1	Dysregulation of anti-viral function of CD8+T cells in the COPD lung: role of
2	the PD1/PDL1 axis ¹
3	
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26 At a glance commentary:

27 Scientific knowledge on the subject: Dysregulation of adaptive immunity is 28 thought to be an important disease mechanism in COPD with increased numbers 29 of cytotoxic T cells present in the lung. PD1 is a key regulator of T cell function 30 and is associated with loss of cytotoxic function in the context of chronic infection 31 and inflammation but the role of this axis in COPD and its association with T cell 32 function is not known.

33 What this study adds to the field: This study shows that PD1 is upregulated on 34 T cells derived from COPD patients and that PD1 expression increases following 35 influenza infection in an experimental lung explant tissue model. In this study 36 CD8 T cells from COPD patients also demonstrated evidence of impaired 37 cytotoxicity. In contrast, infection-induced expression of the ligand PD-L1 on 38 macrophages was diminished in COPD with associated increases in IFNy 39 expression. These observations provide evidence of dysregulation of T cell 40 function in COPD through the PD1 axis, contributing to our understanding of 41 mechanisms leading to the aberrant response to infection in COPD.

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47 Abstract

Rationale: COPD patients are susceptible to respiratory viral infections which cause exacerbations. Mechanisms underlying susceptibility are not understood. Effectors of the adaptive immune response; CD8+ T cells which clear viral infections, are present in increased numbers in lungs of COPD patients but fail to protect against infection and may contribute to the immunopathology of the disease.

54 **Objectives**: CD8+ function and signalling through the Programmed Cell Death 55 (PD-1) exhaustion pathway was investigated as a potential key mechanism of 56 viral exacerbation of the COPD lung.

57 **Methods:** Tissue from control or COPD patients undergoing lung resection was 58 infected with live influenza virus *ex vivo*. Viral infection and expression of lung 59 cell markers was analysed using flow cytometry.

60 Measurements and Main Results: The proportion of lung CD8+ T cells 61 expressing PD-1 was greater in COPD(mean=16.2%) than controls(4.4%, 62 p=0.029). Only epithelial cells and macrophages were infected with influenza 63 and there was no difference in the proportion of infected cells between controls and COPD. Infection upregulated T cell PD-1 expression in control and COPD 64 65 samples. Concurrently, influenza significantly upregulated the marker of 66 cytotoxic degranulation (CD107a) on CD8+ T cells(p=0.03) from controls, but not 67 Virus-induced expression of the ligand PD-L1 was from COPD patients. 68 decreased on COPD macrophages(p=0.04) with a corresponding increase in 69 IFNy release from infected COPD explants compared to controls(p=0.04).

70	Conclusions: This study has established a signal of cytotoxic immune
71	dysfunction and aberrant immune regulation in the COPD lung that may explain
72	both the susceptibility to viral infection and the excessive, inflammation
73	associated with exacerbations.

- 74
- 75

76 Introduction

77 Chronic Obstructive Pulmonary disease (COPD) is an irreversible progressive 78 disease resulting in permanent loss of lung function(1, 2). It is characterised by 79 persistent airflow limitation and innate and adaptive immune cell infiltration into 80 the lungs. COPD patients experience recurrent viral infections accompanied with 81 lung inflammation resulting in exacerbations which are characterised by a 82 sudden decline in lung function, often require hospitalisation, and may result in death(3-5). CD8+ T cells, which play a key role in anti-viral immunity have been 83 84 shown to be present in greater numbers in the lungs of patients with more severe 85 COPD as measured by $FEV_1(6)$ but these patients remain at great risk from the 86 impacts of respiratory viral infection.

87 Recent studies have suggested that regulation of T cell function can occur 88 via the T cell exhaustion pathway in response to viral infection(7). PD-L1 is the 89 ligand for the Programmed Cell Death protein 1 (PD-1), which is a member of the 90 CD28 family of T cell receptors. The canonical pathway of T cell activation is via 91 antigen presentation in the context of MHC to elicit T cell receptor (TCR) 92 activation, co-stimulation of CD28 provides a necessary signal to prevent T cell 93 anergy(8). Contrastingly, PD-L1 binding to PD-1 causes inhibition of T cell 94 proliferation and cytokine release(9). T cell exhaustion is a state of T cell 95 dysfunction normally associated with chronic viral infection and cancer and is 96 associated with prolonged stimulation of T cells due to persistent antigen 97 presentation. However recent work has suggested that expression of PD-1 is 98 also closely linked to T cell differentiation and can be expressed on acutely

99 activated T cells but usually subsides during resolution of infection (10). The PD-100 1 pathway has recently been suggested as potentially relevant in COPD 101 pathogenesis, as the presence of PD-1+ T effector cells in the blood correlated with disease severity(10). Kalathil et al. detected PD-1 expression in a population 102 103 of blood CD4+CD127+ T cells, although there was no evidence of functional 104 exhaustion(10). The potential for T lymphocytes to express an exhausted 105 phenotype in the COPD lung has not yet been established. We hypothesised that 106 T cells in the COPD lung would express an exhausted phenotype compared to 107 cells derived from control lungs and that T cell exhaustion may account for poor 108 responses to viral infection that may lead to COPD exacerbations.

109

111 Materials & Methods

112 Ex vivo infection of lung parenchymal tissue

113 Resected human lung tissue was obtained from consented patients undergoing 114 airway re-section surgery at our regional thoracic surgical unit. The collection of 115 tissue was approved by and performed in accordance with the ethical standards 116 of the Southampton and South West Hampshire Research Ethics Committee, 117 LREC no: 09/H0504/109. Ex-smokers were defined as individuals who had guit 118 smoking for >6 months. Parenchymal tissue, distant from the resection margin 119 and any gross pathology was dissected from the lobe. Tissue was cut into 1mm³ 120 sections and added to a 24-well flat-bottomed culture plate before washing with 121 Dulbecco's Phosphate Buffered Saline (DPBS – Sigma, Poole, UK). Washing of 122 the tissue was performed by removing DPBS from the wells and replacing it with 123 fresh DPBS, followed by unsupplemented RPMI and finally RPMI supplemented 124 with 1% penicillin/streptomycin (both Life Technologies, Paisley, UK) and 1% 125 gentamycin (GE Healthcare, Little Chalfont, UK). Tissue was then incubated overnight at 37°C and 5% CO₂. Ex vivo infection of resected lung tissue with 126 H3N2 X31 influenza virus (a kind gift of 3VBiosciences) was then carried out as 127 128 previously described(11).

129

130 T cell and Monocyte Isolation & differentiation

131 CD8+ T cells and Monocytes were isolated from human peripheral blood
 132 mononuclear cells (PBMC) using MACS technology (Miltenyi Biotec, Bisley, UK)

and monocytes differentiated into macrophages by culturing for 12 days with 2ng/ml GM-CSF.

135

136 Flow cytometry analysis

Samples were resuspended in FACS buffer (PBS, 0.5% w/v BSA, 2 mM EDTA)
containing 200 µg/ml human IgG before being incubated on ice in the dark for 30
min in the presence of fluorescently-labelled antibodies as previously
described(11). Flow cytometric analysis was performed on a FACSAria using
FACSDiva software v5.0.3 (BD Biosciences, Oxford, UK).

142

143 RNA Isolation & RT-PCR

144 RNA was extracted from 25,000 flow cytometry sorted CD4+ or CD8+ lung T 145 cells using a Stratagene Nanoprep Kit (Agilent Technologies, Stockport UK). 146 Reverse transcription was carried out using a High Capacity cDNA Reverse 147 Transcription Kit (Life Technologies) with random hexamers carried out 148 according to the manufacturer's protocols. TIM3 gene expression was analysed 149 using TaqMan universal PCR master mix, No AmpErase® UNG in a 7900HT fast 150 real-time PCR system instrument (all Life Technologies). Gene expression was 151 normalized to β_2 -microglobulin gene expression and quantified using the $\Delta\Delta$ C_T 152 method.

153

154 Supernatant analyses

- 155 IFNγ concentrations in culture supernatants were analysed by Luminex assay as
- 156 per manufacturer's instructions (Bio-Rad, Hemel Hempstead, UK).
- 157
- 158 ELISpot

ELISpot for Human IFN- γ (MabTech, Stockholm, Sweden) was performed using 0.45 µm MultiScreen-IP Filter Plates (Millipore, Watford, UK) as previously described(11). Briefly, MDMs were either not infected, or were treated with 2.5 x 10⁴ pfu/ml X31 Influenza A H3N2 virus at 37°C for 2 h before washing and were then added to each well at a concentration of 5 x 10⁴ cells/well and 2.5 x 10⁵ monocyte-depleted PBMC or 1 x 10⁵ CD8+ T cells were added to MDMcontaining wells and incubated at 37°C for a further 22 h.

166

167 Statistics

Analysis of two groups was performed using Wilcoxon's signed rank test for paired data and a Mann-Whitney U test for unpaired data. Chi-squared test and Fishers exact test were used for categorical data (GraphPad Prism v6, GraphPad

- 171 Software Inc., San Diego, USA). Results were considered significant if p<0.05.
- 172

173 For full details of all methods please see supplemental data

175 **Results**

176 Patients

The clinical characteristics of surgical patients are presented in Table 1. COPD patients were matched with controls for age, but had a greater smoking history and lower FEV₁% predicted and greater airflow obstruction.

180

181 Lung resident T cell phenotype in COPD

182 Previous studies have demonstrated an increase in CD8+ T cells in the COPD lung by immunohistochemistry (6, 12). To validate our flow cytometry method we 183 184 measured the proportion of CD4+ and CD8+ T cells disaggregated from the 185 explanted lung tissue using the gating strategy outlined in Figure 1A. The 186 proportion of CD4+ T cells was significantly lower in COPD (mean=39.3%) than 187 controls (mean=47.3%), p=0.016 (Figure 1B). Conversely, the proportion of 188 CD8+ T cells was greater in COPD (mean=42.7%) than controls (31.2%), 189 p=0.004 (Figure 1C & Supplementary Data Figure E1A+B). Moreover the 190 majority of these cells were effector memory cells (CCR7-), suggesting we are 191 studying lung resident cells and not carry over from the blood compartment 192 (Supplementary Data Figure E2).

193

194 Patients with COPD exhibit elevated proportions of PD1+ T cells

To investigate if the immune defect in CD8+ T cells in COPD was associated with markers of exhaustion, PD-1 expression by lung resident T cells was quantified in control and COPD patients (Figure 2). The mean proportion of CD4+ T cells

from controls that expressed PD-1 was 1.68%, compared to a mean of 4.51% in COPD tissue (Figure 2A - p=0.07). A mean of 4.39% of CD8+ T cells from control lung tissue expressed PD-1, while a mean of 16.24% expressed PD-1 in COPD tissue (Figure 2B - p=0.0291). There was therefore evidence of a greater proportion of T cells expressing PD-1 in COPD lungs compared to control tissue.

203 The co-expression of PD-1 and TIM-3 has been used to identify 204 functionally exhausted T cells in murine models(13). In contrast to PD-1, T cells 205 isolated from tissue of either controls (n=9) or COPD individuals (n=12) did not 206 express detectable surface TIM-3. To ensure that lack of TIM-3 detection was not 207 due to an effect of collagenase on the lung T cells, RT-PCR experiments were 208 performed using CD4+ and CD8+ T cells sorted from lung parenchyma. TIM-3 209 mRNA was not detected in either CD4+ or CD8+ T cell samples from controls or 210 COPD patients (Supplementary Data Figure E3).

211

212 Influenza infection of lung explants

213 In order to assess the functional consequences of a viral infection on the 214 activation of T cells, we utilised a previously validated ex vivo model of lung 215 explant infection (11, 14, 15). In that previous study, H3N2 X31 influenza A was 216 shown to infect epithelial cells and macrophages in both human bronchial and 217 parenchymal tissues (11, 15). Endothelium, fibroblasts, B cells and T cells were 218 not infected by X31(14). Therefore infection of epithelial cells and macrophages 219 was quantified using the gating strategy outlined in Figure 3A using the 220 expression of the influenza protein NP-1. Epithelial cells and macrophages from

inactivated (UV treated) tissue did not express NP-1. A mean of 10.38% of epithelial cells from control patients expressed NP-1 (Figure 3B) compared to 9.19% form COPD samples (p=0.77). There were also no significant difference (p=0.50) between the proportion of macrophages infected with virus from control (mean 18.12%) or COPD tissue (mean 14.45%) (Figure 3C).

226

227 T cell responses to influenza infection of lung explants

228 As there was no difference in the proportion of virally infected cells between 229 healthy and COPD subjects, these data suggest that the mechanisms leading to 230 COPD exacerbations may arise as a failure to adequately control the immune 231 response rather than due to an increased level of infection. PD-1 expression was 232 therefore measured to investigate differential immune responses to infection. 233 Figure 4 shows that PD-1 is upregulated on CD4+ and CD8+ T cells in both 234 control and COPD explants in response to X31 infection (Fig 4A & 4B). CD8+ 235 PD-1 expression in controls increased from a mean sMFI of 165.1 to 237.7 in 236 X31 samples (p=0.01). Ex vivo CD8+ T cells from COPD patients express a 237 mean sMFI PD-1 of 231 which increased to 287.7 with X31 treatment (p=0.02). A 238 similar pattern of expression in response to infection was seen for CD4+ T cells. 239 Live virus induced a significantly greater fold increase (median 1.37-fold) in PD-1 240 expression on COPD CD8+ (p=0.0134), but not CD4+ (p=0.2847), T cells than 241 UV-irradiated virus (Supplementary Data Figure E4C&D). The percentage of 242 CD8+ T cells from both controls and COPD patients expressing PD-1 was also 243 increased in response to X31 treatment. There was also a significant increase in

the proportion of CD4+ T cell PD-1 expression from COPD patients but not controls in response to infection (Supplementary Data Figure E4A&B). PD-1 is upregulated during X31 infection, but the fold increase in expression induced by influenza in CD4+ (p=0.31) and CD8+ (p=0.27) T cells did not differ between control and COPD samples (Supplementary Data Figure E4E&F).

249 To analyse the functional relevance of this PD-1 upregulation, we 250 assessed the expression of the degranulation marker CD107a in response to 251 viral infection between controls and COPD individuals (Figure 4C&D). CD4+ T 252 cells from both control and COPD samples significantly upregulated CD107a in 253 response to infection (p=0.03) (Figure 4C). In contrast, whilst CD8 T cells from 254 controls significantly upregulated (p=0.03) CD107a in response to viral infection 255 there was not a significant upregulation by CD8 cells derived from COPD 256 explants (Figure 4D). Taken together these results suggest that CD8 T cells in the COPD lung may have an impaired degranulation response to viral infection. 257

258

259 Lung macrophage expression of PD-L1 is compromised in COPD

T cells downregulate their effector functions due to ligation of PD-1 by PD-L1. To assess whether there was dysregulation of PD-L1 in our *ex vivo* model, we also measured the expression of this ligand on alveolar epithelial cells and macrophages (Figure 5) and to elucidate whether PD-L1 expression corresponded to viral infection and T cell upregulation of PD-1. The results indicated that epithelial cells express PD-L1 in human parenchyma, but its expression is lower (NI pooled sMFI = 142) than in macrophages (NI pooled

sMFI = 442.47) and is not regulated by acute X31 infection (Supplementary Data Figure E5). Macrophages, however, upregulate PD-L1 in response to infection in control samples (p=0.02) and COPD samples (p=0.02) (Figure 5A). Intriguingly, we observed lower expression of PD-L1 in COPD in response to infection compared to infected control samples (p = 0.04) Figure 5B).

272 As PD-L1 has been previously shown to be directly responsible for 273 reducing CD8 T cell function in response to influenza (7), these data suggest that 274 COPD macrophages may be unable to adequately modulate T cell activation. To 275 assess this in the explant model we analysed the release of IFNy into 276 supernatants from tissue infected with X31 for 24 h (Figure 5C). Infection with 277 influenza caused significant IFNy release from both control (p=0.02) and COPD 278 (p=0.004) explants (Figure 5C). However COPD explants produced significantly 279 more IFNy in response to influenza (mean 86 pg/ml) than infected control 280 explants (mean 49 pg/ml, p=0.04) (Figure 5D), suggesting that the decrease in 281 PD-L1 expression by infected macrophages does have a functional effect on T 282 cell cytokine release.

283

284 Fluticasone does not affect CD8+ T cell PD-1 expression

In order to ensure that the effects we were seeing were not an epiphenomenon due to inhaled corticosteroid use by COPD patients, we incubated peripheral blood derived CD8+ T cells with 10⁻⁷ M fluticasone propionate (FP) for 24 h and analysed cell surface PD-1 expression by flow cytometry (Figure 6A). There was no significant upregulation of PD-1 on CD8+ T cells in response to fluticasone.

291 Discussion

292 In the present study, we have demonstrated evidence of dysregulation of T cell 293 immune function in the COPD lung. We have shown that a greater proportion of 294 T cells express PD-1 in COPD tissue than in controls, but that this signal is not 295 one of the canonical fully exhausted phenotype as there was no co-expression of 296 TIM-3 at either the mRNA or protein level. However this finding was associated 297 with evidence of diminished T cell cytotoxic degranulation responses to viral 298 infection. Study of the virus-induced expression of the exhaustion ligand PD-L1 299 demonstrated that it is decreased on COPD macrophages with a corresponding 300 increase in IFNy release into supernatants from virally infected lung explants. 301 These data are complex to interpret but reflect the complex regulation of T cells 302 in the lung and interactions with the disease effects of COPD. The findings 303 highlight that T cell regulation, of which exhaustion is an important component, is 304 impacted upon by both aberrant T cell functionality and loss of regulatory control 305 in the context of COPD. The consequences of these phenomena may explain the 306 complex relationship between viral susceptibility and excessive inflammation 307 which is the hallmark of acute exacerbations.

The increased proportion of CD8+ T cells in the lung parenchyma of COPD patients has been described and it is postulated that this may be due to their anti-viral properties(16, 17). As CD8+ T cells elicit potent anti-viral responses(18), the raised proportions of activated CD8+ T lymphocytes in COPD lungs may indicate a response to increased frequency of infection (19, 20). The localisation of T cells in a murine model of viral infection during RSV or Influenza

314 A virus infection resulted in recruitment of CD8+ T cells to the lungs, with virus 315 specific T cells residing in the lungs for 30 days, with the majority of IFNy-316 secreting CD8+ cells being found in lung tissues rather than in the periphery(21). 317 Purwar et al. (22) have shown that human lung contains resident memory T cells 318 (T_{RM}) cells independently of challenge, and these cells can secrete potent 319 inflammatory mediators upon stimulation, underlining the importance of T_{RM} in 320 protecting the host during infection. Recently other work using in vitro stimulation 321 of lung derived T cells has demonstrated evidence of dysfunction in COPD. 322 CD4+ T cells particularly in advanced disease demonstrated aberrant 323 polarization patterns but features of exhaustion or response to direct viral 324 infection were not explored (23).

325 PD-1-expressing lymphocyte populations were identified in lung 326 parenchyma, with a greater proportion of T cells expressing this marker in 327 patients with COPD. This expression was significantly upregulated by CD4+ and 328 CD8+ T cells in response to infection. The inducible nature of PD-1 in response 329 to activation was first identified by Agata et al. (24) and this previous work agrees 330 with the findings presented above. PD-1 is a marker of T cell exhaustion, but it is 331 also expressed by activated T cells which appear to be fully or partly functional 332 (25, 26). PD-1 is upregulated by T lymphocytes in acute models of LCMV, but 333 these are resolved before T cells display an exhausted phenotype(27). However, 334 acute infection in the human ex vivo model appears to yield similar results to 335 those of the previously reported *in vivo* murine model of influenza infection(28).

336 The release of granzyme B and perforin is utilised by CD8+ T cells to 337 induce apoptosis of virally-infected cells(29). Intracellular staining of these 338 proteins was not performed due to variable levels of Granzyme B detection in 339 unstimulated samples, but CD107a can be used as a surrogate marker for T cell 340 cytotoxic degranulation(29). CD107a was upregulated by CD4 and CD8 T cells in 341 controls but not COPD in response to influenza infection. An inability to produce 342 cytotoxic proteins in response to viral infection infers an associated host 343 susceptibility to infection and potentially a failure to clear the pathogen resulting 344 in prolongation of clinical illness seen in COPD. In combination with the 345 increased PD-1 expression in COPD, these data are consistent with the 346 hierarchical loss of T cell function characteristic of T cell exhaustion(30). These 347 observations suggest that there are an increased proportion of CD8+PD-1+ T 348 cells in the COPD lung that are activated but carry important functional features 349 of exhaustion that have an impaired ability to release cytotoxic granules.

Cytotoxic responses are predominantly associated with CD8 T cell and NK cells, but in this model CD107a was also upregulated by CD4 T cells. This finding adds to the growing literature of cytotoxic CD4 T cells in viral infection(31, 32), including influenza(33). It is unclear as to whether cytotoxic CD4+ T cells are a unique subset of T cells, or whether their killing ability is induced during an impaired CD8 response in the context of COPD and this requires further study.

Previous investigation into PD-L1 regulation of T cell responses to respiratory viruses has focussed on expression by epithelial cells(7, 34, 35). Influenza viral infection of control and COPD tissue did not modulate PD-L1

359 expression by epithelial cells, even though it was constitutively expressed at a 360 very low level (Supplementary Figure E5). Although there was no difference in 361 the basal expression of this ligand between controls and COPD, macrophages 362 expressed significantly more PD-L1 in response to infection in both groups. Our 363 previous data indicate that this PD-L1 upregulation is functionally relevant, as 364 use of a PD-L1 blocking antibody in our influenza-infected MDM model 365 increased production of IFNy by autologous CD8 T cells, implicating PD-L1 in the 366 regulation of anti-viral cytokine release(11). In the current study, infected COPD 367 macrophages expressed significantly less PD-L1 than control macrophages, 368 which in turn correlated with an increase in IFNy release from infected COPD 369 Thus not only does there appear to be a defect in the antiviral cytotoxic lung. 370 function of T cells in COPD but also an inability to arrest the activation of these T 371 cells by decreased PD-L1 expression. These findings are consistent with well 372 recognised clinical phenomena of increased severity of virally infection, 373 prolonged viral shedding and structural lung damage associated with 374 exacerbations.

Our previous study suggested that autocrine IFNβ production by alveolar macrophages was a driver of PD-L1 expression(11). Rhinovirus-driven asthma exacerbations have been postulated to be a direct result of deficient IFNβ production by bronchial epithelial cells from asthmatics for the last decade(36). More recent work challenging COPD patients with rhinovirus to mimic an exacerbation suggests a similar defect in IFNβ production may also operate in COPD(37). Unfortunately, we are unable to directly measure IFNβ production in

virally-challenged lung explants and so deficient production of this cytokine
 leading to a reduced expression of PD-L1 in response to virus in COPD remains
 an intriguing possibility.

385 This work has been performed using whole lung explants from patients 386 undergoing thoracic surgery. This approach permits the analysis of the complex 387 interactions between structural and immune cells and the impact of viral infection 388 in this complex composite system. This validated model has contributed to our 389 understanding of cellular immunity in the human lung with a clinically relevant 390 pathogen (live influenza virus) which would be not feasible in vivo, but it has 391 limitations when studying immune responses which should be considered. Firstly 392 lung explants are isolated from the effects of immune cells trafficking from the 393 blood and so these conclusions are valid for lung resident cells only. We feel 394 however that, as exhaustion signalling is confined to impacting on terminally 395 differentiated T cells, it remains a very valid model in this context. Furthermore 396 patients donating tissue were undergoing surgery for indications including lung 397 cancer which may impact on the findings. However only tissue distant to the 398 tumour site was used and the COPD related effects on immunity were apparent 399 whilst the co-morbidities leading to surgery were present in both COPD and 400 controls. In using lung derived T cells we have been limited in the number of cells 401 available for phenotypic analysis and hence the breadth of phenotypic markers 402 was also constrained to a single flow cytometry panel. We have used established 403 markers to identify T cells and their function. Cytotoxic function can be measured 404 in a number of ways including expression of perforin and granzyme B as well as

the marker CD107a. This latter marker has been well established as a signature of cytotoxic degranulation(7, 38). However to fully understand the dysfunctional nature of T cells further studies exploring functional killing ability and the antigen specific nature of dysfunctional T cell subsets are required. In addition the interaction with functional readouts of T cells and the response to infection with treatments such as inhaled corticosteroids and also active smoking requires further study to provide insights into how these may diminish protective immunity.

412 Taken together, these data indicate a dysregulation of CD8+ T cell 413 responses to viral infection in the COPD lung. Moreover, they suggest that viral 414 exacerbations of COPD may arise due to a combination of an already active CD8 415 T cell population with an impaired anti-viral action coupled with an inability to 416 down-regulate cytokine release from these as a result of deficient PD-L1 417 expression. However interpretation of the observed results requires caution as it 418 is possible that reduction of PD-L1 expression enables increased IFNy 419 expression which aids viral clearance in the diseased lung. Whichever the 420 direction of effect in vivo, these observations have important potential 421 implications for the therapeutic manipulation of T cell function including the use of 422 PD-1 and PD-L1 blocking antibodies to modulate T cell activity which are in use 423 as cancer therapies today. Further studies are required to investigate the 424 translational potential of this approach. If this axis is modulated imprecisely, risks 425 to the patient of pneumonitis, are already recognised (39). The key question 426 remains as to what drives the increased expression of PD-1 in the COPD airway

- 427 and whether cells manifesting this functional phenotype are the ones specific to
- 428 pathogens or autoantigens that play a key role in the pathogenesis of COPD.

430

	Control	COPD	p Value
N	24	33	-
Age (years)	70.5 (61 – 76)	67 (60.5 – 74)	0.75#
Gender M/F	12 / 12	16 / 17	0.91†
BMI	27.25 (22.63 – 31.55)	23.7 (21.25 – 28.95)	0.23#
Confirmed corticosteroid use	1	9	0.0336‡
Smoker (Never/Ex/Current)	6 / 15 / 3	1 / 20 / 12	0.0671‡
Pack Years	21 (.625 – 52.5)	40 (31.25 – 62.5)	0.025#
FEV ₁ %	98 (91.9 – 112)	76.13 (67 – 86)	< 0.0001#
FEV ₁ /FVC ratio	0.776 (0.73 – 0.805)	0.601 (0.551 – 0.654)	< 0.0001#

Table 1: Clinical characteristics of surgical patients. Data are presented as
median and IQR shown. Ex-smokers were defined as individuals who had
stopped smoking for > 6 months prior to surgery. BMI data shown represents 16
Control and 17 COPD patients. #Mann Whitney U Test, †Chi-squared test, ‡
Fishers Exact test. Co-morbidity data can be viewed in Supplementary Table E3.

430

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592 Figure Legends

593

594 Figure 1. Flow cytometry gating strategy for T cells in lung parenchymal 595 tissue. After resting explanted lung tissue overnight, tissue was digested with 596 collagenase and cells analysed by flow cytometry (A) Unstained singlet 597 population was obtained from digested tissue. Dead cells were excluded using 598 LIVE/DEAD® Fixable Agua Dead Cell Stain. Live singlet CD45+ population was 599 then identified and from this a CD45+CD3+ T cell population. The CD45+CD3+ T cell population was divided into CD4+ and CD8+ T cells. (A) Proportion of CD4+ 600 601 (B) and CD8+ T cells are gated on the live CD45+CD3+ population. Control n = 602 20, COPD n = 24. Median and IQR shown. Data analysed using a Mann-Whitney 603 U-test # p<0.05, ## p<0.01.

604

Figure 2. Intrinsic PD-1 expression by CD4 and CD8 T cells in controls and COPD. After resting explanted lung tissue overnight, tissue was digested with collagenase and cells analysed by flow cytometry. T cells are gated on the live CD45+CD3+ population. (A) Proportion of CD4+ (B) and CD8+ T cells expressing surface PD-1. Control n = 9, COPD n = 12. Median and IQR shown. Data analysed using a Mann-Whitney U-test # p<0.05. Note that y axis limit is changed from 15% to 50% between (A) and (B).

612

Figure 3. Infection of Epithelial cells and Macrophages from lung
 parenchymal tissue. After resting explanted lung tissue overnight, 1 x 10⁶ pfu/ml

615 H3N2 X31 influenza virus or a UV-irradiated aliquot of virus (UVX31) was added 616 for 2 h. After washing, media was replaced and the tissue was incubated for a 617 further 22 h followed by collagenase digestion and flow cytometry analysis (A) 618 Epithelial cells were identified as CD45- EpCAM+ cells. Macrophages were 619 identified as CD45+ HLA-DR+ cells. (B) Proportion of Epithelial cells and (C) Macrophages expressing NP-1 after treatment with 1x10⁶ pfu/ml live X31 virus 620 621 (X31), UV-irradiated virus (UVX31) or non-infected (NI). NP-1+ cells were 622 classified as infected by the influenza virus. Median and IQR shown. Data 623 analysed using Wilcoxon's signed rank test (** p<0.01, *** p<0.001) to analyse 624 intra group variations and Mann-Whitney U-test to analyse intergroup variations. 625 (n.s. = not significant)

626

627 Figure 4. Expression of PD-1 and CD107a by T cells in response to tissue 628 infection by X31 influenza. (A) CD4+ (B) and CD8+ T cell expression of PD-1 629 was quantified by flow cytometry in non-infected (NI), live X31 virus infection 630 (X31) and UV-irradiated virus (UVX31) lung samples. Control n = 9, COPD n =12. (C) CD4+ (D) and CD8+ T cells expression of CD107a was also quantified by 631 632 flow cytometry. Control n = 6, COPD n = 6. Median and IQR shown. Data 633 analysed using a Wilcoxon-signed rank test (* p<0.05, ** p<0.01) and Mann-634 Whitney U-test to analyse intergroup variations.

635

Figure 5. Expression of PD-L1 by Macrophages and IFNγ production in
 response to tissue infection by X31 influenza. (A) Expression of PD-L1 by

638	Macrophages was measured in non-infected (NI), live X31 virus infection (X31)
639	and UV-irradiated virus (UVX31) samples. (B) Differences in PD-L1 expression
640	between X31 control and X31 COPD samples. Control $n = 9$, COPD $n = 11$. (C)
641	$IFN\gamma$ was measured from supernatants of non-infected (NI), live X31 virus
642	infection (X31) and UV-irradiated virus (UVX31) explant tissue. (D) Differences in
643	IFN γ production between X31 control and X31 COPD samples. Control n = 10,
644	COPD n = 8. Median and IQR are shown. Data in (A) & (C) were analysed using
645	a Wilcoxon-signed rank test_* p<0.05, ** p<0.01. Data in (B) & (D) were analysed
646	using a Mann-Whitney U test # p<0.05, ## p<0.01.
647	

Figure 6. Effect of Fluticasone Propionate on blood CD8+ T cell PD1

expression PD-1 expression was measured in non-infected blood CD8 T cells
incubated in the presence or absence of 10⁻⁷M FP by flow cytometry (n=4).
Median and IQR are shown. Data were analysed using a Wilcoxon-signed rank
test.



С













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McKendry et al 2015 Figure 4





- 1 **Online supplement for:**
- 2
- 3 Dysregulation of anti-viral function of CD8+T cells in the COPD lung: role of
- 4 the PD1/PDL1 axis
- 5
- 6 Richard T. McKendry, C. Mirella Spalluto, Hannah Burke, Ben Nicholas, Doriana
- 7 Cellura, Aymen Al-Shamkhani, Karl J. Staples, Tom M A Wilkinson.

9 Methods

10 *Ex vivo* infection of resected human lung tissue

11 Lung tissue was obtained from patients undergoing airway re-sectioning surgery at 12 Southampton General Hospital. The collection of tissues was approved by and 13 performed in accordance with the ethical standards of the Southampton and South 14 West Hampshire Research Ethics Committee, LREC no: 09/H0504/109. 15 Parenchymal tissue, distant from the resection margin and any gross pathology was 16 dissected from the lobe. Tissue was cut into 1mm³ sections and added to a 24-well 17 flat-bottomed culture plate before washing with DPBS (Sigma, Poole, UK). Washing 18 of the tissue was performed by removing DPBS from the wells and replacing it with 19 fresh DPBS, followed by unsupplemented RPMI and finally RPMI supplemented with 20 1% penicillin/streptomycin ((both Life Technologies, Paisley, UK) and 1% 21 gentamycin (GE Healthcare, Little Chalfont, UK). Tissue was then incubated 22 overnight at 37°C and 5% CO₂.

23 Tissue was infected and analysed according to according to the method 24 described by Staples et al(1). After resting overnight the media was replaced with 25 serum-free RPMI supplemented with 100 U/ml penicillin, 100 µg/ml streptomycin, 2 26 mM L-glutamine and 250 ng/ml fungizone. Influenza A virus strain X31 was supplied 27 at a concentration of 4 x 10^7 pfu/ml (a kind gift of 3VBiosciences). Inactivated virus 28 (UVX31) was prepared by exposure of the X31 to an ultra-violet (UV) light source for 29 2 h. X31 or UVX31 at a concentration of 1 x 10^6 pfu/ml was added to designated 30 wells, with control non-infected wells. Tissue was incubated at 37°C and 5% CO₂ for 31 2 h to allow for infection of cells residing in the tissue. Supernatant was removed and 32 tissue was washed three times with unsupplemented RPMI in order to remove 33 excess virus from the wells. Serum-free RPMI supplemented with 100 U/ml penicillin,

100 μg/ml streptomycin, 2 mM L-glutamine and 250 ng/ml fungizone was added to
 the wells and tissue was incubated at 37°C and 5% CO₂ for a further 22 h.

36 Protocol used to digest tissue was adapted from Holt *et al* (2). Briefly, Tissue 37 was added to a solution of pre-warmed unsupplemented RPMI and 0.5 mg/ml 38 collagenase type I (Sigma) for digestion. A magnetic stirrer was added to the solution 39 in order to mechanically disaggregate tissue. Collagenase digestion occurred at 40 37°C for 15 min. This tissue digestion protocol was optimised using blood-derived 41 cells to ensure there was no effect on the major T cell markers expressed on naive 42 blood cells. After digestion the solution was filtered through a 35 µm pore straining 43 cap into 5 ml round-bottomed polypropylene FACS tubes (BD Biosciences) in 44 preparation for FACS analysis.

45

46 **Monocyte Isolation & differentiation**

47 Human peripheral blood mononuclear cells (PBMC) were isolated from heparinised 48 blood by centrifugation on Ficoll-Paque® (GE Healthcare, Little Chalfont, UK). 49 Monocytes were then isolated from the PBMC using CD14+ microbeads (Miltenvi-50 Biotec, Bisley, UK) according to the manufacturer's instructions. Isolated monocytes 51 were resuspended in complete RPMI supplemented with 2 ng/ml GM-CSF (R&D 52 Systems, Abingdon, UK). MDM were then washed extensively with basal RPMI 53 before addition of virus RS-RPMI. Collection of samples for this part of the study 54 was approved by the Southampton and South West Hampshire Research Ethics 55 Committee (reference: 08/H0504/138). CD8+ T cells were isolated from the 56 monocyte-depleted PBMC that resulted from this separation step using CD8+ 57 microbeads (Miltenyi-Biotec) before freezing at -80°C in 10% (v/v) DMSO/HI-FBS for 58 use in later ELISpot analysis.

59

60 Infection of lung macrophages and MDM

Influenza A virus strain X31 was supplied at a concentration of 4 x 10⁷ pfu/ml (a kind 61 62 gift of 3VBiosciences). Inactivated virus (UVX31) was prepared by exposure of the 63 X31 to an ultra-violet (UV) light source for 2 h. Macrophages were incubated for 2 h 64 with no virus, or 4000 pfu (lung) or 500 pfu (MDM) of X31 or UVX31. Supernatants 65 were harvested (T-2), the cells washed three times, the final wash was harvested 66 (T0) and fresh RS media was added to the MDMs. Cells were then washed and 67 incubated for a further 22 h at 37°C, 5% CO₂. After a further 22 h, supernatants were 68 harvested (T22) for cytokine analysis and cells collected and immediately analysed 69 For phenotypic characterisation of influenza infected by flow cytometry. 70 macrophages, cells were removed from culture plates using a non-enzymatic cell 71 dissociation solution (Sigma).

72

73 Flow cytometry analysis

Samples were resuspended in FACS buffer (PBS, 0.5% w/v BSA, 2 mM EDTA)
containing 200 µg/ml human IgG (Sigma).

76 Lung explants:

Single cell suspensions derived from collagenase digestion were incubated on ice in the dark for 30 min with the following antibodies: Phycoerythrin-CF594 (PE-CF-594)conjugated anti-CD45, Peridinin-Chlorophyll Protein-Cyanine 5.5 (PerCPCy-5.5)conjugated anti-EpCAM-1 (CD326), and Allophycocyanin-Cyanine 7 (APC-Cy7)conjugated anti-HLA-DR, Phycoerythrin (PE)-conjugated anti-PD-L1, PE-Cy7 conjugated anti-CD3, PerCPCy5.5-conjugated anti-CD4 and APC-Cy7-conjugated anti-CD8 (All BD Biosciences, Oxford, UK). Appropriate isotype and fluorescence-

matched control antibodies were added in a sample of the cells to aid gating of cell
populations. After washing, intracellular staining for viral nucleoprotein (NP)-1, was
performed using BD Cytofix/Cytoperm kit according to manufacturer's instructions,
and AlexaFluor 488 (AF488)-conjugated anti-NP1 antibody (HB-65, a kind gift of
3VBiosciences). The gating strategy is shown in Figure 1A.

89 MDMs & blood T cells:

90 Isolated macrophages were incubated on ice in the dark for 30 min with the following 91 antibodies: PE-conjugated anti-PD-L1, Allophycocyanin (BD Biosciences or 92 appropriate isotype controls. After washing, intracellular staining for viral 93 nucleoprotein (NP)-1, was performed using BD Cytofix/Cytoperm kit according to 94 manufacturer's instructions, and AlexaFluor 488 (AF488)-conjugated anti-NP1 95 antibody (HB-65, a kind gift of 3VBiosciences).

96 Flow cytometric analysis was performed on a FACSAria using FACSDiva 97 software v5.0.3 (all BD).

98

99 ELISpot

Monocyte-depleted PBMC were defrosted at room temperature and suspended in RS RPMI. MDMs were removed from 24-well culture plate using non-enzymatic cell dissociation solution (Sigma) and transferred to sterile 1.5 ml Eppendorf tubes. Cells were centrifuged at 400 g, 4°C, 5 min before resuspension in serum-free (SF) RPMI containing L-glutamine, penicillin/streptomycin, and fungizone. MDMs were either not infected, or were treated with 2.5 x 10^4 pfu/ml X31 Influenza A H3N2 virus at 37°C for 2 h before washing and resuspending in RS RPMI.

ELISpot for Human IFN-γ was then performed using 0.45 µm MultiScreen-IP
 Filter Plates (Millipore, Watford, UK) following manufacturer's instructions (MabTech,

109 Stockholm, Sweden). Briefly, coating antibody (1-DIK) was diluted to 15 µg/ml in 110 sterile DPBS and was added to the plate before overnight incubation at 4°C. Plates 111 were then washed five times with sterile DPBS before replacement with SF RPMI for 112 30 min at RT. The SF-media was then removed and MDM were added to each well at a concentration of 5 x 10^4 cells/well and 2.5 x 10^5 monocyte-depleted PBMC or 1 x 113 114 10⁵ CD8+ T cells were added to MDM-containing wells and incubated at 37°C. After 115 22 h, the plate was washed five times with sterile DPBS + 0.05% Tween20 (Sigma). 116 Detection antibody (7-B6-biotin) was diluted to 1 μ g/ml in sterile DPBS + 0.5% FBS 117 and was added to the plate which was then incubated at RT. After 2 h, the plate was 118 washed five times with sterile DPBS before addition of Streptavidin-ALP (diluted 119 1:1000 in sterile DPBS+0.5% FCS) and incubation for 1 h at RT. Plates were then 120 washed with sterile DPBS before replacement with substrate solution (BCIP/NBT 121 diluted 1:1:8 in sterile H₂O). Plate was incubated at RT for 2-5m until clear spots 122 were visible. At this point wells were washed five times with dH_2O and allowed to dry 123 at RT. Spot development was analysed using an AID EliSpot Reader (Germany) and 124 AID EliSpot Software (Germany).

In initial experiments, no IFNγ staining was seen in wells containing infected
 MDM or lymphocytes alone (1). Peripheral blood T cells do not appear to be
 infected when exposed to X31 (1).

128

129 **RNA Isolation & RT-PCR**

RNA was extracted from T cells using a Stratagene Microprep Kit (Agilent Technologies, Stockport, UK). Reverse transcription was carried out using a High Capacity cDNA Reverse Transcription Kit (Life Technologies) with random hexamers carried out according to the manufacturer's protocols. *TIM3* gene expression was

analysed using TaqMan universal PCR master mix, No AmpErase® UNG in a 7900HT fast real-time PCR system machine (all Life Technologies). Gene expression was normalized to β_2 -microglobulin gene expression and quantified using the $\Delta\Delta$ C_T method.

138

139 Supernatant analyses

IFNβ concentrations in culture supernatants were measured by ELISA according to
the manufacturer's instructions (MSD, Gaithersberg, USA). Culture supernatants
were analysed by Luminex assay for IFNγ as per manufacturer's instructions (BioRad, Hemel Hempstead, UK).

145 **Statistics**

Analysis of two groups was performed using Wilcoxon's signed rank test for paired data and a Mann-Whitney U test for unpaired data. Chi-squared test and Fishers exact test were used for categorical data (GraphPad Prism v6, GraphPad Software lnc., San Diego, USA). Results were considered significant if p<0.05.

150

151 Results

152

	Control	COPD	p Value
N	20	24	-
Age (years)	68 (60.25 – 74.75)	67 (59.75 - 74)	0.89#
Gender M/F	9 / 11	12 / 12	0.741†
BMI	28 (24.55 – 32.1)	23.85 (21.45 – 27.7)	0.08#
Confirmed corticosteroid use	1	7	0.0544‡
Smoker (Never/Ex/Current)	5/11/4	1/16/7	0.13‡
Pack Years	20 (0.9 – 55.25)	40 (29 – 56.25)	0.11#
FEV ₁ %	95 (85.45 – 112.2)	76.1 (64.56 – 88.88)	< 0.001#
FEV ₁ /FVC ratio	0.752 (0.727 – 0.8074)	0.612 (0.524 – 0.668)	< 0.0001#

Supplementary Table 1: Clinical characteristics of surgical patients shown in Figure 1 B+C. Data are presented as median and IQR shown. Ex-smokers were defined as individuals who had stopped smoking for > 6 months prior to surgery. BMI data shown represents 13 Control and 16 COPD patients. #Mann Whitney U Test, †Chisquared test, ‡ Fishers Exact test

158 159

	Control	COPD	p Value
Ν	10	14	-
Age (years)	70.5 (58.75 – 76.5)	67 (61.25 – 72.25)	0.627#
Gender M/F	4 / 6	6/8	1.00+
BMI	22.4 (21.7 – 28.9)	28.3 (22.6 – 36.4)	0.9#
Confirmed corticosteroid use	1	4	0.3577‡
Smoker (Never/Ex/Current)	2/7/1	0/8/6	0.065#
Pack Years	40 (1.5 – 56)	40 (34.38 – 66.25)	0.35#
FEV ₁ %	98 (93 – 114)	73 (62 – 78.5)	< 0.001#
FEV ₁ /FVC ratio	0.747 (0.747 – 0.797)	0.605 (0.523 – 0.642)	< 0.0001#

Supplementary Table 2: Clinical characteristics of surgical patients shown in Figures 2-5. Data are presented as Median and IQR shown. Ex-smokers were defined as individuals who had stopped smoking for > 6 months prior to surgery. BMI data shown represents 3 Control and 5 COPD patients. #Mann Whitney U Test, †Chisquared test, ‡ Fishers Exact test

Control (24)		COPD (33)	
24	Lung cancer	33	Lung cancer
2	Hypertension	5	Hypertension
2	Hypercholesterolaemia	4	Hypercholesterolaemia
2	Arthritis	4	Arthritis
1	Hypothyroidism	3	Hypothyroidism
2	Hysterectomy	3	Hysterectomy
1	Hip replacement	2	Hip replacement
2	Appendectomy	1	Appendectomy
1	Abdominal aortic aneurysm	1	Abdominal aortic aneurysm
1	Varicose veins	1	Varicose veins
1	Irritable Bowel Syndrome	1	Irritable Bowel Syndrome
1	Throat polyps	1	Throat polyps
1	Skin cancer	1	Skin cancer
2	Type 2 Diabetes	1	Type 1 Diabetes

166

Supplementary Table 3: Common comorbidities of surgical patients. Number indicates incidence in each group. Numbers do not sum as each patient can have 167

more than one co-morbidity. 168

170 Supplementary Figure Legends

171

Supplementary Figure E1. CD4+ and CD8+ T cell population in human lung parenchymal tissue, Unstained singlet population was obtained from digested tissue. Dead cells were excluded using LIVE/DEAD® Fixable Aqua Dead Cell Stain. Live singlet CD45+ population was then identified and from this a CD45+CD3+ T cell population. The CD45+CD3+ T cell population was divided into CD4+ and CD8+ T cells. (A) Control samples (B) and COPD samples displaying their paired T cell populations. Control n = 20, COPD n = 24.

179

180 Supplementary Figure E2. Flow cytometry gating strategy for memory CD4+ 181 and CD8+ T cells. Naïve and Tmem cell populations in blood and tissue. 182 PBMCs were isolated from blood using Ficoll-Pague density centrifugation. Tissue 183 was prepared as previously described and digested in 0.5 mg/ml collagenase 184 solution for 15 min. (A+C) T cell populations were gated on a singlet 185 CD3+CD4+CD8- population or (B+D) a singlet CD3+CD4-CD8+ population. (A) 186 CD4+ memory populations and (B) CD8+ populations in blood. (C) CD4+ memory 187 populations and (D) CD8+ populations in lung parenchymal tissue. Flow cytometry 188 dot plots representative of at least 5 independent experiments.

189

190 Supplementary Figure E3. RT-PCR gene expression of TIM-3 by T cells isolated

from lung tissue. T cells were gated on the live CD45+CD3+ population. (A) RT-PCR was performed with 2.5 x 10^4 CD4+ (B) or CD8+ T cells sorted from control or COPD lung parenchyma. ΔΔCt value calculated using B2M housekeeping gene expression. n = 6 195

196 Supplementary Figure E4. Proportion of CD4+ and CD8+ T cells which express 197 PD-1 in response to tissue infection by X31 influenza. (A) Proportion of CD4+ (B) 198 and CD8+ T cells which expressed PD-1 was guantified by flow cytometry in non-199 infected (NI), live X31 virus infection (X31) and UV-irradiated virus (UVX31) lung 200 samples. (C) The fold increase in PD-1 expression (sMFI) of CD4+ (D) and CD8+ T 201 cells between NI and X31-infected samples and NI and UVX31 (UV)- treated 202 samples from COPD patients (n=12). (E) The fold increase in PD-1 expression 203 (sMFI) of CD4+ (F) and CD8+ T cells between NI and X31 samples was also 204 calculated for Control samples (n = 9) and COPD samples (n = 12). Median and IQR 205 shown, Data analysed using a Wilcoxon-signed rank test * p<0.05, ** p<0.01. 206 207 Supplementary Figure E5. PD-L1 expression by epithelial cells during X31

infection of lung parenchyma. 1 cm^3 sections of tissue were treated with UVX31, X31 or NI for 2 h before a 22 h incubation. Proportions of epithelial cells expressing PD-1 was quantified by flow cytometry. Control n = 9, COPD n = 11. <u>Median and</u> <u>IQR</u> shown.

212

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McKendry et al 2015 Supplementary Figure E3



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McKendry et al 2015 Supplementary Figure E4

