Systems scale assessment of the sustainability implications of emerging green initiatives

Authors list

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Abstract (149 words) - This paper demonstrates a systems framework for assessment of environmental impacts from 'green initiatives', through a case study of meso-scale, anthropogenic-biogenic interactions. The following cross-sectoral green initiatives, combining the emerging trends in the North East region of the United Kingdom, have been considered - increasing the vegetation cover; decarbonising road transport; decentralising energy production through biomass plants. Two future scenarios are assessed - Baseline_2020 (projected emissions from realisation of policy instruments); Aggressive_2020 (additional emissions from realisation of green initiatives). Resulting trends from the Aggressive_2020 scenario suggest an increase in emissions of pollutant precursors, including biogenic volatile organic compounds and nitrogen dioxide over the base case by up to 20% and 5% respectively. This has implications for enhanced daytime ozone and secondary aerosols formation by up to 15% and over 5% respectively. Associated land cover changes show marginal decrease of ambient temperature but modest reductions in ammonia and ambient particulates.

Capsule abstract (137 characters) – Systems scale implication for air pollution was assessed across three sectors of emerging green initiatives– energy, transport and ecosystem.

Keywords- air quality; bio energy; electric vehicles; green infrastructure; meso-scale; secondary aerosols; systems framework.

1 1. Introduction

2 Alongside conventional management of urban growth through efficient designing of the built 3 form and transportation (so called "grey infrastructure"), developing green infrastructures is being considered as a cost-effective means for decoupling climate change impacts from urban 4 sustainability (Pataki et al., 2011; Llausàs and Roe, 2012), primarily owing to their economy 5 of scale and multi functionality (DCLG, 2007; CABE, 2010; TCPA, 2011). The concept of 6 green infrastructure (GI) as a spatial planning tool has been adapted for quite some time 7 (PCSD, 1999; McDonald et al., 2006), however, currently there has been re-energised 8 emphasis on planning of 'garden cities' in the UK at a city-regional level (TCPA, 2011). The 9 scope of greening is multi-faceted and extends beyond mere increase in green space cover to 10 more unconventional measures, such as introduction of low/ zero emission transportation 11 (electric/ fuel cell vehicles), production of renewable (so called 'green') energy from local 12 resources, etc. For example, as part of the '2050 Vision for a Green Europe' sustainably 13 14 grown domestic biomass is projected to provide up to 10% of the UK's energy needs by 2050 and significantly contribute to the reduction of greenhouse gas (GHG) emissions through 15 penetration of low emission vehicles reaching up to 50% of the fleet (DECC, 2010). 16 17 Furthermore, the UK Renewable Energy Roadmap places bioenergy at the forefront of the 18 Government's plans to meet the Renewable Energy Directive objectives in 2020 (DECC, 19 2012).

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21 Almost all the proposed green initiatives entail air quality implications on a systems scale 22 which need addressing. Studies suggest that controlling air quality, especially in urban areas, 23 will become more difficult in the future and under scenarios of climate change than it is now 24 (Steiner et al., 2006; Nagendra et al., 2012), mainly owing to exacerbation of the local effects 25 of climate change on meteorology, energy, emissions, photochemistry, and air quality. Currently (2012) there is a knowledge gap in ascertaining the interplay between different 26 27 anthropogenic and biogenic components of green initiatives to ensure sustainable 28 development through amelioration of local (and regional) air quality while minimising 29 climate change impacts. Although piecemeal assessments of air quality implications from 30 conventional biomass processing technologies (Tiwary et al., 2010) and adoption of zerocarbon transport technologies supported on fossil-based electricity grid (Williams, 2007) do 31 32 exist, future implications for local air quality of enhanced greening of the entire urban form is not yet fully understood at systems level from landscape interactions perspective (Taha, 2008; 33 34 Fowler et al., 2009). Evaluation of the 'true sustainability potentials' of combining these 35 green initiatives therefore requires a paradigm shift in scoping of both the direct and the 36 second-order environmental impacts, adequately quantifying the contributions from inherent 37 anthropogenic and biogenic components using an integrated 'whole systems' approach.

39 Until recently simulations studying the implications of climate change adaptation strategies 40 (in terms of urban surface modifications) have mainly evaluated the ozone impacts (Taha 41 2008) whilst the potential impacts on aerosol formation have received less attention, apart from a recent study on continental scale modelling of anthropogenic-biogenic emissions 42 interactions (Sartelet et al., 2012). Besides, the impacts of the proposed control strategies, 43 44 under future-year emission scenarios of climate change, are little understood. This paper takes a novel approach to systems analysis by assessing the landscape interactions between the 45 anthropogenic and biogenic components of emerging green initiatives, essentially envisaged 46 on the basis of plausible future scenarios. The assessment is mainly confined to pollutants that 47 are currently of particular concern, including both primary and secondary particulate matter 48 49 (PM, considered here as the combined pool of PM₁₀, PM_{2.5} i.e. particles with aerodynamic 50 diameters $<10 \ \mu m$ and $<2.5 \ \mu m$ respectively), ozone (O₃) and nitrogen dioxide (NO₂). The 51 first part provides an overview of the assessments framework, highlighting the key 52 components of green initiatives scoped in this study, alongwith their air quality implications. The second part demonstrates its application to a case study, through the development of 53 54 representative scenarios using appropriate land use and emissions data sources. The 55 subsequent parts provide the methodological approach adopted, followed by a discussion of 56 the results and their policy relevance.

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59 **2. Materials and methods**

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61 2.1 Assessment framework

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A theoretical framework is developed for systems scale assessment (Figure 1), encompassing 63 plausible combinations of emerging green initiatives that will be implemented over the next 64 10-20 years (around 2020/30 horizons). It draws together the evidence-base from available 65 literature on cross-disciplinary climate change and urban sustainability research, applying a 66 67 cross-sectoral approach to three broad categories of green initiatives, including -a) use of 68 vegetation; b) low-emission personal transport; and c) renewable energy from biomass. Each initiative (shown in boldface text) is characterised by a set of positive and negative 69 70 environmental impacts (shown in italicised text) with their resulting air quality implications. 71 These depend on the activities involved and their influence on either formation or removal of 72 air pollutants. This was considered as an essential first step towards scoping the systems 73 framework of landscape interactions between biogenic emissions, primarily biogenic volatile 74 organics (bVOCs) and the anthropogenic emissions from fossil-fuel combustion (mainly from

transport and energy sources) in future Green Cities. This step informed the subsequent modelling exercise in evenly incorporating both the positive and the negative effects in order to assess the overall sustainability implications of the green initiatives.

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79 <place Fig 1 here>

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81 Enhancing the Green Infrastructure, through planting vegetation in open spaces and on buildings (and rooftops), is expected to lower the air temperature and ameliorate the urban 82 83 heat island effects, with the biological activity of plants and the surface albedo playing a crucial role (DCLG, 2006; Susca et al., 2011). However, the implication for air quality is 84 considered to be heavily dependent on the species planted. Large scale commercial 85 plantations of bioenergy crops such as poplar and willows, whilst fulfilling the current drive 86 87 for energy sustainability from renewable biomass (Karp and Shield, 2008; Lovett et al., 2009; DECC, 2012) would exacerbate the bVOC (mainly isoprene and monoterpene) emissions 88 89 (Williams, 2007). Furthermore, stress-induced bVOC emissions under an aggressive climate can enhance photochemical secondary organic aerosol (SOA) formation (Kiendler-Scharr et 90 91 al., 2011). On the other hand, efficient surface modification strategies using, for example, 92 urban reforestation of low- or no-bVOC emitters can produce net improvements to the air 93 quality (Taha, 2008; Morani et al., 2011).

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95 Uptake of greener transportation technologies, through a combination of low-emitting internal combustion and electric/fuel-cell traction, is projected to reduce primary emissions of CO, 96 97 NO_x and PM from vehicle use. However, such initiatives can be considered green only to the 98 extent that the source of energy supply is renewable. Fuel cell powered vehicles may still be 99 associated with pollutant emissions in peri-urban regions if the hydrogen is generated by fossil fuel sources, which would contribute to additional aerosols from atmospheric reactions 100 of SO₂, NO_x, NH₃ and VOCs, originating from the refineries. Incorporating biomass into the 101 102 future energy mix is meant to keep the decarbonised energy generation affordable but at the 103 cost of air quality (DECC, 2012). Systems level assessments of different fuel mixes have 104 found increased N₂O, NH₃ and primary PM from the harvest phase and enhanced NO_x, CH₄ and secondary aerosol (SA) formation potentials from the combustion phase (Ciambrone, 105 1997; Tiwary et al., 2010). 106

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The effect of land cover modification on ambient temperature is another key driver to energy demand (for cooling/ heating) and corresponding pollutant emissions (both in terms of primary components from associated activities as well as the photochemical precursors, i.e. chemicals that lead to tropospheric ozone production). While climate change effects are 112 projected to contribute to aggravation of the urban heat island effects (and an overall increase 113 in ambient temperature), specifically for the business as usual cases in built-up areas 114 (Iamarino et al., 2011), lower air temperatures, resulting from vegetation cover modifications and retrofitting initiatives to enhance evapotranspiration and albedo-effects have shown 115 reduction in cooling electricity demand (Susca et al., 2011) and reduced meteorology-116 dependent emissions from anthropogenic and biogenic sources (Williams, 2007). This in turn 117 would contribute to reduced rates of ground-level O₃ formation and/or accumulation (Sartelet 118 et al., 2012). However, potential increases in O₃ (negative impacts) can still arise from a 119 120 combination of conditions that allow this pollutant to accumulate. Chemistry and atmospheric 121 carrying-capacity aside, these include reduced mixing and dilution caused by lower winds and decreased boundary-layer depth (Taha, 2008). The latter would have further indirect impact 122 on modifying local pollution concentrations. 123

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126 2.2 Case study - site and scenario descriptions

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128 The North East England has been used to demonstrate the application of the proposed meso-129 scale assessment framework, comprising of several cities and satellite market towns. Figure 2 130 shows the spatial context of the region relative to the national boundaries, with the land cover 131 map of Newcastle city centre in the inset, indicating the availability of green space towards 132 the north with large potentials for greening of open spaces and marginal land. The region, consisting of four counties – Northumberland, Tyne and Wear, Durham and Tees Valley, is 133 characterised by marked variations in land use, ranging from large patches of open areas in 134 the north to built-up commercial/residential areas in the centre and heavy industries in its 135 south. This serves as an ideal test bed for assessing the air quality implication of landscape 136 interactions of the constituent anthropogenic and biogenic components. Pertinent to the 137 greening of the transport fleet, the Tyne and Wear County of the North East is currently 138 139 witnessing a huge emphasis on the promotion of low-carbon electric vehicles through the UK 140 government funded 'Plugged in places' scheme (DfT, 2011). Parts of the region also have been earmarked for strategic plantations to provide renewable resources for decentralised 141 142 energy generation in local biomass plants (either already in operation or currently pending 143 planning approval) (Defra, 2009).

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Based on the assessment framework described earlier, the overall aim of the case study is to understand the systems scale interactions of anthropogenic and biogenic emissions from

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149 plausible green initiatives in three cross-disciplinary strands, comprising of the following 150 activities – a) Energy (biomass co-firing power plants, district heating boilers); b) Transport 151 (emissions reductions from conventional combustion technologies and penetration of low-152 emitting vehicles) and c) Ecosystem (a mix of bVOC active/inactive vegetation, including grassland/ woodland/ mixed forest mosaic). Two scenarios are developed to assess the air 153 quality implications of land use changes towards future greening up to 2020, reflected in all 154 155 three strands. - i) Baseline 2020, considers the baseline assumptions for 2020 emissions using the government forecasts from policy implementations (Defra 2011); ii) 156 Aggressive 2020, includes enhanced emissions from bioenergy cropping on available land in 157 the case study area and considers the associated life cycle emissions - from harvest, transport 158 159 through to biomass combustion for energy generation.

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162 2.3 Data preparation

163 2.3.1 Land cover

In the Baseline_2020 scenario the default information from Land Cover Mapping (LCM, 164 165 2007) data is applied. For Aggressive_2020 the LCM data is modified, reflecting projected 166 greenspace plantations in the North East up to 2020, based on the energy cropping feasibility 167 assessment (Defra, 2009). The projected yield estimates for Short Rotation Coppice (SRC) 168 and Switchgrass at 5km resolution, published by the Government (Figure 3, left and middle 169 panels), are applied to obtain the effective greenspace cover for 2020 (Figure 3, right panel). It involved overlaying the energy cropping data over the baseline LCM vegetation features in 170 a geographical information system (GIS) map layer to estimate the percentage ground cover 171 of greenspace for each ward. 172

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176 The yield estimates have taken into account potential impact of climate change, consistent with the 2020 scenario of the UK Climate Impact Programme (UKCIP) from established 177 cropping in deep moisture retentive soils, assuming drier summers with rainfall less evenly 178 179 distributed throughout the year. It is assumed that SRC willow (*Salix viminalis x*) be planted in the inner city and parklands whereas Switchgrass (Miscanthus giganteus) be planted on 180 idle/marginal and arable land following the recommended best practice (Gallagher, 2008; 181 182 Lovett et al., 2009). The location of the proposed and operating biomass power stations shown in **Figure 3** are obtained from the National Infrastructure Planning portal (shown as 183 184 large red circles), whereas the locations of the community boilers are limited to the three 185 higher educational institutions in the Newcastle city-region (shown as small dots).

^{174 &}lt;place Fig 3 here>

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188 2.3.2 Emissions

189 The Baseline_2020 scenario is developed for projected point and area source emissions up to 2020, obtained from the National Atmospheric Emissions Inventory, UK (NAEI, 2012). 190 191 These cover the National Emissions Ceiling Directive (NECD) pollutants - nitrogen oxides 192 (NAEI provides estimates of NO_x as NO_2), sulphur dioxide (SO₂), and ammonia (NH₃) (Figures 4 a-c; expressed as annual tonneNitrogen (tN) or tonneSulphur (tS) per square 193 kilometre, t km⁻² yr⁻¹). These emissions estimates are based on the Updated Energy 194 Projections, UEP43 (DECC, 2012) and have taken into account the impact of policy 195 instruments affecting the emissions over this period, including penetration of electric vehicles, 196 197 reduction of emissions from conventional diesel and petrol vehicle fleet, modification to 198 agricultural emissions from technological advancement in processing and non-road transport. For Aggressive 2020 the emissions inventory include the following additional emissions – 199 200 biogenic: bVOCs and NH₃ to the local environment from biomass cultivation; anthropogenic: NO₂, PM, SO₂ from harvest, processing and haulage of the biomass as well as the stack 201 202 emissions from biomass burning in the power plants (Figure 5 a-c respectively, in terms of 203 the same units as used for Baseline_2020).

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205 <place Figs 4 and 5 here>

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207 The activities include three power stations, sited in the suburbs (Porth Blyth, Tyne and 208 Lynemouth plants with respective capacities of 100, 295, 420 MWe, feeding on a 50:50 fuel 209 mix of SRC woodchips and switchgrass, using a circulating fluidised bed combustor) and 210 three community-scale boilers, located at each of the three higher educational institutes in the city of Newcastle upon Tyne, assumed to be cofiring gas and woodchips. The power stations 211 and the community boilers are assumed to be in operation for 90% and 60% of the year 212 213 respectively; the power stations are considered to be operated for the majority of the year while the community boilers were assumed to be operated mainly during the winter months. 214 The biogenic emissions are based on the productivity estimates reported in the regional 215 biomass yield maps (Defra, 2009). The anthropogenic emissions are estimated for biomass 216 217 storage and utilisation; haulage and off-road vehicle transportation of fuel; combustion in biomass plants (Tiwary et al., 2010; NAEI, 2012). It is envisaged limited supply of locally 218 219 sourced biomass, at least in the near future, will push a significant rise in import of the fuel in 220 the region from overseas (or from elsewhere in the UK) via ship (RES, 2010). This may 221 further enhance the associated anthropogenic emissions (sulphur and active nitrogen in the 222 atmosphere) (Dore et al., 2007). However, in this study offshore transport is not included and

all the biomass is assumed to be sourced locally via ground transport. The bVOC emissions
from the rest of the vegetation cover for the two scenarios have been estimated for isoprene
and monoterpenes on the basis of the land cover data (as detailed in Section 2.4.2).

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228 2.4 Anthropogenic-biogenic interactions modelling

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A two-stage modelling was adopted - first, a detailed spatially-resolved approach to 230 231 understand the implications of anthropogenic-biogenic interactions of the sources and sinks, 232 using the emissions inventory prepared for the North East Region (section 2.3.2) and second, 233 a more strategic approach, essentially up scaling the trends from the regional emissions for the reference years to understand their national implications on pollutant formation and 234 235 removal, specifically for secondary pollutants, ozone and aerosols. A brief survey of available tools capable of modelling meso-scale anthropogenic-biogenic interactions was carried out to 236 237 establish their merits and limitations. Based on previous applications and capabilities two 238 tools, namely FRAME and WRF/Chem, were adopted for the respective tasks outlined above. 239 The complementary capabilities of these two models enabled quantification of pollutant 240 concentrations, both from altered anthropogenic/biogenic activities and the associated land 241 cover changes, as follows - the FRAME set up allowed spatially-resolved estimation of the 242 secondary inorganic aerosols and PM₁₀ deposition to vegetation surfaces; the WRF/Chem set 243 up enabled the simulation of the meteorological variables and the photochemical transformation of pollutant precursors for estimation of tropospheric ozone and secondary 244 245 organic aerosols. A brief overview of the two models is presented below.

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247 2.4.1 FRAME

The FRAME (Fine Resolution Atmospheric Multi-pollutant Exchange) model was initially 248 249 developed specifically to simulate the concentration and deposition of ammonia (Singles et al., 250 1998). Subsequently it was modified to be a multi-pollutant model, including detailed treatment of oxidised nitrogen and sulphur (Fournier et al., 2004) and applied to estimate acid 251 252 deposition and the exceedance of critical loads. FRAME offers a spatially-resolved 253 mechanism for modelling future emissions scenarios from altered land cover as well as for capturing the photochemical interactions of precursors contributing to secondary pollution 254 255 formation (Dore et al., 2007). FRAME is capable of estimating the 'net' concentrations at a 256 meso-scale by accounting for the distributed fluxes of pollutants to land and vegetation surfaces, which is relevant to understanding the systems implications from green initiatives 257 258 scoped in this study. FRAME was successfully applied to -a) Estimate the secondary 259 pollution formation potential from a biomass plant system (Tiwary et al., 2011); b) Assess the

260 exceedance of critical loads for nitrogen and acid deposition (Matejko et al., 2009), and c) 261 Estimate ammonia concentrations and deposition of reduced nitrogen in the North China 262 Plains (Zhang et al., 2011). In this study, the focus is on the reaction of acid gases (H₂SO₄ and 263 HNO₃) with ammonia to form secondary inorganic aerosols (SIAs, ammonium sulphate and ammonium nitrate). The high resolution (1 km) version of the FRAME model, developed by 264 Hallsworth et al. (2010), has been applied in this study to set up the regional model. The 265 266 emissions data from the National Atmospheric Emissions Inventory (http://naei.defra.gov.uk/) are gridded into sector-dependent vertical model layers for the 11 sectors proposed by SNAP 267 (Selected Nomenclature for Air Pollution) for NO₂, SO₂ and NH₃. Point source emissions are 268 treated individually with a plume rise model (Vieno et al., 2010) using stack parameters 269 270 (temperature and exit velocity of emissions and stack height and diameter). Vertical diffusion in the air column is calculated using K-theory eddy diffusivity and solved with the Finite 271 272 Volume Method. Deposition of NO₂, SO₂ and NH₃ is calculated through specific parameterisation for common landscapes in the region - including, forest, moorland, 273 274 improved grassland, arable, urban and water.

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276 A further development of the model code for this study included extending the existing 277 canopy resistance formulation of dry deposition of gases to aerosol particles. This scheme 278 uses a 'big-leaf resistance' analogy model for dry deposition of particles (Smith et al., 2000). 279 The first term in the resistance analogy concerns the transport of particles from the well-280 mixed planetary boundary layer to the immediate vicinity of the surface and is controlled by turbulent diffusion according to the wind velocity and aerodynamic roughness of the surface. 281 282 The second term concerns the transport of molecules through the viscous, quasi-laminar 283 boundary layer of air close to the surface by diffusion and depends on the physical properties 284 of the particles. The third term in the resistance analogy is dependent on the gravitational settling velocity which is a function of particulate mass. This resulted in vegetation specific 285 286 deposition velocities for fine (ammonium nitrate and ammonium sulphate aerosol) and coarse (large nitrate aerosol) particulates, permitting calculation of the effect of increased forest 287 cover on removal of particulate matter from the atmosphere by dry deposition. 288

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291 2.4.2 WRF/Chem

The meso-scale emissions interactions were evaluated from the online Weather Research and Forecasting model coupled with Chemistry (WRF/Chem) (url: <u>http://www.acd.ucar.edu/wrf-</u> <u>chem</u>). WRF/Chem was considered adequate, having been used extensively for studying interactions of bVOC emissions with anthropogenic emissions (Eder et al. 2005, Carmichael et al. 2009; Zhang et al 2010) and the model outputs have been statistically evaluated against observations, specifically for regulated air pollutants, and found to be in good agreement (Grell et al., 2005; Zhang et al., 2010; Hu et al., 2012). The air quality component of the model is fully consistent with the meteorological component; both components use the same transport scheme (mass and scalar preserving), the same grid (horizontal and vertical components), and the same physics schemes for subgrid-scale transport (Grell et al., 2005). The components also use the same time step, hence no temporal interpolation is needed.

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Physics options chosen for the simulations include the Dudhia shortwave radiation algorithm, 304 305 the rapid radiative transfer model (RRTM) for longwave radiation, the WRF Single-Moment 306 6-class (WSM6) microphysics scheme, the Noah land-surface scheme, and the Yonsei University (YSU) planetary boundary layer (PBL) schemes. The National Centres for 307 Environmental Prediction (NCEP) Final (FNL) Global Forecast System (GFS) operational 308 309 analyses were used for the initial and boundary conditions of all meteorological variables. 310 Chemical options chosen for the simulations included the Regional Atmospheric Chemistry 311 Mechanism (RACM) gas-phase mechanism (Stockwell et al., 1997), Modal Aerosol Dynamics Model for Europe (Ackermann et al., 1998) with secondary organic aerosols 312 313 incorporated into the module through the Secondary Organic Aerosol Model 314 (MADE/SORGAM) (Schell et al., 2001) aerosol parameterisation, the Wesley (1989) dry 315 deposition method. The Initial and boundary conditions for the chemical species were extracted from the output of the global model MOZART4 (Emmons et al., 2010). The 316 317 anthropogenic emissions dataset (CO, NO₂, SO₂, NH₃, PM₁₀, PM_{2.5}) for the United Kingdom was sourced from the NAEI 2020 projections and structured in the format prescribed by the 318 319 Global Emission Database for Atmospheric Research (EDGAR) system 320 (http://www.mnp.nl/edgar). Based on the land cover information for the two scenarios the 321 model computed the bVOC emissions using established schemes (Guenther et al., 1994; Chung et al., 2011). Table 1 shows the species-specific emission potentials for the trees 322 323 considered in this study under standard, daylight regime for temperate climate suiting the UK, 324 drawn from the literature (Owen et al., 2003, Stewart et al., 2003). The default (Guenther) scheme in WRF/Chem allocates the monoterpenes emission to isoprene (Grell et al., 2005; 325 326 Fast et al., 2006) because it is only coupled with the gas-phase mechanism of the Regional 327 Acid Deposition Model (RADM) that does not include monoterpenes.

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It is understood that all aspects of meteorology change, in varying degrees, as a result of surface modifications; however, air temperature has been used as a suitable index, given its relevance to urban heat island mitigation. Following earlier work (Taha, 2008), air temperature difference from the two scenarios was assessed at 2m height at 1100hrs.

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335 **3. Results and discussions**

- 337 *3.1 Quality assurance*
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339 As a first step, the model performance of the aerosols outputs was benchmarked against 340 monitored data from the UK Acid Gases and Aerosols Monitoring Network (AGANet) for 341 secondary aerosols for 2008. The AGANet provides monthly speciated measurements of ambient air pollution (including aerosol components NO₃⁻, SO₄⁻⁻, Cl⁻, Na⁺, Mg⁺⁺, Ca⁺⁺ and 342 NH4⁺) as part of the UK Eutrophying and Acidifying Atmospheric Pollutants (UKEAP) 343 network to provide temporal and spatial patterns and trends and to provide a long-term dataset 344 345 for comparing results with dispersion models (Defra, 2008). Annual average concentrations from 25 sites for NO_3^- and SO_4^- aerosol were compared with modelled outputs (Figure 6). 346

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350 Overall, performance of the model showed good agreement with measurements for both NO₃⁻ and SO_4^{--} (R² values of 0.92 and 0.69 respectively), with slight over prediction in general in 351 352 both cases at higher concentrations. Table 2 shows the comparative statistics for SO₄⁻⁻, NO₃⁻⁻ 353 and NH₄⁺; a stronger agreement of the model estimates with AGANet measurements for NO₃⁻ 354 and NH_4^+ is confirmed on the basis of R^2 values of over 0.9 in both cases. On the other hand, the FAC2 analysis (i.e. measure of the number of sample points that fall below or above 1:2 355 and 2:1 lines) shows a wider scatter of values for NH_{4^+} . There seems to be a tendency for 356 underestimation of the annual NO_3^- and NH_4^+ concentrations, indicated by negative 357 normalised mean bias values. Also, the lower normalised mean gross error (NMGE) and root 358 mean square error (RMSE) values for NO_3^- and NH_4^+ compared to SO_4^- indicate the better 359 agreement of the estimates for the first two and less so for the latter. 360

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Recent estimates from an enhanced version of the UK photochemical trajectory model for secondary inorganic aerosols (SIAs) have reported over predictions of Cl⁻, NO_3^- and $SO_4^$ while comparing with AGANet measurements (Beddows et al., 2012), mainly attributed to differences in the chemical and the meteorological processes included in the model from those of the air masses sampled.

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- 369 3.2 Anthropogenic-biogenic interactions
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371 It is noteworthy that the scope of anthropogenic-biogenic interactions presented here is geared 372 to capturing the overall patterns of plausible emissions scenario and therefore ought to be 373 more impressionistic rather than precise at all levels. The results presented essentially account 374 for the altered anthropogenic and biogenic emissions profile from biomass harvesting and processing as well as their utilisation in local power stations to support the increased energy 375 376 demand for electric vehicle infrastructure over the period. The spatial mapping of the 377 resulting SIAs over the North East model domain (Figure 7) shows an increase in concentration for the Aggressive_2020, with prominent increases in the lower and lower-378 379 middle parts, marked with high population and industrial activities. This is along the lines of earlier findings suggesting secondary aerosols, formed from precursor emissions of SO_2 , NO_x 380 and NH₃, constitute a significant fraction of PM_{2.5} in ambient air (Tiwary et al., 2011; 381 Ciambrone, 1997). Such situations may pose potential risks of adverse human heath 382 383 implication from enhanced exposure to fine particles and poor visibility over the years.

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387 The relative changes (in percentages) for the Agrressive_2020 scenario over the Basline_2020 for the study region is shown in the bar chart (Figure 8). It illustrates the overall impacts (i.e. 388 net effects) for the parameters studied (black), calculated as the differences between the 389 390 estimated increases (pitted white) and the estimated reductions (pitted black) in the respective 391 parameters (the latter was only estimated for NO₂ and PM_{10}). These estimates cover the three 392 components of greening considered - enhanced green infrastructure development (including biomass harvesting); green transport; renewable energy initiatives. They essentially involve a 393 combination of emissions sources of primary pollutants and precursors of the secondary 394 pollutants as well as emissions sinks from enhanced deposition associated with land cover 395 changes (based on the study framework, Figure 1). Only a marginal change in ambient 396 temperature (estimated at 2m height at 1100hrs) of -0.05% is noted. However, ambient NO₂ is 397 estimated to rise by up to 5% (net, i.e. discounting for the deposition to the increased 398 vegetation cover, shown using the negative bar), apparently holding potentials for eroding the 399 400 forecasted reduction of overall NO₂ by 2020 of as much as 30% (from 2010 levels) for the 401 UK (NAEI, 2012). Also, bVOCs (limited to estimates for monoterpenes and isoprene) are 402 found to rise by up to 20%. This is mainly attributed to the significant proportion of bVOC-403 active SRC willow (Salix viminalis x) plantations in the city-regions, serving the local 404 bioenergy demands; the majority of trees species in the base case, primarily used for street plantations are bVOC-benign (see **Table 1**), viz. Sycamore maple (Acer pseudoplatanus), 405 Lime (*Tilia cordata*), Turkish Hazel (*Corylus colurna*), Hornbeam (*Carpinus betulus*) etc. 406

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408 The plot in Figure 8 further shows enhanced daytime ozone and secondary aerosols (ultrafine particles, UFPs) formation by up to 15% and over 5% respectively, mainly from increased 409 anthropogenic-biogenic emissions interactions between NO_2 and bVOC near large town 410 411 centres. This is consistent with a recent study which found SOA formation potentials from baseline anthropogenic-biogenic emissions interactions in Europe to be relatively lower than 412 in North America owing to less abundance of bVOC active species in Europe (Sartelet et al., 413 414 2012). However, this may significantly change with increase in plantation of isoprene- and 415 monoterpene-active species in and around cities along side rise in anthropogenic emissions of 416 NO_x from enhanced uptake of diesel cars.

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Table 3 provides regression statistics comparing the modelled outputs from the two scenarios. 420 421 The strength of coefficients of determination (R^2) signify the inverse relation to the extent of 422 modification of the respective environmental variable as a consequence of the interventions 423 assumed in the aggressive scenario, i.e. smaller R² and p-values indicate greater relative 424 changes from the base case. For example, based on the p-value statistics the most significant 425 changes from these interventions would be observed in bVOC concentrations. For the rest of the pollutants this is shown to be varying moderately and there seems to be negligible 426 influence on the ambient daytime temperature. This substantiates our findings from the 427 428 simulations shown in Figure 8 statistically, that of marginal change in ambient temperature 429 and considerable changes for bVOC, NO_2 , O_3 and particulates (both PM_{10} and Ultrafines).

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433 The interactions between precursors are influenced by reaction time delays and therefore have 434 more prominent national impacts on the resulting pollutant concentrations and a relatively 435 small impact locally. The output from scaling up the regional emissions scenario to the national scale in the next step in WRF-Chem provided spatial mapping of the modifications to 436 surface layer ozone (Figure 9 shows the model outputs for the two scenarios at 1400hrs on 4th 437 438 July, representing a typical summer afternoon). The simulated changes in ozone 439 concentrations account for all positive and negative interactions of meteorology, emissions, 440 and photochemistry, thus providing estimates of the net effects.

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444 Our results regarding the potential enhancement in O_3 and SAs in Aggressive_2020 case due 445 to increased anthropogenic-biogenic emissions interactions are consistent with a recent study 446 (Sartelet et al., 2012), which reported greater potential for O_3 and PM₁₀ reductions near large 447 town centres by removal of anthropogenic emissions whilst greater potential for SOA 448 reductions by removal of biogenic emissions.

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450 *3.3. Effect of land cover changes*

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452 Land cover modifications have been strongly associated with potential reduction in air 453 temperature over an area; the spatial distribution of such temperature dip matches closely with 454 the nature of surface modifications and their distributions (Morani et al., 2011; Susca et al., 2011; Taha 2008). The land cover alterations assumed in this study, however, are mainly 455 associated with enhancing the green cover from bio energy cropping, thus showing only 456 457 marginal daytime temperature reduction (Figure 8). This is along the lines of earlier finding showing relatively smaller surface modifications having only minor impacts on air 458 temperature, e.g., decreases of around 0.5 – 1°C (Taha, 2008). Nevertheless, this would still 459 460 have implications for prolonging the photochemistry during the daylight hours, leading to 461 alteration in the trends for syntheses of precursors and secondary pollutants.

462

463 An important effect of increasing the tree cover, shown in this study is that the uptake from 464 vegetation has relatively small impact on reduction in PM_{10} and a much greater impact on 465 reducing NH_3 concentrations (Figure 10). This is attributed to the modelling assumptions in 466 FRAME, resulting in an order of magnitude higher dry deposition velocities for NH₃ to tree 467 canopies than grasslands. This may also be of significance in relation to greening of urban 468 areas due to recent increases in emissions of NH3 from vehicle exhausts caused by the introduction of catalytic convertors. The lower deposition velocities for PM_{10} is because their 469 removal from the atmosphere is primarily due to washout by precipitation, with dry 470 471 deposition of aerosol particles estimated to contribute only approximately 5% of the total 472 national deposition of sulphur and nitrogen to the UK (RoTAP, 2012). Although planting vegetation in urban areas in the UK is shown to be effective in reducing PM₁₀ concentrations, 473 474 attributable mainly to the higher deposition velocities of larger particles (McDonald et al. 2012), experimental studies have demonstrated that particulate deposition velocities vary by 475 476 two orders of magnitude across the full range of sizes in the atmosphere (Seinfield and Pandis, 1998). The effect of urban greening on particulate matter concentrations through increased 477 478 tree planting is therefore sensitive to the nature of the particle source. This has interesting

479 consequences for understanding the implications for future provision of ecosystem services
480 from plants, both in terms of human health benefits and potential loss of biodiversity through
481 eutrophication.

482

483 <place Fig 10 here>

484

485 In a pertinent policy context for evaluating systems scale sustainability implications from land cover changes our study shows a clear need for monitoring not just regulated pollutants at 486 487 urban hotspots but also the much illusive rural emissions of important precursors, attributable 488 mainly to greening initiatives. This is deemed essential for understanding their regional contributions to ozone and secondary aerosol formation from photochemical interactions. 489 Another important point to consider is to incorporate the emerging global trends in land cover 490 491 changes while simulating future emissions scenarios. This is because while emissions in the 492 UK and its immediate European neighbours is expected to decrease over the longer time scale, 493 the relative (and in some cases the absolute) contributions from emissions in the rest of the northern hemisphere are likely to increase. Therefore, in assessing air quality in the UK, say 494 495 for a 2050 scenario, adequate consideration is needed for hemispheric, if not global emissions 496 (Williams, 2007). For example, increases in anthropogenic emissions from China and India 497 have been shown to enhance urban air quality issues in the US (Zhang et al. 2010). This is 498 also evidenced by a recent Review of Transboundary Air Pollution (RoTAP, 2012), which 499 found background ozone concentrations to have grown by about 15% despite decline in peak ground level ozone concentrations by about 30% in the UK and continental Europe. This is 500 501 primarily explained through increases in precursor emissions elsewhere in the northern 502 hemisphere.

503

We acknowledge that an evaluation of systems scale sustainability implications, based on the 504 505 outlined assessment framework, is subjected to uncertainties in both model assumptions and 506 the underlying emissions data. A quantitative analysis of these uncertainties was considered too complex to be considered within the scope of our study. However, for the benefit of 507 508 readers in interpretation of the results, some qualitative comments on the magnitude of the 509 uncertainties of different factors as well as the combined impacts of different sources of uncertainty have been included here. As described from the outset, the assessment outcomes 510 511 are primarily a function of emissions projections and hence subjected to several sources of 512 uncertainties – including, forecast error in demand equations for the emissions predictions; uncertainty in future policy impacts; uncertainty in modelling assumptions of drivers of 513 514 economic growth, energy and transport demands, etc.

515

516 Over the study period the uncertainty in NH₃ emissions is going to be associated mainly with 517 two factors – one, alteration to agricultural practices, for example the switch in fertiliser use 518 from ammonium nitrate to urea; two, varied intensities of green infrastructure development, 519 for example extension (or replacement) of existing green space with bio energy cropping with higher rate of NH₃ cycling into the local environment. Non-agricultural sources, including 520 521 higher emissions from lean-burning fuels and fuel-efficient petrol cars are expected to make 522 only a small proportion of emissions and so assumptions on energy use would make little difference to NH₃ emissions (NAEI, 2012). On the other hand, the NO₂ emissions are strongly 523 524 dependent on the assumptions made about the future transport and energy demands in the 525 literature. For example, owing to uncertainties in energy efficiency measures and impacts of climate policies beyond 2030 significant increases in NO₂ emissions can still occur from 526 higher natural gas use in power stations despite substantial reduction in fossil-based transport 527 528 NO₂ emissions from switching to cleaner technologies. Uncertainties in the emissions of PM and bVOCs are mainly going to be driven by assumptions on land cover changes (for example 529 530 development of Green Infrastructure) and fuel use in response to variation in energy demand, 531 in particular the effect of renewable energy policy on the level of biomass uptake beyond 532 2020. It is envisaged, uncertainties in SO_2 emissions would be dominated by assumptions 533 about the future levels of direct coal use, implementation of clean coal technologies and the 534 operating characteristics of the Flue-gas desulfurization (FGD), in both power generation and 535 industry (NAEI, 2012). In addition, there is also an uncertainty in SO₂ emissions from crude 536 oil refineries with varying levels of sulphur.

- 537
- 538

539 **4. Conclusions and future directions**

The combined effects of emerging green initiatives, as scoped through the systems level 540 assessment framework adopted in this study, show tendency to aggravate air quality issues, 541 542 both at local/regional and national scales. The case study indicates rises of up to 5% and 20% in NO₂ and bVOC respectively for Aggressive_2020 over Baseline_2020 scenario. This has 543 implications for enhanced formation of daytime ozone and UFPs by up to 15% and over 5% 544 545 respectively. The integrated assessment framework demonstrates the need for whole-system 546 thinking in ascertaining the sustainability of future land cover modifications, incorporating the green components while optimising the inter-dependence of the resource utilisation, 547 emissions and photochemical interactions of precursors. Our study shows a clear footprint of 548 549 secondary aerosols concentrations from increased biomass production and utilisation, which 550 has a spatial distribution across the study area at relatively low levels of concentration 551 (primarily attributed to the abundance of the precursors and the time scale required for 552 chemical reactions to take place). Modified land cover results in enhanced deposition of N-

553 compounds (NO₂ and NH₃) and particulates on taller vegetation more than on grasslands. 554 Evidently, this has positive implication from human exposure perspective but adverse 555 ecological implications to biota through eutrophication and potential loss of biodiversity. This 556 presents interesting research questions worth investigating in the future.

557

Overall, this study presents the steps needed to evaluate systems scale interaction of 558 559 anthropogenic-biogenic emissions from the green initiatives considered. However, wide scale adoption of the proposed approach is needed if the demands for delivering sustainability are 560 to be met in the future. For example, there is a need to compare the impact of land cover 561 change to local pollution source/sink generation as well as the influence on local micro 562 climate from excessive reliance on imported bioenergy resources, electric vehicles and 563 associated infrastructure. Consistent with other researchers' recommendation we re-564 emphasise that multi-episodic and seasonal evaluations are essential to assess the potential 565 impacts of the proposed strategies, covering a range of meteorological and emission 566 conditions, including average seasonal conditions (in addition to the worst-case scenarios). 567 Another interesting dimension to take the work forward would be to assess the ecological and 568 569 human health impacts from enhanced biomass production, namely a) Exacerbation of 570 secondary aerosol concentrations, with consequential effect on visibility and human health; b) 571 Enhanced deposition of oxidised nitrogen, affecting eutrophication and loss of biodiversity and urban ecosystem functions; and c) Alteration in the pollution profile for the whole urban-572 573 rural system, resulting mainly from increased haulage of the feedstock and penetration of low 574 emission vehicles.

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Table captions

Table 1. Species-specific bVOC emission potentials ($\mu g \ gdw^{-1} \ h^{-1}$) for the trees considered in this study for standard conditions.

Table 2. Model performance metrics for aerosols output for 2008 [N = number of complete pairs of measured and calculated values; NMB = Normalised mean bias; NMGE = normalised mean gross error; RMSE = root mean square error ($\mu g m^{-3}$); FAC2 = A count fraction of points within 0.5 and 2 times the observation; R^2 = Coefficient of Determination].

Table 3. Comparison between Baseline_2020 and Aggressive_2020 outputs of representative environmental variables using regression statistics (with 95% confidence interval, N = 538) [R^2 = Coefficient of Determination; p-value = Probability measure of statistical significance; St dev = Standard deviation].

Figure captions

- Fig 1. Theoretical framework illustrating the positive and negative implications for air quality from emerging green initiatives (2020/30 horizons).
- Fig 2. Case study site the North East region of England [note: the references to colour in this figure legend can be found on the web version of this article]. © Crown Copyright Ordnance Survey Map.
- Fig 3. Estimated greenspace cover for the North East England (percentage greenspace at ward-level) for Aggressive_2020 scenario (right panel). [note: the left two panels show the yield maps for short rotation coppiced (SRC) and miscanthus plantations]. © Crown Copyright Ordnance Survey Map.
- Fig 4. Emissions for Baseline_2020 scenario based on projected emissions– (a) NO₂; (b) SO₂; (c) NH₃.
- Fig 5. Additional emissions for Aggressive_2020 scenario from biomass harvest, transportation and combustion $-(a) NO_2$; (b) SO_2 ; (c) NH_3 .
- Fig 6. Benchmarking model output against the monitored annual average aerosol concentrations from AGANet.
- Fig 7. Spatial mapping of secondary inorganic aerosol (SIA) formation potentials over the North East England case study area from FRAME [note: the references to colour in this figure legend can be found on the web version of this article].
- Fig 8. Systems scale implications of green initiatives from Aggressive_2020 compared to Baseline_2020 (expressed as percentage change).
- Fig 9. UK map for alteration in tropospheric ozone concentrations from Aggressive_2020 compared to Baseline_2020 [note: the references to colour in this figure legend can be found on the web version of this article].
- Fig 10. Attenuation in pollution concentration in North East England from enhanced uptake by vegetation cover for Aggressive_2020 compared to Baseline_2020 from deposition processes (both wet and dry) (a) PM₁₀; (b) NH₃.

Figure 1

Negative	Green initiative	Positive
 Greater foliage → more bVOCs → more ozone → more aerosolisation More fertilisation → more NH₃, N₂O 	Green Infrastructure [*] (greening built-up areas, open spaces/ parklands)	 Lower air temperature → less bVOCs → less aerosolisation Higher dry deposition → less PM₁₀, NH₃, NO₂
 Higher fossil fuel use on urban/rural fringes[#] → more NO₂, SO₂, HCI → more aerosolisation 	Greener transport (uptake of Electric/ Fuel cell vehicles)	 Lower fossil fuel use in cities → less NO₂, CO₂, CO, NH₃, VOCs
 Biomass harvesting[*], transportation, processing, combustion → more NO₂, PM₁₀, CH₄ 	Bio energy (generating energy from locally sourced biomass)	• Lower fossil fuel use \rightarrow less CO ₂

* includes positive and negative air quality implications from Green Infrastructure development.

[#] assumes combustion-based electricity generation from bioenergy resources and hydrogen production from fossil fuels.

Figure 2



Figure 3



Figure 4









Fig 7





Figure 9





