

# Spectral-Efficient Bidirectional Decode-and-Forward Relaying for Full-Duplex Communication

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**Abstract**—As a benefit of sophisticated interference cancelation techniques, full-duplex (FD) transceiver design may become feasible, even possibly on the aggressive time-scale of fifth-generation (5G) wireless communication systems. Hence, we further develop the recent bidirectional relaying [i.e., the two-way half-duplex (HD) relaying] aided cooperative network to its more radical counterpart, which entirely consists of FD entities for the sake of adapting to emerging FD communication scenarios. In more detail, the proposed bidirectional relaying-aided FD network operates in a decode-and-forward (DF) style and exploits the advanced network coding (NC) concept. We analyze its achievable error-free data rate, where the effects of both the self-interference (SI) and of the geographic location of the relay node (RN) are evaluated. Furthermore, the potential variations of the networking scenario are also taken into account. Based on this theoretical analysis, the optimum rate allocation scheme maximizing the system's error-free data rate is found. Our results demonstrate that a significant spectral efficiency gain is achieved by the proposed system.

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## I. INTRODUCTION

A set of cooperating mobiles may be viewed as a distributed multiple-input-multiple-output (MIMO) system relying on the spatially distributed single antennas of the cooperating mobiles, where the correlation of the antenna elements imposed by their insufficient separation experienced in conventional MIMO systems is efficiently avoided [1]. Furthermore, the detrimental path-loss effects may also be significantly miti-

gated by incorporating relay nodes (RNs) along the source-to-destination link, which results in an increased radio coverage area. However, despite these benefits, cooperation techniques impose their own problems as well. In the early stage of the node-cooperation research, constrained by the fact that practical transceivers cannot transmit and receive at the same time, the classic relaying regimes [2]–[4] had to rely on a pair of orthogonal channels for the reception and transmission at the RN. This implies that the conventional relaying regimes typically impose a factor-two throughput loss compared to their direct-transmission-based counterparts.

For the sake of recovering the throughput loss imposed by half-duplex (HD) relaying, sophisticated relaying protocols may be used [5]–[7]. For the particular scenario of two nodes exchanging messages with the aid of an RN, HD-based two-way relaying was devised in [5] and [8], which is capable of efficiently compressing the four distinct transmission phases required by conventional relaying regimes into three or even just two phases. Another conceptually straightforward solution conceived for avoiding the HD-relaying-induced throughput loss is that of replacing the HD relay (HDR) by a full-duplex relay (FDR). In this spirit, the early discussion of a practical FDR system was raised in [9]. The critical problem incurred in FDR is that a high-power interfering signal will be fed back to the RN's input from the RN's output, which results in the so-called "self-interference" (SI). Hence, abundant studies of the FDR concept focused on canceling or suppressing the SI, e.g., as shown in [10] and [11]. Along with the development of SI cancelation techniques, the theoretical analysis of the achievable performance of FDR systems was also carried out in [12]–[14], where the impact of SI was taken into account. Furthermore, the research of FDR systems was extended to multihop scenarios [15], [16].

However, if we extend our horizon a little further, the full-duplex (FD) transceiver design has substantial benefits beyond the scope of FDR systems. Recently, researchers at Stanford University made substantial progress in building FD radios [17], [18], although they still relied on utilizing multiple antennas. As a radical improvement of their early works, the first complete WiFi single-antenna aided FD link was reported a little later in [19], which is capable of reducing the SI to the noise floor by providing as much as 110 dB of linear cancelation, 80 dB of nonlinear cancelation, and 60 dB of analog cancelation. Based on these achievements in FD transceiver design, it is reasonable to expect that practical in-band FD systems may become a commercial reality in time for the emerging fifth-generation (5G) wireless networks [20].

Manuscript received April 20, 2015; revised August 6, 2015; accepted September 19, 2015. This work was supported by the National Natural Science Foundation of China (NSFC) under Project 61501383, by the National High-Tech R&D Program of China (863 Program) under Grant 2014AA01A707, by the Fundamental Research Funds for the Central Universities under Grant 2682015CX064, by the European Union under the auspices of the Concerto Project, by the Research Councils UK under the auspices of the India-UK Advanced Technology Centre known as IU-ATC, by European Research Council's Advanced Fellow Grant, and by the U.S. National Science Foundation under Grant CNS-1456793 and Grant ECCS-1343210. The review of this paper was coordinated by Dr. C. Xing.

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Digital Object Identifier 10.1109/TVT.2015.2483541

81 Given the aforementioned advances, the time has come for  
 82 incorporating the FD technique into each and every component  
 83 of a cooperative network. In this spirit, the early attempt of  
 84 adapting the spectral-efficient two-way relaying protocol to an  
 85 FD communication scenario had been reported by Cheng *et al.*  
 86 [21] and by Cui *et al.* [22], where amplify-and-forward (AF)  
 87 relaying and the associated analog network coding (NC) con-  
 88 cept were invoked at the RN. Then, Zheng [23] further extended  
 89 their networking prototype to a multihop architecture.  
 90 Against this background, our novel contributions are as  
 91 follows:

- 92
- 93 • We conceive a network topology, where a pair of FD users  
 94 exchange their information with the aid of an FD RN.  
 95 Correspondingly, we propose the bidirectional decode-  
 96 and-forward (DF) relaying concept for the sake of re-  
 97 taining the high spectral efficiency of FD communication,  
 98 while reducing the path-loss effect. Based on DF relaying,  
 99 a beneficial digital NC is conceived for the RN.
- 100 • We analyze the maximum achievable error-free data rate  
 101 (MAEFDR) of the proposed bidirectional DF-relaying-  
 102 aided FD network (BD-DF-FDN), where the effects of  
 103 both the SI and of the geographic location of the RN are  
 104 evaluated.
- 105 • The potential unbalance between the receive duration and  
 106 the transmit duration of the RN is also taken into account  
 107 in our analysis. Moreover, the MAEFDR of the proposed  
 108 system is maximized by our optimum transmission rate  
 109 allocation approach.

110 The remainder of this paper is organized as follows: The  
 111 network topology of our bidirectional DF relaying regime and  
 112 a range of important assumptions are introduced in Section II.  
 113 Consecutively, the convex region of our system is charac-  
 114 terized in Section III. Then, we commence the analysis of  
 115 MAEFDR of the proposed BD-DF-FDN in Section IV, where  
 116 the impact of the SI and that of the geographic RN location,  
 117 as well as that of the variations of the network framework,  
 118 are taken into account. Based on our optimum transmission  
 119 rate allocation scheme, the simulation results characterizing  
 120 the MAEFDR are provided in Section V. Finally, we conclude  
 121 this paper in Section VI.

## 122 II. SYSTEM MODEL

123 Here, we conceive the aforementioned bidirectional DF-  
 124 relaying-aided FD network, which is referred to as “BD-DF-  
 125 FDN,” where two FD users, namely, “User 1” and “User 2,”  
 126 exchange their information with the aid of an FD-DF two-way  
 127 (FD-DF-TW) RN. Observe in Fig. 1 that User 1 and User 2  
 128 broadcast their  $k$ th information frames  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$  at the  
 129 rates of  $R_1$  and  $R_2$ , respectively. Correspondingly, the RN  
 130 receives these signals and attempts to detect both  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$   
 131 and then employs the advanced NC concept in [24]–[27] for  
 132 creating another information frame  $\mathbf{I}_3[k]$ , which accommodates  
 133 both the information carried by  $\mathbf{I}_1[k]$  and that carried by  $\mathbf{I}_2[k]$ .  
 134 In more detail, let  $|\mathbf{I}[k]|$  represent the number of information  
 135 bits carried by  $\mathbf{I}[k]$ . Then, without loss of generality, we may

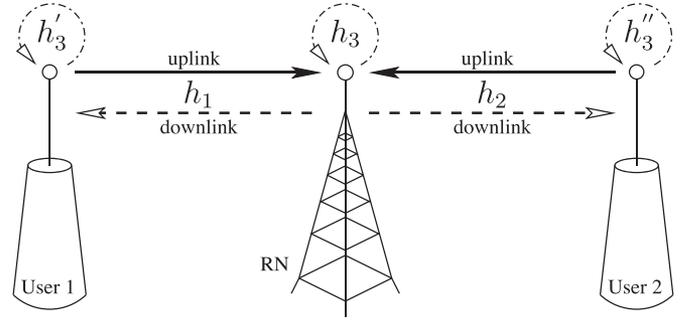


Fig. 1. Fundamental network topology of BD-DF-FDN: two FD users, namely, “User 1” and “User 2”, exchange their information with the aid of an FD-DF-TW relaying-based RN.

assume that  $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$ .<sup>1</sup> Hence, after detecting  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$ , the RN pads the frame  $\mathbf{I}_1[k]$  with zero bits for generating  $\mathbf{I}_1^p[k]$ , which satisfies  $|\mathbf{I}_1^p[k]| = |\mathbf{I}_2[k]|$ . Resultantly, the information frame  $\mathbf{I}_3[k]$  is created by the XOR operation at the RN as follows:

$$\mathbf{I}_3[k] = \mathbf{I}_1^p[k] \oplus \mathbf{I}_2[k]. \quad (1)$$

The entire process described earlier may be referred to as the uplink (UL) of BD-DF-FDN.

As a substantial advantage of FD transceivers, along with the aforementioned UL transmission of BD-DF-FDN, the RN is capable of simultaneously forwarding the information frame  $\mathbf{I}_3[k - \tau]$  in the same frequency band to both User 1 and to User 2, which was generated by the RN  $\tau$  time slots ago. Meanwhile, User 1 attempts to detect  $\mathbf{I}_2[k - \tau]$ , namely, the frame that was originally transmitted by User 2 and carried by  $\mathbf{I}_3[k - \tau]$ , which is achieved by implementing the XOR operation of  $\mathbf{I}_1^p[k - \tau] \oplus \mathbf{I}_3[k - \tau]$ . A similar detection process is implemented by User 2. These operations constitute the downlink (DL) of the BD-DF-FDN in Fig. 1.

As shown at the top of the antennas of User 1 and of User 2 as well as of the RN in Fig. 1, the high-power transmitted signal of these transceivers will be fed back to their receiver’s input, which results in the SI problem. Hence, instead of directly forwarding  $\mathbf{I}_3[k]$ , the RN forwards a previously generated information frame  $\mathbf{I}_3[k - \tau]$  in the DL of BD-DF-FDN, for the sake of guaranteeing that the output of the RN always remains uncorrelated with its simultaneous input, which is a precondition of achieving high-quality SI cancelation, as detailed in [11] and [13]. The number of information bits transmitted by the RN has to be equal to that input into it. Hence,  $\mathbf{I}_3[k - \tau]$  and  $\mathbf{I}_3[k]$  have the same number of information bits.<sup>2</sup> Moreover, it is assumed that User 1, User 2, and the RN may have the same SI suppression capability, owing to employing the same FD transceiver technique.

**Definition 2.1:** The time required by User 1 and 2 for transmitting  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$  to the RN via the UL of the BD-DF-FDN

<sup>1</sup>Without loss of generality, we explicitly take the case of  $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$  as an example. Apparently, the detailed NC operations associated with another case of  $|\mathbf{I}_2[k]| < |\mathbf{I}_1[k]|$  should obey similar principles.

<sup>2</sup>This implies that if  $\mathbf{I}_3[k - \tau] = \mathbf{I}_1^p[k - \tau] \oplus \mathbf{I}_2[k - \tau]$ , then we may assume that  $|\mathbf{I}_3[k - \tau]| = |\mathbf{I}_3[k]|$ ,  $|\mathbf{I}_1^p[k - \tau]| = |\mathbf{I}_1^p[k]|$ , and  $|\mathbf{I}_2[k - \tau]| = |\mathbf{I}_2[k]|$ .

171 in Fig. 1 is regarded as the UL period. Simultaneously, the  
172 time required by the RN for broadcasting  $\mathbf{I}_3[k]$  to both User 1  
173 and 2 via the DL of the BD-DF-FDN is regarded as the DL  
174 period. Finally, the time required for completing a pair of UL  
175 and DL periods is regarded as a complete BD-DF-FDN period.  
176 Naturally, the BD-DF-FDN period is equal to max [UL period,  
177 DL period].

178 The path-loss reduction gain (PLRG) achieved by the re-  
179 duced transmission distance experienced in cooperative sys-  
180 tems is introduced next. As detailed in [28], the average PLRGs  
181 of the User-1-to-RN link and of the User-2-to-RN link are  
182 given by  $G_1 = (D/D_1)^\alpha$  and  $G_2 = (D/D_2)^\alpha$ , respectively,  
183 where  $D, D_1, D_2$  are the distances from User 1 to User 2, from  
184 User 1 to the RN, and from User 2 to the RN, respectively.  
185 Throughout this paper, the path-loss exponent is fixed to  $\alpha = 4$ ,  
186 for representing a typical urban area. In practice, the direct  
187 link between User 1 and User 2 of our system may become  
188 weak, while simultaneously being interfered by the strong  
189 contaminating signal of the RN. Hence, similar to [21] and [22],  
190 it may be reasonable to ignore the signal received via this  
191 direct link in Fig. 1. Then, all the possible propagation  
192 paths in our BD-DF-FDN are assumed to be the flat block-  
193 fading Rayleigh channels, where the fading coefficient of a  
194 channel remains constant over a block period but fluctuates  
195 in a flat independent Rayleigh fading manner among different  
196 blocks. It is also assumed that they are reciprocal channels,  
197 which means that the channel from User 1 to the RN is  
198 identical to that from the RN to User 1 during the same period.  
199 Furthermore, we assumed that a BD-DF-FDN period happens  
200 to overlap a block period of the associated channels. Finally, we  
201 do not consider any sophisticated power allocation scheme in  
202 this paper. We equitably share the entire power among User 1,  
203 User 2, and the RN, i.e., we have  $P_1 = P_2 = P_3 = P$ , where  
204  $P_1, P_2, P_3$  is the transmit power of User 1, User 2, and the RN,  
205 respectively.

206 Based on these assumptions, the signal received at the RN  
207 within the transmission of a specific information frame is given  
208 by  $y_3 = h_1\sqrt{G_1}S_1 + h_2\sqrt{G_2}S_2 + h_3S_3 + n_3$ , where  $h_1$  and  
209  $h_2$  are the fading coefficients of the User-1-to-RN link and of  
210 the User-2-to-RN link, respectively, while  $S_1, S_2, S_3$  represent  
211 the symbols transmitted by User 1, User 2, and the RN, respec-  
212 tively. Finally,  $n_3$  is the additive white Gaussian noise (AWGN)  
213 imposed on the RN, which obeys  $n_3 \sim \mathcal{CN}(0, \sigma^2)$ . Specifi-  
214 cally, the signal component  $h_3S_3$  captures the SI imposed on  
215 the RN, as shown in Fig. 1, where  $h_3$  may be regarded as the  
216 attenuation of the SI channel. After implementing the SI can-  
217 celation, the residual SI becomes  $\tilde{h}_3S_3$ , owing to a potentially  
218 imperfect cancellation process. Let us define the SI suppression  
219 factor as  $G_{\text{SI}} = 1/|\tilde{h}_3|^2$ , which is inversely proportional to the  
220 power of the residual SI. Consequently, after SI cancelation, the  
221 received signal  $y_3$  may be modified to

$$y_3 = h_1\sqrt{G_1}S_1 + h_2\sqrt{G_2}S_2 + \tilde{h}_3S_3 + n_3. \quad (2)$$

### 222 III. CONVEX REGION OF $(R_1 + R_2)$

223 Based on the system model built in Section II, particularly on  
224 the physical concepts introduced in Section II, we now define

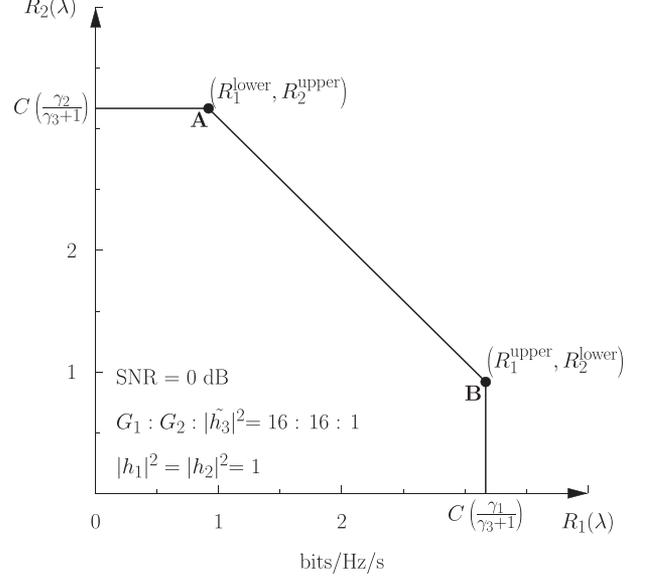


Fig. 2. Convex region of the rate pair  $(R_1 + R_2)$ , where a scenario having “SNR = 0 dB;  $G_1 : G_2 : |\tilde{h}_3|^2 = 16 : 16 : 1$ ;  $|h_1|^2 = |h_2|^2 = 1$ ” is considered as an example.

the relevant SNRs as follows:

225

$$\begin{aligned} \gamma_1 &= \frac{|h_1|^2 G_1 P_1}{\sigma^2}, & \gamma_2 &= \frac{|h_2|^2 G_2 P_2}{\sigma^2} \\ \gamma_3 &= \frac{|\tilde{h}_3|^2 P_3}{\sigma^2} = \frac{P_3}{\sigma^2 \cdot G_{\text{SI}}}. \end{aligned} \quad (3)$$

Without loss of generality, we may assume that<sup>3</sup>  $\gamma_2 \geq \gamma_1$ . 226

Since the RN in Fig. 1 relies on the DF protocol, we have 227  
to carefully avoid the error propagation problem. Hence, the 228  
transmission rates  $R_1$  and  $R_2$  have to be specifically chosen 229  
to ensure that the information frames  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$  can be 230  
perfectly decoded at the RN. According to the multiple-access 231  
channel capacity theorem in [29], these rate pairs  $(R_1, R_2)$  have 232  
to lie within the convex region shown in Fig. 2. Furthermore, 233  
the rate pairs  $(R_1, R_2)$  distributed along the segment  $\overline{AB}$  will 234  
result in the maximum sum rate of  $(R_1 + R_2)$ . 235

In more detail, considering the UL in Fig. 1, if the RN 236  
first decodes the information frame  $\mathbf{I}_1[k]$ , it may regard the 237  
information frame  $\mathbf{I}_2[k]$  as a contamination. Hence, according 238  
to (2), the overall signal-to-interference-plus-noise power ratio 239  
(SINR) of the User-1-to-RN link is given by 240

$$\begin{aligned} \text{SINR}_{1 \rightarrow 3} &= \frac{|h_1|^2 G_1 P_1}{|h_2|^2 G_2 P_2 + |\tilde{h}_3|^2 P_3 + \sigma^2} \\ &= \frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}. \end{aligned} \quad (4)$$

In this case, the associated capacity of the User-1-to-RN link 241  
may be formulated as<sup>4</sup>  $C(\gamma_1/(\gamma_2 + \gamma_3 + 1))$ , which is also the 242  
lower bound of  $R_1$ , namely,  $R_1^{\text{lower}}$ , when simultaneously satis- 243  
fying the flawless decodability of information frames received 244  
at the RN, while simultaneously attaining the maximum sum 245  
rate of  $(R_1 + R_2)$ . 246

<sup>3</sup>This implies that the higher one between  $\gamma_1$  and  $\gamma_2$  is always represented by the label “ $\gamma_2$ .”

<sup>4</sup>It is exploited herein that  $C(x) = \log_2(1 + x)$ .

247 Then, the RN proceeds to decode the information frame  
248  $I_2[k]$ . Since the information frame  $I_1[k]$  has been perfectly  
249 decoded, the RN is capable of perfectly eliminating the inter-  
250 ference component  $h_1\sqrt{G_1}S_1$  from (2).<sup>5</sup> Resultantly, the SINR  
251 of the User-2-to-RN link is given by

$$\text{SINR}_{2 \rightarrow 3} = \frac{|h_2|^2 G_2 P_2}{|\tilde{h}_3|^2 P_3 + \sigma^2} = \frac{\gamma_2}{\gamma_3 + 1}$$

252 which yields the upper bound of  $R_2$ , namely,  $R_2^{\text{upper}}$ . Hence,  
253 we obtain a specific rate pair of  $(R_1 + R_2)$  as follows:

$$\begin{cases} R_1^{\text{lower}} = C\left(\frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}\right) \\ R_2^{\text{upper}} = C\left(\frac{\gamma_2}{\gamma_3 + 1}\right) \end{cases} \quad (5)$$

254 which corresponds to the point  $\mathbf{A}(R_1^{\text{lower}}, R_2^{\text{upper}})$  in Fig. 2, and  
255 it is referred to as Case **A**.

256 Alternatively, the RN may first decode  $I_2[k]$  and then proceed  
257 to decode  $I_1[k]$ . Correspondingly, this case results in the lower  
258 bound of  $R_2$  and the upper bound of  $R_1$ , which may be  
259 formulated as Case **B** as follows:

$$\begin{cases} R_1^{\text{upper}} = C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) \\ R_2^{\text{lower}} = C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) \end{cases} \quad (6)$$

260 This is represented as the point  $\mathbf{B}(R_1^{\text{upper}}, R_2^{\text{lower}})$  in Fig. 2.

261 Apparently, the UL of our BD-DF-FDN shown in Fig. 1 op-  
262 erates in either the aforementioned Case **A** or Case **B**. Hence,  
263 we may proceed by invoking the time-sharing parameter [8] (or  
264 rate-allocation parameter) of “ $\lambda, 0 \leq \lambda \leq 1$ ,” for characterizing  
265 the ratio of the time operating in Case **A** to the time operating in  
266 Case **B**. If the fraction of time operating in Case **B** is  $\lambda$ , then ac-  
267 cording to (5) and (6), the average transmission rates of User 1  
268 and User 2 may be formulated as  $R_1(\lambda) = \lambda R_1^{\text{upper}} + (1 -$   
269  $\lambda)R_1^{\text{lower}}$  and  $R_2(\lambda) = \lambda R_2^{\text{lower}} + (1 - \lambda)R_2^{\text{upper}}$ , respectively.  
270 Hence, we arrive at Theorem 3.1.

271 *Theorem 3.1:* To simultaneously satisfy both the decodability  
272 of the information frames received at the RN and the attain-  
273 ability of the maximum sum rate of the BD-DF-FDN shown in  
274 Fig. 1, the rate pairs  $[R_1(\lambda), R_2(\lambda)]$  have to obey

$$\begin{cases} R_1(\lambda) = \lambda \left[ C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) - C\left(\frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}\right) \right] \\ \quad + C\left(\frac{\gamma_1}{\gamma_2 + \gamma_3 + 1}\right), \quad 0 \leq \lambda \leq 1 \\ R_2(\lambda) = \lambda \left[ C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) - C\left(\frac{\gamma_2}{\gamma_3 + 1}\right) \right] \\ \quad + C\left(\frac{\gamma_2}{\gamma_3 + 1}\right), \quad 0 \leq \lambda \leq 1 \end{cases} \quad (7)$$

275 where  $R_1(\lambda)$  or  $R_2(\lambda)$  is the transmit rate of User 1 or User 2  
276 during the UL period, respectively.  $\lambda$  is the time-sharing param-  
277 eter, which determines the time that User  $i$  transmits in its upper  
278 bound rate  $R_i^{\text{upper}}$  and in its lower bound rate  $R_i^{\text{lower}}$ . The rate  
279 pairs of  $[R_1(\lambda), R_2(\lambda)]$  stipulated by (7) constitute the segment  
280  $\overline{\mathbf{AB}}$  in Fig. 2.

<sup>5</sup>In this paper, we assume that perfect channel-state information (CSI) is always available at the receivers. Moreover, since all the nodes of BD-DF-FDN work in FD style and the related channels are assumed to be reciprocal, this assumption will also result in CSI becoming available at the transmitters.

#### IV. MAXIMUM ACHIEVEABLE ERROR-FREE DATA RATE 281

Based on the fundamental architecture of BD-DF-FDN, 282  
as demonstrated in Fig. 1 in Section II, we will categorize 283  
the BD-DF-FDN into several distinct scenarios. In different 284  
subcases, its MAEFDR will be characterized by different 285  
formulas. During the entire derivation process, the rate pair 286  
of  $(R_1(\lambda), R_2(\lambda))$  will obey the convex region stipulated in 287  
Section III. Particularly, the monotonicity determined by (7) 288  
will be referred to frequently. 289

A. *Case I:*  $\gamma_2 \geq \gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2$  290

In this case, we have the relationship of  $C(\gamma_2/(\gamma_1 + \gamma_3 + 291$   
 $1)) \geq C(\gamma_1/(\gamma_3 + 1))$ . According to (7),  $R_2(\lambda)$  is a mono- 292  
tonically decreasing function of the rate-allocation parameter 293  
 $\lambda$ , while  $R_1(\lambda)$  is a monotonically increasing function of  $\lambda$ , 294  
and  $R_2(1) = C(\gamma_2/(\gamma_1 + \gamma_3 + 1))$ ,  $R_1(1) = C(\gamma_1/(\gamma_3 + 1))$ . 295  
Hence, we can readily arrive at 296

$$R_2(\lambda) \geq C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) \geq C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) \geq R_1(\lambda). \quad (8)$$

Then, observe in the DL in Fig. 1 that similar to the derivation 297  
of (4) and (5), the SINR of the RN-to-User-1 link is given by 298  
 $\text{SINR}_{3 \rightarrow 1} = |h_1|^2 G_1 P_3 / (|\tilde{h}_3|^2 P_1 + \sigma^2)$ . Since we assumed in 299  
Section II that User 1, User 2, and the RN have the same SI 300  
suppression capability, it is reasonable to assume that  $|\tilde{h}_3|^2 = 301$   
 $|\tilde{h}_3|^2$ . Then, as stated in Section II, we have  $P_1 = P_2 = P_3$ . 302  
Hence, we may arrive at 303

$$\text{SINR}_{3 \rightarrow 1} = \frac{\gamma_1}{\gamma_3 + 1}. \quad (9)$$

Therefore, the capacity of the RN-to-User-1 link is  $C(\gamma_1/(\gamma_3 + 304$   
 $1))$ . Similarly, it can be shown that the capacity of the RN-to- 305  
User-2 link is  $C(\gamma_2/(\gamma_3 + 1))$ . 306

To satisfy that  $I_2[k]$  and  $I_1[k]$  are decodable by User 1 and 2, 307  
respectively,  $I_3[k]$  has to be transmitted at the lower rate be- 308  
tween the capacity of the RN-to-User-1 link and that of the RN- 309  
to-User-2 link. Since we have  $C(\gamma_2/(\gamma_3 + 1)) \geq C(\gamma_1/(\gamma_3 + 310$   
 $1))$ ,  $I_3[k]$  is first transmitted at the rate of  $C(\gamma_1/(\gamma_3 + 1))$ . As 311  
stated in Section II, the amount of information transmitted via 312  
the User-2-to-RN link during the UL period is identical to that 313  
transmitted via the RN-to-User-1 link during the DL period. 314  
However, according to (8), we have  $R_2(\lambda) \geq C(\gamma_1/(\gamma_3 + 1))$ . 315  
Hence, it can be anticipated that the UL transmission session 316  
shown in Fig. 1 will terminate earlier than the DL session. Con- 317  
sequently, the framework of the BD-DF-FDN shown in Fig. 1 is 318  
actually transformed into that shown in Fig. 3, where the time 319  
following the termination of the UL period up to the completion 320  
of the DL transmission is referred to as the “Residual-Period.” 321

As illustrated in Fig. 3, transmitting  $I_1[k]$  and  $I_2[k]$  to the RN 322  
is completed during the UL period at the rates of  $R_1(\lambda)$  and 323  
 $R_2(\lambda)$ , respectively, which implies that we may have  $|I_1[k]| = 324$   
 $NR_1(\lambda)$ ,  $|I_2[k]| = NR_2(\lambda)$ , where  $N$  is the time required for 325  
transmitting  $|I_1[k]|$  number of information bits at the rate of 326  
 $R_1(\lambda)$ .<sup>6</sup> 327

<sup>6</sup>Alternatively,  $N$  is also the time required for transmitting  $|I_2[k]|$  informa-  
tion bits at the rate of  $R_2(\lambda)$ .

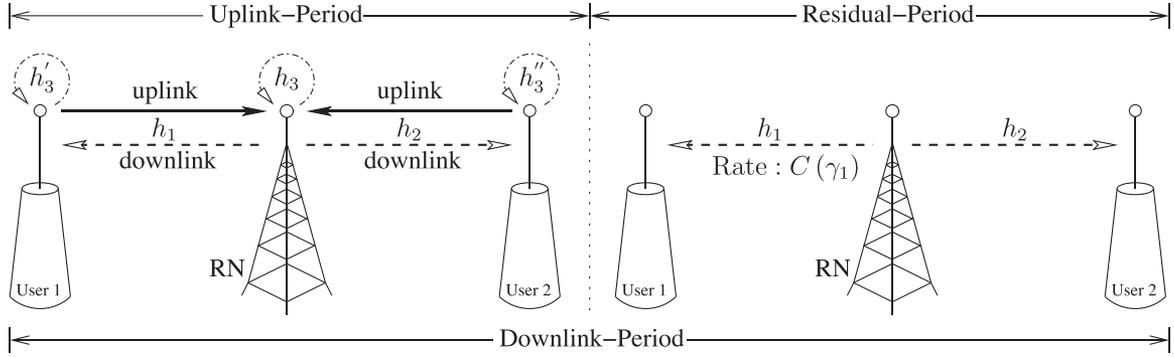


Fig. 3. Practical framework of the BD-DF-FDN in the case of  $\gamma_2 \geq \gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2$ .

328 As stated before, during the DL period, the RN will first  
 329 broadcast  $\mathbf{I}_3[k]$  at the lower rate between the capacity of the  
 330 RN-to-User-1 link and that of the RN-to-User-2 link, until the  
 331 specific one from the set of  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$ , which carries less  
 332 information bits, has been completely transmitted/received. In  
 333 this case, according to (8), we have  $R_2(\lambda) \geq R_1(\lambda)$ , which  
 334 leads to  $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$ . Hence, the transmission of the infor-  
 335 mation bits of  $\mathbf{I}_1[k]$  via the RN-to-User-2 link will terminate  
 336 first during the DL period. Accordingly, the length of the resid-  
 337 ual period shown in Fig. 3 is determined by the transmission of  
 338 the information bits of  $\mathbf{I}_2[k]$  via the RN-to-User-1 link.

339 During the UL period, the RN broadcasts  $\mathbf{I}_3[k]$  at the rate  
 340 of  $C(\gamma_1/(\gamma_3 + 1))$ . Hence, during the residual period, there  
 341 are  $(|\mathbf{I}_2[k]| - N \cdot C(\gamma_1/(\gamma_3 + 1)))$  information bits of  $\mathbf{I}_2[k]$ ,  
 342 which still have to be transmitted via the RN-to-User-1 link.  
 343 Meanwhile, since the transmission via the UL has been ter-  
 344 minated, we would no longer incur any SI during the residual  
 345 period. Consequently, the capacity of the RN-to-User-1 link is  
 346 increased to  $C(\gamma_1)$ . Hence, the length of the residual period  
 347 should be  $(|\mathbf{I}_2[k]| - NC(\gamma_1/(\gamma_3 + 1)))/C(\gamma_1)$ .

348 **Definition 4.1:** We divide the number of decodable informa-  
 349 tion bits exchanged between User 1 and User 2 with the aid of  
 350 our BD-DF-FDN by the associated time to define the overall  
 351 achievable error-free data rate.

352 Hence, the achievable error-free data rate of BD-DF-FDN for  
 353 Case 1 is given by

$$\begin{aligned}
 R^{\text{BD-DF-FDN, Case 1}}(\lambda) &= \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]|}{\text{BD-DF-FDN period}} \\
 &= \frac{NR_1(\lambda) + NR_2(\lambda)}{N + \frac{|\mathbf{I}_2[k]| - NC(\frac{\gamma_1}{\gamma_3+1})}{C(\gamma_1)}} \\
 &= \frac{C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)C(\gamma_1)}{C(\gamma_1) - C\left(\frac{\gamma_1}{\gamma_3+1}\right) + R_2(\lambda)}. \quad (10)
 \end{aligned}$$

354 According to (10),  $R^{\text{BD-DF-FDN, Case 1}}(\lambda)$  is a monotonically  
 355 decreasing function of  $R_2(\lambda)$ . Hence, we may assign to User 2  
 356 its minimum transmission rate of  $R_2(1) = C(\gamma_2/(\gamma_1 + \gamma_3 + 1))$   
 357 during the UL period of BD-DF-FDN. Given this optimum rate

allocation scheme, the MAEFDR of Case 1 of BD-DF-FDN 358  
 may be expressed as 359

$$R_{\max}^{\text{BD-DF-FDN}} = \frac{C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)C(\gamma_1)}{C(\gamma_1) + C\left(\frac{\gamma_2}{\gamma_1+\gamma_3+1}\right) - C\left(\frac{\gamma_1}{\gamma_3+1}\right)} \quad (11)$$

if  $\gamma_2 \geq \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2$ .

**B. Case 2:**  $\gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2 > \gamma_2 \geq \gamma_1$  360

In this case, it is possible to arrive at 361

$$\begin{cases} R_2(\lambda_1) = C\left(\frac{\gamma_1}{\gamma_3+1}\right) \\ R_2(\lambda_0) = R_1(\lambda_0) \end{cases} \quad (12)$$

where the specific values of the associated rate-allocation pa- 362  
 rameters are given by 363

$$\begin{cases} \lambda_1 = \frac{C\left(\frac{\gamma_2}{\gamma_3+1}\right) - C\left(\frac{\gamma_1}{\gamma_3+1}\right)}{C\left(\frac{\gamma_1}{\gamma_3+1}\right) + C\left(\frac{\gamma_2}{\gamma_3+1}\right) - C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)} \\ \lambda_0 = \frac{C\left(\frac{\gamma_2}{\gamma_3+1}\right) - \frac{1}{2}C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)}{C\left(\frac{\gamma_1}{\gamma_3+1}\right) + C\left(\frac{\gamma_2}{\gamma_3+1}\right) - C\left(\frac{\gamma_1+\gamma_2}{\gamma_3+1}\right)}. \end{cases} \quad (13)$$

Based on (13) as well as on the condition of  $\gamma_1 + (1/(\gamma_3 + 364$   
 $1))\gamma_1^2 > \gamma_2 \geq \gamma_1$ , it can be shown that 365

$$0 \leq \lambda_1 < \lambda_0 < 1. \quad (14)$$

Hence, as our next step, we further divide ‘‘Case 2’’ into several 366  
 subclasses according to the range of  $\lambda$ . 367

1) **Case 2.1, Where  $\lambda \in [0, \lambda_1]$ :** According to (7),  $R_2(\lambda)$  is a 368  
 monotonically decreasing function of  $\lambda$ . Since  $\lambda \leq \lambda_1$ , we have 369  
 $R_2(\lambda) \geq R_2(\lambda_1)$ . Then,  $R_1(\lambda)$  is a monotonically increasing 370  
 function of  $\lambda$ . Since  $1 > \lambda$ , we arrive at  $R_1(1) > R_1(\lambda)$ . Ac- 371  
 cording to (12), we have  $R_2(\lambda_1) = C(\gamma_1/(\gamma_3 + 1)) = R_1(1)$ . 372  
 Finally, we arrive at  $R_2(\lambda) \geq C(\gamma_1/(\gamma_3 + 1)) > R_1(\lambda)$ , which 373  
 is almost the same as the relationship given in (8). This implies 374  
 that the achievable error-free data rate for Case 2.1 of BD- 375  
 DF-FDN may be characterized by the same formula as that 376  
 given in (10). The only difference is that, in Case 1, the 377  
 minimum transmission rate, which can be assigned to User 2, 378  
 is  $C(\gamma_2/(\gamma_1 + \gamma_3 + 1))$ . By contrast, in Case 2.1, this becomes 379  
 $C(\gamma_1/(\gamma_3 + 1))$ , owing to the rate-allocation strategy specified 380  
 according to  $\lambda \in [0, \lambda_1]$ . Resultantly, after substituting the new 381

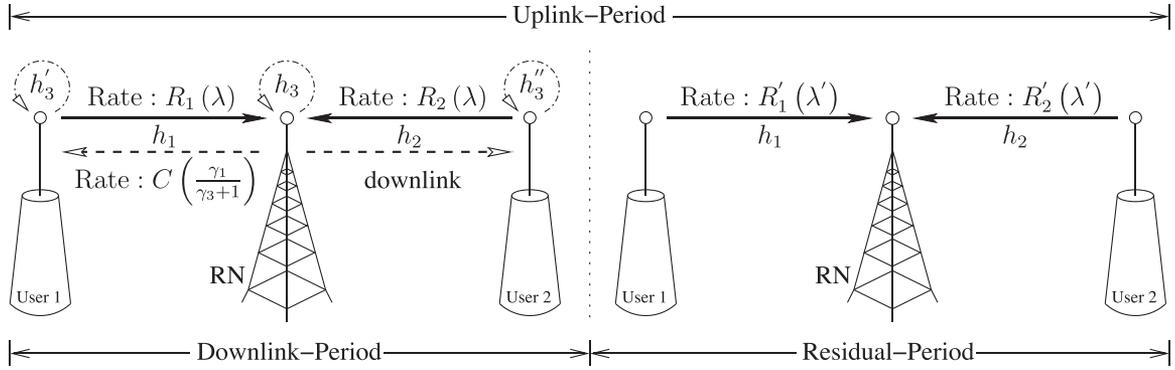


Fig. 4. Practical framework of the BD-DF-FDN in Fig. 1 in the case of  $\gamma_1 + (1/(\gamma_3 + 1))\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in (\lambda_1, \lambda_0]$ .

382 minimum transmission rate of User 2, i.e.,  $C(\gamma_1/(\gamma_3 + 1))$ ,  
 383 into (10), we arrive at the MAEFDR for Case 2.1 of BD-DF-  
 384 FDN, which is given by

$$R_{\max}^{\text{BD-DF-FDN}} = C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)$$

$$\text{if } \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in [0, \lambda_1] \quad (15)$$

385 where the UL and DL transmissions of BD-DF-FDN happen to  
 386 be completed simultaneously.

387 2) *Case 2.2, Where  $\lambda \in (\lambda_1, \lambda_0]$ :* We commence by stating  
 388 that the number of information bits transmitted by User 1 and  
 389 User 2 during the UL period have a ratio of

$$\frac{|\mathbf{I}_2[k]|}{|\mathbf{I}_1[k]|} = \frac{R_2(\lambda)}{R_1(\lambda)}, \quad \lambda \in (\lambda_1, \lambda_0] \quad (16)$$

390 which is supposed to be the optimum allocation of the number  
 391 of information bits  $|\mathbf{I}_2[k]|, |\mathbf{I}_1[k]|$  in terms of maximizing the  
 392 overall achievable error-free data rate of Case 2.2.

393 Again, since  $R_2(\lambda)$  is a monotonically decreasing function of  
 394  $\lambda$  and  $\lambda_1 < \lambda \leq \lambda_0$ , we can readily arrive at the conclusion that  
 395  $R_2(\lambda_1) > R_2(\lambda) \geq R_2(\lambda_0)$ . Then, because  $R_1(\lambda)$  is a mono-  
 396 tonically increasing function of  $\lambda$ , we conclude that  $R_1(\lambda_0) \geq$   
 397  $R_1(\lambda)$ . By recalling from (12) that  $R_2(\lambda_1) = C(\gamma_1/(\gamma_3 + 1))$ ,  
 398  $R_2(\lambda_0) = R_1(\lambda_0)$ , it can be readily shown for Case 2.2 that

$$C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) > R_2(\lambda) \geq R_1(\lambda). \quad (17)$$

399 According to (16) and (17), we get  $|\mathbf{I}_2[k]| \geq |\mathbf{I}_1[k]|$ . Hence,  
 400 following the principles detailed in Section IV-A, in Case 2.2,  
 401 the length of the DL period is determined by the transmission  
 402 of the information bits of  $\mathbf{I}_2[k]$  via the RN-to-User-1 link, since  
 403  $\mathbf{I}_2[k]$  carries more information bits than  $\mathbf{I}_1[k]$ . Furthermore,  
 404 before either the UL or the DL completes its transmission, the  
 405 transmission of the information bits of  $\mathbf{I}_2[k]$  via the RN-to-  
 406 User-1 link is carried out at the same rate of  $C(\gamma_1/(\gamma_3 + 1))$ .  
 407 Meanwhile, the transmission of the information bits of  $\mathbf{I}_2[k]$  via  
 408 the User-2-to-RN link, which determines the transmit duration  
 409 of the UL, is carried out at the rate of  $R_2(\lambda)$ . Hence, accord-  
 410 ing to (17), we get  $C(\gamma_1/(\gamma_3 + 1)) > R_2(\lambda)$ , which implies  
 411 that, in Case 2.2, the DL transmission will terminate earlier  
 412 than the UL transmission. Consequently, the framework of the

BD-DF-FDN shown in Fig. 1 is actually transformed into that  
 413 shown in Fig. 4 for Case 2.2. In this scenario, the definition of  
 414 the ‘‘Residual-Period’’ has been changed to the time duration  
 415 following the termination of the DL period and spanning to the  
 416 end of the UL transmission. 417

Observe in Fig. 4 that, according to the aforementioned  
 418 analysis, the length of the entire DL period is determined by  
 419 the transmission of the information bits of  $\mathbf{I}_2[k]$  via the RN-to-  
 420 User-1 link at the fixed rate of  $C(\gamma_1/(\gamma_3 + 1))$ , which is given  
 421 by  $T = |\mathbf{I}_2[k]|/C(\gamma_1/(\gamma_3 + 1)) = NR_2(\lambda)/C(\gamma_1/(\gamma_3 + 1))$ ,  
 422 where  $N$  is still defined as the time required for transmit-  
 423 ting  $|\mathbf{I}_2[k]|$  number of information bits at the rate of  $R_2(\lambda)$ .  
 424 Resultantly, the number of residual information bits of  $\mathbf{I}_1[k]$   
 425 and  $\mathbf{I}_2[k]$ , which pertain to the UL transmission and will be  
 426 transmitted during the ensuing residual period, are given by  
 427  $(|\mathbf{I}_1[k]| - TR_1(\lambda))$  and  $(|\mathbf{I}_2[k]| - TR_2(\lambda))$ , respectively. 428

Observe during the residual period in Fig. 4 that, when the  
 429 transmissions via the DL are terminated, the detrimental SI  
 430 naturally disappears, which simplifies the architecture of our  
 431 BD-DF-FDN to the first step of conventional two-way relaying,  
 432 as shown for example in [8, Fig. 1(b)]. Therefore, the opti-  
 433 mum transmission rate proposed in [8], which was detailed in  
 434 [8, (25–28)], becomes applicable to the residual period in Fig. 4.  
 435 Consequently, during the residual period in Fig. 4, according to  
 436 [8, (25–28)], Theorem 3.1 is modified to 437

$$\begin{cases} R'_1(\lambda') = \lambda' \left[ C(\gamma_1) - C\left(\frac{\gamma_1}{\gamma_2+1}\right) \right. \\ \quad \left. + C\left(\frac{\gamma_1}{\gamma_2+1}\right) \right], \quad 0 \leq \lambda' \leq 1 \\ R'_2(\lambda') = \lambda' \left[ C\left(\frac{\gamma_2}{\gamma_1+1}\right) - C(\gamma_2) \right] \\ \quad + C(\gamma_2), \quad 0 \leq \lambda' \leq 1 \end{cases} \quad (18)$$

where the rate pairs  $[R'_1(\lambda'), R'_2(\lambda')]$  are capable of maximizing  
 438 the sum rate of the UL during the residual period in Fig. 4,  
 439 which hence will be utilized for updating the transmission rates  
 440 of User 1 and 2 during this period. 441

Additionally, the transmissions of the residual information  
 442 bits of  $\mathbf{I}_1[k]$  and  $\mathbf{I}_2[k]$  at the rates of  $R'_1(\lambda')$  and  $R'_2(\lambda')$ , respec-  
 443 tively, should be completed simultaneously, which implies that  
 444 we have to find a rate pair of  $[R'_1(\lambda'), R'_2(\lambda')]$ , which satisfies 445

$$\frac{(|\mathbf{I}_1[k]| - TR_1(\lambda))}{R'_1(\lambda')} = \frac{(|\mathbf{I}_2[k]| - TR_2(\lambda))}{R'_2(\lambda')}. \quad (19)$$

446 The condition stipulated by (19) may be identically trans-  
447 formed to

$$\frac{R_2(\lambda)}{R_1(\lambda)} = \frac{R'_2(\lambda')}{R'_1(\lambda')}. \quad (20)$$

448 Then, it can be shown that, under the condition of  $\gamma_1 + (1/$   
449  $(\gamma_3 + 1))\gamma_1^2 > \gamma_2 \geq \gamma_1$ , we always have  $R_2(\lambda)/R_1(\lambda) \in [1,$   
450  $(C(\gamma_1/(\gamma_3 + 1))/C(\gamma_2/(\gamma_1 + \gamma_3 + 1))), (R'_2(\lambda')/R'_1(\lambda')) \in$   
451  $[(C(\gamma_2/(\gamma_1 + 1))/C(\gamma_1)), (C(\gamma_2)/C(\gamma_1/(\gamma_2 + 1)))]$  and  $[1,$   
452  $(C(\gamma_1/(\gamma_3 + 1))/C(\gamma_2/(\gamma_1 + \gamma_3 + 1)))] \subset [(C(\gamma_2/(\gamma_1 + 1))/$   
453  $C(\gamma_1)), (C(\gamma_2)/C(\gamma_1/(\gamma_2 + 1)))]$ . Since the range of  $R_2(\lambda)/$   
454  $R_1(\lambda)$  is always included within the range of  $R'_2(\lambda')/R'_1(\lambda')$ ,  
455 there is always a solution of  $\lambda'$ , which is capable of satisfying  
456  $R_2(\lambda)/R_1(\lambda) = R'_2(\lambda')/R'_1(\lambda')$ , regardless of the value of  
457  $R_2(\lambda)/R_1(\lambda)$ . This implies that the allocation of the number of  
458 information bits represented by (16), which inherently satisfies  
459 Theorem 3.1, will not conflict with the modified one in (18),  
460 hence allowing us to maximize the overall achievable error-free  
461 data rate of Case 2.2.

462 Based on the holistic analysis presented in Section IV-B2, the  
463 overall achievable error-free data rate of Case 2.2 is given by

$$\begin{aligned} R^{\text{BD-DF-FDN, Case 2.2}}(\lambda) &= \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]|}{\text{DL-period} + \text{residual-period}} \\ &= \frac{NR_1(\lambda) + NR_2(\lambda)}{T + \frac{(|\mathbf{I}_1[k]| - TR_1(\lambda)) + (|\mathbf{I}_2[k]| - TR_2(\lambda))}{R'_1(\lambda') + R'_2(\lambda')}} \\ &= \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + R_2(\lambda)\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]}. \end{aligned} \quad (21)$$

464 According to (21),  $R^{\text{BD-DF-FDN, Case 2.2}}(\lambda)$  is a monotoni-  
465 cally decreasing function of  $R_2(\lambda)$ . Hence, if we allocate its  
466 minimum transmission rate of  $R_2(\lambda_0)$  to User 2 for the period  
467 preceding the residual period, we arrive at the MAEFDR of  
468 Case 2.2, which is formulated as

$$\begin{aligned} R_{\text{max}}^{\text{BD-DF-FDN}} &= \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + \frac{1}{2}\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]} \\ &\text{if } \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in (\lambda_1, \lambda_0]. \end{aligned} \quad (22)$$

469 3) *Case 2.3*, Where  $\lambda \in (\lambda_0, 1]$ : Similar to the assumption  
470 made at the beginning of Section IV-B2, the number of infor-  
471 mation bits  $|\mathbf{I}_2[k]|$  and  $|\mathbf{I}_1[k]|$  also have a ratio of

$$\frac{|\mathbf{I}_2[k]|}{|\mathbf{I}_1[k]|} = \frac{R_2(\lambda)}{R_1(\lambda)}, \quad \lambda \in (\lambda_0, 1] \quad (23)$$

472 which is supposed to be capable of maximizing the achievable  
473 error-free data rate of Case 2.3.

474 Again, according to the monotonicity of  $R_1(\lambda)$  and  $R_2(\lambda)$ ,  
475 as shown in (7), as well as by invoking (12), it can be shown for

Case 2.3 that we have

$$C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) \geq R_1(\lambda) > R_2(\lambda). \quad (24)$$

According to (23) and (24), it can be shown that  $|\mathbf{I}_1[k]| > |\mathbf{I}_2[k]|$ .

Observe in Fig. 1 that, during the DL transmission, again,  
 $\mathbf{I}_2[k]$  number of information bits are transmitted via the RN-to-  
User-1 link at the rate of  $C(\gamma_1/(\gamma_3 + 1))$ . The associated time  
required for completing the transmission of the information bits  
of  $\mathbf{I}_2[k]$  via the RN-to-User-1 link is given by

$$T_1 = \frac{|\mathbf{I}_2[k]|}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}. \quad (25)$$

Since we have  $|\mathbf{I}_1[k]| > |\mathbf{I}_2[k]|$ , after broadcasting  $\mathbf{I}_3[k]$  at the  
rate of  $C(\gamma_1/(\gamma_3 + 1))$  for a time duration of  $T_1$ , the RN has to  
continue with the transmission of the residual information bits  
of  $\mathbf{I}_1[k]$  via the RN-to-User-2 link. According to the NC scheme  
employed at the RN, which was introduced in Section II,  
from now on, only the zero padding bits of  $\mathbf{I}_2[k]$  are still being  
transmitted via the RN-to-User-1 link. Hence, we only have to  
consider the decodability of the transmission via the RN-to-  
User-2 link. Correspondingly, from now on, the RN will broad-  
cast  $\mathbf{I}_3[k]$  at a higher rate of  $C(\gamma_2/(\gamma_3 + 1))$ . The time required  
for completing the transmission of the residual information bits  
of  $\mathbf{I}_1[k]$  at the rate of  $C(\gamma_2/(\gamma_3 + 1))$  is given by

$$T_2 = \frac{|\mathbf{I}_1[k]| - T_1 C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_2}{\gamma_3 + 1}\right)}. \quad (26)$$

Meanwhile, during the UL session, User 2 transmits the  
information bits of  $\mathbf{I}_2[k]$  at the fixed rate of  $R_2(\lambda)$ , unless  
the DL transmission has been completed. As mentioned earlier  
in Section IV-A, the associated time required by User 2 for  
completing this transmission is represented by  $N$ . Then, it can  
be shown that  $T_1 + T_2 < N$ , which implies that, in Case 2.3,  
the DL transmission will be terminated earlier than the UL  
transmission. Hence, the practical framework of Case 2.3 is  
similar to that illustrated in Fig. 4, with the slight difference  
that, in Case 2.3, the DL period relies on two steps. In the first  
step, the RN broadcasts  $\mathbf{I}_3[k]$  at the rate of  $C(\gamma_1/(\gamma_3 + 1))$  for  
a time of  $T_1$ , where the transmission of the information bits of  
 $\mathbf{I}_2[k]$  is completed. Then, in the next step, the RN broadcasts  
 $\mathbf{I}_3[k]$  at the rate of  $C(\gamma_2/(\gamma_3 + 1))$  for a time of  $T_2$ , during  
which the entire DL transmission is completed.

Hence, similar to the scenario depicted for the residual period  
in Fig. 4, during the residual period of Case 2.3, User 1 and  
User 2 also have to update their UL transmission rates to the  
pair of  $[R'_1(\lambda'), R'_2(\lambda')]$ , as stipulated in (18). Therefore,  
to the additional condition discussed in Section IV-B2 and  
stipulated by (19) and (20), we also have to find the specific  
pair of  $[R'_1(\lambda'), R'_2(\lambda')]$ , which is capable of simultaneously  
satisfying (18) and  $R_2(\lambda)/R_1(\lambda) = R'_2(\lambda')/R'_1(\lambda')$ . In this case,  
we have  $R_1(\lambda)/R_2(\lambda) \in (1, (C(\gamma_1/(\gamma_3 + 1))/C(\gamma_2/(\gamma_1 + \gamma_3 + 1))))$   
and  $R'_1(\lambda')/R'_2(\lambda') \in [(C(\gamma_1/(\gamma_2 + 1))/C(\gamma_2)), (C(\gamma_1)/$   
 $C(\gamma_2/(\gamma_1 + 1)))]$ . Then, it can be shown that  $(1, (C(\gamma_1/(\gamma_3 + 1))/$   
 $C(\gamma_2/(\gamma_1 + \gamma_3 + 1)))) \subset [(C(\gamma_1/(\gamma_2 + 1))/C(\gamma_2)), (C(\gamma_1)/$   
 $C(\gamma_2/(\gamma_1 + 1)))]$ . Hence, an appropriate rate pair of  $[R'_1(\lambda'),$

523  $R'_2(\lambda')$  always exists, which confirms the correct operation of  
524 our information allocation scheme formulated in (23).

525 Based on the holistic analysis provided in Section IV-B3, the  
526 overall achievable error-free data rate of Case 2.3 is given by

$$R^{\text{BD-DF-FDN, Case 2.3}}(\lambda) = \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]|}{T_1 + T_2 + \frac{|\mathbf{I}_1[k]| + |\mathbf{I}_2[k]| - (T_1 + T_2)[R_1(\lambda) + R_2(\lambda)]}{C(\gamma_1 + \gamma_2)}}. \quad (27)$$

527 Furthermore, it can be shown that  $R^{\text{BD-DF-FDN, Case 2.3}}(\lambda)$   
528 is a monotonically increasing function of  $R_2(\lambda)$ . Hence, if we  
529 assign to User 2 its maximum transmission rate for the period  
530 preceding the residual period, we arrive at the MAEFDR of  
531 Case 2.3, which may be formulated as

$$R_{\max}^{\text{BD-DF-FDN}} = \lim_{\lambda \rightarrow \lambda_0} R^{\text{BD-DF-FDN, Case 2.3}}(\lambda) = \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + \frac{1}{2}\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]},$$

if  $\gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \cap \lambda \in (\lambda_0, 1]$ . (28)

532 Apparently, (28) is equivalent to (22). Then, it can be for-  
533 mally shown that the MAEFDR of our BD-DF-FDN obtained  
534 for Case 2.1 is always lower than that obtained for Case 2.2 or  
535 2.3. Hence, we finally arrive at Theorem 4.1.

536 *Theorem 4.1:* The MAEFDR of BD-DF-FDN is given by

$$R_{\max}^{\text{BD-DF-FDN}} = \begin{cases} \frac{C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)C(\gamma_1)}{C(\gamma_1) + C\left(\frac{\gamma_2}{\gamma_1 + \gamma_3 + 1}\right) - C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}, & \text{if } \gamma_2 \geq \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 \\ \frac{C(\gamma_1 + \gamma_2)C\left(\frac{\gamma_1}{\gamma_3 + 1}\right)}{C\left(\frac{\gamma_1}{\gamma_3 + 1}\right) + \frac{1}{2}\left[C(\gamma_1 + \gamma_2) - C\left(\frac{\gamma_1 + \gamma_2}{\gamma_3 + 1}\right)\right]}, & \text{if } \gamma_1 + \left(\frac{1}{\gamma_3 + 1}\right)\gamma_1^2 > \gamma_2 \geq \gamma_1 \end{cases} \quad (29)$$

537 where  $\gamma_i$ ,  $i \in \{1, 2, 3\}$  is the relevant SNR defined in (3).  
538 Apparently, according to the analysis stated in Section IV,  
539 particularly to (29), depending on different channel conditions  
540 and transmit power levels, i.e., different relationships among  $\gamma_i$ ,  
541  $i \in \{1, 2, 3\}$ , the algebraic representation of MAEFDR of our  
542 BD-DF-FDN will be categorized into two different formulas.

543

## V. SIMULATION RESULTS

544 First, it is assumed that the distance between User 2 and User 1  
545 is normalized to unity. Then, the distance between User 2 and  
546 the RN is denoted by  $D_2$  and that between User 1 and the RN  
547 is denoted by  $D_1$ . Hence, we have  $D_2 + D_1 = 1.0$ . Then, each  
548 sum rate demonstrated in the following figures is an average  
549 over simulating  $10^6$  random fading channels.

550 We first investigate the effects of both the SI and the RN's  
551 geographic location on the MAEFDR of BD-DF-FDN. The  
552 relevant simulation results are displayed in Fig. 5, where the  
553 parameters employed can be found in Table I. Furthermore,  
554 to demonstrate the advantages of the proposed BD-DF-FDN,  
555 the performance of the FD-based direct transmission (FD-DT)

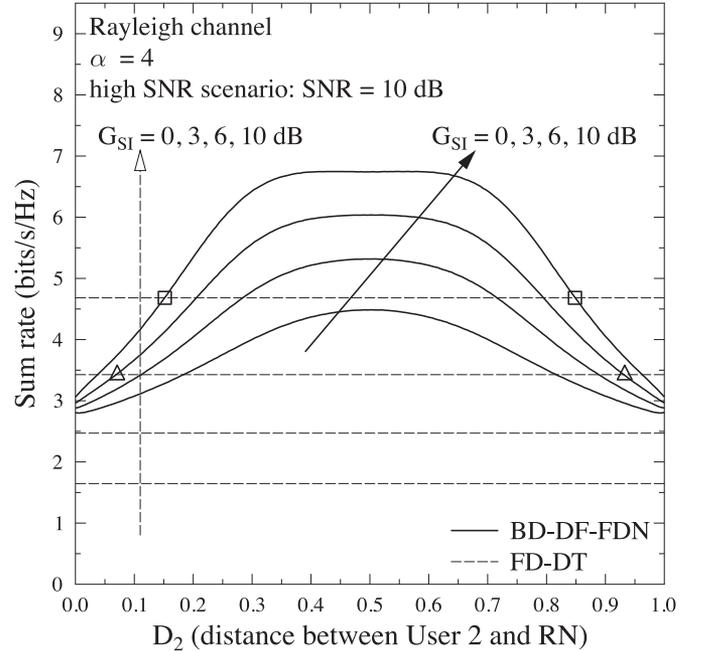


Fig. 5. Effects of both the SI and the RN's geographic location on the MAEFDR of BD-DF-FDN, which is evaluated according to (29) in Theorem 4.1.

TABLE I  
SYSTEM PARAMETERS

Channel Model	Flat Block-Fading Channels
Number of Blocks	$10^5$
Path-Loss Exponent	$\alpha = 4$
SNR	$\frac{P}{\sigma^2} \in \{0, 3, 6, 10\}$ dB
SI Suppression Factor	$G_{\text{SI}} \in \{0, 3, 6, 10\}$ dB
Number of Positions	200

TABLE II  
COMPETITIVE NETWORKING REGIMES

Regime	Description	Illustration
BD-DF-FDN	Two FD Users communicate with each other with the aid of FD-DF-TW relaying.	Fig. 1
BD-AF-FDN	Two FD Users communicate with each other with the aid of a full-duplex amplify-and-forward two-way relaying based RN.	[21, Fig. 1]
FD-DT	Two FD users communicate with each other using direct transmission (DT).	[20, Fig. 4]
DF-FDR relaying	Two HD users communicate with each other with the aid of a DF based full-duplex relay (DF-FDR).	[13, Fig. 1]
HD-DF-TW relaying	Two HD users communicate with each other with the aid of half-duplex DF two-way (HD-DF-TW) relaying.	[8, Fig. 1(b)]

regime, which is summarized in Table II, is also shown in Fig. 5 as a benchmark.

It was reported in [19], [20] that contemporary FD transceiver techniques are capable of reducing the SI close to the noise floor. Hence, according to (2), it is achievable that  $|\hat{h}_3|^2 P \leq \sigma^2$ , which is identical to  $G_{\text{SI}} \geq \text{SNR}$ . Hence, when the SNR value employed in Fig. 5 is 10 dB, it is reasonable to assume that we have  $G_{\text{SI}} \in \{0, 3, 6, 10\}$  dB for modeling

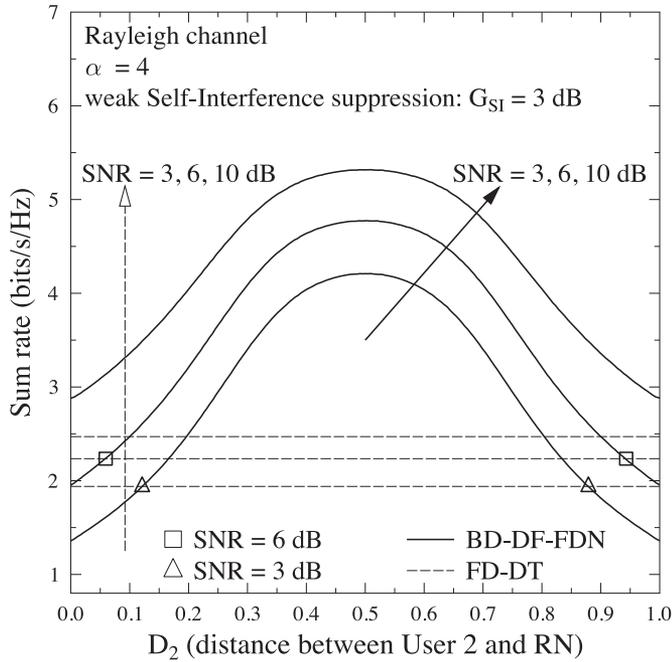


Fig. 6. Effect of the SNR value on the MAEFDR of BD-DF-FDN, which is evaluated according to (29) in Theorem 4.1. The parameters employed can be found in Table I.

564 diverse scenarios, where we have a weak, mediocre, or powerful

565 SI suppression capability. As observed in Fig. 5, when we have  $G_{SI} = 0$  or 3 dB, the

567 sum rate of our BD-DF-FDN always exceeds that of the FD-

568 DT regime, regardless of the RN positions. However, when  $G_{SI}$

569 increases to 6 dB, the range of the RN's position, where our

570 BD-DF-FDN outperforms the FD-DF regime, is reduced to the

571 area between the two triangular legends shown in Fig. 5. More

572 severely, when we have sufficiently high values of  $G_{SI} = 10$  dB,

573 the predominant region of our BD-DF-FDN, with respect to

574 its FD-DT counterpart, is further reduced to the area between

575 the two square legends. Hence, it may be concluded from

576 Fig. 5 that, for most practical SI suppression capabilities,

577 our BD-DF-FDN has the potential of significantly improving

578 the performance of an FD communication system. This is

579 more suitable for FD-based communication scenarios, where

580 the employment of powerful SI suppression cannot always be

581 guaranteed.

582 Moreover, the MAEFDR of our BD-DF-FDN is also affected

583 by the RN's position, as shown in Fig. 5. If the RN roams too

584 close to one of the users, the system's sum rate will rapidly

585 drop. This tendency can be evidenced again by comparing the

586 sum rate of our BD-DF-FDN associated with  $G_{SI} = 10$  dB to

587 that of the FD-DT regime, particularly when considering the

588 curve segments between the two square legends in Fig. 5 in

589 contrast to those outside these two square legends.

590 Similarly, in Fig. 6, we investigate the effect of different

591 SNR values on the MAEFDR of BD-DF-FDN, when the SI

592 suppression factor  $G_{SI}$  is fixed. Observe in Fig. 6 that, regard-

593 less of the SNR, the proposed BD-DF-FDN always outperforms

594 its FD-DT regime-based counterpart, except when the RN is

595 located too close to one of the users. Furthermore, the optimum

596 performance is obtained in high-SNR scenarios.

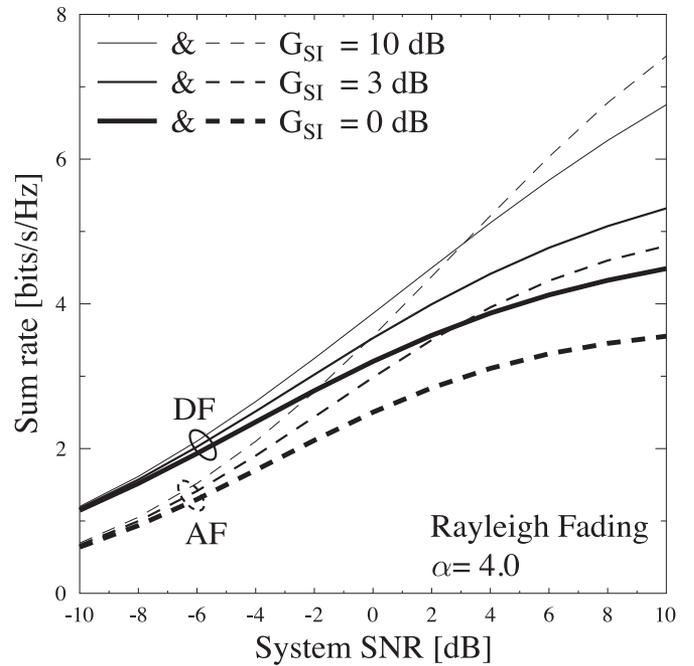


Fig. 7. Comparison between BD-DF-FDN and BD-AF-FDN in terms of their sum rate versus SNR performance, where their ability for resisting the impact of SI is highlighted.

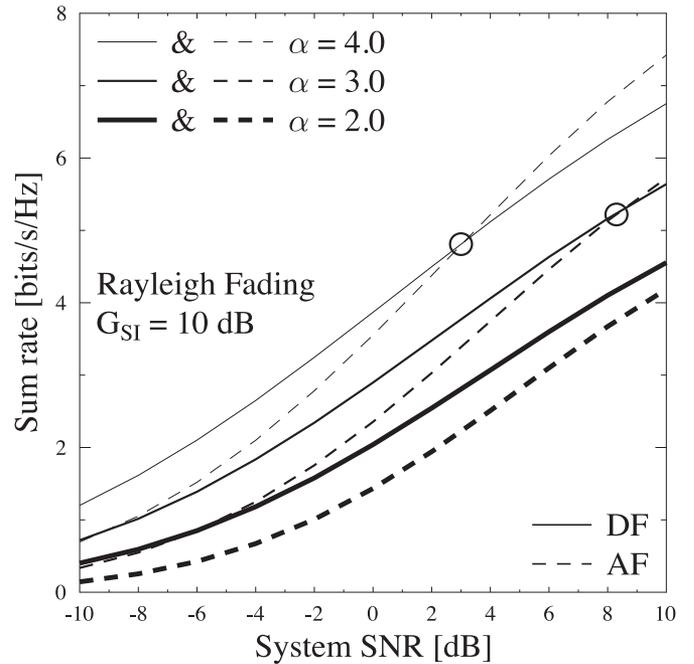


Fig. 8. Comparison between BD-DF-FDN and BD-AF-FDN. Different path-loss effects are investigated.

Then, the comparisons between our BD-DF-FDN and the 597

bidirectional AF-relaying-aided FD network (BD-AF-FDN) 598

[21], which is also described in Table II, are demonstrated 599

in Figs. 7 and 8. According to Figs. 7 and 8, in general, in 600

contrast to its AF-based counterpart, the proposed BD-DF-FDN 601

is capable of achieving a higher spectral efficiency during low- 602

SNR regions. Specifically, when the SI suppression ability of 603

the FD transceiver is enhanced to  $G = 10$  dB,<sup>7</sup> the DF-aided 604

<sup>7</sup>It is equivalent to having  $\Omega = 0.1$  in [21].

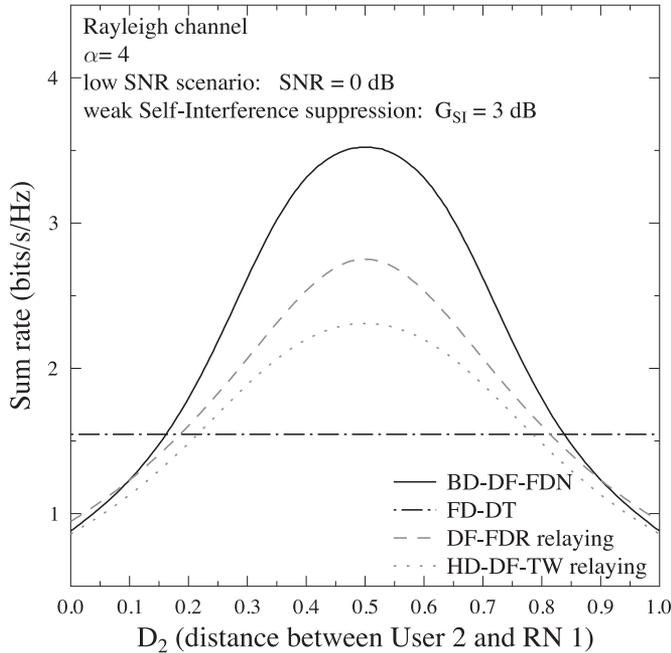


Fig. 9. Comparison among different regimes. The parameters employed can be found in Table I.

605 system can still outperform its AF-based counterpart within  
606 the low-SNR region of  $(-\infty, 3]$  dB. Bearing the green radio  
607 concept in mind, with the aid of powerful forward error cor-  
608 rection (FEC) techniques, in a mount of literatures, practical  
609 relaying systems tend to be operated in increasingly lower SNR  
610 scenarios [30]. Hence, the BD-DF-FDN may better adapt to  
611 the application scenarios, where powerful FEC receivers are  
612 employed.

613 In more detail, observe in Fig. 7 that the spectral gain of BD-  
614 DF-FDN, with respect to its AF-based counterpart, increases  
615 upon incurring higher SI. Then, observe in Fig. 8 that, when  
616 we fix the SI suppression ability of the FD transceiver, lower  
617 path-loss reduction effect will result in higher performance gain  
618 of the proposed DF-aided system compared with its AF-based  
619 counterpart. Based on these phenomena, it may be concluded  
620 that, in contrast to BD-AF-FDN [21], [22], our BD-DF-FDN  
621 seems to be more appropriate to low-SNR, high-SI, and low-  
622 PLRG application scenarios.

623 Finally, the spectral efficiency of our BD-DF-FDN regime  
624 versus that of other typical networking regimes is shown in  
625 Fig. 9, where the FDR-based system [10], [13] and the HD-  
626 DF-TW-based system [8] characterized in Table II are also  
627 invoked as benchmarks. Observe in Fig. 9 that, benefiting from  
628 the intelligent relaying strategy, the BD-DF-FDN is capable  
629 of significantly outperforming its DT-based counterpart, which  
630 also explores the advanced FD technology, except the situation  
631 that the RN roams extremely close to one of the users. Further-  
632 more, the BD-DF-FDN is capable of achieving salient spectral  
633 gain, with regard to either the DF-FDR relaying or the HD-DF-  
634 TW relaying, which evidences the high spectral efficiency of  
635 combing a complete FD network with the intelligent two-way  
636 relaying strategy.

## VI. CONCLUSION

637

In this paper, we have proposed the novel concept of bidirec- 638  
tional DF relaying. We considered a challenging FD commu- 639  
nication scenario and conceived a bidirectional relaying-aided 640  
FD network, where an optimum rate allocation scheme was 641  
designed for improving the system's spectral efficiency. 642

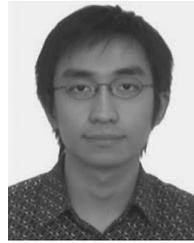
The simulation results provided in Section V have confirmed 643  
that the proposed BD-DF-FDN is capable of achieving a sig- 644  
nificantly higher spectral efficiency than the other typical net- 645  
working regimes listed in Table II. However, the performance of 646  
the BD-DF-FDN solution is dominated by the system's interfer- 647  
ence suppression capability, as well as by the RN's geographic 648  
location. Hence, in some scenarios where the system either has 649  
a weak or powerful interference suppression capability or if 650  
the RN is extremely close to one of the users, it may not be 651  
necessary to activate the proposed BD-DF-FDN. 652

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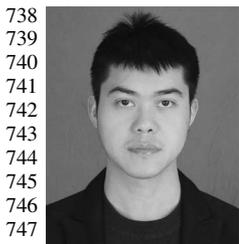
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AQ9

AQ10

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