

On the topology of deformation spaces of Kleinian groups

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Abstract

Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary and let $AH(\pi_1(M))$ denote the space of (conjugacy classes of) discrete faithful representations of $\pi_1(M)$ into $\mathrm{PSL}_2(\mathbf{C})$. The components of the interior $MP(\pi_1(M))$ of $AH(\pi_1(M))$ (as a subset of the appropriate representation variety) are enumerated by the space $\mathcal{A}(M)$ of marked homeomorphism types of oriented, compact, irreducible 3-manifold homotopy equivalent to M . In this paper, we give a topological enumeration of the components of the closure of $MP(\pi_1(M))$ and hence a conjectural topological enumeration of the components of $AH(\pi_1(M))$. We do so by characterizing exactly which changes of marked homeomorphism type can occur in the algebraic limit of a sequence of isomorphic freely indecomposable Kleinian groups. We use this enumeration to exhibit manifolds M for which $AH(\pi_1(M))$ has infinitely many components.

1 Introduction

In this paper, we begin a study of the global topology of deformation spaces of Kleinian groups. The basic object of study is the space $AH(\pi_1(M))$ of marked hyperbolic 3-manifolds

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homotopy equivalent to a fixed compact 3-manifold M . The interior $MP(\pi_1(M))$ of $AH(\pi_1(M))$ is very well-understood due to work of Ahlfors, Bers, Kra, Marden, Maskit, Sullivan and Thurston. In particular, the components of $MP(\pi_1(M))$ are enumerated by topological data, namely the set $\mathcal{A}(M)$ of marked, compact, oriented, irreducible 3-manifolds homotopy equivalent to M , while each component is parameterized by analytic data coming from the conformal boundaries of the hyperbolic 3-manifolds.

Thurston's Ending Lamination Conjecture provides a conjectural classification for elements of $AH(\pi_1(M))$ by data which is partially topological, specifically the marked homeomorphism type of the marked hyperbolic 3-manifold N as an element of $\mathcal{A}(M)$, and partially geometric, coming from the conformal boundary of N and the geodesic laminations which encode the asymptotic geometry of any geometrically infinite ends of N . However, the data in this conjectural classification does not vary continuously so it does not provide a clear conjectural picture of the global topology. Moreover, the Ending Lamination Conjecture is only known to hold for limited classes of Kleinian groups (see Minsky [29, 30]). In fact, surprisingly little is known about the global topology of $AH(\pi_1(M))$.

In the case that $\pi_1(M)$ is freely indecomposable, the present investigation allows us to give an enumeration of the components of the closure $\overline{MP(\pi_1(M))}$ of $MP(\pi_1(M))$. Since it is conjectured that $\overline{MP(\pi_1(M))} = AH(\pi_1(M))$, this gives a conjectural enumeration of the components of $AH(\pi_1(M))$. In particular, we characterize exactly which components of $MP(\pi_1(M))$ have intersecting closures, by analyzing exactly which changes in marked homeomorphism type can occur in the algebraic limit of a sequence of homotopy equivalent marked hyperbolic 3-manifolds.

One can also think of our work as a study of how the topological data in the Ending Lamination Conjecture varies over $AH(\pi_1(M))$. It follows from our earlier work [4] that this topological data, the marked homeomorphism type, *need not be locally constant* in general. In this paper, we show that marked homeomorphism type *is* locally constant modulo primitive shuffles. Roughly, primitive shuffles are homotopy equivalences obtained by “shuffling” or “rearranging” the way in which the manifold is glued together along the solid torus components of its characteristic submanifold. We hope that further study of how the data in Thurston's Ending Lamination Conjecture varies over $AH(\pi_1(M))$ will eventually yield a conjectural picture of the global topology of $AH(\pi_1(M))$.

In the Sullivan dictionary (see [37]) between Kleinian groups and rational maps, there is an analogy between our work and the study of the parameterization of the Mandelbrot set,

or more generally with the study of deformation spaces of rational maps of a fixed type. In this dictionary, the components of $MP(\pi_1(M))$ play the role of hyperbolic components of the Mandelbrot set. Again one may combinatorially enumerate the hyperbolic components of the Mandelbrot set and each component is parameterized by analytic data, in this case the multiplier of the attracting fixed point. The Landing Theorem of Douady and Hubbard may be used to give a complete understanding of which hyperbolic components of the Mandelbrot set have intersecting closures (see Milnor [28] or Schleicher [35]).

It is interesting to contrast the behavior in the two situations. In the Mandelbrot set, one component may “bump” infinitely many other components in the sense that their closures intersect, while any component of $MP(\pi_1(M))$ may bump only finitely many other components. Moreover, the hyperbolic components can accumulate at points in the Mandelbrot set, while the components of $MP(\pi_1(M))$ cannot accumulate in $AH(\pi_1(M))$. On the other hand, in the Mandelbrot set, any point is in the closure of at most two hyperbolic components and any two hyperbolic components bump at at most one point, while arbitrarily many components of $MP(\pi_1(M))$ may bump at a single point and the intersection of the closures of 2 components of $MP(\pi_1(M))$ can be quite large (see Holt [16]). While the Mandelbrot set itself is connected, we exhibit manifolds M for which $AH(\pi_1(M))$ has infinitely many components. It seems likely that the boundary of $AH(\pi_1(M))$ is quite complicated and interesting. In this paper, we only prove that $AH(\pi_1(M))$ is often not a manifold. In contrast, not only is it known that the Mandelbrot set is not a manifold, but Shishikura [36] proved that its boundary has Hausdorff dimension 2. There is a conjectural parameterization of the Mandelbrot set, known as the abstract Mandelbrot set (see Branner [9]), which provides a complete picture of the global topology, while in the study of $AH(\pi_1(M))$ no such conjectural picture exists.

The global theory of the topology of deformation spaces of hyperbolic 3-manifolds is still in its infancy, but we hope that its study will prove as rich and as rewarding as has the study of the Mandelbrot set.

2 Statement of results

In order to state our results precisely, we must introduce some terminology.

We will say that a compact, oriented 3-manifold M is *hyperbolizable* if there exists a hyperbolic 3-manifold homeomorphic to the interior of M . For a compact, hyperboliz-

able 3-manifold M , let $\mathcal{D}(\pi_1(M))$ be the space of all discrete, faithful representations of $\pi_1(M)$ into $\mathrm{PSL}_2(\mathbf{C})$. Let $\mathrm{Hom}_T(\pi_1(M), \mathrm{PSL}_2(\mathbf{C}))$ denote the set of representations $\rho \in \mathrm{Hom}(\pi_1(M), \mathrm{PSL}_2(\mathbf{C}))$ with the property that $\rho(g)$ is parabolic if g lies in a rank two free abelian subgroup of $\pi_1(M)$. $\mathcal{D}(\pi_1(M))$ is a closed subset of $\mathrm{Hom}_T(\pi_1(M), \mathrm{PSL}_2(\mathbf{C}))$ (see Jørgenson [19]). Set $AH(\pi_1(M)) = \mathcal{D}(\pi_1(M))/\mathrm{PSL}_2(\mathbf{C})$ and

$$\mathcal{R}_T(\pi_1(M)) = \mathrm{Hom}_T(\pi_1(M), \mathrm{PSL}_2(\mathbf{C}))/\mathrm{PSL}_2(\mathbf{C}),$$

where the action of $\mathrm{PSL}_2(\mathbf{C})$ is by conjugation.

Let $MP(\pi_1(M))$ be the subset of $AH(\pi_1(M))$ consisting of minimally parabolic representations with geometrically finite image. Recall that an element ρ of $AH(\pi_1(M))$ is *minimally parabolic* if $\rho(g)$ is parabolic if and only if g lies in a rank two free abelian subgroup of $\pi_1(M)$. It follows from the Quasiconformal Stability Theorem of Marden [22] that $MP(\pi_1(M))$ is an open subset of $\mathcal{R}_T(\pi_1(M))$. Moreover, Sullivan [38] showed that $MP(\pi_1(M))$ is the interior of $AH(\pi_1(M))$, regarded as a subset of $\mathcal{R}_T(\pi_1(M))$. It is conjectured that $MP(\pi_1(M))$ is dense in $AH(\pi_1(M))$.

The topological type of a hyperbolic 3-manifold N is encoded by its compact core. Recall that a compact submanifold C is a *compact core* for N if the inclusion of C into N is a homotopy equivalence. A result of Scott [34] implies that every hyperbolic 3-manifold with finitely generated fundamental group has a compact core, and by [26] this core is unique up to homeomorphism. Bonahon [7] proved that if N has incompressible boundary, then N is homeomorphic to the interior of C . It is conjectured that every hyperbolic 3-manifold with finitely generated fundamental group is homeomorphic to the interior of its compact core.

If M is a compact, oriented, hyperbolizable 3-manifold, let $\mathcal{A}(M)$ denote the set of *marked homeomorphism types of compact, oriented 3-manifolds homotopy equivalent to M* . Explicitly, $\mathcal{A}(M)$ is the set of equivalence classes of pairs (M', h') , where M' is a compact, oriented, irreducible 3-manifold and $h': M \rightarrow M'$ is a homotopy equivalence, and where two pairs (M_1, h_1) and (M_2, h_2) are equivalent if and only if there exists an orientation-preserving homeomorphism $j: M_1 \rightarrow M_2$ such that $j \circ h_1$ is homotopic to h_2 . We denote the class of (M', h') in $\mathcal{A}(M)$ by $[(M', h')]$.

We will use elements of $\mathcal{A}(M)$ to encode the marked homeomorphism type of a marked hyperbolic 3-manifold. For $\rho \in AH(\pi_1(M))$, let M_ρ be a compact core for $N_\rho = \mathbf{H}^3/\rho(\pi_1(M))$ and let $h_\rho: M \rightarrow M_\rho$ be a homotopy equivalence such that $(h_\rho)_*: \pi_1(M) \rightarrow \pi_1(M_\rho)$ is conjugate to ρ . It is an immediate consequence of Theorem 1 in McCullough, Miller, and

Swarup [26] that if (M_ρ, h_ρ) and (M'_ρ, h'_ρ) are two pairs constructed as above, then there exists an orientation-preserving homeomorphism $j: M_\rho \rightarrow M'_\rho$ such that $j \circ h_\rho$ is homotopic to h'_ρ . Therefore, the map $\Psi: AH(\pi_1(M)) \rightarrow \mathcal{A}(M)$ given by $\Psi(\rho) = [(M_\rho, h_\rho)]$ is well-defined.

Marden's Isomorphism Theorem [22] implies that two elements ρ_1 and ρ_2 of $MP(\pi_1(M))$ lie in the same component of $MP(\pi_1(M))$ if and only if $\Psi(\rho_1) = \Psi(\rho_2)$, and the Geometrization Theorem of Thurston (see Morgan [31]) implies that the restriction of Ψ to $MP(\pi_1(M))$ is surjective. Hence, the components of $MP(\pi_1(M))$ are in a one-to-one correspondence with elements of $\mathcal{A}(M)$; the reader is directed to [12] for complete details.

In a previous paper [4], we showed that Ψ need not be locally constant on $AH(\pi_1(M))$. We produced examples M_k (one for each $k \geq 3$) which are obtained by gluing a collection of k I -bundles to a solid torus along a collection of parallel annuli. In these examples, all the elements of $\mathcal{A}(M_k)$ are obtained from M_k by “rearranging” or “shuffling” the way in which the I -bundles are glued to the solid torus. We showed that any two components of $MP(\pi_1(M_k))$ have intersecting closures. In particular, we showed that there is a sequence of homeomorphic marked hyperbolic 3-manifolds, which converge (algebraically) to a marked hyperbolic 3-manifold which is homotopy equivalent, but not homeomorphic to the approximates. In this paper, we show that, in the case that M has incompressible boundary, a generalization of the phenomenon described in [4] is responsible for all changes of homeomorphism type in the algebraic limit.

Suppose that M has nonempty incompressible boundary. In separate works, Jaco and Shalen [17] and Johannson [18] show that there exists a *characteristic submanifold* $\Sigma(M)$ of M , well-defined up to isotopy, which consists of a disjoint collection of I -bundles and Seifert fibered submanifolds; loosely speaking, this characteristic submanifold captures all the essential annuli and tori in M . If M is hyperbolizable, each Seifert fibered component of $\Sigma(M)$ is homeomorphic to either a solid torus or a thickened torus. A solid torus component V of $\Sigma(M)$ is *primitive* if each component of $\partial M \cap V$ is an annulus whose inclusion into V is a homotopy equivalence. The characteristic submanifold is described in more detail in Section 6.

Given two 3-manifolds M_1 and M_2 with nonempty incompressible boundary, a homotopy equivalence $h: M_1 \rightarrow M_2$ is a *primitive shuffle* if there exists a finite collection \mathcal{V}_1 of primitive solid torus components of $\Sigma(M_1)$ and a finite collection \mathcal{V}_2 of solid torus components of $\Sigma(M_2)$, so that $h^{-1}(\mathcal{V}_2) = \mathcal{V}_1$ and so that h restricts to an orientation-preserving homeomorphism from the closure of $M_1 - \mathcal{V}_1$ to the closure of $M_2 - \mathcal{V}_2$. (In Section 5, we will discuss a

more general notion of shuffling.) Two elements $[(M_1, h_1)]$ and $[(M_2, h_2)]$ of $\mathcal{A}(M)$ are said to be *primitive shuffle equivalent* if there exists a primitive shuffle $\phi: M_1 \rightarrow M_2$ such that $[(M_2, h_2)] = [(M_2, \phi \circ h_1)]$. In Section 7 we observe that if M is hyperbolizable, this gives an equivalence relation on $\mathcal{A}(M)$ and that the resulting quotient map $q: \mathcal{A}(M) \rightarrow \hat{\mathcal{A}}(M)$ is finite-to-one.

Our first main result shows that even though Ψ need not be locally constant, $\hat{\Psi} = q \circ \Psi$ is locally constant, i.e. marked homeomorphism type is locally constant modulo primitive shuffles. We provide an outline of the proof in Section 8.

Theorem A: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Suppose that the sequence $\{\rho_i\} \subset AH(\pi_1(M))$ converges to $\rho \in AH(\pi_1(M))$. Then, for all sufficiently large i , $\Psi(\rho_i)$ is primitive shuffle equivalent to $\Psi(\rho)$.*

Our second main result asserts that if the marked homeomorphism types associated to two components of $MP(\pi_1(M))$ are primitive shuffle equivalent, then they have intersecting closures. More specifically, we produce a sequence of marked hyperbolic 3-manifolds in the first component of $MP(\pi_1(M))$ (hence all with the same marked homeomorphism type) which converge algebraically to a geometrically finite marked hyperbolic 3-manifold which has the same marked homeomorphism type as elements of the second component (and hence lies in the boundary of the second component).

Theorem B: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, and let $[(M_1, h_1)]$ and $[(M_2, h_2)]$ be two elements of $\mathcal{A}(M)$. If $[(M_2, h_2)]$ is primitive shuffle equivalent to $[(M_1, h_1)]$, then the associated components of $MP(\pi_1(M))$ have intersecting closures.*

By combining Theorems A and B, we see that two components of $MP(\pi_1(M))$ have intersecting closures if and only if their corresponding marked homeomorphism types differ by a primitive shuffle.

Corollary 1: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, and let $[(M_1, h_1)]$ and $[(M_2, h_2)]$ be two elements of $\mathcal{A}(M)$. The associated components of $MP(\pi_1(M))$ have intersecting closures if and only if $[(M_2, h_2)]$ is primitive shuffle equivalent to $[(M_1, h_1)]$.*

Hence, we can enumerate the components of the closure $\overline{MP(\pi_1(M))}$ of $MP(\pi_1(M))$.

Corollary 3: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then, the components of $\overline{MP(\pi_1(M))}$ are in a one-to-one correspondence with the elements of $\hat{\mathcal{A}}(M)$.*

One may combine Corollary 3 with the analysis in [12] to determine exactly when $\overline{MP(\pi_1(M))}$ has infinitely many components. Moreover, we can use Theorem A to establish the existence of 3-manifolds M for which $AH(\pi_1(M))$ has infinitely many components. Recall that it is conjectured that $\overline{MP(\pi_1(M))} = AH(\pi_1(M))$.

A compact, hyperbolizable 3-manifold M with nonempty incompressible boundary has *double trouble* if there exists a toroidal component T of ∂M and homotopically nontrivial simple closed curves C_1 in T and C_2 and C_3 in $\partial M - T$ such that C_2 and C_3 are not homotopic in ∂M , but C_1 , C_2 and C_3 are homotopic in M . Equivalently, M has double trouble if and only if there is a component V of its characteristic submanifold which is homeomorphic to a thickened torus and whose frontier contains at least two annuli. In [12] it is proved that $\mathcal{A}(M)$ has infinitely many elements if and only if M has double trouble. Since the quotient map $q: \mathcal{A}(M) \rightarrow \hat{\mathcal{A}}(M)$ is finite-to-one, it follows immediately that $\mathcal{A}(M)$ is finite if and only if $\hat{\mathcal{A}}(M)$ is finite.

Corollary 4: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then, $\overline{MP(\pi_1(M))}$ has infinitely many components if and only if M has double trouble. Moreover, if M has double trouble, then $AH(\pi_1(M))$ has infinitely many components.*

Another immediate consequence of our main results is that quite often $AH(\pi_1(M))$ is not a manifold. Curt McMullen [27] has used our construction to show that $AH(\pi_1(S))$ is not a manifold if S is a closed hyperbolic surface.

Corollary 5: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. If $q: \mathcal{A}(M) \rightarrow \hat{\mathcal{A}}(M)$ is not injective, then $AH(\pi_1(M))$ is not a manifold.*

We also note that the components of $MP(\pi_1(M))$ cannot accumulate in $AH(\pi_1(M))$. This result is used in the proof of Corollary 3, but is also of independent interest.

Corollary 2: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then, the components of $MP(\pi_1(M))$ cannot accumulate in $AH(\pi_1(M))$. In*

particular, the closure $\overline{MP(\pi_1(M))}$ of $MP(\pi_1(M))$ is the union of the closures of components of $MP(\pi_1(M))$.

3 Preliminaries

The purpose of this section is to present some of the background material used in the paper.

A *Kleinian group* is a discrete subgroup Γ of $\mathrm{PSL}_2(\mathbf{C})$, which we view as acting either on hyperbolic 3-space \mathbf{H}^3 via isometries or on the Riemann sphere $\overline{\mathbf{C}}$ via Möbius transformations. The action of Γ partitions $\overline{\mathbf{C}}$ into the *domain of discontinuity* $\Omega(\Gamma)$, which is the largest open subset of $\overline{\mathbf{C}}$ on which Γ acts properly discontinuously, and the *limit set* $\Lambda(\Gamma)$. A Kleinian group is *nonelementary* if its limit set contains at least three points, and is *elementary* otherwise. A Kleinian group is elementary if and only if it is virtually abelian; recall that a group is *virtually abelian* if it contains a finite index abelian subgroup. We refer the reader to Maskit [24] for a more detailed discussion of the theory of Kleinian groups.

3.1 Types of Kleinian groups

There are several specific classes of Kleinian groups which play an important role in this paper.

Given a set $X \subset \mathbf{H}^3 \cup \overline{\mathbf{C}}$ and a Kleinian group Γ , set $\mathrm{st}_\Gamma(X) = \{\gamma \in \Gamma \mid \gamma(X) = X\}$. If Δ is a component of the domain of discontinuity $\Omega(\Gamma)$ of a Kleinian group Γ , then $\mathrm{st}_\Gamma(\Delta)$ is called a *component subgroup* of Γ . In the case that Γ is finitely generated, it follows from the Ahlfors finiteness theorem that $\mathrm{st}_\Gamma(\Delta)$ is finitely generated and that $\Lambda(\mathrm{st}_\Gamma(\Delta)) = \partial\Delta$; see Lemma 2 of Ahlfors [2].

A finitely generated Kleinian group Γ whose domain of discontinuity contains exactly two components Δ and Δ' is *quasifuchsian* if $\mathrm{st}_\Delta(\Gamma) = \Gamma$ and is *extended quasifuchsian* otherwise. A quasifuchsian group is quasiconformally conjugate to a Fuchsian group by Theorem 2 of Maskit [23], while an extended quasifuchsian group contains a canonical quasifuchsian subgroup of index two, namely the subgroup stabilizing each of the components of its domain of discontinuity. In particular, the limit set $\Lambda(\Gamma)$ of a quasifuchsian or extended quasifuchsian group is a Jordan curve.

A *web* group is a finitely generated Kleinian group whose domain of discontinuity has at least three components and each of whose component subgroups is quasifuchsian. In

particular, each component of the domain of discontinuity of a web group is bounded by a Jordan curve.

A *generalized web group* is either a quasifuchsian, extended quasifuchsian, or web group. The generalized web groups are precisely the finitely generated Kleinian groups with non-empty domain of discontinuity for which every component of the domain of discontinuity is a Jordan domain.

A finitely generated Kleinian group Γ is *degenerate* if both its limit set and its domain of discontinuity are nonempty and connected. In this case, there is a conformal homeomorphism $f: \mathbf{H}^2 \rightarrow \Omega(\Gamma)$. A degenerate group Γ is *without accidental parabolics* if an element of the Fuchsian group $f^{-1}\Gamma f$ is parabolic if and only if the corresponding element of Γ is parabolic.

A *precisely invariant system of horoballs* \mathcal{H} for a Kleinian group Γ is a Γ -invariant collection of disjoint open horoballs in \mathbf{H}^3 such that each horoball in \mathcal{H} is based at a parabolic fixed point of Γ and such that there is a horoball in \mathcal{H} based at every parabolic fixed point of Γ . It is a consequence of the Margulis Lemma, see Benedetti and Petronio [6] or Maskit [24], that every Kleinian group has a precisely invariant system of horoballs. Set $N = \mathbf{H}^3/\Gamma$ and $N^0 = (\mathbf{H}^3 - \mathcal{H})/\Gamma$. If Γ is torsion-free, N is a hyperbolic 3-manifold and each component of ∂N^0 is either a torus or an open annulus.

The *convex core* $C(N)$ of N is the smallest closed, convex submanifold of N whose inclusion into N is a homotopy equivalence. A hyperbolic 3-manifold with finitely generated fundamental group is *geometrically finite* if its convex core has finite volume. A torsion-free Kleinian group is *geometrically finite* if its quotient manifold is geometrically finite. An end E of N^0 is *geometrically finite* if it has a neighborhood U such that $U \cap C(N) = \emptyset$, and is *geometrically infinite* otherwise. Note that a finitely generated Kleinian group Γ is geometrically finite if and only if every end of N^0 is geometrically finite.

An orientable, irreducible 3-manifold M is *topologically tame* if it is homeomorphic to the interior of a compact 3-manifold. A torsion-free Kleinian group is *topologically tame* if its corresponding 3-manifold $N = \mathbf{H}^3/\Gamma$ is topologically tame.

3.2 Relative compact cores

We make extensive use of the *relative compact core* of a hyperbolic 3-manifold, which is a compact core which also keeps track of the topology of the parabolic locus. Given a precisely invariant system \mathcal{H} of horoballs for a torsion-free finitely generated Kleinian group

Γ , a compact submanifold M of N^0 is a *relative compact core* if the inclusion of M into N^0 is a homotopy equivalence and if the intersection of M with each component Q of ∂N^0 is a compact core for Q . In particular, each component of $P = M \cap \partial N^0$ is either a torus component of ∂N^0 or a compact incompressible annulus in an annular component of ∂N^0 . The fact that every hyperbolic 3-manifold with finitely generated fundamental group possesses a relative compact core follows from work of McCullough [25], see also Kulkarni and Shalen [21]. We often speak of the manifold pair (M, P) as a *relative compact core* of N or of N^0 .

The relative compact core of a hyperbolic 3-manifold is always a *pared 3-manifold*, several properties of which are described in Lemma 3.1; see [12] or Morgan [31] for a detailed discussion of pared 3-manifolds.

Lemma 3.1 *Let N be a hyperbolic 3-manifold with finitely generated fundamental group and let (M, P) be a relative compact core for N ; then, the following hold:*

1. *if P_1 is a component of P , then $\pi_1(P_1)$ injects into $\pi_1(M)$ and $\pi_1(P_1)$ is a maximal abelian subgroup of $\pi_1(M)$;*
2. *every noncyclic abelian subgroup of $\pi_1(M)$ is conjugate into the fundamental group of a component of P ; and*
3. *every proper map $\phi: (S^1 \times I, S^1 \times \partial I) \rightarrow (M, P)$ that induces an injection from $\pi_1(S^1)$ to $\pi_1(M)$ is properly homotopic to a map $\phi': (S^1 \times I, S^1 \times \partial I) \rightarrow (M, P)$ such that $\phi'(S^1 \times I) \subset P$.*

The relative compact core also encodes geometric information about the hyperbolic 3-manifold. For example, we make use of the following standard criterion which guarantees that a Kleinian group is either a generalized web group or a degenerate group without accidental parabolics. We remark that the converse to Lemma 3.2 holds if Γ is geometrically finite.

Lemma 3.2 *Let Γ be a finitely generated, torsion-free Kleinian group whose domain of discontinuity $\Omega(\Gamma)$ is nonempty, and let (M, P) be a relative compact core for $N = \mathbf{H}^3/\Gamma$. If every component of $\partial M - P$ is incompressible and if there is no essential annulus in M with one boundary component in $\partial M - P$ and the other in P , then Γ is either a generalized web group or a degenerate group without accidental parabolics.*

Here, an essential annulus means one which is not properly homotopic into ∂M or, equivalently, into P . The proof of Lemma 3.2 is contained in the discussion in Sections 5 and 6 of Abikoff and Maskit [1], particularly Section 6.5. The result there is stated using the language of accidental parabolics, but the equivalence of their statement and ours follows immediately by noting that an accidental parabolic gives rise to an essential annulus in the relative compact core of the form described in the statement of Lemma 3.2.

We will often restrict to the situation where (M, P) is a relative compact core of a hyperbolic 3-manifold N and every component of $\partial M - P$ is incompressible. In this case, Bonahon [7] showed that N is topologically tame. It will also be useful to notice that in this case the relative compact core is well-defined up to isotopy (which is a relative version of a result of McCullough, Miller and Swarup [26]).

Lemma 3.3 *Let Γ be a finitely generated, torsion-free Kleinian group, let \mathcal{H} be a precisely invariant system of horoballs for Γ , and let $N^0 = (\mathbf{H}^3 - \mathcal{H})/\Gamma$. If (M_1, P_1) and (M_2, P_2) are two relative compact cores for N^0 and if every component of $\partial M_1 - P_1$ is incompressible, then there exists an ambient isotopy of N^0 moving (M_1, P_1) onto (M_2, P_2) .*

Proof of Lemma 3.3: Under our assumptions, Bonahon's theorem [7] guarantees that every end of N^0 has a neighborhood which is a product of a compact surface with the half-line. Hence, there exists a relative compact core (M_3, P_3) for N^0 which contains both M_1 and M_2 in its interior. Since (M_2, P_2) is pared homotopy equivalent to (M_1, P_1) , every component of $\partial M_2 - P_2$ is also incompressible; see Section 2.8 of [12] or Proposition 1.2 of Bonahon [7]. Now let i stand for either 1 or 2. Since P_i and P_3 are compact cores for ∂N^0 , each component of $\overline{P_3 - P_i}$ is an annulus with one boundary circle lying in P_i . Since M_i and M_3 are relative compact cores for N^0 , M_3 contains M_i and the frontier of M_i is incompressible, each component F of the frontier of M_i has the property that $\pi_1(F)$ surjects onto $\pi_1(W)$, where W is the component of $\overline{M_3 - M_i}$ which is bounded by F . Theorem 10.5 of Hempel [15] then implies that W is a product $F \times I$ with $F = F \times \{0\}$. Since $\overline{P_3 - P_i}$ consists of annuli meeting P_i , we may choose the product structure so that $(F \times I) \cap \partial N^0 = \partial F \times I$. It follows that there is an ambient isotopy moving M_i onto M_3 . Since this is true for both $i = 1$ and $i = 2$, M_1 is ambient isotopic to M_2 .

Lemma 3.3

3.3 Geometric convergence

In contrast to the topology of algebraic convergence described in Section 2, there is a second notion of convergence for Kleinian groups which is more closely allied to the geometry of the quotient hyperbolic 3-manifolds. Say that a sequence $\{\Gamma_i\}$ of Kleinian groups converges *geometrically* to $\hat{\Gamma}$ if every element $\gamma \in \hat{\Gamma}$ is the limit of a sequence $\{\gamma_i \in \Gamma_i\}$ and if every accumulation point of every sequence $\{\gamma_i \in \Gamma_i\}$ lies in $\hat{\Gamma}$.

Jørgenson and Marden [20] observed that if M is a compact, hyperbolizable 3-manifold with nonabelian fundamental group and $\{\rho_i\}$ is a sequence in $\mathcal{D}(\pi_1(M))$ converging to ρ , then there exists a subsequence of $\{\rho_i\}$, again called $\{\rho_i\}$, so that $\{\rho_i(\pi_1(M))\}$ converges geometrically to a torsion-free Kleinian group $\hat{\Gamma}$ with $\rho(\pi_1(M)) \subset \hat{\Gamma}$. A sequence $\{\rho_i\}$ in $\mathcal{D}(\pi_1(M))$ converges *strongly* to ρ if $\{\rho_i\}$ converges to ρ and if $\{\rho_i(\pi_1(M))\}$ converges geometrically to $\rho(\pi_1(M))$. We also make use of the following fact, which follows immediately from Corollary 3.9 of Jørgensen and Marden [20].

Lemma 3.4 *Suppose that M is a compact, hyperbolizable 3-manifold with non-abelian fundamental group, that $\{\rho_i\}$ is a sequence in $\mathcal{D}(\pi_1(M))$ converging to ρ , and that $\{\rho_i(\pi_1(M))\}$ converges geometrically to a Kleinian group $\hat{\Gamma}$. If $\gamma \in \hat{\Gamma}$ and if there exists $n > 0$ such that $\gamma^n \in \rho(\pi_1(M))$, then $\gamma \in \rho(\pi_1(M))$.*

The following lemma highlights the geometric significance, on the level of the quotient manifolds, of the geometric convergence of a sequence of Kleinian groups. For a proof, see Theorem 3.2.9 of Canary, Epstein, and Green [11], and Theorem E.1.13 and Remark E.1.19 of Benedetti and Petronio [6]. Setting notation, let 0 denote a choice of basepoint for \mathbf{H}^3 , and let $p_i: \mathbf{H}^3 \rightarrow N_i = \mathbf{H}^3/\rho_i(G)$ and $p: \mathbf{H}^3 \rightarrow \hat{N} = \mathbf{H}^3/\hat{\Gamma}$ be the covering maps. Let $B_R(0) \subset \mathbf{H}^3$ be a ball of radius R centered at the basepoint 0.

Lemma 3.5 *A sequence of torsion-free Kleinian groups $\{\Gamma_i\}$ converges geometrically to a torsion-free Kleinian group $\hat{\Gamma}$ if and only if there exists a sequence $\{(R_i, K_i)\}$ and a sequence of orientation-preserving maps $\tilde{f}_i: B_{R_i}(0) \rightarrow \mathbf{H}^3$ such that the following hold:*

1. $R_i \rightarrow \infty$ and $K_i \rightarrow 1$ as $i \rightarrow \infty$;
2. the map \tilde{f}_i is a K_i -bilipschitz diffeomorphism onto its image, $\tilde{f}_i(0) = 0$, and $\{\tilde{f}_i|_A\}$ converges to the identity for any compact subset A of \mathbf{H}^3 ; and

3. \tilde{f}_i descends to a map $f_i: Z_i \rightarrow N_i$, where $Z_i = B_{R_i}(0)/\hat{\Gamma}$ is a submanifold of \hat{N} ; moreover, f_i is also an orientation-preserving K_i -biLipschitz diffeomorphism onto its image.

The following lemma, whose proof is the same as that of Proposition 3.3 of Canary and Minsky [13] (see also Lemma 7.2 of [3]), allows us, in the case of a geometric limit of an algebraically convergent sequence of Kleinian groups, to see the relationship between the diffeomorphisms $\{f_i\}$ and the representations $\{\rho_i\}$.

Lemma 3.6 *Suppose that M is a compact, hyperbolizable 3-manifold, that $\{\rho_i\}$ is a sequence in $\mathcal{D}(\pi_1(M))$ converging to ρ , and that $\{\rho_i(G)\}$ converges geometrically to a Kleinian group $\hat{\Gamma}$. Let $N = \mathbf{H}^3/\rho(G)$ and $\hat{N} = \mathbf{H}^3/\hat{\Gamma}$, let $\pi: N \rightarrow \hat{N}$ be the covering map, and let $\{f_i: Z_i \rightarrow N_i\}$ be the sequence of biLipschitz diffeomorphisms produced by Lemma 3.5. Suppose that K is a compact subset of N such that $\pi_1(K)$ injects into $\pi_1(N)$ and let $\pi': K \rightarrow \pi(K)$ denote the restriction of π to K . Then, for all sufficiently large i , $(f_i \circ \pi')_*$ agrees with the restriction of $\rho_i \circ \rho^{-1}$ to $\pi_1(K)$, where we regard both as giving maps of $\pi_1(K) \subset \pi_1(N) = \rho(G)$ into $\pi_1(N_i) = \rho_i(G)$.*

We also need to make use of two results from [3]. The first result, Proposition 4.2 from [3], gives restrictions on how the limit sets of a pair of topologically tame subgroups of the algebraic limit can intersect in the geometric limit of a sequence of isomorphic Kleinian groups. We begin with a definition. Given a pair Φ and Φ' of subgroups of a Kleinian group Γ , let $P(\Phi, \Phi')$ be the set of points $x \in \Lambda(\Gamma)$ such that $\text{st}_\Phi(x)$ and $\text{st}_{\Phi'}(x)$ are both rank one parabolic subgroups and $\langle \text{st}_\Phi(x), \text{st}_{\Phi'}(x) \rangle$ is a rank two parabolic subgroup of Γ .

Proposition 3.7 *Suppose that M is a compact hyperbolizable 3-manifold, that $\{\rho_i\}$ is a sequence in $\mathcal{D}(\pi_1(M))$ converging to ρ , and that $\{\rho_i(\pi_1(M))\}$ converges geometrically to a Kleinian group $\hat{\Gamma}$. If Γ_1 and Γ_2 are two (possibly equal) topologically tame subgroups of $\rho(G)$ and if $\gamma \in \hat{\Gamma} - \rho(G)$, then $P(\Gamma_1, \gamma\Gamma_2\gamma^{-1}) = \emptyset$. Moreover, $\Lambda(\Gamma_1 \cap \gamma\Gamma_2\gamma^{-1}) = \Lambda(\Gamma_1) \cap \gamma(\Lambda(\Gamma_2))$, and $\Lambda(\Gamma_1 \cap \gamma\Gamma_2\gamma^{-1})$ contains at most one point.*

The second result, Proposition 5.2 from [3], is a consequence of Thurston's covering theorem [39], as generalized by Canary [10].

Proposition 3.8 *Suppose that M is a compact hyperbolizable 3-manifold, that $\{\rho_i\}$ is a sequence in $\mathcal{D}(\pi_1(M))$ converging to ρ , and that $\{\rho_i(\pi_1(M))\}$ converges geometrically to a*

Kleinian group $\widehat{\Gamma}$. Let $N = \mathbf{H}^3/\rho(G)$ and $\widehat{N} = \mathbf{H}^3/\widehat{\Gamma}$, and let $\pi: N \rightarrow \widehat{N}$ be the covering map. Let \mathcal{H} be a precisely invariant system of horoballs for $\rho(G)$. Suppose that $\rho(G)$ is topologically tame and that E is a geometrically infinite end of N^0 . Then, there is a neighborhood U of E such that the restriction of π to U is an injection.

4 Precisely embedded subgroups and relative compact carriers

In this section, we develop a geometric criterion, expressed in terms of the limit sets, which guarantees that a collection of generalized web subgroups of the fundamental group of a hyperbolic 3-manifold N is associated to a collection of disjoint submanifolds of N . This generalizes Proposition 6.1 in [3] which shows that a single precisely embedded generalized web subgroup is associated to a compact submanifold.

A generalized web subgroup Θ of a Kleinian group Γ is *precisely embedded* if it is maximal in the sense that $\text{st}_\Gamma(\Lambda(\Theta)) = \Theta$ and if, for each $\gamma \in \Gamma - \Theta$, there exists a component of $\Omega(\Theta)$ whose closure contains $\gamma(\Lambda(\Theta))$. More generally, a collection $\{\Gamma_1, \dots, \Gamma_n\}$ of generalized web subgroups of Γ is a *precisely embedded system* if each Γ_j is a precisely embedded subgroup of Γ and if, for $\gamma \in \Gamma$ and $j \neq k$, there is a component of $\Omega(\Gamma_j)$ whose closure contains $\gamma(\Lambda(\Gamma_k))$.

For the remainder of this section, we adopt the following notation. Let Γ be a torsion-free Kleinian group, let $N = \mathbf{H}^3/\Gamma$, let \mathcal{H} be a precisely invariant system of horoballs for Γ , and let $N^0 = (\mathbf{H}^3 - \mathcal{H})/\Gamma$. For a subgroup Γ_j of Γ , let \mathcal{H}_j denote the collection of those horoballs in \mathcal{H} which are based at fixed points of parabolic elements of Γ_j , let $N_j = \mathbf{H}^3/\Gamma_j$, and let $N_j^0 = (\mathbf{H}^3 - \mathcal{H}_j)/\Gamma_j$. Let $p_j: N_j \rightarrow N$, $q_j: \mathbf{H}^3 \rightarrow N_j$, and $\pi: \mathbf{H}^3 \rightarrow N$ be the covering maps. If R'_j is a relative compact core for N_j^0 and p_j is injective on R'_j , then we call the image $R_j = p_j(R'_j)$ in N a *relative compact carrier* of the subgroup Γ_j of Γ .

The main result of this section, Proposition 4.2, asserts that a precisely embedded system $\{\Gamma_1, \dots, \Gamma_n\}$ of nonconjugate generalized web subgroups of a torsion-free Kleinian group Γ has a system of disjoint relative compact carriers in \mathbf{H}^3/Γ . We begin by establishing a partial converse to Proposition 4.2, whose proof will serve as a guide for that of the main result. This partial converse demonstrates that the condition of being a precisely embedded system almost characterizes which collections of generalized web subgroups are associated to disjoint collections of compact submanifolds.

Lemma 4.1 *Let Γ be a torsion-free Kleinian group, and let $\{\Gamma_1, \dots, \Gamma_n\}$ be a collection of generalized web subgroups of Γ . Suppose there exists a disjoint collection $\{R_1, \dots, R_n\}$ of submanifolds of $N = \mathbf{H}^3/\Gamma$ such that, for all j , R_j is a relative compact carrier for Γ_j , and if R_j is an I -bundle, then no component of the closure of $N^0 - R_j$ is a compact twisted I -bundle whose associated ∂I -bundle lies in ∂R_j . Then, $\{\Gamma_1, \dots, \Gamma_n\}$ is a precisely embedded system of generalized web subgroups of Γ .*

In the proof of Lemma 4.1 and its converse, Proposition 4.2, we make extensive use of the notion of a spanning disc for a quasifuchsian or extended quasifuchsian subgroup. Roughly, a spanning disc is the lift to the universal cover of a properly embedded surface representing the subgroup. More precisely, a *spanning disc* for a quasifuchsian or extended quasifuchsian subgroup Θ of Γ is a disc D in \mathbf{H}^3 which is precisely invariant under Θ in Γ and which extends to a closed disc \overline{D} in $\mathbf{H}^3 \cup \overline{\mathbf{C}}$ with boundary $\Lambda(\Theta)$. (Recall that a subset X of $\mathbf{H}^3 \cup \overline{\mathbf{C}}$ is *precisely invariant* under a subgroup Θ of Γ if $\text{st}_\Gamma(X) = \Theta$ and if $\gamma(X) \cap X = \emptyset$ for all $\gamma \in \Gamma - \Theta$.) A collection $\{D_1, \dots, D_q\}$ of disjoint discs in \mathbf{H}^3 is a *system of spanning discs* for a precisely embedded system of quasifuchsian and extended quasifuchsian subgroups $\{\Theta_1, \dots, \Theta_q\}$ of Γ if each D_j is a spanning disc for Θ_j and if $\gamma(D_j)$ and D_k are disjoint for all $\gamma \in \Gamma$ and for all $k \neq j$. A key result used in the proof of Proposition 4.2 is that every precisely embedded system of quasifuchsian and extended quasifuchsian subgroups admits a system of spanning discs (see Lemma 6.3 in [3]).

Proof of Lemma 4.1: We begin by constructing an extension S_j of each R_j by appending the portions of the cusps of N which “project onto” R_j . Let $s: N - N^0 \rightarrow \partial N^0$ be the map which takes a point in $N - N^0$ to the closest point in ∂N^0 (i.e. the map given by perpendicular projection of each cusp onto its boundary). For each $1 \leq j \leq n$, set $S_j = R_j \cup s^{-1}(R_j \cap N^0)$ and let \tilde{S}_j denote the component of the preimage of S_j in \mathbf{H}^3 which is stabilized by Γ_j . In particular, each component T' of the closure $\overline{\partial R_j - \partial N^0}$ of $\partial R_j - N^0$ is a subset of a boundary component T of S_j and T is obtained from T' by “appending a cusp” to each component of $\partial T'$. Notice that \tilde{S}_j is precisely invariant under Γ_j in Γ . Moreover, since S_j and S_k are disjoint subsets of N , $\gamma(\tilde{S}_j)$ and \tilde{S}_k are disjoint for all $\gamma \in \Gamma$ and all $j \neq k$.

By assumption, R_j lifts to a relative compact core R'_j of N_j^0 . If D is a component of $\partial \tilde{S}_j$, then $\widehat{D} = q_j(D) \cap R'_j$ bounds a neighborhood of an end of N_j^0 . If \widehat{D} bounds a geometrically finite end of N_j^0 then D is a spanning disc for a (necessarily quasifuchsian) component subgroup $\text{st}_{\Gamma_j}(\Delta)$ of Γ_j . On the other hand, if \widehat{D} bounds a geometrically infinite end of N_j^0 and A is the component of $\mathbf{H}^3 - \tilde{S}_j$ bounded by D , then $\overline{A} \cap \overline{\mathbf{C}}$ is contained in $\Lambda(\Gamma_j)$.

We begin by showing that if $\gamma \in \Gamma - \Gamma_j$, then $\gamma(\Lambda(\Gamma_j))$ is contained in the closure of a component of $\Omega(\Gamma_j)$. If $\gamma \in \Gamma - \Gamma_j$, then \tilde{S}_j and $\gamma(\tilde{S}_j)$ are disjoint. Let D be the component of $\partial\tilde{S}_j$ which lies between $\gamma(\tilde{S}_j)$ and \tilde{S}_j . If $\widehat{D} = q_j(D) \cap R'_j$ bounds a neighborhood of a geometrically infinite end of N_j^0 , let Δ' be a component of $\gamma(\Omega(\Gamma_j))$, such that the region H in \mathbf{H}^3 between $\gamma(\tilde{S}_j)$ and Δ' is contained in the component A of $\mathbf{H}^3 - \tilde{S}_j$ bounded by D . Then $\Delta' \subset \overline{A} \cap \overline{C} \subset \Lambda(\Gamma_j)$, which is impossible, since $\Lambda(\Gamma_j)$ has empty interior. Therefore D must be a spanning disc for a component subgroup $\text{st}_{\Gamma_j}(\Delta)$ of Γ_j . Since $\gamma(\tilde{S}_j)$ is contained entirely between D and Δ , it follows that $\gamma(\Lambda(\Gamma_j)) \subset \overline{\Delta}$ as desired.

Now suppose that some $\gamma \in \Gamma - \Gamma_j$ fixes $\Lambda(\Gamma_j)$. We see from above that there exists a component Δ of $\Omega(\Gamma_j)$ such that $\gamma(\Lambda(\Gamma_j))$ lies in $\overline{\Delta}$. Thus $\Lambda(\Gamma_j)$ must be equal to $\partial\Delta$ and hence Γ_j is either quasifuchsian or extended quasifuchsian and so R_j is an I -bundle. Let $\Theta = \text{st}_{\Gamma}(\Lambda(\Gamma_j))$ and $N_{\Theta} = \mathbf{H}^3/\Theta$. Let \mathcal{H}_{Θ} denote the horoballs in \mathcal{H} which are based at parabolic fixed points of Θ and $N_{\Theta}^0 = (\mathbf{H}^3 - \mathcal{H}_{\Theta})/\Theta$. Then R_j lifts to a relative compact carrier R_{Θ} for Γ_j in Θ . Notice that since Θ is quasifuchsian or extended quasifuchsian, Γ_j is finitely generated and $\Lambda(\Gamma_j) = \Lambda(\Theta)$, Γ_j must have finite index in Θ . If Θ is quasifuchsian, then N_{Θ}^0 is an untwisted I -bundle over a compact surface and no proper finite index subgroup admits a relative compact carrier. So, Θ must be extended quasifuchsian, in which case N_{Θ}^0 is a twisted \mathbf{R} -bundle over a compact surface and the only proper finite index subgroup which admits a relative compact carrier is its index two quasifuchsian component subgroup. Thus, one component B of the closure of $N_{\Theta}^0 - R_{\Theta}$ is a compact twisted I -bundle whose associated ∂I -bundle lies in ∂R_{Θ} , and hence, since R_{Θ} is a lift of R_j , one may use Theorem 10.5 of Hempel [15] to check that one component of the closure of $N^0 - R_j$ is a compact twisted I -bundle whose associated ∂I -bundle lies in ∂R_j . Since we have explicitly ruled this situation out we may conclude that $\text{st}_{\Gamma}(\Lambda(\Gamma_j)) = \Gamma_j$ and thus that Γ_j is a precisely embedded subgroup of Γ .

If $\gamma \in \Gamma$ and $j \neq k$, then $\gamma(\tilde{S}_j)$ and \tilde{S}_k are disjoint, and so there exists a component D of $\partial\tilde{R}_k$ which lies between $\gamma(\tilde{S}_j)$ and \tilde{S}_k . We may argue exactly as before to show that D is a spanning disc of a component subgroup $\text{st}_{\Gamma_j}(\Delta)$ of Γ_j and that $\gamma(\Lambda(\Gamma_k))$ lies in the closure of Δ . This completes the proof that $\{\Gamma_1, \dots, \Gamma_n\}$ is a precisely embedded system of generalized web subgroups of Γ .

Lemma 4.1

The proof of Proposition 4.2 consists of reversing the process described in the proof of

the above lemma. It closely resembles the argument given in the proof of Lemma 6.1 in [3]. We first construct an equivariant collection of spanning discs for the component subgroups of the Γ_j . We then observe that the region bounded by these spanning discs is precisely invariant under Γ_j in Γ . Hence, if we construct a relative compact core for N_j^0 which lies in the image of this region in N_j^0 , it embeds in N^0 and hence provides a relative compact carrier for Γ_j in N^0 .

Proposition 4.2 *Let Γ be a torsion-free Kleinian group, and let $\{\Gamma_1, \dots, \Gamma_n\}$ be a precisely embedded system of nonconjugate generalized web subgroups of Γ . Then, there exists a disjoint collection $\{Y_1, \dots, Y_n\}$ of relative compact carriers for $\{\Gamma_1, \dots, \Gamma_n\}$ in $N = \mathbf{H}^3/\Gamma$.*

Proof of Proposition 4.2: Let $\{\Theta'_1, \dots, \Theta'_q\}$ denote a maximal collection of nonconjugate quasifuchsian subgroups of Γ which arise as component subgroups of groups in the collection $\{\Gamma_1, \dots, \Gamma_n\}$. Since each Γ_j is precisely embedded, Lemma 6.2 of [3] asserts that, for all m , either Θ'_m is precisely embedded in Γ , in which case we let $\Theta_m = \Theta'_m$, or is an index two subgroup of a precisely embedded extended quasifuchsian subgroup Θ_m of Γ . Combining this with the fact that $\{\Gamma_1, \dots, \Gamma_n\}$ is a precisely embedded system of generalized web subgroups of Γ , it is easy to check that $\{\Theta_1, \dots, \Theta_q\}$ is a precisely embedded system of quasifuchsian and extended quasifuchsian subgroups of Γ . Lemma 6.3 of [3] then implies that there exists a system $\{D_1, \dots, D_q\}$ of spanning discs for $\{\Theta_1, \dots, \Theta_q\}$ such that each $F_m = \pi(D_m)$ is a properly embedded surface in N . We may further assume that the intersection of each F_m with each component of $N - N^0$ is totally geodesic.

Let $\{B_1, \dots, B_q\}$ be a collection of pairwise disjoint, closed regular neighborhoods of the surfaces $\{F_1, \dots, F_q\}$ in N . As above, we may assume that each component of the intersection of each B_m with $N - N^0$ is bounded by a pair of totally geodesic surfaces. If Θ_m is quasifuchsian, then F_m is orientable and B_m is a standard I -bundle over F_m . If Θ_m is extended quasifuchsian, then F_m is nonorientable and B_m is a twisted I -bundle. Let \tilde{B}_m be the component of the preimage of B_m in \mathbf{H}^3 which contains D_m , and note that \tilde{B}_m is a closed regular neighborhood of D_m which is precisely invariant under Θ_m in Γ . Moreover, each component of $\partial\tilde{B}_m$ is a spanning disc for Θ'_m .

Renumber so that Γ_j is quasifuchsian or extended quasifuchsian if $1 \leq j \leq l$, and so that Γ_j is a web group if $l+1 \leq j \leq n$. For each quasifuchsian or extended quasifuchsian Γ_j , we may, perhaps after renumbering $\{\Theta_1, \dots, \Theta_q\}$, assume that $\Gamma_j = \Theta_j$. In this case,

$Y_j = B_j \cap N^0$ is a relative compact carrier for Γ_j in N^0 . By construction Y_j is disjoint from Y_k for $j \neq k$ and $1 \leq j, k \leq l$.

Suppose now that Γ_j is a web group. For each component Δ of $\Omega(\Gamma_j)$, there exists a translate \tilde{B}_Δ of an element of $\{\tilde{B}_1, \dots, \tilde{B}_q\}$ whose boundary components are spanning discs for $\text{st}_{\Gamma_j}(\Delta)$. Let \tilde{X}_Δ denote the component of $\mathbf{H}^3 - \tilde{B}_\Delta$ which does not contain Δ in its Euclidean closure in $\mathbf{H}^3 \cup \overline{\mathbf{C}}$. Let $\tilde{X}_j = \bigcap \tilde{X}_\Delta$, where the intersection is taken over all components Δ of $\Omega(\Gamma_j)$. By construction, \tilde{X}_j is invariant under Γ_j .

Now suppose that \tilde{X}_j intersects some translate $\gamma(\tilde{B}_m)$ of an element of $\{\tilde{B}_1, \dots, \tilde{B}_q\}$. Then some boundary component $\gamma(D)$ of $\gamma(\tilde{B}_m)$ either intersects the boundary of \tilde{X}_j transversely or lies in the interior of \tilde{X}_j . The first possibility is ruled out by the fact that all the translates of the elements of $\{\tilde{B}_1, \dots, \tilde{B}_q\}$ are disjoint. The second possibility implies that $\gamma(\Lambda(\Theta'_m)) \subset \Lambda(\Gamma_j)$. However, as Θ'_m is a component subgroup of some Γ_k and $\{\Gamma_1, \dots, \Gamma_n\}$ is a precisely embedded system of generalized web groups, this implies that $\gamma(\Lambda(\Theta_m))$ bounds a component Δ of $\Omega(\Gamma_j)$. Since both Γ_j and Θ_m are precisely embedded in Γ , $\text{st}_{\Gamma_j}(\Delta)$ must be equal to $\gamma\Theta'_m\gamma^{-1}$ and hence $\gamma(\tilde{B}_m) = \tilde{B}_\Delta$ is disjoint from \tilde{X}_j by construction. Therefore, each \tilde{X}_j must be disjoint from any translate of an element of $\{\tilde{B}_1, \dots, \tilde{B}_q\}$.

If \tilde{X}_j is not precisely invariant under Γ_j in Γ , either there exists a boundary component of \tilde{X}_j which intersects a boundary component of $\gamma(\tilde{X}_j)$ transversely for some $\gamma \in \Gamma - \Gamma_j$, or there exists a component D of $\partial\tilde{X}_j$ and an element $\gamma \in \Gamma - \Gamma_j$ so that $\gamma(D)$ lies in the interior of \tilde{X}_j . The former case is ruled out by the construction of $\{\tilde{B}_1, \dots, \tilde{B}_q\}$. In the latter case, $\gamma(D)$ bounds a translate of an element of $\{\tilde{B}_1, \dots, \tilde{B}_q\}$ which must itself intersect \tilde{X}_j , which we ruled out in the above paragraph. Therefore, \tilde{X}_j is precisely invariant under Γ_j in Γ . A very similar argument gives that $\gamma(\tilde{X}_j)$ and \tilde{X}_k are disjoint for all $\gamma \in \Gamma$ and all $j \neq k$ with $l+1 \leq j, k \leq n$.

Suppose that Q is a component of ∂N_j^0 and that H is a horoball in \mathcal{H} whose boundary covers Q and which is based at a parabolic fixed point $x \in \Lambda(\Gamma_j)$. If Δ is a component of $\Omega(\Gamma_j)$ and x is not in $\partial\Delta$, then \tilde{B}_Δ can't intersect H , so $H \subset \tilde{X}_\Delta$. If $x \in \partial\Delta$, then \tilde{B}_Δ intersects H in the region between two totally geodesic planes, so $H \cap \tilde{X}_\Delta$ is bounded by a totally geodesic hyperplane. Since x lies on the boundary of at most two components of $\Omega(\Gamma_j)$ and the boundaries of the \tilde{X}_Δ are disjoint, $H \cap \tilde{X}_j^0$ must be nonempty. If x is a rank 2 parabolic fixed point, it cannot lie in the boundary of any component of $\Omega(\Gamma_j)$, so no component of \tilde{B}_Δ can intersect H and Q must be contained entirely within \tilde{X}_j . Notice that in all cases, $H \cap \tilde{X}_j$ is an open topological half-space bounded by a properly embedded

topological plane.

Since \widetilde{X}_j is the complement of a disjoint collection of open topological half-spaces in \mathbf{H}^3 (which do not accumulate at any point in \mathbf{H}^3), it is contractible. Similarly, $\widetilde{X}_j^0 = \widetilde{X}_j - \mathcal{H}$ is contractible, as each component of $\mathcal{H} \cap \widetilde{X}_j$ is an open topological half-space whose boundary is a properly embedded topological plane and the components do not accumulate within \mathbf{H}^3 . Therefore, the inclusion of $X_j^0 = q_j(\widetilde{X}_j^0)$ into N_j^0 is a homotopy equivalence. If Q is a rank one component of ∂N_j^0 , then the argument in the above paragraph shows that $X_j^0 \cap Q$ contains an incompressible annulus, while if Q is a rank two component of ∂N_j^0 then it shows that $Q \subset X_j^0$. For any component Q of ∂N_j^0 , let A_Q be a compact core for ∂Q which is contained in X_j^0 . A theorem of McCullough [25] (see also Kulkarni and Shalen [21]) then implies that we may find a compact core Y_j' for X_j^0 so that $Y_j' \cap Q = A_Q$ for every component Q of ∂N_j^0 . Since the inclusion of X_j^0 into N_j^0 is a homotopy equivalence, Y_j' is also a relative compact core for N_j^0 . Since \widetilde{X}_j is precisely invariant under Γ_j in Γ , the restriction of p_j to Y_j' is injective. Hence, $Y_j = p_j(Y_j')$ is a relative compact carrier for Γ_j .

We have already observed that Y_j and Y_k are disjoint if $j \neq k$ and $1 \leq j, k \leq l$. If $1 \leq j \leq l$ and $l+1 \leq k \leq n$, then since \widetilde{X}_k is disjoint from each translate of \widetilde{B}_j , Y_j and Y_k are disjoint. Similarly, if $j \neq k$ and $l+1 \leq j, k \leq n$, we have observed that every translate of \widetilde{X}_j is disjoint from \widetilde{X}_k , which implies that Y_j and Y_k are disjoint. Therefore, we have obtained the desired collection of relative compact carriers.

Proposition 4.2

5 Shuffle homotopy equivalences

We introduce in this section the general theory of *shuffle homotopy equivalences*, or *shuffles*, defined to be homotopy equivalences which are homeomorphisms off of specified incompressible submanifolds. We observe that any shuffle has a homotopy inverse which is also a shuffle, and that compositions of shuffles are shuffles.

For $i = 1, 2$, let M_i be a compact, orientable, irreducible 3-manifold and let \mathcal{V}_i be a codimension-zero submanifold of M_i . Denote by $\text{Fr}(\mathcal{V}_i)$ the frontier of \mathcal{V}_i in M_i . (The frontier is the topological boundary, which equals $\overline{\partial \mathcal{V}_i} - \partial M_i$. We used the term frontier to avoid confusion with the manifold boundary $\partial \mathcal{V}_i$.) We always assume that $\text{Fr}(\mathcal{V}_i)$ is incompressible in M_i ; in particular, no component of $\text{Fr}(\mathcal{V}_i)$ is a 2-sphere or a boundary-parallel 2-disc.

To avoid trivial cases, we assume that \mathcal{V}_i is a nonempty proper subset of M_i . Since $\text{Fr}(\mathcal{V}_i)$ consists of a nonempty collection of incompressible surfaces, $\pi_1(M_i)$ is infinite, and each component of \mathcal{V}_i is either a 3-ball or has infinite fundamental group. Thus, since M_i and \mathcal{V}_i are irreducible, both M_i and \mathcal{V}_i are aspherical. A homotopy equivalence $h: M_1 \rightarrow M_2$ is a *shuffle*, with respect to \mathcal{V}_1 and \mathcal{V}_2 , if $h^{-1}(\mathcal{V}_2) = \mathcal{V}_1$ and h restricts to a homeomorphism from $\overline{M_1 - \mathcal{V}_1}$ to $\overline{M_2 - \mathcal{V}_2}$.

We begin by checking that a shuffle must restrict to a homotopy equivalence from \mathcal{V}_1 to \mathcal{V}_2 .

Lemma 5.1 *If $h: M_1 \rightarrow M_2$ is a shuffle with respect to \mathcal{V}_1 and \mathcal{V}_2 , then the restriction $h: (\mathcal{V}_1, \text{Fr}(\mathcal{V}_1)) \rightarrow (\mathcal{V}_2, \text{Fr}(\mathcal{V}_2))$ is a homotopy equivalence of pairs.*

Proof of Lemma 5.1: We first show that h determines a bijection between the sets of components of \mathcal{V}_1 and of \mathcal{V}_2 . It follows easily from the definition that each component of \mathcal{V}_2 contains the image of a component of \mathcal{V}_1 . Suppose for contradiction that \mathcal{V}_1 contains more components than \mathcal{V}_2 . For $i = 1, 2$, construct a graph Γ_i with a vertex for each component of $\overline{M_i - \mathcal{V}_i}$ and each component of \mathcal{V}_i , and with an edge for each component of the frontier of \mathcal{V}_i , which connects the vertices corresponding to the components of $\overline{M_i - \mathcal{V}_i}$ and \mathcal{V}_i that contain it. There is an obvious surjective map $p_i: M_i \rightarrow \Gamma_i$, which sends a product neighborhood of each component of $\text{Fr}(\mathcal{V}_i)$ onto the corresponding edge of Γ_i , and the rest of M_i to the vertices of Γ_i . Note that p_i induces a surjection on fundamental groups. There is also a map $\bar{h}: \Gamma_1 \rightarrow \Gamma_2$, induced by h , so that $\bar{h} \circ p_1$ is homotopic to $p_2 \circ h$. As h is a shuffle, $\overline{M_1 - \mathcal{V}_1}$ and $\overline{M_2 - \mathcal{V}_2}$ have the same number of components, while by assumption \mathcal{V}_1 has more components than \mathcal{V}_2 . Thus Γ_2 has fewer vertices than Γ_1 and the same number of edges. Therefore the free group $\pi_1(\Gamma_2)$ has larger rank than $\pi_1(\Gamma_1)$, so $\bar{h} \circ p_1$ cannot induce a surjection on fundamental groups. But $p_2 \circ h$ does induce a surjection on fundamental groups, a contradiction. So, h determines a bijection between the components of \mathcal{V}_1 and of \mathcal{V}_2 .

Let V_1 be a component of \mathcal{V}_1 and V_2 be the component of \mathcal{V}_2 which contains $h(V_1)$. Changing h by a homotopy which respects the hypotheses, we may assume that there is a basepoint v_1 in the topological interior of V_1 that h maps to a basepoint v_2 in the topological interior of V_2 . Since h is a homotopy equivalence and the frontiers of the \mathcal{V}_i are incompressible, h must induce an injection from $\pi_1(V_1)$ to $\pi_1(V_2)$. To see that h also induces a surjection from $\pi_1(V_1)$ to $\pi_1(V_2)$, let β be an element of $\pi_1(V_2)$. Since $h_*: \pi_1(M_1) \rightarrow \pi_1(M_2)$ is surjective,

there exists a loop $\alpha: (S^1, s_0) \rightarrow (M_1, v_1)$ representing an element of $\pi_1(M_1)$ carried to β by h_* . We may assume that α meets $\text{Fr}(\mathcal{V}_1)$ transversely. There is a map H of $S^1 \times I$ into M_2 which maps $S^1 \times \{0\}$ according to $h \circ \alpha$, maps $s_0 \times I$ to v_2 , and maps $S^1 \times \{1\}$ into V_2 . We may assume that H is transverse to $\text{Fr}(\mathcal{V}_2)$, and using the incompressibility of $\text{Fr}(\mathcal{V}_2)$, we may assume that the preimage of $\text{Fr}(\mathcal{V}_2)$ consists only of arcs and circles essential in $S^1 \times I$. Since $s_0 \times I$ maps to v_2 , there are no essential circles, and since $S^1 \times \{1\}$ maps into V_2 , all the arcs have endpoints in $S^1 \times \{0\}$. Let γ be one of these arcs. There is a disc E in $S^1 \times I$, disjoint from $s_0 \times I$, whose boundary consists of γ and a portion δ of $S^1 \times \{0\}$. Since h is a homeomorphism from $\text{Fr}(\mathcal{V}_1)$ to $\text{Fr}(\mathcal{V}_2)$, there is a unique path γ' mapping into $\text{Fr}(\mathcal{V}_1)$ such that $h \circ \gamma'$ is $H|_\gamma$. Replacing $S^1 \times I$ by the closure of $(S^1 \times I) - E$ and α by a new loop with the portion previously represented by $\alpha \circ \delta$ replaced by γ' , and making a small adjustment, we have a new loop α and a new homotopy H which has fewer arcs in the preimage of $\text{Fr}(\mathcal{V}_2)$. Repeating, we eventually find a loop α in V_1 such that $h \circ \alpha$ is homotopic in V_2 to β , showing that $h_*: \pi_1(V_1) \rightarrow \pi_1(V_2)$ is surjective. Since V_1 and V_2 are aspherical, we conclude that the restriction of h to V_1 is a homotopy equivalence to V_2 .

Finally, the restriction $h: (\mathcal{V}_1, \text{Fr}(\mathcal{V}_1)) \rightarrow (\mathcal{V}_2, \text{Fr}(\mathcal{V}_2))$ is a homotopy equivalence from \mathcal{V}_1 to \mathcal{V}_2 and is a homotopy equivalence from $\text{Fr}(\mathcal{V}_1)$ to $\text{Fr}(\mathcal{V}_2)$, since there it is a homeomorphism. It follows from basic theorems of algebraic topology that h is a homotopy equivalence of pairs; see for example Theorem V.3.8 of Whitehead [40].

Lemma 5.1

The next lemma is a converse to the previous one. More importantly, it shows that a shuffle has a homotopy inverse which is a shuffle, and agrees with the actual inverse on the complements of the \mathcal{V}_i .

Lemma 5.2 *For $i = 1, 2$, let M_i be a compact, orientable, irreducible 3-manifold, and let \mathcal{V}_i be a codimension-zero submanifold of M_i whose frontier $\text{Fr}(\mathcal{V}_i)$ is nonempty and incompressible. Let $h: M_1 \rightarrow M_2$ be a map such that*

- (i) $h^{-1}(\mathcal{V}_2) = \mathcal{V}_1$ and $h|_{\overline{M_1 - \mathcal{V}_1}}: \overline{M_1 - \mathcal{V}_1} \rightarrow \overline{M_2 - \mathcal{V}_2}$ is a homeomorphism, and
- (ii) $h|_{\mathcal{V}_1}: \mathcal{V}_1 \rightarrow \mathcal{V}_2$ is a homotopy equivalence.

Then, h is a homotopy equivalence, and there exists a homotopy inverse $\bar{h}: M_2 \rightarrow M_1$ for h so that $\bar{h}^{-1}(\mathcal{V}_1) = \mathcal{V}_2$, so that $\bar{h}|_{\overline{M_2 - \mathcal{V}_2}}$ is the inverse of $h|_{\overline{M_1 - \mathcal{V}_1}}$, and so that $\bar{h}|_{\mathcal{V}_2}: \mathcal{V}_2 \rightarrow \mathcal{V}_1$

is a homotopy equivalence. Moreover, $\bar{h} \circ h$ is homotopic to the identity relative to $\overline{M_1 - \mathcal{V}_1}$, and $h \circ \bar{h}$ is homotopic to the identity relative to $\overline{M_2 - \mathcal{V}_2}$.

Proof of Lemma 5.2: The restriction of h to \mathcal{V}_1 defines a map of pairs $h_0: (\mathcal{V}_1, \text{Fr}(\mathcal{V}_1)) \rightarrow (\mathcal{V}_2, \text{Fr}(\mathcal{V}_2))$ which is a homeomorphism from $\text{Fr}(\mathcal{V}_1)$ to $\text{Fr}(\mathcal{V}_2)$. As in the proof of Lemma 5.1, it follows that h_0 is a homotopy equivalence of pairs. Let $\bar{h}_0: (\mathcal{V}_2, \text{Fr}(\mathcal{V}_2)) \rightarrow (\mathcal{V}_1, \text{Fr}(\mathcal{V}_1))$ be a homotopy inverse for h_0 . On $\text{Fr}(\mathcal{V}_1)$, $\bar{h}_0 \circ h_0$ is homotopic to the identity, so $\bar{h}_0|_{\text{Fr}(\mathcal{V}_2)}$ is homotopic to $(h_0|_{\text{Fr}(\mathcal{V}_1)})^{-1}$. So we may assume that $\bar{h}_0|_{\text{Fr}(\mathcal{V}_2)} = (h_0|_{\text{Fr}(\mathcal{V}_1)})^{-1}$.

Consider now a homotopy of pairs K from $\bar{h}_0 \circ h_0$ to $1_{(\mathcal{V}_1, \text{Fr}(\mathcal{V}_1))}$. On a 2-manifold, any homotopy from the identity to the identity can be deformed to an isotopy from the identity to the identity; so, using the homotopy extension property, we may assume that K restricts on $\text{Fr}(\mathcal{V}_1) \times I$ to an isotopy J from $1_{\text{Fr}(\mathcal{V}_1)}$ to $1_{\text{Fr}(\mathcal{V}_1)}$. Consequently, we may change \bar{h}_0 by a homeomorphism supported in a collar neighborhood of $\text{Fr}(\mathcal{V}_1)$ in \mathcal{V}_1 to ensure that $\bar{h}_0 \circ h_0$ is homotopic to $1_{\mathcal{V}_1}$ relative to $\text{Fr}(\mathcal{V}_1)$. (Explicitly, let $\text{Fr}(\mathcal{V}_1) \times [0, 1]$ be a collar neighborhood of $\text{Fr}(\mathcal{V}_1)$ in \mathcal{V}_1 with $\text{Fr}(\mathcal{V}_1) = \text{Fr}(\mathcal{V}_1) \times \{0\}$, and define the homeomorphism j of \mathcal{V}_1 by $j(x, s) = (J_s^{-1}(x), s)$ in the collar and $j(v) = v$ for v not in the collar. An isotopy G from j to $1_{\mathcal{V}_1}$ is defined by letting $G_t(x, s) = (J_{t+s}^{-1}(x), s)$ for $0 \leq s \leq 1 - t$ and $G_t(v) = v$ for all other $v \in \mathcal{V}_1$. Since $(G_t \circ K_t)(x, 0) = G_t(J_t(x), 0) = (x, 0)$ for all t , the map $L: (\mathcal{V}_1 \times I, \text{Fr}(\mathcal{V}_1) \times I) \rightarrow (\mathcal{V}_1, \text{Fr}(\mathcal{V}_1))$ given by letting $L_t = G_t \circ K_t$ for all t is a homotopy from $(j \circ \bar{h}_0) \circ h_0$ to $1_{\mathcal{V}_1}$ relative to $\text{Fr}(\mathcal{V}_1)$.)

Similarly, there exists a left homotopy inverse h_1 of \bar{h}_0 so that $h_1 \circ \bar{h}_0$ is homotopic to $1_{\mathcal{V}_2}$ relative to $\text{Fr}(\mathcal{V}_2)$. Then, we have $h_1 \simeq h_1 \circ \bar{h}_0 \circ h_0 \simeq h_0$, with all homotopies relative to $\text{Fr}(\mathcal{V}_1)$, and so $h_0 \circ \bar{h}_0 \simeq 1_{\mathcal{V}_2}$ relative to $\text{Fr}(\mathcal{V}_2)$. Now we can define $\bar{h}: M_2 \rightarrow M_1$ by using \bar{h}_0 on \mathcal{V}_2 and the inverse of $h|_{\overline{M_1 - \mathcal{V}_1}}$ on $\overline{M_2 - \mathcal{V}_2}$. One easily checks that \bar{h} has the desired properties.

Lemma 5.2

6 The Characteristic Submanifold

In this section we prove that when one performs a shuffle with respect to the characteristic submanifold of a hyperbolizable 3-manifold, the image of the characteristic submanifold of the domain is the characteristic submanifold of the range. We begin by recalling the definition of the characteristic submanifold.

If $X \subseteq Y$, then by $\pi_1(X) \rightarrow \pi_1(Y)$ we mean the homomorphism induced by inclusion. When this homomorphism is injective, we regard $\pi_1(X)$ as a subgroup of $\pi_1(Y)$.

A surface X is *properly embedded* in a 3-manifold M if $\partial X = X \cap \partial M$. A torus or properly embedded annulus X is *essential* if $\pi_1(X) \rightarrow \pi_1(M)$ is injective and X is not properly homotopic into ∂M . If M is compact, orientable, irreducible and contains no essential tori, then it is said to be *atoroidal*. A properly embedded annulus X in M is *primitive* if it is incompressible and the generator of $\pi_1(X)$ is not a proper power in $\pi_1(M)$.

An embedded I -bundle R in M is *admissibly embedded* if $R \cap \partial M$ is the associated ∂I -bundle of R . An embedded Seifert fibered space R in M is *admissibly embedded* if $R \cap \partial M$ is a union of fibers in ∂R . An admissibly embedded I -bundle or Seifert fibered space R in M is *essential* if every component of the frontier of R in M is an essential torus or annulus in M . In particular, this implies that $\pi_1(R) \rightarrow \pi_1(M)$ is injective. A homotopy $F: R \times I \rightarrow M$ is *admissible* if $F_t(F_0^{-1}(\partial M)) \subseteq \partial M$ for all t .

A compact codimension-zero submanifold Σ of M has the *engulfing property* if every essential embedding $f: R \rightarrow M$ of an I -bundle or a Seifert fibered space into M is admissibly homotopic to a map with image in Σ . We define Σ to be a *characteristic submanifold* of M if Σ consists of a collection of essential I -bundles and Seifert fiber spaces having the engulfing property, and Σ is minimal in the sense that no proper subcollection of the components of Σ has the engulfing property. Jaco and Shalen [17] and Johannson [18] show that every compact, orientable, irreducible 3-manifold M with nonempty incompressible boundary contains a characteristic submanifold, and that any two characteristic submanifolds are admissibly isotopic in M . Hence, we often speak of *the* characteristic submanifold $\Sigma(M)$ of M .

By the Squeezing Theorem in Section V.1 of Jaco-Shalen [17] or by Proposition 10.8 of Johannson [18], the characteristic submanifold satisfies a stronger engulfing property: every essentially embedded fibered submanifold is admissibly isotopic into Σ .

The characteristic submanifold we use in this paper is that of Jaco and Shalen. It differs slightly from the one given in Johannson's formulation (using the boundary pattern consisting of the set of boundary components of M). The discrepancy arises from the fact that in Johannson's theory, any incompressible torus is considered to be essential, even if it is homotopic into ∂M . In particular, Johannson's characteristic submanifold must contain every torus boundary component of M , so it must be allowed to have components that are regular neighborhoods of torus boundary components. If such components are deleted, one

obtains the characteristic submanifold of Jaco and Shalen.

When M is a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, every Seifert fibered component V of $\Sigma(M)$ is homeomorphic to either a solid torus or a thickened torus, see Morgan [31] or Canary and McCullough [12]. If V is a solid torus, its frontier in M consists of a nonempty collection of essential annuli in M . If V is a thickened torus, one of its boundary tori is a boundary component of M , and its other boundary torus meets ∂M in a nonempty collection of annuli which are pairwise nonhomotopic in ∂M . Note that every component of the frontier of $\Sigma(M)$ is an essential annulus. If $\Sigma(M)$ contains a component V homeomorphic to a thickened torus such that at least two components of $V \cap \partial M$ are annuli, then M is said to have *double trouble*.

We are now prepared to prove the main result of the section.

Proposition 6.1 *Let M_1 and M_2 be compact, hyperbolizable 3-manifolds with nonempty incompressible boundary, and let \mathcal{V}_i be an essential fibered submanifold of M_i . Let $f: M_1 \rightarrow M_2$ be a shuffle with respect to \mathcal{V}_1 and \mathcal{V}_2 . Then \mathcal{V}_1 is a characteristic submanifold for M_1 if and only if \mathcal{V}_2 is a characteristic submanifold for M_2 .*

Proof of Proposition 6.1: Assume that \mathcal{V}_2 is a characteristic submanifold for M_2 . Since M_2 is hyperbolizable, every component of $\text{Fr}(\mathcal{V}_2)$ is an annulus. Since $f|_{\overline{M_1 - \mathcal{V}_1}}$ is a homeomorphism, every component of $\text{Fr}(\mathcal{V}_1)$ is an annulus as well.

Let Σ be a characteristic submanifold for M_1 . Then \mathcal{V}_1 is isotopic into Σ , so we may choose Σ so that \mathcal{V}_1 lies in the topological interior of Σ . Since $\text{Fr}(\mathcal{V}_1)$ consists of annuli, Corollary 5.7 of Johannson [18] shows that Σ admits an admissible fibering such that $\text{Fr}(\mathcal{V}_1)$ is vertical (i. e. is a union of fibers). This shows that $\overline{\Sigma - \mathcal{V}_1}$ is an essential fibered submanifold of M_1 , and moreover that each component of \mathcal{V}_1 is an admissibly fibered I -bundle, solid torus, or thickened torus (since these are the possible components for Σ and \mathcal{V}_1 is an essential fibered submanifold of Σ). We will prove that each component R_1 of $\overline{\Sigma - \mathcal{V}_1}$ is a product region with one end a component of $\text{Fr}(\mathcal{V}_1)$ and the other end a component of $\text{Fr}(\Sigma)$ disjoint from \mathcal{V}_1 . This implies that \mathcal{V}_1 is admissibly isotopic to Σ , and hence is characteristic.

Let R_2 be the image of R_1 under the homeomorphism $f|_{\overline{M_1 - \mathcal{V}_1}}$. Since R_1 is admissibly fibered, so is R_2 . We claim that R_2 is essential. Since \mathcal{V}_2 is essential, $R_2 \cap \mathcal{V}_2$ is essential. Suppose that F_2 is a component of $\text{Fr}(R_2)$ that does not lie in \mathcal{V}_2 . If F_2 is not essential, there is a proper homotopy carrying F_2 into ∂M_2 . Since $\text{Fr}(\mathcal{V}_2)$ is essential, this homotopy may be deformed off of $\text{Fr}(\mathcal{V}_2)$ to give a proper homotopy of F_2 into ∂M_2 which moves

F_2 only through $\overline{M_2 - \mathcal{V}_2}$. Under $(f|_{\overline{M_1 - \mathcal{V}_1}})^{-1}$, this corresponds to a proper homotopy of a component of $\text{Fr}(\Sigma)$ into ∂M_1 , a contradiction since Σ is essential. Therefore F_2 is essential, and the claim is proved.

By the engulfing property of \mathcal{V}_2 , R_2 is admissibly homotopic into \mathcal{V}_2 . For later reference, we isolate the next portion of the argument as a lemma.

Lemma 6.2 *Let M be a compact, orientable, irreducible 3-manifold. Let R be a compact connected 3-manifold embedded in M , with incompressible frontier, which is homotopic into $M - R$. Then R is a product of the form $F \times I$ where $F \times \{0\}$ is a component of $\text{Fr}(R)$. If the homotopy is admissible and every annulus component of $\text{Fr}(R)$ is essential, then the product structure can be chosen so that $\text{Fr}(R)$ equals $F \times \partial I$.*

Proof of Lemma 6.2: Since $\text{Fr}(R)$ is incompressible, R is also irreducible. Consider the covering space \widehat{M} of M corresponding to the subgroup $\pi_1(R)$ of $\pi_1(M)$. It contains a lift \widehat{R} of R , and $\pi_1(\widehat{R}) \rightarrow \pi_1(\widehat{M})$ is an isomorphism. This implies that each component W of the closure of $\widehat{M} - \widehat{R}$ meets one component G_W of $\text{Fr}(\widehat{R})$. Since $\text{Fr}(R)$ is incompressible, so is $\text{Fr}(\widehat{R})$, hence $\pi_1(G_W) \rightarrow \pi_1(W)$ an isomorphism. Let H be a homotopy carrying R into $M - R$. It lifts to a homotopy of \widehat{R} that moves \widehat{R} into one of the components X of the closure of $\widehat{M} - \widehat{R}$. This implies that the subgroup $\pi_1(X)$ equals $\pi_1(\widehat{R})$, and hence that $\pi_1(G_X) \rightarrow \pi_1(\widehat{R})$ is an isomorphism. By Theorem 10.5 of [15], \widehat{R} is a product $G_X \times I$ with $G_X = G_X \times \{0\}$.

Assume now that H and hence the lifted homotopy are admissible. Suppose that F is a component of $\widehat{R} \cap \partial \widehat{M}$. Since $\text{Fr}(\widehat{R})$ is incompressible, the circles of ∂F are not contractible in $\partial \widehat{M}$. The lifted homotopy carries F into $\partial \widehat{M} - F$. By the 2-dimensional analogue of the previous paragraph, F is an annulus, whose boundary curves are parallel in $\partial \widehat{M}$ to a component C of ∂G_X .

Since all components of $\widehat{R} \cap \partial \widehat{M}$ are annuli, we may choose the product structure $G_X \times I$ of \widehat{R} so that the components of $\widehat{R} \cap \partial \widehat{M}$ that meet G_X form $\partial G_X \times I$. To show that \widehat{R} , and hence R , have the desired product structures, we must prove that all components of $\widehat{R} \cap \partial \widehat{M}$ meet ∂G_X .

To motivate the remainder of the argument, we first give an example showing how components of $\widehat{R} \cap \partial \widehat{M}$ can fail to meet ∂G_X when $\text{Fr}(\widehat{R})$ contains inessential annuli. Start with $G \times [0, 1] \subset G \times \mathbf{R}$, where G is a compact surface with nonempty boundary. Let C be a boundary circle of G , and consider a small regular neighborhood of $C \times \{1\}$ in $G \times [1, \infty)$.

The frontier of this neighborhood in $G \times [1, \infty)$ is a properly embedded annulus; let N be a small regular neighborhood of this annulus in $G \times [1, \infty)$. Then $G \times [0, 1] \cup N$ is admissibly homotopic into $G \times (-\infty, 0)$, and its frontier consists of two copies of G plus an inessential annulus.

Assume now that every annulus of $\text{Fr}(R)$ and hence of $\text{Fr}(\widehat{R})$ is essential, and suppose for contradiction that some annulus A of $\widehat{R} \cap \partial \widehat{M}$ does not meet G_X . Since the boundary circles of A are parallel to some component C of ∂G_X , there is an annular component B of $\partial \widehat{M} - \widehat{R}$. Let Y be the component of the closure of $\widehat{M} - \widehat{R}$ that contains B , and let K be a compact core of Y that contains $G_Y \cup B$. Since $\pi_1(G_Y) \rightarrow \pi_1(K)$ is an isomorphism, Theorem 10.5 of [15] shows that K is an I -bundle with one end equal to G_Y . Since the other end must lie in B , G_Y must be an annulus. Therefore K is a solid torus in which G_Y is parallel to B . But then, G_Y is inessential. This contradiction proves that $\widehat{R} \cap \partial \widehat{M} = \partial G_X \times I$.

Lemma 6.2

Recall that R_2 is the image of R_1 under the homeomorphism $f|_{\overline{M_1 - \mathcal{V}_1}}$. Lemma 6.2 then implies that R_2 and hence R_1 have product structures whose ends equal the components of their frontiers, all of which are annuli. We now show that exactly one end of R_2 lies in \mathcal{V}_2 .

Suppose first that R_2 is disjoint from \mathcal{V}_2 , and hence that R_1 is disjoint from the components of Σ that meet \mathcal{V}_1 . Let F be an end of R_2 . Since F is properly homotopic into \mathcal{V}_2 , it must be parallel in $\overline{M_2 - \mathcal{V}_2}$ to a component of $\text{Fr}(\mathcal{V}_2)$. (Take a proper homotopy $H: F \times I \rightarrow M_2$ carrying F into the interior of \mathcal{V}_2 . By a well-known argument, as for example in Lemma 6.5 of [15], H can be deformed relative to its ends so that each component of the preimage of $\text{Fr}(\mathcal{V}_2)$ is incompressible, and hence is a copy of F parallel to $F \times \{0\}$. Restricting H to the region between $F \times \{0\}$ and one of these components yields a proper homotopy carrying F to a component of $\text{Fr}(\mathcal{V}_2)$. By Proposition 19.1 of Johannson [18], this implies that F is parallel to the component of $\text{Fr}(\mathcal{V}_2)$.) Since $f|_{\overline{M_1 - \mathcal{V}_1}}$ is a homeomorphism, the ends of R_1 are parallel to components of $\text{Fr}(\mathcal{V}_1)$. It follows that R_1 is admissibly homotopic into \mathcal{V}_1 . Therefore $\Sigma - R_1$ still has the engulfing property, contradicting the fact that the characteristic submanifold is a minimal submanifold having the engulfing property.

Suppose for contradiction that both ends of R_2 lie in \mathcal{V}_2 . Then both ends of R_1 lie in \mathcal{V}_1 . Since Σ can be fibered so that \mathcal{V}_1 is a union of fibers, the components (possibly the same component) of \mathcal{V}_1 that meet R_1 can both be I -fibered or can both be Seifert-fibered. Therefore the same is true for the components of \mathcal{V}_2 that meet R_2 . (A component of \mathcal{V}_1

can be I -fibered exactly when its fundamental group is free of rank at least 2, or when it is free of rank 1 and the component is admissibly fibered as an I -bundle over the annulus or Möbius band, and these properties are preserved by the homotopy equivalence of pairs $(\mathcal{V}_1, \text{Fr}(\mathcal{V}_1)) \rightarrow (\mathcal{V}_2, \text{Fr}(\mathcal{V}_2))$. A component of \mathcal{V}_1 can be Seifert fibered if and only if its fundamental group contains an infinite cyclic normal subgroup.) Since up to isotopy, the annulus admits a unique I -fibered and a unique S^1 -fibered, and R_2 is the product of an annulus and an interval, the fiberings on these components of \mathcal{V}_2 extend over R_2 . Therefore $\mathcal{V}_2 \cup R_2$ can be admissibly fibered. Now $\mathcal{V}_2 \cup R_2$ has the engulfing property, since \mathcal{V}_2 does. But $\mathcal{V}_2 \cup R_2$ is not homeomorphic to \mathcal{V}_2 , so is not characteristic. So the union of some proper subcollection of the components of \mathcal{V}_2 has the engulfing property. Taking a minimal such union would give a characteristic submanifold with fewer components than \mathcal{V}_2 , contradicting the uniqueness of \mathcal{V}_2 .

We have shown that exactly one end of R_2 lies in \mathcal{V}_2 . It follows that R_1 has exactly one end in \mathcal{V}_1 . The other must be a component of $\text{Fr}(\Sigma)$. Since this is true for all components of $\overline{\Sigma - \mathcal{V}_1}$, we conclude that Σ is isotopic to \mathcal{V}_1 , hence that \mathcal{V}_1 is characteristic.

Conversely, suppose that \mathcal{V}_1 is characteristic. Let g be a homotopy inverse of f obtained using Lemma 5.2. The previous case applies with g in the role of f , showing that \mathcal{V}_2 is characteristic.

Proposition 6.1

7 Primitive shuffle equivalence

We now begin to specialize the discussion of the previous several sections to the setting of shuffles with respect to collections of primitive solid tori. A solid torus V embedded in a compact, orientable, irreducible 3-manifold is *primitive* if its frontier consists of primitive annuli. In particular, the inclusion of each component of the frontier of V into V is a homotopy equivalence. In Lemma 7.1 we show that two shuffles which agree off of collections of primitive solid tori must differ up to homotopy by Dehn twists about annuli. We then formally define primitive shuffle equivalence and apply Lemma 7.1 to show that primitive shuffle equivalence determines a finite-to-one equivalence relation on $\mathcal{A}(M)$.

Let M be a compact, orientable, irreducible 3-manifold, and let A be an embedded annulus in M with $A \cap \partial M = \partial A$. Let $A \times [0, 1]$ be a collar neighborhood on one side of A ,

meeting ∂M in $\partial A \times [0, 1]$. Regarding A as $S^1 \times [0, 1]$, let $h_n: A \times [0, 1] \rightarrow A \times [0, 1]$ be a homeomorphism defined by $h_n((\exp(2\pi i u), v), w) = ((\exp(2\pi i(u + nw)), v), w)$. Thus h_n is the identity on $A \times \{0, 1\}$, and the annuli $A \times \{w\}$ rotate n full turns as one moves across the $[0, 1]$ -factor of $A \times [0, 1]$. A *Dehn twist about A* is a homeomorphism of M which equals h_n on $A \times [0, 1]$ and is the identity map on the complement of A . The following lemma relates Dehn twists about annuli to shuffles.

Lemma 7.1 *Let $s_0, s_1: M_1 \rightarrow M_2$ be shuffles with respect to \mathcal{V}_i , where the \mathcal{V}_i are unions of finitely many disjoint primitive solid tori in M_i . If $s_0|_{\overline{M_1 - \mathcal{V}_1}} = s_1|_{\overline{M_1 - \mathcal{V}_1}}$, then*

- (i) *there is a homeomorphism $r: M_1 \rightarrow M_1$, which is a composition of Dehn twists about frontier annuli of \mathcal{V}_1 , such that $s_0 \circ r$ is homotopic to s_1 relative to $\overline{M_1 - \mathcal{V}_1}$, and*
- (ii) *there is a homeomorphism $r': M_2 \rightarrow M_2$, which is a composition of Dehn twists about frontier annuli of \mathcal{V}_2 , such that $r' \circ s_0$ is homotopic to s_1 relative to $\overline{M_1 - \mathcal{V}_1}$.*

Proof of Lemma 7.1: Let V_1 be a component of \mathcal{V}_1 , and let V_2 be the component of \mathcal{V}_2 that contains $s_j(V_1)$. Let $r_j = s_j|_{V_1}$. Fix a frontier annulus A of V_1 , and let C be its center circle. Since the r_j agree on A , they induce the same isomorphism from $\pi_1(V_1)$ to $\pi_1(V_2)$, and therefore are homotopic. Let $H: V_1 \times I \rightarrow V_2$, be a homotopy from r_0 to r_1 and let $h = H|_{C \times I}$. Since r_0 and r_1 agree on C , h induces a map \bar{h} from the torus $W = C \times I / \langle (x, 0) \sim (x, 1) \rangle$ into V_2 . Let C' be a simple loop in W that intersects C in one point. Since A is primitive, C represents a generator of $\pi_1(V_1)$. Therefore we can write $\bar{h}_*(C') = n \cdot C$. Then $\bar{h}_*(C' - n \cdot C) = 0$ in $\pi_1(V_2)$. Note also that $C' - n \cdot C$ is representable by a simple loop in W that meets C in one point. This implies that $h: C \times I \rightarrow V_2$ is homotopic, relative to $C \times \{0, 1\}$, to a map into C . (To see this, note that, since $\bar{h}_*(C' - n \cdot C) = 0$, \bar{h} extends to the union of W with a 2-disc attached along $C' - n \cdot C$. Attaching a 3-ball to this union, one obtains a solid torus V , and \bar{h} extends over V since $\pi_2(V_2) = 0$. There is a homotopy of W , relative to C , that moves it through V onto C . This homotopy then gives the desired homotopy of h .)

By the homotopy extension property, we may assume that $h_t(C) = r_0(C)$ for all t . By a further adjustment, we may assume that $H_t(A) = r_0(A)$ for all t . Any homotopy from 1_A to 1_A can be deformed to an isotopy J that rotates A some number of times in the S^1 -direction. So we may assume that H_t has the form $r_0 \circ J_t$ on A for all t . If r_0 is precomposed by the correct Dehn twist about A , the composition will be homotopic to r_1 relative to A .

(A detailed construction of this type is given in the proof of Lemma 5.2.) Applying this reasoning to each of the frontier annuli of \mathcal{V}_1 , we obtain a product of Dehn twists r such that $r_0 \circ r$ is homotopic to r_1 relative to the frontier of \mathcal{V}_1 . Extending this homotopy to M_1 using the homeomorphism $s_0|_{\overline{M_1 - \mathcal{V}_1}}$ at each level gives the homotopy from $s_0 \circ r$ to s_1 . This proves (i).

For (ii), let s'_0 and s'_1 be the homotopy inverses for s_0 and s_1 obtained using Lemma 5.2. By (i), there exists $r': M_2 \rightarrow M_2$ such that $s'_1 \circ r' \simeq s'_0$ relative to $\overline{M_2 - \mathcal{V}_2}$. Therefore $s_1 \circ s'_1 \circ r' \circ s_0 \simeq s_1 \circ s'_0 \circ s_0$ relative to $\overline{M_1 - \mathcal{V}_1}$, and so $r' \circ s_0 \simeq s_1$ relative to $\overline{M_1 - \mathcal{V}_1}$.

Lemma 7.1

Let M_1 and M_2 be compact, oriented, irreducible 3-manifolds with nonempty incompressible boundary. A shuffle $s: M_1 \rightarrow M_2$ with respect to \mathcal{V}_1 and \mathcal{V}_2 is called *primitive* if

1. each \mathcal{V}_i is a collection of primitive solid torus components of $\Sigma(M_i)$, and
2. s restricts to an *orientation-preserving* homeomorphism from $\overline{M_1 - \mathcal{V}_1}$ to $\overline{M_2 - \mathcal{V}_2}$.

If M is a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, we say that two elements $[(M_1, h_1)]$ and $[(M_2, h_2)]$ of $\mathcal{A}(M)$ are *primitive shuffle equivalent* if there exists a primitive shuffle $s: M_1 \rightarrow M_2$ such that $[(M_2, h_2)] = [(M_2, s \circ h_1)]$. Note that by Lemma 5.2, a primitive shuffle has a homotopy inverse that is a primitive shuffle with respect to \mathcal{V}_1 and \mathcal{V}_2 . Also, a composition of primitive shuffles is homotopic to a primitive shuffle. For suppose that $s_1: M_1 \rightarrow M_2$ and $s_2: M_2 \rightarrow M_3$ are primitive shuffles. If the characteristic submanifold $\Sigma(M_2)$ used in the definition of s_1 equals the one used in the definition of s_2 , then the composition $s_2 \circ s_1$ is a primitive shuffle. If not, then since $\Sigma(M_2)$ is well-defined up to ambient isotopy, $s_2 \circ s_1$ is still homotopic to a primitive shuffle. Therefore, primitive shuffle equivalence determines an equivalence relation on $\mathcal{A}(M)$.

Define $\hat{\mathcal{A}}(M)$ to be the collection of equivalence classes, and let $q: \mathcal{A}(M) \rightarrow \hat{\mathcal{A}}(M)$ be the quotient map. The next theorem shows that q is finite-to-one.

Proposition 7.2 *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then the quotient map $q: \mathcal{A}(M) \rightarrow \hat{\mathcal{A}}(M)$ is finite-to-one.*

Proof of Proposition 7.2: Let $[(M_1, h_1)]$ be a fixed element of $\mathcal{A}(M)$ and suppose that $\mathcal{A}(M)$ contains infinitely many distinct marked homeomorphism types

$$\mathcal{C} = \{[(M_2, h_2)], \dots, [(M_j, h_j)], \dots\}$$

which are primitive shuffle equivalent to $[(M_1, h_1)]$. Fix primitive shuffles $s_j: M_1 \rightarrow M_j$ such that $s_j \circ h_1 \simeq h_j$. For each j , let $\overline{s}_j: M_j \rightarrow M_1$ be a primitive shuffle which is a homotopy inverse of s_j .

Let W_i be the union of the components of $\Sigma(M_i)$ that are solid tori, and let A_i be its collection of frontier annuli. By Lemma 5.1, $s_j: (W_1, A_1) \rightarrow (W_j, A_j)$ is a homotopy equivalence of pairs. Since the annuli are primitive, this implies that each pair (W_j, A_j) is homeomorphic to (W_1, A_1) .

Fix homeomorphisms $F_j: (W_1, A_1) \rightarrow (W_j, A_j)$. Let f_j be the restriction of F_j to a homeomorphism from A_1 to A_j , and let $g_j: A_1 \rightarrow A_j$ be the restriction of s_j to A_1 . Notice that both f_j and g_j are homeomorphisms. Since the mapping class group of A_1 is finite, there exist two distinct elements $[(M_k, h_k)]$ and $[(M_l, h_l)]$ of \mathcal{C} , such that $f_k^{-1} \circ g_k$ is isotopic to $f_l^{-1} \circ g_l$. That is, $g_l \circ g_k^{-1}$ is isotopic to $f_l \circ f_k^{-1}$. Changing F_l by an isotopy, we may assume that $g_l \circ g_k^{-1} = f_l \circ f_k^{-1}$.

Define $s' = s_l \circ \overline{s}_k$. Then $s' \circ h_k \simeq s_l \circ \overline{s}_k \circ h_k \simeq s_l \circ \overline{s}_k \circ s_k \circ h_1 \simeq h_l$. Notice that $F_l \circ F_k^{-1}$ is a homeomorphism from (W_k, A_k) to (W_l, A_l) which agrees with s' on A_k . Define $s'': M_k \rightarrow M_l$ using s' on $\overline{M_k} - \overline{W_k}$ and $F_l \circ F_k^{-1}$ on W_k . Lemma 7.1 then implies that there exists an orientation-preserving homeomorphism $r: M_k \rightarrow M_k$ such that $s'' \circ r$ is homotopic to s' . Since $s'' \circ r$ is an orientation-preserving homeomorphism and $s'' \circ r \circ h_k \simeq h_l$, we see that $[(M_k, h_k)] = [(M_l, h_l)]$, which is a contradiction.

Proposition 7.2

8 The continuity of $\widehat{\Psi}$

This section is devoted to the proof of Theorem A.

Theorem A: *Let M be a compact, hyperbolizable 3-manifold with incompressible boundary. Suppose that $\{\rho_i\}$ converges to ρ in $AH(\pi_1(M))$. Then, for all sufficiently large i , $\Psi(\rho_i)$ is*

primitive shuffle equivalent to $\Psi(\rho)$. Moreover, if $\{\rho_i(\pi_1(M))\}$ is geometrically convergent (not necessarily to $\rho(\pi_1(M))$), then $\Psi(\rho_i)$ is eventually constant.

As the argument is rather intricate, we will begin with an outline.

Outline of the proof of Theorem A: We first reduce to the case that $\{\rho_i(\pi_1(M))\}$ converges geometrically to a Kleinian group $\widehat{\Gamma}$. Lemma 3.5 produces a sequence $\{f_i: Z_i \rightarrow N_i\}$ of biLip-schitz diffeomorphisms of submanifolds $\{Z_i\}$ of the geometric limit $\widehat{N} = \mathbf{H}^3/\widehat{\Gamma}$ to submanifolds of the approximates $\{N_i = \mathbf{H}^3/\rho_i(\pi_1(M))\}$. If $\widehat{\Gamma} = \rho(\pi_1(M))$ (i. e. the algebraic and geometric limits agree) and M_0 is a compact core for the algebraic limit $N = \mathbf{H}^3/\rho(\pi_1(M))$, then $f_i(M_0)$ is a compact core of N_i for all large enough i . It is then easy to check that the approximates and the algebraic limit have the same marked homeomorphism type for all large enough i . One may apply the same argument whenever there is a compact core for the algebraic limit which embeds (via the obvious covering map $\pi: N \rightarrow \widehat{N}$) in the geometric limit.

In the general case, we build a compact core M_1 for the algebraic limit with the property that after one removes a finite collection \mathcal{U} of solid tori (all lying within rank one cusps), the results of Section 4 may be used to show that the remainder $M_1 - \mathcal{U}$ embeds in the geometric limit \widehat{N} (via the covering map π). One then constructs a submanifold M_2 of the geometric limit \widehat{N} , which is homotopy equivalent to M_1 , by appending a finite collection of solid tori (lying in cusps of the geometric limit) to the image $\pi(M_1 - \mathcal{U})$ of $M_1 - \mathcal{U}$. Moreover, we construct a primitive shuffle $\phi: M_1 \rightarrow M_2$ which agrees with the covering map wherever possible. We then show that $f_i(M_2)$ is a compact core for N_i for all large enough i . One then checks that if $\Psi(\rho) = [(M_1, \psi)]$, then $\Psi(\rho_i) = [(M_2, \phi \circ \psi)]$ for all large enough i , which establishes the theorem.

Proof of Theorem A: We may assume that $\pi_1(M)$ is nonabelian, since if $\pi_1(M)$ is abelian, then M is a thickened torus and $\mathcal{A}(M)$ contains a single element.

We will show that if $\{\rho_i(\pi_1(M))\}$ converges geometrically to a Kleinian group $\widehat{\Gamma}$, then $\Psi(\rho_i)$ is eventually constant and $\Psi(\rho_i)$ is primitive shuffle equivalent to $\Psi(\rho)$ for all large enough i . As every subsequence of $\{\rho_i\}$ has a subsequence that converges geometrically, this shows that only finitely many of the $\Psi(\rho_i)$ can fail to be primitive shuffle equivalent to $\Psi(\rho)$, proving Theorem A.

Let $N = \mathbf{H}^3/\rho(\pi_1(M))$ and $\widehat{N} = \mathbf{H}^3/\widehat{\Gamma}$, and let $\pi: N \rightarrow \widehat{N}$ be the covering map. Since

M has incompressible boundary, $\pi_1(M)$ is freely indecomposable, so Bonahon's theorem [7] implies that N is topologically tame. Let $\widehat{\mathcal{H}}$ be a precisely invariant system of horoballs for $\widehat{\Gamma}$ and let \mathcal{H} be the set of horoballs in $\widehat{\mathcal{H}}$ based at fixed points of parabolic elements of $\rho(\pi_1(M))$. Note that \mathcal{H} is a precisely invariant system of horoballs for $\rho(\pi_1(M))$. Let $N^0 = (\mathbf{H}^3 - \mathcal{H})/\rho(\pi_1(M))$, $\widehat{N}^0 = (\mathbf{H}^3 - \widehat{\mathcal{H}})/\widehat{\Gamma}$, and $N_i = \mathbf{H}^3/\rho_i(\pi_1(M))$. Let $\{f_i: Z_i \rightarrow N_i\}$ be the sequence of orientation-preserving biLipschitz diffeomorphisms produced by Lemma 3.5.

The strongly convergent case: We first suppose that $\widehat{\Gamma} = \rho(\pi_1(M))$. Let M_0 be a compact core for N . Since $M_0 \subset Z_i$ for all large enough i , we may define $X_i = f_i(M_0)$. Lemma 3.6 implies that if g_i is the restriction of f_i to M_1 , then $(g_i)_* = \rho_i \circ \rho^{-1}$, as maps of $\pi_1(M_1) = \rho(\pi_1(M))$ into $\rho_i(\pi_1(M))$, for all large enough i , and so X_i is a compact core for N_i for all large enough i . Therefore, if $\psi: M \rightarrow M_0$ is a homotopy equivalence such that ψ_* is conjugate to ρ , then $\Psi(\rho_i) = [(X_i, g_i \circ \psi)]$ is equal to $\Psi(\rho) = [(M_0, \psi)]$ for all large enough i .

Suppose now that $\Omega(\rho(\pi_1(M)))$ is empty. Since N is topologically tame, Theorem 9.2 of Canary [10] guarantees that $\widehat{\Gamma} = \rho(\pi_1(M))$, and so Theorem A holds.

For the remainder of the proof, we may assume that $\widehat{\Gamma}$ is not equal to $\rho(\pi_1(M))$ and that $\Omega(\rho(\pi_1(M)))$ is nonempty.

Decomposing the compact core: Let (M_0, P_0) be a relative compact core for N^0 . Since M_0 is homotopy equivalent to M , M_0 also has nonempty incompressible boundary (see Section 2.8 in [12] or Proposition 1.2 in Bonahon [7]). Moreover, since P_0 is a collection of incompressible annuli and tori in ∂M_0 , each component of $\partial M_0 - P_0$ is an incompressible surface.

There exists a maximal collection $\mathcal{A} = \{A_1, \dots, A_l\}$ of disjoint, nonparallel, essential annuli in M_0 such that for each i , one boundary component of A_i lies in P_0 and the other lies in a component of $\partial M_0 - P_0$. Let $\mathcal{U} = \{\mathcal{U}(A_1), \dots, \mathcal{U}(A_l)\}$ be a collection of open submanifolds of M_0 with disjoint closures, so that each $\mathcal{U}(A_i)$ is a regular neighborhood of A_i whose closure intersects P_0 in an annulus contained in the interior of P_0 . Set $M' = M_0 - \left(\bigcup_{i=1}^l \mathcal{U}(A_i)\right)$.

Let V be a component of M' and set $B = \overline{\partial V} - \overline{\partial M_0}$. Every component of B is an essential annulus which is properly homotopic to some element of \mathcal{A} . Notice that this implies that $\pi_1(V)$ injects into $\pi_1(M)$. In the next two paragraphs we show that no component of M' is either a solid torus or a thickened torus.

Suppose that V is a solid torus. Note that by Lemma 3.1, any annuli of $P_0 \cap V$, hence

also any annuli of B , must be primitive in V . If B is empty, then $V = M_0$, contradicting the fact that $\pi(M_0) \cong \pi_1(M)$ is nonabelian. If B has only one component, then B is properly homotopic to an annulus with both boundary circles in P_0 , and Lemma 3.1 would show that B is not essential. If B has two components, they must be properly homotopic, so must form the frontier of a single $\mathcal{U}(A_i)$. This would imply that either M_0 is a thickened torus, contradicting the fact that $\pi_1(M)$ is nonabelian, or that M_0 is an I -bundle over the Klein bottle, contradicting Lemma 3.1. If B has more than two components, then there exists a properly embedded incompressible annulus A in V joining two components of $V \cap P_0$ such that both components of $V - A$ contain components of B . By Lemma 3.1, A is properly homotopic into P_0 , showing that A is the frontier of a solid torus W in M_0 such that $W \cap \partial M_0 \subset P_0$. By the choice of A , there is a component of B in W , which contradicts the fact that each component of B is essential. Therefore, no component of M' is a solid torus.

Suppose that V is a thickened torus. Since $\pi_1(M_0)$ is nonabelian, $V \neq M_0$ so B is nonempty. Let T be a component of ∂V containing an annulus component A of B that meets a component P_0^1 of P_0 . By Lemma 3.1, T is homotopic into a torus component of P_0 , necessarily P_0^1 . Also by Lemma 3.1, the other boundary circle of A must lie in $\partial M_0 - P_0^1$, so A is nonseparating. Let \widehat{M}_0 be the infinite cyclic cover formed by splitting M_0 along A and laying copies end-to-end. Then T lifts to \widehat{M}_0 and the homotopy of T into P_0^1 lifts to a homotopy carrying the lifted T into an open annulus that covers P_0^1 . Therefore $\pi_1(T)$ is conjugate into an infinite cyclic subgroup of $\pi_1(M_0)$, which is impossible since T is incompressible.

Finding relative compact carriers: Let $\{R_1, \dots, R_n\}$ be the components of M' . For each $1 \leq j \leq n$, let $\Gamma_j = \pi_1(R_j) \subset \rho(\pi_1(M))$. (Notice that Γ_j is really only well-defined up to conjugacy.) Since no R_j is homeomorphic to either a solid torus or a thickened torus, each Γ_j is nonabelian. Let \mathcal{H}_j be the horoballs in $\widehat{\mathcal{H}}$ centered at parabolic fixed points of Γ_j . Then \mathcal{H}_j is a precisely invariant set of horoballs for Γ_j . Set $\widetilde{N}_j = \mathbf{H}^3/\Gamma_j$ and $\widetilde{N}_j^0 = (\mathbf{H}^3 - \mathcal{H}_j)/\Gamma_j$, and let $p_j: \widetilde{N}_j \rightarrow N$ be the obvious covering map. Note that R_j lifts to a relative compact core \widetilde{R}_j for \widetilde{N}_j , and that R_j is a relative compact carrier for Γ_j in N . Let $P_j = R_j \cap \partial N^0$.

Notice that $\cup_j(\partial R_j - P_j)$ is obtained from ∂M_0 by removing a collection of incompressible annuli. Hence, each component of $\partial R_j - P_j$ is incompressible. By construction, R_j contains no essential annulus with one boundary in P_j and the other in $\partial R_j - P_j$, and so Lemma 3.2 implies that Γ_j is either a generalized web group or a degenerate group without accidental

parabolics.

Renumber so that $\{\Gamma_1, \dots, \Gamma_m\}$ are generalized web groups and so that $\{\Gamma_{m+1}, \dots, \Gamma_n\}$ are degenerate groups. By construction, $\{\Gamma_1, \dots, \Gamma_n\}$ are the vertex groups of a Bass-Serre graph for Γ which has infinite cyclic edge groups. It follows, since each Γ_j is nonabelian, that the groups $\{\Gamma_1, \dots, \Gamma_n\}$ are nonconjugate. Since $\{R_1, \dots, R_m\}$ is a disjoint collection of relative compact carriers for $\{\Gamma_1, \dots, \Gamma_m\}$ and each component of the closure of $N^0 - R_j$ is noncompact, Lemma 4.1 guarantees that $\{\Gamma_1, \dots, \Gamma_m\}$ is a precisely embedded system of generalized web subgroups of $\rho(\pi_1(M))$.

Suppose that $1 \leq j, k \leq m$, so that Γ_j and Γ_k are generalized web groups. If $\gamma \in \widehat{\Gamma} - \rho(\pi_1(M))$, then Proposition 3.7 guarantees that $\gamma(\Lambda(\Gamma_j)) \cap \Lambda(\Gamma_k)$ contains at most one point. Since the boundary of every component of $\Omega(\Gamma_j)$ and of $\Omega(\Gamma_k)$ is a Jordan curve, this implies that $\gamma(\Lambda(\Gamma_k))$ is contained in the closure of a component of $\Omega(\Gamma_j)$. We conclude that $\{\Gamma_1, \dots, \Gamma_m\}$ is a precisely embedded system of generalized web subgroups of $\widehat{\Gamma}$. Hence, Proposition 4.2 guarantees that there exists a disjoint collection $\{\widehat{Y}_1, \dots, \widehat{Y}_m\}$ of relative compact carriers for $\{\Gamma_1, \dots, \Gamma_m\}$ in \widehat{N}^0 .

We have just constructed relative compact carriers for the generalized web groups. In the next two paragraphs we construct relative compact carriers for the degenerate groups. Suppose that $m+1 \leq j \leq n$, so that Γ_j is a degenerate group without accidental parabolics. Bonahon's theorem [7] implies that \widetilde{N}_j^0 is homeomorphic to $F_j \times \mathbf{R}$ and that \widetilde{R}_j may be identified with $F_j \times [-1, 0]$. Let \widetilde{U}_j be the component of $\widetilde{N}_j^0 - \widetilde{R}_j$ which is a neighborhood of the geometrically infinite end of \widetilde{N}_j^0 . We may assume that \widetilde{U}_j is identified with $F_j \times (0, \infty)$. Thurston's covering theorem, see [10], guarantees that the covering map $p_j: \widetilde{N}_j \rightarrow N$ is finite-to-one on \widetilde{U}_j . If p_j is not injective on \widetilde{U}_j then each component of $p_j^{-1}(\text{Fr}(R_j))$ is a properly embedded compact incompressible surface in \widetilde{U}_j and hence isotopic to $F_j \times \{0\}$. Thus, the component \widetilde{B} of the closure of $\widetilde{U}_j - p_j^{-1}(R_j)$ which is adjacent to \widetilde{R}_j is compact. However, this would imply that $B = p_j(\widetilde{B})$ is a compact component of $N^0 - R_j$ which is impossible. Thus p_j is injective on \widetilde{U}_j and $U_j = p_j(\widetilde{U}_j)$ is a neighborhood of a geometrically infinite end E_j of N^0 .

Since N is topologically tame and E_j is geometrically infinite, Proposition 3.8 implies that there exists a neighborhood U'_j of E_j that embeds in \widehat{N} . Since we may choose U'_j to be identified with $F_j \times (k, \infty)$ (for some $k > 0$), we may find a relative compact carrier \widehat{Y}_j for Γ_j in \widehat{N} which is disjoint from all the previously constructed relative compact carriers. Hence, we may successively extend $\{\widehat{Y}_1, \dots, \widehat{Y}_m\}$ to a disjoint collection $\{\widehat{Y}_1, \dots, \widehat{Y}_n\}$ of relative compact

carriers for $\{\Gamma_1, \dots, \Gamma_n\}$ in \widehat{N} . Notice that we may lift $\{\widehat{Y}_1, \dots, \widehat{Y}_n\}$ to a disjoint collection $\{Y_1, \dots, Y_n\}$ of relative compact carriers for $\{\Gamma_1, \dots, \Gamma_n\}$ in N^0 .

Organizing the cusps: It will be useful to characterize how cuspidal regions in N cover cuspidal regions in \widehat{N} . Given a parabolic fixed point x of $\rho(\pi_1(M))$, let H be the horoball in $\widehat{\mathcal{H}}$ based at x . Then, $Q = \partial H / \Gamma_x$ is a component of ∂N^0 , where $\Gamma_x = \text{st}_{\rho(\pi_1(M))}(x)$. We note, by the construction of $\widehat{\mathcal{H}}$, that $\pi(Q) = \partial H / \widehat{\Gamma}_x$, where $\widehat{\Gamma}_x = \text{st}_{\widehat{\Gamma}}(x)$.

If Q is a torus, then $\widehat{\Gamma}_x$ and Γ_x both have rank 2, so Γ_x has finite index in $\widehat{\Gamma}_x$. In this case, Lemma 3.4 implies that $\Gamma_x = \widehat{\Gamma}_x$, and so Q embeds in \widehat{N} .

If Q is an annulus, then Γ_x has rank 1. If $\widehat{\Gamma}_x$ also has rank 1, then again Lemma 3.4 implies that $\Gamma_x = \widehat{\Gamma}_x$, and Q embeds in \widehat{N} . If $\widehat{\Gamma}_x$ has rank 2, then $\pi(Q)$ is a torus and Lemma 3.4 implies that some core curve for Q embeds in $\pi(Q)$.

We observe that if Q and Q' are distinct components of ∂N^0 , then $\pi(Q)$ and $\pi(Q')$ are distinct components of $\partial \widehat{N}^0$. If not, then since $\widehat{\mathcal{H}}$ is a precisely invariant system of horoballs for $\widehat{\Gamma}$, we have that $\pi(Q) = \pi(Q')$. Let $Q = \partial H / \Gamma_x$ and $Q' = \partial H' / \Gamma_{x'}$. Since $\pi(Q) = \pi(Q')$, there exists $\gamma \in \widehat{\Gamma} - \rho(\pi_1(M))$ such that $\gamma(x) = x'$. Proposition 3.7 implies that $\gamma\Gamma_x\gamma^{-1}$ and $\Gamma_{x'}$ do not together generate a rank two abelian subgroup of $\widehat{\Gamma}$. Therefore, $\gamma\Gamma_x\gamma^{-1} \cap \Gamma_{x'}$ is nonempty. Let $\rho(g)$ and $\rho(g')$ be elements of Γ_x and $\Gamma_{x'}$, respectively, such that $\gamma\rho(g)\gamma^{-1} = \rho(g')$. Writing $\gamma = \lim \gamma_i$, we see that $\gamma_i\rho_i(g)\gamma_i^{-1} = \rho_i(g')$ for large enough i (see Lemma 3.6 in Jørgensen and Marden [20]). If $\gamma_i = \rho_i(h_i)$, then $h_i g h_i^{-1} = g'$, so $\rho(h_i)(x) = x'$, contradicting our assumption that Q and Q' are distinct components of ∂N^0 .

Enumerate the components of ∂N^0 as $Q_1, \dots, Q_s, Q_{s+1}, \dots, Q_t, Q_{t+1}, \dots, Q_u$, so that if $1 \leq k \leq s$, then Q_k is an infinite annulus which covers a torus in \widehat{N} , if $s+1 \leq k \leq t$, then Q_k is an infinite annulus which embeds in \widehat{N} , and if $t+1 \leq k \leq u$, then Q_k is a torus, which necessarily embeds in \widehat{N} . Renumber the first s as $Q_1, \dots, Q_r, Q_{r+1}, \dots, Q_s$ so that if $1 \leq k \leq r$, then $(\bigcup_j Y_j) \cap Q_k$ has at least 3 components, and if $r+1 \leq k \leq s$, then $(\bigcup_j Y_j) \cap Q_k$ has at most two components.

Constructing collar neighborhoods: The following explicit construction of a collar neighborhood of a submanifold of the boundary of a cuspidal region will be used in many of the constructions in the proof. Let $X = \mathbf{H}^3 / \Theta$ be a hyperbolic 3-manifold and let \mathcal{H}_X be a precisely invariant system of horoballs for Θ . If C is a component of \mathcal{H}_X / Θ , then there exists a homeomorphism $\widehat{r}: C \rightarrow \partial C \times [0, \infty)$ given by $\widehat{r}(x) = (y, t)$, where y is the orthogonal projection of x onto ∂C and t is the hyperbolic distance between x and y . If Z is a

submanifold of ∂C , set $\mathcal{N}(Z) = \widehat{r}^{-1}(Z \times [0, 1])$.

A new compact core for the algebraic limit: Let $M_0^+ = M_0 \cup \mathcal{N}(P_0)$. Since M_0^+ is obtained from M_0 by appending collar neighborhoods of each component of P_0 , M_0^+ is also a compact core for N . Let $M'_0 = M' \cup \mathcal{N}(P_0)$. Then M_0^+ is obtained from M'_0 by appending \mathcal{U} . Each component U of \mathcal{U} is a solid torus attached to M'_0 along an annulus which is primitive in U . Thus, since M_0^+ is a compact core for N , so is M'_0 .

If $1 \leq k \leq t$, so that Q_k is an annulus, let C_k be the minimal annulus in Q_k which contains $(\cup_j Y_j) \cap Q_k$. If $t+1 \leq k \leq u$, so that Q_k is a torus, let $C_k = Q_k$.

Let $M_1 = (\cup_{j=1}^n Y_j) \cup (\cup_{k=1}^u \mathcal{N}(C_k))$. We claim that M_1 is also a compact core for N . We establish our claim by constructing a homotopy equivalence $h: M'_0 \rightarrow M_1$ and a homotopy $L: M'_0 \times I \rightarrow N$ from the inclusion $i_{M'_0}$ to $i_{M_1} \circ h$.

Lemma 3.3 implies that there are homotopies $H_j: R_j \times I \rightarrow N^0$ such that $(H_j)_0$ is the inclusion and $(H_j)_1$ carries R_j homeomorphically to Y_j , and moreover such that $H_j((R_j \cap \partial N^0) \times I) \subset \partial N^0$. Define a partial homotopy $J: P_0 \times \{0\} \cup ((\cup_{j=1}^n R_j) \cap P_0) \times I \rightarrow \partial N^0$ by letting J_0 equal the inclusion and using the restrictions of the homotopies H_j on $((\cup_{j=1}^n R_j) \cap P_0) \times I$. By the homotopy extension property, J extends to all of $P_0 \times I$. This extends using the H_j to a homotopy $K: (P_0 \cup (\cup_{j=1}^n R_j)) \times I \rightarrow N^0$ which carries $P_0 \times I$ into ∂N^0 .

Let $G: \partial N^0 \times I \rightarrow \partial N^0$ be a deformation retraction onto $\cup_{k=1}^u C_k$, i.e. G_0 is the identity, G_1 is a retraction onto $\cup_{k=1}^u C_k$, and each G_t restrict to the identity on $\cup_{k=1}^u C_k$. Extend G using the identity maps on $\cup_{j=1}^n Y_j$ to a deformation retraction $G: (\partial N^0 \cup (\cup_{j=1}^n Y_j)) \times I \rightarrow \partial N^0 \cup (\cup_{j=1}^n Y_j)$ onto $(\cup_{k=1}^u C_k) \cup (\cup_{j=1}^n Y_j)$. By the homotopy extension property, G extends to a homotopy $G: N^0 \times I \rightarrow N^0$ with G_0 equal to the identity map.

The homotopy $L: (P_0 \cup (\cup_{j=1}^n R_j)) \times I \rightarrow N^0$ given by letting $L_t = G_t \circ K_t$ for all t , starts at the inclusion of $P_0 \cup (\cup_{j=1}^n R_j)$ into N^0 and ends at a map carrying $P_0 \cup (\cup_{j=1}^n R_j)$ into $(\cup_{k=1}^u C_k) \cup (\cup_{j=1}^n Y_j)$. The map L_1 is a homeomorphism on each R_j (since it agrees with $(H_j)_1$) and carries P_0 into $\cup_{k=1}^u C_k$. Since $L_t(\partial N^0) \subseteq \partial N^0$ for each t , we may extend L over $\mathcal{N}(P_0) \times I$ by setting $L(\widehat{r}^{-1}(x, s), t) = \widehat{r}^{-1}(L(x, t), s)$. Define $h = i_{M_1}^{-1} \circ L_1$. Lemma 5.2 implies that h is a homotopy equivalence from M'_0 to M_1 , so L is the desired homotopy.

Since M'_0 is a compact core and $i_{M_1} \circ h$ is homotopic to $i_{M'_0}$, M_1 is also a compact core. Hence, $\Psi(\rho) = [(M_1, \psi)]$, where $\psi: M \rightarrow M_1$ is a homotopy equivalence such that $\psi_* = \rho$.

A compact submanifold of the geometric limit: We similarly construct a compact submanifold M_2 of \widehat{N} which is homotopy equivalent to M_1 and which will pull back (via the biLipschitz

diffeomorphism f_i) to give a compact core for N_i , for all large enough i . If $1 \leq k \leq s$, so that Q_k is an annulus and $\pi(Q_k)$ is a torus, let B_k be any annulus in $\pi(Q_k)$ which contains $(\bigcup_j \widehat{Y}_j) \cap \pi(Q_k)$ and is contained within $\pi(C_k)$. If $s+1 \leq k \leq u$, so that Q_k embeds under π , let $B_k = \pi(C_k)$. Set $M_2 = (\bigcup_j \widehat{Y}_j) \cup (\bigcup_k \mathcal{N}(B_k))$.

The primitive shuffle equivalence: We now define an explicit homotopy equivalence $\phi: M_1 \rightarrow M_2$, which will turn out to be our desired primitive shuffle. Define ϕ to agree with the covering map π on each Y_j . If $s+1 \leq k \leq u$, so that Q_k embeds under π , define ϕ to agree with π on $\mathcal{N}(C_k)$. If $r+1 \leq k \leq s$, choose the restriction of ϕ to $\mathcal{N}(C_k)$ to be an orientation-preserving homeomorphism of $\mathcal{N}(C_k)$ to $\mathcal{N}(B_k)$ which agrees with π on every component of $(\bigcup_j Y_j) \cap C_k$. If $1 \leq k \leq r$, we may only choose ϕ to be a homotopy equivalence of $\mathcal{N}(C_k)$ to $\mathcal{N}(B_k)$ which agrees with π on $(\bigcup_j Y_j) \cap C_k$. Lemma 5.2 implies that ϕ is a homotopy equivalence.

It remains to show that ϕ is a primitive shuffle equivalence. If $1 \leq k \leq r$, then the submanifold $\mathcal{N}(C_k)$ is homeomorphic to a solid torus and admits a Seifert fibering so that $\mathcal{N}(C_k) \cap \partial M_1$ is a collection of at least three fibered annuli. Since each component of the frontier of $\mathcal{N}(C_k)$ is an essential annulus in M_1 , the inclusion map is an admissible, essential embedding. Hence, $\mathcal{N}(C_k)$ is admissibly isotopic into a component V_k of $\Sigma(M_1)$. In fact, we may assume that $\mathcal{N}(C_k) \subset V_k$. Since there are essential annuli in V_k which are homotopic but not parallel, V_k cannot be an I -bundle component of $\Sigma(M_1)$. Since $\pi_1(C_k)$ is a maximal abelian subgroup of $\pi_1(M_1)$, V_k cannot be homeomorphic to a thickened torus. Moreover, again since $\pi_1(C_k)$ is a maximal abelian subgroup of $\pi_1(M_1)$, V_k is a primitive solid torus. Proposition 6.1 then implies that, perhaps after isotoping $\Sigma(M_2)$, that $W_k = \phi(V_k)$ is a primitive solid torus component of $\Sigma(M_2)$ for all $1 \leq k \leq r$. By construction, ϕ is an orientation-preserving homeomorphism from the closure of $M_1 - \bigcup_{k=1}^r V_k$ to the closure of $M_2 - \bigcup_{k=1}^r W_k$. Therefore, ϕ is a primitive shuffle.

Compact cores for the approximates: We now show that $X_i = f_i(M_2)$ is a compact core for N_i for all large enough i . We begin by studying the images of the tori in \widehat{N} which arise as the projections of boundaries of rank one cusps of N . If $1 \leq k \leq s$, let $T_k = \pi(Q_k)$. Choose a meridian-longitude system (a_k, b_k) for $\pi_1(T_k)$ so that the longitude b_k is a core curve for B_k and the meridian a_k intersects b_k exactly once. We recall that $\{f_i: Z_i \rightarrow N_i\}$ is the sequence of orientation-preserving biLipschitz diffeomorphisms produced by Lemma 3.5. For all large enough i , M_2, T_1, \dots, T_{s-1} and T_s all lie in Z_i . Let $X_i = f_i(M_2)$, and, for $1 \leq k \leq s$, let

$T_k^i = f_i(T_k)$. Assign the meridian-longitude system $(a_k^i = f_i(a_k), b_k^i = f_i(b_k))$ to T_k^i .

Let \tilde{b}_k be a lift of b_k to C_k . Lemma 3.6 implies that, for all large enough i , $b_k^i = \rho_i(\rho^{-1}(\tilde{b}_k))$ as elements of $\rho_i(\pi_1(M))$. Since \tilde{b}_k generates a maximal abelian subgroup of Γ , b_k^i generates a maximal abelian subgroup of $\rho_i(\pi_1(M))$. Therefore, T_k^i bounds a solid torus V_k^i for all large enough i . For the remainder of the argument assume that i has been chosen large enough that each V_k^i bounds a solid torus and that b_k^i generates a maximal abelian subgroup of $\rho_i(\pi_1(M))$.

Let $X_i^+ = X_i \cup (\cup_{k=1}^s V_k^i)$ and let $X_i^- = f_i(M_2 \cap \widehat{N}^0)$. Lemma 3.6 implies that, for all large enough i , $(f_i \circ \pi)_*$ agrees with $\rho_i \circ \rho^{-1}$ on $\pi_1(Y_j)$ for all j . Since π embeds Y_j into $M_2 \cap \widehat{N}^0$ and $\pi_1(Y_j)$ is nonabelian, we may conclude that $\pi_1(X_i^-)$ is nonabelian for all large enough i . Therefore, V_k^i lies on the opposite side of T_k^i from X_i^- for all large enough i . Moreover, if A is any component of the frontier of V_k^i in X_i^+ , then A is an incompressible annulus in $f_i(B_k)$. Therefore, the image of the inclusion of $\pi_1(A)$ into $\rho_i(\pi_1(M))$ is generated by b_k^i . Since b_k^i generates a maximal abelian subgroup of $\rho_i(\pi_1(M))$, we see that each V_k^i is a primitive solid torus in X_i^+ . Moreover, since each $V_k^i \cap X_i = f_i(\mathcal{N}(B_k))$ is a primitive solid torus containing the frontier of V_k^i in X_i^+ , X_i is a compact core for X_i^+ .

Since $\pi(\mathcal{N}(C_k))$ lies on the opposite side of T_k from $M_2 \cap \widehat{N}^0$, $f_i(\pi(\mathcal{N}(C_k)))$ must lie entirely in V_k^i for all $1 \leq k \leq s$ and all large enough i . Thus, $f_i(\pi(M_1))$ is contained entirely in X_i^+ for all large enough i . If $\pi': M_1 \rightarrow \pi(M_1)$ is the restriction of π to M_1 , then Lemma 3.6 implies that $(f_i \circ \pi')_* = \rho_i \circ \rho^{-1}$, as maps of $\pi_1(M_1) = \rho(\pi_1(M))$ into $\rho_i(\pi_1(M))$, for all large enough i .

Since $\cup_{i=1}^s \mathcal{N}(C_k)$ and $\cup_{i=1}^s V_k^i$ are both collections of primitive solid tori, $f_i \circ \pi'$ is a homeomorphism from the closure of $M_1 - \cup_{k=1}^s \mathcal{N}(C_k)$ to the closure of $X_i^+ - \cup_{k=1}^s V_k^i$, and $f_i \circ \pi'$ takes $\cup_{i=1}^s \mathcal{N}(C_k)$ into $\cup_{i=1}^s V_k^i$, Lemma 5.2 implies that $f_i \circ \pi'$ is a homotopy equivalence of M_1 to X_i^+ for all large enough i . By construction, $f_i \circ \phi$ is a homotopy equivalence from M_1 to X_i . As X_i is a compact core for X_i^+ , $f_i \circ \phi$ is also a homotopy equivalence of M_1 to X_i^+ . Since $f_i \circ \phi$ and $f_i \circ \pi'$ both restrict to the same homeomorphism from the closure of $M_1 - \cup_{k=1}^s \mathcal{N}(C_k)$ to the closure of $X_i^+ - \cup_{k=1}^s V_k^i$ (and each takes $\cup_{k=1}^s \mathcal{N}(C_k)$ into $\cup_{k=1}^s V_k^i$), Lemma 7.1 guarantees that there exists an orientation-preserving homeomorphism $r_i: M_1 \rightarrow M_1$ (which is the identity off of $\cup_{k=1}^s \mathcal{N}(C_k)$) such that $f_i \circ \phi \circ r_i$ and $f_i \circ \pi'$ are homotopic as maps of M_1 into X_i^+ . Therefore, for all large enough i , $f_i \circ \phi \circ r_i$ is a homotopy equivalence from M_1 to X_i with the property that $(f_i \circ \phi \circ r_i)_* = \rho_i \circ \rho^{-1}$ (as maps of $\pi_1(M_1) = \rho(\pi_1(M))$ into $\pi_1(N_i) = \rho_i(\pi_1(M))$.) It follows that X_i is a compact core for N_i

for all large enough i .

The marked homeomorphism types are primitive shuffle equivalent: Recall that we have written $\Psi(\rho) = [(M_1, \psi)]$ and that we have described, for all large enough i , a homotopy equivalence $f_i \circ \phi \circ r_i$ from M_1 to a compact core X_i for N_i such that $(f_i \circ \phi \circ r_i)_* = \rho_i \circ \rho^{-1}$. Hence, again for all large enough i ,

$$\Psi(\rho_i) = [(X_i, f_i \circ \phi \circ r_i \circ \psi)] = [(M_2, \phi \circ r_i \circ \psi)].$$

(The second equality follows from the fact that f_i restricts to a homeomorphism of M_2 to X_i .) Since $\phi \circ r_i$ and ϕ both restrict to the same homeomorphism of the closure of $M_1 - \cup_{k=1}^s \mathcal{N}(C_k)$ to the closure of $M_2 - \cup_{k=1}^s \mathcal{N}(B_k)$, Lemma 7.1 implies that there exists a homeomorphism $r'_i: M_2 \rightarrow M_2$ so that $\phi \circ r_i$ is homotopic to $r'_i \circ \phi$. Hence,

$$\Psi(\rho_i) = [(M_2, \phi \circ r_i \circ \psi)] = [(M_2, r'_i \circ \phi \circ \psi)] = [(M_2, \phi \circ \psi)]$$

for all large enough i . Therefore, $\Psi(\rho_i)$ is primitive shuffle equivalent to $\Psi(\rho)$ for all large enough i . This completes the proof of our initial claim and thus establishes Theorem A.

Theorem A

In establishing the corollaries it will be useful to consider the map $\widehat{\Psi}: AH(\pi_1(M)) \rightarrow \widehat{\mathcal{A}}(M)$ which is obtained by composing $\Psi: AH(\pi_1(M)) \rightarrow \mathcal{A}(M)$ with the quotient map $q: \mathcal{A}(M) \rightarrow \widehat{\mathcal{A}}(M)$. In this language, Theorem A asserts that $\widehat{\Psi}$ is continuous, where we give $\widehat{\mathcal{A}}(M)$ the discrete topology.

Corollary 8.1 *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then the map $\widehat{\Psi}: AH(\pi_1(M)) \rightarrow \widehat{\mathcal{A}}(M)$ is continuous (i. e. locally constant).*

The proof of Theorem A has the following corollary which gives further geometric restrictions on when the marked homeomorphism type can change in the algebraic limit. In particular, we see that if the marked homeomorphism type of the algebraic limit differs from that of the approximates, then there must be a specific type of “new” rank two abelian subgroup of the geometric limit.

Corollary 8.2 *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Suppose that the sequence $\{\rho_i\}$ in $\mathcal{D}(\pi_1(M))$ converges to ρ and $\{\rho_i(\pi_1(M))\}$*

converges geometrically to $\widehat{\Gamma}$. If there does not exist a primitive solid torus component V of $\Sigma(M)$ such that $V \cap \partial M$ has at least three components and $\rho(\pi_1(V))$ lies in a rank two free abelian subgroup of $\widehat{\Gamma}$, then $\Psi(\rho_i) = \Psi(\rho)$ for all sufficiently large i .

9 Lifting primitive shuffles

In the proof of Theorem B, we will need to know that any primitive shuffle is homotopic to one that misses the core curves of the primitive solid tori in the image, and which lifts to an embedding in some covering space of the complement of the core curves.

Proposition 9.1 *Let $s: M_1 \rightarrow M_2$ be a primitive shuffle with respect to \mathcal{V}_1 and \mathcal{V}_2 , and fix a collection Δ_2 of core circles for the solid tori of \mathcal{V}_2 . Then there exist a homotopy equivalence $r: M_1 \rightarrow M_2$ homotopic to s and a covering space \widetilde{M}_2 of $M_2 - \Delta_2$ such that $r(M_1) \subseteq M_2 - \Delta_2$ and r lifts to an imbedding $\tilde{r}: M_1 \rightarrow \widetilde{M}_2$.*

Proof of 9.1: Assume first that \mathcal{V}_1 consists of a single solid torus V , then \mathcal{V}_2 is also a single solid torus W . Let S_1, \dots, S_m be the components of $\overline{M_2 - W}$. Let B_1, \dots, B_n be the annuli of the frontier of W , with notation chosen so that the B_i are cyclically ordered as one travels around an oriented meridian circle γ_2 in ∂W that intersects each B_i in a single arc.

The restriction of s is an orientation-preserving homeomorphism h from $\overline{M_1 - V}$ to $\overline{M_2 - W}$. For each i , let $A_i = h^{-1}(B_i)$, a component of the frontier of V . Orient the arcs $h^{-1}(\gamma_2 \cap B_i)$ by transferring the orientation of γ_2 using h^{-1} . Since h preserves orientation, the arcs $h^{-1}(\gamma_2 \cap B_i)$ lie in a single oriented meridian circle γ_1 of ∂V , in such a way that their orientations agree with the orientation of γ_1 . To see this, fix a generator of $\pi_1(V)$ and regard its image under s_* as the distinguished generator of $\pi_1(W)$. Let β_i be the boundary circle of B_i that contains the initial point of $\gamma_2 \cap B_i$, then $h^{-1}(\beta_i)$ is the boundary circle of A_i that contains the initial point of $h^{-1}(\gamma_2 \cap B_i)$. Orient the β_i to represent the distinguished generator of $\pi_1(W)$; under h^{-1} these orientations transfer to orientations of $h^{-1}(\beta_i)$ which represent the distinguished generator of $\pi_1(V)$. For a given B_i , let S_k be the component of $\overline{M_2 - W}$ that contains B_i . The pair consisting of the direction of $\gamma_2 \cap B_i$ followed by the direction of β_i determines an orientation for the component of ∂S_k that contains B_i and hence an orientation for S_k . Since for all i these pairs determine the same orientation of ∂W , the resulting orientations of the S_k must either all agree or all disagree with the orientation of M_2 . If they disagree, take the other choice of distinguished generator of $\pi_1(W)$ (and hence

of $\pi_1(V)$) to ensure that they all agree. Since h is orientation-preserving, the orientations of the components of $\partial\overline{M_1 - V}$ determined by the pair consisting of the direction of $h^{-1}(\gamma_2 \cap B_i)$ followed by the direction of $h^{-1}(\beta_i)$ all agree with the orientation of $\partial\overline{M_1 - V}$ determined by the orientation of $\overline{M_1 - V}$. Consequently, they all determine the same orientation of ∂V . Since the oriented $h^{-1}(\beta_i)$ all represent the distinguished generator of $\pi_1(V)$, this shows that if the endpoints of the $h^{-1}(\gamma_2 \cap B_i)$ are connected by arcs in $\partial\overline{V - \cup A_i}$, one obtains a meridian circle γ_1 which has an orientation agreeing with that of each $h^{-1}(\gamma_2 \cap B_i)$.

Define a permutation σ of $\{1, \dots, n\}$ by the rule that $\sigma(1) = 1$ and that γ_1 passes in order through $A_{\sigma(1)}, A_{\sigma(2)}, \dots, A_{\sigma(n)}$.

Now we start to build the covering space $\widetilde{M_2}$ of $M_2 - \Delta_2$. Let $p_0: \widetilde{W} \rightarrow W - \Delta_2$ be the infinite cyclic covering of $W - \Delta_2$ such that the B_i lift homeomorphically to \widetilde{W} . The lifts of the B_i form an ordered infinite sequence

$$\dots, B_n^{-1}, B_1^0, B_2^0, \dots, B_n^0, B_1^1, \dots, B_n^1, B_1^2, \dots$$

of annuli as one travels along the lift $\tilde{\gamma}_2$ of γ_2 , where the B_i^j map to B_i . For $1 \leq i \leq n$ put $C_{\sigma(i)} = B_{\sigma(i)}^i$. Thus C_i projects to B_i , and $C_{\sigma(1)}, C_{\sigma(2)}, \dots, C_{\sigma(n)}$ occur in order as one travels along $\tilde{\gamma}_2$.

Attach copies \tilde{S}_k of the S_k to \widetilde{W} along $\cup C_i$ in such a way that p_0 extends, using orientation-preserving homeomorphisms from \tilde{S}_k to S_k , to a locally injective map $p_1: \widetilde{W} \cup (\cup \tilde{S}_k) \rightarrow M_2$. Note that $(p_1|_{\cup \tilde{S}_k})^{-1} \circ s|_{\overline{M_1 - V}}$ is the unique lifting of $s|_{\overline{M_1 - V}}$ to an embedding into $\widetilde{W} \cup (\cup \tilde{S}_k)$, and that it sends A_i to C_i .

Choose an embedded solid torus T in \widetilde{W} that meets $\partial\widetilde{W}$ in $\cup C_i$. Since the C_i occur in the order $C_{\sigma(1)}, C_{\sigma(2)}, \dots, C_{\sigma(n)}$ on $\partial\widetilde{W}$, they occur in the same order as one travels around ∂T . Since $A_{\sigma(1)}, \dots, A_{\sigma(n)}$ occur in order as one travels around V , one can use a homeomorphism sending V onto T , to extend $(p_1|_{\cup \tilde{S}_k})^{-1} \circ s|_{\overline{M_1 - V}}$ to an embedding $\tilde{r}_0: M_1 \rightarrow \widetilde{W} \cup (\cup \tilde{S}_k)$.

We will now enlarge $\widetilde{W} \cup (\cup \tilde{S}_k)$ to a covering space $\widetilde{M_2}$ of $M_2 - \Delta_2$. Fix a B_i^j which is not equal to C_i . Since B_i is incompressible, $\pi_1(B_i)$ is a subgroup of $\pi_1(M_2 - \Delta_2)$. Let $q'_i: M'_2 \rightarrow M_2 - \Delta_2$ be the covering space corresponding to this subgroup. There is an annulus $B'_i \subset M'_2$, mapped homeomorphically by q'_i to B_i , such that $\pi_1(B'_i) \rightarrow \pi_1(M'_2)$ is an isomorphism. In particular, B'_i separates M'_2 . For one of the components of the complement, points near B'_i map into $\overline{M_2 - W}$; denote by M''_2 the closure of this component, and let $q''_i: M''_2 \rightarrow M_2 - \Delta_2$ be the restriction of q'_i . Attach M''_2 to $\widetilde{W} \cup (\cup \tilde{S}_k)$ by identifying B'_i to B_i^j using the homeomorphism $(p_1|_{B_i^j})^{-1} \circ (q''_i|_{B'_i})$. Then p_1 extends to a local homeomorphism

on the union by using q_i'' on M_2'' . Repeating this process for every B_i^j that is not a C_i , we obtain $p: \widetilde{M}_2 \rightarrow M_2 - \Delta_2$. One sees easily that points in $M_2 - \Delta_2$ have evenly covered neighborhoods, so p is a covering map.

Since \tilde{r}_0 is an embedding into $\widetilde{W} \cup (\cup \widetilde{S}_k)$, we may regard it as an embedding of M_1 into \widetilde{M}_2 . Let $r_0: M_1 \rightarrow M_2$ be the composition $p \circ \tilde{r}_0$. It carries M_1 into $M_2 - \Delta_2$ and lifts to the embedding \tilde{r}_0 into \widetilde{M}_2 , but it need not be homotopic to s . Notice that $(r_0)^{-1}(W) = V$ and $r_0|_{\overline{M_1 - V}}$ is the homeomorphism $s|_{\overline{M_1 - V}}$.

Applying Lemma 7.1, we can change r_0 by precomposing by a product of Dehn twists about frontier annuli of V to obtain a map r homotopic to s as maps into M_2 . Since r still lifts to an embedding into \widetilde{M}_2 , this completes the proof in the case where \mathcal{V}_1 and \mathcal{V}_2 have one component.

Now suppose that \mathcal{V}_1 has more than one component. Let V_1, \dots, V_n be the components of \mathcal{V}_1 , and let W_i be the component of \mathcal{V}_2 that contains $s(V_i)$. Start with infinite cyclic coverings \widetilde{W}_i of the $W_i - \Delta_i$, and using V_i select sequences $C_{i,1}, \dots, C_{i,n_i}$ in the $\partial \widetilde{W}_i$ exactly as before. Again attach pieces \widetilde{S}_k to $\cup \widetilde{W}_i$ along the $C_{i,j}$, and select solid tori T_i in \widetilde{W}_i meeting $\partial \widetilde{W}_i$ in $\cup_j C_{i,j}$. The construction of \widetilde{M}_2 and \tilde{r}_0 proceeds as before, and again Lemma 7.1 provides a correction to produce a map r which is homotopic to s .

9.1

10 Dehn filling

In the proof of Theorem B, we make extensive use of Comar's generalization of Thurston's Hyperbolic Dehn Surgery Theorem to the setting of geometrically finite Kleinian groups (see also Bonahon and Otal [8]). We will apply this result to manifolds obtained from a hyperbolizable 3-manifold with incompressible boundary by removing core curves of primitive solid torus components of its characteristic submanifold. In this section, we discuss the operation of Dehn filling with emphasis on these special cases.

Let \widehat{M} be a compact, hyperbolizable 3-manifold, and let T_1, \dots, T_k be a collection of toroidal boundary components of \widehat{M} . On each T_i , choose a meridian-longitude system (m_i, l_i) . Given a solid torus V and a pair (p_i, q_i) of relatively prime integers, we may form a new manifold by attaching V to \widehat{M} by an orientation-reversing homeomorphism $g: \partial V \rightarrow T_i$ so that, if c is the meridian of V , then $g(c)$ is a (p_i, q_i) curve on T_i with respect to the chosen

meridian-longitude system. We say that this manifold is obtained from \widehat{M} by (p_i, q_i) -Dehn filling along T_i . Given a k -tuple $(\mathbf{p}, \mathbf{q}) = ((p_1, q_1), \dots, (p_k, q_k))$ of pairs of relatively prime integers, let $\widehat{M}(\mathbf{p}, \mathbf{q})$ denote the manifold obtained by doing (p_i, q_i) -Dehn filling along T_i for each i .

Theorem 10.1 (Comar [14]) *Let \widehat{M} be a compact, hyperbolizable 3-manifold and let $T = \{T_1, \dots, T_k\}$ be a nonempty collection of tori in the boundary of \widehat{M} . Let $\widehat{N} = \mathbf{H}^3/\widehat{\Gamma}$ be a geometrically finite hyperbolic 3-manifold and let $\psi: \text{int}(\widehat{M}) \rightarrow \widehat{N}$ be an orientation-preserving homeomorphism. Further assume that every parabolic element of $\widehat{\Gamma}$ lies in a rank-two parabolic subgroup. Let (m_i, l_i) be a meridian-longitude basis for T_i . Let $\{(\mathbf{p}_n, \mathbf{q}_n) = ((p_n^1, q_n^1), \dots, (p_n^k, q_n^k))\}$ be a sequence of k -tuples of pairs of relatively prime integers such that, for each j , $\{(p_n^j, q_n^j)\}$ converges to ∞ as $n \rightarrow \infty$.*

Then, for all sufficiently large n , there exists a representation $\beta_n: \widehat{\Gamma} \rightarrow \text{PSL}_2(\mathbf{C})$ with discrete image such that

1. $\beta_n(\widehat{\Gamma})$ is geometrically finite, uniformizes $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$, and every parabolic element of $\beta_n(\widehat{\Gamma})$ lies in a rank-two parabolic subgroup,
2. the kernel of $\beta_n \circ \psi_*$ is normally generated by $\{m_1^{p_n^1} l_1^{q_n^1}, \dots, m_k^{p_n^k} l_k^{q_n^k}\}$, and
3. $\{\beta_n\}$ converges to the identity representation of $\widehat{\Gamma}$.

Moreover, if $i_n: \widehat{M} \rightarrow \widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$ denotes the inclusion map, then for each n , there exists an orientation-preserving homeomorphism

$$\psi_n: \text{int}(\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)) \rightarrow \mathbf{H}^3/\beta_n(\widehat{\Gamma})$$

such that $\beta_n \circ \psi_*$ is conjugate to $(\psi_n)_* \circ (i_n)_*$.

In order to apply the Hyperbolic Dehn Surgery Theorem to manifolds obtained from a hyperbolizable manifold by removing core curves of solid torus components of its characteristic submanifold, we must first show that such manifolds are themselves hyperbolizable.

Lemma 10.2 *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, and let \mathcal{V} be a collection of solid torus components of the characteristic submanifold $\Sigma(M)$ of M . Let Δ a collection of core curves of tori in \mathcal{V} , and suppose that $\mathcal{N}(\Delta)$ is an open regular neighborhood of Δ with $\overline{\mathcal{N}(\Delta)}$ in the interior of \mathcal{V} . Then, $\widehat{M} = M - \mathcal{N}(\Delta)$ is hyperbolizable. Moreover, $\Sigma(M) - \mathcal{N}(\Delta)$ is a characteristic submanifold for \widehat{M} .*

Proof of Lemma 10.2: Recall that Thurston's Geometrization Theorem (see for example Morgan [31]) asserts that a compact 3-manifold with nonempty boundary is hyperbolizable if and only if it is irreducible and atoroidal.

Let S be an embedded 2-sphere in \widehat{M} . Since $\widehat{M} \subset M$ and since M is irreducible, S bounds a 3-ball B in M . As each component of $\mathcal{N}(\Delta)$ contains a closed homotopically nontrivial curve in M , B cannot contain any component of $\mathcal{N}(\Delta)$. Therefore, S bounds a ball in \widehat{M} , namely B , and so \widehat{M} is irreducible.

We now show that $\Sigma(M) - \mathcal{N}(\Delta)$ is characteristic in \widehat{M} . Note that if an annulus in \widehat{M} with boundary in ∂M is essential in M , then it is essential in \widehat{M} . Consequently the frontier of $\Sigma(M) - \mathcal{N}(\Delta)$ is essential in \widehat{M} , so $\Sigma(M) - \mathcal{N}(\Delta)$ is an essential fibered submanifold in \widehat{M} . Therefore it is isotopic into $\Sigma(\widehat{M})$, so we may assume that it is contained in the topological interior of $\Sigma(\widehat{M})$. Since $\text{Fr}(\Sigma(M))$ consists of essential annuli, Corollary 5.7 of Johansson [18] shows that $\Sigma(\widehat{M})$ has an admissible fibering for which $\text{Fr}(\Sigma(M))$ is a union of fibers. Consequently, each component R of the closure of $\Sigma(\widehat{M}) - (\Sigma(M) - \mathcal{N}(\Delta))$ is an admissibly fibered submanifold of M .

We claim that each component F of $\text{Fr}(\Sigma(\widehat{M}))$ is an essential annulus in M . Note first that F is incompressible in M , since any compressing disc for F in M could be surgered off the incompressible surface $\text{Fr}(\Sigma(M))$ resulting in a compression in \widehat{M} . If F is a torus, then since M is atoroidal, F is parallel in M to a torus boundary component of M . Since the components of $\text{Fr}(\Sigma(M))$ are essential in M , the region of parallelism cannot contain any solid torus components of $\Sigma(M)$. Therefore F is also inessential in \widehat{M} , which is a contradiction since it is a component of $\text{Fr}(\Sigma(\widehat{M}))$. If F is an inessential annulus in M , then it together with an annulus in ∂M bounds a solid torus in M , which cannot contain any solid torus components of $\Sigma(M)$. Therefore F is inessential in \widehat{M} , which again is contradictory. This completes the proof of the claim.

It follows that each component R of the closure of $\Sigma(\widehat{M}) - (\Sigma(M) - \mathcal{N}(\Delta))$ is an essential fibered submanifold of M . Therefore R is admissibly isotopic in M into $\Sigma(M)$. By Lemma 6.2, R is a product region whose ends are equal to the components of its frontier. We claim that exactly one of the components of $\text{Fr}(R)$ lies in $\Sigma(M)$.

Suppose neither does, so that R is a component of $\Sigma(\widehat{M})$ which does not meet $\Sigma(M) - \mathcal{N}(\Delta)$. Since R is isotopic in M into $\Sigma(M)$, each component of the frontier of R is parallel in $\overline{M - \Sigma(M)}$, and hence in \widehat{M} , to a component of $\text{Fr}(\Sigma(M))$. Since R is a product, it follows that R is admissibly homotopic in \widehat{M} into $\Sigma(\widehat{M}) - R$. Therefore, $\Sigma(\widehat{M}) - R$ has the engulfing

property in \widehat{M} , contradicting the minimality of $\Sigma(\widehat{M})$.

Suppose now that both components of $\text{Fr}(R)$ lie in $\Sigma(M)$. Since \widehat{M} is not an I -bundle over the torus, the fibering of $\Sigma(\widehat{M})$ must be a Seifert fibering on the components that meet $\partial\overline{\mathcal{N}(\Delta)}$, so it extends over $\overline{\mathcal{N}(\Delta)}$. Since $\text{Fr}(R \cup \Sigma(M))$ consists of essential annuli, and $R \cup \Sigma(M)$ is contained in the topological interior of $\Sigma(\widehat{M}) \cup \mathcal{N}(\Delta)$, Corollary 5.7 of Johannson [18] shows it is an essential fibered submanifold of M . Since $R \cup \Sigma(M)$ also has the engulfing property, this contradicts the uniqueness of $\Sigma(M)$.

We conclude that each component of the closure of $\Sigma(\widehat{M}) - (\Sigma(M) - \mathcal{N}(\Delta))$ is a product region with one end a component of $\text{Fr}(\Sigma(M))$ and the other a component of $\text{Fr}(\Sigma(\widehat{M}))$. It follows that $\Sigma(M) - \mathcal{N}(\Delta)$ is isotopic in \widehat{M} to $\Sigma(\widehat{M})$, so is characteristic.

Since $\Sigma(M) - \mathcal{N}(\Delta)$ is characteristic in \widehat{M} , every torus in \widehat{M} is homotopic in \widehat{M} into $\Sigma(M) - \mathcal{N}(\Delta)$, hence is homotopic into a torus boundary component of \widehat{M} . This shows that \widehat{M} is atoroidal and hence hyperbolizable.

Lemma 10.2

Suppose now that \widehat{M} is constructed as in Lemma 10.2, and that all the solid tori containing the loops Δ are primitive. Choose a meridian-longitude system (m_i, l_i) for the boundary T_i of each component $\mathcal{N}(\delta_i)$ of $\mathcal{N}(\Delta)$ so that the longitude is parallel in $M - (\delta_i)$ into $\mathcal{V} \cap \partial M$, and so that the meridian bounds a disc in $\mathcal{N}(\delta_i)$. The resulting meridian-longitude system is said to be *natural*.

In what follows, we often consider the specific Dehn surgery coefficients $(\mathbf{p}_n, \mathbf{q}_n) = ((1, n), \dots, (1, n))$ and the resulting manifold $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$. For this case, Lemma 10.3 below assures us that $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$ is homeomorphic to M .

We also wish to exhibit an explicit compact core for $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$. Let V_i be the element of \mathcal{V} containing $\mathcal{N}(\delta_i)$ and let A_i be an essential annulus in $V_i - \mathcal{N}(\delta_i)$ with one boundary component parallel to the longitude l_i on T_i and the other in $V_i \cap \partial M$. We form M_0 by removing a regular neighborhood of A_i from \widehat{M} . Then, since each component W_i of $M - M_0$ is a solid torus intersecting M_0 in an annulus which is primitive in W_i , there exists a strong deformation retraction $\tau: M \rightarrow M_0$. We call a submanifold of M constructed in this manner, a *standard* compact core for M .

Lemma 10.3 *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, let \mathcal{V} be a collection of primitive solid torus components of the characteristic*

submanifold $\Sigma(M)$ of M , let Δ be the collection of core curves of tori in \mathcal{V} , and let $\mathcal{N}(\Delta)$ be an open regular neighborhood of Δ . Let $\{T_1, \dots, T_k\}$ be the boundary components of $\mathcal{N}(\Delta)$ and let (m_i, l_i) be natural meridian-longitude systems. Then, for any n and for $(\mathbf{p}_n, \mathbf{q}_n) = ((1, n), \dots, (1, n))$, we have that $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$ is homeomorphic to M . Moreover, if M_0 is a standard compact core for M , then $i_n(M_0)$ is a compact core for $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$ where i_n denotes the inclusion of \widehat{M} into $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$.

Proof of Lemma 10.3: Let A_i be the annuli used in the construction of the standard compact core M_0 . Let $h_n: \widehat{M} \rightarrow \widehat{M}$ be obtained as a composition of n -fold Dehn twists about each A_i . We may assume that h_n agrees with the identity map on M_0 . Then h_n takes a $(1, 0)$ -curve in T_i to a $(1, n)$ -curve in T_i . Thus, h_n extends to a homeomorphism $H_n: \widehat{M}(\mathbf{p}_0, \mathbf{q}_0) \rightarrow \widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$. Since $\widehat{M}(\mathbf{p}_0, \mathbf{q}_0) = M$, H_n is the desired homeomorphism. Since M_0 is a compact core for M , $H_n(M_0)$ is a compact core for $\widehat{M}(\mathbf{p}_n, \mathbf{q}_n)$. Moreover, since h_n agrees with the identity map on M_0 , $H_n(M_0) = i_n(M_0)$.

Lemma 10.3

11 Deformation spaces which go bump in the night

In this section, we prove Theorem B. It generalizes the main theorem of [4], and its proof follows much the same outline. The key new ingredient is Proposition 9.1. It assures that the construction in [4], which deals only with a very special case, can be carried out in our much more general situation.

Theorem B: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, and let $[(M_1, h_1)]$ and $[(M_2, h_2)]$ be two elements of $\mathcal{A}(M)$. If $[(M_2, h_2)]$ is obtained from $[(M_1, h_1)]$ by applying a primitive shuffle, then the associated components of $MP(\pi_1(M))$ have intersecting closures.*

Proof of Theorem B: To set notation, let U_j be the component of $MP(\pi_1(M))$ corresponding to $[(M_j, h_j)] \in \mathcal{A}(M)$, so that $\Psi^{-1}([(M_j, h_j)]) \cap MP(\pi_1(M)) = U_j$. We need to show that there exists a representation $\rho \in AH(\pi_1(M))$ in the intersection $\overline{U_1} \cap \overline{U_2}$ of the closures of the two components.

Let $\phi: M_1 \rightarrow M_2$ be a primitive shuffle with respect to \mathcal{V}_1 and \mathcal{V}_2 such that $\phi \circ h_1$ is homotopic to h_2 . Let $\Delta = \{\delta_1, \dots, \delta_k\}$ be the collection of core curves of the solid tori $\{V_2^1, \dots, V_2^k\}$ of \mathcal{V}_2 , let $\mathcal{N}(\Delta)$ be an open regular neighborhood of Δ in \mathcal{V}_2 chosen so that the closure of $\mathcal{N}(\Delta)$ lies in the interior of \mathcal{V}_2 , and let $\widehat{M}_2 = M_2 - \mathcal{N}(\Delta)$. By Proposition 9.1, we may assume that $\phi(M_1) \subset \widehat{M}_2$ and that ϕ lifts to an embedding in some cover of \widehat{M}_2 . Notice that \widehat{M}_2 is compact by construction and is hyperbolizable by Lemma 10.2. Let $T = \{T_1, \dots, T_k\}$ be the collection of torus boundary components of \widehat{M}_2 coming from the elements of $\mathcal{N}(\Delta)$, so that $T_i = \partial V_2^i$.

Choose a meridian-longitude system (m_i, l_i) for each T_i so that the longitude is parallel, in \widehat{M}_2 , to a core curve of a component of the frontier of V_2^i in M_2 and so that the meridian bounds a disc in $\mathcal{N}(\delta_i)$; such a system is referred to as a *natural meridian-longitude system* in Section 10. For each $1 \leq i \leq k$, let A_i be an essential annulus in $W_i = V_2^i - \mathcal{N}(\delta_i)$ with one boundary component the longitude l_i on T_i and the other boundary component lying in $\partial V_2^i \cap \partial M_2$. Let M_2^0 be obtained from \widehat{M}_2 by removing, for all $1 \leq i \leq k$, an open regular neighborhood of A_i which is itself contained in W_i . We observed in Section 10 that M_2^0 is a compact core for M_2 and that there exists a strong deformation retraction $\tau: M_2 \rightarrow M_2^0$.

Since \widehat{M}_2 is compact and hyperbolizable, Thurston's Geometrization theorem (see [31]) implies that there exists a geometrically finite hyperbolic 3-manifold $\widehat{N} = \mathbf{H}^3/\widehat{\Gamma}$ such that every parabolic element of $\widehat{\Gamma}$ lies in a rank two parabolic subgroup of $\widehat{\Gamma}$, and an orientation-preserving homeomorphism $\psi: \text{int}(\widehat{M}_2) \rightarrow \widehat{N}$. Let $\{\beta_n: \widehat{\Gamma} \rightarrow \text{PSL}_2(\mathbf{C})\}$ be the sequence of representations given by applying Theorem 10.1 to $\widehat{\Gamma}$ with the sequence of k -tuples $\{(\mathbf{p}_n, \mathbf{q}_n) = ((1, n), \dots, (1, n))\}$. Let $\widehat{M}_2(n) = \widehat{M}_2(\mathbf{p}_n, \mathbf{q}_n)$, let $i_n: \widehat{M}_2 \rightarrow \widehat{M}_2(n)$ be the inclusion map, and let $\psi_n: \text{int}(\widehat{M}_2(n)) \rightarrow N_n = \mathbf{H}^3/\beta_n(\widehat{\Gamma})$ be the homeomorphism from the interior of $\widehat{M}_2(n)$ to N_n with the property that $(\psi_n)_* \circ (i_n)_*$ is conjugate to $\beta_n \circ \psi_*$. By Lemma 10.3, $\widehat{M}_2(n)$ is homeomorphic to M_2 and $i_n(M_2^0)$ is a compact core for $\widehat{M}_2(n)$.

We now observe that $i_n \circ \phi$ is itself a primitive shuffle equivalence. We begin by noting that the composition $i_n \circ \phi$ makes sense, since $\phi(M_1) \subset \widehat{M}_2 \subset M_2$, and that $i_n \circ \phi$ restricts to an orientation-preserving embedding of $\overline{M_1 - \mathcal{V}_1}$ into $\widehat{M}_2(n)$. Moreover, $\mathcal{V}_2(n) = \widehat{M}_2(n) - i_n(\phi(M_1 - \mathcal{V}_1))$ is a collection of solid tori, since $\mathcal{V}_2(n)$ is obtained from \mathcal{V}_2 by doing $(1, n)$ -Dehn surgery along the core curve of each solid torus component of \mathcal{V}_2 . Each component of the frontier of $\mathcal{V}_2(n)$ in $\widehat{M}_2(n)$ is the image under i_n of a primitive annulus in M_2^0 . Since $i_n(M_2^0)$ is a compact core for $\widehat{M}_2(n)$, we may conclude that each component of the frontier of $\mathcal{V}_2(n)$ is a primitive annulus in $M_2(n)$, and hence that $\mathcal{V}_2(n)$ is a collection of primitive

solid tori. Since $i_n \circ \phi$ is a homeomorphism from the frontier of \mathcal{V}_1 to the frontier of $\mathcal{V}_2(n)$, $i_n \circ \phi$ is a homotopy equivalence from \mathcal{V}_1 to $\mathcal{V}_2(n)$. Lemma 5.2 implies that $i_n \circ \phi$ is a shuffle homotopy equivalence with respect to \mathcal{V}_1 and $\mathcal{V}_2(n)$ for all n . Proposition 6.1 assures us that we may assume that each element of $\mathcal{V}_2(n)$ is a solid torus component of $\Sigma(\widehat{M}_2(n))$, so that $i_n \circ \phi$ is indeed a primitive shuffle equivalence.

One may similarly show that $i_n \circ \tau \circ \phi$ is a primitive shuffle, with respect to \mathcal{V}_1 and $\mathcal{V}_2(n)$, from M_1 to $\widehat{M}_2(n)$. Since $i_n \circ \tau \circ \phi$ and $i_n \circ \phi$ agree on $M_1 - \mathcal{V}_1$, Lemma 7.1 implies that there exists an orientation-preserving homeomorphism $r_n: M_1 \rightarrow M_1$, which is the identity on $M_1 - \mathcal{V}_1$, such that $i_n \circ \tau \circ \phi \circ r_n$ is homotopic to $i_n \circ \phi$.

For each n , define $\rho'_n = \beta_n \circ \psi_* \circ \phi_*$. Since $\beta_n \circ \psi_*$ is conjugate to $(\psi_n)_* \circ (i_n)_*$, we see that ρ'_n is conjugate to $(\psi_n)_* \circ (i_n)_* \circ \phi_*$. Since $i_n \circ \phi$ is a homotopy equivalence and ψ_n is a homeomorphism, ρ'_n is a discrete faithful representation with image $\pi_1(N_n) = \beta_n(\widehat{\Gamma})$. The first part of Theorem 10.1 then implies that $\rho'_n \in MP(\pi_1(M_1))$. Moreover, $\{\rho'_n\}$ converges to $\rho' = \psi_* \circ \phi_*$.

As M_1 is a compact, hyperbolizable 3-manifold with nonempty incompressible boundary, we may consider the map $\Psi_1: AH(\pi_1(M_1)) \rightarrow \mathcal{A}(M_1)$. Let i'_n be an embedding of \widehat{M}_2 into the interior of $\widehat{M}_2(n)$ which is isotopic to i_n . Then $\psi_n(i'_n(M_2^0))$ is a compact core of N_n . Moreover, $s_n = \psi_n \circ i'_n \circ \tau \circ \phi \circ r_n$ is a homotopy equivalence from M_1 to N_n with image in $\psi_n(i'_n(M_2^0))$ such that $(s_n)_*$ is conjugate to ρ'_n . Therefore,

$$\Psi_1(\rho'_n) = [(\psi_n(i'_n(M_2^0)), s_n)] = [(M_2^0, \tau \circ \phi \circ r_n)] = [(M_2, \phi \circ r_n)]$$

for all n ; the last equality follows from the observation that τ is homotopic to an orientation-preserving homeomorphism from M_2 to M_2^0 . However, since $\phi \circ r_n$ and ϕ are both primitive shuffle equivalences with respect to \mathcal{V}_1 and \mathcal{V}_2 which agree on $M_1 - \mathcal{V}_1$, Lemma 7.1 implies that, for all n , there exists an orientation-preserving homeomorphism $r'_n: M_2 \rightarrow M_2$ such that $r'_n \circ \phi$ is homotopic to $\phi \circ r_n$. Therefore,

$$\Psi_1(\rho'_n) = [(M_2, \phi \circ r_n)] = [(M_2, r'_n \circ \phi)] = [(M_2, \phi)]$$

for all n .

It is easy to construct a map $\bar{\phi}: M_1 \rightarrow M_2$, homotopic to ϕ , so that $\bar{\phi}(M_1) \subset \text{int}(\widehat{M}_2)$ and $\bar{\phi}$ lifts to an embedding of M_1 into some cover of $\text{int}(\widehat{M}_2)$. Then $\psi \circ \bar{\phi}$ lifts to an orientation-preserving embedding $g: M_1 \rightarrow N$ of M_1 into the cover $N = \mathbf{H}^3/\rho'(\pi_1(M_1))$ of \widehat{N} associated to $\psi_*(\phi_*(\pi_1(M_1))) = \rho'(\pi_1(M_1))$. Since $g_* = \rho'$,

$$\Psi_1(\rho') = [(g(M_1), g)] = [(M_1, id)].$$

Let $\rho = \rho' \circ (h_1)_*$ and let $\rho_n = \rho'_n \circ (h_1)_*$. Then, for all n , we have that $\rho_n \in MP(\pi_1(M))$ and that $\Psi(\rho_n) = [(M_2, \phi \circ h_1)] = [(M_2, h_2)]$. In particular, since $\rho = \lim \rho_n$, we see that ρ lies in the closure of the component U_2 of $MP(\pi_1(M))$ corresponding to $[(M_2, h_2)]$. Moreover, $\Psi(\rho) = [(M_1, h_1)]$.

It remains to show that ρ lies in the closure of the component U_1 of $MP(\pi_1(M))$ corresponding to $[(M_1, h_1)]$. Since $\rho'(\pi_1(M_1))$ is a finitely generated subgroup of a co-infinite volume geometrically finite Kleinian group, it is itself geometrically finite (see Proposition 7.1 in [31].) Corollary 6 of Ohshika [33] then guarantees that we may write $\rho' = \lim \alpha'_n$, where $\alpha'_n \in MP(\pi_1(M_1))$ and $\Psi_1(\alpha'_n) = \Psi_1(\rho') = [(M_1, id)]$ for all n . Let $\alpha_n = \alpha'_n \circ (h_1)_*$. Then, for all n , we have that $\alpha_n \in MP(\pi_1(M))$ and $\Psi(\alpha_n) = [(M_1, h_1)]$. Since $\rho = \lim \alpha_n$, we see that ρ lies in the closure of U_1 .

Hence, we see that ρ lies in the intersection $\overline{U_1} \cap \overline{U_2}$ of the closures of the two components of $MP(\pi_1(M))$ associated to $[(M_1, h_1)]$ and $[(M_2, h_2)]$, as desired.

Theorem B

Remark: One may also use Theorem 10.1 to construct the sequence α'_n above. Let \widehat{M}_1 be obtained from M_1 by removing regular neighborhoods of all the core curves of elements of \mathcal{V}_1 . Let M_1^0 be a standard compact core for M_1 constructed as above and let $\tau_1: M_1 \rightarrow M_1^0$ be a strong deformation retraction. One may use the Klein-Maskit combination theorems to construct a Kleinian group $\widehat{\Gamma}_1$ uniformizing \widehat{M}_1 and containing $\rho(\pi_1(M))$ as a precisely embedded subgroup. Moreover, we may construct $\widehat{\Gamma}_1$ so that there exists a homeomorphism $\psi_1: \text{int}(\widehat{M}_1) \rightarrow \mathbf{H}^3/\widehat{\Gamma}_1$ such that $\rho = (\psi_1)_* \circ (\tau_1)_*$. Let $\{\widehat{\alpha}_n: \widehat{\Gamma}_1 \rightarrow \text{PSL}_2(\mathbf{C})\}$ be the sequence of representations given by applying Theorem 10.1 to $\widehat{\Gamma}_1$ with the sequence of k -tuples $\{(1, n), \dots, (1, n)\}$. Then $\{\alpha'_n = \widehat{\alpha}_n \circ (\psi_1)_* \circ (\tau_1)_*\}$ is the desired sequence.

12 Proofs of Corollaries

In this section we give proofs of the corollaries stated in the introduction.

Corollary 1: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. If U_1 and U_2 are components of $MP(\pi_1(M))$, then $\overline{U_1} \cap \overline{U_2}$ is nonempty if and only if $\Psi(U_1)$ and $\Psi(U_2)$ are primitive shuffle equivalent.*

Proof of Corollary 1: If $\Psi(U_1)$ and $\Psi(U_2)$ are primitive shuffle equivalent, then Theorem B implies that $\overline{U}_1 \cap \overline{U}_2$ is nonempty. On the other hand, if $\rho \in \overline{U}_1 \cap \overline{U}_2$, then Theorem A implies that $\Psi(\rho)$ is primitive shuffle equivalent to both $\Psi(U_1)$ and $\Psi(U_2)$. Therefore, since primitive shuffle equivalence is an equivalence relation, $\Psi(U_1)$ and $\Psi(U_2)$ are primitive shuffle equivalent.

Corollary 1

Corollary 2: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then, the components of $MP(\pi_1(M))$ cannot accumulate in $AH(\pi_1(M))$. In particular, the closure $\overline{MP(\pi_1(M))}$ of $MP(\pi_1(M))$ is the union of the closures of the components of $MP(\pi_1(M))$.*

Proof of Corollary 2: Suppose that the components of $MP(\pi_1(M))$ accumulated in $AH(\pi_1(M))$. Then there would exist a sequence $\{\rho_n\}$ in $MP(\pi_1(M))$, converging to some ρ in $AH(\pi_1(M))$, with $\Psi(\rho_n) \neq \Psi(\rho_m)$ for $n \neq m$. By Theorem A, $\Psi(\rho_n)$ is primitive shuffle equivalent to $\Psi(\rho)$ for all sufficiently large n . Thus, $\Psi(\rho_n) \in q^{-1}(\hat{\Psi}(\rho))$ for all sufficiently large n . However, Proposition 7.2 implies that $q^{-1}(\hat{\Psi}(\rho))$ is finite. This contradiction establishes our first claim.

Since for any locally finite collection $\{A_\alpha\}$ of subsets of a space X , the closure of $\cup A_\alpha$ is the union of the closures of the A_α , the second statement then follows.

Corollary 2

Corollary 3: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then, the components of $\overline{MP(\pi_1(M))}$ are in one-to-one correspondence with the elements of $\hat{\mathcal{A}}(M)$.*

Proof of Corollary 3: Let $y \in \hat{\mathcal{A}}(M)$. Since the restriction of $\hat{\Psi}$ to $MP(\pi_1(M))$ is surjective, there exists a component U of $MP(\pi_1(M))$ with $U \subseteq \hat{\Psi}^{-1}(y)$. Let C be the connected component of $\overline{MP(\pi_1(M))}$ that contains U . Since $\hat{\Psi}$ is locally constant, $C \subseteq \hat{\Psi}^{-1}(y)$. Now suppose that $\rho \in \overline{MP(\pi_1(M))}$ and $\hat{\Psi}(\rho) = y$. By Corollary 2, there is a component V of $MP(\pi_1(M))$ with $\rho \in \overline{V}$. Again since $\hat{\Psi}$ is locally constant, $\hat{\Psi}(\overline{V}) = y$. By Theorem B, $\overline{V} \cap \overline{U}$ is nonempty, so $\overline{V} \subseteq C$ and hence $\rho \in C$. Therefore $\hat{\Psi}^{-1}(y) = C$. Since

$\widehat{\Psi}$ is surjective, this exhibits an explicit one-to-one correspondence between components of $\overline{MP(\pi_1(M))}$ and elements of $\widehat{\mathcal{A}}(M)$.

Corollary 3

Corollary 4: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. Then, $\overline{MP(\pi_1(M))}$ has infinitely many components if and only if M has double trouble. Moreover, if M has double trouble, then $AH(\pi_1(M))$ has infinitely many components.*

Proof of Corollary 4: It follows immediately from Corollary 3 that $\overline{MP(\pi_1(M))}$ has infinitely many components if and only if $\widehat{\mathcal{A}}(M)$ has infinitely many elements. The fact that $q: \mathcal{A}(M) \rightarrow \widehat{\mathcal{A}}(M)$ is finite-to-one implies that $\widehat{\mathcal{A}}(M)$ has infinitely many elements if and only if $\mathcal{A}(M)$ has infinitely many elements. The results of [12] imply that $\mathcal{A}(M)$ has infinitely many elements if and only if M has double trouble. This establishes our first claim.

Now suppose that M has double trouble, so that $\widehat{\mathcal{A}}(M)$ has infinitely many elements. Then, the fact that $\widehat{\Psi}: AH(\pi_1(M)) \rightarrow \widehat{\mathcal{A}}(M)$ is locally constant and surjective implies that $AH(\pi_1(M))$ has infinitely many components as claimed.

Corollary 4

Corollary 5: *Let M be a compact, hyperbolizable 3-manifold with nonempty incompressible boundary. If $q: \mathcal{A}(M) \rightarrow \widehat{\mathcal{A}}(M)$ is not injective, then $\overline{MP(\pi_1(M))}$ and $AH(\pi_1(M))$ are not manifolds.*

Proof of Corollary 5: Notice that $AH(\pi_1(M))$ may be naturally identified with a subset of the character variety $\overline{X}(\pi_1(M))$ (see Chapter V of Morgan-Shalen [32]). Moreover, $MP(\pi_1(M))$ is identified with an open subset of the subvariety $\overline{X}_T(\pi_1(M))$ consisting of characters χ for which $\chi(g) = \pm 2$ if g lies in a rank two free abelian subgroup of $\pi_1(M)$.

Suppose that $\overline{MP(\pi_1(M))}$ is a manifold and q is not injective. Theorem B implies that there exist distinct components U and V of $MP(\pi_1(M))$ and a point ρ in the closure of both U and V . Let Y denote the component of $\overline{MP(\pi_1(M))}$ which contains both U and V . Let Z denote the set of representations ρ in $\overline{MP(\pi_1(M))}$ with the property that there exists a core curve α of a primitive solid torus component of $\Sigma(M)$ with $\rho(\alpha)$ parabolic. Corollary 8.2 implies that Ψ is continuous on $AH(\pi_1(M)) - Z$, so Z must disconnect Y . Hence,

if $n = \dim(MP(\pi_1(M))) = \dim(\overline{X}_T(\pi_1(M))) = \dim(Y)$, then Z must have topological dimension at least $n - 1$. On the other hand, since Z lies in a finite union of (complex) codimension one subvarieties of $\overline{X}_T(\pi_1(M))$, Z must have topological dimension at most $n - 2$. This contradiction establishes that $\overline{MP(\pi_1(M))}$ is not a manifold if q is not injective.

The argument which proves that $AH(\pi_1(M))$ is not a manifold is exactly the same.

Corollary 5

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