Report of the Inquiry into the 2015 British general election opinion polls

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Acknowledgements

We are grateful to the British Polling Council (BPC) and the Market Research Society (MRS) for providing us with the opportunity to undertake this important and interesting work. We also extend our thanks to the nine member organisations of the BPC who have been cooperative throughout, providing all data requested, as well as supplementary information in a timely manner. It should be noted that this is in stark contrast to experience in the United States, where slow responses and partial non-cooperation by pollsters stymied the progress of the American Association of Public Opinion Research’s (AAPOR) report into the methodology of the polling for the 2008 Presidential primaries.

We are also grateful to those who provided submissions to the Inquiry, either formally through the inquiry website, or in person at the two open meetings held at the Royal Statistical Society. We also thank the Royal Statistical Society for their support and provision of rooms for these and other meetings.

Gratitude is also due to Jon Mellon (Oxford) and Chris Prosser (Manchester) for undertaking analyses on British Election Study data on behalf of the inquiry and to Penny White of NCRM for administrative support.

Last but not least, we are very grateful to Jack Blumenau (LSE) and Rosie Shorrocks (Oxford) who provided excellent research support.
Foreword

The unveiling of the results of the exit poll at 10pm on 7th May 2015 has already become part of election television folklore in the UK. Throughout the election campaign the opinion polls had suggested that the Conservatives and Labour were neck and neck with each other. However, the exit poll forecast that the Conservatives would win 316 seats, while Labour would win just 239. If the exit poll was right, the opinion polls would be seen to have called the election ‘wrong’.

By 6am the following morning, it was clear that the polls had indeed overestimated Labour and underestimated Conservative support. On average the final estimates of the polling companies put the Conservatives on 34% and Labour on 34%. No individual poll put David Cameron’s party more than a point ahead. Yet in the event the Conservatives won 38% of the vote in Great Britain, Labour 31%.

As soon as this discrepancy became apparent, the British Polling Council (BPC) and the Market Research Society (MRS) immediately agreed that they should jointly sponsor the establishment of an independent inquiry into the performance of the polls at the election. Prof. Patrick Sturgis, Director of the National Centre for Research Methods at the University of Southampton accepted our invitation to chair the Inquiry, and little more than twelve hours after the polls had closed, the establishment of the inquiry was announced.

Once its full terms of reference were announced on 22 May, the Inquiry has operated wholly independently of the BPC, MRS and the polling companies themselves. None of the members of the Inquiry team had any responsibility for conducting polls during the May 2015 election. The polling companies have met the requests of the Inquiry for information but have not had any say in how that information has been interpreted. The Inquiry’s report is now being published in full, exactly as it has been delivered to the BPC and MRS.

The BPC, MRS and the polling companies are deeply indebted to Prof. Sturgis and the members of the Inquiry for their work. All of them have contributed their time and skills
without recompense of any kind. We can but express our heartfelt thanks to them for selflessly taking on what was a considerable and important task.

As an immediate result of this report, MRS will be working with the Royal Statistical Society (RSS) to update their joint guidance on the use of statistics in communications; issuing new guidance on research and older people; producing a simple guide for the public on how to read polls; and reminding accredited company partners of the elements of the MRS Code of Conduct which are particularly relevant to the issues raised in the report.

This report makes a number of specific recommendations to the BPC for changing the rules to which its members should adhere. The Council will be taking steps towards implementing these changes, in some cases immediately, in others by early 2017. Meanwhile before the next UK general election the BPC will issue a report that describes how its members have adapted and changed their methods since 2015. This will represent a report card on what the industry has done to improve its methods, including in response to the methodological recommendations in this report.

In the meantime, we hope readers find this report helps them understand why the polls got it ‘wrong’ and that it helps those who conduct polls in future to overcome the difficulties that beset the polls in 2015. Opinion polls have become a central feature of modern elections, and it is clearly important that their portrait of the public mood in Britain is as accurate as possible. The publication of this report represents an important milestone in improving their ability to meet that objective.

John Curtice
President
British Polling Council

Jane Frost
Chief Executive Officer
Market Research Society
Executive Summary

The opinion polls in the weeks and months leading up to the 2015 General Election substantially underestimated the lead of the Conservatives over Labour in the national vote share. This resulted in a strong belief amongst the public and key stakeholders that the election would be a dead heat and that a hung-parliament and coalition government would ensue.

In historical terms, the 2015 polls were some of the most inaccurate since election polling first began in the UK in 1945. However, the polls have been nearly as inaccurate in other elections but have not attracted as much attention because they correctly indicated the winning party.

The Inquiry considered eight different potential causes of the polling miss and assessed the evidence in support of each of them.

Our conclusion is that the primary cause of the polling miss in 2015 was unrepresentative samples. The methods the pollsters used to collect samples of voters systematically over-represented Labour supporters and under-represented Conservative supporters. The statistical adjustment procedures applied to the raw data did not mitigate this basic problem to any notable degree. The other putative causes can have made, at most, only a small contribution to the total error.

We were able to replicate all published estimates for the final polls using raw micro-data, so we can exclude the possibility that flawed analysis, or use of inaccurate weighting targets on the part of the pollsters, contributed to the polling miss.

The procedures used by the pollsters to handle postal voters, overseas voters, and unregistered voters made no detectable contribution to the polling errors.

There may have been a very modest 'late swing' to the Conservatives between the final polls and Election Day, although this can have contributed – at most – around one percentage point to the error on the Conservative lead.
We reject deliberate misreporting as a contributory factor in the polling miss on the grounds that it cannot easily be reconciled with the results of the re-contact surveys carried out by the pollsters and with two random surveys undertaken after the election.

Evidence from several different sources does not support differential turnout misreporting making anything but, at most, a very small contribution to the polling errors.

There was no difference between online and phone modes in the accuracy of the final polls. However, over the 2010-2015 parliament and in much of the election campaign, phone polls produced somewhat higher estimates of the Conservative vote share (1 to 2 percentage points). It is not possible to say what caused this effect, given the many confounded differences between the two modes. Neither is it possible to say which was the more accurate mode on the basis of this evidence.

The decrease in the variance on the estimate of the Conservative lead in the final week of the campaign is consistent with herding - where pollsters make design and reporting decisions that cause published estimates to vary less than expected, given their sample sizes. Our interpretation of the evidence is that this convergence was unlikely to have been the result of deliberate collusion, or other forms of malpractice by the pollsters.

On the basis of these findings and conclusions, we make the following twelve recommendations. BPC members should:

1. include questions during the short campaign to determine whether respondents have already voted by post. Where respondents have already voted by post they should not be asked the likelihood to vote question.

2. review existing methods for determining turnout probabilities. Too much reliance is currently placed on self-report questions which require respondents to rate how likely they are to vote, with no strong rationale for allocating a turnout probability to the answer choices.

3. review current allocation methods for respondents who say they don’t know, or refuse to disclose which party they intend to vote for. Existing procedures are
ad hoc and lack a coherent theoretical rationale. Model-based imputation procedures merit consideration as an alternative to current approaches.

4. take measures to obtain more representative samples within the weighting cells they employ.

5. investigate new quota and weighting variables which are correlated with propensity to be observed in the poll sample and vote intention.

The Economic and Social Research Council (ESRC) should:

6. fund a pre as well as a post-election random probability survey as part of the British Election Study in the 2020 election campaign.

BPC rules should be changed to require members to:

7. state explicitly which variables were used to weight the data, including the population totals weighted to and the source of the population totals.

8. clearly indicate where changes have been made to the statistical adjustment procedures applied to the raw data since the previous published poll. This should include any changes to sample weighting, turnout weighting, and the treatment of Don't Knows and Refusals.

9. commit, as a condition of membership, to releasing anonymised poll micro-data at the request of the BPC management committee to the Disclosure Sub Committee and any external agents that it appoints.

10. pre-register vote intention polls with the BPC prior to the commencement of fieldwork. This should include basic information about the survey design such as mode of interview, intended sample size, quota and weighting targets, and intended fieldwork dates.

11. provide confidence (or credible) intervals for each separately listed party in their headline share of the vote.

12. provide statistical significance tests for changes in vote shares for all listed parties compared to their last published poll.
1. Introduction

The result of the 2015 General Election came as a shock to most observers. During the months and weeks leading up to the 7th May, the opinion polls had consistently indicated that the outcome was too close to call and the prospect of a hung parliament appeared almost inevitable. Although there was some variation across pollsters in their estimates of the party vote shares during the short campaign, estimates of the difference between the Conservative and Labour Parties exceeded two percentage points in only 19 out of 91 polls, with zero as the modal estimate of the Conservative lead.

The poll-induced expectation of a dead heat undoubtedly informed party strategies and media coverage during both the short and the long campaigns and may ultimately have influenced the result itself, albeit in ways that are difficult to determine satisfactorily. In the event, of course, the Conservatives won a narrow parliamentary majority, taking 37.8% of the popular vote in Great Britain (331 seats), compared to 31.2% for the Labour Party (232 seats). The magnitude of the error on the Conservative lead, as well as the consistency of the error across pollsters indicates that systematic factors, rather than sampling variability, were the primary cause(s) of the discrepancy.

In response to these events, the British Polling Council (BPC) and the Market Research Society (MRS) announced an inquiry into the causes of the polling error. Professor Patrick Sturgis of the University of Southampton agreed to serve as Chair of a panel of academic and industry experts to undertake the Inquiry. The terms of reference for the Inquiry can be found in Appendix 7. These make clear that the Inquiry was to focus on the methodological causes of the polling errors, as well as on how uncertainty in poll estimates is communicated to the public and other stakeholders. Our focus is on the vote share estimates of national-level pre-election polls. We do not consider the translation of vote shares into seats, nor do we consider the exit poll, or constituency level polls. The methodology of the exit poll has been considered in detail elsewhere (Curtice and Firth 2008; Curtice, et al. 2011), while the accuracy of the constituency polls prior to the 2015 election is difficult to evaluate because they were mostly

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1 The short campaign, during which the rules on spending limits are changed, began 30/03/15.
undertaken months in advance of the election and we were not able to gain access to the raw data for them.² Neither has the Inquiry considered normative questions relating to the democratic function of polls, whether polls should be regulated by government, nor whether publication of polls should be banned in the days or weeks leading up to an election.

This is not the first published account of what went wrong in the 2015 UK election polls (Curtice 2016; Mellon and Prosser 2015; Rivers and Wells 2015) and one might ask what additional value and insight this report will bring now. The answer is that the Inquiry has been able to consider raw data from all nine members of the BPC, while existing investigations have focused solely, or predominantly on one polling organisation. Our findings and conclusions are therefore able to focus on general problems in the methodology of the 2015 polls, rather than on those which might be particular to a specific pollster. That said, it is reassuring that our main conclusions are consistent with those of existing published investigations. The remainder of the report is structured as follows. First, we describe how the inquiry undertook its work, including details of the potential causes investigated and the data sets which formed the basis of our analyses and conclusions. We then provide an assessment of the magnitude of the 2015 polling error and place it within a historical and comparative context. Next we present the evidence in support of each identified potential cause and come to a judgement about the probability and magnitude of any effect that might have been apparent. We conclude with a summary of our key findings, a discussion of their implications for our understanding of polling accuracy and how this should be reported, and make recommendations for those who commission, undertake, and report on pre-election polls in the UK.

² Just 52 of 251 Lord Ashcroft polls were undertaken during the short campaign.
2. How the Inquiry was conducted

Following the announcement of the membership and terms of reference of the Inquiry panel on 21st May 2015, an open meeting was held at the Royal Statistical Society in London on 19th June, where BPC members presented their preliminary assessments of their own pre-election vote intention estimates. A website for the inquiry was constructed (http://www.ncrm.ac.uk/polling/), through which stakeholders and interested parties were invited to make submissions. Twenty eight submissions were received and reviewed by the panel. The panel’s initial deliberations focused on developing a set of empirically testable hypotheses that could explain, in whole or in part, the polling errors. Drawing on the panel’s expertise, the content of the 19th June meeting, the website submissions, and existing reports on historical polling errors, these were specified as:

- Treatment of postal voters, unregistered voters, and overseas voters;
- Wording and placement of vote intention questions;
- Late swing (respondents changing their minds between the final poll and the voting booth, including switching between parties and changing from Don’t Know/Refusal to a party);
- Respondents deliberately misreporting their vote intentions;
- Inaccurate turnout weighting (the individual-level probabilities of voter turnout containing systematic errors);
- Unrepresentative samples (the procedures used to collect and weight samples to be representative of the population of voters systematically over-represented Labour supporters and under-represented Conservative supporters);
- Mode of interview (systematic differences in the accuracy of vote intention estimates resulting from whether the poll was conducted online or on the phone).

A surprising feature of the 2015 election was the lack of variability across the final polls in their estimates of the difference in the Labour and Conservative vote shares. The Inquiry therefore investigated whether ‘herding’ – where pollsters make design and
reporting decisions in light of previous polls that cause published polls to vary less than expected, given their sample size – played a part in forming the statistical consensus. Herding was considered separately from the putative causes of the polling miss because, even if herding behaviour were evident, it would not necessarily cause bias in point estimates of vote shares, or differences in vote shares. Indeed, if pollsters herded toward the correct vote distribution, this would serve to increase the seeming accuracy of the polls. Insofar as herding is evident, then, its primary effect will be to enhance the perceived robustness of the polling evidence in the lead up to an election and, therefore, the level of surprise if the result proves to be discrepant from the pre-election polls.

The evidence in support of each of the potential causes was assessed in turn and a collective decision of the Inquiry panel was agreed regarding the probability and likely magnitude of each one. The evidence used to form these judgements was based on aggregate and raw polling data, the face-to-face post-election component of the British Election Study (including the vote validation study), and the British Social Attitudes survey. Each of the nine BPC members provided raw data and accompanying documentation for the first, the penultimate, and the final polls conducted during the short campaign. The six pollsters who carried out re-contact surveys also provided these data sets to the Inquiry. Unfortunately, one of the re-contact surveys proved to be unusable for our purposes. In addition to the raw data, pollsters were asked to provide details of fieldwork procedures, sample sizes, and weighting targets. Table A.1 in Appendix 1 summarises the design features of the polls that formed the basis of the panel’s analyses. The same data were also requested from the main parties and from Lord Ashcroft but these were not forthcoming.

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3 The Opinium re-contact survey attempted interviews only with respondents who reported having voted in the election. This made it impossible to calculate the additional weights which we used to allow for drop out between the pre-election poll and the re-contact survey.
3. Assessing the accuracy of the pre-election poll estimates

Table 1 presents the final published vote intention estimates for the nine BPC members, plus Lord Ashcroft, SurveyMonkey, and BMG (BMG is now a member of the BPC). Before we turn to the errors on the Conservative and Labour vote shares, it should be noted that the estimates for the smaller parties are very close to the election result, with mean absolute errors (MAE)\(^4\) of 1%, 1.4%, and 1.4% for the Lib Dems, UKIP, and Greens respectively. The shares for the remaining parties were also, collectively, accurately estimated with an MAE of 0.9%. The picture for polls conducted in Scotland only was similar, with MAEs of 1%, 1.2%, 0.8%, and 0.9% for the Conservatives, Lib Dems, UKIP, and the Greens, respectively, for the three polls undertaken in the final week (see Table in Appendix 2). The average estimates for the smaller parties for both Great Britain and Scotland only polls are, then, within the pollsters’ notional margins of error\(^5\) due to sampling variability. In coming to a judgement about the performance of the 2015 election polls, it should be acknowledged that they provided an accurate forecast of the vote shares for the smaller parties.

However, for the crucial estimate of the difference between the two main parties, eleven out of twelve GB polls (and all nine BPC members) in Table 1 were considerably off and attention has rightly focused on this error. While the election result saw Labour trail the Conservatives by 6.6 percentage points, five polls in the final week reported a lead of 0%, three reported a 1% lead for the Conservatives, two a 1% lead for Labour, and one a 2% lead for Labour. SurveyMonkey was the only published\(^6\) poll to estimate the lead correctly, however their vote shares for both the Conservatives and Labour were too low. Indeed, the SurveyMonkey poll has higher MAE across all parties than the average of the other polls. Nonetheless, the sampling procedures employed by SurveyMonkey are rather different to those used by the other pollsters (see section 5.1), so this difference is potentially of value in understanding the errors in the other polls. We return to a consideration of this point in section 6.8. Excepting SurveyMonkey, the fact

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\(^4\) The mean absolute error can be expressed as the mean of the absolute error \(|x_i - y_i|\) across \(n\) observations where \(x_i\) is the poll estimate and \(y_i\) is the election outcome:

\[
MAE = \frac{1}{n} \sum_{i=1}^{n} |x_i - y_i|
\]

\(^5\) Pollsters generally state that estimates for party shares come with a margin of error of \(+/- 3\%\).

\(^6\) The SurveyMonkey poll was published on 6th May in The Washington Post and was therefore not much noticed by commentators in the UK until after the election.
that all of the errors on the lead were in the same direction, combined with the fact that
none of the notional margins of error in the final polls includes the correct value for the
Conservative lead, tells us that the errors cannot reasonably be attributed to sampling
variability.

Table 1. Final Polls, Published Estimates

<table>
<thead>
<tr>
<th>Pollster</th>
<th>Mode</th>
<th>Fieldwork</th>
<th>n</th>
<th>Con</th>
<th>Lab</th>
<th>Lib</th>
<th>UKIP</th>
<th>Green</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>Populus</td>
<td>O</td>
<td>5–6 May</td>
<td>3917</td>
<td>34</td>
<td>34</td>
<td>9</td>
<td>13</td>
<td>5</td>
<td>6</td>
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<tr>
<td>Ipsos-MORI</td>
<td>P</td>
<td>5–6 May</td>
<td>1186</td>
<td>36</td>
<td>35</td>
<td>8</td>
<td>11</td>
<td>5</td>
<td>5</td>
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<tr>
<td>YouGov</td>
<td>O</td>
<td>4–6 May</td>
<td>10307</td>
<td>34</td>
<td>34</td>
<td>10</td>
<td>12</td>
<td>4</td>
<td>6</td>
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<tr>
<td>ComRes</td>
<td>P</td>
<td>5–6 May</td>
<td>1007</td>
<td>35</td>
<td>34</td>
<td>9</td>
<td>12</td>
<td>4</td>
<td>6</td>
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<tr>
<td>Survation</td>
<td>O</td>
<td>4–6 May</td>
<td>4088</td>
<td>31</td>
<td>31</td>
<td>10</td>
<td>16</td>
<td>5</td>
<td>7</td>
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<tr>
<td>ICM</td>
<td>P</td>
<td>3–6 May</td>
<td>2023</td>
<td>34</td>
<td>35</td>
<td>9</td>
<td>11</td>
<td>4</td>
<td>7</td>
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<tr>
<td>Panelbase</td>
<td>O</td>
<td>1–6 May</td>
<td>3019</td>
<td>31</td>
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<td>16</td>
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<td>2960</td>
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<td>34</td>
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<td>12</td>
<td>6</td>
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<tr>
<td>TNS UK</td>
<td>O</td>
<td>30/4–4/5</td>
<td>1185</td>
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<td>32</td>
<td>8</td>
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<tr>
<td>Ashcroft*</td>
<td>P</td>
<td>5–6 May</td>
<td>3028</td>
<td>33</td>
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<td>10</td>
<td>11</td>
<td>6</td>
<td>8</td>
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<tr>
<td>BMG*</td>
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<td>3–5 May</td>
<td>1009</td>
<td>34</td>
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<td>10</td>
<td>12</td>
<td>4</td>
<td>6</td>
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<tr>
<td>SurveyMonkey*</td>
<td>O</td>
<td>30/4-6/5</td>
<td>18131</td>
<td>34</td>
<td>28</td>
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<td>13</td>
<td>8</td>
<td>9</td>
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<td>Result</td>
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<td></td>
<td></td>
<td>37.8</td>
<td>31.2</td>
<td>8.1</td>
<td>12.9</td>
<td>3.8</td>
<td>6.3</td>
</tr>
<tr>
<td>*MAE (=1.9)</td>
<td></td>
<td></td>
<td></td>
<td>4.1</td>
<td>2.5</td>
<td>1.0</td>
<td>1.4</td>
<td>1.4</td>
<td>0.9</td>
</tr>
</tbody>
</table>

* = non-members of British Polling Council at May 2015; MAE = mean absolute error; O=online, P=phone.

In Scotland, the three polls conducted in the final week over-estimated the Labour vote
share by an average of 2.4 percentage points and under-estimated the SNP share by, on
average, 2.7% points. It is worth noting in this context that the average error of 5.1
points on the lead of the SNP over Labour in Scotland - for the polls undertaken in the
final week - was not much smaller than the average error on the lead of the
Conservatives over Labour for the GB only polls. Yet, the consequences (and therefore
the public reaction) were entirely different in Scotland compared to GB;

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7 Survation published two vote intention estimates from its final poll based on different questions that were
administered to all respondents. We have used the estimates with the larger error because it would not be
appropriate to treat both estimates as though they were independent poll samples.
underestimating the size of a landslide is considerably less problematic than getting the result of an election wrong. We shall return to this point in section 4.

Considering the average estimates of the polls over a longer time period (Figure 1) shows that a tie between Labour and the Conservatives was indicated by the polls throughout the months leading up to the election. Of course, the further out a poll is from the election, the more difficult it is to interpret the difference between the estimate and the election result as being a systematic error. It could also be that the earlier polls were accurate estimates of vote intention at the time and that the polls only became inaccurate in the final week or two before the election.

Figure 1. Two month moving average poll estimates 2010-2015

There is no satisfactory way of distinguishing empirically between these two possibilities. That said, the polling averages do reveal trends that were ultimately manifested in the election result, in terms of change in vote shares from the 2010 election result. For instance, the polling average shows a marked increase in support for UKIP from late 2011, a decline in support for the Liberal Democrats immediately after the 2010 election (and a smaller decline at the start of 2014), and a marked increase in support for the Greens throughout 2014. Again, it is impossible to say
whether these trends tracked true changes in party support at the time in lock-step but it is clearly the case that the opinion polls detected some of the major changes in party support between the 2010 and 2015 elections.

Nonetheless, while the polls were useful indicators of changing party fortunes over the course of the 2010-15 parliament and accurately estimated the vote shares for the smaller parties, they were subject to large, systematic errors on the key estimate of the difference between the two main parties in the final days before the election and, in all likelihood, for at least some weeks before that as well.
4. **Historical and comparative context**

To get a sense of perspective on the 2015 polling miss, we compare the performance of the final pre-election polls against other general elections in Britain between 1945 and 2010. Our historical data uses the last poll of the election campaign for each pollster. In some cases we include two different ‘final’ polls conducted by the same pollster, where these were published on or around the same day in different media outlets. Details of the dataset of historical polls are reported in Appendix 3. It should be noted that the analyses presented in this section do not include SurveyMonkey in the poll figures for 2015, as these were not published in a UK media outlet. Including SurveyMonkey serves to slightly increase the MAE and to reduce the net error on the Conservative lead by the same amount.

In Figure 2 we plot the mean absolute error (MAE) in the estimated Conservative and Labour vote shares from the final pre-election polls. MAE provides a measure of the average error across pollsters at each election. It does not capture the direction of errors, but indicates how different the poll estimates were from the election outcome. The light grey markers indicate the absolute error for each pollster at a given general election, while the black marker indicates the mean absolute error for all pollsters at that election.

Figure 2 shows that, in every election, the polls have (on average) always been different from the final result, to a greater or lesser degree. Across all polls the average MAE was 2.2%, with a minimum of 0.8% (1955/1959) and a maximum of 4.6% (1992). The same approximate levels of error can have different consequences, depending on the closeness of the race between the two main parties. Note that the MAE on the Conservative and Labour vote shares was only marginally worse in 2015 (3.3) than in 1997 (3.1). Yet the 1997 election is not considered to have been a polling disaster; the polls indicated there would be a Labour landslide and there was. The fact that the polls over-estimated the size of the landslide by a large margin proved immaterial to the subsequent assessment of their performance. It is likely that this discounting of quite substantial polling errors when the headline story of the election outcome is correct contributes to the sense of shock when the polls do get the election result wrong.
Of crucial importance to the perceptions of the polling errors in 2015, then, was that the polls told the wrong story in terms of the difference between the main parties; they suggested a close race in the national vote share and projections of seats on that basis implied a hung parliament, in which the Scottish National Party would hold the balance of power (Fisher 2016; Ford 2016). This, of course, turned out not to be the case.

Figure 2. Average Mean Absolute Error, Conservatives and Labour

An additional factor that contributed to the magnitude of the shock on election night was that, unlike in recent elections, not one of the polls published in the UK came close to the result. Figure 3 plots the minimum value of the MAE on Labour and Conservative vote share for all pollsters. While the average MAE shows that, overall, the industry performed poorly, the minimum value (2.8 in 2015) shows that not a single pollster got close to the result. The only time that the best pollster has performed as poorly on the main party shares was in 1992. That election aside, there has typically been at least one poll which got the final result to within around a point and a half. When different polls tell a different story about the likely result of an election, public debate focuses on the diversity of the polling evidence and the uncertainty of the election result (note, in this context, the forthcoming EU referendum on which there is wide variability in the polls at the time of writing). When there is near complete consensus in the polls, on the other
hand, commentators are likely to interpret this as robustness in the evidence for the implied outcome.

**Figure 3. Minimum Mean Absolute Error, Conservative and Labour**

A different measure of accuracy – the net error of estimates - is also informative about the historical performance of the polls, particularly for specific parties.\(^8\) The net error is the simple difference between the poll estimate and the vote share for a party, so it can take positive or negative values. Figure 4 plots the average net error of all the poll estimates for the Conservative party at each election since 1945, again with the light grey markers indicating the net error for each individual poll. Comparing 2015 to all post-war elections, the polls have only under-estimated the Conservative vote share by a larger margin once – in 1992. Again, what sets 1992 and 2015 apart is that there was no pollster who over-estimated the Conservative vote (even by a small amount). Further, Figure 4 reveals a recurring tendency, dating back at least as far as 1992, for the polls to under-estimate the Conservative vote share. In considering the trend in Figure 4, it is worth bearing in mind that following the 1992 inquiry, the pollsters

\[ \text{Net error} = \frac{1}{n} \sum_{i=1}^{n} (x_i - y_i) \]

\(^8\) The net error is the average of the difference \((x_i - y_i)\), across \(n\) observations where \(x_i\) is the poll estimate and \(y_i\) is the election outcome.
introduced procedures intended to mitigate the tendency to under-estimate the Conservative share, such as past-vote weighting and reallocation of Don’t Knows and Refusals. Polling at the subsequent four elections suggested that this had been mostly, if not entirely, successful. However, the 2015 result once again exhibited the long-run trend toward increasing under-estimation of the Conservative share. While it would not have been reasonable to use this historical data to produce a firm prediction of a polling error in advance of the 2015 election, in hindsight, the under-estimation of the Conservative share in 2015 should not have been as big a surprise to many (though not all\(^9\)) commentators, as it was.

**Figure 4. Net error in poll estimates of Conservative vote shares**

The pre-election polls have a similar longstanding tendency to over-estimate the Labour share of the vote, although there is more variability in the pattern from election to election. The net error for Labour is plotted in Figure 5 which shows that in all but two elections since 1979, the final polls have over-estimated the Labour vote. It is notable that over this period, the two exceptions (1983 and 2010) occurred in distinctive political circumstances. At both elections, Labour faced a challenger from the centre-

\(^9\) In a blog post published on 6 May, Matt Singh drew on historical and other evidence to predict that the polls would miss a likely Conservative victory (Singh, 2015a).
left (the SDP in 1983 and Liberal Democrats in 2010) which had surged in support in the run-up to the election but which did not ultimately make the gains that had been predicted by the polls. For Labour, then, the historical record shows a systematic over-estimation of the vote share, a tendency that has been evident in the polls for around thirty years.

Figure 5. Net error in poll estimates of Labour vote shares

![Graph showing net error in poll estimates of Labour vote shares.](image)

The final way in which we benchmark the performance of the 2015 pre-election polls historically, is by considering the net error in the Conservative-Labour lead. This, of course, fits with the patterns we have already considered for each party on its own, with the polls tending to underestimate the Conservative lead over Labour. The one exception to this pattern since 1987 was 2010, when the Labour vote was somewhat under-estimated.

The errors plotted in Figure 6 show that, while the polls fared better on the Conservative lead in 2015 (-6.5%) than in 1992 (-9.2%), 2015 was on a par with 1970, the second worst polling performance on the Conservative-Labour lead (-6.5%). Given the historical trends toward over-estimation of Labour and under-estimation of the
Conservative shares, it is not surprising that Figure 6 also shows trend toward increasing under-estimation of the Conservative/Labour lead.

Figure 6. Net error in poll estimates of the Conservative lead over Labour

![](image)

In summary, it is clear that the polls have never got the election result exactly right. Indeed, it is common for the polls to exhibit quite substantial errors for the main party shares and on the difference between the two main parties. This is not surprising, given the multiple sources of error which can affect poll estimates (see section 5). Yet, public assessments of polling performance seldom rely on statistical measures of error such as the MAE, but instead whether the election result is called correctly, in terms of the likely composition of the ensuing government. This is in many ways an inappropriate gauge of polling accuracy: polls estimate the vote shares, not the number of seats or whether and how a coalition might form. Nonetheless, it seems clear that substantial errors are overlooked, so long as the polls correctly indicate what the next government will be. Inquiries are not launched when the polls over-estimate a landslide.

4.1 International context

It is informative to benchmark the performance of the GB polls in 2015 against international, as well as historical comparators. Based on a dataset of over 30,000 polls
across 45 countries (Jennings and Wlezien 2016), we compare the error in the final pre-election polls in Britain to counterparts in other countries. For this analysis, we calculate the MAE across countries for all polls in the final week before an election. This shows how far, on average, the polls are from the final result across a total of 212 legislative elections between 1942 and 2013.

Averaged over all countries, years, and parties the MAE is 1.8%. Because the size of the sampling error is a function of the vote share, we also calculate the MAE for parties with a vote share greater than 20% only. In these cases the MAE of the pre-election polls is 2.3 points,\(^\text{10}\) which provides a better estimate of the average magnitude of polling errors for mainstream parties in legislative elections across the world. In this context, the average MAE of 3.3 points for the Labour and Conservative vote shares in 2015 cannot be considered a good performance, but nor was it a particularly bad one. The historical record indicates that British polling is no better or worse in terms of accuracy than polling in other countries.

Some commentators have suggested that there may be a growing tendency for polls around the world to over-estimate support for parties on the left and to under-estimate support for parties on the right. In addition to the British trend in this direction, polls in Israel (2015), the US (2014, mid-terms) and Canada (2013), appear recently to have exhibited a similar tendency. While our international dataset of polls does not yet include these cases, it does enable us to examine whether there has been a historical pattern of over- or under-estimation of support for particular groups of parties up to 2012. Using our comparative dataset, we find that the average net error for left-parties is +0.6, while for right parties it is -0.6 (this has in fact fallen to +0.3 and -0.5 for the period since 2000). Using the same measure for Britain (for 1945-2010), the figures are +1.5 and -1.3 respectively. Thus, while the evidence is suggestive that there may be a tendency in this direction cross-nationally, the currently available evidence suggests that the pattern is not as strong in other national contexts as it is in Britain.

\(^{10}\) The MAE for small parties with a vote share of less than 20% is equal to 1.2.
5. The methodology of opinion polls

To enable readers to appreciate the points we make later about what went wrong with the opinion polls in 2015, it is first helpful to present a description of how they are undertaken. It is also necessary to set out explicitly the assumptions that must be met for these procedures to produce accurate estimates of vote shares. That is the purpose of this section of the report. We present a more formal treatment of the procedures and assumptions of sampling and inference in the opinion polls in Appendix 4. We should be clear that this is our account of how quota polls produce vote intention estimates and the conditions that are required to do this accurately. It is not intended to be a description of what the pollsters believe they are doing, implicitly or explicitly, when undertaking opinion polls.

All polls conducted before the 2015 general election collected data from respondents through one of two data collection modes: online panels or computer assisted telephone interviewing (CATI). The former collects data by means of online self-completion questionnaires, while the latter requires respondents to answer questions administered by an interviewer over the phone. Despite these differences in data collection methods, all GB pollsters in 2015 took a common approach to sampling and estimation: they assembled a quota sample of eligible individuals, which was then weighted to known population totals. They asked sample members their vote intention and likelihood of voting, derived a predicted sub-sample of voters, and produced weighted estimates of vote intention for this sub-sample.

The procedures used to select and recruit the sample of respondents differed between online and telephone polls, as will be detailed later in this section. For both types of polls, all BPC members used demographic population totals to set quota and weighting targets. Typical variables used for setting these targets were age, sex, region, social grade, and working status. Most companies also weighted to reported vote at the previous election or party identification targets; these latter targets varied substantially across companies for reasons that are not always obvious. As a result of these procedures (assuming that quota/weighting targets are correct) the sample of eligible individuals will be representative of the population of eligible individuals in respect of
all variables used to set weighting targets. This does not imply that they will be representative for other variables measured in the poll.

5.1 Deriving the sample of voters and estimating voting intention

All members of the recruited sample are asked a question about which party they intend to vote for. However, not all respondents who are eligible to vote actually do so, and it is therefore necessary to derive a sub-sample that the pollster predicts will turn out. This is done by assigning to each respondent an estimated probability of voting (a ‘turnout weight’) which is multiplied by the sampling weight to yield a sample of voters. It is also, in principle, possible to weight to the population of voters directly rather than to the general population in the first stage of weighting. However, it would still be necessary to combine this weight with a model for turnout probabilities and, in practice, this would be difficult as there is no obvious source of information on the profile of the voter population. Moreover, none of the pollsters took this approach in 2015, to our knowledge.

Polling companies differ in how they derive turnout weights. Some give all respondents probabilities of either zero or one, while others assign a predicted probability of voting to each sample member as a fractional value in the range zero to one. In either case, the most commonly used way of deriving the weight values is to ask respondents to rate how likely they are to vote on some scale and then to assign turnout probabilities based on the different answer options on the response scale (e.g. 10=1, 9=0.9, 8=0.8, and so on). The basis for allocating probabilities to response scale values is generally based on rules of thumb, rather than on known empirical relationships between the scale values and turnout. An exception to this in 2015 was the procedure used by TNS UK, who used variables from the 2010 pre-election British Election Study to predict a validated indicator of subsequent turnout to estimate a prediction model for turnout. The coefficients from this model were used to derive a predicted turnout probability for each respondent in the 2015 pre-election polls. We discuss the procedures used for allocating turnout weights in more detail in section 6.7.

\[\text{\footnotesize 11 Methods used in the U.S. are similar though differ in some respects. A description and evaluation of of U.S. methods is given in (Keeter, et al. 2016).}\]
Together, these procedures will deliver approximately unbiased estimates of vote shares under the condition that three assumptions are met. First, within the levels of the weighting variables, the joint distribution of (i) voting intention and (ii) any variables used to derive turnout status should be the same in the population and the sample.\(^{12}\) In other words, the sample should be representative of the population in all variables that are used, either directly or indirectly, to produce the vote intention estimate. Second, the method used to predict turnout should produce accurate estimates of population turnout within levels of all weighting variables, voting intention, and any additional variables used in the prediction of turnout. That is, the methods used to produce turnout weights should be accurate. Third, for those respondents who do vote, the vote intention variable should be an accurate predictor of actual vote. For this assumption to be met, (i) the vote intention question must be an accurate measure of voting intention at the time it is asked and (ii) individuals’ eventual vote choices should not be different from their stated vote intention in the poll.

These assumptions are stringent and may fail in ways which cause large errors in estimated vote shares. The first requires that quota and weighting controls are sufficiently powerful to ensure representativeness on voting intention and predictors of turnout. Given the lack of robust data available for weighting to population totals\(^{13}\) this must be considered a strong assumption. The second requires that turnout can be ‘predicted’ to a high degree of accuracy. The key difficulty here is that there is little in the way of direct evidence on which to base the prediction. So, pollsters can either use models fitted to election turnout data from a previous election, or they can allocate turnout probabilities based on assumptions about how answers to subjective ‘likelihood to vote’ questions are related to turnout. Both strategies are problematic; the former assumes that the model from the previous election still holds many years later, while the latter effectively involves making educated guesses. The assumption of accurate turnout weights is thus also a fragile one. The third assumption requires that respondents accurately report their vote intention and that future behaviour can be

\(^{12}\) Any variables used to derive turnout status that are also used as weighting variables for the eligible sample will satisfy this assumption by definition.

\(^{13}\) Population totals can be taken from a limited range of sources: primarily the census; election results; and large population surveys with high response rates. Collectively, these sources do not offer a deep pool of useful weighting variables for vote intention estimates.
accurately predicted, regardless of subsequent events. Clearly, both are prone to violation at any given election. In sum, the procedures used by the pollsters for sampling and inference in 2015 require strong assumptions to yield unbiased estimates. It would not be surprising if one or more of these assumptions were violated at any particular election, resulting in potentially large errors in the vote share estimates.

**Online data collection**

Online pollsters used non-probability online panels of pre-recruited members to conduct their pre-election polls in 2015. These panels varied in the way panel members were sampled and recruited, but for the most part the recruitment was done online via methods such as banner advertising, online panel portals, advertising, and referrals (Callegaro, et al. 2014). Some panels also use ‘river-sampling’ methods, where recruitment is done in real-time during fieldwork and sampled respondents are not asked to join a panel but to complete the poll as a ‘one off’. For panels, members are invited to take surveys via an email message that redirects them to an online survey, while for river-sampling survey invitations are posted on a wide variety of different websites. Depending on the pollster, the email invitation may indicate the topic of the poll, although political and vote intention questions are sometimes included in ‘omnibus’ type surveys which include questions on a variety of topics. The procedures used to collect online samples mean that the same respondents can appear in a number of different polls, although it is difficult to determine the extent to which this happens for any particular poll.

Quotas are used to control the demographic proportions of respondents answering the survey and email reminders are sent to respondents in quota cells to ensure that the quota targets are met. An exception to this general approach is SurveyMonkey, which appended a recruitment question at the end of surveys generated and fielded by users of their online questionnaire software during the election campaign. Respondents who indicated they were willing to undertake the survey were directed to the election poll (Liu, et al. 2015).

**Telephone data collection**

Although detailed accounts of how the numbers used in the telephone samples were selected were not available, it is clear that two main sources were used: (i) some form of
random digit dialling (RDD)\textsuperscript{14} and (ii) consumer databases. It is important to be clear that, while some sampling methods used in the UK for telephone polls describe their designs as ‘random digit dialling’, they in fact use non-random probability methods, though usually with an element of random selection of numbers. A mix of landline and mobile numbers was used in some but not all polls. To our knowledge, no attempt was made to balance the samples in order to reflect the known population distribution of individuals who use mobile only, landline only, and both mobile and landline. This is potentially important because different demographic groups have substantially different patterns of mobile / landline use. Most notably, nearly three in ten adults aged between 18 and 34 now use a mobile but do not have a landline, while the corresponding rate for those aged 55 and over is just one in twenty (OfCom 2015). Sampled numbers were allocated to interviewers who were tasked with filling respondent quotas. No within-household selection procedures were used and samples were not weighted to take account of variable selection probabilities.

The (pseudo) RDD samples are likely to have had good population coverage, but will have suffered under-and over-representation of some sub-groups (e.g. under-representation of individuals with access to mobiles but not to landlines) because initial selection probabilities were not fully controlled. It is highly likely that similar biases also exist in samples sourced from consumer databases and, for these, population coverage will almost certainly have been less complete.

5.2 When quota sampling produces inaccurate estimates: an example

To illustrate the potential consequences of failing to meet the assumption of representativeness in quota sampling, we consider data from the 2015 British Election Study (BES) and British Social Attitudes survey (BSA).\textsuperscript{15} Because these surveys were carried out after the election, they give direct information on vote choice by respondents who are known (at least by self-report) to have voted, so predicted probabilities of turnout are not required. The surveys use probability sampling (discussed in section 6.8) rather than quota sampling (discussed in section 5.1). In

\textsuperscript{14}Many sampling methods used in the UK which describe their designs as ‘random digit dialling’ in fact use non-random probability methods, though with some element of random number generation.

\textsuperscript{15}This exercise was motivated by a similar analysis carried out by Jowell et al. (1993) after the 1992 General Election.
2015 both surveys produced good post-election estimates of the difference in vote shares between the Conservatives and Labour. However, we can also treat a subset of these data sets as though they were quota samples, by using only those respondents who were interviewed at the first two attempts (‘early call’).

The sample of respondents who are interviewed after only one or two calls can be thought of as similar to a quota sample because they are a potentially unrepresentative sample of the population who happened to be willing and able to complete the survey when approached during a short fieldwork period. We apply standard demographic weighting to the raw data, as would be done in a quota sample and produce estimates of vote intention. These estimates (Figure 7) show a marked bias toward Labour relative to the election result. The BSA shows an 8 percentage point lead for Labour, while the BES shows a 1-3 point lead for the Conservatives after one call.16

**Figure 7. Conservative lead for BES/BSA at different call numbers**

![Diagram showing Conservative lead for BES/BSA at different call numbers.](image)

*note: green line = election result*

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16 If we use respondents contacted after first or second call, estimates from BES are close to the final ones, while the bias in BSA remains but is reduced.
Because the final results from both surveys were accurate, this bias in the first-call
estimates must be due to differences between the samples; specifically, individuals who
were at home and willing to be interviewed the first times contact with them was
attempted appear to be more likely to vote Labour than Conservative relative to the
general voter population, even within the levels of the weighting variables.

This example is not intended to serve as evidence of actual biases which affected the
polls in 2015. What it illustrates is that application of quota methods to a convenience
sample of respondents can produce seriously biased estimates of vote intention, even
after weighting to known population totals.
6. Assessment of putative causes of the polling error

Before assessing the evidence in support of the different potential causes of the polling errors, the panel attempted to replicate all of the published estimates for each of the polls provided by the nine BPC members using micro-data. In doing this, we also assessed the accuracy of the quota targets by comparing the population totals used by the pollsters to the annual mid-year census estimates produced by the Office for National Statistics. We were able to replicate all published estimates to within rounding error and to confirm that the population totals used for post-stratification weighting were correct. For some of the weighting variables used by the pollsters, such as past-vote or party identification, there is no definitive population total to weight to. However, we were able to confirm that the weights corresponded to the population totals that the pollsters had used, even though these varied from company to company. We are thus able to rule out the possibility that some of the polling errors might have been due to coding or analysis errors, or that inaccurate population totals had been used, as was the case for the 1992 polling miss.  

6.1 Postal voting

In 2015, postal votes constituted 20.9% of the ballots cast at the election count for Great Britain. This figure stood at 19.2% in 2010 (Rallings and Thrasher 2010) and 15.4% in 2005 (Rallings and Thrasher 2005). There are legal restrictions on public disclosure of how an individual has voted before polling has ended at 10pm on Election Day. These restrictions prohibit pollsters from publishing voting figures composed solely of postal voters before Election Day, and from publishing figures which would provide an indication of the balance of the result in postal voters in the published data tables.

Our investigation revealed that there is variation in practice across pollsters in how they record and treat postal voters. Four of the BPC members ask respondents whether they have already voted by post: Ipsos-MORI; YouGov; ComRes; and Populus. The remainder: TNS UK; Opinion; Panelbase; Survation; and ICM do not include a question about postal voting. Of those who ask about postal voting, Populus, Ipsos-MORI, ComRes and YouGov assign a turnout probability of 1 to these respondents.

17 The 1991 polls predominantly used weighting totals from the 1990 National Readership Survey which were found to be discrepant from the 1991 census in some respects when the census became available.
Given the way poll samples are selected, there is no reason to think that postal voters would be under or over-represented in the samples (in contrast, overseas voters will be entirely absent from poll samples). In the polls which included a postal voting question, postal voters comprised an average of 21% of the weighted samples, compared to the true figure of 20.9%. In terms of party shares amongst postal voters, 35% reported intending to vote for the Conservatives and 35% for Labour. This was approximately the same as the vote shares for the full samples in the final polls for those pollsters (35% to 34%). However, it differs from the estimated vote shares for postal voters in the BES, which were 45% (Conservative) and 29% (Labour). Thus, there appears to have been an even larger error in the estimate of the Conservative lead for postal than for non-postal voters. We return to this comparison of postal voters between the polls and the BES in section 6.8.

For the polls that asked a question about postal voting and applied a turnout weight of 1 to all respondents identified as having voted by post, there is no reason to assume that this procedure made any contribution to the polling error. For pollsters that did not ask a question about postal voting, the standard vote intention and turnout questions that were administered would likely have seemed odd to respondents who had already voted by post. If postal voters interpreted these questions as relating to the party they had already voted for and they then selected the highest score on the turnout likelihood question, there is no reason to assume that this procedure made any contribution to the polling miss.

It is, in principle, possible that postal voters reported a different vote intention in the poll compared to the party they already voted for by post and/or did not select the highest score on the turnout likelihood question. While there is no way of empirically assessing whether and to what extent this might have happened, it seems unlikely that it would have occurred to any notable extent. We conclude that there is no reason to believe that treatment of postal voters in the polls had any bearing on the polling miss.

6.2 Overseas voters

In February 2015, the Electoral Commission launched a formal campaign to encourage registration of overseas voters. This seems to have had an effect; in May 2015 the
number of overseas voters on the electoral register was 105,845 (Electoral Commission), which represents a substantial increase on the 15,849 that were registered in December 2014. However, overseas voters still represented just 0.2% of the eligible electorate in 2015. Even if every overseas voter had voted for the Conservative party and the pollsters had found a way of including them in their samples in the correct proportion, it would have made no discernible difference to the poll estimates. We therefore exclude overseas voters as a contributory factor in the polling miss.

6.3 Voter registration

Voter registration has also been raised as a potential contributory factor in the polling miss, particularly in the context of the change from household to individual level voter registration that had been partially implemented prior to the 2015 election. It is possible that some respondents accurately reported to the pollsters that they intended to vote for a particular party but subsequently discovered they were not registered to vote. If this happened to a sufficient number of respondents who disproportionately supported Labour, this could also have contributed to the polling miss.

The British Election Study is currently undertaking a study into voter registration at the 2015 election for the Electoral Commission. Unfortunately, the findings of that study are not available at the time of writing this report. Nonetheless, it is possible to rule out voter (non)registration as a contributory factor in the polling errors. This is because, if some respondents believed they were registered to vote and expressed a party preference in the poll but subsequently discovered they were not registered when they turned up to vote, this would be functionally equivalent to other forms of turnout misreporting. Such respondents would be recorded as not having voted in the validation study and would, presumably, report not having voted in the poll re-contact studies. Non-registration is therefore covered as part of our analysis of differential turnout misreporting in section 6.7, where we find weak evidence of (at most) a small contribution of turnout misreporting to the polling miss.

6.4 Question wording and framing

One response to the 2015 polling miss was to suggest that pollsters could have achieved better estimates of vote intention had they used different question order and wording
in their questionnaires. This argument draws on the assumption that there is a ‘shy Tories’ problem in British polling: Conservative voters are less willing to admit to intending to vote Conservative (we also address ‘shy Tories’ in section 6.6). One way to deal with this might be to preface the vote intention question with ‘priming’ questions which increase the likelihood of a ‘Conservative’ response among Conservative voters, such as evaluations of party leaders, the economy, or ‘best on the most important issue’. The order in which survey questions are administered to respondents can influence the answers they provide (Tourangeau, et al. 2000), so this framing might lead respondents to answer the vote intention question in a way that is more consistent with their political attitudes.

Table A.5 in Appendix 5 provides the question wordings and order in which questions were administered for each pollster. There was little systematic variation in the ordering of vote intention questions across pollsters. All but one asked vote intention questions followed by likelihood to vote (TNS UK asked vote intention after likelihood to vote), with three (ComRes, Opinium, Survation) asking vote intention questions and likelihood of voting following standard demographic questions. These are not the kinds of questions thought to influence responses to vote choice questions. Also, of course, there was very little systematic variation across the polls in the estimate of the Conservative vote share. The post-election surveys which did achieve a better estimate of the Conservative lead over Labour, that is, the BES and the BSA survey, did not use any particularly distinctive question ordering.

The British Election Study online panel included an experiment which manipulated the placement of the vote intention question within the survey. The vote intention question was placed at the beginning, after a ‘most important issue’ question (as is standard in the BES), and towards the end of the survey (following a large number of political attitudes questions on various topics) at different waves. However, the proportion of Conservative voters was unrelated to where the vote intention question was placed in

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18 This is to introduce the respondent to the survey with an ‘easy question’, not to prime respondents for the following vote intention question. Note that this ordering difference was cited by Peter Kellner, then YouGov President, as a key difference between standard YouGov polls and the BES (the online BES is fielded by YouGov) which may have accounted for the online BES's marginally higher vote shares. However, there are other differences (e.g. weighting procedures) which were also different across the BES and other YouGov surveys which could account for these differences.
the questionnaire (Mellon and Prosser, 2015). This is strong evidence against the idea that the order of questions was to blame for the underestimation of the Conservative vote in the polls. We therefore conclude that placement of the vote intention question in the questionnaire made no contribution to the failure of the polls in 2015.

There was also speculation in the aftermath of the election about whether the vote intention distribution might be better estimated using a question which emphasised the respondent’s local constituency rather than the national race. Questions of this nature were trialled in 225 constituency polls undertaken by Lord Ashcroft prior to the 2015 general election. Analysis of these polls shows that the specific constituency question was closer to the eventual election result across all parties in 71% of constituencies, although the more accurate estimates were primarily for the minor parties, not for the Conservatives and Labour. The conclusion to draw based on this evidence is complicated by the fact that the national and constituency questions were not randomised, both were administered to all respondents in the same order. However, the pre-election wave (March 2015) and the campaign wave (April 2015) of the BES campaign panel randomised respondents to receive either the standard vote intention question or the constituency specific question. This shows the opposite effect to the constituency polls, with the standard vote intention question exhibiting a higher proportion of Conservatives than the constituency-specific question (Prosser et al 2015). The standard question also has somewhat better predictive accuracy than the constituency question, measured by the proportion of people giving a Conservative vote intention in the pre-election waves and reporting a Conservative vote choice in the post-election wave. It is difficult to say definitively from this evidence whether the constituency question should be considered better or worse than the standard question, it appears to be better for some constituencies and worse for others. We therefore conclude that the wording of the vote intention questions used in the 2015 polls did not contribute to the polling miss.

19 Respondents were first asked: “If there was a general election tomorrow, which party would you vote for?” Then, immediately following, they were asked the constituency question: “Thinking specifically about your own parliamentary constituency at the next General Election and the candidates who are likely to stand for election to Westminster there, which party’s candidate do you think you will vote for in your own constituency?”
6.5 Late swing

Some voters agree to take part in opinion polls but do not disclose the party they intend to vote for. Others don’t know who they will support, or change their mind about which party to vote for very late in the election campaign. If a sufficient number of these types of voters move disproportionately to one party between the final polls and election day, the vote intention estimates of the polls will differ from the election result. The discrepancy between the polls and the election result in this case will not be due to inaccuracy in the polls. Reports into the polling failures at the 1970 (Butler and Pinto-Duschinsky 1971) and 1992 (Market Research Society 1994) elections both attributed a prominent role to late swing. This was particularly so for the 1970 report, which concluded that late swing was almost entirely to blame for the failure to predict the Conservative victory in that election. Late swing has also been identified as a contributory factor for polling misses in the United States (AAPOR 2009; Keeter, et al. 2016). In 2015, only one BPC member - Survation - pointed to late swing as a cause of the polling miss, pointing to a telephone poll they carried out on May 6th but did not publish until May 8th which showed a six point Conservative lead, with Labour on 31% and the Conservatives on 37%. Survation argued that because this was the last poll to be conducted in the campaign, the accurate estimate of the Conservative lead should be taken as evidence of late swing.

Late swing has not been clearly or consistently defined, either in existing published studies, or in its more anecdotal use. The 1970 report appears to treat late swing as relating only to switching between parties, although no precise definition is presented in that report. The 1992 report defines late swing as including differential turnout misreporting by party, party switching, and movement from non-substantive responses (Don’t Knows and Refusals) to a party choice. In this report, we restrict our definition of late swing to party switching and movement from non-substantive responses to a named party. We consider differential turnout misreporting under the heading of turnout weighting. 20

20 This reflects changes in polling procedures; in 1992 it was uncommon to include a turnout probability in the weighting.
First we consider the vote intention data in the penultimate and the final polls. Fieldwork for the penultimate polls took place between 23rd April and 5th May, while fieldwork for the final polls was between 3rd and 6th May. We can use these polls to assess whether there was a move toward the Conservatives at a late stage in the campaign. This is not an especially strong form of evidence, because we could fail to observe any change in vote intention and still not be able to rule out the possibility that late swing occurred between the final polls and the election. However, it is possible that a shift toward the Conservatives was already under way in the final weeks of the campaign and finding evidence of this would lend some support to the late swing hypothesis. For the weighted estimates for each pollster, four showed no change, one showed a one point increase in Conservative support, while the remaining five showed a one point decrease in support for the Conservatives. There is no evidence here, then, that a shift toward the Conservatives had already set in during the final two weeks of the campaign.

A more direct way of assessing late swing is to consider whether respondents showed a disproportionate tendency to switch to the Conservatives between the final polls and the re-contact surveys. Here we need to take account of the possibility that vote intention in the final polls was predictive of drop out (which would yield biased estimates of the vote distribution in the re-contact surveys if uncorrected). All estimates are therefore weighted by the product of the pre-election post-stratification weight and an attrition weight.\footnote{The attrition weights were derived using a logistic regression model, where the outcome was a binary indicator of attrition. The predicted probability from this fitted model was used as the attrition weight. The predictors used in the model for each pollster where all the variables which were used as weighting variables for estimating vote shares from the final poll, plus the question on likelihood to vote (if used for the poll).} Figure 8 shows point estimates of the Conservative lead over Labour from the pre-election polls and the re-contact surveys for these five polls. We use the sample of voters who responded in both the pre-election polls and the re-contact surveys for these five polls. We use the sample of voters who responded in both the pre-election polls and the re-contact survey, so our measure of turnout at both time points is taken from the self-reported turnout in the re-contact survey.\footnote{For TNS and ICM the sample sizes are very small when only the re-contact data from the final polls is used. We therefore include respondents who were interviewed during the short campaign but not in the final poll. This means these estimates of late swing should be considered as ‘upper bounds’.} Note that sample sizes for the re-contact surveys vary considerably, with a minimum of 1477 and a maximum of 6712.
While there is a weak tendency for the post-election estimates to move in the direction of a larger lead for the Conservatives, the effect is inconsistent across polls. The raw average change toward the Conservatives over all five polls is 1.8 points, although weighting by sample size reduces this to just 0.6 points. It should also be noted that these estimates are sensitive to which polls are included in the analysis. If only respondents from the final polls in the campaign are included, the unweighted estimate is -0.42%. Our conclusion is therefore that there is, at best, weak evidence of a small late swing to the Conservatives in the 2015 polling miss.

**Figure 8. Conservative lead, pre-election polls & re-contact surveys**

What, though, of the Survation telephone poll undertaken on 6th May that showed a six point lead for the Conservatives and which has been advanced as evidence of late swing? All fieldwork for this poll was carried out between 3pm and 9pm on the 6th May 2015. With such a short fieldwork period (the other pre-election phone polls used periods of 2-3 days), it is difficult to rule out the possibility that the composition of the sample was skewed, albeit in a fortunate direction, by the particular people who happened to be available (and willing) to be interviewed during this very short window. Given the weak evidence of late swing in the re-contact surveys, our assessment is that
the Conservative lead in the Survation telephone poll is more likely to have resulted from sampling variability and/or the very short fieldwork period than from late swing.

It should be noted that some of the pollsters took steps to correct for the possibility of late swing by either reallocating respondents who did not provide a party choice to a party, or by pushing such respondents to provide a party choice in the first place. Those selecting the Don’t Know or Refusal (DKR) options represent quite large minorities in the pre-election polls, with an average of 14% across all nine BPC members, from a low of 8% (Populus) to a high of 24% (ICM and Ipsos-MORI). Approximately half the individual level change between the final polls and the re-contact surveys is amongst respondents who selected the DKR options in the final poll. The DKR group also exhibit more ‘churn’ than those selecting a party; of those selecting a party in the pre-election polls, only 19% reported voting for a different party in the re-contact survey, compared to 85% of those selecting DKR who ultimately reported voting for a named party. Thus, even though late swing was not a significant factor in the 2015 polling miss, it has the potential in future elections to contribute to discrepancies between the poll estimates and the election outcome. We therefore consider how these procedures were implemented and what effect they had on the vote intention estimates.

Pollsters used two main procedures to mitigate the potential for late swing amongst DKRs. Four - Ipsos-MORI, Populus, ComRes, and PanelBase - used ‘squeeze’ questions, which push respondents who initially provide a DKR response to choose a party (see Appendix 5 for the wording of the squeeze questions). While the squeeze questions were effective in reducing the rate of DKRs (by between 50% and 80%), they had very little impact on the party vote shares for the samples as a whole, and no effect on the difference between the Conservative and Labour vote shares. This is because the number of voters who gave a party choice after a squeeze question is relatively small but also because the vote intention distributions for the ‘squeezed’ respondents were broadly similar to the full samples.

23 The phone polls obtained higher rates of DKRs (19%-24%) than the online polls (8%-14%)
In addition to squeeze questions, pollsters also used reallocation of DKRs to parties. Five pollsters reallocated DKRs as a function of responses to other measured variables (the other four BPC pollsters dropped all DKRs from the published estimate of the vote share). The following procedures were used to reallocate DKRs to parties:

- **Survation**: allocated DKRs to parties based on a 0.3 factor of the party they voted for in the 2010 General Election
- **Ipsos-MORI**: allocated DKRs in proportion to the vote intention of respondents who read the same mix of newspapers
- **ComRes**: allocated DKRs to vote intention equal to party ID (where no party ID, dropped from sample)
- **Panelbase**: allocated DKRs to 2015 vote intention equal to party ID (where no party ID, dropped from sample)
- **ICM**: 50% of DKRs allocated to reported 2010 vote, the remaining 50% dropped from the sample

The rationale behind these procedures is not explicit, although PanelBase, ICM, and Survation clearly assume that respondents who do not express a party choice are likely to vote in the way they did in the most recent General Election. This rule of thumb, though not unreasonable, may not be as helpful today as it was in previous elections. For example, the rate of switching between 2010 and 2015 in British Election Studies was 38%, whereas between 1997 and 2001 it was 32%, and between 1997 and 2001 it was 25% (Mellon 2016). The Ipsos-MORI and ComRes procedures, on the other hand, assume that people will vote in line with some sort of latent political disposition (as reflected by party identity and newspaper readership). In 2015, these procedures should have had the effect of slightly increasing the Conservative lead because the Conservatives had the largest vote share in 2010, as well as the highest number of party identifiers, and a majority amongst newspaper readers. However, the effect they had on the vote intention distribution for the full samples was negligible; the largest change in

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24 These are the procedures as described to the inquiry by the pollsters.
the Conservative lead as a result of reallocation was 1 percentage point (for PanelBase)\(^\text{25}\).

### 6.6 Deliberate misreporting

A frequently advanced explanation of polling errors is deliberate misreporting, which is when respondents *knowingly* tell pollsters that they will vote for a particular party when they actually intend to vote for a different one. This is generally considered to occur, not out of capriciousness, but due to processes of social desirability; where the party a respondent supports carries some element of social stigma in certain contexts, he or she may not wish to admit to supporting that party. In the United States deliberate misreporting has been mostly been associated with ethnicity, the contention being that some white voters will not support black candidates representing their preferred party, but tell pollsters they intend to vote for the black candidate because they are unwilling to appear prejudiced (Hopkins 2009).

In the UK, deliberate misreporting has been invoked primarily to explain the tendency of polls to under-estimate the Conservative vote as a result of respondents being unwilling to admit to voting Conservative, so-called ‘shy Tories’. In crude terms, the logic of this idea is that the Conservative party are viewed by many as advancing policies which favour the advantaged at the expense of the needy and that a vote for the Conservatives thus indicates a preference for personal again over the public good. People are, therefore, reluctant to admit to voting Conservative and choose a different party, when asked, but still end up voting Conservative in the election. The notion of ‘shy Tories’ deliberately misreporting their vote intention was proposed as a possible explanation for the 2015 polling errors by YouGov Chairman Peter Kellner.\(^\text{26}\)

It should be noted, in the UK context, that deliberate misreporting need not be restricted to supporters of the Conservative party; it could equally apply whenever voters feel embarrassed to admit supporting a particular party. This means that for deliberate misreporting to provide an explanation of the polling miss, it would have to

\(^{25}\) ICM reported a 2% increase in the Conservative lead which we were not able to replicate because ICM had implemented the procedure manually and were not able to provide details of which 50% of cases had been reallocated.

\(^{26}\) [https://yougov.co.uk/news/2015/05/11/we-got-it-wrong-why/](https://yougov.co.uk/news/2015/05/11/we-got-it-wrong-why/)
be large enough in one direction to outweigh any deliberate misreporting in other directions. There is also no reason to assume that embarrassment about admitting support for a particular party should lead respondents to tell pollsters they intend to vote for a different *party*; respondents could also select the Don’t Know, or refuse options. Indeed, this might be considered the more likely choice for people wishing to present themselves in a positive light, for why would someone (who cares what a stranger thinks of them) choose to lie when they have the straightforward and less internally conflicting option of not revealing a preference? Even before we consider the empirical evidence in support of deliberate misreporting as a contributory factor in the 2015 polling miss, then, there are grounds for believing that it is not very plausible.

If respondents lied to pollsters before the election but then responded honestly afterwards, deliberate misreporting is functionally equivalent to late swing. What is going on in voters’ minds may differ but the responses they give to pollsters will be identical. As we saw in section 6.5, there is little evidence that respondents switched parties to any notable degree between the final poll and the re-contact survey. What little evidence there is for late swing comes mostly from respondents who initially did not disclose a party choice. Thus, although we cannot rule out the possibility that there was *some* deliberate misreporting, we can and do rule out the possibility that there was deliberate misreporting to any significant degree, if we restrict deliberate misreporting to meaning lying to pollsters before but not after the election.

It may also be the case that respondents who deliberately misreport their vote intention provide answers to other questions in the survey which better indicate their ‘true’ party preference. This has been suggested as a ‘red flag’ that pollsters and commentators might have heeded in the run-up to the election. The fact that the Conservatives were ahead on leader ratings and on management of the economy at this time suggested to some observers that the vote intention estimates were likely to be under-stating the Conservative lead (Singh 2015a). However, even though it is ostensibly counter-intuitive for the Conservatives to have the best leader and economic evaluations, yet a relatively low share of the vote intention, on closer consideration this need not indicate deliberate misreporting. Only around half the respondents in any poll in 2015 reported intending to vote for the two main parties, so leader and economic evaluations were
heavily influenced by supporters of other parties, non-voters, Don’t Knows, and Refusals.

This is borne out by analysis of the BES face-to-face post-election survey, where the mean rating of David Cameron on a 10-point scale (higher scores indicate more favourable ratings) was 4.2 for non-voters, compared to 3.8 for Ed Miliband. The corresponding figures for supporters of other parties were 4.1 for Cameron and 3.3 for Miliband. As Conservative voters also rated Cameron higher (7.2) than Labour voters did Miliband (5.3), it is clear that an explanation of the apparent discrepancy between these kinds of assessments and the vote intention distribution need not invoke deliberate misreporting amongst Conservative supporters. Rather, the apparent anomaly more likely arises as a result of the ratings of supporters of other parties and respondents who do not disclose a vote intention.

We have no direct evidence to draw on to assess whether respondents lied consistently, that is both before and after the election. However, we do have indirect evidence which suggests that consistent lying is unlikely. First, the BES and the BSA got the election result about right for the Conservative vote share, with both producing point estimates that were actually slightly above the result.27 So, if respondents were lying in the pre-election poll and the re-contact survey, we must believe that before and after the election, voters were so embarrassed about supporting the Conservatives that they deliberately lied to pollsters to conceal it. Yet within a month or two of the election28, they began to report having voted for the Conservatives when they actually hadn’t.

In short, it is difficult to square the pattern of estimates in the polls before and after the election with those of other surveys carried out only shortly afterwards if deliberate misreporting had been evident in the polls. It is also worth noting that it is generally agreed that social desirability bias is more prevalent in interviewer-administered than in self-administered surveys (Kreuter, et al. 2008), the opposite of what would need to have happened in 2015 to support the claim of deliberate misreporting. In fact, it is not at all obvious that respondents would be too embarrassed to admit voting Conservative

27 Only the BES significantly over-estimated the Conservative share (p<0.05).
28 In fact, the BES shows a Conservative lead over Labour even in the first 2 weeks of fieldwork.
(if they felt embarrassed at all) when all that this involved was clicking a radio button on an online form. In sum, while it is very difficult to definitively rule out the possibility that respondents lied to pollsters before and after the election, circumstantial evidence from contemporaneous surveys suggests that it is unlikely to have been a contributory factor in the polling miss.

6.7 Turnout weighting

Each BPC member used a different method for constructing turnout weights, but all relied either completely or very heavily on responses to a likelihood-to-vote (LTV) question such as, "how likely is it that you will vote in the general election on 7th May?" Responses were most often elicited on a scale from 1 to 10, where 1 means certain not to vote and 10 means certain to vote. Two used 0 to 10 and two pollsters used 4 category response scales. Some, such as Opinium, Panelbase and Ipsos MORI, used the question as a filter: those below a cut-off on the LTV question were dropped from the vote intention estimate. This is equivalent to assigning a predicted turnout probability of zero to those who are filtered out and a probability of one to those who are retained in the sample. With the exception of TNS UK, the turnout weights were generated by rules-of-thumb of varying degrees of complexity.

How accurate were the turnout weights?

As discussed in Section 5.1, the turnout weights need to satisfy the condition that they accurately represent the probabilities of voting in the election, given an individual's values for the weighting variables, their answer to the LTV question, and the party they intend to vote for. Specifically, the weights should accurately describe the probabilities in the population of eligible voters. This presents a problem for assessing the adequacy of the turnout model for this population, because this would ideally be done using a probability sample in which recorded LTV, intended vote before the election, and turnout after the election are observed. No such study was undertaken in 2015.30

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30 SurveyMonkey did not use a turnout weight in their published poll, their estimate therefore assumes that every respondent who expressed a vote intention turned out to vote.
30 For 2010, however, this data is available from the British Election Study. It was used by TNS UK to estimate the turnout probability model they used in 2015.
What can be examined, however, is how well the turnout weights approximated the turnout probabilities in the poll samples. This is not conclusive evidence, because it also requires the additional assumption that the model for these probabilities should be approximately the same for the poll respondents and the population and the validity of this assumption cannot be directly assessed.

Figure 9 provides information about the accuracy of the turnout weights as estimates of turnout probabilities for the poll respondents. The solid lines show reported turnout as a smoothed function of the turnout weights. The grey areas represent 95% confidence intervals for these probabilities. The bar chart at the bottom of each plot shows the relative frequency (density) of the turnout weight, that is, the number of respondents with a weight of a given value.

**Figure 9. Reported turnout in re-contact surveys by turnout weight**

For ICM, Survation, and YouGov most respondents were allocated a weight of 1 because they said they were certain to vote. Little or no additional information was used to derive the weights. Populus and TNS UK had similar biases in the distribution of LTV
but they used more complex combinations of factors and ended up with turnout weights closer to 50%.

The accuracy of the turnout weights as probabilities of voting can be judged by the proximity of the solid lines to the dashed lines (on which the reported turnout rate is equal to the actual turnout weight), although for lower turnout weights the opposite is the case. For all but one of the pollsters it is clear that eventual turnout was higher, sometimes substantially higher, than the turnout weights implied, except where the weight was close to 1. Most poll respondents said they would vote before the election and then said they had voted when contacted after the election. However, respondents who were assigned a turnout probability less than 1 were nearly always more likely to subsequently report having voted than their turnout weight implied. Some, though not all, of this inaccuracy in the turnout weights may be accounted for by over-reporting of turnout in the re-contact surveys.

The exception to this pattern is TNS UK; most of their respondents were considerably more likely to vote than implied by the turnout weight. When the turnout weights were 1, which was the case for the vast majority of the poll samples, respondents almost always reported that they had voted when asked in the re-contact surveys. However, vote validation studies suggest that turnout for respondents with a weight of 1 was actually closer to 90% (the difference being due to over-reporting in the re-contact surveys). Overall, then, the calibration of turnout weights for the poll respondents was quite poor in 2015.

*How did turnout weights affect the published vote intention figures?*

The accuracy of the turnout weights matters primarily for the extent to which it might affect estimated vote shares. Whether this was the case for the 2015 polls may be assessed by calculating vote intention estimates under different specifications for the weights. Using the re-contact polls, we can examine if the estimated shares would have been different if turnout weights had not been needed at all, that is if the pollsters had known who would and would not turn out to vote. This is done by calculating estimates using pre-election vote intention only for those respondents who are known (by self-
report) to have voted in the election. All these respondents can be assigned a turnout probability of 1. The resulting estimates for the difference in the Conservative-Labour vote share are between -2.1 and +0.5 percentage points, compared to -2.7 to +0.7 points for the final polls (for all nine BPC members). There is, thus, no evidence that the poll estimates would have been more accurate, even had the pollsters known which respondents would and would not turn out to vote.

We have also examined the sensitivity of vote intention estimates by calculating the party shares with different specifications for the turnout weights, while keeping all other elements of the weighting unchanged. An example is presented in Figure 10.

**Figure 10. Conservative lead under alternative turnout probabilities**

![Chart showing Conservative lead under alternative turnout probabilities](chart)

- **Note:** green = election result; dark red = average of the polls; black dashed = polls

This shows the estimates of the Conservative lead for the final BPC polls, with four different turnout weights (from left to right): (1) using only those respondents who said they were certain to vote, i.e. who gave the highest response to the LTV question; (2) the turnout weights that were used for the published estimates; (3) transformed weights which (for most companies) are closer to the true turnout probabilities as estimated
above\textsuperscript{31}; and (4) giving every respondent a turnout probability of 1. With few exceptions, these alternative choices do not change the estimates in a substantial way. We have also used a range of turnout models using a mode-based method (of the kind used by TNS UK) to produce the turnout probabilities from the 2010 and 2015 British Election Studies. None of these have any notable effect on the vote share estimates.

‘Lazy-Labour’ and differential turnout misreporting

The assigned turnout weights should accurately reflect the probabilities of voting in the population given the weighting variables, response to the LTV question, and the answer to the question on voting intention. However, none of the companies included vote intention in their models for turnout probability. The models thus implicitly assume that the probability does not depend on the party the respondent intends to vote for, once LTV and other variables (if included) are controlled for. If this assumption fails, supporters of one party would be more likely than another to vote, given their reported pre-election LTV. However, the turnout models do not allow for this difference. This possibility is referred to as ‘differential turnout misreporting’ and might have explained some of the polling miss.

However, the re-contact surveys showed no evidence of differential turnout misreporting. If vote intention is added as a predictor to a model for turnout probability, it is statistically significant for only one pollster, YouGov, and here the effect was in the opposite direction to what would be required to explain the polling miss; those who said they intended to vote Labour were more likely to vote, given their answer to the LTV question. This, though, is an average effect across levels of likelihood-to-vote and does not apply to the 10 out of 10 score that the vast majority of respondents gave.

YouGov conducted a turnout validation exercise (matching respondents to official turnout records) for a subset of their final poll respondents and found only a small differential turnout misreporting effect, also in the opposite direction from one which would help explain the polling miss (Rivers and Wells 2015). The BES internet panel conducted a turnout validation study which showed, for those who said in the campaign

\textsuperscript{31} Specifically: If the original turnout weight is p, the weights here are p+p*(1-p).
that they were “very likely” to vote, a 2 percentage point higher turnout for Conservative than for Labour vote intenders. This is in the correct direction to explain the polling miss but it is not statistically significant at the 95% level of confidence.

Finally, we examined evidence for a different kind of turnout misreporting: inaccurately reporting turnout after the election. This is different from misreporting an intention to vote but is nonetheless informative about patterns of misreporting by party support. The 2015 BES post-election survey included a turnout study which validated respondent reports against marked electoral registers. This shows lower turnout over-reporting (10.4%) compared to the internet survey (13.7%) but greater differential turnout misreporting. Over-reporting turnout was 12% among those who reported having voted Labour and 8% for those who reported voting Conservative. The 95% confidence interval for the estimate of this difference crosses zero but if the mis-reporting respondents are removed, the Conservative lead increases by 1.6 percentage points. This is still much smaller than the overall miss and may not be indicative of the experience of pollsters who had different sample profiles.

In summary, there were notable inaccuracies in the turnout weights as they correspond to actual turnout probabilities. However, this made little difference to the final polls; estimates of the Conservative lead would not have been more accurate, even if the turnout weights had been as good as they could have been, given the available data. We find no effect of turnout weighting contributing to the 2015 polling miss. There is tentative evidence that respondents who reported intending to vote Labour may have overestimated their future likelihood of turnout more than Conservative intenders did but this is difficult to distinguish from random sampling variation and, if it occurred, would have made only a very modest contribution to the polling miss.

6.8 Unrepresentative samples

In sections 6.1-6.7 we considered the evidence in support of seven putative causes of the errors in the polls undertaken prior to the 2015 General Election. We have determined that, whether considered individually or collectively, these factors made only a very modest contribution to the total polling error. By a process of elimination, then, we are led to conclude that unrepresentative samples – the ways the poll samples
were collected and adjusted – must have been the primary cause of the 2015 polling miss; they systematically over-represented Labour voters and under-represented Conservative voters in their weighted estimates.

We are not limited, however, to basing our key conclusion solely on elimination of plausible alternatives. In the remainder of this section, we consider what direct evidence there is to support the judgement that the polling miss was due to unrepresentative samples. The most direct evidence comes from two surveys that were undertaken shortly after the election and which used probability sampling designs: the British Election Study (BES) and the British Social Attitudes (BSA) survey. Both surveys employ what can be considered ‘gold standard’ procedures at all stages but are most notably different to the polls in their approaches to sampling.

The methodology of both surveys is described in detail elsewhere but, in brief, a multi-stage, stratified probability sample of addresses is drawn from the Post Office Address File (PAF) and an interview is attempted with a randomly selected eligible adult at each eligible address. Multiple calls are made to each selected address at different times of day and on different days of the week in order to achieve an interview. Substitutions for sampled respondents who were not reached or who declined to be interviewed are not permitted. Interviews are carried out face-to-face by trained interviewers via questionnaires loaded on to laptop computers. The BES/BSA attained response rates of 56% and 51%, respectively, which though not especially high in historical terms, are good by contemporary standards.

It is important to be clear that random probability sampling does not on its own guarantee accuracy of survey estimates; these types of surveys are themselves subject to various errors of observation and non-observation (Groves 1989). In particular, when a substantial proportion of the eligible sample fails to complete the survey, either through refusal to participate or failure to be contacted, there is a risk that estimates

32 For BES: [http://www.britishelectionstudy.com/bes-resources/f2f-v1.0-release-note/#VuFeWvmL.Rpg](http://www.britishelectionstudy.com/bes-resources/f2f-v1.0-release-note/#VuFeWvmL.Rpg); for BSA the Technical Report was not published at the time of writing but will be available at: [http://www.bsa.natcen.ac.uk/](http://www.bsa.natcen.ac.uk/).
33 Where multiple households are found at an address, one is selected at random.
34 AAPORR response rate RR3 (AAPOR 2008).
will be biased due to differential nonresponse.\textsuperscript{35} As we shall see, however, in 2015 the BES and BSA were quite accurate in their estimates of the vote distribution and, given the transparency and robustness of their underlying sampling procedures, it is reasonable to use them as a lens through which to assess the quality of the poll samples which were obtained using quite different approaches. The reported vote distributions for the BES and BSA are shown in Figure 11, alongside the average vote intention estimates for the final polls and the election result. It is immediately apparent that the BES/BSA produced more accurate estimates of the Conservative lead over Labour than the polls, with the BES showing a 7 point lead and the BSA a 6 point lead for the Conservatives. Neither the BES nor the BSA are themselves completely accurate, with both surveys significantly under-estimating the UKIP share, the BES over-estimating the Conservative share, and the BSA over-estimating the Labour share.\textsuperscript{36}

Figure 11. Reported vote and vote intention: BES, BSA, polls

\begin{figure}
\centering
\includegraphics[width=\textwidth]{figure11.png}
\caption{Reported vote and vote intention: BES, BSA, polls}
\end{figure}

\begin{table}
\centering
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline
Party & BES & BSA & Polls & Result \\
\hline
Conservative & 37 & 38 & 39 & 39 \\
Labour & 31 & 32 & 33 & 33 \\
Liberal Democrat & 12 & 13 & 14 & 14 \\
UKIP & 10 & 11 & 12 & 12 \\
Green & 7 & 8 & 9 & 9 \\
SNP & 5 & 6 & 7 & 7 \\
PC & 4 & 5 & 6 & 6 \\
\hline
\end{tabular}
\caption{Vote shares from BES, BSA, and final polls}
\end{table}

Note: gold= BES; yellow (middle bar)=BSA; dark red=poll average; green horizontal = result

\textsuperscript{35} Although recent research has shown the correlation between response rate and nonresponse bias to be considerably weaker than has historically been assumed (Groves and Petcheyva 2008)

\textsuperscript{36} Taking account of the sample design and weighting, these are the only estimates that do not contain the corresponding true party share within the 95\% confidence interval.
On its own, this comparison is strongly suggestive that the polls underestimated the Conservative lead as a result of their sampling procedures. However, it is ultimately inconclusive because the BES/BSA differ from the polls in other respects, beyond their sample designs. Most importantly, the BES/BSA were undertaken after the election had taken place. This means that there was no uncertainty (at least by self-report) about whether the respondents had voted or not when they reported their vote choice, while the polls had to factor in whether a respondent would actually vote or not to their pre-election estimates. The reported votes of the BES/BSA respondents might also have been influenced by their knowledge of the election result, which could not have been the case for the pre-election polls. Previous research has shown a tendency for respondents to disproportionately recall having voted for the winning party – so called ‘bandwagoning’ (Nadeau, et al. 1993) and such effects might plausibly have contributed to the difference in the lead estimates between the surveys and the polls in 2015.

Another potentially consequential difference between the BES/BSA and the polls is the mode of interview, with the BES/BSA using face-to-face interviews and the polls using either telephone interviews or online self-completion. There is, however, no obvious reason to assume that face-to-face interviewing would, on its own, produce more accurate self-reports of vote choice than the other modes. Indeed, the survey methodological literature suggests that the face-to-face interviewing should be more prone to measurement error due to socially desirable responding than other modes (Tourangeau, et al. 2000). Nonetheless, these factors all render the headline comparison between the polls and BES/BSA ambiguous with regard to the underlying cause of the difference.

Fortunately, we can effectively rule out the two most important of these design differences by considering the reported vote distributions for the polls that undertook re-contact surveys. Because the re-contact surveys were carried out after the election, we can exclude timing relative to the election as a potential confound.37 Figure 8 (page 37) 

37 A caveat to this conclusion is that the fieldwork periods were much shorter for the re-contact polls than for BES/BSA so ‘bandwagoning’ may have been more prevalent in the latter than the former case, although Mellon and Prosser (2015) demonstrate that this possibility has little empirical support, for the BES at least.
36) shows that the poll estimates of the Conservative lead do not noticeably improve when the polls are undertaken after the election and respondents are reporting on their actual, rather than their intended vote. These comparisons, then, support the conclusion that the differences between the BES/BSA and the polls were due to differences in their sampling procedures, rather than to whether they were undertaken before or after the election.

In section 5 we noted that a key assumption in the methodology of opinion polls is that the vote intention distribution is (approximately) correct within weighting cells. It is informative, therefore, to assess the extent to which the polls differ from BES/BSA not only in the aggregate but also across the weighting cells used by the pollsters. Figure 5.5 presents estimates of the Conservative/ Labour difference by age-band for the polls and the BES/BSA (the BES/BSA estimates are combined due to small cell sizes within age bands for each survey on its own).

Figure 12 shows that the estimates of the Conservative lead by age in the polls is different to the BES/BSA estimates, particularly amongst those aged 45-64, where the polls substantially under-estimate the Conservative lead. Here, of course, we must assume that the BES/BSA distribution is correct within age-bands, although this does not seem unreasonable, given that both surveys got the population estimate of the Conservative lead approximately correct. Figure 13 plots the individual poll estimates and the poll average on the lead against the election result at the Government Office Region level. Again, we see that the poll estimates of the Conservative lead within regions are quite different to the election result. There is some indication in Figure 13 that the polls particularly under-estimated the Conservative lead in regions where the Conservative vote share was higher than the national average.

38 These estimates are weighted to account for differential attrition between the pre-election poll and the re-contact survey.
39 Regional results are taken from (Hawkins, et al. 2015).
Appendix 8 includes plots of the Conservative lead by sex (Figure A8.1) and reported 2010 vote (Figure A8.2). There is no apparent difference in the polling error between men and women but for 2010 vote, the pattern suggests that the polls were most inaccurate for those who voted for the two main parties in 2010. In sum, these analyses clearly demonstrate that the key assumption of representativeness of vote intention within weighting cells was strongly and consistently violated in the 2015 polls.
A third type of comparison is informative about the representativeness of the poll samples; an assessment of the accuracy of estimates for other variables that were measured in the polls and which might themselves be related to vote choice. Consider, for example, sector of employment; it is known that, broadly, public sector workers are more likely to vote Labour and private sector workers are more likely to vote Conservative (Dunleavy 1980). If polls that do not weight to population totals for employment sector were found to have over-estimated the proportion of voters who work in the public sector, then this would not only constitute evidence that the poll samples were unrepresentative (with regard to employment sector), it would also suggest a potential cause of the bias in the vote choice estimate. That is to say, by over-representing public sector workers in their samples, the polls would have over-estimated support for Labour and under-estimated support for the Conservatives.

This approach is particularly appealing because it indicates ways in which poll samples might be improved in the future, either through changes to sample recruitment procedures, or through improvements to quota and weighting targets (see for example Mellon and Prosser 2015; Rivers and Wells 2015). Unfortunately, the extent to which we are able to implement this strategy is constrained by the paucity of candidate variables in the poll samples for which gold standard estimates are also available. Variables which meet these twin criteria are, almost by definition, scarce. If they were available, the pollsters would likely already be using them in their sampling and weighting procedures. Nonetheless, some variables are available which enable us to consider the polls from this perspective, albeit in a more limited manner than we would ideally like.

Before presenting these analyses, an important caveat is in order. The logic of the ‘missing causal variable’ described above, is seductive. Once a diagnosis of unrepresentative samples has been made, it is natural that the cause of the unrepresentativeness should be sought and, ideally, corrected. However, the search for the ‘missing’ variable is likely in our view to prove elusive. This is partly for the reason noted above; if a variable possessing the required characteristics existed the pollsters would likely already be using it. Additionally, it is unlikely that bias in the vote intention estimate can be accounted for by a single direct cause. It is more plausible
that the underlying causal model linking survey participation to vote choice is a complex multi-variable system, comprising interacting direct and indirect effects. Another possible causal model is that bias in the vote intention estimate may not be fully explained by any other measured or feasibly measurable variables, the case of missing not at random (MNAR) in Rubin’s terminology (Rubin 1987). This latter case would arise if vote intention were itself the direct cause of whether a voter responds to a survey request or not. For example, nonresponse would be MNAR if Conservative voters were more likely to decline a survey request because Conservatives consider voting to be a private act that should not be shared with others.

To be clear, these are speculations, not hypotheses about what actually happened in 2015; the point of stating them here is to make clear that there are many potential causes of unrepresentativeness in the poll samples and our ability to identify the ‘correct’ model is very limited. The examples that we present next should therefore be seen as illustrations of evident sample bias in the polls, rather than as a complete account of what went wrong in 2015.

The first example of biased poll estimates relates to the continuous age distribution within banded age ranges. All pollsters weight their raw sample data to match the distribution of banded ages in the population census. Three of the BPC members (Opinium, TNS UK, and Yougov) also recorded continuous age, making it possible for us to assess the age distribution within age bands and compare this to the distribution from the census and the BES/BSA. Figure 14 shows this comparison for the oldest age band, those aged 65 years and older. This shows that the polls substantially over-represent people under the age of 70 and under-represent those aged 75 and older within this age band, while the BES and BSA do not. Indeed, the three polls we are able to consider here do not appear to contain a single respondent aged 90 or above.

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40 These are all online pollsters so it is not clear from this analysis is the same effect is apparent in phone samples.
This is direct evidence that poll samples can produce quite biased estimates of population characteristics. However, it also indicates the kinds of selection mechanism which might, in part, have led to the 2015 polling miss. If the Conservative lead over Labour was bigger amongst voters aged over 74 than those aged between 65 and 74 years, then under-representing the older age group would have biased the estimate of the Conservative lead toward zero. In fact, the 2015 BES shows that the Conservatives held a 21 point lead over Labour amongst those aged over 74 and a 22 point lead amongst those aged 64-74. So the under-representation of voters aged 75 and over in the poll samples seems unlikely to have made a notable contribution to the 2015 polling miss.

Our second example also relates to the age distribution in the polls but this time we consider the age of postal voters. Figure 15 shows the distribution of banded age for postal voters in the polls (that asked whether respondents had voted by post) and in the BES. If we take the BES as the gold-standard we can see that, although the polls get some of the banded age distribution broadly correct, there is a tendency to over-
represent the proportion in the 35-44 age group and to under-represent the proportion of postal voters aged 65 and above. The BES also shows that Labour had a 9 point lead over the Conservatives amongst those aged 35-44, while for those aged 65 and above, the Conservatives led Labour by 22 points.

**Figure 15. Banded age distribution for postal voters (polls v BES)**

These errors in the age distribution of postal voters in the poll samples are therefore likely to have contributed to the under-estimation of the Conservative lead over Labour. However, because only 20.9% of ballots cast in 2015 were by post, the total contribution of this error to the polling miss is likely to have been modest.

Our final example of biased estimates in the poll samples relates to over-estimation of political engagement, an issue which has also been demonstrated by Rivers and Wells (2015) and Mellon and Prosser (2015), albeit on YouGov data only. The only consistently measured indicator of political engagement available across all nine BPC pollsters is reported turnout in the 2010 General Election42. Figure 16 plots the self-

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42 Turnout is a rather indirect measure of political engagement, though it is strongly associated with political interest. In the 2015 BES 69% of those who reported voting said they were either very or fairly interested in politics, the corresponding figure for those who did not vote was 31%.
reported 2010 turnout by age band, for the 2015 polls, the BES. Barring one notable exception, the polls consistently over-estimate turnout in the 2010 election, although the bias is particularly high amongst those aged 18-24.

Figure 16. Self-reported 2010 turnout by age band (polls v BES)

We noted in section 3 that SurveyMonkey was the only pollster to publish a poll in the final week of the campaign with the correct estimate of the Conservative lead. SurveyMonkey also used a rather different procedure from the other online pollsters to recruit its sample (see section 5.1). It is therefore worth briefly considering whether the SurveyMonkey result may have been due its approach to sample recruitment yielding a more representative sample than the other pollsters.

The first thing to note in this respect is that, despite getting the correct estimate of the Conservative lead, the SurveyMonkey poll was substantially off on the individual party shares, under-estimating the Conservatives by 4%, Labour by 3% and over-estimating the Greens by 4%. Additionally, considering other variables collected as part of the same poll, we can see that the SurveyMonkey sample over-represented the better educated and the politically engaged in the same direction as the other polls. For

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For the polls, these estimates use all the components of the estimation weights, except for predicted turnout probability, in other words, these estimates include the party identification component of the weights.
example, just 10% of SurveyMonkey respondents reported being ‘not very’ or ‘not at all’ interested in politics compared to 41% in BES. Similarly, only 5% of SurveyMonkey respondents reported having no educational qualifications compared to 26% in BES. 44

The SurveyMonkey poll is also unusual in that it is the only one which makes no adjustment for turnout probability. While, as we have shown in section 6.7, turnout probabilities made little difference to the accuracy of the polls in 2015, it cannot be assumed that this would be the case in all elections. In sum, it is difficult to conclude that the SurveyMonkey poll was more accurate than the other polls due to its different approach to sample recruitment. The mean average error on its party share estimates was actually worse than several other pollsters and other indicators of sample representativeness indicate that it was highly skewed toward the better educated and more politically engaged.

6.9 Mode of interview

Given our primary conclusion that the polling miss was caused by unrepresentative samples, the issue of mode is pertinent because the ways in which samples are collected are rather different between online and phone polls (see section 5.1). It is possible that differences in sample recruitment procedures, or other differences in errors between modes, might have resulted in a systematic difference in the bias in estimates of the Conservative lead.

In survey and public opinion research, mode effects refer to “any influence on survey responses that is due to the mode of data collection” (Jans 2008 p.476). Several aspects of data collection may be consequential: the presence (or not) of an interviewer, the degree of interviewer contact with the respondent (in person or via telephone), and the channel of communication (aural vs visual). Very often these components are interrelated, for example in a telephone interview the channel of communication (aural) is interrelated with the presence (although not in person) of an interviewer. Mode effects are best studied with randomized experiments, where only one of these

44 The SurveyMonkey estimate here is post-stratified by the population totals for % with/without a degree so actually understates the skew toward the educated in the raw sample data.
components is varied and the others are held constant. Observational studies, such as we are using here, cannot satisfactorily differentiate measurement effects of mode from those caused by differences in coverage, sampling, and nonresponse, to name but the most important sources of polling error. In interpreting our comparisons between the results of telephone and online polls, then, it must be borne in mind that observed differences combine multiple sources of error.

Considering the final poll estimates (see Table 1 section 3), there is no difference in accuracy between online and telephone polls. The average estimate of the Conservative lead over Labour was -0.2% for phone and online polls and the mean average error in the vote shares of the seven largest parties was 1.6% for both modes. However, it is important to consider mode differences over a more extended period, not least because the expectation of a dead heat in the election and the effect this had in terms of framing debate had formed long before the final week of the short campaign.

Figure 17 shows the poll estimates of the Con-Lab margin between 2010 and 2015 by mode. The difference in estimates of the Conservative lead between phone and online polls is shown in Figure 18 (positive scores indicate a larger Conservative lead for phone compared to online). Immediately after the 2010 election, online polls showed somewhat higher support for the Conservatives, by a margin of around 1%. This reversed at the beginning of 2011 and, from then until 2014 phone polls tended to show higher support for the Conservatives, with differences varying between 1% and 2.5%.

This mode difference then disappeared for most of 2014, re-emerging in early 2015 and increasing as the election approached, including during the short campaign. Phone polls during the short campaign averaged a small Conservative lead, in the order of 2%, while online polls during the same period showed a Labour lead of slightly less than 1%. As already noted, this mode difference disappeared completely at the end of the short campaign.

These moving averages are estimated using a random-walk model, assuming correlated movements between online and phone polls, and weighting polls by their sample size.
Of course, the true distribution of vote choice is known only on Election Day, so it is difficult to interpret a mode difference weeks or months before an election as indicating that one mode is more *accurate* than another. That said, we cannot ignore the fact that the 2015 polls substantially under-estimated the Conservative lead over Labour, a pattern which has been evident for the past thirty years. In this context, it is not unreasonable to assume that the generally higher Conservative share in the phone polls indicates that they were somewhat more accurate during the campaign period but this is, to be clear, only an assumption.

That this difference disappeared at the end of the may be related to herding (see section 7) but it may also be because there is no strong reason to expect these kinds of mode differences to be stable over time. This is because mode effects arise from the interaction of the political environment with the various errors to which polling methods are prone. The magnitude and direction of these mode effects in the middle of the election cycle may be quite different to those that are evident in the final days of the campaign.
Figure 18. Mode Difference in Conservative lead, polls 2010-2015
7. Herding

It was not simply that the polls got the outcome of the election wrong but the unanimity with which they came to the conclusion of a dead heat that led to the collective shock when the result was known. In this section we consider whether the convergence in the estimates of the lead in the final weeks of the campaign might have been due to ‘herding’. The American Association for Public Opinion Research (AAPOR) defines herding in the following way:

“Herding” specifically refers to the possibility that pollsters use existing poll results to help adjust the presentation of their own poll results. “Herding” strategies can range from making statistical adjustments to ensure that the released results appear similar to existing polls to deciding whether or not to release the poll depending on how the results compare to existing polls.”

The AAPOR definition implies a conscious taking of cues from other pollsters. However, pollsters may take these cues unconsciously, or may engage in behaviours that reduce polling variance without taking any cues from other pollsters at all. It is important to be clear, then, that while we will retain the word “herding” for consistency with existing use, this does not imply that we believe that pollsters deliberately made choices that pushed their results towards consensus, though we cannot rule this possibility out either.

There are several ways in which pollsters could make choices that have the effect of reducing the variation in their results. These include, but are not limited to:

1) adapting post-stratification and turnout weighting procedures in order to make estimates conform with expectations of the likely result
2) expanding the sample size for a poll when the initial results do not agree with expectations of the likely result

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47 For example, by suppressing polls that are out of line with their own past polling, regardless of what other pollsters are reporting
3) suppressing (not publicly reporting) polls that do not agree with expectations of the likely result

All of these are related to pollsters’ expectations, and so we note that there are three distinct expectations towards which pollsters might plausibly converge at the end of the campaign period. First, they might converge towards their own past polling results, based on recognition that some of the change from poll to poll is likely to be sampling variability. Second, the pollsters might converge towards the collective average of recently published polls. Herding towards the average of recent polls would be appealing for a pollster wishing to accurately match the election results, or at least be no more wrong than the other pollsters. Just as it is reasonable for poll aggregators to pool the information across all public polls, a pollster forming expectations about the result would naturally look to what other pollsters are reporting. Third, the pollsters might converge towards a tied election between the main parties; this would reduce the risk of a “wrong prediction” of the leading party.

The first two types of herding, towards the polling average, cannot alone explain the systematic bias of the polls in 2015. If polls were unbiased, they would have herded towards unbiased results. If the polls were biased for other reasons, a finding of herding towards the polling average would help explain why all pollsters ended up on the same wrong answer. In contrast, herding towards neutrality on the Conservative-Labour margin could alone explain both the systematic miss of the pollsters and the similarity of the reported margins.

Analyses of polls in the US have shown evidence of herding (Clinton and Rogers 2013; Linzer 2012; Silver 2014). In the UK Singh (2015b) found no evidence of herding in the 2015 election. However, he used the theoretical variance of a simple random sample (SRS) of a fixed size as the baseline expectation for variability. We do not rely on this assumption because the true sampling variability of the procedures that pollsters actually use – which is not observed - is likely to be different from SRS. While weighting generally increases the variance of estimates, stratification has the opposite effect, if the stratification variables are correlated with vote intention. This is likely to be the case for
the polls because they use past vote or party identification for post-stratification, which could substantially reduce the sampling variability of the methods employed.

Most pollsters increased their sample sizes in their final polls, so, under almost any sampling design, we would expect the sampling variance to decline. The question we must ask is whether the variation in the vote shares declined by more than we would expect, given the larger sample sizes. We will assume for the purposes of the following analysis that the rate at which variation decreases as sample size increases is proportionate to what would be observed under SRS, but not that the baseline level of sampling variability is equal to SRS. A description of the statistical procedures used and the assumptions on which they are based is provided in Appendix 6.

In the final week before the election, there is a strong downward trend in the observed variance of the Conservative-Labour margin, relative to what we would expect given the sample sizes of the polls. While the downward trend is visually suggestive, we cannot reject the null hypothesis of zero change. However, a lack of statistical significance in the time trend does not mean there was no time trend, in fact it is probably more indicative of the small number of observations on which the test is based. The decline in the estimated variance across pollsters is substantial in absolute magnitude, dropping by half between the early campaign and the day before the election.

\[ \text{Using an F-test of the spline regression (p=0.27)} \]

\[48\] For individual parties (not shown), the trends are more varied and there is no clear evidence of downward trends in the final period of the campaign.

\[49\] using an F-test of the spline regression (p=0.27)
If herding arose through pollsters making methodological changes over time, a precondition for herding to have taken place is that methodological changes were actually implemented. And, indeed, five of the BPC pollsters changed their methods during the short campaign. Did these particular methodological changes lead to convergence in the estimates on the lead? To assess this, we take the raw data for the final campaign polls for each pollster, and re-estimate the Conservative-Labour margin using the procedures employed in the first campaign polls. We compare the variation in the published final polls to the variation that would have been observed if the methodology had remained constant throughout the period. The results of this analysis are presented in Figure 20. This shows that the weighting procedures used by the pollsters in their final polls did tend to shift the reported Conservative-Labour margin in the correct direction, that is toward a larger lead for the Conservatives. The modified procedures also reduced the variation in the estimates, though not by very much. On the variance scale used in the analysis of the pollster variability, using the early campaign weightings increases the scaled deviations by less than 10% in the final polls, versus the doubling that would be necessary to bring the variance up to the level of the early campaign polls. Therefore, these specific changes in adjustment procedures used by the pollsters account for only a small fraction of the decrease in variability in the poll estimates over the course of the campaign.
Figure 20. Conservative lead in final polls, alternative weighting procedures

Note: green = election result; original weighting = weighting used by pollsters in final poll; early campaign weighting = weighting used by pollsters in first campaign poll.

The second way we assess the effect of adjustment procedures is to produce estimates of the lead using a common adjustment methodology for all pollsters. If herding happens as a result of small and idiosyncratic changes to adjustment procedures as pollsters attempt to produce their ‘best’ estimate of vote intention, we should expect a standardized adjustment procedure to pull the estimates apart, increasing the variation across pollsters.

Figure 21 plots estimates of the Conservative lead using the pollsters’ own final adjustment procedures and the harmonised adjustment procedures. The harmonised procedure weights the poll estimates to population totals for sex, age, region, and reported vote in the 2010 General Election. The harmonised methodology produces a marked increase in the variability of the poll estimates, which now vary from a +4% lead for Labour to +2% lead for the Conservatives. However, this analysis assesses adjustment procedures that were already used before the start of the short campaign, and so other factors must explain the convergence in the variance during the short campaign. One might counter that the idiosyncratic weighting procedures employed by different pollsters were chosen because they were particularly well suited to their individual sample designs and are, therefore, reducing the true variance of the estimates.
We found no evidence of convergence with respect to the individual party shares and this might be viewed as evidence against herding. However, it is possible for the pollsters to herd on the lead, but not on the levels of the party shares. Consider one hypothetical mechanism by which herding might have occurred. If pollsters were adjusting their weighting schemes they would have known that most other pollsters were reporting Conservative-Labour leads of close to zero. If they observed that some weighting adjustments produced large leads in either direction, they may have been less inclined to choose those weightings, compared to others which did not have such a large effect on the lead. However, if a pollster considered a weighting change that yielded a 35-35 poll, rather than a 33-33, it would make no difference to the lead. The Conservative-Labour lead is the headline figure when pre-election polls are presented, the absolute levels (despite their consequence for comparison with all other parties) receive far less attention. This is particularly true because the level of the vote for minor parties is difficult to predict and inconsequential for government formation. Thus, herding could occur with respect to the lead without occurring with respect to those or other parties' levels.

Figure 21. Conservative lead: actual v harmonised adjustment procedures

Note: green = election result; original weighting = weighting used by pollsters in final poll; harmonised weighting = weighting using harmonised weight.
In summary, we have shown that a) variation in the poll estimates of the Conservative lead was considerably lower than would be expected given liberal assumptions about the true variance b) pollsters changed their adjustment procedures during the short campaign c) the changed procedures resulted in a small reduction in the variation of the Conservative lead and d) using a harmonised adjustment procedure for the final estimates across all pollsters results in a substantial increase in the variation of the Conservative lead. Taken together, this evidence leads us to conclude that herding may have contributed to the surprisingly high consensus between pollsters in the final polls of the 2015 general election.
8. Conclusions and recommendations

In the months and weeks leading up to the 2015 general election the polls told a consistent story; the Conservatives and Labour were in a dead heat in the popular vote. This led media commentators, party strategists, and the public to focus attention on the likely composition of a coalition, rather than on a single-party government led by the Conservatives who, of course, ultimately won the election with a 6.6% lead over Labour and an absolute majority in the House of Commons. The expectation of a hung parliament in the final days and weeks of the campaign was so strong and widely held that the sense of shock and disbelief was palpable when the result of the exit poll was announced at 10pm on May 7th.

Questions were soon being asked about the role of the polls in creating a false collective expectation about the likely outcome of the election and media sponsors publicly questioned the quality and value of the polling they had commissioned. At least one national newspaper stated that it would afford less prominence to opinion polling in its political coverage in the future. Politicians and peers suggested that the polling inaccuracies had affected the outcome of the election, speculating that Labour might have done better had the polls been accurate. A private members’ bill was introduced in the House of Lords on 28th May, proposing state regulation of the polling industry. Concern was also expressed by social and market research industry professionals. As the most direct way the public encounters survey and opinion research, it was feared that the failure of the polls might have negative consequences for public confidence in social and market research and official statistics more generally.

In this report to the British Polling Council and the Market Research Society we have considered a broad range of evidence in order to assess what caused the polls – collectively and individually – to produce inaccurate estimates of the national vote shares in 2015. Our conclusion is that the primary cause of the polling miss was unrepresentative samples. The methods the pollsters used to collect samples of voters systematically over-represented Labour supporters and under-represented

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50 Regulation of Political Opinion Polling Bill [HL] 2015-16.
Conservative supporters. The statistical adjustment procedures applied to the raw data did not mitigate this basic problem to any notable degree.

We came to this conclusion partly by elimination of other putative causes of the error. First, we were able to replicate all published estimates for the first, the penultimate, and the final polls using the raw micro-data provided by the BPC pollsters. This enabled us to rule out the possibility that at least some of the errors might have been caused by flawed analysis, or by use of inaccurate weighting targets on the part of the pollsters. We were also able to exclude the possibility that postal voters, overseas voters, and unregistered voters made any detectable contribution to the polling errors. The ways that pollsters asked respondents about their voting intentions was also eliminated as a possible cause of what went wrong.

We found weak evidence that there may have been a very modest late swing to the Conservatives between the final polls and Election Day, although this can have contributed – at most – around one percentage point to the mean absolute error on the Conservative lead. The widely held view that the polling miss was due to deliberate misreporting - ‘shy Tories’ telling pollsters they intended to vote for other parties - is difficult to reconcile with the results of the re-contact surveys carried out by the pollsters and with the two random surveys undertaken after the election. We reject deliberate misreporting as a contributory factor in the polling miss. Differential turnout was also pointed to after the election as a likely cause of the errors; so-called ‘lazy Labour’ supporters telling pollsters they would vote Labour but ultimately not turning out to vote. Data from a number of sources shows no support for differential turnout misreporting making anything but a very small contribution to the polling errors.

That these factors did not contribute, in anything other than a trivial way, to the polling miss in 2015 does not mean that they might not cause more substantial problems in the future. Current practice can be improved in several respects. We recommend that BPC members should:
1. include questions during the short campaign to determine whether respondents have already voted by post. Where respondents have already voted by post they should not be asked the likelihood to vote question.

2. review existing methods for determining turnout probabilities. Too much reliance is currently placed on self-report questions which require respondents to rate how likely they are to vote, with no clear rationale for allocating a turnout probability to the answer choices.

3. review current allocation methods for respondents who say they don’t know, or refuse to disclose which party they intend to vote for. Existing procedures are ad hoc and lack a coherent theoretical rationale. Model-based imputation procedures merit consideration as an alternative to current approaches.

If all the potential causes considered above are ruled out, we are left to conclude that unrepresentativeness in the samples must have been the cause of the polling miss in 2015. On its own, a strategy which reaches a conclusion through elimination of alternative explanations is not very satisfactory, particularly when the evidence on which the preliminary eliminations are based is imperfect, as is the case here. Had we been drawn, by a process of elimination, to conclude that the polling miss was due to a prima facie implausible explanatory factor - such as overseas voters - then we would question the validity of the process that led us to this inference. But this is not the case here; we have identified sampling and weighting procedures as representing inherent weaknesses of polling methodology (see section 5). It is also worth noting, in this context, that the report into the 1992 UK election polls and the AAPOR report into the 2008 US Presidential primary polls both concluded that unrepresentative samples were contributory factors in those errors, so there is also a historical precedent for this conclusion.51

What can be done to improve the representativeness of poll samples in the future? The answer to this question depends on whether the pollsters continue to employ quota methods, or switch to random sampling. Due to the high cost of random sampling, we expect the vast majority of opinion polls to continue using non-random sampling methods.

51 The 1992 report does not refer directly to unrepresentative samples but to inaccurate quota controls, the basic conclusion however is the same.
methods for the foreseeable future. However, continuing with non-random sampling means there are only two broad strategies that can be pursued to improve sample representativeness. Pollsters can take measures to increase the representativeness of respondents recruited to existing quota and weighting cells, or they can incorporate new variables into their quota and weighting schemes which are more related to both the probability of selecting into poll samples and vote intention. These are not mutually exclusive strategies, both can be pursued. We therefore recommend that:

4. Pollsters should take measures to obtain more representative samples within the weighting cells they employ.

How this is done will depend, to an extent, on the mode of the poll. For phone polls this is likely to involve (but will not be limited to) using longer fieldwork periods, more call-backs to initially non-responding numbers (both non-contacts and refusals), and ensuring a better mix of landline and mobile phone numbers. We understand that, taken to their logical extreme, these procedures would be practically equivalent to implementing a random probability design and would therefore be expensive and time-consuming. While, as we will note shortly, we would very much welcome the implementation of truly random sample designs, we acknowledge that the cost restrictions of true random methods make them impractical for the vast majority of phone polls. Nevertheless, it would seem that there are gains to be made in quality without making the resultant design un-affordably expensive and lengthy. It may be that implementing procedures of this nature results in fewer polls being carried out than was the case in the last parliament, as the cost of undertaking each one would no doubt increase. This would, in our view be no bad thing, so long as the cost savings that accrue from doing fewer polls are invested in quality improvements.

For online polls the procedures required to yield more representative samples within weighting cells are also likely to involve longer field periods, more reminders, as well as differential incentives for under-represented groups, and changes to the framing of survey requests. We encourage online pollsters to experiment with these and other

52 Better in the sense of reflecting the population distribution of landline, mobile and dual-phone use.
methods in order to increase the diversity of their respondent pools. It is worth noting in this context, that the only online pollster to get the correct mix of Conservative and Labour supporters in their sample (albeit at the wrong absolute levels) was SurveyMonkey. The procedure employed by SurveyMonkey to recruit respondents is rather different to other online pollsters and *might* be capable of reaching a larger and more diverse pool of potential respondents but this is no more than speculation at this point in time. The performance of SurveyMonkey must be considered in the context of its higher mean absolute error on the party vote shares compared to the average of the other pollsters.

The second strategy pollsters can pursue to improve sample representativeness is to modify the variables used to create quota and weighting cells. We therefore recommend that:

5. Pollsters should investigate new quota and weighting variables which are correlated with propensity to be observed in the poll sample and vote intention.

In our judgement the first of these strategies (recommendation 4) is likely to prove more fruitful than the second (recommendation 5). This is because it is likely that, if variables that are correlated with self-selecting into opinion polls and vote intention were readily available, pollsters would already be using them. In making recommendation 5, we add a note of caution regarding the use of variables which do not have well defined and reliably known population totals. This is because the use of such variables might do more harm than good to the accuracy of estimates and may also serve as a facilitator of herding behaviour.

It is worth noting at this point that, while there was no difference in the error of the final poll estimates between modes of interview, there was a small but systematic tendency for the phone polls to yield somewhat higher estimates of the Conservative vote share throughout most (but not all) of the 2010-2015 parliament, including for much of the short campaign. It is not possible to say what the cause of this difference was from the data that was available to us. However, it would not be unreasonable to think that it might be related to the different approaches to sampling of phone and
online polls. It is likely that the propensity to be contacted and to agree to an interview request by a phone pollster is somewhat different to that for selecting into an online panel and that these differential propensities might be correlated with vote intention. We encourage the polling industry to undertake further research into the underlying cause(s) of these variable mode differences.

While we wish to be clear that we are not recommending that pollsters should switch en masse to random sampling, this is only because we recognise the cost of doing so would be prohibitive. Given recent developments in random online survey capabilities, we regard the timeliness argument against random sampling to be no longer as relevant as it was in the past. If a way can be found, either individually or collectively, for poll sponsors to fund a random probability design during the short campaign, this would unquestionably be a positive development. There is, of course, no guarantee that a random probability survey would get the vote intention estimate correct. But it would reduce the risk of being wrong and, moreover, would represent a very useful means for non-random polls to benchmark their estimates, not only in terms of the headline vote intention but also to a range of other measured variables, some of which might be used in setting quota and weighting targets. We recognise that it is unlikely that a private funder or funders will underwrite the costs of a random probability design for a pre-election opinion poll. Given the high public, commercial, and political interest in the pre-election poll estimates, we therefore recommend that:

6. The Economic and Social Research Council (ESRC) should fund a pre as well as a post-election random probability survey as part of the British Election Study in the 2020 election campaign.

An exciting possibility for the implementation of a pre-election random probability sample would be for it to be administered as part of a randomly recruited online panel. These are now common in many comparator nations but the UK is yet to implement one.

53 It is now feasible for samples to be collected using random probability methods and for respondents to complete questionnaires online using smartphones and tablets as a panel (see Callegaro, et al. 2014). Surveys using this kind of approach could produce estimates of vote intention during an election campaign in not much more time than is currently required for a telephone poll.
We have noted already that the BPC members were transparent and cooperative throughout this inquiry. Nonetheless, the BPC rules of disclosure would benefit from additional clarity and detail, particularly with regard to weighting and other adjustment procedures. We recommend that BPC rules be strengthened to require that BPC members:

7. State explicitly which variables were used to weight the data, including the population totals weighted to and the source of the population totals.

8. Clearly indicate where changes have been made to the statistical adjustment procedures applied to the raw data since the previous published poll. This should include any changes to sample weighting, turnout weighting, and the treatment of Don’t Knows and Refusals.

9. Commit, as a condition of membership, to releasing anonymised poll micro-data at the request of the BPC management committee to the Disclosure Sub Committee and any external agents that it appoints.

Computer tables of estimates and the adjustment procedures that were used to produce them should be recorded in a prominent, stable and readily accessible location on the internet. This is important to ensure transparency over the full election cycle, as well as during the short campaign. We note that some of the computer tables for polls conducted between 2010 and 2015 are now no longer available at the URL where they were originally posted.

Several commentators noted the surprising degree of consensus in the final poll estimates, with all nine BPC members showing a difference of zero or only one percentage point between the Conservatives and Labour. We investigated whether there this was due to ‘herding’, which is where pollsters make design and reporting decisions that cause published estimates to vary less than expected, given their sample sizes. Our analyses showed that the decrease in the variance on the estimate of the Conservative lead in the final week of the campaign is consistent with herding. Our interpretation is that this convergence was unlikely to have been a result of deliberate collusion, or other forms of malpractice by the pollsters. In fact, it is likely to have emerged as a result of pollsters individually trying their best to produce the most
accurate estimate through implementation of (idiosyncratic) adjustment procedures. Ultimately, however, we are not able to determine the exact cause of the convergence in estimates during the final days of the campaign. Convergence in poll estimates can occur for reasons entirely unconnected with the behaviour of the pollsters. To make it easier to rule out herding as a cause of convergence at future elections, we recommend that BPC rules should require members to:

10. pre-register vote intention polls with the BPC prior to the commencement of fieldwork. This should include basic information about the survey design such as mode of interview, intended sample size, quota and weighting targets, and fieldwork dates.

Pre-registration of vote intention polls does not mean herding cannot happen in the future. However, it would provide a record that could subsequently demonstrate that poll suppression, applying stopping rules on sample size, and arbitrary changes to adjustment procedures had not occurred.

The inquiry was also tasked with assessing whether uncertainty in poll estimates is adequately communicated to stakeholders and the public. Our conclusion is that it is not. Media commentators generally use a rule of thumb that point estimates of party shares have a margin of error of + or - three percentage points. This is (or appears to be) based on the calculation of a 95% confidence interval for a simple random sample of 1000 respondents for a party with 50% of the vote. But polls are not simple random samples, parties are typically far from 50% of the vote, and when the full sample is 1000 the number of respondents used for estimating party shares is much less than this number. For these reasons, the true margin of error will usually be different from + or – 3 per cent, sometimes larger and sometimes smaller, depending on the specifics of the research design. Pollsters should therefore aid the interpretation of their findings by providing media clients with confidence intervals that better reflect the research design than is currently the case.

The confidence intervals and information about how they were calculated should be published with the computer tables required by the BPC rules. BPC members should
also provide a confidence interval for the difference between the top two party vote shares, and for the difference between any other parties where there is public interest in the direction and size of the difference. In summary, we recommend that:

11. BPC members should provide confidence (or credible) intervals for each separately listed party in their headline share of the vote.
12. BPC members should provide statistical significance tests for changes in vote share for all listed parties compared to their last published poll.

Recommendation 12. follows from recommendation 11. but specifically addresses what can and cannot be said about change over time in party support. Commentators are prone to over-interpreting small changes in party shares between opinion polls, sometimes giving the public the impression that party fortunes are shifting when the evidence does not support the inference. A requirement to test for change since the last published poll does not preclude discussion and analysis of whether changes from a larger set of polls, taken together, might constitute evidence of change. Responsible media commentators would be much less inclined, however, to report a change in party support on the basis of one poll which shows no evidence of statistically significant change.

We do not wish to be prescriptive in how confidence intervals and statistical tests should be calculated. There is no straightforward 'off the shelf' procedure to recommend and debate is on-going in the statistical literature about how this should be done in the context of non-random samples. Our recommendation is intended to result in clearer communication of the uncertainty around poll estimates that better reflects the underlying research design, as well as greater transparency in how the estimates of uncertainty are produced.

Despite its limitations, polling remains the most accurate means of estimating vote shares in elections and this is likely to remain the case for the foreseeable future. While polls rarely get the party shares exactly right and are sometimes off by quite substantial
margins, they are considerably more accurate than any of the existing alternatives. Yet, it must be better acknowledged that accurately predicting vote shares in an election is a very challenging task. A representative sample of the general population must be obtained and accurate reports of party choice elicited from respondents. An approximately accurate method of determining how likely respondents are to cast a vote must be implemented and the sample of voters must not change their minds between taking part in the poll and casting their ballots. What is more, the entire procedure must usually be carried out and reported on within a very short space of time and at very low cost. Given these many potential pitfalls, it should not be terribly surprising that the historical record shows polling errors of the approximate magnitude of 2015 occur at not infrequent intervals.

Some of the recommendations we have set out in this report are likely, if implemented, to result in a lower volume of polls being carried out in the future. We have already remarked that it would be a positive development if a reduction in the quantity of polls were mirrored by a corresponding improvement in quality. In survey and opinion research, as in many areas of life, cost and quality are related, if not quite in lock-step. Yet spending more on opinion polls will be no guarantee of accuracy. Indeed, a desirable legacy for this report is that it might effect a more realistic appraisal amongst stakeholders of the limits of sample based research to predict the future behaviour of complex, dynamic, and reflexive populations.

It is worth reflecting, in this context, on the fact that the 2015 British Election Study face-to-face survey was carried out over a period of several months after the election, used the highest quality methods at all stages and came with a price tag that reflected this. Yet it still managed to over-estimate the Conservative and under-estimate the UKIP vote shares by statistically significant margins. This should tell us that there will be no silver bullet for the problem of polling errors.

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54 Some might contend that betting markets can be more accurate than the polls but this was not the case in 2015. It is also something of a circular argument, as the primary drivers of the odds on the party shares are the results of the opinion polls.
This is not a council of despair, however. There are improvements that can and should be made to how polling is currently practised in the UK and the recommendations in this report point to ways in which this can be done. Some of the changes we recommend are tweaks and modifications to existing procedures, others are more ambitious and look to harness emerging technologies to undertake polls in new and innovative ways. Alongside these methodological changes must come greater transparency about how polls are conducted and clearer communication of the likely levels of uncertainty in their estimates.
9. References

Ford, R., Will Jennings, Mark Pickup and Christopher Wlezien 2016 'From Polls to Votes to Seats: Forecasting the 2015 British general election', Electoral Studies.
Hawkins, O., Keen, R. and Nakatudde, N. 2015 'General Election 2015'.


Mellon, J. 2016 'Party Attachment in Great Britain: Five Decades of Dealignment.': Available at SSRN.


— 2015b 'Is there evidence of pollsters herding?' http://www.ncpolitics.uk/2015/06/is-there-evidence-of-pollsters-herding.html/
10. Appendices
Appendix 1: Methodological details of Polls Considered by the Inquiry

<table>
<thead>
<tr>
<th>Pollster</th>
<th>Poll + Date Published</th>
<th>Fieldwork dates</th>
<th>Sample size</th>
<th>Sampling method</th>
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<td>Populus</td>
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<td>31st March-1st April</td>
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<td>5th-7th May</td>
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</tr>
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<td>Re-contact survey</td>
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<tr>
<td>Opinium</td>
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<td>Re-contact survey</td>
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<td>1st campaign poll</td>
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<td>Pen-ultimate campaign poll (Mail on Sunday)</td>
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<td>lifestyle data records from commercial data broker</td>
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<td>1042</td>
<td>dual frame telephone sample</td>
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<td></td>
<td>2nd campaign poll</td>
<td>17th-19th April</td>
<td>1003</td>
<td></td>
</tr>
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<td>3rd campaign poll</td>
<td>24-26th April</td>
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<td></td>
</tr>
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<td></td>
<td>Final campaign poll</td>
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<td>2023</td>
<td></td>
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<td>1st Campaign Poll</td>
<td>29th – 30th March</td>
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<td>Final call poll</td>
<td>4th – 6th May</td>
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<td></td>
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<tr>
<td>Panelbase</td>
<td>1st campaign poll</td>
<td>31st March – 2nd April</td>
<td>1006</td>
<td>Opt-in web panel</td>
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<td></td>
<td>2nd campaign poll</td>
<td>29 April - 30 April 2015</td>
<td>1020</td>
<td>Opt-in web panel</td>
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<td></td>
<td>3rd Campaign poll</td>
<td>Scotland = 01 May - 06 May, England &amp; Wales = 04 May - 06 May</td>
<td>3,019 (Scotland = 1,013, England &amp; Wales = 2,006)</td>
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<tr>
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<td>dual frame 6% mobile phones, 94% landlines.</td>
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<td></td>
<td>Penultimate campaign poll</td>
<td>3rd – 5th May</td>
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<td>dual frame, 17% mobile phones, 83% landlines.</td>
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<td>Final campaign poll</td>
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<td>dual frame 19% mobile phones, 81% landlines.</td>
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<td>Penultimate campaign poll</td>
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<td>Final campaign poll</td>
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<td>Ipsos-MORI</td>
<td>1st campaign poll, 16th April</td>
<td>12th-15th April</td>
<td>1,000</td>
<td>RDD (Plus 1) sampling for landline and mobile</td>
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<td>26th-29th April</td>
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<td>1,186</td>
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Appendix 2: Polls in Scotland undertaken in the final week of the campaign

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<th>Fieldwork</th>
<th>Sample</th>
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<th>Con</th>
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<th>Lib</th>
<th>Ukip</th>
<th>Green</th>
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<td>YouGov</td>
<td>4–6 May 2015</td>
<td>1351</td>
<td>48</td>
<td>14</td>
<td>28</td>
<td>7</td>
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<td>46</td>
<td>16</td>
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<td>7</td>
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<td>3</td>
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<td>Panelbase</td>
<td>1–6 May 2015</td>
<td>1013</td>
<td>48</td>
<td>14</td>
<td>26</td>
<td>5</td>
<td>3</td>
<td>2</td>
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<tr>
<td>Result</td>
<td></td>
<td></td>
<td>50</td>
<td>14.9</td>
<td>24.3</td>
<td>7.5</td>
<td>1.6</td>
<td>1.3</td>
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<td>Average MAE (=1.4)</td>
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<td>2.7</td>
<td>1.0</td>
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## Appendix 3: Final polls, 1945-2010

### 2010

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<tr>
<td>TNS-BMRB</td>
<td>29 Apr–4 May</td>
<td>1864</td>
<td>33</td>
<td>27</td>
<td>29</td>
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<tr>
<td>BPIX Mail on Sunday</td>
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<td>2136</td>
<td>34</td>
<td>27</td>
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<tr>
<td>ICM The Guardian</td>
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<td>1527</td>
<td>36</td>
<td>28</td>
<td>26</td>
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<tr>
<td>Harris Daily Mail</td>
<td>4-5 May</td>
<td>4014</td>
<td>35</td>
<td>29</td>
<td>27</td>
</tr>
<tr>
<td>Angus Reid Political Betting</td>
<td>4-5 May</td>
<td>2283</td>
<td>36</td>
<td>24</td>
<td>29</td>
</tr>
<tr>
<td>ComRes The Independent/ITV News</td>
<td>4-5 May</td>
<td>1025</td>
<td>37</td>
<td>28</td>
<td>28</td>
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<td>Opinium Daily Express</td>
<td>4-5 May</td>
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<td>35</td>
<td>27</td>
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<tr>
<td>Populus The Times</td>
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<td>2505</td>
<td>37</td>
<td>28</td>
<td>27</td>
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<td>YouGov The Sun</td>
<td>4-5 May</td>
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<td>35</td>
<td>28</td>
<td>28</td>
</tr>
<tr>
<td>Ipsos MORI The Standard</td>
<td>5 May</td>
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<td>29</td>
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### 2005

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<th>Lib</th>
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<td>TNS-BMRB</td>
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<td>BPIX Mail on Sunday</td>
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<td>ICM The Guardian</td>
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<td>YouGov The Sun</td>
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<td>Ipsos MORI The Standard</td>
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<td>Sample</td>
<td>Con</td>
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<td>The Times</td>
<td>29 Apr-2 May</td>
<td>1420</td>
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<td>Independent</td>
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<td>The Times</td>
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<td>3-4 May</td>
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<td>Harris Interactive</td>
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<td>33</td>
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### 2001

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<td>May 31–June 1</td>
<td>1105</td>
<td>30</td>
<td>47</td>
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<tr>
<td>Rasmussen</td>
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<td>-</td>
<td>February 5–11</td>
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### 1945

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<th></th>
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<th>Sample</th>
<th>Con</th>
<th>Lab</th>
<th>Lib</th>
<th>Other</th>
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<tr>
<td>Gallup</td>
<td>News Chronicle</td>
<td>June 24-27</td>
<td>n/a</td>
<td>41</td>
<td>47</td>
<td>10.5</td>
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Appendix 4: Methodology of sampling and estimation in election polls

Here we present a description of the polling methodology and its assumptions in relatively formal and concise terms. This serves as a basis for parts of the discussion in the main text of the report.

Denote different types of variables as follows:

- X: Variables which are used to calculate sample weights through post-stratification
- V (Vote): Answer to a pre-election question on which party individual intends to vote for in the election
- T (Turnout): Whether individual votes in the election, with values T=1 for Yes and T=0 for No
- L: Other variables which are used to predict the probability of turnout
- S (Sample indicator): Whether an individual in the population is included in the sample, with values S=1 for Yes and S=0 for No

Here X and/or L may be vectors of several variables. In a typical poll, X includes age, sex and other characteristics of individuals, and measures of their party identification or past voting, while L is the answer to a single question on likelihood of voting.

The variables are defined for individuals in a population. This population is implicitly defined by the weighting targets that are used for X. The population should include all individuals (in Great Britain, in the case of the polls considered here) who are eligible to vote in the election; in practice, however, this requirement will be violated to some extent, for example for overseas voters.

Let $p(.)$ and $p(.|.)$ denote marginal and conditional distributions of variables.

A pre-election poll records values of $(X, L, V)$ for a sample of respondents, typically selected through quota sampling with quota targets defined by a subset of $X$. Here $V$ is taken to be the recorded value of vote intention after “Don't know” answers or refusals have been imputed to specific parties, if such imputation was done. From these data, the distribution $p(X,L,V|S=1)$ in the sample can be
directly estimated. Turnout $T$ is not known at the time of the poll (with the exception of known postal voters).

The goal of a poll is to estimate $p(V|T=1)$, i.e. the distribution of answers to the question on intended vote among those members of the population who will eventually turn out to vote. For meaningful interpretation of the results, it is then further assumed that this is also a good estimate of the distribution of actual votes cast by voters in the election. This assumption could be violated by late swing and/or deliberate misreporting.

This target distribution can be expressed as

$$p(V|T = 1) \propto \sum_{L,X} p(V|L,X)p(T = 1|V, L, X) p(L|X)p(X). \quad (A4.1)$$

For this to be estimable from a poll sample, the following assumptions need to be satisfied:

- [Representative sampling]: $p(V, L|X, S = 1) = p(V, L|X)$, i.e. the joint distribution of $V$ and $L$ is the same in the sample as in the population, conditional on each level of the weighting variables $X$. This also implies that $p(V|L,X,S = 1)p(L|X,S = 1) = p(V|L,X)p(L|X)$.

- [Accurate turnout probability modelling]: $p(T = 1|V, L, X)$ is known, i.e. it is known how the probability of turnout depends on $(V,L,X)$ in the population. In practice this means that a sufficiently accurate estimate of this relationship needs to be available. This estimate cannot come from the poll sample itself, because values of $T$ are not known for all respondents at the time of the poll.

Let $i = 1, \ldots, n$ index the respondents in the poll. In the first stage of the estimation we use a set of target distributions (derived from the population census or other sources) for at least the univariate marginal distributions in the population for each variable in $X$ (and possibly joint distributions of some subsets of them, such as age by sex). Let $w_i^*$ denote poststratification weights calculated in such a way that the weighted distributions of $X$ in the sample match.
these target distributions. Further, it needs to be assumed that the sample is such that the weighted joint distribution of all of X then also matches the population distribution, i.e. that \( p(X|S = 1) = p(X) \). Next, let \( p_{Ti} \) denote values of \( p(T_i = 1|V_i, L_i, X_i) \) calculated for each respondent from the assumed model for the turnout probabilities, given their observed values of \((V,L,X)\), and define \( w_i = p_{Ti} w^*_i \). Let \( I(V_i = v) \) be an indicator variable for a particular party \( v \) which is 1 if \( V_i = v \) and 0 otherwise. An estimate of \( p(V = v|T = 1) \) calculated from the poll sample is the weighted proportion

\[
\hat{\pi}_v = \frac{\sum_{i=1}^n I(V_i = v)w_i}{\sum_{i=1}^n w_i}.
\]

The estimates used for all the polls are of this form. Under the assumptions stated above, the \( \hat{\pi}_v \) give a good estimate of the distribution \( p(V|T = 1) \) in the population.\(^{55}\)

Finally, two special cases of this methodology are worth noting:

- In most of the polls in 2015, L was the answer to a question on likelihood of voting (LTV), and most turnout models that were used assumed that \( p(T = 1|V, L, X) = p(T = 1|L) \). This requires that (i) sample should be representative (given the weighting variables) in likelihood of voting (L) and not just intended vote (V); and (ii) conditional on LTV, the probability of turnout should not depend on the weighting variables or intended vote (the latter is the assumption of “no differential turnout misreporting”).

- In principle it is not required to use any variables in the role of \( L \). This would be the case if the turnout model \( p(T = 1|V, L, X) = p(T = 1|V, X) \) was conditional only on weighting variables \( X \) and intended vote \( V \) (or perhaps just \( X \)). This would avoid the requirement of needing a representative sample of \( L \), but could make it more difficult to satisfy the assumption that the turnout model is correct.

\(^{55}\) Here we use “good” as a loose term for an estimator which could be expected to give approximately correct estimates of the true distribution. Stating this in terms of more precise criteria such as (approximate) unbiasedness or consistency would require more formal assumptions about the sampling, the turnout probability modelling and the weighting targets, which we do not attempt to articulate here.
## Appendix 5: Wording and ordering of vote intention questions

<table>
<thead>
<tr>
<th>POLLSTER</th>
<th>SURVEY</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ComRes</strong></td>
<td>30th March, 5th May, 6th May (30th March excluding postal vote Qs)</td>
</tr>
<tr>
<td></td>
<td>Age + region + income + 2010 vote choice recall + voted by post. If yes, which party, if no, intention to vote (10 pt) + vote choice + to all: if voting were legal - vote intention.</td>
</tr>
<tr>
<td></td>
<td>Q. Have you already voted in the upcoming 2015 General Election by post?</td>
</tr>
<tr>
<td></td>
<td>Q. If yes: And which party did you vote for? Was it [ROTATE] Conservative, Labour, Liberal Democrat, [FIX] UKIP, SNP [IN SCOTLAND ONLY], Plaid Cymru [IN WALES ONLY] or some other party?</td>
</tr>
<tr>
<td></td>
<td>Q. If no: At the [*]General Election coming up [FOR INTERVIEWS ON MAY 5TH: this Thursday, 7th May], [FOR INTERVIEWS ON MAY 6TH: tomorrow], how likely would you be to vote on a scale from 1 to 10, where 1 means you are certain NOT to vote and 10 means you are absolutely CERTAIN to vote?</td>
</tr>
<tr>
<td></td>
<td>Q. If score 5-10 on intention to vote: At the [*]General Election coming up [FOR INTERVIEWS ON MAY 5TH: this Thursday, 7th May], [FOR INTERVIEWS ON MAY 6TH: tomorrow], will you vote [ROTATE] Conservative, Labour, Liberal Democrat, [FIX] UKIP, SNP [IN SCOTLAND ONLY], Plaid Cymru [IN WALES ONLY] or for some other party?</td>
</tr>
<tr>
<td></td>
<td>Q. To all: If it were a legal requirement for you to vote (FOR POSTAL VOTERS: &quot;at another General Election tomorrow,&quot;) do you think you would probably vote [ROTATE] Conservative, Labour, Liberal Democrat, [FIX] UKIP, SNP [IN SCOTLAND ONLY], Plaid Cymru [IN WALES ONLY] or for some other party?</td>
</tr>
<tr>
<td><strong>Opinium</strong></td>
<td>2nd April, 28th April, 4th May</td>
</tr>
<tr>
<td></td>
<td>Gender + age + working status + region + chief earner + vote intention (inc. would not vote) + intention to vote (4pt) + leader approval ratings + 2010 vote recall.</td>
</tr>
<tr>
<td></td>
<td>Q. How do you plan to vote, if at all, in the general election that will take place on the 7th May?</td>
</tr>
<tr>
<td></td>
<td>Q. And how likely are you to vote in the general election that will take place on the 7th May?</td>
</tr>
<tr>
<td></td>
<td>Q. Thinking back to the 2010 UK general election, which, if any, of the following parties did you vote for?</td>
</tr>
<tr>
<td><strong>Panelbase</strong></td>
<td>31st March, 27th April</td>
</tr>
<tr>
<td></td>
<td>Intention to vote (10 pt) + vote intention + 2010 past vote</td>
</tr>
<tr>
<td></td>
<td>Q. A UK Parliamentary election is due to take place on May 7th - how likely is it that you will vote in that election?</td>
</tr>
<tr>
<td></td>
<td>Q. If scores 2 and above: Who do you currently intend to vote for?</td>
</tr>
<tr>
<td></td>
<td>Q. Did you vote in the 2010 UK Parliamentary Election which led to David Cameron becoming Prime Minister? If so, who did you vote for?</td>
</tr>
<tr>
<td><strong>Panelbase</strong></td>
<td>1st May</td>
</tr>
<tr>
<td></td>
<td>Intention to vote (10 pt) + vote intention (if in polling booth) if undecided at prior vote intention + 2010 past vote</td>
</tr>
<tr>
<td></td>
<td>Q. A UK Parliamentary election is due to take place on May 7th - how likely is it that you will vote in that election?</td>
</tr>
<tr>
<td></td>
<td>Q. If scores 2 and above: Who do you currently intend to vote for?</td>
</tr>
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Q. If undecided at vote intention Q: If the election was today, and you were standing in the polling booth right now, how would you vote?

**Populus**

**31 March - 1 April**

Intention to vote (10 pt) + vote intention + 2010 turnout recall + 2010 vote choice recall

Q. "Many people say that if there was a new general election they probably wouldn't vote at all, while others say they definitely would vote. Please say how likely you would be to vote if there was another general election - on a scale of 1-10, where 1 means you definitely would not vote, and 10 means you would be absolutely certain to vote.

Q. To all: "Which party would you vote for if there was a General Election tomorrow?" Q. "At the general election in May 2010, many people didn't vote. Can you remember, did you vote in that election, or did you not vote?

Q. And which party did you vote for in the general election in May 2010? Was it [ ] or another party?

**1-3 May, 5-7 May**

Intention to vote (10pt/postal) + vote choice (if postal) + vote intention (if else) + certainty + if had to vote (vote intention)

"Many people say that if there was a new general election they probably wouldn't vote at all, while others say they definitely would vote....

If option chosen 'already voted by post':
Q. "Which party did you vote for in the General Election?
Q. "Which of these statements best describes you? - "I have definitely decided I'm going to vote for [ ] and I'm not going to change my mind."
- "I haven't absolutely definitely decided to vote for [ ] and I may end up changing my mind between now and the election on 7th May"
Q. "If you had to vote right now, knowing only what you know now, would you...[vote Labour | vote Conservative...etc"
Q. "At the general election in May 2010, many people didn't vote. Can you remember, did you vote in that election, or did you not vote?
Q. And which party did you vote for in the general election in May 2010? Was it [ ] or another party?

**Survation**

**April (Scotland only)**

Region + age + gender + hh income + educational quals + employment status + intention to vote (10pt) + vote intention + certainty + others considered + constituency + Scotland specific vote questions + 2010 turnout recall with turnout info + 2010 vote choice recall.

Q. The next UK general election for the Westminster Parliament is now about two weeks away. If this Westminster election were to be held tomorrow, how likely do you think you would be to vote on a scale of 0-10?
Q. To all: If there was a Westminster election taking place tomorrow, and there was a candidate from all political parties standing in your constituency, which party do you think you would vote for?
Q. To all: Are you sure that you will definitely vote this way, or do you think you might still change your mind between now and the General Election?
Q. To all: Apart from your first choice, which of the other main political parties would you consider voting for in the upcoming Westminster Election? (would consider, would not consider, don't know)
Q. Thinking about your own constituency, which of the following parties would you or would you not consider voting for if you thought they had a better chance of beating the SNP in your constituency than your first choice party?*
Q. In the last Westminster Election 65% of people voted, while 35% of people did not vote. Thinking back to the Westminster Election in May 2010, can you remember whether or not you voted in that specific election?*
Q. Thinking back to the Westminster Election in May 2010, can you recall which party you voted for in that election?

**GE omnibus**

Region + gender + age + accommodation + religion + size of hh + children in hh + parent + employment status + occupation + hh income + education quals + intention to vote (10pt) + candidate vote intention + constituency vote intention + certainty + 2010
Q. The next Westminster general election is now about five weeks away. On a scale of 0-10, where 10 is certain, how certain are you to vote in the general election?
Q. To all: If there was a General Election taking place tomorrow, and there was a candidate from all political parties standing in your constituency, which party do you think you would vote for?*
Q. To all: The general election is on 7 May 2015. Thinking specifically about your own constituency and the parties and candidates likely to be in contention, who do you think you will vote for on 7 May?
Q. To all: Are you sure that you will definitely vote this way, or do you think you might still change your mind between now and the General Election?*
Q: above 2010 turnout recall with prime and 2010 vote recall.

6th May

Intention to vote (10pt) + vote intention by candidates primed + combined 2010 turnout and vote choice recall
Q. As you may know, the general election takes place tomorrow, Thursday 7th May. How likely are you to vote in the election on a scale of 0-10, where 10 means certain TO vote and 0 means certain NOT to vote.*
Q. To all: Thinking about your [X] constituency, I'm now going to read you the names of the candidates standing [ ] Which of these candidates are you most likely to vote for in your constituency?
Q. To all: Which party did you vote for in the 2010 general election, or did you not vote in that election?

TNS

Pre-election

Intention to vote (4pt) + vote intention + outcome expectations + combined 2010 turnout and vote choice recall
Q. Thinking about the next UK general election that will be held in May 2015, will you...
Q. To all except 'definitely will not vote': Still thinking about the next UK general election, which party, if any, will you vote for in your own constituency?
Q.After the next UK general election, do you think...
 - The Labour party will have a majority in Parliament | - The Conservative party will have a majority in Parliament | - Some other party will have a majority in Parliament | - No party will have an overall majority but Labour will be the largest party in Parliament | - No party will have an overall majority but the Conservatives will be the largest party in Parliament | Some other outcome | Don’t know
Q. The last General Election was held on 6th May 2010. Did you manage to vote in that General Election?

Post-election

Turnout + vote choice
Q. The general election was held on 7th May 2015. Did you manage to vote in that general election?
Q. If voted: Which party did you vote for in the general election that was held on 7th May 2015?

YouGov

30th March

Vote intention (inc. will not vote) + constituency vote intention + party best on most important problem + Cons party image + Lab party image + know GE election date + certainty + intention to vote (4pt)
Q. If there were a general election held tomorrow, which party would you vote for?
Q. To all: The next general election will be in May this year. Thinking specifically about your own constituency and the candidates who are likely to stand there, which party’s candidate do you think you will vote for in your own constituency at the next general election?
   --
Q. From what you know, which the following is the date of the next British general election? If you don’t know the date, please tick don’t know rather than taking a guess.
Q. The next general election is due to be held in May 2015. Have you decided definitely how you will vote then, or will you wait until nearer the time before deciding how to vote?
Q. Thinking about the General Election in May, how likely are you to vote?
5th May, and final call survey

| Postal vote + vote choice if yes + vote intention if no (inc. won’t vote) + constituency/candidate vote + intention to vote (10pt) + decided |
| Q. Thinking about the election on May 7th, do you have a postal vote? |
| Q. If voted by post: Thinking about your own constituency and the candidates who are standing there, which party's candidate did you vote for? |
| Q. If not yet voted: The general election is on the 7th May / this Thursday on 7th May, which party will you vote for? |
| Q. If not yet voted (all): Thinking specifically about your own constituency and the candidates who are likely to stand there, which party’s candidate do you think you will vote for in your own constituency at the next general election? |
| Q. The general election will be held on May 7. On a scale of 0 (certain NOT to vote) to 10 (absolutely certain to vote), how likely are you to vote in the general election? |
| Q. Have you decided definitely how you will vote in the election, or will you wait until nearer the time before deciding how to vote? |

**Squeeze Questions**

These are the wordings of the questions that were administered to respondents who initially selected the Don’t Know or Refusal options.

**Ipsos-MORI:** If undecided or refused: Which party are you most inclined to support? Options not read out.

**Populus:** If you had to vote right now, knowing only what you know now, would you...? Vote Labour, Vote Conservative, Vote Lib Dem, vote UKIP, Vote Green, Vote SNP, Vote Plaid Cymru, Vote for some other party, Not vote at all.

**ComRes:** If it were a legal requirement for you to vote, do you think you would probably vote Conservative, Labour, Liberal Democrat, UKIP, SNP, Plaid Cymru, or for some other party (options not read out).

**PanelBase:** If the election was today, and you were standing in the polling booth right now, how would you vote? Conservative, Labour, Liberal Democrat, SNP, UKIP, Green Party, other, would not vote.
Appendix 6: Technical details of herding analysis

How much should we expect the pollsters to converge given the increases in sample size in their final polls? The sample sizes by survey date are shown in the figure below.

![Sample Size vs Final Day in Field](image)

Under simple random sampling (SRS), we have clear theoretical expectations for how these estimated variances should vary as a function of the levels of support for the various parties and the size of the sample collected by the pollster. Let $p_{ik}$ denote the estimated level of support in poll i for party k, and $\pi_{ik}$ the quantity in the population that $p_{ik}$ is estimating.\textsuperscript{56} The theoretical sampling variance of $p_{ik}$ in a poll of sample size $n_i$ under SRS is

\[
\text{var}(p_{ik}) = \frac{(\pi_{ik})(1 - \pi_{ik})}{n_i}.
\]

The corresponding formula for the theoretical sampling variance of the estimated difference in level of support between two parties, in this case the Conservatives and Labour, is:

\textsuperscript{56} This is the true level of support at the time of the poll if $p_{ik}$ is a consistent estimate of the true support, but otherwise $\pi_{ik}$ may also be different from the true level of support.
\[
\text{var}(p_{i\text{Con}} - p_{i\text{Lab}}) = \frac{(\pi_{i\text{Con}})(1 - \pi_{i\text{Con}}) + (\pi_{i\text{Lab}})(1 - \pi_{i\text{Lab}}) + 2(\pi_{i\text{Con}})(\pi_{i\text{Lab}})}{n_i}.
\]

Given the estimated vote shares of the two parties, the estimated value of this quantity is around \(0.67/n_i\) throughout the short campaign.

As we have noted, however, none of the pollsters used simple random sampling. The true sampling variability of the procedures they use may have been higher or lower than the theoretical sampling variability under SRS. This may be expressed as

\[
\text{var}(p_{ik}) = \frac{d_{ik}(\pi_{ik})(1 - \pi_{ik})}{n_i}
\]

where \(d_{ik}\) is the design effect of the estimate \(p_{ik}\) (and the expression for \(\text{var}(p_{i\text{Con}} - p_{i\text{Lab}})\) can be modified similarly).

The variance of an estimate \(p_{ik}\) can also be expressed as

\[
\text{var}(p_{ik}) = E[(p_{ik} - \pi_{ik})^2]
\]

i.e. as

\[
\frac{d_{ik}(\pi_{ik})(1 - \pi_{ik})}{n_i} = E[(p_{ik} - \pi_{ik})^2]
\]

which then gives

\[
d_{ik}(\pi_{ik})(1 - \pi_{ik}) = E[n_i(p_{ik} - \pi_{ik})^2].
\] \(\text{(A1)}\)

We now consider how variances of poll estimates vary over time during the short campaign, using the estimates from all the published polls in that period. We suppose that the quantity \(\pi_{ik}\) changes smoothly over that time and that it is approximately the same for all polls conducted around the same time. We then calculate estimates \(\hat{\pi}_{ik}\) for it using local linear regressions with a one-week
window and weight by the sample size of each poll. The same analysis strategy also applies to the Conservative-Labour difference by comparison to its average. We then assess whether any trends exist in the sample-size scaled squared deviations using a spline regression with flexibility selected using a generalized cross-validation criterion. In other words, we estimate in a flexible way how the right-hand side of (A1) is observed to vary over time during the short campaign.

Because the polling averages barely shifted during the lead up to the election, the left-hand side of equation (A1) is a constant if the average design effect of polls across pollsters is also constant over time. This implies that the regression for the right-hand side, that is the mean of the squared deviations of the polls from their average, multiplied by the sample sizes of those polls, would then also be expected to be constant even as the size of the polls changed near the election. If it is seen to decline over time, this suggests that the variability across pollsters has declined at the end of the campaign more than we would expect based on the sample sizes alone.

The following plot shows this fitted curve for the mean of the squared deviations of the polls from the polling average, scaled by their sample size, for the Conservative-Labour difference.
While the downward trend in this figure is visually suggestive, it does not rise to the level of statistical significance. An F-test of the spline regression versus the null hypothesis of no change over time in the mean scaled squared deviation has a p-value of 0.27. It is also important to note that a lack of statistical significance in the time trend does not mean there was no time trend, rather that we lack a sufficient number of polls with which to be certain there was such a trend. The estimated decline in the scaled squared deviations is substantial, from 0.54 early in the campaign period to 0.28 on the day before the election.

Two horizontal lines are also shown in the figure. The dotted line is at 0.67, which here corresponds to simple random sampling. The dashed line is at 0.56, which corresponds to a design effect of 0.84 in the polls. This approximate benchmark value was obtained by calculating estimated variances for Conservative-Labour difference, treating the polls as stratified samples stratified by the party ID/past vote weighting cells that were used by each pollster (and with unequal sampling probabilities implied by their final weights). The average design effect from this calculation across the final polls for the nine pollsters is 0.84, and the average across the twenty-seven first, penultimate and final polls is also 0.84. For the nine polls at the start of the campaign the design effect is 0.90, and for the nine penultimate polls it is 0.78. These average design effects are thus roughly constant, and there is no evidence of a consistent change in them over time.

---

57 This is very sensitive to the exact set of polls that were reported, because the total number of polls is small.
Appendix 7: Inquiry Terms of Reference

1. To assess the accuracy of the published opinion polls (both national and sub-national) at the 2015 general election.
2. To evaluate whether any inaccuracies identified might be part of a pattern evident at previous elections.
3. To investigate the causes of any inaccuracies that are identified. Potential causes to be considered will include (but not necessarily be limited to): the possible impact of late changes in vote preferences, sampling methods, interview mode, weighting and filtering, population coverage, item refusal, differential availability and willingness to participate, question order and wording.
4. To assess whether the analysis or reporting of polls was influenced by a reluctance to be out of line with the published figures of other polls.
5. To consult and seek relevant evidence from all appropriate stakeholders, including but not exclusively, polling organisations that are members of the BPC.
6. To assess whether adequate information was provided and communicated to interested commentators and the public about how polls were conducted and what their results meant.
7. To make, as it sees fit, recommendations for improving how opinion polls are conducted and published in future.
8. To make recommendations, if necessary, for changing the rules and obligations of BPC membership.
9. To submit a report to the BPC and MRS by 1 March 2016, with a view to its publication by BPC and MRS as soon as possible thereafter.
Appendix 8: Conservative lead estimates by weighting cells

Figure A8.1 Conservative lead estimates by gender

![Graph showing conservative lead estimates by gender]

Note: gold = BES/BSA combined estimate; dark red = average of polls; dashed = individual polls

Figure A8.2 Conservative lead estimates by reported 2010 vote

![Graph showing conservative lead estimates by reported 2010 vote]

Note: gold = BES/BSA combined estimate; dark red = average of polls; dashed = individual polls