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# **$K$ -theory for group $C^*$ -algebras**

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## **Introduction**

These notes are based on a lecture course given by the first author in the Sedano Winter School on  $K$ -theory held in Sedano, Spain, on January 22-27th of 2007. They aim at introducing  $K$ -theory of  $C^*$ -algebras, equivariant  $K$ -homology and  $KK$ -theory in the context of the Baum-Connes conjecture.

We start by giving the main definitions, examples and properties of  $C^*$ -algebras in Section 1. A central construction is the reduced  $C^*$ -algebra of a locally compact, Hausdorff, second countable group  $G$ . In Section 2 we define  $K$ -theory for  $C^*$ -algebras, state the Bott periodicity theorem and establish the connection with Atiyah-Hirzebruch topological  $K$ -theory.

Our main motivation will be to study the  $K$ -theory of the reduced  $C^*$ -algebra of a group  $G$  as above. The Baum-Connes conjecture asserts that these  $K$ -theory groups are isomorphic to the equivariant  $K$ -homology groups of a certain  $G$ -space, by means of the index map. The  $G$ -space is the universal example for proper actions of  $G$ , written  $\underline{E}G$ . Hence we proceed by discussing proper actions in Section 3 and the universal space  $\underline{E}G$  in Section 4.

Equivariant  $K$ -homology is explained in Section 5. This is an equivariant version of the dual of Atiyah-Hirzebruch  $K$ -theory. Explicitly, we define the groups  $K_j^G(X)$  for  $j = 0, 1$  and  $X$  a proper  $G$ -space with compact, second countable quotient  $G \backslash X$ . These are quotients of certain equivariant  $K$ -cycles

by homotopy, although the precise definition of homotopy is postponed. We then address the problem of extending the definition to  $\underline{EG}$ , whose quotient by the  $G$ -action may not be compact.

In Section 6 we concentrate on the case when  $G$  is a discrete group, and in Section 7 on the case  $G$  compact. In Section 8 we introduce  $KK$ -theory for the first time. This theory, due to Kasparov, is a generalization of both  $K$ -theory of  $C^*$ -algebras and  $K$ -homology. Here we define  $KK_G^j(A, \mathbb{C})$  for a separable  $C^*$ -algebra  $A$  and  $j = 0, 1$ , although we again postpone the exact definition of homotopy. The already defined  $K_j^G(X)$  coincides with this group when  $A = C_0(X)$ .

At this point we introduce a generalization of the conjecture called the Baum-Connes conjecture with coefficients, which consists in adding coefficients in a  $G$ - $C^*$ -algebra (Section 9). To fully describe the generalized conjecture we need to introduce Hilbert modules and the reduced crossed-product (Section 10), and to define  $KK$ -theory for pairs of  $C^*$ -algebras. This is done in the non-equivariant situation in Section 11 and in the equivariant setting in Section 12. In addition we give at this point the missing definition of homotopy. Finally, using equivariant  $KK$ -theory, we can insert coefficients in equivariant  $K$ -homology, and then extend it again to  $\underline{EG}$ .

The only ingredient of the conjecture not yet accounted for is the index map. It is defined in Section 13 via the Kasparov product and descent maps in  $KK$ -theory. We finish with a brief exposition of the history of  $K$ -theory and a discussion of Karoubi's conjecture, which symbolizes the unity of  $K$ -theory, in Section 14.

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## 1 $C^*$ -algebras

We start with some definitions and basic properties of  $C^*$ -algebras. Good references for  $C^*$ -algebra theory are [1], [15], [40] or [42].

### 1.1 Definitions

**Definition 1.** A Banach algebra is an (associative, not necessarily unital) algebra  $A$  over  $\mathbb{C}$  with a given norm  $\| \cdot \|$

$$\| \cdot \| : A \longrightarrow [0, \infty)$$

such that  $A$  is a complete normed algebra, that is, for all  $a, b \in A$ ,  $\lambda \in \mathbb{C}$ ,

1.  $\|\lambda a\| = |\lambda| \|a\|$ ,
2.  $\|a + b\| \leq \|a\| + \|b\|$ ,
3.  $\|a\| = 0 \Leftrightarrow a = 0$ ,
4.  $\|ab\| \leq \|a\| \|b\|$ ,

5. every Cauchy sequence is convergent in  $A$  (with respect to the metric  $d(a, b) = \|a - b\|$ ).

A  $C^*$ -algebra is a Banach algebra with an involution satisfying the  $C^*$ -algebra identity.

**Definition 2.** A  $C^*$ -algebra  $A = (A, \| \cdot \|, *)$  is a Banach algebra  $(A, \| \cdot \|)$  with a map  $*$  :  $A \rightarrow A, a \mapsto a^*$  such that for all  $a, b \in A, \lambda \in \mathbb{C}$

1.  $(a + b)^* = a^* + b^*$ ,
2.  $(\lambda a)^* = \overline{\lambda} a^*$ ,
3.  $(ab)^* = b^* a^*$ ,
4.  $(a^*)^* = a$ ,
5.  $\|aa^*\| = \|a\|^2$  ( $C^*$ -algebra identity).

Note that in particular  $\|a\| = \|a^*\|$  for all  $a \in A$ : for  $a = 0$  this is clear; if  $a \neq 0$  then  $\|a\| \neq 0$  and  $\|a\|^2 = \|aa^*\| \leq \|a\| \|a^*\|$  implies  $\|a\| \leq \|a^*\|$ , and similarly  $\|a^*\| \leq \|a\|$ .

A  $C^*$ -algebra is *unital* if it has a multiplicative unit  $1 \in A$ . A *sub- $C^*$ -algebra* is a non-empty subset of  $A$  which is a  $C^*$ -algebra with the operations and norm given on  $A$ .

**Definition 3.** A  $*$ -homomorphism is an algebra homomorphism  $\varphi : A \rightarrow B$  such that  $\varphi(a^*) = (\varphi(a))^*$ , for all  $a \in A$ .

**Proposition 1.** If  $\varphi : A \rightarrow B$  is a  $*$ -homomorphism then  $\|\varphi(a)\| \leq \|a\|$  for all  $a \in A$ . In particular,  $\varphi$  is a (uniformly) continuous map.

For a proof see, for instance, [42, Thm. 1.5.7].

## 1.2 Examples

We give three examples of  $C^*$ -algebras.

*Example 1.* Let  $X$  be a Hausdorff, locally compact topological space. Let  $X^+ = X \cup \{p_\infty\}$  be its one-point compactification. (Recall that  $X^+$  is Hausdorff if and only if  $X$  is Hausdorff and locally compact.)

Define the  $C^*$ -algebra

$$C_0(X) = \{ \alpha : X^+ \rightarrow \mathbb{C} \mid \alpha \text{ continuous, } \alpha(p_\infty) = 0 \} ,$$

with operations: for all  $\alpha, \beta \in C_0(X), p \in X^+, \lambda \in \mathbb{C}$

$$\begin{aligned} (\alpha + \beta)(p) &= \alpha(p) + \beta(p), \\ (\lambda\alpha)(p) &= \lambda\alpha(p), \\ (\alpha\beta)(p) &= \alpha(p)\beta(p), \\ \alpha^*(p) &= \overline{\alpha(p)}, \\ \|\alpha\| &= \sup_{p \in X} |\alpha(p)|. \end{aligned}$$

Note that if  $X$  is compact Hausdorff, then

$$C_0(X) = C(X) = \{\alpha : X \rightarrow \mathbb{C} \mid \alpha \text{ continuous}\}.$$

*Example 2.* Let  $H$  be a Hilbert space. A Hilbert space is *separable* if it admits a countable (or finite) orthonormal basis. (We shall deal with separable Hilbert spaces unless explicit mention is made to the contrary.)

Let  $\mathcal{L}(H)$  be the set of bounded linear operators on  $H$ , that is, linear maps  $T : H \rightarrow H$  such that

$$\|T\| = \sup_{\|u\|=1} \|Tu\| < \infty,$$

where  $\|u\| = \langle u, u \rangle^{1/2}$ . It is a complex algebra with

$$\begin{aligned} (T + S)u &= Tu + Su, \\ (\lambda T)u &= \lambda(Tu), \\ (TS)u &= T(Su), \end{aligned}$$

for all  $T, S \in \mathcal{L}(H)$ ,  $u \in H$ ,  $\lambda \in \mathbb{C}$ . The norm is the operator norm  $\|T\|$  defined above, and  $T^*$  is the adjoint operator of  $T$ , that is, the unique bounded operator such that

$$\langle Tu, v \rangle = \langle u, T^*v \rangle$$

for all  $u, v \in H$ .

*Example 3.* Let  $\mathcal{L}(H)$  be as above. A bounded operator is *compact* if it is a norm limit of operators with finite-dimensional image, that is,

$$\mathcal{K}(H) = \{T \in \mathcal{L}(H) \mid T \text{ compact operator}\} = \overline{\{T \in \mathcal{L}(H) \mid \dim_{\mathbb{C}} T(H) < \infty\}},$$

where the overline denotes closure with respect to the operator norm.  $\mathcal{K}(H)$  is a sub- $C^*$ -algebra of  $\mathcal{L}(H)$ . Moreover, it is an ideal of  $\mathcal{L}(H)$  and, in fact, the only norm-closed ideal except 0 and  $\mathcal{L}(H)$ .

### 1.3 The reduced $C^*$ -algebra of a group

Let  $G$  be a topological group which is locally compact, Hausdorff and second countable (i.e. as a topological space it has a countable basis). There is a  $C^*$ -algebra associated to  $G$ , called the *reduced  $C^*$ -algebra of  $G$* , defined as follows.

*Remark 1.* We need  $G$  to be locally compact and Hausdorff to guarantee the existence of a Haar measure. The countability assumption makes the Hilbert space  $L^2(G)$  separable and also avoids some technical difficulties when later defining Kasparov's  $KK$ -theory.

Fix a left-invariant Haar measure  $dg$  on  $G$ . By left-invariant we mean that if  $f: G \rightarrow \mathbb{C}$  is continuous with compact support then

$$\int_G f(\gamma g)dg = \int_G f(g)dg \quad \text{for all } \gamma \in G.$$

Define the Hilbert space  $L^2G$  as

$$L^2G = \left\{ u : G \rightarrow \mathbb{C} \mid \int_G |u(g)|^2 dg < \infty \right\},$$

with scalar product

$$\langle u, v \rangle = \int_G \overline{u(g)} v(g) dg$$

for all  $u, v \in L^2G$ .

Let  $\mathcal{L}(L^2G)$  be the  $C^*$ -algebra of all bounded linear operators  $T : L^2G \rightarrow L^2G$ . On the other hand, define

$$C_cG = \{ f : G \rightarrow \mathbb{C} \mid f \text{ continuous with compact support} \}.$$

It is an algebra with

$$\begin{aligned} (f + h)(g) &= f(g) + h(g), \\ (\lambda f)(g) &= \lambda f(g), \end{aligned}$$

for all  $f, h \in C_cG$ ,  $\lambda \in \mathbb{C}$ ,  $g \in G$ , and multiplication given by *convolution*

$$(f * h)(g_0) = \int_G f(g)h(g^{-1}g_0) dg \quad \text{for all } g_0 \in G.$$

*Remark 2.* When  $G$  is discrete,  $\int_G f(g)dg = \sum_G f(g)$  is a Haar measure,  $C_cG$  is the complex group algebra  $\mathbb{C}[G]$  and  $f * h$  is the usual product in  $\mathbb{C}[G]$ .

There is an injection of algebras

$$\begin{array}{ccc} 0 & \longrightarrow & C_cG & \longrightarrow & \mathcal{L}(L^2G) \\ & & f & \mapsto & T_f \end{array}$$

where

$$\begin{aligned} T_f(u) &= f * u & u &\in L^2G, \\ (f * u)(g_0) &= \int_G f(g)u(g^{-1}g_0)dg & g_0 &\in G. \end{aligned}$$

Note that  $C_cG$  is not necessarily a sub- $C^*$ -algebra of  $\mathcal{L}(L^2G)$  since it may not be complete. We define  $C_r^*(G)$ , the *reduced  $C^*$ -algebra of  $G$* , as the norm closure of  $C_cG$  in  $\mathcal{L}(L^2G)$ :

$$C_r^*(G) = \overline{C_cG} \subset \mathcal{L}(L^2G).$$

*Remark 3.* There are other possible completions of  $C_cG$ . This particular one, i.e.  $C_r^*(G)$ , uses only the left regular representation of  $G$  (cf. [42, Chapter 7]).

### 1.4 Two classical theorems

We recall two classical theorems about  $C^*$ -algebras. The first one says that any  $C^*$ -algebra is (non-canonically) isomorphic to a  $C^*$ -algebra of operators, in the sense of the following definition.

**Definition 4.** *A subalgebra  $A$  of  $\mathcal{L}(H)$  is a  $C^*$ -algebra of operators if*

1.  *$A$  is closed with respect to the operator norm;*
2. *if  $T \in A$  then the adjoint operator  $T^* \in A$ .*

That is,  $A$  is a sub- $C^*$ -algebra of  $\mathcal{L}(H)$ , for some Hilbert space  $H$ .

**Theorem 1 (I. Gelfand and V. Naimark).** *Any  $C^*$ -algebra is isomorphic, as a  $C^*$ -algebra, to a  $C^*$ -algebra of operators.*

The second result states that any commutative  $C^*$ -algebra is (canonically) isomorphic to  $C_0(X)$ , for some topological space  $X$ .

**Theorem 2 (I. Gelfand).** *Let  $A$  be a commutative  $C^*$ -algebra. Then  $A$  is (canonically) isomorphic to  $C_0(X)$  for  $X$  the space of maximal ideals of  $A$ .*

*Remark 4.* The topology on  $X$  is the *Jacobson topology* or *hull-kernel topology* [40, p. 159].

Thus a non-commutative  $C^*$ -algebra can be viewed as a ‘non-commutative, locally compact, Hausdorff topological space’.

### 1.5 The categorical viewpoint

Example 1 gives a functor between the category of locally compact, Hausdorff, topological spaces and the category of  $C^*$ -algebras, given by  $X \mapsto C_0(X)$ . Theorem 2 tells us that its restriction to commutative  $C^*$ -algebras is an equivalence of categories,

$$\begin{array}{c} \left( \begin{array}{c} \text{commutative} \\ C^*\text{-algebras} \end{array} \right) \simeq \left( \begin{array}{c} \text{locally compact, Hausdorff,} \\ \text{topological spaces} \end{array} \right)^{op} \\ C_0(X) \longleftarrow X \end{array}$$

On one side we have  $C^*$ -algebras and  $*$ -homomorphisms, and on the other locally compact, Hausdorff topological spaces with morphisms from  $Y$  to  $X$  being continuous maps  $f: X^+ \rightarrow Y^+$  such that  $f(p_\infty) = q_\infty$ . (The symbol *op* means the opposite or dual category, in other words, the functor is contravariant.)

*Remark 5.* This is not the same as continuous proper maps  $f: X \rightarrow Y$  since we do not require that the map  $f: X^+ \rightarrow Y^+$  maps  $X$  to  $Y$ .

## 2 $K$ -theory of $C^*$ -algebras

In this section we define the  $K$ -theory groups of an arbitrary  $C^*$ -algebra. We first give the definition for a  $C^*$ -algebra with unit and then extend it to the non-unital case. We also discuss Bott periodicity and the connection with topological  $K$ -theory of spaces. More details on  $K$ -theory of  $C^*$ -algebras is given in Section 3 of Cortiñas' notes [12], including a proof of Bott periodicity. Other references are [40], [43] and [50].

Our main motivation is to study the  $K$ -theory of  $C_r^*(G)$ , the reduced  $C^*$ -algebra of  $G$ . From Bott periodicity, it suffices to compute  $K_j(C_r^*(G))$  for  $j = 0, 1$ . In 1980, Paul Baum and Alain Connes conjectured that these  $K$ -theory groups are isomorphic to the *equivariant  $K$ -homology* (Section 5) of a certain  $G$ -space. This  $G$ -space is the *universal example for proper actions of  $G$*  (Sections 3 and 4), written  $\underline{E}G$ . Moreover, the conjecture states that the isomorphism is given by a particular map called the *index map* (Section 13).

*Conjecture 1 (P. Baum and A. Connes, 1980).* Let  $G$  be a locally compact, Hausdorff, second countable, topological group. Then the index map

$$\mu : K_j^G(\underline{E}G) \longrightarrow K_j(C_r^*(G)) \quad j = 0, 1$$

is an isomorphism.

### 2.1 Definition for unital $C^*$ -algebras

Let  $A$  be a  $C^*$ -algebra with unit  $1_A$ . Consider  $GL(n, A)$ , the group of invertible  $n$  by  $n$  matrices with coefficients in  $A$ . It is a topological group, with topology inherited from  $A$ . We have a standard inclusion

$$GL(n, A) \hookrightarrow GL(n+1, A)$$

$$\begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \dots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} \mapsto \begin{pmatrix} a_{11} & \dots & a_{1n} & 0 \\ \vdots & \dots & \vdots & \vdots \\ a_{n1} & \dots & a_{nn} & 0 \\ 0 & \dots & 0 & 1_A \end{pmatrix}.$$

Define  $GL(A)$  as the direct limit with respect to these inclusions

$$GL(A) = \bigcup_{n=1}^{\infty} GL(n, A).$$

It is a topological group with the *direct limit topology*: a subset  $\theta$  is open if and only if  $\theta \cap GL(n, A)$  is open for every  $n \geq 1$ . In particular,  $GL(A)$  is a topological space, and hence we can consider its homotopy groups.

**Definition 5 ( $K$ -theory of a unital  $C^*$ -algebra).**

$$K_j(A) = \pi_{j-1}(GL(A)) \quad j = 1, 2, 3, \dots$$

Finally, we define  $K_0(A)$  as the *algebraic*  $K$ -theory group of the ring  $A$ , that is, the Grothendieck group of finitely generated (left) projective  $A$ -modules (cf. [12, Remark 2.1.9]),

$$K_0(A) = K_0^{\text{alg}}(A).$$

*Remark 6.* Note that  $K_0(A)$  only depends on the ring structure of  $A$  and so we can ‘forget’ the norm and the involution. The definition of  $K_1(A)$  does require the norm but not the involution, so in fact we are defining  $K$ -theory of Banach algebras with unit. Everything we say in 2.2 below, including Bott periodicity, is true for Banach algebras.

## 2.2 Bott periodicity

The fundamental result is *Bott periodicity*. It says that the homotopy groups of  $GL(A)$  are periodic modulo 2 or, more precisely, that the double loop space of  $GL(A)$  is homotopy equivalent to itself,

$$\Omega^2 GL(A) \simeq GL(A).$$

As a consequence, the  $K$ -theory of the  $C^*$ -algebra  $A$  is periodic modulo 2

$$K_j(A) = K_{j+2}(A) \quad j \geq 0.$$

Hence from now on we will only consider  $K_0(A)$  and  $K_1(A)$ .

## 2.3 Definition for non-unital $C^*$ -algebras

If  $A$  is a  $C^*$ -algebra without a unit, we formally adjoin one. Define  $\tilde{A} = A \oplus \mathbb{C}$  as a complex algebra with multiplication, involution and norm given by

$$\begin{aligned} (a, \lambda) \cdot (b, \mu) &= (ab + \mu a + \lambda b, \lambda\mu), \\ (a, \lambda)^* &= (a^*, \bar{\lambda}), \\ \|(a, \lambda)\| &= \sup_{\|b\|=1} \|ab + \lambda b\|. \end{aligned}$$

This makes  $\tilde{A}$  a unital  $C^*$ -algebra with unit  $(0, 1)$ . We have an exact sequence

$$0 \longrightarrow A \longrightarrow \tilde{A} \longrightarrow \mathbb{C} \longrightarrow 0.$$

**Definition 6.** Let  $A$  be a non-unital  $C^*$ -algebra. Define  $K_0(A)$  and  $K_1(A)$  as

$$\begin{aligned} K_0(A) &= \ker \left( K_0(\tilde{A}) \rightarrow K_0(\mathbb{C}) \right) \\ K_1(A) &= K_1(\tilde{A}). \end{aligned}$$

This definition agrees with the previous one when  $A$  has a unit. It also satisfies Bott periodicity (see Cortiñas’ notes [12, 3.2]).



*Remark 7.* Note that the  $C^*$ -algebra  $C_r^*(G)$  is unital if and only if  $G$  is discrete, with unit the Dirac function on  $1_G$ .

*Remark 8.* There is algebraic  $K$ -theory of rings (see [12]). Although a  $C^*$ -algebra is in particular a ring, the two  $K$ -theories are different; algebraic  $K$ -theory does not satisfy Bott periodicity and  $K_1$  is in general a quotient of  $K_1^{alg}$ . We shall compare both definitions in Section 14.3 (see also [12, Section 7]).

### 2.4 Functoriality

Let  $A, B$  be  $C^*$ -algebras (with or without units), and  $\varphi : A \rightarrow B$  a  $*$ -homomorphism. Then  $\varphi$  induces a homomorphism of abelian groups

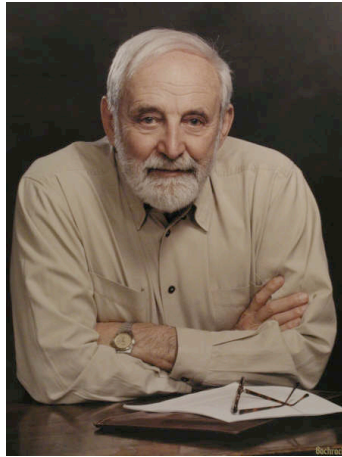
$$\varphi_* : K_j(A) \longrightarrow K_j(B) \quad j = 0, 1.$$

This makes  $A \mapsto K_j(A)$ ,  $j = 0, 1$ , covariant functors from  $C^*$ -algebras to abelian groups [43, Sections 4.1 and 8.2].

*Remark 9.* When  $A$  and  $B$  are unital and  $\varphi(1_A) = 1_B$ , the map  $\varphi_*$  is the one induced by  $GL(A) \rightarrow GL(B)$ ,  $(a_{ij}) \mapsto (\varphi(a_{ij}))$  on homotopy groups.

### 2.5 More on Bott periodicity

In the original article [9], Bott computed the stable homotopy of the classical groups and, in particular, the homotopy groups  $\pi_j(GL(n, \mathbb{C}))$  when  $n \gg j$ .



**Fig. 1.** Raoul Bott

**Theorem 3 (R. Bott [9]).** *The homotopy groups of  $GL(n, \mathbb{C})$  are*

$$\pi_j(GL(n, \mathbb{C})) = \begin{cases} 0 & j \text{ even} \\ \mathbb{Z} & j \text{ odd} \end{cases}$$

for all  $j = 0, 1, 2, \dots, 2n - 1$ .

As a corollary of the previous theorem, we obtain the  $K$ -theory of  $\mathbb{C}$ , considered as a  $C^*$ -algebra.

**Theorem 4 (R. Bott).**

$$K_j(\mathbb{C}) = \begin{cases} \mathbb{Z} & j \text{ even,} \\ 0 & j \text{ odd.} \end{cases}$$

*Sketch of proof.* Since  $\mathbb{C}$  is a field,  $K_0(\mathbb{C}) = K_0^{alg}(\mathbb{C}) = \mathbb{Z}$ . By the polar decomposition,  $GL(n, \mathbb{C})$  is homotopy equivalent to  $U(n)$ . The homotopy long exact sequence of the fibration  $U(n) \rightarrow U(n+1) \rightarrow S^{2n+1}$  gives  $\pi_j(U(n)) = \pi_j(U(n+1))$  for all  $j \leq 2n+1$ . Hence  $K_j(\mathbb{C}) = \pi_{j-1}(GL(\mathbb{C})) = \pi_{j-1}(GL(2j-1, \mathbb{C}))$  and apply the previous theorem.

*Remark 10.* Compare this result with  $K_1^{alg}(\mathbb{C}) = \mathbb{C}^*$  (since  $\mathbb{C}$  is a field, see [12, Ex. 3.1.6]). Higher algebraic  $K$ -theory groups for  $\mathbb{C}$  are only partially understood.

## 2.6 Topological $K$ -theory

There is a close connection between  $K$ -theory of  $C^*$ -algebras and topological  $K$ -theory of spaces.

Let  $X$  be a locally compact, Hausdorff, topological space. Atiyah and Hirzebruch [3] defined abelian groups  $K^0(X)$  and  $K^1(X)$  called *topological  $K$ -theory with compact supports*. For instance, if  $X$  is compact,  $K^0(X)$  is the Grothendieck group of complex vector bundles on  $X$ .

**Theorem 5.** *Let  $X$  be a locally compact, Hausdorff, topological space. Then*

$$K^j(X) = K_j(C_0(X)), \quad j = 0, 1.$$

*Remark 11.* This is known as Swan's theorem when  $j = 0$  and  $X$  compact.

In turn, topological  $K$ -theory can be computed up to torsion via a Chern character. Let  $X$  be as above. There is a *Chern character* from topological  $K$ -theory to rational cohomology with compact supports

$$ch : K^j(X) \longrightarrow \bigoplus_{l \geq 0} H_c^{j+2l}(X; \mathbb{Q}), \quad j = 0, 1.$$

Here the target cohomology theory  $H_c^*(-; \mathbb{Q})$  can be Čech cohomology with compact supports, Alexander-Spanier cohomology with compact supports or representable Eilenberg-MacLane cohomology with compact supports.

This map becomes an isomorphism when tensored with the rationals.

**Theorem 6.** *Let  $X$  be a locally compact, Hausdorff, topological space. The Chern character is a rational isomorphism, that is,*

$$K^j(X) \otimes_{\mathbb{Z}} \mathbb{Q} \longrightarrow \bigoplus_{l \geq 0} H_c^{j+2l}(X; \mathbb{Q}), \quad j = 0, 1$$

is an isomorphism.

*Remark 12.* This theorem is still true for singular cohomology when  $X$  is a locally finite CW-complex.

### 3 Proper $G$ -spaces

In the following three sections, we will describe the left-hand side of the Baum-Connes conjecture (Conjecture 1). The space  $\underline{E}G$  appearing on the topological side of the conjecture is the *universal example for proper actions for  $G$* . Hence we will start by studying proper  $G$ -spaces.

Recall the definition of  $G$ -space,  $G$ -map and  $G$ -homotopy.

**Definition 7.** *A  $G$ -space is a topological space  $X$  with a given continuous action of  $G$*

$$G \times X \longrightarrow X.$$

A  $G$ -map is a continuous map  $f : X \rightarrow Y$  between  $G$ -spaces such that

$$f(gp) = gf(p) \text{ for all } (g, p) \in G \times X.$$

Two  $G$ -maps  $f_0, f_1 : X \rightarrow Y$  are  $G$ -homotopic if they are homotopic through  $G$ -maps, that is, there exists a homotopy  $\{f_t\}_{0 \leq t \leq 1}$  with each  $f_t$  a  $G$ -map.

We will require proper  $G$ -spaces to be Hausdorff and paracompact. Recall that a space  $X$  is *paracompact* if every open cover of  $X$  has a locally finite open refinement or, alternatively, a locally finite partition of unity subordinate to any given open cover.

*Remark 13.* Any metrizable space (i.e. there is a metric with the same underlying topology) or any CW-complex (in its usual CW-topology) is Hausdorff and paracompact.

**Definition 8.** *A  $G$ -space  $X$  is proper if*

- $X$  is Hausdorff and paracompact;

- the quotient space  $G \backslash X$  (with the quotient topology) is Hausdorff and paracompact;
- for each  $p \in X$  there exists a triple  $(U, H, \rho)$  such that
  1.  $U$  is an open neighborhood of  $p$  in  $X$  with  $gu \in U$  for all  $(g, u) \in G \times U$ ;
  2.  $H$  is a compact subgroup of  $G$ ;
  3.  $\rho : U \rightarrow G/H$  is a  $G$ -map.

Note that, in particular, the stabilizer  $\text{stab}(p)$  is a closed subgroup of a conjugate of  $H$  and hence compact.

*Remark 14.* The converse is not true in general; the action of  $\mathbb{Z}$  on  $S^1$  by an irrational rotation is free but it is not a proper  $\mathbb{Z}$ -space.

*Remark 15.* If  $X$  is a  $G$ -CW-complex then it is a proper  $G$ -space (even in the weaker definition below) if and only if all the cell stabilizers are compact, see Thm. 1.23 in [31].

Our definition is stronger than the usual definition of proper  $G$ -space, which requires the map  $G \times X \rightarrow X \times X$ ,  $(g, x) \mapsto (gx, x)$  to be proper, in the sense that the pre-image of a compact set is compact. Nevertheless, both definitions agree for locally compact, Hausdorff, second countable  $G$ -spaces.

**Proposition 2 (J. Chabert, S. Echterhoff, R. Meyer [11]).** *If  $X$  is a locally compact, Hausdorff, second countable  $G$ -space, then  $X$  is proper if and only if the map*

$$\begin{aligned} G \times X &\longrightarrow X \times X \\ (g, x) &\longmapsto (gx, x) \end{aligned}$$

*is proper.*

*Remark 16.* For a more general comparison among these and other definitions of proper actions see [7].

## 4 Classifying space for proper actions

Now we are ready for the definition of the space  $\underline{E}G$  appearing in the statement of the Baum-Connes Conjecture. Most of the material in this section is based on Sections 1 and 2 of [5].

**Definition 9.** *A universal example for proper actions of  $G$ , denoted  $\underline{E}G$ , is a proper  $G$ -space such that:*

- *if  $X$  is any proper  $G$ -space, then there exists a  $G$ -map  $f : X \rightarrow \underline{E}G$  and any two  $G$ -maps from  $X$  to  $\underline{E}G$  are  $G$ -homotopic.*

$\underline{EG}$  exists for every topological group  $G$  [5, Appendix 1] and it is unique up to  $G$ -homotopy, as follows. Suppose that  $\underline{EG}$  and  $(\underline{EG})'$  are both universal examples for proper actions of  $G$ . Then there exist  $G$ -maps

$$\begin{aligned} f : \underline{EG} &\longrightarrow (\underline{EG})' \\ f' : (\underline{EG})' &\longrightarrow \underline{EG} \end{aligned}$$

and  $f' \circ f$  and  $f \circ f'$  must be  $G$ -homotopic to the identity maps of  $\underline{EG}$  and  $(\underline{EG})'$  respectively.

The following are equivalent axioms for a space  $Y$  to be  $\underline{EG}$  [5, Appendix 2].

1.  $Y$  is a proper  $G$ -space.
2. If  $H$  is any compact subgroup of  $G$ , then there exists  $p \in Y$  with  $hp = p$  for all  $h \in H$ .
3. Consider  $Y \times Y$  as a  $G$ -space via  $g(y_0, y_1) = (gy_0, gy_1)$ , and the maps

$$\begin{aligned} \rho_0, \rho_1 : Y \times Y &\longrightarrow Y \\ \rho_0(y_0, y_1) = y_0, \quad \rho_1(y_0, y_1) = y_1. \end{aligned}$$

Then  $\rho_0$  and  $\rho_1$  are  $G$ -homotopic.

*Remark 17.* It is possible to define a universal space for any family of (closed) subgroups of  $G$  closed under conjugation and finite intersections [33]. Then  $\underline{EG}$  is the universal space for the family of compact subgroups of  $G$ .

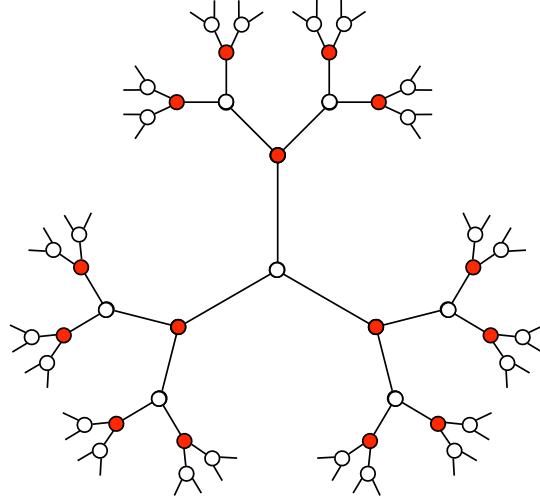
*Remark 18.* The space  $\underline{EG}$  can always be assumed to be a  $G$ -CW-complex. Then there is a homotopy characterization: a proper  $G$ -CW-complex  $X$  is an  $\underline{EG}$  if and only if for each compact subgroup  $H$  of  $G$  the fixed point subcomplex  $X^H$  is contractible (see [33]).

### Examples

1. If  $G$  is compact,  $\underline{EG}$  is just a one-point space.
2. If  $G$  is a Lie group with finitely many connected components then  $\underline{EG} = G/H$ , where  $H$  is a maximal compact subgroup (i.e. maximal among compact subgroups).
3. If  $G$  is a  $p$ -adic group then  $\underline{EG} = \beta G$  the affine Bruhat-Tits building for  $G$ . For example,  $\beta SL(2, \mathbb{Q}_p)$  is the  $(p+1)$ -regular tree, that is, the unique tree with exactly  $p+1$  edges at each vertex (see Figure 3) (cf. [47]).
4. If  $\Gamma$  is an arbitrary (countable) discrete group, there is an explicit construction,

$$\underline{E\Gamma} = \left\{ f : \Gamma \rightarrow [0, 1] \mid f \text{ finite support, } \sum_{\gamma \in \Gamma} f(\gamma) = 1 \right\},$$

that is, the space of all finite probability measures on  $\Gamma$ , topologized by the metric  $d(f, h) = \sqrt{\sum_{\gamma \in \Gamma} |f(\gamma) - h(\gamma)|^2}$ .



**Fig. 2.** The  $(p + 1)$ -regular tree is  $\beta SL(2, \mathbb{Q}_p)$

## 5 Equivariant $K$ -homology

$K$ -homology is the dual theory to Atiyah-Hirzebruch  $K$ -theory (Section 2.6). Here we define an equivariant generalization due to Kasparov [25, 26]. If  $X$  is a proper  $G$ -space with compact, second countable quotient then  $K_i^G(X)$ ,  $i = 0, 1$ , are abelian groups defined as homotopy classes of  $K$ -cycles for  $X$ . These  $K$ -cycles can be viewed as  $G$ -equivariant abstract elliptic operators on  $X$ .

*Remark 19.* For a discrete group  $G$ , there is a topological definition of equivariant  $K$ -homology and the index map via equivariant spectra [14]. This and other constructions of the index map are shown to be equivalent in [19].

### 5.1 Definitions

Let  $G$  be a locally compact, Hausdorff, second countable, topological group.

Let  $H$  be a separable Hilbert space. Write  $\mathcal{U}(H)$  for the set of unitary operators

$$\mathcal{U}(H) = \{U \in \mathcal{L}(H) \mid UU^* = U^*U = I\}.$$

**Definition 10.** A unitary representation of  $G$  on  $H$  is a group homomorphism  $\pi: G \rightarrow \mathcal{U}(H)$  such that for each  $v \in H$  the map  $\pi_v: G \rightarrow H, g \mapsto \pi(g)v$  is a continuous map from  $G$  to  $H$ .

**Definition 11.** A  $G$ - $C^*$ -algebra is a  $C^*$ -algebra  $A$  with a given continuous action of  $G$

$$G \times A \longrightarrow A$$

such that  $G$  acts by  $C^*$ -algebra automorphisms.

The continuity condition is that, for each  $a \in A$ , the map  $G \rightarrow A, g \mapsto ga$  is a continuous map. We also have that, for each  $g \in G$ , the map  $A \rightarrow A, a \mapsto ga$  is a  $C^*$ -algebra automorphism.

*Example 4.* Let  $X$  be a locally compact, Hausdorff  $G$ -space. The action of  $G$  on  $X$  gives an action of  $G$  on  $C_0(X)$ ,

$$(g\alpha)(x) = \alpha(g^{-1}x),$$

where  $g \in G, \alpha \in C_0(X)$  and  $x \in X$ . This action makes  $C_0(X)$  into a  $G$ - $C^*$ -algebra.

Recall that a  $C^*$ -algebra is *separable* if it has a countable dense subset.

**Definition 12.** Let  $A$  be a separable  $G$ - $C^*$ -algebra. A representation of  $A$  is a triple  $(H, \psi, \pi)$  with:

- $H$  is a separable Hilbert space,
- $\psi: A \rightarrow \mathcal{L}(H)$  is a  $*$ -homomorphism,
- $\pi: G \rightarrow \mathcal{U}(H)$  is a unitary representation of  $G$  on  $H$ ,
- $\psi(ga) = \pi(g)\psi(a)\pi(g^{-1})$  for all  $(g, a) \in G \times A$ .

*Remark 20.* We are using a slightly non-standard notation; in the literature this is usually called a *covariant representation*.

**Definition 13.** Let  $X$  be a proper  $G$ -space with compact, second countable quotient space  $G \backslash X$ . An equivariant odd  $K$ -cycle for  $X$  is a 4-tuple  $(H, \psi, \pi, T)$  such that:

- $(H, \psi, \pi)$  is a representation of the  $G$ - $C^*$ -algebra  $C_0(X)$ ,
- $T \in \mathcal{L}(H)$ ,
- $T = T^*$ ,
- $\pi(g)T - T\pi(g) = 0$  for all  $g \in G$ ,
- $\psi(\alpha)T - T\psi(\alpha) \in \mathcal{K}(H)$  for all  $\alpha \in C_0(X)$ ,
- $\psi(\alpha)(I - T^2) \in \mathcal{K}(H)$  for all  $\alpha \in C_0(X)$ .

*Remark 21.* If  $G$  is a locally compact, Hausdorff, second countable topological group and  $X$  a proper  $G$ -space with locally compact quotient then  $X$  is also locally compact and hence  $C_0(X)$  is well-defined.

Write  $\mathcal{E}_1^G(X)$  for the set of equivariant odd  $K$ -cycles for  $X$ . This concept was introduced by Kasparov as an abstraction an equivariant self-adjoint elliptic operator and goes back to Atiyah's theory of elliptic operators [2].

*Example 5.* Let  $G = \mathbb{Z}$ ,  $X = \mathbb{R}$  with the action  $\mathbb{Z} \times \mathbb{R} \rightarrow \mathbb{R}$ ,  $(n, t) \mapsto n + t$ . The quotient space is  $S^1$ , which is compact. Consider  $H = L^2(\mathbb{R})$  the Hilbert space of complex-valued square integrable functions with the usual Lebesgue measure. Let  $\psi: C_0(\mathbb{R}) \rightarrow \mathcal{L}(L^2(\mathbb{R}))$  be defined as  $\psi(\alpha)u = \alpha u$ , where  $\alpha u(t) = \alpha(t)u(t)$ , for all  $\alpha \in C_0(\mathbb{R})$ ,  $u \in L^2(\mathbb{R})$  and  $t \in \mathbb{R}$ . Finally, let  $\pi: \mathbb{Z} \rightarrow \mathcal{U}(L^2(\mathbb{R}))$  be the map  $(\pi(n)u)(t) = u(t - n)$  and consider the operator  $(-i \frac{d}{dt})$ . This operator is self-adjoint but *not* bounded on  $L^2(\mathbb{R})$ . We “normalize” it to obtain a bounded operator

$$T = \left( \frac{x}{\sqrt{1+x^2}} \right) \left( -i \frac{d}{dt} \right).$$

This notation means that the function  $\frac{x}{\sqrt{1+x^2}}$  is applied using functional calculus to the operator  $(-i \frac{d}{dt})$ . Note that the operator  $(-i \frac{d}{dt})$  is essentially self adjoint. Thus the function  $\frac{x}{\sqrt{1+x^2}}$  can be applied to the unique self-adjoint extension of  $(-i \frac{d}{dt})$ .

Equivalently,  $T$  can be constructed using Fourier transform. Let  $\mathcal{M}_x$  be the operator “multiplication by  $x$ ”

$$\mathcal{M}_x(f(x)) = xf(x).$$

The Fourier transform  $\mathcal{F}$  converts  $-i \frac{d}{dt}$  to  $\mathcal{M}_x$ , i.e. there is a commutative diagram

$$\begin{array}{ccc} L^2(\mathbb{R}) & \xrightarrow{\mathcal{F}} & L^2(\mathbb{R}) \\ -i \frac{d}{dt} \downarrow & & \downarrow \mathcal{M}_x \\ L^2(\mathbb{R}) & \xrightarrow{\mathcal{F}} & L^2(\mathbb{R}). \end{array}$$

Let  $\mathcal{M}_{\frac{x}{\sqrt{1+x^2}}}$  be the operator “multiplication by  $\frac{x}{\sqrt{1+x^2}}$ ”

$$\mathcal{M}_{\frac{x}{\sqrt{1+x^2}}}(f(x)) = \frac{x}{\sqrt{1+x^2}}f(x).$$

$T$  is the unique bounded operator on  $L^2(\mathbb{R})$  such that the following diagram is commutative

$$\begin{array}{ccc} L^2(\mathbb{R}) & \xrightarrow{\mathcal{F}} & L^2(\mathbb{R}) \\ T \downarrow & & \downarrow \mathcal{M}_{\frac{x}{\sqrt{1+x^2}}} \\ L^2(\mathbb{R}) & \xrightarrow{\mathcal{F}} & L^2(\mathbb{R}). \end{array}$$

Then we have an equivariant odd  $K$ -cycle  $(L^2(\mathbb{R}), \psi, \pi, T) \in \mathcal{E}_1^{\mathbb{Z}}(\mathbb{R})$ .

Let  $X$  be a proper  $G$ -space with compact, second countable quotient  $G \backslash X$  and  $\mathcal{E}_1^G(X)$  defined as above. The *equivariant  $K$ -homology group*  $K_1^G(X)$  is defined as the quotient

$$K_1^G(X) = \mathcal{E}_1^G(X) / \sim,$$



where  $\sim$  represents *homotopy*, in a sense that will be made precise later (Section 11). It is an abelian group with addition and inverse given by

$$\begin{aligned} (H, \psi, \pi, T) + (H', \psi', \pi', T') &= (H \oplus H', \psi \oplus \psi', \pi \oplus \pi', T \oplus T'), \\ -(H, \psi, \pi, T) &= (H, \psi, \pi, -T). \end{aligned}$$

*Remark 22.* The  $K$ -cycles defined above differ slightly from the  $K$ -cycles used by Kasparov [26]. However, the abelian group  $K_1^G(X)$  is isomorphic to the Kasparov group  $KK_G^1(C_0(X), \mathbb{C})$ , where the isomorphism is given by the evident map which views one of our  $K$ -cycles as one of Kasparov's  $K$ -cycles. In other words, the  $K$ -cycles we are using are more special than the  $K$ -cycles used by Kasparov, however the obvious map of abelian groups is an isomorphism.

We define *even*  $K$ -cycles in a similar way, just dropping the condition of  $T$  being self-adjoint.

**Definition 14.** *Let  $X$  be a proper  $G$ -space with compact, second countable quotient space  $G \backslash X$ . An equivariant even  $K$ -cycle for  $X$  is a 4-tuple  $(H, \psi, \pi, T)$  such that:*

- $(H, \psi, \pi)$  is a representation of the  $G$ - $C^*$ -algebra  $C_0(X)$ ,
- $T \in \mathcal{L}(H)$ ,
- $\pi(g)T - T\pi(g) = 0$  for all  $g \in G$ ,
- $\psi(\alpha)T - T\psi(\alpha) \in \mathcal{K}(H)$  for all  $\alpha \in C_0(X)$ ,
- $\psi(\alpha)(I - T^*T) \in \mathcal{K}(H)$  for all  $\alpha \in C_0(X)$ ,
- $\psi(\alpha)(I - TT^*) \in \mathcal{K}(H)$  for all  $\alpha \in C_0(X)$ .

Write  $\mathcal{E}_0^G(X)$  for the set of such equivariant even  $K$ -cycles.

*Remark 23.* In the literature the definition is somewhat more complicated. In particular, the Hilbert space  $H$  is required to be  $\mathbb{Z}/2$ -graded. However, at the level of abelian groups, the abelian group  $K_0^G(X)$  obtained from the equivariant even  $K$ -cycles defined here will be isomorphic to the Kasparov group  $KK_G^0(C_0(X), \mathbb{C})$  [26]. More precisely, let  $(H, \psi, \pi, T, \omega)$  be a  $K$ -cycle in Kasparov's sense, where  $\omega$  is a  $\mathbb{Z}/2$ -grading of the Hilbert space  $H = H_0 \oplus H_1$ ,  $\psi = \psi_0 \oplus \psi_1$ ,  $\pi = \pi_0 \oplus \pi_1$  and  $T$  is self-adjoint but off-diagonal

$$T = \begin{pmatrix} 0 & T_- \\ T_+ & 0 \end{pmatrix}.$$

To define the isomorphism from  $KK_G^0(C_0(X), \mathbb{C})$  to  $K_0^G(X)$ , we map a Kasparov cycle  $(H, \psi, \pi, T, \omega)$  to  $(H', \psi', \pi', T')$  where

$$\begin{aligned} H' &= \dots H_0 \oplus H_0 \oplus H_0 \oplus H_1 \oplus H_1 \oplus H_1 \dots \\ \psi' &= \dots \psi_0 \oplus \psi_0 \oplus \psi_0 \oplus \psi_1 \oplus \psi_1 \oplus \psi_1 \dots \\ \pi' &= \dots \pi_0 \oplus \pi_0 \oplus \pi_0 \oplus \pi_1 \oplus \pi_1 \oplus \pi_1 \dots \end{aligned}$$

and  $T'$  is the obvious right-shift operator, where we use  $T_+$  to map the last copy of  $H_0$  to the first copy of  $H_1$ . The isomorphism from  $\mathcal{E}_0^G(X)$  to  $KK_G^0(C_0(X), \mathbb{C})$  is given by

$$(H, \psi, \pi, T) \mapsto (H \oplus H, \psi \oplus \psi, \pi \oplus \pi, \begin{pmatrix} 0 & T^* \\ T & 0 \end{pmatrix}).$$

Let  $X$  be a proper  $G$ -space with compact, second countable quotient  $G \backslash X$  and  $\mathcal{E}_0^G(X)$  as above. The *equivariant  $K$ -homology group*  $K_0^G(X)$  is defined as the quotient

$$K_0^G(X) = \mathcal{E}_0^G(X) / \sim,$$

where  $\sim$  is *homotopy*, in a sense that will be made precise later. It is an abelian group with addition and inverse given by

$$\begin{aligned} (H, \psi, \pi, T) + (H', \psi', \pi', T') &= (H \oplus H', \psi \oplus \psi', \pi \oplus \pi', T \oplus T'), \\ -(H, \psi, \pi, T) &= (H, \psi, \pi, T^*). \end{aligned}$$

*Remark 24.* Since the even  $K$ -cycles are more general, we have  $\mathcal{E}_1^G(X) \subset \mathcal{E}_0^G(X)$ . However, this inclusion induces the zero map from  $K_1^G(X)$  to  $K_0^G(X)$ .

## 5.2 Functoriality

Equivariant  $K$ -homology gives a (covariant) functor between the category proper  $G$ -spaces with compact quotient and the category of abelian groups. Indeed, given a continuous  $G$ -map  $f: X \rightarrow Y$  between proper  $G$ -spaces with compact quotient, it induces a map  $\tilde{f}: C_0(Y) \rightarrow C_0(X)$  by  $\tilde{f}(\alpha) = \alpha \circ f$  for all  $\alpha \in C_0(Y)$ . Then, we obtain homomorphisms of abelian groups

$$K_j^G(X) \longrightarrow K_j^G(Y) \quad j = 0, 1$$

by defining, for each  $(H, \psi, \pi, T) \in \mathcal{E}_j^G(X)$ ,

$$(H, \psi, \pi, T) \mapsto (H, \psi \circ \tilde{f}, \pi, T).$$

## 5.3 The index map

Let  $X$  be a proper second countable  $G$ -space with compact quotient  $G \backslash X$ . There is a map of abelian groups

$$\begin{aligned} K_j^G(X) &\longrightarrow K_j(C_r^*(G)) \\ (H, \psi, \pi, T) &\mapsto \text{Index}(T) \end{aligned}$$

for  $j = 0, 1$ . It is called the *index map* and will be defined in Section 13.

This map is natural, that is, if  $X$  and  $Y$  are proper second countable  $G$ -spaces with compact quotient and if  $f: X \rightarrow Y$  is a continuous  $G$ -equivariant map, then the following diagram commutes:

$$\begin{array}{ccc}
 K_j^G(X) & \xrightarrow{f^*} & K_j^G(Y) \\
 \text{Index} \downarrow & & \downarrow \text{Index} \\
 K_j^G(C_r^*(G)) & \xrightarrow{=} & K_j^G(C_r^*(G)).
 \end{array}$$

We would like to define equivariant  $K$ -homology and the index map for  $\underline{EG}$ . However, the quotient of  $\underline{EG}$  by the  $G$ -action might not be compact. The solution will be to consider all proper second countable  $G$ -subspaces with compact quotient.

**Definition 15.** *Let  $Z$  be a proper  $G$ -space. We call  $\Delta \subseteq Z$   $G$ -compact if*

1.  $gx \in \Delta$  for all  $g \in G, x \in \Delta$ ,
2.  $\Delta$  is a proper  $G$ -space,
3. the quotient space  $G \backslash \Delta$  is compact.

*That is,  $\Delta$  is a  $G$ -subspace which is proper as a  $G$ -space and has compact quotient  $G \backslash \Delta$ .*

*Remark 25.* Since we are always assuming that  $G$  is locally compact, Hausdorff and second countable, we may also assume without loss of generality that any  $G$ -compact subset of  $\underline{EG}$  is second countable. From now on we shall assume that  $\underline{EG}$  has this property.

We define the *equivariant  $K$ -homology of  $\underline{EG}$  with  $G$ -compact supports* as the direct limit

$$K_j^G(\underline{EG}) = \varinjlim_{\substack{\Delta \subseteq \underline{EG} \\ G\text{-compact}}} K_j^G(\Delta).$$

There is then a well-defined index map on the direct limit

$$\begin{aligned}
 \mu: K_j^G(\underline{EG}) &\longrightarrow K_j(C_r^*G) \\
 (H, \psi, \pi, T) &\mapsto \text{Index}(T),
 \end{aligned} \tag{1}$$

as follows. Suppose that  $\Delta \subset \Omega$  are  $G$ -compact. By the naturality of the functor  $K_j^G(-)$ , there is a commutative diagram

$$\begin{array}{ccc}
 K_j^G(\Delta) & \longrightarrow & K_j^G(\Omega) \\
 \text{Index} \downarrow & & \downarrow \text{Index} \\
 K_j(C_r^*G) & \xrightarrow{=} & K_j(C_r^*G),
 \end{array}$$

and thus the index map is defined on the direct limit.

## 6 The discrete case

We discuss several aspects of the Baum-Connes conjecture when the group is discrete.

### 6.1 Equivariant $K$ -homology

For a discrete group  $\Gamma$ , there is a simple description of  $K_j^F(\underline{E}\Gamma)$  up to torsion, in purely algebraic terms, given by a Chern character. Here we follow section 7 in [5].

Let  $\Gamma$  be a (countable) discrete group. Define  $F\Gamma$  as the set of finite formal sums

$$F\Gamma = \left\{ \sum_{\text{finite}} \lambda_\gamma [\gamma] \text{ where } \gamma \in \Gamma, \text{order}(\gamma) < \infty, \lambda_\gamma \in \mathbb{C} \right\}.$$

$F\Gamma$  is a complex vector space and also a  $\Gamma$ -module with  $\Gamma$ -action:

$$g \cdot \left( \sum_{\lambda \in \Gamma} \lambda_\gamma [\gamma] \right) = \sum_{\lambda \in \Gamma} \lambda_\gamma [g\gamma g^{-1}].$$

Note that the identity element of the group has order 1 and therefore  $F\Gamma \neq 0$ .

Consider  $H_j(\Gamma; F\Gamma)$ ,  $j \geq 0$ , the homology groups of  $\Gamma$  with coefficients in the  $\Gamma$ -module  $F\Gamma$ .

*Remark 26.* This is standard homological algebra, with no topology involved ( $\Gamma$  is a discrete group and  $F\Gamma$  is a non-topologized module over  $\Gamma$ ). They are classical homology groups and have a purely algebraic description (cf. [10]). In general, if  $M$  is a  $\Gamma$ -module then  $H_*(\Gamma; M)$  is isomorphic to  $H_*(B\Gamma; \underline{M})$ , where  $\underline{M}$  means the local system on  $B\Gamma$  obtained from the  $\Gamma$ -module  $M$ .

Let us write  $K_j^{\text{top}}(\Gamma)$  for  $K_j^F(\underline{E}\Gamma)$ ,  $j = 0, 1$ . There is a Chern character  $\text{ch}: K_*^{\text{top}}(\Gamma) \rightarrow H_*(\Gamma; F\Gamma)$  which maps into odd, respectively even, homology

$$\text{ch}: K_j^{\text{top}}(\Gamma) \rightarrow \bigoplus_{l \geq 0} H_{j+2l}(\Gamma; F\Gamma) \quad j = 0, 1.$$

This map becomes an isomorphism when tensored with  $\mathbb{C}$  (cf. [4] or [32]).

**Proposition 3.** *The map*

$$\text{ch} \otimes_{\mathbb{Z}} \mathbb{C} : K_j^{\text{top}}(\Gamma) \otimes_{\mathbb{Z}} \mathbb{C} \longrightarrow \bigoplus_{l \geq 0} H_{j+2l}(\Gamma; F\Gamma) \quad j = 0, 1$$

*is an isomorphism of vector spaces over  $\mathbb{C}$ .*

*Remark 27.* If  $G$  is finite, the rationalized Chern character becomes the character map from  $R(G)$ , the complex representation ring of  $G$ , to class functions, given by  $\rho \mapsto \chi(\rho)$  in the even case, and the zero map in the odd case.

If the Baum-Connes conjecture is true for  $\Gamma$ , then Proposition 3 computes the tensored topological  $K$ -theory of the reduced  $C^*$ -algebra of  $\Gamma$ .

**Corollary 1.** *If the Baum-Connes conjecture is true for  $\Gamma$  then*

$$K_j(C_r^*\Gamma) \otimes_{\mathbb{Z}} \mathbb{C} \cong \bigoplus_{l \geq 0} H_{j+2l}(\Gamma; F\Gamma) \quad j = 0, 1.$$

## 6.2 Some results on discrete groups

We recollect some results on discrete groups which satisfy the Baum-Connes conjecture.

**Theorem 7 (N. Higson, G. Kasparov [22]).** *If  $\Gamma$  is a discrete group which is amenable (or, more generally, a-T-menable) then the Baum-Connes conjecture is true for  $\Gamma$ .*

**Theorem 8 (I. Mineyev, G. Yu [38]; independently V. Lafforgue [29]).** *If  $\Gamma$  is a discrete group which is hyperbolic (in Gromov's sense) then the Baum-Connes conjecture is true for  $\Gamma$ .*

**Theorem 9 (Schick [46]).** *Let  $B_n$  be the braid group on  $n$  strands, for any positive integer  $n$ . Then the Baum-Connes conjecture is true for  $B_n$ .*

**Theorem 10 (Matthey, Oyono-Oyono, Pitsch [36]).** *Let  $M$  be a connected orientable 3-dimensional manifold (possibly with boundary). Let  $\Gamma$  be the fundamental group of  $M$ . Then the Baum-Connes conjecture is true for  $\Gamma$ .*

The Baum-Connes index map has been shown to be injective or rationally injective for some classes of groups. For example, it is injective for countable subgroups of  $GL(n, K)$ ,  $K$  any field [18], and injective for

- closed subgroups of connected Lie groups [27];
- closed subgroups of reductive  $p$ -adic groups [28].

More results on groups satisfying the Baum-Connes conjecture can be found in [35].

The Baum-Connes conjecture remains a widely open problem. For example, it is not known for  $SL(n, \mathbb{Z})$ ,  $n \geq 3$ . These infinite discrete groups have Kazhdan's property (T) and hence they are not a-T-menable. On the other hand, it is known that the index map is injective for  $SL(n, \mathbb{Z})$  (see above) and the groups  $K_j^G(\underline{EG})$  for  $G = SL(3, \mathbb{Z})$  have been calculated [45].

*Remark 28.* The conjecture might be too general to be true for all groups. Nevertheless, we expect it to be true for a large family of groups, in particular for all exact groups (a group  $G$  is *exact* if the functor  $C_r^*(G, -)$ , as defined in 10.2, is exact).

## 6.3 Corollaries of the Baum-Connes Conjecture

The Baum-Connes conjecture is related to a great number of conjectures in functional analysis, algebra, geometry and topology. Most of these conjectures follow from either the injectivity or the surjectivity of the index map. A significant example is the Novikov conjecture on the homotopy invariance of higher signatures of closed, connected, oriented, smooth manifolds. This

conjecture follows from the injectivity of the rationalized index map [5]. For more information on conjectures related to Baum-Connes, see the appendix in [39].

*Remark 29.* By a “corollary” of the Baum-Connes conjecture we mean: if the Baum-Connes conjecture is true for a group  $G$  then the corollary is true for that group  $G$ . (For instance, in the Novikov conjecture  $G$  is the fundamental group of the manifold.)

## 7 The compact case

If  $G$  is compact, we can take  $\underline{E}G$  to be a one-point space. On the other hand,  $K_0(C_r^*G) = R(G)$  the (complex) representation ring of  $G$ , and  $K_1(C_r^*G) = 0$  (see Remark below). Recall that  $R(G)$  is the Grothendieck group of the category of finite dimensional (complex) representations of  $G$ . It is a free abelian group with one generator for each distinct (i.e. non-equivalent) irreducible representation of  $G$ .

*Remark 30.* When  $G$  is compact, the reduced  $C^*$ -algebra of  $G$  is a direct sum (in the  $C^*$ -algebra sense) over the irreducible representations of  $G$ , of matrix algebras of dimension equal to the dimension of the representation. The  $K$ -theory functor commutes with direct sums and  $K_j(M_n(\mathbb{C})) \cong K_j(\mathbb{C})$ , which is  $\mathbb{Z}$  for  $j$  even and 0 otherwise (Theorem 4).

Hence the index map takes the form

$$\mu: K_G^0(\text{point}) \longrightarrow R(G),$$

for  $j = 0$  and is the zero map for  $j = 1$ .

Given  $(H, \psi, T, \pi) \in \mathcal{E}_G^0(\text{point})$ , we may assume within the equivalence relation on  $\mathcal{E}_G^0(\text{point})$  that

$$\psi(\lambda) = \lambda I \quad \text{for all } \lambda \in C_0(\text{point}) = \mathbb{C},$$

where  $I$  is the identity operator of the Hilbert space  $H$ . Hence the non-triviality of  $(H, \psi, T, \pi)$  is coming from

$$I - TT^* \in \mathcal{K}(H), \quad \text{and} \quad I - T^*T \in \mathcal{K}(H),$$

that is,  $T$  is a Fredholm operator. Therefore

$$\begin{aligned} \dim_{\mathbb{C}}(\ker(T)) &< \infty, \\ \dim_{\mathbb{C}}(\text{coker}(T)) &< \infty, \end{aligned}$$

hence  $\ker(T)$  and  $\text{coker}(T)$  are finite dimensional representations of  $G$  (recall that  $G$  is acting via  $\pi: G \rightarrow \mathcal{L}(H)$ ). Then

$$\mu(H, \psi, T, \pi) = \text{Index}(T) = \ker(T) - \text{coker}(T) \in R(G).$$

*Remark 31.* The assembly map for  $G$  compact just described is an isomorphism (exercise).

*Remark 32.* In general, for  $G$  non-compact, the elements of  $K_0^G(X)$  can be viewed as generalized elliptic operators on  $\underline{E}G$ , and the index map  $\mu$  assigns to such an operator its ‘index’,  $\ker(T) - \text{coker}(T)$ , in some suitable sense [5]. This should be made precise later using Kasparov’s descent map and an appropriate Kasparov product (Section 13).

## 8 Equivariant $K$ -homology for $G$ - $C^*$ -algebras

We have defined equivariant  $K$ -homology for  $G$ -spaces in Section 5. Now we define equivariant  $K$ -homology for a separable  $G$ - $C^*$ -algebra  $A$  as the  $KK$ -theory groups  $K_G^j(A, \mathbb{C})$ ,  $j = 0, 1$ . This generalises the previous construction since  $K_j^G(X) = KK_G^j(C_0(X), \mathbb{C})$ . Later on we shall define  $KK$ -theory groups in full generality (Sections 11 and 12).

**Definition 16.** Let  $A$  be a separable  $G$ - $C^*$ -algebra. Define  $\mathcal{E}_G^1(A)$  to be the set of 4-tuples

$$\{(H, \psi, \pi, T)\}$$

such that  $(H, \psi, \pi)$  is a representation of the  $G$ - $C^*$ -algebra  $A$ ,  $T \in \mathcal{L}(H)$ , and the following conditions are satisfied:

- $T = T^*$ ,
- $\pi(g)T - T\pi(g) \in \mathcal{K}(H)$ ,
- $\psi(a)T - T\psi(a) \in \mathcal{K}(H)$ ,
- $\psi(a)(I - T^2) \in \mathcal{K}(H)$ ,

for all  $g \in G$ ,  $a \in A$ .

*Remark 33.* Note that this is not quite  $\mathcal{E}_1^G(X)$  when  $A = C_0(X)$  and  $X$  is a proper  $G$ -space with compact quotient, since the third condition is more general than before. However, the inclusion  $\mathcal{E}_1^G(X) \subset \mathcal{E}_G^1(C_0(X))$  gives an isomorphism of abelian groups so that  $K_1^G(X) = KK_G^1(C_0(X), \mathbb{C})$  (as defined below). The point is that, for a proper  $G$ -space with compact quotient, an averaging argument using a cut-off function and the Haar measure of the group  $G$  allows us to assume that the operator  $T$  is  $G$ -equivariant.

Given a separable  $G$ - $C^*$ -algebra  $A$ , we define the  $KK$ -group  $KK_G^1(A, \mathbb{C})$  as  $\mathcal{E}_G^1(A)$  modulo an equivalence relation called *homotopy*, which will be made precise later. Addition in  $KK_G^1(A, \mathbb{C})$  is given by direct sum

$$(H, \psi, \pi, T) + (H', \psi', \pi', T') = (H \oplus H', \psi \oplus \psi', \pi \oplus \pi', T \oplus T')$$

and the negative of an element by

$$-(H, \psi, \pi, T) = (H, \psi, \pi, -T).$$

*Remark 34.* We shall later define  $KK_G^1(A, B)$  for a separable  $G$ - $C^*$ -algebras  $A$  and an arbitrary  $G$ - $C^*$ -algebra  $B$  (Section 11).

Let  $A, B$  be separable  $G$ - $C^*$ -algebras. A  $G$ -equivariant  $*$ -homomorphism  $\phi: A \rightarrow B$  gives a map  $\mathcal{E}_G^1(B) \rightarrow \mathcal{E}_G^1(A)$  by

$$(H, \psi, \pi, T) \mapsto (H, \psi \circ \phi, \pi, T),$$

and this induces a map  $KK_G^1(B, \mathbb{C}) \rightarrow KK_G^1(A, \mathbb{C})$ . That is,  $KK_G^1(A, \mathbb{C})$  is a contravariant functor in  $A$ .

For the even case, the operator  $T$  is not required to be self-adjoint.

**Definition 17.** Let  $A$  be a separable  $G$ - $C^*$ -algebra. Define  $\mathcal{E}_G^0(A)$  as the set of 4-tuples

$$\{(H, \psi, \pi, T)\}$$

such that  $(H, \psi, \pi)$  is a representation of the  $G$ - $C^*$ -algebra  $A$ ,  $T \in \mathcal{L}(H)$  and the following conditions are satisfied:

- $\pi(g)T - T\pi(g) \in \mathcal{K}(H)$ ,
- $\psi(a)T - T\psi(a) \in \mathcal{K}(H)$ ,
- $\psi(a)(I - T^*T) \in \mathcal{K}(H)$ ,
- $\psi(a)(I - TT^*) \in \mathcal{K}(H)$ ,

for all  $g \in G$ ,  $a \in A$ .

*Remark 35.* Again, if  $X$  is a proper  $G$ -space with compact quotient, the inclusion  $\mathcal{E}_0^G(X) \subset \mathcal{E}_G^0(C_0(X))$  gives an isomorphism in  $K$ -homology, so we can write  $K_0^G(X) = KK^0(C_0(X), \mathbb{C})$  (as defined below). The issue of the  $\mathbb{Z}/2$ -grading (which is present in the Kasparov definition but not in our definition) is dealt with as in Remark 23.

We define the  $KK$ -groups  $KK_G^0(A, \mathbb{C})$  as  $\mathcal{E}_G^0(A)$  modulo an equivalence relation called *homotopy*, which will be made precise later. Addition in  $KK_G^1(A, \mathbb{C})$  is given by direct sum

$$(H, \psi, \pi, T) + (H', \psi', \pi', T') = (H \oplus H', \psi \oplus \psi', \pi \oplus \pi', T \oplus T')$$

and the negative of an element by

$$-(H, \psi, \pi, T) = (H, \psi, \pi, T^*).$$

*Remark 36.* We shall later define in general  $KK_G^0(A, B)$  for a separable  $G$ - $C^*$ -algebras  $A$  and an arbitrary  $G$ - $C^*$ -algebra  $B$  (Section 12).

Let  $A, B$  be separable  $G$ - $C^*$ -algebras. A  $G$ -equivariant  $*$ -homomorphism  $\phi: A \rightarrow B$  gives a map  $\mathcal{E}_G^0(B) \rightarrow \mathcal{E}_G^0(A)$  by

$$(H, \psi, \pi, T) \mapsto (H, \psi \circ \phi, \pi, T),$$

and this induces a map  $KK_G^0(B, \mathbb{C}) \rightarrow KK_G^0(A, \mathbb{C})$ . That is,  $KK_G^0(A, \mathbb{C})$  is a contravariant functor in  $A$ .



## 9 The conjecture with coefficients

There is a generalized version of the Baum-Connes conjecture, known as the *Baum-Connes conjecture with coefficients*, which adds coefficients in a  $G$ - $C^*$ -algebra. We recall the definition of  $G$ - $C^*$ -algebra.

**Definition 18.** A  $G$ - $C^*$ -algebra is a  $C^*$ -algebra  $A$  with a given continuous action of  $G$

$$G \times A \longrightarrow A$$

such that  $G$  acts by  $C^*$ -algebra automorphisms. Continuity means that, for each  $a \in A$ , the map  $G \rightarrow A$ ,  $g \mapsto ga$  is a continuous map.

*Remark 37.* Observe that the only  $*$ -homomorphism of  $\mathbb{C}$  as a  $C^*$ -algebra is the identity. Hence the only  $G$ - $C^*$ -algebra structure on  $\mathbb{C}$  is the one with trivial  $G$ -action.

Let  $A$  be a  $G$ - $C^*$ -algebra. Later we shall define the reduced crossed-product  $C^*$ -algebra  $C_r^*(G, A)$ , and the equivariant  $K$ -homology group with coefficients  $K_j^G(\underline{E}G, A)$ . These constructions reduce to  $C_r^*(G)$ , respectively  $K_j^G(\underline{E}G)$ , when  $A = \mathbb{C}$ . Moreover, the index map extends to this general setting and is also conjectured to be an isomorphism.

*Conjecture 2 (P. Baum, A. Connes, 1980).* Let  $G$  be a locally compact, Hausdorff, second countable, topological group, and let  $A$  be any  $G$ - $C^*$ -algebra. Then

$$\mu: K_j^G(\underline{E}G, A) \longrightarrow K_j(C_r^*(G, A)) \quad j = 0, 1$$

is an isomorphism.

Conjecture 1 follows as a particular case when  $A = \mathbb{C}$ . A fundamental difference is that the conjecture with coefficients is subgroup closed, that is, if it is true for a group  $G$  for *any* coefficients then it is true, for *any* coefficients, for any closed subgroup of  $G$ .

The conjecture with coefficients has been proved for:

- compact groups,
- abelian groups,
- groups acting simplicially on a tree with all vertex stabilizers satisfying the conjecture with coefficients [41],
- amenable groups and, more generally, a-T-menable groups (groups with the Haagerup property) [23],
- the Lie group  $Sp(n, 1)$  [24],
- 3-manifold groups [36].

For more examples of groups satisfying the conjecture with coefficients see [35].

## Expander graphs

Suppose that  $\Gamma$  is a finitely generated, discrete group which contains an expander family [13] in its Cayley graph as a subgraph. Such a  $\Gamma$  is a counterexample to the conjecture with coefficients [20]. M. Gromov outlined a proof that such  $\Gamma$  exists. A number of mathematicians are now filling in the details. It seems quite likely that this group exists.

## 10 Hilbert modules

In this section we introduce the concept of Hilbert module over a  $C^*$ -algebra. It generalises the definition of Hilbert space by allowing the inner product to take values in a  $C^*$ -algebra. Our main application will be the definition of the reduced crossed-product  $C^*$ -algebra in Section 10.2. For a concise reference on Hilbert modules see [30].

### 10.1 Definitions and examples

Let  $A$  be a  $C^*$ -algebra.

**Definition 19.** An element  $a \in A$  is positive (notation:  $a \geq 0$ ) if there exists  $b \in A$  with  $bb^* = a$ .

The subset of positive elements,  $A^+$ , is a convex cone (closed under positive linear combinations) and  $A^+ \cap (-A^+) = \{0\}$  [15, 1.6.1]. Hence we have a well-defined partial ordering in  $A$  given by  $x \geq y \iff x - y \geq 0$ .

**Definition 20.** A pre-Hilbert  $A$ -module is a right  $A$ -module  $\mathcal{H}$  with a given  $A$ -valued inner product  $\langle \cdot, \cdot \rangle$  such that:

- $\langle u, v_1 + v_2 \rangle = \langle u, v_1 \rangle + \langle u, v_2 \rangle$ ,
- $\langle u, va \rangle = \langle u, v \rangle a$ ,
- $\langle u, v \rangle = \langle v, u \rangle^*$ ,
- $\langle u, u \rangle \geq 0$ ,
- $\langle u, u \rangle = 0 \iff u = 0$ ,

for all  $u, v, v_1, v_2 \in \mathcal{H}$ ,  $a \in A$ .

**Definition 21.** A Hilbert  $A$ -module is a pre-Hilbert  $A$ -module which is complete with respect to the norm

$$\|u\| = \|\langle u, u \rangle\|^{1/2}.$$

*Remark 38.* If  $\mathcal{H}$  is a Hilbert  $A$ -module and  $A$  has a unit  $1_A$  then  $\mathcal{H}$  is a complex vector space with

$$u\lambda = u(\lambda 1_A) \quad u \in \mathcal{H}, \lambda \in \mathbb{C}.$$

If  $A$  does not have a unit, then by using an *approximate identity* [42] in  $A$ , it is also a complex vector space.

*Example 6.* Let  $A$  be a  $C^*$ -algebra and  $n \geq 1$ . Then  $A^n = A \oplus \dots \oplus A$  is a Hilbert  $A$ -module with operations

$$\begin{aligned} (a_1, \dots, a_n) + (b_1, \dots, b_n) &= (a_1 + b_1, \dots, a_n + b_n), \\ (a_1, \dots, a_n)a &= (a_1a, \dots, a_na), \\ \langle (a_1, \dots, a_n), (b_1, \dots, b_n) \rangle &= a_1^*b_1 + \dots + a_n^*b_n, \end{aligned}$$

for all  $a_j, b_j, a \in A$ .

*Example 7.* Let  $A$  be a  $C^*$ -algebra. Define

$$\mathcal{H} = \left\{ (a_1, a_2, \dots) \mid a_j \in A, \sum_{j=1}^{\infty} a_j^*a_j \text{ is norm-convergent in } A \right\},$$

with operations

$$\begin{aligned} (a_1, a_2, \dots) + (b_1, b_2, \dots) &= (a_1 + b_1, a_2 + b_2, \dots), \\ (a_1, a_2, \dots)a &= (a_1a, a_2a, \dots), \\ \langle (a_1, a_2, \dots), (b_1, b_2, \dots) \rangle &= \sum_{j=1}^{\infty} a_j^*b_j. \end{aligned}$$

The previous examples can be generalized. Note that a  $C^*$ -algebra  $A$  is a Hilbert module over itself with inner product  $\langle a, b \rangle = a^*b$ .

*Example 8.* If  $\mathcal{H}_1, \dots, \mathcal{H}_n$  are Hilbert  $A$ -modules then the direct sum  $\mathcal{H}_1 \oplus \dots \oplus \mathcal{H}_n$  is a Hilbert  $A$ -module with

$$\langle (x_1, \dots, x_n), (y_1, \dots, y_n) \rangle = \sum_i x_i^*y_i.$$

We write  $\mathcal{H}^n$  for the direct sum of  $n$  copies of a Hilbert  $A$ -module  $\mathcal{H}$ .

*Example 9.* If  $\{\mathcal{H}_i\}_{i \in \mathbb{N}}$  is a countable family of Hilbert  $A$ -modules then

$$\mathcal{H} = \left\{ (x_1, x_2, \dots) \mid x_i \in \mathcal{H}_i, \sum_{j=1}^{\infty} \langle x_j, x_j \rangle \text{ is norm-convergent in } A \right\}$$

is a Hilbert  $A$ -module with inner product  $\langle x, y \rangle = \sum_{j=1}^{\infty} \langle x_j, y_j \rangle$ .

The following is our key example.

*Example 10.* Let  $G$  be a locally compact, Hausdorff, second countable, topological group. Fix a left-invariant Haar measure  $dg$  for  $G$ . Let  $A$  be a  $G$ - $C^*$ -algebra. Then  $L^2(G, A)$  is a Hilbert  $A$ -module defined as follows. Denote by

$C_c(G, A)$  the set of all continuous compactly supported functions from  $G$  to  $A$ . On  $C_c(G, A)$  consider the norm

$$\|f\| = \left\| \int_G g^{-1} (f(g)^* f(g)) dg \right\|.$$

$L^2(G, A)$  is the completion of  $C_c(G, A)$  in this norm. It is a Hilbert  $A$ -module with operations

$$\begin{aligned} (f + h)g &= f(g) + h(g), \\ (fa)g &= f(g)(ga), \\ \langle f, h \rangle &= \int_G g^{-1} (f(g)^* h(g)) dg. \end{aligned}$$

Note that when  $A = \mathbb{C}$  the group action is trivial and we get  $L^2(G)$  (cf. Remark 37).

**Definition 22.** Let  $\mathcal{H}$  be a Hilbert  $A$ -module. An  $A$ -module map  $T: \mathcal{H} \rightarrow \mathcal{H}$  is adjointable if there exists an  $A$ -module map  $T^*: \mathcal{H} \rightarrow \mathcal{H}$  with

$$\langle Tu, v \rangle = \langle u, T^*v \rangle \quad \text{for all } u, v \in \mathcal{H}.$$

If  $T^*$  exists, it is unique, and  $\sup_{\|u\|=1} \|Tu\| < \infty$ . Set

$$\mathcal{L}(\mathcal{H}) = \{T: \mathcal{H} \rightarrow \mathcal{H} \mid T \text{ is adjointable}\}.$$

Then  $\mathcal{L}(\mathcal{H})$  is a  $C^*$ -algebra with operations

$$\begin{aligned} (T + S)u &= Tu + Su, \\ (ST)u &= S(Tu), \\ (T\lambda)u &= (Tu)\lambda \\ T^* &\text{ as above,} \\ \|T\| &= \sup_{\|u\|=1} \|Tu\|. \end{aligned}$$

## 10.2 The reduced crossed-product $C_r^*(G, A)$

Let  $A$  be a  $G$ - $C^*$ -algebra. Define

$$C_c(G, A) = \{f: G \rightarrow A \mid f \text{ continuous with compact support}\}.$$

Then  $C_c(G, A)$  is a complex algebra with

$$\begin{aligned} (f + h)g &= f(g) + h(g), \\ (f\lambda)g &= f(g)\lambda, \\ (f * h)(g_0) &= \int_G f(g) (gh(g^{-1}g_0)) dg, \end{aligned}$$

for all  $g, g_0 \in G$ ,  $\lambda \in \mathbb{C}$ ,  $f, h \in C_c(G, A)$ . The product  $*$  is called *twisted convolution*.

Consider the Hilbert  $A$ -module  $L^2(G, A)$ . There is an injection of algebras

$$\begin{aligned} C_c(G, A) &\hookrightarrow \mathcal{L}(L^2(G, A)) \\ f &\mapsto T_f \end{aligned}$$

where  $T_f(u) = f * u$  is twisted convolution as above. We define  $C_r^*(G, A)$  as the  $C^*$ -algebra obtained by completing  $C_c(G, A)$  with respect to the norm  $\|f\| = \|T_f\|$ . When  $A = \mathbb{C}$ , the  $G$ -action must be trivial and  $C_r^*(G, A) = C_r^*(G)$ .

*Example 11.* Let  $G$  be a finite group, and  $A$  a  $G$ - $C^*$ -algebra. Let  $dg$  be the Haar measure such that each  $g \in G$  has mass 1. Then

$$C_r^*(G, A) = \left\{ \sum_{\gamma \in G} a_\gamma[\gamma] \mid a_\gamma \in A \right\}$$

with operations

$$\begin{aligned} \left( \sum_{\gamma \in G} a_\gamma[\gamma] \right) + \left( \sum_{\gamma \in G} b_\gamma[\gamma] \right) &= \sum_{\gamma \in G} (a_\gamma + b_\gamma)[\gamma], \\ \left( \sum_{\gamma \in G} a_\gamma[\gamma] \right) \lambda &= \sum_{\gamma \in G} (a_\gamma \lambda)[\gamma], \\ (a_\alpha[\alpha])(b_\beta[\beta]) &= a_\alpha(\alpha b_\beta)[\alpha\beta] \quad (\text{twisted convolution}), \\ \left( \sum_{\gamma \in G} a_\gamma[\gamma] \right)^* &= \sum_{\gamma \in G} (\gamma^{-1} a_\gamma^*)[\gamma^{-1}]. \end{aligned}$$

Here  $a_\gamma[\gamma]$  denotes the function from  $G$  to  $A$  which has the value  $a_\gamma$  at  $\gamma$  and 0 at  $g \neq \gamma$ .

Let  $X$  be a Hausdorff, locally compact  $G$ -space. We know that  $C_0(X)$  becomes a  $G$ - $C^*$ -algebra with  $G$ -action

$$(gf)(x) = f(g^{-1}x),$$

for  $g \in G$ ,  $f \in C_0(X)$  and  $x \in X$ . The reduced crossed-product  $C_r^*(G, C_0(X))$  will be denoted  $C_r^*(G, X)$ .

A natural question is to calculate the  $K$ -theory of this  $C^*$ -algebra. If  $G$  is compact, this is the Atiyah-Segal group  $K_G^j(X)$ ,  $j = 0, 1$ . Hence for  $G$  non-compact,  $K_j(C_r^*(G, X))$  is the natural extension of the Atiyah-Segal theory to the case when  $G$  is non-compact.

**Definition 23.** We call a  $G$ -space  $G$ -compact if the quotient space  $G \backslash X$  (with the quotient topology) is compact.

Let  $X$  be a proper,  $G$ -compact  $G$ -space. Then a  $G$ -equivariant  $\mathbb{C}$ -vector bundle  $E$  on  $X$  determines an element

$$[E] \in K_0(C_r^*(G, X)).$$

*Remark 39.* From  $E$ , a Hilbert module over  $C_r^*(G, X)$  is constructed. This Hilbert  $C_r^*(G, X)$ -module determines an element in  $KK_0(\mathbb{C}, C_r^*(G, X)) \cong K_0(C_r^*(G, X))$ . Note that, quite generally, a Hilbert  $A$ -module determines an element in  $KK_0(A)$  if and only if it is finitely generated.

Recall that a  $G$ -equivariant vector bundle  $E$  over  $X$  is a (complex) vector bundle  $\pi: E \rightarrow X$  together with a  $G$ -action on  $E$  such that  $\pi$  is  $G$ -equivariant and, for each  $p \in X$ , the map on the fibers  $E_p \rightarrow E_{gp}$  induced by multiplication by  $g$  is linear.

**Theorem 11 (W. Lück and B. Oliver [34]).** *If  $\Gamma$  is a (countable) discrete group and  $X$  is a proper  $\Gamma$ -compact  $\Gamma$ -space, then*

$$K_0(C_r^*(\Gamma, X)) = \text{Grothendieck group of } \Gamma\text{-equivariant } \mathbb{C}\text{-vector bundles on } X.$$

*Remark 40.* In [34] this theorem is not explicitly stated. However, it follows from their results. For clarification see [6] or [16].

*Remark 41.* Let  $X$  be a proper  $G$ -compact  $G$ -space. Let  $\mathbb{I}$  be the trivial  $G$ -equivariant complex vector bundle on  $X$ ,

$$\mathbb{I} = X \times \mathbb{C}, \quad g(x, \lambda) = (gx, \lambda),$$

for all  $g \in G$ ,  $x \in X$  and  $\lambda \in \mathbb{C}$ . Then  $[\mathbb{I}] \in K_0(C_r^*(G, X))$ .

### 10.3 Push-forward of Hilbert modules

Let  $A, B$  be  $C^*$ -algebras,  $\varphi: A \rightarrow B$  a  $*$ -homomorphism and  $\mathcal{H}$  a Hilbert  $A$ -module. We shall define a Hilbert  $B$ -module  $\mathcal{H} \otimes_A B$ , called the *push-forward of  $\mathcal{H}$  with respect to  $\varphi$*  or *interior tensor product* ([30, Chapter 4]). First, form the algebraic tensor product  $\mathcal{H} \odot_A B = \mathcal{H} \otimes_A^{alg} B$  ( $B$  is an  $A$ -module via  $\varphi$ ). This is an abelian group and also a (right)  $B$ -module

$$(h \otimes b)b' = h \otimes bb' \quad \text{for all } h \in \mathcal{H}, b, b' \in B.$$

Define a  $B$ -valued inner product on  $\mathcal{H} \odot_A B$  by

$$\langle h \otimes b, h' \otimes b' \rangle = b^* \varphi(\langle h, h' \rangle) b'.$$

Set

$$\mathcal{N} = \{\xi \in \mathcal{H} \odot_A B \mid \langle \xi, \xi \rangle = 0\}.$$

$\mathcal{N}$  is a  $B$ -sub-module of  $\mathcal{H} \odot_A B$  and  $(\mathcal{H} \odot_A B)/\mathcal{N}$  is a pre-Hilbert  $B$ -module.

**Definition 24.** Define  $\mathcal{H} \otimes_A B$  to be the Hilbert  $B$ -module obtained by completing  $(\mathcal{H} \odot_A B)/\mathcal{N}$ .

*Example 12.* Let  $X$  be a locally compact, Hausdorff space. Let  $A = C_0(X)$ ,  $B = \mathbb{C}$  and  $ev_p: C_0(X) \rightarrow \mathbb{C}$  the evaluation map at a point  $p \in X$ . Then we can consider the push-forward of a Hilbert  $C_0(X)$ -module  $\mathcal{H}$ . This gives a Hilbert space  $\mathcal{H}_p$ . These Hilbert spaces do not form a vector bundle but something more general (not necessarily locally trivial), sometimes called *continuous field of Hilbert spaces* [15, chapter 10].

## 11 Homotopy made precise and $KK$ -theory

We first define homotopy and Kasparov's  $KK$ -theory in the non-equivariant setting, for pairs of separable  $C^*$ -algebras. A first introduction to  $KK$ -theory and further references can be found in [21].

Let  $A$  be a  $C^*$ -algebra and let  $\mathcal{H}$  be a Hilbert  $A$ -module. Consider  $\mathcal{L}(\mathcal{H})$  the bounded operators on  $\mathcal{H}$ . For each  $u, v \in \mathcal{H}$  we have a bounded operator  $\theta_{u,v}$  defined as

$$\theta_{u,v}(\xi) = u\langle v, \xi \rangle.$$

It is clear that  $\theta_{u,v}^* = \theta_{v,u}$ . The  $\theta_{u,v}$  are called *rank one operators* on  $\mathcal{H}$ . A *finite rank operator* on  $\mathcal{H}$  is any  $T \in \mathcal{L}(\mathcal{H})$  such that  $T$  is a finite sum of rank one operators,

$$T = \theta_{u_1, v_1} + \dots + \theta_{u_n, v_n}.$$

Let  $\mathcal{K}(\mathcal{H})$  be the closure (in  $\mathcal{L}(\mathcal{H})$ ) of the set of finite rank operators.  $\mathcal{K}(\mathcal{H})$  is an ideal in  $\mathcal{L}(\mathcal{H})$ . When  $A = \mathbb{C}$ ,  $\mathcal{H}$  is a Hilbert space and  $\mathcal{K}(\mathcal{H})$  coincides with the usual compact operators on  $\mathcal{H}$ .

**Definition 25.**  $\mathcal{H}$  is countably generated if in  $\mathcal{H}$  there is a countable (or finite) set such that the  $A$ -module generated by this set is dense in  $\mathcal{H}$ .

**Definition 26.** Let  $\mathcal{H}_0, \mathcal{H}_1$  be two Hilbert  $A$ -modules. We say that  $\mathcal{H}_0$  and  $\mathcal{H}_1$  are isomorphic if there exists an  $A$ -module isomorphism  $\Phi: \mathcal{H}_0 \rightarrow \mathcal{H}_1$  with

$$\langle u, v \rangle_0 = \langle \Phi u, \Phi v \rangle_1 \quad \text{for all } u, v \in \mathcal{H}_0.$$

We want to define non-equivariant  $KK$ -theory for pairs of  $C^*$ -algebras. Let  $A$  and  $B$  be  $C^*$ -algebras where  $A$  is also separable. Define the set

$$\mathcal{E}^1(A, B) = \{(\mathcal{H}, \psi, T)\}$$

such that  $\mathcal{H}$  is a countably generated Hilbert  $B$ -module,  $\psi: A \rightarrow \mathcal{L}(\mathcal{H})$  is a  $*$ -homomorphism,  $T \in \mathcal{L}(\mathcal{H})$ , and the following conditions are satisfied:

- $T = T^*$ ,
- $\psi(a)T - T\psi(a) \in \mathcal{K}(\mathcal{H})$ ,

- $\psi(a)(I - T^2) \in \mathcal{K}(\mathcal{H})$ ,

for all  $a \in A$ . We call such triples *odd bivariant  $K$ -cycles*.

*Remark 42.* In the Kasparov definition of  $KK^1(A, B)$  [25], the conditions of the  $K$ -cycles are the same as our conditions except that the requirement  $T = T^*$  is replaced by  $\psi(a)(T - T^*) \in \mathcal{K}(H)$  for all  $a \in A$ . The isomorphism of abelian groups from the group defined using these bivariant  $K$ -cycles to the group defined using our bivariant  $K$ -cycles is obtained by sending a Kasparov cycle  $(H, \psi, T)$  to  $(H, \psi, \frac{T+T^*}{2})$ .

We say that two such triples  $(\mathcal{H}_0, \psi_0, T_0)$  and  $(\mathcal{H}_1, \psi_1, T_1)$  in  $\mathcal{E}^1(A, B)$  are *isomorphic* if there is an isomorphism of Hilbert  $B$ -modules  $\Phi: \mathcal{H}_0 \rightarrow \mathcal{H}_1$  with

$$\begin{aligned}\Phi\psi_0(a) &= \psi_1(a)\Phi, \\ \Phi T_0 &= T_1\Phi,\end{aligned}$$

for all  $a \in A$ . That is, the following diagrams commute

$$\begin{array}{ccc} \mathcal{H}_0 & \xrightarrow{\psi_0(a)} & \mathcal{H}_0 \\ \Phi \downarrow & & \downarrow \Phi \\ \mathcal{H}_1 & \xrightarrow{\psi_1(a)} & \mathcal{H}_1 \end{array} \quad \begin{array}{ccc} \mathcal{H}_0 & \xrightarrow{T_0} & \mathcal{H}_0 \\ \Phi \downarrow & & \downarrow \Phi \\ \mathcal{H}_1 & \xrightarrow{T_1} & \mathcal{H}_1 \end{array}$$

Let  $A, B, D$  be  $C^*$ -algebras where  $A$  is also separable. A  $*$ -homomorphism  $\varphi: B \rightarrow D$  induces a map  $\varphi_*: \mathcal{E}^1(A, B) \rightarrow \mathcal{E}^1(A, D)$  by

$$\varphi_*(\mathcal{H}, \psi, T) = (\mathcal{H} \otimes_B D, \psi \otimes_B I, T \otimes_B I)$$

where  $I$  is the identity operator on  $D$ , that is,  $I(\alpha) = \alpha$  for all  $\alpha \in D$ .

We can now make the definition of homotopy precise. Consider the  $C^*$ -algebra of continuous functions  $C([0, 1], B)$ , and set  $\rho_0, \rho_1$  to be the  $*$ -homomorphisms

$$C([0, 1], B) \begin{array}{c} \xrightarrow{\rho_0} \\ \xrightarrow{\rho_1} \end{array} B$$

defined by  $\rho_0(f) = f(0)$  and  $\rho_1(f) = f(1)$ . In particular, we have induced maps

$$(\rho_j)_*: \mathcal{E}^1(A, C([0, 1], B)) \longrightarrow \mathcal{E}^1(A, B) \quad j = 0, 1$$

for any separable  $C^*$ -algebra  $A$ .

**Definition 27.** *Two triples  $(\mathcal{H}_0, \psi_0, T_0)$  and  $(\mathcal{H}_1, \psi_1, T_1)$  in  $\mathcal{E}^1(A, B)$  are homotopic if there exists  $(\mathcal{H}, \psi, T)$  in  $\mathcal{E}^1(A, C([0, 1], B))$  with*

$$(\rho_j)_*(\mathcal{H}, \psi, T) \cong (\mathcal{H}_j, \psi_j, T_j) \quad j = 0, 1.$$



The even case is analogous, removing the self-adjoint condition  $T = T^*$ .

*Remark 43.* As above, we do not require the Hilbert  $B$ -module  $\mathcal{H}$  to be  $\mathbb{Z}/2$ -graded. The isomorphism between the abelian group we are defining and the group  $KK_0(A, B)$  as defined by Kasparov [25] is dealt with as before (see Remark 23).

Hence we have the set of *even bivariant  $K$ -cycles*

$$\mathcal{E}^0(A, B) = \{(\mathcal{H}, \psi, T)\}$$

where  $\mathcal{H}$  is a countably generated Hilbert  $B$ -module,  $\psi: A \rightarrow \mathcal{L}(\mathcal{H})$  a  $*$ -homomorphism,  $T \in \mathcal{L}(\mathcal{H})$ , and the following conditions are satisfied:

- $\psi(a)T - T\psi(a) \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)(I - T^*T) \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)(I - TT^*) \in \mathcal{K}(\mathcal{H})$ ,

for all  $a \in A$ . The remaining definitions carry over, in particular the definition of *homotopy* in  $\mathcal{E}^0(A, B)$ .

We define the (*non-equivariant*) *Kasparov  $KK$ -theory groups* of the pair  $(A, B)$  as

$$KK^1(A, B) = \mathcal{E}^1(A, B)/(\text{homotopy}),$$

$$KK^0(A, B) = \mathcal{E}^0(A, B)/(\text{homotopy}).$$

A key property is that  $KK$ -theory incorporates  $K$ -theory of  $C^*$ -algebras: for any  $C^*$ -algebra  $B$ ,  $KK^j(\mathbb{C}, B)$  is isomorphic to  $K_j(B)$  (see Theorem 25 in [37]).

## 12 Equivariant $KK$ -theory

We generalize  $KK$ -theory to the equivariant setting. An alternative definition to ours, by means of a universal property, is described in Section 2 of Meyer's notes [37].

All through this section, let  $A$  be a  $G$ - $C^*$ -algebra.

**Definition 28.** A  *$G$ -Hilbert  $A$ -module* is a Hilbert  $A$ -module  $\mathcal{H}$  with a given continuous action

$$G \times \mathcal{H} \rightarrow \mathcal{H}$$

$$(g, v) \mapsto gv$$

such that

1.  $g(u + v) = gu + gv$ ,
2.  $g(ua) = (gu)(ga)$ ,
3.  $\langle gu, gv \rangle = g\langle u, v \rangle$ ,

for all  $g \in G$ ,  $u, v \in \mathcal{H}$ ,  $a \in A$ .

Here ‘continuous’ means that for each  $u \in \mathcal{H}$ , the map  $G \rightarrow \mathcal{H}$ ,  $g \mapsto gu$  is continuous.

*Example 13.* If  $A = \mathbb{C}$ , a  $G$ -Hilbert  $\mathbb{C}$ -module is just a unitary representation of  $G$  (the action of  $G$  on  $\mathbb{C}$  must be trivial).

*Remark 44.* Let  $\mathcal{H}$  be a  $G$ -Hilbert  $A$ -module. For each  $g \in G$ , denote by  $L_g$  the map

$$L_g: \mathcal{H} \rightarrow \mathcal{H}, \quad L_g(v) = gv.$$

Note that  $L_g$  might not be in  $\mathcal{L}(\mathcal{H})$ . But if  $T \in \mathcal{L}(\mathcal{H})$ , then  $L_g T L_g^{-1} \in \mathcal{L}(\mathcal{H})$ . Hence  $G$  acts on the  $C^*$ -algebra  $\mathcal{L}(\mathcal{H})$  by

$$gT = L_g T L_g^{-1}.$$

*Example 14.* Let  $A$  be a  $G$ - $C^*$ -algebra. Set  $n \geq 1$ . Then  $A^n$  is a  $G$ -Hilbert  $A$ -module (cf. Example 6) with

$$g(a_1, \dots, a_n) = (ga_1, \dots, ga_n).$$

Let  $A$  and  $B$  be  $G$ - $C^*$ -algebras, where  $A$  is also separable. Define the set

$$\mathcal{E}_G^0(A, B) = \{(\mathcal{H}, \psi, T)\}$$

such that  $\mathcal{H}$  is a countably generated  $G$ -Hilbert  $B$ -module,  $\psi: A \rightarrow \mathcal{L}(\mathcal{H})$  is a  $*$ -homomorphism with

$$\psi(ga) = g\psi(a) \quad \text{for all } g \in G, a \in A,$$

and  $T \in \mathcal{L}(\mathcal{H})$ , and so that the following conditions are satisfied:

- $gT - T \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)T - T\psi(a) \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)(I - T^*T) \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)(I - TT^*) \in \mathcal{K}(\mathcal{H})$ ,

for all  $g \in G$ ,  $a \in A$ . We define

$$KK_G^0(A, B) = \mathcal{E}_G^0(A, B)/(\text{homotopy}).$$

The definition of *homotopy* in Section 11 can be defined in a straightforward way in this setting.

$KK_G^0(A, B)$  is an abelian group with addition and negative

$$\begin{aligned} (\mathcal{H}, \psi, T) + (\mathcal{H}', \psi', T') &= (\mathcal{H} \oplus \mathcal{H}', \psi \oplus \psi', T \oplus T'), \\ -(\mathcal{H}, \psi, T) &= (\mathcal{H}, \psi, T^*). \end{aligned}$$

The odd case is similar, just restricting to self-adjoint operators. Define the set

$$\mathcal{E}_G^1(A, B) = \{(\mathcal{H}, \psi, T)\}$$

such that  $\mathcal{H}$  is a countably generated  $G$ -Hilbert  $B$ -module,  $\psi: A \rightarrow \mathcal{L}(\mathcal{H})$  is a  $*$ -homomorphism with

$$\psi(ga) = g\psi(a) \quad \text{for all } g \in G, a \in A,$$

and  $T \in \mathcal{L}(\mathcal{H})$ , and so that the following conditions are satisfied:

- $T = T^*$ ,
- $gT - T \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)T - T\psi(a) \in \mathcal{K}(\mathcal{H})$ ,
- $\psi(a)(I - T^2) \in \mathcal{K}(\mathcal{H})$ ,

for all  $g \in G, a \in A$ .

We define

$$KK_G^1(A, B) = \mathcal{E}_G^1(A, B)/(\text{homotopy}).$$

$KK_G^1(A, B)$  is an abelian group with addition and inverse given by

$$\begin{aligned} (\mathcal{H}, \psi, T) + (\mathcal{H}', \psi', T') &= (\mathcal{H} \oplus \mathcal{H}', \psi \oplus \psi', T \oplus T'), \\ -(\mathcal{H}, \psi, T) &= (\mathcal{H}, \psi, -T). \end{aligned}$$

*Remark 45.* In the even case we are not requiring a  $\mathbb{Z}/2$ -grading. The isomorphism to the abelian group defined by Kasparov [26] is given as in Remark 23. Our general principle is that the even and odd cases are identical except that in the odd case the operator  $T$  is required to be self-adjoint but not in the even case.

Using equivariant  $KK$ -theory, we can introduce coefficients for equivariant  $K$ -homology. Let  $X$  be a proper  $G$ -space with compact quotient. Recall that

$$\begin{aligned} K_j^G(X) &= KK_G^j(C_0(X), \mathbb{C}) \quad \text{and} \\ K_j^G(\underline{EG}) &= \varinjlim_{\substack{\Delta \subseteq \underline{EG} \\ G\text{-compact}}} K_j^G(\Delta). \end{aligned}$$

We define the *equivariant  $K$ -homology of  $X$* , respectively of  $\underline{EG}$ , with coefficients in a  $G$ - $C^*$ -algebra  $A$  as

$$\begin{aligned} K_j^G(X, A) &= KK_G^j(C_0(X), A), \\ K_j^G(\underline{EG}, A) &= \varinjlim_{\substack{\Delta \subseteq \underline{EG} \\ G\text{-compact}}} K_j^G(\Delta, A). \end{aligned}$$

### 13 The index map

Our definition of the index map uses the Kasparov product and the descent map.

### 13.1 The Kasparov product

Let  $A, B, D$  be (separable)  $G$ - $C^*$ -algebras. There is a product

$$KK_G^i(A, B) \otimes_{\mathbb{Z}} KK_G^j(B, D) \longrightarrow KK_G^{i+j}(A, D).$$

The definition is highly non-trivial. Some motivation and examples, in the non-equivariant case, can be found in [21, Section 5].

*Remark 46.* Equivariant  $KK$ -theory can be regarded as a category with objects separable  $G$ - $C^*$ -algebras and morphisms  $\text{mor}(A, B) = KK_G^i(A, B)$  (as a  $\mathbb{Z}/2$ -graded abelian group), and composition given by the Kasparov product (cf. [37, Thm. 33]).

### 13.2 The Kasparov descent map

Let  $A$  and  $B$  be (separable)  $G$ - $C^*$ -algebras. There is a map between the equivariant  $KK$ -theory of  $(A, B)$  and the non-equivariant  $KK$ -theory of the corresponding reduced crossed-product  $C^*$ -algebras,

$$KK_G^j(A, B) \longrightarrow KK^j(C_r^*(G, A), C_r^*(G, B)) \quad j = 0, 1.$$

The definition is also highly non-trivial and can be found in [26, Section 3]. Alternatively, see Proposition 26 in Meyer's notes [37].

### 13.3 Definition of the index map

We would like to define the index map

$$\mu: K_j^G(\underline{E}G) \longrightarrow K_j(C_r^*G).$$

Let  $X$  be a proper  $G$ -compact  $G$ -space. First, we define a map

$$\mu: K_j^G(X) = KK_G^j(C_0(X), \mathbb{C}) \longrightarrow K_j(C_r^*G)$$

to be the composition of the Kasparov descent map

$$KK_G^j(C_0(X), \mathbb{C}) \longrightarrow KK^j(C_r^*(G, X), C_r^*(G))$$

(the trivial action of  $G$  on  $\mathbb{C}$  gives the crossed-product  $C_r^*(G, \mathbb{C}) = C_r^*G$ ) and the Kasparov product with the trivial bundle

$$\mathbb{I} \in K_0(C_r^*(G, X)) = KK^0(\mathbb{C}, C_r^*(G, X)),$$

that is, the Kasparov product with the trivial vector bundle  $\mathbb{I}$ , when  $A = \mathbb{C}$ ,  $B = C_r^*(G, X)$ ,  $D = C_r^*G$  and  $i = 0$ .

Recall that

$$K_j^G(\underline{E}G) = \varinjlim_{\substack{\Delta \subset \underline{E}G \\ G\text{-compact}}} KK_G^j(C_0(\Delta), \mathbb{C}).$$

For each  $G$ -compact  $\Delta \subset \underline{E}G$ , we have a map as before

$$\mu: KK_G^j(C_0(\Delta), \mathbb{C}) \longrightarrow K_j(C_r^*G).$$

If  $\Delta$  and  $\Omega$  are two  $G$ -compact subsets of  $\underline{E}G$  with  $\Delta \subset \Omega$ , then by naturality the following diagram commutes:

$$\begin{array}{ccc} KK_G^j(C_0(\Delta), \mathbb{C}) & \longrightarrow & KK_G^j(C_0(\Omega), \mathbb{C}) \\ \downarrow & & \downarrow \\ K_j C_r^*G & \xrightarrow{=} & K_j C_r^*G. \end{array}$$

Thus we obtain a well-defined map on the direct limit  $\mu: K_j^G(\underline{E}G) \rightarrow K_j C_r^*G$ .

### 13.4 The index map with coefficients

The coefficients can be introduced in  $KK$ -theory at once. Let  $A$  be a  $G$ - $C^*$ -algebra. We would like to define the index map

$$\mu: K_j^G(\underline{E}G; A) \longrightarrow K_j C_r^*(G, A).$$

Let  $X$  be a proper  $G$ -compact  $G$ -space and  $A$  a  $G$ - $C^*$ -algebra. First, we define a map

$$\mu: KK_G^j(C_0(X), A) \longrightarrow K_j C_r^*(G, A)$$

to be the composition of the Kasparov descent map

$$KK_G^j(C_0(X), A) \longrightarrow KK^j(C_r^*(G, X), C_r^*(G, A))$$

and the Kasparov product with the trivial bundle

$$\mathbb{I} \in K_0 C_r^*(G, X) = KK^0(\mathbb{C}, C_r^*(G, X)).$$

For each  $G$ -compact  $\Delta \subset \underline{E}G$ , we have a map as above

$$\mu: KK_G^j(C_0(\Delta), A) \longrightarrow K_j C_r^*(G, A).$$

If  $\Delta$  and  $\Omega$  are two  $G$ -compact subsets of  $\underline{E}G$  with  $\Delta \subset \Omega$ , then by naturality the following diagram commutes:

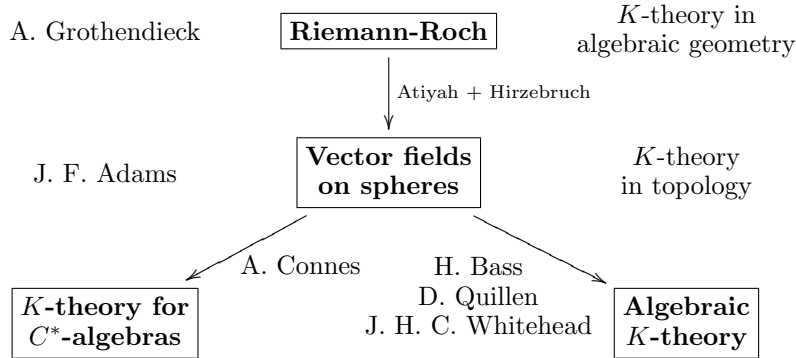
$$\begin{array}{ccc} KK_G^j(C_0(\Delta), A) & \longrightarrow & KK_G^j(C_0(\Omega), A) \\ \downarrow & & \downarrow \\ K_j C_r^*(G, A) & \xrightarrow{=} & K_j C_r^*(G, A). \end{array}$$

Thus we obtain a well-defined map on the direct limit  $\mu: K_j^G(\underline{E}G; A) \rightarrow K_j C_r^*(G, A)$ .

## 14 A brief history of $K$ -theory

### 14.1 The $K$ -theory genealogy tree

Grothendieck invented  $K$ -theory to give a conceptual proof of the Hirzebruch–Riemann–Roch theorem. The subject has since then evolved in different directions, as summarized by the following diagram.



Atiyah and Hirzebruch defined topological  $K$ -theory. J. F. Adams then used the Atiyah-Hirzebruch theory to solve the problem of vector fields on spheres.  $C^*$ -algebra  $K$ -theory developed quite directly out of Atiyah-Hirzebruch topological  $K$ -theory. From its inception,  $C^*$ -algebra  $K$ -theory has been closely linked to problems in geometry-topology (Novikov conjecture, Gromov-Lawson-Rosenberg conjecture, Atiyah-Singer index theorem) and to classification problems within  $C^*$ -algebras. More recently,  $C^*$ -algebra  $K$ -theory has played an essential role in the new subject of non-commutative geometry.

Algebraic  $K$ -theory was a little slower to develop [52]; much of the early development in the 1960s was due to H. Bass, who organized the theory on  $K_0$  and  $K_1$  and defined the negative  $K$ -groups. J. Milnor introduced  $K_2$ . Formulating an appropriate definition for higher algebraic  $K$ -theory proved to be a difficult and elusive problem. Definitions were proposed by several authors, including J. Milnor and Karoubi-Villamayor. A remarkable breakthrough was achieved by D. Quillen with his plus-construction. The resulting definition of higher algebraic  $K$ -theory (i.e. Quillen's algebraic  $K$ -theory) is perhaps the most widely accepted today. Many significant problems and results (e.g. the Lichtenbaum conjecture) have been stated within the context of Quillen algebraic  $K$ -theory. In some situations, however, a different definition is relevant. For example, in the recently proved Bloch-Kato conjecture, it is J. Milnor's definition of higher algebraic  $K$ -theory which is used.

Since the 1970s,  $K$ -theory has grown considerably, and its connections with other parts of mathematics have expanded. For the interested reader, we have included a number of current  $K$ -theory textbooks in our reference list ([8], [43], [44], [48], [50], [51]). For a taste of the current developments, it is useful to take a look at the *Handbook of K-theory* [17] or at the lectures in this volume. The *Journal of K-theory* (as well as its predecessor, *K-theory*) is dedicated to the subject, as is the website maintained by D. Grayson at <http://www.math.uiuc.edu/K-theory>. This site, started in 1993, includes a preprint archive which at the moment when this is being written contains 922 preprints. Additionally, see the *Journal of Non-Commutative Geometry* for current results involving  $C^*$ -algebra  $K$ -theory.

Finally, we have not in these notes emphasized cyclic homology. However, cyclic (co-)homology is an allied theory to  $K$ -theory and any state-of-the-art survey of  $K$ -theory would have to recognize this central fact.

### 14.2 The Hirzebruch–Riemann–Roch theorem

Let  $M$  be a non-singular projective algebraic variety over  $\mathbb{C}$ . Let  $E$  be an algebraic vector bundle on  $M$ . Write  $\underline{E}$  for the sheaf (of germs) of algebraic sections of  $E$ . For each  $j \geq 0$ , consider  $H^j(M, \underline{E})$  the  $j$ -th cohomology group of  $M$  using  $\underline{E}$ .

**Lemma 1.** *For all  $j \geq 0$ ,  $\dim_{\mathbb{C}} H^j(M, \underline{E}) < \infty$  and for  $j > \dim_{\mathbb{C}}(M)$ ,  $H^j(M, \underline{E}) = 0$ .*

Define the *Euler characteristic* of  $M$  with respect to  $E$  as

$$\chi(M, E) = \sum_{j=0}^n (-1)^j \dim_{\mathbb{C}} H^j(M, \underline{E}), \quad \text{where } n = \dim_{\mathbb{C}}(M).$$

**Theorem 12 (Hirzebruch–Riemann–Roch).** *Let  $M$  be a non-singular projective algebraic variety over  $\mathbb{C}$  and let  $E$  be an algebraic vector bundle on  $M$ . Then*

$$\chi(M, E) = (\text{ch}(E) \cup \text{Td}(M))[M]$$

where  $\text{ch}(E)$  is the Chern character of  $E$ ,  $\text{Td}(M)$  is the Todd class of  $M$  and  $\cup$  stands for the cup product.

### 14.3 The unity of $K$ -theory

We explain how  $K$ -theory for  $C^*$ -algebras is a particular case of algebraic  $K$ -theory of rings.

Let  $A$  be a  $C^*$ -algebra. Consider the inclusion

$$M_n(A) \hookrightarrow M_{n+1}(A)$$

$$\begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} \mapsto \begin{pmatrix} a_{11} & \dots & a_{1n} & 0 \\ \vdots & & \vdots & \vdots \\ a_{n1} & \dots & a_{nn} & 0 \\ 0 & \dots & 0 & 0 \end{pmatrix}. \quad (2)$$

This is a one-to-one  $*$ -homomorphism, and it is norm preserving. Define  $M_\infty(A)$  as the limit of  $M_n(A)$  with respect to these inclusions. That is,  $M_\infty(A)$  is the set of infinite matrices where almost all  $a_{ij}$  are zero. Finally, define the *stabilization* of  $A$  (cf. [43, 6.4] or [50, 1.10]) as the closure

$$\dot{A} = \overline{M_\infty(A)}.$$

Here we mean the completion with respect to the norm on  $M_\infty(A)$  and the main point is that the inclusions above are all norm-preserving. The result is a  $C^*$ -algebra without unit.

*Remark 47.* There is an equivalent definition of  $\dot{A}$  as the tensor product  $A \otimes \mathcal{K}$ , where  $\mathcal{K}$  is the  $C^*$ -algebra of all compact operators on a separable infinite-dimensional Hilbert space, and the tensor product is in the sense of  $C^*$ -algebras.

*Example 15.* Let  $H$  be a separable, infinite-dimensional, Hilbert space. That is,  $H$  has a countable, but not finite, orthonormal basis. It can be shown that

$$\dot{\mathbb{C}} = \mathcal{K} \subset \mathcal{L}(H),$$

where  $\mathcal{K}$  is the subset of compact operators on  $H$ . We have then

$$K_j(\mathbb{C}) = K_j(\dot{\mathbb{C}}),$$

where  $K_j(-)$  is  $C^*$ -algebra  $K$ -theory. This is true in general for any  $C^*$ -algebra (Proposition 4 below).

On the other hand, the algebraic  $K$ -theory of  $\dot{\mathbb{C}}$  is

$$K_j^{\text{alg}}(\dot{\mathbb{C}}) = \begin{cases} \mathbb{Z} & j \text{ even,} \\ 0 & j \text{ odd,} \end{cases}$$

which therefore coincides with the  $C^*$ -algebra  $K$ -theory of  $\mathbb{C}$ . This is also true in general (Theorem 13 below). This answer is simple compared with the algebraic  $K$ -theory of  $\mathbb{C}$ , where only some partial results are known.

The stabilization of a  $C^*$ -algebra does not change its ( $C^*$ -algebra)  $K$ -theory.

**Proposition 4.** *Let  $A$  be a  $C^*$ -algebra and write  $K_j(-)$  for  $K$ -theory of  $C^*$ -algebras. Then*

$$K_j(A) = K_j(\dot{A}) \quad j \geq 0.$$



The proof is a consequence of the definition of  $C^*$ -algebra  $K$ -theory: the inclusions (2) induce isomorphisms in  $K$ -theory, and the direct limit (in the sense of  $C^*$ -algebras) commute with the  $K$ -theory functor (cf. [50, 6.2.11 and 7.1.9]).

*Remark 48.* In the terminology of Cortiñas' notes[12], Proposition 4 says that the functors  $K_0$  and  $K_1$  are  $\mathcal{K}$ -stable.

M. Karoubi conjectured that the algebraic  $K$ -theory of  $\dot{A}$  is isomorphic to its  $C^*$ -algebra  $K$ -theory. The conjecture was proved by A. Suslin and M. Wodzicki.

**Theorem 13 (A. Suslin and M. Wodzicki [49]).** *Let  $A$  be a  $C^*$ -algebra. Then*

$$K_j(\dot{A}) = K_j^{\text{alg}}(\dot{A}) \quad j \geq 0,$$

where the left-hand side is  $C^*$ -algebra  $K$ -theory and the right-hand side is (Quillen's) algebraic  $K$ -theory of rings.

A proof can be found in Cortiñas' notes [12, Thm. 7.1.3]. In these notes Cortiñas elaborates the isomorphism above into a long exact sequence which involves cyclic homology.

Theorem 13 is the unity of  $K$ -theory: It says that  $C^*$ -algebra  $K$ -theory is a pleasant subdiscipline of algebraic  $K$ -theory in which Bott periodicity is valid and certain basic examples are easy to calculate.

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