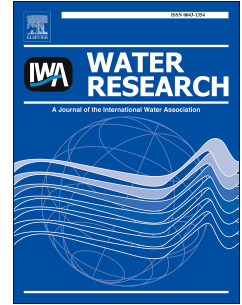


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Fast formation of aerobic granules by combining strong hydraulic selection pressure with overstressed organic loading rate

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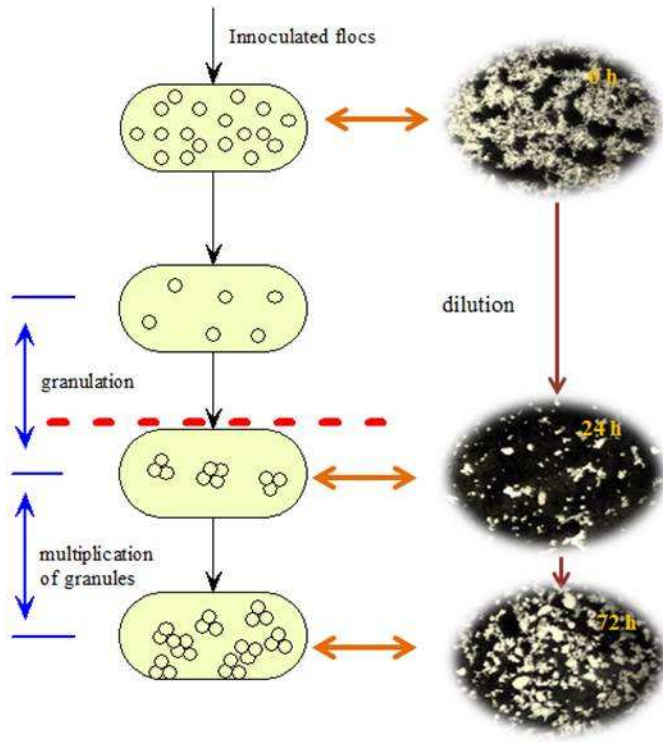
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1 **Fast formation of aerobic granules by combining strong hydraulic selection**  
2 **pressure with overstressed organic loading rate**

3  
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5  
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10  
11 **Abstract**

12  
13 The combined strong hydraulic selection pressure (HSP) with overstressed organic  
14 loading rate (OLR) as a fast granulation strategy was used to enhance aerobic granulation. To  
15 investigate the wide applicability of this strategy to different scenarios and its relevant  
16 mechanism, different settling times, different inoculums, different exchange ratios, different  
17 reactor configurations, and different shear force were used in this study. It was found that  
18 clear granules with a size of 624  $\mu\text{m}$  were formed at 72 h with steady state reached within  
19 three days when the fast granulation strategy was used in a lab-scale reactor seeded with well  
20 settled activated sludge (Reactor 2). However, granules appeared after 2-week operation and

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21 reached steady state after one month at the traditional step-wise decreased settling time from  
22 20 to 2 min with OLR of 6 g COD/L·d (Reactor 1). With the fast granulation strategy,  
23 granules appeared within 24 h even with bulking sludge as seed to start up Reactor 3, but  
24 6-day lag phase was observed compared with Reactor 2. Both Reactor 2 and Reactor 3  
25 experienced sigmoidal growth curve in terms of biomass accumulation and granule size  
26 increase after granulation. In addition, the reproducible results in pilot-scale reactors (Reactor  
27 5 and Reactor 6) with diameter of 20 cm and height/diameter ratio (H/D) of 4 further proved  
28 that reactor configuration and fluid flow pattern had no effect on the aerobic granulation  
29 when the fast granulation strategy was employed, but biomass accumulation experienced a  
30 short lag phase too in Reactor 5 and Reactor 6. Although overstressed OLR was favorable for  
31 fast granulation, it also led to the fluffy granules after around two-week operation. However,  
32 the stable 6-month operation of Reactor 3 demonstrated that the rapidly formed granules  
33 were able to maintain long-term stability by reducing OLR from 12 g COD/L·d to 6 g  
34 COD/L·d. A mechanism of fast granulation with the strategy of combined strong HSP and  
35 OLR was proposed to explain results and guide the operation with this fast strategy.

36

37 Keywords: aerobic granule; hydraulic selection pressure, organic loading rate, start-up;  
38 long-term stability; SBR

39

40

## 41 1. Introduction

42

43 Aerobic granule technology has been intensively studied almost for two decades, which  
44 has great potential in wastewater treatment due to the advantages of excellent settling ability  
45 of biomass, high biomass retention, and wide applications in treating various types of  
46 wastewater (Adav et al. 2008). However, the mechanism of aerobic granulation is still not  
47 fully understood, which impedes the optimization and application of this technology for real  
48 wastewater treatment. A distinct example is that aerobic granulation in pilot-scale reactor  
49 treating real wastewater took much longer time than in lab-scale reactor (Ni et al. 2009; Liu  
50 et al. 2010). Therefore, different strategies have been proposed to enhance the formation of  
51 aerobic granules.

52 Metal ions or metal were believed to be able to accelerate the start-up of aerobic  
53 granules by forming nucleus first for bacteria to attach (Jiang et al. 2003, Wang et al. 2012,  
54 Kong et al. 2014), but 16 days were still required for the formation of aerobic granules (Jiang  
55 et al., 2003). When pure culture with high self-aggregation and coaggregation ability was  
56 mixed with activated sludge to bioaugment granulation, it took 8 days to form aerobic  
57 granules with a mean diameter of 446  $\mu\text{m}$  (Ivanov et al., 2006). Although bioaugmentation  
58 with specified pure culture speeds up the process of aerobic granulation, it is complex and  
59 expensive in the practice. In addition, aerobic granulation could be enhanced by seeding  
60 sludge with good settling ability such as crushed granular sludge (Verawaty et al. 2012),  
61 long-term stored aerobic granules (Liu et al. 2005), or activated sludge with excellent

62 settling (Song et al. 2010). Adding external carrier media (Li et al. 2011, Liu et al. 2014)  
63 could also be helpful to provide nucleus for bacteria to attach just like the initial formation  
64 stage of biofilm. Although all of these abovementioned strategies could speed up aerobic  
65 granulation in a varied degree, granulation was still not improved drastically. Furthermore,  
66 all of these strategies require external auxiliary tools which increase the operational cost of  
67 aerobic granule reactor. Therefore, applying a simple operational approach to enhance  
68 aerobic granulation is desirable and promising for real application.

69 A great deal of effort has been put into enhancing granulation by just manipulating  
70 operational conditions. For example, by directly using 5-min settling time rather than  
71 step-wise decreased settling time to start up reactor, Qin et al. (2004) observed aerobic  
72 granules on day 7, which was much faster than others with step-wise decreased settling time.  
73 Alternating feed loading rate was also believed to be able to stimulate more EPS secretion for  
74 an enhanced aerobic granulation (Yang et al. 2014). Zhang et al. (2013) reported that by  
75 employing combined strong hydraulic selection pressure (HSP) such as short cycle time and  
76 short settling time with high OLR e.g. 24 g COD/L-d, aerobic granules were formed within 7  
77 hours. This strategy led to not only the fastest granulation ever reported so far with the  
78 simplest operational strategy, but also a good alternative to study the mechanism of aerobic  
79 granulation. However, the operation with the fast strategy (Zhang et al. 2013) only lasted for  
80 12 days in a lab-scale reactor. It is not sure if rapidly formed granules with the fast strategy  
81 could be maintained stably for the long term. In addition, the effects of many factors such as  
82 inoculums, reactor configuration, exchange ratio, shear force and comparison between

83 traditional granulation and fast granulation were not fully investigated to see if this fast  
84 strategy is applicable for wider scenarios. Therefore, this study aims to systematically  
85 investigate the fast strategy proposed by Zhang et al. 2013 for aerobic granulation as well as  
86 long-term stability of granules formed by fast strategy.

87

88

## 89 **2. Materials and methods**

90

### 91 *2.1. Reactor setup and operation*

92

93 4 identical lab-scale reactors (R1, R2, R3 and R4) with a working volume of 2 L and  
94 2 pilot-scale reactors (R5 and R6) with a working volume 25 L were used to cultivate aerobic  
95 granules. Both lab-scale reactors and pilot-scale reactors were bubble columns except that a  
96 stirrer with three-layer blades at different heights were installed to provide the strong  
97 mechanical shear force in R6. The main difference of reactor configuration between lab-scale  
98 reactor and pilot-scale is that diameter of pilot scale reactor was 20 cm with reactor  
99 height/diameter (H/D) ratio of 4 while the diameter of lab-scale reactor was only 5 cm with  
100 reactor H/D ratio of 20. The detailed reactor configurations are listed in Table 1.

101

102 Fine air bubbles for aeration were supplied through an air sparger at the reactor bottom with  
103 an airflow rate of 2.4 cm/s in R1, R2, R3 and R4 while membrane air diffuser was used in R5

104 and R6 with an airflow rate of 1.6 cm/s. In addition, the stirrer speed in R6 was maintained at  
105 120 rpm during the aeration period. The flow diagram of reactor operation was same as those  
106 reported by Liu and Tay (2007b).

107

108 Reactors were operated in a sequencing batch mode and effluent was discharged from the  
109 middle port of the reactor with a volumetric exchange ratio of 50-70%. Both feeding time  
110 and discharging time were set as 5 min and no aeration was supplied to reactors during the  
111 feeding period. Detailed operational conditions are listed in Table 1 for the comparison  
112 between reactors with different settling time, different inoculums, different reactor  
113 configuration and different shear force. Fresh activated sludge collected from a local  
114 municipal wastewater treatment plant was first cultivated with the same synthetic wastewater  
115 as used in R1-R3 for 4 days in a barrel. Then the acclimated activated sludge was inoculated  
116 into the reactors for start-up except R3. For reactor R3, a bulking sludge from a deteriorated  
117 reactor in the lab was used as inoculums to study the effects of inoculums on fast granulation.

118

119 Reactors R1, R2, R3, R4, R5 and R6 were operated for 60 days, 14 days, 171 days, 14 days,  
120 10 days and 10 days, respectively. The operation of six reactors is summarized in Table 1  
121 with the combined strong HSP and high OLR to study

- 122 i) Effects of initial settling time  
123 ii) Effects of settling ability of seed sludge  
124 iii) Effects of exchange ratio



125 iv) Effects of reactor H/D ratio and reactor diameter

126 v) Effects of mechanical shear force from stirrer

## 127 2.2. *Medium*

128

129 A synthetic wastewater with the following compositions was used as influent for  
130 cultivation of aerobic granules in the reactors: COD (sodium acetate),  $\text{NH}_4\text{Cl}$ ,  $\text{K}_2\text{HPO}_4$ ,  
131  $\text{CaCl}_2 \cdot 2\text{H}_2\text{O}$ ,  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$ ,  $\text{FeSO}_4 \cdot 7\text{H}_2\text{O}$ ,  $\text{H}_3\text{BO}_3$ ,  $\text{ZnCl}_2$ ,  $\text{CuCl}_2$ ,  $\text{MnSO}_4 \cdot \text{H}_2\text{O}$ ,  
132  $(\text{NH}_4)_6\text{Mo}_7\text{O}_{24} \cdot 4\text{H}_2\text{O}$ ,  $\text{AlCl}_3$ ,  $\text{CoCl}_2 \cdot 6\text{H}_2\text{O}$ ,  $\text{NiCl}_2$ . The COD concentrations in the influent  
133 were changed in different reactors to get different OLRs ranging from 8 g COD/L·d to 12 g  
134 COD/L·d, but COD:  $\text{NH}_4^+$ -N: P ratio was always kept at 100: 5: 1. The concentrations of  
135 other components were same as those reported by Liu and Tay (2007b).

136

## 137 2.3. *Analytical methods*

138

139 COD, sludge volume index ( $\text{SVI}_{30}$ ), mixed liquor suspended sludge (MLSS), and mixed  
140 liquor suspended sludge (MLVSS) were analyzed in accordance to the standard methods  
141 (APHA, 1998).  $\text{SVI}_5$  was measured in a similar manner as  $\text{SVI}_{30}$  by modifying the settling  
142 time from 30 minutes to 5 minutes. Average particle size was measured by a laser particle  
143 size analysis system (Malvern MasterSizer Series 2600, Malvern Instruments Ltd, Malvern,  
144 UK). The morphology of sludge was observed, and sludge photos were taken by the image  
145 analysis system (Image-Pro Plus, V4.0, Media Cybernetics) with an Olympus SZX9

146 microscope. Microbial compositions of granules were observed qualitatively with scanning  
147 electron microscope (SEM) (Stereoscan 420, Leica Cambridge Instruments), with the same  
148 method reported by Ivanov et al. (2006).

149

150 *2.4. Calculations of the specific biomass accumulation rate and the specific biomass growth*  
151 *rate by size*

152

153 The biomass accumulation in reactor depends on both biomass growth and biomass  
154 wash-out. The specific biomass accumulation rate ( $d^{-1}$ ) in the reactor can be described as  
155  $q = dx/xdt$  in the exponential phase, in which  $x$  is the biomass concentration at time  $t$  in  
156 the reactor.  $s = dD/dt$  can be used to describe the biomass growth rate by size ( $\mu\text{m h}^{-1}$ ) in  
157 the exponential phase, in which  $D$  is the mean size of sludge at time  $t$ .

158

### 159 **3. Results**

160

161 *3.1 Effects of the step-wise decreased settling time and the fixed settling time on the*  
162 *formation of aerobic granules*

163

164 Fig. 1 shows the sludge morphology in R1 at the step-wise decreased settling time and in R2  
165 at the fixed settling time of 2 min. Compact granules with clear boundary appeared in 24 h in  
166 R2 while sludge was still in suspended state in R1 after 336 h. In addition, it can be seen

167 from Fig. 2 that the sludge size increased to 624  $\mu\text{m}$  after 72-h operation in R2 while the  
168 sludge size was only 230  $\mu\text{m}$  even after a 336-h operation in R1.  $\text{SVI}_5$  of the sludge in R2  
169 maintained at lower values than that in R1 during more than a 10-day operation as shown in  
170 Fig. 2 although there was a transient rise of  $\text{SVI}_5$  at 24 h.  $\text{SVI}_5$  and size of sludge combined  
171 with sludge morphology are usually used to judge the formation of aerobic granules. Fig. 1  
172 and Fig. 2 clearly show that sludge in R1 did not transform from suspended state to granule  
173 form even after 336-h operation in terms of  $\text{SVI}_5$ , sludge size and sludge morphology.  
174 Actually, small granules were observed after 2-week operation in R1 and the  $\text{SVI}_5$  of sludge  
175 in R1 decreased to less than 100  $\text{mL/g}$  after 18 days of cultivation (data not shown). Mean  
176 size of sludge increased greatly after the settling time was decreased gradually. It took about  
177 4 weeks for granular sludge to be mature in R1. Since the step-wise decreased settling time  
178 was widely used in literature, the changes of  $\text{SVI}_5$ , MLVSS and sludge size in the whole  
179 process were not repeated here. In terms of granule size, sludge  $\text{SVI}_5$  and MLVSS, reactor  
180 R2 actually reached steady state after 72 hours. To the best of our knowledge, this is the  
181 fastest time reported ever to reach a steady state in a lab-scale reactor for aerobic granules.  
182 The specific biomass accumulation rate in the reactors and the specific biomass growth rate  
183 by size are depicted in the Fig. 3. It can be seen that the growth rate of sludge size in R2 is  
184 almost 3.0-fold of that in R1 and the specific biomass accumulation rate in R2 is 2.9-fold of  
185 that in R1 in the exponential period. In addition, no lag phase for biomass accumulation and  
186 size increase of sludge was observed in R2. Since all the other operational conditions were  
187 same except for the mode of settling time, it was thought that the evident difference of

188 granulation speed in R1 and R2 was due to the operational strategy of settling time, which  
189 influenced the granulation speed significantly.

190

191 *3.2 Effects of inoculums and exchange ratios on the formation of aerobic granules with the*  
192 *combined strong HSP and overstressed OLR*

193

194 Well settled sludge is usually employed to seed reactor for granulation. In this study, well  
195 settled sludge with  $SVI_5$  of 108 mL/g and bulking sludge with  $SVI_5$  of 484 mL/g from a  
196 deteriorated reactor were inoculated to start up reactors R2 and R3, respectively. Since the  
197 settling ability of seed sludge to R3 was very poor and hydraulic retention time was further  
198 shortened to 2 h to increase hydraulic selection pressure, the vast majority of sludge was  
199 immediately washed out of the reactor with only around 0.5 g/L of biomass was retained in  
200 the reactor after 24 h, which was much lower than 1.61 g/L at 24 h in R2 (Fig. 2). Even in  
201 this case, however, it is quite interesting to note that small granules appeared at 24 h in R3  
202 just like in R2 and no flocs were observed after 24 h. Since little biomass was retained in R3,  
203  $SVI$  was not measured from 0 to 96 hr. At 96 h,  $SVI_5$  in R3 was only 68 mL/g, which was  
204 similar to that in R2. Obviously, granules were formed very quickly in R3 too even with  
205 poorly settled inoculums containing large amount of filamentous bacteria. The big difference  
206 in terms of aerobic granulation in R2 and R3 is only the appearance of evident lag phase in  
207 R3 due to the very low biomass concentration from 24 h. As both short settling time and  
208 short cycle time were used in this study, the immediate biomass wash-out within 24 h led to

209 the low inoculation ratio if the only retained biomass after 24 h was considered as the actual  
210 inoculated sludge, which was only 10% in R3 while 36% in R2 compared with the steady  
211 state biomass concentration. The biomass concentration change with 10% actual inoculation  
212 ratio in R3 had the exactly same sigmoidal growth curve of typical bacteria (Zwietering et al.  
213 1990) with lag phase, exponential phase and steady phase as shown in Fig. 2. But 36% actual  
214 inoculation ratio in R2 eliminated completely lag phase with immediate exponential growth  
215 of biomass. Interestingly, granule size experienced the same changing trend with biomass  
216 concentration in R3 experiencing typical sigmoidal growth curve while in R2 having  
217 exponential growth immediately. Due to the lag phase and higher OLR in R3, the reactor  
218 reached the steady state after 240 h in terms of biomass concentration, which was one week  
219 slower than R2, but still much faster than the reports with other fast start-up strategies. In  
220 addition, it was noted that granule growth rate by size in R3 was 6.99 $\mu\text{m}/\text{h}$  while it was 4.67  
221  $\mu\text{m}/\text{h}$  in R2 although the specific biomass accumulation rates in two reactors were similar.  
222 As reported before (Liu and Tay, 2007a), starvation is not a prerequisite for granulation. In  
223 this study, although aerobic granules appeared within 24 hours, effluent COD in R3 was  
224 ranging from 600 to 900 mg/L during the first 120 hours because the biomass concentration  
225 was only around 0.5 g/L. However, once the lag phase in terms of biomass concentration  
226 ended, effluent COD dropped significantly to less than 50 mg/L at 192 h. During the  
227 subsequent operation period for the long-term stability study, effluent COD in R3 was  
228 always maintained at around 50 mg/L due to high biomass concentration. For R2, effluent  
229 COD was around 50 mg/L due to relatively high biomass concentration e.g. around 2 g/L,

230 even at the initial stage of reactor operation. This demonstrated again that microbial  
231 aggregation for granulation was independent on starvation or if COD could be fully removed.  
232 R2 with exchange ratio of 50% and R4 with exchange ratio of 70% did not present any  
233 difference (data in R4 not shown) in terms of aerobic granulation at the same OLR, which  
234 indicates that exchange ratio between 50% and 70% had no influence when the strong  
235 hydraulic selection pressure from short cycle time and short settling time was used in this  
236 study.

237 *3.3 Effects of reactor configurations and mechanical shear force on the formation of aerobic*  
238 *granules with the combined strong HSP and overstressed OLR*

239  
240 Similar to lab-scale reactor, the vast majority of biomass in R5 and R6 was washed out in the  
241 first several cycles after start-up, and only little biomass was retained due to strong hydraulic  
242 selection pressure. However, white granules were observed after 24-h operation as shown in  
243 Fig. 4. During the first 96-h operation, biomass volume in R5 after 30-min settling was  
244 below 0.28 L. However, the newly formed granules multiplied rapidly after 96-h operation  
245 and biomass volume increased significantly, and then reached 4.8 L after 216-h operation as  
246 showed in Fig. 5. Therefore, it can be said that the low biomass concentration during the first  
247 96 hours due to the strong hydraulic selection pressure can be increased rapidly after  
248 granules were formed in the 20-cm diameter reactor. The granulation of sludge in R5 was  
249 similar to that in R3, which indicated that reactor diameter and reactor H/D ratio did not  
250 influence granulation speed with the strong hydraulic selection pressure. However, even with

251 the inoculation of well settled sludge in the pilot-scale reactor R5, the biomass washout was  
252 much more serious with an obvious lag phase in terms of the increase in biomass  
253 concentration than in the lab-scale reactor R2. It is well known that reactor with a diameter  
254 smaller than 10 cm has serious reactor wall effect, which could retain biomass with high  
255 density at the bottom part of the reactor to avoid wash-out at strong hydraulic selection  
256 pressure. Therefore, the lag phase in pilot-scale reactor R5 is probably due to the poor  
257 retention of biomass in the reactor with a diameter of 20 cm lacking of reactor wall effect.  
258 Fig. 6 shows the concentration of granular sludge along the reactor height in 5-cm diameter  
259 reactor and 20-cm diameter reactor. Obviously, the reactors used in this study with 5-cm  
260 diameter themselves possess the capability to retain biomass, which also play a role for  
261 hydraulic selection pressure and retain more biomass with shorter lag phase.

262  
263 R6 with the stirrer had the similar granulation with R5, but fluffy granules and smooth  
264 granules coexisted in the reactor even at the very beginning i.e. 24 hours as shown in Fig. 4.  
265 This is beyond the expectation as it is commonly believed that high shear force could lead to  
266 the smaller but more compact granules (Liu et al. 2002). Compared with R5, the high share  
267 force from mechanical stirrer in R6 resulted in more fluffy granules and only fluffy granules  
268 were observed after 216 h as seen in Fig. 4. SEM photos of the granules in R5 and R6 at 240  
269 h showed that filamentous bacteria growing from the interior of granules and coexisted with  
270 rod bacteria. Due to the overgrowth of filamentous bacteria, settling ability of granules in  
271 both R5 and R6 deteriorated leading to the biomass loss as shown in Fig. 5. The operation of

272 R5 and R6 were collapsed after 10-day operation. High mixed mechanical/pneumactical shear  
273 force in R6 alone was not able to maintain the stability of granules. This is likely that high  
274 shear force is not a critical factor for granule stability or high shear force alone is not enough  
275 to maintain granule stability. The results here indicate clearly that the factors which  
276 inducethe formation of aerobic granules are not same with those which could maintain the  
277 stability of the newly formed granules.

278

279

280 *3.4 The operational strategy to maintain the long-term stability of the rapidly formed aerobic*  
281 *granules*

282

283 Based on the aforementioned results, combined strong HSP with overstressed OLR enhanced  
284 aerobic granulation significantly. To investigate the long-term stability of these rapidly  
285 formed granules, reactor R3 started up with bulking sludge was operated more than 180 days.

286 Although high OLR is beneficial to accumulate enough biomass in reactors and shorten time  
287 required to reach the steady state, it has been reported that high OLR led to fluffy granules  
288 and the instability of reactor operation (Zheng et al. 2006). To maintain the long-term

289 stability of rapidly formed granules, the cycle time in R3 was extended from 1 h to 2 h to  
290 reduce the OLR from 12 to 6 g COD/L-d after 2-week operation. During the following

291 5.5-month operation, granules in R3 always maintained good settling ability, constant  
292 granule size and biomass concentration as shown in Fig. 7, which demonstrated that it was



293 feasible to use 12 g COD/L·d OLR combined with strong HSP to start up reactor within 1-2  
294 weeks and maintain the long-term operational stability of granules with reduced OLR such as  
295 6 g COD/L·d.

296

## 297 **4. Discussion**

298

### 299 *4.1. Fast granulation using the combined strong HSP with overstressed OLR*

300

301 Aerobic granules appeared within 24 hours in all reactors with different inoculums, different  
302 exchange ratios, different reactor configurations, different shear force by using the combined  
303 aeration and mechanical stirrer, which highly underlined that the combined strong HSP with  
304 overstressed OLR are crucial factors for fast granulation. Table 2 summarizes all fast  
305 granulation strategies reported so far and the comparison between them. For a fair  
306 comparison, the time for appearance of aerobic granules and the time taken for reaching the  
307 steady state in terms of granule size and biomass concentration were used. It can be seen  
308 from Table 2 that the strategy using combined HSP and overstressed OLR in this study  
309 achieved the fastest granulation with granules appeared within one day and steady state  
310 reached within three days.

311 Aerobic granulation is a process that bacteria could be self-aggregated and form compact  
312 structure with a size of 0.1-5 mm. It has been thought that the basis for aerobic granulation is  
313 a repetitive selection for sludge particles cultivated in the SBR such that denser components

314 are retained in the system while lighter and dispersed particles are washed out (Qin et al.  
315 2004b). Settling time and HRT controlled by cycle time and exchange ratio in sequencing  
316 batch reactor were thought to be the most important hydraulic selection pressure for aerobic  
317 granulation (Qin et al., 2004a; Wang et al., 2006). However, much biomass would be washed  
318 out and incomplete COD degradation would happen if strong hydraulic selection pressure  
319 was used e.g. settling time and HRT were too short. The operational strategy with step-wise  
320 decreased settling time is thus usually used to avoid the biomass wash-out during the start-up  
321 period for aerobic granular sludge reactor. Meanwhile, cycle time longer than 3 h is usually  
322 used to provide a periodical feast-famine phase in a single cycle, which was considered as a  
323 critical factor for the granulation (Tay et al., 2001, Li et al. 2006). Generally, 2 weeks were  
324 required to grow compact aggregates and more than one month were taken to form mature  
325 granules at step-wise decreased settling time in a lab-scale reactor. However, it can be seen  
326 very clearly from Fig. 2 that although the changing trends of biomass concentration and  
327 sludge SVI with the traditional step-wise decreased settling time at the beginning of reactor  
328 operation were similar to those in R2 and R3 during the first 24 hours, the changes in R1  
329 during 336 h were far more mild with much smaller slopes compared with R2 and R3. To  
330 enhance aerobic granulation, these changes have to become drastically by strong HSP.

331

332 In addition, it has been reported that starvation is not prerequisite for aerobic granulation (Liu  
333 et al. 2007a). Overstressed OLR has been proved to induce more EPS production which is  
334 favorable for granulation (Yang et al. 2014). Accelerated start-up and granulation processes

335 by the application of stressing OLR without achieving complete substrate removal was also  
336 reported in UASB (Francese et al., 1998; Show et al., 2004). Therefore, OLR of 8 and 12 g  
337 COD/L·d combined with strong hydraulic selection pressure was used for the fast start-up of  
338 aerobic granular sludge reactor in this study. High OLR is crucial not only for changing the  
339 aggregation ability of flocs by secreting more EPS or changing cell surface properties but  
340 also for the rapid biomass accumulation as shown in Fig. 3.

341

#### 342 *4.2 Uncoupled optimal conditions for fast granulation and maintaining long-term stability of* 343 *granules*

344

345 Although granules appeared within 24 hours in all reactors, compact granules turned into  
346 fluffy leading to the biomass washout and the collapse of reactor operation after a certain  
347 period in R5 and R6. Obviously, the optimal conditions for aerobic granulation and  
348 maintaining the long-term stability are different due to the changes of sludge size, biomass  
349 concentration and fluid mixing in reactor. In this study, OLR of 8 and 12 g COD/L·d were  
350 used for accelerating aerobic granulation. However, it has been reported that high OLR such  
351 as OLR of 8 g COD/L·d could lead to the instability of aerobic granules (Zheng et al. 2006).  
352 It was believed that a high COD loading easily led to outgrowth of filaments and unstable  
353 reactor operation (Beun et al., 1999; de Kreuk, 2005). The results by Chen et al. (2008)  
354 showed that aerobic granules could maintain a long-term stability even at the OLR of 15 g  
355 COD/L·d when high aeration rate was used. In addition, the low aeration rate such as 0.3

356 cm/s in famine period did not affect the long-term stability of aerobic granules (Liu and Tay,  
357 2006). Thus, the instability of aerobic granules under high OLR might mainly come from  
358 the DO limitation in the feast period. In this study, since COD was ranging from 600-900  
359 mg/L during the first 120 h in R3 due to low biomass concentration, DO was still as high as 6  
360 mg/L even with OLR of 12 g COD/L·d. DO limitation in R3 with high OLR during  
361 granulation period was thus reduced by low biomass concentration. To maintain the stability  
362 of rapidly formed granules by exerting high OLR and strong HSP, increasing aeration rate or  
363 reducing OLR should be adopted after granules are formed. The cycle time of reactor R4 was  
364 extended to 2 h with OLR reduced accordingly to 6 g COD/L·d after 2-week operation. The  
365 results in R4 indicated that rapidly formed granules were able to maintain the good stability  
366 during 6-month operation period. In addition, it was thought that the rapid growth of bacteria  
367 in granules was likely to result in the instability of aerobic granules. Thus, slow-growing  
368 bacteria were selected to enhance the long-term stability of aerobic granules (de Kreuk et al.,  
369 2004). However, R3 demonstrated that granulation speed and bacteria growth rate in the  
370 start-up period does not affect the long-term stability of granules as long as the optimal  
371 conditions are used respectively. Therefore, it is very efficient to start up aerobic granular  
372 sludge reactor with the strong HSP and high OLR for the rapid granulation while reduced  
373 OLR or improved aeration rate are used to maintain the long-term stability of aerobic  
374 granules.

375

376 *4.3 Mechanism of fast granulation using the combined hydraulic selection pressure with*

377 *overstressed OLR*

378

379 Different hypothesis has been proposed to try to explain the mechanism of aerobic

380 granulation. However, the most hypotheses proposed were based on certain specific

381 experiments within a certain limits, which was thus easily contradictory to the experimental

382 data reported later with different operational conditions. For example, starvation was

383 believed to be as the most important factor for aerobic granulation (Tay et al. 2001; Li et al.

384 2006), but granules were cultivated successfully without starvation (Liu et al. 2007a). Short

385 settling time was thought to be crucial for the formation of aerobic granules (Qin et al. 2004),

386 but aerobic granules were still formed with long settling time such as 30 min (Wan et al.

387 2011). In this study, various factors such as inoculums, reactor configuration, mechanical

388 shear force, exchange ratio have been investigated with the combined strong HSP and

389 overstressed OLR strategy for fast granulation. The successful granulation under various

390 conditions indicates that there are common crucial factors for fast granulation and a

391 mechanism could thus be proposed to explain this phenomenon. Fig. 8 depicted the

392 mechanism of fast granulation with the combined strong HSP and overstressed OLR strategy.

393 In the granulation phase, strong hydraulic selection pressure leads to slightly diluted (when

394 seeded with well settled sludge in slim reactors) or significantly diluted (when seeded with

395 poorly settled sludge or in a evenly mixed reactor) flocs, which experience overstressed OLR

396 as well as strong hydraulic selection to stimulate EPS production (Yang et al. 2014) and cell

397 surface property change for easy aggregation. Due to the strong hydraulic selection pressure,  
398 the flocs which do not aggregate to form granules are washed out leaving only granules in  
399 reactor. In the second phase, granules multiply very quickly under overstressed OLR to reach  
400 steady state. The rapid rise of biomass concentration involves the increase in both granule  
401 size and granule number, which follows the sigmoidal growth curve, a typical growth  
402 characteristic of pure bacteria. In this sense, we could consider the newly formed granules as  
403 granule inoculums to grow and multiply. Since granule cannot compete with flocs in terms of  
404 substrate utilization and biomass growth (Liu et al. 2012), the wash-out of enough flocs from  
405 reactors immediately by strong hydraulic selection pressure is a prerequisite for newly  
406 formed granules to grow exclusively. Weaker hydraulic selection pressure still could lead to  
407 granulation, but the granule percentage in the mixed sludge would decrease with the  
408 reduction in strength of hydraulic selection pressure (Qin et al. 2004a).

## 409 **5. Conclusions**

410 The strategy with combined strong HSP and overstressed OLR to speed up aerobic  
411 granulation was applicable to wider scenarios regardless of settling ability of seed sludge,  
412 exchange ratio between 50% and 70%, reactor configuration with different diameter and  
413 reactor H/D ratio, and mechanical/pneumatic shear force. Although high OLR may lead to  
414 fluffy granules and collapse of reactor operation, the rapidly formed granules with the fast  
415 start-up strategy were able to maintain long-term stability by reducing OLR to a suitable  
416 level after the steady state was reached. The results in this study indicates that the crucial  
417 conditions for aerobic granulation and maintaining long-term stability of aerobic granules are

418 different, which could be well controlled to achieve both fast granulation and long-term  
419 stability.

420

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**Fast formation of aerobic granules by combining strong hydraulic selection pressure with overstressed organic loading rate**

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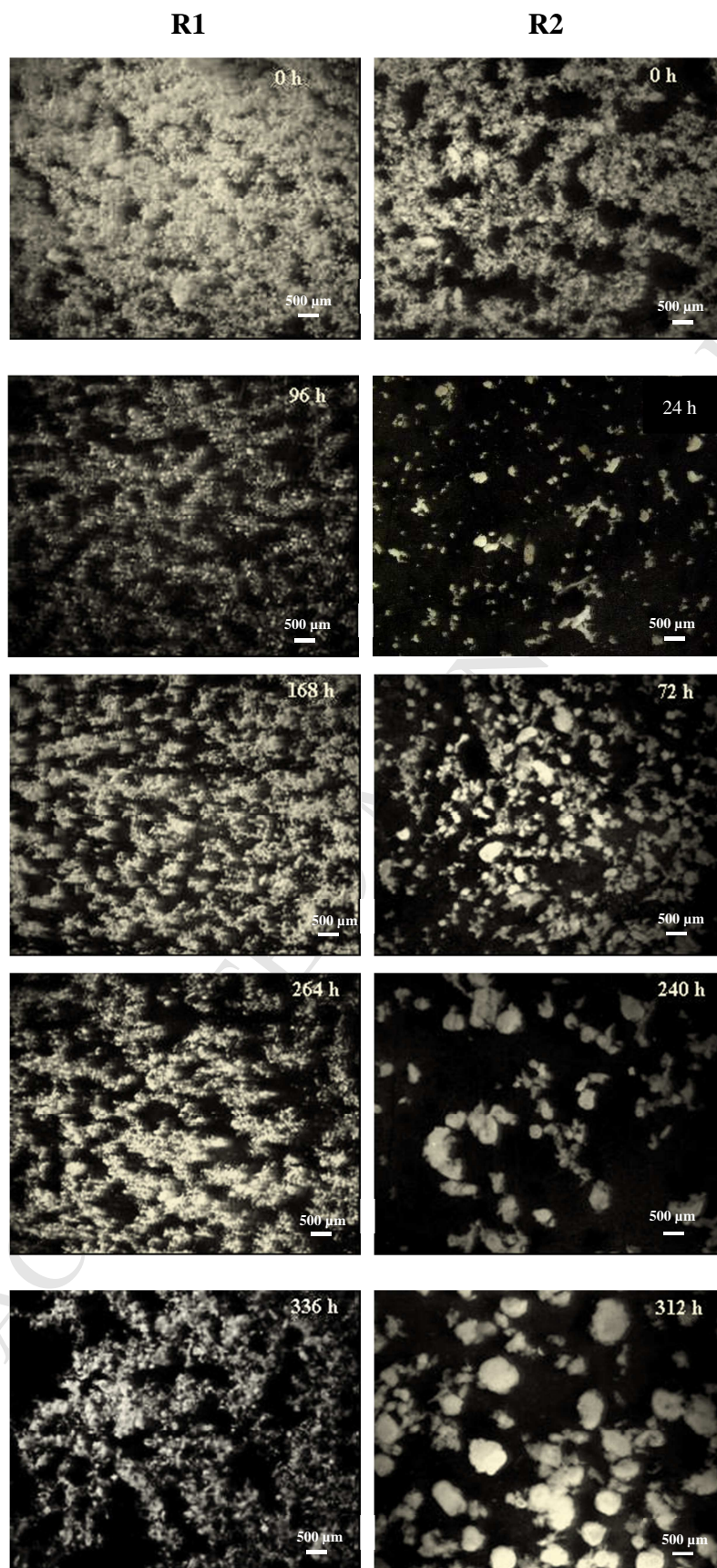


Fig. 1 Morphology of sludge in R1 and R2 in the first two weeks with a multiplication of 6.3

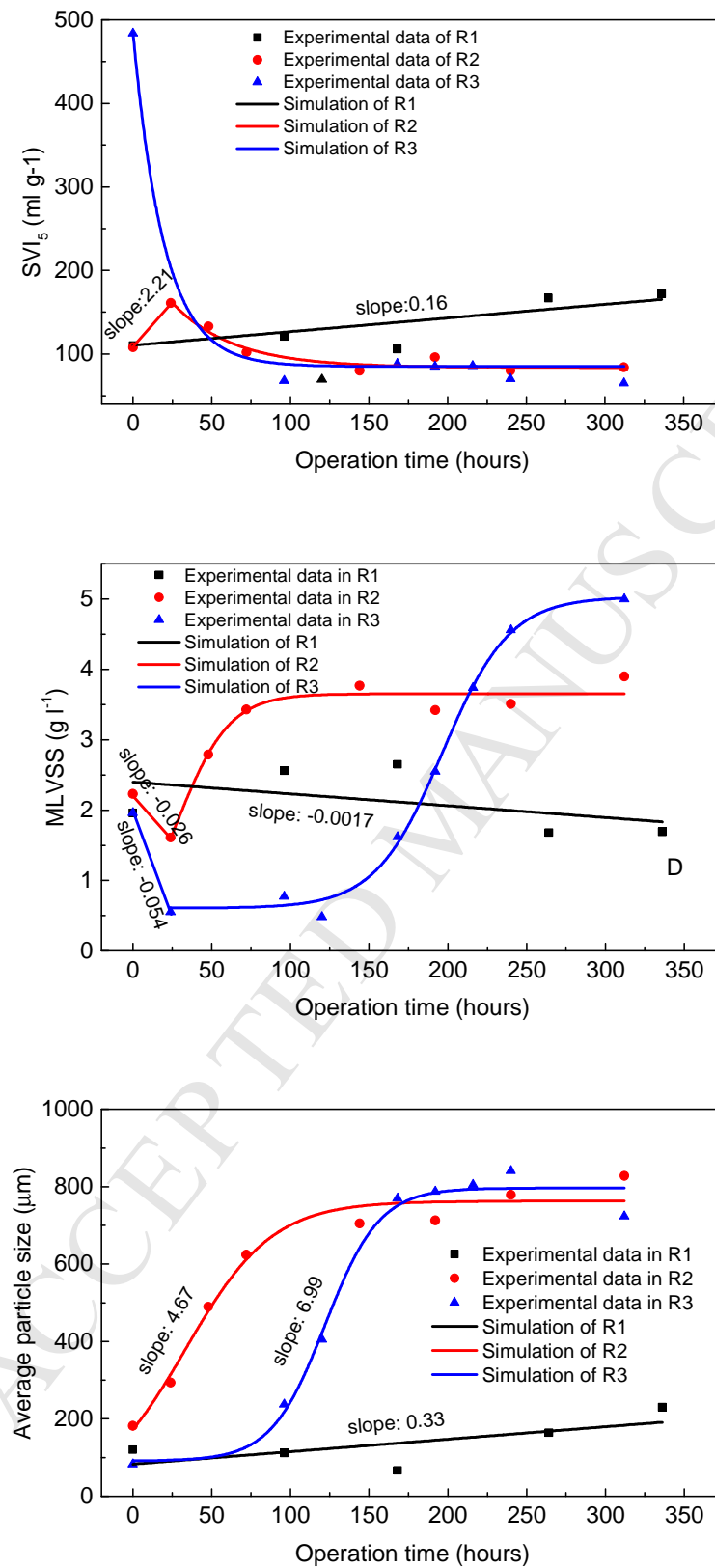


Fig. 2 Evolution of SVI<sub>5</sub>, MLVSS and average particle size of sludge in R1, R2 and R3 in the first two weeks

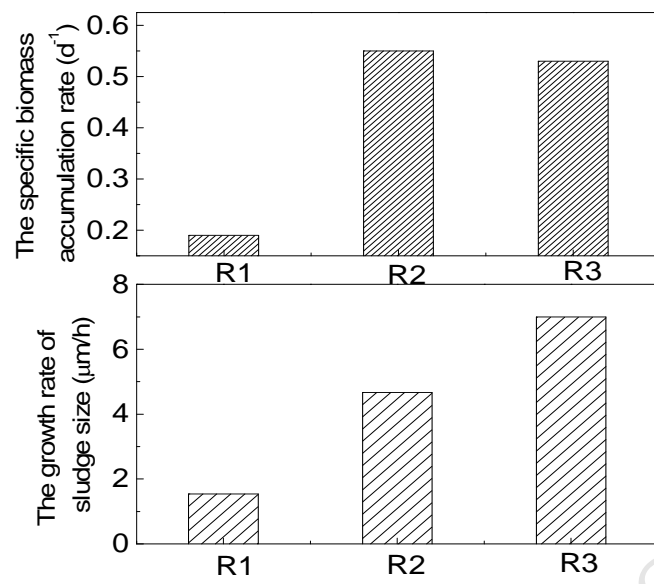


Fig. 3 The specific biomass growth rate and the particle size growth rate of sludge in R1, R2 and R3 during the exponential growth period

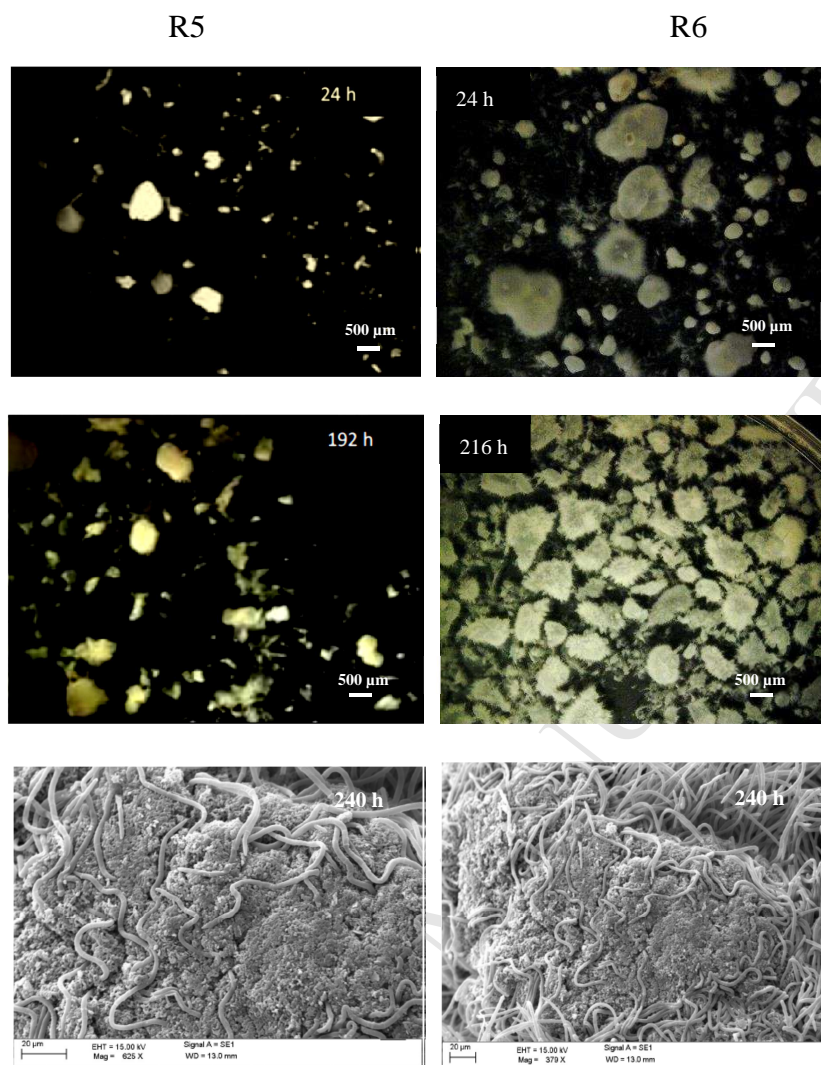


Fig. 4 Morphology of sludge in R5 and R6 in the first ten days observed by Image Analyzer and SEM



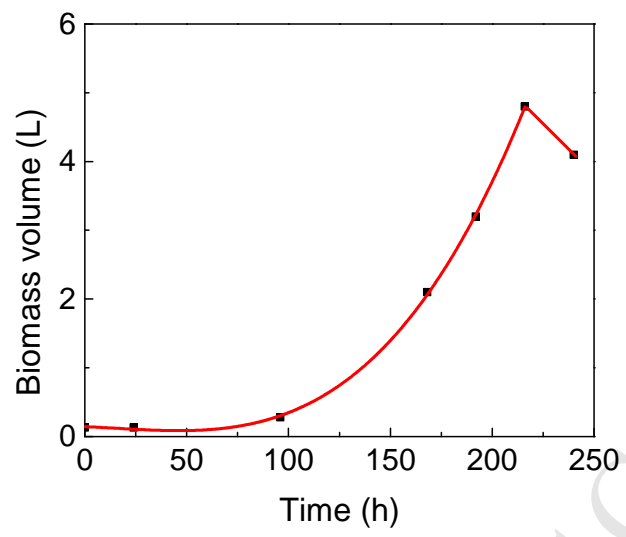


Fig. 5 Biomass accumulation in reactor R5 over operation time

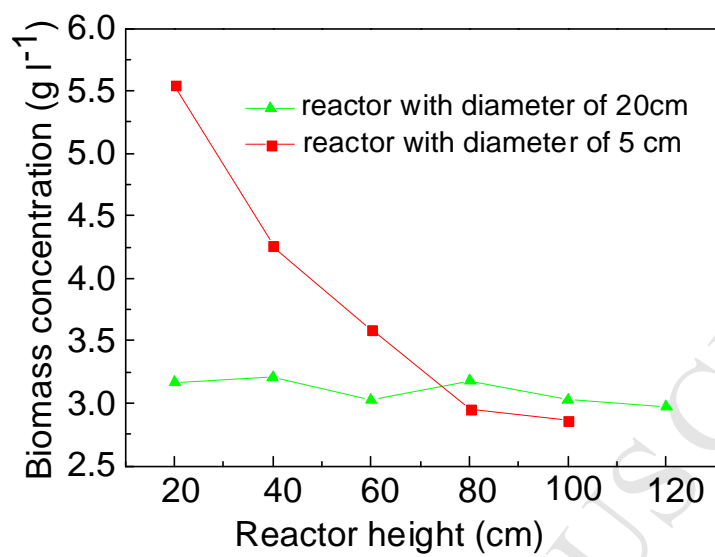


Fig. 6 Concentrations of aerobic granular sludge along reactor height in 5-cm diameter and 20-cm diameter reactors

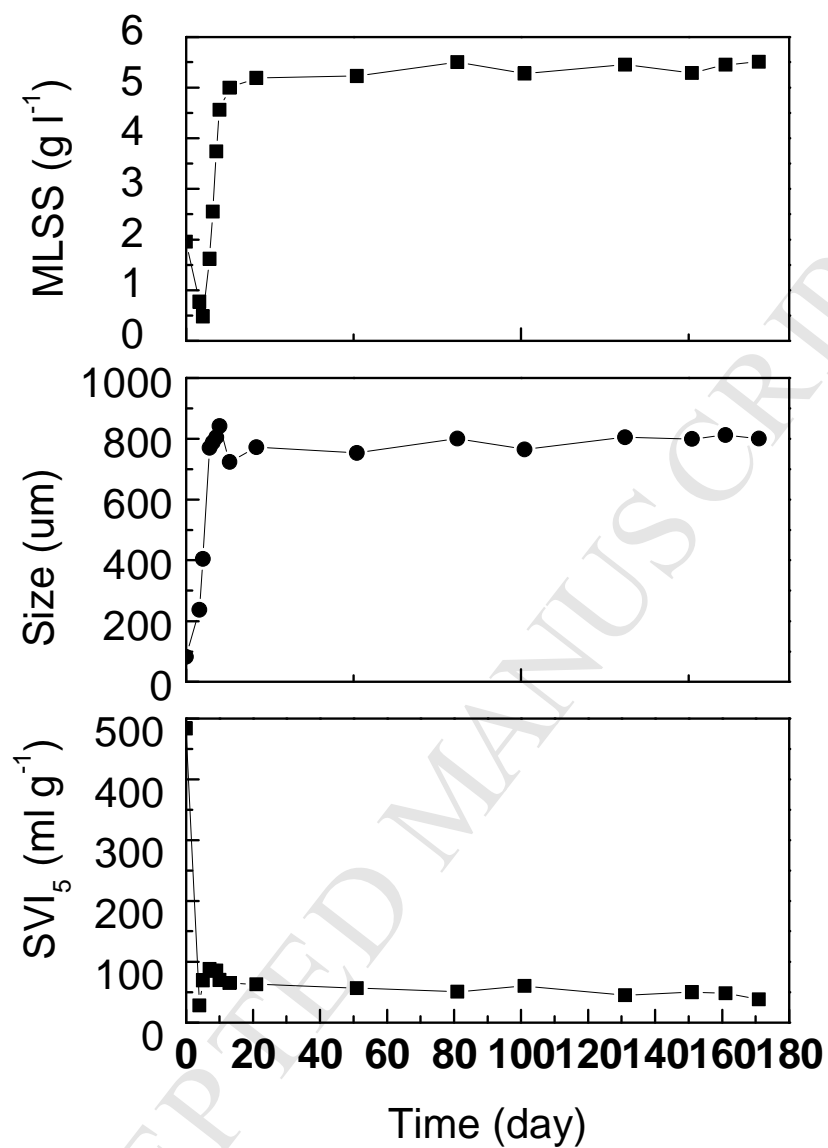


Fig. 7 Evolution of biomass concentration, average particle size and SVI<sub>5</sub> of sludge in reactor in R3 with long-term operation

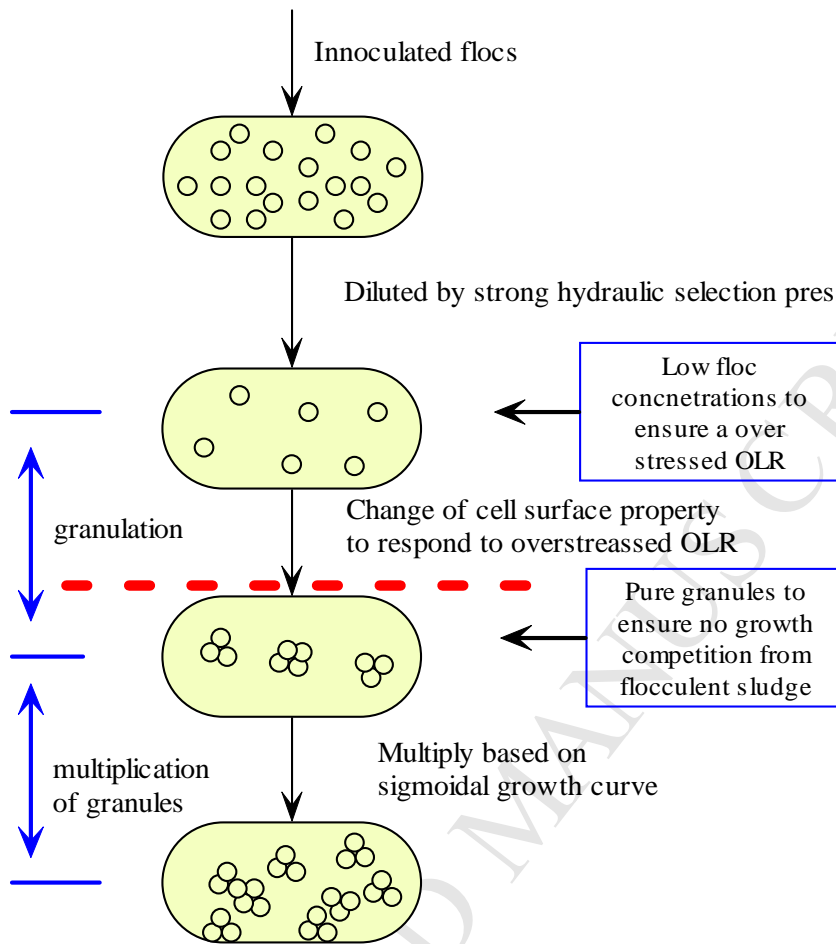


Fig. 8 Mechanism of fast aerobic granulation with the combined strong HSP and overstressed

OLR

Table 1 Reactor characteristics and detailed experimental conditions of the sequencing batch

reactors										
Reactor	Reactor diameter (cm)	Reactor H/D	Reactor working volume (L)	Stirrer (120 rpm)	SVI of inoculums (ml g <sup>-1</sup> )	HRT (h)	Cycle time (h)	Exchange ratio (%)	Settling time (min)	Organic loading rate (g COD L <sup>-1</sup> d <sup>-1</sup> )
Effects of initial settling time										
R1	5	20	2	-	108	4	2	50	20-2*	8
R2	5	20	2	-	108	4	2	50	2	8
Effects of property of seed sludge										
R2	5	20	2	-	108	4	2	50	2	8
R3	5	20	2	-	433	2	1	50	2	12
Effects of exchange ratio										
R2	5	20	2	-	108	4	2	50	2	8
R4	5	20	2	-	108	4	2	70	2	8
Effects of reactor H/D ratio and reactor diameter										
R2	5	20	2	-	108	4	2	50	2	8
R5	20	4	25	-	120	2	1	50	2	12
Effects of mechanical shear force										
R5	20	4	25	-	120	2	1	50	2	12
R6	20	4	25	+	120	2	1	50	2	12
Long term stability of granule with fast formation using strong hydraulic selection pressure										
R3	5	20	2	-	433	2-4	1-2	50	2	12-6**

\* 0-7 days, settling time 20 min; 7-11 days, settling time 15 min; 11-14 days, settling time 10 min; 14-18 days, settling time 7 min; 18-21 days, settling time 5min; after 21 days, settling time 2 min.

\*\* 0-14 days, organic loading rate 12 g/L•d; after 14 days, organic loading rate 6 g/L•d

Table 2 Comparison of aerobic granulation speed with different strategies for enhancement

Strategies for improving aerobic granulation	Wastewater treatment	Time taken for the appearance of granules (days)	Time taken to achieve steady state (days)	References	
Addition of metal ions or metal	Ca <sup>2+</sup>	COD	16	50	Jiang et al. 2003
	Mg <sup>2+</sup> , Al <sup>3+</sup>	COD and N removal	-	43	Wang et al. 2012
	zero-valent Fe	COD and N removal	-	43	Kong et al. 2014
Bioaugmentation by pure culture/sludge with high aggregation ability	pure culture isolated from aerobic granules	COD	2	8	Ivanov et al. 2006
	crushed granules	COD and N removal	-	60	Verawaty et al. 2012
Selection of good seed sludge	Stored aerobic granules	COD	-	20	Liu et al. 2005
	sludge from beer wastewater treatment plant	-	7	35	Song et al. 2010
Addition of carrier media	granular activated carbon	Low strength COD	-	100	Li et al. 2011
	poly aluminum chloride	COD	7	30	Liu et al. 2014
Manipulation of operational conditions	Shortening settling time	COD	7	21	Qin et al. 2004
	Extending starvation period	COD	13	-	Gao et al. 2011
	Increasing OLR and Ca <sup>2+</sup> addition	COD	21	-	Gao et al. 2011
	Alternating feed loadings	COD	8	-	Yang et al. 2014
	Combined strong HSP and overstressed OLR	COD	0.29	12	Zhang et al. 2013
	Combined strong HSP and overstressed OLR with bulking sludge as seed	COD	1	10	This study
	Combined strong HSP and overstressed OLR with well settled sludge as seed	COD	1	3	This study

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Effects of initial settling time										
R1	5	20	2	-	108	4	2	50	20-2*	8
R2	5	20	2	-	108	4	2	50	2	8
Effects of property of seed sludge										
R2	5	20	2	-	108	4	2	50	2	8
R3	5	20	2	-	433	2	1	50	2	12
Effects of exchange ratio										
R2	5	20	2	-	108	4	2	50	2	8
R4	5	20	2	-	108	4	2	70	2	8
Effects of reactor H/D ratio and reactor diameter										
R2	5	20	2	-	108	4	2	50	2	8
R5	20	4	25	-	120	2	1	50	2	12
Effects of mechanical shear force										
R5	20	4	25	-	120	2	1	50	2	12
R6	20	4	25	+	120	2	1	50	2	12
Long term stability of granule with fast formation using strong hydraulic selection pressure										
R3	5	20	2	-	433	2-4	1-2	50	2	12-6**

\* 0-7 days, settling time 20 min; 7-11 days, settling time 15 min; 11-14 days, settling time 10 min; 14-18 days, settling time 7 min; 18-21 days, settling time 5min; after 21 days, settling time 2 min.

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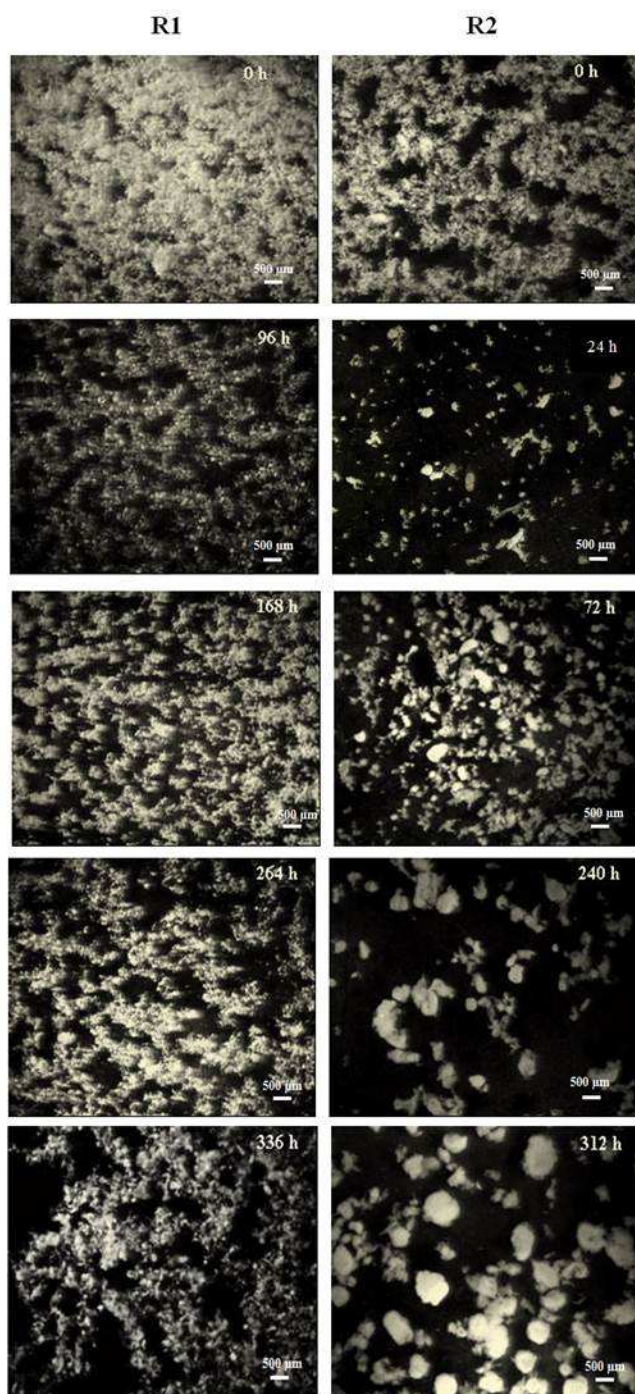


Fig. 1 Morphology of sludge in R1 and R2 in the first two weeks with a multiplication of 6.3

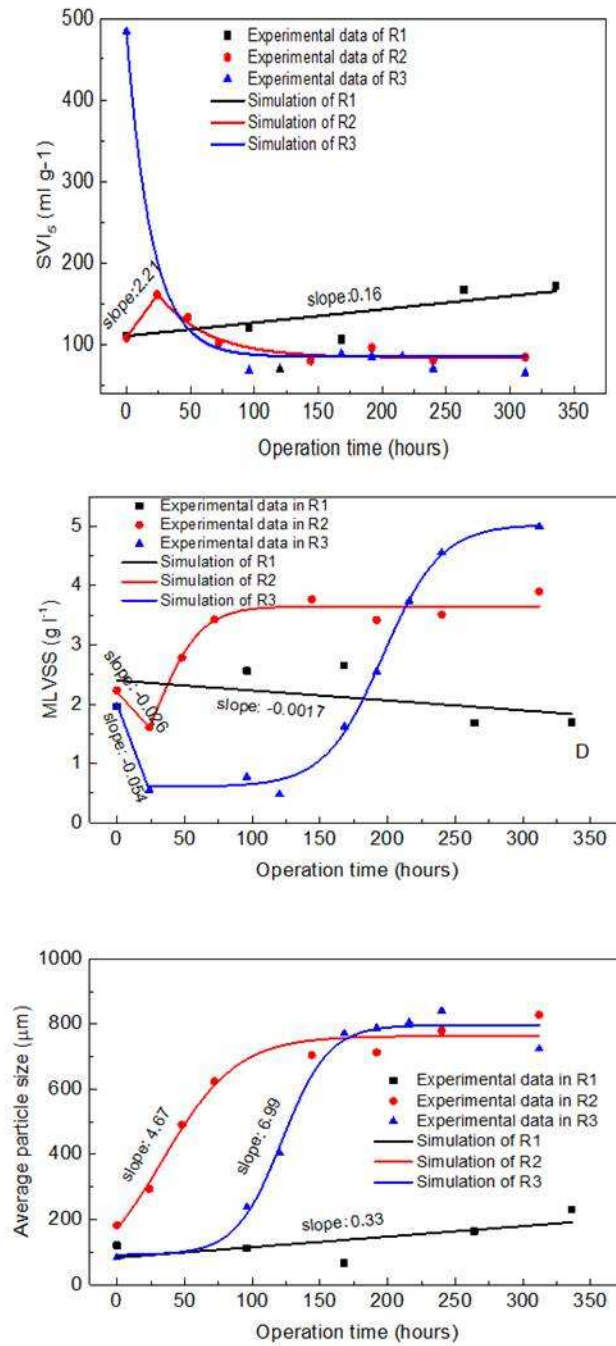


Fig. 2 Evolution of SVI<sub>15</sub>, MLVSS and average particle size of sludge in R1, R2 and R3 in the first two weeks

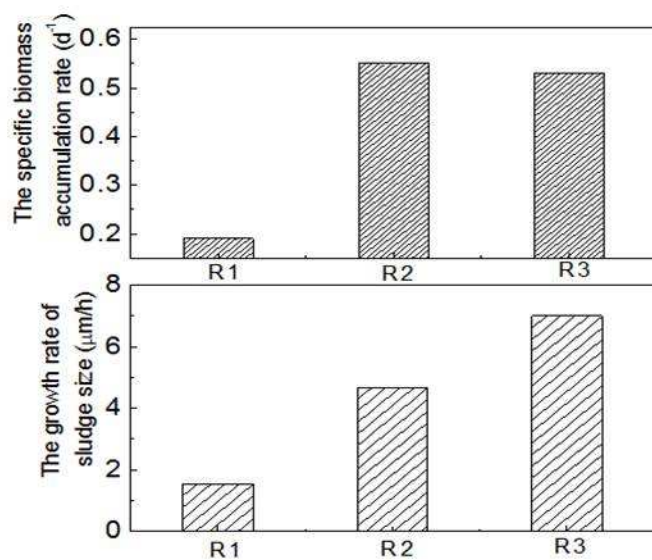


Fig. 3 The specific biomass growth rate and the particle size growth rate of sludge in R1, R2 and R3 during the exponential growth period

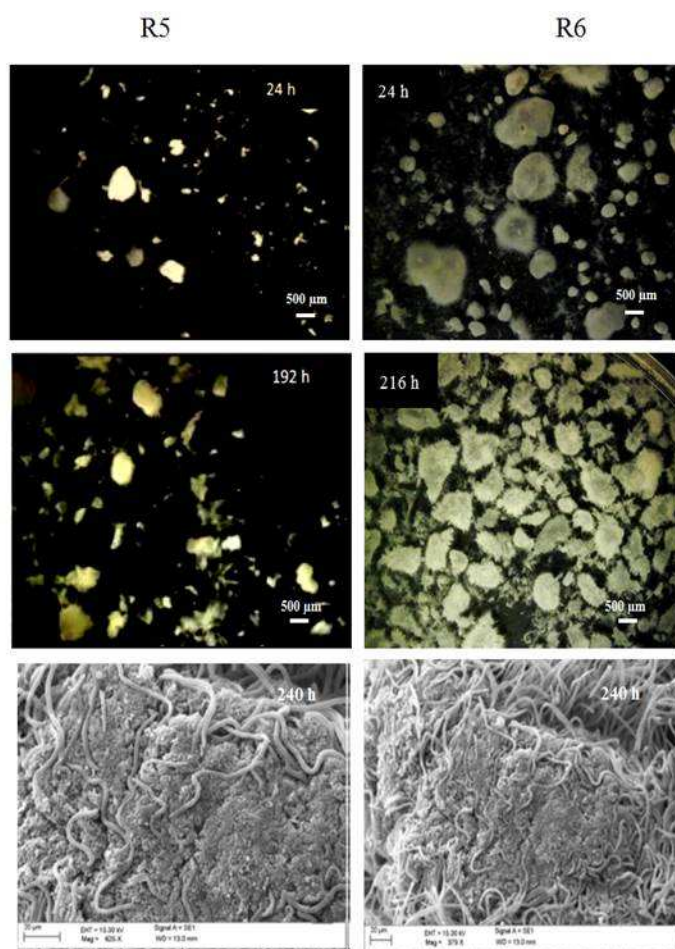


Fig. 4 Morphology of sludge in R5 and R6 in the first ten days observed by Image Analyzer and SEM

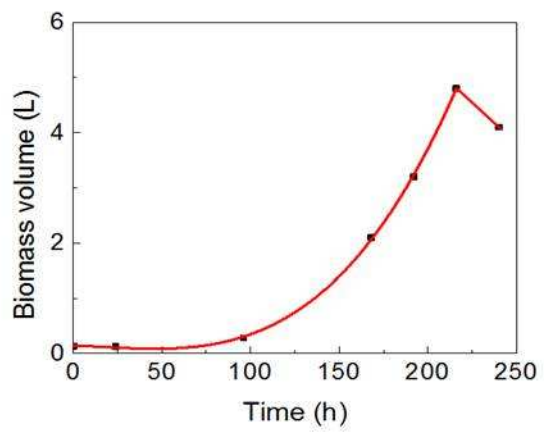


Fig. 5 Biomass accumulation in reactor R5 over operation time

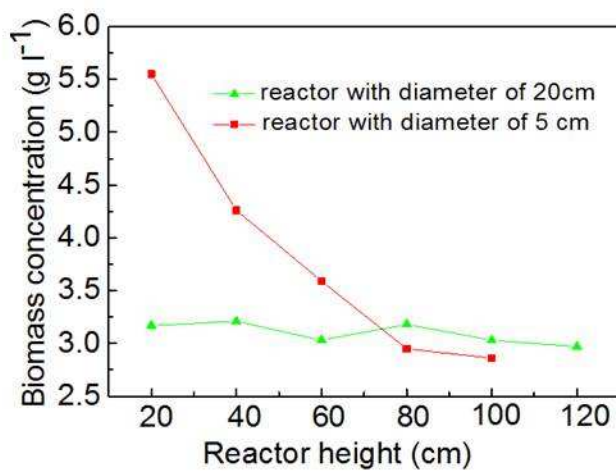


Fig. 6 Concentrations of aerobic granular sludge along reactor height in 5-cm diameter and 20-cm diameter reactors

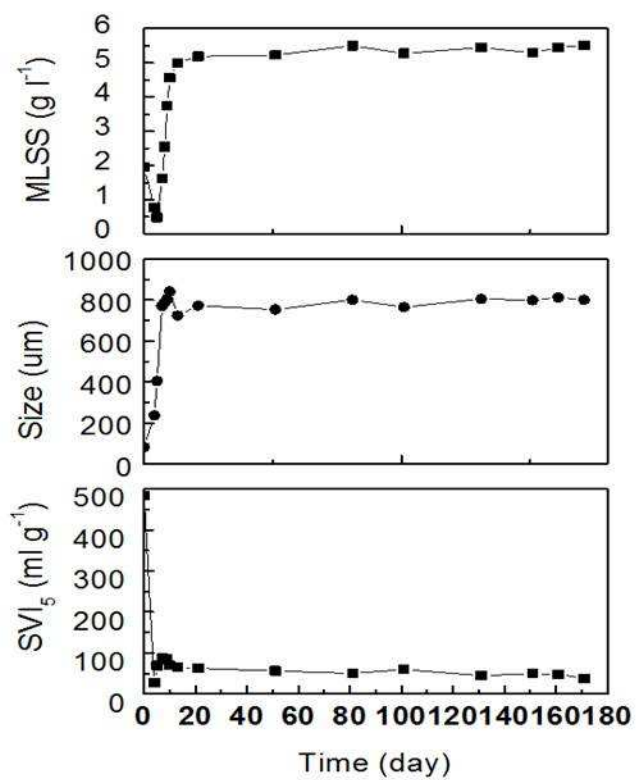


Fig. 7 Evolution of biomass concentration, average particle size and SVI<sub>5</sub> of sludge in reactor in R3 with long-term operation

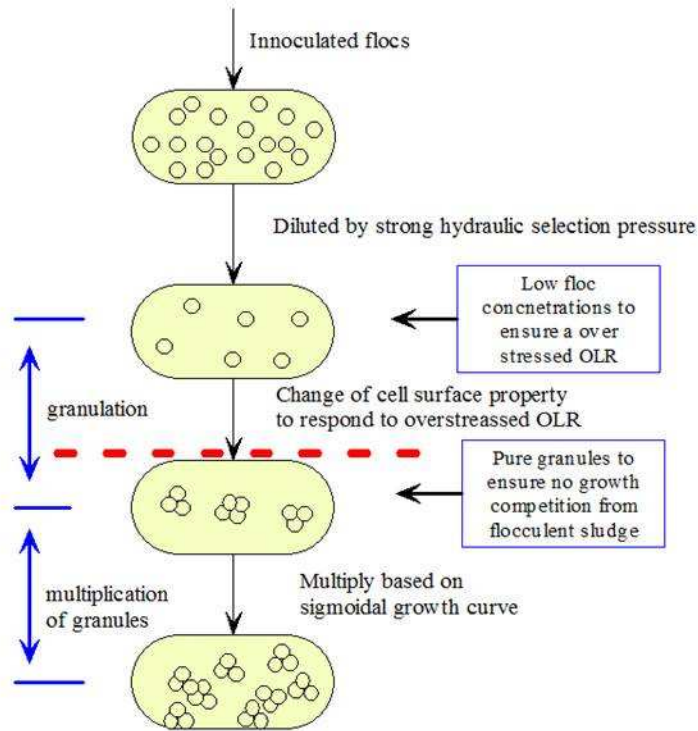


Fig. 8 Mechanism of fast aerobic granulation with the combined strong HSP and overstressed OLR



## Highlights:

- A new operational strategy was used to speed up aerobic granulation
- Suspended sludge turned into aerobic granules within 24 hours
- Steady state of aerobic granule reactor was reached within 3 days
- Seed sludge, exchange ratio, reactor configuration and shear force did not affect granulation
- Rapidly formed granules maintained long-term stability at reduced organic loading rate