gamma_x \ltimes N$  for some fixed  $x$  in  $X$  and  $\alpha$  embeds  $\Gamma_x$  into  $\text{GL}(m, p)$ . Hence  $G \leq \text{AGL}(m, p)$ .

We now state Praeger, Saxl and Yokoyama's result mentioned in the introduction.

**THEOREM 4.2.** [4]. *Let  $G$  be a finite primitive distance-transitive graph of diameter  $d$  with  $\Gamma$  a group acting distance-transitively on  $G$ . Then one of the following holds:*

- (a)  $G$  is the Hamming graph or  $d = 2$  and  $G$  is the complement of the Hamming graph,
- (b)  $\Gamma$  is almost simple,
- (c)  $(\Gamma, VG)$  is affine.

We now present the proof of our main result.

PROOF OF THEOREM 1.1. By Lemma 1.7  $\Gamma$  acts distance-transitively (and faithfully) on each of the derived graphs  $D$  and  $E$  of  $G$ . As  $G$  is primitive, we can apply Theorem 4.2 to each of graphs  $D$  and  $E$ . We consider the three possible cases for graph  $D$ :

(a)  $D \cong H(d, q)$  or  $D \cong H(2, q)^e$ . By Propositions 3.2 and 3.3 this cannot occur if  $G$  is primitive.

(b)  $\Gamma$  is almost simple.

(c)  $(\Gamma, VD)$  is affine. In this case the socle  $N$  of  $\Gamma$  acts regularly on  $VD$  and so  $|VD| = |N|$ . But consider the action of  $\Gamma$  on  $E$ . As  $\Gamma$  is not almost simple and we can exclude the case when  $E$  is of Hamming type,  $(\Gamma, VE)$  is also affine and so  $|VE| = |N|$ . But then  $|VD| = |VE|$  and so  $G$  is regular contradicting  $(\Gamma, G)$  being a distance-bitransitive pair.

#### ACKNOWLEDGEMENT

Example 1.3. is due to N. L. Biggs.

#### REFERENCES

1. A. Gardiner, Antipodal covering graphs, *J. Combin. Theory, Ser. B* **16**, (1974), 255–273.
2. C. Godsil and J. Shawe-Taylor, Distance-regularised graphs are distance-regular or distance-biregular, to appear in *J. Combin. Theory, Ser. B*.
3. B. Mohar and J. Shawe-Taylor, Distance-biregular graphs with 2-valent vertices and distance-regular line graphs, *J. Combin. Theory, Ser. B*, (3) **38** (1985), 193–203.
4. C. E. Praeger, J. Saxl and K. Yokoyama, Distance-transitive graphs and finite simple groups, to appear in *Proc. London Math. Soc.* **55** (1987).
5. D. H. Smith, Primitive and imprimitive graphs, *Quart. J. Math. Oxford.* (2) **22** (1971), 551–557.

Received 25 March 1985 and in revised form 18 February 1986

JOHN SHAWE-TAYLOR  
Department of Computer Science,  
Royal Holloway and Bedford New College,  
Egham Hill, Egham, Surrey TW20 OEX, U.K.