

1 Computational modelling of multi-folded balloon
2 delivery systems for coronary artery stenting: Insights
3 into patient-specific stent malapposition

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Abstract

Despite the clinical effectiveness of coronary artery stenting, percutaneous coronary intervention (PCI) or “stenting” is not free of complications. Stent malapposition (SM) is a common feature of “stenting” particularly in challenging anatomy, such as that characterized by long, tortuous and bifurcated segments. SM is an important risk factor for stent thrombosis (ST) and recently it has been associated with longitudinal stent deformation. SM is the result of many factors including reference diameter, vessel tapering, the deployment pressure and the eccentric anatomy of the vessel. For the purpose of the present paper, virtual multi-folded balloon models have been developed for simulated deployment in both constant and varying diameter vessels under uniform pressure. The virtual balloons have been compared to available compliance charts to ensure realistic inflation response at nominal pressures. Thereafter, patient-specific simulations of stenting have been conducted aiming to reduce SM. Different scalar indicators, which allow a more global quantitative judgement of the mechanical performance of each delivery system, have been implemented. The results indicate that at constant pressure, the proposed balloon models can increase the minimum stent lumen area and thereby significantly decrease SM.

Keywords: Coronary stents, Balloon delivery systems, patient-specific model, stent malapposition, Finite Element Analysis

Abbreviations

PCI Percutaneous coronary intervention

SM Stent Malapposition

ST Stent thrombosis

DES Drug eluting stent

FEA Finite element analysis

38 **RCA** Right coronary artery

39 **LAD** Left anterior descending

40 **Cx** Circumflex

41 **LM** Left main

42 **TAC** Total average curvature

43 **TAT** Total average torsion

44 **VAS** Volume average stress

45 **AASM** Area average stent malapposition

46 **MLA** Minimum lumen area

47 **VG** Volume gain

48

49 **1 Introduction**

50 One of the commonly occurring procedural factors that contributes to complications of percu-
51 taneous coronary intervention (PCI) is stent malapposition (SM), defined as the lack of contact
52 between stent struts and the underlying vessel wall. Malapposed struts are associated with
53 delayed neointimal healing, incomplete endothelialisation¹⁵ and higher levels of thrombus de-
54 position³⁶, especially after drug eluting stent (DES) implantation. The exact mechanisms by
55 which SM contribute to stent thrombosis (ST) remain unclear⁴³. However, it is speculated that
56 stent material exposed in the lumen may trigger fibrin and platelet deposition⁴⁴. More recently,
57 SM has been identified as the key procedural cause of longitudinal stent deformation¹⁶.

58 To reach the current stage of iterative stent development, different engineering tools have
59 been used to investigate the mechanical stented environment. Among these techniques, com-
60 putational modelling now provides very reliable and accurate information for PCI. In “virtual”

61 studies, qualitative and quantitative information can be easily accessed in full model dimen-
62 sionality that would be hardly detectable in *in vitro* and *in vivo* studies. Recently, novel stent
63 designs and stenting techniques (especially for bifurcated vessels) have been investigated and
64 proposed through very elegant virtual bench testing studies^{9,31,35}.

65 Finite element analysis (FEA) is one of the dominant tools for numerical studies, shedding
66 light on the structural response of the arterial walls during/after stent implantation. To date,
67 several FEA studies have been conducted providing scientific evidence for PCI procedures²⁹.
68 Although the majority of FEA studies have focused on the stent platform, there has been a
69 paucity of research on the design of the delivery system. The modelling of balloon expansion
70 has always been a challenging task due to its complex shape configuration and the compli-
71 cated contact interaction with the stent. The first analytical balloon model was presented by
72 Laroche et al.²² in which the balloon was numerically folded by mapping its nodes in the un-
73 folded configuration to a folded configuration. This methodology was successfully adopted by
74 Mortier and co-workers to simulate patient-specific coronary bifurcation stenting³³. In Gervaso
75 et al.¹², a three folded balloon was modelled for free stent expansion. The balloon was folded
76 by running a pre-expansion simulation, in which it was deflated by a negative pressure and,
77 after assigning specific boundary condition, the balloon configured by three folds. At the same
78 time, the work by De Beule et al.⁶ introduced a more sophisticated way of generating virtual
79 folded balloons. Based on micro-CT scans of a three folded balloon, an idealised virtual model
80 was designed. However, as the folded models did not have tapered ends boundary conditions
81 were implemented to the balloon ends to the catheter shaft during inflation. More recently, Za-
82 hedmanesh et al.⁴⁷ presented a dual step numerical methodology to fold the virtual balloon to
83 the catheter shaft by deflating a balloon to three unfolded wings and then wrapping the wings
84 around the catheter shaft. However, this approach has some limitations. The numerical folding
85 can be carried out easily only for non-curved and planar expansions and it is computationally
86 expensive. Additionally, the idealised models lack realistic characteristics which may affect
87 the final result. As for the material model to describe the inflation behaviour of a balloon,
88 different models have been used in the literature during the last decade. In particular, a two
89 parameter Mooney-Rivlin model was used by Chua et al.³. Liang et al.²⁴ adopted a hypere-

90 lastic model to describe the transient expansion of the balloon. Laroche et al.²² implemented
91 an Ogden hyperelastic model. Later, Kioussis et al.²¹ used a cylindrically orthotropic constitu-
92 tive model to simulate balloon-stent expansions. In De Beule et al.⁶, a method for mimicking
93 the actual compliance of a specific size balloon was introduced. The constitutive model was
94 assumed to be linear isotropic and the Young's modulus is extracted by the actual compliance
95 charts provided by the manufacturers. This method has been implemented broadly during re-
96 cent years in many state-of-the-art numerical studies^{4,9,13,14,27,32–35,38}. Interestingly, there are
97 also many other studies using isotropic, linear-elastic models to characterise the balloon infla-
98 tion^{11,12,25,30,31,47}. Although the actual inflation of an angioplasty balloon is characterised by
99 anisotropic and hyperelastic behaviour, a linear isotropic model can adequately approximate
100 the response of the balloon especially for nominal pressures. Beyond nominal pressures, and
101 depending on balloon material and geometric characteristics, the balloon stiffening is more
102 rapid and demonstrates a higher non-linear behaviour. Thus, to simulate the full transient re-
103 sponse of an angioplasty balloon under a large range of pressures, a linear elastic model cannot
104 be representative.

105 In this article, multi-folded balloon models have been developed to mitigate the risk of
106 SM. All virtual balloon models have been calibrated and compared to manufacturer compli-
107 ance charts to mimic actual compliance behaviour especially at target diameters. The virtual
108 delivery systems are then applied to patient-specific simulations of stent deployment. For the
109 purposes of this work, two patient specific models were constructed based on actual clinical
110 cases. In particular, the major challenge in these cases was to cover dissections running from
111 larger diameters into smaller diameters with only a single procedural approach so as to avoid
112 prolonged and technically challenging procedures that may result in further complications. The
113 main objective of this study was to compare different balloon delivery systems particularly with
114 respect to increasing the minimum lumen area and complete strut apposition on the vessel walls
115 under uniform balloon pressure. The authors believe that the investigated clinical problem of
116 SM is highly correlated with the balloon mechanical performance. Specifically, it is dependent
117 on the diameter and the pressure of the balloon.

118 2 Materials and methods

119 2.1 Geometry meshes and constitutive models

120 2.1.1 Multi-folded balloon models

121 **Geometry** The virtual balloon generation was based on a trigonometric function which con-
122 trols different geometric characteristics of the dilation catheters. All the balloon models were
123 generated in Rhinoceros 5.0, (Robert McNeel & Associates, USA), a commercially available
124 NURBS package. Thus, the models are designed as NURBS surfaces and they are automated
125 using python scripts. A more detailed description of the CAD generation can be found in S1.

126 **Constitutive model** The semi-compliant, high-pressure balloon material is assumed to be
127 manufactured from polyethylene terephthalate which has high tensile strength and the ability
128 to be moulded into ultra thin walls (5 to 50 μm) and very precise shapes. Thus, due to its
129 thin walls, this material can provide extremely low profile balloons⁴² enabling balloon catheter
130 accessibility to very small coronary vessels. The balloon's elastic modulus is derived from
131 compliance data as described by De Beule⁵. The balloon material is assumed linear elastic,
132 isotropic and homogeneous and is in a state of plane stress. Since the relationship between the
133 pressure and the diameter is not linear (i.e balloon inflation in large diameters, the presence of
134 a stent and friction during the transient unfolding of the balloon), different values of the initial
135 diameter D_{b0} were tested to obtain the best fit (between the actual and the virtual compliance
136 charts). Then, based on these data and by means of dimensional analysis an empirical relation-
137 ship was derived to predict the unpressurised diameter of balloons for desired target diameter
138 under a certain pressure and Young's modulus. Fig. 1 depicts a compliance chart for a 3.5
139 mm balloon model (with/without mounted stent) with 0.02 mm wall thickness and 3.383 mm
140 initial (predicted) diameter. By way of **calibration**, the virtual balloon is pressurised at a range
141 of pressures and shown to closely follow the expansion behaviour of the actual interventional
142 balloon especially at nominal pressures (0.8 – 1.2 MPa). A Young's modulus $E = 888.52 MPa$
143 and a Poisson ratio $\nu = 0.4$ were used to model all the virtual balloons.

144 **Delivery models for patient-specific simulations** In the simulations that were conducted,
145 the uniform balloons along with the tapered model were generated with six folds. As shown
146 by Mortier et al.³², six folded balloons can demonstrate more uniform and symmetric stent
147 expansions, especially in cases where the deployment diameter is not constant along the length
148 of the stent system (tapered balloon). The stepped balloon was designed with 12 folds since
149 the diameter discrepancy between the proximal and the distal initial profile was ~ 1.3 mm.
150 The material of the balloon is significantly increased for a 4.5 mm balloon, therefore, a twelve
151 folded balloon can result in lower delivery system profile than a six fold. As a result, especially
152 for computational purposes, the semi-crimped stent can be mounted on the balloon more easily.
153 The mesh discretisation was carried out in ABAQUS CAE. In particular, the folded balloon
154 models were discretised by four node quadrilateral membrane elements of type M3D4R. The
155 number of elements varies depending on the balloon length and the folding configuration. The
156 catheter shaft has been meshed by four node linear quadrilateral shell elements of type S4R.
157 The catheter tips have been modelled by three-dimensional 8-node brick “reduced-integration”
158 elements of type C3D8R.

159 **2.1.2 Patient-specific vessels & stent model**

160 Two patient-specific vessels were reconstructed for the purposes of this work: (a) a 52 mm
161 long tortuous RCA with a severe stenosis (70% of percentage area stenosis) in the middle of
162 its length and (b) a LM bifurcation with a 30% of area stenosis in the proximal part of the
163 LAD after balloon pre-dilation. Intravascular ultrasound (IVUS) frames and bi-plane conven-
164 tional angiography images were fused to generate three dimensional models of the vessels.
165 Cross-sectional contours representing the borders of the lumen and the media were extracted
166 in IVUS-Angio Tool, a free source software⁷. The final reconstructed vessels were then gen-
167 erated in Rhinoceros 5.0 as was the virtual stent model, which is based on the Xience device
168 (Abbott Lab., IL, USA). All simulations were conducted in ABAQUS/Explicit v.6-12 (SIMU-
169 LIA, Corporation, USA), a commercially available FEA solver ensuring quasi-staticity. De-
170 tailed information regarding the vessel reconstruction process, the construction of the virtual
171 models, material properties, the simulation design and the stability of the numerical solutions

172 can be found in previous work⁴¹. The mesh resolution of each instance modelled in this study
173 differs according its length. However, for each instance, the seed size on the edges was se-
174 lected according to the mesh independence tests. The procedure of the mesh-independence test
175 is as follows: i) mesh-independence test of stent instance through free stent expansions by a
176 deformable surface; a baseline size-mesh (based on previous work) was tested against a finer
177 mesh and differences less than 2% was recorded, ii) mesh-independence test for the virtual
178 balloons by simulating free stent balloon expansions; a baseline size-mesh (based on previous
179 study of this group) was tested against a finer mesh and differences between expansion diam-
180 eter remained less than 2% throughout the transient analysis, and iii) mesh-independence tests
181 for small ideal vessels by simulating balloon stent expansions; a baseline size-mesh (based
182 on previous study) was compared against a finer mesh and differences less than 0.5% were
183 recorded. Also, period sensitivity tests were carried out to ensure the period independence of
184 the simulation steps. As for the vessels, the walls comprise the intima-media layer extracted
185 from the IVUS images along with the adventitia which was generated by assuming a scaling
186 factor of 1.6 to non-diseased lumen areas^{20,33}. The bifurcation model was extended to 5 mm
187 along its edges for computational purposes (the IVUS frames for the bifurcation were obtained
188 only along the stented region. Thus, the model has been extended to host the whole delivery
189 system). The intima-media was modelled by using a hyperelastic, neo-Hookean strain energy
190 function. The assumption was based on the fact that the average material of the vessel walls
191 is plaque and the difficulty to extract the plaque composition from IVUS images; therefore,
192 constitutive parameters for a soft plaque were selected as in the work by Pant et al.³⁹. The
193 adventitia was modelled as isotropic hyperelastic described by a sixth-order reduced polyno-
194 mial strain energy function. The material parameters were defined by Holzapfel et al.²⁰ and
195 they have been used within our group previously³⁸. Fig. 2a and 2b illustrate the virtual CAD
196 assembly for the reconstructed RCA and the LM bifurcation, respectively. To discretise the
197 volume of the vessel walls with solid hexahedral structured elements, a partitioning approach
198 has been implemented in this study. Specifically, each vessel was partitioned along its length by
199 the Frenet planes defined on the central line of each lumen (see section 2.1.3). By this method,
200 uniform small segments have been created along the entire length of each model enabling fast

201 and accurate mesh discretisation. The mesh resolution for the RCA is depicted in Fig. 2c.

202 **2.1.3 Geometric characterisation of vessels**

203 **Frenet frame and centreline calculation** As the vessel is reconstructed, the IVUS pull-back
204 line is used as a reference to geometrically characterise the vessel. The reconstructed IVUS
205 pull-back is a line in space which can be geometrically characterised using classical differential
206 geometry of curves. Let $\mathbf{C}(t) \in [0, L] \rightarrow \mathbb{R}^3$ and $\mathbf{C}(t) = [x(t), y(t), z(t)]$ be the pull-back path
207 of the IVUS transducer as a function of an arbitrary parameter t . The local behaviour of the
208 curve C can be described by the *moving frame* or *Frenet frame*, a right handed trihedron of three
209 orthonormal vectors \mathbf{T} , \mathbf{N} , and \mathbf{B} representing the tangential, the normal and the bi-normal unit
210 vector, respectively, at each location on the curve²⁸. Along with the orthonormal Frenet unit
211 vectors, two scalar quantities, the curvature and the torsion are used to characterise the local
212 behaviour of a 3D curve. Curvature, $k(t)$, measures the deviation of the curve from a straight
213 line and it is always positive whereas torsion, $\tau(t)$, measures how much the curve deviates from
214 being planar²⁸. The Frenet equations are outlined in Table 1. The *Frenet frame* of the IVUS
215 parametrised curve has been used to segment the vessel according to the *normal moving plane*
216 which is defined as $(\mathbf{x}(t) - \mathbf{C}(t)) \cdot \mathbf{T}(t) = 0$, with $\mathbf{x}(t)$ a point x on the normal plane. At each
217 location point $\mathbf{C}(t)$ a planar closed curve (cross section) $\mathbf{R}(t)$ is defined as the intersection of
218 the normal plane $\mathbf{P}(t)$ with the volume of the lumen, $V(Q)$ (c.f Fig. 3). Alternatively, the cross
219 section $\mathbf{R}(t)$ of $V(Q)$ at a point t on curve C is the region lying in $\mathbf{P}(t)$, or $\mathbf{R}(t) = r\mathbf{N}(t) + p\mathbf{B}(t)$,
220 with r and p real numbers lying in a region $D(t)$ of the r - p plane⁸. Then, providing that, for any
221 set of points $\mathbf{C}(t)$ and $\mathbf{C}(t + dt)$, the cross sections $\mathbf{R}(t)$ and $\mathbf{R}(t + dt)$ do not intersect, the centre
222 of area of each cross section, $\mathbf{cp}(t)$, is calculated. A smooth curve at least \mathcal{C}^2 differentiable
223 has been interpolated through all the calculated $\mathbf{cp}(t)$ points, see Fig. 3.

224 **2.1.4 Indicators of stenting**

225 To quantify the performance of stenting in the patient-specific cases, a number of metrics are
226 defined. Some of the metrics are based on the geometric properties of the vessels and others on
227 the structural response of the vessel walls. The metrics that are dependent on the geometrical

228 characteristics of the deformed vessels have been calculated by using the vessel centre curve,
 229 cp , which is defined in section 2.1.3.

230 **Total Average Curvature (TAC)** A scalar metric is proposed to quantify the global curva-
 231 ture of the central curve, cp , pre and post-stenting. Since the curve has been sampled and
 232 parametrised along its length, TAC can be calculated using

$$TAC = \frac{\sum_{i=1}^{i=n_t} k_{cp_i} \delta cp'_i}{\sum_{i=1}^{i=n_t} \delta cp'_i} \quad (1)$$

233 where n_t is the total number of curve sampling points, k_{cp_i} is the local curvature of the
 234 central curve at the i th sampling point and $\delta cp'_i$ is the magnitude of the \mathbf{cp}' at the i th sampling
 235 point.

236 **Total Average Torsion (TAT)** Similarly to TAC, TAT , is a global metric for the pre and
 237 post-stenting central curve torsion and is defined as

$$TAT = \frac{\sum_{i=1}^{i=n_t} \tau_{cp_i} \delta cp'_i}{\sum_{i=1}^{i=n_t} \delta cp'_i} \quad (2)$$

238 where τ_{cp_i} is the local torsion of the central curve at the i th sampling point.

239 **Volume Average Stress (VAS)** VAS represents the average change in the stress environment
 240 induced by the stent implantation. It was firstly proposed by Holzapfel et al.¹⁹ and later adopted
 241 by Pant et al.³⁹. Since the volume has been discretised by finite elements, the VAS formula is

$$VAS = \frac{\sum_{i=1}^{i=n_v} \sigma_i \delta V_i}{\sum_{i=1}^{i=n_v} \delta V_i} \quad (3)$$

242 where n_v is the total number of elements within the intima-media volume, σ_i represents the
 243 circumferential stress in the i th element of the volume, and δV_i is the volume of the i th element.

244 **Spatial Quantification of SM** To quantify the spatial variation of SM the outer surface of
 245 each stent is extracted and represented by a triangulated mesh. The SM along the outer surface
 246 of each stent is calculated as the Euclidean distance between each vertex point of the triangu-
 247 lated mesh and their projections to the lumen surface.

248 **Area Average Stent Malapposition (AASM)** Similarly to VAS, a metric for calculating the
 249 average malapposition is used post operatively. Since the surface is meshed by triangulated
 250 elements, the AASM can be expressed as

$$AASM = \frac{\sum_{i=1}^{i=n_s} SM_i \delta A_i}{\sum_{i=1}^{i=n_s} \delta A_i} \quad (4)$$

251 where n_s is the total number of the triangulated elements, SM_i is the malapposition in the
 252 i th element given by the euclidean distance between the centre point of the i th element and
 253 its projection to the lumen surface, and δA_i is the area of the i th element expressed as $\delta A_i =$
 254 $0.5 \|(\mathbf{v}_3 - \mathbf{v}_1) \times (\mathbf{v}_2 - \mathbf{v}_1)\|$, where \mathbf{v}_j ($j = 1, 3$) denote the position vectors of the vertices of
 255 each element.

256 **Minimum Lumen Area (MLA) & Volume Gain (VG)** The area is calculated for each cross
 257 section, $\mathbf{R}_{cp}(t)$. The MLA is identified as the minimum area, $A(t)_{min}$, of a cross section $\mathbf{R}_{cp}(t)$
 258 lying on the normal plane $\mathbf{P}_{cp}(t)$ for all t . Moreover, the lumen volume $V(Q)$ has been calcu-
 259 lated as⁸

$$V(Q) = \int_t A(t) \|\mathbf{C}'(t)\| dt \quad (5)$$

260 where $A(t)$ is the area of each cross section $\mathbf{R}_{cp}(t)$. Then, the VG is calculated as $VG =$
 261 $(V - V_0)/V_0$, with V and V_0 the volume of the investigated vessel segment before and after
 262 stenting, respectively.

263 **3 Results**

264 **3.1 Multi-folded balloon simulations**

265 **Tapered balloon free expansion** The transient expansion of the tapered balloon model is
266 depicted in fig 4a. The six-folded balloon is characterised by a uniform stent expansion with
267 its proximal and distal segment expanded to 4.25 mm and 3.5 mm, respectively. In Fig. 5a, the
268 virtual balloon compliance is illustrated. As can be observed, the balloon transient diameter
269 variation has been recorded with or without mounted stent and there was no significant expansion
270 diameter discrepancy ($< 2\%$). The pressure has been applied uniformly by a smooth curve
271 up to 0.842 MPa along the entire inner surface of the balloon. The balloon was designed with
272 a proximal length profile of 3.33 mm gradually increased to 4.04 mm distally along the length
273 of the balloon.

274 **Stepped balloon free expansion** Frames at certain times in the stepped balloon expansion
275 have been captured in Fig. 4b. On the left panel, the full stepped stent-catheter system is de-
276 picted whereas on the right, cross sections centrally to the model are illustrated demonstrating
277 the transient unfolding of a twelve-folded balloon. The virtual stepped balloon was then de-
278 signed with proximal and distal reference diameters of 4.1 mm and 2.80 mm, respectively, and
279 target diameters 4.45 mm and 3.0 mm. Fig. 5b depicts how these are obtained by applying a
280 uniform pressure of 1.012 MPa. Similarly to the tapered model, the virtual compliance was ex-
281 tracted for the stepped balloon with or without stent and discrepancies below 2% were recorded
282 for the expansion diameter.

283 **3.2 Patient-specific simulations**

284 **RCA “stenting”** On the left panel of Fig. 6, the expansion simulations using a uniform bal-
285 loon and the tapered balloon (section 3.1) are illustrated. Frames have been captured at specific
286 times to demonstrate critical steps of the expansion simulations. The steps demonstrate (i) &
287 (ii) the duration of the dog-boning phase, (iii) the maximum inflation of each balloon up to
288 0.842 MPa and (iv) the final configuration of the model after the deflation of the balloon. On
289 the right panel, cross sections in the proximal portion of the vessel are illustrated to show the

290 transient unfolding of the balloon model within the artery. Moreover, in the cross section im-
291 ages, regions with SM can be clearly observed. To quantify the better apposition produced by
292 the tapered balloon evidenced in Figs. 6 and 7a, a more analytical quantification is given by
293 the CDF (cumulative distribution function) as shown in Fig. 9a. In particular, the CDF plots
294 demonstrate the possibility of a range of SM within the vessel (spatial quantification of SM
295 calculated on the vertices of the upper triangulated stent surface). For instance, strut malappo-
296 sition within a range of $0.00 - 0.025 \text{ mm}$ is approximately 66% and 74% for the uniform and
297 the tapered balloon, respectively. Moreover, values of SM within a range of $0.00 - 0.05 \text{ mm}$
298 occur for 75% and 83% of stent outer surface for the uniform and tapered models, respectively.
299 The greatest value of SM occurs in the proximal part of the uniform model and is calculated
300 to be 0.715 mm , a gap which approximately equals the difference between the balloon and the
301 vessel diameter. Importantly, high values of SM can be observed in both segments close to the
302 middle of the vessel length. However, this is due to some aneurysmatic regions of the diseased
303 reconstructed segment. In Table 2, the scalar “stenting” indicators for the RCA case are re-
304 ported. The values show that the tapered model has significantly decreased the overall SM. At
305 the same time, the MLA and the volume of the lumen have been significantly increased. The
306 MLA relative to the reference model (pre-stenting) has been increased by 130% and 132% for
307 the uniform and the tapered model, respectively. Correspondingly, the volume has increased by
308 4% and 12.8%. The TAC index indicates that the tapered balloon has been shown not to affect
309 the curvature of the vessel. However, the tapered model does have an **considerable** impact on
310 the planarity of the segment, as indicated by the TAT index. As far as the average stresses
311 are concerned, the VAS index indicates that the tapered model has resulted in relatively higher
312 stresses. The latter could be explained by the fact that the stent interacts with more volumetric
313 mesh (comprising the arterial walls), especially in the proximal portion of the vessel. As a
314 result more stresses contribute to the calculation of the VAS index (higher VAS numerator).

315 **LM bifurcation “stenting”** Fig. 8 illustrates different frames of the transient stent expansion
316 simulation by an under-sized, an over-sized and a stepped balloon model (from left to right)
317 presented in section 3.1. As for the RCA case, the frames have been captured at specific times
318 of the deployment simulations demonstrating clearly transient model deformations throughout

319 the entire period of the expansion simulations. In particular, the steps demonstrate (i) & (ii)
320 the duration of the dog-boning phase, (iii) the maximum inflation of each balloon up to 1.012
321 *MPa* and (iv) the final configuration of the model after the balloon deflation. On the right panel
322 of Fig. 8, cross sections in the middle of the stented region have been extracted. As can be
323 observed, the stepped balloon provides very similar strut apposition with an oversized balloon
324 based on the AASM index whereas the undersized balloon result in significant malapposition.
325 The highest value of malapposition has been identified in the LM of the under-expanded model
326 (~ 1.5 mm). As can be observed, high SM values are identified in the ostium of the Cx for all
327 models due to the fact that the struts facing the Cx ostium are “wall-free” (Fig. 7b and 8). A
328 more analytical description of SM can be given by the CDF shown in Fig. 9b. The percentage
329 of strut malapposition within a range of 0.00 – 0.025 mm is approximately 43%, 52% and 57%
330 for the under-sized, stepped-sized and over-sized models, respectively. High values of SM for
331 all the models is due to the Cx ostium. The percentage of exposed struts to a range of 0.00 – 0.1
332 mm SM is $\sim 63\%$, $\sim 75\%$ and $\sim 83\%$ for the under-sized, the stepped-sized and the over-sized
333 model, respectively. The stenting indicators for the LM bifurcation case are reported in Table
334 3. The MLA relative to the reference vessel is 1.6%, 3.6% and 2.3% higher for the under, over
335 and stepped-sized approach, respectively. The volume gain relative to to the reference model
336 is 2.1%, 19.8% and 3.5%. However, it can be observed that the stepped model provides a
337 better approach compared to the undersized and the oversized delivery systems. In particular,
338 the average malapposition has been decreased by half relative to the undersized approach and
339 VAS is 65% less than that produced by the oversized balloon. Also, TAC and TAT indicate that
340 the stepped approach is closer to the geometrical properties of the reference vessel especially
341 relative to the over-sized balloon model.

342 **4 Discussion**

343 In Mortier et al.³², the transient expansion of a stent was investigated by changing the balloon
344 length, the folding pattern and the relative position of stent on the catheter. It was shown
345 that changing these parameters can significantly affect the symmetry and uniformity of the
346 transient expansion especially when the expansion target diameter is inconsistent. Thus, in the

347 present study, the delivery system modelling strategy has been based on the parametric design
348 of virtual balloons following **comparison to** commercially available compliance charts. It is
349 assumed that for large diameter transitions, the number of the folds has to be increased for
350 ensuring expansion uniformity³². The latter has been demonstrated clearly by the expansion of
351 a twelve-folded balloon in the bifurcation case where the proximal and distal diameters differ
352 by approximately 1.5 mm (c.f. Fig. 4b and 8). On the other hand, for a diameter difference of
353 0.7 mm, the deployment of a six-folded balloon resulted in relatively uniform expansion (c.f.
354 Fig. 4a and 6).

355 Furthermore, this work has introduced several numerical indices quantifying and identify-
356 ing local and global values of the investigated problem along with geometric characterisation
357 of the vessels pre and post-stenting. These indices can provide a general idea of the procedural
358 outcomes and, hopefully, in the future, could help to inform coronary interventions. The re-
359 sults demonstrate clearly that non uniform delivery systems can significantly increase the MLA
360 and in parallel decrease the overall SM, especially, proximally to the stented segment, a region
361 which is highly correlated with further unwanted events^{16,46}. This is illustrated in Figs. 7a and
362 7b where the proximal stent segments are completely apposed to the vessel walls for the tapered
363 and the stepped balloons. Also, the cross section images Figs. 6 and 8 indicate clearly the su-
364 perior outcomes of the proposed deployments which could avoid the need for post-operative
365 procedures such as post-balloon deployment. Especially for the bifurcation, it is well estab-
366 lished that single stent procedures are preferable^{2,17,37}. The suboptimal apposition of the stent
367 struts to the proximal part of the main vessel could be solved by a provisional optimisation
368 technique²³. This would require an additional procedural step. Therefore, the proposed virtual
369 balloons could potentially limit the procedure to a single step and, under a specific folding con-
370 figuration, these models can be optimised for vessel accessibility. In addition, the wall stresses
371 induced by non uniform balloons are kept within acceptable ranges (c.f. Tables 2 and 3) as
372 for the standard models. This has also been numerically demonstrated in Morlacchi et al.³⁰,
373 in which a tapered balloon resulted in reduced circumferential stresses after kissing balloon
374 dilation in a bifurcated vessel. This is significant since high stress values have been shown to
375 result in cellular proliferation⁴⁵.

376 When the PCI operator is aware that the proximal part of the stent is malapposed, the recom-
377 mended action is to post-dilate the malapposed struts with a non compliant balloon. However,
378 SM is frequently not detected using angiography alone. Given the low overall rate of use of
379 IVUS/OCT imaging in most units, it is likely that the incidence of SM in lesions other than
380 those of short length is relatively high. Our results indicate that a dedicated delivery system
381 chosen by patient-specific criteria could help to avoid this procedural limitation by improving
382 stent deployment in a single step. Importantly, it has been shown that under a specific pressure,
383 non-uniform virtual balloon expansions can result in lumen gain by increasing the overall area
384 along the entire length of the vessel. In contrast, by post-dilating only proximally malapposed
385 struts, the MLA and the overall volume of the vessel are not likely to be significantly increased
386 (always relatively to a non-uniform deployment) along the entire length of the stented segment.

387 The reconstructed vessels represent two real cases that include challenges frequently seen in
388 clinical practice. Both segments are characterised by significant diameter discrepancy (> 0.5
389 *mm*) along their lengths. It has been shown that analytical geometrical quantification of the
390 vessels can drive PCI with very good outcomes especially in improving the investigated com-
391 plications. In particular, after calculating cross sectional areas along the intervened region,
392 multi-folded balloons can be designed according to the desired inflation pressure and the diam-
393 eter variation. This is very important for adequate stent expansion as has been shown in Tables
394 2 and 3. Specifically, the stepped balloon which has been designed for the bifurcated vessel
395 provided superior performance compared to both the under-sized and the over-sized balloons
396 as indicated by the indices. For almost the same average complete stent apposition with the
397 over-sized balloon, it resulted in considerably less average stress, whereas the indices of TAC
398 and TAT indicate that the stepped balloon has not changed the global geometrical properties
399 of the intervened segment. Recently, a dedicated stent platform mounted on a semi-compliant
400 stepped balloon has been developed²⁶ demonstrating invaluable clinical outcomes^{10,40}. As for
401 the tapered expansion, both MLA and the VG were increased and at the same time the AASM
402 was decreased. Interestingly, the TAC index indicates clearly that the tapered model does not
403 have any additional influence in the curvature of the vessel when compared with the standard
404 model. However, it does have an impact on the planarity of the vessel. This could be explained

405 by the fact that the tapered model attaches the stent to more volumetric elements (constituting
406 the walls), especially in the proximal region of the vessel. The latter might be the reason for
407 experiencing higher VAS in the RCA vessel expanded by the tapered balloon model.

408 To conclude, we have demonstrated the potential clinical utility for patient-specific delivery
409 systems. In this work, the balloons have been designed after quantitative geometrical character-
410 isation of the target vessel and result in deployment outcomes which are likely to limit potential
411 post procedural complications. Moreover, in future, the development of a clinical tool which
412 will integrate similar methods used in this work could help to conduct PCI planning through
413 analytical virtual testing.

414 **Limitations** The major limitation of our work is the constitutive laws characterising the ma-
415 terial behaviour of the vessel walls. More advanced constitutive models have been used in
416 the literature with the most representative being the one implemented in Mortier et al.³³ and
417 Conway et al.⁴. In this hyperelastic anisotropic model introduced in Holzapfel et al.²⁰, the
418 fibre orientation and dispersion are taken into account with respect to a reference orthonormal
419 coordinate system defined in each element of the mesh. For each layer, different scalar param-
420 eters are defined, derived by experimental testing. However, in order to implement advanced
421 constitutive models, the calibration of the parameters is essential. Moreover, the fibre orienta-
422 tion and dispersion in a severely diseased vessel and in a bifurcation would be really difficult
423 to obtain, especially by using available clinical data. In addition, the vessel description lacks
424 an analytical model for the plaque composition. This is due to the fact that our reconstruction
425 method is based on IVUS and conventional angiography from which the plaque composition
426 is difficult to accurately define and orientate. A multilayer model would definitely have some
427 influence on the stress values and the overall deformations of the walls. However, due to the
428 comparative nature of this study, simpler models can still provide valuable results especially for
429 indicating the non-physiological stress state in regions interacting with the stent system. For
430 this reason, other aspects regarding the arterial wall conditions have also been neglected such
431 as tissue pre-stretch and arterial blood pressure. An additional limitation of this work is that
432 the balloon material behaviour is characterised by an isotropic and linear elastic model with
433 the thickness of the balloon being constant. In reality, modern balloon models are dual layer

434 composites. Therefore, balloons can be characterised by different anisotropic and hyperelastic
435 models¹⁸. However, since the balloon models have been compared to real compliance charts,
436 the virtual expansion behaviour should closely match that which occurs in clinical practice,
437 especially at nominal pressures.

438 **5 Conclusions**

439 Numerical modelling by means of FEA can provide comprehensive and useful results for an-
440 alytical investigation of stent deployment in PCI and thereby the avoidance of complications.
441 In this study, a framework has been developed in which virtual balloon models have been pro-
442 posed to mitigate the risk of SM in two patient-specific reconstructed vessels. Where a single
443 step approach is to be followed, such delivery systems could potentially ensure complete strut
444 apposition to the walls of the vessel in clinical practice. Scalar metrics based on the geometri-
445 cal properties and the induced mechanical environment have been implemented to demonstrate
446 numerically the pre- and the post-stenting vessel state. These metrics can direct more analytic
447 optimisation studies and guide procedural planning. This study is, to the best of our knowl-
448 edge, the first computational investigation of patient-specific “stenting” purely focused on the
449 delivery system. The outcomes indicate that under constant pressure, non-uniform balloon
450 models can result in better strut apposition and simultaneously increase the MLA and the ves-
451 sel volume. Also, it has been shown that the geometrical properties of the stented segment
452 do not alter significantly and the vessel is not exposed to higher stresses. At the end, the au-
453 thors would like to emphasise that the comparisons are very specific to the investigated vessels.
454 Population based studies could potentially result in more general findings and delivery systems
455 according the vessel profile could be devised. Thus, further investigation is now required.

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Table 1: Frenet equations for an arbitrary parametrised curve C

Vectors	Frenet equations
Tangent	$\mathbf{T}(t) = \frac{\mathbf{C}'(t)}{\ \mathbf{C}'(t)\ }$
Normal	$\mathbf{N}(t) = \frac{(\mathbf{C}'(t) \times \mathbf{C}''(t)) \times \mathbf{C}'(t)}{\ \mathbf{C}'(t) \times \mathbf{C}''(t)\ \cdot \ \mathbf{C}'(t)\ }$
Binormal	$\mathbf{B}(t) = \frac{\mathbf{C}'(t) \times \mathbf{C}''(t)}{\ \mathbf{C}'(t) \times \mathbf{C}''(t)\ }$
Scalar quantities	
Curvature	$k(t) = \frac{\ \mathbf{C}'(t) \times \mathbf{C}''(t)\ }{\ \mathbf{C}'(t)\ ^3}$
Torsion	$\tau(t) = \frac{\langle \mathbf{C}'(t) \times \mathbf{C}''(t), \mathbf{C}'''(t) \rangle}{\ \mathbf{C}'(t) \times \mathbf{C}''(t)\ ^2}$

Table 2: “Stenting indicators” for the RCA segment

Model	VAS	TAC	TAT	AASM	MLA (mm^2)	VG
RCA reference	-	0.139	0.224	-	3.714	-
RCA stented by uniform model	0.019	0.110	0.224	0.053	8.557	0.040
RCA stented by tapered model	0.025	0.110	0.144	0.031	8.601	0.128

Table 3: “Stenting indicators” for the LM bifurcation

Model	VAS	TAC	TAT	AASM	MLA (mm^2)	VG
LM bifurcation reference	-	0.061	0.217	-	4.929	-
LM bifurcation stented by under-sized model	0.004	0.059	0.175	0.445	5.010	0.021
LM bifurcation stented by over-sized model	0.020	0.050	0.059	0.228	5.108	0.198
LM bifurcation stented by stepped-sized model	0.007	0.058	0.216	0.230	5.044	0.035

Figure 1: Calibration of the virtual behaviour of a 3.5 mm balloon model: Simulation balloon compliance charts for a 6-folded balloon configuration superimposed on the manufacturer's data

1.

Figure 2: Virtual reconstructed models back-projected to the CA images and numerical mesh discretisation for the RCA case. (a) Virtual RCA model. (b) Virtual LM bifurcation model. (c) Structured hex mesh generated in ABAQUS.

Figure 3: Lumen and normal plane intersection along a point t in the parametrised IVUS pull-back curve: \mathbf{T} , \mathbf{N} , and \mathbf{B} is the tangential, the normal and the bi-normal vector at any $\mathbf{C}(t)$ point of the arbitrary parametrised curve C , respectively. $\mathbf{cp}(t)$ is the centre of area of each $\mathbf{R}(t)$ created by the intersection of the normal plane $\mathbf{P}(t)$ and the volume Q . cp is the interpolated curve passing through all the $\mathbf{cp}(t)$ points.

Figure 4: Transient inflation of varying diameter virtual balloon models. (a) Transient free stent expansion with a tapered balloon. On the right, cross sections centrally to the model (dashed line) are extracted to illustrate the transient unfolding of the six-folded balloon. (b) Transient free stent expansion with a stepped balloon.

Figure 5: Virtual compliance charts for varying diameter delivery systems without/with mounted stents. (a) Virtual compliance for a tapered balloon: proximal and distal target diameters of 3.5 *mm* and 4.25 *mm*, respectively. (b) Virtual compliance for a stepped balloon: proximal and distal target diameters of 3.02 *mm* and 4.46 *mm*, respectively.

Figure 6: Transient patient-specific RCA stent expansion with a non-tapered and a tapered balloon

Figure 7: Patient-specific spatial stent malapposition after stent deployment. (a) Actual stent malapposition after stent deployment in the RCA case: areas of stent with the red colour are incomplete apposed to the lumen walls. The higher the intensity of red, the higher the amount of malapposition. (b) Actual stent malapposition after stent deployment: areas of stent with the red colour are incomplete apposed to the lumen walls. The higher the intensity of red, the higher the amount of malapposition.

Figure 8: Transient patient-specific bifurcation stent expansion with undersized, oversized and a stepped tapered balloon model

Figure 9: Cumulative distribution functions for patient-specific spatial SM after each expansion step. (a) CDF graphs of virtual stent malapposition within the RCA segment. (b) CDF graphs of virtual stent malapposition within the LM bifurcation segment.