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graphene**

V. SVETOVYOY, Z. MOKTADIR, M. ELWENSPOEK and H. MIZUTA

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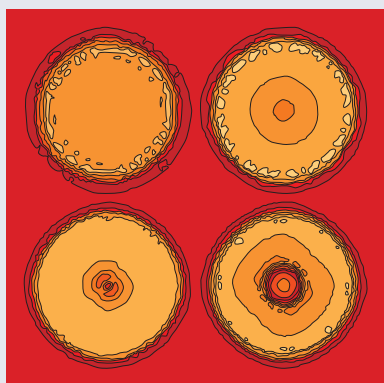
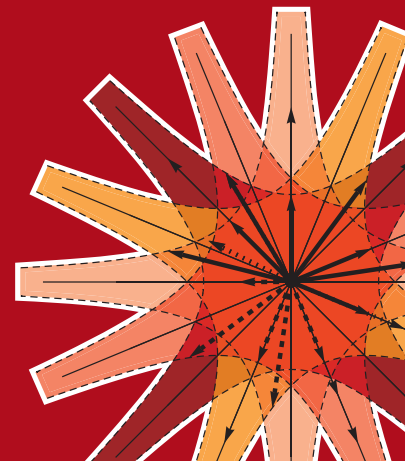


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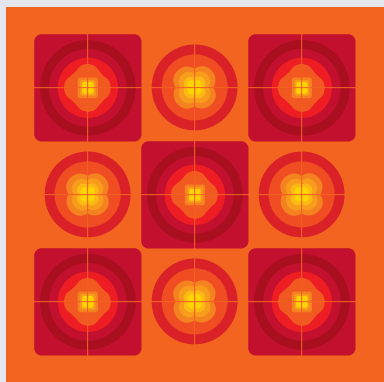
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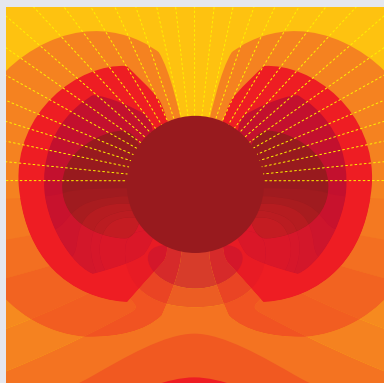
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Image: Ornamental multiplication of space-time figures of temperature transformation rules (adapted from T. S. Bíró and P. Ván 2010 *EPL* **89** 30001; artistic impression by Frédérique Swist).

Tailoring the thermal Casimir force with graphene

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Abstract – The Casimir interaction is omnipresent source of forces at small separations between bodies, which is difficult to change by varying external conditions. Here we show that graphene interacting with a metal can have the best known force contrast to the temperature and the Fermi level variations. In the distance range 50–300 nm the force is measurable and can vary a few times for graphene with a bandgap much larger than the temperature. In this distance range the main part of the force is due to the thermal fluctuations. We discuss also graphene on a dielectric membrane as a technologically robust configuration.



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Introduction. – The Casimir force [1] manifests itself at short distances ($< 1 \mu\text{m}$) as a result of the electromagnetic interaction between neutral bodies without permanent polarizations. For two ideally reflecting parallel plates separated by a distance a , this force is given by: $F_C = (\pi^2/240)(\hbar c/a^4)$. The universal character of the force stimulated active development of the field [2] with applications in physics, biology, and technology.

The Lifshitz theory [3] gives the most detailed description of the force. According to this theory, current fluctuations (quantum and classical) in the bodies are responsible for the force. Fluctuations in a wide range of frequencies give significant contribution to the force. For this reason it is difficult to change the force at will as one has to modify the dielectric response of interacting materials in a wide range of frequencies. Hydrogen-switchable mirrors did not show observable contrast to the Casimir force [4]. It was demonstrated that the force between indium tin oxide (ITO) and a gold surface is 50% smaller than it is between two Au surfaces [5]. For the same material the best result was found for the phase-changing material (Ag-In-Sb-Te) with 20% difference between amorphous and crystalline phases [6]. *In situ* modulation of the force between a gold sphere and a silicon membrane [7] was shown to 1% level when the carrier density was changed optically by 4 orders of magnitude.

The force measured in modern experiments is mainly the result of quantum fluctuations whilst the force due to classical fluctuations (thermal Casimir or Lifshitz force) was measured only recently between an ultracold atomic cloud and a sapphire substrate [8], and between two Au surfaces [9]. The thermal fluctuations dominate the force at large distances $a \gtrsim \hbar c/T$ ($k_B = 1$) where the force itself is extremely weak and approaches the Lifshitz limit [3]. Between two metals this limit is given by

$$F_L = \frac{T\zeta(3)}{8\pi a^3}, \quad a \gg \lambda_T = \frac{\hbar c}{T}, \quad (1)$$

where λ_T is the thermal wavelength and $\zeta(x)$ is the zeta-function.

In this paper we show that significant variation (up to 5 times) of the total Casimir force is possible for graphene with a bandgap $2\Delta \gg T$. The force changes in response to the variation of the Fermi level mainly due to the change of its thermal part. It can be realized at the distance range $a = 50\text{--}300 \text{ nm}$, where the force is well measurable.

Graphene, a single layer material with carbon atoms arranged in a honeycomb lattice, attracted enormous attention [10,11]. Unusual electronic properties of graphene are due to massless relativistic dispersion of electrons at low energies [11,12]. The Casimir/van der Waals interaction of graphene was mainly discussed at zero temperature [13–15] with the conclusion that the force due

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to graphene is weak in comparison with the interaction of bulk bodies.

An important development was made by Gómez-Santos [16] at finite temperature. It was argued that at $T=0$ graphene is a critical system, with no characteristic length scale. At non-zero T this scale is given by the thermal length $\xi_T = \hbar v_F / T$, where $v_F \approx 10^6$ m/s is the Fermi velocity in graphene. It was found that in the long distance limit the force between two graphene sheets is given by the same eq. (1) but this equation is true for much shorter distances $a \gg \xi_T$. At room temperature the scales ξ_T and λ_T are 25 nm and 7.6 μ m, respectively. This property makes the thermal Casimir force operative for separations in the 50–300 nm range, which are readily accessible using an atomic force microscope (AFM) or other force measuring techniques (see [2] for a review).

Graphene is a promising material for the development of high-performance electronic devices [17] but pristine graphene is a semimetal with zero bandgap [11]. The major challenge of graphene electronics is to open an energy bandgap [18]. As we will see later, the bandgap is also important for tailoring the Casimir force by electronic means. Significant progress has been made in this direction. For instance, epitaxially grown graphene on SiC has a gap of $2\Delta \approx 0.26$ eV [19]. Opening a bandgap was also demonstrated by water adsorption [20] and patterned hydrogen adsorption [21]. Graphene nanomesh proved to generate bandgaps with values depending on the mesh density [22–24]. Very recently, an efficient way to fabricate graphene nanomesh was developed [25]. In the present work we will assume the presence of a gap without specifying its origin.

Graphene on a substrate. – We consider here the interaction between two plates 1 and 2 having dielectric functions $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$, respectively. In contrast with [16] graphene is not free standing but covers the plate 1. As we will see it has significant influence on the system. The case of suspended graphene is reproduced by taking $\varepsilon_1(\omega) = 1$. The Lifshitz formula [3] expresses the force between two parallel plates via their reflection coefficients. If graphene sheet has the two-dimensional (2D) dynamical conductivity σ , then the reflection coefficient of the plate with graphene (for p polarization) is given by [26,27]

$$r_1 = \frac{k_0 \varepsilon_1 - k_1 + (4\pi\sigma/\omega) k_0 k_1}{k_0 \varepsilon_1 + k_1 + (4\pi\sigma/\omega) k_0 k_1}. \quad (2)$$

Here the normal components of the wave vectors in vacuum and in the substrate are $k_0 = \sqrt{\omega^2/c^2 - q^2}$ and $k_1 = \sqrt{\varepsilon_1 \omega^2/c^2 - q^2}$, respectively, where \mathbf{q} is the wave vector along the plate. In the $T=0$ limit the graphene conductivity is $\sigma \sim e^2/\hbar$ for frequencies up to near UV [26,28,29]. It means that the reflection coefficient gets only a small correction $\sim \alpha = e^2/\hbar c = 1/137$ due to the presence of graphene on the dielectric substrate. This explains a weak force between two graphene sheets [13,14] (2.6% of the force between ideal metals, $\sim \pi\alpha$).

In this paper we neglect the effects due to α on the force. In this approximation the force between a suspended graphene sheet and any another material tends to zero at $T=0$ (negligible in comparison with the force between bulk materials). If graphene covers a substrate then the force difference $\Delta F = F_g - F_b$ is equally negligible, where F_g and F_b are the force with and without the graphene layer on the substrate, respectively. One can systematically neglect the effects $\sim \alpha$ in ΔF by taking the non-retarded limit $c \rightarrow \infty$. The possibility to use this limit was already indicated for two graphene sheets [16]. Detailed calculation of the force between suspended graphene and Au [30] gave an independent proof of this approximation. Taking the limit $c \rightarrow \infty$ in the Lifshitz formula one finds the graphene contribution:

$$\Delta F(a, T) = \frac{T}{8\pi a^3} \sum_{n=0}^{\infty} \int_{\xi_n}^{\infty} dx x^2 \left[\frac{R}{e^x - R} - \frac{R_0}{e^x - R_0} \right], \quad (3)$$

where the integration variable in the physical terms is $x = 2aq$. Here $R = r_1 r_2$ is the product of the reflection coefficients for the body 1 (covered with graphene) and the body 2, and $R_0 = r_0 r_2$, where r_0 is the reflection coefficient of the body 1 without graphene. The reflection coefficients also have to be calculated in the non-retarded limit. The sum is taken over the imaginary Matsubara frequencies $\omega_n = 2i\pi T n / \hbar$, which enter the dielectric functions in the reflection coefficients. Only p polarization contributes to ΔF since the s polarization vanishes in the non-retarded limit. It has to be stressed that $c \rightarrow \infty$ limit can only be applied to the force difference but not to F_g or F_b separately. We keep the lower integration limit in (3) finite $\xi_n = 2\pi T n (\hbar c / 2a)^{-1}$. Doing so we stay within acceptable uncertainty $\sim \alpha$ in ΔF . This definition is more convenient because convergence of ΔF is defined only by graphene but not high frequency transparency of the bulk bodies.

To proceed further we need to know the dielectric function of graphene. It is related to the dynamical conductivity of the vacuum-graphene-dielectric system by the relation [31]

$$\varepsilon(q, \omega) = 1 + \frac{4\pi\sigma(q, \omega)}{\omega} \left(\frac{k_0 k_1}{\varepsilon_1 k_0 + k_1} \right). \quad (4)$$

Combining eq. (4) with eq. (2) one finds a simple expression for the reflection coefficient of the body covered with graphene:

$$r_1 = 1 - \frac{1 - r_0}{\varepsilon(q, \omega)}. \quad (5)$$

Dielectric function of graphene. – The dielectric function of graphene can be calculated using the random phase approximation (RPA). The RPA was used extensively for graphene in different situations (see the reviews [11,12]). Specific to our case, we need to know this function for imaginary frequencies at non-zero temperature for doped graphene with a non-zero gap. In the literature one can find $\varepsilon(q, \omega)$ only in different limiting cases.

$$I_{1,2} = \int_0^\infty d\mu \int_0^\pi d\nu \left[1 \mp \frac{Q^2(\xi^2 + \eta^2 - 2) + \Delta_T^2}{\epsilon_1 \epsilon_2} \right] \frac{Q(\epsilon_2 \pm \epsilon_1)(\xi^2 - \eta^2)}{4Z^2 + (\epsilon_2 \pm \epsilon_1)^2} \left[\frac{\sinh \epsilon_2}{\cosh \epsilon_2 + \cosh \epsilon_F} \pm \frac{\sinh \epsilon_1}{\cosh \epsilon_1 + \cosh \epsilon_F} \right]. \quad (10)$$

For non-zero gap the electron energy in the valence ($s = -1$) or in the conduction ($s = +1$) band is $E_{s\mathbf{k}} = s\sqrt{(\hbar v_F \mathbf{k})^2 + \Delta^2}$. The probability to find an electron (hole) with the energy $E_{s\mathbf{k}}$ is given by the Fermi distribution $f_{s\mathbf{k}} = [1 + e^{(E_{s\mathbf{k}} - E_F)/T}]^{-1}$, where E_F is the Fermi level. In the RPA, the dielectric function of graphene can be expressed as $\varepsilon = 1 + v_c(q)\Pi(q, \omega)$. Here $v_c = 2\pi e^2/\kappa q$ is the 2D Coulomb interaction, κ is defined by the environment of the graphene layer (in our case $2\kappa = \varepsilon_1(0) + 1$), and $\Pi(q, \omega)$ is the 2D polarizability given by the bare bubble diagram:

$$\Pi(q, \omega) = -4 \sum_{s,s'} \int \frac{d^2 k}{(2\pi)^2} V_{kk'}^{ss'} \frac{f_{s\mathbf{k}} - f_{s'\mathbf{k}'}}{\hbar\omega + E_{s\mathbf{k}} - E_{s'\mathbf{k}'}} \quad (6)$$

where $\mathbf{k}' = \mathbf{k} + \mathbf{q}$, $s, s' = \pm 1$, and the vertex factor is given by $2V_{kk'}^{ss'} = 1 + (\hbar^2 v_F^2 \mathbf{k} \cdot \mathbf{k}' + \Delta^2)/E_{s\mathbf{k}} E_{s'\mathbf{k}'}$. The factor 4 at the front comes from two spins and two valleys degeneracy.

In what follows we use the dimensionless variables:

$$Q = \frac{\hbar v_F q}{2T}, \quad Z = \frac{\hbar \zeta}{2T}, \quad \Delta_T = \frac{\Delta}{T}, \quad \epsilon_F = \frac{E_F}{T}, \quad (7)$$

where ζ is the imaginary frequency. It is convenient to calculate the polarizability in the elliptic coordinates μ and ν defined by the relations:

$$k = \frac{q}{2} (\cosh \mu - \cos \nu), \quad k' = \frac{q}{2} (\cosh \mu + \cos \nu). \quad (8)$$

The notations $\xi = \cosh \mu$ and $\eta = \cos \nu$ will also be used. Separating interband (\mathbf{k} and \mathbf{k}' in different bands) and intraband (\mathbf{k} and \mathbf{k}' in one band) transitions in (6) we can present the dielectric function as

$$\varepsilon(q, i\zeta) = 1 + \frac{\alpha_g}{\pi} (I_1 + I_2), \quad \alpha_g = \frac{e^2}{\kappa \hbar v_F}, \quad (9)$$

where I_1 and I_2 are the contributions coming from interband and intraband transitions, respectively, and α_g is the interaction constant in graphene. For $I_{1,2}$ one finds

see eq. (10) above

In eq. (10) $\epsilon_{1,2} = \sqrt{Q^2(\xi \mp \eta)^2 + \Delta_T^2}$ and the upper (lower) sign is related to index 1 (2).

Typical values of q for the Casimir problem are $\sim 1/2a$. Therefore, for the distances $a \gg \xi_T$ of interest in this paper, the values of Q are always small, *i.e.* $Q \ll 1$. In this limit eq. (10) can be simplified further. The parameter $Q\eta$ is always small but $Q\xi$ is not. In fact, the important values of ξ in the integrals are large: $\xi \sim \max(1/Q, \Delta_T/Q)$. Making the corresponding expansions and performing

explicit integrations over ν we find for $I_{1,2}$ in the limit $Q \ll 1$:

$$I_1 = \pi Q \int_{\Delta_T}^\infty d\epsilon \frac{\epsilon^2 + \Delta_T^2}{\epsilon^2(Z^2 + \epsilon^2)} \cdot \frac{\sinh \epsilon}{\cosh \epsilon + \cosh \epsilon_F}, \quad (11)$$

$$I_2 = \frac{2\pi}{Q} \int_{\Delta_T}^\infty d\epsilon \left[1 - \frac{Z}{\sqrt{Z^2 + Q^2 - (\Delta_T Q/\epsilon)^2}} \right] \times \frac{1 + \cosh \epsilon \cosh \epsilon_F}{(\cosh \epsilon + \cosh \epsilon_F)^2}, \quad (12)$$

where we introduced a new integration variable $\epsilon = \sqrt{Q^2 \xi^2 + \Delta_T^2}$. Note that the intraband contribution dominates the dielectric function in the $Q \ll 1$ limit.

The force. – In the large distance limit $a \gg \xi_T$ the dielectric function of graphene is significant ($\varepsilon - 1 \gg \alpha$) at frequencies $\hbar\zeta \lesssim T$, which are low for $T \sim 300$ K. For these frequencies most of dielectric materials have static permittivities and metals can be considered as perfect conductors. In such cases we can simplify the calculation of ΔF in eq. (3) taking the static permittivities $\varepsilon_{1,2}(0)$ for bulk bodies ($\varepsilon_2(0) \rightarrow \infty$ for metals) and keeping q and ζ dependence only for the graphene dielectric function $\varepsilon(q, i\zeta)$. It has to be mentioned that $\varepsilon(q, i\zeta)$ is essentially nonlocal. This nonlocality, however, is two-dimensional, which simplifies the calculation of the Casimir force in comparison with the 3D case [32]. This is because there is only an in-plane wave vector.

Consider first a gapless graphene. For $\Delta = 0$ the dielectric function at large distances $a \gg \xi_T$ follows from (9) and (12)

$$\varepsilon(q, i\zeta) = 1 + \frac{2\alpha_g G(\epsilon_F, 0)}{Q} \left(1 - \frac{Z}{\sqrt{Z^2 + Q^2}} \right). \quad (13)$$

The function $G(\epsilon_F, 0)$ here increases monotonously starting from $2 \ln 2$ at $\epsilon_F = 0$ (fig. 1(a)). In general $G(x, y)$ is given by the expression

$$G(x, y) = \int_y^\infty dt \frac{1 + \cosh t \cosh x}{(\cosh t + \cosh x)^2}. \quad (14)$$

Let us stress that the characteristic frequency in the dielectric function (13) is $\zeta \sim v_F q$ as is expected from general consideration [16]. For the Matsubara frequency $\omega_0 = i0$ the dielectric function has a metallic character, *i.e.* $\varepsilon(q, i0) \gg 1$ and the reflection coefficient of the body covered with graphene approaches 1, *i.e.* $r_1 \rightarrow 1$. Already, for $n = 1$ we have $Z_1 \gg Q$ and $\varepsilon(q, i\zeta_1)$ is strongly suppressed. For $n \neq 0$ the reflection coefficient approaches

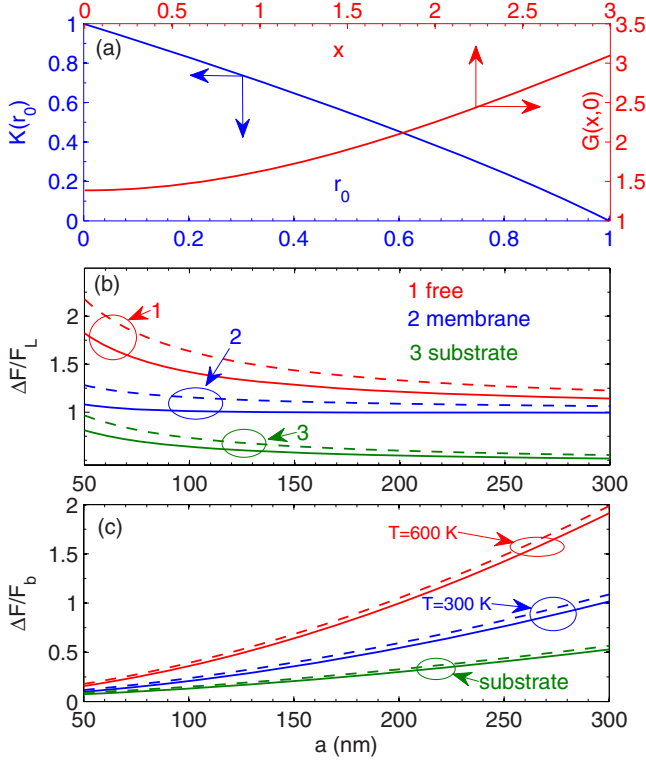


Fig. 1: (Color online) (a) (top-right axes) Function $G(x,0)$ that enters eq. (13). (bottom-left axes) The factor $K(r_0)$ in eq. (15) as a function of the static reflection coefficient of the substrate supporting graphene. (b) The force ratio $\Delta F/F_L$ as a function of distance for free-standing graphene, graphene-on-membrane, and graphene-on-substrate at $T = 300$ K. The solid lines are for $\epsilon_F = 0$ and the dashed lines are for $\epsilon_F = 10T$. (c) The relative force for graphene-on-membrane as a function of a for two different temperatures. The lowest dashed and solid curves are for graphene-on-substrate at $T = 300$ K. The curves for membrane in (b) and (c) were calculated for $h = 20$ nm and $r_0 = 0.6$.

the substrate value, $r_1 \rightarrow r_0$. Let us stress that just one monolayer covering the substrate makes it perfectly reflecting at low frequencies.

For distances $a \gg \xi_T$ we can apply (13) to calculate the force (3). The $n = 0$ term dominates in ΔF . If the second body is a metal we can take $R = 1$ and $R_0 = r_0$, where r_0 has to be taken in the static limit. The force in this case is

$$\Delta F(a, T) = \frac{T\zeta(3)}{8\pi a^3} K(r_0), \quad a \gg \frac{\hbar v_F}{T}, \quad (15)$$

where the function $K(r_0)$ describes the effect of the substrate on the force. This function is shown in fig. 1(a) and is expressed analytically as

$$K(r_0) = \frac{1}{2\zeta(3)} \int_0^\infty dx x^2 \left[\frac{1}{e^x - 1} - \frac{r_0}{e^x - r_0} \right]. \quad (16)$$

For suspended graphene $r_0 = 0$, and eq. (15) coincides with the Lifshitz force F_L . Note that a metallic substrate for

graphene will result in the zero force because $K(r_0) \rightarrow 0$ when $r_0 \rightarrow 1$.

The effect of graphene will be appreciable if ΔF is measurable but also if ΔF is not negligible in comparison with the background force F_b . The force (15) is maximal for free-standing graphene when $F_b = 0$. This configuration is realizable in practice [33] and has significant interest. However, it can not always be practical due to the deformation induced by the force. A more stable configuration is graphene on a dielectric membrane of thickness h . For a membrane, the reflection coefficient is

$$r_{0m} = r_0 \frac{1 - e^{-2qh}}{1 - r_0^2 e^{-2qh}}, \quad (17)$$

where r_0 corresponds to the bulk material. For a thin membrane, $h \ll a$, r_{0m} becomes small and the background force F_b is much weaker than that for the thick substrate. For graphene-on-membrane the force in the long distance limit is also given by eq. (15) but now the factor K depends slightly on the distance due to q -dependence of r_{0m} . The graphene-on-membrane configuration maximizes not only the absolute value of the force ΔF but also the relative value $\Delta F/F_b$. This is an important practical observation.

Figure 1(b) shows how the force approaches its limit value (15) for free-standing graphene, for graphene on 20 nm thick SiO_2 membrane, and for graphene on a thick SiO_2 substrate. Numerical calculations were performed using the dielectric function (9) with $I_{1,2}$ from (10) without additional approximations. The continuous lines are for $E_F = 0$ and the dashed lines are for $E_F = 10T$. One can see that the force is not very sensitive to E_F .

This is especially obvious in fig. 1(c) where the relative force (ΔF in respect to the background force F_b) is shown. This figure demonstrates significant dependence on temperature and shows that the relative force is considerably smaller for a thick substrate than for a thin membrane.

Significant dependence on the Fermi level is desirable to change the force by electronic means. This can be realized if graphene has a non-zero gap. The material will change from insulating to conducting state in response to the position of E_F . It has to influence the dielectric function and thus the force. The dielectric function of graphene with the gap 2Δ was calculated in [34], on the real frequency axis at $T = 0$. Here we are using our result (9), (10) for the dielectric function on the imaginary frequency axis at non-zero T .

As in the case of gapless graphene the main contribution to the force at large distances comes from the $n = 0$ term, which depends on the static dielectric function:

$$\epsilon(q, i0) = 1 + \frac{2\alpha_g}{Q} G(\epsilon_F, \Delta_T), \quad (18)$$

where the function $G(\epsilon_F, \Delta_T)$ is given by eq. (14). The gap gives significant effect for $\Delta_T \gg 1$. If the Fermi level is in the middle of the gap, *i.e.* $\epsilon_F = 0$, the function G

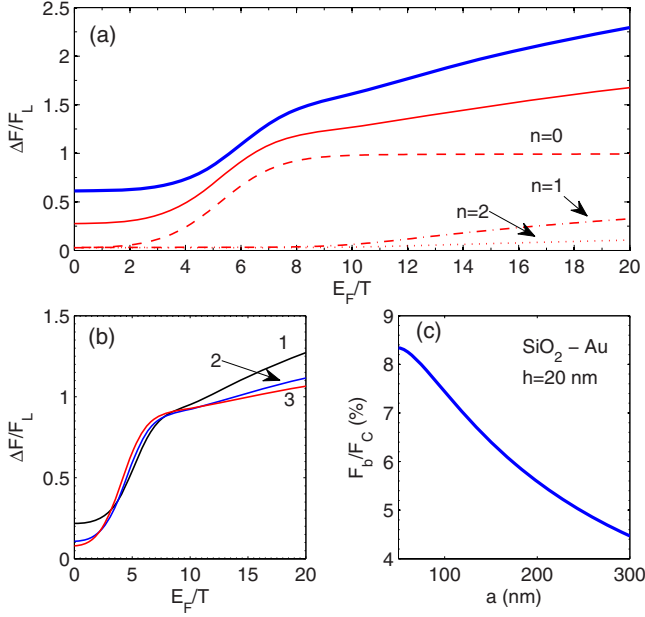


Fig. 2: (Color online) (a) The force as a function of the Fermi level for free-standing graphene with $\Delta_T = 10$. The thick line is for $a = 50$ nm and the thin solid line is for $a = 100$ nm. The dashed, dash-dotted, and dotted lines are the first three components for the $a = 100$ nm case. (b) The force for graphene-on-membrane ($\Delta_T = 10$). The lines marked as 1, 2, and 3 correspond to $a = 100, 200,$ and 300 nm, respectively. (c) The background force as a function of the distance in units of the bare Casimir force $F_C = \pi^2 \hbar c / 240 a^4$.

is exponentially suppressed, *i.e.* $G(0, \Delta_T) \approx 2\Delta_T e^{-\Delta_T}$. In this case the effect of graphene on the force is small. When ϵ_F becomes comparable with Δ_T the dielectric function $\epsilon(q, i0)$ is large and the effect of graphene is significant. In the long distance limit the force behavior is similar to eq. (15).

Figure 2(a) shows the force for suspended graphene with the gap $\Delta_T = 10$ as a function of the Fermi level for $a = 50$ nm and 100 nm (solid curves). About ten terms are important in the sum (3); the first three terms for $a = 100$ nm are shown. Indeed, the $n = 0$ term gives the main contribution. The finite value of the force at $E_F = 0$ decreases as a and Δ increase. It is mainly due to interband transitions, which are not included in (18). As expected, the force is small for $E_F = 0$ and is on the level of F_L for the Fermi level $E_F \gtrsim \Delta$. Typically the force changes 3–5 times on the interval $0 < E_F \lesssim \Delta$ proving significant sensitivity to the Fermi level position.

The force for graphene-on-membrane is shown in fig. 2(b). The behavior is similar to that for suspended graphene. However, in this case the force has to be compared with the background force for membrane shown in fig. 2(c). The latter one was calculated using frequency-dependent dielectric functions of SiO_2 and Au. The relative force $\Delta F/F_b$ varies in the range 10–100%; it is small for short separation and increases with a . The

background force F_b can be reduced further by decreasing thickness and/or the permittivity of the membrane.

Conclusions and discussion. – In this paper we analyzed the Casimir interaction of a graphene-covered dielectric with a metal plate. The dielectric function of graphene was found at finite temperature for imaginary frequencies for the material with a finite bandgap and non-zero Fermi level. A simple expression (3) describes the graphene contribution to the force. We can conclude that for graphene with the gap $2\Delta \gg T$ there is a strong dependence of the Casimir force on both the temperature and the Fermi level. This is realized at distances $a \gg \hbar v_F / T$ when the main contribution to ΔF originates from thermal fluctuations. The predicted force is measurable with modern AFM instruments and can have significant technological applications. Graphene-on-membrane interacting with a metal has special interest for practical applications. This configuration combines mechanical strength with unique electronic properties of graphene. It allows tailoring of the Casimir force by electronic means. Manipulations with the thermal force opens up completely new possibilities which, so far, seemed to have pure academic interest for condensed matter. For example, it becomes possible to observe the non-equilibrium Casimir force [35,36] between solid bodies at distances ~ 100 nm. This possibility put the Casimir effect on the same ground as the short distance radiative heat transfer [37]. For all bulk materials the equilibrium component of the force at $a \sim 100$ nm is orders of magnitude larger than the non-equilibrium one. However, for suspended graphene or graphene-on-membrane interacting with a metal these components of the total force can be comparable.

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