

# Correspondence

## 1 The Effective Throughput of MISO Systems Over 2 $\kappa$ - $\mu$ Fading Channels

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5 **Abstract**—The effective throughput of multiple-input–single-output  
6 (MISO) systems communicating over both independent and identically  
7 distributed (i.i.d.) and independent and nonidentically distributed (i.n.i.d.)  
8  $\kappa$ - $\mu$  fading channels is investigated under delay constraints. New ana-  
9 lytical expressions are derived for the exact effective throughput of both  
10 channels. Moreover, we present tractable closed-form effective throughput  
11 expressions in the asymptotically high- and low-signal-to-noise-ratio (SNR)  
12 regimes for i.i.d.  $\kappa$ - $\mu$  fading channels. These results enable us to investi-  
13 gate the impact of system parameters on the effective throughput of MISO  
14  $\kappa$ - $\mu$  fading channels. We demonstrate that as the affordable delay tends to  
15 infinity, the effective throughput is increased to the classic ergodic capacity.  
16 By contrast, the effective throughput of delay-constrained near-real-time  
17 systems fails to approach the ergodic capacity.

18 **Index Terms**—Delay constraint, delay-limited capacity, effective capac-  
19 ity,  $\kappa$ - $\mu$  distribution, multiple-input single-output (MISO).

### 20 I. INTRODUCTION

21 The ergodic capacity of multiple-antenna systems has been in-  
22 vestigated for transmission over various fading channels in [1]–[3].  
23 However, emerging real-time applications, such as voice over Internet  
24 Protocol and mobile TV, have imposed stringent quality-of-service  
25 (QoS) constraints. **In this context, Shannon’s ergodic capacity can-  
26 not account for the transmission delay of the system. However, it  
27 would be highly desirable to quantify the delay-limited capacity of  
28 a system, which is a challenging task. The first contribution in this  
29 content was produced by Hanly and Tse in [4].** Hence, a QoS metric  
30 capable of capturing the delay constraints of communication systems  
31 is required. Motivated by this open problem, the concept of effective  
32 throughput (or effective capacity, effective rate) has been proposed  
33 in [5] for taking the system’s delay into account. Since then, several  
34 authors have investigated the effective capacity of various systems.  
35 For example, Femenias *et al.* in [6] investigated the effective capacity  
36 of wireless cross-layer networks combining adaptive modulation and  
37 coding at the physical layer with an automatic repeat request protocol

at the data-link layer. In [7], an analytical model of the effective capac- 38  
ity found in proportional fair scheduling used in orthogonal frequency- 39  
division multiple-access systems in the context of user multiplexing 40  
was presented. 41

The effective throughput of multiple-input–single-output (MISO) 42  
systems, such as the optimal precoding scheme relying on covariance 43  
feedback, was derived for correlated MISO systems [8], whereas 44  
that of correlated MISO channels was presented in [9]. In [10], 45  
Li characterized the effective throughput of cognitive MISO systems 46  
subjected to channel estimation errors. Moreover, Matthaiou *et al.* 47  
in [11] provided a detailed effective throughput analysis of 48  
Nakagami- $m$ , Rician, and generalized- $K$  MISO fading channels. 49  
However, the existence of these well-known fading distributions is 50  
based on the assumption of a homogeneous scattering environment, 51  
which is often unrealistic, since the waves reflected by a surface are 52  
spatially correlated in most propagation environments. 53

Hence, the  $\kappa$ - $\mu$  distribution has been proposed in [12] for character- 54  
izing the inhomogeneous nature of fading channels. This generalized 55  
fading model is capable of providing a better fit to experimental data 56  
than the aforementioned models. Additionally, it has been shown in 57  
[12] that the  $\kappa$ - $\mu$  distribution encompasses the Rician, Nakagami- $m$ , 58  
and Rayleigh distributions as special cases. *Against this background,* 59  
*we solve the open problem of providing both exact and asymptotic* 60  
*high-signal-to-noise-ratio (SNR) and low-SNR expressions for the* 61  
*effective throughput of independent and identically distributed (i.i.d.)* 62  
*and independent and nonidentically distributed (i.n.i.d.)  $\kappa$ - $\mu$  fading* 63  
*channels.* 64

The rest of this paper is organized as follows. Section II describes 65  
the general system model and the mathematical characteristics of  $\kappa$ - $\mu$  66  
fading channels. In Section III, we derive new exact expressions for 67  
the effective throughput of MISO systems communicating over i.i.d. 68  
 $\kappa$ - $\mu$  fading channels and present closed-form effective throughput 69  
expressions both for high SNRs and for the minimum transmit en- 70  
ergy per information bit for the sake of quantifying the effect of 71  
system parameters on the effective throughput. Moreover, the effective 72  
throughput for the case of i.n.i.d.  $\kappa$ - $\mu$  fading channels is analyzed in 73  
Section IV. Finally, our theoretical and Monte Carlo simulation results 74  
are compared in Section V in terms of the effective throughput, and 75  
Section VI concludes this paper. 76

### II. SYSTEM AND CHANNEL MODEL 77

We consider a MISO system model and assume that the transmitter 78  
is equipped with  $N_t$  antennas. The flat-fading channel’s input–output 79  
relation can be expressed as  $y = \mathbf{h}\mathbf{x} + n$ , where  $\mathbf{h} \in \mathbb{C}^{1 \times N_t}$  de- 80  
notes the MISO channel’s fading vector, whereas  $\mathbf{x}$  is the transmit 81  
vector having a covariance of  $\mathbb{E}\{\mathbf{x}\mathbf{x}^\dagger\} = \mathbf{Q}$ , where  $\mathbb{E}\{\cdot\}$  is the ex- 82  
pectation operator, which is subjected to the sum-power constraint 83  
of  $\text{tr}(\mathbf{Q}) \leq P$ , where  $\text{tr}(\cdot)$  is the matrix trace. Moreover,  $n$  rep- 84  
resents the complex additive white Gaussian noise term with zero 85  
mean and variance  $N_0$ , respectively. Finally, we assume that the 86  
same power is assigned to the transmit antennas; hence, we have 87  
 $\mathbf{Q} = (P/N_t)\mathbf{I}$ . 88

As a generalized link-level capacity notion of uncorrelated station- 89  
ary fading channels, whose response varies from one transmission 90  
block to another by obeying a certain distribution but remains constant 91

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92 within a single block, the effective capacity of the service process is  
93 defined as [13]<sup>1</sup>

$$\alpha(\theta) = -(1/\theta T) \ln(\mathbb{E}\{\exp(-\theta TC)\}), \quad \theta \neq 0 \quad (1)$$

94 where  $C$  represents the system's throughput during a single block, and  
95  $T$  denotes the duration of the block, whereas the delay exponent

$$\theta = - \lim_{l_{\text{th}} \rightarrow \infty} \frac{\ln \Pr[L > l_{\text{th}}]}{l_{\text{th}}} \quad (2)$$

96 of (1) reflects that any throughput improvement attained at the cost  
97 of a high delay is devalued. In (2),  $l_{\text{th}}$  is the threshold of queue  
98 length, and  $L$  is the equilibrium queue length of the buffer assumed  
99 to be available at the transmitter. When  $l_{\text{th}} \rightarrow \infty$ , the tail distribution  
100 function  $\Pr[L > l_{\text{th}}]$  can be asymptotically written as  $\Pr[L > l_{\text{th}}] \approx$   
101  $e^{\theta l_{\text{th}}}$  according to the large deviations theory [5]. Again, the delay  
102 exponent has to satisfy the constraint of  $\theta \geq \theta_0$ , where  $\theta_0$  is the min-  
103 imum required decay rate. Once a delay requirement is violated, the  
104 corresponding data packet is discarded in the queue. More particularly,  
105 a larger  $\theta_0$  implies a tighter delay constraint. Note that when no delay  
106 constraint is imposed, i.e., we have  $\theta_0 \rightarrow 0$ , the effective throughput  
107 tends to the classic ergodic throughput of the corresponding wireless  
108 channel.

109 Assuming that the transmitter sends uncorrelated circularly sym-  
110 metric zero-mean complex Gaussian signals, the effective throughput  
111 can be succinctly expressed as follows [11]:

$$R(\rho, \theta) = -\frac{1}{A} \log_2 \left( \mathbb{E} \left\{ \left( 1 + \frac{\rho}{N_t} \mathbf{h} \mathbf{h}^\dagger \right)^{-A} \right\} \right) \text{ bits/s/Hz} \quad (3)$$

112 where we have  $A = \theta TB / \ln 2$ , with  $B$  denoting the bandwidth of the  
113 system, whereas  $\rho$  is the average SNR.

114 The  $\kappa$ - $\mu$  distribution models the small-scale variation of the fading  
115 signal in a nonhomogeneous environment. The probability density  
116 function (pdf) of the  $\kappa$ - $\mu$  fading channels' output SNR is given by  
117 [12, eq. (10)]

$$p_{\kappa-\mu}(\omega) = \frac{\mu(1+\kappa) \frac{\mu+1}{2} \omega^{\frac{\mu-1}{2}}}{\exp(\mu\kappa) \kappa^{\frac{\mu-1}{2}} \Omega^{\frac{\mu+1}{2}}} \exp\left(-\frac{\mu(1+\kappa)\omega}{\Omega}\right) \\ \times I_{\mu-1} \left( 2\mu \sqrt{\frac{\kappa(1+\kappa)\omega}{\Omega}} \right) \quad (4)$$

118 where  $\kappa$  denotes the ratio between the total power of the dominant  
119 components and the total power of the scattered waves,  $\mu$  is related  
120 to the number of multipath clusters, and  $I_v(\cdot)$  is the modified Bessel  
121 function of the first kind with order  $v$  [14, eq. (8.445)].

### 122 III. INDEPENDENT AND IDENTICAL $\kappa$ - $\mu$ FADING

#### 123 A. Exact Analysis

124 Here, we present the exact effective throughput analysis of the  
125  $\kappa$ - $\mu$  fading models introduced in Section II. More specifically, the  
126 entries of channel vector  $\mathbf{h}$  are assumed to be i.i.d.  $\kappa$ - $\mu$  random  
127 variables (RVs).

128 We commence our analysis by invoking [12], where it was shown  
129 that the sum of  $M$  i.i.d. squared  $\kappa$ - $\mu$  distributed RVs with parameters  
130  $\kappa$ ,  $\mu$ , and  $\Omega$  is also a  $\kappa$ - $\mu$  distribution with parameters  $\kappa$ ,  $M\mu$ ,

and  $M\Omega$ . Using [12, eq. (10)], after a number of manipulations, we  
131 arrive at the pdf of  $z = \sum_{k=1}^{N_t} |h_k|^2$ , i.e.,  
132

$$p_{\text{i.i.d.}}(z) = \frac{\mu N_t (1+\kappa) \frac{\mu N_t + 1}{2} z^{\frac{\mu N_t - 1}{2}}}{e^{\mu N_t \kappa} \kappa^{\frac{\mu N_t - 1}{2}} (\Omega N_t)^{\frac{\mu N_t + 1}{2}}} \exp\left(-\frac{\mu(1+\kappa)z}{\Omega}\right) \\ \times I_{\mu N_t - 1} \left( 2\mu \sqrt{\frac{\kappa(1+\kappa)N_t z}{\Omega}} \right) \quad (5) \\ = \frac{\mu N_t (1+\kappa) \frac{\mu N_t + 1}{2} z^{\frac{\mu N_t - 1}{2}}}{e^{\mu N_t \kappa} \kappa^{\frac{\mu N_t - 1}{2}} (\Omega N_t)^{\frac{\mu N_t + 1}{2}}} \exp\left(-\frac{\mu(1+\kappa)z}{\Omega}\right) \\ \times \sum_{l=0}^{\infty} \frac{1}{l! \Gamma(\mu N_t + l)} \left( \mu \sqrt{\frac{\kappa(1+\kappa)N_t z}{\Omega}} \right)^{\mu N_t + 2l - 1} \quad (6)$$

where we proceed from (5) to (6) by exploiting [14, eq. (8.445)].  
133 Upon substituting (6) into (3), there is an integral in the form of  
134  $(1 + \rho z / N_t)^{-A}$ ,  $z^{\mu N_t + l - 1}$ , and  $\exp(-(\mu(1+\kappa)z/\Omega))$ . The effec-  
135 tive throughput of MISO i.i.d.  $\kappa$ - $\mu$  fading channels is given by  
136

$$R_{\text{i.i.d.}}(\rho, \theta) = \frac{\mu N_t \kappa}{A \ln 2} + \log_2 \left( \frac{\Omega \rho}{\mu N_t (1+\kappa)} \right) \\ - \frac{1}{A} \log_2 \left( \sum_{l=0}^{\infty} \frac{(\mu N_t \kappa)^l}{\Gamma(l+1)} U \left( A; A+1-\mu N_t-l; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \right) \quad (7)$$

where  $U(\cdot)$  is the Tricomi hypergeometric function [14, eq. (13.1.3)],  
137 and we have used the integral equation of [15, eq. (39)], i.e.,  
138

$$\int_0^{\infty} (1+ax)^{-v} x^{q-1} e^{-px} dx = a^{-q} \Gamma(q) U(q, q+1-v, p/a)$$

where the conditions of  $\text{Re}(q) > 0$ ,  $\text{Re}(p) > 0$ , and  $\text{Re}(a) > 0$  are  
139 met. In addition, Kummer's transformation  $U(a; b; x) = x^{1-b} U(a -$   
140  $b + 1; 2 - b; x)$  [16, eq. (07.33.17.0007.01)] is used. Note that for the  
141 case of Rician fading channels (e.g.,  $\kappa = K$ ,  $\mu = 1$ , where  $K$  is the  
142 Rician  $K$ -factor), (7) reduces to [11, eq. (34)].  
143

Since (7) is expressed in the form of an infinite series, we should  
144 demonstrate its convergence by seeking to quantify the truncation error  
145 imposed by a limited number of terms. Assuming that  $T_0$  terms are  
146 used, the associated truncation error  $E_0$  can be expressed as  
147

$$E_0 = \sum_{l=T_0}^{\infty} \frac{(\mu N_t \kappa)^l}{\Gamma(l+1)} U \left( A; A+1-\mu N_t-l; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \\ < U \left( A; A+1-\mu N_t-T_0; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \sum_{l=T_0}^{\infty} \frac{(\mu N_t \kappa)^l}{\Gamma(l+1)} \quad (8)$$

where we have exploited the fact that  $U(a, b-l, z)$  is a monotoni-  
148 cally decreasing function of  $l$ . With the aid of [17, eq. (6.5.4)] and  
149 [17, eq. (6.5.29)], (8) may be streamlined to  
150

$$E_0 < U \left( A; A+1-\mu N_t-T_0; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \\ \times \exp(\mu \kappa N_t) \left( 1 - \frac{\Gamma(T_0, \mu \kappa N_t)}{\Gamma(T_0)} \right) \quad (9)$$

where  $\Gamma(\cdot, \cdot)$  represents the upper incomplete gamma function  
151 [14, eq. (8.350.2)].  
152

<sup>1</sup>The packet arrival process and the server strategy employed in the queuing  
system are those introduced in [5] and [13].

153 The given expressions are exact; however, they only provide limited  
 154 physical insights into the quantitative effects of the parameters (e.g.,  
 155 the number of transmit antennas, the delay-related exponent, and the  
 156 number of multipath clusters) on the effective throughput. Let us hence  
 157 elaborate further by considering both the high- and low-SNR regions  
 158 of operation.

### 159 B. Asymptotic Analysis

160 We commence with the high-SNR analysis. By retaining only the  
 161 dominant term in (3) as  $\rho \rightarrow \infty$ , we arrive at

$$\begin{aligned} \mathbb{E} \left\{ \left( \frac{\rho}{N_t} \mathbf{h} \mathbf{h}^\dagger \right)^{-A} \right\} &= \left( \frac{\rho}{N_t} \right)^{-A} \frac{\mu N_t (1 + \kappa)^{\frac{\mu N_t + 1}{2}}}{e^{\mu N_t \kappa} \kappa^{\frac{\mu N_t - 1}{2}} (\Omega N_t)^{\frac{\mu N_t + 1}{2}}} \\ &\times \int_0^\infty \frac{z^{(\mu N_t - 1)/2 - A}}{\exp(\mu(1 + \kappa)z/\Omega)} I_{\mu N_t - 1} \left( 2\mu \sqrt{\frac{\kappa(1 + \kappa) N_t z}{\Omega}} \right) dz. \end{aligned} \quad (10)$$

162 The given integral can now be evaluated using [18, eq. (3.15.2.5)],  
 163 upon exploiting that  $A < \mu N_t$ . Finally, the effective throughput at  
 164 tained at high SNRs and for  $A < \mu N_t$  may be approximated for MISO  
 165  $\kappa$ - $\mu$  fading channels as

$$\begin{aligned} R_{\text{i.i.d.}}^\infty(\rho, \theta) &= \log_2 \left( \frac{\Omega \rho}{\mu N_t (1 + \kappa)} \right) + \frac{\kappa \mu N_t}{A \ln 2} \\ &- \frac{1}{A} \log_2 \left( \frac{\Gamma(\mu N_t - A)}{\Gamma(\mu N_t)} {}_1F_1(\mu N_t - A; \mu N_t; \kappa \mu N_t) \right) \end{aligned} \quad (11)$$

166 where  ${}_1F_1$  is the confluent hypergeometric function [14, eq. (9.238.2)].  
 167 Note that the effective throughput achieved at high SNRs is a monoton-  
 168 ically increasing function of  $\kappa$ . This is anticipated, since larger values  
 169 of  $\kappa$  result in more deterministic fading. When considering the Rician  
 170 fading case, (11) reduces to [11, eq. (49)].

171 Let us now investigate the effective throughput of  $\kappa$ - $\mu$  fading  
 172 channels in the power-limited low-SNR region, where the effective  
 173 throughput can be approximated by a second-order Taylor expan-  
 174 sion of the SNR following the generic methodology in [19]. More  
 175 particularly, we can approximate the effective throughput as  $\rho \rightarrow 0^+$   
 176 according to

$$R(\rho, \theta) = R'(0, \theta) \rho + R''(0, \theta) \frac{\rho^2}{2} + o(\rho^2) \quad (12)$$

177 where  $R'(0, \theta)$  and  $R''(0, \theta)$  denote the first- and second-order deriva-  
 178 tives of the effective throughput with respect to the SNR,  $\rho$ , at  $\rho = 0$ ,  
 179 respectively.

180 However, it has been shown in [20] that the Taylor expansion  
 181 method may, in fact, result in misleading conclusions regarding the  
 182 impact of the channel in the low-SNR region. Hence, it is beneficial to  
 183 explore the effective throughput at low SNRs in terms of the normal-  
 184 ized transmit energy per information bit  $E_b/N_0$ , which is formulated  
 185 as [20]

$$R \left( \frac{E_b}{N_0} \right) \approx S_0 \log_2 \left( \frac{E_b}{N_0} / \frac{E_b}{N_{0 \min}} \right) \quad (13)$$

186 where  $E_b/N_{0 \min}$  is the minimum normalized energy per information  
 187 bit required for reliably conveying any nonzero throughput, whereas

$S_0$  denotes the throughput versus SNR slope defined in [8]. These  
 metrics are defined respectively as

$$\frac{E_b}{N_{0 \min}} \triangleq \lim_{\rho \rightarrow 0} \frac{\rho}{R(\rho, \theta)} = \frac{1}{R'(0, \theta)} \quad (14)$$

$$S_0 \triangleq - \frac{2 [R'(0, \theta)]^2 \ln 2}{R''(0, \theta)}. \quad (15)$$

Due to space limitations, we omit the explicit details, and upon  
 following a similar line of reasoning as in [9, Appendix I], we arrive  
 at the first- and second-order derivatives seen in (14) and (15) in the  
 form of

$$R'(0, \theta) = \frac{1}{N_t \ln 2} \mathbb{E} \{ \mathbf{h} \mathbf{h}^\dagger \} \quad (16)$$

$$R''(0, \theta) = \frac{A}{N_t^2 \ln 2} \left( \mathbb{E} \{ \mathbf{h} \mathbf{h}^\dagger \} \right)^2 - \frac{A + 1}{N_t^2 \ln 2} E \{ (\mathbf{h} \mathbf{h}^\dagger)^2 \}. \quad (17)$$

Recalling that  $\mathbb{E} \{ |h_k|^2 \} = \Omega$ , we may readily infer that  $\mathbb{E} \{ \mathbf{h} \mathbf{h}^\dagger \} = 194$   
 $N_t \Omega$ . With the aid of (4) and [18, eq. (3.15.2.5)], the fourth moment  
 of  $|h_k|$  can now be expressed as

$$\mathbb{E} \{ |h_k|^4 \} = N_t \Omega^2 \left( \frac{1 + 2\kappa}{\mu(1 + \kappa)^2} + N_t \right) \quad (18)$$

where we have utilized [14, eq. (9.212.1)] and [14, eq. (9.210.1)]. With  
 (18) at our disposal, we can readily deduce that

$$\begin{aligned} \mathbb{E} \{ (\mathbf{h} \mathbf{h}^\dagger)^2 \} &= \mathbb{E} \left\{ \left( \sum_{k=1}^{N_t} |h_k|^2 \right)^2 \right\} \\ &= \sum_{k=1}^{N_t} \mathbb{E} \{ |h_k|^4 \} + \sum_{k=1}^{N_t} \sum_{j=1, j \neq k}^{N_t} \mathbb{E} \{ |h_k|^2 |h_j|^2 \} \\ &= N_t \Omega^2 \left( \frac{1 + 2\kappa}{\mu(1 + \kappa)^2} + N_t \right). \end{aligned} \quad (19)$$

Substituting (19) into (17) and then applying (14) and (15), the  
 low-SNR metrics of MISO  $\kappa$ - $\mu$  fading channels can be respectively  
 expressed as

$$\frac{E_b}{N_{0 \min}} = \frac{\ln 2}{\Omega} \quad (20)$$

$$S_0 = \frac{2\mu N_t (1 + \kappa)^2}{(A + 1)(1 + 2\kappa) + \mu N_t (1 + \kappa)^2}. \quad (21)$$

Observe in (21) that the low-SNR slope is an increasing function of 202  
 both  $\kappa$  and  $\mu$ , whereas it is a monotonically decreasing function of  $A$ , 203  
 satisfying  $0 < S_0 < 2$  for a fixed  $N_t$ . It is also worth mentioning that 204  
 $E_b/N_{0 \min}$  is independent of both  $\kappa$  and delay constraint  $\theta$ . Note that 205  
 for the case of Rician fading channels, the throughput-versus-SNR- 206  
 slope expression of (21) reduces to

$$S_0 = \frac{2N_t(K + 1)^2}{N_t(K + 1)^2 + (A + 1)^{2K+1}} \quad (22)$$

which coincides with [11, eq. (41)].

## IV. INDEPENDENT AND NONIDENTICAL $\kappa$ - $\mu$ FADING

Let us now move on to consider the case of wireless systems  
 communicating over i.n.i.d. MISO  $\kappa$ - $\mu$  fading channels. The pdf of

212 the sum of  $M$  i.n.i.d. squared  $\kappa$ - $\mu$  distributed RVs associated with  
213 parameters  $\kappa_m$ ,  $\mu_m$ , and  $\Omega_m$  is given by [21, eq. (4)], i.e.,

$$p_{i.n.i.d.}(z) = \frac{e^{-\frac{z}{2\beta}} z^{V-1}}{(2\beta)^V \Gamma(V)} \sum_{k=0}^{\infty} \frac{k! c_k}{(V)_k} L_k^{(V-1)} \left( \frac{Uz}{2\beta\xi} \right) \quad (23)$$

214 where  $V = \sum_{m=1}^M \mu_m$ ,  $(u)_v = \Gamma(u+v)/\Gamma(u)$  is the Pochhammer  
215 symbol [14], and  $L_n^\alpha(\cdot)$  is the generalized Laguerre polynomial  
216 [16, eq. (05.08.02.0001.01)], namely

$$L_n^v(y) = \frac{\Gamma(v+n+1)}{n!} \sum_{q=0}^n \frac{(-n)_q y^q}{q! \Gamma(v+q+1)}, \quad n \in \mathbb{N}. \quad (24)$$

217 Then, (23) can be alternatively expressed as

$$p_{i.n.i.d.}(z) = \frac{e^{-\frac{z}{2\beta}}}{(2\beta)^V} \sum_{k=0}^{\infty} c_k \sum_{q=0}^k \frac{(-k)_q z^{q+V-1}}{q! \Gamma(V+q)} \left( \frac{V}{2\beta\xi} \right)^q. \quad (25)$$

218 Note that the coefficients  $c_k$  can be recursively obtained following  
219 the equations [21, eq. (5a-5c)]

$$c_k = \frac{1}{k} \sum_{j=0}^k c_j d_{k-j}, \quad k \geq 1 \quad (26)$$

$$c_0 = \left( \frac{V}{\xi} \right)^V \exp \left( -\frac{1}{2} \sum_{m=1}^M \frac{\lambda_m a_m (V-\xi)}{\beta\xi + a_m (V-\xi)} \right) \times \prod_{m=1}^M \left( 1 + \frac{a_m}{\beta} (V/\xi - 1) \right)^{-\mu_m} \quad (27)$$

$$d_j = -\frac{j\beta V}{2\xi} \sum_{m=1}^M \lambda_m a_m (\beta - a_m)^{j-1} \left( \frac{\xi}{\beta\xi + a_m (V-\xi)} \right)^{j+1} + \sum_{m=1}^M \mu_m \left( \frac{1 - a_m/\beta}{1 + (a_m/\beta)(V/\xi - 1)} \right)^j, \quad j \geq 1 \quad (28)$$

220 where  $\lambda_m = 2\mu_m \kappa_m$ ,  $a_m = \Omega_m / 2\mu_m (1 + \kappa_m)$ . Note that param-  
221 eters  $\xi$  and  $\beta$  are chosen to guarantee the uniform convergence of  
222 (23) according to [22, Remark 3.1]. By substituting (25) into (3) and  
223 using the identity [15, eq. (39)], we arrive at the effective throughput  
224 expression of MISO i.n.i.d.  $\kappa$ - $\mu$  fading channels formulated as

$$R_{i.n.i.d.} = \log_2 \left( \frac{2\beta\rho}{N_t} \right) - \frac{1}{A} \sum_{k=0}^{\infty} c_k \sum_{q=0}^k \frac{(-k)_q}{q!} \left( \frac{V}{\xi} \right)^q \times U \left( A; A+1-q-V; \frac{N_t}{2\beta\rho} \right). \quad (29)$$

225

## V. NUMERICAL RESULTS

226 Here, the theoretical analysis presented in Sections III and IV is val-  
227 idated with the aid of Monte Carlo simulations, which were derived by  
228 averaging the results over  $10^7$  independent  $\kappa$ - $\mu$  channel realizations.  
229 We generate the squared  $\kappa$ - $\mu$  fading samples using the noncentral  
230 chi-square distribution method in [21]. These results are provided for  
231 characterizing the effects of different system and channel parameters  
232 on the effective throughput of MISO systems communicating over  
233  $\kappa$ - $\mu$  fading channels. Without loss of generality, we normalize the  
234 bandwidth of the system as  $B = 1$  Hz.

235 In Fig. 1, the effective throughput results of our Monte Carlo simula-  
236 tor are compared with the exact analytical expressions provided in (7)

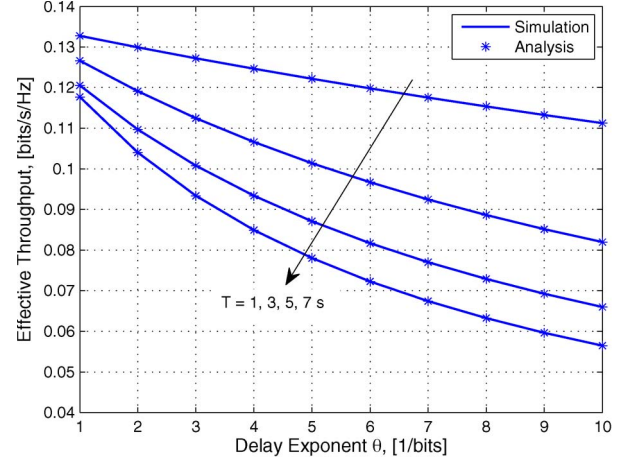


Fig. 1. Simulated and analytical effective throughput against delay exponent  $\theta$  and  $T$  for MISO i.i.d.  $\kappa$ - $\mu$  fading channels ( $N_t = 2$ ,  $\rho = -10$  dB,  $\Omega = 1$ ,  $\kappa = 1$ , and  $\mu = 1$ ).

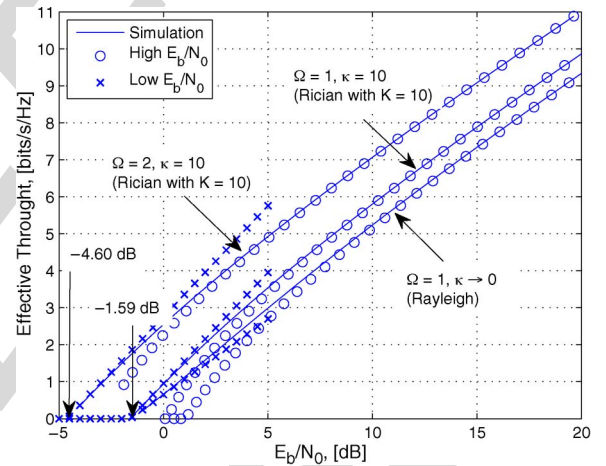


Fig. 2. Simulated high- and low- $E_b/N_0$  approximation effective throughput against  $E_b/N_0$  for MISO i.i.d.  $\kappa$ - $\mu$  fading channels ( $N_t = 4$ ,  $T = 1$  s,  $\theta = 0.1$ , and  $\mu = 1$ ).

in Section III for different block lengths and delay exponents. Observe  
237 that there is a good agreement between the effective throughput  
238 given by the theoretical formulas and those obtained by Monte Carlo  
239 simulations. As expected, it can be seen that the effective throughput  
240 is consistently reduced upon increasing  $\theta$  and  $T$ . For example, when  
241  $T$  increases from 1 to 7, the effective throughput reduces from 0.12 to  
242 0.07 bits/s/Hz at  $\theta = 6$ . Additionally, it is interesting to note that as  $T$   
243 increases, the gap between the corresponding curves increases, which  
244 implies that its effect becomes more pronounced. These observations  
245 explicitly quantify the well-understood physical relationship between  
246 the effective throughput and the affordable transmission delay and are  
247 consistent with the results presented in [11], [9], and [8].

248 Fig. 2 shows the simulated effective throughput, its low- $E_b/N_0$   
249 approximation seen in (13), and its high- $E_b/N_0$  approximation quanti-  
250 fied in (11) after using the relationship  $E_b/N_0 = \rho/R$ . Quantitatively,  
251 we have a 3-dB reduction in the minimum energy per bit upon  
252 increasing the average fading power  $\Omega$  by 50%. It is also readily  
253 shown in Fig. 2 that increasing  $\kappa$  increases the effective throughput  
254 due to having an increased throughput versus SNR slope  $S_0$  and so  
255 does the average fading power  $\Omega$  but leaves the required  $E_b/N_{0\min}$   
256 value unaffected. The curves shown in Fig. 2 also show that the  
257 approximation of the exact throughput is remarkably tight for all the  
258

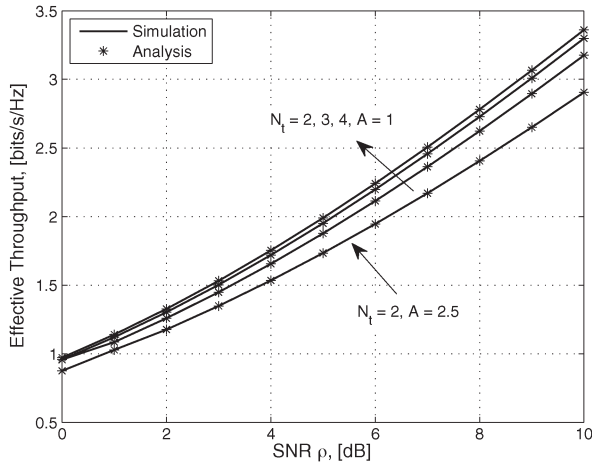


Fig. 3. Simulated and analytical effective throughput against parameters  $A$  and  $N_t$  for MISO i.n.i.d.  $\kappa$ - $\mu$  fading channels [ $\Omega = 1$ ,  $\kappa = (2.5, 3.5, 1.1, 1.75)$ , and  $\mu = (1.5, 0.75, 2.5, 3.75)$ ].

259 scenarios considered, but its accuracy is even improved for larger  
260 values of the fading parameters.

261 The simulated and analytical effective throughput of MISO i.n.i.d.  
262  $\kappa$ - $\mu$  fading channels recorded for different values of  $N_t$  and  $A$   
263 as a function of the average SNR  $\rho$  are plotted in Fig. 3. The  
264 fading parameters are selected as  $\kappa = (2.5, 3.5, 1.1, 1.75)$  and  $\mu =$   
265  $(1.5, 0.75, 2.5, 3.75)$ , respectively. It is clear that the effective through-  
266 put is a monotonically decreasing function of  $A$ , which implies  
267 that improving delay constraints reduces the effective throughput.  
268 Additionally, more transmit antennas  $N_t$  yield a higher throughput;  
269 although, the gap between the corresponding curves decreases as  $N_t$   
270 increases. This observation implies that the effect of  $N_t$  becomes less  
271 pronounced.

272

## VI. CONCLUSION

273 The novelty of using the effective throughput as a performance  
274 metric is that it quantifies the effects of delay on the attainable  
275 throughput, which is reduced upon tightening the affordable delay.  
276 As the delay tends to infinity, the effective throughput tends to the  
277 ergodic capacity. We have derived new analytical expressions for the  
278 exact effective throughput of MISO systems communicating over  $\kappa$ - $\mu$   
279 fading channels. Although the exact expression is given in terms of  
280 an infinite series, the associated truncation error was also analytically  
281 quantified. Quantitatively, it can be seen that as few as ten terms are  
282 required for a high accuracy of  $10^{-6}$  in the series. To gain physical  
283 insights into the impact of system parameters, we presented a closed-  
284 form expression for the effective throughput at high SNRs. Moreover,  
285 tractable expressions have been derived for both the minimum transmit  
286 energy per information bit required for reliably conveying any nonzero  
287 throughput at low SNRs. The results are applicable to the design of  
288 next-generation wireless systems.

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Proof

# Correspondence

## 1 The Effective Throughput of MISO Systems Over 2 $\kappa$ - $\mu$ Fading Channels

3 Jiayi Zhang, *Student Member, IEEE*, Zhenhui Tan, Haibo Wang,  
4 Qing Huang, and Lajos Hanzo, *Fellow, IEEE*

5 **Abstract**—The effective throughput of multiple-input–single-output  
6 (MISO) systems communicating over both independent and identically  
7 distributed (i.i.d.) and independent and nonidentically distributed (i.n.i.d.)  
8  $\kappa$ - $\mu$  fading channels is investigated under delay constraints. New ana-  
9 lytical expressions are derived for the exact effective throughput of both  
10 channels. Moreover, we present tractable closed-form effective throughput  
11 expressions in the asymptotically high- and low-signal-to-noise-ratio (SNR)  
12 regimes for i.i.d.  $\kappa$ - $\mu$  fading channels. These results enable us to investi-  
13 gate the impact of system parameters on the effective throughput of MISO  
14  $\kappa$ - $\mu$  fading channels. We demonstrate that as the affordable delay tends to  
15 infinity, the effective throughput is increased to the classic ergodic capacity.  
16 By contrast, the effective throughput of delay-constrained near-real-time  
17 systems fails to approach the ergodic capacity.

18 **Index Terms**—Delay constraint, delay-limited capacity, effective capac-  
19 ity,  $\kappa$ - $\mu$  distribution, multiple-input single-output (MISO).

### 20 I. INTRODUCTION

21 The ergodic capacity of multiple-antenna systems has been in-  
22 vestigated for transmission over various fading channels in [1]–[3].  
23 However, emerging real-time applications, such as voice over Internet  
24 Protocol and mobile TV, have imposed stringent quality-of-service  
25 (QoS) constraints. **In this context, Shannon’s ergodic capacity can-  
26 not account for the transmission delay of the system. However, it  
27 would be highly desirable to quantify the delay-limited capacity of  
28 a system, which is a challenging task. The first contribution in this  
29 content was produced by Hanly and Tse in [4].** Hence, a QoS metric  
30 capable of capturing the delay constraints of communication systems  
31 is required. Motivated by this open problem, the concept of effective  
32 throughput (or effective capacity, effective rate) has been proposed  
33 in [5] for taking the system’s delay into account. Since then, several  
34 authors have investigated the effective capacity of various systems.  
35 For example, Femenias *et al.* in [6] investigated the effective capacity  
36 of wireless cross-layer networks combining adaptive modulation and  
37 coding at the physical layer with an automatic repeat request protocol

at the data-link layer. In [7], an analytical model of the effective capac- 38  
ity found in proportional fair scheduling used in orthogonal frequency- 39  
division multiple-access systems in the context of user multiplexing 40  
was presented. 41

The effective throughput of multiple-input–single-output (MISO) 42  
systems, such as the optimal precoding scheme relying on covariance 43  
feedback, was derived for correlated MISO systems [8], whereas 44  
that of correlated MISO channels was presented in [9]. In [10], 45  
Li characterized the effective throughput of cognitive MISO systems 46  
subjected to channel estimation errors. Moreover, Matthaiou *et al.* 47  
in [11] provided a detailed effective throughput analysis of 48  
Nakagami- $m$ , Rician, and generalized- $K$  MISO fading channels. 49  
However, the existence of these well-known fading distributions is 50  
based on the assumption of a homogeneous scattering environment, 51  
which is often unrealistic, since the waves reflected by a surface are 52  
spatially correlated in most propagation environments. 53

Hence, the  $\kappa$ - $\mu$  distribution has been proposed in [12] for character- 54  
izing the inhomogeneous nature of fading channels. This generalized 55  
fading model is capable of providing a better fit to experimental data 56  
than the aforementioned models. Additionally, it has been shown in 57  
[12] that the  $\kappa$ - $\mu$  distribution encompasses the Rician, Nakagami- $m$ , 58  
and Rayleigh distributions as special cases. *Against this background,* 59  
*we solve the open problem of providing both exact and asymptotic* 60  
*high-signal-to-noise-ratio (SNR) and low-SNR expressions for the* 61  
*effective throughput of independent and identically distributed (i.i.d.)* 62  
*and independent and nonidentically distributed (i.n.i.d.)  $\kappa$ - $\mu$  fading* 63  
*channels.* 64

The rest of this paper is organized as follows. Section II describes 65  
the general system model and the mathematical characteristics of  $\kappa$ - $\mu$  66  
fading channels. In Section III, we derive new exact expressions for 67  
the effective throughput of MISO systems communicating over i.i.d. 68  
 $\kappa$ - $\mu$  fading channels and present closed-form effective throughput 69  
expressions both for high SNRs and for the minimum transmit en- 70  
ergy per information bit for the sake of quantifying the effect of 71  
system parameters on the effective throughput. Moreover, the effective 72  
throughput for the case of i.n.i.d.  $\kappa$ - $\mu$  fading channels is analyzed in 73  
Section IV. Finally, our theoretical and Monte Carlo simulation results 74  
are compared in Section V in terms of the effective throughput, and 75  
Section VI concludes this paper. 76

### II. SYSTEM AND CHANNEL MODEL 77

We consider a MISO system model and assume that the transmitter 78  
is equipped with  $N_t$  antennas. The flat-fading channel’s input–output 79  
relation can be expressed as  $y = \mathbf{h}\mathbf{x} + n$ , where  $\mathbf{h} \in \mathbb{C}^{1 \times N_t}$  de- 80  
notes the MISO channel’s fading vector, whereas  $\mathbf{x}$  is the transmit 81  
vector having a covariance of  $\mathbb{E}\{\mathbf{x}\mathbf{x}^\dagger\} = \mathbf{Q}$ , where  $\mathbb{E}\{\cdot\}$  is the ex- 82  
pectation operator, which is subjected to the sum-power constraint 83  
of  $\text{tr}(\mathbf{Q}) \leq P$ , where  $\text{tr}(\cdot)$  is the matrix trace. Moreover,  $n$  rep- 84  
resents the complex additive white Gaussian noise term with zero 85  
mean and variance  $N_0$ , respectively. Finally, we assume that the 86  
same power is assigned to the transmit antennas; hence, we have 87  
 $\mathbf{Q} = (P/N_t)\mathbf{I}$ . 88

As a generalized link-level capacity notion of uncorrelated station- 89  
ary fading channels, whose response varies from one transmission 90  
block to another by obeying a certain distribution but remains constant 91

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92 within a single block, the effective capacity of the service process is  
93 defined as [13]<sup>1</sup>

$$\alpha(\theta) = -(1/\theta T) \ln(\mathbb{E}\{\exp(-\theta TC)\}), \quad \theta \neq 0 \quad (1)$$

94 where  $C$  represents the system's throughput during a single block, and  
95  $T$  denotes the duration of the block, whereas the delay exponent

$$\theta = - \lim_{l_{\text{th}} \rightarrow \infty} \frac{\ln \Pr[L > l_{\text{th}}]}{l_{\text{th}}} \quad (2)$$

96 of (1) reflects that any throughput improvement attained at the cost  
97 of a high delay is devalued. In (2),  $l_{\text{th}}$  is the threshold of queue  
98 length, and  $L$  is the equilibrium queue length of the buffer assumed  
99 to be available at the transmitter. When  $l_{\text{th}} \rightarrow \infty$ , the tail distribution  
100 function  $\Pr[L > l_{\text{th}}]$  can be asymptotically written as  $\Pr[L > l_{\text{th}}] \approx$   
101  $e^{\theta l_{\text{th}}}$  according to the large deviations theory [5]. Again, the delay  
102 exponent has to satisfy the constraint of  $\theta \geq \theta_0$ , where  $\theta_0$  is the min-  
103 imum required decay rate. Once a delay requirement is violated, the  
104 corresponding data packet is discarded in the queue. More particularly,  
105 a larger  $\theta_0$  implies a tighter delay constraint. Note that when no delay  
106 constraint is imposed, i.e., we have  $\theta_0 \rightarrow 0$ , the effective throughput  
107 tends to the classic ergodic throughput of the corresponding wireless  
108 channel.

109 Assuming that the transmitter sends uncorrelated circularly sym-  
110 metric zero-mean complex Gaussian signals, the effective throughput  
111 can be succinctly expressed as follows [11]:

$$R(\rho, \theta) = -\frac{1}{A} \log_2 \left( \mathbb{E} \left\{ \left( 1 + \frac{\rho}{N_t} \mathbf{h} \mathbf{h}^\dagger \right)^{-A} \right\} \right) \text{ bits/s/Hz} \quad (3)$$

112 where we have  $A = \theta T B / \ln 2$ , with  $B$  denoting the bandwidth of the  
113 system, whereas  $\rho$  is the average SNR.

114 The  $\kappa$ - $\mu$  distribution models the small-scale variation of the fading  
115 signal in a nonhomogeneous environment. The probability density  
116 function (pdf) of the  $\kappa$ - $\mu$  fading channels' output SNR is given by  
117 [12, eq. (10)]

$$p_{\kappa-\mu}(\omega) = \frac{\mu(1+\kappa) \frac{\mu+1}{2} \omega^{\frac{\mu-1}{2}}}{\exp(\mu\kappa) \kappa^{\frac{\mu-1}{2}} \Omega^{\frac{\mu+1}{2}}} \exp\left(-\frac{\mu(1+\kappa)\omega}{\Omega}\right) \\ \times I_{\mu-1} \left( 2\mu \sqrt{\frac{\kappa(1+\kappa)\omega}{\Omega}} \right) \quad (4)$$

118 where  $\kappa$  denotes the ratio between the total power of the dominant  
119 components and the total power of the scattered waves,  $\mu$  is related  
120 to the number of multipath clusters, and  $I_v(\cdot)$  is the modified Bessel  
121 function of the first kind with order  $v$  [14, eq. (8.445)].

### 122 III. INDEPENDENT AND IDENTICAL $\kappa$ - $\mu$ FADING

#### 123 A. Exact Analysis

124 Here, we present the exact effective throughput analysis of the  
125  $\kappa$ - $\mu$  fading models introduced in Section II. More specifically, the  
126 entries of channel vector  $\mathbf{h}$  are assumed to be i.i.d.  $\kappa$ - $\mu$  random  
127 variables (RVs).

128 We commence our analysis by invoking [12], where it was shown  
129 that the sum of  $M$  i.i.d. squared  $\kappa$ - $\mu$  distributed RVs with parameters  
130  $\kappa$ ,  $\mu$ , and  $\Omega$  is also a  $\kappa$ - $\mu$  distribution with parameters  $\kappa$ ,  $M\mu$ ,

and  $M\Omega$ . Using [12, eq. (10)], after a number of manipulations, we  
131 arrive at the pdf of  $z = \sum_{k=1}^{N_t} |h_k|^2$ , i.e.,  
132

$$p_{\text{i.i.d.}}(z) = \frac{\mu N_t (1+\kappa) \frac{\mu N_t + 1}{2} z^{\frac{\mu N_t - 1}{2}}}{e^{\mu N_t \kappa} \kappa^{\frac{\mu N_t - 1}{2}} (\Omega N_t)^{\frac{\mu N_t + 1}{2}}} \exp\left(-\frac{\mu(1+\kappa)z}{\Omega}\right) \\ \times I_{\mu N_t - 1} \left( 2\mu \sqrt{\frac{\kappa(1+\kappa)N_t z}{\Omega}} \right) \quad (5) \\ = \frac{\mu N_t (1+\kappa) \frac{\mu N_t + 1}{2} z^{\frac{\mu N_t - 1}{2}}}{e^{\mu N_t \kappa} \kappa^{\frac{\mu N_t - 1}{2}} (\Omega N_t)^{\frac{\mu N_t + 1}{2}}} \exp\left(-\frac{\mu(1+\kappa)z}{\Omega}\right) \\ \times \sum_{l=0}^{\infty} \frac{1}{l! \Gamma(\mu N_t + l)} \left( \mu \sqrt{\frac{\kappa(1+\kappa)N_t z}{\Omega}} \right)^{\mu N_t + 2l - 1} \quad (6)$$

where we proceed from (5) to (6) by exploiting [14, eq. (8.445)].  
133 Upon substituting (6) into (3), there is an integral in the form of  
134  $(1 + \rho z/N_t)^{-A}$ ,  $z^{\mu N_t + l - 1}$ , and  $\exp(-(\mu(1+\kappa)z/\Omega))$ . The effec-  
135 tive throughput of MISO i.i.d.  $\kappa$ - $\mu$  fading channels is given by  
136

$$R_{\text{i.i.d.}}(\rho, \theta) = \frac{\mu N_t \kappa}{A \ln 2} + \log_2 \left( \frac{\Omega \rho}{\mu N_t (1+\kappa)} \right) \\ - \frac{1}{A} \log_2 \left( \sum_{l=0}^{\infty} \frac{(\mu N_t \kappa)^l}{\Gamma(l+1)} U \left( A; A+1-\mu N_t-l; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \right) \quad (7)$$

where  $U(\cdot)$  is the Tricomi hypergeometric function [14, eq. (13.1.3)],  
137 and we have used the integral equation of [15, eq. (39)], i.e.,  
138

$$\int_0^{\infty} (1+ax)^{-v} x^{q-1} e^{-px} dx = a^{-q} \Gamma(q) U(q, q+1-v, p/a)$$

where the conditions of  $\text{Re}(q) > 0$ ,  $\text{Re}(p) > 0$ , and  $\text{Re}(a) > 0$  are  
139 met. In addition, Kummer's transformation  $U(a; b; x) = x^{1-b} U(a -$   
140  $b + 1; 2 - b; x)$  [16, eq. (07.33.17.0007.01)] is used. Note that for the  
141 case of Rician fading channels (e.g.,  $\kappa = K$ ,  $\mu = 1$ , where  $K$  is the  
142 Rician  $K$ -factor), (7) reduces to [11, eq. (34)].  
143

Since (7) is expressed in the form of an infinite series, we should  
144 demonstrate its convergence by seeking to quantify the truncation error  
145 imposed by a limited number of terms. Assuming that  $T_0$  terms are  
146 used, the associated truncation error  $E_0$  can be expressed as  
147

$$E_0 = \sum_{l=T_0}^{\infty} \frac{(\mu N_t \kappa)^l}{\Gamma(l+1)} U \left( A; A+1-\mu N_t-l; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \\ < U \left( A; A+1-\mu N_t-T_0; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \sum_{l=T_0}^{\infty} \frac{(\mu N_t \kappa)^l}{\Gamma(l+1)} \quad (8)$$

where we have exploited the fact that  $U(a, b-l, z)$  is a monotoni-  
148 cally decreasing function of  $l$ . With the aid of [17, eq. (6.5.4)] and  
149 [17, eq. (6.5.29)], (8) may be streamlined to  
150

$$E_0 < U \left( A; A+1-\mu N_t-T_0; \frac{\mu N_t (1+\kappa)}{\Omega \rho} \right) \\ \times \exp(\mu \kappa N_t) \left( 1 - \frac{\Gamma(T_0, \mu \kappa N_t)}{\Gamma(T_0)} \right) \quad (9)$$

where  $\Gamma(\cdot, \cdot)$  represents the upper incomplete gamma function  
151 [14, eq. (8.350.2)].  
152

<sup>1</sup>The packet arrival process and the server strategy employed in the queuing system are those introduced in [5] and [13].



153 The given expressions are exact; however, they only provide limited  
 154 physical insights into the quantitative effects of the parameters (e.g.,  
 155 the number of transmit antennas, the delay-related exponent, and the  
 156 number of multipath clusters) on the effective throughput. Let us hence  
 157 elaborate further by considering both the high- and low-SNR regions  
 158 of operation.

### 159 B. Asymptotic Analysis

160 We commence with the high-SNR analysis. By retaining only the  
 161 dominant term in (3) as  $\rho \rightarrow \infty$ , we arrive at

$$\begin{aligned} \mathbb{E} \left\{ \left( \frac{\rho}{N_t} \mathbf{h} \mathbf{h}^\dagger \right)^{-A} \right\} &= \left( \frac{\rho}{N_t} \right)^{-A} \frac{\mu N_t (1 + \kappa)^{\frac{\mu N_t + 1}{2}}}{e^{\mu N_t \kappa} \kappa^{\frac{\mu N_t - 1}{2}} (\Omega N_t)^{\frac{\mu N_t + 1}{2}}} \\ &\times \int_0^\infty \frac{z^{(\mu N_t - 1)/2 - A}}{\exp(\mu(1 + \kappa)z/\Omega)} I_{\mu N_t - 1} \left( 2\mu \sqrt{\frac{\kappa(1 + \kappa) N_t z}{\Omega}} \right) dz. \end{aligned} \quad (10)$$

162 The given integral can now be evaluated using [18, eq. (3.15.2.5)],  
 163 upon exploiting that  $A < \mu N_t$ . Finally, the effective throughput at  
 164 tained at high SNRs and for  $A < \mu N_t$  may be approximated for MISO  
 165  $\kappa$ - $\mu$  fading channels as

$$\begin{aligned} R_{\text{i.i.d.}}^\infty(\rho, \theta) &= \log_2 \left( \frac{\Omega \rho}{\mu N_t (1 + \kappa)} \right) + \frac{\kappa \mu N_t}{A \ln 2} \\ &- \frac{1}{A} \log_2 \left( \frac{\Gamma(\mu N_t - A)}{\Gamma(\mu N_t)} {}_1F_1(\mu N_t - A; \mu N_t; \kappa \mu N_t) \right) \end{aligned} \quad (11)$$

166 where  ${}_1F_1$  is the confluent hypergeometric function [14, eq. (9.238.2)].  
 167 Note that the effective throughput achieved at high SNRs is a monoton-  
 168 ically increasing function of  $\kappa$ . This is anticipated, since larger values  
 169 of  $\kappa$  result in more deterministic fading. When considering the Rician  
 170 fading case, (11) reduces to [11, eq. (49)].

171 Let us now investigate the effective throughput of  $\kappa$ - $\mu$  fading  
 172 channels in the power-limited low-SNR region, where the effective  
 173 throughput can be approximated by a second-order Taylor expan-  
 174 sion of the SNR following the generic methodology in [19]. More  
 175 particularly, we can approximate the effective throughput as  $\rho \rightarrow 0^+$   
 176 according to

$$R(\rho, \theta) = R'(0, \theta) \rho + R''(0, \theta) \frac{\rho^2}{2} + o(\rho^2) \quad (12)$$

177 where  $R'(0, \theta)$  and  $R''(0, \theta)$  denote the first- and second-order deriva-  
 178 tives of the effective throughput with respect to the SNR,  $\rho$ , at  $\rho = 0$ ,  
 179 respectively.

180 However, it has been shown in [20] that the Taylor expansion  
 181 method may, in fact, result in misleading conclusions regarding the  
 182 impact of the channel in the low-SNR region. Hence, it is beneficial to  
 183 explore the effective throughput at low SNRs in terms of the normal-  
 184 ized transmit energy per information bit  $E_b/N_0$ , which is formulated  
 185 as [20]

$$R \left( \frac{E_b}{N_0} \right) \approx S_0 \log_2 \left( \frac{E_b}{N_0} / \frac{E_b}{N_{0\min}} \right) \quad (13)$$

186 where  $E_b/N_{0\min}$  is the minimum normalized energy per information  
 187 bit required for reliably conveying any nonzero throughput, whereas

$S_0$  denotes the throughput versus SNR slope defined in [8]. These  
 metrics are defined respectively as

$$\frac{E_b}{N_{0\min}} \triangleq \lim_{\rho \rightarrow 0} \frac{\rho}{R(\rho, \theta)} = \frac{1}{R'(0, \theta)} \quad (14)$$

$$S_0 \triangleq - \frac{2 [R'(0, \theta)]^2 \ln 2}{R''(0, \theta)}. \quad (15)$$

Due to space limitations, we omit the explicit details, and upon  
 following a similar line of reasoning as in [9, Appendix I], we arrive  
 at the first- and second-order derivatives seen in (14) and (15) in the  
 form of

$$R'(0, \theta) = \frac{1}{N_t \ln 2} \mathbb{E} \{ \mathbf{h} \mathbf{h}^\dagger \} \quad (16)$$

$$R''(0, \theta) = \frac{A}{N_t^2 \ln 2} (\mathbb{E} \{ \mathbf{h} \mathbf{h}^\dagger \})^2 - \frac{A+1}{N_t^2 \ln 2} E \{ (\mathbf{h} \mathbf{h}^\dagger)^2 \}. \quad (17)$$

Recalling that  $\mathbb{E} \{ |h_k|^2 \} = \Omega$ , we may readily infer that  $\mathbb{E} \{ \mathbf{h} \mathbf{h}^\dagger \} = 194$   
 $N_t \Omega$ . With the aid of (4) and [18, eq. (3.15.2.5)], the fourth moment  
 of  $|h_k|$  can now be expressed as

$$\mathbb{E} \{ |h_k|^4 \} = N_t \Omega^2 \left( \frac{1 + 2\kappa}{\mu(1 + \kappa)^2} + N_t \right) \quad (18)$$

where we have utilized [14, eq. (9.212.1)] and [14, eq. (9.210.1)]. With  
 (18) at our disposal, we can readily deduce that

$$\begin{aligned} \mathbb{E} \{ (\mathbf{h} \mathbf{h}^\dagger)^2 \} &= \mathbb{E} \left\{ \left( \sum_{k=1}^{N_t} |h_k|^2 \right)^2 \right\} \\ &= \sum_{k=1}^{N_t} \mathbb{E} \{ |h_k|^4 \} + \sum_{k=1}^{N_t} \sum_{j=1, j \neq k}^{N_t} \mathbb{E} \{ |h_k|^2 |h_j|^2 \} \\ &= N_t \Omega^2 \left( \frac{1 + 2\kappa}{\mu(1 + \kappa)^2} + N_t \right). \end{aligned} \quad (19)$$

Substituting (19) into (17) and then applying (14) and (15), the  
 low-SNR metrics of MISO  $\kappa$ - $\mu$  fading channels can be respectively  
 expressed as

$$\frac{E_b}{N_{0\min}} = \frac{\ln 2}{\Omega} \quad (20)$$

$$S_0 = \frac{2\mu N_t (1 + \kappa)^2}{(A + 1)(1 + 2\kappa) + \mu N_t (1 + \kappa)^2}. \quad (21)$$

Observe in (21) that the low-SNR slope is an increasing function of 202  
 both  $\kappa$  and  $\mu$ , whereas it is a monotonically decreasing function of  $A$ , 203  
 satisfying  $0 < S_0 < 2$  for a fixed  $N_t$ . It is also worth mentioning that 204  
 $E_b/N_{0\min}$  is independent of both  $\kappa$  and delay constraint  $\theta$ . Note that 205  
 for the case of Rician fading channels, the throughput-versus-SNR- 206  
 slope expression of (21) reduces to

$$S_0 = \frac{2N_t(K + 1)^2}{N_t(K + 1)^2 + (A + 1)^2 K + 1} \quad (22)$$

which coincides with [11, eq. (41)].

## IV. INDEPENDENT AND NONIDENTICAL $\kappa$ - $\mu$ FADING

Let us now move on to consider the case of wireless systems  
 communicating over i.n.i.d. MISO  $\kappa$ - $\mu$  fading channels. The pdf of

212 the sum of  $M$  i.n.i.d. squared  $\kappa$ - $\mu$  distributed RVs associated with  
213 parameters  $\kappa_m$ ,  $\mu_m$ , and  $\Omega_m$  is given by [21, eq. (4)], i.e.,

$$p_{i.n.i.d.}(z) = \frac{e^{-\frac{z}{2\beta}} z^{V-1}}{(2\beta)^V \Gamma(V)} \sum_{k=0}^{\infty} \frac{k! c_k}{(V)_k} L_k^{(V-1)} \left( \frac{Uz}{2\beta\xi} \right) \quad (23)$$

214 where  $V = \sum_{m=1}^M \mu_m$ ,  $(u)_v = \Gamma(u+v)/\Gamma(u)$  is the Pochhammer  
215 symbol [14], and  $L_n^\alpha(\cdot)$  is the generalized Laguerre polynomial  
216 [16, eq. (05.08.02.0001.01)], namely

$$L_n^v(y) = \frac{\Gamma(v+n+1)}{n!} \sum_{q=0}^n \frac{(-n)_q y^q}{q! \Gamma(v+q+1)}, \quad n \in \mathbb{N}. \quad (24)$$

217 Then, (23) can be alternatively expressed as

$$p_{i.n.i.d.}(z) = \frac{e^{-\frac{z}{2\beta}}}{(2\beta)^V} \sum_{k=0}^{\infty} c_k \sum_{q=0}^k \frac{(-k)_q z^{q+V-1}}{q! \Gamma(V+q)} \left( \frac{V}{2\beta\xi} \right)^q. \quad (25)$$

218 Note that the coefficients  $c_k$  can be recursively obtained following  
219 the equations [21, eq. (5a-5c)]

$$c_k = \frac{1}{k} \sum_{j=0}^k c_j d_{k-j}, \quad k \geq 1 \quad (26)$$

$$c_0 = \left( \frac{V}{\xi} \right)^V \exp \left( -\frac{1}{2} \sum_{m=1}^M \frac{\lambda_m a_m (V-\xi)}{\beta\xi + a_m (V-\xi)} \right) \times \prod_{m=1}^M \left( 1 + \frac{a_m}{\beta} (V/\xi - 1) \right)^{-\mu_m} \quad (27)$$

$$d_j = -\frac{j\beta V}{2\xi} \sum_{m=1}^M \lambda_m a_m (\beta - a_m)^{j-1} \left( \frac{\xi}{\beta\xi + a_m (V-\xi)} \right)^{j+1} + \sum_{m=1}^M \mu_m \left( \frac{1 - a_m/\beta}{1 + (a_m/\beta)(V/\xi - 1)} \right)^j, \quad j \geq 1 \quad (28)$$

220 where  $\lambda_m = 2\mu_m \kappa_m$ ,  $a_m = \Omega_m / 2\mu_m (1 + \kappa_m)$ . Note that param-  
221 eters  $\xi$  and  $\beta$  are chosen to guarantee the uniform convergence of  
222 (23) according to [22, Remark 3.1]. By substituting (25) into (3) and  
223 using the identity [15, eq. (39)], we arrive at the effective throughput  
224 expression of MISO i.n.i.d.  $\kappa$ - $\mu$  fading channels formulated as

$$R_{i.n.i.d.} = \log_2 \left( \frac{2\beta\rho}{N_t} \right) - \frac{1}{A} \sum_{k=0}^{\infty} c_k \sum_{q=0}^k \frac{(-k)_q}{q!} \left( \frac{V}{\xi} \right)^q \times U \left( A; A+1-q-V; \frac{N_t}{2\beta\rho} \right). \quad (29)$$

225

## V. NUMERICAL RESULTS

226 Here, the theoretical analysis presented in Sections III and IV is val-  
227 idated with the aid of Monte Carlo simulations, which were derived by  
228 averaging the results over  $10^7$  independent  $\kappa$ - $\mu$  channel realizations.  
229 We generate the squared  $\kappa$ - $\mu$  fading samples using the noncentral  
230 chi-square distribution method in [21]. These results are provided for  
231 characterizing the effects of different system and channel parameters  
232 on the effective throughput of MISO systems communicating over  
233  $\kappa$ - $\mu$  fading channels. Without loss of generality, we normalize the  
234 bandwidth of the system as  $B = 1$  Hz.

235 In Fig. 1, the effective throughput results of our Monte Carlo simula-  
236 tor are compared with the exact analytical expressions provided in (7)

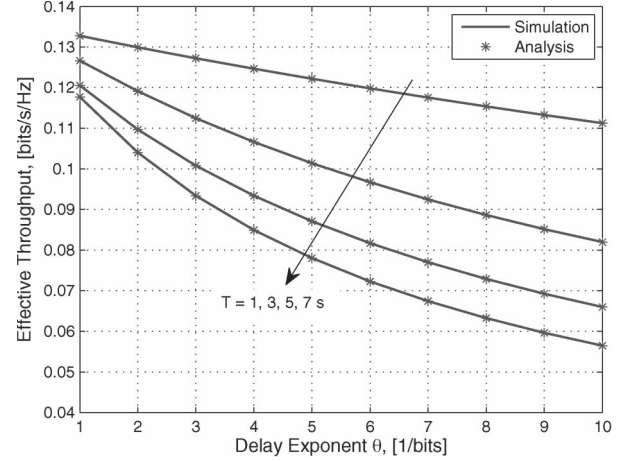


Fig. 1. Simulated and analytical effective throughput against delay exponent  $\theta$  and  $T$  for MISO i.i.d.  $\kappa$ - $\mu$  fading channels ( $N_t = 2$ ,  $\rho = -10$  dB,  $\Omega = 1$ ,  $\kappa = 1$ , and  $\mu = 1$ ).

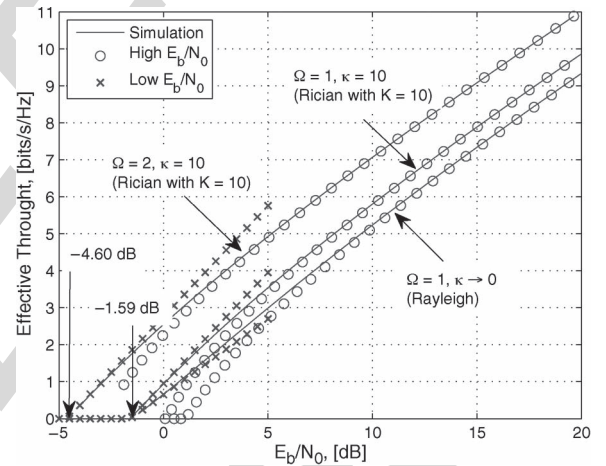


Fig. 2. Simulated high- and low- $E_b/N_0$  approximation effective throughput against  $E_b/N_0$  for MISO i.i.d.  $\kappa$ - $\mu$  fading channels ( $N_t = 4$ ,  $T = 1$  s,  $\theta = 0.1$ , and  $\mu = 1$ ).

in Section III for different block lengths and delay exponents. Observe  
237 that there is a good agreement between the effective throughput  
238 given by the theoretical formulas and those obtained by Monte Carlo  
239 simulations. As expected, it can be seen that the effective throughput  
240 is consistently reduced upon increasing  $\theta$  and  $T$ . For example, when  
241  $T$  increases from 1 to 7, the effective throughput reduces from 0.12 to  
242 0.07 bits/s/Hz at  $\theta = 6$ . Additionally, it is interesting to note that as  $T$   
243 increases, the gap between the corresponding curves increases, which  
244 implies that its effect becomes more pronounced. These observations  
245 explicitly quantify the well-understood physical relationship between  
246 the effective throughput and the affordable transmission delay and are  
247 consistent with the results presented in [11], [9], and [8].

248 Fig. 2 shows the simulated effective throughput, its low- $E_b/N_0$   
249 approximation seen in (13), and its high- $E_b/N_0$  approximation quanti-  
250 fied in (11) after using the relationship  $E_b/N_0 = \rho/R$ . Quantitatively,  
251 we have a 3-dB reduction in the minimum energy per bit upon  
252 increasing the average fading power  $\Omega$  by 50%. It is also readily  
253 shown in Fig. 2 that increasing  $\kappa$  increases the effective throughput  
254 due to having an increased throughput versus SNR slope  $S_0$  and so  
255 does the average fading power  $\Omega$  but leaves the required  $E_b/N_{0\min}$   
256 value unaffected. The curves shown in Fig. 2 also show that the  
257 approximation of the exact throughput is remarkably tight for all the  
258

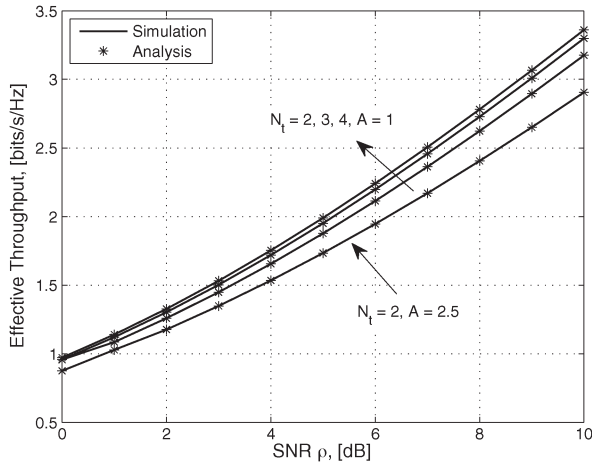


Fig. 3. Simulated and analytical effective throughput against parameters  $A$  and  $N_t$  for MISO i.n.i.d.  $\kappa$ - $\mu$  fading channels [ $\Omega = 1$ ,  $\kappa = (2.5, 3.5, 1.1, 1.75)$ , and  $\mu = (1.5, 0.75, 2.5, 3.75)$ ].

259 scenarios considered, but its accuracy is even improved for larger  
260 values of the fading parameters.

261 The simulated and analytical effective throughput of MISO i.n.i.d.  
262  $\kappa$ - $\mu$  fading channels recorded for different values of  $N_t$  and  $A$   
263 as a function of the average SNR  $\rho$  are plotted in Fig. 3. The  
264 fading parameters are selected as  $\kappa = (2.5, 3.5, 1.1, 1.75)$  and  $\mu =$   
265  $(1.5, 0.75, 2.5, 3.75)$ , respectively. It is clear that the effective through-  
266 put is a monotonically decreasing function of  $A$ , which implies  
267 that improving delay constraints reduces the effective throughput.  
268 Additionally, more transmit antennas  $N_t$  yield a higher throughput;  
269 although, the gap between the corresponding curves decreases as  $N_t$   
270 increases. This observation implies that the effect of  $N_t$  becomes less  
271 pronounced.

272

## VI. CONCLUSION

273 The novelty of using the effective throughput as a performance  
274 metric is that it quantifies the effects of delay on the attainable  
275 throughput, which is reduced upon tightening the affordable delay.  
276 As the delay tends to infinity, the effective throughput tends to the  
277 ergodic capacity. We have derived new analytical expressions for the  
278 exact effective throughput of MISO systems communicating over  $\kappa$ - $\mu$   
279 fading channels. Although the exact expression is given in terms of  
280 an infinite series, the associated truncation error was also analytically  
281 quantified. Quantitatively, it can be seen that as few as ten terms are  
282 required for a high accuracy of  $10^{-6}$  in the series. To gain physical  
283 insights into the impact of system parameters, we presented a closed-  
284 form expression for the effective throughput at high SNRs. Moreover,  
285 tractable expressions have been derived for both the minimum transmit  
286 energy per information bit required for reliably conveying any nonzero  
287 throughput at low SNRs. The results are applicable to the design of  
288 next-generation wireless systems.

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