

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30

Note: this is a draft of the journal article:

*Worsley P, Warner M, Mottram S, Gadola S, Veeger HEJ, Hermens H, Morrissey D, Little P, Cooper C, Carr A, Stokes M. (2013) "Motor control retraining exercises for shoulder impingement: effects on function, muscle activation and biomechanics in young adults."*

***Journal of Shoulder and Elbow Joint Surgery, 22(4) pp11-19***

The final, fully proofed and peer-reviewed journal article is available from the publisher  
online, via  
the following link:

<http://www.sciencedirect.com/science/article/pii/S105827461200273X>

32

33 Title: Motor control retraining exercises for shoulder impingement: effects on function, muscle  
34 activation and biomechanics in young adults

35

36 Peter Worsley, PhD<sup>1</sup>, Martin Warner PhD<sup>1</sup>, Sarah Mottram, MSc<sup>1</sup>, Stephan Gadola, DM PhD<sup>5</sup>, H  
37 Veeger PhD<sup>2</sup>, H Hermens PhD<sup>3</sup>, D Morrissey PhD<sup>4</sup>, P Little MD<sup>5</sup>, C Cooper MD<sup>6</sup>, A Carr MD<sup>7</sup>, M  
38 Stokes PhD<sup>1</sup>.

39 <sup>1</sup> Faculty of Health Sciences, University of Southampton

40 <sup>2</sup> Faculty Mechanical, Maritime and Materials Engineering, Delft University of Technology, Delft,  
41 Netherlands

42 <sup>3</sup> University of Twente, Drienerlolaan 5, 7522 NB Enschede, Netherlands

43 <sup>4</sup>Centre for Sports and Exercise Medicine, Queen Mary University of London, UK

44 <sup>5</sup>Faculty of Medicine, University of Southampton, Southampton,

45

46 <sup>6</sup>MRC Lifecourse Epidemiology Unit, Southampton General Hospital, Southampton, UK

47

48 <sup>7</sup>Botnar Research Centre, University of Oxford, Windmill Road, Headington, Oxford OX3 7LD, UK

49

50 Corresponding author:

51 Dr P Worsley

52 Faculty of Health Sciences, Building 45

53 University of Southampton

54 Southampton, SO17 3SD.

55 Tel: +44(0)2380 598957

56 Email: p.r.worsley@soton.ac.uk

57

58

59 This research project was approved by the Faculty of Health Sciences Ethics Board at the University  
60 of Southampton, project reference number FOHS-ETHICS-2010-036

61

62

63

64

65 **Abstract**

66 Objective: Evidence for effective management of shoulder impingement is limited. The present study  
67 aimed to quantify the clinical, neurophysiological, and biomechanical effects of a scapular motor  
68 control retraining for young individuals with shoulder impingement signs. Method: Sixteen adults  
69 with shoulder impingement signs (mean age  $22 \pm 1.6$  years) underwent the intervention and 16  
70 healthy participants ( $24.8 \pm 3.1$  years) provided reference data. Shoulder function and pain were  
71 assessed using the Shoulder Pain and Disability Index (SPADI) and other questionnaires.  
72 Electromyography (EMG) and 3-dimensional motion analysis was used to record muscle activation  
73 and kinematic data during arm elevation to  $90^\circ$  and lowering in three planes. Patients were assessed  
74 pre and post a 10-week motor control based intervention, utilising scapular orientation retraining.  
75 Results: Pre-intervention, patients reported pain and reduced function compared to the healthy  
76 participants (SPADI in patients  $20 \pm 9.2$ ; healthy  $0 \pm 0$ ). Post-intervention the SPADI scores reduced  
77 significantly ( $p < 0.001$ ) by a mean of 10 points ( $\pm 4$ ). EMG showed delayed onset and early  
78 termination of serratus anterior and lower trapezius muscle activity pre-intervention, which improved  
79 significantly post-intervention ( $p < 0.05-0.01$ ). Pre-intervention, patients exhibited on average  $4.6-7.4^\circ$   
80 less posterior tilt, which was significantly less in two arm elevation planes ( $p < 0.05$ ) than healthy  
81 participants. Post-intervention, upward rotation and posterior tilt increased significantly ( $p < 0.05$ )  
82 during two arm movements, approaching the healthy values. Conclusions: A 10 week motor control  
83 intervention for shoulder impingement increased function and reduced pain. Recovery mechanisms  
84 were indicated by changes in muscle recruitment and scapular kinematics. The efficacy of the  
85 intervention requires further examined in a randomised control trial.

86 *Level of Evidence III*

87 Key Words; shoulder impingement, rehabilitation, biomechanics, electromyography, motor control,  
88 function.

89 **1. INTRODUCTION**

90 Shoulder disorders are the third most common musculoskeletal condition presenting in general  
91 practice, with a point prevalence of 7-26%<sup>22</sup>. Symptoms are often persistent and recurrent, with 40-  
92 50% of patients reporting persistent symptoms after 6 to 12 months<sup>47</sup> and 14% of patients continuing  
93 care after 2 years<sup>18</sup>. Shoulder impingement has been shown to be the most common cause of shoulder  
94 pain, constituting 74% of cases<sup>31</sup>. Shoulder impingement is a compression of subacromial tissues as a  
95 result of narrowing of the subacromial space<sup>26</sup>. The aetiology of subacromial can include anatomical  
96 and mechanical factors, rotator cuff pathology, glenohumeral instability, restrictive processes of the  
97 glenohumeral joint, imbalance of the muscles, and postural considerations<sup>17</sup>. Impingement syndrome  
98 can cause functional disability and reduce quality of life<sup>25</sup> and may contribute to the development of  
99 rotator cuff disease<sup>26</sup>. Several biomechanical and physiological factors have been highlighted in  
100 shoulder impingement patients<sup>19</sup>, including altered scapular movements<sup>19; 21</sup> and muscle activity<sup>20; 21</sup>.

101 Physiotherapy is often the first line of management for shoulder impingement<sup>10</sup> but systematic  
102 reviews have found little evidence to support its efficacy<sup>8</sup>. Since these reviews, recent evidence has  
103 demonstrated that motor control and strengthening exercises can improve function in shoulder  
104 impingement patients<sup>34</sup> but the evidence is limited to a small sample (n=8) single-subject study  
105 design<sup>34</sup>. Re-aligning the scapula can change muscle recruitment patterns in patients with neck pain<sup>45</sup>,  
106 but this has yet to be shown in shoulder pain. Peripheral musculoskeletal impairments can be  
107 associated with cortical reorganisation<sup>30</sup> and movement retraining using the principles of motor  
108 learning can change motor control in athletes<sup>37</sup> and improve function in lower back pain patients<sup>36</sup>.

109 The aim of the present study was to examine the effects of a motor control based exercise intervention  
110 for young individuals with shoulder pain and impingement signs. To assess the efficacy of this  
111 intervention, function and pain outcomes were used, together with kinematic and neurophysiological  
112 measures to examine mechanisms of recovery. It was hypothesised that motor control exercises of the  
113 scapula would retrain muscle recruitment patterns and improve scapular kinematics, reducing  
114 subacromial impingement, thus improving function and reducing pain.

115 **2. Materials and Methods**

116 **2.1 Participants**

117 A sample of 16 young adults with shoulder pain (mean age  $24.6 \pm 1.6$ , range 18-34 years, 11 males)  
118 and 16 healthy age and sex matched participants ( $22 \pm 3.1$  years, range 22-29 years, 11 males) were  
119 recruited from the local community. Inclusion criteria for shoulder pain were: current shoulder pain  
120 severe enough to limit activity for more than one week or requiring treatment; pain located in the sub-  
121 acromial region; impingement signs. Arm pain was commonly replicated with overhead arm  
122 elevation movements with combined shoulder rotation (e.g. throwing action). Mean duration of  
123 shoulder symptoms was 16 months (range 4-36 months). There was no significant difference between  
124 the healthy and shoulder pain groups for body weight (shoulder pain =  $72.7\text{kg} \pm 10.1$ , healthy =  
125  $72.3\text{kg} \pm 8.8$ ), or height (shoulder pain =  $171.6\text{cm} \pm 8.9$ , healthy =  $174.6\text{cm} \pm 8.6$ ). Written, informed  
126 consent was obtained from all participants and the study was approved by the Faculty of Health  
127 Science Ethics Committee, University of Southampton.

128 **Exclusion criteria:** all participants - past or present neck or arm pain, previous traumatic shoulder  
129 injury, neurological disease, referred pain from the cervical or thoracic spine; gleno-humeral  
130 instability; more than 3 lifetime glucocorticoid shoulder injections and/or injection in the past 3  
131 months; current physiotherapy; contraindications for laboratory procedures (i.e. skin allergies). Those  
132 over 34 years were excluded to minimise the confounding influence of aging on rotator cuff  
133 tendinopathy.

134 **2.2 Screening for inclusion in the study**

135 Physical screening of participants with shoulder pain was conducted in order to define a clinical  
136 presentation of shoulder impingement using three clinical tests; Hawkins-Kennedy, Neer's and  
137 Painful Arc (participants with 2/3 positive were included)<sup>3</sup>. Diagnostic ultrasound imaging was  
138 conducted by a sonographer to exclude participants with complete rotator cuff tears and biceps  
139 tendinopathy. No tears (complete or partial) were found.

### 140 **2.3 Motor Control Intervention**

141 The motor control retraining package was targeted at correcting movement impairments of the scapula  
142 by re-educating muscle recruitment. There were two components to the package:

143 1) Motor control exercises to correct alignment and coordination, which involve a) learning optimal  
144 scapular orientation at rest and then controlling optimal orientation during active arm movements; b)  
145 muscle specific exercises for trapezius and serratus anterior

146 2) Manual therapy techniques commonly used in clinical practice to manage symptoms, e.g. used to  
147 lengthen tight muscles or reduce active trigger point pain presentations.

148 During the motor control exercises, scapular position was optimised in relation to the thorax <sup>28</sup>,  
149 initially by being altered manually by the therapist on a subject specific basis <sup>28; 45</sup>. This involved the  
150 therapist using observation and palpation to alter orientation/alignment of the scapula and clavicle  
151 using the following guidelines: Acromion should be higher than the superior medial border of scapula,  
152 the spine of the scapula should be 15-25° rotated in the coronal plane, medial border and inferior  
153 angle of scapula should be tight against the rib cage and the clavicle should have a slight posterior  
154 rotation in the frontal plane. The participant was then taught to actively reproduce this orientation  
155 using visual (in a mirror), auditory (from therapist) and kinaesthetic cues such as palpation <sup>5</sup>. Once the  
156 scapula was placed into an optimal position, the participant was asked to control the orientation of the  
157 scapula whilst lifting their arm to 90° humeral elevation in the frontal, sagittal, and scapular planes.  
158 Movements were performed at a slow, controlled pace and repeated for 2 minutes (i.e. 10 times).  
159 Once the participant had regained sufficient control of scapular orientation during arm movements,  
160 muscle specific motor control exercises were introduced (after 4-6 weeks). These exercises required  
161 the participant to initiate and maintain the optimal scapular orientation whilst muscle specific  
162 recruitment of serratus anterior and lower trapezius.

163 Retraining was performed at home twice a day for 10 weeks, with five follow up appointments with  
164 the physiotherapist during that time, to ensure the exercises were being performed appropriately.

165 Manual therapy techniques, such as trigger point therapy and pectoralis minor supine manual stretch <sup>2</sup>  
166 were performed as necessary.

## 167 **2.4 Data Collection**

168 The shoulder pain group underwent two data collection sessions; immediately prior to and  
169 immediately post- the 10-week intervention (within 2 weeks). Healthy participants underwent one  
170 data collection session. The primary outcome measure of pain and function was the Shoulder Pain and  
171 Disability Index (SPADI) <sup>32</sup>; other questionnaires included the Disabilities of Arm Shoulder and Hand  
172 (DASH) <sup>14</sup>, Oxford Shoulder Score <sup>6</sup>, Short-Form 36 (SF-36) <sup>43</sup>, and visual analogue scale (VAS) of  
173 pain <sup>42</sup>.

174 Outcomes related to the mechanical aspect of the study included surface electromyography (EMG) of  
175 relevant scapulothoracic muscles and kinematic analysis of the shoulder complex during habitual  
176 active arm movements, i.e. without actively orientating the scapula prior to movement. Three slow,  
177 controlled movements in the sagittal, scapular and frontal plane of arm elevation to 90° from rest (arm  
178 by side), followed by arm lowering back to rest were performed. The dominant arm of the healthy  
179 participants and the effected shoulder of the pain group (also dominant in all cases) were analysed.

### 180 **2.3.1 Scapular Kinematics and Electromyography**

181 Retroreflective marker data were recorded using a Vicon MX T-Series motion capture system (Vicon  
182 Motion Systems, Oxford UK) consisting of 12 cameras sampling at 100Hz. An acromion marker  
183 cluster (AMC) was attached to the flat posterior portion of the acromion to measure scapular  
184 kinematics relative to the thorax (Figure 1). The AMC is known to be valid during arm elevation to  
185 120° <sup>39</sup> and lowering <sup>44</sup>. The bony landmarks of posterior acromion (AA), root of medial spine (TS),  
186 and inferior angle (AI) were calibrated with respect to AMC before testing began using the calibrated  
187 anatomical systems technique (CAST) method <sup>4</sup>. An anatomical local coordinate system was then  
188 constructed from these bony landmarks following the recommendations of the International Society of  
189 Biomechanics <sup>48</sup>.



190

191 *Figure 1. Acromion marker cluster location (AMC) and electromyography electrode placements.*

192 Retro-reflective markers were also attached to the participant's thorax (sternal notch, xiphoid process,  
193 C7 and T8 vertebra). A cuff with a cluster of markers was also fastened to the upper arm to determine  
194 the amount of humeral movement. Bony landmarks of the medial and lateral epicondyles were  
195 calibrated with respect to the arm cluster using the CAST method and the gleno-humeral joint centre  
196 was estimated from the pivot point of the instantaneous helical axis between the humerus and scapula  
197 <sup>40</sup>. The AMC, thoracic markers and upper arm cuff were applied by the same investigator (MW) and  
198 remained in situ during the testing protocol. Wireless surface EMG electrodes (Aurion 'Zerowire',  
199 Milan, Italy) were placed on upper, middle and lower trapezius, according to the SENIAM guidelines  
200 <sup>12</sup> and serratus anterior muscles according to Ludewig and Cook <sup>20</sup>. EMG data were sampled at  
201 1000Hz and synchronised with kinematic data from the motion capture system.

### 202 2.3.2 Data reduction of kinematic and EMG outputs

203 Prior to further processing, all kinematic data were expressed in the thorax coordinate system.  
204 Scapular orientation with respect to the thorax was determined following a Euler angle rotation  
205 sequence of internal/external rotation ( $Y$ ), upward/downward rotation ( $X$ ), and anterior/posterior tilt ( $Z$ )

206 <sup>48</sup>. Upward rotation angles were inverted to obtain more easily interpretable data, with an increase in  
207 value corresponding to upward rotation of the scapula. Humeral elevation with respect to the thorax  
208 was determined following a non-cardan rotation sequence of (Y) plane of elevation, (X) elevation, (Y)  
209 axial rotation <sup>7</sup>. Vicon BodyBuilder v3.6 (Vicon Motion Systems, Oxford, UK) software was used for  
210 processing kinematic data, which were low-pass filtered using a zero-lag 4<sup>th</sup> order Butterworth filter at  
211 2Hz using Matlab (Version R2010b, The Mathworks Inc, Massachusetts USA) software.

212 Post-processing of EMG signals involved low pass filtering at 20Hz, high pass filtering at 500Hz and  
213 rectification. Onset and termination of muscle activity was determined using the On/Off methodology  
214 by visual interpretation <sup>13</sup> of the filtered rectified EMG signal, and the humeral angle where this  
215 occurred was noted. Kinematic and EMG activation and termination relative to arm elevation angle  
216 data (after onset estimation) were resampled to 101 data points to enable the kinematic data to be  
217 expressed as a percentage of activity. The mean value of three trials for all kinematic and EMG  
218 variables were used for statistical analysis.

## 219 **2.5 Statistical Analysis**

220 Descriptive statistics of the questionnaire data were presented as mean, standard deviation and range.

221 Questionnaire data were compared pre- to post-intervention using paired t-tests. The change in score  
222 pre- to post-intervention was also compared to the minimally clinically important difference (MCID)

223 <sup>33</sup>. Scapulothoracic kinematic data were compared between healthy and pre-intervention groups at rest,

224 90° of humeral elevation, and the end of the test (back to rest) using two factor mixed model repeated

225 measures ANOVA with humeral elevation angle as a within-subject factor, and group as a between-

226 subject factor. Kinematic changes from pre- to post-intervention were assessed using a two factor

227 repeated measures ANOVA with within-subject factors of humeral angle and intervention (pre/post).

228 The humeral angles where onset and termination of muscle activity occurred was compared pre to

229 post intervention using paired samples t-tests in the participants with shoulder pain, and between

230 groups using independent samples t-tests. All data was checked for normal distribution prior to

231 analysis using the Shapiro-Wilk test.

232 **3. RESULTS**

233 **3.1 Clinical Outcomes**

234 Function and pain improved after 10 weeks of motor control intervention (Table 1). The Healthy  
 235 control participants had full function and no pain.

236 *Table I. Clinical outcomes: Shoulder Pain and Disability Index (SPADI, 0-100), Disabilities of Arm*  
 237 *Shoulder and Hand (DASH, 0-100), Oxford Shoulder Score (OSS, 0-48), Short-Form 36 (SF-36, 0-*  
 238 *100), visual analogue scale (VAS, 1-10) pain.*

Group	SPADI	SPADI (pain)	DASH	OSS	SF-36 (phys)	Pain (VAS)
Healthy (n=16)	0 ±0 0-0	0 ±0 0-0	0 ±0.4 0-1.4	48 ±0 48-48	53.3 ±2.6 53-62	0 ±0 0-0
Pre-intervention (n=16)	19.9 ±9.2 5.4-34.5	37.3 ±15.9 12-68	17.0 ±11.4 5-49.2	39.4 ±4.8 27-47	48.8 ±5.7 36-58	4.9 ±1.6 3-8
Post-intervention (n=16)	10.1 ±7.8 2.5-29	19.4 ±14.2 4-52	7.8 ±6.4 1.6-24.9	44.1 ±2.9 36-48	52.6 ±4.7 43-58	1.5 ±1.2 0-5

239 Mean ± standard deviation and range.

240

241 The SPADI scores improved by a mean of 10 (±7.4), these changes were statistically significant  
 242 (p<0.001; Table 1) and met the MCID of 10 points<sup>33;46</sup>. Pain scores on the 10-point VAS also  
 243 reduced post-intervention with a mean reduction of 3.4 points (±1.5). DASH improved by 9.2 (±10.3),  
 244 whilst small improvements were also seen in the OSS (4.7±4) and SF-36 physical scores (3.8±4.9).

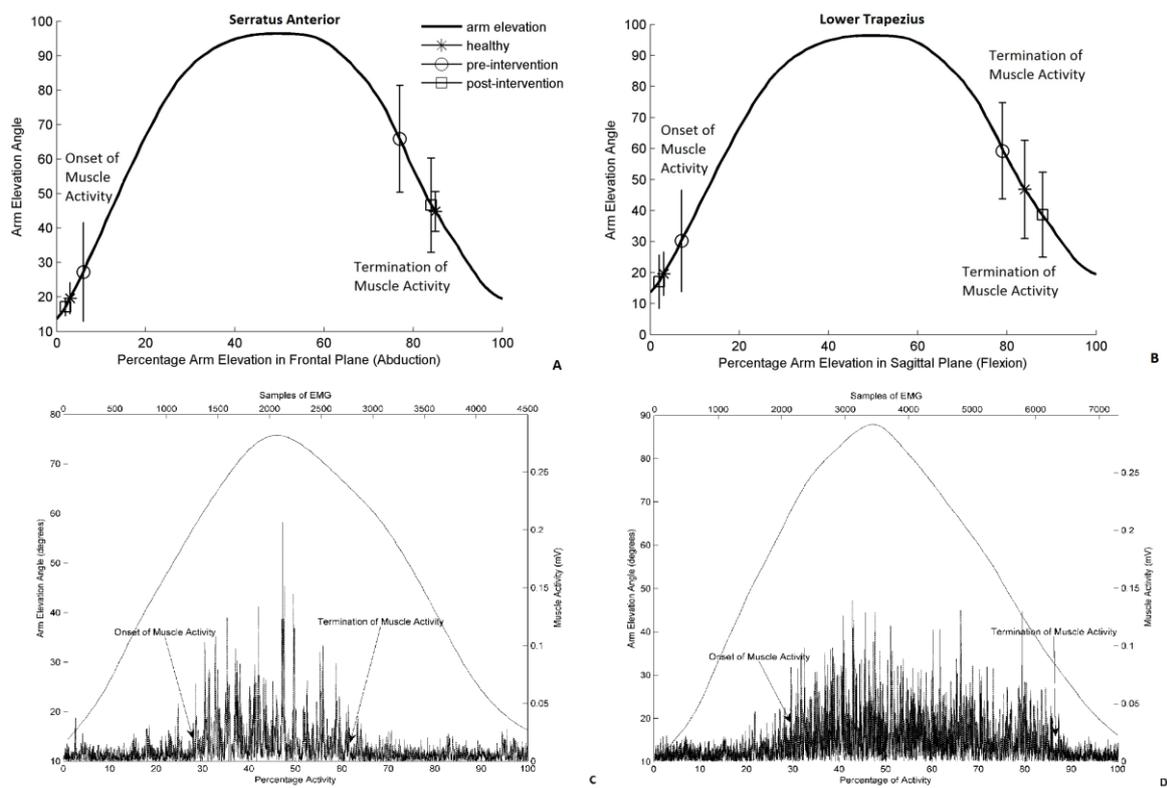
245 **3.2 Musculoskeletal Outcomes**

246 The EMG and kinematic data showed some significant differences between healthy and shoulder  
 247 pain participant's pre-intervention, with improvements post-intervention.

248 **3.2.1 Electromyography**

249 Timing of muscle activation was delayed significantly (p<0.05) in patients pre-intervention compared  
 250 to healthy controls, in both serratus anterior (arm elevation in frontal 23.3° ±16.6 vs. 14.3° ±1.3 and

251 sagittal planes  $26^{\circ} \pm 14.6$  vs  $19.7^{\circ} \pm 4.5$ ) and lower trapezius (frontal  $29.8^{\circ} \pm 17.1$  vs.  $18.3^{\circ} \pm 7$  and  
 252 scapular planes  $30.9^{\circ} \pm 17$  vs.  $20.4^{\circ} \pm 8.1$ ). However, the most significant differences ( $p < 0.05$ ) in  
 253 muscle activity patterns were seen in the early termination of activity in both muscles during arm  
 254 lowering in all planes (apart from lower trapezius during frontal plane arm elevation) (Table 2). On  
 255 average (across all movements) serratus anterior terminated  $24.2^{\circ}$  earlier in the arm lowering phase in  
 256 the pre-intervention group compared to the healthy controls. The differences in lower trapezius  
 257 termination were more modest with an average of  $15^{\circ}$  difference between groups. Upper and middle  
 258 trapezius showed no significant differences between groups ( $p > 0.05$ ).



259  
 260 *Figure 2. Muscle activation timing in relation to arm position: (a) serratus anterior muscle activation*  
 261 *onset during the elevation phase and termination during the lowering phase in the frontal plane. (b)*  
 262 *lower trapezius onset and termination during arm movement in the sagittal plane. Mean and standard*  
 263 *deviation (error bar) arm position of muscle onset and termination of muscle activity . Graph to show*  
 264 *electromyography muscle activation relative to arm elevation angle in one participant prior to (c) and*  
 265 *post- (d) the ten week intervention*

266 *Table II. Muscle activation timing for serratus anterior and lower trapezius during arm elevation*  
 267 *and lowering in the sagittal, scapular and frontal planes. Arm position (degrees) where muscle onset*  
 268 *during the elevation phase, and termination of muscle activity during the lowering phase, are*  
 269 *presented for the healthy control and shoulder impingement group pre- (Pre-M-C) and post (Post-M-*  
 270 *C intervention*

Phase	Group	Arm elevation (degrees)		
		Sagittal plane	Scapular plane	Frontal plane
<b>Serratus Anterior</b>				
<b>Elevation (muscle On)</b>	Healthy	14.3 ± 1.3	16.5 ± 3.4	19.7 ± 4.5
	Pre-MC	23.3 ± 16.6 <sup>#</sup>	22.4 ± 14.1	26 ± 14.6 <sup>#</sup>
	Post-MC	21.4 ± 13.6	20.7 ± 13.3	15.6 ± 2.7
<b>Lowering (muscle Off)</b>	Healthy	45.1 ± 12.9	40.1 ± 11.2	44.1 ± 5.8
	Pre-MC	60.3 ± 17.9 <sup>##</sup>	68.8 ± 13.6 <sup>###</sup>	66.7 ± 15.5 <sup>###</sup>
	Post-MC	45.6 ± 10.8 <sup>***</sup>	53 ± 17.1 <sup>**</sup>	46.9 ± 14.3 <sup>***</sup>
<b>Lower Trapezius</b>				
<b>Elevation (muscle On)</b>	Healthy	18.3 ± 7	20.4 ± 8.1	29.5 ± 10.9
	Pre-MC	29.8 ± 17.1 <sup>##</sup>	30.9 ± 17 <sup>#</sup>	35.5 ± 18.9
	Post-MC	17 ± 4.3 <sup>*</sup>	22.8 ± 13.3	30.5 ± 20
<b>Lowering (muscle Off)</b>	Healthy	46 ± 16.1	38.7 ± 12.4	56.9 ± 20
	Pre-MC	58.8 ± 16.3 <sup>#</sup>	61.2 ± 14.2 <sup>###</sup>	66.5 ± 16.2
	Post-MC	42 ± 13.7 <sup>**</sup>	50.7 ± 20 <sup>*</sup>	59.3 ± 23

271 Mean ± standard deviation. \*Significant difference pre- to post intervention. <sup>#</sup>Significant difference  
 272 between healthy control and participants with pain. Significance level indicated by; <sup>\*/#</sup> p<0.05, <sup>\*\*/##</sup>  
 273 p<0.001, <sup>\*\*\*/###</sup> p<0.0001. MC, motor control

274

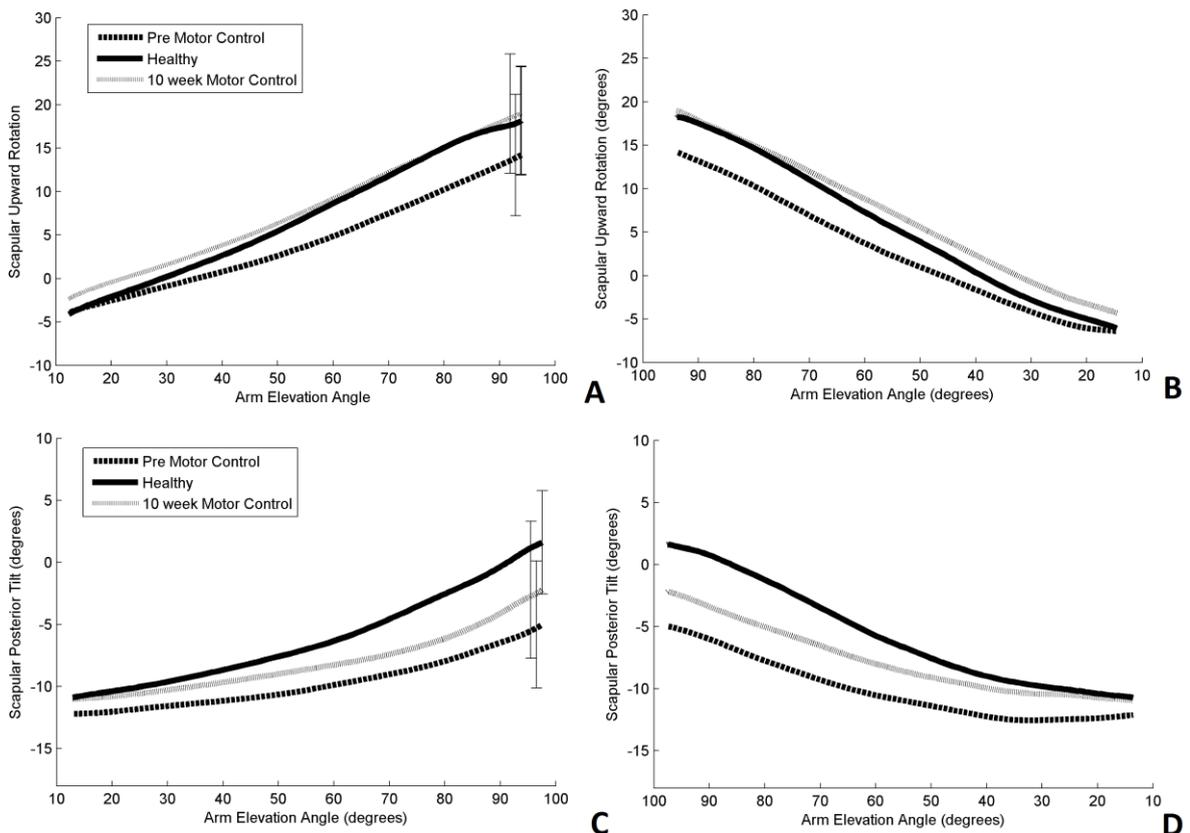
275 Post-intervention the delayed onset of muscle activation reduced significantly (*p*<0.05) for serratus

276 anterior (Figure 2a) during frontal plane arm elevation and lower trapezius during flexion (Figure 2b)

277 and was close to matching the arm elevation angle for the control group. There was also significantly  
 278 increased ( $p<0.05$ ) duration of activity to match that of the healthy group in both serratus anterior  
 279 (arm lowering in all three planes) and lower trapezius (sagittal and scapular planes) with the largest  
 280 gains coming in the lowering phase of the activity (Table 2).

### 281 3.2.2 Kinematics

282 Kinematic analysis of the scapular rotations showed significantly less posterior tilt in patients with  
 283 shoulder pain pre-intervention compared to healthy control participants during arm elevation in the  
 284 frontal and scapular planes ( $p<0.05$ ), but not in the sagittal plane. There were no significant  
 285 differences between healthy control and pre-intervention groups for upward rotation or internal  
 286 rotation. There was a general trend of impingement patients having less upward rotation and posterior  
 287 tilt at 90° arm elevation in all three planes pre-intervention compared to the control group (Table 3).



288

289 *Figure 3. Scapular kinematics: (a) mean upward rotation from rest to 90° arm elevation (b) mean*  
 290 *upward rotation from 90° arm elevation to rest (c) posterior tilt during sagittal plane arm movement*  
 291 *from rest to 90° (d) posterior tilt during sagittal plane arm movement from 90° to rest.*

292

293 *Table III. Scapular orientation (upward rotation and posterior tilt) at the start (0°), 90° arm elevation,*  
 294 *and end point (0°) after lowering the arm during each plane of arm movement for the healthy group*  
 295 *and shoulder impingement group pre- (Pre-M-C) and post (Post-M-C) motor control intervention.*

Plane of arm movement	Arm pos.	Upward Rotation (deg.)			Posterior Tilt (deg.)		
		Healthy	Pre-M-C	Post M-C	Healthy	Pre-M-C	Post M-C
Sagittal plane	Start	-2.7 ± 3.6	-4 ± 7.1	-2.3 ± 5.5	-11.3 ± 4.1	-12.3 ± 3.7	-11.3 ± 3.8
		18.3 ± 5.9	14.2 ± 7	19 ± 6.9	-0.7 ± 6.5	-5.3 ± 6.9	-2.5 ± 5.9
	Rest	-5.3 ± 3.4	-6.4 ± 7.6	-2.8 ± 8.7	-10.7 ± 4.4	-11.7 ± 3.9	-11.1 ± 4
		Start	-4 ± 5.4	-5.4 ± 6.5	-4.8 ± 5.4	-10.7 ± 4.3	-12.2 ± 3.7
	90		17.4 ± 5.5	14.1 ± 5.9	16.7 ± 5.1	2.4 ± 7.9	-5 ± 5.1
	End	-6 ± 4.9	-6.8 ± 7	-3.1 ± 8	-10.9 ± 3.7	-12.1 ± 3.7	-10.9 ± 3.8
Start		-5.1 ± 3.3	-5.5 ± 6.5	-4.2 ± 6.6	-10.8 ± 3.6	-12 ± 3.4	-10.4 ± 3.5
	90	17.9 ± 6.1	15.5 ± 7.1	15.3 ± 6.5	3.6 ± 8.2	-3.3 ± 5.9	0.4 ± 5.1
End	-4.5 ± 3.9	-4.7 ± 6.7	-1.1 ± 8.4	-10.6 ± 3.6	-12.5 ± 3.5	-10.2 ± 4.4	

296 Mean ± standard deviation.

297 Post-intervention, upward rotation during arm elevation in the sagittal plane had increased  
298 significantly ( $p<0.05$ ), on average by  $4.8^\circ$  at  $90^\circ$  arm elevation. The increase in upward rotation  
299 matched that of the healthy participants (Figure 3). There was also a significant increase ( $p<0.05$ ) in  
300 posterior tilt during arm elevation in the frontal plane, with the greatest increases occurring at  $90^\circ$  arm  
301 elevation. Although general trends in increased upward rotation and posterior tilt were observed in the  
302 other glenohumeral movements, these were not found to be significant (Table 3).

#### 4. DISCUSSION

The present study found that a 10 week motor control based intervention young adults with shoulder impingement signs improved function and reduced pain immediately post-intervention. The recovery mechanism appears to involve neurophysiological and biomechanical changes, with significant changes seen in muscle recruitment patterns previously shown to optimise scapular kinematics during humeral movements. These preliminary results provide an indication for the intervention efficacy in young adults with shoulder impingement. However, the evidence of effectiveness compared with other exercise approaches and the long-term effects over a wider age range need to be demonstrated by a randomised controlled trial (RCT).

The participants with shoulder impingement signs had pain and reduced function pre-intervention, as measured by the SPADI. These SPADI results changed significantly post-intervention reaching the MCID<sup>33</sup>. However, the relatively high pre-intervention function (9 subjects with SPADI < 20) may have limited the scope for improvement due to a ceiling effect. The most comparable study to the present investigation was conducted by Roy *et al*<sup>34</sup>, which used a 4 week intervention in eight shoulder impingement patients<sup>34</sup>. They found improvements in SPADI for 7/8 participants and small scapular kinematic changes in most, although no EMG was recorded in that particular study to highlight changes in motor control. There were, however, several differences between Roy *et al*<sup>34</sup> and the present study. Firstly, their participants were older with higher pain and disability scores at baseline (age = 46 years; SPADI = 43.3 ±17.4) compared to the present study (age = 24.6 years; SPADI = 19.2 ±9.2). Secondly, the intervention was delivered differently, with Roy *et al*<sup>34</sup> applying two consecutive periods of different exercise programmes (the second being motor control), whereas we assessed a predominantly motor control based intervention.

The present study demonstrated how timing of muscle activation differs between shoulder pain participants and healthy participants. Delayed muscle onset has been shown during arm elevation<sup>27;41</sup> and significant co-activation of middle trapezius and serratus anterior has also been shown during the arm lowering<sup>9</sup> in shoulder impingement patients. There are, however, to our knowledge no other

329 reports of the early termination of muscle activity found in serratus anterior and lower trapezius  
330 during arm lowering, despite consensus on apparent altered muscle recruitment <sup>16</sup>. This early  
331 switching off of activity could cause loss of scapular control and potential mechanical impingement <sup>19</sup>,  
332 previously been termed as ‘kick out’ <sup>16</sup>. Previous authors have stressed that exercises focusing on the  
333 dynamic control of the shoulder can significantly improve symptoms of impingement, making  
334 specific reference to serratus anterior and lower trapezius <sup>23</sup>. The present study has shown how a  
335 motor control intervention for shoulder impingement can alter muscle recruitment patterns in both of  
336 these key muscles. The most comparable findings were from another study by Roy *et al* <sup>35</sup> of the  
337 effect of one session of movement training in 33 participants, which involved motor strategies during  
338 a reaching task <sup>35</sup>. They found EMG and kinematic changes at the end of the training but only the  
339 EMG changes remained 24 hours later, with no further follow-up.

340 Although there is evidence to suggest exercise interventions can reduce shoulder impingement  
341 symptoms, there is minimal evidence of these interventions changing movement patterns of the  
342 scapula <sup>24</sup>. Ludewig and Braman <sup>19</sup> highlighted the need to link exercise regimes with changes in  
343 scapular movement patterns and motor control <sup>19</sup>. The present study has shown that in a small cohort  
344 of young shoulder impingement patients, motor control based exercises influenced scapular  
345 kinematics during arm movements to 90° elevation. The significance of the changes in kinematics  
346 between pre- and post-intervention were limited, with the only statistically significant changes seen in  
347 upward rotation of the scapula during sagittal plane arm elevation and scapular posterior tilt during  
348 frontal plane arm elevation. Other studies have also shown the difficulty in achieving a significant  
349 change in scapular kinematics <sup>24; 38</sup>. The wide variation in data and the small study limited the present  
350 studies ability to identify statistical differences in kinematics. Lack of statistical significance could  
351 have also been influenced by errors in the motion analysis protocol. Previous research has shown  
352 visual observation of scapular dyskinesia had a high repeatability and sensitivity, which would be  
353 more clinically applicable <sup>38</sup>.

#### 354 4.1 Limitations of the study

355 Whilst the number of participants (n=16) was greater than n=8 in the previous study of motor control  
356 retraining<sup>34</sup>, the convenience sample used in the present study was underpowered. Other limitations  
357 of this and the previous study<sup>34</sup> were that they lacked a control intervention, blinding and follow up  
358 testing to assess the long-term effects. This study was not representative of the majority of patients  
359 typically presenting to general practitioners, predominantly aged 50-75 years<sup>1</sup>, who have more  
360 chronic conditions. Clinical assessment of impingement signs provides an indication of impingement  
361 but do not indicate the mechanism of impingement. The use of repeat assessment before and after an  
362 injection of lidocaine solution may have increased the accuracy of diagnosis<sup>29</sup>. Limitations in both  
363 the outcome measures for the mechanistic aspect of the study are well recognised. The acromion  
364 marker cluster method in the measurement of scapular kinematics is prone to error due to skin  
365 movement artefact<sup>15</sup>, and surface EMG is prone to cross-talk of muscle activity and poor reliability of  
366 magnitude measures (based on amplitude) between sessions. Although evidence has been provided  
367 for the efficacy of the motor control concept, exercises were limited to 90° arm elevation, which is not  
368 in the functional range for some activities. This study also only focused on the painful shoulder of  
369 impingement participants and the dominant shoulder of the healthy controls. Analysis of the  
370 contralateral shoulder would have added to the scope of these findings, with the potential to examine  
371 bilateral asymmetries as a result of a more global change in the neural control of the muscles around  
372 the shoulder. However, previous studies have shown unilateral shoulder impingement can have  
373 bilateral effects on scapular kinematics<sup>11</sup>.

374 **5. CONCLUSIONS**

375 The present findings suggest a 10 week motor control exercise intervention can improve function and  
376 pain in young adults with shoulder impingement signs. The findings also indicate that the recovery  
377 mechanism involves improvements in muscle recruitment patterns and scapular kinematics. Evidence  
378 of clinical effectiveness in the long-term compared with other exercise interventions needs to be  
379 confirmed by an RCT involving a wider age range of shoulder impingement patients and other  
380 intervention approaches.

381

382

383 **Acknowledgments**

384 The authors thank Sandra Gadola for conducting the ultrasound screening, Mark Comerford for his  
385 input in compiling the training intervention programme and Paul Bradley, who was the patient  
386 representative for the study. Funding was gratefully received from Solent Health Care, UK, for  
387 supporting the post-doctoral researcher (P.W.), Arthritis Research UK (Grant Ref: [18512](#)) for funding  
388 laboratory equipment and Vicon Motion Systems (Oxford, UK) for a PhD studentship (M.W.). This  
389 study was conducted within the Southampton Shadow Musculoskeletal Biomedical Research Unit, a  
390 consortium funded by the University of Southampton and Southampton University Hospitals Trust.

391 **References**

- 392 1. Badley EM, Tennant A. Changing profile of joint disorders with age: findings from a postal survey  
393 of the population of Calderdale, West Yorkshire, United Kingdom. *Annals of Rheumatic Diseases*  
394 1992;51:366-71.
- 395 2. Borstad JD, Ludewig PM. Comparison of three stretches for the pectoralis minor muscle. *Journal*  
396 *of Shoulder and Elbow Surgery* 2006;15:324-30. doi:10.1016/j.jse.2005.08.011
- 397 3. Calis M, Akgun K, Birtane M, Karacan I, Calis H, Tuzun F. Diagnostic values of clinical  
398 diagnostic tests in subacromial impingement syndrome. *Annals of Rheumatic Diseases* 2000;59:44-47.  
399 doi:10.1136/ard.59.1.44
- 400 4. Cappozzo A, Catani F, Della Croce U, Leardini A. Position and orientation in space of bones  
401 during movement: anatomical frame definition and determination. *Clinical Biomechanics*  
402 1995;10:171-78. doi: 10.1016/0268-0033(95)91394-T
- 403 5. Comerford MJ, Mottram SL. Kinetic control : the management of uncontrolled movement.  
404 Chatswood, N.S.W: Elsevier; 2012. 9780729539074 9780729539074
- 405 6. Dawson J, Fitzpatrick R, Carr A. Questionnaire on the perceptions of patients about shoulder  
406 surgery. *Journal of Bone & Joint Surgery* 1996;78:593-600.
- 407 7. Doorenbosch C, Harlaar J, Veeger D. The globe system: An unambiguous description of shoulder  
408 positions in daily life movements. *Journal of Rehabilitation Research & Development* 2003;40:147-56.  
409 doi:10.1682/JRRD.2003.03.0149
- 410 8. Dorrestijn O, Stevens M, Winters JC, van der Meer K, Diercks RL. Conservative or surgical  
411 treatment for subacromial impingement syndrome? A systematic review. *Journal of Shoulder and*  
412 *Elbow Surgery* 2009;18:652-60. doi:10.1016/j.jse.2009.01.010
- 413 9. Faria M, Coelho CD, Fuscaldi T, L., Rodrigues PG, Fabiano SMG. Scapular Muscular Activity  
414 With Shoulder Impingement Syndrome During Lowering of the Arms. *Clinical Journal of Sport*  
415 *Medicine* 2008;18:130-36. doi:10.1097/JSM.0b013e318160c05d

- 416 10. Glazier R, Dalby D, BADLEY EM, Hawker G, Bell M, Buchbinder R et al. Management of  
417 common musculoskeletal problems: survey of Ontario primary care physicians. *CMAJ*  
418 1998;158:1037-40.
- 419 11. Hebert L, Moffet H, McFadyen B, Dionne C. Scapular Behavior in Shoulder Impingement  
420 Syndrome. *Archives of Physical Medicine and Rehabilitation* 2002;83:60-69.  
421 doi:10.1053/apmr.2002.27471
- 422 12. Hermens HJ, Freriks B, Disselhorst-Klug C, Rau G. Development of recommendations for  
423 SEMG sensors and sensor placement procedures. *Journal of Electromyography and Kinesiology*  
424 2000;10:361-74. doi:10.1016/S1050-6411(00)00027-4
- 425 13. Hodges P, Bui BH. A comparison of computer-based methods for the determination of onset of  
426 muscle contraction using electromyography. *Electroencephalogr Clin Neurophysiol* 1996;101:6.  
427 doi:10.1016/S0921-884X(96)95190-5,
- 428 14. Hudak P, Amadeo P, Bombardier C, Beaton D, Cole D, Davis AM et al. Development of an  
429 upper extremity outcome measure: The DASH (disabilities of the arm, shoulder, and hand). *American*  
430 *Journal of Industrial Medicine* 1996;29:602-08.
- 431 15. Karduna AR, McClure PW, Michener LA, Sennett B. Dynamic Measurements of Three-  
432 Dimensional Scapular Kinematics: A Validation Study. *Journal of Biomechanical*  
433 *Engineering* 2001;123:184-90. doi: 10.1115/1.1351892
- 434 16. Kibler WB, Ludewig PM, McClure P, Uhl T, Sciascia A. Scapular Summit 2009. *Journal*  
435 *of Orthopaedic & Sports Physical Therapy* 2009;39:A1-A13. doi:10.2519/jospt.2009.0303
- 436 17. Lewis J, Green A, Dekel S. The Aetiology of Subacromial Impingement Syndrome.  
437 *Physiotherapy* 2001;87:458-69. doi:10.1016/S0031-9406(05)60693-1
- 438 18. Linsell L, Dawson J, Zondervan K, Rose P, Randall T, Fitzpatrick R et al. Prevalence and  
439 incidence of adults consulting for shoulder conditions in UK primary care; patterns of diagnosis and  
440 referral. *Rheumatology* 2006;45:215-21. doi:10.1093/rheumatology/kei139

- 441 19. Ludewig PM, Braman JP. Shoulder impingement: Biomechanical considerations in rehabilitation.  
442 Manual Therapy 2011;16:33-39. doi:10.1016/j.math.2010.08.004
- 443 20. Ludewig PM, Cook TM. Alterations in Shoulder Kinematics and Associated Muscle Activity in  
444 People With Symptoms of Shoulder Impingement. Physical Therapy 2000;80:276-91.
- 445 21. Ludewig PM, Reynolds J. The Association of Scapular Kinematics and Glenohumeral Joint  
446 Pathologies. Journal of Orthopaedic & Sports Physical Therapy 2009;39:90-104.  
447 doi:10.2519/jospt.2009.2808
- 448 22. Luime J, Koes BW, IJM H, Burdof A, Verhagen A, Miedema HV, JAN. Prevalence and  
449 incidence of shoulder pain in the general population; a systematic review. Scand J Rheumatol  
450 2004;33:73-81. doi: 10.1080/03009740310004667
- 451 23. Magarey M, Jones M. Dynamic evaluation and early management of altered motor control around  
452 the shoulder complex. Manual Therapy 2003;8:195-206. doi:10.1016/S1356-689X(03)00094-8,
- 453 24. McClure PW, Bialker J, Neff N, Williams G, Karduna A. Shoulder Function and 3-Dimensional  
454 Kinematics in People With Shoulder Impingement Syndrome Before and After a 6-Week Exercise  
455 Program. Physical Therapy 2004;84:832-48.
- 456 25. McClure PW, Michener LA, Karduna AR. Shoulder Function and 3-Dimensional Scapular  
457 Kinematics in People With and Without Shoulder Impingement Syndrome. Physical Therapy  
458 2006;86:1075-90. doi:10.1080/03009740310004667
- 459 26. Michener LA, McClure PW, Karduna AR. Anatomical and biomechanical mechanisms of  
460 subacromial impingement syndrome. Clinical Biomechanics 2003;18:369-79. doi:10.1016/S0268-  
461 0033(03)00047-0
- 462 27. Moraes GFS, Faria CDCM, Teixeira-Salmela LF. Scapular muscle recruitment patterns and  
463 isokinetic strength ratios of the shoulder rotator muscles in individuals with and without impingement  
464 syndrome Journal of Shoulder and Elbow Surgery 2008;17:S48-S53. doi:10.1016/j.jse.2007.08.007
- 465 28. Mottram SL. Dynamic stability of the scapula. Manual Therapy 1997;2:123-31.  
466 doi:10.1054/math.1997.0292
- 467 29. Neer C. Impingement Lesions. Clinical Orthopaedics and Related Research 1983;173:70-7.

- 468 30. On AY. Differential Corticomotor Control of a Muscle Adjacent to a Painful Joint. *Neurorehabil*  
469 *Neural Repair* 2004;18:127-33. doi:10.1177/0888439004269030
- 470 31. Ostor AJK, Richards CA, Prevost AT, Speed CA, Hazleman BL. Diagnosis and relation to  
471 general health of shoulder disorders presenting to primary care. *Rheumatology* 2005;44:800-05.  
472 doi:10.1093/rheumatology/keh598
- 473 32. Roach K, Budiman-Mak E, Songsiridej N, Lertratanakul Y. Development of a shoulder pain and  
474 disability index. *Arthritis Care & Research* 1991;4:143-9.
- 475 33. Roy J-S, MacDermid JC, Woodhouse LJ. Measuring Shoulder Function: A Systematic Review of  
476 Four Questionnaires. *Arthritis & Rheumatism* 2009;61:623-32. doi:10.1002/art.24396
- 477 34. Roy J-S, Moffet H, Hébert LJ, Lirette R. Effect of motor control and strengthening exercises on  
478 shoulder function in persons with impingement syndrome: A single-subject study design. *Manual*  
479 *Therapy* 2009;14:180-88. doi:10.1016/j.math.2008.01.010
- 480 35. Roy J-S, Moffet H, McFadyen B, Lirette R. Impact of movement training on upper limb motor  
481 strategies in persons with shoulder impingement syndrome. *Sports Medicine, Arthroscopy,*  
482 *Rehabilitation, Therapy & Technology* 2009;1:8. doi:10.1186/1758-2555-1-8
- 483 36. Tsao H, Hodges P. Immediate changes in feedforward postural adjustments following voluntary  
484 motor training. *Experimental Brain Research* 2007;181:537-46. doi:10.1007/s00221-007-0950-z
- 485 37. Tyc F, Boyadjian A, Devanne H. Motor cortex plasticity induced by extensive training revealed  
486 by transcranial magnetic stimulation in human. *European Journal of Neuroscience* 2005;21:259-66.  
487 doi:10.1111/j.1460-9568.2004.03835.x
- 488 38. Uhl T, Kibler WB, Gecewich B, Tripp B. Evaluation of Clinical Assessment Methods for  
489 Scapular Dyskinesis Arthroscopy: *The Journal of Arthroscopic & Related Surgery* 2009;25:1240-48.  
490 doi:10.1016/j.arthro.2009.06.007
- 491 39. van Andel C, van Hutten K, Eversdijk M, Veeger D, Harlaar J. Recording scapular motion using  
492 an acromion marker cluster. *Gait & Posture* 2009;29:123-28. doi:10.1016/j.gaitpost.2008.07.012
- 493 40. Veeger HEJ. The position of the rotation center of the glenohumeral joint. *Journal of*  
494 *Biomechanics* 2000;33:1711-15. doi:10.1016/S0021-9290(00)00141-X

495 41. Wadsworth DJS, Bullock-Saxton JE. Recruitment Patterns of the Scapular Rotator Muscles in  
496 Freestyle Swimmers with Subacromial Impingement. *International Journal of Sports Medicine*  
497 1997;18:618-24.

498 42. Wallerstein S. Scaling clinical pain and pain relief. In: Bromm B, editor. *Pain measurement in*  
499 *man: neurophysiological correlates of pain*. New York: Elsevier; 1984.

500 43. Ware J, Sherbourne C. The MOS 36-item short-form health survey (SF-36). I. Conceptual  
501 framework and item selection. *Med Care* 1992;30:473 - 83.

502 44. Warner MB, Chappell PH, Stokes MJ. Measuring scapular kinematics during arm lowering using  
503 the acromion marker cluster. *Human Movement Science* 2011;31:386-96.  
504 doi:doi.org/10.1016/j.humov.2011.07.004

505 45. Wegner S, Jull G, O'Leary S, Johnston V. The effect of a scapular postural correction strategy on  
506 trapezius activity in patients with neck pain. *Manual Therapy* 2010;15:562-66.  
507 doi:10.1016/j.math.2010.06.006

508 46. Williams J, Holleman D, Simel D. Measuring Shoulder Function with the Shoulder Pain and  
509 Disability Index. *Journal of Rheumatology* 1995;22:727-32.

510 47. Winters JC, Sobel JS, Groenier KH, Arendzen JH, Meyboom-de Jong B. The long-term course of  
511 shoulder complaints: a prospective study in general practice. *Rheumatology* 1999;38:160-63.

512 48. Wu G, van der Helm FCT, Veeger HEJ, Makhsous M, Roy PV, Anglin C et al. ISB  
513 recommendation on definitions of joint coordinate systems of the various joints for the reporting of  
514 human joint motion - Part II: shoulder, elbow, wrist and hand. *Journal of Biomechanics* 2005;38:981-  
515 92. doi:10.1016/j.jbiomech.2004.05.042

516

517