

## Multicentre cluster randomised trial comparing a community group exercise programme and home-based exercise with usual care for people aged 65 years and over in primary care

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**National Institute for  
Health Research**



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# Abstract

## Multicentre cluster randomised trial comparing a community group exercise programme and home-based exercise with usual care for people aged 65 years and over in primary care

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**Background:** Regular physical activity (PA) reduces the risk of falls and hip fractures, and mortality from all causes. However, PA levels are low in the older population and previous intervention studies have demonstrated only modest, short-term improvements.

**Objective:** To evaluate the impact of two exercise promotion programmes on PA in people aged  $\geq 65$  years.

**Design:** The ProAct65+ study was a pragmatic, three-arm parallel design, cluster randomised controlled trial of class-based exercise [Falls Management Exercise (FaME) programme], home-based exercise [Otago Exercise Programme (OEP)] and usual care among older people (aged  $\geq 65$  years) in primary care.

**Setting:** Forty-three UK-based general practices in London and Nottingham/Derby.

**Participants:** A total of 1256 people  $\geq 65$  years were recruited through their general practices to take part in the trial.

**Interventions:** The FaME programme and OEP. FaME included weekly classes plus home exercises for 24 weeks and encouraged walking. OEP included home exercises supported by peer mentors (PMs) for 24 weeks, and encouraged walking.

**Main outcome measures:** The primary outcome was the proportion that reported reaching the recommended PA target of 150 minutes of moderate to vigorous physical activity (MVPA) per week, 12 months after cessation of the intervention. Secondary outcomes included functional assessments of balance and falls risk, the incidence of falls, fear of falling, quality of life, social networks and self-efficacy. An economic evaluation including participant and NHS costs was embedded in the clinical trial.

**Results:** In total, 20,507 patients from 43 general practices were invited to participate. Expressions of interest were received from 2752 (13%) and 1256 (6%) consented to join the trial; 387 were allocated to the FaME arm, 411 to the OEP arm and 458 to usual care. Primary outcome data were available at 12 months after the end of the intervention period for 830 (66%) of the study participants. The proportions reporting at least 150 minutes of MVPA per week rose between baseline and 12 months after the intervention from 40% to 49% in the FaME arm, from 41% to 43% in the OEP arm and from 37.5% to 38.0% in the usual-care arm. A significantly higher proportion in the FaME arm than in the usual-care arm reported at least 150 minutes of MVPA per week at 12 months after the intervention [adjusted odds ratio (AOR) 1.78, 95% confidence interval (CI) 1.11 to 2.87;  $p=0.02$ ]. There was no significant difference in MVPA between OEP and usual care (AOR 1.17, 95% CI 0.72 to 1.92;  $p=0.52$ ). Participants in the FaME arm added around 15 minutes of MVPA per day to their baseline physical activity level. In the 12 months after the close of the intervention phase, there was a statistically significant reduction in falls rate in the FaME arm compared with the usual-care arm (incidence rate ratio 0.74, 95% CI 0.55 to 0.99;  $p=0.042$ ). Scores on the Physical Activity Scale for the Elderly showed a small but statistically significant benefit for FaME compared with usual care, as did perceptions of benefits from exercise. Balance confidence was significantly improved at 12 months post intervention in both arms compared with the usual-care arm. There were no statistically significant differences between intervention arms and the usual-care arm in other secondary outcomes, including quality-adjusted life-years. FaME is more expensive than OEP delivered with PMs (£269 vs. £88 per participant in London; £218 vs. £117 in Nottingham). The cost per extra person exercising at, or above, target was £1919.64 in London and £1560.21 in Nottingham (mean £1739.93).

**Conclusion:** The FaME intervention increased self-reported PA levels among community-dwelling older adults 12 months after the intervention, and significantly reduced falls. Both the FaME and OEP interventions appeared to be safe, with no significant differences in adverse reactions between study arms.

**Trial registration:** This trial is registered as ISRCTN43453770.

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## List of abbreviations

A&E	accident and emergency	LSNS	Lubben Social Network Scale
AE	adverse event	MSPSS	Multidimensional Scale of Perceived Social Support
AI	adverse incident	MVPA	moderate to vigorous physical activity
AFRIS	Attitudes to Falls-Related Interventions Scale	OEE	Outcome Expectation for Exercise
AOR	adjusted odds ratio	OEP	Otago Exercise Programme
AR	adverse reaction	OPQoL	Older People's Quality of Life Questionnaire
BP	blood pressure	OR	odds ratio
CHAMPS	Community Healthy Activities Model Program for Seniors	PA	physical activity
CI	confidence interval	PASE	Physical Activity Scale for the Elderly
ConfBal	Confidence in Balance scale	PCRN	Primary Care Research Network
CONSORT	Consolidated Standards of Reporting Trials	PCT	primary care trust
EQ-5D	European Quality of Life-5 Dimensions	PM	peer mentor
FaME	Falls Management Exercise programme	PPI	patient and public involvement
FES-I	Falls Efficacy Scale-International	PSI	postural stability instructor
FRAT	Falls Risk Assessment Tool	QALY	quality-adjusted life-year
GP	general practitioner	RCT	randomised controlled trial
ICC	intrapractice correlation coefficient	SAE	serious adverse event
ICER	incremental cost-effectiveness ratio	SD	standard deviation
IMD	Index of Multiple Deprivation	SF-12	Short Form questionnaire-12 items
IMD2007	Index of Multiple Deprivation 2007	TSC	Trial Steering Committee
IRR	incidence rate ratio	TUG	timed get-up and go test



## Plain English summary

**P**hysical activity (PA) in later life can improve physical and mental health and quality of life, yet many older adults are inactive.

The ProAct65+ trial tested two methods of promoting PA with older people, one with weekly classes and the other with home exercises, both for 24 weeks. The aim of the study was to examine if the two exercise programmes were effective in increasing levels of PA 12 months after each programme ended.

We invited people aged  $\geq 65$  years from 43 general practices to take part in the study, and 1256 did so. Practices were randomly allocated to have class exercises, home exercise or usual care (with no special exercise plan). We measured different aspects of health and well-being. The aim was to increase the proportion of participants who reached or exceeded 150 minutes per week of moderate to vigorous PA.

Participants were followed up for 12 months after the exercise intervention ended. Significantly more of those participants in the exercise classes than in the usual-care group reached the target for PA at the 12-month follow-up. Those who had home exercise alone were no more likely to reach the PA target compared with the usual-care group. At follow-up the exercise class group had significantly fewer falls than the usual-care group, but there was no significant difference for the exercise at home group. Participants in the exercise class arm were more likely to be positive about exercise at follow-up. There were no other changes in health and well-being.





# Scientific summary

## Objective

The primary objective of the ProAct65+ trial was to determine the effect of two evidence-based exercise programmes designed for older people, compared with usual care, on the achievement of recommended physical activity (PA) targets 12 months after cessation of the intervention. A pragmatic, three-arm parallel design, cluster-controlled trial was employed, with allocation at the level of general practice. Participants were from UK-based general practices in London, Nottingham and Derby which agreed to participate in the trial and their patients aged  $\geq 65$  years, who gave informed consent to participate.

## Eligibility

Practices were eligible to participate if they committed themselves for the duration of the trial and if community venues suitable for exercise classes were available in their catchment area. General practices were recruited with assistance from the Primary Care Research Networks (PCRN) in London (Greater London PCRN) and Nottingham/Derby (East Midlands and South Yorkshire PCRN). Practices produced lists of patients aged  $\geq 65$  years, and screened patients using the exclusion criteria. Sampling varied by practice size, with all patients aged  $\geq 65$  years being invited where there were fewer than 600 patients in this age group. Larger practices were provided with a random number list to identify up to 600 patients to invite. Patients were sent trial invitation letters from their usual general practitioner (GP).

Patients aged  $\geq 65$  years who were independently mobile (with or without a walking aid) and physically able to take part in a group exercise class were eligible to join the study. Patients were excluded if they had experienced three or more falls in the previous year, had unstable clinical conditions, would be unable to follow instructions about exercise safely or were receiving palliative care. In addition, those who were already exercising at, or above, the target level were identified during the telephone call to arrange an assessment visit, and excluded. Exclusion criteria were further reviewed by the research team at the participant's recruitment visit and GPs confirmed eligibility for all participants.

## Design

The trial had three arms:

1. the home-based Otago Exercise Programme (OEP)
2. a community-based group exercise programme [Falls Management Exercise (FaME)]
3. usual care.

### **Home-based exercise programme (Otago Exercise Programme)**

This comprised 30 minutes of leg muscle strengthening and balance retraining exercises, progressing in difficulty, to be performed at home at least three times per week, and a walking plan for up to 30 minutes at a moderate pace to be undertaken at least two times per week for 24 weeks. Participants received an instruction booklet and ankle cuff weights (starting at 1 kg) to provide resistance for strengthening exercises. The programme was tailored for, and introduced to, participants by trained research staff in a group session or at participants' homes if they could not attend the session. Where available, trained peer mentors (PMs) visited participants at home to start the exercise programme and carried out a further four home visits (as the participants required) and up to 12 telephone contacts.

### **Community-based group exercise programme (Falls Management Exercise)**

The FaME programme comprised a 1-hour-long postural stability instructor (PSI)-delivered group exercise class in a local community centre for a maximum of 15 participants, and two 30-minute home exercise sessions per week (based on the OEP, with an instruction booklet) for 24 weeks. Participants were advised to walk at least twice per week for up to 30 minutes at a moderate pace. The programme included leg muscle strengthening and balance retraining exercises that progressed in difficulty, progressive trunk and arm muscle strengthening, bone loading, endurance (including walking) and flexibility training, functional floor skills and adapted tai chi. Resistance bands and mats were used throughout the programme. Group exercises included retraining of the ability to get up from, and down to, the floor (using a backward chaining approach), floor exercises to improve balance, trunk and lower body strength and flexibility, and coping strategies to reduce the risk of complications resulting from a long lie and its complications.

### **Usual care**

Participants in the usual-care arm were not offered either the OEP or FaME programmes, but were free to participate in any other non-trial-related exercise.

## **Outcomes**

The primary outcome was the proportion of participants reaching or exceeding the national recommended target of  $\geq 150$  minutes of moderate to vigorous physical activity (MVPA) per week at 12 months after the cessation of the intervention. This was measured using the Community Healthy Activities Model Program For Seniors (CHAMPS) scale. This was supplemented by two other PA measures, the Physical Activity Scale for the Elderly (PASE) and a telephone questionnaire, Phone-FITT.

Secondary outcomes included:

1. direct health benefits (i.e. functional and psychological status, the rate of falls, the number and nature of falls, and fear of falling)
2. self-efficacy for exercise and participants' judgement of the value or importance of PA
3. health-related quality of life and quality-adjusted life-years (QALYs)
4. the NHS and private (participant) costs of each exercise programme, and possible cost offsets, identified from a comparison of health and social service utilisation of participants in all groups during the study period.

### **Allocation, blinding and withdrawal**

Each practice was allocated to a treatment arm once all participants within that practice were recruited. General practices, their participants, and researchers having contact with practices and participants were blind to treatment arm until all participants within a practice were recruited. It was not possible to blind participants to treatment arm because of the nature of the interventions. Participants could withdraw from the trial at their own request. Data collected to the date of withdrawal were used in the analysis unless the withdrawing participant requested otherwise.

## **Analysis**

Comparisons between treatment arms were made using random-effects models to allow for clustering between practices. Linear regression models were used for continuous outcome variables, logistic models for binary outcome variables and negative binomial models for data on rate of falls. The primary outcome was the proportions reaching the recommended PA target of at least 150 minutes of activity of moderate to vigorous intensity each week. The CHAMPS score measuring minutes of PA followed a log-normal distribution and contained many zeros, and was therefore transformed to  $\log_e(\text{CHAMPS score} + 1)$ . The proportions whose weekly MVPA exceeded 150 minutes, and those who reported zero MVPA,

were tabulated for all time points. All analyses were adjusted for variables used in minimisation (study site, deprivation and practice list size), and for baseline values of the outcome measures. Differential effects of the intervention by age (> or <75 years) and sex were assessed for the primary outcome measures by adding terms for the interaction.

Analysis of each outcome measure was primarily conducted on complete cases. For the primary outcome, analysis was repeated with multiple imputation of missing data firstly for those who had 12 months' post-intervention data from the telephone-administered Phone-FITT PA questionnaire, using the Phone-FITT score, and then for all participants, using all variables in the substantive model and Phone-FITT (at baseline and 12 months). This was done with, and without, stratification by practice.

The full analysis set comprised all randomised participants for whom one post-baseline assessment of the primary outcome measure was available. People who did not attend classes were included in an intention-to-treat analysis.

### **Economic analysis**

The costs of the exercise interventions were calculated from NHS and participant perspectives using study protocols and records, and participant diaries, respectively. The extent to which costs of the interventions were offset by savings elsewhere in the health-care system was explored through analysis of primary care service utilisation, and hospital treatment for injurious falls during the 6-month intervention period and for the 12 months post intervention. QALY gains from exercise were investigated using European Quality of Life-5 Dimensions utility indices obtained by transforming Short Form questionnaire-12 items scores. Cost-effectiveness was calculated using the primary PA outcome (proportion achieving at least 150 minutes of moderate or vigorous intensity PA per week) at 12 months post intervention.

### **Safety**

The medical records were checked by GPs for all recruited participants for suitability prior to commencement of the interventions. Safe exercise guidelines were followed, pre-exercise assessments were conducted, and exercise intensity and difficulty were increased with caution to minimise injury risk. Adverse events (AEs) and serious AEs were assessed for seriousness, expectedness and causality, and recorded and monitored until resolution, stabilisation, or until shown that the study intervention was not the cause.

### **Ethics and consent**

Written informed consent was obtained from all participants to participate in the trial, and to allow researchers to review medical records for the purposes of measuring service use and AEs. Ethical approval was granted to the trial from Nottingham Research Ethics Committee 2 (application number 08/H0408/72). National Health Service Research & Development approval was granted by NHS Nottingham City, Nottinghamshire County, Derby City, Derbyshire County and Westminster, Brent, Harrow, Hounslow and Barnet & Enfield Primary Care Trusts.

## **Results**

Forty-three practices were recruited to the trial. The target of recruiting 12 PSIs per site was achieved and FaME arm classes were fully staffed. Thirty-eight PMs were recruited, trained and deployed in the trial: 31 in London and seven in the Nottingham/Derby practices. In total, 20,507 patients were invited to participate. Expressions of interest were received from 2752 (13%) patients and 1256 (6% of those approached) consented. Three hundred and eighty-seven participants were allocated to the FaME arm, 411 to the OEP arm and 458 to the usual-care arm. One participant withdrew after consenting but before baseline assessment could be completed, and one withdrew during the intervention period, requesting deletion of all data. Trial participants performed below normative levels on most scales, suggesting that they were a population which would benefit from increased PA.

### **Recruitment and retention**

Of the 1256 randomised study participants, 830 (66.1%) remained in the trial at the primary end point, 12 months after the end of the intervention period. The recruitment of older people who would benefit from increasing their PA (as shown by their performance on a range of functional and psychological measures) to trials is possible in general practice. Retention of trial participants in the study remained problematic, despite the efforts made to increase it.

### **Primary outcome**

The proportions reporting at least 150 minutes of MVPA per week rose from 40% to 49% in the FaME arm, from 41% to 43% in the OEP arm, and from 37.5% to 38.0% in the usual-care arm. Participants in the FaME arm, compared with the usual-care arm, reported more MVPA at 12 months after the intervention, adding around 15 minutes of MVPA per day. There was no statistically significant increase in MVPA in the OEP arm compared with the usual-care arm. The interventions were safe. There were no statistically significant differences in possible or probable adverse reactions between arms, during or after the intervention period.

### **Secondary outcomes**

In the 12 months after the close of the intervention phase there was a statistically significant reduction in falls in the FaME arm compared with the usual-care arm [incidence rate ratio 0.74, 95% confidence interval (CI) 0.55 to 0.99;  $p=0.042$ ]. Although there were fewer falls in the OEP arm, there was no statistically significant difference between the OEP and usual-care arms.

Scores on the PASE showed a small, but statistically significant, benefit for FaME compared with usual care (difference in means 11.2, 95% CI 0.2 to 20.2;  $p=0.046$ ), but no statistically significant benefit for OEP (difference in means 7.5, 95% CI -3.8 to 18.8;  $p=0.20$ ). Significant improvements were seen in balance confidence for both intervention arms at 12 months post intervention. The mean difference for FaME compared with usual care was -0.529 (95% CI -0.998 to -0.061;  $p=0.027$ ), while the mean difference for OEP compared with usual care was -0.545 (95% CI -1.033 to -0.057;  $p=0.029$ ). Participants in the FaME and OEP arms were significantly less likely to dismiss exercise as not beneficial and, in the FaME arm, were more likely to be positive about exercise, 12 months after the end of the interventions. There were no other statistically significant differences between intervention arms and the usual-care arm in self-efficacy, mental and physical well-being, quality of life, balance confidence, social networks, falls risk or functional abilities.

### **Economic analysis**

The FaME programme is more expensive than OEP delivered with PMs (£269 vs. £88 per participant in London; £218 vs. £117 in Nottingham). There were no differences in primary care service use between groups, or in costs of hospital treatment for injurious falls over the 6-month intervention period or the subsequent 12 months. The study failed to find a significant difference between the groups in terms of QALYs. As the FaME programme, when compared with usual-care, results in 14% more participants achieving the target of 150 minutes of MVPA at 12 months post intervention, the cost per extra person exercising was £1920 in London and £1560 in Nottingham (mean £1740).

## **Conclusions**

The FaME programme significantly increased MVPA and a significantly higher proportion of community-dwelling older adults reached the recommended target for 150 minutes of MVPA per week compared with usual care up to 12 months after the end of the intervention. No significant effect was found for the OEP on MVPA compared with usual care. The FaME programme significantly reduced the number of falls in the 12 months following the end of the intervention compared with usual care, but no significant effect was found for the OEP on the number of falls.

The FaME intervention increased PA levels, and reduced falls, but further studies are needed to measure attenuation of these effects over time and to test the impact of reinforcement of the intervention. Ways of recruiting the less-active population need further exploration. Community-based exercise programmes proposing to use PMs should explore the feasibility of this prior to embarking on the programme, and strategies to optimise PM motivation and involvement need further investigation.

## Trial registration

This trial is registered as ISRCTN43453770.

## Funding

Funding for this study was provided by the Health Technology Assessment programme of the National Institute for Health Research.



# Chapter 1 Background: why this study was needed

The health benefits of physical activity (PA) include reductions in the risk of cardiovascular disease, type 2 diabetes, osteoporosis and certain cancers.<sup>1</sup> There is growing evidence of an association between regular PA and a reduced risk of all-cause mortality,<sup>2</sup> and of the potential savings for NHS budgets from exercise promotion for older adults.<sup>3</sup> Sedentary behaviour increases the risk of dependence, falls and fractures. Sustained levels of PA in adulthood maintain bone strength and can prevent fragility fractures in later life. Research has shown that a lifetime's history of regular PA can reduce the risk of hip fracture by up to 50% and much of this benefit is thought to result from a reduction in falls.<sup>4</sup> It is now clear that habitual PA and improved access to exercise opportunities is an important public health approach to the prevention of functional decline that can lead to frailty, falls and fractures.<sup>5</sup>

Falls are common in people aged  $\geq 65$  years and can have serious consequences, including injury, pain, impaired function, loss of confidence in carrying out everyday activities, loss of independence and autonomy, and even death.<sup>6,7</sup> There is evidence that interventions providing some form of exercise may be effective in preventing falls among older people<sup>8</sup> and that health-care costs<sup>9,10</sup> could be reduced if the number of falls was reduced.<sup>7,11–14</sup>

Current PA recommendations propose a target of 150 minutes of moderate to vigorous physical activity (MVPA) per week.<sup>15</sup> However, surveys have consistently shown a high prevalence of physical inactivity in the UK population.<sup>16</sup> A systematic review comparing 17 randomised controlled trials (RCTs) with different interventions designed to encourage sedentary, community-dwelling adults to do more PA<sup>17</sup> concluded that interventions were effective in the short- and mid-term, at least in middle age, and that there were no significant increases in adverse events (AEs) in the four studies that reported them. However, it is unclear which individual interventions (e.g. home- or facility-based) are the most effective in increasing PA in the long term or in specific groups (e.g. older people).

## Promoting physical activity

The NHS has attempted to address the problem of inactivity in a variety of ways, including exercise referral schemes in primary care ('exercise on prescription'), which were provided by approximately 90% of primary care trusts (PCTs) in the 2000s and usually involved referring patients to local leisure centres.<sup>18</sup> Although exercise on prescription has been shown to be feasible and effective in vulnerable older people,<sup>19</sup> there appear to be significant barriers to the uptake of exercise classes in leisure centres. For many older people, home exercise or group exercise in non-intimidating environments (e.g. community halls) may be more appealing, and result in higher uptake of exercise programmes and longer continuation of exercise. Peer activity mentors have also been shown to be effective in increasing uptake and adherence to exercise.<sup>20–23</sup>

There are currently two existing exercise programmes designed for use in community settings with people aged  $\geq 65$  years. The first is a home-based programme, the Otago Exercise Programme (OEP), and the second is a community-based group exercise programme, the Falls Management Exercise (FaME) programme.

The OEP<sup>24–30</sup> and FaME programme<sup>31,32</sup> are both designed for use in community settings, specifically for people aged  $\geq 65$  years, to reduce falls. FaME is based on the components of fitness and principles of programming for all older adults (i.e. warm-up, mobility, stretches, strength and balance, endurance and a cool down), while OEP includes brief warm-up and strength and balance exercises appropriate for the age group. Both programmes involve strength and balance training which is tailored to the individual's ability and health status.

The OEP is a home-based exercise programme for older people which is effective in reducing falls and fall-related injuries, improving balance, strength and confidence in performing everyday activities without falling, and has been shown to be cost-effective for people aged  $\geq 80$  years.<sup>24-30</sup> It was designed to be delivered by physiotherapists, and nurses trained and supervised by physiotherapists. A 1-year evaluation of the OEP showed considerable improvements in outdoor activities (walking, shopping, gardening and other outside leisure activities) after 6 months (Professor A J Campbell, University of Otago, 2007, personal communication) with participants continuing to exercise after completing the programme. It also showed significant improvements in executive function after 6 months.<sup>30</sup> While the OEP has been evaluated in four controlled trials of older primary care patients in New Zealand and one RCT in Canada, it has not been tested in a primary care setting in the UK for its feasibility, impact, acceptability and cost-effectiveness.

The FaME programme is a group exercise programme which was developed and tested in a controlled trial in the UK,<sup>31</sup> but not in a primary care population. It aims to improve balance<sup>33</sup> and was designed to be delivered by qualified postural stability instructors (PSIs).<sup>32</sup> It has been shown to be effective in reducing falls, and injuries resulting from falls.<sup>16,31</sup> Good adherence was demonstrated with the FaME programme and nearly two-thirds of people participating in FaME continued in group exercise programmes for over 1 year after trial completion (Professor D A Skelton, Glasgow Caledonian University, 2007, personal communication). The FaME programme remains to be evaluated for its impact, acceptability and cost-effectiveness within primary care.

This trial aimed to fill the gaps in the current evidence base by evaluating the delivery, impact, acceptability and cost-effectiveness of a community-based exercise programme (FaME) and a home-based exercise programme (OEP) supported by similarly aged (peer) mentors (PMs), compared with usual care for primary care patients. The underlying assumption was that the exercises would produce sufficient subjective well-being and improved mobility to encourage continuation of higher levels of PA after the cessation of the intervention. Each exercise programme was compared with usual care for effectiveness in producing sustained change in PA. The two programmes would be compared for cost-effectiveness if both were effective in promoting sustained change in PA. Our primary hypotheses at the start of the study were (1) both exercise programmes would produce sustained changes in PA compared with usual care and (2) OEP would be more cost-effective than FaME.



## Chapter 2 Study design, including interventions

This chapter describes the trial as originally designed and is a summary of the full protocol.<sup>34</sup>

### Objectives

The primary objective of the ProAct65+ study was to determine the effect of two evidence-based exercise programmes designed for older people compared with usual care (i.e. with no special interventions to promote PA), on the achievement of recommended PA targets 12 months after cessation of intervention.

The secondary objectives were to:

1. determine the health benefits of the two programmes to participants starting at various levels of PA – particularly the effects on physical and psychological status, health status, health-related quality of life and quality-adjusted life-years (QALYs)
2. estimate the costs of the exercise interventions, and possible cost offsets, and to assess the cost-effectiveness of community group exercise, and home-supported exercise compared with usual care
3. determine the acceptability of the programmes, adherence rates, enabling factors and barriers to future implementation
4. compare the time course of responses by participants, in terms of exercising at the recommended levels, at 0, 6, 12, 18 and 24 months after cessation of the intervention, between those undergoing the exercise programmes and those receiving usual care
5. determine participants' perceptions of the value of exercise and the predictors of continued exercise.

### Design

The ProAct65+ study was based on a three-arm, parallel design, cluster-controlled trial comparing a community centre-based group exercise programme (FaME), with a home-based exercise programme and walking plan (OEP) and with usual care, and using minimisation for allocation at the level of general practice in two UK centres (London and Nottingham/Derby). We initially planned 2 years' follow-up post intervention to determine the impact, acceptability and adherence to the programme, longer-term continuation of exercise and cost-effectiveness. The Consolidated Standards of Reporting Trials (CONSORT) diagram<sup>35</sup> summarises the design (see *Figure 7*).

### Participants and inclusion/exclusion criteria

Participants were patients aged  $\geq 65$  years registered with participating general practices who gave informed consent to participate.

#### *Inclusion criteria for practices*

Inclusion criteria for practices were (1) a commitment to participate over the duration of the study and (2) the availability of a suitable community venue in the practice catchment area.

#### *Inclusion criteria for participants*

Those aged  $\geq 65$  years who could walk independently both indoors and outdoors (with or without a walking aid and without help from another person), and who would be physically able to take part in a group exercise class, were eligible to participate in the trial.

### Exclusion criteria for participants

Those with any of the following criteria were excluded:

- three or more self-reported falls in the previous year
- resting blood pressure (BP) > 180/100mmHg; tachycardia > 100 beats per minute; those considered by their general practitioner (GP) to have uncontrolled hypertension; significant drop in BP during exercise previously recorded in the participant's medical records, or found at initial assessment
- psychiatric conditions which would prevent participation in an exercise class (e.g. psychotic illness)
- uncontrolled medical problems, which the GP considered would exclude patients from undertaking the exercise programme (e.g. acute systemic illness such as pneumonia, poorly controlled angina, acute rheumatoid arthritis, unstable or acute heart failure)
- conditions requiring a specialist exercise programme (e.g. uncontrolled epilepsy, significant neurological disease or impairment; unable to maintain seated upright position or unable to move about independently indoors)
- not living independently (e.g. living in residential or nursing homes)
- significant cognitive impairment (resulting in the individual being unable to follow simple instructions)
- already receiving long-term physiotherapy or already in an exercise programme.

Exclusion criteria were checked at the recruitment appointment by the researcher. This assessment included measurement of resting BP and pulse, functional assessments and completion of a health questionnaire. GPs were asked to confirm eligibility for each potentially eligible participant. A further exclusion criterion of those already exercising at, or above, the target level was introduced early in the trial (see *Chapter 3* for details).

### Recruitment of practices

General practices were recruited through the Primary Care Research Networks (PCRNs) in London and Nottingham/Derby. The PCRNs were asked to identify potential participant practices. Mailed invitations, telephone contact with practice managers and personal contact with local GP opinion leaders were used as necessary.<sup>36–38</sup>

### Recruitment of participants

Practices produced a single numbered list of patients aged  $\geq 65$  years. Practice clinical staff were allowed to make and justify their own exclusions in liaison with the research team. The research team provided the practices with a random number list to select the sample of patients to be approached after exclusions had been made. Our intention was that the sampling would vary depending on practice size. In practices with fewer than 450 patients aged  $\geq 65$  years, all patients aged  $\geq 65$  years would be invited to participate. In larger practices random sampling would be used to identify 450 patients aged  $\geq 65$  years who would be invited to participate. Patients were then sent invitation letters about the trial by their usual GP.

## Interventions

There were three arms to the trial:

1. home-based exercise programme and walking plan (OEP)
2. community centre-based group exercise programme (FaME)
3. usual care.

### Home-based Otago Exercise Programme

This consisted of a 30-minute programme of leg muscle strengthening and balance retraining exercises, progressing in difficulty, to be performed at home at least three times per week, and a walking plan to be undertaken at least two times per week for 24 weeks. Each participant received an instruction booklet and ankle cuff weights (starting at 1 kg) to provide resistance for the strengthening exercises.

The OEP intervention is described as 'moderate' intensity by the original authors,<sup>24</sup> and is designed to be performed unsupervised in the patient's home and is less intense than the FaME programme.

The programme was introduced to participants by trained research staff, at an appropriate starting level determined at an initial assessment, in either a group setting or at home, depending on circumstances. Mentor support has been shown to be effective in increasing adherence,<sup>20-22</sup> so in the initial plan of this intervention trained PMs then contacted and visited the participants at home to start the exercise programme, and followed them up at home with up to three more visits (as the participants required). Participants were asked to record the days they carried out the programme and mentors telephoned them fortnightly to encourage activity and prompt progression of exercises. Mentors recorded and reported any problems encountered with the exercise programme to the research team using an AE form developed for the study (see *Appendix 1*). The delivery of the OEP was standardised through training of PMs before the trial started, and there was regular contact with the participants and PMs to check that exercise protocols were being followed.

### **Community-based Falls Management Exercise programme**

The FaME programme comprises 1-hour-long group exercise class in a local community centre for a maximum of 15 participants and two 30-minute home exercise sessions (based on the OEP) per week for 24 weeks. These classes were run by PSIs, trained to promote exercise with older people. Participants were advised to walk at least twice per week for up to 30 minutes at a moderate pace. The FaME intervention is a more comprehensive intervention, containing both floor exercises and cardiovascular exercises that the OEP does not contain, and is more intense. The balance section is challenging. The programme included leg muscle strengthening and balance retraining that progressed in difficulty. Progressive trunk and arm muscle strengthening, bone loading, endurance (including walking) and flexibility training, functional floor skills (see below) and adapted tai chi completed this evidence-based programme. Ankle cuff weights, TheraBands™ (elastic resistance training bands) and mats are also used throughout the programme. The group exercises include retraining of the ability to get up from the floor and floor exercises to improve strength, balance and coping strategies to reduce the risk of complications resulting from a long lie.<sup>32</sup> The delivery of the FaME programme was standardised through training of PSIs before the trial started and there were regular quality assurance visits to the FaME classes to check that intervention delivery protocols were being followed.

The PSIs kept a register of attendance and recorded tailoring of the programme and any feedback from participants. They followed up non-attenders by telephone as necessary, recording any positive or negative feedback and notified the research team about reasons for non-attendance or drop out. Participants were given a personalised booklet containing their home exercise instructions.

Initially we planned that FaME groups would have 9 or 10 participants, so there would be four or five classes per week for each of the practices allocated to this arm. The number of PSIs running these classes was determined by their availability, but the aim was to maximise continuity and standardisation of PA training, so the ideal arrangement was to have one PSI leading all groups in one practice. We expected to follow a similar approach to continuity of PMs for participants in the OEP arm.

A starting level for both interventions was determined from baseline assessments and instructor observation in week 1 in FaME, and at the technique instruction class at the beginning of the OEP. Experienced exercise instructors carried out standardised quality assurance visits to FaME classes and reviewed PM paperwork for evidence of tailoring of exercises and of progression in exercise intensity.

General practitioners in participating practices allocated to either the FaME programme or OEP were discouraged from referring participants involved in the trial to other exercise therapy programmes outside of the study.

### *Usual care*

Participants in the usual-care arm were not offered either the OEP or FaME programme, but were free to participate in any other exercise just as they would if they were not participating in the trial.

### *Cultural and ethnic sensitivity*

Cultural and religious requirements were accommodated within the exercise programmes. The recommendations from the Help the Aged Minority Ethnic Elders Falls Prevention Programme ([www.helptheaged.org.uk/meeefp](http://www.helptheaged.org.uk/meeefp)) were followed. In addition, the research team were advised by the English Disability Sports Federation and the Integrated Fitness Initiative's 'Physical Activity Provision for Ethnic Minority Groups' Project Development Team. In particular, the FaME group class leaders ensured that recommendations for attire respected cultural customs and religious beliefs for a range of ethnic groups.

We made provision for single-sex exercise groups to be scheduled as required, and separate changing facilities and same gender instructors were available wherever possible. Windows in the exercise classrooms were screened as appropriate. Family support was encouraged and classes were provided at different times of the day. The OEP also respected participants' preferences regarding family support and participation in the home exercise programme.

All research material and exercise manuals had a maximum reading age of 9 years. Inability to read the material was not a formal exclusion criterion as the individual may be able to follow movement and correction accurately in classes and family members were allowed to act as interpreters. Where possible, invitation letters and information sheets were translated into local languages.

### **Outcome measures**

The primary and secondary outcome measures were chosen to reflect the needs of participants (e.g. functional outcomes, falls, confidence, quality of life, participant costs), and of commissioners of exercise services in primary care and policy makers (e.g. PA, falls, NHS costs).

The primary outcome was the proportion of participants reaching the recommended PA target of at least 30 minutes of activity of moderate intensity on at least 5 days each week, measured using the Community Healthy Activities Model Program For Seniors scale (CHAMPS) questionnaires. Although measures were taken at 0, 6, 12, 18 and 24 months after the intervention, our primary analysis was of data collected at 12 months post intervention, as a previous study in New Zealand had suggested that this was the time when the effect of the intervention was maximal.<sup>39</sup>

The secondary outcomes included:

1. the direct health benefits, i.e. functional and psychological status, the rate of falls (the major safety outcome measure), the number and nature of falls, and fear of falling
2. self-efficacy for exercise and physical self-perception (self-esteem relative to the physical domain), which includes measurement of perceived importance (the degree to which participants value their physical condition, body image and physical strength) to inform predictors of exercise adherence and continuation, and participants' judgement of the value or importance of PA
3. health-related quality of life and QALYs<sup>40</sup>
4. the NHS and private (participant) costs of each exercise programme, and possible cost offsets, identified from a comparison of health and social service utilisation of participants in all groups during the study period.

### Ascertainment of outcomes

The following functional assessments were used by researchers at baseline and at the end of the interventions (and at 6 months after allocation in the usual-care arm):

1. Modified Clinical Romberg Static Balance test, eyes open and closed<sup>41</sup>
2. timed get-up and go (TUG) as a measure of balance and falls risk<sup>42</sup>
3. functional reach as a measure of balance and falls risk<sup>43</sup>
4. 30-second chair rise as a measure of lower limb strength and power.<sup>44</sup>

The following validated tools were used at baseline and as self-completion questionnaires at follow-up:

1. Confidence in balance as measured by the Confidence in Balance (ConfBal) scale.<sup>45</sup> A total score is provided as a measure of confidence.
2. Confidence in carrying out a range of basic activities of daily living without falling as measured by the Falls Efficacy Scale-International (FES-I).<sup>46</sup>
3. Readiness to change as measured by the transtheoretical model,<sup>47</sup> applying it to exercise behaviour to determine perceived barriers<sup>48</sup> and self-efficacy for exercise.<sup>49</sup> Expectations of exercise were measured with the Outcome Expectation for Exercise (OEE) scale-2, a 13-item measure with two subscales: positive and negative OEE.<sup>50</sup>
4. Quality of life was measured using the Older People's Quality of Life Questionnaire (OPQoL).<sup>51-53</sup>
5. Social network size and density was measured using the brief Lubben Social Network scale (LSNS)<sup>54</sup> and perceived social support was measured by the Multidimensional Scale of Perceived Social Support (MSPSS).<sup>55</sup>
6. Subjective habitual PA was assessed using a number of validated questionnaires to ensure all domains of activity and sport are considered, including the Phone-FITT, Physical Activity Scale for the Elderly (PASE) and CHAMPS<sup>22,56,57</sup> and the current level of activity questions used in the Household Survey.<sup>58</sup>
7. Attitudes and beliefs about falls prevention interventions were measured using the Attitudes to Falls-Related Interventions Scale (AFRIS) questionnaire.<sup>59</sup>
8. Falls risk was measured by the Falls Risk Assessment Tool (FRAT).<sup>60</sup>
9. Health-related quality of life was measured by the Short Form questionnaire-12 items (SF-12).<sup>61</sup> Quality-adjusted Life-years, which are the main outcome for the economic analysis, were based on European Quality of Life-5 Dimensions (EQ-5D) utility weights obtained by transforming SF-12 scores.<sup>40</sup>

In addition, demographic information, co-morbidity, medication, use of general practice and hospital and community social services were recorded at baseline and updated at subsequent assessments. Falls were ascertained by self-completed fall diaries (completed 4-weekly during the intervention period and at longer intervals thereafter – see *Chapter 3*), with follow-up of non-responders and telephone contact with fallers to ascertain the type of fall and any injury and health-care usage that resulted.

For the purposes of the economic analysis, the resources used in the delivery of the interventions were collected from records kept by PSI instructors (FaME) and the research staff and PMs (OEP). The use of facilities and equipment, and the time spent on travel and instruction, were included and monetary costs were assigned according to market rates.

In addition, the use of health and social care services (GP, community, outpatient, hospital admission) was recorded for participants in all groups by means of the self-completion diaries. Self-reported service utilisation was verified from the primary care medical records of consenting patients after the follow-up period. Costs of services were obtained from local and national sources.<sup>62</sup> Health and social care costs in the exercise groups were compared with each other and with the usual-care (no exercise) group to assess the extent to which the costs of the exercise intervention may be offset by savings elsewhere in the health and social care system.

No other encouragement to continue with PA was given to participants, and all potential reinforcements in the form of diaries and 6-monthly contacts were given to participants in all three arms of the trial. We provided information about local exercise opportunities to all participants at the end of the intervention period (i.e. 24 weeks after randomisation).

### **Baseline data collection**

Baseline assessment included all functional assessments plus administration of all questionnaires described above.

### **Follow-up data collection**

Follow-up assessments occurred at 24 weeks after the commencement of the intervention, at 6, 12, 18 and 24 months after the completion of the intervention for participants in both intervention arms, at 24 weeks after randomisation and at 6, 12, 18 and 24 months after completion of the 24-week assessment in the control arm. The 24-week functional assessment was identical to the baseline assessment plus administration of all questionnaires described above and administration of the Phone-FITT questionnaire by telephone.

Assessments at 6, 12, 18 and 24 months after completion of the intervention or after completion of the 24-week assessment in the usual-care arm consisted of postal administration of the questionnaires described above, plus the Phone-FITT questionnaire administered by telephone.

The primary outcome was the proportion of participants reaching the recommended PA target of at least 150 minutes of MVPA each week, measured using the CHAMPS questionnaire, at 12 months after the intervention.

### **Sample size**

Sample size estimates were based on the numbers of participants needed to detect differences in proportions of participants in intervention and control groups:

1. participating in PA (defined as reaching the national target recommendations of five sessions of  $\geq 30$  minutes of at least moderate activity per week)
2. self-perceived health as measured by the EQ-5D index, from which mean QALY scores and the incremental cost-effectiveness ratio (ICER) could be calculated.

Under individual randomisation, sample size calculations for a small effect size (0.3)<sup>63</sup> equivalent to a mean difference of 0.05 in the EQ-5D index in general community samples would have required 176 participants per study group in an individually randomised trial.<sup>64</sup> Published evidence of participants in a cluster randomised trial of PA promotion shows the proportions of participants achieving the same recommended targets for PA to be 14.6% (intervention subjects) compared with 4.9% (control subjects).<sup>65</sup> A total of 215 participants in each study group would have been required to detect this difference between study groups with 90% power (5% two-sided significance) in an individually randomised trial. Policy at the time when the trial was designed sought a 1% increase in the number of people achieving the PA target of five sessions of  $\geq 30$  minutes of at least moderate activity per week, year on year.<sup>1</sup>

Data from 24 general practices in the British Regional Heart study suggested that an intrapractice correlation coefficient (ICC) not exceeding 0.02 was appropriate for PA outcomes among middle-aged men, but this study aimed to represent the full range of cardiovascular disease prevalence across the UK and the range was assumed to be less in the ProAct65+ study as it was less geographically dispersed.<sup>66</sup> In addition, ICCs collected for a range of variables in primary care settings have typically averaged 0.01.<sup>67</sup>

Based on an intraclass correlation coefficient of 0.01, the design effect was estimated as 1.31, because 32 participants per practice were expected to provide data (see below). If 215 participants per arm were to be required (before allowing for attrition) for an individually randomised design (90% power,



5% two-sided significance), then 282 per arm would be required for the clustered design. Allowing for 30% attrition, this equated to 403 participants per arm. The sample size was based on detecting differences between each intervention (exercise programme) and the control arm: we did not expect enough power to detect modest differences in outcome between the two intervention arms.

Assuming an average practice size of 6000 patients, 15% (900) of whom would be aged  $\geq 65$  years<sup>68</sup> and that a random one in two sample of patients would be approached to take part in the study, we calculated that 450 patients aged  $\geq 65$  years would need to be approached. Assuming a minimum of 10% of these patients agree to participate (approximately 45 per practice), and allowing for an attrition rate of 30%, outcome data would be obtained on 32 participants per practice.

For small practices, we expected that all or most patients in each practice would be invited to join the trial. In larger than average practices, however, where the patient list was very large, we anticipated that a stratified random sample of 450 patients would be drawn. Response rates from each practice were recorded.

### Randomisation

Owing to the relatively small number of practices in the trial, minimisation was used to allocate practices to treatment arms to ensure maximum balance.<sup>69</sup> After all participants from a practice had been recruited, the practice was individually allocated to a study arm by the London co-ordinating centre. Practices were given an identification number and treatments were assigned by the senior statistician for the trial using computer-generated random number tables, embedded in a computer program for minimisation.

The variables used in the minimisation process were trial centre (London/Nottingham and Derby), practice size ( $\geq$  median practice size/ $<$  median practice size) and the index of multiple deprivation (IMD) 2007 (IMD2007)<sup>70</sup> ( $\geq$  median IMD2007/ $<$  median IMD2007). Minimisation was undertaken using the MINIM program ([www-users.york.ac.uk/~mb55/guide/minim.htm](http://www-users.york.ac.uk/~mb55/guide/minim.htm)).<sup>71</sup> Practice recruitment and allocation were performed concurrently in the two centres. Median practice size and IMD2007 values for the whole of England were used as cut-points for the minimisation process.

### Concealment of allocation

Practices were allocated to intervention or usual care, only after all participants had been recruited. The practices, their patients and the researchers undertaking baseline assessments were all blinded to allocation until this point.

### Blinding

It is difficult for participants to be blind in trials of exercise interventions and for researchers to remain blind to the allocation of participants as they recruited them, or undertook baseline or follow-up assessments. The researchers assessing outcomes were not blinded for pragmatic reasons alone; the study was funded to support only enough researchers to carry our recruitment and follow-up simultaneously. However, general practices and their participants, and researchers having contact with practices and participants, did not have foreknowledge of the treatment arm allocation of the practice, which was not disclosed until after all participants within a practice had been recruited.

### Withdrawals

Participants could withdraw from the trial either at their own request or be withdrawn at the discretion of the chief investigator after discussion with the chairperson of the trial steering committee (TSC). Participants were made aware (via the information sheet and consent form) that withdrawal from the trial would not affect their future care, and that the data collected to date may still be used in the final analysis. Any requests to withdraw data made by individuals withdrawing from the trial were respected. The research teams at each site advised discontinuation of exercise or withdrawal from the trial if the exercise intervention posed a hazard to the safety of themselves or other participants. Those who withdrew from the trial were not replaced.

### Contamination

Usual-care arm participants may have been disappointed and might have sought their own way of increasing PA, but the monthly diaries and the 6-monthly reviews should have captured this information.

### Statistical methods

Characteristics of participants were compared with population norms at baseline (see *Chapter 3*). Linear regression models were used for continuous outcome variables, logistic models for binary outcome variables (in particular the primary end point, namely attainment of recommended exercise level at 12 months after the intervention), and negative binomial models for data on rate of falls. The assumptions for using each model were checked and analyses adjusted accordingly. For a few quantitative outcome measures found to have positively skewed distributions, logarithmic transformations were carried out. For the outcome of minutes of MVPA, as measured by the CHAMPS score, there were a substantial number of zeros in the data at each time point, so the MVPA values were transformed to  $\log_e(\text{CHAMPS score} + 1)$ . Estimates of effects of each intervention against usual care were then back-transformed to provide an estimate of the multiplicative effect of each intervention on MVPA. However, the primary outcome was defined by dichotomising MVPA, whether or not it exceeded 150 minutes per week (as recommended by guidelines), and binary logistic regression was applied.

All analyses were undertaken adjusted, (a) for variables used for minimisation (centre, deprivation and practice size) and (b) for baseline values of the outcome measure. Multilevel models were applied to take account of clustering at the practice level (applicable to all arms of the study). Our primary analysis focused on participants with complete data at 12 months, but analysis using multiple imputation<sup>72</sup> was also carried out on the quantitative form of the primary outcome [ $\log_e(\text{CHAMPS score} + 1)$ ]. Some participants provided Phone-FITT scores through telephone interview, even though they had not returned a questionnaire to calculate a CHAMPS score. Therefore, imputation of the CHAMPS score at 12 months was first carried out for those who provided a Phone-FITT score at 12 months. Second, all the variables in the analytical model named above were entered into an imputation model for all participants, where all variables had missing data imputed through chained equations. In each case, 50 imputed data sets were created, analysis carried out and the 50 estimates of effects of the interventions were combined using Rubin's rules.<sup>73</sup> Differential effects of the intervention by age and by sex were assessed for the primary outcome measure by adding terms for the interaction between age (grouped into those aged <75 years and  $\geq 75$  years at baseline) and sex and treatment arm to the regression models. This analysis was confined to the quantitative form of the primary outcome [ $\log_e(\text{CHAMPS score} + 1)$ ] to maximise power.

As the study consists of two intervention arms and one control arm, primary analysis consisted of comparing each intervention group with the control group. No formal adjustment of  $p$ -values was made, as the sample size had been specifically designed to test each intervention separately. Stata version 12 (StataCorp LP, College Station, TX, USA) and SPSS version 21 (IBM Corporation, Armonk, NY, USA) were used for analyses, with the Stata mi command for multiple imputation. Multilevel analyses were carried out using the xtmixed and xtmelogit commands in Stata for quantitative and binary outcomes, respectively, and negative binomial regression was carried out in SPSS for the falls outcome.

### Economic evaluation

An economic evaluation was conducted alongside the clinical trial. The predefined aims were to:

- i. estimate the costs of the exercise interventions, from the NHS and participant perspectives
- ii. explore the impact of the exercise interventions on participants' utilisation of health and social services during the 6-month intervention period, and for 12 months post intervention, to assess the extent to which the costs of the interventions are offset by savings elsewhere in the system
- iii. assess the cost-effectiveness of the interventions, compared with usual care (no exercise intervention), using QALYs as the main measure of effectiveness.



Data collection and analysis related to each aim are described separately, below.

## Intervention costs

### NHS perspective

The resources involved in the delivery of each intervention (OEP and FaME), and the physical amounts used, were gathered from study records at each site (London and Nottingham/Derby). Resources fell into four categories: set-up and management of the exercise interventions (appointment of PMs for OEP and PSIs for FaME, securing venues for FaME exercise classes, organising staff reimbursements, etc.); hire of facilities for FaME classes; procurement of exercise equipment, such as TheraBands, weights and mats; human resources (cost of time input of PSIs) for FaME and PMs for OEP; and, travel and telephone expenses associated with delivering the interventions. PSIs and PMs recorded all contacts with participants on forms designed for the purpose. Resources associated with the research, such as recruiting participants and gaining informed consent, were not included.

The interventions were delivered in 2010 and 2011, and full economic costs were calculated in British pounds in 2011. Actual expenditures were used for the cost of non-human resources. PSIs were specifically hired for the purposes of the research and paid a fixed fee per one-hour class of £50. In the costing study, the cost of PSIs was based on the unit costs of an equivalent NHS grade, namely a community physiotherapist. Two hours were allowed per class, to include preparation, clear up and travel time. Use of unit costs has the advantage of taking account of salary on-costs, qualifications and management, administrative and capital overheads.<sup>74</sup> The value of volunteer PM time for OEP was established by replacement cost methods using the unit cost to the NHS of community clinical support workers.<sup>73</sup> The cost of training PSIs and PMs in the FaME and OEP interventions (provided by the research team) was included. The total cost of each intervention in each site was established and the cost per participant was calculated.

### Private/participant perspective

Participants in all three groups reported out-of-pocket expenses related to exercising. This information was collected in monthly diaries (six) during the 24-week intervention period, and in four subsequent diaries with 3-month recall up to 18 months beyond the end of the intervention. They were asked to report if they have bought anything to help them to exercise (e.g. special clothing such as stretchy trousers) and, if so, what they bought and how much it cost. The diaries were also used for falls reporting and were mailed back to the research team at the end of each reporting period. Diary data were collated at the individual participant level and aggregated to provide total and average (per-participant) costs for each site and study group.

The costs for participants in the FaME group of attending the group exercise venue were estimated from information collected at the 24-week (end of intervention) postal assessment. A short structured form was devised that asked them to report the distance they travelled (in miles, counting both ways); how long they usually spent travelling to and from the exercise class (< 15 minutes, 15–30 minutes, 30–45 minutes, 45–60 minutes, > 1 hour); the mode of travel they usually used (train/tram/bus/taxi and fare both ways, car and payment for parking or congestion charge, walk, other – specifying what method and cost per class). This form also asked what other activity they gave up to attend the exercise class (work, caring, leisure, etc.) to gain an indication of opportunity cost and the societal (productivity) effects. ProAct65+ targets people aged  $\geq 65$  years and it was expected that many participants would be retired.

### Service use

Exercise interventions have the potential to affect utilisation of health and social services in two ways. First, exercise may result in general health benefits and therefore reduce other service utilisation and, thereby, offset the cost of the intervention. Second, although designed to improve stability and reduce falls, there is a possibility that additional engagement in exercise may increase the incidence of falls. Monitoring falls, health and social care utilisation and costs associated with them was thus an important component of the analysis of service use.

### Health effects of exercise

Participants (all three groups) were asked to report at baseline their service use in the last month: specifically how many times (0, 1, 2, 3 or 4,  $\geq 5$ ) in the last week they had visited their GP; had a home visit from the GP; seen a nurse or other health professional at the GP surgery; had a home visit from a nurse or other health professional; visited the hospital as an outpatient; stayed in hospital (number of nights); bought or received prescribed medicines (number of items); not been able to do paid employment or normal activities as a result of a health problem; had help at home from social care; and had needed friends or relatives to help out at home. This information was used to compare groups at baseline.

Subsequently, service use data (same items as at baseline) were collected from participants in all groups through the diaries (submitted monthly during the 6-month intervention and every 3 months thereafter until 18 months post intervention). However, diary return was patchy, so a small pilot study was conducted to explore the implications of collecting service use data from GP records (enabling all participants to be included). Data were extracted for 27 participants (nine per study arm) for the 12 months prior to recruitment and 18 months post recruitment, covering the same items as in the diary and with separate documenting of service use related to physical injury and falls. The findings from this pilot study showed (1) generally low numbers of contacts, except with GPs and other primary health-care professionals and (2) that only a small proportion of recorded utilisation related to physical injury. It was therefore decided to use GP records as the source of data on service use and to focus on primary care contacts (number of GP, practice nurse, out-of-hours GP and other primary care contacts) at practice or clinic/home/by telephone. Information on the number of falls, and service use associated with those falls [accident and emergency (A&E) attendances, hospital admissions and number of inpatient nights] was also collected. All data gathered from GP records covered the 18 months post recruitment (i.e. 6 months of the intervention and 12 months post intervention). Data were collected manually onto a specially designed proforma and transferred to a SPSS database by a researcher working to a standard operating procedure.

Utilisation of each item of health and social care was recorded at the individual participant level and aggregated to provide total and average (per-participant) utilisation for each site and study group. The costs of health and social care utilisation were obtained by applying published unit cost data<sup>75</sup> to physical number of contacts for each service type. Group total and average costs were calculated for the 18-month period post recruitment.

### Falls

Falls were recorded in the study by two means. First, participants self-reported falls in diaries (according to the same schedule as for service use): no fall versus fall with no injury, fall with bruise or cut, fall with muscle or ligament injury, fall with broken bone. Reporting of any fall was followed up by the study team for the purpose of AE reporting, but details of service use related to falls was not requested. Similarly, the service use reported in diaries was not specifically related to the falls that were reported and could refer to general health care that had been accessed. Secondly, data on falls [number, A&E attendances as a result of falls, hospital admissions (and number of nights) as a result of falls], was collected as part of the GP record extraction for the 18 months post recruitment. Concordance between the reporting of falls for 53 participants in diaries and from GP records was explored. The findings showed good agreement for people reporting no falls, but poor agreement where falls were reported. Of the 53, 16 had no diary data or incomplete diary data. Of the 37 participants with both diary and GP data over the 12-month period, there was disagreement between the sources regarding the number of falls for 10 records; in three of these, the GP data recorded higher falls than the diaries, and in seven it was the other way round. Of the 27 cases where there was complete agreement between the GP and diary data, 25 were 'nil' returns (i.e. no falls reported). On the assumption that falls giving rise to medical treatment are most consistently likely to appear in the GP records, and as diary returns were incomplete, GP data were used in the economic analysis as the primary source of information on service use associated with falls.

The number of falls, and A&E attendances and hospitalisations as a result of falls were collated at the individual participant level and aggregated to provide total and average (per-participant) utilisation for each site and study group. The costs of health and social care utilisation associated with falls were obtained by applying published unit cost data<sup>74</sup> to the number of A&E visits and hospital stays. Group total and average costs were calculated for the 18-month period post recruitment.

## Economic analysis

Standard techniques of economic appraisal were applied.<sup>76</sup> The main measure of cost-effectiveness was the mean difference in QALY scores at 12 months after the end of the intervention, after adjustment for baseline measures in an analysis of covariance (as described in the statistical analysis section). Quality-adjusted life-year scores were obtained by transforming SF-12 health-related quality-of-life scores into EQ-5D utility weights. Transformation of SF-12 version 1 can be conducted using a published algorithm,<sup>40</sup> but as version 2 had been used in the study, an amended algorithm was obtained from the authors (Dr Oliver Rivero-Arias, Oxford University, 2013, personal communication). The prepublished protocol specified that, if statistically significant differences in mean-adjusted QALYs were found between groups at the primary end point, comparisons between the usual-care (no exercise) group and each type of exercise programme would be conducted, ICERs would be calculated, and a probabilistic sensitivity analysis undertaken.

Secondary cost-effectiveness analyses were conducted using the primary PA outcome [proportions in each group reaching the recommended PA target of at least 30 minutes of activity of moderate intensity on at least 5 days each week (150 minutes per week), measured using the CHAMPS and Phone-FITT questionnaires] at 12 months after the end of the intervention.

The planned economic evaluation was based on NHS intervention costs only. Service use costs, and those associated with falls, would be added to the analysis if significant differences in these variables were found between groups.

## Data sets

Missing outcome data were assumed to be 'missing at random', conditional on prespecified key predictors of 'missingness' (in particular baseline values of the response variable, treatment arm and measures of compliance post randomisation). Multiple imputation of outcome variables was carried out using these predictors of missingness.<sup>77</sup>

The full analysis set comprised all randomised participants for whom one postbaseline assessment of the primary outcome measure was available. The per-protocol set comprised all randomised participants who are deemed to have no protocol violations. The safety set was all randomised participants who undertake at least one OEP session or FaME class.

## Risks

Participants completed a health questionnaire at recruitment which was sent to their GP to confirm exclusion criteria, prior to commencement of either exercise programme. Previous evaluation of the OEP showed significant reductions in falls and injuries.<sup>13</sup> No adverse effects occurred in previous evaluations of either the OEP or FaME programme.<sup>31</sup>

Safe exercise guidelines were followed, pre-exercise assessment was conducted and exercise intensity and difficulty were increased with caution, to minimise the risk of injury. All participants and their GPs were informed of the potential risk of injury from any exercise programme in the information documents provided for participants and practices, so that consent was obtained with full knowledge of such risks.

### Adverse events

An AE was defined as any unfavourable and unintended sign, symptom, syndrome or illness that develops or worsens during the period of observation in the trial. This included:

1. exacerbation of a pre-existing illness
2. increase in frequency or intensity of a pre-existing episodic event or condition
3. condition detected or diagnosed after the intervention, even though it may have been present prior to the start of the study
4. continuous persistent disease or symptoms present at baseline that worsen following the start of the study.

A serious adverse event (SAE) was defined as any AE occurring following study-mandated procedures, having received the OEP or FaME programme or usual treatment that results in any of the following outcomes:

1. death
2. a life-threatening AE
3. inpatient hospitalisation or prolonging of existing hospitalisation
4. a disability/incapacity.

Important medical events that did not result in death, were not life-threatening and did not necessitate hospitalisation were considered a SAE when, based on appropriate medical judgement, they jeopardised the participant's health and required medical or surgical intervention to prevent one of the outcomes listed above. All AEs were assessed for seriousness, expectedness and causality. All AEs were recorded and closely monitored until resolution, stabilisation, or until it had been shown that the study intervention was not the cause.

Participants were asked to contact the trial site immediately in the event of any SAE. The chief investigator was informed immediately and determined seriousness and causality in conjunction with any treating medical practitioners. A SAE that was deemed directly related to, or suspected to be related to, the trial intervention was reported to the TSC and the ethics committee.

### Informed consent

Written informed consent was obtained from all participants. The decision regarding participation in the study was entirely voluntary. The researcher emphasised to potential participants that consent regarding study participation could be withdrawn at any time without penalty and without affecting the quality or quantity of future medical care, or loss of benefits to which the participant was otherwise entitled. No trial-specific interventions were undertaken before informed consent had been obtained.

### Ethics committee approval

Ethical approval was granted to the trial from Nottingham Research Ethics Committee 2 (application number 08/H0408/72). National Health Service Research & Development approval were granted by NHS Nottinghamshire County and Westminster, Brent, Harrow, Hounslow and Barnet & Enfield PCTs, and other relevant PCTs as practices were recruited to the study.

## Management of the trial

A trial management committee made up of all co-applicants and research staff at each site met regularly, face to face or by teleconference, to review the trial's progress. Patient and public involvement (PPI) in the study was ensured by involvement in the management group of two lay experts from Nottingham University's PPI forum. A combined TSC and data management committee met twice yearly to review progress of the trial.

## Summary

The ProAct65+ trial was a primary care-based exercise intervention for older people with wide inclusion criteria. The pragmatic trial design replicated the approach taken in successful primary care trials in New Zealand<sup>39</sup> and differed from the majority of trials which focus on falls reduction in selected groups by having continuation of PA as its primary outcome. The problems that we anticipated were (1) biases in recruitment, with those already exercising at a relatively high level being more likely to volunteer for this trial; (2) limited retention of recruits to the study, which we hoped to minimise by relatively frequent, but brief, contact with participants after the end of the exercise programmes; (3) variation in 'doses' of exercise, which we hoped to avoid through our quality assurance processes; and (4) an increase in falls risk, as in previous studies,<sup>39</sup> which we countered through training of staff, risk reduction and risk management programmes.

Because the trial documented the levels of activity of participants (which could then be compared with population norms), the number screened, the number who were ineligible and the number who refused, its findings are generalisable, and can contribute to policy on exercise promotion and falls prevention among older people. They are relevant to older people and to policy-makers working in health, social care and leisure arenas, health and social care commissioners and providers, leisure providers and charities and voluntary organisations working with older people.



## Chapter 3 Modification of trial processes and procedures

This chapter describes the challenges faced during the ProAct65+ trial and the modifications made to the trial protocol.<sup>34</sup>

The protocol was amended in six main ways:

1. The number of practices was increased, the numbers invited from each practice were also increased, and the recruitment period was extended, in order to recruit the target number of participants.
2. Telephone screening of possible participants prior to the initial assessment was introduced to exclude those already exercising at, or above, the target level before they were given an appointment for the baseline assessment.
3. The criteria for the recruitment of PMs for the OEP arm, and the intensity of their role, were changed in an attempt to overcome problems of recruitment and retention.
4. A quality control system was incorporated into the FaME arm to aid standardisation of class activities.
5. The number of diaries participants were asked to complete during the follow-up period was reduced to minimise the burden of diary completion and to optimise data collection about falls, service use and costs.
6. An AE typology was developed and a system for checking it was applied consistently between sites, to ensure governance of risks to participants.

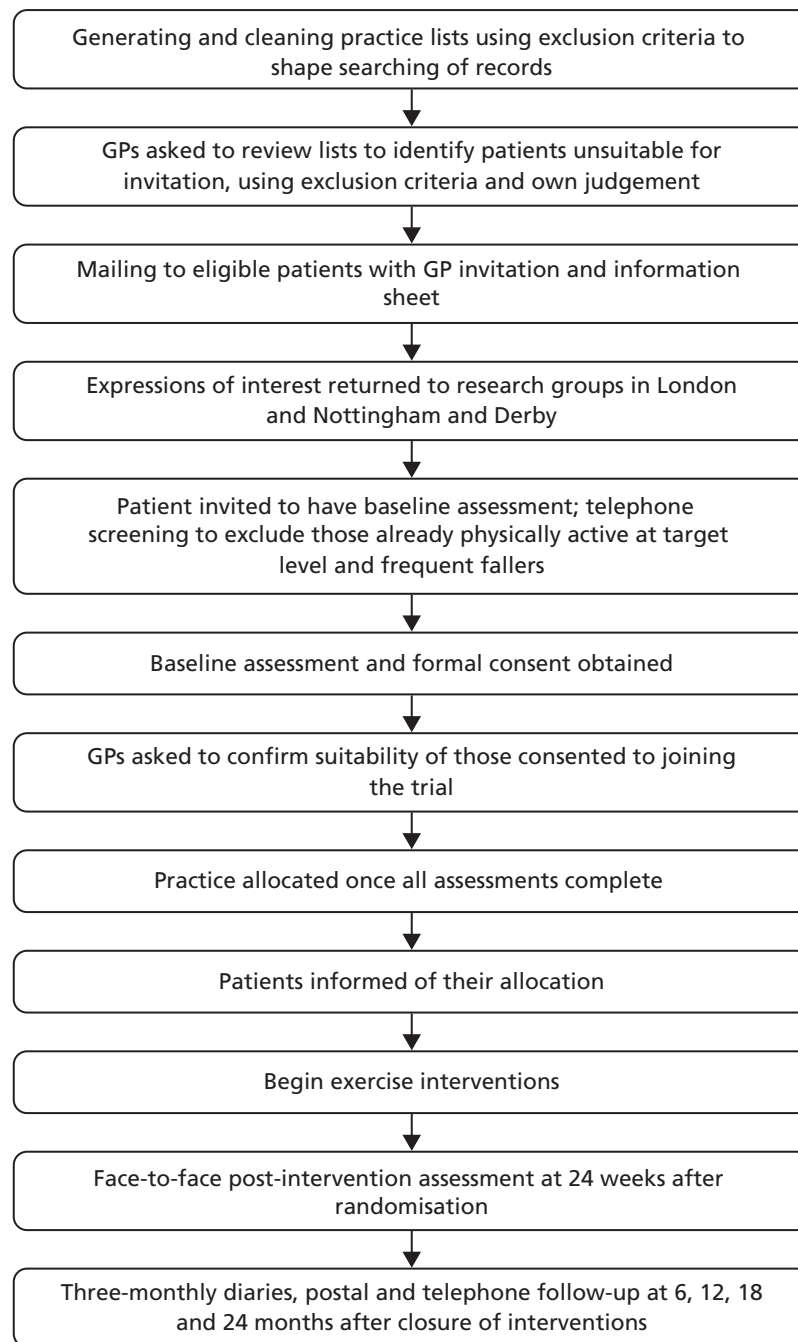
Each of these changes will be summarised here and a detailed description can be found elsewhere.<sup>78</sup>

### Improving the recruitment of general practices and participants

The flow path of participant recruitment to the trial is shown in *Figure 1*. The trial initially aimed to recruit 30 practices (15 at each site) and 45 patients per practice over a period of 3 weeks, to achieve a sample size of 1200 participants aged  $\geq 65$  years. The proportion of those who expressed an interest varied between practices, from 8% to 19% in London and from 7% to 21% in Nottingham/Derby, with a mean of 13.4%. In order to achieve the recruitment target, the number of invitations to eligible patients was increased from 450 per practice to 600 to adjust for the lower than anticipated recruitment, and more practices were recruited.

Stratified random sampling was planned, whereby eligible patients would be stratified into age groups 65–74 years and  $\geq 75$  years. To simplify the tasks for the practices and to encourage their co-operation this stratified sampling approach was abandoned and patients were sampled from one list of patients aged  $\geq 65$  years.

Room availability in practices for baseline assessments was limited and it took up to 6 weeks in some practices to assess and recruit the target number of participants. The recruitment phase of the trial was 9 months longer than anticipated because of the need to recruit more practices at both sites and to allow more time at each practice to undertake recruitment. This extension of the recruitment period altered the time scale of the trial and potentially limited data collection for the 18- and 24-month follow-up. In total, 43 general practices and 1256 participants were finally recruited: 22 practices and 605 participants in London and 21 practices and 651 participants in Nottingham/Derby.



**FIGURE 1** Flow chart of the recruitment and assessment process in the ProAct65+ trial.

### Adding an eligibility screen

Although there were multiple steps for screening eligible patients (including electronic and manual patient searches by the general practices), in the first practices recruited researchers encountered patients at the baseline assessment and consent stage who were ineligible because they met exclusion criteria, particularly falling fewer than three times in the previous 12 months or already exercising at the target level of five sessions of 30 minutes of moderate exercise per week. To limit the number of assessments of participants who would be found to be ineligible, researchers asked questions over the telephone about falls in the last year and current levels of exercise when arranging the baseline assessment appointment, and excluded those who met these criteria.

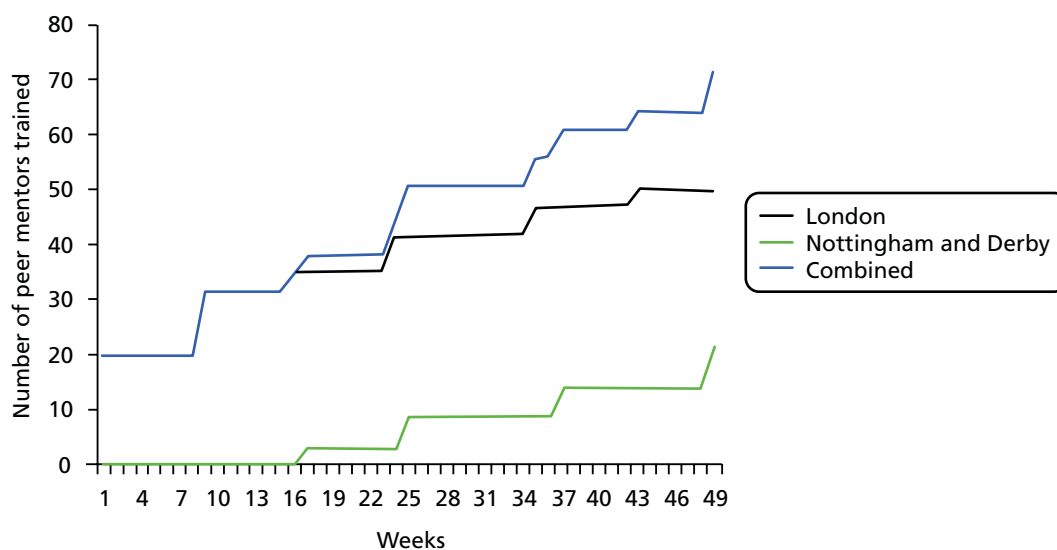


## Peer mentor recruitment and training

Volunteer PMs were recruited to support the participants during the exercise programme. Recruitment was slow (*Figure 2*) and time-consuming. Despite intense efforts the number of PM who joined the trial did not reach the target. After 8 months of PMs recruitment, the age criterion for PMs was altered to allow the enrolment of adults aged  $\geq 50$  years. This led to an additional eight PMs being enrolled in London, but no more in Nottingham/Derby.

*Table 1* shows the length of time spent on recruiting PMs, numbers of individuals who expressed an interest in becoming a PM, numbers of individuals trained, the number who subsequently disengaged from the study, and the final number of PMs who volunteered and were allocated participants. There was a large difference in the number of people who expressed an interest in becoming a PM and those that were trained. Feedback from PMs suggests that disengagement was as a result of, in part, the length of time between training and beginning work. This period was long because of the time needed to obtain research management and governance approvals for the PMs, and because of the staggered recruitment and randomisation of the practices. Disengagement was also as a result of, in part, the distance PMs would need to travel to support participants.

Each PM in the trial mentored a mean of three participants (range 1–13) in London, and a mean of three participants (range 1–5) in Nottingham/Derby. Overall, both sites fell short of the target of four to five participants per PM. All participants, regardless of their PM support, received the initial exercise training



**FIGURE 2** Number of PMs trained.

**TABLE 1** Time scale of PM recruitment

Time scale and recruitment	London	Nottingham/Derby
Time spent on recruitment by staff (months)	12	15
Expressed interest ( <i>n</i> )	130	79
Trained ( <i>n</i> )	50	21
Disengaged ( <i>n</i> )	19	14
Volunteered ( <i>n</i> )	31	7
Time from trained to deployed (days)	Mean 132 (range 21–255)	Mean 155 (range 75–257)

session and a booklet with tailored exercise instructions. Not all participants received a PM because of the difficulties recruiting them. In London, 123 (53%) participants and in Nottingham/Derby, 21 (12%) participants had a PM.

Despite using the same recruitment methods, recruitment difficulties were greater in Nottingham/Derby, possibly because the trial was competing with existing PM PA programmes for older people in Nottingham/Derby. The TSC advised to keep the intervention true to usual practice in the NHS, i.e. one instruction session plus a manual of exercises. Therefore, where there were insufficient PMs for all participants, they were not supplemented by an alternative person and some participants had no PM support at all.

In another attempt to increase the number of PMs and encourage them to support more participants, the number of their supportive contacts with participants was reduced. Initially PMs were scheduled to visit participants in their home on four occasions and telephone them 12 times during the 24-week intervention. This was reduced to two visits and eight telephone calls. Over both sites, the number of home visits ranged from zero to five (mean 2) and the number of telephone call contacts ranged from 0 to 18 (mean 6). Modification of the number of contacts did not increase PM recruitment or their case load.

### Quality control of the Falls Management Exercise programme

The FaME intervention was a weekly group-based exercise session, supplemented with additional home exercises (modified from the OEP) described in a booklet. Postural stability instructors were recruited to lead the classes. The trial aimed to recruit 12 PSIs per site. In London, 16 PSIs were recruited, with a total of seven working on the trial. As there were few qualified PSIs available to recruit in Nottingham/Derby, the trial recruited and trained physiotherapists and exercise professionals who were interested in becoming a PSI and working on the trial. Sixteen individuals embarked on the PSI training course (15 completed the training) and seven of them worked on the trial. Some PSIs were not employed on the trial because of their limited availability. Additionally the complex and lengthy process of completing research governance approvals resulted in losing some available PSIs. The recruitment target was reached with 32 PSIs recruited and trained over both sites. Of these, 14 (44%) delivered the intervention, enabling the intervention to be fully staffed.

In order to quality assure and standardise the FaME intervention, two quality assurance members of the trial oversaw the intervention delivery by attending four exercise sessions over the 24-week intervention period for each PSI in all of the FaME practices. The quality assurers went to the sessions individually, except the first two sessions when they attended together to standardise their method. Overall, 45 FaME classes in London and 38 in Nottingham/Derby were quality assured. Using a standard checklist (*Figure 3*), the quality assurers observed the PSI leading the exercise class and then gave them feedback and an action plan in order to improve intervention delivery, optimise participants' ability to undertake progressively demanding exercises and standardise the exercise intervention as much as possible.

### Measuring falls, service use and physical activity

During the intervention self-completion diaries were posted to participants every 4 weeks. During the follow-up period, participants were posted self-completion diaries every 3 months, larger self-completion questionnaires every 6 months and telephoned for a short questionnaire every 6 months. See *Table 2* for the schedule of questionnaires at different time points and *Chapter 2* for full details of questionnaires.

Because non-monetary incentives are known to assist retention in trials,<sup>17</sup> small incentives were sent to participants to encourage completion of postal questionnaires. With diary 6 and 12, participants received a

PSI Name	QA visit number	QA visit date	Venue	Name of observer	Warm-up	Dynamic endurance	Dynamic Balance	Seated/ Standing	Backward Chaining	Floor work	Cool-down Flexibility	Cool-down Adapted Tai Chi
Teaching												
1	Arrived in time to meet participants				13 Engaged participants in order to motivate and promote confidence							
2	Completed safety check on venue				14 Selected safe and effective exercises appropriate to the component. 15 Selected safe and effective exercises appropriate to the stage in the intervention							
3	Wore attire appropriate to the activity											
4	Appropriately arranged the group, individuals and resources				16 Selected the appropriate speed for the exercises							
5	Welcomed participants				17 Gave effective visual and verbal instructions							
6	Took register of attendance				18 Provided specific relevant teaching points to enhance technique, effectiveness and postural stability							
7	Verbally screened participants for falls, previously reported injuries and new or known medical conditions				19 Reinforced the specific relevant teaching points at regular intervals							
8	Appropriately followed up returners after period of absence				20 Provided safe transitions between exercises and session components							
9	Reminded attenders to keep up with and submit diaries				21 Demonstrated and performed exercises accurately and with good posture							
10	Ensure that infection control procedures are implemented and adhered to				22 Changed teaching position to improve observation and enhance communication							
11	Ensure that confidentiality of personal and medical data is respected				23 Demonstrated the use of observation and effective correction							
12	Provide home exercise packs and remind participants to practice the home exercises				24 Explained the purpose of the exercises, relating them to postural stability and daily life							
	Liaison with research team				25 Encouraged interactive communication, to check or clarify understanding, with group and one tone.							
29	Submitted completed register on time				26 Spoke clearly, audibly and at an appropriate pace							
30	Evidence of telephone follow-up of non-attenders				27 Adapted exercises to meet the needs of participants with postural stability challenges							
31	Patients submitting diary data at levels similar to other classes				28 Offered alternatives to allow for different levels of ability/tailored exercises to individuals							
32	This class attendance data similar to other classes?											

Key: ✓ item noted; \* comment; Q question; S needs support

FIGURE 3 Postural stability instructors quality assurance checklist.

**TABLE 2** Schedule of questionnaires used during the ProAct65+ trial

Outcome and tool	Face-to-face, telephone and postal assessments		Telephone and postal assessments			
	Baseline	End of intervention (24 weeks)	6 months	12 months	18 months	24 months
<b>PA</b>						
Subjective habitual PA (Phone-FITT, PASE and CHAMPS)	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
Self-completed exercise diaries (4-weekly during intervention and then 3-monthly during follow-up)	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
<b>Direct health benefits</b>						
Modified Clinical Romberg Static Balance test	O, F, U	O, F, U				
TUG	O, F, U	O, F, U				
Functional reach	O, F, U	O, F, U				
30-second chair rise	O, F, U	O, F, U				
Falls risk (FRAT)	O, F, U	O, F, U				
Falls (falls dairies 4-weekly during intervention, 3-monthly during follow-up)	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
ConfBal scale	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
FES-I	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
Social network size and density (brief LSNS) and perceived social support (MSPSS)	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
<b>Quality of life</b>						
Quality of life (OPQoL and SF-12)	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
<b>Stage of change, self-efficacy for exercise, physical self-perception and value or importance of PA</b>						
Self-efficacy for exercise	O, F, U					
AFRIS	O, F					
Demographic information, medication	O, F, U	O, F, U				
Comorbidity	O, F, U					
Use of primary, secondary care and social care services from falls dairies	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U	O, F, U
F, FaME arm; O, OEP arm; U, usual-care arm.						

ProAct65+ pen and with diary 8 and 10, they received a ProAct65+ cotton shopping bag. Participants were also sent an annual Christmas card and brief newsletters with each diary they received.

Research staff at both sites telephoned participants every 3 months to remind them to return questionnaires. Up to three contacts with participants were made to undertake each telephone interview. Some participants did not return self-completion diaries and/or questionnaires and some were not available for a telephone interview as a result of a variety of reasons, including being on holiday, at work, too busy, or forgetting or losing the questionnaires.

The self-completion diaries requested information on participants' health and social service use, falls and current exercise levels.<sup>79</sup> Initially, it was planned for participants to receive monthly prospective diaries to complete throughout the full length of the trial. When participants said that they wished to withdraw from the trial because of the quantity and frequency of questionnaires they were offered the opportunity to remain in the trial but complete only the 6-monthly questionnaires and not receive further diaries. By doing this, the trial retained 52 participants in London and 28 in Nottingham/Derby (6% of total trial participants) who would otherwise have withdrawn from the trial. To further limit the number of participants who withdrew from the trial because of the burden of the questionnaires and diaries the frequency of the diaries sent during the 2-year follow-up phase was reduced from monthly to quarterly. The diaries sent during the follow-up phase required the participants to recall their service use and falls from the last 3 months and record a 1-week prospective snap-shot of their exercise activities.

## Capturing adverse events

Adverse events were monitored throughout the trial to assess the trial's safety and manage participant risks. This is especially important as exercise within this age group may be associated with an increased risk of falls.<sup>39,80</sup> The ProAct65+ trial used a risk management pathway for capturing, classifying and dealing with participant AEs (*Figure 4*), which initially categorised all occurrences as SAEs, AEs, adverse reactions (ARs) or adverse incidents (AIs). All data were logged and any SAEs were reported to the TSC. The original risk management pathway and the definitions of events, reactions and incidents are reported in the trial protocol.<sup>34</sup>

A comparison of all events between trial sites was carried out towards the end of the trial's intervention phase. There were noticeable differences in the numbers of ARs recorded between sites with London categorising 5%, and Nottingham/Derby categorising 16% of their total events as ARs. A cross-checking system was therefore implemented between sites in an attempt to standardise categorisation. All events from each site, except AIs, were checked by the other site. If the other site's categorisation was different to the original categorisation, this was deemed a mismatch. Mismatches between sites were identified, and blinded forms then passed to the principal investigators who discussed and agreed a final categorisation. The initial calculation of mismatches was performed towards the end of the intervention phase, when there were 51 mismatches, giving a mismatch rate between sites of 19%.

The decision whether or not an event is 'possibly related' to the trial is open to subjective interpretation. Consequently, 45 of the 51 (88%) discrepancies in the categorisation of events recorded at each site were between AEs and ARs. The category 'possible adverse reaction' (possible AR) was therefore added. After the introduction of the possible AR category, the mismatch rate (prior to discussion between principal investigators) fell to 2.6%.

After advice from the TSC, the categorisation was further modified to enable unrelated SAEs to be distinguished from non-SAEs. The final categories applied to the trial's events were, therefore, SAEs, unrelated SAEs, AEs, ARs, possible ARs and AIs (see *Figure 4*).

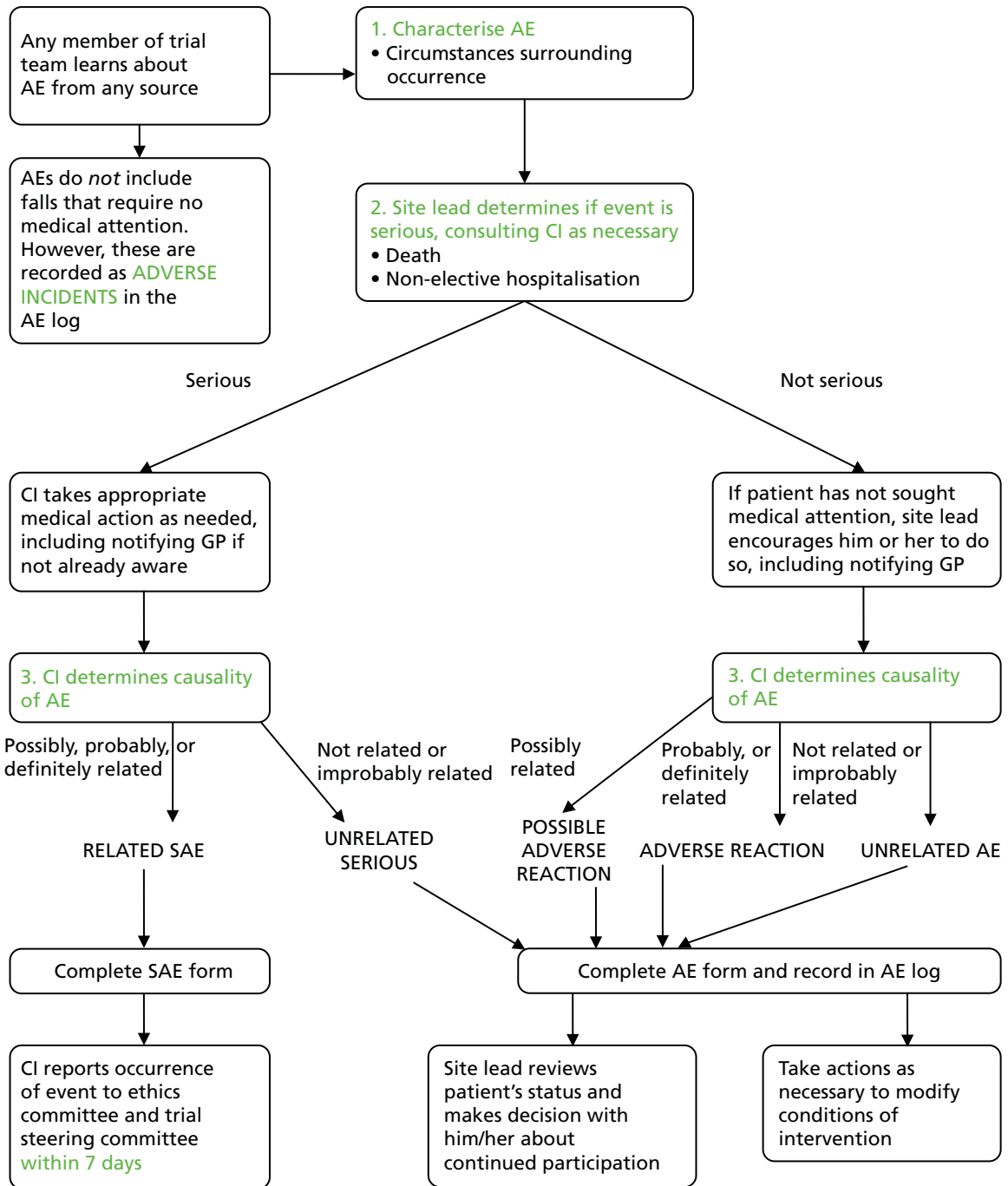


FIGURE 4 The ProAct65+ risk management pathway. CI, chief investigator.

## Chapter 4 Recruitment of practices, postural stability instructors, peer mentors and participants

### Recruitment of general practices

Forty-three practices were recruited to the trial, to ensure that the target study population could be reached (see *Chapter 3* for details). The characteristics of practices that joined the trial are shown in *Table 3*.

### Postural stability instructors

The target of recruiting 12 PSIs per site was achieved and FaME-arm classes were fully staffed. In London, 16 PSIs were recruited with a total of seven working on the trial, whilst in Nottingham/Derby 15 completed the training, and seven of them worked on the trial. The mean class size was less than planned, at five not nine. The quality assurance reviewers noted that PSIs largely achieved standardisation of the intervention, although they varied most in progression of the exercise programme, and needed reminding about collecting data for the trial.

### Peer mentors

Thirty-eight PMs were recruited, trained and deployed in the trial, 31 in London and seven in the Nottingham/Derby practices (details of the recruitment processes can be found in *Chapter 3*). The planned and actual engagement by PMs with participants is shown in *Table 4*. Research staff carrying out quality assurance through discussions with PMs concluded that, as a whole, PMs made only a limited attempt to standardise the intervention (i.e. to implement the individualised plan given to the participant at the first encounter) and the participants' progression was also limited, even though the PMs tailored their advice in other aspects of exercise. They returned trial paperwork (follow-up sheets detailing call and visit information, and time and travel log for the economic analysis) promptly.

**TABLE 3** Characteristics of practices in the ProAct65+ trial

Practice ( <i>n</i> =43) characteristics	Number (%) or median (IQR)
Training practices	24 (55.8)
Number of GPs	4 (3–7)
Number of nurses	2 (1–3)
Use of exercise referral scheme	32 (76.2) [1]
IMD2007 score <sup>a</sup>	20.98 (14.50–34.97)
List size	6532 (4046–8509)
Number of patients aged ≥65 years	895 (495–1390)

IQR, interquartile range.

<sup>a</sup> The IMD2007 combines a number of indicators, chosen to cover a range of economic, social and housing issues, into a single deprivation score for each small area in England.

Number of practices with missing data represented with [ ].

**TABLE 4** Planned and actual contacts between PMs and trial participants in the OEP arm

Contacts	Planned	Actual	Duration
Home visits	2	Mean 2 (range 0–5)	25–95 minutes, median 38.5 minutes
Telephone calls	8	Mean 6 (range 1–18)	3–20 minutes, median 5.0 minutes

## Recruitment of participants

Steps that were taken to ensure recruitment to the trial are described in *Chapter 3*. *Figure 5* shows the recruitment of 1256 participants to the study. In total, 20,507 patients were invited to participate (Nottingham/Derby, 10,738; London, 9769). Expressions of interest were received from 2752 (13%) (Nottingham/Derby, 1481; London, 1271) and 1256 (6% of those approached) consented (Nottingham/Derby, 651; London, 605).

## Baseline characteristics of the study population

The average age of participants was 73 years (range 65–94 years), with 84% of participants aged less than 80 years, and 62% of participants were female. Thirty-four languages were spoken (33 in London and 12 in Nottingham/Derby) and 14% of participants were non-white, with greater ethnic diversity among the London participants. A total of 44% of participants had completed some form of further education, as shown in *Figure 6*. On average, each participant had 1.7 comorbidities [range 0–7, standard deviation (SD) 1.4 comorbidities] and was taking 3.7 medications on repeat prescription (range 0–18, SD 3.7 medications).

Baseline characteristics of participants in the trial are compared with normative data in *Table 5*. Trial participants performed below normative levels on most scales, except for Phone-FITT, PASE, ConfBal and OPQoL, but similarly to normative values on the AFRIS questionnaire. The normative values for Phone-FITT apply to an older (mean age 81 years) male population, so the higher level of household PA and the lower level of recreational activity in the ProAct65+ population may reflect its lower median age and the predominance of female participants. The normative values for ConfBal were calculated from the published data, which were derived from a population attending day centres, so the better performance of trial participants is not surprising.



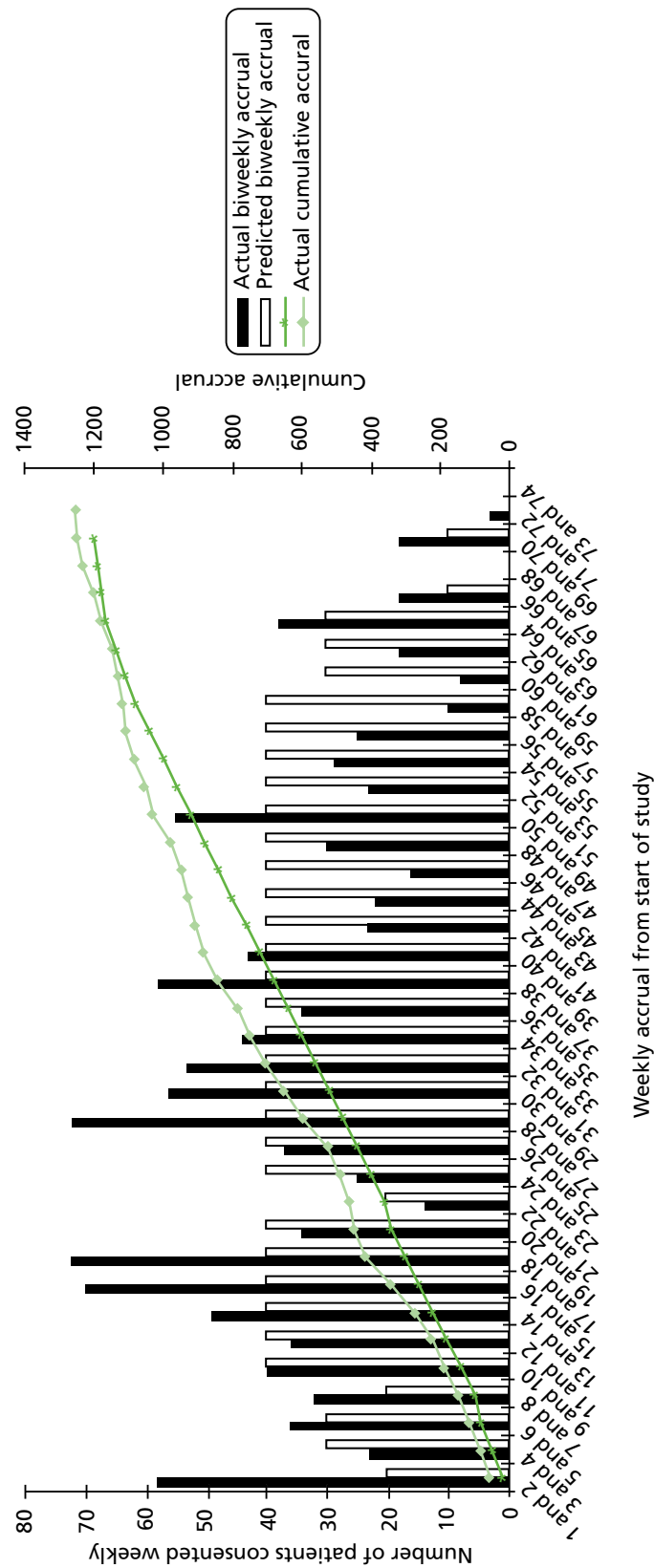
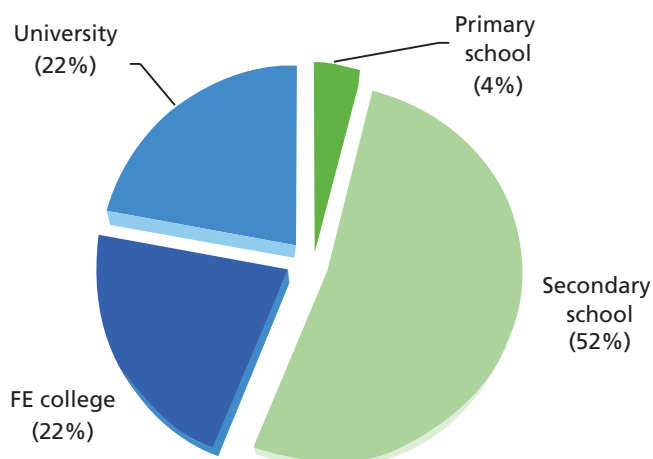


FIGURE 5 Recruitment of participants to the trial.



**FIGURE 6** Educational attainment among trial participants. FE, further education.

**TABLE 5** Baseline measures compared with normative data

Outcome measure	ProAct65+ mean (SD)	Normative mean (SD)	Normative reference
TUG	11.08 seconds (5.94 seconds)	9.4 seconds (95% CI 8.9 to 9.9 seconds)	Bohannon 2006 <sup>81</sup>
30-second chair rise	10.40 stands (3.26 stands)		Rikli 1999 <sup>44</sup>
	Women 10.03 stands (3.02 stands)	Women 12.7 stands (4.0 stands)	
	Men 11.06 stands (3.54 stands)	Men 14.2 stands (4.6 stands)	
Functional reach	25.46cm (8.03cm)		Duncan 1990 <sup>43</sup>
	Men 26.34cm (8.38cm)	Men 33.43cm (1.55 cm)	
	Women 24.93cm (7.77cm)	Women 26.59cm (3.53 cm)	
Romberg test (scored out of 28)	20.19 (6.98)	None published as a score	
FRAT (scored out of 5, $\geq 3$ high risk of future fall)	Mean score not useful. Proportion at high risk=6%	Does not state what % of recruited population scored $\geq 3$	Nandy 2004 <sup>60</sup>
SF-12	Physical summary score 36.90 (6.59)	Physical summary score 47.42 $\pm$ 0.40	Ware 1996 <sup>61</sup>
	Mental summary score 48.76 (6.29)	Mental summary score 53.82 $\pm$ 0.30	
Phone-FITT	39 (14)		Gill 2008 <sup>56</sup> (population is male, mean age 81 years)
	Household PA score 26.28 (9.86)	Household PA score 19.2 (9.0)	
	Recreational PA score 12.30 (8.42)	Recreational PA score 14.9 (11.3)	
CHAMPS	Calorific expenditure per week in at least moderate intensity physical activities 915 (1306) calories	Calorific expenditure per week in at least moderate intensity physical activities 1486 (1472) calories	Stewart 2001 <sup>20</sup>
	Frequency per week in at least moderate intensity physical activities 2 (4)	Frequency per week in at least moderate intensity physical activities 5.7 (4.5)	

TABLE 5 Baseline measures compared with normative data (continued)

Outcome measure	ProAct65+ mean (SD)	Normative mean (SD)	Normative reference
	Calorific expenditure per week in all listed physical activities 2238 (2136) calories	Calorific expenditure per week in all listed physical activities 2420 (1831) calories	
	Frequency per week in all listed physical activities 10 (11)	Frequency per week in all listed physical activities 13.1 (8.0)	
PASE (total score)	117 (61)	102.9 (64.1)	Washburn 1993 <sup>57</sup>
AFRIS (range for each item 1–7, high score is good)	Group exercise median	Median	Yardley 2007 <sup>46</sup>
	Attitude, 5.5	Attitude, 5.5	
	Subjective norm, 6.0	Subjective norm, 5.5	
	Perceived behavioural control, 5.0	Perceived behavioural control, 6.0	
	Identity, 5.0	Identity, 6.0	
	Intention, 7.0	Intention, 6.0	
	Home exercise median		
	Attitude, 5.0		
	Subjective norm, 6.0		
	Perceived behavioural control, 6.0		
	Identity, 5.0		
	Intention, 6.0		
ConfBal (scored between 10 and 30, low score is good)	12.55 (3.887)	17.59 (not published – SG calculated from their data)	Simpson 2009 <sup>45</sup> (population attending day centres)
FES-I (range for each item=1–4, 1=not at all concerned, 4=very concerned, items are matched by question although item numbers differ)	Item 1 1.18 (0.54)	Item 2 1.50 (0.81)	Yardley 2005 <sup>59</sup>
	Item 2 1.37 (0.72)	Item 4 2.09 (1.09)	
	Item 3 1.14 (0.49)	Item 6 1.49 (0.79)	
	Item 4 1.44 (0.76)	Item 7 2.06 (1.08)	
	Item 5 1.41 (0.75)	Item 9 2.14 (1.11)	
	Item 6 1.34 (0.69)	Item 15 2.46 (1.16)	
	Item 7 1.18 (0.54)	Item 16 1.85 (1.06)	
MSPSS (average score)	5.50 (1.37)	6.40 (0.75)	Stanley 1998 <sup>82</sup>
OPQoL (total score)	129.87 (13.27)	114.538 (10.718)	Bowling 2009 <sup>83</sup>

CI, confidence interval.

### **Retention of participants**

Of the 1256 randomised study participants, 426 (33.9%) did not reach 12 months' follow-up after the end of the intervention period. Of these, 69 were excluded by their GP, 12 died, three withdrew at an unknown time point, one withdrew before providing any baseline data and one withdrew but asked for their data to be destroyed. Overall, 340 participants were defined as lost to attrition. Almost half of these dropouts withdrew within the first 3 months of the intervention (49.7%). A total of 830 participants were retained in the trial at 12 months' follow-up. *Figure 7* summarises the pattern of attrition over time, for all arms and sites.

Illness events were common in this study population and 30% of those who dropped out cited illness (their own or others') as their reason for discontinuing with the study. Disappointment at allocation and research burden (principally related to the number of questionnaires and diaries to complete) were responsible for at least 18% and 11% of dropouts, respectively.

*Tables 6 and 7* describe participants' characteristics by withdrawal status. Associations of baseline measures with later withdrawal were investigated using logistic regression, with robust standard errors calculated to allow for clustering of participants within practices.

Those participants who dropped out were significantly more likely to be older, have three or more comorbidities, have more medications, have a lower level of education, have worked or currently work in a routine or manual occupation, be an ex-smoker, be unable to rise from a chair of knee height, be less confident about their balance, have a high concern about falling, be inactive, perceive their physical health as poor, be at risk of social isolation, have a lower subjective quality of life, have lower outcome expectations for exercise, take longer than 13.5 seconds to complete the TUG test, have a reduced functional reach, score lower on the Romberg test and perform fewer sit to stands in 30 seconds.

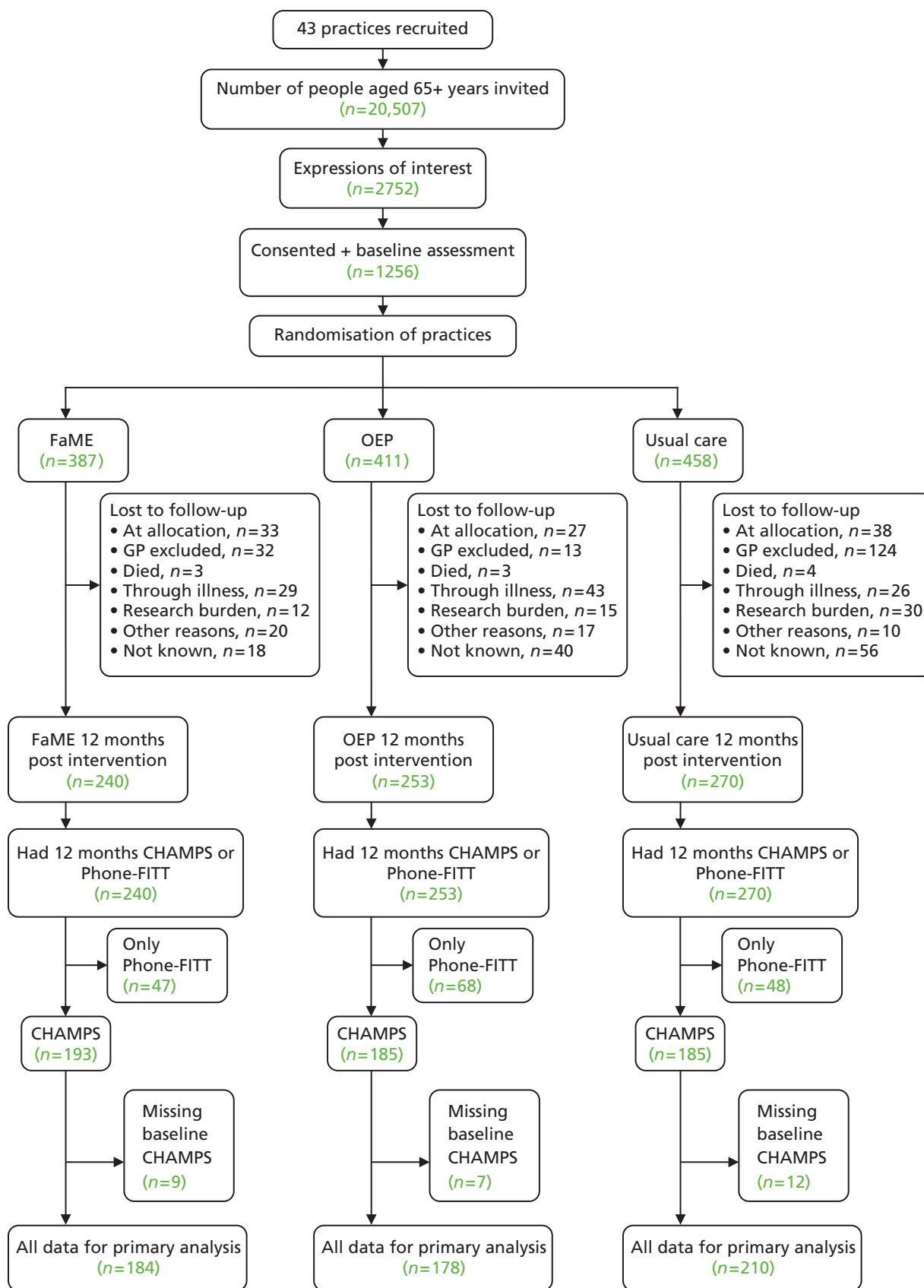


FIGURE 7 The CONSORT diagram.

**TABLE 6** Univariate associations between attrition and sociodemographic data<sup>a</sup>

Characteristics	Retained	Withdrew	OR (95% CI)	p-value
Number of participants ( <i>n</i> =1170)	830 (70.9)	340 (29.1)		
Sex (% female)	518 (62.4)	219 (64.4)	1.09 (0.90 to 1.32)	0.380
Age (years) median (IQR)	71 (68–76)	74 (69–79)	1.05 (1.03 to 1.08)	<0.001
Group allocation				
FaME	256 (30.8)	95 (27.9)	1.00	0.750
OEP	278 (33.5)	114 (33.5)	1.11 (0.77 to 1.58)	
Usual care	296 (35.7)	131 (38.5)	1.19 (0.74 to 1.92)	
BMI (kg/m <sup>2</sup> ) mean (SD)	26.7 (4.9) [20]	27.2 (5.1) [26]	1.02 (0.99 to 1.05)	0.140
Number of comorbidities	[1]			
0 comorbidities	157 (18.9)	46 (13.5)	1.00	0.007
1 or 2 comorbidities	404 (48.7)	157 (46.2)	1.33 (0.90 to 1.96)	
≥3 comorbidities	268 (32.3)	137 (40.3)	1.74 (1.22 to 2.49)	
Number of current medications median (IQR)	3 (1–6) [5]	4 (2–6) [3]	1.06 (1.02 to 1.10)	0.004
English main language	738 (89.9) [9]	290 (86.3) [4]	0.71 (0.50 to 1.01)	0.060
White self-reported ethnicity	707 (87.2) [19]	291 (86.6) [4]	0.95 (0.67 to 1.34)	0.780
Living alone	285 (34.6) [5]	122 (35.9)	1.06 (0.78 to 1.44)	0.710
Children <18 years living at home	8 (1.0) [2]	6 (1.8)	1.84 (0.68 to 4.98)	0.230
Living with dependent adults	40 (5.0) [35]	27 (8.2) [9]	1.68 (0.95 to 2.95)	0.073
Education	[13]	[3]		
Primary/secondary school	436 (53.4)	206 (61.1)	1.00	0.030
College/university	381 (46.6)	131 (38.9)	0.73 (0.55 to 0.97)	
Employed full- or part-time	69 (8.4) [8]	33 (9.7) [1]	1.18 (0.76 to 1.82)	0.470
NS-SEC job grade <sup>b</sup>	[35]	[15]		
1–2: managerial and professional occupations	354 (44.5)	125 (38.5)	1.00	0.047
3–4: intermediate occupations	229 (28.8)	84 (25.9)	1.04 (0.76 to 1.41)	
5–7: routine and manual occupations	205 (25.8)	109 (33.5)	1.51 (1.08 to 2.11)	
8–9: never worked and long-term unemployed	7 (0.9)	7 (2.2)	2.83 (1.01 to 7.95)	
Annual household income ≥£20,000	291 (40.1) [104]	110 (40.0) [65]	1.00 (0.75 to 1.32)	0.980
Smoking status	[2]			
Non smokers	444 (53.6)	148 (43.5)	1.00	0.011
Ex-smokers	341 (41.2)	172 (50.6)	1.51 (1.15 to 1.99)	
Current smokers	43 (5.2)	20 (5.9)	1.40 (0.70 to 2.76)	

CI, confidence interval; IQR, interquartile range; NS-SEC, National Statistics Socio-Economic Classification; OR, odds ratio.

<sup>a</sup> Data are *n* (%) [*n* missing], unless otherwise stated.

<sup>b</sup> Current or previous job coded to the National Statistics Socio-economic Classification 2000.

**Note**

A total of 69 participants were withdrawn by their GP after allocation, two withdrew their data from the study and 15 had incomplete demographic data.

**TABLE 7** Univariate associations between attrition and risk factors for falling, exercise, psychosocial and functional measures<sup>a</sup>

Characteristics	Retained	Withdrew	OR (95% CI)	Unadjusted <i>p</i> -value
Number of participants ( <i>n</i> =1170)	830 (70.9)	340 (29.1)		
Use public transport easily	788 (95.6) [6]	312 (92.3) [2]	0.55 (0.30 to 1.01)	0.052
Use a walking aid	112 (13.5) [2]	51 (15.0) [1]	1.13 (0.78 to 1.64)	0.520
FRAT <sup>b</sup>				
History of any fall in the previous year	134 (16.2) [1]	67 (19.8) [1]	1.28 (0.94 to 1.75)	0.120
On ≥4 medications per day	361 (43.6) [1]	174 (51.3) [1]	1.37 (1.07 to 1.75)	0.014
Diagnosis of stroke or Parkinson's disease	14 (1.7) [1]	12 (3.5) [1]	2.14 (0.91 to 5.03)	0.082
Any self-reported problems with their balance	197 (23.9) [5]	83 (24.8) [5]	1.05 (0.80 to 1.38)	0.730
Unable to rise from a chair of knee height	25 (3.0) [3]	19 (5.6) [3]	1.92 (1.01 to 3.63)	0.046
ConfBal score median (IQR) <sup>c</sup>	10 (10.0–13.0) [81]	12 [10–15] [82]	1.08 (1.05 to 1.12)	<0.001
High concern about falling (measured by short FES-I) <sup>d</sup>	123 (16.3) [74]	64 (24.2) [75]	1.64 (1.15 to 2.34)	0.007
CHAMPS (minutes/week MVPA) <sup>e</sup>	[64]	[70]		
0 minutes	163 (21.3)	99 (36.7)	1.00	<0.001
1–149 minutes	274 (35.8)	82 (30.4)	0.49 (0.35 to 0.70)	
≥150 minutes	329 (43.0)	89 (33.0)	0.45 (0.32 to 0.62)	
SF-12 PCS mean (SD) <sup>f</sup>	37.6 (6.2) [4]	36.3 (6.9) [2]	0.97 (0.95 to 0.99)	<0.001
SF-12 MCS mean (SD) <sup>g</sup>	48.7 (6.1) [3]	48.4 (6.7) [2]	0.99 (0.97 to 1.02)	0.540
Socially isolated (based on LSNS-6) <sup>h</sup>	149 (20.0) [85]	72 (27.4) [77]	1.51 (1.06 to 2.15)	0.023
Perceived social support (MSPSS) median (IQR) <sup>i</sup>	70 (58.0–79.0) [130]	70 (55.0–78.0) [95]	0.99 (0.99 to 1.00)	0.250
OPQoL mean (SD) <sup>j</sup>	131.0 (13.0) [169]	126.9 (13.8) [126]	0.98 (0.96 to 0.99)	<0.001
OEE-positive subscale median (IQR) <sup>k</sup>	3.9 (3.6–4.2) [107]	3.8 (3.4–4.2) [93]	0.78 (0.62 to 0.98)	0.035

CI, confidence interval; LSNS-6, Lubben Social Network Scale-6; MCS, mental component summary; OR, odds ratio; PCS, physical component summary.

a Data are *n* (%) [*n* missing], unless otherwise stated.

b FRAT is a five-item tool used to assess falls risk. The presence of ≥3 risk factors indicates a higher risk of falling.

c ConfBal scale: total score provided as a measure of confidence, range from confident '10' to '30' not confident.

d The short FES-I dichotomised into low (score 7 to 10) or high (score ≥11) concern about falling.

e CHAMPS is a 40-item scale measuring PA duration and frequency in older people.

f SF-12 PCS scores range from 0 to 100, where a zero score indicates the lowest level of health measured by the scale and 100 indicates the highest level of health.

g SF-12 MCS scores range from 0 to 100, where a zero score indicates the lowest level of health measured by the scale and 100 indicates the highest level of health.

h LSNS-6 where a score of ≤11 indicates the participant is isolated.

i MSPSS is a 12-item scale measuring perceived availability of support. Scores range from 12 (weak social support) to 84 (strong social support).

j OPQoL range 33–165 (higher score implies a higher subjective quality of life).

k OEE scores range from 1 to 5, with 1 indicative of low outcome expectations for exercise, and 5 strong outcome expectations for exercise.





## Chapter 5 The primary outcome and safety

The primary outcome (see *Chapter 2*) was the proportion of participants achieving or exceeding the PA target of 150 minutes of MVPA per week. In this analysis, we dichotomised CHAMPS scores, according to whether or not MVPA exceeded 150 minutes per week. *Table 8* and *Figure 8* demonstrate that the proportion achieving or exceeding the MVPA target increased in those allocated to the FaME intervention from 40% at baseline to 49% at 12 months post intervention. By contrast, the proportions remained at 38% in the usual-care arm and increased only marginally, from 41% to 43%, in those allocated to the OEP arm. The benefit observed in the FaME arm at 12 months remained at 18 months.

*Table 9* shows that the difference in attaining the exercise target 12 months post intervention, after adjustment for dichotomised baseline activity, practice, practice deprivation, list size and site, was statistically significant when comparing FaME patients with those allocated to usual care [odds ratio (OR) 1.78, 95% confidence interval (CI) 1.11 to 2.87;  $p=0.018$ ]. There was no significant difference when comparing OEP with usual-care patients (OR 1.17, 95% CI 0.72 to 1.92;  $p=0.52$ ).

If 38% of patients in the usual-care arm would be meeting the target of 150 minutes of MVPA per week at 12 months post intervention, an OR of 1.78 associated with FaME 12 months post intervention would mean that 52% of participants would be meeting the guideline, an absolute increase of 14%.

To increase statistical power, we carried out analysis using the quantitative form of the primary outcome, namely  $[\log_e(\text{CHAMPS score} + 1)]$  at 12 months after the end of the intervention period. The positively skewed nature of the distribution necessitated the use of logarithmic transformation. *Figure 9* presents box and whisker plots of minutes of MVPA by treatment arm and time, illustrating the distributions and highlighting the occurrence of zero values in all treatment arms at 12 months post intervention; indeed, there were 20% of participants reporting no activity at this time point.

*Figure 10* shows the geometric mean number of minutes of MVPA per week, as measured by CHAMPS, by time and group. This was obtained by calculating means of log-transformed CHAMPS scores, then back transforming. *Figure 10* shows this graphically, plotted on a logarithmic scale. Physical activity increased in the FaME arm compared with usual care at 12 months after intervention ceased. The increase, although slightly attenuated, still appears 18 months after intervention. Mean MVPA was higher in the OEP arm at 12 months than for usual care, but a difference had already existed at baseline, prior to randomisation.

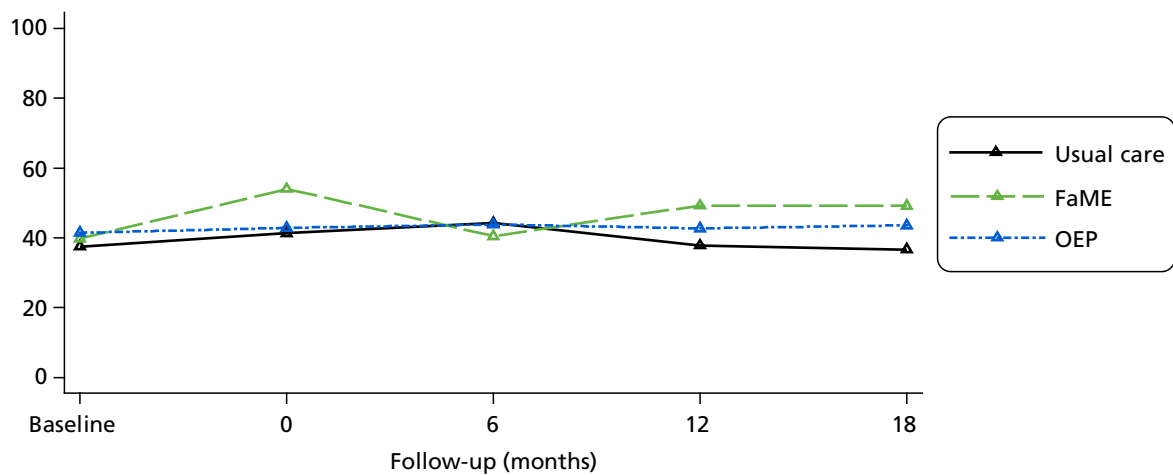
Means of the  $\log_e(\text{CHAMPS score} + 1)$ -transformed data were calculated and then back-transformed by taking the exponential of the mean (for each group/time combination) and subtracting 1.

Formal analysis was carried out on the  $\log_e(\text{CHAMPS score} + 1)$  values 12 months post intervention (see *Chapter 2*); baseline level of  $\log_e(\text{CHAMPS score} + 1)$ , practice size, site and deprivation level were covariates. A multilevel model was fitted, allowing for the clustering by general practice in the study design.

Differences between each intervention arm and the usual-care arm are shown in *Table 10*, with 95% CIs. The first column represents a complete case analysis (the primary analysis in our plan) and shows a significant increase ( $p < 0.001$ ) in the mean log-transformed minutes of MVPA in the FaME arm of 0.689 (95% CI 0.312 to 1.065) compared with the control arm. This may be interpreted as a multiplicative effect on minutes of MVPA by a factor of 1.99 (95% CI 1.37 to 2.90). The effect of OEP was positive (0.245, 95% CI  $-0.150$  to 0.639) but non-significant ( $p=0.22$ ), representing a multiplicative effect on minutes of MVPA of 1.28 (95% CI 0.86 to 1.89).

**TABLE 8** Proportion of participants achieving or exceeding MVPA target, by arm and time

Percentage reaching 150 minutes MVPA, by group at each follow-up	Randomisation group		
	Usual care	FaME	OEP
<b>Baseline</b>			
<i>n</i>	400	342	362
Number ≥ 150 minutes	150	136	150
Per cent ≥ 150 minutes	37.50%	39.77%	41.44%
<b>Post intervention</b>			
<i>n</i>	264	224	224
Number ≥ 150 minutes	109	121	96
Per cent ≥ 150 minutes	41.29%	54.02%	42.86%
<b>6 months post intervention</b>			
<i>n</i>	242	195	194
Number ≥ 150 minutes	107	79	85
Per cent ≥ 150 minutes	44.21%	40.51%	43.81%
<b>12 months post intervention</b>			
<i>n</i>	222	193	185
Number ≥ 150 minutes	84	95	79
Per cent ≥ 150 minutes	37.84%	49.22%	42.70%
<b>18 months post intervention</b>			
<i>n</i>	221	181	179
Number ≥ 150 minutes	81	89	78
Per cent ≥ 150 minutes	36.65%	49.17%	43.58%

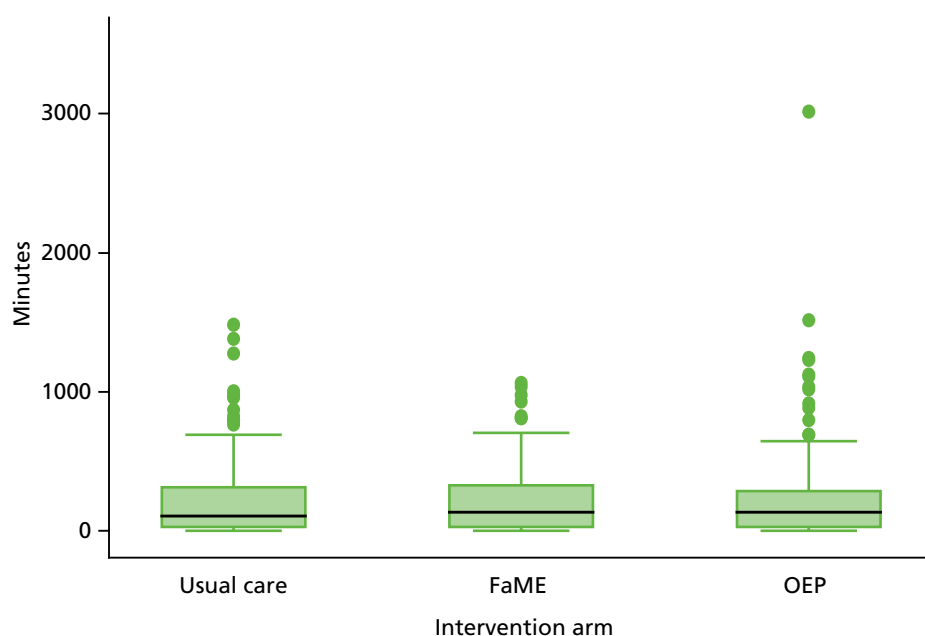
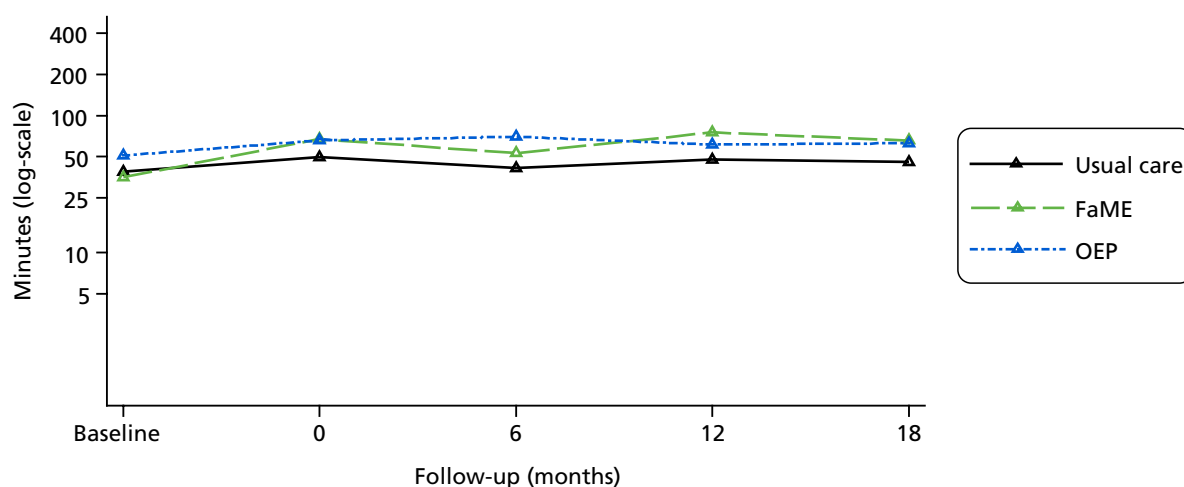


**FIGURE 8** Proportion achieving or exceeding MVPA target, by arm over time.

**TABLE 9** Modelling of relative odds of reaching 150 minutes MVPA weekly, after adjustment for baseline MVPA

Outcome	CHAMPS minutes of moderate or greater intensity activity (per week) (< 150 minutes vs. ≥ 150 minutes)		
	Usual care	FaME	OEP
Number in model	210	184	178
Estimate (OR)	N/A	1.782	1.173
95% CI	N/A	1.106 to 2.872	0.718 to 1.918
p-value	N/A	0.018	0.524

N/A, not applicable.

**FIGURE 9** Box and whisker plot of minutes of MVPA 12 months post intervention by group, according to CHAMPS questionnaire.**FIGURE 10** Geometric means of number of minutes of MVPA by group and time, according to CHAMPS questionnaire.

**TABLE 10** Results from multilevel modelling of 12-month post intervention primary outcomes [ $\log_e(\text{CHAMPS score} + 1)$ ]

Model		Complete case analysis	Imputed 12-month post-intervention CHAMPS, based on participants with 12-month Phone-FITT	Imputed 12-month post-intervention CHAMPS, based on all participants
<i>Multilevel modelling results (group effects for FaME and OEP vs. usual care)<sup>a</sup></i>				
<i>Number in model</i>		572	707	1254
<i>FaME</i>	Estimate [difference in mean $\log_e(\text{CHAMPS score} + 1)$ ]	0.689	0.671	0.645
	95% CI	0.312 to 1.065	0.307 to 1.035	0.292 to 0.998
	p-value	<0.001	<0.001	<0.001
	Multiplicative effect on MVPA	1.99	1.96	1.91
	95% CI	1.37 to 2.90	1.36 to 2.82	1.34 to 2.71
<i>OEP</i>	Estimate	0.245	0.229	0.207
	95% CI	-0.150 to 0.639	-0.161 to 0.618	-0.152 to 0.566
	p-value	0.22	0.25	0.26
	Multiplicative effect on MVPA	1.28	1.26	1.23
	95% CI	0.86 to 1.89	0.85 to 1.86	0.86 to 1.76

a Uses  $\log_e(\text{CHAMPS score} + 1)$  for baseline and 12-month post-intervention CHAMPS. Fifty imputations used in each imputation model. All imputation models included: Baseline CHAMPS, 12-month post-intervention CHAMPS, baseline Phone-FITT, 12-month post-intervention Phone-FITT. Plus: site, group, practice size, practice deprivation (all fully observed).

Other columns of *Table 10* show results after applying multiple imputation. The second column shows results using only participants who had responded to the telephone-administered Phone-FITT questionnaire and imputing the CHAMPS measure of MVPA using the Phone-FITT response. The multiplicative effect for FaME on time in MVPA was barely altered at 1.96 (95% CI 1.36 to 2.82;  $p < 0.001$ ), whereas for OEP it was 1.26 (95% CI 0.85 to 1.86;  $p = 0.25$ ). The last column shows a full imputation model using all variables included in the substantive model. The benefit of FaME was still highly significant and of comparable magnitude, now having a multiplicative effect on time in MVPA by 1.91 (95% CI 1.34 to 2.71;  $p < 0.001$ ), whereas for OEP it was 1.23 (95% CI 0.86 to 1.76;  $p = 0.26$ ).

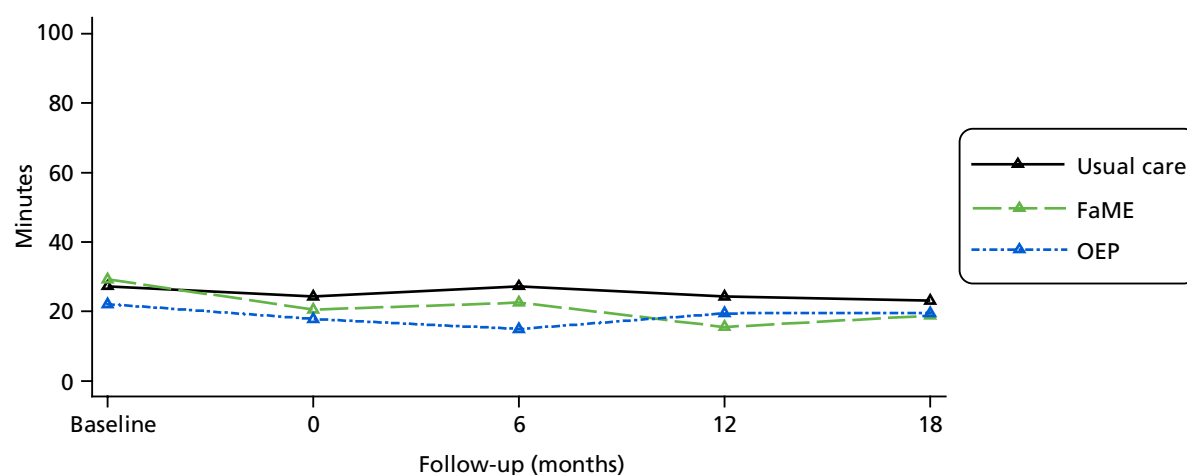
Our primary analysis estimated a multiplicative effect on MVPA of 1.99. The median time in the usual-care group at 12 months was 105 minutes, so this multiplicative effect would have added a further 104 minutes (almost 15 minutes per day). The more conservative estimate after applying a fully imputed model would suggest adding an extra 95 minutes per week (13–14 minutes per day).

Finally, *Table 11* and *Figure 11* show the percentage of participants who did no MVPA per week, as measured by CHAMPS, by arm and over time. This was carried out as a post hoc analysis as it was observed that many participants reported zero activity. The percentage of inactive participants changed little in the usual-care arm, declined slightly in the OEP arm, but fell markedly in the FaME arm.

**TABLE 11** Percentage reporting 0 minutes MVPA, by group at each follow-up

Measurement point	Randomisation group		
	Usual care	FaME	OEP
<b>Baseline</b>			
<i>n</i>	400	342	362
Number=0 minutes	109	100	80
Per cent=0 minutes	27.25%	29.24%	22.10%
<b>Post intervention</b>			
<i>n</i>	264	224	224
Number=0 minutes	64	46	40
Per cent=0 minutes	24.24%	20.54%	17.86%
<b>6 months post intervention</b>			
<i>n</i>	242	195	194
Number=0 minutes	66	44	29
Per cent=0 minutes	27.27%	22.56%	14.95%
<b>12 months post intervention</b>			
<i>n</i>	222	193	185
Number=0 minutes	54	30	36
Per cent=0 minutes	24.32%	15.54%	19.46%

Differences in denominators reflect variations in data capture and questionnaire completion.

**FIGURE 11** Proportion of participants recording 0 minutes of MVPA per week, by arm over time.

To address the very slight difference in estimates of effectiveness of FaME compared with usual care from the complete case analysis and imputation models, comparisons of baseline levels of CHAMPS and Phone-FITT were made between participants included in the three models (see *Appendix 2, Tables 38 and 39*). Baseline levels of activity, as recorded by CHAMPS and Phone-FITT, were lower in those who did not provide CHAMPS questionnaire data 12 months post intervention. The differences, however, were comparable between participants allocated to the three arms of the trial. Hence, estimates of intervention effects compared with usual care were almost unaffected.

Possible effect modifications of each intervention according to gender and to age group were tested through fitting interactions; however, there was no evidence for effect modification in either case.

## Sensitivity analyses

Analysis of the log(CHAMPS score+1) outcome was repeated (1) after excluding an outlying value for a participant in the OEP arm (see *Figure 10*), (2) after excluding an individual whose reported number of falls was extremely high post entry and (3) after excluding 18 participants who were considered ineligible by GPs after randomisation had taken place. In all three analyses, the results concerning estimates of intervention effects were essentially unaltered.

The ICCs for the primary outcome (CHAMPS minutes per week of moderate or greater intensity activity at the 12-month post-intervention follow-up) were for the untransformed outcome 0.000 (95% CI 0.000 to 0.032) and the logarithmic transformation 0.009 (95% CI 0.000 to 0.044).

## Adherence analysis

Different definitions were applied to participants in the FaME and OEP arms. In each case, analysis comparing adherent and non-adherent participants was carried out. Comparisons of log<sub>e</sub>(CHAMPS score+1) were made, with multilevel modelling as described above.

### Falls Management Exercise programme

Expected activity consisted of two 30-minute sessions of home exercise, together with 60 minutes through class attendance, per week for 24 weeks, totalling 2880 minutes. Defining adherence as 75% or more of expected total exercise,<sup>31</sup> meant that participants needed to carry out at least 2160 minutes of exercise during the 24-week intervention to be classed as adherent. Two analyses were carried out: firstly assuming that those not returning relevant diaries had not undertaken any exercise during that 4-week period and, secondly, omitting any participant who had not returned all six diaries.

In the first analysis, 387 participants were eligible, of whom 60 (17%) were classed as adherent. In the second analysis, 188 participants were eligible, of whom 58 (31%) were classed as adherent. However, only a subset of eligible participants actually provided CHAMPS data 12 months post intervention, and provision of outcome data was far less common among non-adherers (especially in the first analysis). Among the subsets, there was no evidence of difference in primary outcome between adherers and non-adherers for either analysis ( $p=0.67$  and  $p=0.95$ , respectively). *Appendix 2, Table 40*, shows the results.

### Otago Exercise Programme

Expected activity consisted of three 30-minute sessions of home exercise per week for 24 weeks, totalling 2160 minutes. Defining adherence as 75% or more of expected total exercise meant that participants needed to carry out at least 1620 minutes of exercise during the 24-week intervention to be classed as adherent.

Three analyses were carried out: the first two were as specified for the analysis of FaME participants above and the third compared participants who were assigned a PM with the remainder. Numbers of participants eligible for the three analyses were 410 (among whom 25% were classed as adherent), 200 (46% classed as adherent) and 366 (39% classed as adherent). As with the FaME analysis, only subsets of these numbers provided CHAMPS data at 12 months, and provision of data was less common among non-adherers, especially in the first analysis. No evidence of difference in outcome was apparent between adherers and non-adherers in any analysis ( $p=0.54$ ,  $p=0.42$  and  $p=0.34$ , respectively, for the three analyses). *Appendix 2, Table 41*, shows the results.

## Safety: adverse events in the trial

There was only one documented SAE that the chief investigator thought could have been because of the trial, and this was reported to the TSC's chairperson. On further enquiry, this potential SAE was judged by the TSC chairperson to be unrelated to the trial.

Table 12 summarises the categorisation process for harms arising in the participant population that could potentially be attributed to the trial (see Chapter 3 for the categorisation algorithm).

Table 13 shows the numbers of events documented during the trial and Table 14 shows AEs, reactions and incidents by arm, during the intervention period and in the 12 months post intervention, per person-month.

Table 15 shows the clinical conditions categorised as AEs.

The number of falls recorded during the trial in diaries and followed up by telephone contact are shown in Table 17 in Chapter 6. The different types of ARs are shown in Appendix 3.

**TABLE 12** Categories of harm experienced by participants in the trial

First screen	Serious	Not serious
Subcategorisation	Related SAE ( <i>report to TSC</i> )	Related AE
		<i>Possibly, probably or definitely related to the trial</i>
	Unrelated SAE	Unrelated AE
		<i>Not related or improbably related to the trial</i>
		AR
		<i>Possibly, probably or definitely related to the trial</i>
		Possible AR
		<i>Possibly related to the trial</i>
		Incident
		<i>Non-injurious falls</i>

**TABLE 13** Numbers of all AEs and ARs occurring during ProAct65+ trial, by arm

Allocation	Total	Unrelated SAEs (% within category)	AEs (% within category)	ARs (% within category)	Possible ARs (% within category)	Incident (% within category)
FaME	770	8 (28%)	329 (30%)	14 (33%)	52 (31%)	338 (38%)
OEP	758	12 (41%)	378 (35%)	26 (62%)	59 (36%)	256 (29%)
Usual care	800	9 (31%)	386 (35%)	2 (5%)	55 (33%)	289 (33%)
Total	2328	29	1093	42	166	883

TABLE 14 Adverse events, reactions and incidents by arm, during the intervention period and in the 12 months post intervention, per person-month

Intervention arm	No.	Length of observation/ months	Person-months	Incidents		AEs		Possible ARs		ARs		Unrelated SAEs	
				No.	Per person-month	No.	Per person-month	No.	Per person-month	No.	Per person-month	No.	Per person-month
<b>During intervention</b>													
FaME	322	6	1932	141	0.073	74	0.038	11	0.006	12	0.006	5	0.0026
OEP	371	6	2226	74	0.033	91	0.041	23	0.010	16	0.007	5	0.0022
Usual care	396	6	2376	88	0.021	94	0.040	16	0.007	1	0.000	4	0.0017
<b>After intervention</b>													
FaME	255	18	4590	120	0.026	181	0.039	35	0.008	1	0.0002	8	0.0017
OEP	290	18	5220	162	0.031	211	0.040	28	0.005	2	0.0004	15	0.0029
Usual care	303	18	5454	163	0.030	208	0.038	27	0.005	1	0.0002	16	0.0029



**TABLE 15** Adverse events by type

Medical problem	Number in FaME	Number in OEP	Number in usual care
Cancer	15	28	18
Cardiovascular	23	51	49
Dermatological	9	12	16
Endocrine	9	13	9
Gastrointestinal	5	16	18
Gynaecological	2	1	2
Hospitalisation (cause unknown)	1	0	1
Musculoskeletal	28	36	27
Neurological	8	6	4
Ophthalmological	18	11	15
Orthopaedic/rheumatological	59	78	71
Renal	3	3	6
Respiratory	12	14	12
Urology	11	4	7



## Chapter 6 Secondary outcomes

### Other physical activity measures

The CHAMPS questionnaire allowed calculation of weekly calories expended (*Table 16*). No significant differences were observed whether the measure was analysed as its original value or after logarithmic transformation. The full-time profiles are shown in *Appendix 4 (Table 42 and Figure 12)*. Other questionnaire batteries (PASE and Phone-FITT) measuring reported activity were also analysed. The PASE scores showed a small, but statistically significant, benefit for FaME compared with usual care (difference in means 11.2, 95% CI 0.2 to 20.2;  $p=0.046$ ), but no statistically significant benefit for OEP (difference in means 7.5, 95% CI -3.8 to 18.8;  $p=0.20$ ). No significant differences were observed according to Phone-FITT (reported through telephone interviews) [*Table 17 and Appendix 4 (Table 42 and Figures 13 and 14)*].

### Falls and falls risk

The number of falls was analysed (1) during the intervention period and (2) in the 12 months following the intervention (see *Table 18*). One very frequent faller (> 100 falls reported during the intervention period) was excluded from analysis, since his rate of falling should have excluded him from the trial, even though his reported fall rate prior to baseline was within inclusion criteria. There was no statistically significant difference in the number of falls among the FaME, OEP and the control arms during the intervention period [adjusted incidence rate ratio (IRR) 0.91 (95% CI 0.54 to 1.52)] for FaME compared with usual care, and IRR 0.93 (95% CI 0.64 to 1.37) for OEP compared with usual care. In the 12 months post intervention there was a statistically significant reduction in falls in the FaME arm compared with the usual-care arm (IRR 0.74, 95% CI 0.55 to 0.99;  $p=0.009$ ) and a non-significant reduction in the OEP arm (IRR 0.76, 95% CI 0.53 to 1.09;  $p=0.14$ ).

During the intervention period, participants in the FaME arm reported 39 falls with no injury, 31 falls with a bruise or cut, 13 falls with muscle or ligament damage and one fall resulting in a broken bone. In the OEP arm, participants reported 59 falls with no injury, 45 falls with a bruise or cut, 19 falls with muscle or ligament damage, and two falls resulting in a broken bone. In the usual-care arm, participants reported 34 falls with no injury, 59 falls with a bruise or cut, 23 falls with muscle or ligament damage, and six falls resulting in a broken bone.

The FES-I index, which measures participants' fear of falling, showed no significant differences according to intervention arm (*Table 18 and Appendix 4*).

### Quality-of-life measures

No significant differences were apparent at 12 months for either component of the SF-12 (mental or physical), the EQ-5D scores or the OPQoL (*Tables 19 and 20*). Details of profiles over time are shown in *Appendix 4 (Table 44 and Figure 16)*.

**TABLE 16** Analysis of total weekly calorific expenditure by intervention arm at baseline and 12 months post intervention

Outcome	CHAMPS total calorific expenditure (per week)			Log-CHAMPS total calorific expenditure (per week)		
	Usual care	FaME	OEP	Usual care	FaME	OEP
<b>Baseline</b>				<b>Baseline</b>		
Mean (SD)	2222.3 (2180.9)	2129.1 (2009.5)	2314.0 (2009.8)	6.982 (1.931)	6.914 (2.013)	7.227 (1.516)
Median (min., max.)	1713.6 (0.0, 22967.8)	1690.6 (0.0, 14793.2)	1782.2 (0.0, 13272.7)	7.447 (0.000, 10.042)	7.433 (0.000, 9.602)	7.486 (0.000, 9.494)
<i>n</i>	391	339	354	391	339	354
<b>12 months post intervention</b>				<b>12 months post intervention</b>		
Mean (SD)	2573.6 (2158.8)	2660.9 (2248.0)	2787.5 (2771.6)	7.314 (1.576)	7.382 (1.568)	7.530 (1.141)
Median (min., max.)	1829.4 (0.0, 9876.2)	2079.8 (0.0, 15,272.9)	2004.1 (0.0, 24,288.5)	7.512 (0.000, 9.198)	7.640 (0.000, 9.634)	7.603 (0.000, 10.098)
<i>n</i>	221	192	184	221	192	184
Number in model	569			569		
Estimate	N/A	217.7	42.1	N/A	0.084	0.216
95% CI	N/A	-184.1 to 619.6	-380.3 to 464.5	N/A	-0.195 to 0.364	-0.078 to 0.511
<i>p</i> -value	N/A	0.288	0.845	N/A	0.555	0.150

max., maximum; min., minimum; N/A, not applicable.

Differences in denominators reflect variations in data capture and questionnaire completion.

**TABLE 17** Comparisons of reported falls between intervention arms

Reported falls	FaME	OEP	Usual care
No. of falls during intervention period	96	104	116
Person-years at risk during intervention period	117.9	129.8	133.9
Falls per person-year during intervention period	0.81	0.80	0.87
IRR (95% CI) during intervention period (compared with usual care) <sup>a</sup>	0.91 (0.54 to 1.522; <i>p</i> =0.72)	0.93 (0.64 to 1.37; <i>p</i> =0.72)	Ref
No. of falls in the 12 months post intervention	107	100	158
Person-years at risk in the 12 months post intervention	187.3	184.0	221.3
Falls per person-year in the 12 months post intervention	0.57	0.54	0.71
IRR (95% CI) in the 12 months post intervention (compared with usual care) <sup>a</sup>	0.74 (0.55 to 0.99; <i>p</i> =0.042)	0.76 (0.53 to 1.09; <i>p</i> =0.14)	Ref

Ref, reference.

<sup>a</sup> IRRs from model adjusting for effects of site, size of practice, deprivation of practice, and clustering due to practice.

**TABLE 18** Distribution of FES-I scores by time and intervention arm

Outcome	FES-I		
	Usual care	FaME	OEP
<b>Baseline</b>			
Mean (SD)	9.36 (4.08)	8.99 (3.56)	8.89 (3.49)
Median (min., max.)	8 (7, 28)	7 (7, 28)	7 (7, 28)
<i>n</i>	396	333	359
<b>12 months post intervention</b>			
Mean (SD)	8.94 (3.66)	9.20 (4.56)	9.09 (4.19)
Median (min., max.)	7 (7, 28)	7 (7, 28)	7 (7, 28)
<i>n</i>	220	188	185
Number in model	561		
Estimate	N/A	0.102	0.045
95% CI	N/A	-0.653 to 0.856	-0.740 to 0.831
<i>p</i> -value	N/A	0.792	0.910

max., maximum; min., minimum; N/A, not applicable.  
Differences in denominators reflect variations in data capture and questionnaire completion.

**TABLE 19** Distribution of SF-12 physical and mental component scores by time and intervention arm

Outcome	SF-12 PCS			SF-12 MCS		
	Usual care	FaME	OEP	Usual care	FaME	OEP
<b>Baseline</b>						
Mean (SD)	38.74 (5.50)	38.74 (5.64)	38.78 (5.64)	49.88 (6.09)	49.60 (6.02)	50.15 (5.86)
Median (min., max.)	39.23 (15.98, 55.12)	38.86 (20.02, 55.17)	38.86 (16.90, 55.20)	50.59 (9.54, 70.19)	50.36 (27.10, 67.49)	50.46 (28.21, 66.46)
<i>n</i>	454	386	407	454	387	407
<b>12 months post intervention</b>						
Mean (SD)	39.11 (5.00)	38.85 (4.92)	39.30 (4.73)	49.16 (5.60)	48.74 (5.81)	49.05 (5.11)
Median (min., max.)	38.86 (20.71, 53.56)	38.87 (25.52, 55.66)	39.30 (21.05, 51.90)	49.86 (31.52, 66.56)	48.98 (29.59, 63.57)	49.05 (29.90, 64.18)
<i>n</i>	217	186	183	217	186	183
Number in model	583			584		
Estimate	N/A	-0.211	0.278	N/A	-0.430	-0.172
95% CI	N/A	-1.125 to 0.703	-0.672 to 1.229	N/A	-1.506 to 0.646	-1.291 to 0.947
<i>p</i> -value	N/A	0.651	0.566	N/A	0.434	0.763

max., maximum; MCS, mental component score; min., minimum; N/A, not applicable; PCS, physical component score.  
Differences in denominators reflect variations in data capture and questionnaire completion.

**TABLE 20** Distribution of OPQoL and EQ-5D scores by time and intervention arm

Outcome	OPQoL total score			EQ-5D score		
Descriptive statistics						
	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>
	<i>Baseline</i>			<i>Baseline</i>		
Mean (SD)	130.75 (13.53)	129.36 (13.54)	129.36 (12.69)	0.675 (0.082)	0.672 (0.087)	0.675 (0.088)
Median (min., max.)	129.00 (93.00, 163.00)	129.00 (96.00, 163.00)	128.00 (97.00, 162.00)	0.688 (0.260, 0.855)	0.688 (0.388, 0.905)	0.688 (0.253, 0.922)
<i>n</i>	342	273	312	450	380	399
	<i>12 months post intervention</i>			<i>Post intervention</i>		
Mean (SD)	134.80 (14.82)	132.31 (15.98)	133.72 (14.95)	0.675 (0.072)	0.667 (0.072)	0.675 (0.074)
Median (min., max.)	135.00 (91.00, 165.00)	132.00 (93.00, 163.00)	134.00 (95.00, 164.00)	0.683 (0.358, 0.885)	0.675 (0.381, 0.846)	0.688 (0.285, 0.841)
<i>n</i>	185	169	156	212	179	176
<b>Multilevel modelling results (group effects vs. usual care)</b>						
Number in model	444			558		
Estimate	N/A	-0.794	0.374	N/A	-0.009	0.000
95% CI	N/A	-2.848 to 1.260	-1.772 to 2.520	N/A	-0.022 to 0.005	-0.014 to 0.015
<i>p</i> -value	N/A	0.449	0.733	N/A	0.229	0.958
max., maximum; min., minimum; N/A, not applicable. Differences in denominators reflect variations in data capture and questionnaire completion.						

## Balance confidence and social networks

Table 21 shows differences between arms on balance confidence and social network support. Significant improvements in balance confidence were seen in both intervention arms at 12 months post intervention. The mean difference for FaME compared with usual care was  $-0.529$  (95% CI  $-0.998$  to  $-0.061$ ;  $p=0.027$ ) while the mean difference for OEP compared with usual care was  $-0.545$  (95% CI  $-1.033$  to  $-0.057$ ;  $p=0.029$ ). No significant difference in either social network scale (MSPSS or LSNS) was observed when comparing FaME and OEP to the usual-care arm. Further information concerning changes over time is shown in Appendix 4 (Table 45 and Figure 17).

## Other secondary outcomes (measures taken only at baseline and immediately post intervention)

Table 22 shows no evidence for effect of either intervention on the FRAT. However, clear benefits were seen on the OEE scale (Table 22). Those in the FaME arm whose expectations of exercise were positive at baseline had significantly increased expectations of exercise at follow-up compared with those in the usual-care arm. Those who were negative about exercise at baseline improved their expectations at follow-up, in both FaME and OEP arms, compared with usual care. Changes from baseline to post intervention are shown in Appendix 4 (Table 46). Results are shown for three functional measures in Table 23. No significant effects of intervention were observed. Table 24 shows outcomes for PASE, Phone-FITT and the mental and physical components of the SF-12 scale. Table 25 shows results for quality of life (OPQoL) and falls risk assessment (FRAT score). Table 26 shows results for the FRAT binary score (0 or  $\geq 1$ ). Table 27 shows the results from multilevel modelling of post intervention and 6- and 12-month post-intervention scores on EQ-5D.

TABLE 21 Differences in balance confidence and social networks, by arm, over time

Outcome	ConfBal			MSPSS			LSNS		
	Usual care Baseline	FaME	OEP	Usual care Baseline	FaME	OEP	Usual care Baseline	FaME	OEP
Mean (SD)	12.55 (3.93)	12.63 (3.98)	12.48 (3.76)	65.81(17.96)	65.93 (15.57)	66.60 (15.49)	15.93 (5.70)	16.47 (5.76)	15.44 (5.48)
Median (min., max.)	11 (10, 29)	10 (10, 30)	11 (10, 30)	71 (12, 84)	69 (12, 84)	70.5 (12.0, 84.0)	16 (0, 30)	17 (3, 30)	15 (1, 30)
<i>n</i>	389	330	353	375	305	330	392	330	351
	<i>12 months post intervention</i>			<i>12 months post intervention</i>			<i>12 months post intervention</i>		
Mean (SD)	12.38 (4.05)	12.13 (3.65)	12.23 (3.71)	67.23 (16.54)	63.27 (17.69)	63.46 (18.14)	16.41 (5.79)	15.68 (5.82)	15.43 (5.35)
Median (min., max.)	10 (10, 30)	10 (10, 28)	10 (10, 28)	71 (12, 84)	67 (12, 84)	68 (12, 84)	17 (4, 30)	16 (0, 30)	16 (1, 30)
<i>n</i>	218	183	179	209	183	171	210	181	180
	<b>Multilevel modelling results (group effects vs. usual care)</b>								
Number in model	546			500			533		
Estimate	N/A	-0.529	-0.545	N/A	-2.480	-2.373	N/A	-0.651	0.176
95% CI	N/A	-0.998 to -0.061	-1.033 to -0.057	N/A	-5.637 to 0.677	-5.700 to 0.953	N/A	-1.411 to 0.110	-0.624 to 0.976
<i>p</i> -value	N/A	0.027	0.029	N/A	0.124	0.162	N/A	0.093	0.666
	max., maximum; min., minimum; N/A, not applicable. Differences in denominators reflect variations in data capture and questionnaire completion.								

TABLE 22 FRAT scores and OEE scores by arm, over time

Outcome	FRAT score		OEE positive		OEE negative	
	Usual care	FaME	Usual care	FaME	Usual care	FaME
	<b>Baseline</b>		<b>Baseline</b>		<b>Baseline</b>	
Mean (SD)	1.029 (0.955)	0.890 (0.900)	3.84 (0.58)	3.85 (0.62)	3.85 (0.81)	3.96 (0.75)
Median (min., max.)	1 (0, 4)	1 (0, 4)	3.89 (2.00, 5.00)	3.89 (1.67, 5.00)	4 (1.25, 5.00)	4 (1.75, 5.00)
n	453	383	372	309	367	320
	<b>12 months post intervention</b>		<b>Post intervention</b>		<b>Post intervention</b>	
Mean (SD)	0.987 (0.905)	0.929 (0.944)	3.85 (0.64)	4.02 (0.55)	3.96 (0.87)	4.19 (0.75)
Median (min., max.)	1 (0, 4)	1 (0, 4)	3.78 (2.11, 5.00)	4.00 (2.33, 5.00)	4 (1, 5)	4.25 (1.00, 5.00)
n	299	253	252	206	248	204
Number in model	808		614		595	
Estimate	N/A	-0.004	N/A	0.130	N/A	0.200
95% CI	N/A	-0.160 to 0.152	N/A	0.043 to 0.216	N/A	0.077 to 0.323
p-value	N/A	0.960	N/A	0.003	N/A	0.001

max., maximum; min., minimum; N/A, not applicable.  
Differences in denominators reflect variations in data capture and questionnaire completion.



TABLE 23 Functional assessment scores, by arm, over time

Outcome	Sits to stands (total)			Functional reach			Log-TUG (seconds)		
	Usual care Baseline	FaME	OEP	Usual care Baseline	FaME	OEP	Usual care Baseline	FaME	OEP
Mean (SD)	10.49 (3.31)	10.48 (3.64)	10.27 (2.81)	24.68 (7.43)	25.60 (6.98)	25.57 (7.43)	2.35 (0.32)	2.33 (0.34)	2.33 (0.34)
Median (min., max.)	10 (0, 26)	10 (1, 28)	10 (3, 20)	25 (4, 49)	26 (8, 45)	26 (4, 55)	2.29 (1.74, 3.70)	2.26 (1.41, 4.02)	2.29 (1.71, 4.58)
<i>n</i>	449	377	400	438	371	402	438	337	376
	<i>Post intervention</i>			<i>Post intervention</i>			<i>Post intervention</i>		
Mean (SD)	11.86 (3.57)	11.62 (3.77)	11.40 (3.35)	27.13 (6.82)	26.99 (7.28)	26.84 (7.64)	2.28 (0.27)	2.25 (0.30)	2.27 (0.27)
Median (min., max.)	12 (2, 25)	11 (3, 29)	11 (0, 22)	28 (10, 45)	27 (7, 46)	27 (7, 44)	2.23 (1.79, 4.05)	2.20 (1.48, 3.60)	2.23 (1.69, 3.81)
<i>n</i>	285	252	245	293	249	232	273	203	203
<b>Multilevel modelling results (group effects vs. usual care)</b>									
Number in model	772			749			651		
Estimate	N/A	-0.644	-1.055	N/A	-0.644	-1.055	N/A	-0.008	-0.011
95% CI	N/A	-2.583 to 1.295	-3.031 to 0.921	N/A	-2.583 to 1.295	-3.031 to 0.921	N/A	-0.064 to 0.048	-0.066 to 0.044
<i>p</i> -value	N/A	0.515	0.295	N/A	0.515	0.295	N/A	0.775	0.700
max., maximum; min., minimum; N/A, not applicable. Differences in denominators reflect variations in data capture and questionnaire completion.									

TABLE 24 Outcomes for PASE, Phone-FITT and the mental and physical components of the SF-12 scale

Outcome	PASE total score		Phone-FITT total score		SF-12 PCS		SF-12 MCS				
Descriptive statistics	Usual care	FaME	Usual care	FaME	Usual care	FaME	Usual care	FaME			
	Baseline		Baseline		Baseline		Baseline				
Mean (SD)	119.2 (60.4)	109.1 (52.2)	119.9 (50.6)	36.80 (13.65)	37.68 (13.67)	41.18 (13.11)	38.74 (5.50)	38.74 (5.64)	49.88 (6.09)	49.60 (6.02)	50.15 (5.86)
Median (min., max.)	111.1 (0.0, 379.5)	107.1 (0.0, 356.6)	116.8 (8.57, 280.60)	36.0 (0.00, 85.83)	37.46 (0.0, 102.0)	40.67 (7.00, 80.83)	39.23 (15.98, 55.12)	38.86 (20.02, 55.17)	50.59 (9.54, 70.19)	50.36 (27.10, 67.49)	50.46 (28.21, 66.46)
n	400	342	362	377	316	354	454	386	454	387	407
	12 months post intervention		12 months post intervention		12 months post intervention		12 months post intervention		12 months post intervention		
Mean (SD)	122.5 (51.8)	124.2 (53.3)	126.8 (61.3)	47.71 (17.41)	49.52 (15.95)	49.38 (16.50)	39.11 (5.00)	38.85 (4.92)	49.16 (5.60)	48.74 (5.81)	49.05 (5.11)
Median (min., max.)	118.1 (0.0, 277.7)	116.0 (0.0, 269.7)	114.6 (0.0, 356.6)	47.75 (0.0, 162.33)	47.63 (8.0, 112.5)	50.33 (0.00, 97.75)	38.86 (20.71, 53.56)	38.87 (25.52, 55.66)	49.86 (31.52, 66.56)	48.98 (29.59, 63.57)	49.05 (29.90, 64.18)
n	222	193	185	225	208	237	217	186	217	186	183
<b>Multilevel modelling results (group effects vs. usual care)</b>											
Number in model	572		628		583		584				
Estimate	N/A	11.19	7.48	N/A	2.303	1.340	N/A	-0.211	N/A	-0.430	-0.172
95% CI	N/A	0.194 to 22.191	-3.826 to 18.794	N/A	-0.531 to 5.137	-1.494 to 4.174	N/A	-1.125 to 0.703	N/A	-1.506 to 0.646	-1.291 to 0.947
p-value	N/A	0.046	0.195	N/A	0.111	0.354	N/A	0.651	N/A	0.434	0.763

max., maximum; MCS, mental component score; min., minimum; N/A, not applicable; PCS, physical component score. Differences in denominators reflect variations in data capture and questionnaire completion.

**TABLE 25** Total OPQoL and FRAT scores, by arm

Outcome	OPQoL total score			FRAT score		
	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>
<b>Descriptive statistics</b>						
	<i>Baseline</i>			<i>Baseline</i>		
Mean (SD)	130.75 (13.53)	129.36 (13.54)	129.36 (12.69)	1.029 (0.955)	0.890 (0.900)	0.980 (0.899)
Median (min., max.)	129.00 (93.00, 163.00)	129.00 (96.00, 163.00)	128.00 (97.00, 162.00)	1 (0, 4)	1 (0, 4)	1 (0, 4)
<i>n</i>	342	273	312	453	383	402
	<i>12 months post intervention</i>			<i>Post intervention</i>		
Mean (SD)	134.80 (14.82)	132.31 (15.98)	133.72 (14.95)	0.987 (0.905)	0.929 (0.944)	0.996 (0.951)
Median (min., max.)	135.00 (91.00, 165.00)	132.00 (93.00, 163.00)	134.00 (95.00, 164.00)	1 (0, 4)	1 (0, 4)	1 (0, 4)
<i>n</i>	185	169	156	299	253	263
<b>Multilevel modelling results (group effects vs. usual care)</b>						
Number in model	444			808		
Estimate	N/A	-0.794	0.374	N/A	-0.004	0.030
95% CI	N/A	-2.848 to 1.260	-1.772 to 2.520	N/A	-0.160 to 0.152	-0.127 to 0.189
<i>p</i> -value	N/A	0.449	0.733	N/A	0.960	0.708
max., maximum; min., minimum; N/A, not applicable. Differences in denominators reflect variations in data capture and questionnaire completion.						

**TABLE 26** Changes in dichotomised FRAT scores, by arm

Outcome	FRAT binary (score ≥ 1)		
	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>
	<b>Baseline</b>		
Percentage with score ≥ 1	65.8%	60.6%	65.6%
<i>n</i>	453	383	402
	<b>Post intervention</b>		
Percentage with score ≥ 1	65.5%	62.1%	64.6%
<i>n</i>	299	253	263
Number in model	808		
Estimate (OR)	N/A	0.857	1.000
95% CI	N/A	0.504 to 1.460	0.584 to 1.716
<i>p</i> -value	N/A	0.571	0.998
N/A, not applicable. Differences in denominators reflect variations in data capture and questionnaire completion.			

**TABLE 27** European Quality of Life-5 Dimensions: descriptive statistics by group at baseline, post intervention and 6 and 12 months post intervention

Descriptive Statistics	Usual care		Usual care		Usual care		Usual care		Usual care	
	Baseline	Post intervention	6 months post intervention	12 months post intervention	6 months post intervention	12 months post intervention	6 months post intervention	12 months post intervention	6 months post intervention	12 months post intervention
Mean (SD)	0.675 (0.082)	0.700 (0.074)	0.691 (0.083)	0.705 (0.071)	0.661 (0.080)	0.668 (0.085)	0.674 (0.070)	0.675 (0.072)	0.667 (0.072)	0.675 (0.074)
Median (min., max.)	0.688 (0.260, 0.855)	0.704 (0.400, 0.870)	0.702 (0.261, 0.870)	0.706 (0.311, 0.942)	0.671 (0.271, 0.809)	0.685 (0.351, 0.845)	0.685 (0.411, 0.804)	0.683 (0.358, 0.885)	0.675 (0.381, 0.846)	0.688 (0.285, 0.841)
<i>n</i>	450	296	255	258	225	178	184	212	179	176
<b>Multilevel modelling results (group effects vs. usual care)</b>										
Number in model	N/A	793			576			558		
Estimate	N/A	N/A	-0.007	0.008	N/A	0.008	0.018	N/A	-0.009	0.000
95% CI	N/A	N/A	-0.019 to 0.006	-0.005 to 0.020	N/A	-0.007 to 0.023	0.003 to 0.033	N/A	-0.022 to 0.005	-0.014 to 0.015
<i>p</i> -value	N/A	N/A	0.301	0.238	N/A	0.301	0.021	N/A	0.229	0.958

max., maximum; min., minimum; N/A, not applicable.  
Differences in denominators reflect variations in data capture and questionnaire completion.

## Chapter 7 Economic analysis

### Intervention costs – NHS perspective

#### *Otago Exercise Programme*

The OEP intervention involved a 30-minute programme of leg muscle strengthening and balance retraining performed at home at least three times per week and a walking plan undertaken at least twice per week, for 24 weeks. Participants attended an induction event, in groups, at a local community centre, which included individual assessment and setting of an exercise regimen. Ankle weights were distributed and an instruction booklet provided.

A PM was assigned to make contact with each participant. PMs received basic training in mentoring skills and were asked to make a home visit to help their mentee start their exercise programme, and up to three more home visits during the course of the 6-month intervention. In addition, the intervention protocol recommended that PMs maintain contact with and provide encouragement and support to their mentee through telephone calls every 2 weeks. PMs kept logs of their contacts with each of their mentees (date, time, duration and method of contact).

#### *Otago Exercise Programme resources*

Problems were encountered in recruiting PMs (see *Chapter 2*), especially in Nottingham, and some participants could not be allocated a PM. Thirty-six PMs were used in London, supporting 122 of 230 participants (53.0%) in OEP practices (mean 3.3, median 2.0 participants per PM). In Nottingham, only seven PMs were hired, supporting 21 of 168 (12.5%) OEP participants (mean 3.0, median 3.0 participants per PM).

#### *Otago Exercise Programme costs*

Cost items associated with use of PM were calculated as a cost per participant with a PM. Other costs, such as hall hire for the induction sessions that were attended by all OEP participants, were calculated with total OEP participants as the denominator (see *Table 28* for OEP costs). The costs per OEP participant with a PM were £88.16 in London and £117.08 in Nottingham. The per-participant costs of ankle weights were higher in London as greater access to PMs meant that more participants graduated to receive additional (highest-weight) cuffs. PM training costs were higher in Nottingham because of the smaller number of PMs attending sessions.

#### *Falls Management Exercise programme resources*

The FaME intervention comprised a 1-hour group exercise class with PSIs and two 30-minute home exercise sessions per week, for 24 weeks, plus walking twice per week for 30 minutes. Nine or ten participants were allocated to each group, with multiple classes per week for each GP practice. Seventeen groups were run in London by seven PSIs for 162 participants (mean 9.5 per group). There were five PSIs in Nottingham running 20 groups for 194 participants (9.7 per group). PSIs monitored attendance on a weekly basis. The aim was to provide continuity of PSI for each group, although occasionally PSIs would cover for each other for sickness or holidays.

The delivery of the intervention was standardised through training of PSIs and quality assurance visits. Participants are given elastic resistance bands and an instruction booklet for the home exercise component. Exercise mats were purchased for use in the group sessions.

#### *Falls Management Exercise programme costs*

The per-participant costs averaged £268.74 in London and £218.43 in Nottingham; PSI reimbursement was the largest cost component, followed by hire of the venues. The higher cost of FaME venues in

TABLE 28 Otago Exercise Programme costs

OEP intervention costs				
Category	London (N=230); 122 (53.0%) with PM		Nottingham (N=168); 21 (12.5%) with PM	
	Total	Per participant with PM (n=122)	Total	Per participant with PM (n=21)
PM training <sup>a</sup>	£2771.70 (36 PMs, £76.99 per PM)	£22.72	£973.60 (7 PMs, £139.09 per PM)	£46.36
PM expenses reimbursement <sup>b</sup>	£608.40	£4.99	£243.76	£11.61
Imputed value of PM time, for visits and telephone calls to participants <sup>c</sup>	84 visits, 4178 minutes (mean 49.7 minutes, SD 25.6 minutes) = £2017.97 (£24.02/visit)	0.69 visits per participant £16.54 0.62 telephone calls per participant £2.14	21 visits, 893 minutes (mean 42.5 minutes, SD 15.0 minutes) = £431.32 (£20.54/visit) 17 telephone calls, 138 minutes (mean 8.1 minutes, SD 2.6 minutes) = £55.20 (£3.25/telephone call)	1 visit per participant, £20.54 0.81 telephone calls per participant, £2.63
	75 telephone calls, 652 minutes (mean 8.7 minutes, SD 4.7 minutes) = £260.80 (£3.48/telephone call)	Both: £18.68		
Induction – hall hire <sup>d</sup>	£1484.00 (31 groups, £47.87 per group; range £28–90)	£6.45 (mean group size 7.6)	£861.44 (18 groups, £47.86 per group; range £18–90)	£5.13 (mean group size 9.3)
Induction – trainer time <sup>e</sup>	£3491.00	£15.18	£2550.00	£15.18
Induction – refreshments <sup>f</sup>	£92.00	£0.40	£67.20	£0.40
Ankle cuffs <sup>g</sup>	£3918.29	£17.04	£2104.56	£12.53
Instruction booklet <sup>h</sup>	£621.00	£2.70	£453.60	£2.70
Total	£15,265.16		£7740.68	
Per participant, no PM		£41.77		£35.94
Per participant, with PM		£88.16		£117.08

a From study records, includes reimbursement to expert trainers of PMs, excludes facilities costs.

b From study records, includes travel for home visits (free for most PMs in London) and telephone calls.

c Based on clinical support worker:<sup>74</sup> £29 per hour of home visit, 48.3p per minute; for telephone calls, £24 per hour of patient-related activity, 40p per minute.

d From study records.

e Expert trainers used in Nottingham at £220 per session. Salaried project staff provided training in London, so the Nottingham per-participant rate applied pro rata to London.

f From study records – only provided in Nottingham, assume same for London.

g From study records, includes cuffs given to all at induction and additional cuffs given to participants who progressed.

h From study records. Folder 70p, printing of 40 pages at 5p per page, total £2.70 per participant.

London reflects differences in rents between the capital and Nottingham, although there was considerable variation within both sites. Participants were recruited by general practice (cluster randomised) and the main criterion for selecting halls was proximity. *Table 29* shows FaME costs.

### Comparing OEP and FaME

The FaME programme is more expensive than the OEP delivered with PMs (£269 vs. £88 per participant in London; £218 vs. £117 in Nottingham) as a result of more direct participant contact from PSIs and hire of halls for the exercise classes. The difference between groups in per-participant costs would have been smaller if the OEP had been delivered to protocol (the full quota of home visits and telephone calls) and this might have had an impact on effectiveness. The costs of equipment were higher in the OEP (ankle cuffs) than in the FaME programme (resistance bands and mats); the home exercise booklet was also more extensive and costly than that provided to FaME programme participants, but these items represented small proportions of the total costs.

### Discussion

The reasons for the low PM contact with mentees in the OEP arm are not fully known. The lower PM input, or lack of PM input for a significant proportion of participants, may have impacted effectiveness to an unknown extent.

Although PMs were volunteers, a cost was applied to PM time, inputted using a replacement cost method (based on a clinical support worker). Use of the opportunity cost method would have required further information from PMs about the activities that they were not doing in order to carry out PM

**TABLE 29** Falls Management Exercise programme costs

FaME intervention costs				
Category	London (n = 162)		Nottingham (n = 194)	
	Total	Per participant (cost of item as % of total)	Total	Per participant (cost of item as % of total)
PSI reimbursement <sup>a</sup>	£27,744.00 (17 groups)	£171.26 (63.7%)	£32,640.00 (20 groups)	£168.25 (77.0%)
PSI training <sup>b</sup>	£1550.00 7 PSIs (£214.28 per PSI)	£9.63 (3.6%)	£1405.00 5 PSIs (£281.00 per PSI)	£7.24 (3.3%)
Hall hire <sup>c</sup>	£12,982.00 (£763.65 per group; range £540–938)	£80.63 (30.0%)	£6929.50 (£346.28 per group; range £221–417)	£35.72 (16.3%)
Refreshments <sup>d</sup>	£196.42	£1.22 (0.5%)	£237.08	£1.22 (0.6%)
Mats <sup>e</sup>	£563.50	£3.50 (1.3%)	£679.00	£3.50 (1.6%)
TheraBands <sup>f</sup>	£322.00	£2.00 (0.7%)	£388.00	£2.00 (0.9%)
Instruction booklet <sup>g</sup>	£80.50	£0.50 (0.2%)	£97.00	£0.50 (0.2%)
Total	£43,438.42	£268.74	£42,375.58	£218.43

a Based on community physiotherapist<sup>74</sup>: £34 per hour, 2 hours allowed, to include preparation, clear-up and travel time.

Twenty-four classes per group = £1632 × 17 groups = £27,744 London; £1632 × 20 groups = £32,640 Nottingham.

b From study records, only includes top-up training. Unit cost of community physiotherapists includes qualifications.

Facilities cost of top-up training excluded.

c From study records.

d From study records in Nottingham; no data in London, so Nottingham rates applied.

e From study records. Mats were priced at £10.50 each, approximately one mat purchased for every three participants.

f From study records. TheraBands at £6; each TheraBand divided between three participants.

g From study records. Booklet 10 pages, 0.5p per page = £0.50.

responsibilities. If PMs were retired, the opportunity cost of their time may have been less than the replacement cost used and the per-participant cost in the OEP arm would have been lower. Moreover, if volunteer PMs had obtained positive utility from their contribution, their cost should have been reduced accordingly.<sup>75</sup> Problems with recruiting PMs might have been mitigated if they had been remunerated and this may have also resulted in more active support of mentees.

Per-participant costs in delivery of the FaME programme would be inversely affected by group size, but group size might also affect effectiveness to an unknown extent. In the trial, the mean group size was similar in both sites at allocation (9 or 10 participants, as planned per protocol). However, poor attendance at some groups [mean group attendance rates were 50.5% (range 35–68%) in London and 56.9% (range 28–68%) in Nottingham] indicates that actual costs per attendee were higher and that a larger group size at the outset may be possible so that there is still a core group of active participants after drop-out. Attendance rates of participants assigned to the FaME programme ranged from 0% to 100% in both sites.

### *Intervention costs – private/participant perspective*

#### **Out-of-pocket expenditures**

Data on exercise-related out-of-pocket expenditure (clothes, equipment, gym membership, etc.) were analysed for the 592 participants (of 603 recruited) in London and the 594 (of 651) in Nottingham. The remaining 11 and 57 volunteers, respectively, were excluded by their GPs on health grounds (considered too unfit to take part in the interventions). Information on private expenditures was captured through diary returns (six during the intervention period and four in the subsequent 12-month follow-up period). Over 60% of diaries were returned during the intervention, although response rates varied between groups and were lowest in London. Diary returns dropped in London in the follow-up period to below 50% and in the OEP group in Nottingham.

Relatively small numbers of participants reported out-of-pocket expenditures and the average per-participant spend both during the 6-month intervention and in the 12-month follow-up period was <£10, but variable across groups and sites in a non-systematic way (*Table 30* shows out-of-pocket expenditures).

Additional expenditure was incurred by participants in the FaME group for travel to exercise classes. Participants were asked to report their usual method of getting to the class at the 6-month (end-of-intervention) assessment point. Responses were received by just over half of participants and showed that the average round-trip distance travelled for classes was 1.5 miles in London and 3.1 miles in Nottingham, reflecting the relative population densities of the areas. Accordingly, higher proportions of participants reported walking to classes, and lower proportions using cars, in London than in Nottingham (FaME travel costs are shown in *Table 31*).

Almost all participants who used public transport reported that they had a free bus pass, so participants incurring out-of-pocket costs associated with travel to classes were mostly those using private cars (28% in London and 74% in Nottingham). The maximum average cost incurred in driving to classes in London (assuming 45p per mile, the NHS reimbursement rate for staff) was £16.20 for the 24 classes and in Nottingham was £33.48 because of the longer round-trip distance to the venue. Only one individual reported parking charges (of £4.00 per class).

#### **Productivity effects**

Participants in the FaME programme were also asked to report at the post-intervention assessment what activity they had given up to attend the exercise classes. This question was answered by <30% of people assigned to the FaME group. The largest proportion of responders stated that they had given up recreational activities, followed by home making. Only two people stated they had given up paid employment, both in Nottingham. A total of 14 (13%) reported giving up voluntary or caring responsibilities (FaME programme opportunity costs are shown in *Table 32*).



TABLE 30 Out-of-pocket expenditures

Site	Group	Number recruited	Number after GP exclusion	Number of diaries returned/total possible	% of possible diaries returned	Number of participants reporting an expenditure	Number of items purchased					Total expenditure	Spend per participant after GP exclusions
							All	S	C	EE & G	O		
<b>During the 6-month intervention period: diaries 1–6</b>													
London	OEP	168	230	841/1340	60.9	32	49	20	20	1	8	£1398.40	£6.08
	FaME	232	162	601/972	61.8	19	28	8	7	2	11	£799.47	£4.93
	Usual care	203	200	649/1200	54.1	26	42	10	5	19	7	£1704.96	£8.52
	<b>Total</b>	<b>603</b>	<b>592</b>	<b>2091/3512</b>	<b>59.5</b>	<b>77</b>	<b>119</b>	<b>38</b>	<b>32</b>	<b>22</b>	<b>26</b>	<b>£3902.83</b>	<b>£6.59</b>
Nottingham	OEP	179	168	728/1008	72.2	48	64	17	29	1	17	£1352.78	£8.05
	FaME	219	194	838/1164	72.0	18	23	8	8	0	7	£496.58	£2.56
	Usual care	253	232	958/1392	68.8	34	56	9	18	8	21	£1704.65	£7.34
	<b>Total</b>	<b>651</b>	<b>594</b>	<b>2524/3554</b>	<b>71.0</b>	<b>100</b>	<b>152</b>	<b>42</b>	<b>66</b>	<b>2</b>	<b>42</b>	<b>£3553.01</b>	<b>£5.98</b>
<b>During the 12-month post intervention follow-up period: diaries 7–10</b>													
London	OEP	168	230	399/920	43.4	21	21	10	10	1	0	£632.72	£2.75
	FaME	232	162	299/648	46.1	26	26	5	9	6	6	£1512.98	£9.34
	Usual care	203	200	330/800	41.2	32	31	6	14	7	4	£1121.35	£5.61
	<b>Total</b>	<b>603</b>	<b>592</b>	<b>1028/2364</b>	<b>43.5</b>	<b>79</b>	<b>78</b>	<b>21</b>	<b>33</b>	<b>14</b>	<b>10</b>	<b>£3267.05</b>	<b>£5.52</b>
Nottingham	OEP	179	168	345/672	51.3	16	16	2	6	1	7	£769.49	£5.48
	FaME	219	194	565/776	72.8	31	31	9	14	2	6	£719.30	£3.70
	Usual care	253	232	672/928	72.4	38	38	12	12	7	7	£2453.63	£10.58
	<b>Total</b>	<b>651</b>	<b>594</b>	<b>1582/2376</b>	<b>66.6</b>	<b>85</b>	<b>85</b>	<b>23</b>	<b>32</b>	<b>10</b>	<b>20</b>	<b>£3942.42</b>	<b>£6.64</b>

C, clothes; EE &amp; G, exercise equipment and gym membership; O, other; S, shoes.

**TABLE 31** Falls Management Exercise programme travel costs

Site	Number of participants	Number (%) providing data	Average round-trip distance to class (miles)	Usual method of travel to class			
				Number (%) of participants using			
				Train, tram, bus, taxi	Car	Walk <sup>a</sup>	Other, cycle
London	168	85 (50.6)	1.5	17 (20.0)	24 (28.2)	42 (49.4)	4 (4.7)
Nottingham	219	126 (57.5)	3.1	11 (8.7)	93 (73.8)	32 (25.4)	0

a Some people walked and took the bus, so totals by mode exceed 100%.

**TABLE 32** Falls Management Exercise programme opportunity costs

Site	Number of participants	Number (%) providing data	What gave up doing to attend class (opportunity cost), number (%) of participants					
			Employment	Voluntary work	Home making	Caring	Recreation	Other
London	168	44 (26.2)	0	6 (13.6)	14 (31.8)	2 (4.5)	20 (45.5)	2 (4.5)
Nottingham	219	63 (28.8)	2 (3.2)	2 (3.2)	23 (36.5)	4 (6.3)	26 (41.3)	6 (9.5)

## Service use

Data on primary care service use covering the 6 months of the intervention and 12 months post-intervention follow-up period were collected from all 21 GP practices (594 participants – 194 FaME, 168 OEP, 232 usual care) in Nottingham, and 19 out of 22 practices (500 participants – 161 FaME, 186 OEP, 153 usual care) in London (access was denied by the other three). Group comparisons of primary care contacts and associated costs were conducted to explore possible impacts of exercise on general health and were offset against the costs of the interventions. Both parametric and non-parametric methods were used to compare group utilisation and costs. Inspection of the histograms showed that total contact and total cost distributions approached normality with few outliers, so the results of the parametric approach are reported. The findings from the non-parametric approach revealed no differences in the findings.

Taking London and Nottingham together (*Table 33*), there was a tendency for the mean number of primary care contacts to be higher in the OEP group, compared with usual care ( $p=0.100$ ), largely as a result of utilisation in Nottingham, but no differences between the other groups. In London, the mean of total contacts was significantly higher in the FaME group than in the usual-care group ( $p=0.037$ ) (*Table 34*). *Table 35* shows primary care service use per participant, during the 6-month intervention and the 12-month follow-up in Nottingham.

Regarding costs of primary care service utilisation, taking London and Nottingham together, there was a tendency ( $p=0.104$ ) for the mean costs in the FaME group to be higher than that in the usual-care group, but no significant difference between the other groups. In Nottingham, the mean cost of services used in OEP tended to be higher than that of usual care ( $p=0.095$ ) (*Table 36*).

## Falls

Data on falls and A&E and hospital service utilisation associated with falls were collected from GP records at the same time as primary care contact information. The numbers of falls documented are therefore different from those reported in *Chapter 6*, which were reported in diaries and at telephone follow-up. No differences were found in number of GP-recorded falls, or the A&E and hospital costs associated with falls, between any groups at either site (*Table 37*).

**TABLE 33** Primary care service use per participant, during the 6-month intervention and the 12-month follow-up: London and Nottingham combined<sup>a</sup>

Service	Variable	Mean			t-test for equality of means, p-value; 95% CIs of difference between means		
		FaME (N=350)	OEP (N=346)	Usual care (N=381)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
GP	Number of contacts at practice	7.98	7.68	7.70	0.959; -9.440 to -0.895	0.565; -0.673 to 1.232	0.531; -0.648 to 1.256
	Number of home visits	0.29	0.18	0.13	0.406; -0.069 to 0.171	0.015; 0.030 to 0.279	0.205; -0.057 to 0.264
	Number of telephone calls	1.45	0.81	0.82	0.956; -0.351 to 0.332	0.005; 0.192 to 1.079	0.002; 0.244 to 1.046
Practice nurse	Total GP contacts	9.72	8.67	8.65	0.976; -1.071 to 1.105	0.087; -0.157 to 2.295	0.086; -0.150 to 2.255
	Number of contacts at practice	3.49	3.36	3.42	0.839; -0.624 to 0.507	0.815; -0.551 to 0.699	0.678; -0.495 to 0.761
	Number of home visits	0.01	0.04	0.00	0.141; -0.014 to 0.095	0.238; -0.006 to 0.023	0.261; -0.088 to 0.024
Out of hours	Number of telephone calls	0.18 (n=349)	0.25	0.10 (n=380)	0.008; 0.035 to 0.254	0.081; -0.010 to 0.171	0.314; -0.192 to 0.062
	Total practice nurse contacts	3.64 (n=349)	3.36	3.53 (n=380)	0.676; -0.459 to 0.708	0.728; -0.523 to 0.749	0.973; -0.661 to 0.639
	Number of treatment centre visits	0.07	0.08	0.04	0.148; -0.014 to 0.092	0.261; -0.018 to 0.066	0.612; -0.074 to 0.044
Total out-of-hours contacts	Number of home visits	0.01	0.04 (n=345)	0.02	0.134; -0.007 to 0.051	0.648; -0.023 to 0.014	0.078; -0.055 to 0.003
	Number of telephone calls	0.07	0.09	0.08	0.936; -0.063 to 0.069	0.551; -0.078 to 0.042	0.454; -0.076 to 0.034
	Total out-of-hours contacts	0.15	0.21 (n=345)	0.14	0.208; -0.036 to 0.165	0.976; -0.086 to 0.089	0.216; -0.163 to 0.037

continued

**TABLE 33** Primary care service use per participant, during the 6-month intervention and the 12-month follow-up: London and Nottingham combined<sup>a</sup> (continued)

Service	Variable	Mean			<i>t</i> -test for equality of means, <i>p</i> -value; 95% CIs of difference between means		
		FaME (N=350)	OEP (N=346)	Usual care (N=381)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
Other senior-level practitioners <sup>b</sup>	Number of contacts at practice	0.07	0.32	0.17	0.102; -0.029 to 0.318	0.056; -0.206 to 0.002	0.003; -0.409 to -0.084
	Number of home visits	0.05	0.44	0.00	0.019; 0.072 to 0.807	0.144; -0.017 to 0.114	0.040; -0.764 to -0.017
	Number of telephone calls	0.02	0.05	0.04	0.727; -0.049 to 0.068	0.226; -0.064 to 0.015	0.247; -0.094 to 0.024
Other middle-level practitioners <sup>b</sup>	Number of contacts at practice	0.16	0.12	0.03	0.022; 0.012 to 0.156	0.002; 0.047 to 0.204	0.406; -0.57 to 0.140
	Number of home visits	0.05	0.01	0.03	0.072; -0.048 to 0.002	0.446; -0.031 to 0.071	0.083; -0.006 to 0.091
Other lower-level practitioners <sup>b</sup>	Number of telephone calls	0.00	0.00	0.00	0.318; -0.003 to 0.009	N/A	0.318; -0.009 to 0.003
	Number of contacts at practice	1.30	1.70	1.19	0.017; 0.093 to 0.923	0.569; -0.258 to 0.470	0.080; -0.853 to 0.048
	Number of home visits	0.00	0.08	0.01	0.067; -0.005 to 0.157	0.696; -0.014 to 0.010	0.058; -0.159 to 0.003
Grand total	Number of telephone calls	0.01	0.03	0.02	0.471; -0.018 to 0.038	0.648; -0.023 to 0.014	0.329; -0.044 to 0.015
	Total OPC (all three levels)	1.66	2.75	1.50	0.001; 0.525 to 1.980	0.442; -0.259 to 0.593	0.005; -1.840 to -0.331
	Number of contacts	15.06 (n=349)	15.27 (n=345)	13.85 (n=380)	0.100; -0.270 to 3.103	0.146; -0.494 to 2.914	0.829; -2.082 to 0.669

N/A, not applicable; OPC, other practitioner contacts.

<sup>a</sup> Based on 1077 participants (out of 1094), for whom we have complete data (eight missing from OEP, five missing from FaME and four missing from usual care). Small discrepancies may arise between the sum of item means and the totals shown because of sporadic missing data.

<sup>b</sup> Senior-level practitioners (community matron, specialist nurse, counsellor, pharmacist); middle-level practitioners (district nurse, allied health professionals); lower-level practitioners (health-care assistant, support worker, phlebotomist, podiatrist).

TABLE 34 Primary care service use per participant, during the 6-month intervention and 12-month follow-up: London<sup>a</sup>

Service	Variable	Mean			t-test for equality of means, p-value; 95% CIs of difference between means		
		FaME (N=158)	OEP (N=180)	Usual care (N=151)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
GP	Number of contacts at practice	8.41	8.74	8.85	0.526; -1.944 to 1.169	0.560; -1.963 to 1.065	0.933; -1.500 to 1.376
	Number of home visits	0.07	0.06	0.11	0.320; -0.134 to 0.044	0.413; -0.124 to 0.051	0.818; -0.064 to 0.081
	Number of telephone calls	2.23	0.83	0.75	0.700; -0.322 to 0.479	0.000; 0.771 to 2.187	0.000; 0.667 to 2.135
	Total GP contacts	10.71	9.36	9.72	0.681; -2.047 to 1.339	0.301; -0.895 to 2.882	0.142; -0.455 to 3.150
Practice Nurse	Number of contacts at practice	4.51	3.65	3.16	0.194; -0.251 to 1.233	0.007; 0.378 to 2.317	0.091; -0.139 to 1.851
	Number of home visits	0.01	0.01	0.00	0.158; -0.004 to 0.027	0.319; -0.006 to 0.019	0.641; -0.025 to 0.015
	Number of telephone calls	0.18 (n=157)	0.37	0.13 (n=150)	0.019; 0.039 to 0.027	0.522; -0.120 to 0.236	0.129; -0.417 to 0.053
Out of hours	Total practice nurse contacts	4.60 (n=157)	4.03	3.29 (n=150)	0.066; 0.048 to 1.517	0.010; 0.313 to 2.298	0.277; -0.460 to 1.602
	Number of treatment centre visits	0.03	0.02	0.05	0.174; -0.073 to 0.013	0.589; -0.068 to 0.039	0.464; -0.025 to 0.055
	Number of home visits	0.01	0.02	0.01	0.831; -0.028 to 0.035	0.964; -0.026 to 0.025	0.798; -0.035 to 0.027
	Number of telephone calls	0.05	0.05	0.04	0.676; -0.038 to 0.059	0.703; -0.045 to 0.067	0.983; -0.057 to 0.058
	Total out-of-hours contacts	0.09	0.08	0.10	0.708; -0.100 to 0.068	0.926; -0.098 to 0.089	0.806; -0.081 to 0.105

continued

**TABLE 34** Primary care service use per participant, during the 6-month intervention and 12-month follow-up: London<sup>a</sup> (continued)

Service	Variable	Mean			t-test for equality of means, p-value; 95% CIs of difference between means		
		FaME (N=158)	OEP (N=180)	Usual care (N=151)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
Other senior-level practitioners <sup>b</sup>	Number of contacts at practice	0.12	0.07	0.02	0.345; -0.051 to 0.144	0.098; -0.019 to 0.219	0.456; -0.088 to 0.195
	Number of home visits	0.01	0.00	0.00	N/A	0.319; -0.006 to 0.019	0.319; -0.006 to 0.019
	Number of telephone calls	0.00	0.00	0.00	N/A	N/A	N/A
Other middle-level practitioners <sup>b</sup>	Number of contacts at practice	0.06	0.04	0.02	N/A	N/A	N/A
	Number of home visits	0.04	0.00	0.01	0.611; -0.054 to 0.092	0.467; -0.063 to 0.137	0.736; -0.0877 to 0.124
Other lower-level practitioners <sup>b</sup>	Number of telephone calls	0.00	0.00	0.00	0.319; -0.039 to 0.013	0.237; -0.021 to 0.083	0.052; 0.000 to 0.089
	Number of contacts at practice	0.59	0.77	0.17	0.00; 0.315 to 0.887	0.000; 0.211 to 0.647	0.317; -0.509 to 0.165
	Number of home visits	0.01	0.00	0.01	0.319; -0.039 to 0.013	0.633; -0.035 to 0.022	0.319; -0.006 to 0.019
	Number of telephone calls	0.00	0.01	0.03	0.143; -0.049 to 0.007	0.045; -0.052 to -0.001	0.350; -0.017 to 0.006
	Total OPC (all three levels)	0.83	0.88	0.26	0.000; 0.311 to 0.928	0.000; 0.280 to 0.861	0.809; -0.444 to 0.347
<b>Grand total</b>	<b>Number of contacts</b>	<b>15.98 (n=157)</b>	<b>14.35</b>	<b>13.43 (n=150)</b>	<b>0.400; -1.225 to 3.058</b>	<b>0.037; 0.158 to 4.938</b>	<b>0.172; -0.714 to 3.975</b>

N/A, not applicable; OPC, other practitioner contacts.  
 a Based on 489 participants (out of 500), for whom we have complete data (six missing from OEP, three missing from FaME and two missing usual care). Small discrepancies may arise between the sum of item means and the totals shown because of sporadic missing data.  
 b Senior-level practitioners (community matron, specialist nurse, counsellor, pharmacist); middle-level practitioners (district nurse, allied health professionals); lower-level practitioners (health-care assistant, support worker, phlebotomist, podiatrist).

TABLE 35 Primary care service use per participant, during the 6-month intervention and 12-month follow-up: Nottingham<sup>a</sup>

Service	Variable	Mean			t-test for equality of means, p-value; 95% CIs of difference between means	
		FaME (N=192)	OEP (N=166)	Usual care (N=230)	OEP vs. usual care	FaME vs. OEP
GP	Number of contacts at practice	7.64	6.83	6.95	0.23; -1.198 to 0.953	0.26; -0.531 to 1.906
	Number of home visits	0.46	0.31	0.15	0.173; -0.073 to 0.404	0.004; 0.103 to 0.529
	Number of telephone calls	0.81	0.78	0.86	0.777; -0.618 to 0.462	0.861; -0.590 to 0.493
Practice nurse	Total GP contacts	8.91	7.92	7.96	0.962; -1.458 to 1.389	0.244; -0.655 to 2.565
	Number of contacts at practice	2.66	3.05	3.59	0.201; -1.377 to 0.291	0.021; -1.716 to -0.143
	Number of home visits	0.02	0.08	0.00	0.195; -0.038 to 0.186	0.33; -0.012 to 0.034
Out of hours	Number of telephone calls	0.18	0.12	0.09	0.365; -0.039 to 0.106	0.030; 0.009 to 0.181
	Total practice nurse contacts	2.86	3.25	3.68	0.312; -1.282 to 0.411	0.047; -1.636 to -0.010
	Number of treatment centre visits	0.10	0.16	0.04	0.028; 0.12 to 0.214	0.082; -0.007 to 0.118
Out of hours	Number of home visits	0.01	0.06 (n=165)	0.02	0.090; -0.007 to 0.093	0.603; -0.033 to 0.019
	Number of telephone calls	0.08	0.13	0.11	0.819; -0.102 to 0.129	0.475; -0.131 to 0.061
	Total out-of-hours contacts	0.19	0.35 (n=165)	0.17	0.058; -0.006 to 0.349	0.844; -0.122 to 0.150

continued

TABLE 35 Primary care service use per participant, during the 6-month intervention and 12-month follow-up: Nottingham<sup>a</sup> (continued)

Service	Variable	Mean		t-test for equality of means, p-value; 95% CIs of difference between means			
		FaME (N=192)	OEP (N=166)	Usual care (N=230)		FaME vs. OEP	FaME vs. usual care
Other senior-level practitioners <sup>b</sup>	Number of contacts at practice	0.03	0.59	0.27	0.058; -0.011 to 0.644	0.002; -0.392 to -0.093	0.000; -0.860 to -0.258
	Number of home visits	0.09	0.92	0.00	0.019; 0.153 to 1.681	0.166; -0.035 to 0.204	0.035; -1.606 to -0.060
	Number of telephone calls	0.04	0.11	0.07	0.152; -0.015 to 0.094	0.288; -0.107 to 0.032	0.201; -0.198 to 0.042
Other middle-level practitioners <sup>b</sup>	Number of contacts at practice	0.24	0.20	0.04	0.014; 0.032 to 0.287	0.001; 0.084 to 0.317	0.621; -0.121 to 0.203
	Number of home visits	0.06	0.02	0.04	0.207; -0.065 to 0.014	0.736; -0.067 to 0.094	0.373; -0.047 to 0.126
Other lower-level practitioners <sup>b</sup>	Number of telephone calls	0.00	0.01	0.00	0.319; -0.006 to 0.018	N/A	0.319; -0.018 to 0.006
	Number of contacts at practice	1.88	2.71	1.87	0.021; 0.128 to 1.563	0.974; -0.573 to 0.593	0.036; -1.617 to -0.055
	Number of home visits	0.00	0.17	0.00	0.049; 0.001 to 0.336	N/A	0.049; -0.336 to -0.001
Grand total	Number of telephone calls	0.02	0.05	0.01	0.152; -0.015 to 0.094	0.366; -0.014 to 0.038	0.336; -0.083 to 0.028
	Total OPC (all three levels)	2.35	4.78	2.31	0.000; 1.094 to 3.843	0.906; -0.632 to 0.713	0.001; -3.852 to -1.004
	Number of contacts	14.31	16.27 (n=165)	14.12	0.112; -0.503 to 4.793	0.877; -2.166 to 2.537	0.187; -4.889 to 0.970

N/A, not applicable; OPC, other practitioner contacts.

a Based on 586 participants (out of 594), for whom we have complete data (two missing from OEP, two missing from FaME and two missing usual care). Small discrepancies may arise between the sum of item means and the totals shown because of sporadic missing data.

b Senior-level practitioners (community matron, specialist nurse, counsellor, pharmacist); middle-level practitioners (district nurse, allied health professionals); lower-level practitioners (health-care assistant, support worker, phlebotomist, podiatrist).



TABLE 36 Costs of primary care service use (£, 2011) per participant, during the 6-month intervention and 12-month follow-up

Service	Mean				t-test for equality of means, p-value; 95% CIs of difference between means			
	FaME (N=350)	OEP (N=346)	Usual care (N=381)	FaME vs. OEP	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP	
<b>London and Nottingham combined</b>								
N=1077 with complete data (eight missing from OEP, five missing from FaME and four missing from usual care)								
Total GP (at practice, home, telephone)	353.95	316.29	311.22	0.803; -34.79 to 44.92	0.064; -2.50 to 87.96	0.112; -8.81 to 84.13		
Total practice nurse (at practice, home, telephone)	34.79	35.17	33.94	0.674; -4.52 to 6.99	0.783; -5.26 to 6.97	0.907; -6.73 to 5.97		
Total out of hours (at treatment centre, home, telephone)	7.95	14.25	8.04	0.077; -0.67 to 13.09	0.972; -5.33 to 5.14	0.090; -13.59 to 0.980		
Total other primary care (at practice, home, telephone)	15.53	38.52	13.53	0.004; 7.87 to 42.11	0.428; -2.95 to 6.94	0.010; -40.33 to -5.65		
<b>Grand total</b>	412.22	404.23	366.73	0.188; -17.70 to 89.96	0.104; -8.57 to 91.14	0.866; -54.73 to 65.07		
<b>London only</b>								
N=489 with complete data (six missing from OEP, three missing from FaME and two from missing usual care)								
Total GP (at practice, home, telephone)	360.16	330.53	348.19	0.561; -77.42 to 42.10	0.711; -51.50 to 75.44	0.337; -31.00 to 90.26		
Total practice nurse (at practice, home, telephone)	44.02 (n=157)	37.62	31.47 (n=150)	0.102; -1.23 to 13.54	0.009; 3.10 to 22.01	0.197; -3.34 to 16.14		
Total out of hours (at treatment centre, home, telephone)	5.68	5.58	6.22	0.859; -7.74 to 6.45	0.869; -6.99 to 5.90	0.978; -7.10 to 7.31		
Total other primary care (at practice, home, telephone)	9.03	6.97	2.38	0.003; 1.55 to 7.63	0.001; 2.21 to 10.70	0.381; -2.57 to 6.70		
<b>Grand total</b>	418.89 (n=157)	380.70	388.26 (n=150)	0.761; -74.12 to 54.25	0.546; -46.98 to 88.66	0.357; -34.83 to 96.38		

continued

TABLE 36 Costs of primary care service use (£, 2011) per participant, during the 6-month intervention and 12-month follow-up (continued)

Service	t-test for equality of means, p-value; 95% CIs of difference between means					
	Mean	FaME (N=192)	OEP (N=166)	Usual care (N=230)	FaME vs. usual care	FaME vs. OEP
<b>Nottingham only</b>						
N=586 with complete data (two missing from OEP, two missing from FaME and two missing usual care)						
Total GP (at practice, home, telephone)	348.84	300.84	286.95	0.614; -40.26 to 68.06	0.052; -0.41 to 124.19	0.181; -22.48 to 118.47
Total practice nurse (at practice, home, telephone)	27.25	32.51 (n=165)	35.55	0.484; -11.54 to 5.47	0.039; -16.18 to -0.42	0.205; -13.41 to 2.88
Total out of hours (at treatment centre, home, telephone)	9.81	23.71	9.23	0.018; 2.49 to 26.46	0.884; -7.21 to 8.36	0.033; -26.63 to -1.16
Total other primary care (at practice, home, telephone)	20.88	72.73	20.86	0.004; 17.12 to 86.63	0.996; -7.805 to 7.844	0.004; -86.93 to -16.78
<b>Grand total</b>	406.78	429.79 (n=165)	352.59	0.095; -13.37 to 165.95	0.128; -15.73 to 124.20	0.622; -121.35 to 77.15

Unit costs data from Curtis.<sup>74</sup>

GP: contacts at practice, £36.00 (11.7 minutes); home visits, £121.00 (23.4 minutes); telephone calls, £22.00 (7.1 minutes).

Practice nurse: contacts at practice, £9.75 (15.5 minutes); home visit, £28.47 (based on district nurse home visit £73/hour and GP 23.4-minute visit time); telephone calls, £4.68 (based on GP 7.1-minute telephone call time).

Out of hours: treatment centre visits, £54.00 [based on GP 11.7 minutes surgery consultation, adjusted (x1.5) for unsocial hours]; home visits £181.50 [based on GP 23.4 home visit time, adjusted (x1.5) for unsocial hours]; telephone calls £33.00 [based on GP 7.1-minute telephone call time, adjusted (x1.5) for unsocial hours].

Other primary care, senior (advanced nurse): surgery consultations, £25.00 (15 minutes); home visits, £38.22 (25 minutes at £91 per hour); telephone calls, £5.90 (6 minutes at £59 per hour).

Other primary care, middle (community nurse): surgery consultations, £13.00 (based on practice nurse 15.5 minute consultation time); home visits, £28.47 (travel included, based on GP 23.4-minute home visit time); telephone call, £5.50 (using GP 7.1-minute telephone calls).

Other primary care, lower [clinical support worker nursing (community)]: surgery consultations, £6.24 (based on practice nurse 15.5 minutes consultation time); home visits, £11.31 (based on GP 23.4-minute home visits time); telephone calls, £2.40 (based on GP 7.1-minute telephone call time).

TABLE 37 Falls per participant, during the 6-month intervention and 12-month follow-up, captured from GP records

Variable	Mean		t-test for equality of means, p-value; 95% CIs of difference between means			
	FaME (N=355)	OEP (N=354)	Usual care (N=385)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
<b>London and Nottingham combined</b>						
Number of falls	0.12 (n=350)	0.17 (n=346)	0.14 (n=379)	0.548; -0.063 to 0.119	0.645; -0.089 to 0.055	0.136; -0.132 to 0.043
Number of A&E visits for falls	0.06 (n=350)	0.07 (n=347)	0.06 (n=379)	0.423; -0.028 to 0.067	0.823; -0.036 to 0.045	0.534; -0.062 to 0.032
Number of hospital admissions for falls	0.01 (n=350)	0.03 (n=347)	0.02 (n=380)	0.351; -0.014 to 0.040	0.613; -0.021 to 0.013	0.215; -0.045 to 0.010
Number of inpatient nights for falls	0.01 (n=351)	0.16 (n=348)	0.05 (n=380)	0.413; -0.155 to 0.377	0.325; -0.115 to 0.038	0.271; -0.416 to 0.117
Total cost of falls (A&E and nights)	12.63 (n=350)	39.20 (n=347)	19.27 (n=379)	0.305; -18.24 to 58.08	0.461; -24.33 to 11.05	0.146; -62.42 to 9.3
<b>London only</b>						
Number of falls	FaME (N=161)	OEP (N=186)	Usual care (N=153)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
Number of falls	0.17 (n=158)	0.17 (n=180)	0.19 (n=151)	0.755; -0.137 to 0.100	0.819; -0.139 to 0.110	0.942; -0.110 to 0.118
Number of A&E visits for falls	0.09 (n=158)	0.08 (n=180)	0.06 (n=151)	0.539; -0.052 to 0.100	0.393; -0.038 to 0.096	0.892; -0.071 to 0.082
Number of hospital admissions for falls	0.02 (n=158)	0.02 (n=180)	0.01 (n=151)	0.600; -0.025 to 0.043	0.690; -0.023 to 0.034	0.855; -0.038 to 0.032
Number of inpatient nights for falls	0.02 (n=159)	0.28 (n=181)	0.10 (n=151)	0.552; -0.407 to 0.761	0.378; -0.260 to 0.099	0.354; -0.803 to 0.288
Total cost of falls (A&E and nights)	19.82 (n=158)	50.78 (n=180)	25.41 (n=151)	0.502; -48.89 to 99.63	0.761; -41.77 to 30.58	0.369; -98.64 to 36.7
<b>Nottingham only</b>						
Number of falls	FaME (N=194)	OEP (N=168)	Usual care (N=232)	OEP vs. usual care	FaME vs. usual care	FaME vs. OEP
Number of falls	0.08 (n=192)	0.17 (n=166)	0.11 (n=228)	0.396; -0.077 to 0.196	0.547; -0.112 to 0.059	0.231; -0.225 to 0.055
Number of A&E visits for falls	0.04 (n=192)	0.07 (n=167)	0.05 (n=228)	0.675; -0.049 to 0.075	0.525; -0.066 to 0.034	0.327; -0.088 to 0.030
Number of hospital admissions for falls	0.01 (n=192)	0.04 (n=167)	0.02 (n=229)	0.393; -0.024 to 0.061	0.249; -0.303 to 0.009	0.181; -0.076 to 0.014
Number of inpatient nights for falls	0.01 (n=192)	0.04 (n=167)	0.02 (n=229)	0.393; -0.024 to 0.061	0.227; -0.032 to 0.008	0.181; -0.076 to 0.014
Total cost of falls (A&E and nights)	6.72 (n=192)	26.71 (n=167)	15.21 (n=228)	0.433; -17.32 to 40.31	0.259; -23.24 to 6.27	0.188; -49.79 to 9.82

Unit costs data from Curtis.<sup>74</sup>

Hospital: visits to A&amp;E (no admissions), £106; nights in hospital, £2334 for &gt; 2 days, £549 for 1 day.

## Cost-effectiveness analysis

The primary outcome for the economic evaluation was to be QALYs derived from transformation of SF-12, as described in the methods. The main analysis failed to find a significant difference between groups in this outcome, with or without imputation, and after adjusting for baseline values, cluster and other confounders. As a result, the economic analysis focused on cost-effectiveness using the primary clinical outcome, i.e. the proportion of people reaching or exceeding 150 minutes of MVPA per week at 12 months after the end of the intervention.

A significant effect in favour of FaME, compared with usual care, was identified by the main statistical analysis (see *Chapter 5*). Against 38% of patients receiving usual care who at least met the exercise target at 12 months post intervention, an OR of 1.78 was associated with FaME, meaning that 52% of participants assigned to that group would be meeting the target, an absolute increase of 14%. This benefit was achieved through NHS expenditure on delivering the FaME interventions in London and Nottingham of £268.75 and £218.43 per participant, respectively (mean £243.59).

A cohort of 100 people assigned to the FaME intervention would therefore incur a total cost to the NHS of £26,875 in London and £21,843 in Nottingham (average £24,359), compared with no cost for usual care. As the FaME programme, compared with usual care, results in 14% more people achieving or exceeding the 150-minute per week moderate or vigorous exercise target at the 12-month post-intervention end point, the cost per extra person exercising can be calculated as the total cost for 100 people divided by 14, i.e. £1919.64 in London and £1560.21 in Nottingham (mean £1739.93).

## Discussion

These findings need to be interpreted with caution. The per-participant costs for FaME are based on those recorded in the trial and would be affected by class size, with smaller groups increasing the average costs. Class size (and instructor) might also affect compliance and outcomes, but the impact of these factors is not known. The difference in costs between sites is largely a reflection of the higher costs of facilities hired for group classes in London, but considerable variability was observed within both sites.

The calculations reflect the NHS perspective and do not take account of the expenditures incurred by individuals in travelling to the exercise class venues or other out-of pocket expenses associated with exercise. No allowances are made for offsets against the costs of the interventions because no differences were found between groups in the costs of primary care contacts (used as an indicator of general health effects of exercise) or injurious falls in the 18 months post recruitment. The lack of difference between groups in use of primary care utilisation is consistent with the finding of no difference in health-related quality of life between groups.

Data from participants returning diaries indicated that those in the FaME group reported fewer falls than those in the usual-care group in the 12 months post intervention, but this was not reflected in the GP data (which covered all participants in GP practices that permitted access for data gathering, i.e. all practices except for three in London). Owing to lack of a statistically significant difference in QALYs between groups, a probabilistic sensitivity analysis was not undertaken, so no interpretation of the findings against a cost/QALY gained benchmark is possible. The study recruited volunteers, some of whom were already achieving the 150 minutes of MVPA at baseline. Further analysis is needed to explore the differential impact of the interventions on sustaining exercise among those already at target, and encouraging people not exercising to start, since this may impact on the cost-effectiveness ratios.

## Chapter 8 Discussion

### What this study shows

Exercise classes using the FaME programme significantly increased PA in older people. The proportions reporting at least 150 minutes of MVPA per week rose from 40% at baseline to 49% at 12 months post intervention in the FaME arm, from 41% to 43% in the OEP arm and from 37.5% to 38.0% in the usual-care arm. The odds of reporting at least 150 minutes of MVPA were 78% higher in the FaME arm than in the usual-care arm, equating to an absolute increase of 14% in the number of participants reaching or exceeding the PA target. In terms of minutes of MVPA, the FaME arm reported an additional 13–15 minutes of MVPA per day (91–105 minutes per week) compared with the usual-care arm, depending on the imputational model used. There was no statistically significant increase in MVPA in the OEP arm compared with the usual-care arm.

In the 12 months post intervention there was a statistically significant reduction in the rate of falls in the FaME arm compared with usual care (IRR 0.74, 95% CI 0.55 to 0.99;  $p=0.042$ ). Although the falls rate was lower in the OEP arm than in the usual-care arm, there was no statistically significant difference between these two arms. The PASE scores showed a small, but statistically significant, benefit for FaME compared with usual care (difference in means 11.2, 95% CI 0.2 to 20.2;  $p=0.046$ ), but no statistically significant benefit for OEP (difference in means 7.5, 95% CI –3.8 to 18.8;  $p=0.20$ ). Significant improvements were seen in balance confidence for both intervention arms at 12 months post intervention. The mean difference for FaME compared with usual care was –0.529 (95% CI –0.998 to –0.061;  $p=0.027$ ), while the mean difference for OEP compared with usual care was –0.545 (95% CI –1.033 to –0.057;  $p=0.029$ ). Participants in the FaME and OEP arms were significantly less likely to dismiss exercise as not beneficial, and in the FaME arm were more likely to be positive about exercise, 12 months after the end of the interventions.

There were no statistically significant differences between intervention arms and the usual-care arm in self-efficacy, mental and physical well-being, quality of life, social networks, falls risk or functional abilities. The lack of change in quality of life is perhaps not surprising, given the high baseline level of OPQoL scores and the limited likelihood that an extra 15 minutes of PA in relatively active people would change perceptions of quality of life. The interventions were not associated with increased risk of AEs or ARs, during or, after the intervention period.

FaME is more expensive than OEP delivered with PMs (£269 vs. £88 per participant in London; £218 vs. £117 per participant in Nottingham), because of more direct participant contact from PSIs and hire of halls for the exercise classes. The cost per additional person meeting the target of 150 minutes MVPA per week at 12 months post intervention in FaME, compared with usual care, is £1920 in London and £1560 in Nottingham.

There are a number of methodological lessons from this trial. We have demonstrated that it is possible to recruit older people who would benefit from increasing their PA (as shown by their performance on a range of functional and psychological measures) to exercise promotion trials in general practice. As we outlined in *Chapter 3*, organisational factors in practices (such as room availability and space to carry out functional assessments) mean that planned recruitment rates may overestimate the speed of recruitment. Given that participation in an exercise trial attracts some who are already physically active at or above the recommended target level, telephone prescreening is useful to minimise the conduct of baseline assessments on individuals who are subsequently found to be ineligible. Quality assurance of interventions is necessary to optimise the fidelity of their application. The quality assessment process developed for the FaME intervention proved workable and may be of use in other similar studies. Recruitment of PMs was difficult despite relaxing the eligibility rules and the OEP arm was disadvantaged by this. Finally, frequent

request of participants to complete exercise diaries challenged retention, and we lessened this research burden by reducing the frequency of requests. We will return to these methodological issues later in this chapter.

As we described in *Chapter 4*, those recruited to the trial were more active than their peers (with a median weekly MVPA level at baseline of 105 minutes), but on other characteristics functioned below population norms. Although not inactive, in other respects the participant population was an ideal one for testing PA interventions, as noted above. Retention of trial participants in the study remained problematic, despite the efforts made to increase it described in *Chapter 3*. However, this may in part be an unavoidable consequence of targeting an older age group, as illness events are common in the older population; 30% of those who dropped out cited illness as their reason for discontinuing with the study. Disappointment at allocation and research burden (the number of questionnaires and diaries to complete – see above and *Chapter 3*) were responsible for at least 18% and 11% of dropouts, respectively. As *Chapter 4* shows, those who dropped out were older and more disabled than those who remained in the study. Those lost to follow-up were the subgroup which would probably have benefited most from increasing PA and may have been those least likely to increase their MVPA as a result of the intervention. This suggests that post-intervention levels of MVPA may overestimate activity levels that could be achieved in the general population of older people with delivery of FaME and OEP programmes. However, as losses to follow-up were similar across treatment arms, it is unlikely that this will have biased our estimates of the difference in MVPA between treatment arms.

## Comparison with other studies

Our systematic review of the effectiveness of PA interventions for adults aged  $\geq 50$  years delivered through general practice<sup>84</sup> identified six studies published between 1998 and 2011, with a total of 1522 participants. Four interventions were delivered by GPs or nurses and exercise specialists.<sup>85–88</sup> Three used only exercise specialists<sup>86,89</sup> or an exercise counsellor.<sup>90</sup> Two used specific PA measures, such as the PASE and the Auckland Heart Exercise Questionnaire.<sup>89,90</sup> Four studies had 12 months' follow-up.<sup>86–89</sup> Only two of these studies reported a statistically significant increase in PA levels. Kolt *et al.*<sup>90</sup> report that moderate-leisure PA increased by 86.8 minutes per week in the intervention participants compared with controls ( $p=0.007$ ). More intervention participants than controls reached 2.5 hours per week of moderate/vigorous leisure physical activity at 12 months (42% vs. 23%; OR 2.9, 95% CI 1.33 to 6.32;  $p=0.007$ ). Halbert *et al.*<sup>86</sup> reported that PA increased in both groups ( $p<0.05$ ), but more participants in the intervention group than in the control group increased their intention to do PA ( $p<0.001$ ). The increase was greater in the intervention than in the control group for all measures, except time spent walking. Two studies showed no significant increase in activity.<sup>85,88</sup>

The ProAct65+ trial almost doubles the number of participants in such studies and shows the impact of interventions using standard scales for assessing PA for up to 2 years post randomisation. In contrast to the methodological variability of the other six studies, the ProAct65+ trial reported the method for generating the randomisation sequence, concealment of allocation, blind assessment of outcomes, an intention-to-treat analysis controlled for confounding variables and differences between treatment groups at baseline. In the six other studies, all interventions left participants to motivate and organise their own PA and the quantity of PA undertaken was not monitored, making it difficult to know whether or not the dose of the intervention affected the results. The effectiveness of the FaME arm in increasing self-reported PA may reflect the direction and encouragement provided by PSIs to participants.

## Strengths and limitations of the study

To the best of our knowledge this study is the largest general practice-based trial of exercise interventions for older people in the UK, to date, and the first to deploy PMs to augment an exercise programme.



Both exercise interventions were evidence based, but also pragmatic (i.e. feasible to use in general practice), and tailored to individual participants' capabilities.

The ProAct65+ trial largely fulfils the RE-AIM criteria for evaluation of the public health impact of health promotion studies, using five dimensions:<sup>91</sup>

1. **Reach** (the proportion of the target population reached and the characteristics of participants compared with the target population). The ProAct65+ trial recruited a large number of people aged  $\geq 65$  years, whose performance on most of the measures used fell below population norms, despite their relatively high level of PA at baseline. The trial attracted participants who would be likely to benefit from increasing their PA level.
2. **Efficacy** (how the intervention benefited the participants). Participants in the FaME arm reported increased physical activity (on two measures), had a lower risk of falls and improved balance confidence, as well as becoming more positive about the beneficial effects of exercise. These findings are consistent with the conclusions of the Cochrane review of falls prevention.<sup>5</sup>
3. **Adoption** (engagement of the settings participating in the study). Recruitment through general practice was feasible and 70% of participants remained in the study for 1 year after the intervention period.
4. **Implementation** (the extent to which the intervention was delivered as intended; including the adherence to the intervention, and the involvement of staff in the setting). Adherence to the intervention was easier to maintain in the FaME arm than in the OEP arm. As reported in *Chapter 5*, we were unable to show any difference in outcome in either intervention arm attributable to adherence.
5. **Maintenance** (long-term maintenance of behaviour change, defined as  $\geq 2$  years). We have reported findings at 12 months post intervention, our predetermined analysis point, but have collected data for up to 24 months post intervention. Significant increases in self-reported MVPA were found in the FaME arm of this study at 12 months post intervention (18 months after allocation); as *Chapter 5* shows, this increase persisted, although slightly attenuated, at 18 months post intervention (2 years post allocation). Further studies are needed to measure attenuation of effects and to test the impact of reinforcement of the intervention.

Because of the difficulties of recruiting sufficient PMs we were unable to ensure a consistent dose of peer mentoring, which means that we have not measured the true impact of the OEP intervention.

The trial was reliant on self-report of PA, which is criticised for overestimating actual levels of activity.<sup>92</sup> However, this is less of a limitation than some suggest, for several reasons.

First, associations between self-reported PA and health outcomes<sup>93</sup> are the basis of guidelines on 150 minutes of MVPA<sup>94</sup> and, therefore, self-report of PA is also an appropriate measure of change in behaviour.<sup>95</sup> Using objective measures to assess compliance with guidelines that are based on evidence from self-reported activity could give an inaccurate picture of the proportion of the population that is insufficiently active.<sup>94</sup>

Second, self-reported engagement in activities predicts both self-reported and measured functional ability 3–5 years later<sup>96</sup> and all-cause mortality in middle-aged men 21 years later.<sup>97</sup> Self-reported PA scales can have acceptable validity<sup>98</sup> and a single question can reflect a physiological measure like  $VO_{2max}$ .<sup>99</sup>

Third, it appears that social desirability may influence self-reporting of PA, but this bias may also be determined by the type of questions asked<sup>100</sup> and the characteristics of respondents. For example, misperception of activity level in one study was associated with older age, female sex, poorer walking performance, lower social support and lower self-efficacy,<sup>101</sup> while another found that the difference between self-report and objectively measured MVPA was greatest among older men with lower educational level, at higher activity and intensity levels.<sup>101</sup> In the ProAct65+ trial the treatment arms were well balanced, and factors known to be associated with reporting PA were similar across treatment arms, suggesting social desirability to report PA might be expected to be similar across treatment arms. In addition, although those who were less active at baseline were more likely to withdraw, attrition did not

vary significantly between treatment arms, again, suggesting this should not have resulted in differential reporting of PA between treatment arms.

Finally, although self-reported PA may overestimate objectively measured PA, this finding has not been consistent across studies. Some of the discrepancies between self-reported and objectively measured PA are because subjective and objective measures of PA are actually measuring different aspects of activity that are independently associated with biomarkers.<sup>102</sup> For example, PA monitors cannot accurately assess upper-body activities or account for movements that require extra effort, such as walking uphill or carrying loads.<sup>103</sup> Overall, it is not yet possible to draw definitive conclusions about the validity of self-reporting of PA compared with objective measurement,<sup>104</sup> and this is an important research topic needing further investigation.

## Lessons learned

The challenges faced during the ProAct65+ trial and solutions to these challenges are summarised in *Chapter 3*. Other research which has faced similar challenges is discussed here, and implications for future research and public health practice are suggested.

Although the trial exceeded its recruitment target, the recruitment process was more difficult and slower than anticipated. The time needed to recruit participants was underestimated and an extension in recruitment time was needed. Other trials recruiting from general practice have found similar slow and difficult recruitment, with lower than anticipated numbers recruited and required time extensions.<sup>105–107</sup> In ProAct65+, the recruitment phase was extended, more general practices were recruited and more patients at each practice were invited to participate to achieve the target numbers. We learned that it is advisable to keep recruitment as straight forward as possible and to minimise the work demanded of general practices.<sup>108</sup>

Expressions of interest were received from patients already exercising at the target level of 150 minutes of moderate activity per week, and from frequent fallers. Others have reported that exercise trials can attract the more active part of the population.<sup>109</sup> Telephone prescreening was introduced to exclude such patients before they reached the baseline assessment appointment, but further studies are needed of ways to recruit the less active population.

The use of volunteers to act as PMs proved complicated. As others have found with interventions using volunteers, recruitment can be slow and the numbers deployed may be low.<sup>110</sup> Our PMs had a case load lower than we planned and, because we also had fewer PMs than intended, some participants received little or no PM support. Other studies have also encountered these problems.<sup>111–113</sup> The lower age limit for PMs was reduced, as was the frequency of their contacts with participants, but with only limited benefit to PM recruitment. It will be important for future interventions testing peer mentoring to allow enough time and resources (human and financial) when planning recruitment and training programmes. In order to minimise the time from training a PM to deployment, and to retain interested volunteers, attention needs to be focused on speeding up the process of gaining Criminal Records Bureau checks and Research Management and Governance approvals. Strategies to optimise PM motivation and involvement need further investigation.

In addition, the number of supportive contacts between PMs and participants varied and often differed from the number of contacts advised by the research team, which may reflect the needs of the individual participants. Future projects implementing PM support should be aware of participants' needs for more or less support, which may lead to varied numbers of contacts with PMs. Overall, our experience of recruiting and retaining PMs within a trial raises questions about the feasibility of doing this in routine provision of exercise programmes in the community. Community-based exercise programmes proposing to use PMs should explore the feasibility of this prior to embarking on the programme.



Failure to ensure the fidelity of interventions is an important source of variation affecting the credibility and utility of research.<sup>114</sup> Quality assurance observation visits to classes were carried out by expert instructors, with verbal and written feedback on performance. Exercise instructors may not always achieve a balance between tailoring exercise and providing a standardised programme, and observations of intervention delivery are recommended.<sup>115</sup>

Participants can be burdened by frequent data collection, which can impact on response rates to self-completion questionnaires and falls diaries. Response biases may occur, and we found that those with lower educational attainment and those whose first language is not English were less likely to complete falls diaries.<sup>78</sup> Compromises in the frequency of data collection were made, and the frequency of the self-completion questionnaires and diaries was reduced. However, maintaining between-assessment contacts is important to reduce attrition.<sup>116</sup> Personal contact with the research team improves response rates,<sup>117</sup> as do reminders, incentives and printed educational materials.<sup>118,119</sup> Home visits to collect follow-up data are useful and can reduce attrition bias in longitudinal studies.<sup>120</sup> Alternatively, higher response rates to postal questionnaires have been found when they are sent by the general practices rather than by the research team; this may also be a method to aid retention of participants during a trial.<sup>121</sup>

The classification of safety events between sites was variable, so a method of cross-checking and standardisation was developed. Both site principal investigators reviewed and discussed discrepancies in categorisation and a new possible AR category was introduced to reduce variability. This method of cross-checking and the classifications of safety events used in ProAct65+ could be applied to future exercise or indeed any multisite trials.

The ProAct65+ trial was a large pragmatic RCT, which, despite difficulties, reached its recruitment target, making it the largest exercise trial in UK general practice to date. The research team's flexibility in being able to adapt to unexpected problems may have led to the successful implementation of the trial.<sup>106</sup> The lessons learnt during the ProAct65+ trial have been valuable and have potential implications for similar trials in general practice.

## Conclusions

Our first hypothesis, that both exercise interventions would increase self-reported PA, has been refuted in this study, as has the second, that the OEP intervention would be more cost-effective. The FaME intervention increased self-reported PA, adding almost 15 minutes per day of MVPA. This effect persisted for 12 months after cessation of classes. The cost of getting one person to achieve or exceed the target level of PA was between £1560 (Nottingham) and £1920 (London). The OEP arm participants did not show any statistically significant increase in self-reported PA 12 months post intervention. This may be as a result of the limited support from PMs experienced by many participants in the OEP arm, and needs further investigation.



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## Contributions of authors

**Steve Iliffe** conceived and designed the study, submitted it for funding, was chief investigator for the study and drafted this report.

**Denise Kendrick** conceived and designed the study, submitted it for funding, was the principal investigator for the study in Nottingham and Derby and helped draft this report.

**Richard Morris** was senior statistician for the trial, led the analyses and helped draft this report.

**Tahir Masud** conceived and designed the study, submitted it for funding, supported implementation of the study, and contributed to this report.

**Heather Gage** supported implementation of the study, led the economic analysis and contributed to this report.

**Dawn Skelton** conceived and designed the study, guided the development of the exercise interventions, and contributed to this report.

**Susie Dinan** guided the development of the exercise intervention and contributed to this report.

**Ann Bowling** supported implementation of the study, led on quality-of-life measurement and contributed to this report.

**Mark Griffin** was trial statistician, supported implementation of the study and contributed to this report.

**Deborah Haworth** was trial manager, drove implementation of the trial at both sites and contributed to this report.

**Glen Swanwick** was PPI representative on the management board, supported implementation of the study and contributed to this report.

**Hannah Carpenter** co-ordinated the Nottingham research team, supported implementation of the study and contributed to this report.

**Arun Kumar** supported implementation of the study, led the analysis of fear of falling and contributed to this report.

**Zoe Stevens** was the trial administrator, supported implementation of the study and contributed to this report.

**Sheena Gawler** was research associate in the London research team, supported implementation of the study and contributed to this report.

**Cate Barlow** was research associate in the London research team, supported implementation of the study and contributed to this report.

**Juliette Cook** was research associate in the Nottingham research team, supported implementation of the study and contributed to this report.

**Carolyn Belcher** was research associate in the Nottingham research team, supported implementation of the study and contributed to this report.

## Publications

Perry L, Kendrick D, Morris R, Dinan S, Masud T, Skelton D, *et al.* Completion and return of fall diaries varies with participants' level of education, first language, and baseline fall risk. *J Gerontol A Biol Sci Med Sci* 2012;**67**:210–14. doi: 10.1093/gerona/qlr175

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Kumar A, Carpenter H, Morris R, Iliffe S, Kendrick D. Which factors are associated with fear of falling in community dwelling older people? *Age Ageing* 2014;**43**:76–84. doi: 10.1093/ageing/aft154

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# Appendix 1 ProAct65+ adverse event report form (from Chapter 2)

Participant ID: \_\_\_\_\_ Allocation Group: FaME / OEP / Control

Place of incident: \_\_\_\_\_ Person taking report: \_\_\_\_\_

Date of Incident: \_\_\_\_\_ How reported: diary / we rang / GP / patient rang / PSI / PM / FU appt / other

<b>Fall</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Injury Details</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Medical care sought?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No	<u>Details</u>
If hospitalised, include length of stay			
<b>Details of the event</b>			
<b>Action taken</b>			
<b>Witness comments (if applicable)</b>			
<b>Outcome</b>			
<b>PSI, Peer mentor or Research staff</b>  <b>Name:</b> ..... <b>Signature:</b> ..... <b>Date:</b> .....		<b>Witness</b>  <b>Name:</b> ..... <b>Signature:</b> ..... <b>Date:</b> .....	

London Research Site Contacts	
<b>Chief Investigator; Dr Steve Iliffe:</b> <b>0207 830 2393</b>	<b>Trial Manager; Ms Deborah Haworth:</b> <b>020 7794 0500 ext 36721</b>

<b>Is this AE (circle)</b>	Not related	Improbably related	Possibly related	Probably related	Definitely related
<b>Category (circle)</b>	Adverse Event	Possible AR	Adverse Reaction	Serious adverse event (fill also SAE form)	



## Appendix 2 Primary outcome – modelling physical activity (from Chapter 5)

**TABLE 38** Means CHAMPS minutes moderate and Phone-FITT total score at baseline for: those with a Phone-FITT and CHAMPS recorded at 12 months post intervention; those with a Phone-FITT but no CHAMPS recorded at 12 months post intervention; and, those with neither a Phone-FITT nor a CHAMPS recorded at 12 months post intervention

	Baseline CHAMPS			Baseline Phone-FITT		
	Usual care	FaME	OEP	Usual care	FaME	OEP
<b>Those <u>with</u> a Phone-FITT <u>and</u> CHAMPS recorded at 12 months post intervention</b>						
Mean	216.43	182.06	220.24	38.99	39.60	42.87
SD	241.25	222.54	252.27	11.31	13.19	12.99
Number	168	153	164	167	150	160
<b>Those <u>with</u> a Phone-FITT <u>but no</u> CHAMPS recorded at 12 months post intervention</b>						
Mean	174.42	186.75	170.16	38.54	34.80	41.52
SD	342.85	252.53	198.24	18.01	9.93	14.24
Number	43	40	61	42	43	66
<b>Those with <u>neither</u> a Phone-FITT <u>nor</u> a CHAMPS recorded at 12 months post intervention</b>						
Mean	130.61	152.67	149.76	33.67	35.62	39.01
SD	197.02	255.98	210.87	13.46	15.23	12.72
Number	147	118	123	129	94	116

**TABLE 39** Means  $\log_e(\text{CHAMPS minutes moderate} + 1)$  at baseline for: those with a Phone-FITT and CHAMPS recorded at 12 months post intervention; those with a Phone-FITT but no CHAMPS recorded at 12 months post intervention; and, those with neither a Phone-FITT nor a CHAMPS recorded at 12 months post intervention

	Log-baseline CHAMPS		
	Usual care	FaME	OEP
<b>Those <u>with</u> a Phone-FITT <u>and</u> CHAMPS recorded at 12 months post intervention</b>			
Mean	4.254	3.915	4.309
SD	2.166	2.310	2.089
Number	168	153	164
<b>Those <u>with</u> a Phone-FITT <u>but no</u> CHAMPS recorded at 12 months post intervention</b>			
Mean	3.302	3.490	4.099
SD	2.496	2.595	2.030
Number	43	40	61
<b>Those with <u>neither</u> a Phone-FITT <u>nor</u> a CHAMPS recorded at 12 months post intervention</b>			
Mean	2.910	3.241	3.317
SD	2.554	2.508	2.514
Number	147	118	123

TABLE 40 Comparison of CHAMPS score between adherers and non-adherers to the FaME intervention

FaME									
Adherence	CHAMPS minutes of moderate or greater intensity activity (per week) 12 months post intervention				Log-CHAMPS minutes of moderate or greater intensity activity (per week) 12 months post intervention			Multilevel modelling log-CHAMPS (effect of adherent vs. not)	
<b>≥ 75% of total expected activity (1×60 minutes' class exercise plus 2×30 minutes' home exercise per week for 24 weeks=total 2880 minutes). Adherent if ≥2160 minutes' total exercise. (Assumes no diary data=0 minutes)</b>									
	Number (%)	Mean	SD	n	Mean	SD	n	Estimate	0.109
No	321 (82.95)	199.85	207.68	136	4.219	2.109	136	95% CI	-0.394 to 0.613
Yes	66 (17.05)	266.58	279.95	57	4.605	2.004	57	p-value	0.670
								Number	184
<b>≥ 75% of total expected activity (1×60 minutes' class exercise plus 2×30 minutes' home exercise per week for 24 weeks=total 2880 minutes). Adherent if ≥2160 minutes' total exercise. (Only if all six diaries completed)</b>									
	Number (%)	Mean	SD	n	Mean	SD	n	Estimate	0.017
No	130 (69.15)	217.80	205.29	100	4.527	1.896	100	95% CI	-0.492 to 0.525
Yes	58 (30.85)	270.87	289.62	52	4.620	1.978	52	p-value	0.949
								Number	145

TABLE 41 Comparison of CHAMPS score between adherers and non-adherers to the OEP intervention

OEP									
Adherence	CHAMPS minutes of moderate or greater intensity activity (per week) 12 months post intervention				Log-CHAMPS minutes of moderate or greater intensity activity (per week) 12 months post intervention			Multilevel modelling log-CHAMPS (effect of adherent vs. not)	
<b>≥ 75% of total expected activity (3×30 minutes' home exercise per week for 24 weeks=total 2160 minutes). Adherent if ≥ 1620 minutes' total exercise. (Assumes no diary data=0 minutes)</b>									
	Number (%)	Mean	SD	n	Mean	SD	n	Estimate	-0.192
No	307 (74.88)	231.29	371.24	105	4.010	2.366	105	95% CI	-0.801 to 0.417
Yes	103 (25.12)	223.69	296.75	80	4.294	2.053	80	p-value	0.537
								Number	178
<b>≥ 75% of total expected activity (3×30 minutes' home exercise per week for 24 weeks=total 2160 minutes). Adherent if ≥ 1620 minutes' total exercise. (Only if all six diaries completed)</b>									
	Number (%)	Mean	SD	n	Mean	SD	n	Estimate	-0.271
No	108 (54.00)	235.25	390.78	79	4.117	2.279	79	95% CI	-0.935 to 0.393
Yes	92 (46.00)	217.30	296.22	74	4.219	2.096	74	p-value	0.423
								Number	148
<b>PM allocated. (N.B. no information about PM allocation for n=44)</b>									
	Number (%)	Mean	SD	n	Mean	SD	n	Estimate	0.364
No	222 (60.66)	246.77	392.06	113	4.175	2.228	113	95% CI	-0.388 to 1.116
Yes	144 (39.34)	201.43	239.11	70	4.059	2.288	70	p-value	0.343
								Number	176



## Appendix 3 Adverse reactions (from Chapter 5)

**D**escription of all ARs (i.e. events related to the trial) occurring during the ProAct65+ trial.

### Falls Management Exercise programme adverse reactions

'High knee standing' exercises made patient's lower-back ache.

Aching pain in calf.

Cold pain in stomach and bad taste in mouth, started after first FaME class. Patient said she would see her GP.

Patient called to say the FaME exercises 'upset his metabolism'.

Patient feels soreness in muscles and joints.

Patient fell in FaME class, no injuries, potentially as a result of adjusting to new glasses.

Potentially pulled back muscle after doing sit down weights at gym.

Pulled calf muscle while doing our home exercise session ex 3. Sore for 2 days but did not affect normal activities.

Was out power walking and pulled a muscle; did not seek medical attention; rested and massaged leg.

Fell when in FaME class, taken to A&E, let go and in evening said was OK.

Pulled muscle.

Plantar fasciitis started about a year ago and has been getting worse, (first had it 20 years ago), got worse when started doing more walking to improve health. Recently visited podiatrist/foot surgeon (private) for a cortisone injection into left heel.

Pulled muscle.

Knee pains so didn't attend classes.

### Otago Exercise Programme adverse reactions

History of intermittent knee pains past 2 years assumed osteoarthritis – no medical diagnosis. November 2011, aches in knees. Stopped leg weights exercise. Started again January 2012 and again experienced pain in knees. Still does other exercise tai chi and keep-fit class.

Began to have more back pain from approximately March 2011. Doing our home exercise made it worse. Saw podiatrist then GP who diagnosed Sciatica. Given painkillers. Pain has been on and off for several years.

Burst blood vessel in leg after using Otago weights.

Hurt left wrist/fingertips after using Otago weights to strengthen arms. Ointment prescribed, wrists/fingertips recovered.

Pain in small of back after Otago training.

Plantar fasciitis – started after Otago exercises.

Potentially pulled ligament in right knee.

Patient reported in diary that they had slight hip problem; during follow-up telephone call patient stated that 'walking backwards during home exercises' had aggravated hip; OK now stopped exercises.

Patient withdrew from study exercises because of pains in right hip exacerbated by exercise; has sciatic pain – intermittent and ongoing. Also commented in OEP evaluation forms of general health decline and hip and sciatica problems.

Suspected pulled muscle in right foot after Otago training.

Swelling of varicose vein on right leg after using leg weights. Also commented on OEP evaluation forms.

While walking a lot on holiday patient hurt back, patient puts it down to a disc problem in the past and will rest it, will not see the doctor as it is a reoccurrence and just needs rest.

Decided to cycle not walk for exercise. Went up hill and got a hernia. About to go in for operation.

Keyhole surgery on knee.

Left knee/groin pain after doing ankle exercises with weights.

Pain in leg from exercises.

Pulled knee ligaments when doing exercises with weight. Right knee, recently replaced. Saw GP about it. No long-term damage. Is continuing without weights.

Pulled muscle in back.

Pulled muscle in leg after exercising.

Bad knees for years. Advised to see GP.

Hip pain diagnosed with arthritis, patient thinks caused by weights exercise.

Left knee pain after exercises with 2-kg weights on.

Pain behind knee – better now after stopping exercising with weights.

Pain when walking, thinks as a result of OEP training and her existing osteoarthritis.

Sciatic worsened after OEP training.

Sciatica worsened.

**Usual-care adverse reactions**

Pain in shoulder while using 'shake weight'.

Worsened pain in knees and lower back. X-ray came back fine; patient said her knees and back were starting to feel better.



## Appendix 4 Secondary outcomes (from *Chapter 6*)

TABLE 42 Distribution of secondary outcome measures of PA by time and intervention arm

Measure	Follow-up						Post intervention								
	Baseline		6 months post intervention		12 months post intervention		18 months post intervention		18 months post intervention		18 months post intervention				
	<b>Randomisation group</b>		<b>Randomisation group</b>		<b>Randomisation group</b>		<b>Randomisation group</b>		<b>Randomisation group</b>		<b>Randomisation group</b>				
	Usual care	FaME	Usual care	FaME	Usual care	FaME	Usual care	FaME	Usual care	FaME	Usual care	FaME			
<b>CHAMPS total calories per week</b>															
Mean	2222.27	2129.06	2314.04	2662.37	2704.59	2450.67	2444.28	2459.29	2534.42	2573.63	2660.90	2787.53	2374.87	2639.04	2739.06
Median	1713.60	1690.56	1782.20	1897.68	2191.54	2029.00	1950.12	1919.41	1890.24	1829.36	2079.80	2004.08	1811.25	1979.79	2077.62
SD	2180.93	2009.50	2009.83	2620.97	2212.64	1891.44	2149.83	2180.54	2154.03	2158.80	2247.96	2771.58	2016.37	2460.90	2382.97
n	391	339	354	261	224	220	240	195	192	221	192	184	219	180	178
<b>PASE total score</b>															
Mean	119.19	109.11	119.85	130.12	128.08	124.82	124.70	120.39	125.36	122.52	124.18	126.75	119.92	118.46	125.81
SD	60.42	52.21	50.60	53.12	51.15	51.42	56.03	59.88	54.13	51.81	53.34	61.29	52.06	52.17	60.18
n	400	342	362	264	224	224	242	195	194	222	193	185	221	181	179
<b>Phone-FITT total score</b>															
Mean	36.80	37.68	41.18	46.56	48.32	48.39	47.87	47.93	49.01	47.71	49.52	49.38	47.87	48.59	47.95
SD	13.65	13.67	13.11	16.52	14.53	14.92	15.93	15.18	16.36	17.41	15.95	16.50	17.58	14.99	18.18
n	377	316	354	255	214	259	260	218	245	225	208	237	238	202	221

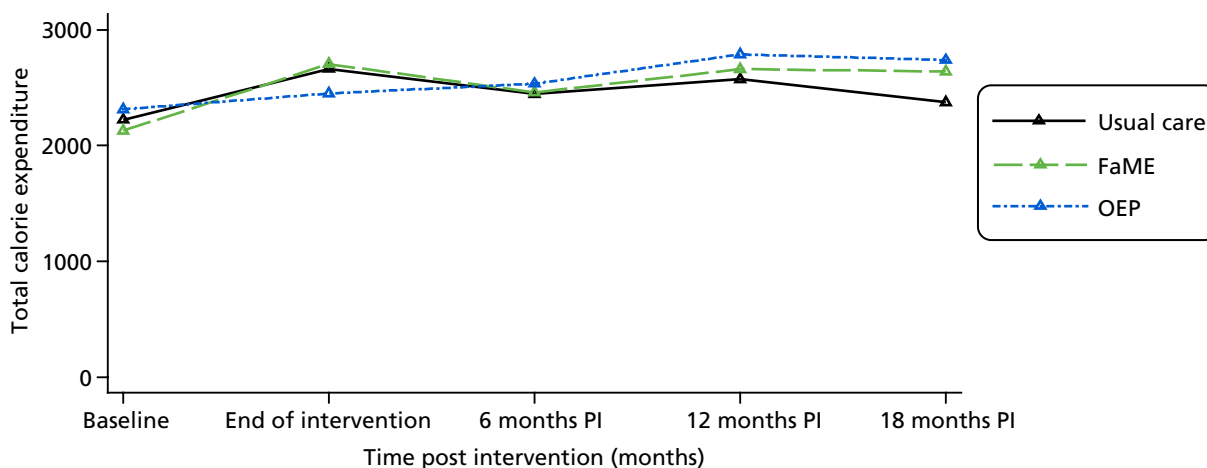


FIGURE 12 Line graph to show means of total calorie expenditure by time and intervention arm.

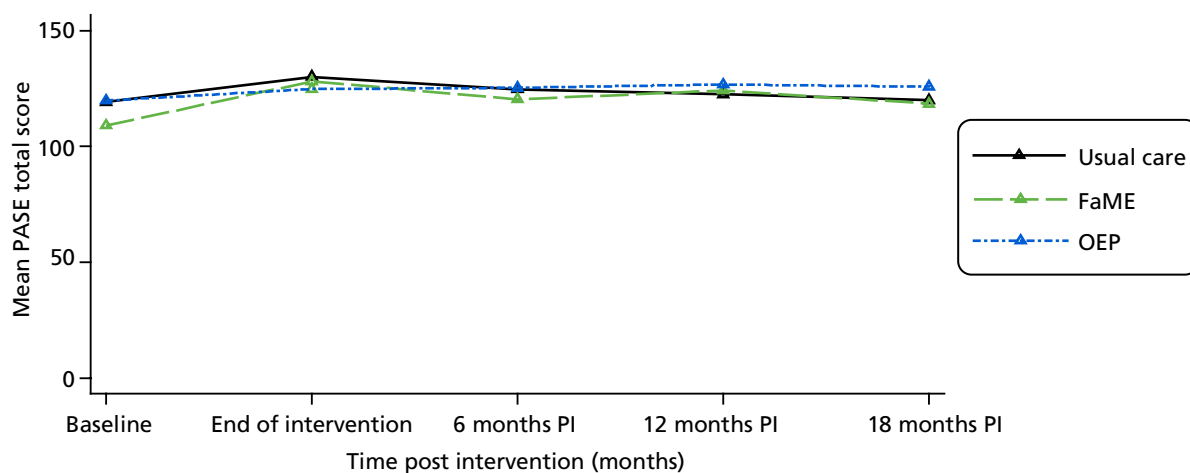


FIGURE 13 Line graph to show means of PASE score by time and intervention arm.

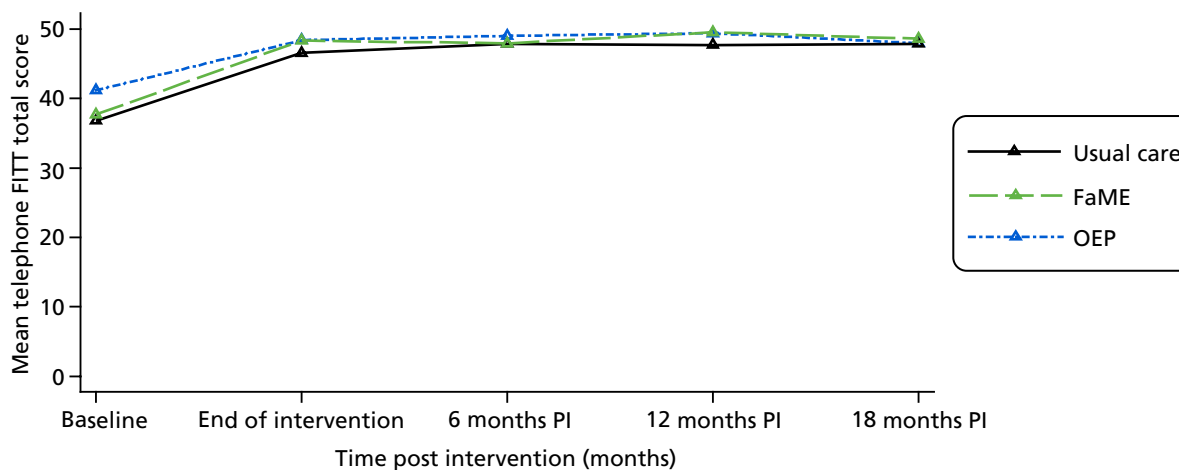


FIGURE 14 Line graph to show means of Phone-FITT score by time and intervention arm.

**TABLE 43** Distribution of secondary outcome measures of fear of falling (FES-I) by time and intervention arm

Measure	Follow-up														
	Baseline			0 months			6 months			12 months			18 months		
	<b>Randomisation group</b>			<b>Randomisation group</b>			<b>Randomisation group</b>			<b>Randomisation group</b>			<b>Randomisation group</b>		
	Usual care	FaME	OEP	Usual care	FaME	OEP	Usual care	FaME	OEP	Usual care	FaME	OEP	Usual care	FaME	OEP
<b>FES-I total</b>															
Mean	9.36	8.99	8.89	8.71	8.59	8.77	9.06	8.85	8.83	8.94	9.20	9.09	9.01	8.76	8.97
Median	8.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00	7.00
SD	4.08	3.56	3.49	3.47	3.39	3.98	3.91	3.87	4.11	3.66	4.56	4.19	3.67	3.48	3.75
<i>n</i>	396	333	359	258	218	221	238	192	190	220	188	185	217	177	178
Number ≥11	82	66	61	43	29	29	38	31	26	37	31	33	43	31	34
Per cent ≥11	20.71	19.82	16.99	16.67	13.30	13.12	15.97	16.15	13.68	16.82	16.49	17.84	19.82	17.51	19.10



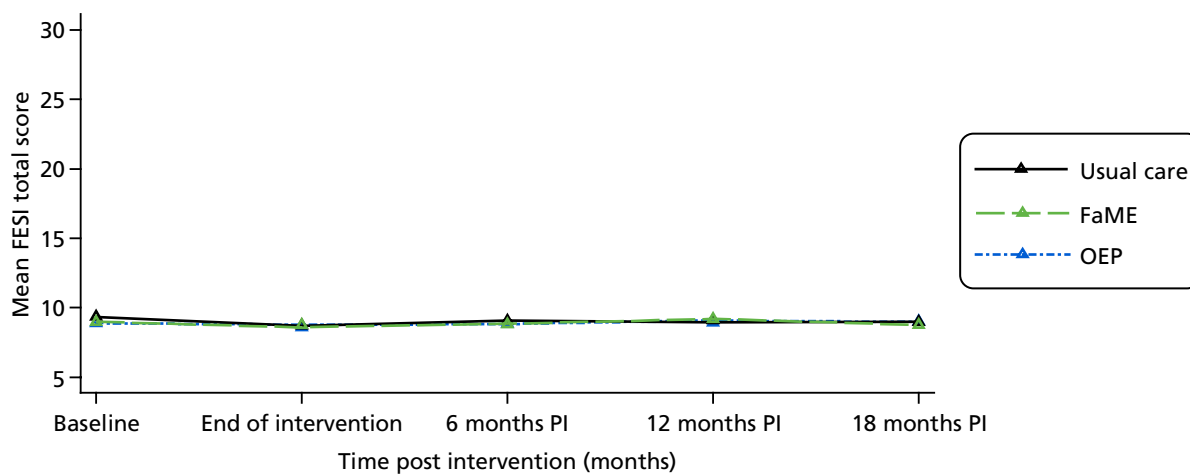
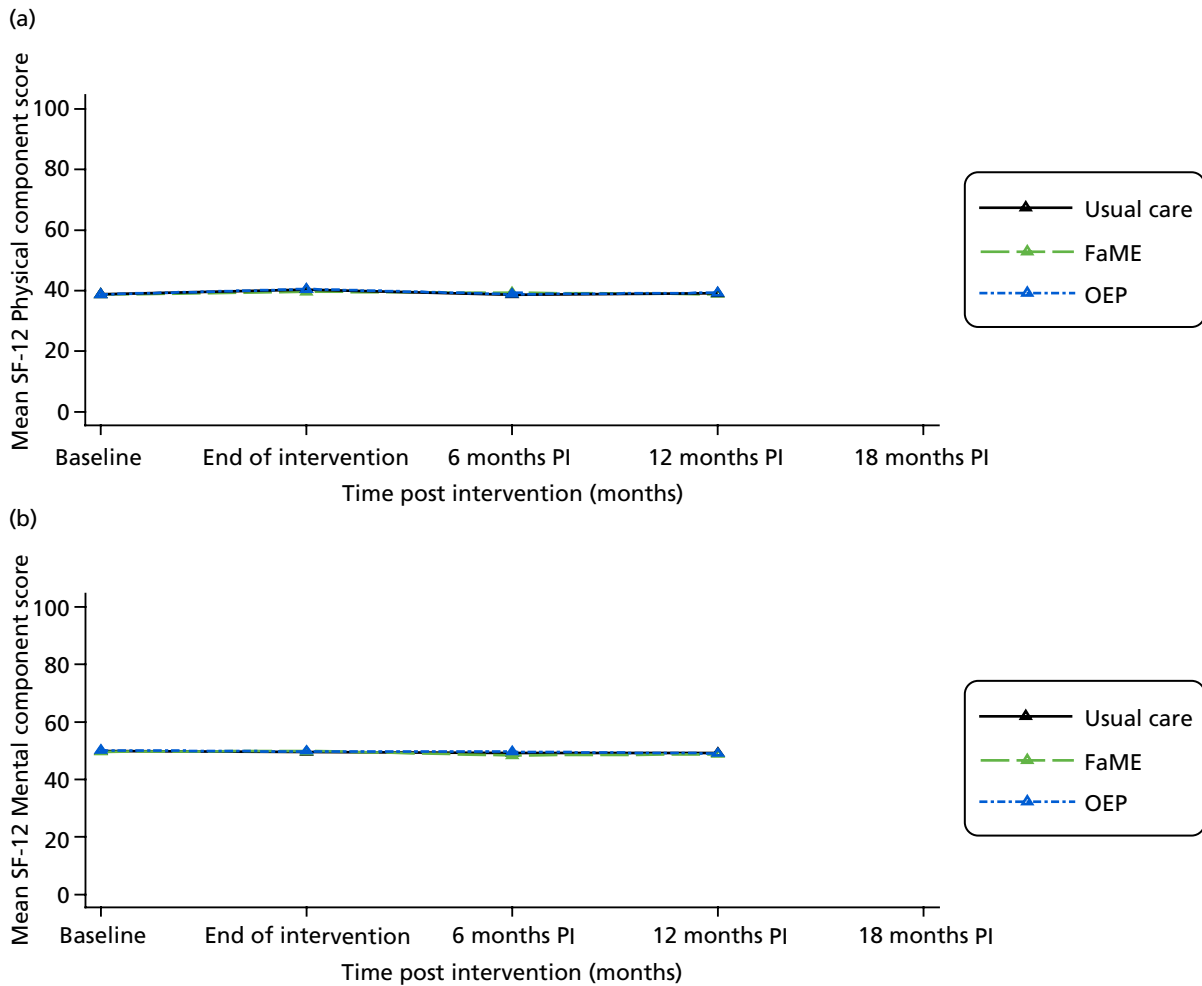


FIGURE 15 Line graph to show means of FES-I score by time and intervention arm.

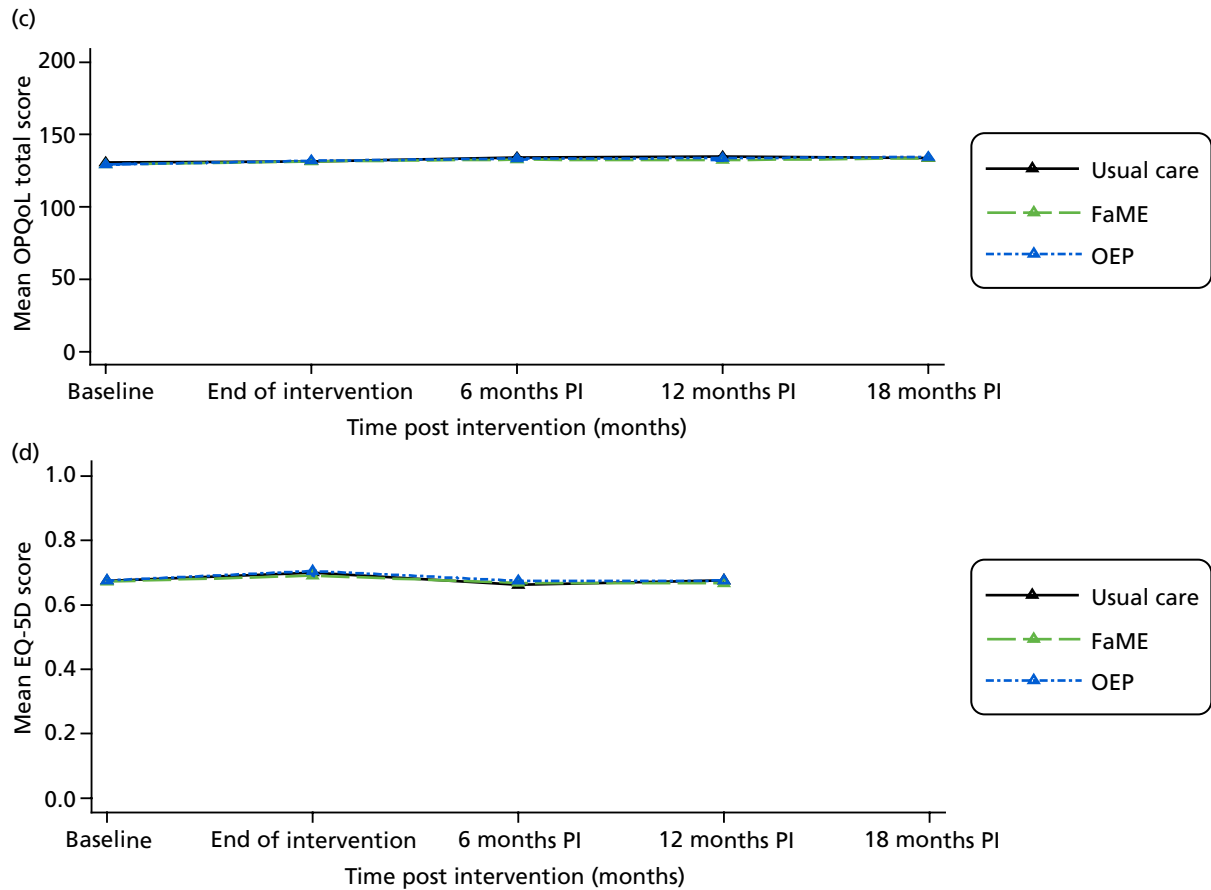
TABLE 44 Distribution of measures of quality of life by time and intervention arm

Measure	Follow-up			0 months			6 months			12 months			18 months		
	Baseline	Randomisation group		Randomisation group		Randomisation group		Randomisation group		Randomisation group		Randomisation group		Randomisation group	
		FaME	OEP	Usual care	FaME	OEP	Usual care	FaME	OEP	Usual care	FaME	OEP	Usual care	FaME	OEP
<b>SF-12 physical health component score</b>															
Mean	38.73	38.74	38.78	40.37	39.64	40.52	38.68	39.30	38.83	39.11	38.85	39.30	N/A	N/A	N/A
SD	5.50	5.65	5.64	5.02	4.75	4.64	4.88	5.01	4.79	5.00	4.92	4.73	N/A	N/A	N/A
n	454	386	407	298	255	261	234	184	187	217	186	183	0	0	0
<b>SF-12 mental health component score</b>															
Mean	49.88	49.60	50.15	49.50	49.91	49.66	49.20	48.34	49.62	49.16	48.74	49.05	N/A	N/A	N/A
SD	6.09	6.02	5.86	5.19	5.86	4.87	5.64	6.47	5.24	5.60	5.81	5.11	N/A	N/A	N/A
n	454	387	407	298	255	261	234	184	187	217	186	183	0	0	0
<b>OPQoL total score</b>															
Mean	130.75	129.36	129.36	131.36	131.48	131.89	134.21	132.68	133.45	134.80	132.31	133.72	133.75	133.60	134.53
SD	13.53	13.54	12.69	16.09	14.56	13.39	14.51	15.40	13.65	14.82	15.98	14.95	14.99	14.74	14.07
n	342	273	312	237	190	199	206	158	156	185	169	156	183	152	154
<b>EQ-5D</b>															
Mean	0.67	0.67	0.68	0.70	0.69	0.70	0.66	0.67	0.67	0.68	0.67	0.68	N/A	N/A	N/A
SD	0.08	0.09	0.09	0.07	0.08	0.07	0.08	0.08	0.07	0.07	0.07	0.07	N/A	N/A	N/A
n	450	380	399	296	255	258	225	178	184	212	179	176	0	0	0

N/A, not applicable.



**FIGURE 16** Line graph to show means of quality-of-life measures by time and intervention arm. (a) Mean SF-12 physical component score by time and group; (b) mean SF-12 mental component score by time and group; (c) mean OPQoL total score by time and group; and, (d) mean EQ-5D score by time and group. (*continued*)



**FIGURE 16** Line graph to show means of quality-of-life measures by time and intervention arm. (a) Mean SF-12 physical component score by time and group; (b) mean SF-12 mental component score by time and group; (c) mean OPQoL total score by time and group; and, (d) mean EQ-5D score by time and group.

TABLE 45 Other self-efficacy outcome measures

Measure	Follow-up		0 months		6 months		12 months		18 months				
	Baseline		Usual care	Randomisation group	Usual care	Randomisation group	Usual care	Randomisation group	Usual care	Randomisation group			
<b>ConfBal total score</b>													
Mean	12.55	12.63	12.48	12.08	12.17	11.81	12.25	12.09	12.07	12.38	12.47	12.11	12.28
Median	11.00	10.00	11.00	10.00	10.00	10.00	10.00	10.00	10.00	10.00	11.00	10.00	11.00
SD	3.93	3.98	3.76	3.33	3.86	3.37	3.77	3.79	3.33	4.05	3.87	3.75	3.44
<i>n</i>	389	330	353	262	215	217	233	183	186	218	217	175	174
Number=10	188	169	172	144	127	122	125	103	95	114	104	97	85
Per cent=10	48.33	51.21	48.73	54.96	59.07	56.22	53.65	56.28	51.08	52.29	47.93	55.43	48.85
<b>MSPSS total</b>													
Mean	65.81	65.93	66.60	67.95	65.78	65.43	65.78	63.79	65.64	67.23	66.15	65.57	65.55
Median	71.00	69.00	70.50	72.00	69.00	69.00	71.00	67.00	69.00	71.00	72.00	69.00	69.00
SD	17.96	15.57	15.49	15.68	15.05	16.97	18.21	17.37	16.74	16.54	18.04	15.68	17.58
<i>n</i>	375	305	330	243	202	210	224	175	181	209	211	173	166
Number=84	73	44	43	49	24	30	39	17	28	43	38	21	32
Per cent=84	19.47	14.43	13.03	20.16	11.88	14.29	17.41	9.71	15.47	20.57	18.01	12.14	19.28
<b>LSNS total</b>													
Mean	15.93	16.47	15.44	16.23	15.91	15.84	16.98	15.91	16.04	16.41	16.76	16.18	15.61
SD	5.70	5.76	5.48	5.58	5.69	5.21	5.53	5.78	5.11	5.79	5.18	5.53	5.12
<i>n</i>	392	330	351	257	213	218	230	180	188	210	214	174	174
Number ≤11	84	67	88	55	46	46	36	40	39	43	37	35	37
Per cent ≤11	21.43	20.30	25.07	21.40	21.60	21.10	15.65	22.22	20.74	20.48	17.29	20.11	21.26

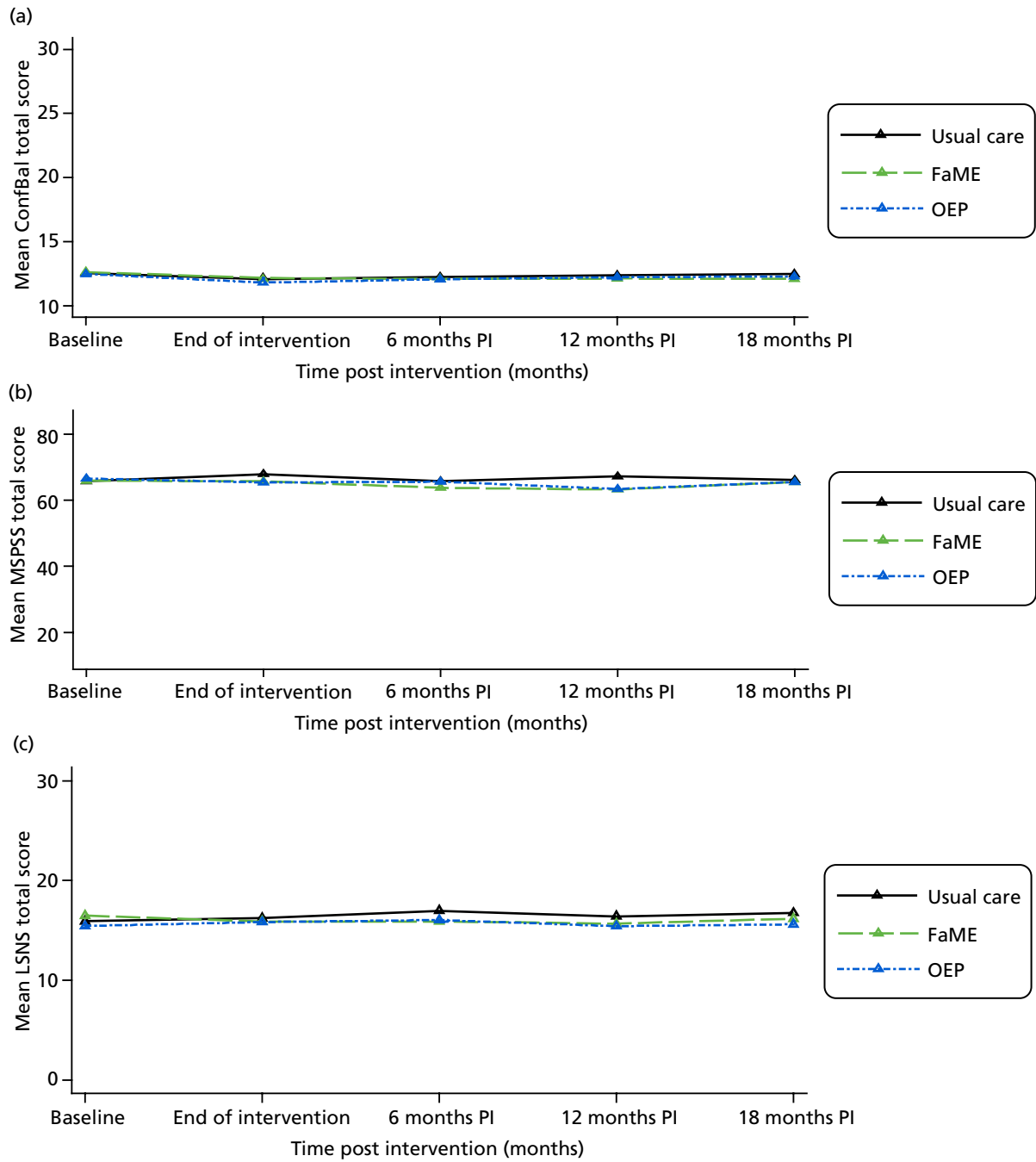


FIGURE 17 Line graphs of other measures. (a) Mean ConfBal total score by time and group; (b) mean MSPSS total score by time and group; and, (c) mean LSNS total score by time and group.

**TABLE 46** Distribution of measures taken only at baseline and post intervention by time and intervention arm

Measure	Baseline			0 months		
	Randomisation group			Randomisation group		
	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>	<i>Usual care</i>	<i>FaME</i>	<i>OEP</i>
<b>FRAT total score</b>						
Mean	1.03	0.89	0.98	0.99	0.93	1.00
SD	0.96	0.90	0.90	0.90	0.94	0.95
<i>n</i>	453	383	402	299	253	263
Number $\geq 1$	298	232	264	196	157	170
Per cent $\geq 1$	65.78	60.57	65.67	65.55	62.06	64.64
<b>OEE positive</b>						
Mean	3.84	3.85	3.85	3.85	4.02	3.93
SD	0.58	0.62	0.60	0.64	0.55	0.65
<i>n</i>	372	309	349	252	206	211
<b>OEE negative</b>						
Mean	3.85	3.96	3.90	3.96	4.19	4.20
SD	0.81	0.75	0.85	0.87	0.75	0.71
<i>n</i>	367	320	339	248	204	203
<b>Functional reach (cm)</b>						
Mean	24.68	25.60	25.57	27.13	26.99	26.84
SD	7.43	6.98	7.43	6.82	7.28	7.64
<i>n</i>	438	371	402	293	249	232
<b>Sits to stands (total)</b>						
Mean	10.49	10.48	10.26	11.86	11.62	11.40
SD	3.31	3.64	2.81	3.57	3.77	3.35
<i>n</i>	449	377	400	285	252	245
<b>TUG (seconds)</b>						
Mean	11.11	10.95	11.18	10.24	9.94	10.09
Median	9.88	9.63	9.84	9.30	9.00	9.30
SD	4.61	4.94	7.84	4.02	3.75	3.97
<i>n</i>	438	337	376	273	203	203
<b>Log-TUG (seconds)</b>						
Mean	2.35	2.33	2.33	2.28	2.25	2.27
SD	0.32	0.34	0.34	0.27	0.30	0.27
<i>n</i>	438	337	376	273	203	203







A decorative graphic consisting of numerous thin, parallel green lines that curve from the left side of the page towards the right, creating a sense of movement and depth.

**EME  
HS&DR  
HTA  
PGfAR  
PHR**

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