

# Structural Operational Semantics for Stochastic Systems

V. Sassone

(joint work with B. Klin, Cambridge)

# What we do...

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We deal in models....

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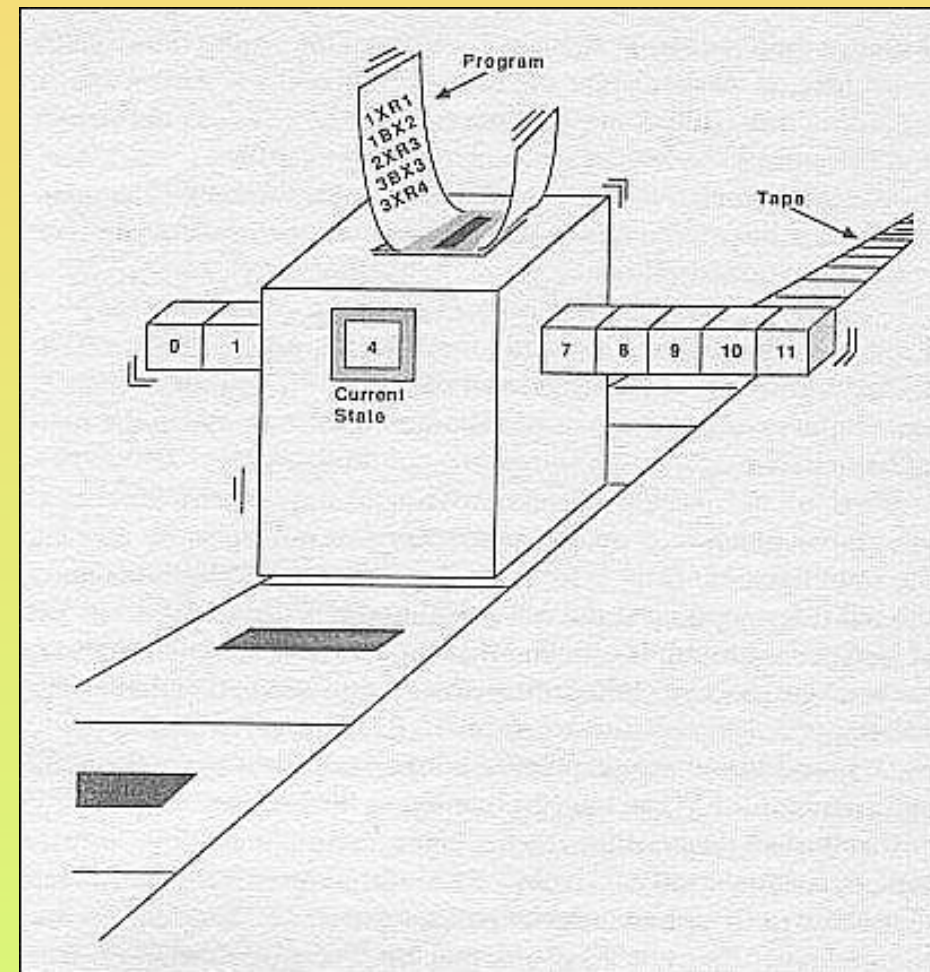
Hmm, not this kind...

# What we do...

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Hmm, not this kind...



Yeah, more like this kind...



# Why we do it...

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We want to engineer systems fit for purpose

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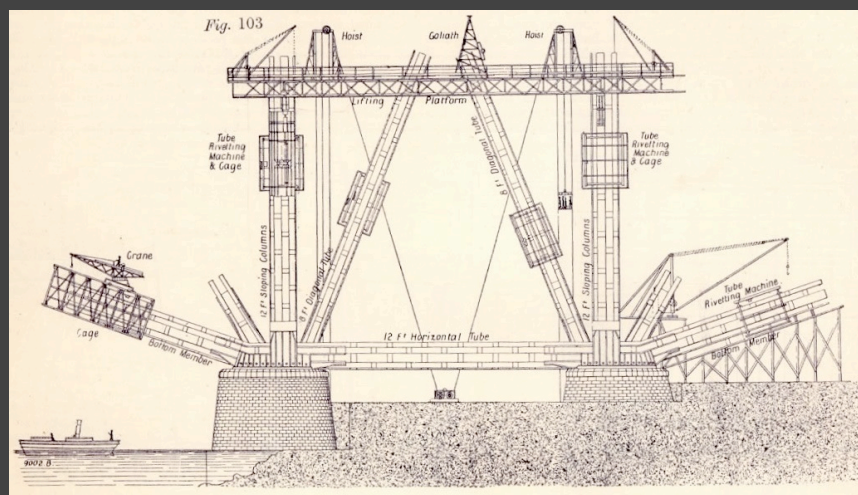
## Engineering



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uptime: 45K+ days & running



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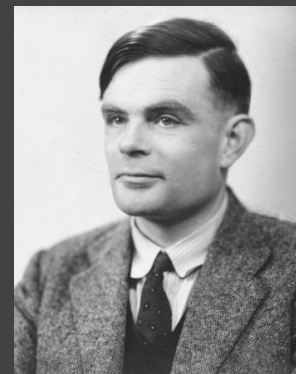
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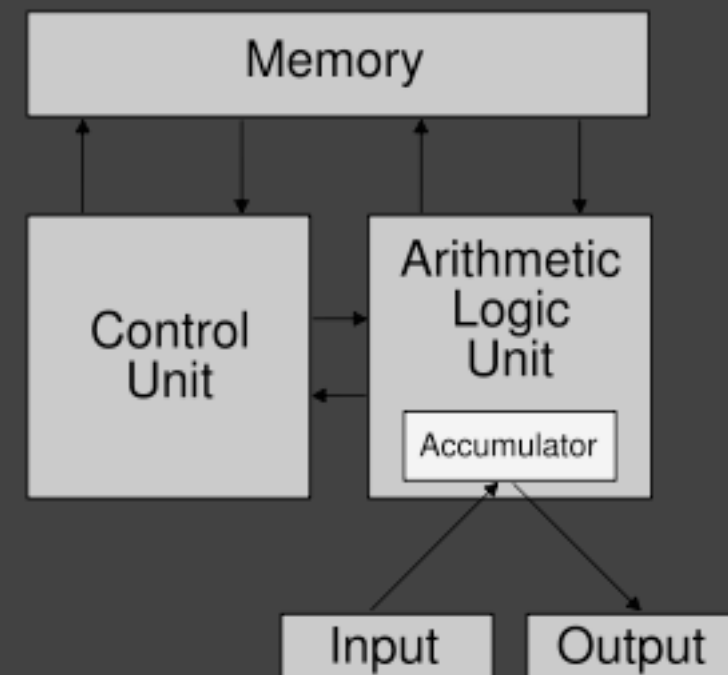
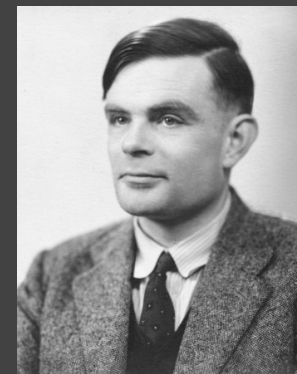
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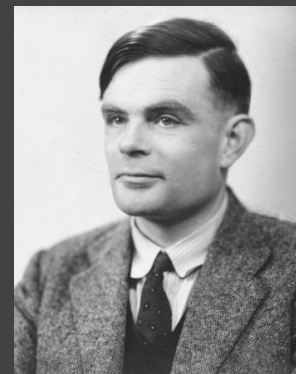
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### Windows

A fatal exception 0E has occurred at 0137:BFFA21C9. The current application will be terminated.

- \* Press any key to terminate the current application.
- \* Press CTRL+ALT+DEL again to restart your computer. You will lose any unsaved information in all applications.

Press any key to continue \_

# How we do it...

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Don't just build model of specific systems:

we want laws, principles and methodologies;  
in fact, engineering techniques and tools.

specify, design, program, transform, validate

we call these collectively Theory, or less  
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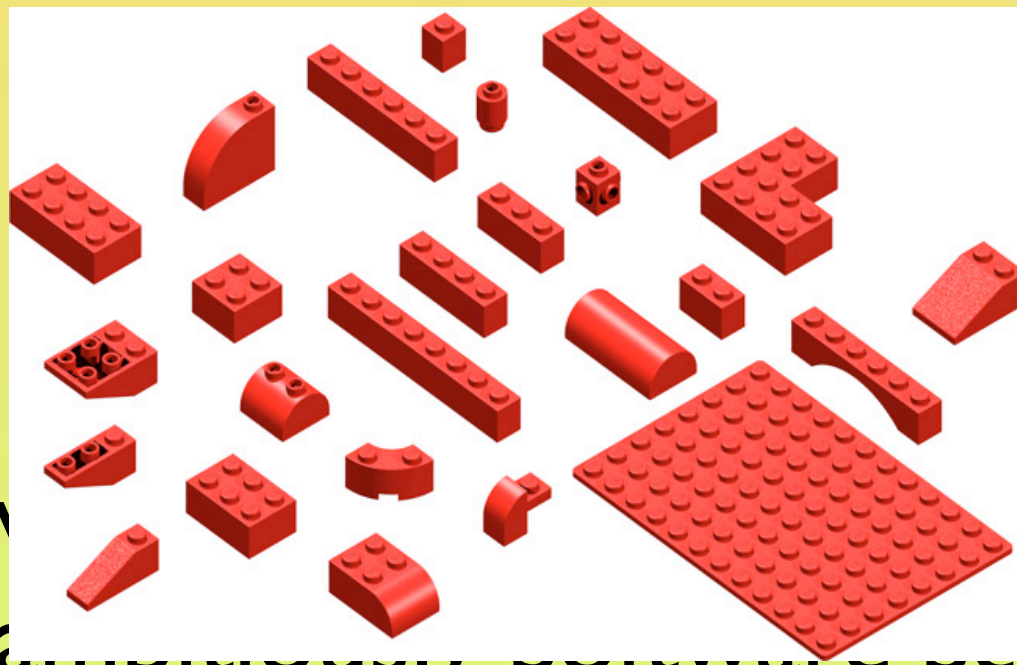
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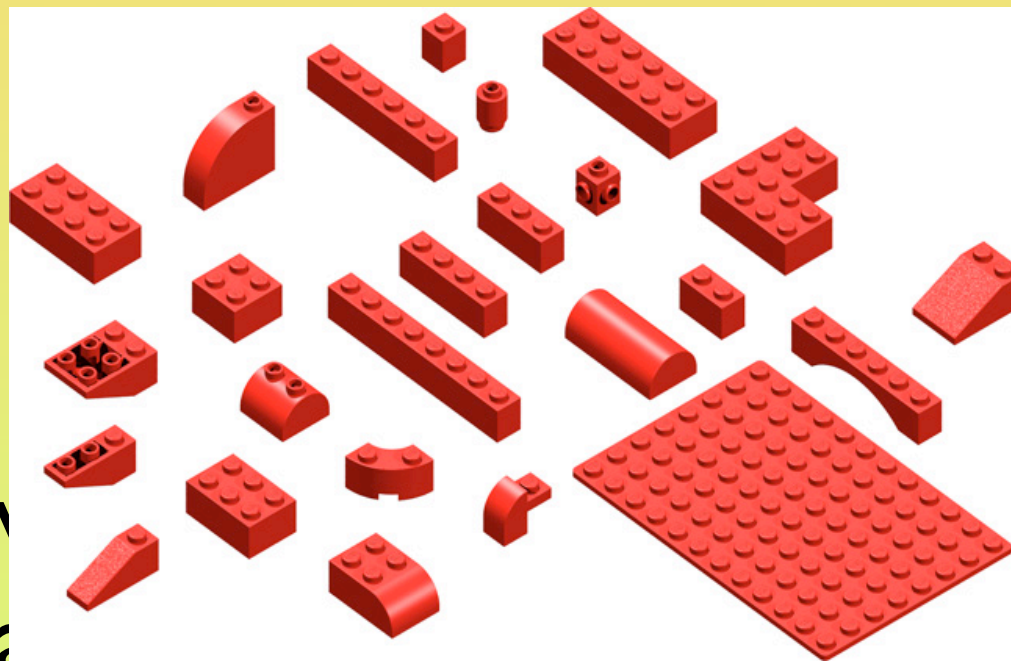
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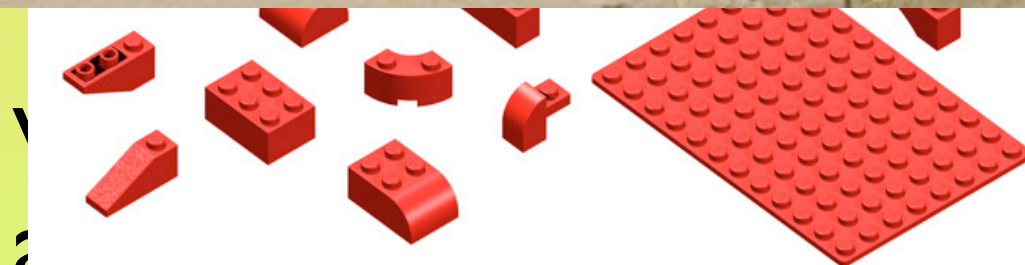
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methodologies;



overarching notion: compositionality



# Fixation with SOS rules & congruences

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**SOS** = Structural Operational Semantics

Syntax-driven framework to specify the systems' behaviours in a “principled” way

For  $\approx$  a notion of systems' equivalence,  $\approx$  is a congruence if  $\approx$ -equivalent systems can be replaced for each other indistinguishably.

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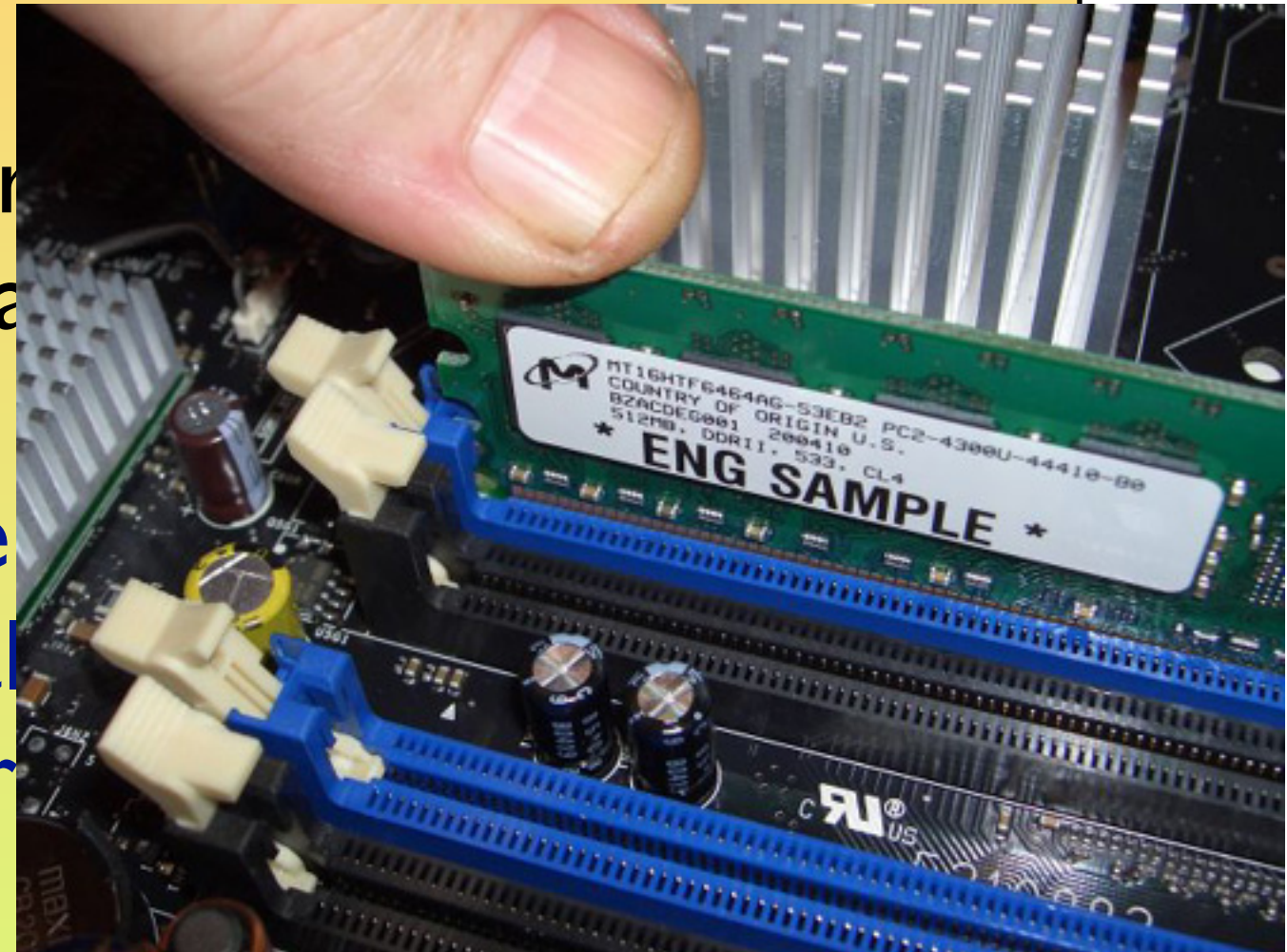
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a fundamental engineering &  
compositionality principle

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Operational Semantics

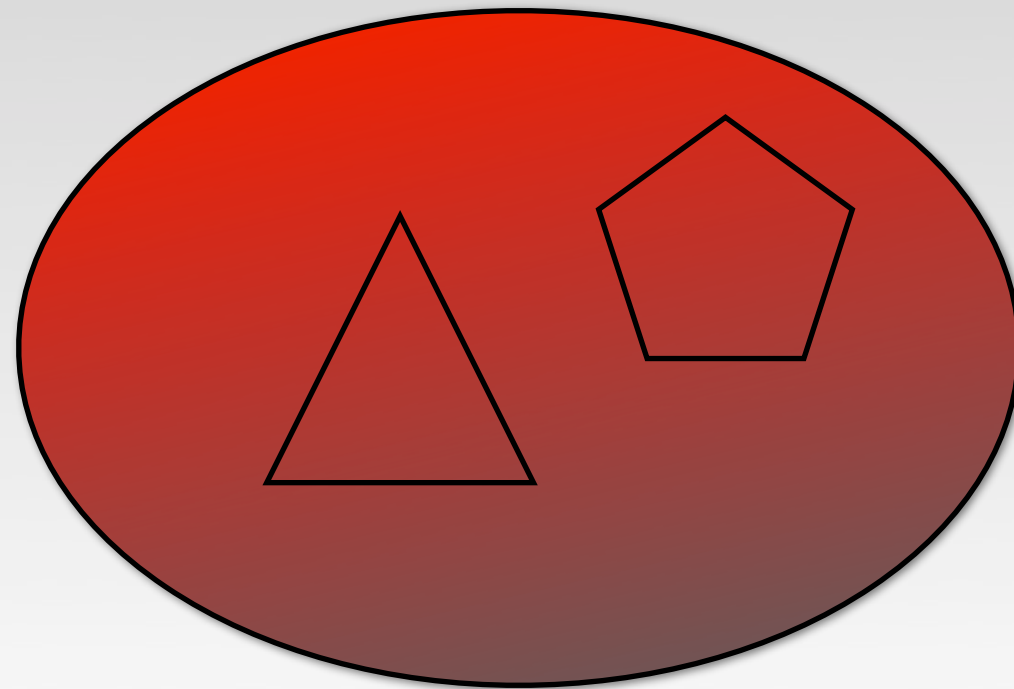


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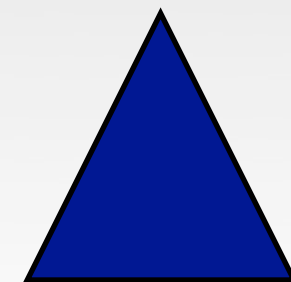
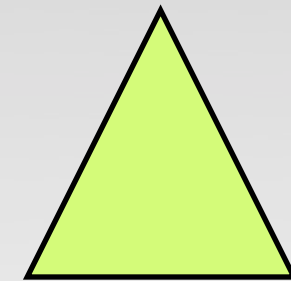
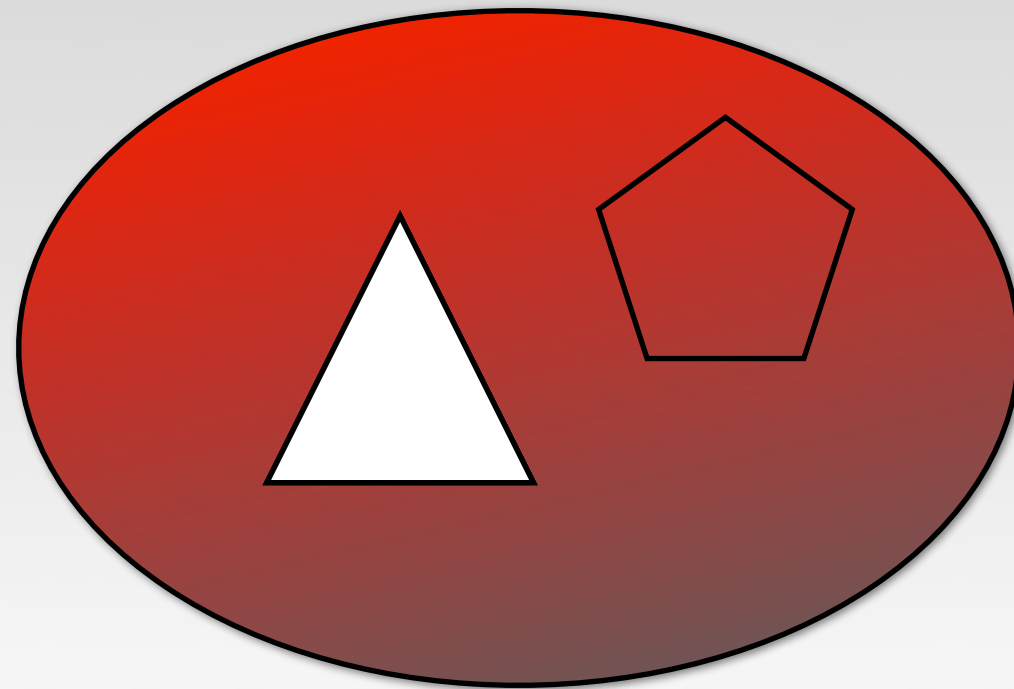


co  
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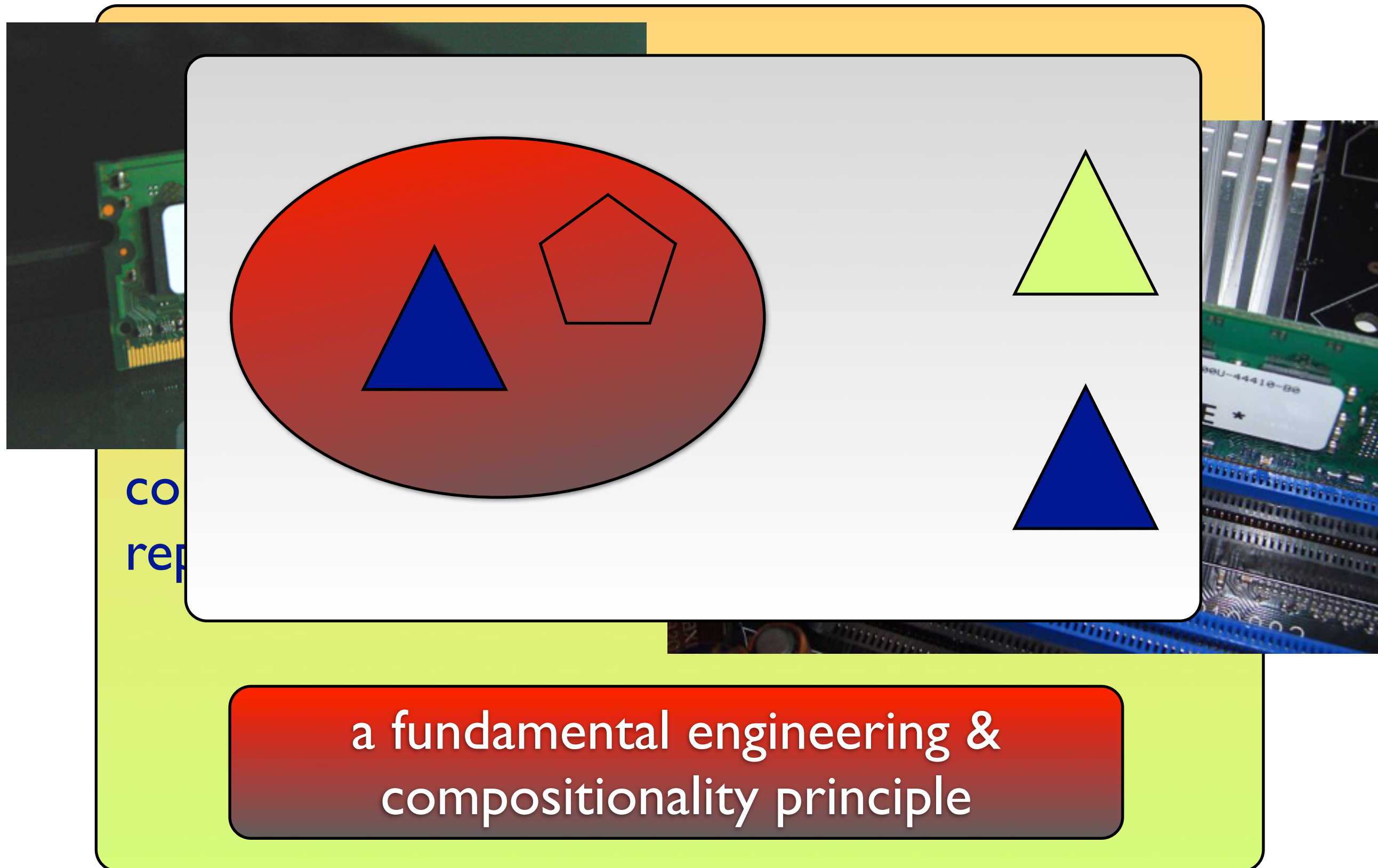


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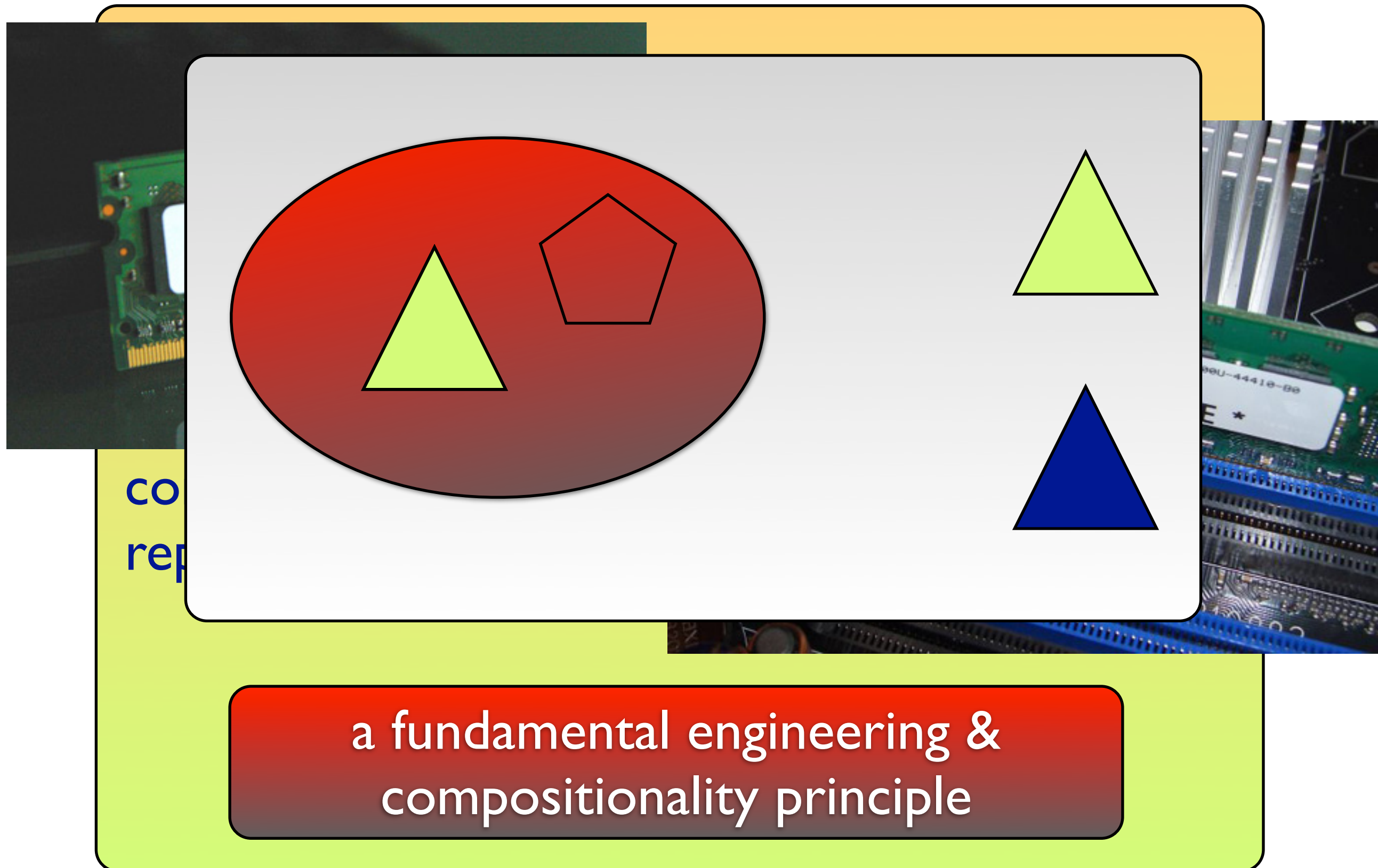


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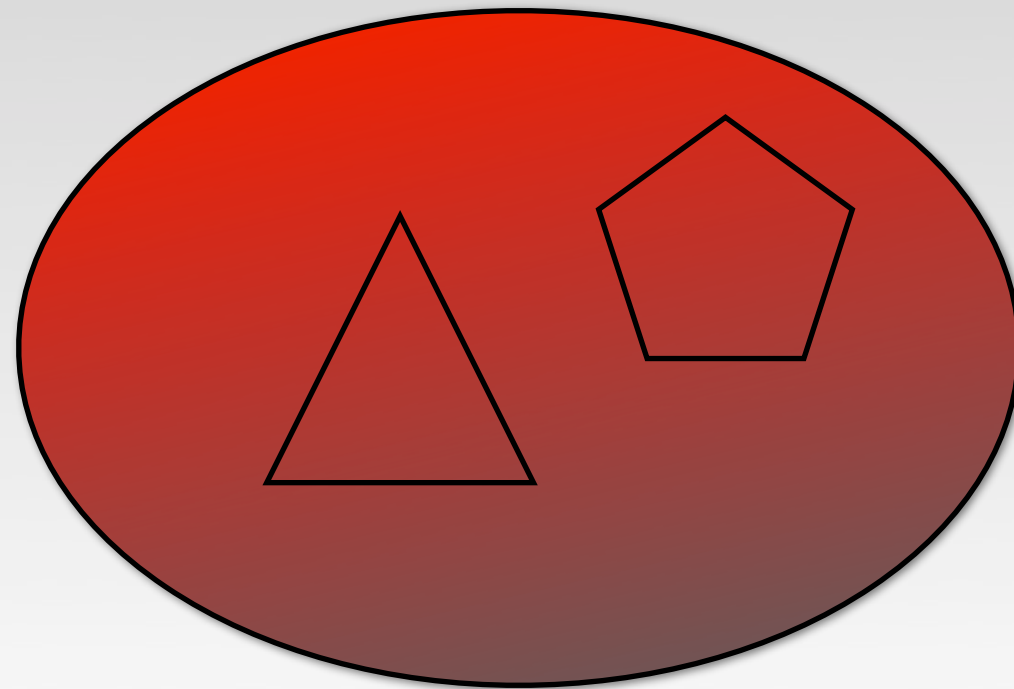


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# Executive summary

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A syntactic format for SOS  
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## I. Rated transition systems (RTSs)

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1. Rated transition systems (RTSs)
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1. Rated transition systems (RTSs)
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3. **SGSOS**: a new approach

# Abstract

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Two worlds of SOS:



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## Two worlds of SOS:

### Nondeterministic systems

$$\frac{x_1 \xrightarrow{a} y_1 \quad x_2 \xrightarrow{\bar{a}} y_2}{x_1 \parallel x_2 \xrightarrow{\tau} y_1 \parallel y_2}$$

### Stochastic systems

$$\frac{x_1 \xrightarrow{a, r_1} y_1 \quad x_2 \xrightarrow{a, r_2} y_2}{x_1 \boxtimes_L x_2 \xrightarrow{a, R} y_1 \boxtimes_L y_2}$$

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Rich SOS theory

- GSOS: a rule format
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**Fact:** Bisimilarity is a congruence.

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A **GSOS rule** is of the form:

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s.t. all  $x_i, y_j$  distinct and  $t$  has no other variables.

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A **GSOS spec**: a set of rules where for each  $f$  and  $c$ , finitely many rules are triggered by each  $A_1, \dots, A_n$ .

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This yields a CTMC for each label



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In an i.f.RTS, **apparent rates** exist:  $r_a(x) = \rho(x \xrightarrow{a} X)$



# How to induce RTSs?

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LTS labeled by pairs  $(a, r)$ .

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2) Multitransition semantics (PEPA)

3) Proved semantics (Stochastic Pi)

# Serious approaches

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## Proved semantics:

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## Proved semantics:

A two-step procedure: first a rich LTS,  
then drop information to get a RTS.

$$\frac{}{(a, r).x \xrightarrow{a, r} x} \quad \frac{x_1 \xrightarrow{a, r} y}{x_1 + x_2 \xrightarrow{a, r} y} \quad \frac{x_2 \xrightarrow{a, r} y}{x_1 + x_2 \xrightarrow{a, r} y}$$

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$(a, 2).P$   
**vs.**  
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# Compositionality issues

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When is stoch. bisim. a congruence on the RTS?

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Multitransition semantics:

$$\frac{x \xrightarrow{a, r} y}{f(x) \xrightarrow{a, \max(r, 5)} y}$$

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**vs.**  
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$$\frac{x \xrightarrow{+_1 \theta} y}{f(x) \xrightarrow{f +_1 \theta} v}$$

$(a, 2).P$   
**vs.**  
 $(a, 2).P + \text{nil}$

Mu

Problem: too much  
information in the labels

$$\frac{x \xrightarrow{a, r} y}{f(x) \xrightarrow{a, \max(r, 5)} y}$$

$(a, 3).P + (a, 4).P$   
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# The abstract approach

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Transition systems are **coalgebras**

**Distributive laws** are formats for SOS

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LTS	
Prob.TS	
RTS	

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Transition systems are **coalgebras**

**Distributive laws** are formats for SOS

LTS	$\frac{\{x_{i_j} \xrightarrow{a_j} y_j\}_{1 \leq j \leq m} \quad \{x_{i_k} \xrightarrow{b_k} / \triangleright\}_{1 \leq k \leq l}}{f(x_1, \dots, x_n) \xrightarrow{c} t}$	[TP97]
Prob.TS		
RTS		



# The abstract approach

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Transition systems are **coalgebras**

**Distributive laws** are formats for SOS

LTS	$\frac{\left\{ \mathbf{x}_{i_j} \xrightarrow{a_j} y_j \right\}_{1 \leq j \leq m} \quad \left\{ \mathbf{x}_{i_k} \not\xrightarrow{b_k} \right\}_{1 \leq k \leq l}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c} \mathbf{t}}$	[TP97]
Prob.TS	$\frac{\left\{ \mathbf{x}_i \xrightarrow{a} \right\}_{a \in R_i, 1 \leq i \leq n} \quad \left\{ \mathbf{x}_i \not\xrightarrow{a} \right\}_{a \in P_i, 1 \leq i \leq n} \quad \left\{ \mathbf{x}_{i_j} \xrightarrow{b_j[u_j]} y_j \right\}_{1 \leq j \leq m}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c[w \cdot u_1 \cdot \dots \cdot u_m]} \mathbf{t}}$	[Bar04]
RTS		

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LTS	$\frac{\left\{ \mathbf{x}_{i_j} \xrightarrow{a_j} y_j \right\}_{1 \leq j \leq m} \quad \left\{ \mathbf{x}_{i_k} \not\xrightarrow{b_k} \right\}_{1 \leq k \leq l}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c} \mathbf{t}}$	[TP97]
Prob.TS	$\frac{\left\{ \mathbf{x}_i \xrightarrow{a} \right\}_{a \in R_i, 1 \leq i \leq n} \quad \left\{ \mathbf{x}_i \not\xrightarrow{a} \right\}_{a \in P_i, 1 \leq i \leq n} \quad \left\{ \mathbf{x}_{i_j} \xrightarrow{b_j[u_j]} y_j \right\}_{1 \leq j \leq m}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c[w \cdot u_1 \dots u_m]} \mathbf{t}}$	[Bar04]
RTS	$\frac{\left\{ \mathbf{x}_i \xrightarrow{a @ r_{ai}} \right\}_{a \in D_i, 1 \leq i \leq n} \quad \left\{ \mathbf{x}_{i_j} \xrightarrow{b_j} y_j \right\}_{1 \leq j \leq k}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c @ R} \mathbf{t}}$	[this08]

# SGSOS rules

---

$$\frac{\left\{ r_a(x_i) = r_{a,i} \right\}_{a_i \in D_i, 1 \leq i \leq n} \quad \left\{ x_{i_j} \xrightarrow{b_j} y_j \right\}_{b_j \in D_{i_j}, 1 \leq j \leq k}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c@W} \mathbf{t}}$$

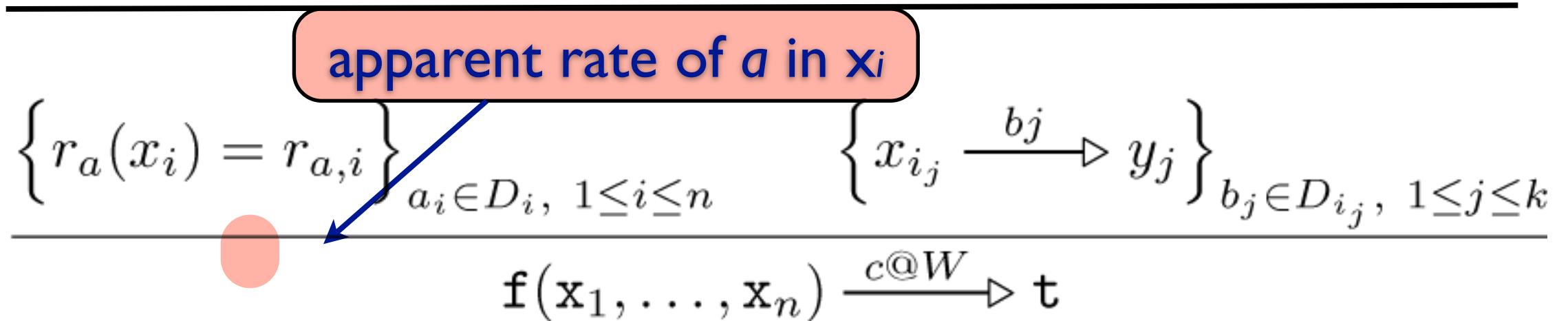
- $D_i \subseteq A$  and  $W, r_{a,i} \in \mathbb{R}^+$
- all  $\mathbf{x}_i, y_{a,i}$  distinct and  $\mathbf{t}$  has no other variables
- all  $y_{a,i}$  appear in  $\mathbf{t}$  exactly if

# SGSOS rules

$$\begin{array}{c}
 \text{apparent rate of } a \text{ in } x_i \\
 \left\{ r_a(x_i) = r_{a,i} \right\}_{a_i \in D_i, 1 \leq i \leq n} \quad \left\{ x_{i_j} \xrightarrow{b_j} y_j \right\}_{b_j \in D_{i_j}, 1 \leq j \leq k} \\
 \hline
 f(x_1, \dots, x_n) \xrightarrow{c@W} t
 \end{array}$$

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**SGSOS spec:** set of rules subject to a size condition.

# How SGSOS rules induce RTSs

---

$$P = \mathbf{f}(P_1, \dots, P_n)$$

$$\frac{\left\{ \mathbf{x}_i \xrightarrow{a@r_{a,i}} \mathbf{y}_{a,i} \right\}_{a \in D_i, 1 \leq i \leq n}}{\mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_n) \xrightarrow{c@W} \mathbf{t}}$$



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I. Choose a rule instance so that  $r_{a,i} = r_a(P_i)$

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1. Choose a rule instance so that  $r_{a,i} = r_a(P_i)$
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1. Choose a rule instance so that  $r_{a,i} = r_a(P_i)$
2. Pick any processes  $Q_{a,i}$

$$3. \text{ Let } p_{a,i} = \frac{\rho(P_i \xrightarrow{a} Q_{a,i})}{r_a(P_i)}$$

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Conditional probability  
of  $P_i \xrightarrow{a} Q_{a,i}$ .

# How SGSOS rules induce RTSs

---

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3. Let  $p_{a,i} = \frac{\rho(P_i \xrightarrow{a} Q_{a,i})}{r_a(P_i)}$

4. Add  $W \cdot \prod_{a,i} p_{a,i}$  to  $\rho(P \xrightarrow{c} \mathbf{t} [P_i / \mathbf{x}_i, Q_{a,i} / y_{a,i}])$



# Theorem

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Stochastic bisimilarity on the RTS induced by an SGSOS specification is a congruence.

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Proof: SGSOS is to RTSs what GSOS is to LTSs.

# Examples

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Atomic actions

$P ::= \text{nil} \mid (a, r).P$

$$\frac{}{(a, r).x \xrightarrow{a@r} x}$$

# Examples

---

## Atomic actions

$$P ::= \text{nil} \mid (a, r).P$$

$$\frac{}{(a, r).x \xrightarrow{a@r} x}$$

## Choice

$$P ::= \dots \mid P + P$$

$$\frac{x_1 \xrightarrow{a@r} y}{x_1 + x_2 \xrightarrow{a@r} y}$$

$$\frac{x_2 \xrightarrow{a@r} y}{x_1 + x_2 \xrightarrow{a@r} y}$$

# Examples II

---

## Synchronisation

$$P ::= \dots \mid P \underset{L}{\bowtie} P$$

$$\frac{x_1 \xrightarrow{a@r} y}{x_1 \underset{L}{\bowtie} x_2 \xrightarrow{a@r} y \underset{L}{\bowtie} x_2}$$

$$\frac{x_2 \xrightarrow{a@r} y}{x_1 \underset{L}{\bowtie} x_2 \xrightarrow{a@r} x_1 \underset{L}{\bowtie} y}$$

$$\frac{x_1 \xrightarrow{b@r_1} y_1 \quad x_2 \xrightarrow{b@r_2} y_2}{x_1 \underset{L}{\bowtie} x_2 \xrightarrow{b@R} y_1 \underset{L}{\bowtie} y_2}$$

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minimal rate law:  $R = \min(r_1, r_2)$

mass action law:  $R = r_1 \cdot r_2$

# Examples III

---

## Communication

$$P ::= \dots \mid P \parallel P$$

$$\frac{x_1 \xrightarrow{a@r} y}{x_1 \parallel x_2 \xrightarrow{a@r} y \parallel x_2}$$

$$\frac{x_2 \xrightarrow{a@r} y}{x_1 \parallel x_2 \xrightarrow{a@r} x_1 \parallel y}$$

$$\frac{x_1 \xrightarrow{a@r_1} y_1 \quad x_2 \xrightarrow{\bar{a}@r_2} y_2}{x_1 \parallel x_2 \xrightarrow{\tau@R} y_1 \parallel y_2}$$



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# Examples IV

---

## Catalysts / Inhibitors

$$\frac{x \xrightarrow{a@r} y}{\text{cat}_a(x) \xrightarrow{a@2r} \text{cat}_a(y)}$$

$$\frac{x \xrightarrow{b@r} y}{\text{cat}_a(x) \xrightarrow{b@r} \text{cat}_a(y)}$$

$$\frac{x \xrightarrow{a@r} y}{\text{inh}_a(x) \xrightarrow{a@r/2} \text{inh}_a(y)}$$

$$\frac{x \xrightarrow{b@r} y}{\text{inh}_a(x) \xrightarrow{b@r} \text{inh}_a(y)}$$

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$$\frac{x \xrightarrow{b@r} y}{\text{inh}_a(x) \xrightarrow{b@r} \text{inh}_a(y)}$$

## Other things

$$\frac{x_1 \xrightarrow{a@r_1} y \quad x_2 \xrightarrow{a@r_2} y}{x_1 !! x_2 \xrightarrow{a@r_1} y !! x_2}$$

$$\frac{x_1 \xrightarrow{a@r_2} y \quad x_2 \xrightarrow{a@r_1} y}{x_1 !! x_2 \xrightarrow{a@r_1} x_1 !! y}$$

(for  $r_1 > r_2$ )

# Associativity of parallel composition

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---

CCS-style (e.g. Stoch-Pi)

$$\frac{x_1 \xrightarrow{a@r_1} y_1 \quad x_2 \xrightarrow{\bar{a}@r_2} y_2}{x_1 \parallel x_2 \xrightarrow{\tau@R} y_1 \parallel y_2}$$

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**Thm:**  $\parallel$  associative iff  $R = c \cdot r_1 \cdot r_2$  for  $c$  constant.

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CSP-style (e.g. PEPA)

$$\frac{x_1 \xrightarrow{b@r_1} y_1 \quad x_2 \xrightarrow{b@r_2} y_2}{x_1 \boxtimes_L x_2 \xrightarrow{b@R} y_1 \boxtimes_L y_2}$$

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**Thm:**  $\boxtimes_L$  associative iff  $R$  associative:

$$R(R(r_1, r_2), r_3) = R(r_1, R(r_2, r_3))$$



# Conclusions

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- A rule format for RTS specifications
- Stochastic bisimilarity guaranteed compositional

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- Essential fragments of PEPA, StochPi **covered**
- **Missing**: recursive definitions, name passing in Pi