

Time-Resolved Coherent Diffraction of Ultrafast Structural Dynamics in a Single Nanowire

Marcus C. Newton,^{1,2,*} Mayu Sao,³ Yuta Fujisawa,³ Rena Onitsuka,^{4,5} Tomoya Kawaguchi,⁶
Kazuya Tokuda,⁶ Takahiro Sato,⁴ Tadashi Togashi,⁷ Makina Yabashi,⁴ Tetsuya Ishikawa,⁴
Tetsu Ichitsubo,⁶ Eiichiro Matsubara,⁶ Yoshihito Tanaka,^{4,5} and Yoshinori Nishino³

¹*Research Institute for Electronic Science, Hokkaido University*

²*Department of Physics & Astronomy, University of Southampton, UK*

³*Research Institute for Electronic Science, Hokkaido University, Sapporo 001-0021, Japan*

⁴*RIKEN SPring-8 Center, RIKEN, 1-1-1, Kouto, Sayo, Hyogo 679-5148, Japan*

⁵*Department of Physics, School of Science and Technology,*

Kwansei Gakuin University, Gakuen, Sanda, Hyogo 669-1337, Japan

⁶*Department of Materials Science and Engineering, Kyoto University, Kyoto 606-8501, Japan*

⁷*Japan Synchrotron Radiation Research Institute (JASRI),*

1-1-1 Kouto, Sayo-cho, Sayo-gun, Hyogo 679-5198, Japan

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The continuing effort to utilise the unique properties present in a number of strongly correlated transition metal oxides for novel device applications has led to intense study of their transitional phase state behaviour. Here we report on time-resolved coherent X-ray diffraction measurements on a single vanadium dioxide nanocrystal undergoing a solid-solid phase transition, using the SACLA X-ray Free Electron Laser (XFEL) facility. We observe an ultra-fast transition from monoclinic to tetragonal crystal structure in a single vanadium dioxide nanocrystal. Our findings demonstrate that the structural change occurs in a number of distinct stages attributed to differing expansion modes of vanadium atom pairs.

Correlated electronic materials are those in which the electronic interactions are not readily predicted through a study of the individual constituents. These materials often undergo a phase transition when subjected to excitation, where quantities including either electronic structure, crystal structure or magnetic ordering are significantly altered. This process is often driven by a change in temperature but may also occur due to electrical, mechanical, optical and magnetic excitations.[1–4]

Simple models of correlated systems have proven difficult to solve due to the large number of interactions that must be accounted for. As a result, accurately simulating theoretical predictions is often impractical and leaves little to guide experimental studies.[5] There remains therefore the challenge to develop a dynamical theory that is able to describe spontaneous atomic rearrangement due to external excitation. In addition, there are few studies dedicated to time resolved measurements. This is largely due to the limitations of the available tools for studying such systems. For example, electron microscopes are able to resolve atomic scale features of sufficiently thin materials but are in general limited to pico-second time resolution.[6] The key to deciphering these phenomena lies in measuring the time-dependent changes in atomic structure. Observation of the dynamical behaviour is permitted only when the time scale for measurement is appropriately less than that of the phase change phenomena.

Vanadium dioxide (VO₂) is a transition metal oxide material that exhibits a solid-solid phase transi-

tion from tetragonal to monoclinic atomic ordering at a critical temperature $T_c = 67.9^\circ\text{C}$ which is accompanied by a metal-to-insulator phase transition. In the monoclinic (tetragonal) phase, VO₂ belongs to the $P2_1/c$ ($P4_2/mnm$) space group respectively. The structural change in VO₂ can occur on the femto-second timescale, as demonstrated here. There is still however some debate on the nature of the transition due to conflicting results, with authors subscribing to either the Mott-Hubbard or Peierls dominated interactions.[7–10] A change in conductivity, several orders of magnitude in size, across T_c has made VO₂ a long standing topic of research with the aim of developing potential device applications where ultra-fast switching characteristics are desirable.[11–14]

The advent of 4th generation X-ray Free-Electron Laser (XFEL) facilities, as a tool for materials analysis, is proven to provide unprecedented insight into nanometre scale structure. [15–18] These studies demonstrate the potential that high brilliance light sources have for advancing our understanding of a wide range of phenomena. Coherent X-ray diffraction (CXD) imaging is a powerful lens-less imaging tool for probing materials with nanometer resolution.[19] Conventional CXD is performed by illuminating a sample with a spatially coherent X-ray source so that the coherence area exceeds the dimensions of the crystal; a condition that is always satisfied for an XFEL source, when the X-ray beam size is larger than the sample. In the Bragg reflection geometry, scattered light from the volume of the crystal interferes to produce a coherent diffraction pattern in the far-field.[20–22]

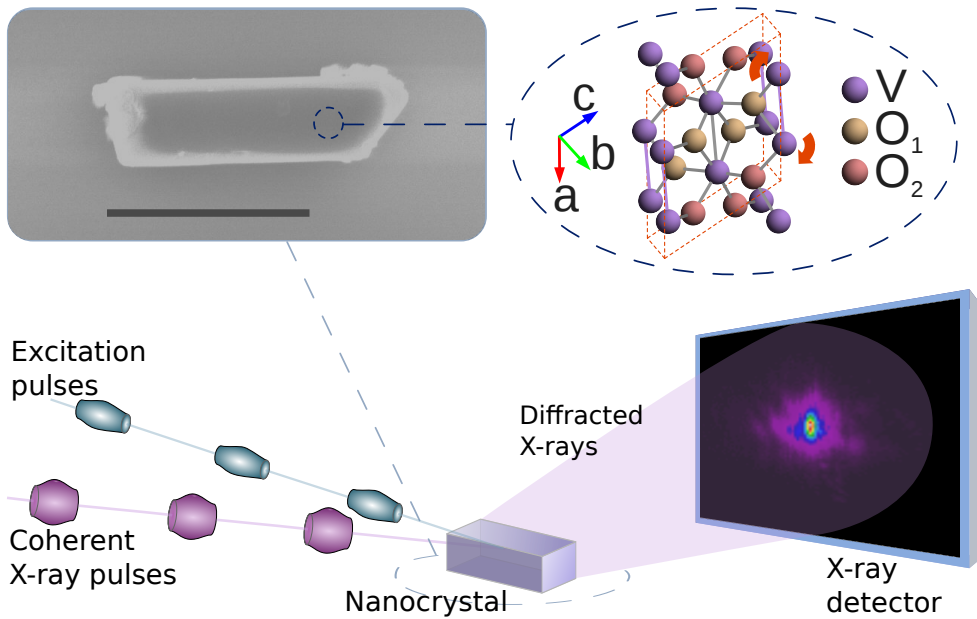


FIG. 1. **Schematic of the experiment.** The sample is mounted in the Bragg reflection geometry. At time t , the sample is excited with a femto-second pulse of light from the Ti:Sapphire laser. After a known time interval $\Delta t = t' - t$, the sample is probed with a femto-second pulse from the XFEL. By varying Δt , we were able to obtain coherent diffraction information on the time evolution of the process. The inset shows a scanning electron micrograph image of a single vanadium dioxide (VO₂) nanocrystal on the surface of a Si (100) substrate. A 1 micron scale bar is shown. Also shown inset is an illustration of the atomic arrangement in the VO₂ unit cell for the monoclinic (M₁) structure.

80 The diffracted intensity is measured using an area
 81 detector which is positioned far enough away from
 82 the sample to resolve the finest fringes of the coher-
 83 ent diffraction pattern. Iterative phase reconstruction
 84 methods are then used to recover the complex elec-
 85 tron density $\rho(\mathbf{r})$ and phase information $\phi(\mathbf{r})$. This
 86 in turn provides information on the atomic displac-
 87 ements throughout the volume of the crystals according
 88 to the relation $\phi(\mathbf{r}) = \mathbf{Q} \cdot \mathbf{u}(\mathbf{r})$, where \mathbf{u} is the atomic
 89 displacement.[23]

90 In the following we combine time resolved pump-
 91 probe measurements with coherent X-ray diffraction.
 92 This method is used to study structural changes in
 93 self-assembled vanadium dioxide nanocrystals. Time-
 94 resolved measurements were achieved using a pump-
 95 probe scheme consisting of a femto-second Ti:Sapphire
 96 laser system as an optical pump and the XFEL as a
 97 femto-second probe. The XFEL is particularly suited
 98 to the study of structural phase transitions in solid
 99 state materials due to its femto-second timing res-
 100 olution and spatial coherence. Measurements were
 101 performed at the SPring-8 Angstrom Compact Free
 102 Electron Laser (SACLA) facility in Japan. SACLA
 103 is a compact XFEL operating down to $\sim 0.6 \text{ \AA}$ in
 104 wavelength. [24] Coherent diffraction experiments
 105 were performed in air on a single VO₂ nanocrystal in
 106 the Bragg reflection geometry. Pump-probe measure-
 107 ments were performed in the usual way. Namely, at
 108 time t , the sample was excited with a femto-second

109 pulse of light from the Ti:Sapphire laser. After a
 110 known time interval $\Delta t = t' - t$, the sample was probed
 111 with a femto-second pulse from the XFEL. By vary-
 112 ing Δt , it was possible to obtain coherent diffraction
 113 information on the time evolution of the process. By
 114 inverting the coherent diffraction pattern, a real-space
 115 image of the object can be obtained. Further details
 116 on data compilation can be found in the Supplemen-
 117 tary Information.

118 Figure 1 illustrates the geometry of the experiment.
 119 The XFEL was operated with an unfocused beam
 120 size of 250 microns in diameter. The photon energy
 121 and duration was 8.682 keV and ~ 10 femto-seconds
 122 respectively with a typical flux of 10^9 photons per
 123 pulse, after passing through a Si(111) double-crystal
 124 monochromator.[25] The Ti:Sapphire excitation laser
 125 was operated at a wavelength of 800 nanometers.
 126 A pulse energy of 300 micro Joules per pulse was
 127 achieved using a chirped pulse amplifier system. The
 128 full-width-half-maximum of the excitation beam was
 129 reduced to 460 microns in size before impinging on the
 130 sample. Both beams were caused to coincide at the
 131 sample surface with less than 100 microns accuracy.
 132 Position jitter was not a major concern when using the
 133 unfocussed XFEL beam for studying a single nanome-
 134 tre scale crystal as the crystal was significantly smaller
 135 than the beam and fully illuminated. Timing between
 136 the pump and probe lasers was controlled using an all
 137 optical system with the initial delay time tuned using

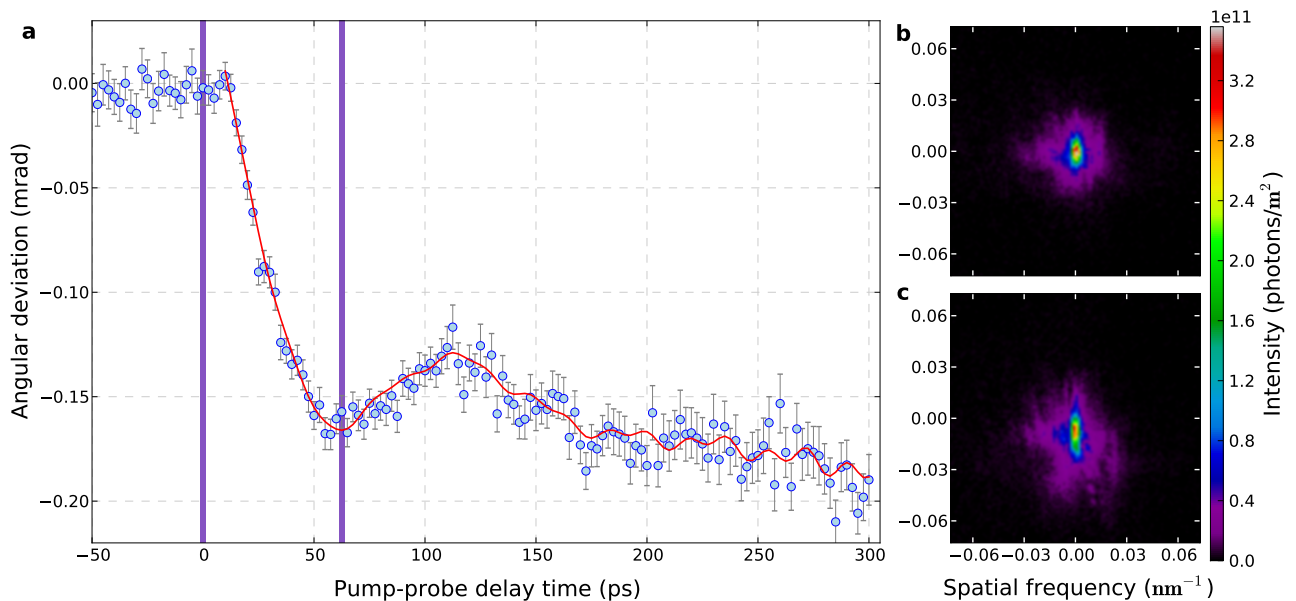


FIG. 2. **Time dependent angular deviation of the coherent diffraction pattern.** **a**, Deviation of the mean displacement of the coherent diffraction pattern in response to excitation at a fluence of 102 mJ/cm^2 per pulse from the Ti:Sapphire femto-second laser. **b**, Coherent diffraction pattern at delay time of 0 ps. **c**, Coherent diffraction pattern at delay time of 62.5 ps. Each diffraction pattern consists of the accumulation of data from 25 XFEL shots.

138 a fluorescent reference sample. The point zero time
 139 delay was calibrated down to an accuracy of ~ 10 ps.
 140 The detector, XFEL and Ti:Sapphire laser were oper-
 141 ated at 20 Hz, 10 Hz and 5 Hz respectively allowing
 142 interleaving of background data and unperturbed co-
 143 herent diffraction data acquisition.

144 VO_2 nanocrystals were prepared using a chemical
 145 vapour deposition process. An isolated single VO_2
 146 nanocrystal was then prepared so that the (011) re-
 147 flection in the low temperature monoclinic phase is
 148 approximately specular (see Methods section). In the
 149 high temperature tetragonal phase, the (110) reflec-
 150 tion is also approximately specular (see Supplemen-
 151 tary Information). The sample was mounted on a
 152 custom built ceramic heated stage, designed by the
 153 authors, which was subsequently mounted onto a Ko-
 154 hzu (ϕ , χ , θ) goniometer. The propagated wave was
 155 largely concealed in a vacuum path chamber with
 156 Kapton polyimide windows at either end between the
 157 sample and detector. A multiport CCD X-ray detec-
 158 tor was used to acquire coherent X-ray diffraction
 159 patterns. The detector was mounted at a distance of
 160 1410 millimetres normal to the reflected wave and at
 161 an angle of 2θ , as illustrated in figure 1.

162 As the phase transition of VO_2 exhibits a well de-
 163 fined hysteresis between the thermodynamically sta-
 164 ble low temperature monoclinic and high temperature
 165 tetragonal phases, the pump-probe experiment was
 166 performed below the hysteresis temperature. It was
 167 found that 30°C was a sufficient temperature to avoid
 168 hysteresis effects (see Methods section).

169 Figure 2a shows the angular deviation of the co-

170 herent diffraction pattern for varying delay times, in
 171 response to excitation at a fluence of 102 mJ/cm^2
 172 per pulse from the Ti:Sapphire femto-second laser.
 173 Measurements were performed in the low temperature
 174 monoclinic Bragg geometry. Each data point results
 175 from the accumulation of data from 25 XFEL shots
 176 with dark-field subtraction, as described in the Sup-
 177 plementary Information. The delay interval between
 178 each data point was 2.5 pico-seconds. Immediately af-
 179 ter time zero, a fast reduction in the Bragg angle was
 180 observed and occurred as the nanocrystal began to
 181 transition away from the monoclinic structure. This
 182 was followed by a slower reduction in the Bragg an-
 183 gle. We understand this to result from the step-wise
 184 motion of atoms in the unit cell during the transi-
 185 tion. The rotation of the V-V pairs can be consid-
 186 ered to occur in two stages. First a faster expansion
 187 of the vanadium pair and finally a slower shearing of
 188 the pair. This is in agreement with previous studies
 189 performed using electron diffraction on bulk samples.
 190 [26] From the figure 2 we obtain a maximum speed of
 191 more than 0.007 m/s for the expansion of the (011)
 192 lattice planes. The (110) reflection of the high tem-
 193 perature tetragonal structure is predicted to have a
 194 Bragg angle of 0.99 mrad below that of the (011) re-
 195 flection of the monoclinic (M_1) structure and is there-
 196 fore ideal for observing both structures in tandem on
 197 a single detector plane. In order, however, to observe
 198 femto-second angular deviations, it is essential that
 199 diffraction is observed from a lattice plane with a sig-
 200 nificant component in the plane of the V-V atom pair
 201 rotation. This is equivalent to Miller indices (hkl)

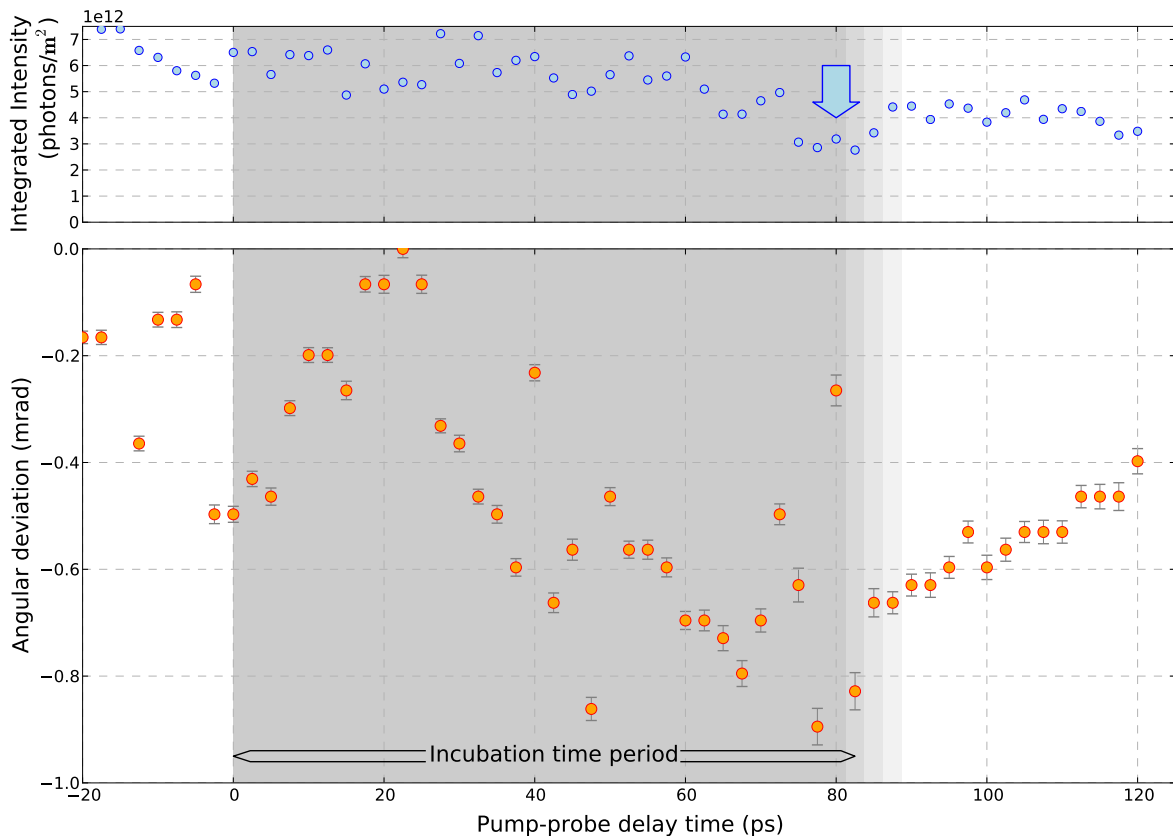


FIG. 3. **Angular deviation and integrated intensity of the coherent diffraction pattern at increased excitation.** Initial stages of the deviation of the displacement of the maximum intensity in response to excitation at a fluence of 306 mJ/cm^2 per pulse from the Ti:Sapphire femto-second laser excitation source. The integrated intensity is also shown and reduces by $\sim 4 \times 10^{12} \text{ photons/m}^2$ during the structural phase transition, as indicated. Each data point results from the accumulation of data from 25 XFEL shots with dark-field subtraction.

for which h and l are non-zero. Angular deviation of diffraction from the (011) reflection therefore occurs on the pico-second time scale as we have a significant out of plane component of the transfer wavevector \mathbf{Q} . Oscillations in the angular deviation are also apparent and were best fitted to a damped harmonic oscillator equation with frequencies at 8.1 GHz, 55.8 GHz and 26.5 GHz (see Supplementary Information). Coherent phonons propagating within the nanocrystal are the likely cause where the oscillation frequencies are strongly coupled to the geometry of the nanocrystal. Figures 2b and 2c show the coherent diffraction patterns at delay times of 0 ps and 62.5 ps respectively. Elongation of the coherent diffraction pattern occurs after 0 ps delay rather than a simple shift to lower angle. This is a result of strain in the nanocrystal arising due to competing intermediate monoclinic (M_2) and triclinic phases as the lattice planes expand.[8, 27, 28]

Figure 3 shows the angular deviation of the coherent diffraction pattern for varying delay times at an increased excitation of 306 mJ/cm^2 per pulse from the Ti:Sapphire femto-second laser excitation source.

Each data point results from the accumulation of data from 25 XFEL shots with dark-field subtraction. At this excitation energy, we were able to observe a structural transition. We found that during an incubation period of 92.5 ps, the intensity peak of the coherent diffraction pattern moved between various locations within the diffraction pattern. This is likely due to internal strain and plastic deformation of the nanocrystal. During the incubation period, the mean displacement of the Bragg angle did not return to zero after each excitation cycle suggesting that the crystal had not completely returned to its original structure (see supplementary information). This is likely due to the crystal becoming trapped in an intermediate monoclinic phase with a Bragg angle between that of the monoclinic M_1 and tetragonal phases. After an incubation period of 92.5 ps the original coherent diffraction pattern was no longer visible and a coherent new diffraction pattern emerged. Toward the end of this period and at a delay of 80 ps, the integrated intensity reduced by $\sim 4 \times 10^{12} \text{ photons/m}^2$ (as indicated in figure 3) for 12.5 ps, after which the coherent diffraction

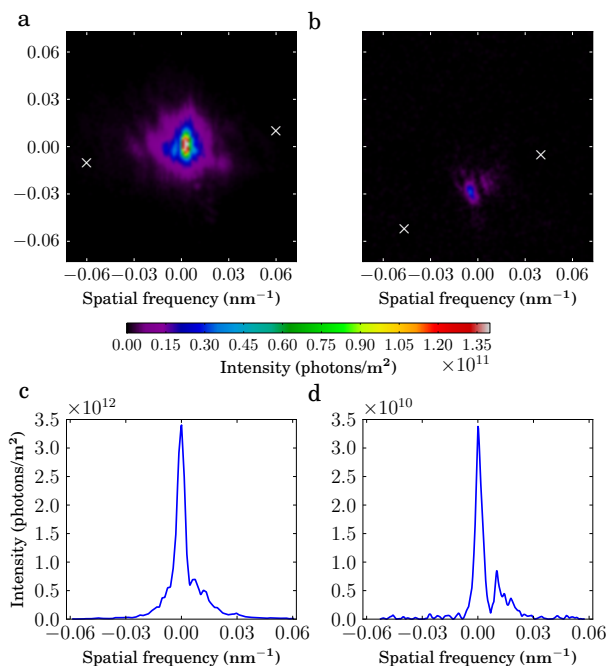


FIG. 4. **Coherent diffraction pattern of monoclinic and tetragonal structures.** Coherent diffraction patterns in response to excitation at a fluence of 306 mJ/cm^2 per pulse from the Ti:Sapphire femto-second laser at **a**, 0 ps delay and **b**, 92.5 ps delay. Intensity line scans through the points marked “ \times ” are shown in **c** and **d**, respectively.

246 pattern of the new phase emerged.

247 Figure 4a and figure 4b shows the coherent diffrac-
 248 tion pattern correspondig to figure 3 at time delays of
 249 0 ps and 92.5 ps respectively. The displacement of the
 250 centre of the Bragg peak of the new coherent diffrac-
 251 tion pattern was at most 0.89 mrad below the original
 252 agreeing reasonably well with theoretical predictions.
 253 We take into consideration that the diffractometer
 254 remained in the Bragg geometry for the monoclinic
 255 phase during measurements and therefore only partially
 256 satisfied that of the tetragonal phase. It is there-
 257 fore likely that the observed diffraction is from the
 258 high temperature tetragonal phase. Line scans drawn
 259 between points marked “ \times ” in figure 4a and figure 4b
 260 are shown in figure 4c and 4d, respectively. Compa-
 261 rable fringe spacings of 0.0046 and 0.0052 nm^{-1} are
 262 obtained for the monoclinic and tetragonal phase re-
 263 spectively.

264 In summary, we have performed time resolved co-
 265 herent X-ray diffraction measurements on a single
 266 nanoscale crystal of vanadium dioxide. We have ob-
 267 served ultra-fast dynamics of the phase transition
 268 from monoclinic to tetragonal structure. Step-wise
 269 motion of atoms in the unit cell was observed during
 270 the transition and attributed to rotation of the V-V
 271 pairs. This study also demonstrates the feasibility of
 272 the XFEL for the study of structural changes in corre-
 273 lated electronic materials. With further improvements

274 to iterative phase retrieval algorithms for reconstruct-
 275 ing strained crystalline structures, it should also be
 276 possible to invert coherent diffraction patterns from
 277 time-resolved measurements to obtain real-space im-
 278 ages. This ultimately will provide a means to obtain
 279 real-space time-lapse images of the object with femto-
 280 second resolution.

METHODS

282 Self-assembled VO_2 nanocrystals were synthesised
 283 in bulk quantity using a high temperature chemical
 284 vapour transport and deposition (CVTD) process at
 285 900°C and at a pressure of 10 Pascals. A single
 286 VO_2 nanocrystal was then transferred to a clean Si
 287 (100) substrate, scored into four quadrants. Tem-
 288 perature dependent micro-Raman measurements were
 289 then performed on a single VO_2 nanocrystals to con-
 290 firm the transition temperature. Subsequently, a sin-
 291 gle vanadium dioxide crystal was selected and pre-
 292 pared on a Silicon substrate as shown in figure 1.

293 The following procedure was used to determine
 294 an appropriate initial sample temperature for pump-
 295 probe measurements. The sample was heated to 30°C .
 296 A coherent diffraction pattern from the low temper-
 297 ature monoclinic (011) reflection was then recorded.
 298 The sample was subsequently heated through the
 299 transition into the high temperature tetragonal phase
 300 at which point the diffraction intensity disappeared.
 301 The temperature at which this occurred was recorded.
 302 The Bragg angle and sample orientation were then
 303 changed to locate the (110) reflection of the high tem-
 304 perature Rutile phase and a coherent diffraction pat-
 305 tern was recorded. After this, the Bragg angle and
 306 sample orientation was returned to that of the low
 307 temperature (011) monoclinic reflection and the sam-
 308 ple cooled until this coherent diffraction pattern was
 309 again visible. This process was found to be stable if
 310 the sample was return to 30°C . A temperature of 30°C
 311 was therefore maintained throughout the experiment.

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 318 with analysis capabilities.

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AUTHOR CONTRIBUTIONS

M.C.N., Y.N. and Y.T. planned the experiments. VO₂ nanocrystals were prepared by M.C.N. Experimental data was gathered by all authors. Data analysis was carried out by M.C.N. The manuscript was prepared by M.C.N.

* M.Newton@es.hokudai.ac.jp

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