On The Error Vector Magnitude As A Performance Metric And Comparative Analysis

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Abstract: In this paper, we present the error vector magnitude (EVM) as a figure of merit for assessing the quality of digitally modulated telecommunication signals. We define EVM for a common industry standard and derive the relationships among EVM, signal to noise ratio (SNR) and bit error rate (BER). We also compare among the different performance metrics and show that EVM can be equivalently useful as signal to noise ratio and bit error rate. A few simulation results are presented to illustrate the performance of EVM based on these relationships.

Keywords: Error Vector Magnitude, Bit Error Rate, Signal to Noise Ratio.

1. INTRODUCTION

Error Vector Magnitude (EVM) is a performance metric for assessing the quality of communication. EVM expresses the difference between the expected complex voltage of a demodulated symbol and the value of the actual received symbol. Compared to the bit error rate (BER), which gives a simple one-to-one binary decision as to whether a bit is erroneous or not, EVM is more of a measure of errors between the measured symbols and expected symbols. Due to the simplicity of comparison, BER has been a major choice to engineers, industries and researchers [6, 5, and 7]. Often BER is synonymous as the other performance metrics, such as signal to noise ratio (SNR), since direct relationship exists between them. However, for BER measurement, it is incumbent that signal must be demodulated first at the receiver side. For many of today's adaptive systems, viz. minimum bit error rate (MBER) based adaptive modulation systems etc., this means that for every update in the adaptive algorithm, it has to receive feedback from the receiver end [8]. In this paper, we show that for such systems, it is not always necessary to gather feedback from received end; rather EVM can give the desired performance metric before the demodulation can actually takes place. This can be done without

any major change of algorithm in adaptive systems, since BER is a direct consequence of EVM. The novelty in this paper lies in that the BER can be shown as a direct function of the EVM and as such in order to find equivalent performance metric, EVM can be often more useful. With the insurgence of high speed communication requirements, highly efficient multiplexing systems like orthogonal frequency division multiplexing (OFDM) as specified in [6] is becoming the hinge of future communication systems. OFDM is now being used in different carrier standards in Wireless Local Area Networks (WLANs) and also being considered as a potential fourth generation communication system. The IEEE802.11a-1999 specification describes a set of different schemes that are used in adaptive fashion: binary phase shift keying (BPSK), 4 quadrature amplitude modulation (4-QAM), 16 quadrature amplitude modulation (16-QAM), and 64 quadrature amplitude modulation (64-QAM) etc. The fact that pilots and training bits are always BPSK modulated, it is also possible to have more than one modulation scheme within a burst [7]. This requires that these modulation schemes are normalized to facilitate the calculation of EVM. In Section 2, BER and EVM will be separately derived from SNR and later EVM definition would be presented in terms of BER. In 3, theoretical and empirical observations would be shown through simulations showing the relation of EVM with BER. Finally, in Section 4, we extend discussions on these results and show future directions for such performance metric.

2. PERFORMANCE METRIC: BER AND EVM

A. Bit Error Rate

Bit Error Rate (BER) is a commonly used performance metric which describes the probability of error in terms of number of

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erroneous bits per bit transmitted. BER is a direct effect of channel noise for Gaussian noise channel models. For fading channels, BER performance of any communication system is worse and can be directly related to that of the Gaussian noise channel performance [4]. Considering M-ary modulation with coherent detection in Gaussian noise channel and perfect recovery of the carrier frequency and phase, it can be shown that [4]

$$P_{b} = \frac{2\left(1 - \frac{1}{L}\right)}{\log_{2} L} Q\left[\sqrt{\left[\frac{3\log_{2} L}{L^{2} - 1}\right]\frac{2E_{b}}{N_{0}}}\right], \quad (2.1)$$

where L is the number of levels in each dimension of the M-ary modulation system, Eb is the energy per bit and N0/2 is the noise power spectral density. Q [.] is the Gaussian co-error function and is given by [3]

$$Q(x) = \int_{x}^{\alpha} \frac{1}{\sqrt{2\pi}} e^{\frac{-y^2}{2}} dy, \qquad (2.2)$$

Assuming raised cosine pulses with sampling at data rate, Equation 2.1 also gives the bit error rate in terms of signal to noise ratio as

$$P_{b} = \frac{2\left(1-\frac{1}{L}\right)}{\log_{2} L} Q\left[\sqrt{\left[\frac{3\log_{2} L}{L^{2}-1}\right]\frac{2E_{s}}{N_{0}\log_{2} M}}\right],$$
(2.3)

where Es/N_0 is the signal to noise ratio for the *M*-ary modulation system and raised cosine pulse shaping at data rate. Equation 2.3 defines the BER performance in terms on SNR and quite often used as main tool for many adaptive systems. For diversity and MBER systems, this equation essentially means that the choice is made in favour of bit error rate.

B. Error vector Magnitude

Error Vector Magnitude (EVM) measurements are often performed on vector signal analyzers (VSAs), real-time analyzers or other instruments that capture a time record and internally perform a Fast Fourier Transform (FFT) to enable frequency domain analysis. Signals are down converted before EVM calculations are made [2]. Since different modulation systems viz. BPSK, 4-QAM, 16-QAM etc. have different amplitude levels, to calculated and compare EVM measurements effectively some normalization is typically carried out [7]. The normalization is derived such that the mean square amplitude of all possible symbols in the constellation of any modulation scheme is one. Thus, EVM is defined as the root-mean-square (RMS) value of the difference between a collection of measured symbols and ideal symbols. These differences are averaged over a given, typically large number of symbols and are often shown as a percent of the average power per symbols of the As EVM constellation. such can be mathematically given as [1]

$$EVM_{RMS} = \frac{\frac{1}{N} \sum_{n=1}^{N} |S_n - S_{0,n}|^2}{\frac{1}{N} \sum_{n=1}^{N} |S_{0,n}|^2}, \quad (2.4)$$

where S_n is the normalized nth symbol in the stream of measured symbols, S0,n is the ideal normalized constellation point of the nth symbol and N is the number of unique symbols in the constellation. The expression in Equation 2.4 cannot be replaced by their unnormalized value since the normalization constant for the measured constellation and the ideal constellation are not the same. The normalization scaling factor for ideal symbols is given by [7]

$$|A| = \sqrt{\frac{1}{\frac{P_{\nu}}{T}}} = \sqrt{\frac{T}{P_{\nu}}}, \qquad (2.5)$$

where P_{ν} is the total power of the measured constellation of T symbols. For RMS voltage levels of inphase and quadrature components, V_I and V_Q and for T >> N, it can be shown that P_{ν} can be expressed as

$$P_{\nu} = \sum_{t=1}^{T} \left[\left(V_{I,t} \right)^2 + \left(V_{Q,t} \right)^2 \right] W \right], \qquad (2.6)$$

The normalization factor for ideal case can be directly measured from N unique ideal constellation points and is given by

$$|A_{0}| = \sqrt{\frac{N}{\sum_{n=1}^{N} \left[\left(V_{I0,n} \right)^{2} + \left(V_{\underline{Q}0,n} \right)^{2} \right]}}, \qquad (2.7)$$

Hence Equation 2.4 can be further extended using normalization factors in Equations 2.5 and 2.7 as

$$EVM_{RMS} = \left[\frac{\frac{1}{T}\sum_{t=1}^{T} |I_t - I_{0,t}|^2 + |Q_t - Q_{0,t}|^2}{\frac{1}{T}\sum_{t=1}^{T} |I_{0,t}|^2 + |Q_{0,t}|^2}\right], \quad (2.8)$$

where $I_t = (V_{It})|A|$ is the normalized in-phase voltage for measured symbols and $I_{0,t} = (V_{I0,t})|A_0|$ is the normalized in-phase voltage for ideal symbols in the constellation, $Q_t = (V_{Qt}) |A|$ is the normalized quadrature voltage for measured symbols and $Q_{0,t} = (V_{Q0,t}) |A_0|$ is the normalized quadrature voltage for ideal symbols in the constellation. This is the definition which is now being used as the standard definition of the EVM in IEEE 802.11a – 1999TM [6, 5].

C. Relationship between EVM and BER

From Equation 2.8, it is evident that EVM is essentially the normalized error magnitude between the easured constellation and the ideal constellation. For Gaussian noise model, Equation 2.8 can be simplified in terms of noise in-phase component, $n_{I,t}$ and quadrature component, $n_{O,t}$ as

$$EVM_{RMS} = \left[\frac{\frac{1}{T}\sum_{t=1}^{T}|n_{I,t}|^{2} + |n_{Q,t}|^{2}}{P_{0}}\right], (2.9)$$

where P_0 is the power of the normalized ideal constellation or the transmitted constellation. The numerator of Equation 2.9 sets up the normalized noise power. However, for T >> N, the ratio of normalized noise power to the normalized power of ideal constellation can be replaced by their unnormalized quantities, i.e. the Equation 2.9 rewritten as

$$EVM_{RMS} \approx \left[\frac{1}{SNR}\right]^{\frac{1}{2}} = \left[\frac{N_0}{E_s}\right]^{\frac{1}{2}},$$
 (2.10)

In order to establish relationship between BER and EVM, SNR in Equation 2.10 can be expressed in terms of EVM as

$$SNR \approx \frac{1}{EVM^2},$$
 (2.11)

Combining Equations 2.11 and 2.3, we can now relate the bit error rate directly with the error vector magnitude as follows

$$P_{b} \approx \frac{2\left(1 - \frac{1}{L}\right)}{\log_{2} L} Q \left[\sqrt{\left[\frac{3\log_{2} L}{L^{2} - 1}\right] \frac{2}{EVM_{RMS}^{2}\log_{2} M}} \right]$$
(2.12)

3. SIMULATIONS

In this section, few simulations have been carried out to illustrate the relation established in Equation 2.12. For simulation purposes, the bits are gray coded and then M-ary modulated. Since, throughout this paper, we have assumed Gaussian noise model, no fading has been considered in the simulations. All simulations are carried out in Monte Carlo method with 10⁶ packets each of the size of 1024 bits each. This stems from the fact that since 1024 >> M for any *M*-ary modulation scheme, the normalization factors will be equal as shown in Equation 2.2. The simulation results for BPSK, 4-QAM, 16-QAM and 64-QAM are presented in Fig. 1, 2 and 3. The Fig. 1 shows the BER versus SNR performance of different modulation systems. It is interesting to note that due to the relationship set up between BER and EVMin Equation 2.12, the BER versus EVM curve shown in Fig. 2 show the inverse relationship that exists between BER and EVM. Finally, in order to also establish the fact that the normalized EVM is same for all modulation schemes, we have also carried out another simulation as shown in Fig. 3. This also stems from the basic requirement of the normalization, which needs normalization to unify the power levels for all different *M*-ary modulations. In Fig. 2, we note that there is a constant 2.89dB difference between BPSK and 4-QAM, whereas there is a 6.85dB and 6.5dB difference between 4-OAM and 16-OAM, and 16-QAM and 64-QAM. These days EVM calculations can be effectively carried out in VSAs and are quite fast, as well and it can thus save the DSP operation greatly as compared to that of the slicing and demodulation needed to perform a BER calculation for every packet [2].



Fig. 1: Stylized BER versus SNR Performance Curves



Fig. 2: Stylized BER versus EVM Performance Curves

4. CONCLUSIONS

The relationship between the bit error rate and the *rms* value of the error vector magnitude found in Sec. I and the results from simulations in Sec. III illustrate the fact that RMS value of error vector magnitude is also a direct function of the bit error rate. Since error vector magnitude can be directly measured from the down converted signals using vector signal analyzers, it can save the extra calculations that may be required to find out the bit error rates. In many adaptive systems, this can also simplify the cost function calculation greatly. The authors are considering different fading environments and forward error correction coding systems, for



Fig. 3: Stylized EVM versus BER Performance Curves

which a generalized function between error vector magnitude and bit error rate could be found out as an extension of present work.

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