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A Universal Low-Complexity Symbol-to-Bit Soft Demapper

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Abstract-High-order constellations are commonly used for 6 achieving high bandwidth efficiency in most communication sys-7 tems. However, the complexity of the multiplication operations 8 associated with the standard max-sum approximation of the max-9 imum a posteriori probability in the log-domain (Max-Log-MAP) 10 symbol-to-bit demapper is very high. In this contribution, we 11 conceive a low-complexity universal soft demapper, which reduces 12 the demapper's complexity considerably for the binary-reflected 13 Gray-labeled pulse amplitude modulation (PAM), phase shift key-14 ing (PSK), quadrature amplitude modulation (QAM), and ampli-15 tude phase-shift keying (APSK) relying on product constellation 16 labeling (product-APSK). Our theoretical analysis demonstrates 17 that the proposed demapper has exactly the same performance as 18 the Max-Log-MAP demapper for the Gray-labeled PAM, PSK, 19 and QAM. Our theoretical analysis and simulation results also 20 demonstrate that for the Gray-labeled product-APSK, the per-21 formance degradation of the proposed simplified soft demapper 22 is negligible for both 64-ary and 256-ary constellations compared 23 with the Max-Log-MAP demapper.

24 *Index Terms*—Amplitude phase-shift keying (APSK), 25 Max-Log-MAP, phase-shift keying (PSK), pulse amplitude 26 modulation (PAM), quadrature amplitude modulation (QAM), 27 soft demapper.

I. Introduction

IGH-ORDER constellations are preferred in many transmission systems, as they are capable of achieving high bandwidth efficiency. For example, 256-ary quadrature amplitude modulation (256QAM) and 4096QAM are employed by 33 the second-generation digital terrestrial television broadcasting standard (DVB-T2) [1] and the second-generation digital cable 35 television broadcasting standard (DVB-C2) [2], respectively.

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Furthermore, 128QAM is recommended by the long-term evo- 36 lution advanced (LTE-Advanced) standards [3], which supports 37 reception even for high-velocity vehicular communications. 38 However, for these high-order modulation schemes, a high- 39 complexity symbol-to-bit demapper is required when using the 40 conventional maximum *a posteriori* probability based in the 41 log-domain (Log-MAP) demapping algorithm [4]. Albeit 42 the max-sum-approximation-based version of the Log-MAP 43 (Max-Log-MAP) demapper [5] eliminates the high-complexity 44 exponential and logarithmic operations in the Log-MAP 45 gorithm, the number of multiplications remains high, and the 46 complexity of the Max-Log-MAP algorithm is on the order 47 of $O(2^m)$, where 2^m denotes the constellation size with m 48 representing the number of bits per symbol.

Numerous simplified demapper algorithms have been pro- 50 posed for specific constellations. In [6], a bit-metric-generation 51 approach is proposed for phase-shift keying (PSK) using Gray 52 labeling, which recursively generates bit metrics based on a 53 simplified function. This recursive demapper achieves the same 54 performance as the Max-Log-MAP demapper, while reducing 55 the number of multiplications by 59% for 32PSK. By decom- 56 posing the 2^m -ary QAM constellation into two independent (in- 57 phase and quadrature) $2^{m/2}$ -ary pulse amplitude modulation 58 (PAM) constellations, the complexity of the associated Max-59 Log-MAP demapper is reduced from $O(2^m)$ to $O(2^{m/2})$ [7], 60 [8]. The complexity of the QAM demapper can be further 61 reduced to the order of O(m) by invoking a piecewise linear ap- 62 proximation, but this inevitably imposes performance degrada- 63 tion [9]. A similar soft demapper is proposed for amplitude PSK 64 (APSK) in [10], where the constellation is partitioned with the 65 aid of simplified hard-decision threshold (HDT)-based bound- 66 ary lines, and soft information is calculated as the distances be- 67 tween the received signal and the HDT lines. This approximate 68 demapper reduces the number of multiplications to 4 and 11 69 for 16APSK and 32APSK, respectively. A simplified demapper 70 is also proposed for multilevel coding followed by multistage 71 decoding, which focuses on the APSK signal [11], and the 72 complexity of this APSK demapper is reduced to a constant 73 (neglecting comparison operations) at the cost of exponentially 74 increasing the memory required and necessitating an additional 75 division [11]. In [12], the complexity of the demapper is 76 reduced by reusing the multipliers, and only 16 multipliers 77 are used for all the four modulation modes (QPSK, 8PSK, 78 16APSK, and 32APSK) in the second-generation digital video 79 broadcasting over satellite (DVB-S2) system. For the constel-80 lation rotation and cyclic Q delay modulation of DVB-T2, 81 several simplified demappers are proposed for reducing 82

83 complexity by decreasing the number of the constellation points 84 required for calculating the minimum squared distances [13]–85 [15]. For APSK using product constellation labeling (product-86 APSK), it is shown [16] that a $(2^{m_1} \times 2^{m_2} = 2^m)$ -ary APSK 87 constellation can be regarded as the product of 2^{m_1} -ary PSK 88 and pseudo 2^{m_2} -ary PAM, and a simplified demapper is pro-89 posed in [17], which reduces the complexity of the demapper 90 from $O(2^m)$ to $O(2^{m_1}) + O(2^{m_2})$.

All the previously mentioned Gray labeling functions de-92 signed for the various constellations are the classic binary-93 reflected Gray labeling schemes proposed by Gray in 1953 94 as a means of reducing the number of bit errors, where two 95 adjacent constellation points differ in only one bit [18]. In [19], 96 Agrell *et al.* showed that the binary-reflected Gray labeling is 97 the optimal labeling for PAM, PSK, and QAM, which achieves 98 the lowest possible bit error probability among all possible la-99 beling functions for the additive white Gaussian noise (AWGN) 100 channel.

Against this background, in this contribution, a univer102 sal low-complexity soft demapper is proposed for various
103 binary-reflected Gray-labeled constellations. By exploiting the
104 symmetry of Gray-labeled constellations, we show that the
105 complexity of a 2^m -ary demapper can be reduced from $O(2^m)$ 106 to O(m). Moreover, our proposed low-complexity soft demap107 per attains the same performance as the Max-Log-MAP demap108 per for PAM, PSK, and QAM, whereas the performance
109 degradation of our low-complexity soft demapper is negligible
110 for product-APSK, in comparison with the Max-Log-MAP
111 solution.

The rest of this paper is organized as follows. In Section II, 113 the standard Max-Log-MAP demapper is highlighted. In 114 Section III, our simplified soft demapper is proposed, and 115 its performance and complexity are analyzed in detail. In 116 Section IV, the performance of both the proposed low-117 complexity demapper and the conventional Max-Log-MAP 118 demapper is quantified for Gray-labeled QAM and product-119 APSK for transmission over both AWGN and Rayleigh fading 120 channels. Our conclusions are drawn in Section V.

The following notations are employed throughout this con-122 tribution. Uppercase calligraphic letters denote sets, e.g., \mathcal{X} . 123 Boldface lowercase letters represent vectors, e.g., b, whose 124 ith element is written as b_i . Uppercase letters denote random 125 variables (RVs), e.g., X, whereas the corresponding lowercase 126 letters represent their realizations, e.g., x. P(x) is used for the 127 probability mass function (pmf) of a discrete RV X, and p(x)128 denotes the probability density function (pdf) of a continuous 129 RV X. P(y|x) represents the conditional pmf of Y=y given 130 X=x, whereas p(y|x) represents the conditional pdf of Y=y131 given X=x. The magnitude operator is denoted by $|\cdot|$.

II. SYSTEM MODEL WITH MAX-LOG-MAXIMUM A POSTERIORI DEMAPPER

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134 At the transmitter of a coded system, the coded bits are 135 grouped into bit vectors, each with the length of m and de-136 noted by $\mathbf{b}=(b_0\ b_1\ \dots\ b_{m-1})$. Bit vector \mathbf{b} is then mapped 137 onto constellation point $x\in\mathcal{X}$ for transmission, where $\mathcal{X}=$ 138 $\{x_k, 0\leq k<2^m\}$ denotes the signal set of size 2^m .

At the receiver, the soft information for each coded bit is 139 calculated based on received signal y, which is then passed to 140 the decoder. For the Log-MAP demapper, the soft information 141 on the ith bit is expressed in the form of the log-likelihood ratio 142 (LLR) L_i according to [17]

$$L_{i} = \log \frac{P(b_{i} = 0|y)}{P(b_{i} = 1|y)} = \log \frac{\sum_{x \in \mathcal{X}_{i}^{(0)}} P(x|y)}{\sum_{x \in \mathcal{X}_{i}^{(1)}} P(x|y)}$$
$$= \log \frac{\sum_{x \in \mathcal{X}_{i}^{(0)}} p(y|x)}{\sum_{x \in \mathcal{X}_{i}^{(1)}} p(y|x)}$$
(1)

for $0 \le i < m$, where $\mathcal{X}_i^{(b)}$ denotes the signal subset of \mathcal{X} with 144 the ith bit being $b \in \{0,1\}$. The last equality in (1) follows from 145 Bayes' rule and the assumption that signals x_k , $0 \le k < 2^m$ are 146 equiprobable.

A flat-fading channel is modeled as y=hx+n, where h 148 denotes the complex-valued channel state information (CSI), 149 and n stands for the complex-valued AWGN with zero mean 150 and variance $N_0/2$ per dimension. When the perfect CSI h is 151 available at the receiver, the conditional pdf p(y|x) in (1) can be 152 written as $p(y|x) = (1/\pi N_0) \exp(-|y-hx|^2/N_0)$. Observe 153 that given the availability of perfect CSI, the received signal 154 can be phase equalized, after which only the amplitude of CSI 155 h is required. Thus, we simply assume that h is nonnegative 156 real valued. By using the well-known max-sum approximation 157 of $\sum_j z_j \approx \max_j z_j$ for nonnegative z_j , where the summation 158 is dominated by the largest term, the conventional Max-Log-159 MAP demapper is readily formulated as

$$L_{i} \approx \log \frac{\max_{x \in \mathcal{X}_{i}^{(0)}} p(y|x)}{\max_{x \in \mathcal{X}_{i}^{(1)}} p(y|x)}$$

$$= -\frac{1}{N_{0}} \left(\min_{x \in \mathcal{X}_{i}^{(0)}} |y - hx|^{2} - \min_{x \in \mathcal{X}_{i}^{(1)}} |y - hx|^{2} \right). (2)$$

The Max-Log-MAP of (2) is a fairly accurate approximation 161 of the Log-MAP of (1) in the high signal-to-noise ratio (SNR) 162 region, and it avoids the complex exponential and logarith- 163 mic operations. For each received signal, the Max-Log-MAP 164 demapper calculates all the 2^m squared Euclidean distances, 165 i.e., $|y-hx|^2$ for every $x \in \mathcal{X}$, to find the two minimum terms 166 described in (2). Therefore, its complexity quantified in terms 167 of multiplications is on the order of $O(2^m)$.

III. PROPOSED SIMPLIFIED SOFT DEMAPPER 169

After carefully examining (2), it is interesting to note that 170 item $\min_{x \in \mathcal{X}} |y - hx|^2$, i.e., the squared Euclidean distance 171 from received signal y to the nearest constellation point x^* , 172 always appears in (2), and it is equal to either $\min_{x \in \mathcal{X}_i^{(0)}} |y - 173|$ $hx|^2$ or $\min_{x \in \mathcal{X}_i^{(1)}} |y - hx|^2$, depending on the ith bit of x^* 174 being 0 or 1. In other words, $|y - hx^*|^2$ is always one of the 175 two terms in (2). By denoting the bit vector that maps to signal 176 x^* as $\mathbf{b}^* = (b_0^* \ b_1^* \dots b_{m-1}^*)$, the other item in (2) represents the 177 squared Euclidean distance from y to the nearest constellation 178

179 point in subset $\mathcal{X}_i^{(\overline{b_i^*})}$, which is denoted by $x_{i,\overline{b_i^*}}^*$, where we have 180 $\overline{b}=1-b$.

181 For Gray-labeled constellations, we will show that x^* and 182 $x_{i,\overline{b_i^*}}^*$, $0 \le i < m$, can be determined by using simple compar-183 ison and addition operations. Afterward, we only have to cal-184 culate the m+1 squared Euclidean distances, i.e., $|y-hx^*|^2$ 185 and $|y-hx_{i,\overline{b_i^*}}^*|^2$ for $0 \le i < m$. Therefore, the complexity of 186 our proposed demapper is on the order of O(m).

187 Accordingly, we divide the demapping procedure into three 188 steps: 1) finding x^* and \mathbf{b}^* ; 2) determining x^*_{i,\overline{b}^*_i} ; and 189 3) calculating L_i according to (2). For binary-reflected Gray-190 labeled constellations, we have the following lemma from [20], 191 describing how to obtain \mathbf{b}^* .

192 Lemma 1: For binary-reflected Gray labeling $\mathbf{b} \to x_k$, by 193 denoting $\mathbf{c}^k = (c_0^k \ c_1^k \ \dots \ c_{m-1}^k)$ as the binary representation 194 of index k with the least significant bit (LSB) as the rightmost 195 bit, \mathbf{b} can be calculated as

$$\mathbf{b} = (c_0^k c_1^k \dots c_{m-1}^k) \oplus (0 c_0^k \dots c_{m-2}^k)$$
 (3)

196 where \oplus represents the bitwise XOR operation.

197 The expressions generated for determining x^* and $x^*_{i,\overline{b^*}}$ are 198 slightly different for various constellations. In the following, the 199 simplified soft demappers designed for the Gray-labeled PAM, 200 QAM, PSK, and product-APSK are presented in detail.

201 A. PAM Demapper

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Without loss of generality, we assume that all the signals as-203 sociated with PAM are real valued. For the 2^m -ary Gray-labeled 204 PAM, we denote the constellation points as $x_0, x_1, \ldots, x_{2^m-1}$ 205 with the kth constellation point x_k given by $x_k = \delta(-(2^m - 206\ 1) + 2k)/2$, where δ denotes the distance between each pair 207 of adjacent constellation points. The detailed PAM demapping 208 procedure is given as follows.

- 1) Find x^* and b^* . For 2^m -PAM, signal space can be divided into 2^m intervals separated by amplitude thresholds $-(2^{m-1}-1)\delta$, $-(2^{m-1}-2)\delta$,..., $(2^{m-1}-1)\delta$. Multiplying h with the thresholds can be implemented by SHIFT-ADD operations, since the thresholds are constants. Additionally, we can use the binary-search algorithm to find the specific interval in which y is located. Therefore, only m comparison operations are required for obtaining $x^* = x_{k^*}$. The corresponding bit vector \mathbf{b}^* can then be calculated according to Lemma 1. An example for the Gray-labeled 8PAM (Gray-8PAM) constellation is shown in Fig. 1, where we have $k^* = 2$ and $\mathbf{b}^* = (0\ 1\ 1)$.
- 2) Determine $x_{i,\overline{b_i^*}}^*$. Considering the symmetric structure of Gray-labeled PAM constellations, we have the following lemma for computing $x_{i,\overline{b_i^*}}^*$, which only requires the binary representation of k^* and addition operations, instead of the need to calculate all the squared Euclidean distances from y to the constellation points in subset $\mathcal{X}_i^{(\overline{b_i^*})}$ and compare all the resultant 2^{m-1} metrics.

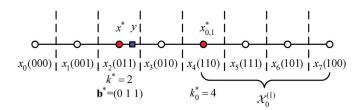


Fig. 1. Gray-8PAM constellation and illustration of demapping for the 0th bit over the AWGN channel.

Lemma 2: For the binary-reflected Gray PAM $\mathbf{b}^* \to x_{k^*}$, 228 where x_{k^*} is the nearest constellation point to received signal 229 y, let $\mathbf{c}^{k^*} = (c_0^{k^*} \ c_1^{k^*} \ \dots \ c_{m-1}^{k^*})$ be the binary representation 230 of k^* with the LSB as the rightmost bit. Then, the nearest 231 constellation point to y in subset $\mathcal{X}_i^{(\overline{b_i^*})}$, namely, $x_{i,\overline{b_i^*}}^*$, can be 232 determined according to

$$x_{i,\overline{b_{i}^{*}}}^{*} = x_{k_{i}^{*}} \tag{4}$$

where 234

$$k_i^* = 2^{m-i-1} - c_i^{k^*} + \sum_{j=0}^{i-1} c_j^{k^*} 2^{m-j-1}.$$
 (5)

Proof: See Appendix A.

3) Calculate L_i according to (2). After obtaining x^* , \mathbf{b}^* , and 236 $x_{i,\overline{b^*}}^*$, we can rewrite L_i as

$$L_{i} = -\frac{1}{N_{0}} \left(1 - 2b_{i}^{*} \right) \left(|y - hx^{*}|^{2} - \left| y - hx_{i,\overline{b_{i}^{*}}}^{*} \right|^{2} \right). \tag{6}$$

It is clear that (6) is equivalent to (2) for the Gray-labeled 238 PAM. Hence, the performance of the proposed simplified soft 239 demapper is exactly the same as that of the standard Max-Log- 240 MAP demapper, while its complexity is reduced from $O(2^m)$ 241 to O(m).

The 2^m -ary square Gray-labeled QAM can be decomposed 244 into two independent (in-phase and quadrature phase) $2^{m/2}$ -ary 245 Gray-labeled PAMs, and we can apply our proposed simplified 246 PAM demapper to each of these two Gray-labeled PAMs. Thus, 247 the complexity of our simplified Gray-labeled QAM demapper 248 is reduced from $O(2^m)$ to O(m) without suffering any per- 249 formance loss, in comparison to the standard Max-Log-MAP 250 demapper.

By applying the same idea to PSK demapping, we can 253 also reduce the complexity from $O(2^m)$ to O(m) without any 254 performance loss, compared with the Max-Log-MAP solution. 255 For 2^m -ary Gray-labeled PSK, the signal set can be written 256 in the polar coordinate format as $\mathcal{X} = \{x_k = \sqrt{E_s} \exp(\mathrm{j}(2k+2571)\pi/2^m), 0 \le k < 2^m\}$, where E_s denotes the energy of the 258 transmitted signals, and $\mathrm{j} = \sqrt{-1}$. An example of the Gray-259 8PSK constellation is shown in Fig. 2.

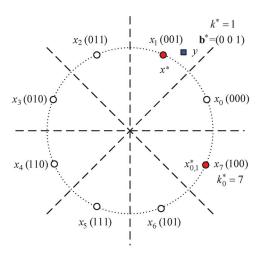


Fig. 2. Gray-8PSK constellation and illustration of demapping for the zeroth bit over the AWGN channel.

Let us express the phase-equalized received signal y in the 262 polar coordinate format as $y=\rho_y\exp(\mathrm{j}\varphi_y)$, where ρ_y and φ_y 263 denote the amplitude and phase of y, respectively, and $0\leq 264\ \varphi_y<2\pi$. Then, the squared Euclidean distance $|y-hx|^2$ can 265 be written as

$$|y - hx|^2 = \left| \rho_y \exp(j\varphi_y) - h\sqrt{E_s} \exp(j\varphi_x) \right|^2$$

$$= \rho_y^2 + h^2 E_s - 2\rho_y h\sqrt{E_s} \cos(\varphi_x - \varphi_y)$$

$$= \rho_y^2 + h^2 E_s - 2\rho_y h\sqrt{E_s} \cos(\phi(x, y))$$
(7)

266 where φ_x is the phase of x, and $\phi(x,y)$ is defined as

$$\phi(x,y) = \begin{cases} |\varphi_x - \varphi_y|, & 0 \le |\varphi_x - \varphi_y| \le \pi \\ 2\pi - |\varphi_x - \varphi_y|, & \pi < |\varphi_x - \varphi_y| < 2\pi \end{cases}$$
 (8)

267 It is obvious that $\phi(x,y) \in [0,\pi]$ and is commutative, i.e., 268 $\phi(x,y) = \phi(y,x)$. The mapping defined in (8) also satisfies the 269 triangle inequality, that is, $\forall \, x, \, y, \, z \in \mathbb{C}$, we have

$$\phi(x,z) \leqslant \phi(x,y) + \phi(y,z) \tag{9}$$

270 where $\mathbb C$ denotes the complex-valued space. The proof is given 271 in Appendix B. Therefore, $\phi(x,y)$ defines a distance over $\mathbb C$, 272 which is referred to as the *phase distance* of x and y in this 273 paper.

Furthermore, multiplying $x \in \mathbb{C}$ with a positive value does 275 not change the phase of x, i.e., $\varphi_{hx} = \varphi_x$, $\forall h > 0$. Hence, we 276 have $\phi(hx,y) = \phi(x,y)$, $\forall h > 0$. Since the cosine function is a 277 decreasing function in $[0,\pi]$, minimizing the squared Euclidean 278 distance $|y-hx|^2$ of (7) is equivalent to minimizing phase 279 distance $\phi(x,y)$. Therefore, we can simply use the phase of 280 the signal in the search process of the PSK demapper, and the 281 resultant PSK demapping procedure is detailed as follows.

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1) Find x^* and b^* . The signal space of the 2^m -ary Graylabeled PSK can be divided into 2^m phase intervals separated by phase thresholds $0, \pi/2^{m-1}, \ldots, (2^m-1)\pi/2^{m-1}$, as shown in Fig. 2. Signal x^* can be obtained by comparing φ_y with the phase thresholds, which only needs m comparisons using the binary-search algorithm.

Similar to the PAM demapper, after finding $x^* = x_{k^*}$, 288 the corresponding bit vector \mathbf{b}^* is calculated according to 289 Lemma 1. For the case shown in Fig. 2, we have $k^* = 1$ 290 and $\mathbf{b}^* = (0\ 0\ 1)$.

2) Determine $x_{i,b_i^*}^*$. Unlike the PAM constellation, the PSK 292 constellation is circularly symmetric, and the phase dis-293 tance function we used for comparisons is defined in 294 a piecewise fashion. Therefore, calculating $x_{i,b_i^*}^*$ for the 295 PSK demapper is slightly different from that of the PAM 296 demapper. We have the following lemma for computing 297 x_{i,b^*}^* of Gray-labeled PSK.

Lemma 3: For the binary-reflected Gray PSK b* $\rightarrow x_{k^*}$, 299 where x_{k^*} is the constellation point nearest to received signal 300 y, let $\mathbf{c}^{k^*} = (c_0^{k^*} \ c_1^{k^*} \dots \ c_{m-1}^{k^*})$ be the binary representation 301 of k^* with the LSB as the rightmost bit. Then, the point 302 nearest to y in subset $\mathcal{X}_i^{(\overline{b_i^*})}$, namely, $x_{i,\overline{b_i^*}}^*$, can be determined 303 according to

$$x_{i,\overline{b^*}}^* = x_{k_i^*} \tag{10}$$

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where 305

$$k_i^* = \begin{cases} \overline{c_0^{k^*}} 2^{m-1} + \overline{c_1^{k^*}} (2^{m-1} - 1), & i = 0\\ 2^{m-i-1} - c_i^{k^*} + \sum_{j=0}^{i-1} c_j^{k^*} 2^{m-j-1}, & i > 0 \end{cases}$$
 (11)

Proof: See Appendix C.

1) 3) Calculate L_i according to (2). After obtaining x^* , \mathbf{b}^* , 307 and x^*_{i,b^*_i} , the soft information on the *i*th bit, i.e., L_i , is 308 calculated according to (6), which is the same result as 309 that in (2) for the Max-Log-MAP demapper, as is the case 310 for the PAM demapper. Clearly, the performance of this 311 simplified soft demapper is identical to that of the Max- 312 Log-MAP demapper, while only imposing a complexity 313 on the order of O(m).

D. Gray-APSK Demapper

1) Review of Gray-APSK: A generic M-ary APSK con-316 stellation is composed of R concentric rings, each having 317 uniformly spaced PSK points. More specifically, the M-APSK 318 constellation set is given by $\mathcal{X} = \{r_l \exp(\mathrm{j}(2\pi i/n_l + \theta_l)), \ 0 \le 319 \ i < n_l, \ 0 \le l < R\}$, in which n_l, r_l , and θ_l denote the number 320 of PSK points, the radius, and the phase shift of the lth ring, 321 respectively, while we have $\sum_{l=0}^{R-1} n_l = M$ [21].

In [16], a special APSK constellation was proposed, which 323 consists of $R=2^{m_2}$ rings and $n_l=2^{m_1}$ PSK points on each 324 ring for the $(M=2^m)$ -ary APSK, where we have m_1+325 $m_2=m$. This kind of APSK is known as the product-326 APSK and is denoted by $(M=2^{m_1}\times 2^{m_2})$ -APSK. The lth 327 radius of the product-APSK constellation, where $0 \le l < R$, 328 is determined by

$$r_l = \sqrt{-\ln\left(1 - (l + 1/2)2^{-m_2}\right)}.$$
 (12)

The $(2^m=2^{m_1}\times 2^{m_2})$ -APSK can be regarded as the prod- 330 uct of 2^{m_1} -ary PSK and 2^{m_2} -ary pseudo PAM, where the 331

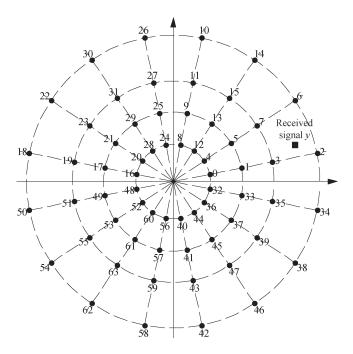


Fig. 3. Gray-labeled $(64 = 16 \times 4)$ -APSK constellation, where the labels are in the decimal form with the binary representation having the LSB as the rightmost bit.

332 pseudo PAM and PSK sets are given, respectively, by A =

333 $\{r_l, 0 \le l < 2^{m_2}\}$ and $\mathcal{P} = \{p_k = \exp(\mathrm{j}\varphi_k) \text{ with } \varphi_k = (2k+34\ 1)\pi/2^{m_1}, 0 \le k < 2^{m_1}\}$ [17]. We divide the m-bit vector 335 b into two subvectors \mathbf{b}^P and \mathbf{b}^A of lengths m_1 and m_2 , 336 respectively. Specifically, \mathbf{b}^P consists of the leftmost m_1 bits 337 of b, whereas \mathbf{b}^A contains the rest rightmost m_2 bits of b. 338 Without loss of generality, \mathbf{b}^P is mapped to the equivalent 2^{m_1} -339 PSK point, and \mathbf{b}^A is mapped to the equivalent pseudo 2^{m_2} -340 PAM point. Gray labeling can be used for mapping the bits to 341 the equivalent constellation signals. This Gray-labeled APSK 342 (Gray-APSK) is a special product-APSK [16], [17]. The Gray-343 labeled $(64 = 16 \times 4)$ -APSK is shown in Fig. 3. 344 2) Proposed Demapping Algorithm for Gray-APSK: Like 345 the other constellations previously discussed, the standard Max-346 Log-MAP demapping designed for Gray-APSK also uses (2). 347 By writing transmitted signal x and received signal y in the 348 polar-coordinate format, the squared Euclidean distance |y|

$$|y - hx|^2 = \rho_y^2 + h^2 \rho_x^2 - 2h\rho_x \rho_y \cos(\phi(x, y))$$

= $(\rho_y \cos(\phi(x, y)) - h\rho_x)^2 + \rho_y^2 \sin^2(\phi(x, y))$ (13)

350 where ρ_x and ρ_y represent the amplitudes of x and y, respec-351 tively, and $\phi(x,y)$ is the phase distance between x and y, as 352 defined in (8).

349 hx|² for Gray-APSK can be readily expressed as

353 Due to the circular symmetry of the Gray-APSK constella-354 tion, it is clear that the nearest constellation point x^* from y355 has the smallest phase distance, i.e., $\phi(x^*,y)$ is the smallest 356 one in set $\{\phi(x,y),\ \varphi_x\in\mathcal{P}\}$, and it is no larger than $\pi/2^{m_1}$, 357 as exemplified in Fig. 3. Furthermore, according to (13), the 358 amplitude of x^* , which is denoted by ρ_{x^*} , satisfies

$$\rho_{x^*} = \arg\min_{\rho_x \in \mathcal{A}} |\rho_y \cos(\phi(x^*, y)) - h\rho_x|.$$
 (14)

After determining the phase and the amplitude of x^* , it is easy 359 to find the corresponding bit label b^* . As for finding x^*_{i,\overline{b}^*_i} , this 360 depends on whether the *i*th bit is related to the phase or the 361 amplitude.

For the bits related to the phase of the Gray-APSK signal, 363 i.e., for $0 \le i < m_1$, the phase of $x^*_{i,\overline{b^*_i}}$, which is denoted by 364 $\varphi_{x^*_{i,\overline{b^*_i}}}$, can be readily determined based on Lemma 3 owing to 365 the uniform distribution of the phases, whereas the amplitude 366 of $x^*_{i,\overline{b^*_i}}$, which is denoted by $\rho_{x^*_{i,\overline{b^*_i}}}$, obeys 367

$$\rho_{x_{i,\overline{b_{i}^{*}}}^{*}} = \arg\min_{\rho_{x} \in \mathcal{A}} \left| \rho_{y} \cos \left(\phi \left(x_{i,\overline{b_{i}^{*}}}^{*}, y \right) \right) - h \rho_{x} \right|. \tag{15}$$

For the bits mapped to the amplitude of the Gray-APSK 368 signal, i.e., for $m_1 \leq i < m$, it is clear that the phase of $x_{i,b_i^*}^*$ 369 is exactly the same as that of x^* , and we may approximately 370 obtain the amplitude of $x_{i,b_i^*}^*$ via Lemma 2. However, due to the 371 nonuniformly spaced amplitudes of \mathcal{A} , such an approximation 372 may cause some errors, albeit the performance loss is fortu-373 nately negligible, as will be detailed later in Section III-D4.

Upon obtaining x^* , b^* , and x^*_{i,b^*_i} , we can readily determine 375 the demapping output of the *i*th bit based on (6). This simplified 376 Gray-APSK demapping procedure is summarized as follows. 377

- 1) Find x^* and \mathbf{b}^* . The phase of x^* is determined by 378 minimizing the phase difference from y to x with phase 379 $\varphi_x \in \mathcal{P}$, and its amplitude is determined according to 380 (14). Having obtained $\varphi_{x^*} = \varphi_{k^{P^*}}$ and $\rho_{x^*} = r_{k^{A^*}}$, sub- 381 bit vectors \mathbf{b}^{P^*} and \mathbf{b}^{A^*} are calculated according to 382 Lemma 1, yielding $\mathbf{b}^* = (\mathbf{b}^{P^*} \mathbf{b}^{A^*})$.
- 2) Determine $x_{i,\overline{b_i^*}}^*$. For the leftmost m_1 bits that are related 384 to the phases of the Gray-APSK signals, we can obtain 385 the phase of $x_{i,\overline{b_i^*}}^*$ according to Lemma 3 and its amplitude 386 according to (15). For the rightmost m_2 bits, i.e., $m_1 \leq 387$ i < m, the phase of $x_{i,\overline{b_i^*}}^*$ is exactly the same as φ_{x^*} , and 388 its amplitude is approximately determined according to 389 Lemma 2.
- 3) Calculate L_i according to (2). After obtaining x^* , b^* , and 391 x^*_{i,b^*_i} , the soft information on the *i*th bit, i.e., L_i , is given 392 by (6), as for the other demappers.
- 3) Complexity Analysis: Step 1) determines x^* and \mathbf{b}^* . The 394 phase of x^* can be readily obtained by simple comparison 395 operations, and its amplitude is determined according to (14), 396 which requires one multiplication for $\rho_y \cos(\phi(x^*,y))$ and 397 m_2 comparison operations. Having determined x^* , calculating 398 \mathbf{b}^* only requires some low-complexity XOR operations. The 399 complexity of step 2) is mainly associated with determining the 400 amplitude of x^*_{i,b^*_i} according to (15), for $0 \le i < m_1$, which 401 requires one multiplication operation for $\rho_y \cos(\phi(x^*_{i,b^*_i},y))$ 402 and m_2 comparison operations. It is therefore clear that the 403 complexity of the proposed simplified Gray-APSK demapper 404 is $O(2 \times m_1 + m_2) \approx O(m)$, which is dramatically lower than 405 the complexity of $O(2^m)$ required by the standard Max-Log-406 MAP solution.

AQ2

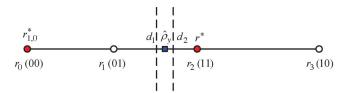


Fig. 4. Pseudo 4PAM decomposed from the $(64 = 16 \times 4)$ -APSK constellation.

408 An alternative complexity analysis, which is "easier" to 409 follow is outlined below. The demapper proposed for $(2^m = 410\ 2^{m_1} \times 2^{m_2})$ -APSK is equivalent to the demapper conceived 411 for 2^{m_1} -ary PSK implemented with the aid of the simplified 412 PSK demapping procedure in Section III-C at the complexity 413 of $O(m_1)$ and the demapper for the 2^{m_2} -ary pseudo PAM 414 implemented with the aid of the simplified PAM demapping 415 procedure in Section III-A at the complexity of $O(m_2)$. There-416 fore, the complexity of the proposed simplified Gray-APSK 417 demapper is approximately $O(m_1) + O(m_2) \approx O(m)$. It is 418 worth emphasizing again that the complexity of our proposed 419 simplified Gray-APSK demapper is also much lower than that 420 of the simplified soft demapper for product-APSK given in [17], 421 which is on the order of $O(2^{m_1}) + O(2^{m_2})$.

422 4) Performance Analysis: Owing to the fact that the phase 423 of the APSK constellation is uniformly spaced, Lemma 3 424 always holds when demapping the leftmost m_1 bits, and the 425 results of the proposed demapper are exactly the same as those 426 of the Max-Log-MAP demapper. However, unlike in the con-427 ventional PAM, the distances between pairs of adjacent points 428 in the corresponding pseudo PAM part of the Gray-APSK 429 constellation are not constant, which means that Lemma 2 does 430 not always hold. Therefore, when demapping the rightmost 431 m_2 bits with the aid of Lemma 2, the resultant x_{i}^{*} may

432 not always be the point nearest to y in subset $\mathcal{X}_i^{(b_i^*)}$, which 433 may slightly increase the absolute value of the LLR in (2) 434 and, consequently, results in some performance degradation. 435 Fortunately, this degradation is negligible. In the following, we 436 present the detailed analysis of this performance loss with the 437 aid of Gray-labeled 64-APSK and 256-APSK.

438 a) $(64 = 16 \times 4)$ -APSK: As shown in Fig. 4, to demap 439 the rightmost 2 bits related to the amplitudes in the $(64 = 16 \times 440 \text{ 4})$ -APSK, we have the scalar projection of y in the direction of 441 φ_{x^*} and the pseudo Gray 4PAM constellation set \mathcal{A} . We denote 442 the projection as $\hat{\rho}_y = \rho_y \cos(\phi(x^*,y))$ and the thresholds as 443 $d_1 = (r_1 + r_2)/2$ and $d_2 = (r_0 + r_3)/2$. If $\hat{\rho}_y$ is smaller than 444 d_1 , we have $r^* = r_0$ or r_1 , and the zeroth bit of \mathbf{b}^{A^*} must 445 be 0. The constellation subset with the zeroth bit being 1 is 446 $\mathcal{A}_0^{(1)} = \{r_2, r_3\}$, and obviously, the nearest point to $\hat{\rho}_y$ in $\mathcal{A}_0^{(1)}$ 447 is $r_{0,1}^* = r_2$, which is identical to the result given by Lemma 2. 448 If $\hat{\rho}_y$ is larger than d_1 , we have $b_0^{A^*} = 1$ and $r_{0,0}^* = r_1$, which 449 is also the same result given by Lemma 2. Therefore, the 450 proposed demapper achieves the same result as the Max-Log-451 MAP demapper for the zeroth bit of the pseudo 4PAM, and no 452 error is introduced.

However, for the first bit of the pseudo 4PAM, when $\hat{\rho}_y$ 454 falls in the interval of (d_1, d_2) known as the *error interval*, ¹

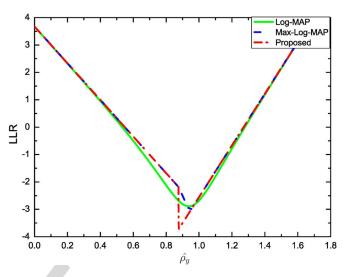


Fig. 5. LLR of the first bit of the pseudo 4PAM decomposed from $(64=16\times4)$ -APSK over the AWGN channel with $E_s/N_0=10$ dB.

the nearest constellation point to $\hat{\rho}_y$ in \mathcal{A} is $r^* = r_2$, and we 455 have $\mathbf{b}^{A^*} = (1\ 1)$ and $\mathcal{A}_1^{(0)} = \{r_0, r_3\}$. The point nearest to 456 $\hat{\rho}_y$ in $\mathcal{A}_1^{(0)}$ is supposed to be $r_{1,0}^* = r_3$ according to Lemma 2, 457 but in fact, $\hat{\rho}_y$ is closer to r_0 because of the asymmetry of the 458 pseudo PAM. The proposed demapper uses a farther point that 459 increases the absolute value of the LLR in (2). The increment of 460 the absolute value of the LLR caused by the proposed demapper 461 is bounded by

$$\Delta L = (|\hat{\rho}_y - r_3|^2 - |\hat{\rho}_y - r_0|^2)/N_0$$

$$= (r_3 - r_0)(r_0 + r_3 - 2\hat{\rho}_y)/N_0$$

$$< (r_3 - r_0)(r_0 + r_3 - r_1 - r_2)/N_0.$$
(16)

The exact and correct absolute LLR value is

$$|L_1| = (|\hat{\rho}_y - r_0|^2 - |\hat{\rho}_y - r_2|^2) / N_0$$

$$= (r_2 - r_0)(2\hat{\rho}_y - r_0 - r_2) / N_0$$

$$> (r_2 - r_0)(r_1 - r_0) / N_0.$$
(17)

463

Therefore, the ratio of ΔL over $|L_1|$ is bounded by

$$\frac{\Delta L}{|L_1|} < \frac{(r_3 - r_0)(r_3 + r_0 - r_1 - r_2)}{(r_2 - r_0)(r_1 - r_0)} \approx 0.708.$$
 (18)

The LLRs of the first bit of the pseudo 4PAM calculated 465 by the Log-MAP, Max-Log-MAP, and our proposed demapper 466 are shown in Fig. 5. The LLR calculated by our proposed 467 demapper is exactly the same as that of the Max-Log-MAP 468 demapper when $\hat{\rho}_y$ is outside the interval (d_1,d_2) . When $d_1 <$ 469 $\hat{\rho}_y < d_2$, the absolute value of the LLR calculated by our 470 proposed demapper is slightly larger than that of the Max-471 Log-MAP demapper. It is interesting to note that the absolute 472 value of the LLR calculated by the Log-MAP demapper is also 473 slightly larger than that of the Max-Log-MAP demapper in 474 some regions, and it is worth remembering that the Max-Log-475 MAP solution itself is an approximation of the optimal Log-476 MAP solution.

¹Here, we have $d_1 < d_2$ according to (12).

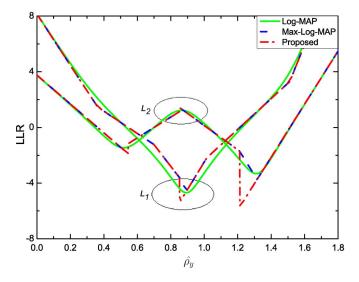


Fig. 6. LLRs of the first and second bits of the pseudo 8PAM decomposed from (256 = 32×8)-APSK over the AWGN channel with $E_s/N_0=14$ dB.

478 The ratio (18) associated with the error is an upper bound. 479 Furthermore, this error only exists when $\hat{\rho}_y \in (d_1,d_2)$, which 480 does not frequently happen, as will be detailed later. Before 481 analyzing the probability of $\hat{\rho}_y$ falling into an error interval, 482 we further examine the larger constellation of $(256 = 32 \times 8)$ -483 APSK.

b) $(256 = 32 \times 8)$ -APSK: Similar to $(64 = 16 \times 4)$ -485 APSK, for $(256 = 32 \times 8)$ -APSK, the error also occurs when 486 demapping the rightmost 3 bits, since we use the pseudo 487 Gray 8PAM constellation. More specifically, if $\hat{\rho}_u$ is smaller 488 than $(r_3 + r_4)/2$, the zeroth bit of \mathbf{b}^{A^*} must be 0. The 489 constellation subset associated with the zeroth bit being 1 is 490 $\mathcal{A}_0^{(1)} = \{r_4, r_5, r_6, r_7\}$, and obviously, the point closest to $\hat{\rho}_u$ 491 in $\mathcal{A}_0^{(1)}$ is $r_{0,1}^* = r_4$, which is the same result as that given by 492 Lemma 2. If $\hat{
ho}_y$ is larger than $(r_3+r_4)/2$, we have $b_0^{A^*}=1$ and 493 $r_{0,0}^* = r_3$, which is also identical to the result given by 494 Lemma 2. Therefore, no error occurs when demapping the 495 zeroth bit using Lemma 2. Demapping the first bit using 496 Lemma 2 has one error interval $((r_3 + r_4)/2, (r_1 + r_6)/2),$ 497 whereas demapping the second bit using Lemma 2 has three 498 error intervals $((r_0 + r_3)/2, (r_1 + r_2)/2), ((r_3 + r_4)/2,$ 499 $(r_2 + r_5)/2$), and $((r_5 + r_6)/2, (r_4 + r_7)/2)$. The LLRs of 500 the first and second bits related to the pseudo 8PAM calculated 501 by the Log-MAP, Max-Log-MAP, and our proposed demapper 502 are shown in Fig. 6. The LLR calculated by our proposed 503 demapper is exactly the same as the Max-Log-MAP demapper 504 when $\hat{\rho}_{y}$ is outside the error intervals. When $\hat{\rho}_{y}$ falls within one 505 of the error intervals, the absolute value of the LLR calculated 506 by our proposed demapper is slightly larger than that of the 507 Max-Log-MAP demapper.

508 3) Error distribution: Since $\phi(x^*,y)$ represents the mini-509 mum phase distance between received signal y and the constell-510 lation points, we have $\phi(x^*,y) \leq \pi/2^{m_1}$. As the constellation 511 order increases, $\phi(x^*,y)$ tends to 0, and $\cos(\phi(x^*,y))$ tends 512 to 1. For example, in the case of $(64 = 16 \times 4)$ -APSK, we 513 have $m_1 = 4$, $\phi(x^*,y) \leq \pi/16 = 0.1963$, and $\cos(\phi(x^*,y)) \geq$ 514 0.9808, whereas in the case of $(256 = 32 \times 8)$ -APSK, we 515 have $m_1 = 5$, $\phi(x^*,y) \leq \pi/32 = 0.0982$, and $\cos(\phi(x^*,y)) \geq$ 0.9952. Then, $\hat{\rho}_y$ can be approximated by ρ_y , which obeys a 516 Rician distribution. Specifically

$$p(\hat{\rho}_y|r) \approx \frac{2\hat{\rho}_y}{N_0} \exp\left(-\frac{\hat{\rho}_y^2 + r^2}{N_0}\right) I_0\left(\frac{2r\hat{\rho}_y}{N_0}\right)$$
(19)

where r denotes the amplitude of transmitted signal x, and $I_0(\cdot)$ 518 is the modified Bessel function of the first kind with order zero. 519

The error intervals for the 2^{m_2} -ary pseudo PAM can be 520 determined in the following recursive way.

- i) For the zeroth bit and $m_2 \ge 1$, there is no error interval. 522
- ii) For the first bit and $m_2=2$, the error interval is $((r_1+523 r_2)/2, (r_0+r_3)/2)$.
- iii) For the kth bit, where $1 \le k < m_2$ and $m_2 \ge 2$, there are 525 $2^k 1$ error intervals. We denote the ith error interval as 526 $(d_{i,1}^{m_2,k}, d_{i,2}^{m_2,k})$, where

$$\begin{split} d_{i,1}^{m_2,k} &= \min \left\{ \left(r_{e_{i,1}^{m_2,k}} + r_{e_{i,2}^{m_2,k}} \right) \! / 2, \left(r_{e_{i,3}^{m_2,k}} + r_{e_{i,4}^{m_2,k}} \right) \! / 2 \right\} \ \, (20) \\ d_{i,2}^{m_2,k} &= \max \left\{ \left(r_{e_{i,1}^{m_2,k}} + r_{e_{i,2}^{m_2,k}} \right) \! / 2, \left(r_{e_{i,3}^{m_2,k}} + r_{e_{i,4}^{m_2,k}} \right) \! / 2 \right\} \ \, (21) \end{split}$$

and $e_{i,j}^{m_2,k}$ denotes the index of the corresponding radius 528 calculated by (12). For example, for case ii), we have 529 $e_{1,1}^{2,1}=1$, $e_{1,2}^{2,1}=2$, $e_{1,3}^{2,1}=0$, and $e_{1,4}^{2,1}=3$. In general, in- 530 dex $e_{i,j}^{m_2,k}$ can be recursively determined from $e_{i,j}^{m_2-1,k-1}$ 531 according to

$$e_{i,j}^{m_2,k} = \begin{cases} e_{i,j}^{m_2-1,k-1}, & 1 \le i \le 2^{k-1} - 1 \\ 2^{m_2} - 1 - e_{i,j}^{m_2-1,k-1}, & 2^{k-1} \le i < 2^k - 1 \\ 2^{m_2-1} - 1, & 1 \le j \le 4 \\ 2^{m_2-1} - 1, & i = 2^k - 1; \ j = 1 \\ 2^{m_2-1}, & i = 2^k - 1; \ j = 2 \\ 2^{m_2-1} - 2^{m_2-k-1} - 1, & i = 2^k - 1; \ j = 3 \\ 2^{m_2-1} + 2^{m_2-k-1}, & i = 2^k - 1; \ j = 4. \end{cases}$$
 (22)

For the product-APSK constellation set \mathcal{X} , each ring has the 533 same number of points, and radius r is uniformly distributed 534 over set \mathcal{A} . Therefore, the probability of $\hat{\rho}_y$ falling into the error 535 interval $(d_{i,1}^{m_2,k}, d_{i,2}^{m_2,k})$ is readily shown to be

$$P\left(d_{i,1}^{m_2,k} < \hat{\rho}_y < d_{i,2}^{m_2,k}\right)$$

$$= \sum_{s=0}^{2^{m_2-1}} P(r_s) P\left(d_{i,1}^{m_2,k} < \hat{\rho}_y < d_{i,2}^{m_2,k} | r_s\right)$$

$$= \frac{1}{2^{m_2}} \sum_{s=0}^{2^{m_2-1}} \int_{d_{i,1}^{m_2,k}}^{d_{i,2}^{m_2,k}} p(\hat{\rho}_y | r_s) d\hat{\rho}_y. \tag{23}$$

It is clear that (23) does not have a closed-form expression. 537 Fortunately, since the Rician distribution can be approximated 538 by the Gaussian distribution at a high SNR, we have 539

$$P\left(d_{i,1}^{m_2,k} < \hat{\rho}_y < d_{i,2}^{m_2,k}\right) \approx \frac{1}{2^{m_2}} \sum_{s=0}^{2^{m_2-1}} \left(Q\left(\frac{d_{i,1}^{m_2,k} - r_s}{\sqrt{N_0/2}}\right) - Q\left(\frac{d_{i,2}^{m_2,k} - r_s}{\sqrt{N_0/2}}\right)\right) \tag{24}$$

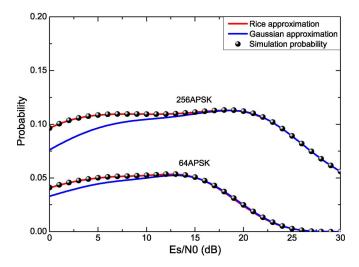


Fig. 7. Probability of $\hat{\rho}_y$ falling into the error interval(s) for $(64 = 16 \times 4)$ -APSK and $(256 = 32 \times 8)$ -APSK, for the AWGN channel.

540 and the probability of $\hat{\rho}_y$ falling into the error intervals can be 541 obtained by

$$P_{e} \approx \frac{1}{2^{m_{2}}} \times \sum_{s=0}^{2^{m_{2}-1}} \sum_{k=1}^{m_{2}-1} \sum_{i=1}^{2^{k}-1} \left(Q \left(\frac{d_{i,1}^{m_{2},k} - r_{s}}{\sqrt{N_{0}/2}} \right) - Q \left(\frac{d_{i,2}^{m_{2},k} - r_{s}}{\sqrt{N_{0}/2}} \right) \right)$$

$$(25)$$

542 where $Q(x)=(1/\sqrt{2\pi})\int_x^\infty \exp(-u^2/2)du$ represents the 543 standard tail probability function of the Gaussian distribution 544 with zero mean and unity variance.

For the case of $(64 = 16 \times 4)$ -APSK, the probability of $\hat{\rho}_y$ 546 falling into the error interval is shown in Fig. 7, as the function 547 of the SNR $= E_s/N_0$ over the AWGN channel. Three P_e 's are 548 shown in Fig. 7, namely, the two theoretical P_e 's derived by 549 the Rician and Gaussian approximations and the probability P_e 550 obtained by simulation. It can be observed that the probability 551 of $\hat{\rho}_y$ falling into the error interval is quite small even at low 552 SNRs. At high SNRs, the Gaussian approximation matches well 553 with the simulation result, and probability P_e tends to zero with 554 the increase in the SNR. This is due to the fact that received 555 signal y is likely to be very close to transmitted signal x at a 556 high SNR, and consequently, the probability of $\hat{\rho}_y$ falling into 557 the error interval becomes extremely small.

Fig. 7 also shows the probability of $\hat{\rho}_y$ falling into the error 559 intervals for $(256 = 32 \times 8)$ -APSK for transmission over the 560 AWGN channel at different SNR values. Probability P_e is 561 much higher than that of 64-APSK, since 256-APSK has more 562 error intervals, but it is no more than 12% at low SNRs. At 563 high SNRs, the Gaussian approximation matches well with 564 the simulation result, and the probability decreases with the 565 increase in the SNR. Probability P_e tends to zero, given a 566 sufficiently high SNR value, which is outside the SNR region 567 shown in Fig. 7.

Our theoretical analysis of $(64 = 16 \times 4)$ -APSK and $(256 = 569 32 \times 8)$ -APSK, therefore, shows that the error caused by the 570 proposed simplified demapper is relatively small compared

with the accurate LLR, and the probability of $\hat{\rho}_y$ falling into 571 the error intervals is also small (less than 6% for 64-APSK 572 and less than 12% for 256-APSK). Moreover, probability P_e 573 tends to zero at a sufficiently high SNR value. We can conclude 574 that the performance degradation associated with the proposed 575 demapper is negligible for $(64 = 16 \times 4)$ -APSK and $(256 = 576 32 \times 8)$ -APSK, in comparison with that of the Max-Log-MAP 577 demapper. This will be further demonstrated by the bit error 578 rate (BER) simulation results in Section IV.

It should be noted that Lemma 2 and 3 can be implemented 580 with the aid of a lookup table that defines the interval of y and 581 identifies which particular k_i^* is used for each of the intervals 582 specified by a set of thresholds. For nonuniform constellations 583 such as product-APSK, we can use a larger lookup table, which 584 contains the additional error intervals required for maintaining 585 the performance, albeit this requires more comparison opera-586 tions and an increased storage capacity.

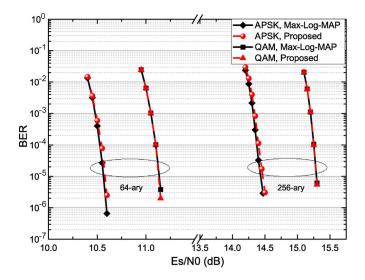
IV. SIMULATION RESULTS

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The BER performance of the proposed soft demapper was 589 evaluated by simulation. According to our analysis presented 590 in the previous sections, the proposed soft demapper achieves 591 exactly the same performance as the standard Max-Log-MAP 592 demapper for Gray-labeled PAM, PSK, and QAM. By contrast, 593 it suffers from a slight performance loss for the Gray-labeled 594 product-APSK because of the nonuniformly spaced pseudo 595 PAM constellation embedded in the product-APSK. We there- 596 fore carried out simulations for the QAM and product-APSK 597 constellations. The simulation parameters are listed as follows. 598

- Constellation Labeling: gray-labeled 64QAM, $(64 = 599 16 \times 4)$ -APSK, 256QAM and $(256 = 32 \times 8)$ -APSK; 600
- Demapper: the standard Max-Log-MAP demapper and the 601 proposed simplified soft demapper;
- Decoder: the 1/2-rate 64 800-bit long low-density parity- 603 check (LDPC) code of DVB-T2 was employed, whereby 604 the normalized Min-Sum decoding algorithm with a nor- 605 malization factor of $\alpha = 1/0.875$ was selected [22]. The 606 maximum number of LDPC iterations was set to 50;
- Channel: AWGN and independent identically distributed 608 Rayleigh fading channels. 609

The achievable BER performance is shown in Figs. 8 and 610 9 for the AWGN and Rayleigh fading channels, respectively. 611 It can be observed that the BER curves obtained by the Max- 612 Log-MAP and our simplified demappers are overlapped for 613 the Gray-labeled 64QAM and 256QAM over both the AWGN 614 and Rayleigh fading channels. This confirms that the soft 615 information calculated by our proposed demapper is exactly 616 the same as that of the Max-Log-MAP demapper. The results 617 shown in Figs. 8 and 9 also confirm that for the Gray-labeled 618 product-APSK, the performance degradation caused by the 619 proposed demapper is negligible compared with the Max-Log- 620 MAP demapper. Specifically, at the BER of 10^{-5} , the perfor- 621 mance loss is below 0.05 dB for the Gray-labeled 64APSK and 622 256APSK over both AWGN and Rayleigh channels, as shown 623 in Figs. 8 and 9. As expected, the performance degradation in 624 the case of $(256 = 32 \times 8)$ -APSK is slightly higher than that 625 of the $(64 = 16 \times 4)$ -APSK, owing to the fact that 256APSK 626



BER performance comparison over the AWGN channel.

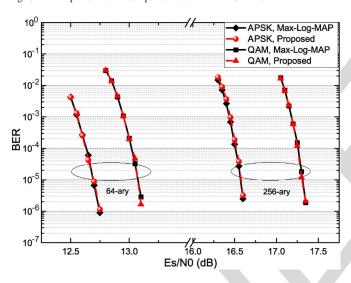


Fig. 9. BER performance comparison over the Rayleigh fading channel.

627 has one more bit related to the pseudo PAM. However, the 628 performance loss still remains below 0.05 dB for 256APSK.

In this paper, a universal simplified soft demapper has been 631 proposed for various binary-reflected Gray-labeled constella-632 tions. For the constellation of size 2^m , our proposed demap-633 per imposes a low-complexity order of O(m), instead of the 634 complexity order of $O(2^m)$ imposed by the standard Max-Log-635 MAP demapper. Our theoretical analysis and simulation results 636 have shown that the proposed simplified demapper achieves 637 exactly the same performance as that of the Max-Log-MAP 638 solution for Gray-labeled PAM, PSK, and QAM, whereas for 639 the Grav-labeled product-APSK, the performance degradation 640 caused by our simplified demapper remains negligible com-641 pared with that of the Max-Log-MAP demapper. More particu-642 larly, we have verified that this performance loss is less than 643 0.05 dB for both $(64 = 16 \times 4)$ -APSK and $(256 = 32 \times 8)$ -644 APSK for transmission over both the AWGN and Rayleigh 645 fading channels.

Once x^* and \mathbf{b}^* are determined, constellation subset $\mathcal{X}_{\cdot}^{(b_i^*)}$ 648 can be written as 649

$$\mathcal{X}_i^{(\overline{b_i^*})} = \left\{ x_k | x_k \in \mathcal{X}, \ c_{i-1}^k \oplus c_i^k = \overline{b_i^*} \right\} \tag{26}$$

where $\mathbf{c}^k = (c_0^k \ c_1^k \ \dots \ c_{m-1}^k)$ denotes the binary representation 650 of k, and we have $c_{-1}^k = 0$. By denoting the nearest constella-651 tion point to x^* in subset $\mathcal{X}_i^{(\overline{b_i^*})}$ as the k_i^* th constellation point 652 x_{k^*} , we have

$$x_{k_i^*} = \arg \min_{x, (\overline{b_i^*})} |x^* - x|$$
 (27)

$$x_{k_{i}^{*}} = \arg \min_{x \in \mathcal{X}_{i}^{(b_{i}^{*})}} |x^{*} - x|$$

$$k_{i}^{*} = \arg \min_{k \in \mathcal{K}_{i}^{(b_{i}^{*})}} |k^{*} - k|$$
(27)
(28)

where $\mathcal{K}_i^{(\overline{b_i^*})}=\{k|0\leq k<2^m,\ c_{i-1}^k\oplus c_i^k=\overline{b_i^*}\}$ denotes the 654 index set corresponding to $\mathcal{X}_{i}^{(b_{i}^{*})}$

For $k \in \mathcal{K}_i^{(\overline{b_i^*})}$, we can express k as $k = \sum_{j=0}^{m-1} c_j^k 2^{m-j-1}$, 656 where we have $c_{i-1}^k \oplus c_i^k = \overline{b_i^{k^*}} = \overline{c_{i-1}^{k^*} \oplus c_i^{k^*}}$. Therefore, 657

$$c_{i-1}^k = \overline{c_{i-1}^{k^*}}$$
 and $c_i^k = c_i^{k^*}$ or $c_{i-1}^k = c_{i-1}^{k^*}$ and $c_i^k = \overline{c_i^{k^*}}$. (29)

We now discuss the two situations.

i) The case of $c_{i-1}^k=\overline{c_{i-1}^{k^*}}$ and $c_i^k=c_i^{k^*}.$ We have $c_{i-1}^{k^*}-$ 660 $c_{i-1}^k=\pm 1,$ and

$$\left| \sum_{j_{1}=0}^{i-2} \left(c_{j_{1}}^{k^{*}} - c_{j_{1}}^{k} \right) 2^{m-j_{1}-1} + \left(c_{i-1}^{k^{*}} - c_{i-1}^{k} \right) 2^{m-i} \right| \\
= 2^{m-i} \left| \sum_{j_{1}=0}^{i-2} \left(c_{j_{1}}^{k^{*}} - c_{j_{1}}^{k} \right) 2^{i-j_{1}-1} + \left(c_{i-1}^{k^{*}} - c_{i-1}^{k} \right) \right| \\
\geq 2^{m-i} \tag{30}$$

where the inequality follows from the fact that 662 $\sum_{j_1=0}^{i-2}(c_{j_1}^{k^*}-c_{j_1}^k)2^{i-j_1-1}$ must be even and that 663 $c_{i-1}^{k^*}-c_{i-1}^k$ is odd. We also have

$$\left| \sum_{j_2=i+1}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right| \le \sum_{j_2=i+1}^{m-1} \left| c_{j_2}^{k^*} - c_{j_2}^k \right| 2^{m-j_2-1}$$

$$\le \sum_{j_2=i+1}^{m-1} 2^{m-j_2-1} = 2^{m-i-1} - 1. \quad (31)$$

Then, we can find the lower bound of $|k^* - k|$ as 665

$$|k^* - k| = \left| \sum_{j_1=0}^{i-2} \left(c_{j_1}^{k^*} - c_{j_1}^k \right) 2^{m-j_1-1} + \left(c_{i-1}^{k^*} - c_{i-1}^k \right) 2^{m-i} + \sum_{j_2=i+1}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right|$$

$$\geq \left| 2^{m-i} - \left(2^{m-i-1} - 1 \right) \right| = 2^{m-i-1} + 1.$$
 (32)

 $|k^* - k|$

666 ii) The case of $c_{i-1}^k = c_{i-1}^{k^*}$ and $c_i^k = \overline{c_i^{k^*}}$. If $\exists j_1 \in \{0, 1, \dots, i-2\}$, which makes $c_{j_1}^k \neq c_{j_1}^{k^*}$, then we have

$$|k^* - k| = \left| \sum_{j_1=0}^{i-2} \left(c_{j_1}^{k^*} - c_{j_1}^k \right) 2^{m-j_1-1} \right|$$

$$+ \sum_{j_2=i}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1}$$

$$\geq \left| \left| \sum_{j_1=0}^{i-2} \left(c_{j_1}^{k^*} - c_{j_1}^k \right) 2^{m-j_1-1} \right|$$

$$- \left| \sum_{j_2=i}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right|$$

$$\geq \left| 2^{m-i+1} - \left(2^{m-i} - 1 \right) \right| = 2^{m-i} + 1. \quad (33)$$

On the other hand, if $c_{j_1}^k=c_{j_1}^{k^*}$ for $0\leq j_1\leq i-2$, we have

$$= \left| \left(c_i^{k^*} - \overline{c_i^{k^*}} \right) 2^{m-i-1} + \sum_{j_2=i+1}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right|$$

$$= 2^{m-i-1} - (-1)^{c_i^{k^*}} \sum_{j_2=i+1}^{m-1} c_{j_2}^{k^*} 2^{m-j_2-1}$$

$$+ (-1)^{c_i^{k^*}} \sum_{j_2=i+1}^{m-1} c_{j_2}^k 2^{m-j_2-1}. \tag{34}$$

670 Apparently, the minimum of (34) is smaller than 2^{m-i-1} and, 671 thus, smaller than both the lower bounds given in (32) and (33). 672 Since the first two items in (34) are fixed, minimizing $|k^*-1| \le k$ is equivalent to minimizing $(-1)^{c_i^{k^*}} \sum_{j_2=i+1}^{m-1} c_{j_2}^k 2^{m-j_2-1}$. 674 Therefore, we have $c_i^{k_i^*} = c_i^{k^*}$, $i+1 \le j \le m-1$, and

$$k_i^* = \sum_{j_1=0}^{i-2} c_{j_1}^{k^*} 2^{m-j_1-1} + \overline{c_i^{k^*}} 2^{m-i-1} + \sum_{j_2=i+1}^{m-1} c_i^{k^*} 2^{m-j_2-1}$$

$$=2^{m-i-1}-c_i^{k^*}+\sum_{j=0}^{i-1}c_j^{k^*}2^{m-j-1}. (35)$$

675 It is clear that k_i^* is the unique solution of (28). Hence, $\forall\,k\in$ 676 $\mathcal{K}_i^{(\overline{b_i^*})}\setminus\{k_i^*\}$, we have $|k^*-k|\geq |k^*-k_i^*|+1$, and

$$|x^* - x_k| \ge |x^* - x_{k_*^*}| + \delta. \tag{36}$$

677 Since x^* is the nearest constellation point to y, we obtain

$$|y - hx^*| \le |h|\delta/2 \tag{37}$$

for $y \in [-2^{m-1}|h|\delta, 2^{m-1}|h|\delta]$. In this case, for $k \in \mathcal{K}_i^{(\overline{b_i^*})} \setminus 678$ $\{k_i^*\}$, we have

$$|y - hx_{k}| \ge |h(x^{*} - x_{k})| - |y - hx^{*}| \ge |h| (|x^{*} - x_{k_{i}^{*}}| + \delta) - |h|\delta/2 \ge |h(x^{*} - x_{k_{i}^{*}})| + |y - hx^{*}| \ge |y - hx_{k_{i}^{*}}|.$$
(38)

It is easy to find that this inequality still holds when y is outside 680 the interval $[-2^{m-1}|h|\delta,\ 2^{m-1}|h|\delta]$. Therefore, $x_{k_i^*}$ is not only 681 the nearest constellation point to x^* in $\mathcal{X}_i^{(\overline{b_i^*})}$ but the nearest 682 constellation point to y in $\mathcal{X}_i^{(\overline{b_i^*})}$ as well. This completes the 683 proof of Lemma 2.

From (8), $\phi(x,y)$ can be rewritten as $\phi(x,y) = \min\{|\varphi_x - 688 \varphi_y|, 2\pi - |\varphi_x - \varphi_y|\}$. The proof is divided into three parts 689 according to the values of $|\varphi_x - \varphi_y|$ and $|\varphi_y - \varphi_z|$.

i) If
$$|\varphi_x - \varphi_y| \le \pi$$
 and $|\varphi_y - \varphi_z| \le \pi$, we have

$$\phi(x,y) + \phi(y,z) = |\varphi_x - \varphi_y| + |\varphi_y - \varphi_z| \ge |\varphi_x - \varphi_z| \ge \phi(x,z). \tag{39}$$

ii) For $|\varphi_x - \varphi_y| > \pi$ and $|\varphi_y - \varphi_z| \le \pi$ or $|\varphi_x - \varphi_y| \le \pi$ 692 and $|\varphi_y - \varphi_z| > \pi$, without loss of generality, we assume 693 $|\varphi_x - \varphi_y| > \pi$ and $|\varphi_y - \varphi_z| \le \pi$. Then, we have

$$\phi(x,y) + \phi(y,z) = 2\pi - |\varphi_x - \varphi_y| + |\varphi_y - \varphi_z|$$

$$\geq 2\pi - |\varphi_x - \varphi_z| \geq \phi(x,z).$$
 (40)

iii) For $|\varphi_x - \varphi_y| > \pi$ and $|\varphi_y - \varphi_z| > \pi$, without loss of 695 generality, we assume $\varphi_x \ge \varphi_z$. Since φ_x, φ_y , and φ_z are 696 all inside the interval $[0, 2\pi]$, we have $\varphi_x \ge \varphi_z > \varphi_y + \pi$ 697 or $\varphi_z \le \varphi_x < \varphi_y - \pi$. If $\varphi_x \ge \varphi_z > \varphi_y + \pi$, we have 698

$$|\varphi_x - \varphi_y| + |\varphi_y - \varphi_z| + |\varphi_x - \varphi_z| = 2\varphi_x - 2\varphi_y < 4\pi. \tag{41}$$

If
$$\varphi_z \le \varphi_x < \varphi_y - \pi$$
, we have

$$|\varphi_x - \varphi_y| + |\varphi_y - \varphi_z| + |\varphi_x - \varphi_z| = 2\varphi_y - 2\varphi_z < 4\pi. \tag{42}$$

In both cases, we have

 $\phi(x,y) + \phi(y,z)$ $= 2\pi - |\varphi_x - \varphi_y| + 2\pi - |\varphi_y - \varphi_z| > |\varphi_x - \varphi_z|$ $\ge \phi(x,z).$ (43)

This completes the proof.

700

701

The definitions of $\mathcal{X}_i^{(\overline{b_i^*})}$ and $x_{k_i^*}$ are the same as given in 704 (26) and (27). Noting that

$$|x^* - x|^2 = \left| \sqrt{E_s} \exp(j\varphi_{x^*}) - \sqrt{E_s} \exp(j\varphi_x) \right|^2$$
$$= 2E_s - 2E_s \cos(\phi(x^*, x)) \tag{44}$$

706 we have

$$k_i^* = \arg\min_{k \in \mathcal{K}_i^{(b_i^*)}} \phi(x_{k^*}, x_k).$$
 (45)

707 Similar to the proof of Lemma 2, we can get the unique solution 708 of k_i^* as shown in (11), which means that $\forall\,k\in\mathcal{K}_i^{(\overline{b_i^*})}\setminus\{k_i^*\}$, 709 we have

$$\phi(x_k, x^*) \ge \phi(x^*, x_{k_i^*}) + 2\pi/2^m. \tag{46}$$

710 Since x^* is the nearest constellation point to y, we obtain

$$\phi(x^*, y) \le \pi/2^m. \tag{47}$$

711 According to (7), (9), (46), and (47), we have, \forall $k \in \mathcal{K}_i^{(\overline{b_i^*})} \setminus \{k_i^*\}$

$$\phi(x_{k}, y) \ge \phi(x^{*}, x_{k}) - \phi(x^{*}, y)
\ge \phi(x^{*}, x_{k_{i}^{*}}) + 2\pi/2^{m} - \pi/2^{m}
\ge \phi(x^{*}, x_{k_{i}^{*}}) + \phi(x^{*}, y) \ge \phi(x_{k_{i}^{*}}, y)
|y - hx_{k}| \ge |y - hx_{k_{i}^{*}}|.$$
(48)

712 Therefore, $x_{k_i^*}$ is not only the nearest constellation point to x^* 713 in $\mathcal{X}_i^{(\overline{b_i^*})}$ but the nearest constellation point to y in $\mathcal{X}_i^{(\overline{b_i^*})}$ as well. 714 This completes the proof.

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AUTHOR QUERIES

AUTHOR PLEASE ANSWER ALL QUERIES

- AQ1 = Note that "in the performance analysis section" was changed to "in Section III-D.4."
- AQ2 = Note that the section heading "The case of $(64 = 16 \times 4)$ -APSK" was changed to " $(64 = 16 \times 4)$ -APSK" here and in another similar instance.

END OF ALL QUERIES



3

28

A Universal Low-Complexity Symbol-to-Bit Soft Demapper

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Abstract-High-order constellations are commonly used for 6 achieving high bandwidth efficiency in most communication sys-7 tems. However, the complexity of the multiplication operations 8 associated with the standard max-sum approximation of the max-9 imum a posteriori probability in the log-domain (Max-Log-MAP) 10 symbol-to-bit demapper is very high. In this contribution, we 11 conceive a low-complexity universal soft demapper, which reduces 12 the demapper's complexity considerably for the binary-reflected 13 Gray-labeled pulse amplitude modulation (PAM), phase shift key-14 ing (PSK), quadrature amplitude modulation (QAM), and ampli-15 tude phase-shift keying (APSK) relying on product constellation 16 labeling (product-APSK). Our theoretical analysis demonstrates 17 that the proposed demapper has exactly the same performance as 18 the Max-Log-MAP demapper for the Gray-labeled PAM, PSK, 19 and QAM. Our theoretical analysis and simulation results also 20 demonstrate that for the Gray-labeled product-APSK, the per-21 formance degradation of the proposed simplified soft demapper 22 is negligible for both 64-ary and 256-ary constellations compared 23 with the Max-Log-MAP demapper.

24 *Index Terms*—Amplitude phase-shift keying (APSK), 25 Max-Log-MAP, phase-shift keying (PSK), pulse amplitude 26 modulation (PAM), quadrature amplitude modulation (QAM), 27 soft demapper.

I. Introduction

IGH-ORDER constellations are preferred in many transmission systems, as they are capable of achieving high bandwidth efficiency. For example, 256-ary quadrature amplitude modulation (256QAM) and 4096QAM are employed by 33 the second-generation digital terrestrial television broadcasting standard (DVB-T2) [1] and the second-generation digital cable 35 television broadcasting standard (DVB-C2) [2], respectively.

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- Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

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Furthermore, 128QAM is recommended by the long-term evo- 36 lution advanced (LTE-Advanced) standards [3], which supports 37 reception even for high-velocity vehicular communications. 38 However, for these high-order modulation schemes, a high- 39 complexity symbol-to-bit demapper is required when using the 40 conventional maximum *a posteriori* probability based in the 41 log-domain (Log-MAP) demapping algorithm [4]. Albeit 42 the max-sum-approximation-based version of the Log-MAP 43 (Max-Log-MAP) demapper [5] eliminates the high-complexity 44 exponential and logarithmic operations in the Log-MAP 45 gorithm, the number of multiplications remains high, and the 46 complexity of the Max-Log-MAP algorithm is on the order 47 of $O(2^m)$, where 2^m denotes the constellation size with m 48 representing the number of bits per symbol.

Numerous simplified demapper algorithms have been pro- 50 posed for specific constellations. In [6], a bit-metric-generation 51 approach is proposed for phase-shift keying (PSK) using Gray 52 labeling, which recursively generates bit metrics based on a 53 simplified function. This recursive demapper achieves the same 54 performance as the Max-Log-MAP demapper, while reducing 55 the number of multiplications by 59% for 32PSK. By decom- 56 posing the 2^m -ary QAM constellation into two independent (in- 57 phase and quadrature) $2^{m/2}$ -ary pulse amplitude modulation 58 (PAM) constellations, the complexity of the associated Max-59 Log-MAP demapper is reduced from $O(2^m)$ to $O(2^{m/2})$ [7], 60 [8]. The complexity of the QAM demapper can be further 61 reduced to the order of O(m) by invoking a piecewise linear ap- 62 proximation, but this inevitably imposes performance degrada- 63 tion [9]. A similar soft demapper is proposed for amplitude PSK 64 (APSK) in [10], where the constellation is partitioned with the 65 aid of simplified hard-decision threshold (HDT)-based bound- 66 ary lines, and soft information is calculated as the distances be- 67 tween the received signal and the HDT lines. This approximate 68 demapper reduces the number of multiplications to 4 and 11 69 for 16APSK and 32APSK, respectively. A simplified demapper 70 is also proposed for multilevel coding followed by multistage 71 decoding, which focuses on the APSK signal [11], and the 72 complexity of this APSK demapper is reduced to a constant 73 (neglecting comparison operations) at the cost of exponentially 74 increasing the memory required and necessitating an additional 75 division [11]. In [12], the complexity of the demapper is 76 reduced by reusing the multipliers, and only 16 multipliers 77 are used for all the four modulation modes (QPSK, 8PSK, 78 16APSK, and 32APSK) in the second-generation digital video 79 broadcasting over satellite (DVB-S2) system. For the constel-80 lation rotation and cyclic Q delay modulation of DVB-T2, 81 several simplified demappers are proposed for reducing 82

83 complexity by decreasing the number of the constellation points 84 required for calculating the minimum squared distances [13]–85 [15]. For APSK using product constellation labeling (product-86 APSK), it is shown [16] that a $(2^{m_1} \times 2^{m_2} = 2^m)$ -ary APSK 87 constellation can be regarded as the product of 2^{m_1} -ary PSK 88 and pseudo 2^{m_2} -ary PAM, and a simplified demapper is pro-89 posed in [17], which reduces the complexity of the demapper 90 from $O(2^m)$ to $O(2^{m_1}) + O(2^{m_2})$.

All the previously mentioned Gray labeling functions de-92 signed for the various constellations are the classic binary-93 reflected Gray labeling schemes proposed by Gray in 1953 94 as a means of reducing the number of bit errors, where two 95 adjacent constellation points differ in only one bit [18]. In [19], 96 Agrell *et al.* showed that the binary-reflected Gray labeling is 97 the optimal labeling for PAM, PSK, and QAM, which achieves 98 the lowest possible bit error probability among all possible la-99 beling functions for the additive white Gaussian noise (AWGN) 100 channel.

Against this background, in this contribution, a univer102 sal low-complexity soft demapper is proposed for various
103 binary-reflected Gray-labeled constellations. By exploiting the
104 symmetry of Gray-labeled constellations, we show that the
105 complexity of a 2^m -ary demapper can be reduced from $O(2^m)$ 106 to O(m). Moreover, our proposed low-complexity soft demap107 per attains the same performance as the Max-Log-MAP demap108 per for PAM, PSK, and QAM, whereas the performance
109 degradation of our low-complexity soft demapper is negligible
110 for product-APSK, in comparison with the Max-Log-MAP
111 solution.

The rest of this paper is organized as follows. In Section II, 113 the standard Max-Log-MAP demapper is highlighted. In 114 Section III, our simplified soft demapper is proposed, and 115 its performance and complexity are analyzed in detail. In 116 Section IV, the performance of both the proposed low-117 complexity demapper and the conventional Max-Log-MAP 118 demapper is quantified for Gray-labeled QAM and product-119 APSK for transmission over both AWGN and Rayleigh fading 120 channels. Our conclusions are drawn in Section V.

The following notations are employed throughout this con-122 tribution. Uppercase calligraphic letters denote sets, e.g., \mathcal{X} . 123 Boldface lowercase letters represent vectors, e.g., b, whose 124 ith element is written as b_i . Uppercase letters denote random 125 variables (RVs), e.g., X, whereas the corresponding lowercase 126 letters represent their realizations, e.g., x. P(x) is used for the 127 probability mass function (pmf) of a discrete RV X, and p(x)128 denotes the probability density function (pdf) of a continuous 129 RV X. P(y|x) represents the conditional pmf of Y=y given 130 X=x, whereas p(y|x) represents the conditional pdf of Y=y131 given X=x. The magnitude operator is denoted by $|\cdot|$.

II. SYSTEM MODEL WITH MAX-LOG-MAXIMUM A POSTERIORI DEMAPPER

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134 At the transmitter of a coded system, the coded bits are 135 grouped into bit vectors, each with the length of m and de-136 noted by $\mathbf{b}=(b_0\ b_1\ \dots\ b_{m-1})$. Bit vector \mathbf{b} is then mapped 137 onto constellation point $x\in\mathcal{X}$ for transmission, where $\mathcal{X}=138$ $\{x_k,0\leq k<2^m\}$ denotes the signal set of size 2^m .

At the receiver, the soft information for each coded bit is 139 calculated based on received signal y, which is then passed to 140 the decoder. For the Log-MAP demapper, the soft information 141 on the ith bit is expressed in the form of the log-likelihood ratio 142 (LLR) L_i according to [17]

$$L_{i} = \log \frac{P(b_{i} = 0|y)}{P(b_{i} = 1|y)} = \log \frac{\sum_{x \in \mathcal{X}_{i}^{(0)}} P(x|y)}{\sum_{x \in \mathcal{X}_{i}^{(1)}} P(x|y)}$$
$$= \log \frac{\sum_{x \in \mathcal{X}_{i}^{(0)}} p(y|x)}{\sum_{x \in \mathcal{X}_{i}^{(1)}} p(y|x)}$$
(1)

for $0 \le i < m$, where $\mathcal{X}_i^{(b)}$ denotes the signal subset of \mathcal{X} with 144 the ith bit being $b \in \{0,1\}$. The last equality in (1) follows from 145 Bayes' rule and the assumption that signals x_k , $0 \le k < 2^m$ are 146 equiprobable.

A flat-fading channel is modeled as y=hx+n, where h 148 denotes the complex-valued channel state information (CSI), 149 and n stands for the complex-valued AWGN with zero mean 150 and variance $N_0/2$ per dimension. When the perfect CSI h is 151 available at the receiver, the conditional pdf p(y|x) in (1) can be 152 written as $p(y|x) = (1/\pi N_0) \exp(-|y-hx|^2/N_0)$. Observe 153 that given the availability of perfect CSI, the received signal 154 can be phase equalized, after which only the amplitude of CSI 155 h is required. Thus, we simply assume that h is nonnegative 156 real valued. By using the well-known max-sum approximation 157 of $\sum_j z_j \approx \max_j z_j$ for nonnegative z_j , where the summation 158 is dominated by the largest term, the conventional Max-Log-159 MAP demapper is readily formulated as

$$L_{i} \approx \log \frac{\max_{x \in \mathcal{X}_{i}^{(0)}} p(y|x)}{\max_{x \in \mathcal{X}_{i}^{(1)}} p(y|x)}$$

$$= -\frac{1}{N_{0}} \left(\min_{x \in \mathcal{X}_{i}^{(0)}} |y - hx|^{2} - \min_{x \in \mathcal{X}_{i}^{(1)}} |y - hx|^{2} \right). \quad (2)$$

The Max-Log-MAP of (2) is a fairly accurate approximation 161 of the Log-MAP of (1) in the high signal-to-noise ratio (SNR) 162 region, and it avoids the complex exponential and logarith- 163 mic operations. For each received signal, the Max-Log-MAP 164 demapper calculates all the 2^m squared Euclidean distances, 165 i.e., $|y-hx|^2$ for every $x \in \mathcal{X}$, to find the two minimum terms 166 described in (2). Therefore, its complexity quantified in terms 167 of multiplications is on the order of $O(2^m)$.

III. PROPOSED SIMPLIFIED SOFT DEMAPPER 169

After carefully examining (2), it is interesting to note that 170 item $\min_{x \in \mathcal{X}} |y - hx|^2$, i.e., the squared Euclidean distance 171 from received signal y to the nearest constellation point x^* , 172 always appears in (2), and it is equal to either $\min_{x \in \mathcal{X}_i^{(0)}} |y - 173|$ $hx|^2$ or $\min_{x \in \mathcal{X}_i^{(1)}} |y - hx|^2$, depending on the ith bit of x^* 174 being 0 or 1. In other words, $|y - hx^*|^2$ is always one of the 175 two terms in (2). By denoting the bit vector that maps to signal 176 x^* as $\mathbf{b}^* = (b_0^* \ b_1^* \dots b_{m-1}^*)$, the other item in (2) represents the 177 squared Euclidean distance from y to the nearest constellation 178

179 point in subset $\mathcal{X}_i^{(\overline{b_i^*})}$, which is denoted by $x_{i,\overline{b_i^*}}^*$, where we have 180 $\overline{b}=1-b$.

181 For Gray-labeled constellations, we will show that x^* and 182 $x_{i,\overline{b_i^*}}^*$, $0 \le i < m$, can be determined by using simple compar-183 ison and addition operations. Afterward, we only have to cal-184 culate the m+1 squared Euclidean distances, i.e., $|y-hx^*|^2$ 185 and $|y-hx_{i,\overline{b_i^*}}^*|^2$ for $0 \le i < m$. Therefore, the complexity of 186 our proposed demapper is on the order of O(m).

187 Accordingly, we divide the demapping procedure into three 188 steps: 1) finding x^* and \mathbf{b}^* ; 2) determining x^*_{i,\overline{b}^*_i} ; and 189 3) calculating L_i according to (2). For binary-reflected Gray-190 labeled constellations, we have the following lemma from [20], 191 describing how to obtain \mathbf{b}^* .

192 Lemma 1: For binary-reflected Gray labeling $\mathbf{b} \to x_k$, by 193 denoting $\mathbf{c}^k = (c_0^k \ c_1^k \ \dots \ c_{m-1}^k)$ as the binary representation 194 of index k with the least significant bit (LSB) as the rightmost 195 bit, \mathbf{b} can be calculated as

$$\mathbf{b} = (c_0^k c_1^k \dots c_{m-1}^k) \oplus (0 c_0^k \dots c_{m-2}^k)$$
 (3)

196 where \oplus represents the bitwise XOR operation.

197 The expressions generated for determining x^* and $x^*_{i,\overline{b^*_i}}$ are 198 slightly different for various constellations. In the following, the 199 simplified soft demappers designed for the Gray-labeled PAM, 200 QAM, PSK, and product-APSK are presented in detail.

201 A. PAM Demapper

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Without loss of generality, we assume that all the signals as-203 sociated with PAM are real valued. For the 2^m -ary Gray-labeled 204 PAM, we denote the constellation points as $x_0, x_1, \ldots, x_{2^m-1}$ 205 with the kth constellation point x_k given by $x_k = \delta(-(2^m - 206\ 1) + 2k)/2$, where δ denotes the distance between each pair 207 of adjacent constellation points. The detailed PAM demapping 208 procedure is given as follows.

- 1) Find x^* and b^* . For 2^m -PAM, signal space can be di-209 vided into 2^m intervals separated by amplitude thresholds 210 $-(2^{m-1}-1)\delta$, $-(2^{m-1}-2)\delta$, ..., $(2^{m-1}-1)\delta$. Mul-211 tiplying h with the thresholds can be implemented by 212 SHIFT-ADD operations, since the thresholds are constants. 213 Additionally, we can use the binary-search algorithm to 214 find the specific interval in which y is located. Therefore, 215 only m comparison operations are required for obtaining 216 217 $x^* = x_{k^*}$. The corresponding bit vector \mathbf{b}^* can then be calculated according to Lemma 1. An example for the 218 Gray-labeled 8PAM (Gray-8PAM) constellation is shown 219 in Fig. 1, where we have $k^* = 2$ and $b^* = (0 \ 1 \ 1)$. 220 221
 - 2) Determine $x_{i,\overline{b_i^*}}^*$. Considering the symmetric structure of Gray-labeled PAM constellations, we have the following lemma for computing $x_{i,\overline{b_i^*}}^*$, which only requires the binary representation of k^* and addition operations, instead of the need to calculate all the squared Euclidean distances from y to the constellation points in subset $\mathcal{X}_i^{(\overline{b_i^*})}$ and compare all the resultant 2^{m-1} metrics.

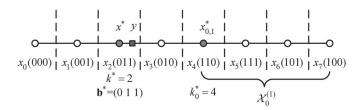


Fig. 1. Gray-8PAM constellation and illustration of demapping for the 0th bit over the AWGN channel.

Lemma 2: For the binary-reflected Gray PAM $\mathbf{b}^* \to x_{k^*}$, 228 where x_{k^*} is the nearest constellation point to received signal 229 y, let $\mathbf{c}^{k^*} = (c_0^{k^*} \ c_1^{k^*} \ \dots \ c_{m-1}^{k^*})$ be the binary representation 230 of k^* with the LSB as the rightmost bit. Then, the nearest 231 constellation point to y in subset $\mathcal{X}_i^{(\overline{b_i^*})}$, namely, $x_{i,\overline{b_i^*}}^*$, can be 232 determined according to

$$x_{i,\overline{b_{i}^{*}}}^{*} = x_{k_{i}^{*}} \tag{4}$$

where 234

$$k_i^* = 2^{m-i-1} - c_i^{k^*} + \sum_{j=0}^{i-1} c_j^{k^*} 2^{m-j-1}.$$
 (5)

Proof: See Appendix A. ■ 235

3) Calculate L_i according to (2). After obtaining x^* , \mathbf{b}^* , and 236 $x_{i,\overline{b^*}}^*$, we can rewrite L_i as

$$L_{i} = -\frac{1}{N_{0}} \left(1 - 2b_{i}^{*} \right) \left(|y - hx^{*}|^{2} - \left| y - hx_{i,\overline{b_{i}^{*}}}^{*} \right|^{2} \right). \tag{6}$$

It is clear that (6) is equivalent to (2) for the Gray-labeled 238 PAM. Hence, the performance of the proposed simplified soft 239 demapper is exactly the same as that of the standard Max-Log- 240 MAP demapper, while its complexity is reduced from $O(2^m)$ 241 to O(m).

The 2^m -ary square Gray-labeled QAM can be decomposed 244 into two independent (in-phase and quadrature phase) $2^{m/2}$ -ary 245 Gray-labeled PAMs, and we can apply our proposed simplified 246 PAM demapper to each of these two Gray-labeled PAMs. Thus, 247 the complexity of our simplified Gray-labeled QAM demapper 248 is reduced from $O(2^m)$ to O(m) without suffering any per- 249 formance loss, in comparison to the standard Max-Log-MAP 250 demapper.

By applying the same idea to PSK demapping, we can 253 also reduce the complexity from $O(2^m)$ to O(m) without any 254 performance loss, compared with the Max-Log-MAP solution. 255 For 2^m -ary Gray-labeled PSK, the signal set can be written 256 in the polar coordinate format as $\mathcal{X} = \{x_k = \sqrt{E_s} \exp(\mathrm{j}(2k+2571)\pi/2^m), 0 \le k < 2^m\}$, where E_s denotes the energy of the 258 transmitted signals, and $\mathrm{j} = \sqrt{-1}$. An example of the Gray-259 8PSK constellation is shown in Fig. 2.

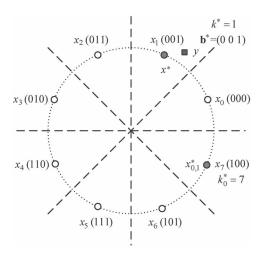


Fig. 2. Gray-8PSK constellation and illustration of demapping for the zeroth bit over the AWGN channel.

Let us express the phase-equalized received signal y in the 262 polar coordinate format as $y=\rho_y\exp(\mathrm{j}\varphi_y)$, where ρ_y and φ_y 263 denote the amplitude and phase of y, respectively, and $0\leq 264\ \varphi_y<2\pi$. Then, the squared Euclidean distance $|y-hx|^2$ can 265 be written as

$$|y - hx|^2 = \left| \rho_y \exp(j\varphi_y) - h\sqrt{E_s} \exp(j\varphi_x) \right|^2$$

$$= \rho_y^2 + h^2 E_s - 2\rho_y h\sqrt{E_s} \cos(\varphi_x - \varphi_y)$$

$$= \rho_y^2 + h^2 E_s - 2\rho_y h\sqrt{E_s} \cos(\phi(x, y))$$
(7)

266 where φ_x is the phase of x, and $\phi(x,y)$ is defined as

$$\phi(x,y) = \begin{cases} |\varphi_x - \varphi_y|, & 0 \le |\varphi_x - \varphi_y| \le \pi \\ 2\pi - |\varphi_x - \varphi_y|, & \pi < |\varphi_x - \varphi_y| < 2\pi \end{cases}$$
 (8)

267 It is obvious that $\phi(x,y) \in [0,\pi]$ and is commutative, i.e., 268 $\phi(x,y) = \phi(y,x)$. The mapping defined in (8) also satisfies the 269 triangle inequality, that is, $\forall \, x, \, y, \, z \in \mathbb{C}$, we have

$$\phi(x,z) \leqslant \phi(x,y) + \phi(y,z) \tag{9}$$

270 where $\mathbb C$ denotes the complex-valued space. The proof is given 271 in Appendix B. Therefore, $\phi(x,y)$ defines a distance over $\mathbb C$, 272 which is referred to as the *phase distance* of x and y in this 273 paper.

Furthermore, multiplying $x \in \mathbb{C}$ with a positive value does 275 not change the phase of x, i.e., $\varphi_{hx} = \varphi_x$, $\forall h > 0$. Hence, we 276 have $\phi(hx,y) = \phi(x,y)$, $\forall h > 0$. Since the cosine function is a 277 decreasing function in $[0,\pi]$, minimizing the squared Euclidean 278 distance $|y-hx|^2$ of (7) is equivalent to minimizing phase 279 distance $\phi(x,y)$. Therefore, we can simply use the phase of 280 the signal in the search process of the PSK demapper, and the 281 resultant PSK demapping procedure is detailed as follows.

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1) Find x^* and b^* . The signal space of the 2^m -ary Graylabeled PSK can be divided into 2^m phase intervals separated by phase thresholds $0, \pi/2^{m-1}, \ldots, (2^m-1)\pi/2^{m-1}$, as shown in Fig. 2. Signal x^* can be obtained by comparing φ_y with the phase thresholds, which only needs m comparisons using the binary-search algorithm.

Similar to the PAM demapper, after finding $x^* = x_{k^*}$, 288 the corresponding bit vector \mathbf{b}^* is calculated according to 289 Lemma 1. For the case shown in Fig. 2, we have $k^* = 1$ 290 and $\mathbf{b}^* = (0\ 0\ 1)$.

2) Determine $x_{i,\bar{b}_i^*}^*$. Unlike the PAM constellation, the PSK 292 constellation is circularly symmetric, and the phase dis-293 tance function we used for comparisons is defined in 294 a piecewise fashion. Therefore, calculating $x_{i,\bar{b}_i^*}^*$ for the 295 PSK demapper is slightly different from that of the PAM 296 demapper. We have the following lemma for computing 297 $x_{i,\bar{b}_i^*}^*$ of Gray-labeled PSK.

Lemma 3: For the binary-reflected Gray PSK b* $\rightarrow x_{k^*}$, 299 where x_{k^*} is the constellation point nearest to received signal 300 y, let $\mathbf{c}^{k^*} = (c_0^{k^*} \ c_1^{k^*} \dots \ c_{m-1}^{k^*})$ be the binary representation 301 of k^* with the LSB as the rightmost bit. Then, the point 302 nearest to y in subset $\mathcal{X}_i^{(\overline{b_i^*})}$, namely, $x_{i,\overline{b_i^*}}^*$, can be determined 303 according to

$$x_{i,\overline{b^*}}^* = x_{k_i^*} \tag{10}$$

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where 305

$$k_i^* = \begin{cases} \overline{c_0^{k^*}} 2^{m-1} + \overline{c_1^{k^*}} (2^{m-1} - 1), & i = 0\\ 2^{m-i-1} - c_i^{k^*} + \sum_{j=0}^{i-1} c_j^{k^*} 2^{m-j-1}, & i > 0 \end{cases}$$
 (11)

Proof: See Appendix C.

1) 3) Calculate L_i according to (2). After obtaining x^* , b^* , 307 and x^*_{i,b^*_i} , the soft information on the ith bit, i.e., L_i , is 308 calculated according to (6), which is the same result as 309 that in (2) for the Max-Log-MAP demapper, as is the case 310 for the PAM demapper. Clearly, the performance of this 311 simplified soft demapper is identical to that of the Max- 312 Log-MAP demapper, while only imposing a complexity 313 on the order of O(m).

D. Gray-APSK Demapper

1) Review of Gray-APSK: A generic M-ary APSK con-316 stellation is composed of R concentric rings, each having 317 uniformly spaced PSK points. More specifically, the M-APSK 318 constellation set is given by $\mathcal{X} = \{r_l \exp(\mathrm{j}(2\pi i/n_l + \theta_l)), \ 0 \le 319 \ i < n_l, \ 0 \le l < R\}$, in which n_l, r_l , and θ_l denote the number 320 of PSK points, the radius, and the phase shift of the lth ring, 321 respectively, while we have $\sum_{l=0}^{R-1} n_l = M$ [21].

In [16], a special APSK constellation was proposed, which 323 consists of $R=2^{m_2}$ rings and $n_l=2^{m_1}$ PSK points on each 324 ring for the $(M=2^m)$ -ary APSK, where we have m_1+325 $m_2=m$. This kind of APSK is known as the product-326 APSK and is denoted by $(M=2^{m_1}\times 2^{m_2})$ -APSK. The lth 327 radius of the product-APSK constellation, where $0 \le l < R$, 328 is determined by

$$r_l = \sqrt{-\ln\left(1 - (l + 1/2)2^{-m_2}\right)}.$$
 (12)

The $(2^m=2^{m_1}\times 2^{m_2})$ -APSK can be regarded as the prod- 330 uct of 2^{m_1} -ary PSK and 2^{m_2} -ary pseudo PAM, where the 331

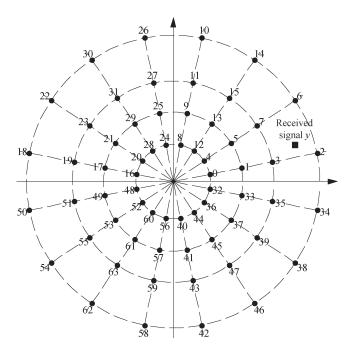


Fig. 3. Gray-labeled $(64 = 16 \times 4)$ -APSK constellation, where the labels are in the decimal form with the binary representation having the LSB as the rightmost bit.

332 pseudo PAM and PSK sets are given, respectively, by A =

333 $\{r_l, 0 \le l < 2^{m_2}\}$ and $\mathcal{P} = \{p_k = \exp(\mathrm{j}\varphi_k) \text{ with } \varphi_k = (2k+34\ 1)\pi/2^{m_1}, 0 \le k < 2^{m_1}\}$ [17]. We divide the m-bit vector 335 b into two subvectors \mathbf{b}^P and \mathbf{b}^A of lengths m_1 and m_2 , 336 respectively. Specifically, \mathbf{b}^P consists of the leftmost m_1 bits 337 of b, whereas \mathbf{b}^A contains the rest rightmost m_2 bits of b. 338 Without loss of generality, \mathbf{b}^P is mapped to the equivalent 2^{m_1} -339 PSK point, and \mathbf{b}^A is mapped to the equivalent pseudo 2^{m_2} -340 PAM point. Gray labeling can be used for mapping the bits to 341 the equivalent constellation signals. This Gray-labeled APSK 342 (Gray-APSK) is a special product-APSK [16], [17]. The Gray-343 labeled $(64 = 16 \times 4)$ -APSK is shown in Fig. 3. 344 2) Proposed Demapping Algorithm for Gray-APSK: Like 345 the other constellations previously discussed, the standard Max-346 Log-MAP demapping designed for Gray-APSK also uses (2). 347 By writing transmitted signal x and received signal y in the 348 polar-coordinate format, the squared Euclidean distance |y|

$$|y - hx|^2 = \rho_y^2 + h^2 \rho_x^2 - 2h\rho_x \rho_y \cos(\phi(x, y))$$

= $(\rho_y \cos(\phi(x, y)) - h\rho_x)^2 + \rho_y^2 \sin^2(\phi(x, y))$ (13)

350 where ρ_x and ρ_y represent the amplitudes of x and y, respec-351 tively, and $\phi(x,y)$ is the phase distance between x and y, as 352 defined in (8).

349 hx|² for Gray-APSK can be readily expressed as

353 Due to the circular symmetry of the Gray-APSK constella-354 tion, it is clear that the nearest constellation point x^* from y355 has the smallest phase distance, i.e., $\phi(x^*,y)$ is the smallest 356 one in set $\{\phi(x,y),\ \varphi_x\in\mathcal{P}\}$, and it is no larger than $\pi/2^{m_1}$, 357 as exemplified in Fig. 3. Furthermore, according to (13), the 358 amplitude of x^* , which is denoted by ρ_{x^*} , satisfies

$$\rho_{x^*} = \arg\min_{\rho_x \in \mathcal{A}} |\rho_y \cos(\phi(x^*, y)) - h\rho_x|.$$
 (14)

After determining the phase and the amplitude of x^* , it is easy 359 to find the corresponding bit label b^* . As for finding x^*_{i,\overline{b}^*_i} , this 360 depends on whether the *i*th bit is related to the phase or the 361 amplitude.

For the bits related to the phase of the Gray-APSK signal, 363 i.e., for $0 \le i < m_1$, the phase of $x^*_{i,\overline{b^*_i}}$, which is denoted by 364 $\varphi_{x^*_{i,\overline{b^*_i}}}$, can be readily determined based on Lemma 3 owing to 365 the uniform distribution of the phases, whereas the amplitude 366 of $x^*_{i,\overline{b^*_i}}$, which is denoted by $\rho_{x^*_{i,\overline{b^*_i}}}$, obeys 367

$$\rho_{x_{i,\overline{b_{i}^{*}}}^{*}} = \arg\min_{\rho_{x} \in \mathcal{A}} \left| \rho_{y} \cos \left(\phi \left(x_{i,\overline{b_{i}^{*}}}^{*}, y \right) \right) - h \rho_{x} \right|. \tag{15}$$

For the bits mapped to the amplitude of the Gray-APSK 368 signal, i.e., for $m_1 \leq i < m$, it is clear that the phase of $x_{i,b_i^*}^*$ 369 is exactly the same as that of x^* , and we may approximately 370 obtain the amplitude of $x_{i,b_i^*}^*$ via Lemma 2. However, due to the 371 nonuniformly spaced amplitudes of \mathcal{A} , such an approximation 372 may cause some errors, albeit the performance loss is fortu-373 nately negligible, as will be detailed later in Section III-D4.

Upon obtaining x^* , b^* , and x^*_{i,b^*_i} , we can readily determine 375 the demapping output of the *i*th bit based on (6). This simplified 376 Gray-APSK demapping procedure is summarized as follows. 377

- 1) Find x^* and \mathbf{b}^* . The phase of x^* is determined by 378 minimizing the phase difference from y to x with phase 379 $\varphi_x \in \mathcal{P}$, and its amplitude is determined according to 380 (14). Having obtained $\varphi_{x^*} = \varphi_{k^{P^*}}$ and $\rho_{x^*} = r_{k^{A^*}}$, sub- 381 bit vectors \mathbf{b}^{P^*} and \mathbf{b}^{A^*} are calculated according to 382 Lemma 1, yielding $\mathbf{b}^* = (\mathbf{b}^{P^*} \mathbf{b}^{A^*})$.
- 2) Determine $x_{i,\overline{b_i^*}}^*$. For the leftmost m_1 bits that are related 384 to the phases of the Gray-APSK signals, we can obtain 385 the phase of $x_{i,\overline{b_i^*}}^*$ according to Lemma 3 and its amplitude 386 according to (15). For the rightmost m_2 bits, i.e., $m_1 \leq 387$ i < m, the phase of $x_{i,\overline{b_i^*}}^*$ is exactly the same as φ_{x^*} , and 388 its amplitude is approximately determined according to 389 Lemma 2.
- 3) Calculate L_i according to (2). After obtaining x^* , b^* , and 391 x^*_{i,b^*_i} , the soft information on the *i*th bit, i.e., L_i , is given 392 by (6), as for the other demappers.
- 3) Complexity Analysis: Step 1) determines x^* and \mathbf{b}^* . The 394 phase of x^* can be readily obtained by simple comparison 395 operations, and its amplitude is determined according to (14), 396 which requires one multiplication for $\rho_y \cos(\phi(x^*,y))$ and 397 m_2 comparison operations. Having determined x^* , calculating 398 \mathbf{b}^* only requires some low-complexity XOR operations. The 399 complexity of step 2) is mainly associated with determining the 400 amplitude of x^*_{i,b^*_i} according to (15), for $0 \le i < m_1$, which 401 requires one multiplication operation for $\rho_y \cos(\phi(x^*_{i,b^*_i},y))$ 402 and m_2 comparison operations. It is therefore clear that the 403 complexity of the proposed simplified Gray-APSK demapper 404 is $O(2 \times m_1 + m_2) \approx O(m)$, which is dramatically lower than 405 the complexity of $O(2^m)$ required by the standard Max-Log-406 MAP solution.

AQ2

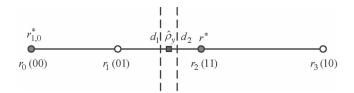


Fig. 4. Pseudo 4PAM decomposed from the $(64 = 16 \times 4)$ -APSK constellation.

408 An alternative complexity analysis, which is "easier" to 409 follow is outlined below. The demapper proposed for $(2^m = 410\ 2^{m_1} \times 2^{m_2})$ -APSK is equivalent to the demapper conceived 411 for 2^{m_1} -ary PSK implemented with the aid of the simplified 412 PSK demapping procedure in Section III-C at the complexity 413 of $O(m_1)$ and the demapper for the 2^{m_2} -ary pseudo PAM 414 implemented with the aid of the simplified PAM demapping 415 procedure in Section III-A at the complexity of $O(m_2)$. There-416 fore, the complexity of the proposed simplified Gray-APSK 417 demapper is approximately $O(m_1) + O(m_2) \approx O(m)$. It is 418 worth emphasizing again that the complexity of our proposed 419 simplified Gray-APSK demapper is also much lower than that 420 of the simplified soft demapper for product-APSK given in [17], 421 which is on the order of $O(2^{m_1}) + O(2^{m_2})$.

422 4) Performance Analysis: Owing to the fact that the phase 423 of the APSK constellation is uniformly spaced, Lemma 3 424 always holds when demapping the leftmost m_1 bits, and the 425 results of the proposed demapper are exactly the same as those 426 of the Max-Log-MAP demapper. However, unlike in the con-427 ventional PAM, the distances between pairs of adjacent points 428 in the corresponding pseudo PAM part of the Gray-APSK 429 constellation are not constant, which means that Lemma 2 does 430 not always hold. Therefore, when demapping the rightmost 431 m_2 bits with the aid of Lemma 2, the resultant x_{i}^{*} may

432 not always be the point nearest to y in subset $\mathcal{X}_i^{(b_i^+)}$, which 433 may slightly increase the absolute value of the LLR in (2) 434 and, consequently, results in some performance degradation. 435 Fortunately, this degradation is negligible. In the following, we 436 present the detailed analysis of this performance loss with the 437 aid of Gray-labeled 64-APSK and 256-APSK.

438 a) $(64 = 16 \times 4)$ -APSK: As shown in Fig. 4, to demap 439 the rightmost 2 bits related to the amplitudes in the $(64 = 16 \times 440 \text{ 4})$ -APSK, we have the scalar projection of y in the direction of 441 φ_{x^*} and the pseudo Gray 4PAM constellation set \mathcal{A} . We denote 442 the projection as $\hat{\rho}_y = \rho_y \cos(\phi(x^*,y))$ and the thresholds as 443 $d_1 = (r_1 + r_2)/2$ and $d_2 = (r_0 + r_3)/2$. If $\hat{\rho}_y$ is smaller than 444 d_1 , we have $r^* = r_0$ or r_1 , and the zeroth bit of \mathbf{b}^{A^*} must 445 be 0. The constellation subset with the zeroth bit being 1 is 446 $\mathcal{A}_0^{(1)} = \{r_2, r_3\}$, and obviously, the nearest point to $\hat{\rho}_y$ in $\mathcal{A}_0^{(1)}$ 447 is $r_{0,1}^* = r_2$, which is identical to the result given by Lemma 2. 448 If $\hat{\rho}_y$ is larger than d_1 , we have $b_0^{A^*} = 1$ and $r_{0,0}^* = r_1$, which 449 is also the same result given by Lemma 2. Therefore, the 450 proposed demapper achieves the same result as the Max-Log-451 MAP demapper for the zeroth bit of the pseudo 4PAM, and no 452 error is introduced.

However, for the first bit of the pseudo 4PAM, when $\hat{\rho}_y$ 454 falls in the interval of (d_1, d_2) known as the *error interval*, ¹

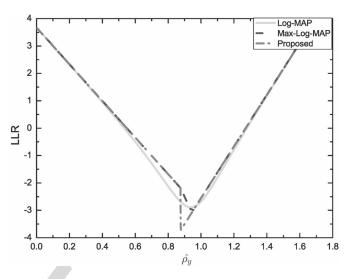


Fig. 5. LLR of the first bit of the pseudo 4PAM decomposed from $(64=16\times4)$ -APSK over the AWGN channel with $E_s/N_0=10$ dB.

the nearest constellation point to $\hat{\rho}_y$ in \mathcal{A} is $r^* = r_2$, and we 455 have $\mathbf{b}^{A^*} = (1\ 1)$ and $\mathcal{A}_1^{(0)} = \{r_0, r_3\}$. The point nearest to 456 $\hat{\rho}_y$ in $\mathcal{A}_1^{(0)}$ is supposed to be $r_{1,0}^* = r_3$ according to Lemma 2, 457 but in fact, $\hat{\rho}_y$ is closer to r_0 because of the asymmetry of the 458 pseudo PAM. The proposed demapper uses a farther point that 459 increases the absolute value of the LLR in (2). The increment of 460 the absolute value of the LLR caused by the proposed demapper 461 is bounded by

$$\Delta L = (|\hat{\rho}_y - r_3|^2 - |\hat{\rho}_y - r_0|^2)/N_0$$

$$= (r_3 - r_0)(r_0 + r_3 - 2\hat{\rho}_y)/N_0$$

$$< (r_3 - r_0)(r_0 + r_3 - r_1 - r_2)/N_0.$$
(16)

The exact and correct absolute LLR value is

$$|L_1| = (|\hat{\rho}_y - r_0|^2 - |\hat{\rho}_y - r_2|^2) / N_0$$

$$= (r_2 - r_0)(2\hat{\rho}_y - r_0 - r_2) / N_0$$

$$> (r_2 - r_0)(r_1 - r_0) / N_0.$$
(17)

463

Therefore, the ratio of ΔL over $|L_1|$ is bounded by

$$\frac{\Delta L}{|L_1|} < \frac{(r_3 - r_0)(r_3 + r_0 - r_1 - r_2)}{(r_2 - r_0)(r_1 - r_0)} \approx 0.708.$$
 (18)

The LLRs of the first bit of the pseudo 4PAM calculated 465 by the Log-MAP, Max-Log-MAP, and our proposed demapper 466 are shown in Fig. 5. The LLR calculated by our proposed 467 demapper is exactly the same as that of the Max-Log-MAP 468 demapper when $\hat{\rho}_y$ is outside the interval (d_1,d_2) . When $d_1 <$ 469 $\hat{\rho}_y < d_2$, the absolute value of the LLR calculated by our 470 proposed demapper is slightly larger than that of the Max-471 Log-MAP demapper. It is interesting to note that the absolute 472 value of the LLR calculated by the Log-MAP demapper is also 473 slightly larger than that of the Max-Log-MAP demapper in 474 some regions, and it is worth remembering that the Max-Log-475 MAP solution itself is an approximation of the optimal Log-476 MAP solution.

¹Here, we have $d_1 < d_2$ according to (12).

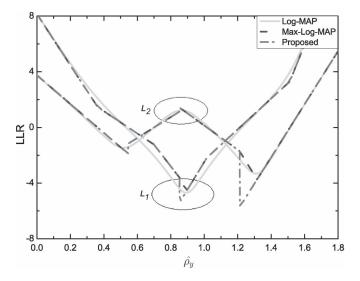


Fig. 6. LLRs of the first and second bits of the pseudo 8PAM decomposed from (256 = 32×8)-APSK over the AWGN channel with $E_s/N_0=14$ dB.

478 The ratio (18) associated with the error is an upper bound. 479 Furthermore, this error only exists when $\hat{\rho}_y \in (d_1,d_2)$, which 480 does not frequently happen, as will be detailed later. Before 481 analyzing the probability of $\hat{\rho}_y$ falling into an error interval, 482 we further examine the larger constellation of $(256 = 32 \times 8)$ -483 APSK.

b) $(256 = 32 \times 8)$ -APSK: Similar to $(64 = 16 \times 4)$ -485 APSK, for $(256 = 32 \times 8)$ -APSK, the error also occurs when 486 demapping the rightmost 3 bits, since we use the pseudo 487 Gray 8PAM constellation. More specifically, if $\hat{\rho}_u$ is smaller 488 than $(r_3 + r_4)/2$, the zeroth bit of \mathbf{b}^{A^*} must be 0. The 489 constellation subset associated with the zeroth bit being 1 is 490 $\mathcal{A}_0^{(1)} = \{r_4, r_5, r_6, r_7\}$, and obviously, the point closest to $\hat{\rho}_y$ 491 in $\mathcal{A}_0^{(1)}$ is $r_{0,1}^* = r_4$, which is the same result as that given by 492 Lemma 2. If $\hat{
ho}_y$ is larger than $(r_3+r_4)/2$, we have $b_0^{A^*}=1$ and 493 $r_{0,0}^* = r_3$, which is also identical to the result given by 494 Lemma 2. Therefore, no error occurs when demapping the 495 zeroth bit using Lemma 2. Demapping the first bit using 496 Lemma 2 has one error interval $((r_3 + r_4)/2, (r_1 + r_6)/2),$ 497 whereas demapping the second bit using Lemma 2 has three 498 error intervals $((r_0 + r_3)/2, (r_1 + r_2)/2), ((r_3 + r_4)/2,$ 499 $(r_2 + r_5)/2$), and $((r_5 + r_6)/2, (r_4 + r_7)/2)$. The LLRs of 500 the first and second bits related to the pseudo 8PAM calculated 501 by the Log-MAP, Max-Log-MAP, and our proposed demapper 502 are shown in Fig. 6. The LLR calculated by our proposed 503 demapper is exactly the same as the Max-Log-MAP demapper 504 when $\hat{\rho}_{y}$ is outside the error intervals. When $\hat{\rho}_{y}$ falls within one 505 of the error intervals, the absolute value of the LLR calculated 506 by our proposed demapper is slightly larger than that of the 507 Max-Log-MAP demapper.

3) Error distribution: Since $\phi(x^*,y)$ represents the mini-509 mum phase distance between received signal y and the constell-510 lation points, we have $\phi(x^*,y) \leq \pi/2^{m_1}$. As the constellation 511 order increases, $\phi(x^*,y)$ tends to 0, and $\cos(\phi(x^*,y))$ tends 512 to 1. For example, in the case of $(64 = 16 \times 4)$ -APSK, we 513 have $m_1 = 4$, $\phi(x^*,y) \leq \pi/16 = 0.1963$, and $\cos(\phi(x^*,y)) \geq$ 514 0.9808, whereas in the case of $(256 = 32 \times 8)$ -APSK, we 515 have $m_1 = 5$, $\phi(x^*,y) \leq \pi/32 = 0.0982$, and $\cos(\phi(x^*,y)) \geq$ 0.9952. Then, $\hat{\rho}_y$ can be approximated by ρ_y , which obeys a 516 Rician distribution. Specifically

$$p(\hat{\rho}_y|r) \approx \frac{2\hat{\rho}_y}{N_0} \exp\left(-\frac{\hat{\rho}_y^2 + r^2}{N_0}\right) I_0\left(\frac{2r\hat{\rho}_y}{N_0}\right)$$
(19)

where r denotes the amplitude of transmitted signal x, and $I_0(\cdot)$ 518 is the modified Bessel function of the first kind with order zero. 519

The error intervals for the 2^{m_2} -ary pseudo PAM can be 520 determined in the following recursive way.

- i) For the zeroth bit and $m_2 \ge 1$, there is no error interval. 522
- ii) For the first bit and $m_2=2$, the error interval is $((r_1+523 r_2)/2, (r_0+r_3)/2)$.
- iii) For the kth bit, where $1 \le k < m_2$ and $m_2 \ge 2$, there are 525 $2^k 1$ error intervals. We denote the ith error interval as 526 $(d_{i,1}^{m_2,k}, d_{i,2}^{m_2,k})$, where

$$\begin{split} d_{i,1}^{m_2,k} &= \min \left\{ \left(r_{e_{i,1}^{m_2,k}} + r_{e_{i,2}^{m_2,k}} \right) / 2, \left(r_{e_{i,3}^{m_2,k}} + r_{e_{i,4}^{m_2,k}} \right) / 2 \right\} \ \, (20) \\ d_{i,2}^{m_2,k} &= \max \left\{ \left(r_{e_{i,1}^{m_2,k}} + r_{e_{i,2}^{m_2,k}} \right) / 2, \left(r_{e_{i,3}^{m_2,k}} + r_{e_{i,4}^{m_2,k}} \right) / 2 \right\} \ \, (21) \end{split}$$

and $e_{i,j}^{m_2,k}$ denotes the index of the corresponding radius 528 calculated by (12). For example, for case ii), we have 529 $e_{1,1}^{2,1}=1$, $e_{1,2}^{2,1}=2$, $e_{1,3}^{2,1}=0$, and $e_{1,4}^{2,1}=3$. In general, in- 530 dex $e_{i,j}^{m_2,k}$ can be recursively determined from $e_{i,j}^{m_2-1,k-1}$ 531 according to

$$e_{i,j}^{m_2,k} = \begin{cases} e_{i,j}^{m_2-1,k-1}, & 1 \le i \le 2^{k-1} - 1 \\ 2^{m_2} - 1 - e_{i,j}^{m_2-1,k-1}, & 1 \le j \le 4 \\ 2^{m_2} - 1 - e_{i,j}^{m_2-1,k-1}, & 1 \le j \le 4 \\ 2^{m_2-1} - 1, & 1 \le j \le 4 \\ 2^{m_2-1} - 1, & i = 2^k - 1; \ j = 1 \\ 2^{m_2-1} - 2^{m_2-k-1} - 1, & i = 2^k - 1; \ j = 3 \\ 2^{m_2-1} + 2^{m_2-k-1}, & i = 2^k - 1; \ j = 4. \end{cases}$$
 (22)

For the product-APSK constellation set \mathcal{X} , each ring has the 533 same number of points, and radius r is uniformly distributed 534 over set \mathcal{A} . Therefore, the probability of $\hat{\rho}_y$ falling into the error 535 interval $(d_{i,1}^{m_2,k}, d_{i,2}^{m_2,k})$ is readily shown to be

$$P\left(d_{i,1}^{m_2,k} < \hat{\rho}_y < d_{i,2}^{m_2,k}\right)$$

$$= \sum_{s=0}^{2^{m_2-1}} P(r_s) P\left(d_{i,1}^{m_2,k} < \hat{\rho}_y < d_{i,2}^{m_2,k} | r_s\right)$$

$$= \frac{1}{2^{m_2}} \sum_{s=0}^{2^{m_2-1}} \int_{d_{i,1}^{m_2,k}}^{d_{i,2}^{m_2,k}} p(\hat{\rho}_y | r_s) d\hat{\rho}_y. \tag{23}$$

It is clear that (23) does not have a closed-form expression. 537 Fortunately, since the Rician distribution can be approximated 538 by the Gaussian distribution at a high SNR, we have 539

$$P\left(d_{i,1}^{m_2,k} < \hat{\rho}_y < d_{i,2}^{m_2,k}\right) \approx \frac{1}{2^{m_2}} \sum_{s=0}^{2^{m_2-1}} \left(Q\left(\frac{d_{i,1}^{m_2,k} - r_s}{\sqrt{N_0/2}}\right) - Q\left(\frac{d_{i,2}^{m_2,k} - r_s}{\sqrt{N_0/2}}\right)\right) \tag{24}$$

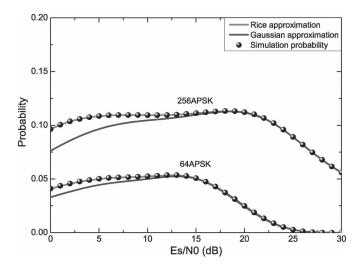


Fig. 7. Probability of $\hat{\rho}_y$ falling into the error interval(s) for $(64 = 16 \times 4)$ -APSK and $(256 = 32 \times 8)$ -APSK, for the AWGN channel.

540 and the probability of $\hat{\rho}_y$ falling into the error intervals can be 541 obtained by

$$P_{e} \approx \frac{1}{2^{m_{2}}} \times \sum_{s=0}^{2^{m_{2}-1}} \sum_{k=1}^{m_{2}-1} \sum_{i=1}^{2^{k}-1} \left(Q \left(\frac{d_{i,1}^{m_{2},k} - r_{s}}{\sqrt{N_{0}/2}} \right) - Q \left(\frac{d_{i,2}^{m_{2},k} - r_{s}}{\sqrt{N_{0}/2}} \right) \right)$$

$$(25)$$

542 where $Q(x)=(1/\sqrt{2\pi})\int_x^\infty \exp(-u^2/2)du$ represents the 543 standard tail probability function of the Gaussian distribution 544 with zero mean and unity variance.

For the case of $(64 = 16 \times 4)$ -APSK, the probability of $\hat{\rho}_y$ 546 falling into the error interval is shown in Fig. 7, as the function 547 of the SNR $= E_s/N_0$ over the AWGN channel. Three P_e 's are 548 shown in Fig. 7, namely, the two theoretical P_e 's derived by 549 the Rician and Gaussian approximations and the probability P_e 550 obtained by simulation. It can be observed that the probability 551 of $\hat{\rho}_y$ falling into the error interval is quite small even at low 552 SNRs. At high SNRs, the Gaussian approximation matches well 553 with the simulation result, and probability P_e tends to zero with 554 the increase in the SNR. This is due to the fact that received 555 signal y is likely to be very close to transmitted signal x at a 556 high SNR, and consequently, the probability of $\hat{\rho}_y$ falling into 557 the error interval becomes extremely small.

Fig. 7 also shows the probability of $\hat{\rho}_y$ falling into the error 559 intervals for $(256 = 32 \times 8)$ -APSK for transmission over the 560 AWGN channel at different SNR values. Probability P_e is 561 much higher than that of 64-APSK, since 256-APSK has more 562 error intervals, but it is no more than 12% at low SNRs. At 563 high SNRs, the Gaussian approximation matches well with 564 the simulation result, and the probability decreases with the 565 increase in the SNR. Probability P_e tends to zero, given a 566 sufficiently high SNR value, which is outside the SNR region 567 shown in Fig. 7.

Our theoretical analysis of $(64 = 16 \times 4)$ -APSK and $(256 = 569 32 \times 8)$ -APSK, therefore, shows that the error caused by the 570 proposed simplified demapper is relatively small compared

with the accurate LLR, and the probability of $\hat{\rho}_y$ falling into 571 the error intervals is also small (less than 6% for 64-APSK 572 and less than 12% for 256-APSK). Moreover, probability P_e 573 tends to zero at a sufficiently high SNR value. We can conclude 574 that the performance degradation associated with the proposed 575 demapper is negligible for $(64 = 16 \times 4)$ -APSK and $(256 = 576 32 \times 8)$ -APSK, in comparison with that of the Max-Log-MAP 577 demapper. This will be further demonstrated by the bit error 578 rate (BER) simulation results in Section IV.

It should be noted that Lemma 2 and 3 can be implemented 580 with the aid of a lookup table that defines the interval of y and 581 identifies which particular k_i^* is used for each of the intervals 582 specified by a set of thresholds. For nonuniform constellations 583 such as product-APSK, we can use a larger lookup table, which 584 contains the additional error intervals required for maintaining 585 the performance, albeit this requires more comparison opera-586 tions and an increased storage capacity.

IV. SIMULATION RESULTS

588

The BER performance of the proposed soft demapper was 589 evaluated by simulation. According to our analysis presented 590 in the previous sections, the proposed soft demapper achieves 591 exactly the same performance as the standard Max-Log-MAP 592 demapper for Gray-labeled PAM, PSK, and QAM. By contrast, 593 it suffers from a slight performance loss for the Gray-labeled 594 product-APSK because of the nonuniformly spaced pseudo 595 PAM constellation embedded in the product-APSK. We there- 596 fore carried out simulations for the QAM and product-APSK 597 constellations. The simulation parameters are listed as follows. 598

- Constellation Labeling: gray-labeled 64QAM, $(64 = 599 16 \times 4)$ -APSK, 256QAM and $(256 = 32 \times 8)$ -APSK; 600
- Demapper: the standard Max-Log-MAP demapper and the 601 proposed simplified soft demapper;
- Decoder: the 1/2-rate 64 800-bit long low-density parity- 603 check (LDPC) code of DVB-T2 was employed, whereby 604 the normalized Min-Sum decoding algorithm with a nor- 605 malization factor of $\alpha = 1/0.875$ was selected [22]. The 606 maximum number of LDPC iterations was set to 50;
- Channel: AWGN and independent identically distributed 608
 Rayleigh fading channels.

The achievable BER performance is shown in Figs. 8 and 610 9 for the AWGN and Rayleigh fading channels, respectively. 611 It can be observed that the BER curves obtained by the Max- 612 Log-MAP and our simplified demappers are overlapped for 613 the Gray-labeled 64QAM and 256QAM over both the AWGN 614 and Rayleigh fading channels. This confirms that the soft 615 information calculated by our proposed demapper is exactly 616 the same as that of the Max-Log-MAP demapper. The results 617 shown in Figs. 8 and 9 also confirm that for the Gray-labeled 618 product-APSK, the performance degradation caused by the 619 proposed demapper is negligible compared with the Max-Log- 620 MAP demapper. Specifically, at the BER of 10^{-5} , the perfor- 621 mance loss is below 0.05 dB for the Gray-labeled 64APSK and 622 256APSK over both AWGN and Rayleigh channels, as shown 623 in Figs. 8 and 9. As expected, the performance degradation in 624 the case of $(256 = 32 \times 8)$ -APSK is slightly higher than that 625 of the $(64 = 16 \times 4)$ -APSK, owing to the fact that 256APSK 626

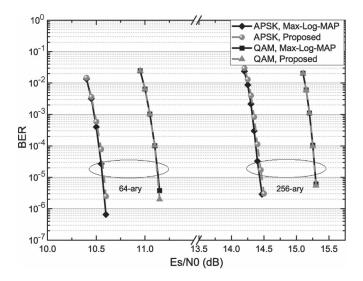


Fig. 8. BER performance comparison over the AWGN channel.

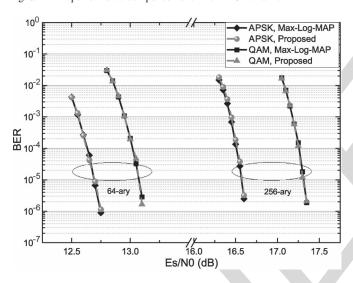


Fig. 9. BER performance comparison over the Rayleigh fading channel.

627 has one more bit related to the pseudo PAM. However, the 628 performance loss still remains below 0.05 dB for 256APSK.

In this paper, a universal simplified soft demapper has been 631 proposed for various binary-reflected Gray-labeled constella-632 tions. For the constellation of size 2^m , our proposed demap-633 per imposes a low-complexity order of O(m), instead of the 634 complexity order of $O(2^m)$ imposed by the standard Max-Log-635 MAP demapper. Our theoretical analysis and simulation results 636 have shown that the proposed simplified demapper achieves 637 exactly the same performance as that of the Max-Log-MAP 638 solution for Gray-labeled PAM, PSK, and QAM, whereas for 639 the Grav-labeled product-APSK, the performance degradation 640 caused by our simplified demapper remains negligible com-641 pared with that of the Max-Log-MAP demapper. More particu-642 larly, we have verified that this performance loss is less than 643 0.05 dB for both $(64 = 16 \times 4)$ -APSK and $(256 = 32 \times 8)$ -644 APSK for transmission over both the AWGN and Rayleigh 645 fading channels.

Once x^* and \mathbf{b}^* are determined, constellation subset $\mathcal{X}_{\cdot}^{(b_i^*)}$ 648 can be written as 649

$$\mathcal{X}_i^{(\overline{b_i^*})} = \left\{ x_k | x_k \in \mathcal{X}, \ c_{i-1}^k \oplus c_i^k = \overline{b_i^*} \right\}$$
 (26)

where $\mathbf{c}^k = (c_0^k \ c_1^k \ \dots \ c_{m-1}^k)$ denotes the binary representation 650 of k, and we have $c_{-1}^k = 0$. By denoting the nearest constella-651 tion point to x^* in subset $\mathcal{X}_i^{(\overline{b_i^*})}$ as the k_i^* th constellation point 652 x_{k^*} , we have

$$x_{k_i^*} = \arg \min_{\mathbf{y}, (\bar{b}_i^*)} |x^* - x|$$
 (27)

$$x_{k_{i}^{*}} = \arg \min_{x \in \mathcal{X}_{i}^{(b_{i}^{*})}} |x^{*} - x|$$

$$k_{i}^{*} = \arg \min_{k \in \mathcal{K}_{i}^{(b_{i}^{*})}} |k^{*} - k|$$
(27)
(28)

where $\mathcal{K}_i^{(\overline{b_i^*})}=\{k|0\leq k<2^m,\ c_{i-1}^k\oplus c_i^k=\overline{b_i^*}\}$ denotes the 654 index set corresponding to $\mathcal{X}_{i}^{(b_{i}^{*})}$

For $k \in \mathcal{K}_i^{(\overline{b_i^*})}$, we can express k as $k = \sum_{j=0}^{m-1} c_j^k 2^{m-j-1}$, 656 where we have $c_{i-1}^k \oplus c_i^k = \overline{b_i^{k^*}} = \overline{c_{i-1}^{k^*} \oplus c_i^{k^*}}$. Therefore, 657

$$c_{i-1}^k = \overline{c_{i-1}^{k^*}}$$
 and $c_i^k = c_i^{k^*}$ or $c_{i-1}^k = c_{i-1}^{k^*}$ and $c_i^k = \overline{c_i^{k^*}}$. (29)

We now discuss the two situations.

i) The case of $c_{i-1}^k=\overline{c_{i-1}^{k^*}}$ and $c_i^k=c_i^{k^*}.$ We have $c_{i-1}^{k^*}-$ 660 $c_{i-1}^k=\pm 1,$ and

$$\left| \sum_{j_{1}=0}^{i-2} \left(c_{j_{1}}^{k^{*}} - c_{j_{1}}^{k} \right) 2^{m-j_{1}-1} + \left(c_{i-1}^{k^{*}} - c_{i-1}^{k} \right) 2^{m-i} \right| \\
= 2^{m-i} \left| \sum_{j_{1}=0}^{i-2} \left(c_{j_{1}}^{k^{*}} - c_{j_{1}}^{k} \right) 2^{i-j_{1}-1} + \left(c_{i-1}^{k^{*}} - c_{i-1}^{k} \right) \right| \\
\geq 2^{m-i}$$
(30)

where the inequality follows from the fact that 662 $\sum_{j_1=0}^{i-2}(c_{j_1}^{k^*}-c_{j_1}^k)2^{i-j_1-1}$ must be even and that 663 $c_{i-1}^{k^*}-c_{i-1}^k$ is odd. We also have

$$\left| \sum_{j_2=i+1}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right| \le \sum_{j_2=i+1}^{m-1} \left| c_{j_2}^{k^*} - c_{j_2}^k \right| 2^{m-j_2-1}$$

$$\le \sum_{j_2=i+1}^{m-1} 2^{m-j_2-1} = 2^{m-i-1} - 1. \quad (31)$$

Then, we can find the lower bound of $|k^* - k|$ as 665

$$|k^* - k| = \left| \sum_{j_1=0}^{i-2} \left(c_{j_1}^{k^*} - c_{j_1}^k \right) 2^{m-j_1-1} + \left(c_{i-1}^{k^*} - c_{i-1}^k \right) 2^{m-i} + \sum_{j_2=i+1}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right|$$

$$\geq \left| 2^{m-i} - \left(2^{m-i-1} - 1 \right) \right| = 2^{m-i-1} + 1.$$
 (32)

 $|k^* - k|$

666 ii) The case of $c_{i-1}^k = c_{i-1}^{k^*}$ and $c_i^k = \overline{c_i^{k^*}}$. If $\exists j_1 \in \{0, 1, \dots, i-2\}$, which makes $c_{j_1}^k \neq c_{j_1}^{k^*}$, then we have

$$|k^* - k| = \left| \sum_{j_1=0}^{i-2} \left(c_{j_1}^{k^*} - c_{j_1}^k \right) 2^{m-j_1-1} \right|$$

$$+ \sum_{j_2=i}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1}$$

$$\geq \left| \left| \sum_{j_1=0}^{i-2} \left(c_{j_1}^{k^*} - c_{j_1}^k \right) 2^{m-j_1-1} \right|$$

$$- \left| \sum_{j_2=i}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right|$$

$$\geq \left| 2^{m-i+1} - \left(2^{m-i} - 1 \right) \right| = 2^{m-i} + 1. \quad (33)$$

On the other hand, if $c_{j_1}^k=c_{j_1}^{k^*}$ for $0\leq j_1\leq i-2$, we have

$$= \left| \left(c_i^{k^*} - \overline{c_i^{k^*}} \right) 2^{m-i-1} + \sum_{j_2=i+1}^{m-1} \left(c_{j_2}^{k^*} - c_{j_2}^k \right) 2^{m-j_2-1} \right|$$

$$= 2^{m-i-1} - (-1)^{c_i^{k^*}} \sum_{j_2=i+1}^{m-1} c_{j_2}^{k^*} 2^{m-j_2-1}$$

$$+ (-1)^{c_i^{k^*}} \sum_{j_2=i+1}^{m-1} c_{j_2}^k 2^{m-j_2-1}. \tag{34}$$

670 Apparently, the minimum of (34) is smaller than 2^{m-i-1} and, 671 thus, smaller than both the lower bounds given in (32) and (33). 672 Since the first two items in (34) are fixed, minimizing $|k^*-1| \le k$ is equivalent to minimizing $(-1)^{c_i^{k^*}} \sum_{j_2=i+1}^{m-1} c_{j_2}^k 2^{m-j_2-1}$. 674 Therefore, we have $c_i^{k_i^*} = c_i^{k^*}$, $i+1 \le j \le m-1$, and

$$k_i^* = \sum_{j_1=0}^{i-2} c_{j_1}^{k^*} 2^{m-j_1-1} + \overline{c_i^{k^*}} 2^{m-i-1} + \sum_{j_2=i+1}^{m-1} c_i^{k^*} 2^{m-j_2-1}$$

$$=2^{m-i-1}-c_i^{k^*}+\sum_{j=0}^{i-1}c_j^{k^*}2^{m-j-1}. (35)$$

675 It is clear that k_i^* is the unique solution of (28). Hence, $\forall\,k\in$ 676 $\mathcal{K}_i^{(\overline{b_i^*})}\setminus\{k_i^*\}$, we have $|k^*-k|\geq |k^*-k_i^*|+1$, and

$$|x^* - x_k| \ge |x^* - x_{k_*^*}| + \delta. \tag{36}$$

677 Since x^* is the nearest constellation point to y, we obtain

$$|y - hx^*| \le |h|\delta/2 \tag{37}$$

for $y \in [-2^{m-1}|h|\delta, 2^{m-1}|h|\delta]$. In this case, for $k \in \mathcal{K}_i^{(\overline{b_i^*})} \setminus 678$ $\{k_i^*\}$, we have

$$|y - hx_{k}| \ge |h(x^{*} - x_{k})| - |y - hx^{*}| \ge |h| (|x^{*} - x_{k_{i}^{*}}| + \delta) - |h|\delta/2 \ge |h(x^{*} - x_{k_{i}^{*}})| + |y - hx^{*}| \ge |y - hx_{k_{i}^{*}}|.$$
(38)

It is easy to find that this inequality still holds when y is outside 680 the interval $[-2^{m-1}|h|\delta,\ 2^{m-1}|h|\delta]$. Therefore, $x_{k_i^*}$ is not only 681 the nearest constellation point to x^* in $\mathcal{X}_i^{(\overline{b_i^*})}$ but the nearest 682 constellation point to y in $\mathcal{X}_i^{(\overline{b_i^*})}$ as well. This completes the 683 proof of Lemma 2.

From (8), $\phi(x,y)$ can be rewritten as $\phi(x,y) = \min\{|\varphi_x - 688 \varphi_y|, 2\pi - |\varphi_x - \varphi_y|\}$. The proof is divided into three parts 689 according to the values of $|\varphi_x - \varphi_y|$ and $|\varphi_y - \varphi_z|$.

i) If
$$|\varphi_x - \varphi_y| \le \pi$$
 and $|\varphi_y - \varphi_z| \le \pi$, we have

$$\phi(x,y) + \phi(y,z) = |\varphi_x - \varphi_y| + |\varphi_y - \varphi_z| \ge |\varphi_x - \varphi_z| \ge \phi(x,z). \tag{39}$$

ii) For $|\varphi_x - \varphi_y| > \pi$ and $|\varphi_y - \varphi_z| \le \pi$ or $|\varphi_x - \varphi_y| \le \pi$ 692 and $|\varphi_y - \varphi_z| > \pi$, without loss of generality, we assume 693 $|\varphi_x - \varphi_y| > \pi$ and $|\varphi_y - \varphi_z| \le \pi$. Then, we have

$$\phi(x,y) + \phi(y,z) = 2\pi - |\varphi_x - \varphi_y| + |\varphi_y - \varphi_z|$$

$$\geq 2\pi - |\varphi_x - \varphi_z| \geq \phi(x,z).$$
 (40)

iii) For $|\varphi_x - \varphi_y| > \pi$ and $|\varphi_y - \varphi_z| > \pi$, without loss of 695 generality, we assume $\varphi_x \ge \varphi_z$. Since φ_x, φ_y , and φ_z are 696 all inside the interval $[0, 2\pi]$, we have $\varphi_x \ge \varphi_z > \varphi_y + \pi$ 697 or $\varphi_z \le \varphi_x < \varphi_y - \pi$. If $\varphi_x \ge \varphi_z > \varphi_y + \pi$, we have 698

$$|\varphi_x - \varphi_y| + |\varphi_y - \varphi_z| + |\varphi_x - \varphi_z| = 2\varphi_x - 2\varphi_y < 4\pi. \tag{41}$$

If
$$\varphi_z \le \varphi_x < \varphi_y - \pi$$
, we have

$$|\varphi_x - \varphi_y| + |\varphi_y - \varphi_z| + |\varphi_x - \varphi_z| = 2\varphi_y - 2\varphi_z < 4\pi. \tag{42}$$

In both cases, we have

 $\phi(x,y) + \phi(y,z)$ $= 2\pi - |\varphi_x - \varphi_y| + 2\pi - |\varphi_y - \varphi_z| > |\varphi_x - \varphi_z|$ $\ge \phi(x,z).$ (43)

This completes the proof.

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701

The definitions of $\mathcal{X}_i^{(\overline{b_i^*})}$ and $x_{k_i^*}$ are the same as given in 704 (26) and (27). Noting that

$$|x^* - x|^2 = \left| \sqrt{E_s} \exp(j\varphi_{x^*}) - \sqrt{E_s} \exp(j\varphi_x) \right|^2$$
$$= 2E_s - 2E_s \cos(\phi(x^*, x)) \tag{44}$$

706 we have

$$k_i^* = \arg\min_{k \in \mathcal{K}_i^{(b_i^*)}} \phi(x_{k^*}, x_k).$$
 (45)

707 Similar to the proof of Lemma 2, we can get the unique solution 708 of k_i^* as shown in (11), which means that $\forall\,k\in\mathcal{K}_i^{(\overline{b_i^*})}\setminus\{k_i^*\}$, 709 we have

$$\phi(x_k, x^*) \ge \phi(x^*, x_{k_i^*}) + 2\pi/2^m. \tag{46}$$

710 Since x^* is the nearest constellation point to y, we obtain

$$\phi(x^*, y) \le \pi/2^m. \tag{47}$$

711 According to (7), (9), (46), and (47), we have, \forall $k \in \mathcal{K}_i^{(\overline{b_i^*})} \setminus \{k_i^*\}$

$$\phi(x_{k}, y) \ge \phi(x^{*}, x_{k}) - \phi(x^{*}, y)
\ge \phi(x^{*}, x_{k_{i}^{*}}) + 2\pi/2^{m} - \pi/2^{m}
\ge \phi(x^{*}, x_{k_{i}^{*}}) + \phi(x^{*}, y) \ge \phi(x_{k_{i}^{*}}, y)
|y - hx_{k}| \ge |y - hx_{k_{i}^{*}}|.$$
(48)

712 Therefore, $x_{k_i^*}$ is not only the nearest constellation point to x^* 713 in $\mathcal{X}_i^{(\overline{b_i^*})}$ but the nearest constellation point to y in $\mathcal{X}_i^{(\overline{b_i^*})}$ as well. 714 This completes the proof.

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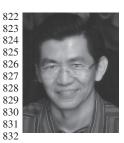


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AUTHOR QUERIES

AUTHOR PLEASE ANSWER ALL QUERIES

- AQ1 = Note that "in the performance analysis section" was changed to "in Section III-D.4."
- AQ2 = Note that the section heading "The case of $(64 = 16 \times 4)$ -APSK" was changed to " $(64 = 16 \times 4)$ -APSK" here and in another similar instance.

END OF ALL QUERIES

