



1 *Review*

## 2 **Bidirectional relationships and disconnects between** 3 **NAFLD and features of the Metabolic Syndrome**

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12 **Abstract:** Non-alcoholic fatty liver disease (NAFLD) represents a wide spectrum of liver disease  
13 from simple steatosis, to steatohepatitis, (both with and without liver fibrosis), cirrhosis and  
14 end-stage liver failure. NAFLD also increases the risk of hepatocellular carcinoma (HCC) and both  
15 HCC and end stage liver disease may markedly increase risk of liver-related mortality. NAFLD is  
16 increasing in prevalence and is presently the second most frequent indication for liver  
17 transplantation. As NAFLD is frequently associated with insulin resistance, central obesity,  
18 dyslipidaemia, hypertension and hyperglycaemia, NAFLD is often considered the hepatic  
19 manifestation of the metabolic syndrome. There is growing evidence that this relationship  
20 between NAFLD and metabolic syndrome is bidirectional, in that NAFLD can predispose to  
21 metabolic syndrome features, which can in turn exacerbate NAFLD or increase the risk of its  
22 development in those without a pre-existing diagnosis. Although the relationship between  
23 NAFLD and metabolic syndrome is frequently bidirectional, recently there has been much interest  
24 in genotype / phenotype relationships where there is a disconnect between the liver disease and  
25 metabolic syndrome features. Such potential examples of genotypes that are associated with a  
26 dissociation between liver disease and metabolic syndrome are patatin-like phospholipase  
27 domain-containing protein-3 (PNPLA3) (I148M) and transmembrane 6 superfamily member 2  
28 protein (TM6SF2) (E167K) genotypes. This review will explore the bidirectional relationship  
29 between metabolic syndrome and NAFLD, and will also discuss recent insights from studies of  
30 PNPLA3 and TM6SF2 genotypes that may give insight into how and why metabolic syndrome  
31 features and liver disease are linked in NAFLD.

32 **Keywords:** NAFLD; metabolic syndrome; insulin resistance; PNPLA3

### 34 **1. Introduction**

35 Non-alcoholic fatty liver disease (NAFLD) is a considerable public health concern, and is the  
36 commonest cause for chronic liver disease in the developed world [1,2]. Worldwide prevalence of  
37 NAFLD is estimated to be in the region of 20% in the general population [3]. NAFLD represents a  
38 disease spectrum ranging from hepatic steatosis, to non-alcoholic steatohepatitis, to cirrhosis,  
39 end-stage liver failure and hepatocellular carcinoma. The accepted definition of NAFLD is a  
40 hepatic triglyceride content of greater than 5.5%, as determined from analysis of the Dallas Heart  
41 Study cohort [4]. The metabolic syndrome is a collection of underlying risk factors for  
42 cardiovascular disease with an estimated prevalence in the USA of 34% [5].

43 The relationship between NAFLD, obesity, insulin resistance and type 2 diabetes is a complex  
44 one. NAFLD has traditionally been considered to be the hepatic manifestation of the metabolic

45 syndrome, due to the close association between NAFLD and the various component features of the  
46 metabolic syndrome such as abdominal obesity, hypertension, elevated fasting plasma glucose,  
47 raised serum triglycerides and low high-density lipoprotein cholesterol (HDL-C) concentrations.  
48 Many epidemiological studies have demonstrated an association between NAFLD and the metabolic  
49 syndrome [6-8].

50 There is now a growing body of evidence supporting the idea that there is a bidirectional  
51 relationship between NAFLD and features of the metabolic syndrome, with insulin resistance being  
52 the central pathophysiological process common to both conditions. As such there currently exists  
53 and “chicken and egg” debate in the literature regarding the temporal relationship between NAFLD  
54 and the metabolic syndrome, with no clear consensus about which is considered to generally occur  
55 first. A recent study has demonstrated a reciprocal causality between NAFLD and metabolic  
56 syndrome in a Chinese population, with metabolic syndrome being found to have a greater effect on  
57 incident NAFLD in terms of causality than NAFLD does on incident metabolic syndrome [9].

58 In addition to this there are recognised situations whereby there is an apparent disconnect  
59 between NAFLD and insulin resistance / metabolic syndrome features, and these generally arise as a  
60 result of particular genetic polymorphisms such as in the patatin-like phospholipase  
61 domain-containing protein-3 (PNPLA3) gene.

62 This review will attempt to review the available evidence regarding the bidirectional  
63 relationship between NAFLD and components of the metabolic syndrome, as well as to explore the  
64 potential disconnects that may exist between the two due to genetic variability and inherited  
65 metabolic disease.

## 66 **Association between NAFLD and components of the metabolic syndrome**

67 There have been various diagnostic criteria available for the diagnosis of metabolic syndrome,  
68 and these have changed subtly over recent years. The most commonly used criteria are those  
69 published by the International Diabetes Federation in 2009. It should be noted that these most recent  
70 criteria advocate using population- and country- specific definitions for abdominal obesity [10].

71 **Table 1** outlines the various diagnostic criteria available.



Table 1 – Diagnostic criteria available for metabolic syndrome

	WHO (1999)	NCEP (2001)	IDF (2005)	IDF (2009)
Required	Insulin resistance	Nil	Waist circumference $\geq 94$ cm in men, $\geq 80$ cm in women	Nil
Number of features	$\geq 2$ of:	$\geq 3$ of:	$\geq 2$ of:	$\geq 3$ of:
Obesity	Waist/hip ratio of $> 0.9$ in men, $> 0.85$ in women or BMI $\geq 30$	Waist circumference $\geq 102$ cm in men, $\geq 88$ cm in women		Waist circumference – population specific definitions
Triglycerides	$\geq 150$ mg/dL (1.7 mmol/L)	$\geq 150$ mg/dL (1.7 mmol/L)	$\geq 150$ mg/dL (1.7mmol/L)	$\geq 150$ mg/dL (1.7mmol/L)
HDL-cholesterol	$< 40$ mg/dL (1mmol/L) in men, $< 50$ mg/dL (1.3 mmol/L) in women	$< 40$ mg/dL (1mmol/L) in men, $< 50$ mg/dL (1.3 mmol/L) in women	$< 40$ mg/dL (1mmol/L) in men, $< 50$ mg/dL (1.3mmol/L) in women	$< 40$ mg/dL (1mmol/L) in men, $< 50$ mg/dL (1.3mmol/L) in women
Hypertension	$\geq 140/90$ mmHg	$\geq 135/85$ mmHg	$\geq 135/85$ mmHg	$\geq 135/85$ mmHg
Glucose		110 mg/dL (6.1 mmol/L)	100 mg/dL (5.6 mmol/L)	100 mg/dL (5.6 mmol/L)
Microalbuminuria	Albumin/creatinine ratio $> 30$ mg/g; albumin excretion rate $> 20$ mcg/min			

WHO, World Health Organisation; NCEP, National Cholesterol Education Program; IDF, international diabetes federation; DM, diabetes mellitus.



74 NAFLD can occur in individuals who are not obese [11,12], however this is more unusual and  
75 generally NAFLD is closely related to increased central adiposity. NAFLD is commonly associated  
76 with all of the component features of the metabolic syndrome, and nearly two thirds of people with  
77 obesity and type 2 diabetes demonstrate hepatic steatosis [13,14]. One study identified hepatic  
78 steatosis via ultrasonography in 50% of patients with hyperlipidaemia [15]. NAFLD is also  
79 associated with arterial hypertension and cross-sectional studies have demonstrated that  
80 approximately 50% of people with essential hypertension also have NAFLD [16,17]. Importantly,  
81 in those people with NAFLD the presence of multiple features of the metabolic syndrome is  
82 associated with more severe liver disease and a higher likelihood of progression to NASH and  
83 cirrhosis [18,19].

#### 84 **NAFLD as a risk factor for and precursor to the metabolic syndrome**

85 There is evidence to suggest that NAFLD, rather than being simply the hepatic manifestation of  
86 the metabolic syndrome, may in fact be a necessary first step in its development.

87 When the link between NAFLD and insulin resistance was initially described by Day et al, it  
88 was proposed as part of a “two hit hypothesis” [20]. Here, the “first hit” was increased triglyceride  
89 accumulation as a result of insulin resistance and increased delivery of free fatty acids to the liver,  
90 followed by a “second hit” of hepatic oxidative stress resulting in increased lipid peroxidation.  
91 This was said to then lead inexorably to hepatocyte injury, inflammation and fibrosis, with the  
92 potential for progressive liver damage. It has subsequently been suggested that pathogenesis of  
93 NAFLD may in fact reflect “multiple parallel hits” which all contribute to an environment of hepatic  
94 inflammation with the involvement of cytokines and adipokines from extrahepatic tissues such as  
95 the gut and adipose tissue [21].

96 From a basic science perspective, there is reason to believe that hepatic lipid accumulation  
97 could be a cause and a perpetuating factor for the development of insulin resistance. There is  
98 currently much interest in fully elucidating the role that protein kinase C- $\epsilon$  (PKC- $\epsilon$ ) may play in this  
99 relationship. An elegant study conducted by Samuel et al investigated PKC- $\epsilon$  and how it may link  
100 NAFLD and insulin resistance [22]. They observed that rats that were fed a 3 day high-fat diet  
101 developed marked hepatic steatosis and hepatic insulin resistance as determined by  
102 hyperinsulinaemic-euglycaemic clamp studies. Here, PKC- $\epsilon$  was activated but other forms of PKC  
103 were not. Crucially, the authors then went on to attenuate the expression of PKC- $\epsilon$  using an  
104 anti-sense oligonucleotide directed at PKC- $\epsilon$  and they noted that this protected the rats from  
105 steatosis-induced hepatic insulin resistance and also reversed defects that they had observed in  
106 insulin receptor signalling function. It should be noted that both hepatic diacylglycerol and  
107 triacylglycerol content were not affected by this intervention suggesting that the hepatic lipid  
108 accumulation is a prerequisite for insulin resistance. This relationship has also been investigated in  
109 humans, in a study of 37 obese non-diabetic individuals awaiting bariatric surgery [23]. Here it was  
110 observed that hepatic diacylglycerol content from liver biopsy specimens was the strongest  
111 predictor of insulin resistance and accounted for 64% of the variability in insulin sensitivity.  
112 Hepatic diacylglycerol content was strongly correlated with activation of PKC- $\epsilon$ . Given this  
113 evidence, a model has emerged whereby increases in liver diacylglycerol content result in activation  
114 of PKC- $\epsilon$ , translocation of PKC- $\epsilon$  in the cell membrane, inhibition of hepatic insulin signalling and  
115 the resulting generation and maintenance of hepatocyte insulin resistance.

116 More recently there has been interest in the hepatokine, fetuin B. This compound has been  
117 shown to be increased in obese rodents [24]. It has also been shown that overnutrition in  
118 experimental mice results in hepatic steatosis, and this alters the hepatocyte protein secretion profile  
119 leading to increased secretion of fetuin B [25]. The authors of this study went on to further study  
120 the effects of fetuin B in vivo and observed that injecting recombinant fetuin B intraperitoneally into  
121 mice significantly impaired glucose tolerance when compared with controls. In addition to this,  
122 silencing fetuin B gene expression using short hairpin RNA was found to increase glucose tolerance.  
123 As such, fetuin B provides an example of how hepatic steatosis can be linked to the development of

124 insulin resistance and thus the metabolic syndrome. Other hepatokines such as FGF21 and  
125 selenoprotein P are thought to be play a role in the pathophysiology of insulin resistance with action  
126 on the liver and other tissues, however it is less clear how they fit into the relationship between  
127 hepatic steatosis and the metabolic syndrome.

128 It is known that most people with NAFLD also have insulin resistance, however most do not  
129 exhibit all of the features of the metabolic syndrome [26]. This could indicate that hepatic steatosis  
130 is required as a prerequisite for the development of further metabolic disease such as altered glucose  
131 and lipid metabolism. There is now a significant body of clinical evidence for NAFLD preceding,  
132 and being a strong risk factor for, development of the metabolic syndrome and its various  
133 components. A large prospective cohort study looked at 17,920 individuals from a Han Chinese  
134 population and followed them up over a 6 year period [27]. These individuals did not have  
135 metabolic syndrome at baseline, and the authors identified NAFLD as an independent risk factor for  
136 its development with an adjusted hazard ratio of 1.55 (95% confidence intervals 1.39-1.72). This  
137 observation of NAFLD as an independent risk factor for the development of the metabolic syndrome  
138 has also been made in a variety of other populations such as North American [28], western  
139 Australian [29], Korean [30], Japanese [31] and south Indian [32].

140 A large prospective cohort study of over 22,000 Korean men demonstrated that NAFLD is an  
141 independent risk factor for incident arterial hypertension, and that risk increases with severity of  
142 NAFLD [33]. This study replicated the findings of an earlier, smaller prospective study which  
143 demonstrated that NAFLD was an independent risk factor for the development of prehypertension  
144 [34]. Another prospective cohort study examined 1521 people and stratified them on the basis of  
145 their fatty liver index score (a surrogate marker of hepatic steatosis) [35]. It was observed that  
146 NAFLD, as diagnosed using fatty liver index score, was an independent risk factor for incident  
147 arterial hypertension. Finally, a retrospective cohort study of 11,448 individuals without  
148 hypertension revealed that the development of incident fatty liver disease over a five year period  
149 was associated with increased risk of incident hypertension [36].

150 A retrospective study of a Korean occupational cohort of 13,218 individuals observed that  
151 development of new fatty liver was associated with incident diabetes [37]. There are many  
152 prospective studies in the literature that demonstrate that NAFLD, and the surrogate markers with  
153 which it is associated, is a key risk factor and precursor for the development of type 2 diabetes  
154 [29,38-46]. **Table 2** summarises the characteristics of these key studies.



Table 2 – Characteristics of prospective studies linking hepatic steatosis to the development of type 2 diabetes

Study	Country / population	Sample size	NAFLD diagnostic method / surrogate marker used	Duration of follow-up	Key findings	Limitations of study
Vozarova 2002	Pima Indians aged 18-50	173 women, 278 men	ALT, AST and GGT concentrations	6.9 years average	High baseline ALT associated with increased risk of incident DM	Only surrogate markers used, no control for alcohol / hep C
Lee 2003	Korean men aged 25-55	4088 men	GGT concentration	4 years	Strong relationship between baseline GGT and risk of incident DM	Only studied men, only used surrogate marker
Hanley 2005	USA non-Hispanic whites and African American adults	910 women, 715 men	ALT, AST and ALP concentrations	5.2 years average	ALT and ALP in upper quartile at baseline significantly increased risk of metabolic syndrome	Only surrogate markers used for NAFLD diagnosis
Ekstedt 2006	Swedish NAFLD patients	87 men, 42 women	Biopsy-proven NAFLD	13.7 years average	Marked increase in proportion of patients with DM over period of study	No control group, no baseline glycaemic data to compare
Monami 2008	Florence aged 40-75	3124 total	ALT, AST and GGT concentrations	40 months average	Baseline GGT near upper limit of normal predicts incident DM	Study population participated in screening programme for diabetes, may not be representative
Goessling 2008	New England adults, all white	1575 women, 1237 men	ALT and AST concentrations	20 years	Increased ALT associated with higher risk of DM and metabolic syndrome, increased AST associated with incident DM risk	Homogenous study population, only surrogate markers used
Adams 2009	Western Australian adults	115 women, 243 men	NAFLD diagnosed with ALT after exclusion of other causes	11 years	NAFLD associated with higher risk of incident diabetes	Not an independent predictor if adjusted for WC, hypertension or insulin resistance
Ryu 2010	Korean men aged 30-65	9148 men	GGT concentrations	4.1 years average	Increase in GGT during study period predicted incident metabolic syndrome	Did not use accepted criteria for diagnosis of metabolic syndrome
Balkau 2010	Western France, aged 30-65	1950 women, 1861 men, 7236 men	NAFLD diagnosed using fatty liver index (FLI) score	9 years	Higher FLI score at baseline predicted incident DM	Used FLI rather than formal diagnostic methods
Sung 2011	Korean adults	3855 women	NAFLD diagnosed with ultrasound scan	5 years	Presence of fatty liver on ultrasound strongly predicted incident DM	Ultrasound relatively insensitive for diagnosis



157 Of particular interest is a longitudinal cohort study in which the authors followed up 358  
158 individuals (109 with NAFLD, 249 without NAFLD) over an 11 year period [29]. After excluding  
159 those who had type 2 diabetes at baseline, they observed that those with NAFLD were significantly  
160 more likely to develop diabetes during the follow up period than those without. Similarly, they  
161 observed the same regarding who would go on to develop the metabolic syndrome. Also, a  
162 retrospective study of a Korean occupational cohort of 12,853 individuals demonstrated that the  
163 clustering of insulin resistance, overweight / obesity and hepatic steatosis markedly increased risk of  
164 incident type 2 diabetes [47]. The fully adjusted odds ratio for those with all 3 factors and risk of  
165 incident diabetes at 5 years follow-up was 14.13 (95% confidence intervals 8.99-22.21).

166 In addition to this, a meta-analysis has been performed recently which concluded that the  
167 presence of NAFLD doubles an individual's risk of developing type 2 diabetes in later life [48]. It  
168 would seem that there may be subsets of patients with NAFLD that have different levels of risk of  
169 type 2 diabetes, with one small study suggesting that the presence of biopsy-proven NASH is a  
170 greater risk factor than steatosis alone [41]. This is consistent with the accepted notion that  
171 individuals with nonalcoholic steatohepatitis (NASH) will tend to have a greater burden of  
172 metabolic disease.

### 173 **Metabolic syndrome as an initiating or aggravating factor for liver disease**

174 In addition to the evidence from the literature that NAFLD may predispose individuals to  
175 developing or worsening insulin resistance and the metabolic syndrome, there is also growing  
176 evidence that insulin resistance may contribute to progressive liver damage.

177 Of particular interest is the role played by plasminogen activator inhibitor 1 [49]. PAI-1 is a  
178 member of the serine protease inhibitor family, and acts as a key mediator in the fibrinolytic system.  
179 In tissues with a significant degree of fibrosis, concentrations of PAI-1 are elevated leading to an  
180 inhibition of tissue proteolytic activities, a decreased rate of collagen degradation and increased  
181 tissue fibrogenesis [49]. Increased PAI-1 levels are associated with obesity, insulin resistance, type 2  
182 diabetes and dyslipidaemia [50,51]. Specifically it has been shown that PAI-1 concentrations  
183 measured in subcutaneous adipose tissue biopsy samples from individuals with nascent metabolic  
184 syndrome are significantly higher than those in control samples [52]. It has also been observed in a  
185 human hepatocyte cell line that tumour necrosis factor  $\alpha$  (TNF- $\alpha$ ) is able to induce the expression of  
186 PAI-1, leading to increased hepatic fibrosis and atherosclerosis in insulin-resistant individuals [53].  
187 There is also a wealth of evidence in the literature regarding the role of PAI-1 in initiating and  
188 perpetuating hepatic fibrosis [49]. As such this provides evidence of a causative role for insulin  
189 resistance and obesity in the generation of ongoing hepatic fibrosis.

190 In addition to this, there is evidence that other inflammatory cytokines originating from white  
191 adipose tissue as a result of obesity and insulin resistance may play a significant role in hepatic  
192 fibrosis and inflammation. It has been known for some time that white adipose tissue is not  
193 metabolically inert but is a complex organ that can become active in the obese, insulin-resistant state  
194 leading to the production of various pro-inflammatory cytokines [54-55]. These cytokines include  
195 interleukin-1 beta (IL-1 $\beta$ ), interleukin-6 (IL-6), interleukin-8 (IL-8), interleukin-18 (IL-18),  
196 complement component 3 (C3), TNF- $\alpha$ , PAI-1, adiponectin, leptin, resistin, apelin, vaspin and  
197 visfatin. There is evidence that these inflammatory mediators could play a role in the progression  
198 of liver disease from "simple" steatosis to NASH [56,57], and also that they may stimulate the  
199 differentiation of stellate cells in the liver into myofibroblast-like cells resulting in a more fibrogenic  
200 environment [58]. IL-1 $\beta$ , IL-6 and TNF- $\alpha$  are traditionally considered to be pro-inflammatory  
201 cytokines, and are all thought to play a role in the pathogenesis of NASH and its associated fibrosis  
202 [59,60]. More recently it has been suggested that the balance of pro- and anti-inflammatory  
203 mediators can lead to alterations in the gut microbiota and that this may have a significant impact on  
204 the progression of hepatic steatosis to NASH [61]. It has also been suggested that apoptosis of  
205 hepatocytes could be an important factor in liver damage and specifically progression to NASH  
206 [62,63]. Recent findings indicate that patients with a higher degree of insulin resistance exhibit



207 greater evidence for apoptosis of hepatocytes in liver biopsy specimens of morbidly obese  
208 individuals, and it has been speculated that this may be mediated by inflammatory cytokines [64].  
209 These studies all provide evidence for a causative link between insulin resistance and hepatic  
210 damage mediated in part by inflamed, endocrinologically-active adipose tissue.

211 There is also clinical evidence that insulin resistance and the metabolic syndrome can cause a  
212 worsening of liver disease. A retrospective study of 103 individuals with NAFLD examined  
213 histological findings from paired liver biopsy specimens with an average interval of 3 years [65].  
214 The authors observed marked variability in the progression of histological features of NAFLD  
215 between the 2 time points, but noted that those individuals with diabetes were at higher risk than  
216 non-diabetic people for progression of fibrosis. It is also established in the literature that metabolic  
217 syndrome and type 2 diabetes are strongly associated with severe liver disease such as cirrhosis and  
218 hepatocellular carcinoma [66-69]. It appears from the literature that individuals with type 2  
219 diabetes and NAFLD combined are at markedly greater risk of more severe liver disease than those  
220 with NAFLD alone, and their liver-related mortality is greater.

221 There are a variety of cross-sectional studies available that demonstrate that metabolic  
222 syndrome and its components are associated with an increased risk of NAFLD in a variety of  
223 populations including North American [70], Mexican [71], Taiwanese [72] and Japanese [26].  
224 However, given the cross-sectional nature of these studies they do not provide real evidence of a  
225 causative link. Of interest is a recent longitudinal prospective cohort study of 15,791 Han Chinese  
226 individuals followed up over a 6 year period [73]. They observed 3913 new cases of NAFLD in this  
227 population, and risk of incident NAFLD was markedly higher in those with metabolic syndrome.  
228 After adjusting for possible confounding factors such as age, diet, sex, smoking status and level of  
229 physical activity, the hazard ratio for incident NAFLD was found to be 1.94 (95% confidence  
230 intervals 1.78-2.13). The authors also observed that hazard ratios for incident NAFLD increased the  
231 more components of the metabolic syndrome were present at baseline, reaching 3.51 (95%  
232 confidence intervals 3.15-3.91) when 3 components were present as compared with individuals who  
233 exhibited no metabolic syndrome components. **Figure 1** summarises the bidirectional relationship  
234 between hepatic steatosis and the metabolic syndrome with regards to the various aspects described  
235 above.

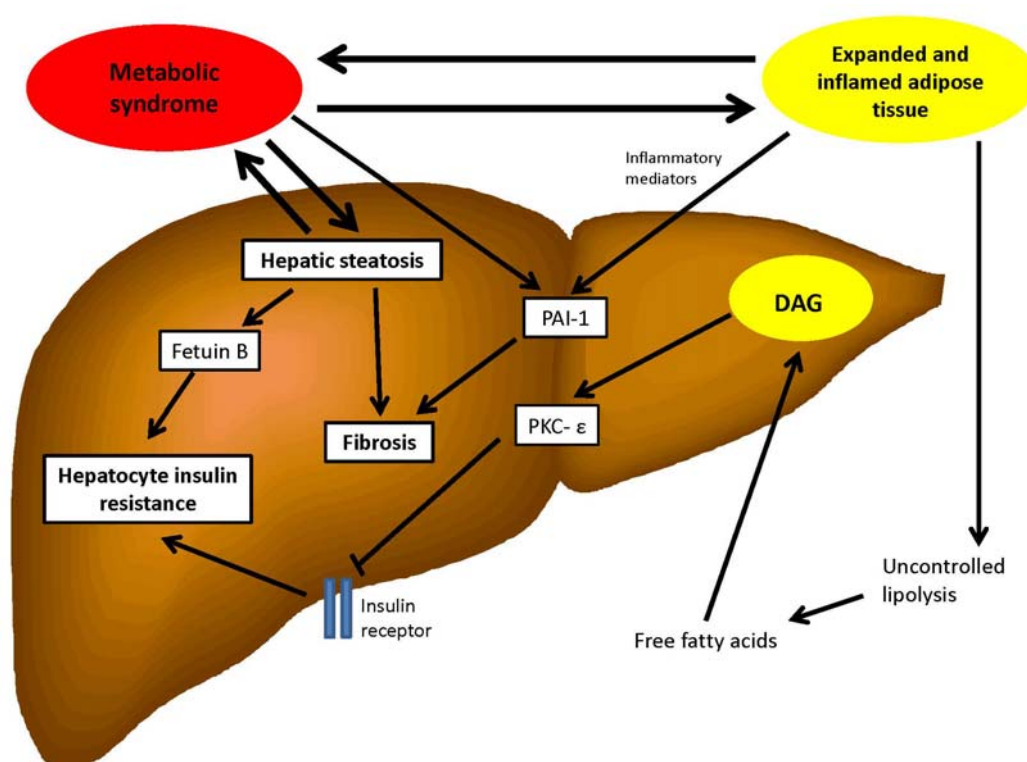
### 236 **Evidence for a Disconnection Between Hepatic Steatosis and Metabolic Syndrome**

237 Despite the clear bidirectional causal links between NAFLD and the metabolic syndrome, there  
238 are certain situations where this appears to not be the case. In such scenarios there is a clinical  
239 disconnect between NAFLD and insulin resistance. Several groups have demonstrated that it is  
240 possible experimentally to induce either insulin resistance or hepatic steatosis individually without  
241 the presence of the other. The first evidence that hepatic steatosis could occur independently of  
242 insulin resistance was published in 2007 [74]. Here mice were raised which over-expressed  
243 acyl-CoA:diacylglycerol acyltransferase 2 (DGAT 2), an enzyme which acts to catalyze the final step  
244 of hepatic triglyceride biosynthesis. These mice were observed to develop marked hepatic steatosis  
245 in the absence of any abnormalities in plasma glucose and insulin levels, glucose and insulin  
246 tolerance, or infusion rates during hyperinsulinaemic euglycaemic clamp experiments. A  
247 subsequent study investigated variability in the DGAT2 gene to see if this relationship could also be  
248 found in humans. The authors investigated 187 individuals from south Germany, and observed 2  
249 single nucleotide polymorphisms (SNPs) in DGAT2 that were associated with smaller decreases in  
250 liver fat following an exercise programme than wild type genotype [75]. There were no observed  
251 changes in insulin sensitivity among the different genotypes and thus the authors concluded that  
252 DGAT2 may play a role in mediating a disconnection between insulin resistance and hepatic  
253 steatosis. Additionally, it has been observed that inhibiting secretion of very low density  
254 lipoprotein (VLDL) from the liver by a genetic modification or diet-induced choline deficiency in a  
255 mouse model results in accumulation of hepatic triglyceride without causing insulin resistance  
256 [76,77].



257  
258  
259

Figure 1 – Schematic demonstrating the bidirectional interactions between hepatic steatosis and metabolic syndrome and aspects of how these are mediated. DAG; diacylglycerols, PKC-  $\epsilon$ ; protein kinase C  $\epsilon$ , PAI-1; plasminogen activator inhibitor-1.



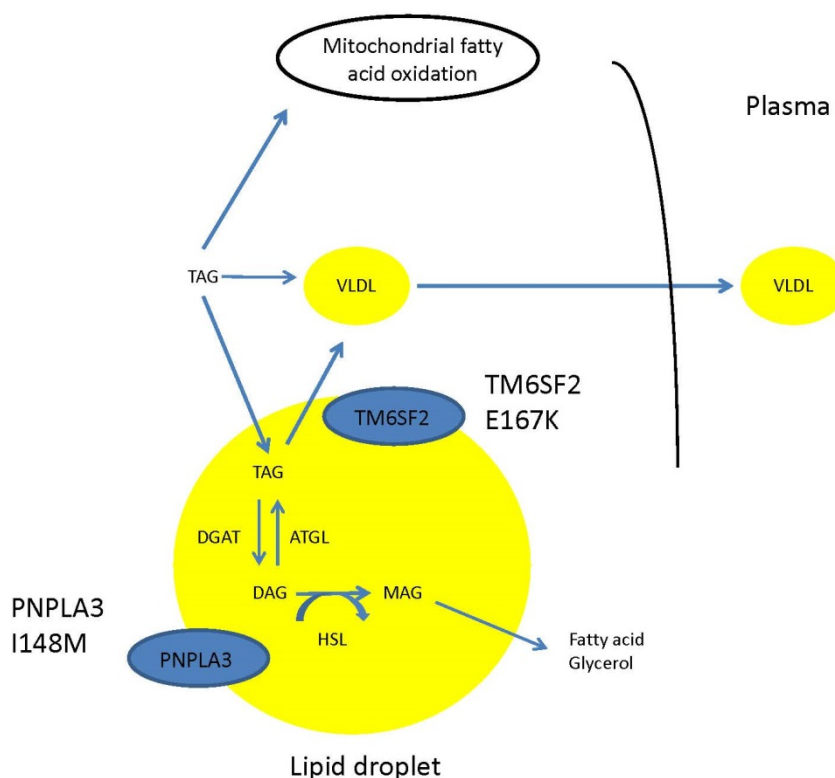
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261 More recently, there has been much interest focused on the patatin-like phospholipase  
262 domain-containing protein-3 (PNPLA3) gene, which encodes for a protein called adiponutrin. The  
263 exact role of this adiponutrin is currently unclear, however it is recognised as being a  
264 membrane-associated protein expressed in hepatic and adipose tissue that possesses lipogenic and  
265 lipolytic activities. There is evidence to suggest that it is located in lipid droplets and may play a  
266 role in triglyceride hydrolysis [78]. PNPLA3 gene expression is upregulated following the  
267 post-prandial insulin spike, and downregulated following fasting. It was reported in 2008 that a  
268 particular allele in PNPLA3 (I148M or rs738409) was strongly associated with increased hepatic  
269 steatosis and hepatic inflammation, with individuals homozygous for I148M exhibiting twice the  
270 level of hepatic fat content than non-carriers [79]. Interestingly, it was also observed that I148M  
271 carrier frequency was highest in Hispanic populations who are thought to have highest  
272 susceptibility to NAFLD, and regression analysis demonstrated that the presence or absence of this  
273 PNPLA3 variant along with another (453I) accounted for 72% of the observed ethnic differences in  
274 levels of hepatic steatosis from the Dallas Heart Study. It was subsequently reported that the I148M  
275 variant has a marked effect on enzyme activity and results in a disruption to normal hydrolysis of  
276 triglycerides leading to impaired secretion of very low density lipoproteins (VLDL) [80,81].  
277 Interestingly, it has subsequently been demonstrated that the association between the I148M variant  
278 and NAFLD is independent of insulin sensitivity as measured by hyperinsulinaemic euglycaemic  
279 clamp, as well as central obesity [82,83]. Therefore the PNPLA3 I148M variant provides an example  
280 of how hepatic steatosis can occur in humans independently of insulin resistance and the metabolic  
281 syndrome.

282 A similar scenario has been identified more recently with the transmembrane 6 superfamily  
283 member 2 (TM6SF2) gene. TM6SF2 is expressed largely in the liver and intestine and is thought to  
284 play a key role in the regulation of hepatic fat metabolism and the secretion of triglyceride-rich  
285 lipoproteins. As with PNPLA3, it is thought to be located in lipid droplets and siRNA inhibition is  
286 associated with increased hepatocellular triglyceride concentration and lipid droplet lipid content

287 [84]. Variation in this gene has been shown to be associated with susceptibility to NAFLD  
 288 independently of variation in PNPLA3, with the variant being identified as E167K or rs58542926  
 289 [85]. The allele frequency of this variant was shown to be 7.2% in European populations. A  
 290 subsequent study of 361 individuals, including 226 patients with biopsy-proven NAFLD, has shown  
 291 that this variant has a modest effect on NAFLD susceptibility and is associated with a slightly higher  
 292 risk of developing NASH [86]. A further study of 1074 individuals demonstrated an association  
 293 between this variant and advanced fibrosis and cirrhosis that occurred independently of potential  
 294 confounding factors such as age, BMI, presence of type 2 diabetes and PNPLA3 genotype status [87].  
 295 However, it should be noted that 2 studies looking at this variant in Japanese [88] and Chinese [89]  
 296 populations of individuals with biopsy-proven NAFLD failed to show an association between it and  
 297 fibrosis stage or general histological severity. The Japanese study had relatively small numbers  
 298 with 211 individuals and just 2 who were homozygous for E167K, and it should be noted that both of  
 299 these studies focused on a single ethnic group that may not be directly applicable to other  
 300 populations. A meta-analysis of 10 published studies looked at the relationship between the E167K  
 301 variant and the presence of NAFLD in a total of 5537 study participants [90]. This revealed a carrier  
 302 frequency of up to 7%, and demonstrated a moderate effect on the risk of developing NAFLD with  
 303 an odds ratio of 2.13 (95% confidence interval 1.36-3.30). Crucially, it has been shown in a recent  
 304 Finnish study that this variant is associated with preserved insulin sensitivity and a lack of  
 305 hypertriglyceridaemia suggesting that this represents a distinct subtype of NAFLD similar to that  
 306 associated with the PNPLA3 I148M variant [91]. **Figure 2** demonstrates the relationship between  
 307 the 2 described genetic variants and the lipid droplet within the hepatocyte.

308 **Figure 2** – Interaction between PNPLA3 and TM6SF2 variants and lipid metabolism in the hepatic  
 309 lipid droplet. TAG; triacylglycerol, DAG; diacylglycerol, MAG; monoacylglycerol, VLDL; very low  
 310 density lipoprotein, DGAT; diglyceride acyltransferase, ATGL; adipose triglyceride lipase, HSL;  
 311 hormone sensitive lipase.



312

313 Further evidence for a dissociation between hepatic steatosis and insulin resistance may be  
314 found in the case of familial hypobetalipoproteinaemia (FHBL). Patients with FHBL have very low  
315 or absent levels of apolipoprotein B and this leads to an impairment of very low density lipoprotein  
316 export from the liver and consequently intra-hepatic accumulation of triglyceride. Amaro et al  
317 investigated a small number of overweight or obese patients with FHBL and observed that these  
318 individuals had greater insulin sensitivity than BMI- and hepatic triglyceride content-matched  
319 subjects with NAFLD alone [92]. The authors speculate that this would support the assertion that  
320 hepatic steatosis is a marker rather than a cause of the metabolic syndrome, however this was a very  
321 small study and it is not clear how applicable these findings are to the wider population of people  
322 with NAFLD. It has also been observed that lysosomal acid lipase deficiency (LAL-D), a rare  
323 autosomal recessive inherited condition, can lead to hepatic steatosis in the absence of metabolic  
324 syndrome [93].

325 There is also evidence that adipose triacylglycerol lipase (ATGL) may play a role in a potential  
326 dissociation between insulin resistance and hepatic steatosis [94]. ATGL acts to initiate hydrolysis  
327 of stored lipid by selectively cleaving triacylglycerols and not diacylglycerols or monoacylglycerols.  
328 Knock-out studies have demonstrated that ATGL-deficient mice experience a marked hepatic  
329 steatosis [95] and similarly overexpression of the ATGL gene leads to a reduction in liver fat in mice  
330 [96]. One study investigated the effects of ATGL gene manipulation on insulin sensitivity in mice,  
331 and here the authors observed that while ATGL knock-out mice do develop marked hepatic  
332 steatosis this does not result in any changes to their hepatocyte insulin sensitivity [97]. Hepatic  
333 ATGL overproduction in the same mice resulted in reduced hepatic steatosis, and interestingly the  
334 authors did observe a mild increase in insulin sensitivity although this was not sufficiently large to  
335 result in improvements in fasting glucose concentrations or insulinaemia.

336 Further insights into a possible disconnection between hepatic steatosis and insulin resistance  
337 can be gained by looking at disorders of fatty acid oxidation. In health, fasting stimulates  
338 gluconeogenesis in the liver fuelled by oxidation of fatty acids. If fatty acid oxidation is impaired  
339 this can lead to fasting hypoglycaemia and accumulation of lipids resulting in hepatic steatosis [98].  
340 In such situations individuals will exhibit enhanced glucose tolerance, therefore exhibiting the  
341 disconnection. This occurs in numerous inborn errors of fatty acid oxidation such as medium chain  
342 acyl-CoA dehydrogenase deficiency (MCADD) and carnitine palmitoyl transferase II (CPT-2)  
343 deficiency [99]. Additionally, peroxisome proliferator-activated receptor alpha (PPAR $\alpha$ ) stimulates  
344 the expression of many genes involved in fatty acid oxidation. Experimental mice who have  
345 undergone PPAR $\alpha$  knock-out develop marked hepatic steatosis after being exposed to a high fat  
346 diet, and after fasting demonstrate hypoglycaemia and increased insulin sensitivity [100].

## 347 **Conclusion**

348 It is clear from the literature that there is a complicated causal relationship between NAFLD  
349 and the metabolic syndrome. NAFLD is considered by many to represent the hepatic manifestation  
350 of the metabolic syndrome however rigidly sticking to this dogma does not appreciate the  
351 complexity of the relationship. Clearly the two clinical entities share many aspects of their  
352 pathophysiology, and insulin resistance is at the centre of both. There is sufficient evidence now for  
353 not only reciprocal causality between these disease states, but also each acting as a perpetuating or  
354 exacerbating factor for the other.

355 There are, however, many aspects of the interactions between NAFLD and the metabolic  
356 syndrome that are yet to be fully elucidated, and this is clearly demonstrated by the situations where  
357 there is an apparent disconnect or dissociation between them. Arguably, the hepatic steatosis that  
358 occurs in these situations due to genetic variation and inborn errors of metabolic can be considered a  
359 separate clinical entity to that which is associated with insulin resistance and the metabolic  
360 syndrome. However, focusing on the mechanisms that underlie these observations of dissociation  
361 could prove valuable for identifying new therapeutic targets in metabolic disease.

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366 designed the experiments; X.X. performed the experiments; X.X. and Y.Y. analyzed the data; W.W. contributed  
367 reagents/materials/analysis tools; Y.Y. wrote the paper." Authorship must be limited to those who have  
368 contributed substantially to the work reported.

369 PW and CDB conceived and designed the review. PW completed the first draft. CDB critically reviewed the  
370 draft and revised it with changes to the text and figures. PW and CDB completed the final draft and prepared  
371 it for submission.

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### 373 **Abbreviations**

374 The following abbreviations are used in this manuscript:

375 NAFLD: Non-alcoholic fatty liver disease

376 PNPLA3: Patatin-like phospholipase domain-containing protein-3

377 TM6SF2: Transmembrane 6 superfamily member 2 protein

378 HDL-C: High density lipoprotein cholesterol

379 NASH: Non-alcoholic steatohepatitis

380 PKC- $\epsilon$ : Protein kinase C-  $\epsilon$

381 FLI: Fatty liver index

382 PAI-1: Plasminogen activator inhibitor-1

383 TNF- $\alpha$ : Tumour necrosis factor-  $\alpha$

384 IL: Interleukin

385 DAG: Diacylglycerols

386 DGAT: Diacylglycerol acyltransferase

387 SNP: Single nucleotide polymorphism

388 VLDL: Very low density lipoprotein

389 TAG: Triacylglycerol

390 MAG: Monoacylglycerol

391 ATGL: Adipose triglyceride lipase

392 HSL: Hormone sensitive lipase

393 FHBL: Familial hypobetalipoproteinaemia

394 BMI: Body mass index

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