

Cooperative Medium Access Control Based on Spectrum Leasing

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Abstract—Based on cooperative spectrum leasing, a distributed “win–win” (WW) cooperative framework is designed to encourage the licensed source node (SN) to lease some part of its spectral resources to the unlicensed relay node (RN) for the sake of simultaneously improving the SN’s achievable rate and for reducing the energy consumption (EC). The potential candidate RNs carry out autonomous decisions concerning whether to contend for a cooperative transmission opportunity, which could dissipate some of their battery power, while conveying their traffic in light of their individual service requirements. Furthermore, a WW cooperative medium-access-control (MAC) protocol is designed to implement the proposed distributed WW cooperative framework. Simulation results demonstrate that our WW cooperative MAC protocol is capable of providing both substantial rate improvements and considerable energy savings for the cooperative spectrum leasing system.

Index Terms—Author, please supply index terms/keywords for your paper. To download the IEEE Taxonomy go to http://www.ieee.org/documents/taxonomy_v101.pdf.

I. INTRODUCTION

COOPERATIVE communications techniques have recently attracted substantial research attention [1] as a benefit of their significant throughput improvements, energy savings, and coverage enhancements. However, these benefits may be eroded by the conventional higher layer protocols, which were designed for classic noncooperative systems. Hence, it is important to design appropriate medium-access-control (MAC) protocols to support cooperative physical layer techniques.

In contrast with the legacy wireless MAC protocols, cooperative MAC protocols aim to cooperatively schedule the medium access of all nodes while allowing the relay nodes (RNs) to buffer and forward the others’ data frames using the broadcast nature of the wireless network, instead of ignoring these data frames. There are numerous contributions in the literature on designing cooperative MAC protocols, most of which aim to

maximize the throughput [2]–[6], including the widely recognized CoopMAC of [7]. However, a potential impediment of the CoopMAC is that its energy efficiency was traded off against the throughput benefits claimed. Therefore, [8]–[12] aimed to minimize the energy consumption (EC) by developing energy-efficient cooperative MAC protocols. To jointly consider these conflicting design objectives, Luo *et al.* [13] and Zhou *et al.* [14] designed meritorious algorithms to improve the achievable throughput and to simultaneously enhance the energy efficiency achieved.

However, the aforementioned cooperative MAC protocols, such as CoopMAC, were developed based on the common assumption that the relays agree to altruistically forward the data of the source node (SN). This unconditional altruistic behavior is unrealistic to expect from mobile stations. In fact, a greedy RN behavior is likely to be the norm in spectrum leasing [15], where the licensed SN intends to lease some part of its spectral resources to the unlicensed RN in exchange for appropriate “remuneration.” In this spectrum leasing system, the unlicensed RNs also have an incentive to support the SN to achieve its quality-of-service (QoS) target in exchange for a transmission opportunity. This cooperation allows both the SN and the RN to satisfy its individual requirement. Based on this cooperative spectrum leasing system, some early theoretical studies have been conducted in [16]–[21]. Bearing in mind the greedy behavior of the mobile RNs, meritorious game-theoretic frameworks were proposed in [16]–[19] to maximize the SN’s transmit rate while simultaneously satisfying the requirements of the RNs. Based on game theory, Hafeez and Elmirghani [20] and Jayaweera *et al.* [21] aimed to minimize the EC of cooperative spectrum leasing systems by designing beneficial game-aided strategies. However, the joint optimization of the transmit rate and of the EC has not been considered in these existing works. Furthermore, the design of an appropriate cooperative MAC protocol for practically implementing the theoretical framework was not discussed in [16]–[21].

Against this backdrop, the contributions of this paper are as follows.

- 1) We first formulate a distributed “win–win” (WW) cooperative framework (DWWCF) to encourage the SN to lease part of its spectral resources to the unlicensed RN for the sake of improving the SN’s *transmit rate* and for simultaneously reducing the SN’s *EC* while ensuring that the unlicensed RNs are capable of securing a transmission opportunity for *their own traffic* and for satisfying their QoS. Furthermore, the proposed DWWCF selects the

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- best RN for the sake of *minimizing the system's transmit power*.
- 2) Second, a WW cooperative MAC protocol is developed to *practically implement* our DWWCF in a cooperative spectrum leasing system (CSLS) by designing the required *signaling procedures* to implement the negotiation between the SN and the greedy RN. Similarly, the *frame structure* of both the data and control messages is also conceived to convey all the required information. Hence, the proposed WW cooperative MAC protocol is a throughput- and energy-oriented protocol rather than a single-objective cooperative MAC protocol, such as CoopMAC [7], which is a throughput-oriented protocol. Furthermore, the proposed WW cooperative MAC protocol is designed for more realistic scenario having rewarded RNs rather than altruistic RNs, which was considered in most existing cooperative MAC protocol, such as the CoopMAC [7]. To simplify the signaling procedures at the MAC layer, the proposed WW cooperative MAC protocol relies on a *distributed RN selection scheme*, rather than either centralized or table-based RN selection scheme, which was exploited by many cooperative MAC protocols, such as CoopMAC [7], allowing the SN to select the best RN relying on the global information in the SN's CoopTable.
- 3) Additionally, in contrast with the RN's time/frequency slot reservation strategy of [17], superposition coding (SPC) is invoked at the RN for jointly encoding both the SN's and RN's data based on a cooperative spectrum leasing system. Fortunately, the resultant interference can be eliminated at the destination node (DN) using successive interference cancelation (SIC) to separate the SN's and RN's data while beneficially amalgamating both the direct and relayed components using frame combining.

The remainder of this paper is organized as follows. The network's architecture and our DWWCF are introduced in Section II. Section III describes the proposed WW cooperative MAC protocol, whereas in Section IV, the attainable performance of our scheme is quantified. Finally, we conclude in Section V.

II. SYSTEM MODEL AND DISTRIBUTED WIN-WIN COOPERATIVE FRAMEWORK

A. System Model

Before embarking on outlining our DWWCF, we introduce our network topology and outline our assumptions.

As shown in Fig. 1, we consider a cooperative network having a single SN \mathcal{S} and a total of N RNs in the set $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\}$, as well as a common DN \mathcal{D} , where \mathcal{D} may be a base station (BS) or an ad hoc cluster head. Both \mathcal{S} and \mathcal{D} are granted access to the licensed spectrum, whereas the N RNs are not licensees. To simplify our investigations, we made the following assumptions. All the channels involved are assumed to undergo quasi-static Rayleigh fading; hence, the complex-valued fading envelope remains constant during a transmission

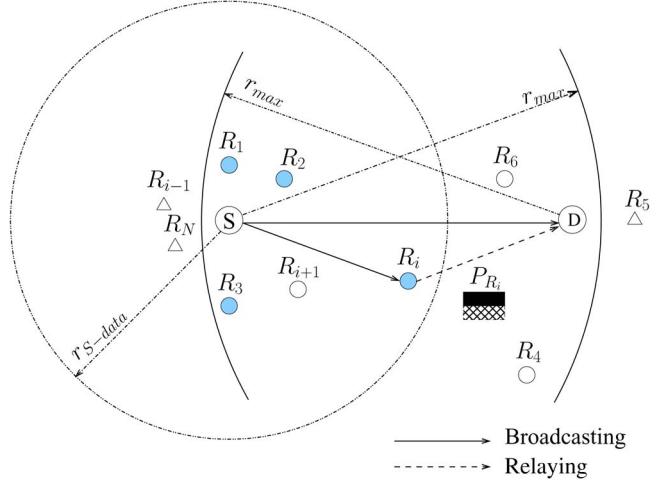


Fig. 1. Cooperative topology consists of one SN \mathcal{S} , one DN \mathcal{D} , and a total of N RNs $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\}$.

burst,¹ whereas it is faded independently between the consecutive transmission bursts. Within a given transmission burst, the duplex bidirectional channels between a pair of actively communicating nodes are assumed to be identical, whereas the channels of any of the remaining links are independent. We assume perfect channel estimation for all nodes concerning their own channels,² but no knowledge of the remaining links is assumed. Additionally, the nodes' own position information is perfectly known at each node. We consider the effects of free-space path loss that is modeled by $\rho = \lambda^2 / 16\pi^2 d^\eta$, where λ represents the wavelength, d is the transmitter-to-receiver distance and η denotes the path-loss exponent, which is 2. All nodes are assumed to be limited by the same maximum transmit power P_{\max} .

B. Distributed WW Cooperative Framework

1) *SN's Behavior:* Rather than relying on monetary remuneration, \mathcal{S} in our DWWCF intends to lease part of its spectrum to the RNs in exchange for cooperatively supporting the source's transmission. Based on the RN's assistance, \mathcal{S} is capable of successfully conveying its data at a reduced transmit power of $P_{S-\text{data}}$ and an increased transmit rate of $\alpha C_{S,D}^{\max}$ ($\alpha \geq 1$), which is the SN's target transmit rate. In greater detail, α is the ratio of the desired and affordable throughput termed as the SN's "factor of greediness," whereas $C_{S,D}^{\max}$ is the maximum achievable rate of the source-to-destination (SD) link, which can be formulated as $C_{S,D}^{\max} = \log_2(1 + (\rho_{S,D}|h_{S,D}|^2 P_{\max}/P_N))$, where P_N is the power of the additive white Gaussian noise, whereas $|h_{S,D}|$ denotes the magnitude of the flat Rayleigh channel between \mathcal{S} and \mathcal{D} . Furthermore, $\rho_{S,D}$ is the free-space path-loss gain between \mathcal{S} and \mathcal{D} . If \mathcal{S} cannot acquire any cooperative transmission assistance, it directly transmits its data to \mathcal{D} at a higher transmit power

¹We define a transmission burst as a single transmission attempt, excluding any subsequent retransmission attempts.

²The effect of realistic imperfect channel estimation is evaluated in Section IV-F.

172 P_S^{nc} and lower transmit rate R_S^{nc} . Hence, \mathcal{S} has two Objective
173 Functions (OF) in our DWWCF, which may be formulated as

$$\text{OF}_{\mathcal{S}1} = \max \{\xi_{\mathcal{S}} \cdot R_S^{req} + (1 - \xi_{\mathcal{S}}) \cdot R_S^{nc}\} \quad (1)$$

$$\text{OF}_{\mathcal{S}2} = \min \{\xi_{\mathcal{S}} \cdot P_{S-\text{data}} + (1 - \xi_{\mathcal{S}}) \cdot P_S^{nc}\} \quad (2)$$

174 subject to $R_S^{req} = \alpha C_{\mathcal{S},\mathcal{D}}^{\max} > R_S^{nc}$ and $\alpha \geq 1$, as well as
175 $P_{S-\text{data}} < P_S^{nc}$, where $\xi_{\mathcal{S}}$ denotes the cooperative probability
176 of SN.

177 2) *RN's Behavior:* According to our DWWCF, the RN has
178 an incentive to forward data for \mathcal{S} for the sake of accessing
179 the SN's spectrum to convey its own traffic. The selfish RN \mathcal{R}_i
180 reserves a certain fraction of $\beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}$ ($0 < \beta < 1$) of the Relay-
181 to-Destination (RD) channel's capacity for conveying its own
182 traffic, where β is the RN's "factor of greediness" and $C_{\mathcal{R}_i,\mathcal{D}}^{\max}$ is
183 given by: $C_{\mathcal{R}_i,\mathcal{D}}^{\max} = \log_2(1 + (\rho_{\mathcal{R}_i,\mathcal{D}} |h_{\mathcal{R}_i,\mathcal{D}}|^2 P_{\max}/P_N))$, while
184 $|h_{\mathcal{R}_i,\mathcal{D}}|$ denotes the magnitude of the flat Rayleigh channel
185 between \mathcal{R}_i as well as \mathcal{D} , and $\rho_{\mathcal{R}_i,\mathcal{D}}$ is the free-space path-
186 loss gain between \mathcal{R}_i and \mathcal{D} . Based on our DWWCF, each
187 RN \mathcal{R}_i carries out autonomous decisions concerning its own
188 cooperative strategy by optimizing its own OF, which may be
189 formulated as

$$\text{OF}_{\mathcal{R}1} = \max \{\xi_{\mathcal{R}_i} \cdot \beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}\} \quad (3)$$

190 subject to $0 < \beta < 1$, where $\xi_{\mathcal{R}_i}$ denotes the probability that
191 RN \mathcal{R}_i is granted the transmission opportunity.

192 When the RNs provide cooperative transmission assis-
193 tance, extra energy is dissipated when relaying data for \mathcal{S} .
194 Hence, another OF is designed in our DWWCF to select the
195 best RN, which may be formulated as

$$\text{OF}_{\mathcal{R}2} = \min \sum_{i=1}^N \{\xi_{\mathcal{R}_i} \cdot P_{\mathcal{R}_i}\} \quad (4)$$

196 subject to $\sum_{i=1}^N \xi_{\mathcal{R}_i} \leq 1$, and $P_{\mathcal{R}_i} \leq P_{\max}$, where $P_{\mathcal{R}_i}$ is the
197 RN's transmit power required for successfully forwarding the
198 SN's data and for simultaneously conveying its own data. Based
199 on the above OFs, it is quite a challenge to mathematically
200 solve these optimization problems in our DWWCF. Hence, we
201 designed a WW cooperative MAC protocol to implement our
202 DWWCF.

203 III. WIN-WIN COOPERATIVE MEDIUM ACCESS CONTROL 204 PROTOCOL DESCRIPTION

205 Based on the request-to-send/clear-to-send (RTS/CTS) sig-
206 naling of the legacy IEEE 802.11 protocol, a WW cooperative
207 MAC protocol is developed to implement our DWWCF, which
208 is formulated in Section II-B. The proposed signaling procedure
209 is detailed in Fig. 2, which includes three phases, as detailed in
210 the following.

211 A. Phase I: Initialization

212 Before \mathcal{S} transmits any data frame, it issues an RTS message
213 to \mathcal{D} at the maximum transmission power P_{\max} to reserve the
214 shared channel, as shown in Fig. 2. When \mathcal{D} correctly receives
215 the RTS message, it replies with a CTS message, employing the

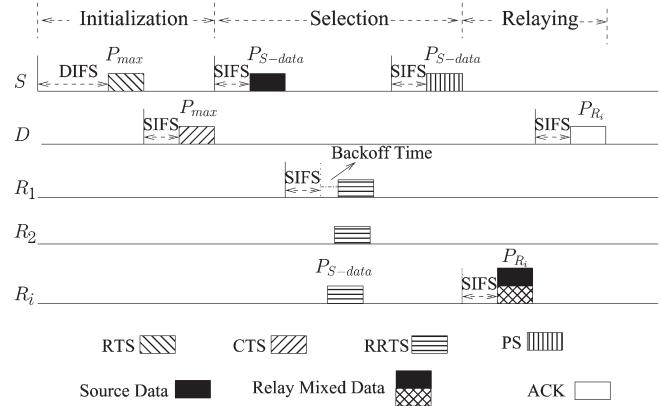


Fig. 2. Overall signaling procedure. RTS: Request-to-send. CTS: Clear-to-send. RRTS: Relay-request-to-send. PS: Please-send. ACK: Acknowledgment. DIFS: Distributed interframe space. SIFS: Short interframe space.

same transmission power P_{\max} . The instantaneous transmission ranges of the sources are shown in Fig. 1. To elaborate a little further, we include the transmitter's position information into the RTS and CTS signaling frame; thus, any RNs in the set \mathcal{R} , which can overhear both the RTS and CTS messages, will be aware of the imminently forthcoming transmission and of the position information on \mathcal{S} and \mathcal{D} . Based on the knowledge of their own position and on the position of the SN and the DN, these RNs are capable of calculating the distances from both the SN and the DN to themselves. These RNs, which are denoted by filled or hollow circles in Fig. 1, form a potential cooperative RN set $\mathcal{R}_c \subset \mathcal{R}$.

B. Phase II: Relay Selection

Following the initialization phase, the RN selection procedure is constituted by a data transmission and two beacon message exchanges, as detailed in the following.

1) *Step I—Invitation for Cooperation:* If \mathcal{S} does not receive a CTS message from \mathcal{D} , it would retransmit the RTS message as specified in the legacy IEEE 802.11 protocol [22]. In contrast, if \mathcal{S} receives a CTS message from \mathcal{D} , it broadcasts its data frame after a short interframe space (SIFS) interval at reduced power of $P_{S-\text{data}}$ and its target transmit rate of $\alpha C_{\mathcal{S},\mathcal{D}}^{\max}$ ($\alpha \geq 1$), as shown in Fig. 2. As a result, both \mathcal{D} and the RNs in the set \mathcal{R}_c will hear this broadcast. When α is higher than unity, the SN's data cannot be successfully transmitted to \mathcal{D} in its entirety. However, \mathcal{D} will store this data frame and exploits the classic Chase combining scheme [23] to combine it with the duplicated data frame independently transmitted by the potential candidate relays, for the sake of achieving rate improvements. Therefore, the SN's aggregated rate achieved by using Chase combining may be expressed as [24]

$$\alpha C_{\mathcal{S},\mathcal{D}}^{\max} = \log_2 \left(1 + \gamma_{\mathcal{S},\mathcal{D}}^{(1)} + \gamma_{\mathcal{R}_i}^{\mathcal{S}} \right) \quad (5)$$

subject to $\alpha \geq 1$, where $\gamma_{\mathcal{S},\mathcal{D}}^{(1)}$ denotes the receiver's signal-to-interference-plus-noise ratio (SINR) related to the direct transmission during the broadcast phase. Furthermore, $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ represents the receive SINR of the SN's data frame, which is transmitted during the relaying phase to be introduced. Based

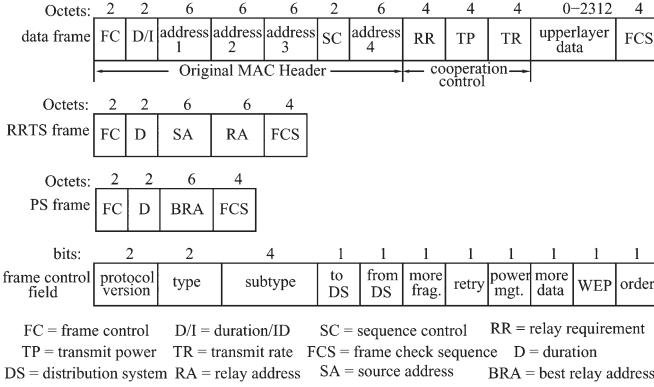


Fig. 3. Formats of the data frames, the RRTS message, and the PS message.

252 on the estimated channel state information (CSI) of the SD
 253 link, \mathcal{S} first calculates the receive SINR of $\gamma_{\mathcal{S},D}^{(1)}$ achieved by
 254 the direct transmission during the broadcast phase. Then, based
 255 on $\gamma_{\mathcal{S},D}^{(1)}$ and (5), \mathcal{S} calculates the receive SINR of $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$, which
 256 must be guaranteed by the best RN and includes the value of
 257 $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ into the relay requirement (RR) field of its data frame for
 258 implicitly informing the RNs of the SN's transmit requirement
 259 $\alpha C_{\mathcal{S},D}^{\max}$. The RNs in the vicinity, which correctly receive the
 260 SN's data frame, are capable of inferring the value of $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ by
 261 reading the RR field of the appropriately designed cooperative
 262 MAC data frame, as shown in Fig. 3.³

263 2) *Step II—Contend for Cooperation:* For clarity, we break
 264 the discussion of this step into several subtopics, namely, the
 265 cooperative decision, the backoff algorithm, and contention
 266 message derivation.

267 *Cooperation decision:* If a particular RN $\mathcal{R}_i \in \mathcal{R}_c$ erroneously
 268 receives the data frame from \mathcal{S} , \mathcal{R}_i would drop this data
 269 frame and would keep on sensing the channel, as shown
 270 in Table I. On the other hand, if cooperative RN $\mathcal{R}_i \in \mathcal{R}_c$ correctly receives a data frame from \mathcal{S} , it calculates
 271 the transmit power $P_{\mathcal{R}_i}^{\mathcal{S}}$ necessitated to satisfy the SN-
 272 rate requirement and the transmit power $P_{\mathcal{R}_i}^{\mathcal{R}}$ required to
 273 guarantee a throughput of $\beta C_{\mathcal{R}_i,D}^{\max}$. If the sum of transmit
 274 power $P_{\mathcal{R}_i} = P_{\mathcal{R}_i}^{\mathcal{S}} + P_{\mathcal{R}_i}^{\mathcal{R}}$ is higher than P_{\max} , \mathcal{R}_i has to
 275 give up contending for the cooperative opportunity and
 276 drop this SN's data frame, as shown in Table I. On the
 277 other hand, if $P_{\mathcal{R}_i}$ does not exceed P_{\max} , \mathcal{R}_i would send
 278 a relay-request-to-send (RRTS) message to \mathcal{S} after waiting
 279 for a SIFS interval and its backoff time, which is calculated
 280 based on the proposed backoff algorithm for the sake of
 281 contending for a transmission opportunity, as shown in
 282 Table I. The RRTS message in Fig. 2 informs \mathcal{S} about
 283 the RN's correct reception and its intention to cooperate.
 284 Hence, the specific RNs, which decide to contend for the
 285 transmission opportunity form a smaller contending set of
 286 $\mathcal{R}_{cc} \in \mathcal{R}_c$. These RNs are represented by the filled circles
 287 in Fig. 1. It is noted that the value of $P_{\mathcal{R}_i}$ is not included
 288 in the RRTS message in Fig. 3 since the proposed backoff

³Apart from the cooperative control fields of the data frame, as shown in Fig. 3, the remaining fields are the same as those of the data frame specified in the IEEE 802.11 standards [22].

TABLE I
PROCEDURE OF THE RN SUBMISSION COOPERATIVE DECISION

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0: if erroneously receive data frame  $Data_i$  from  $\mathcal{S}$ 
1:   drop data frame  $Data_i$ 
2: else
3:   read the RN requirement  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ 
4:   calculate the values of  $P_{\mathcal{R}_i}^{\mathcal{S}}$ ,  $P_{\mathcal{R}_i}^{\mathcal{R}}$  and  $P_{\mathcal{R}_i}$ 
5:   if  $P_{\mathcal{R}_i} \leq P_{\max}$ 
6:     calculate its backoff time  $T_{\mathcal{R}_i,bo}$ 
7:     backoff for  $T_{\mathcal{R}_i,bo}$  interval
8:     if  $T_{\mathcal{R}_i,bo}$  timeout
9:       send RRTS to  $\mathcal{S}$ 
10:      wait for PS message
11:    else
12:      keep backoff
13:    else
14:      drop data frame  $Data_i$ 

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algorithm can identify the different values of $P_{\mathcal{R}_i}$ promised 290
 by the contending RNs. 291

Backoff algorithm: To minimize the total transmit power of 292
 the RNs, which is formulated by (4), we design a backoff 293
 algorithm to select the best RN. As shown in Fig. 2, before 294
 issuing the RRTS message, the RN $\mathcal{R}_i \in \mathcal{R}_{cc}$ has to wait 295
 for a SIFS interval and for subsequent backoff duration 296
 of $T_{\mathcal{R}_i,bo}$, which is defined as $T_{\mathcal{R}_i,bo} = \varphi_{\mathcal{R}_i} T_w$, where 297
 $T_w = \text{CWmin} \cdot \text{SlotTime}$ is the contention window (CW) 298
 length,⁴ with CWmin being the minimum CW duration 299
 specified in the IEEE802.11 standards [22]. The coefficient 300
 $\varphi_{\mathcal{R}_i}$ is defined as $\varphi_{\mathcal{R}_i} = P_{\mathcal{R}_i}^{\min} / P_{\max}$. Hence, the specific 301
 candidate RN, which promises the lowest transmit power, 302
 may first transmit its RRTS message as a benefit of its 303
 shortest backoff time. In each RN selection phase, \mathcal{S} has 304
 to wait for a fixed period of $(T_w + \text{SlotTime})$ to collect the 305
 responses of the potential candidate RNs. If \mathcal{S} correctly re- 306
 ceives the RRTS message before its fixed waiting duration 307
 times out, it selects the transmitter of that specific RRTS, 308
 which was the first one to be correctly received as the 309
 best RN, without considering the RRTS messages arriving 310
 later and without comparing the specific transmit power 311
 promised by the individual candidate RNs. Hence, the best 312
 RN is selected in a distributed manner both without a cen- 313
 tralized controller and without any information exchange 314
 between the candidate RNs. Since the value of $P_{\mathcal{R}_i}^{\min}$ 315
 promised by the candidate RN \mathcal{R}_i is always lower than 316
 P_{\max} , the backoff time allocated to \mathcal{R}_i will not exceed the 317
 SN's fixed waiting duration of $(T_w + \text{SlotTime})$. Hence, all 318
 the candidate RNs may issue their RRTS messages before 319
 \mathcal{S} stops waiting for the responses. 320

Contention message derivation: According to our backoff al- 321
 gorithm, the specific RN promising the lowest power may 322
 be granted the transmission opportunity to minimize the 323
 total transmit power of RNs. Hence, the greedy RN has 324
 to minimize its transmit power by *only* satisfying its rate 325
 requirement of $\beta C_{\mathcal{R}_i,D}^{\max}$ to wait for a shorter backoff time, 326
 \mathcal{S} stops waiting for the responses. 327

⁴In the IEEE 802.11 standard, a SlotTime consists of the time required to physically sense the medium and to declare the channel as "clear," as well as the MAC processing delay, the propagation delay, and the "receiver/transmitter turn-around time," which is the time required for the physical layer to change from receiving to transmitting at the start of the first bit [22].

327 which is calculated based on the proposed backoff algorithm. Therefore, we have
 328

$$P_{\mathcal{R}_i^{\min}} \left(P_{\mathcal{R}_i}^S, P_{\mathcal{R}_i^{\min}} \mid \alpha, \beta \right) = P_{\mathcal{R}_i}^S + P_{\mathcal{R}_i^{\min}}^R \quad (6)$$

329 subject to the condition of $C_{\mathcal{R}_i}^R = \beta C_{\mathcal{R}_i, D}^{\max}$ and $\alpha > 1$, as
 330 well as $0 < \beta < 1$.

331 Let us now consider how to find $P_{\mathcal{R}_i}^S$ and $P_{\mathcal{R}_i^{\min}}^R$ of (6). In
 332 our design, the RN employs SPC for jointly encoding both the
 333 SN's and its own data. \mathcal{D} then extracts the SN's data from
 334 the relayed composite signal with the aid of SIC. Finally, the
 335 extracted relayed component and the direct component are
 336 combined. Assuming that \mathcal{D} treats the RN's data frame as
 337 interference, the receive SINR $\gamma_{\mathcal{R}_i}^S$ of the SN's data frame re-
 338 layed by the RN is given by $\gamma_{\mathcal{R}_i}^S = (\rho_{\mathcal{R}_i, D} |h_{\mathcal{R}_i, D}|^2 P_{\mathcal{R}_i}^S) / (P_N +$
 339 $\rho_{\mathcal{R}_i, D} |h_{\mathcal{R}_i, D}|^2 P_{\mathcal{R}_i}^R)$. After successfully retrieving the SN's data
 340 frame, \mathcal{D} becomes capable of decoding the RN's data frame by
 341 removing the SN's interference with the aid of a SIC scheme
 342 [25]. Hence, the achievable rate of the RN may be formulated as
 343 $C_{\mathcal{R}_i}^R = \log_2(1 + (\rho_{\mathcal{R}_i, D} |h_{\mathcal{R}_i, D}|^2 P_{\mathcal{R}_i}^R / P_N))$. According to the
 344 relaying strategy employed, the RN calculates the minimum
 345 power required for the rate $C_{\mathcal{R}_i}^R$ to reach $\beta C_{\mathcal{R}_i, D}^{\max}$. Thus, the
 346 value of $P_{\mathcal{R}_i^{\min}}^R$ is explicitly given as $P_{\mathcal{R}_i^{\min}}^R = ((2^{\beta C_{\mathcal{R}_i, D}^{\max}} -$
 347 $1)P_N) / (\rho_{\mathcal{R}_i, D} |h_{\mathcal{R}_i, D}|^2)$, which is subjected to $0 < \beta < 1$.
 348 Likewise, based on the metrics of $\gamma_{\mathcal{R}_i}^S$ and $P_{\mathcal{R}_i^{\min}}^R$, the RN
 349 is capable of calculating the transmit power $P_{\mathcal{R}_i}^S$ required for
 350 successfully delivering the SN's data at a throughput of $\alpha C_{S, D}^{\max}$,
 351 which is given by $P_{\mathcal{R}_i}^S = \gamma_{\mathcal{R}_i}^S ((P_N / \rho_{\mathcal{R}_i, D} |h_{\mathcal{R}_i, D}|^2) + P_{\mathcal{R}_i^{\min}}^R)$,
 352 where $\gamma_{\mathcal{R}_i}^S$ has been given in Step I. Based on the given
 353 derivation, \mathcal{R}_i calculates the value of $P_{\mathcal{R}_i}^{\min}$ as the sum of $P_{\mathcal{R}_i}^S$
 354 and $P_{\mathcal{R}_i^{\min}}^R$.

355 3) *Step III—Accept for Cooperation:* After waiting for the
 356 fixed duration of $(T_w + \text{SlotTime})$ specified by the proposed
 357 backoff algorithm and for a subsequent SIFS interval, \mathcal{S} replies
 358 to the best RN \mathcal{R}_i associated with the first RRTS message that
 359 was correctly received by sending a please-send (PS) message if
 360 \mathcal{S} correctly received the RRTS message during its fixed waiting
 361 period of $(T_w + \text{SlotTime})$, as shown in Fig. 2 and Table II. The
 362 format of the PS frame is characterized in Fig. 3. Since the SN
 363 sends its data frame and PS message at the same transmission
 364 power of $P_{S-\text{data}}$, all the RNs, which have correctly received
 365 the data frame from the SN will overhear the PS message. This
 366 guarantees that only the best RN forwards its data frame to \mathcal{D}
 367 during the data-forwarding phase.

368 C. Phase III: Cooperative Transmission

369 In this phase, the best RN \mathcal{R}_i forwards the superimposed SR
 370 data to \mathcal{D} if \mathcal{S} successfully selects the best RN. Otherwise, \mathcal{S}
 371 retransmits its data frame to \mathcal{D} , as shown in Fig. 2 and Table II.
 372 1) *Data Forwarding and Relay Retransmission:* If RN $\mathcal{R}_i \in$
 \mathcal{R}_{cc} finds that the receiver of the received PS message is not
 374 itself, it would drop the SN's data and would keep on sensing
 375 the medium. On the other hand, if the RN $\mathcal{R}_i \in \mathcal{R}_{cc}$ received
 376 a PS message that is destined for itself, it will encode both the
 377 SN's and its data with the aid of SPC and will forward the super-

TABLE II
 PROCEDURE OF SN

0:	\mathcal{S} broadcasts data $Data_i$ at power $P_{S-\text{data}}$
1:	\mathcal{S} waits for the fixed duration of $(T_w + \text{SlotTime})$
2:	if \mathcal{S} receives RRTS message
3:	sends PS message to the best RN
4:	else
5:	compute power $P_{S-\text{data}}^{(2)}$
6:	if $P_{S-\text{data}}^{(2)} \leq P_{\max}$
7:	send data to \mathcal{D} at power $P_{S-\text{data}}^{(2)}$
8:	else
9:	send data to \mathcal{D} at power P_{\max}
10:	wait for ACK message
11:	if ACK timeout
12:	\mathcal{S} broadcast data $Data_i$ again
13:	else
14:	\mathcal{S} send RTS message for transmitting a new data

imposed SR data frame to \mathcal{D} at its precalculated transmission
 378 power of $P_{\mathcal{R}_i^{\min}}$ after an SIFS period, acting as the best RN, 379
 as shown in Fig. 2. Finally, at the DN, the classic automatic 380
 repeat request procedure will be initiated, when receiving the 381
 forwarded data and successfully decoding and combining it with 382
 the most recent direct transmission during Step I of Phase II. 383

2) *Source Retransmission:* If none of the RNs competes for 384
 a transmission opportunity or multiple RRTS messages collided 385
 at the SN, \mathcal{S} directly sends its data to \mathcal{D} as a replica without 386
 relaying. This transmission takes place either at the specific 387
 transmit power of $P_{S-\text{data}}^{(2)}$, which is capable of guaranteeing 388
 the expected rate of $\alpha C_{S, D}^{\max}$, or failing that, it resorts to using 389
 the maximum affordable transmit power of P_{\max} , as shown 390
 in Table II. If \mathcal{D} receives this data frame, it replies with an 391
 acknowledgment (ACK) message to \mathcal{S} after successfully de- 392
 coding and combining the frame with the most recent erroneous 393
 data frame broadcast by \mathcal{S} . If \mathcal{S} does not receive any response 394
 from \mathcal{D} before the timer set for waiting for an ACK message 395
 is expired, it will broadcast its data again at power of $P_{S-\text{data}}$ 396
 to seek cooperation, and the RN selection procedure described 397
 earlier is repeated, as shown in Table II. 398

IV. SIMULATION RESULTS

To evaluate the achievable performance of the proposed 400
 scheme, we present our simulation results based on Omnet++. 401
 Based on the network model introduced in Section II-A, we 402
 consider two scenarios to investigate both the achievable rate 403
 and EC improvement, and to analyze the RN's behavior. 404
 In the first scenario, all the RNs are randomly distributed across 405
 the entire network area, whereas \mathcal{S} and \mathcal{D} have fixed positions. 406
 The network size considered ranges from $u = 5$ nodes to $u = 407$
 30 nodes for the sake of evaluating the influence of the size 408
 of the networks on the achievable rate and EC. In the other sce- 409
 nario, we consider a small network supporting $u = 5$ nodes, i.e., 410
 \mathcal{S} , \mathcal{D} , and three RNs, where all the nodes have fixed positions. 411
 One of the three RNs is located at the position of $d = 1/4$ along 412
 the SD link. Another RN is in the middle of the SD link at 413
 $d = 1/2$, whereas the third RN is at the point $d = 3/4$ of the SD 414
 link. In the given two scenarios, the values of P_{\max} and $P_{S-\text{data}}$ 415
 are 2 and 1 mW, respectively. The size of CWmin is 7, whereas 416
 SlotTime is set to 20 μs . Furthermore, the length of SIFS is 417

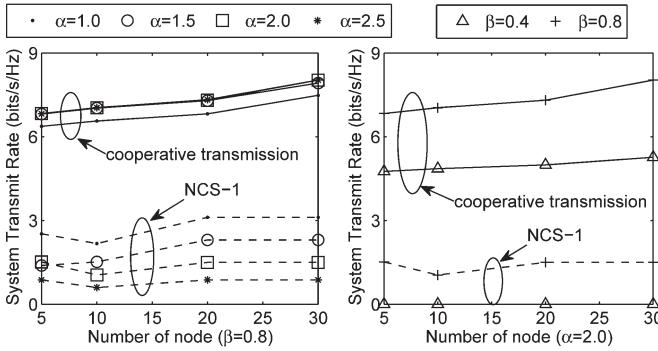


Fig. 4. System's total achievable rate improvement.

418 10 μ s. The length of the data frame generated at the application
419 layer is 1024 B. The length of the RRTS and PS messages is
420 20 B and 14 B, respectively, whereas that of the RTS and
421 CTS is 24 and 18 B. The greedy factor α ranges from 1 to 3,
422 whereas the value of β ranges from 0 to 0.8. Both α and β are
423 predetermined for each simulation.

424 Two noncooperative systems are introduced as the bench-
425 markers of our comparisons. We compare the system's achiev-
426 able total transmit rate (TTR) constituted by the sum of the
427 SN's and RN's transmit rate to that of the noncooperative
428 system 1 (NCS-1), which consumes the same total transmission
429 energy as our CSLS (WW-CSLS). Additionally, we compare
430 the total transmission EC to that of the noncooperative system 2
431 (NCS-2), which is capable of achieving the same TTR as our
432 WW-CSLS. Since the SN's data is transmitted twice by itself
433 and additionally by the best RN, if the cooperative transmission
434 is successful, two direct transmission phases are exploited in
435 both NCS-1 and NCS-2. When aiming for investigating the
436 effect of our relay selection scheme, we compare the achievable
437 performance of our WW-CSLS to that of a random CSLS
438 (Ran-CSLS), where the best RN is randomly selected with-
439 out considering the transmit power required for providing a
440 successful cooperative transmission. To evaluate their per-
441 mance, we adopt the idealized simplifying assumption that the
442 control messages are received without errors in both NCS-1
443 and NCS-2, as well as in WW-CSLS. In Sections IV-E and F,
444 we investigated a more practical network.

445 A. Effect of Cooperative Transmission

446 Let us now investigate the effects of cooperative transmission
447 on the TTR and EC by comparing the performance achieved in
448 the first scenario and NCS-1 and in NCS-2.

449 1) Achievable Transmit Rate: Fig. 4 compares the system's
450 TTR, namely, the sum of both the SN's rate and the RN's rate
451 achieved by the WW-CSLS relying on our WW cooperative
452 MAC protocol to that of NCS-1. It is observed in Fig. 4 that,
453 as expected, the system's achievable TTR relying on our WW-
454 CSLS is higher than 6 bit/s/Hz, even for $\alpha = 1$ and $\beta = 0.8$,
455 which is more than twice as high as that achieved by NCS-1,
456 which consumes the same total transmission energy, given the
457 same values of α and β . Additionally, for $\beta = 0.4$ and $\alpha = 2$,
458 the system's TTR achieved by our WW-CSLS is in excess of
459 4 bit/s/Hz, while in fact, no successful transmissions may be

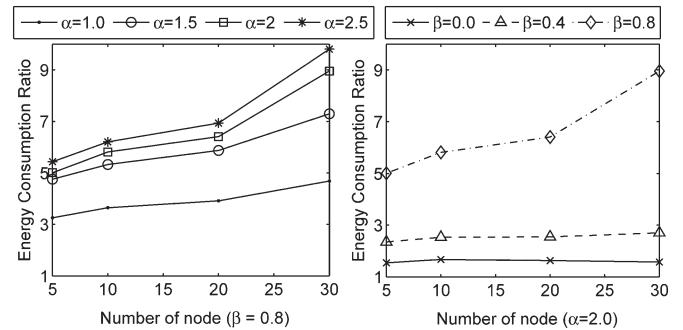


Fig. 5. Energy consumption ratio of $E_{\text{noncoop}}/E_{\text{coop}}$.

460 supported in NCS-1 for the same values of α and β due to 460
461 the system's low EC. Hence, the proposed WW cooperative 461
462 MAC protocol is capable of providing a considerable TTR im- 462
463 provement, despite consuming low energy. As shown in Fig. 4, 463
464 the system's TTR achieved by our WW-CSLS is increased, 464
465 when \mathcal{S} becomes greedier due to the SN's increased transmit 465
466 rate requirement. Additionally, when β is increased, the best 466
467 RN will be rewarded by a considerably higher rate for its own 467
468 traffic, provided that the cooperation is successful. Hence, the 468
469 system's TTR is increased, when the RN becomes greedier, 469
470 as shown in Fig. 4. Moreover, the achievable TTR of our 470
471 WW-CSLS is gradually increased, when the network becomes 471
472 larger. The above investigations imply that the proposed WW 472
473 cooperative MAC protocol is capable of providing significant 473
474 TTR improvements. 474

2) Energy Consumption: Fig. 5 shows the achievable EC 475
ratio (ECR) of $E_{\text{noncoop}}/E_{\text{coop}}$, where E_{coop} denotes the sys- 476
tem's total transmission EC⁵ for our cooperative MAC protocol 477
and E_{noncoop} represents that of NCS-2, which is capable of 478
achieving the same system's TTR as our WW-CSLS. As shown 479
in Fig. 5, compared with NCS-2, two third of the system's 480
total energy may be saved by exploiting the proposed WW 481
cooperative MAC protocol, given $\beta = 0.8$. The EC E_{coop} of 482
our WW-CSLS is reduced when \mathcal{S} becomes greedier, which 483
can be also characterized by the TTR of NCS-1 in Fig. 4. 484
By contrast, the EC E_{noncoop} of NCS-2 is slightly increased, 485
when \mathcal{S} becomes greedier due to the slightly increased system 486
rate of WW-CSLS. Hence, the ECR is increased, when \mathcal{S} 487
becomes greedier, as shown in Fig. 5. As β is increased, the 488
system's ECR is increased from 1.5 to 5 for $\alpha = 2$ and $u = 5$, 489
as shown in Fig. 5. When the RNs become greedier, fewer 490
RN can afford the increased power required for successfully 491
forwarding the SPC data. However, the transmit rate achieved 492
by the best RN is considerably increased. Hence, an increased 493
total energy is required by NCS-2 for the sake of achieving the 494
same system rate as our WW-CSLS. Therefore, the system's 495
ECR of $E_{\text{noncoop}}/E_{\text{coop}}$ is increased when the RN becomes 496
greedier. Based on the given discussions, the proposed WW co- 497
operative MAC protocol is capable of achieving a considerable 498
system rate improvement while offering a satisfactory energy 499
efficiency. 500

⁵It is reasonable to focus on the transmission EC and ignore the circuit processing EC in a large network where the transmission EC is dominant in the total EC [26].

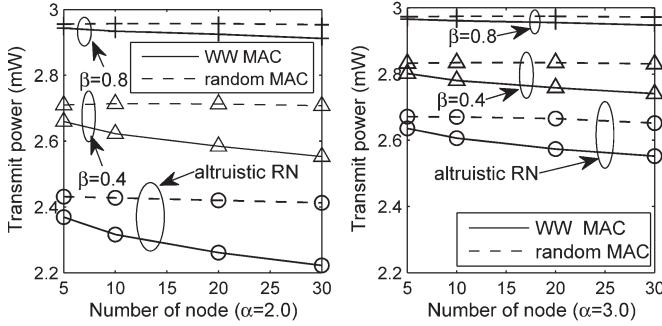


Fig. 6. System data transmit power consumed by our WW-CSLS and Ran-CSLS.

501 B. Effect of Relay Selection

502 Let us now investigate the effect of the proposed RN selection scheme by evaluating the achievable performance of our
 503 WW-CSLS and Ran-CSLS, where the best RN is randomly
 504 selected.
 505

506 1) *Transmit Power*: According to the proposed WW cooperative MAC protocol, the specific RN that promises the lowest transmit power $P_{\mathcal{R}_i}$ required for successfully conveying superposition-coded data is selected as the best RN. However, the best RN is randomly selected in Ran-CSLS without considering any system parameters, such as the transmit power $P_{\mathcal{R}_i}$. Hence, the RN's transmit power $P_{\mathcal{R}_i}$ is the crucial parameter for investigating the effect of the proposed RN selection scheme. Fig. 6 quantifies the system's total data transmit power (TDTP) for our WW-CSLS and that is consumed in Ran-CSLS. The system's TDTP is defined as the sum of the SN's transmit power required for conveying its data plus the RN's transmit power necessitated for delivering the superposition-coded data.

519 Based on the proposed backoff algorithm, the system's TDTP consumed in the WW-CSLS is lower than that of the Ran-CSLS, as shown in Fig. 6. When the SN or RN becomes greedier, less RNs can afford the increased transmit power required to provide successful cooperative transmission assistance. This phenomenon increases the probability that the same RN is selected as the best RN in both WW-CSLS and Ran-CSLS. Hence, the difference between the TDTP of our WW-CSLS and that of Ran-CSLS is reduced when either α or β is increased, as shown in Fig. 6. Moreover, the TDTP of both WW-CSLS and of the Ran-CSLS is reduced when the network hosts more RNs due to the increased probability of having RNs, which promise to reduce the transmit power in comparison with a smaller network. However, the probability of the event that a low-quality RN, namely, one which requires a higher transmit power than other RNs, is selected as the best RN in the Ran-CSLS is increased, when the network becomes larger. Hence, compared with Ran-CSLS, an increased TDTP is saved by our WW-CSLS when the network's size is increased.

538 2) *Achievable Transmit Rate*: Fig. 7 compares the system's TTR, namely, the sum of both the SN's rate and the RN's rate achieved by our WW-CSLS to that achieved by Ran-CSLS. As shown in Fig. 7, the system's achievable TTR relying on WW-CSLS is 8 bit/s/Hz for $\beta = 0.8$ and $u = 30$, whereas a lower TTR of 6.5 bit/s/Hz is achieved by Ran-CSLS, given β and the network size. Compared with Ran-CSLS, the system's

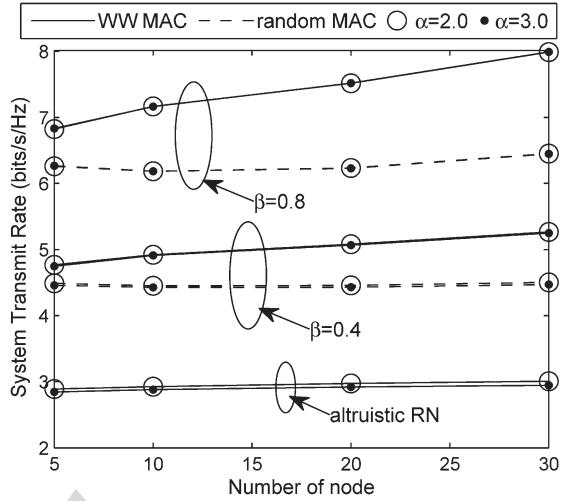


Fig. 7. System's total achievable rate improvement of our WW-CSLS and Ran-CSLS.

TTR can be improved by our WW-CSLS, even for lower β values and for smaller networks, e.g., for $\beta = 0.4$ and $u = 5$, as shown in Fig. 7. Based on WW-CSLS, the specific RN that promises lower transmit power of $P_{\mathcal{R}_i}$ may achieve a higher transmit rate of $\beta C_{\mathcal{R}_i, D}^{\max}$ due to having an improved RD link. Hence, compared with Ran-CSLS, a higher TTR is achieved by our WW-CSLS relying on selecting the specific RN, which promises the lowest transmit power $P_{\mathcal{R}_i}$.

Observe in Fig. 7 that the proposed WW cooperative MAC protocol is capable of providing a higher TTR improvement than Ran-CSLS, when β is increased. When an RN becomes greedier, its target transmit rate is increased. This phenomenon increases the difference between the RN's transmit rate achieved by WW-CSLS and that achieved by Ran-CSLS when the RN that suffers from a low-quality RD link is selected by Ran-CSLS. Hence, the difference between the TTR of WW-CSLS and that of Ran-CSLS is increased when the RN becomes greedier. Considering the CSLS, where the RN altruistically forwards data for S , the system's TTR is equal to the SN's rate. Hence, the system's TTR remains the same, regardless of which particular candidate RN is selected as the best RN when the RNs are altruistic, as shown in Fig. 7.

As shown in Fig. 7, the system's TTR achieved by our WW-CSLS is increased, when the network becomes larger. However, the effect of the network's size on the TTR achieved by Ran-CSLS is not as obvious as that on our WW-CSLS. When the network hosts more RNs, the number of candidate RNs may be increased. This phenomenon increases the probability that a low-quality RN having a lower transmit rate is selected as the best RN in Ran-CSLS. However, these low-quality RNs cannot win the cooperative transmission opportunity in our WW-CSLS if the specific RN promising a reduced transmit power also contends for the transmission opportunity. Hence, a higher TTR improvement is provided by the proposed WW cooperative MAC protocol, as the network becomes larger, as shown in Fig. 7. The given investigations imply that the proposed WW cooperative MAC protocol is capable of saving a substantial amount of transmit power while simultaneously

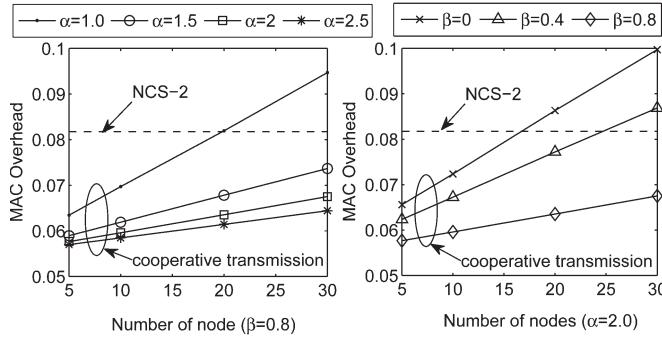


Fig. 8. MAC overhead for $\beta = 0.8$ or $\alpha = 2$.

583 providing significant TTR improvements compared with
584 Ran-CSLS.

585 C. MAC Overhead

586 Fig. 8 compares the MAC overhead of the proposed coop-
587 erative MAC protocol with that of NCS-2, which is based on
588 the RTS/CTS signaling regime of the IEEE 802.11 standards
589 [22]. The MAC overhead is defined as the ratio of $(\mathcal{N}_{\text{mac}-c} +$
590 $\mathcal{N}_{\text{mac}-h} + \mathcal{N}_{\text{mac}-t})/\mathcal{N}_{\text{mac}-d}$, where $\mathcal{N}_{\text{mac}-c}$ denotes the num-
591 ber of bits of all MAC control messages, and $\mathcal{N}_{\text{mac}-h}$ and
592 $\mathcal{N}_{\text{mac}-t}$ represent the number of header and tailing bits of the
593 MAC data frame, respectively. Furthermore, $\mathcal{N}_{\text{mac}-d}$ denotes
594 the number of bits in the payload data packet, including the
595 headers introduced by the higher layers. Observe in Fig. 8 that
596 the MAC overhead of the proposed WW cooperative MAC
597 protocol decreases, when either α or β increases, because the
598 number of candidate RNs is reduced, whereas the SN or the
599 RN becomes greedier. Compared with the traditional RTS/CTS
600 scheme specified in the IEEE 802.11 standards [22], the RRTS
601 message and the PS message are introduced into our WW-CSLS
602 to assist with RN selection if cooperation can be exploited.
603 However, compared with NCS-2, the RN's data can be also
604 transmitted with the aid of cooperation in WW-CSLS. Since
605 the length of the RN's data frames is higher than that of the
606 extra control messages, the MAC overhead introduced by our
607 WW protocol is lower than that of the NCS-2 when the network
608 size is smaller than $u = 20$. Although the overhead of our
609 WW-CSLS becomes higher than that of NCS-2 when the
610 network hosts more than $u = 20$ nodes, the MAC overhead
611 introduced by our WW protocol always remains lower than
612 0.1 for $\beta = 0.8$ or $\alpha = 2$.

613 D. Relay Behavior

614 To investigate the behavior of the relays, we analyze both the
615 transmission probability and the achievable rate improvement
616 of each RN for the configuration of $\alpha = 2$ in the network
617 hosting $u = 5$ nodes, as shown in Fig. 9(a) and (b). Upon
618 increasing β , the transmission probability of the RNs at " $d =$
619 $1/4$ " and " $d = 1/2$ " decreases, whereas that of the RN at
620 " $d = 3/4$ " increases, as shown in Fig. 9(a). The RN at " $d =$
621 $3/4$ " always benefits from the highest transmission probability,
622 whereas the RN at " $d = 1/4$ " has the lowest probability of
623 cooperative opportunities. As a benefit of its highest transmis-
624 sion probability, the RN at " $d = 3/4$ " maintains the highest

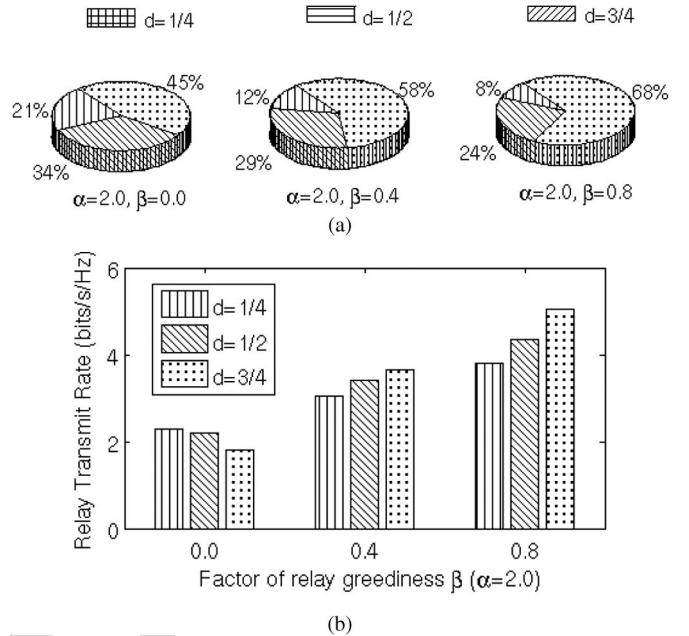


Fig. 9. RN transmission probability and the achievable rate improvement in a network hosting $u = 5$ nodes, namely, \mathcal{S} , \mathcal{D} , and three RNs. (a) Relay transmission probability. (b) Relay achievable rate.

achievable rate improvement, which is above 5 bits/s/Hz for
625 $\beta = 0.8$ and $\alpha = 2$. The achievable RN-rate improvement at
626 " $d = 1/4$ " is lower than that of the RN at " $d = 1/2$," as shown in
627 Fig. 9(b). However, when the three RNs altruistically dedicate
628 themselves solely to forwarding data frames for \mathcal{S} ($\beta = 0$), the
629 achievable RN-rate improvement at " $d = 1/4$ " is higher than
630 that of the other relays. Naturally, if the RNs become selfish,
631 their improved transmission probability leads to an increased
632 total throughput.

E. Effect of Erroneous RTS Message

The contention caused by hidden SNs or RNs may corrupt
636 the transmission of data and control messages. Apart from the
637 effects of corrupted RTS messages, the erroneous transmission
638 of both other control messages and of data have been considered
639 in our WW cooperative MAC protocol. Hence, the effect of
640 corrupted RTS messages on the system's transmit rate and on
641 the ECR of $E_{\text{rts-error}}/E_{\text{error-free}}$ that are achieved by our
642 WW-CSLS are evaluated, as shown in Fig. 10(a) and (b). The
643 variable $E_{\text{rts-error}}$ denotes the system's total EC for WW-
644 CSLS, where the RTS message may be corrupted. Furthermore,
645 $E_{\text{error-free}}$ is the system's total EC for WW-CSLS, where
646 error-free control messages are assumed. It is observed in
647 Fig. 10(a) and (b) that, when the RTS error probability is
648 increased, the system's TTR is decreased, and an increased
649 total system energy is dissipated by our WW-CSLS because
650 having more potentially erroneous RTS transmissions reduces
651 the probability of successful transmission, and the extra RTS
652 message retransmissions consume extra energy.

F. Effect of Imperfect Channel Estimation

To evaluate the overall system performance of our WW
654 cooperative protocol in a more practical scenario, we now
655

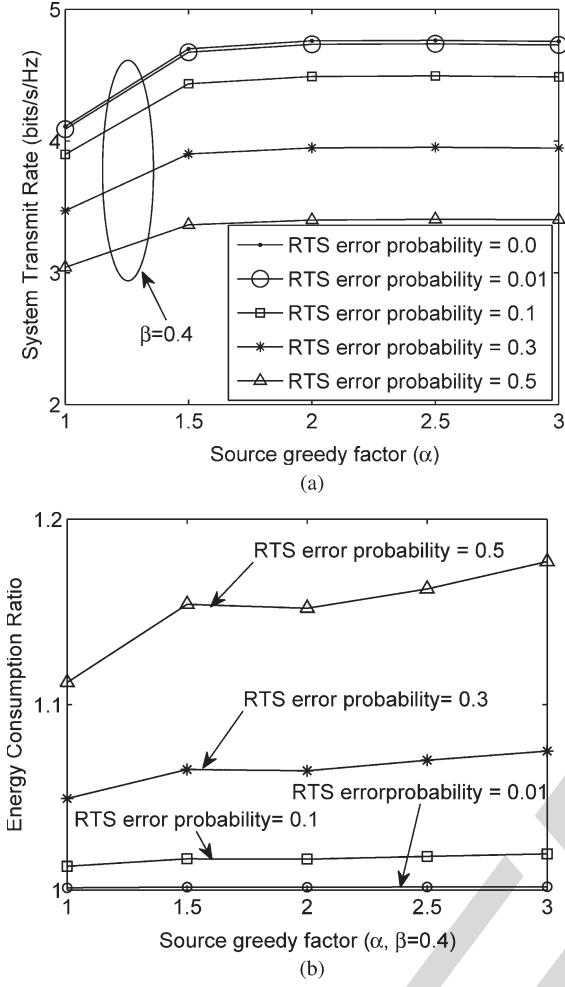


Fig. 10. System's total achievable transmit rate and system's ECR of $E_{rts-error}/E_{error-free}$ versus the SN's greedy factor parameterized with different RTS message error probabilities. (a) System's TTR. (b) System's ECR of $E_{rts-error}/E_{error-free}$.

introduce Gaussian-distributed CSI estimation errors into our WW-CSLS, instead of relying on the idealized simplifying assumption of perfect CSI. The normalized mean square error (NMSE) of the Gaussian channel estimation errors was defined as $10 \log(E\{\|h - \hat{h}\|^2\}/E\{\|h\|^2\})$ in decibels [27]. Compared with the performance achieved by assuming perfect CSI, the realistic imperfect channel estimation reduces the system's attainable transmit rate and dramatically increases the system's ECR of $E_{error}/E_{perfect}$, as shown in Fig. 11(a) and (b), respectively. Variable E_{error} denotes the system's energy consumed by the CSLS relying on realistic imperfect channel estimation, whereas $E_{perfect}$ denotes when perfect CSI is assumed. Based on the given discussions, it is necessary to develop a more robust cooperative MAC protocol to reduce the impact of realistic imperfect channel estimation.

671 G. Effect of Either Superposition Coding or Frame Combining

To evaluate the achievable TTR improvement jointly attained by SPC and SIC, we compare the system's TTR achieved by our WW-CSLS with that of the cooperative system operating without exploiting these techniques, as shown in Fig. 12. Since there are two data frames jointly conveyed by the RN to

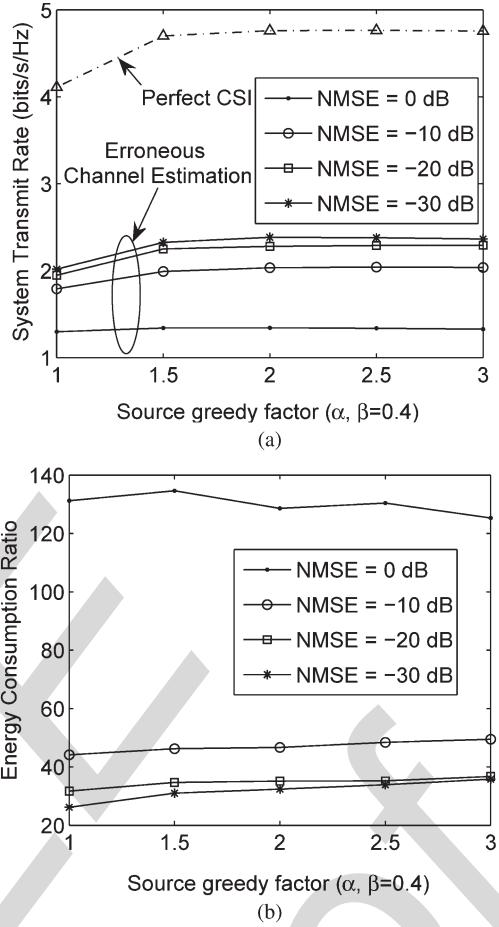


Fig. 11. System's total achievable transmit rate and system's ECR of $E_{rts-error}/E_{error-free}$ versus the SN's greedy factor parameterized with different channel estimation NMSEs when $\beta = 0.4$. (a) System's TTR. (b) System's ECR of $E_{rts-error}/E_{error-free}$.

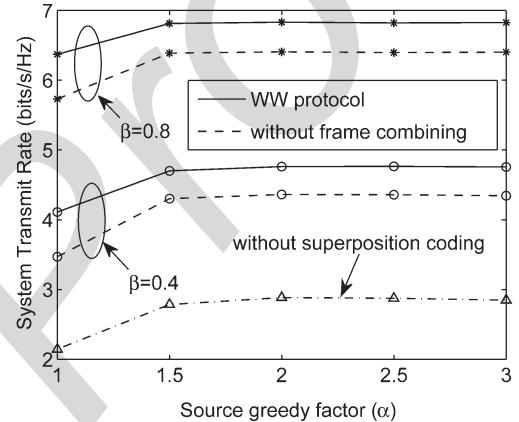


Fig. 12. System's total achievable transmit rate versus the SN's greedy factor both with and without SPC and SIC and frame combining.

\mathcal{D} in our WW-CSLS, the best RN, which does not exploit SPC, is assumed to forward only the SN's data instead of the SPC data. As shown in Fig. 12, the system's TTR may be increased from 2.9 to 6.9 bits/s/Hz for $\alpha = 2$ and $\beta = 0.8$ by jointly exploiting the SPC and SIC. Hence, these techniques are capable of significantly improving the system's transmit rate. To improve the SN's transmit rate, \mathcal{D} invokes frame combining

684 for amalgamating both the direct and relayed SN data after
 685 successfully separating the SN's and RN's data. Fig. 12 shows
 686 the system's TTR improvement achieved by exploiting frame
 687 combining.

688

V. CONCLUSION

689 In this paper, we have formulated a distributed WW cooperative
 690 framework for striking a tradeoff between the achievable
 691 system rate improvement and EC and for granting transmission
 692 opportunities for the unlicensed RNs. Furthermore, a WW
 693 cooperative MAC layer protocol was proposed for implement-
 694 ing our DWWCF. When compared with the corresponding
 695 noncooperative system, the proposed scheme is capable of
 696 providing a considerable transmit rate and transmission EC
 697 improvements. This was achieved with the aid of joint SPC at
 698 the RN for both the SN's and RN's data and by combining the
 699 SD and RD signals at the DN. Our future work will consider
 700 similar interference-limited scenarios relying on a more robust
 701 cooperative MAC design.

702

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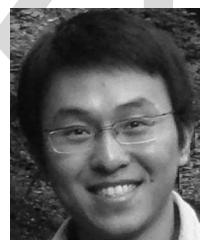
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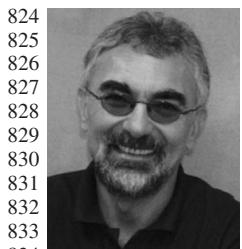
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 870 space–time coding, joint source and channel coding, iterative detection, orthog- 870
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 872 cooperative communications, distributed coding, quantum error correction 872
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AUTHOR QUERIES

AUTHOR PLEASE ANSWER ALL QUERIES

AQ1 = Please provide keywords.

AQ2 = Please provide expanded form of OF.

AQ3 = What is the first initial of author Asaduzzaman?

END OF ALL QUERIES

IEEE Proof

Cooperative Medium Access Control Based on Spectrum Leasing

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Abstract—Based on cooperative spectrum leasing, a distributed “win–win” (WW) cooperative framework is designed to encourage the licensed source node (SN) to lease some part of its spectral resources to the unlicensed relay node (RN) for the sake of simultaneously improving the SN’s achievable rate and for reducing the energy consumption (EC). The potential candidate RNs carry out autonomous decisions concerning whether to contend for a cooperative transmission opportunity, which could dissipate some of their battery power, while conveying their traffic in light of their individual service requirements. Furthermore, a WW cooperative medium-access-control (MAC) protocol is designed to implement the proposed distributed WW cooperative framework. Simulation results demonstrate that our WW cooperative MAC protocol is capable of providing both substantial rate improvements and considerable energy savings for the cooperative spectrum leasing system.

Index Terms—Author, please supply index terms/keywords for your paper. To download the IEEE Taxonomy go to http://www.ieee.org/documents/taxonomy_v101.pdf.

I. INTRODUCTION

C OOPERATIVE communications techniques have recently attracted substantial research attention [1] as a benefit of their significant throughput improvements, energy savings, and coverage enhancements. However, these benefits may be eroded by the conventional higher layer protocols, which were designed for classic noncooperative systems. Hence, it is important to design appropriate medium-access-control (MAC) protocols to support cooperative physical layer techniques.

In contrast with the legacy wireless MAC protocols, cooperative MAC protocols aim to cooperatively schedule the medium access of all nodes while allowing the relay nodes (RNs) to buffer and forward the others’ data frames using the broadcast nature of the wireless network, instead of ignoring these data frames. There are numerous contributions in the literature on designing cooperative MAC protocols, most of which aim to

maximize the throughput [2]–[6], including the widely recognized CoopMAC of [7]. However, a potential impediment of the CoopMAC is that its energy efficiency was traded off against the throughput benefits claimed. Therefore, [8]–[12] aimed to minimize the energy consumption (EC) by developing energy-efficient cooperative MAC protocols. To jointly consider these conflicting design objectives, Luo *et al.* [13] and Zhou *et al.* [14] designed meritorious algorithms to improve the achievable throughput and to simultaneously enhance the energy efficiency achieved.

However, the aforementioned cooperative MAC protocols, such as CoopMAC, were developed based on the common assumption that the relays agree to altruistically forward the data of the source node (SN). This unconditional altruistic behavior is unrealistic to expect from mobile stations. In fact, a greedy RN behavior is likely to be the norm in spectrum leasing [15], where the licensed SN intends to lease some part of its spectral resources to the unlicensed RN in exchange for appropriate “remuneration.” In this spectrum leasing system, the unlicensed RNs also have an incentive to support the SN to achieve its quality-of-service (QoS) target in exchange for a transmission opportunity. This cooperation allows both the SN and the RN to satisfy its individual requirement. Based on this cooperative spectrum leasing system, some early theoretical studies have been conducted in [16]–[21]. Bearing in mind the greedy behavior of the mobile RNs, meritorious game-theoretic frameworks were proposed in [16]–[19] to maximize the SN’s transmit rate while simultaneously satisfying the requirements of the RNs. Based on game theory, Hafeez and Elmirghani [20] and Jayaweera *et al.* [21] aimed to minimize the EC of cooperative spectrum leasing systems by designing beneficial game-aided strategies. However, the joint optimization of the transmit rate and of the EC has not been considered in these existing works. Furthermore, the design of an appropriate cooperative MAC protocol for practically implementing the theoretical framework was not discussed in [16]–[21].

Against this backdrop, the contributions of this paper are as follows.

- 1) We first formulate a distributed “win–win” (WW) cooperative framework (DWWCF) to encourage the SN to lease part of its spectral resources to the unlicensed RN for the sake of improving the SN’s *transmit rate* and for simultaneously reducing the SN’s *EC* while ensuring that the unlicensed RNs are capable of securing a transmission opportunity for *their own traffic* and for satisfying their QoS. Furthermore, the proposed DWWCF selects the

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- best RN for the sake of *minimizing the system's transmit power*.
- 2) Second, a WW cooperative MAC protocol is developed to *practically implement* our DWWCF in a cooperative spectrum leasing system (CSLS) by designing the required *signaling procedures* to implement the negotiation between the SN and the greedy RN. Similarly, the *frame structure* of both the data and control messages is also conceived to convey all the required information. Hence, the proposed WW cooperative MAC protocol is a throughput- and energy-oriented protocol rather than a single-objective cooperative MAC protocol, such as CoopMAC [7], which is a throughput-oriented protocol. Furthermore, the proposed WW cooperative MAC protocol is designed for more realistic scenario having rewarded RNs rather than altruistic RNs, which was considered in most existing cooperative MAC protocol, such as the CoopMAC [7]. To simplify the signaling procedures at the MAC layer, the proposed WW cooperative MAC protocol relies on a *distributed RN selection scheme*, rather than either centralized or table-based RN selection scheme, which was exploited by many cooperative MAC protocols, such as CoopMAC [7], allowing the SN to select the best RN relying on the global information in the SN's CoopTable.
- 3) Additionally, in contrast with the RN's time/frequency slot reservation strategy of [17], superposition coding (SPC) is invoked at the RN for jointly encoding both the SN's and RN's data based on a cooperative spectrum leasing system. Fortunately, the resultant interference can be eliminated at the destination node (DN) using successive interference cancelation (SIC) to separate the SN's and RN's data while beneficially amalgamating both the direct and relayed components using frame combining.

The remainder of this paper is organized as follows. The network's architecture and our DWWCF are introduced in Section II. Section III describes the proposed WW cooperative MAC protocol, whereas in Section IV, the attainable performance of our scheme is quantified. Finally, we conclude in Section V.

II. SYSTEM MODEL AND DISTRIBUTED WIN-WIN COOPERATIVE FRAMEWORK

A. System Model

Before embarking on outlining our DWWCF, we introduce our network topology and outline our assumptions.

As shown in Fig. 1, we consider a cooperative network having a single SN \mathcal{S} and a total of N RNs in the set $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\}$, as well as a common DN \mathcal{D} , where \mathcal{D} may be a base station (BS) or an ad hoc cluster head. Both \mathcal{S} and \mathcal{D} are granted access to the licensed spectrum, whereas the N RNs are not licensees. To simplify our investigations, we made the following assumptions. All the channels involved are assumed to undergo quasi-static Rayleigh fading; hence, the complex-valued fading envelope remains constant during a transmission

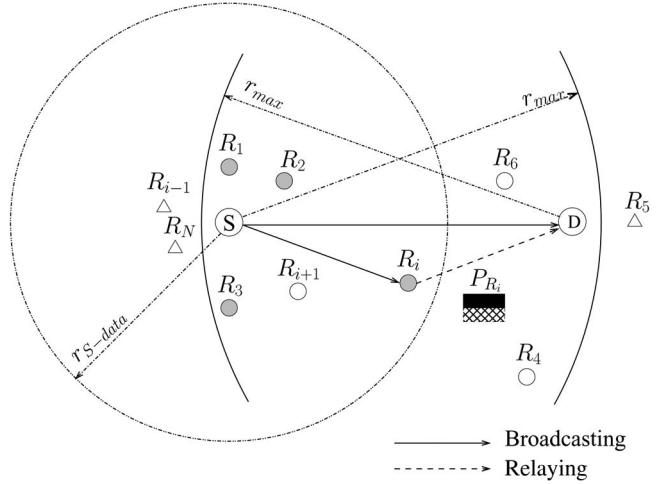


Fig. 1. Cooperative topology consists of one SN \mathcal{S} , one DN \mathcal{D} , and a total of N RNs $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\}$.

burst,¹ whereas it is faded independently between the consecutive transmission bursts. Within a given transmission burst, the duplex bidirectional channels between a pair of actively communicating nodes are assumed to be identical, whereas the channels of any of the remaining links are independent. We assume perfect channel estimation for all nodes concerning their own channels,² but no knowledge of the remaining links is assumed. Additionally, the nodes' own position information is perfectly known at each node. We consider the effects of free-space path loss that is modeled by $\rho = \lambda^2 / 16\pi^2 d^\eta$, where λ represents the wavelength, d is the transmitter-to-receiver distance and η denotes the path-loss exponent, which is 2. All nodes are assumed to be limited by the same maximum transmit power P_{\max} .

B. Distributed WW Cooperative Framework

1) *SN's Behavior:* Rather than relying on monetary remuneration, \mathcal{S} in our DWWCF intends to lease part of its spectrum to the RNs in exchange for cooperatively supporting the source's transmission. Based on the RN's assistance, \mathcal{S} is capable of successfully conveying its data at a reduced transmit power of $P_{S-\text{data}}$ and an increased transmit rate of $\alpha C_{S,D}^{\max}$ ($\alpha \geq 1$), which is the SN's target transmit rate. In greater detail, α is the ratio of the desired and affordable throughput termed as the SN's "factor of greediness," whereas $C_{S,D}^{\max}$ is the maximum achievable rate of the source-to-destination (SD) link, which can be formulated as $C_{S,D}^{\max} = \log_2(1 + (\rho_{S,D}|h_{S,D}|^2 P_{\max}/P_N))$, where P_N is the power of the additive white Gaussian noise, whereas $|h_{S,D}|$ denotes the magnitude of the flat Rayleigh channel between \mathcal{S} and \mathcal{D} . Furthermore, $\rho_{S,D}$ is the free-space path-loss gain between \mathcal{S} and \mathcal{D} . If \mathcal{S} cannot acquire any cooperative transmission assistance, it directly transmits its data to \mathcal{D} at a higher transmit power

¹We define a transmission burst as a single transmission attempt, excluding any subsequent retransmission attempts.

²The effect of realistic imperfect channel estimation is evaluated in Section IV-F.

172 P_S^{nc} and lower transmit rate R_S^{nc} . Hence, \mathcal{S} has two Objective
173 Functions (OF) in our DWWCF, which may be formulated as

$$\text{OF}_{\mathcal{S}1} = \max \{\xi_{\mathcal{S}} \cdot R_S^{req} + (1 - \xi_{\mathcal{S}}) \cdot R_S^{nc}\} \quad (1)$$

$$\text{OF}_{\mathcal{S}2} = \min \{\xi_{\mathcal{S}} \cdot P_{S-\text{data}} + (1 - \xi_{\mathcal{S}}) \cdot P_S^{nc}\} \quad (2)$$

174 subject to $R_S^{req} = \alpha C_{\mathcal{S},\mathcal{D}}^{\max} > R_S^{nc}$ and $\alpha \geq 1$, as well as
175 $P_{S-\text{data}} < P_S^{nc}$, where $\xi_{\mathcal{S}}$ denotes the cooperative probability
176 of SN.

177 2) *RN's Behavior:* According to our DWWCF, the RN has
178 an incentive to forward data for \mathcal{S} for the sake of accessing
179 the SN's spectrum to convey its own traffic. The selfish RN \mathcal{R}_i
180 reserves a certain fraction of $\beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}$ ($0 < \beta < 1$) of the Relay-
181 to-Destination (RD) channel's capacity for conveying its own
182 traffic, where β is the RN's "factor of greediness" and $C_{\mathcal{R}_i,\mathcal{D}}^{\max}$ is
183 given by: $C_{\mathcal{R}_i,\mathcal{D}}^{\max} = \log_2(1 + (\rho_{\mathcal{R}_i,\mathcal{D}} |h_{\mathcal{R}_i,\mathcal{D}}|^2 P_{\max}/P_N))$, while
184 $|h_{\mathcal{R}_i,\mathcal{D}}|$ denotes the magnitude of the flat Rayleigh channel
185 between \mathcal{R}_i as well as \mathcal{D} , and $\rho_{\mathcal{R}_i,\mathcal{D}}$ is the free-space path-
186 loss gain between \mathcal{R}_i and \mathcal{D} . Based on our DWWCF, each
187 RN \mathcal{R}_i carries out autonomous decisions concerning its own
188 cooperative strategy by optimizing its own OF, which may be
189 formulated as

$$\text{OF}_{\mathcal{R}1} = \max \{\xi_{\mathcal{R}_i} \cdot \beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}\} \quad (3)$$

190 subject to $0 < \beta < 1$, where $\xi_{\mathcal{R}_i}$ denotes the probability that
191 RN \mathcal{R}_i is granted the transmission opportunity.

192 When the RNs provide cooperative transmission assis-
193 tance, extra energy is dissipated when relaying data for \mathcal{S} .
194 Hence, another OF is designed in our DWWCF to select the
195 best RN, which may be formulated as

$$\text{OF}_{\mathcal{R}2} = \min \sum_{i=1}^N \{\xi_{\mathcal{R}_i} \cdot P_{\mathcal{R}_i}\} \quad (4)$$

196 subject to $\sum_{i=1}^N \xi_{\mathcal{R}_i} \leq 1$, and $P_{\mathcal{R}_i} \leq P_{\max}$, where $P_{\mathcal{R}_i}$ is the
197 RN's transmit power required for successfully forwarding the
198 SN's data and for simultaneously conveying its own data. Based
199 on the above OFs, it is quite a challenge to mathematically
200 solve these optimization problems in our DWWCF. Hence, we
201 designed a WW cooperative MAC protocol to implement our
202 DWWCF.

203 III. WIN-WIN COOPERATIVE MEDIUM ACCESS CONTROL 204 PROTOCOL DESCRIPTION

205 Based on the request-to-send/clear-to-send (RTS/CTS) sig-
206 naling of the legacy IEEE 802.11 protocol, a WW cooperative
207 MAC protocol is developed to implement our DWWCF, which
208 is formulated in Section II-B. The proposed signaling procedure
209 is detailed in Fig. 2, which includes three phases, as detailed in
210 the following.

211 A. Phase I: Initialization

212 Before \mathcal{S} transmits any data frame, it issues an RTS message
213 to \mathcal{D} at the maximum transmission power P_{\max} to reserve the
214 shared channel, as shown in Fig. 2. When \mathcal{D} correctly receives
215 the RTS message, it replies with a CTS message, employing the

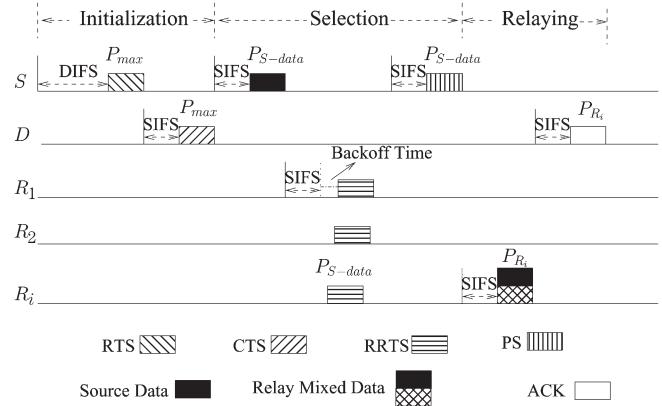


Fig. 2. Overall signaling procedure. RTS: Request-to-send. CTS: Clear-to-send. RRTS: Relay-request-to-send. PS: Please-send. ACK: Acknowledgment. DIFS: Distributed interframe space. SIFS: Short interframe space.

same transmission power P_{\max} . The instantaneous transmission ranges of the sources are shown in Fig. 1. To elaborate a little further, we include the transmitter's position information into the RTS and CTS signaling frame; thus, any RNs in the set \mathcal{R} , which can overhear both the RTS and CTS messages, will be aware of the imminently forthcoming transmission and of the position information on \mathcal{S} and \mathcal{D} . Based on the knowledge of their own position and on the position of the SN and the DN, these RNs are capable of calculating the distances from both the SN and the DN to themselves. These RNs, which are denoted by filled or hollow circles in Fig. 1, form a potential cooperative RN set $\mathcal{R}_c \subset \mathcal{R}$.

B. Phase II: Relay Selection

Following the initialization phase, the RN selection procedure is constituted by a data transmission and two beacon message exchanges, as detailed in the following.

1) *Step I—Invitation for Cooperation:* If \mathcal{S} does not receive a CTS message from \mathcal{D} , it would retransmit the RTS message as specified in the legacy IEEE 802.11 protocol [22]. In contrast, if \mathcal{S} receives a CTS message from \mathcal{D} , it broadcasts its data frame after a short interframe space (SIFS) interval at reduced power of $P_{S-\text{data}}$ and its target transmit rate of $\alpha C_{\mathcal{S},\mathcal{D}}^{\max}$ ($\alpha \geq 1$), as shown in Fig. 2. As a result, both \mathcal{D} and the RNs in the set \mathcal{R}_c will hear this broadcast. When α is higher than unity, the SN's data cannot be successfully transmitted to \mathcal{D} in its entirety. However, \mathcal{D} will store this data frame and exploits the classic Chase combining scheme [23] to combine it with the duplicated data frame independently transmitted by the potential candidate relays, for the sake of achieving rate improvements. Therefore, the SN's aggregated rate achieved by using Chase combining may be expressed as [24]

$$\alpha C_{\mathcal{S},\mathcal{D}}^{\max} = \log_2 \left(1 + \gamma_{\mathcal{S},\mathcal{D}}^{(1)} + \gamma_{\mathcal{R}_i}^{\mathcal{S}} \right) \quad (5)$$

subject to $\alpha \geq 1$, where $\gamma_{\mathcal{S},\mathcal{D}}^{(1)}$ denotes the receiver's signal-to-interference-plus-noise ratio (SINR) related to the direct transmission during the broadcast phase. Furthermore, $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ represents the receive SINR of the SN's data frame, which is transmitted during the relaying phase to be introduced. Based

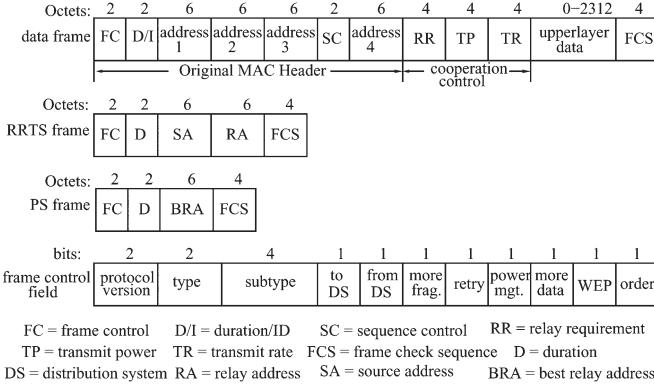


Fig. 3. Formats of the data frames, the RRTS message, and the PS message.

252 on the estimated channel state information (CSI) of the SD
 253 link, \mathcal{S} first calculates the receive SINR of $\gamma_{\mathcal{S},D}^{(1)}$ achieved by
 254 the direct transmission during the broadcast phase. Then, based
 255 on $\gamma_{\mathcal{S},D}^{(1)}$ and (5), \mathcal{S} calculates the receive SINR of $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$, which
 256 must be guaranteed by the best RN and includes the value of
 257 $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ into the relay requirement (RR) field of its data frame for
 258 implicitly informing the RNs of the SN's transmit requirement
 259 $\alpha C_{\mathcal{S},D}^{\max}$. The RNs in the vicinity, which correctly receive the
 260 SN's data frame, are capable of inferring the value of $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ by
 261 reading the RR field of the appropriately designed cooperative
 262 MAC data frame, as shown in Fig. 3.³

263 2) *Step II—Contend for Cooperation:* For clarity, we break
 264 the discussion of this step into several subtopics, namely, the
 265 cooperative decision, the backoff algorithm, and contention
 266 message derivation.

267 *Cooperation decision:* If a particular RN $\mathcal{R}_i \in \mathcal{R}_c$ erroneously
 268 receives the data frame from \mathcal{S} , \mathcal{R}_i would drop this data
 269 frame and would keep on sensing the channel, as shown
 270 in Table I. On the other hand, if cooperative RN $\mathcal{R}_i \in \mathcal{R}_c$ correctly receives a data frame from \mathcal{S} , it calculates
 271 the transmit power $P_{\mathcal{R}_i}^{\mathcal{S}}$ necessitated to satisfy the SN-
 272 rate requirement and the transmit power $P_{\mathcal{R}_i}^{\mathcal{R}}$ required to
 273 guarantee a throughput of $\beta C_{\mathcal{R}_i,D}^{\max}$. If the sum of transmit
 274 power $P_{\mathcal{R}_i} = P_{\mathcal{R}_i}^{\mathcal{S}} + P_{\mathcal{R}_i}^{\mathcal{R}}$ is higher than P_{\max} , \mathcal{R}_i has to
 275 give up contending for the cooperative opportunity and
 276 drop this SN's data frame, as shown in Table I. On the
 277 other hand, if $P_{\mathcal{R}_i}$ does not exceed P_{\max} , \mathcal{R}_i would send
 278 a relay-request-to-send (RRTS) message to \mathcal{S} after waiting
 279 for a SIFS interval and its backoff time, which is calculated
 280 based on the proposed backoff algorithm for the sake of
 281 contending for a transmission opportunity, as shown in
 282 Table I. The RRTS message in Fig. 2 informs \mathcal{S} about
 283 the RN's correct reception and its intention to cooperate.
 284 Hence, the specific RNs, which decide to contend for the
 285 transmission opportunity form a smaller contending set of
 286 $\mathcal{R}_{cc} \in \mathcal{R}_c$. These RNs are represented by the filled circles
 287 in Fig. 1. It is noted that the value of $P_{\mathcal{R}_i}$ is not included
 288 in the RRTS message in Fig. 3 since the proposed backoff

³Apart from the cooperative control fields of the data frame, as shown in Fig. 3, the remaining fields are the same as those of the data frame specified in the IEEE 802.11 standards [22].

TABLE I
PROCEDURE OF THE RN SUBMISSION COOPERATIVE DECISION

```

0:  if erroneously receive data frame  $Data_i$  from  $\mathcal{S}$ 
1:    drop data frame  $Data_i$ 
2:  else
3:    read the RN requirement  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ 
4:    calculate the values of  $P_{\mathcal{R}_i}^{\mathcal{S}}$ ,  $P_{\mathcal{R}_i}^{\mathcal{R}}$  and  $P_{\mathcal{R}_i}$ 
5:    if  $P_{\mathcal{R}_i} \leq P_{\max}$ 
6:      calculate its backoff time  $T_{\mathcal{R}_i,bo}$ 
7:      backoff for  $T_{\mathcal{R}_i,bo}$  interval
8:      if  $T_{\mathcal{R}_i,bo}$  timeout
9:        send RRTS to  $\mathcal{S}$ 
10:       wait for PS message
11:    else
12:      keep backoff
13:    else
14:      drop data frame  $Data_i$ 

```

algorithm can identify the different values of $P_{\mathcal{R}_i}$ promised 290
 by the contending RNs. 291

Backoff algorithm: To minimize the total transmit power of 292
 the RNs, which is formulated by (4), we design a backoff 293
 algorithm to select the best RN. As shown in Fig. 2, before 294
 issuing the RRTS message, the RN $\mathcal{R}_i \in \mathcal{R}_{cc}$ has to wait 295
 for a SIFS interval and for subsequent backoff duration 296
 of $T_{\mathcal{R}_i,bo}$, which is defined as $T_{\mathcal{R}_i,bo} = \varphi_{\mathcal{R}_i} T_w$, where 297
 $T_w = \text{CWmin} \cdot \text{SlotTime}$ is the contention window (CW) 298
 length,⁴ with CWmin being the minimum CW duration 299
 specified in the IEEE802.11 standards [22]. The coefficient 300
 $\varphi_{\mathcal{R}_i}$ is defined as $\varphi_{\mathcal{R}_i} = P_{\mathcal{R}_i}^{\min} / P_{\max}$. Hence, the specific 301
 candidate RN, which promises the lowest transmit power, 302
 may first transmit its RRTS message as a benefit of its 303
 shortest backoff time. In each RN selection phase, \mathcal{S} has 304
 to wait for a fixed period of $(T_w + \text{SlotTime})$ to collect the 305
 responses of the potential candidate RNs. If \mathcal{S} correctly re- 306
 ceives the RRTS message before its fixed waiting duration 307
 times out, it selects the transmitter of that specific RRTS, 308
 which was the first one to be correctly received as the 309
 best RN, without considering the RRTS messages arriving 310
 later and without comparing the specific transmit power 311
 promised by the individual candidate RNs. Hence, the best 312
 RN is selected in a distributed manner both without a cen- 313
 tralized controller and without any information exchange 314
 between the candidate RNs. Since the value of $P_{\mathcal{R}_i}^{\min}$ 315
 promised by the candidate RN \mathcal{R}_i is always lower than 316
 P_{\max} , the backoff time allocated to \mathcal{R}_i will not exceed the 317
 SN's fixed waiting duration of $(T_w + \text{SlotTime})$. Hence, all 318
 the candidate RNs may issue their RRTS messages before 319
 \mathcal{S} stops waiting for the responses. 320

Contention message derivation: According to our backoff al- 321
 gorithm, the specific RN promising the lowest power may 322
 be granted the transmission opportunity to minimize the 323
 total transmit power of RNs. Hence, the greedy RN has 324
 to minimize its transmit power by *only* satisfying its rate 325
 requirement of $\beta C_{\mathcal{R}_i,D}^{\max}$ to wait for a shorter backoff time, 326
 \mathcal{S} stops waiting for the responses. 327

⁴In the IEEE 802.11 standard, a SlotTime consists of the time required to physically sense the medium and to declare the channel as "clear," as well as the MAC processing delay, the propagation delay, and the "receiver/transmitter turn-around time," which is the time required for the physical layer to change from receiving to transmitting at the start of the first bit [22].

327 which is calculated based on the proposed backoff algorithm. Therefore, we have
 328

$$P_{\mathcal{R}_i^{\min}} \left(P_{\mathcal{R}_i}^S, P_{\mathcal{R}_i^{\min}} \mid \alpha, \beta \right) = P_{\mathcal{R}_i}^S + P_{\mathcal{R}_i^{\min}}^R \quad (6)$$

329 subject to the condition of $C_{\mathcal{R}_i}^R = \beta C_{\mathcal{R}_i, \mathcal{D}}^{\max}$ and $\alpha > 1$, as
 330 well as $0 < \beta < 1$.

331 Let us now consider how to find $P_{\mathcal{R}_i}^S$ and $P_{\mathcal{R}_i^{\min}}^R$ of (6). In
 332 our design, the RN employs SPC for jointly encoding both the
 333 SN's and its own data. \mathcal{D} then extracts the SN's data from
 334 the relayed composite signal with the aid of SIC. Finally, the
 335 extracted relayed component and the direct component are
 336 combined. Assuming that \mathcal{D} treats the RN's data frame as
 337 interference, the receive SINR $\gamma_{\mathcal{R}_i}^S$ of the SN's data frame re-
 338 layed by the RN is given by $\gamma_{\mathcal{R}_i}^S = (\rho_{\mathcal{R}_i, \mathcal{D}} |h_{\mathcal{R}_i, \mathcal{D}}|^2 P_{\mathcal{R}_i}^S) / (P_N +$
 339 $\rho_{\mathcal{R}_i, \mathcal{D}} |h_{\mathcal{R}_i, \mathcal{D}}|^2 P_{\mathcal{R}_i}^R)$. After successfully retrieving the SN's data
 340 frame, \mathcal{D} becomes capable of decoding the RN's data frame by
 341 removing the SN's interference with the aid of a SIC scheme
 342 [25]. Hence, the achievable rate of the RN may be formulated as
 343 $C_{\mathcal{R}_i}^R = \log_2(1 + (\rho_{\mathcal{R}_i, \mathcal{D}} |h_{\mathcal{R}_i, \mathcal{D}}|^2 P_{\mathcal{R}_i}^R / P_N))$. According to the
 344 relaying strategy employed, the RN calculates the minimum
 345 power required for the rate $C_{\mathcal{R}_i}^R$ to reach $\beta C_{\mathcal{R}_i, \mathcal{D}}^{\max}$. Thus, the
 346 value of $P_{\mathcal{R}_i^{\min}}^R$ is explicitly given as $P_{\mathcal{R}_i^{\min}}^R = ((2^{\beta C_{\mathcal{R}_i, \mathcal{D}}^{\max}} -$
 347 $1)P_N) / (\rho_{\mathcal{R}_i, \mathcal{D}} |h_{\mathcal{R}_i, \mathcal{D}}|^2)$, which is subjected to $0 < \beta < 1$.
 348 Likewise, based on the metrics of $\gamma_{\mathcal{R}_i}^S$ and $P_{\mathcal{R}_i^{\min}}^R$, the RN
 349 is capable of calculating the transmit power $P_{\mathcal{R}_i}^S$ required for
 350 successfully delivering the SN's data at a throughput of $\alpha C_{\mathcal{S}, \mathcal{D}}^{\max}$,
 351 which is given by $P_{\mathcal{R}_i}^S = \gamma_{\mathcal{R}_i}^S ((P_N / \rho_{\mathcal{R}_i, \mathcal{D}} |h_{\mathcal{R}_i, \mathcal{D}}|^2) + P_{\mathcal{R}_i^{\min}}^R)$,
 352 where $\gamma_{\mathcal{R}_i}^S$ has been given in Step I. Based on the given
 353 derivation, \mathcal{R}_i calculates the value of $P_{\mathcal{R}_i}^{\min}$ as the sum of $P_{\mathcal{R}_i}^S$
 354 and $P_{\mathcal{R}_i^{\min}}^R$.

355 3) *Step III—Accept for Cooperation:* After waiting for the
 356 fixed duration of $(T_w + \text{SlotTime})$ specified by the proposed
 357 backoff algorithm and for a subsequent SIFS interval, \mathcal{S} replies
 358 to the best RN \mathcal{R}_i associated with the first RRTS message that
 359 was correctly received by sending a please-send (PS) message if
 360 \mathcal{S} correctly received the RRTS message during its fixed waiting
 361 period of $(T_w + \text{SlotTime})$, as shown in Fig. 2 and Table II. The
 362 format of the PS frame is characterized in Fig. 3. Since the SN
 363 sends its data frame and PS message at the same transmission
 364 power of $P_{\mathcal{S}-\text{data}}$, all the RNs, which have correctly received
 365 the data frame from the SN will overhear the PS message. This
 366 guarantees that only the best RN forwards its data frame to \mathcal{D}
 367 during the data-forwarding phase.

368 C. Phase III: Cooperative Transmission

369 In this phase, the best RN \mathcal{R}_i forwards the superimposed SR
 370 data to \mathcal{D} if \mathcal{S} successfully selects the best RN. Otherwise, \mathcal{S}
 371 retransmits its data frame to \mathcal{D} , as shown in Fig. 2 and Table II.
 372 1) *Data Forwarding and Relay Retransmission:* If RN $\mathcal{R}_i \in$
 \mathcal{R}_{cc} finds that the receiver of the received PS message is not
 374 itself, it would drop the SN's data and would keep on sensing
 375 the medium. On the other hand, if the RN $\mathcal{R}_i \in \mathcal{R}_{cc}$ received
 376 a PS message that is destined for itself, it will encode both the
 377 SN's and its data with the aid of SPC and will forward the super-

TABLE II
 PROCEDURE OF SN

0:	\mathcal{S} broadcasts data $Data_i$ at power $P_{\mathcal{S}-\text{data}}$
1:	\mathcal{S} waits for the fixed duration of $(T_w + \text{SlotTime})$
2:	if \mathcal{S} receives RRTS message
3:	sends PS message to the best RN
4:	else
5:	compute power $P_{\mathcal{S}-\text{data}}^{(2)}$
6:	if $P_{\mathcal{S}-\text{data}}^{(2)} \leq P_{\max}$
7:	send data to \mathcal{D} at power $P_{\mathcal{S}-\text{data}}^{(2)}$
8:	else
9:	send data to \mathcal{D} at power P_{\max}
10:	wait for ACK message
11:	if ACK timeout
12:	\mathcal{S} broadcast data $Data_i$ again
13:	else
14:	\mathcal{S} send RTS message for transmitting a new data

imposed SR data frame to \mathcal{D} at its precalculated transmission
 378 power of $P_{\mathcal{R}_i^{\min}}$ after an SIFS period, acting as the best RN,
 379 as shown in Fig. 2. Finally, at the DN, the classic automatic
 380 repeat request procedure will be initiated, when receiving the
 381 forwarded data and successfully decoding and combining it with
 382 the most recent direct transmission during Step I of Phase II.
 383

2) *Source Retransmission:* If none of the RNs competes for
 384 a transmission opportunity or multiple RRTS messages collided
 385 at the SN, \mathcal{S} directly sends its data to \mathcal{D} as a replica without
 386 relaying. This transmission takes place either at the specific
 387 transmit power of $P_{\mathcal{S}-\text{data}}^{(2)}$, which is capable of guaranteeing
 388 the expected rate of $\alpha C_{\mathcal{S}, \mathcal{D}}^{\max}$, or failing that, it resorts to using
 389 the maximum affordable transmit power of P_{\max} , as shown
 390 in Table II. If \mathcal{D} receives this data frame, it replies with an
 391 acknowledgment (ACK) message to \mathcal{S} after successfully de-
 392 coding and combining the frame with the most recent erroneous
 393 data frame broadcast by \mathcal{S} . If \mathcal{S} does not receive any response
 394 from \mathcal{D} before the timer set for waiting for an ACK message
 395 is expired, it will broadcast its data again at power of $P_{\mathcal{S}-\text{data}}$
 396 to seek cooperation, and the RN selection procedure described
 397 earlier is repeated, as shown in Table II.
 398

IV. SIMULATION RESULTS

To evaluate the achievable performance of the proposed
 400 scheme, we present our simulation results based on Omnet++. 401
 Based on the network model introduced in Section II-A, we 402
 consider two scenarios to investigate both the achievable rate 403
 and EC improvement, and to analyze the RN's behavior. 404
 In the first scenario, all the RNs are randomly distributed across 405
 the entire network area, whereas \mathcal{S} and \mathcal{D} have fixed positions. 406
 The network size considered ranges from $u = 5$ nodes to $u = 407$
 30 nodes for the sake of evaluating the influence of the size 408
 of the networks on the achievable rate and EC. In the other sce- 409
 nario, we consider a small network supporting $u = 5$ nodes, i.e., 410
 \mathcal{S} , \mathcal{D} , and three RNs, where all the nodes have fixed positions. 411
 One of the three RNs is located at the position of $d = 1/4$ along 412
 the SD link. Another RN is in the middle of the SD link at 413
 $d = 1/2$, whereas the third RN is at the point $d = 3/4$ of the SD 414
 link. In the given two scenarios, the values of P_{\max} and $P_{\mathcal{S}-\text{data}}$ 415
 are 2 and 1 mW, respectively. The size of CWmin is 7, whereas 416
 SlotTime is set to 20 μs . Furthermore, the length of SIFS is 417

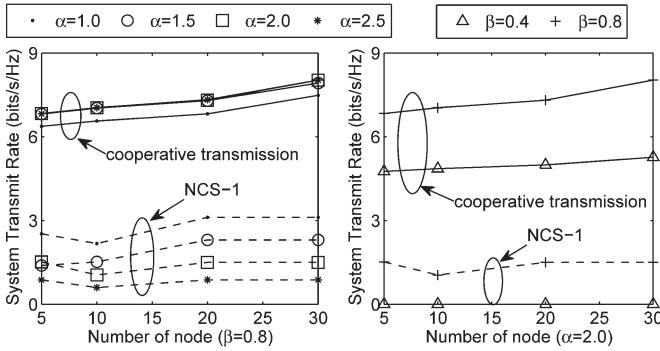


Fig. 4. System's total achievable rate improvement.

418 10 μ s. The length of the data frame generated at the application
419 layer is 1024 B. The length of the RRTS and PS messages is
420 20 B and 14 B, respectively, whereas that of the RTS and
421 CTS is 24 and 18 B. The greedy factor α ranges from 1 to 3,
422 whereas the value of β ranges from 0 to 0.8. Both α and β are
423 predetermined for each simulation.

424 Two noncooperative systems are introduced as the bench-
425 markers of our comparisons. We compare the system's achiev-
426 able total transmit rate (TTR) constituted by the sum of the
427 SN's and RN's transmit rate to that of the noncooperative
428 system 1 (NCS-1), which consumes the same total transmission
429 energy as our CSLS (WW-CSLS). Additionally, we compare
430 the total transmission EC to that of the noncooperative system 2
431 (NCS-2), which is capable of achieving the same TTR as our
432 WW-CSLS. Since the SN's data is transmitted twice by itself
433 and additionally by the best RN, if the cooperative transmission
434 is successful, two direct transmission phases are exploited in
435 both NCS-1 and NCS-2. When aiming for investigating the
436 effect of our relay selection scheme, we compare the achievable
437 performance of our WW-CSLS to that of a random CSLS
438 (Ran-CSLS), where the best RN is randomly selected with-
439 out considering the transmit power required for providing a
440 successful cooperative transmission. To evaluate their per-
441 mance, we adopt the idealized simplifying assumption that the
442 control messages are received without errors in both NCS-1
443 and NCS-2, as well as in WW-CSLS. In Sections IV-E and F,
444 we investigated a more practical network.

445 A. Effect of Cooperative Transmission

446 Let us now investigate the effects of cooperative transmission
447 on the TTR and EC by comparing the performance achieved in
448 the first scenario and NCS-1 and in NCS-2.

449 1) Achievable Transmit Rate: Fig. 4 compares the system's
450 TTR, namely, the sum of both the SN's rate and the RN's rate
451 achieved by the WW-CSLS relying on our WW cooperative
452 MAC protocol to that of NCS-1. It is observed in Fig. 4 that,
453 as expected, the system's achievable TTR relying on our WW-
454 CSLS is higher than 6 bit/s/Hz, even for $\alpha = 1$ and $\beta = 0.8$,
455 which is more than twice as high as that achieved by NCS-1,
456 which consumes the same total transmission energy, given the
457 same values of α and β . Additionally, for $\beta = 0.4$ and $\alpha = 2$,
458 the system's TTR achieved by our WW-CSLS is in excess of
459 4 bit/s/Hz, while in fact, no successful transmissions may be

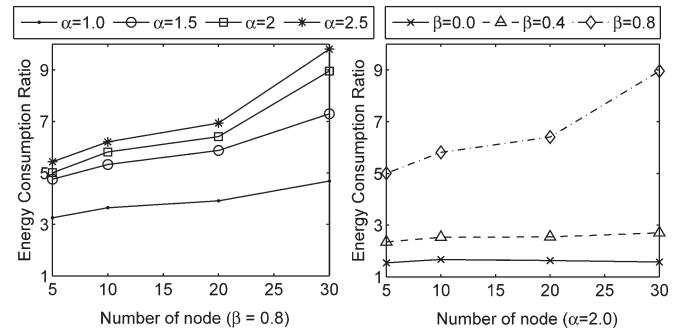


Fig. 5. Energy consumption ratio of $E_{\text{noncoop}}/E_{\text{coop}}$.

460 supported in NCS-1 for the same values of α and β due to 460
461 the system's low EC. Hence, the proposed WW cooperative 461
462 MAC protocol is capable of providing a considerable TTR im- 462
463 provement, despite consuming low energy. As shown in Fig. 4, 463
464 the system's TTR achieved by our WW-CSLS is increased, 464
465 when \mathcal{S} becomes greedier due to the SN's increased transmit 465
466 rate requirement. Additionally, when β is increased, the best 466
467 RN will be rewarded by a considerably higher rate for its own 467
468 traffic, provided that the cooperation is successful. Hence, the 468
469 system's TTR is increased, when the RN becomes greedier, 469
470 as shown in Fig. 4. Moreover, the achievable TTR of our 470
471 WW-CSLS is gradually increased, when the network becomes 471
472 larger. The above investigations imply that the proposed WW 472
473 cooperative MAC protocol is capable of providing significant 473
474 TTR improvements. 474

2) Energy Consumption: Fig. 5 shows the achievable EC 475
ratio (ECR) of $E_{\text{noncoop}}/E_{\text{coop}}$, where E_{coop} denotes the sys- 476
tem's total transmission EC⁵ for our cooperative MAC protocol 477
and E_{noncoop} represents that of NCS-2, which is capable of 478
achieving the same system's TTR as our WW-CSLS. As shown 479
in Fig. 5, compared with NCS-2, two third of the system's 480
total energy may be saved by exploiting the proposed WW 481
cooperative MAC protocol, given $\beta = 0.8$. The EC E_{coop} of 482
our WW-CSLS is reduced when \mathcal{S} becomes greedier, which 483
can be also characterized by the TTR of NCS-1 in Fig. 4. 484
By contrast, the EC E_{noncoop} of NCS-2 is slightly increased, 485
when \mathcal{S} becomes greedier due to the slightly increased system 486
rate of WW-CSLS. Hence, the ECR is increased, when \mathcal{S} 487
becomes greedier, as shown in Fig. 5. As β is increased, the 488
system's ECR is increased from 1.5 to 5 for $\alpha = 2$ and $u = 5$, 489
as shown in Fig. 5. When the RNs become greedier, fewer 490
RN can afford the increased power required for successfully 491
forwarding the SPC data. However, the transmit rate achieved 492
by the best RN is considerably increased. Hence, an increased 493
total energy is required by NCS-2 for the sake of achieving the 494
same system rate as our WW-CSLS. Therefore, the system's 495
ECR of $E_{\text{noncoop}}/E_{\text{coop}}$ is increased when the RN becomes 496
greedier. Based on the given discussions, the proposed WW co- 497
operative MAC protocol is capable of achieving a considerable 498
system rate improvement while offering a satisfactory energy 499
efficiency. 500

⁵It is reasonable to focus on the transmission EC and ignore the circuit processing EC in a large network where the transmission EC is dominant in the total EC [26].

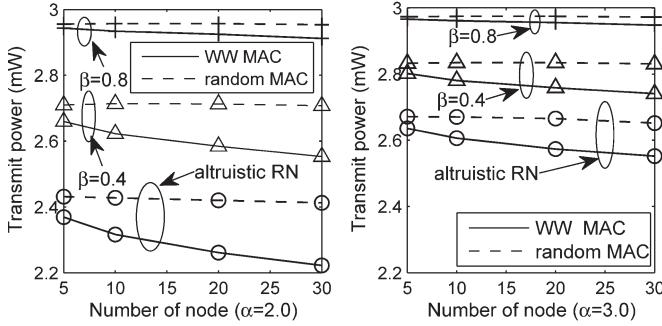


Fig. 6. System data transmit power consumed by our WW-CSLS and Ran-CSLS.

501 B. Effect of Relay Selection

502 Let us now investigate the effect of the proposed RN selection scheme by evaluating the achievable performance of our
 503 WW-CSLS and Ran-CSLS, where the best RN is randomly
 504 selected.
 505

506 1) *Transmit Power*: According to the proposed WW cooperative MAC protocol, the specific RN that promises the lowest transmit power $P_{\mathcal{R}_i}$ required for successfully conveying superposition-coded data is selected as the best RN. However, the best RN is randomly selected in Ran-CSLS without considering any system parameters, such as the transmit power $P_{\mathcal{R}_i}$. Hence, the RN's transmit power $P_{\mathcal{R}_i}$ is the crucial parameter for investigating the effect of the proposed RN selection scheme. Fig. 6 quantifies the system's total data transmit power (TDTP) for our WW-CSLS and that is consumed in Ran-CSLS. The system's TDTP is defined as the sum of the SN's transmit power required for conveying its data plus the RN's transmit power necessitated for delivering the superposition-coded data.

519 Based on the proposed backoff algorithm, the system's TDTP consumed in the WW-CSLS is lower than that of the Ran-CSLS, as shown in Fig. 6. When the SN or RN becomes greedier, less RNs can afford the increased transmit power required to provide successful cooperative transmission assistance. This phenomenon increases the probability that the same RN is selected as the best RN in both WW-CSLS and Ran-CSLS. Hence, the difference between the TDTP of our WW-CSLS and that of Ran-CSLS is reduced when either α or β is increased, as shown in Fig. 6. Moreover, the TDTP of both WW-CSLS and of the Ran-CSLS is reduced when the network hosts more RNs due to the increased probability of having RNs, which promise to reduce the transmit power in comparison with a smaller network. However, the probability of the event that a low-quality RN, namely, one which requires a higher transmit power than other RNs, is selected as the best RN in the Ran-CSLS is increased, when the network becomes larger. Hence, compared with Ran-CSLS, an increased TDTP is saved by our WW-CSLS when the network's size is increased.

538 2) *Achievable Transmit Rate*: Fig. 7 compares the system's TTR, namely, the sum of both the SN's rate and the RN's rate achieved by our WW-CSLS to that achieved by Ran-CSLS. As shown in Fig. 7, the system's achievable TTR relying on WW-CSLS is 8 bit/s/Hz for $\beta = 0.8$ and $u = 30$, whereas a lower TTR of 6.5 bit/s/Hz is achieved by Ran-CSLS, given β and the network size. Compared with Ran-CSLS, the system's

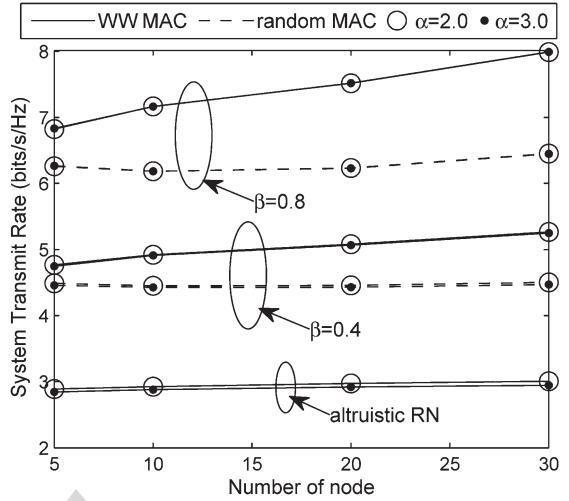


Fig. 7. System's total achievable rate improvement of our WW-CSLS and Ran-CSLS.

TTR can be improved by our WW-CSLS, even for lower β values and for smaller networks, e.g., for $\beta = 0.4$ and $u = 5$, as shown in Fig. 7. Based on WW-CSLS, the specific RN that promises lower transmit power of $P_{\mathcal{R}_i}$ may achieve a higher transmit rate of $\beta C_{\mathcal{R}_i, \mathcal{D}}^{\max}$ due to having an improved RD link. Hence, compared with Ran-CSLS, a higher TTR is achieved by our WW-CSLS relying on selecting the specific RN, which promises the lowest transmit power $P_{\mathcal{R}_i}$.

Observe in Fig. 7 that the proposed WW cooperative MAC protocol is capable of providing a higher TTR improvement than Ran-CSLS, when β is increased. When an RN becomes greedier, its target transmit rate is increased. This phenomenon increases the difference between the RN's transmit rate achieved by WW-CSLS and that achieved by Ran-CSLS when the RN that suffers from a low-quality RD link is selected by Ran-CSLS. Hence, the difference between the TTR of WW-CSLS and that of Ran-CSLS is increased when the RN becomes greedier. Considering the CSLS, where the RN altruistically forwards data for \mathcal{S} , the system's TTR is equal to the SN's rate. Hence, the system's TTR remains the same, regardless of which particular candidate RN is selected as the best RN when the RNs are altruistic, as shown in Fig. 7.

As shown in Fig. 7, the system's TTR achieved by our WW-CSLS is increased, when the network becomes larger. However, the effect of the network's size on the TTR achieved by Ran-CSLS is not as obvious as that on our WW-CSLS. When the network hosts more RNs, the number of candidate RNs may be increased. This phenomenon increases the probability that a low-quality RN having a lower transmit rate is selected as the best RN in Ran-CSLS. However, these low-quality RNs cannot win the cooperative transmission opportunity in our WW-CSLS if the specific RN promising a reduced transmit power also contends for the transmission opportunity. Hence, a higher TTR improvement is provided by the proposed WW cooperative MAC protocol, as the network becomes larger, as shown in Fig. 7. The given investigations imply that the proposed WW cooperative MAC protocol is capable of saving a substantial amount of transmit power while simultaneously

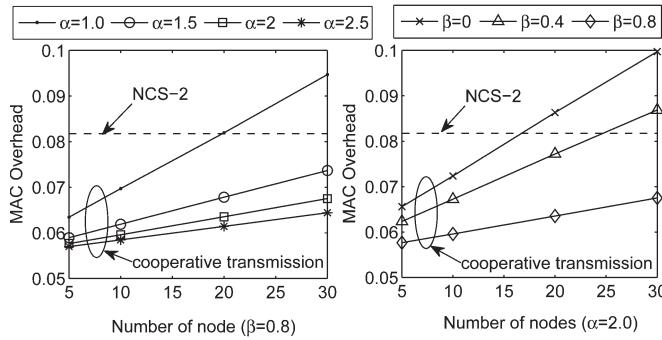


Fig. 8. MAC overhead for $\beta = 0.8$ or $\alpha = 2$.

583 providing significant TTR improvements compared with
584 Ran-CSLS.

585 C. MAC Overhead

586 Fig. 8 compares the MAC overhead of the proposed coop-
587 erative MAC protocol with that of NCS-2, which is based on
588 the RTS/CTS signaling regime of the IEEE 802.11 standards
589 [22]. The MAC overhead is defined as the ratio of $(\mathcal{N}_{\text{mac}-c} +$
590 $\mathcal{N}_{\text{mac}-h} + \mathcal{N}_{\text{mac}-t})/\mathcal{N}_{\text{mac}-d}$, where $\mathcal{N}_{\text{mac}-c}$ denotes the num-
591 ber of bits of all MAC control messages, and $\mathcal{N}_{\text{mac}-h}$ and
592 $\mathcal{N}_{\text{mac}-t}$ represent the number of header and tailing bits of the
593 MAC data frame, respectively. Furthermore, $\mathcal{N}_{\text{mac}-d}$ denotes
594 the number of bits in the payload data packet, including the
595 headers introduced by the higher layers. Observe in Fig. 8 that
596 the MAC overhead of the proposed WW cooperative MAC
597 protocol decreases, when either α or β increases, because the
598 number of candidate RNs is reduced, whereas the SN or the
599 RN becomes greedier. Compared with the traditional RTS/CTS
600 scheme specified in the IEEE 802.11 standards [22], the RRTS
601 message and the PS message are introduced into our WW-CSLS
602 to assist with RN selection if cooperation can be exploited.
603 However, compared with NCS-2, the RN's data can be also
604 transmitted with the aid of cooperation in WW-CSLS. Since
605 the length of the RN's data frames is higher than that of the
606 extra control messages, the MAC overhead introduced by our
607 WW protocol is lower than that of the NCS-2 when the network
608 size is smaller than $u = 20$. Although the overhead of our
609 WW-CSLS becomes higher than that of NCS-2 when the
610 network hosts more than $u = 20$ nodes, the MAC overhead
611 introduced by our WW protocol always remains lower than
612 0.1 for $\beta = 0.8$ or $\alpha = 2$.

613 D. Relay Behavior

614 To investigate the behavior of the relays, we analyze both the
615 transmission probability and the achievable rate improvement
616 of each RN for the configuration of $\alpha = 2$ in the network
617 hosting $u = 5$ nodes, as shown in Fig. 9(a) and (b). Upon
618 increasing β , the transmission probability of the RNs at " $d =$
619 $1/4$ " and " $d = 1/2$ " decreases, whereas that of the RN at
620 " $d = 3/4$ " increases, as shown in Fig. 9(a). The RN at " $d =$
621 $3/4$ " always benefits from the highest transmission probability,
622 whereas the RN at " $d = 1/4$ " has the lowest probability of
623 cooperative opportunities. As a benefit of its highest transmis-
624 sion probability, the RN at " $d = 3/4$ " maintains the highest

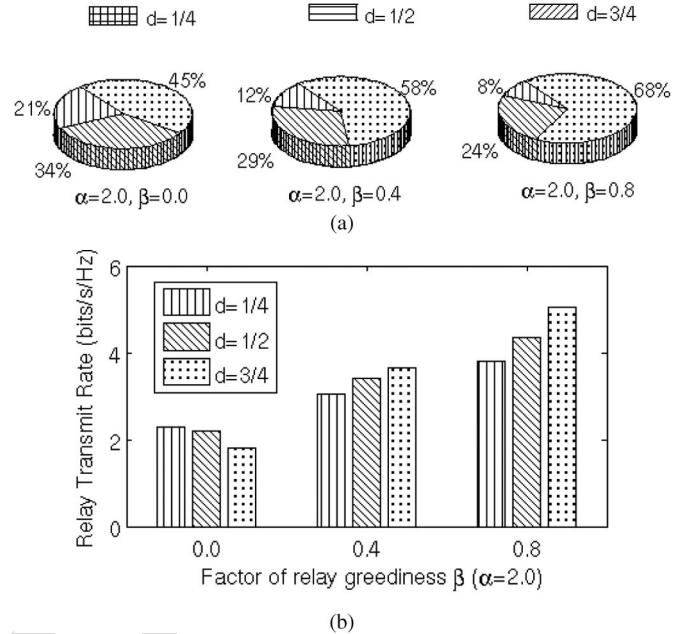


Fig. 9. RN transmission probability and the achievable rate improvement in a network hosting $u = 5$ nodes, namely, \mathcal{S} , \mathcal{D} , and three RNs. (a) Relay transmission probability. (b) Relay achievable rate.

achievable rate improvement, which is above 5 bits/s/Hz for
625 $\beta = 0.8$ and $\alpha = 2$. The achievable RN-rate improvement at
626 " $d = 1/4$ " is lower than that of the RN at " $d = 1/2$," as shown in
627 Fig. 9(b). However, when the three RNs altruistically dedicate
628 themselves solely to forwarding data frames for \mathcal{S} ($\beta = 0$), the
629 achievable RN-rate improvement at " $d = 1/4$ " is higher than
630 that of the other relays. Naturally, if the RNs become selfish,
631 their improved transmission probability leads to an increased
632 total throughput.

E. Effect of Erroneous RTS Message

The contention caused by hidden SNs or RNs may corrupt
636 the transmission of data and control messages. Apart from the
637 effects of corrupted RTS messages, the erroneous transmission
638 of both other control messages and of data have been considered
639 in our WW cooperative MAC protocol. Hence, the effect of
640 corrupted RTS messages on the system's transmit rate and on
641 the ECR of $E_{\text{rts-error}}/E_{\text{error-free}}$ that are achieved by our
642 WW-CSLS are evaluated, as shown in Fig. 10(a) and (b). The
643 variable $E_{\text{rts-error}}$ denotes the system's total EC for WW-
644 CSLS, where the RTS message may be corrupted. Furthermore,
645 $E_{\text{error-free}}$ is the system's total EC for WW-CSLS, where
646 error-free control messages are assumed. It is observed in
647 Fig. 10(a) and (b) that, when the RTS error probability is
648 increased, the system's TTR is decreased, and an increased
649 total system energy is dissipated by our WW-CSLS because
650 having more potentially erroneous RTS transmissions reduces
651 the probability of successful transmission, and the extra RTS
652 message retransmissions consume extra energy.

F. Effect of Imperfect Channel Estimation

To evaluate the overall system performance of our WW
654 cooperative protocol in a more practical scenario, we now
655

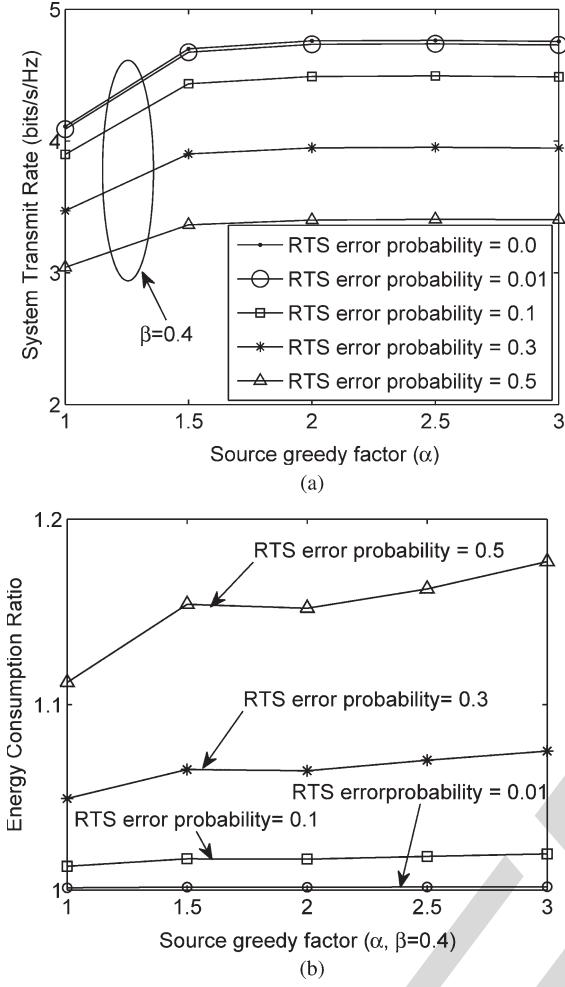


Fig. 10. System's total achievable transmit rate and system's ECR of $E_{rts-error}/E_{error-free}$ versus the SN's greedy factor parameterized with different RTS message error probabilities. (a) System's TTR. (b) System's ECR of $E_{rts-error}/E_{error-free}$.

introduce Gaussian-distributed CSI estimation errors into our WW-CSLS, instead of relying on the idealized simplifying assumption of perfect CSI. The normalized mean square error (NMSE) of the Gaussian channel estimation errors was defined as $10 \log(E\{\|h - \hat{h}\|^2\}/E\{\|h\|^2\})$ in decibels [27]. Compared with the performance achieved by assuming perfect CSI, the realistic imperfect channel estimation reduces the system's attainable transmit rate and dramatically increases the system's ECR of $E_{error}/E_{perfect}$, as shown in Fig. 11(a) and (b), respectively. Variable E_{error} denotes the system's energy consumed by the CSLS relying on realistic imperfect channel estimation, whereas $E_{perfect}$ denotes when perfect CSI is assumed. Based on the given discussions, it is necessary to develop a more robust cooperative MAC protocol to reduce the impact of realistic imperfect channel estimation.

671 G. Effect of Either Superposition Coding or Frame Combining

To evaluate the achievable TTR improvement jointly attained by SPC and SIC, we compare the system's TTR achieved by our WW-CSLS with that of the cooperative system operating without exploiting these techniques, as shown in Fig. 12. Since there are two data frames jointly conveyed by the RN to

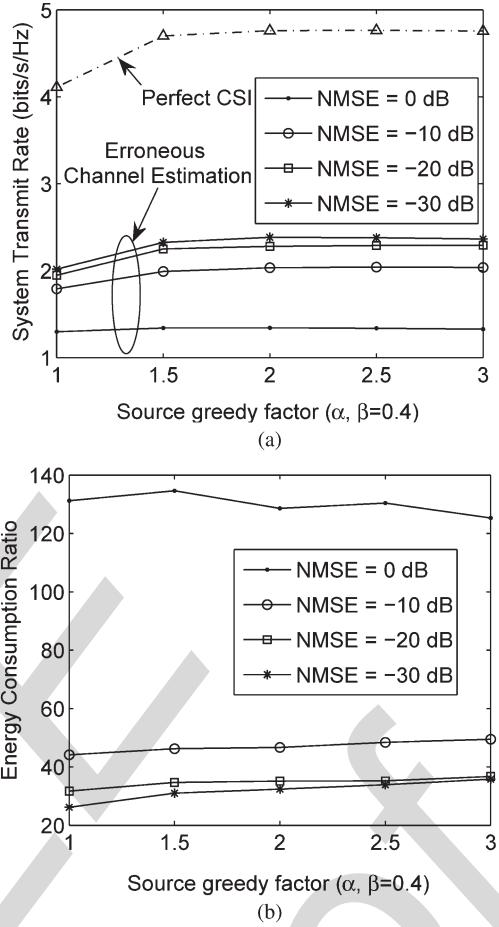


Fig. 11. System's total achievable transmit rate and system's ECR of $E_{rts-error}/E_{error-free}$ versus the SN's greedy factor parameterized with different channel estimation NMSEs when $\beta = 0.4$. (a) System's TTR. (b) System's ECR of $E_{rts-error}/E_{error-free}$.

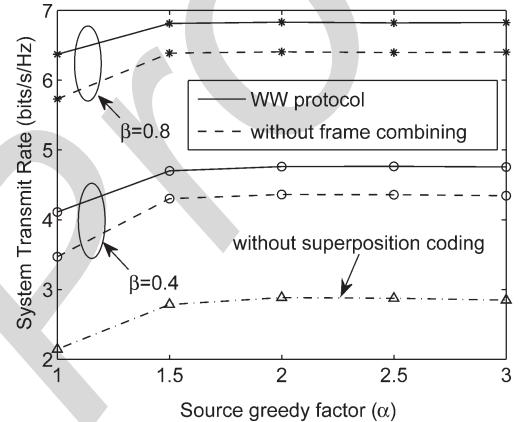


Fig. 12. System's total achievable transmit rate versus the SN's greedy factor both with and without SPC and SIC and frame combining.

\mathcal{D} in our WW-CSLS, the best RN, which does not exploit SPC, is assumed to forward only the SN's data instead of the SPC data. As shown in Fig. 12, the system's TTR may be increased from 2.9 to 6.9 bits/s/Hz for $\alpha = 2$ and $\beta = 0.8$ by jointly exploiting the SPC and SIC. Hence, these techniques are capable of significantly improving the system's transmit rate. To improve the SN's transmit rate, \mathcal{D} invokes frame combining

684 for amalgamating both the direct and relayed SN data after
 685 successfully separating the SN's and RN's data. Fig. 12 shows
 686 the system's TTR improvement achieved by exploiting frame
 687 combining.

688

V. CONCLUSION

689 In this paper, we have formulated a distributed WW cooperative
 690 framework for striking a tradeoff between the achievable
 691 system rate improvement and EC and for granting transmission
 692 opportunities for the unlicensed RNs. Furthermore, a WW
 693 cooperative MAC layer protocol was proposed for implement-
 694 ing our DWWCF. When compared with the corresponding
 695 noncooperative system, the proposed scheme is capable of
 696 providing a considerable transmit rate and transmission EC
 697 improvements. This was achieved with the aid of joint SPC at
 698 the RN for both the SN's and RN's data and by combining the
 699 SD and RD signals at the DN. Our future work will consider
 700 similar interference-limited scenarios relying on a more robust
 701 cooperative MAC design.

702

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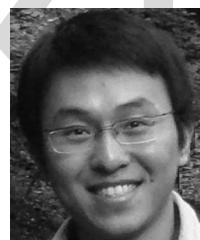
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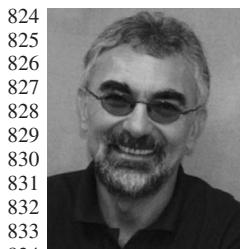
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AUTHOR QUERIES

AUTHOR PLEASE ANSWER ALL QUERIES

AQ1 = Please provide keywords.

AQ2 = Please provide expanded form of OF.

AQ3 = What is the first initial of author Asaduzzaman?

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