

# I.O.S.

## SPECTRAL ANALYSIS OF WAVE DATA

A description of the computer programs  
developed for use in wave climate  
studies at I.O.S.

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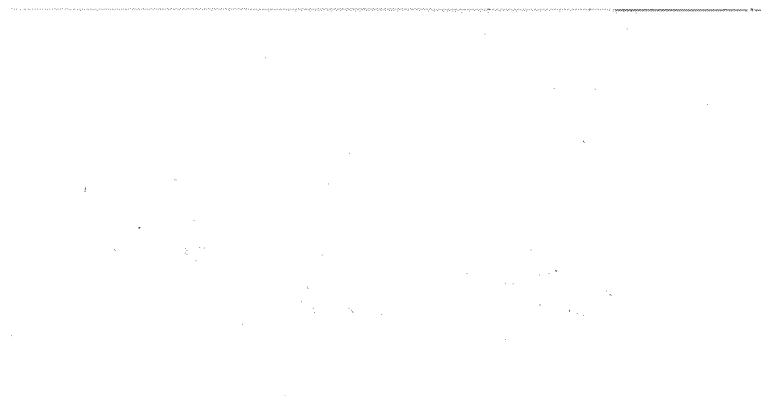
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SPECTRAL ANALYSIS OF WAVE DATA

A description of the computer programs  
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# IOS ROUTINE WAVE DATA SPECTRAL ANALYSIS PROGRAM

## 1.0 Introduction

The system of programs to be described in this document is used to calculate the spectra of wave records which are collected as part of IOS's wave climate studies. Accordingly, the main program is written to process wave observations which consist of 2048 digital points, and which are made at 3-hourly intervals, and in this sense is entirely specific. However, the program is modular in structure and many of the parameters of the calculations are either input at each run or can be otherwise easily modified, so that there is considerable flexibility.

## 2.0 Analysis Scheme

The analysis method is as described in the note by Pitt (1980), attached as appendix A, and is centred around a FastFourier Transform subroutine due to R.C. Singleton of the Stanford Research Institute.

The program performs the following calculations on each observation (see section 4 of appendix A):

- (1) Calculates and subtracts the mean of the data
- (2) Cosine tapers the data series (optional)
- (3) Calculates discreteFourier Transform of the data
- (4) Forms the periodogram
- (5) Adjusts the scaling for the effect of the cosine taper
- (6) Applies frequency response corrections
- (7) Calculates the moments
- (8) Forms the smoothed spectrum
- (9) Calculates the spectral peakedness parameter,  $Q_p$ .

## 3.0 Inputs

The input files must consist of a series of digital wave observations in ascending time order, each observation being labelled with its start date and time, and separated by 3 hours from its neighbours. The format of the input files is discussed in the description of SUBROUTINE READ (appendix C).

## 4.0 Outputs

The program writes an output file containing the spectra for the period specified, and a shortened version of this file for use as an index. Only one

pair of output files is produced at each run. The output files may be specified for one calendar month, which is the practice at IOS, or any shorter or longer period; except that the files always end with the nominal 2100 hours observation on the end-day specified.

The format of the output files is described in appendix F.

## 5.0 The main program

All the calculations listed above are carried out by subprograms, and so the function of the main program is to organise the flow of data from the input file through the various subprograms to the output file.

Figure 1 shows a simplified flow diagram of the program; the functions of the several blocks will be discussed in the following paragraphs.

(Full instructions on running the program are included at appendix B.)

### 5.1 Input of run data

At the start of the program information needed to identify the data to be processed in this particular run is input. This includes the nominal\* date and time of the start of the period for which the observations are to be processed, the date of the end of the period and the number of input files.

The program operates on the assumption that the wave observations were made at 3-hourly intervals and these would normally (but not necessarily) correspond to the intermediate synoptic hour sequence ie midnight, 0300, 0600, 0900, 1200, 1500, 1800 and 2100 hours GMT of every day. As each midnight is passed, the date (expressed as the Julian day number) is incremented by one and the sequence repeated.

The nominal date and time of the start of the period serves to indicate the start of the sequence of nominal times, and would thus normally (but not necessarily) be one of the intermediate synoptic hours.

### 5.2 Status override facility

The observations which form the input of the program will normally have been subjected to an automatic validation procedure. In the IOS system the outcome of this procedure is indicated by a series of ten error flags which are contained in array KFLAG, and one of these flags, KFLAG(9), is used as an

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\* It is essential to maintain a sequence of nominal times to which the actual times of the observations are related so that those periods for which there are no observations can be correctly represented in the output file.

indicator of whether or not the observation as a whole is valid. It has been found useful to be able to manually override this status flag on specific observations where further investigation shows that the automatic system has made the wrong decision. This facility allows KFLAG (9) for a limited number of specified observations to be set to 1 or 0 as required.

### 5.3 Sampling interval

The interval between individual sample points in the observations is specified in seconds as SINT.

### 5.4 Logical End of File Codes, Scale Factors

It is usual when making series of oceanographic measurements to record any changes to the observational method which could possibly affect the data. One way of indicating such changes is to divide the measurements series at the time each change was made: the Nth series ending before the change and the (N + 1)th series starting after it.

The output files are terminated by a logical end of file code which is written as groups of 9 to indicate the end of a standard data period (eg one calendar month) or as groups of 8 to indicate the end of a data series. As an example of the use of the logical end of file code the IOS practice will be described:

Wave measurements are made at a single geographical position over a period of some years, often using Waverider buoys. A freshly-serviced and calibrated replacement buoy is deployed at regular intervals which are of order 3 to 6 months. Now, the output files each consists of data from exactly one calendar month, and since buoy replacements rarely if ever exactly coincide with the end of the month, breaking the series at each deployment would result in an unacceptably fragmented output series. In order to maintain the integrity of the monthly spectral and index files, the logical end of file code is set to 8 at the beginning of the month containing the redeployment or final recovery or other significant change.

The scale factor required to convert the digital wave measurements to 'user units' (usually meters) is input as SCALF. Since the scaling will in general change at the end of a series, if the logical end of file code is specified as 8 provision is made for the input of a second scale factor, SCALF2, together with the precise date and time from which it is to be applied.

The way the output files are organised and the way the logical end of file codes are used will depend on the nature of the wave measuring project. For example, another scheme might be to produce one pair of output files from one run of the program for each deployment period. The logical end of file code would then always be 8.

## 5.5 Taper Scale

The program allows the application of a variable taper function to the digital observation before the Fast Fourier Transform is performed. A cosine taper is applied to a fraction  $1/\text{NPR}$  of the digital observation at each end. Thus  $\text{NPR} = 2$  results in a full cosine taper. NPR is usually specified as 8 in the IOS system, resulting in a taper being applied to  $\frac{1}{8}$  of the digital observation at each end. If no taper is required, NPR is set to 0.

## 5.6 Smoothing of the spectral estimates

In order to improve the statistical stability of the final spectrum, the elementary spectral estimates are smoothed by averaging over blocks of adjacent estimates NAV at a time. NAV sets the resolution of the spectrum as well as the stability: with an observation length of 1024 seconds,  $\text{NAV} = 10$  has been found to be a reasonable choice.

## 5.7 Initialisation of look-up tables

A number of arrays are initialised by calls to subroutines early in the program. The arrays and their contents are:-

- ISILU - Table of values required to perform cosine taper. If  $\text{NPR} = 0$  the array is not initialised.
- FLUP - table of frequencies of the unsmoothed spectrum (periodogram).
- FAVTAB - table of frequencies for the smoothed (final) spectrum.
- CORLUP - table of frequency-dependent corrections to be applied to the periodogram.

The first three are completely automatic, but some discussion of the fourth is required.

CORLUP is initialised by a call to SUBROUTINE FCORR which forms the correction as the square of the product of two amplitude correction functions. These FUNCTION subprograms are input as arguments of FCORR and must be declared in an EXTERNAL statement in the main program.

Typically the correction functions might be that appropriate to the Waverider buoy double integrator and that appropriate to the receiving/recording system. If only one function is required, the remaining argument is set to UNITY, which is a FUNCTION subprogram which always returns the value 1.0.

## 5.8 Reading from the data file

The input files are ascribed Logical Unit Numbers 10 and upwards and are read by SUBROUTINE READ. The SUBROUTINE supplied is, of course, highly specific to the IOS system, but by studying the detailed description of READ given in Appendix C it should be possible to write a replacement for READ which is compatible with the main program and with the user's validation program output.



As the end of each file is reached, the logical unit number of the input stream is incremented by one and the next file is read.

This process is repeated until all data for the period specified have been processed.

It is sometimes useful to be able to set the end of the output file at a date later than the end of the available data. This is an essential feature when the output files are for a standard period such as one calendar month since it will not infrequently happen that periods of data loss include the end of a month. In such cases, when all of the input files specified have been read, the remaining days in the specified period appear in the output files filled with "no-data" entries. In order to achieve this, the program needs to know how many input files are required to cover the specified period (NIMF).

#### 5.9 Observation time checking

The allocation of each observation to one of a series of nominal times, and the detection of missing records will be discussed.

Study of the flow chart (Figure 1) will give an indication of how the program operates to produce the following effects:-

(1) The first observation encountered whose time falls within  $\pm 90$  minutes of the nominal time is processed and appears in the output file with its actual time.

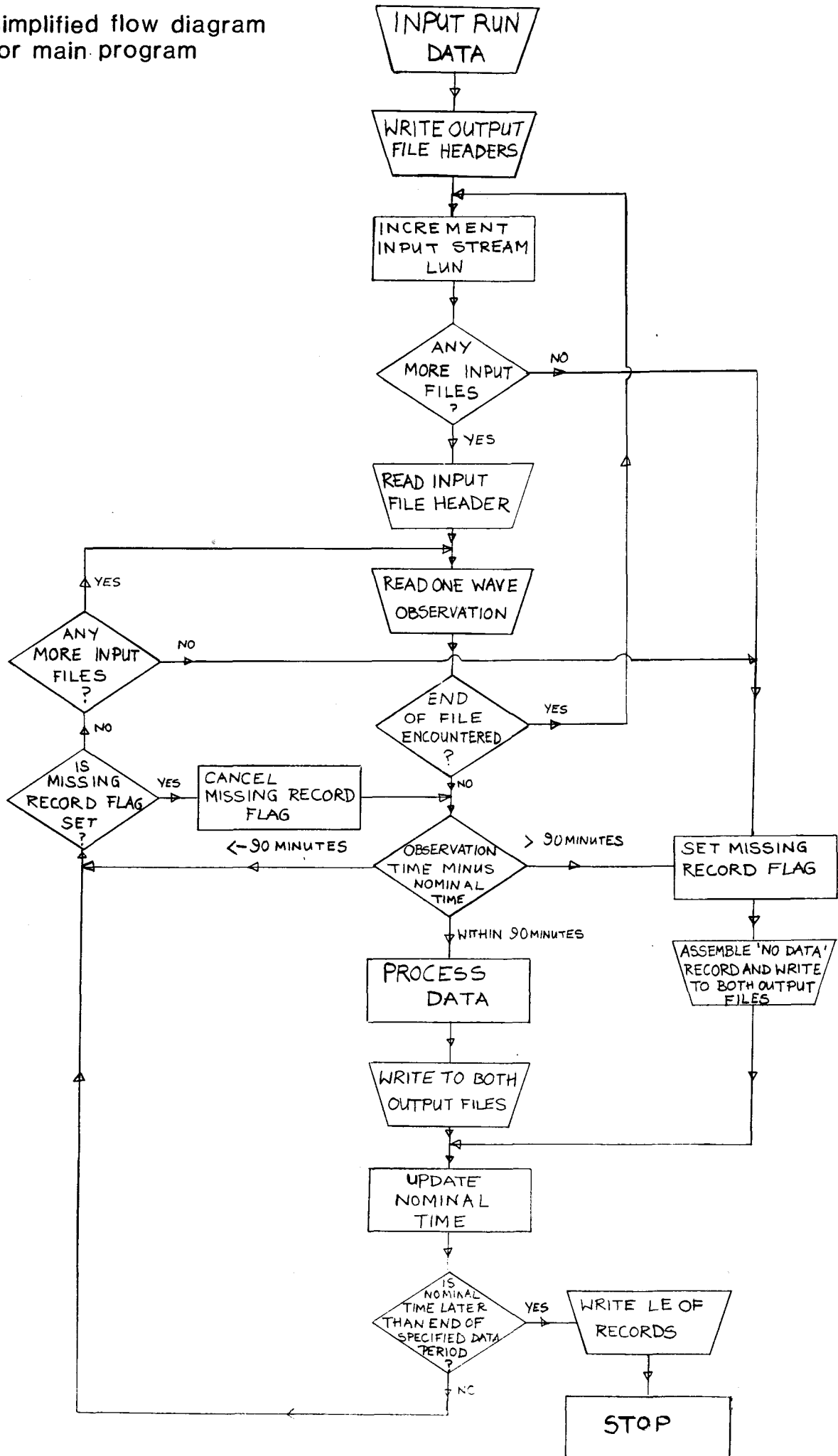
(2) Only one observation is allocated to each nominal time.

(3) If no observation is available for a particular nominal time, a "no-data" entry is made in the output files against that nominal time.

The program expects the day number which appears in the input file to increment correctly at each midnight, and if the data recorder clock is "perpetual" ie it resets the day number to 1 at the beginning of each year, paying due regard to leap years, the program will operate correctly at year changes. However, data loggers rarely have a perpetual clock, so that the program has been designed to operate correctly at year changes so long as the day number of the logger clock is (correctly) reset sometime within the new year. The observation allocation logic operates independently of the year number recorded in the input file.

Figure 1

Simplified flow diagram  
for main program



NOTES ON  
THE SPECTRAL ANALYSIS OF WAVE RECORDS

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# NOTES ON THE SPECTRAL ANALYSIS OF WAVE RECORDS

## List of symbols

$a_n$	$n$ th Fourier amplitude (cosine) of sample record
$b_n$	$n$ th Fourier amplitude (sine) of sample record
$C_n$	Amplitude of the $n$ th component of the frequency domain representation of a random process
$\hat{C}_n$	Amplitude of the $n$ th component of the frequency domain representation of the sample record
$\epsilon_r$	Normalised standard error of a spectral estimate
$\theta_n$	Phase of the $n$ th component of a random process
$f$	Frequency
$f_s$	Sampling frequency
$f_{max}$	Maximum frequency present in the sample wave record
$\Delta f$	Difference between frequencies of successive spectral estimates
$f_0$	Fundamental frequency of Fourier analysis
$f_n$	$n$ th harmonic of the fundamental frequency
$f_i$	The frequency of the $i$ th smoothed spectral estimate above zero frequency
$H_s$	Significant wave height
$l$	(Number of elementary sample spectral) - frequency domain averaging
$k$	(estimates included in each smoothed estimate) - time domain averaging
$n$	Harmonic number in sample Fourier series
$N$	Number of measurements of waveheight in a sample record
$Q_p$	Spectral peakedness parameter
$\phi_n$	Elementary (sample) spectral estimate corresponding to the $n$ th harmonic of the sample record.
$S_i$	The $i$ th smoothed spectral estimate above zero frequency
$S$	Spectrum of a random process
$T$	Sample record length
$\Delta T$	Sampling interval between individual measurements

$T_z$  Mean zero-crossing period  
 $T_e$  Energy period

# NOTES ON THE SPECTRAL ANALYSIS OF WAVE RECORDS

## 1. INTRODUCTION

If we measure the height,  $h$ , of the sea surface at a single geographical position as a function of time,  $t$ , we can model the resulting process as the linear superposition of an infinite number of sinusoids of small amplitude (see Cartwright and Longuet-Higgins, 1956):

$$h(t) = \sum_{n=1}^{\infty} C_n \cos(2\pi f_n t + \theta_n) \quad (1.1)$$

where the frequencies  $f_n$  are densely distributed in the interval  $(0, \infty)$  and the phase angles,  $\theta_n$ , are random and uniformly distributed in the range  $(0, 2\pi)$ .

The amplitudes  $C_n$  are such that in any small interval of frequency  $df$ ,

$$\sum_{f_n=f}^{f+df} \frac{1}{2} C_n^2 = S(f) df \quad (1.2)$$

where  $S(f)$  is called the (variance) spectrum of  $h(t)$ . We see that the spectrum is the frequency-domain description of a linear random process. Note that it is defined as a density and has units of variance per unit bandwidth. Since the variance is proportional to the energy per unit area,  $S$  is often called the energy spectrum of  $h$ .

The practical utility of the spectrum will not be discussed in this note, except that the definitions of some common wave parameters in terms of spectral quantities will be given.

### 1.1 The Practical Problem:

The problem that the wave observer must address is to estimate the spectrum from a finite sample of the process, and to state for the benefit of the user how closely this estimate can be expected to approximate the true spectrum of the sea on the occasion of the sample measurement.

The outline which now follows is based on present practices in routine wave data processing in the Applied Wave Research Group in IOS, and while they are believed to be sound they are not necessarily optimum for any given application. Moreover, they are liable to change as improved methods are developed.

## 2. SAMPLING SCHEME

- 2.1 The wave height signal should be digitised at a rate which is high enough to describe the highest frequency component present. According to the sampling theorem, if the highest frequency component in the data is  $f_{max}$ , the sampling rate,  $f_s$ , is given by

$$f_s = \frac{1}{\Delta T} \geq 2f_{max} \quad (2.1)$$

Often,  $f_{max}$  is defined by including a lowpass (anti-aliasing) filter in the signal path prior to digitisation.

- 2.2 The wave height record should be long enough to allow the spectrum to be estimated with adequate statistical reliability. The standard error of the spectral estimate,  $\epsilon_r$ , is given by

$$\epsilon_r = \sqrt{1/T \Delta f} \quad (2.2)$$

where  $T$  is the length of the record, and  $\Delta f$  is the frequency separation of successive spectral estimates (the 'resolution' of the spectrum). For fixed  $\Delta f$  the error is proportional to  $1/\sqrt{T}$ , so that we should record as long a record as possible. A theoretical limitation is the need for the wave record to be statistically stationary over the sample period.

Practical limitations concern the available recording capacity and power. A fuller discussion of sampling errors is given in Sections 3.2 to 3.5.

- 2.3 The sampling scheme for Waverider buoys which is used at IOS is as follows:

A wave record consists of 2048 measurements of the buoy heave taken at intervals of 0.5 seconds. A record is taken every 3 hours, at midnight, 0300, 0600, etc.

It is considered that the record length presently used (which is limited by recording and analysis capacity) is too short and leads to rather high sampling errors. Record lengths of 2048 seconds (ie double our present practice) would give a useful improvement.



### 3. PROCESSING PROCEDURES AND PARAMETERS

3.1 This section contains an account of the methods used to calculate the spectrum of a sample record. We start by forming the Fourier series representation of the record. This is normally done on a computer using a Fast Fourier Transform technique, but, of course, the results are closely related to the well-known Fourier and Euler formulas:

$$h(t) = a_0 + \sum_{n=1}^{\infty} \left\{ a_n \cos \frac{2\pi n t}{T} + b_n \sin \frac{2\pi n t}{T} \right\} \quad (3.1)$$

$$a_0 = \frac{1}{T} \int_0^T h(t) dt \quad (3.2)$$

$$a_n = \frac{2}{T} \int_0^T h(t) \cos \frac{2\pi n t}{T} dt \quad (3.3)$$

$$b_n = \frac{2}{T} \int_0^T h(t) \sin \frac{2\pi n t}{T} dt \quad (3.4)$$

Note that  $a_0$  is just the mean of the record. In view of the nature of the model we have adopted for the sea surface this must be zero, and we can impose this condition by subtracting the record mean from  $h(t)$  before we Fourier analyse it.

We should also note that the total variance of the record is equal to the sum of the variances associated with each term in the Fourier series, ie:

$$\text{Var}(h(t)) = \sum_{n=1}^{\infty} \frac{1}{2} (a_n^2 + b_n^2) \quad (3.5)$$

and moreover, the variance of the record in a certain frequency band is just the sum of the variances of the corresponding Fourier components.

The Fourier components  $a_n$  and  $b_n$  produced by the FFT program are available at the fundamental frequency

$$f_0 = \frac{1}{T} = \frac{1}{N\Delta T} \quad (3.6)$$

and integral multiples thereof up to the Nyquist frequency,  $\frac{1}{2\Delta T}$

#### 3.1.1 Forming the sample spectrum

Now let us return to the model of Sec 1. and acknowledge that our best estimate of the variance of the process in any given frequency range is given by the variance in that range observed in the sample record, ie:

$$\sum_{n=i-j}^{i+j} \hat{c}_n^2 = \sum_{n=i-j}^{i+j} \frac{1}{2} (a_n^2 + b_n^2)$$

is our best estimate of

$$\int_{f=(i-j-\frac{1}{2})f_0}^{f=(i+j+\frac{1}{2})f_0} S(f) df$$

Over a small frequency band we may approximate the integral in the following way:

$$\int_{f=(i-j-\frac{1}{2})f_0}^{f=(i+j+\frac{1}{2})f_0} S(f) df \approx S(if_0) \cdot (2j+1)f_0$$

For a single component we thus have that:

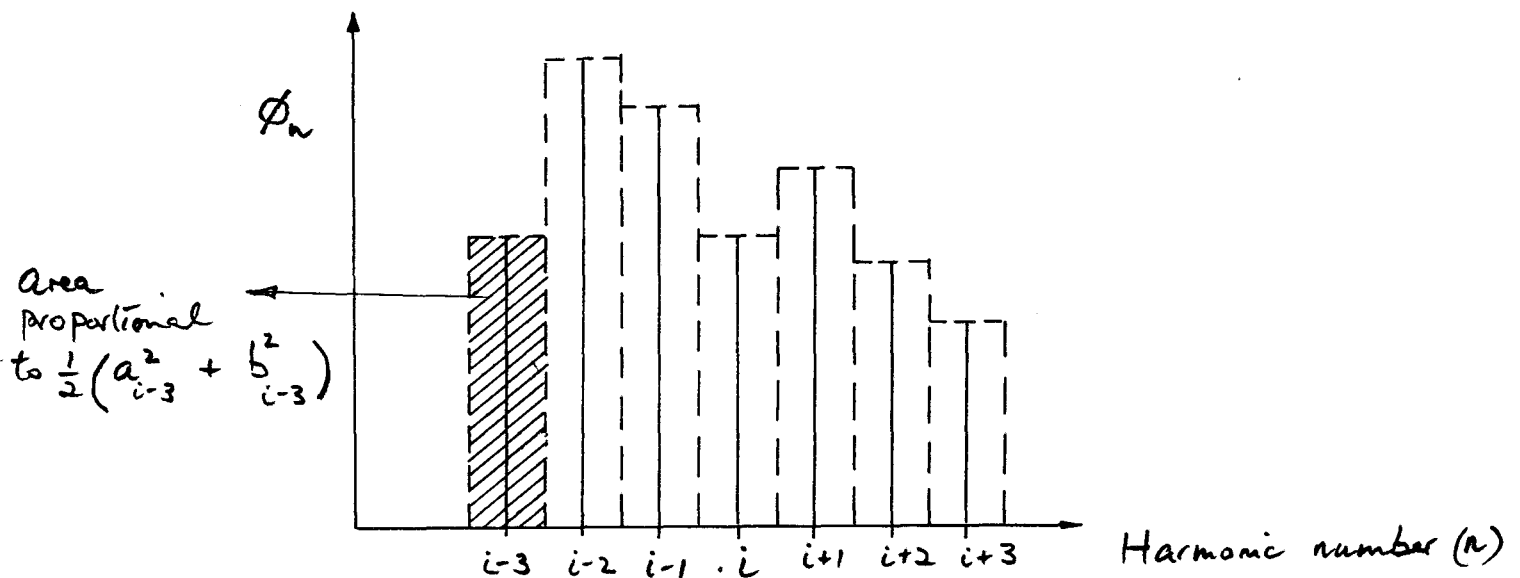
$$\frac{1}{2} (a_i^2 + b_i^2) \text{ is our best estimate of } S(if_0)f_0$$

$$\text{ie } \frac{1}{2f_0} (a_i^2 + b_i^2) \text{ is our best estimate of } S(if_0)$$

We define this quantity as the elementary sample estimate of the spectrum at frequency  $if_0$ , and write:

$$\frac{1}{2f_0} (a_n^2 + b_n^2) = \phi_n \quad (3.7)$$

The diagram may make the reasoning clearer



Areas proportional to  $\frac{1}{2}(a_n^2 + b_n^2)$  are drawn centred on their appropriate harmonic numbers. The sum of these areas is proportional to the variance over the range, (3.5).

Now, the areas can also be expressed as their individual heights multiplied by their individual widths; we call the height the sample estimate of the spectral density ie:

$$\sum \frac{1}{2}(a_n^2 + b_n^2) = \sum \phi_n f_0 \quad (3.8)$$

where we have written  $\phi_n$  for  $\phi(nf_0)$

Finally, for a single estimate:

$$\phi_n = \frac{1}{2f_0}(a_n^2 + b_n^2) = \frac{T}{2}(a_n^2 + b_n^2) \quad (3.9)$$

### 3.2 Smoothing of the Spectral Estimates

It can be shown that the sample estimate defined in (3.7) is subject to a very large random variability; its standard error is, in fact, 100%. In order to reduce this to acceptable proportions, it is usual to perform some kind of smoothing in the time and/or frequency domains.

In frequency-domain averaging, the record is analysed and the average of  $\ell$  adjacent spectral estimates is taken as the final estimate, and ascribed to the mid-frequency of the band of estimates, thus

$$\left. \begin{aligned} S_i(f_i) &= \frac{T}{2\ell} \sum_{n=j}^{j+\ell-1} (a_n^2 + b_n^2) \\ j &= (i-1)\ell + 1 \\ f_i &= \frac{1}{T} (j + (\ell-1)/2) \end{aligned} \right\} \quad (3.10)$$

where

and

In time-domain averaging the wave record is divided into  $q$  sub-sections of equal length. Each section is analysed and corresponding estimates from each of the  $q$  sub-sections are averaged to give the final estimate.

Thus

$$S_i(f_n) = \frac{1}{q} \sum_{k=1}^q \phi_k(f_n) \quad (3.11)$$

where  $\phi_k$  is the estimate calculated from the  $k$  th subsection,

and  $f_n$  is the frequency of the  $n$  th harmonic of  $q/T$

The following parameters of these calculations are approximately correct (see Bendat and Piersol, 1971). For frequency domain averaging, the effective bandwidth is given by

$$\Delta f = \frac{\ell}{T} \quad (3.12)$$

The number of degrees of freedom is given by

$$\nu = 2\ell \quad (3.13)$$

The normalised standard error is given by

$$e_r = \sqrt{1/\ell} \quad (3.14)$$

(Substitution of (3.12) into (3.14) leads to (2.2)).

The corresponding parameters for time domain averaging are:

$$\left. \begin{aligned} \Delta f' &= q/T \\ \nu' &= \frac{2q}{\ell} \\ e_r' &= \sqrt{1/q} \end{aligned} \right\} \quad (3.15)$$

### 3.3 Example Spectrum analysis design

The design of the analysis will depend upon the application, and will involve a compromise between the required resolution, and the required statistical stability. However, let us assume that we have at our disposal a wave record of 2048 points, taken at 0.5 second intervals. It has been found that a reasonable choice for the resolution is

$$\Delta f = 0.01 \text{ Hz}$$

Then from (3.12)  $\ell = 1024 \times 0.01$ , selecting the nearest integer gives

$$\ell = 10$$

which in turn gives the exact value of the resolution as

$$\Delta f = 10/1024 = 0.009766 \text{ Hz}$$

The number of degrees of freedom of each estimate

$$\nu = 20$$

The normalised standard error is

$$\epsilon_r = \sqrt{1/10} = 32\%$$

The total number of elementary Fourier components will be

$$N\Delta T \left( \frac{1}{2\Delta T} - \frac{1}{N\Delta T} \right) + 1$$

(The frequency of the last estimate minus the frequency of the first, divided by the frequency separation, plus one).

$$= N/2 = 1024$$

The total number of smoothed estimates will be  $1024/e = 102$  (next smaller integer).

These will occur at frequencies given by (3.10). In particular the frequency of the first estimate will be

$$f_1 = \frac{1}{1024} \left( 1 + \frac{9}{2} \right) = 0.00537 \text{ Hz}$$

and of the last

$$f_{102} = \frac{1}{1024} \left( 1011 + \frac{9}{2} \right) = 0.992 \text{ Hz}$$

### 3.4 Confidence limits for the spectral estimates

As well as defining the standard error of each estimate we can obtain information about the distribution of the errors, which in turn allows us to calculate confidence limits. For the example of Sec 3.3 these turn out to be rather large.

The spectral estimates are taken to be distributed as Chi-squared variables with  $\nu$  degrees of freedom. In our example with  $\nu = 20$ , the 95% confidence limits are 2.11 and 0.58. That is, 19 times out of 20 on average, the true value of the spectrum can be expected to lie within 211% and 58% of the estimated value. If we were to double the sample length keeping  $\Delta f$  the same, we would double  $\nu$  to 40, and the 95% confidence limits would then be 1.6 and 0.76.

### 3.5 Tapering of the Data Series

The frequencies at which the Fourier components are evaluated are fixed by the record length and will not in general coincide with the frequencies in the underlying process at which the variance is located. This gives rise to a phenomenon called 'leakage' in which the variance associated with a particular frequency in the underlying process leaks into a band of harmonics in the Fourier series of the sample. It can

be shown that the effect is negligible for most practical purposes in the example quoted above, but with shorter records it may in some circumstances be significant. For this reason the time-domain averaging method described above (in which the original record is sub-divided into shorter sections) should be approached with some care.

Leakage can in almost all cases be reduced to insignificant proportions by a process called 'tapering' in which the original record is multiplied by a function which increases smoothly from zero at each end of the record to unity in the central part.

Often, the so-called cosine taper is used which has the form:

$$\frac{1}{2} \left( 1 - \cos \frac{2\pi i}{N} \right) \quad (3.16)$$

where  $i$  is the sample point serial number and  $N$  is the total number of points. However, the use of a taper reduces the information content of the record and leads to higher sampling errors. The full cosine taper (3.16) gives a 40% increase in the standard error of the estimates, so that in the example of Sec 3.3  $\epsilon_r$  would increase from 32% to 45%.

A good compromise is to use a function of the form of (3.16) but applied to perhaps 12<sup>1</sup>/<sub>2</sub>% of the record at each end. This results in a substantial reduction in leakage (if this is thought to be necessary) while having only a small effect on the statistics of the calculation.

### 3.5.1 Restoration of Total Variance when a Taper Function has been used

Because of the random nature of the process, the application of a known taper function will reduce the variance of the record by an unpredictable amount. Now the basic definitions (1.1, 1.2, 3.5 and 3.7) imply that the total variance of the record must be equal to the integral of the spectrum over frequency. We expect the use of the taper to change the shape of the spectrum by a greater or lesser amount, but it is usual to require the total variance of the sample spectrum to be the same as the variance of the sample record. We impose this condition by scaling the final spectrum by an appropriate amount.

## 3.6 Integrated properties of the spectrum

The  $n$ th moment of the spectrum is defined as

$$m_n = \int_0^\infty S(f) f^n df \quad (3.17)$$

In practice the integral is replaced by the finite sum

$$m_n = \sum_{i=j}^k S_i f_i^n \Delta f \quad (3.18)$$

The selection of  $j$  and  $k$  should be undertaken with care.

It is not sensible to include in the sums contributions from frequency bands in which the performance of the instrument is known to be questionable. Moreover, for most engineering purposes the frequency band of interest is contained within the range 0.05Hz to 0.5Hz.

At IOS, in the case of Waverider measurements, the sums are formed over a frequency range 0.04Hz to 0.63Hz. Using (3.10) these correspond (in the example of Sec 3.3) to smoothed estimate numbers of 5 and 65.

The moments can also be calculated from the elementary Fourier components, with suitable adjustments to (3.18), thus

$$m_n = \sum_{n=j'}^{k'} \phi_n f_n^m f_0 \quad (3.19)$$

The values of  $j'$  and  $k'$  which correspond to  $j$  and  $k$  above are 41 and 650.

Several wave parameters of interest can be calculated from the moments; in particular:

The significant waveheight,  $H_s = 4 \sqrt{m_0}$

The mean zero crossing period,  $T_z = \sqrt{\frac{m_0}{m_2}}$

A number of additional period parameters can be calculated from the moments, the sequence

$$T(0, n) = \left( \frac{m_0}{m_n} \right)^{\frac{1}{n}} \quad n \neq 0$$

is of interest, in particular:

$$\begin{aligned} T(0, 2) &= T_z, \text{ the zero crossing period} \\ T(0, 1) &= \bar{T} \text{ the mean period} \\ T(0, -1) &= T_e \text{ the energy period} \end{aligned}$$

### 3.6.1 Spectral peakedness parameters

Several spectral peakedness parameters have been proposed, including one by Goda which he calls  $Q_p$ , given by

$$Q_p = \frac{2 \int_0^{\infty} f S^2(f) df}{m_0^2} \quad (3.20)$$

The appearance of  $S^2$  in the numerator makes  $Q_p$  sensitive to the degree of smoothing employed. In our example, values calculated from the unsmoothed spectrum are typically twice those calculated from the smoothed spectrum.

At IOS  $Q_p$  is calculated from the smoothed spectrum, thus:

$$Q_p = \frac{2 \Delta f}{m_0^2} \sum_{i=5}^{65} f_i S_i^2 \quad (3.21)$$

#### 4. SEQUENCE OF OPERATIONS TO ESTIMATE THE SPECTRUM OF A WAVE RECORD

- (1) Subject digital record to quality control scrutiny (not discussed in this note)
- (2) Subtract mean of record
- (3) Calculate variance of record
- (4) Apply taper function if required
- (5) Input data to FFT program
- (6) Form spectrum as  $T/2 (a_i^2 + b_i^2)$
- (7) Scale spectrum with instrument scaling etc (NB: ensure scaling applied in FFT algorithm is allowed for)
- (8) Compare total variance of spectrum with variance of sample record  
This is always a useful check since it will reveal the scaling applied in the FFT program
- (8(a)) If a taper function has been used, ensure that total variance of the spectrum is equal to the variance of the sample record by scaling the spectrum appropriately.
- (9) Apply frequency response corrections for instrument, electronics, recorder etc.
- (10) Calculate moments of the spectrum
- (11) Average over adjacent harmonics to form final smoothed spectrum.  
Note: This averaging process can be placed before item (9) with negligible effect on the accuracy in most instances. In any case the spectrum should be smoothed before the peakedness parameter is calculated.
- (12) Calculate  $Q_p$  or other peakedness parameter.



## 5. QUALITY INDICATORS

At IOS the bulk of the automatic detection of errors is done using the (time domain) wave records themselves and the results of these checks are appended to the record as a series of flags. These flags are copied into the output of the spectral analysis.

In addition, it may be useful to calculate some quantities from the spectra which give an indication of quality. Experience has shown that such quantities can rarely be used 'unseen' as discriminators between usable and unusable spectra, but they are valuable as indicators as to whether or not the spectra should be examined further.

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## APPENDIX B

### Instructions for running the Spectral Analysis Program

#### Assignment of files:

The "run data", ie data which define the parameters to be used in each particular run of the program are input on Logical Unit Number (LUN) 1.

The input digital wave data files should be assigned in chronologically increasing order to LUN 1~~0~~ and upwards. The only constraint on the number of files imposed by the program is that there should be 99 or less.

The main spectral file is written to LUN2.

The index file is written to LUN5

The size of the output files can be calculated using the following information.

The spectral files are made up of records with a uniform length of 96 characters. There are 4 file header records, and each spectrum occupies 18 records. "No-data" entries occupy 1 record.

The index files are similarly made up of 96 character records. There are 11 file header records. Each "data" entry occupies 2 records, "no-data" entries occupy 1 record.

Memory requirements: The program requires a minimum of 32K words of memory to run successfully.

Entry of "run data": It will be found useful if a pro-forma is prepared which can be completed before each run of the program. A copy of the form used at IOS is included as figure B1.

#### First line (4~~0~~A1)

ITITL Title of data. This is written to both output files as the first file header record.

#### Second line (2A1)

ISITE Site code.

INST Instrument code.

#### Third line (I2, I3, 2I2)

ISTY Last 2 digits of year (nominal) in which output data series starts.

ITD Day number (nominal) on which output data series starts.

ITH Nominal time (hours) at which output data series starts

ITM Nominal time (minutes) at which output data series starts.

Fourth line (2I2, I3, I1)

INITM            Month number (nominal) in which output data series starts.  
NIMF            Number of input files.  
NDLY            Number of days in last year. This is used in the record  
                 allocation logic. "Last year" refers to the year preceding  
                 the last year-boundary whether or not the boundary is  
                 included in the output data period.  
NPR            Taper scale.

Fifth line (I3, A1)

LAST            Day number of last day to be included in the output data series.  
LOF            Type of logical end of file record (LEOF) to be written.  
                 If "M" the standard LEOF is written (all nines).  
                 If "S" the end of series LEOF is written (all eights)  
                 and provision is made for the input of a second scale factor.

Sixth line (I2)

NOR1            Number of records whose status flag (KFLAG(9)) is to be  
                 specified as (or changed to)  $\emptyset$ . If NOR1 equals  $\emptyset$ , the  
                 next line is omitted.

Seventh line (NOR1 (I2, I2, I3))

Consists of NOR1 groups of three numbers, each group identifies one of  
the NOR1 records whose status flag is to be set to  $\emptyset$ . For the Nth such  
record the three numbers are as follows:

OR1T $\emptyset$  (N, 1)    Year of Nth record.  
OR1T $\emptyset$  (N, 2)    Number of the input file in which the Nth record appears  
                 (equal to LUN-9).  
OR1T $\emptyset$  (N, 3)    Observation number of Nth record as it appears in the input file.

Eighth line (I2)

NOR2            Number of records whose status flag (KFLAG(9)) is to be  
                 specified as (or changed to) 1. If NOR2 is equal to  $\emptyset$ ,  
                 the next line is omitted.

Ninth line (NOR2 (I2, I2, I3))

Consists of NOR2 groups of three numbers, each group identifies one  
of the NOR2 records whose status flag is to be set to 1. For the Mth  
such record the three numbers are as follows:

ORØT1	(M, 1)	Year of Mth record.
ORØT1	(M, 2)	Number of the input file in which the Mth record appears (equal to LUN-9).
ORØT1	(M, 3)	Observation number of the Mth record as it appears in the input file.

Tenth line (3F10.8)

SCALF	Scale factor for converting the digital units of the input data into users units (usually metres).
SINT	Sampling interval (seconds).
SCALF2	Second scale factor (if required).

Eleventh line (I3, 2I2)

This gives information on the precise date and time from which the second scale factor is to apply. The program assumes that a second scale factor will be required only if the LEOF is set to 'end of series' (LOF = 'S'). Otherwise this line is omitted.

ICSFD	Day number of scale factor change.
ICSFH	Hour of scale factor change.
ICSFM	Minute of scale factor change.

# SPECTRAL ANALYSIS PROGRAM INPUT DATA

AS: <input type="text"/>	PROGRAM :	DATE RUN :
AS: <input type="text"/>		S NUMB :
Title.		FILES USED :
<input type="text"/>		
Site, Instrument code.		
<input type="text"/>		
Nominal year, Dayno, Time of first data record.		
<input type="text"/>		
Starting month number, Number of files to be processed, Number of days in previous year, Taper scale.		
<input type="text"/>		
Last dayno in each month, LEOF mark (M or S).		
<input type="text"/>		
Number of $\emptyset$ to 1 record status overrides.		
<input type="text"/>		
Year, Input file number, Record number of each override (omit this line if none).		
<input type="text"/>		
Number of 1 to $\emptyset$ record status overrides.		
<input type="text"/>		
Year, Input file number, Record number of each override (omit this line if none).		
<input type="text"/>		
Scale factor, Sampling int.	Scale Factor 2	DayNo Time S.F. Change
<input type="text"/>	<input type="text"/>	<input type="text"/>

Figure B1

## APPENDIX C

Description of SUBROUTINE READ, and discussion of compatibility requirements of user replacement

SUBROUTINE READ (LUN, I, IW, KFLAG, LPAR, M, N, NEND)

### Function

The subroutine reads from logical unit number LUN in one of two modes controlled by argument N.

If N = 1 the subroutine reads one wave observation consisting of an observation header and 2088 data points. The data are arranged in 36 blocks of 58 points, and appended to each block is a group of 10 error flags and a parity result character.

If N = 2 a four-record file header is read. Thus, at the start of an input file the subroutine is called once in mode N = 2, and then as many times as required in mode N = 1.

### Explanation of arguments

LUN: Logical unit number from which data are to be read.

I: Observation identification array:

- (1) Logger number
- (2) Field tape block number
- (3) Year
- (4) Day
- (5) Hour
- (6) Minute
- (7) Observation number in field tape.

Of these, only (4), (5) and (6) are used in the main program.

KFLAG (1,1) to KFLAG (1,10): a group of 10 observation error flags which take values of 1 or 0. If they are 'set' ie equal to 1, they have the following meanings:

- (1, 1) Observation has been manually edited.
- (1, 2) Digital data from digitised analogue observation.
- (1, 3) Ship steaming (SBWR observation only)
- (1, 4) Not used.
- (1, 5) Observation start not an intermediate synoptic hour.
- (1, 6) Inter-observation gap not 3 hours.
- (1, 7) Not used.
- (1, 8) Not used.

(1,9) Observation invalid.

(1, 10) Observation length incorrect.

I and KFLAG (1, 1) to KFLAG (1, 10) together constitute the observation header

IW                    2088 element array containing the data points - only the first  
                      2048 elements in IW are used in the main program

KFLAG (2, 1) to KFLAG (37, 10)

Block error flags. These are not used in the main program.

LPAR                  36 element array containing block parity result characters.

Not used in main program.

IW, KFLAG (2, 1) to KFLAG (37, 10) and LPAR together constitute the data

M (4, 40)            Array containing 4 line header. Not used in main program.

N                    Mode of call

NEND                Returned as 1 if end of file encountered, otherwise 0.

#### Requirements of replacement READ

In order to be compatible with the main program, a replacement read must return the following:-

I (4)                Day number

I (5)                Hour

I (6)                Minute

I (7)                Observation number in field tape

KFLAG (1, 1) to KFLAG (1, 10) observation error flag array.

IW (1) to IW (2048) 2048 data points.

Mode of call - N

The main program makes one call in mode N = 2 at the beginning of each input file, followed by as many mode N = 1 calls as required.

## APPENDIX D

### Description of subprograms in WARP4S

#### INTRODUCTION

The subprograms contained in WARP4S are all concerned with the spectral analysis of wave data. They were written over a period of years to perform various analysis functions, and sometimes the same function but in slightly different ways. One constant factor during their development was that the SUBROUTINES FFT and RTRAN have been used to perform the Fourier analysis, and so all the subprograms are compatible with the input and output of FFT and RTRAN.

Descriptions of these two subprograms appear at Appendix G, and it should be born in mind when studying this that they are used in the present system of programs to calculate the single sided transform of a real data series (sample).

Scaling of FFT results:

Consider the finite discrete Fourier series representation of the (zero-mean) function  $h(m)$  defined over the finite interval  $1(1)N$

$$h(m) = \sum_{n=1}^{N/2} \left\{ a_n \cos \frac{2\pi n m}{N} + b_n \sin \frac{2\pi n m}{N} \right\} \quad -1$$

After FFT and RTRAN have been called, the elements of arrays A and B bear the following relationship to the Fourier coefficients a and b in equation 1 (see appendix A):

$$\begin{bmatrix} a_L \\ b_L \end{bmatrix} = \begin{bmatrix} A(L+1)/N \\ B(L+1)/N \end{bmatrix} \quad -2$$

In 1 and 2 N is the number of points in the data series. In the program system this is represented by MOBS.

The elementary sample estimate of the spectrum (the periodogram) is defined as follows (see appendix A).

$$S(L) = T/2 (a_L^2 + b_L^2) \quad -3$$

where T is the series length in seconds, and equals MOBS x SINT, SINT being the sampling interval in seconds.



$$\text{Thus } S(L) = \frac{\text{MOBS} \times \text{SINT}}{2} (a_L^2 + b_L^2) \quad -4$$

which with (2) gives

$$S(L) = \frac{\text{SINT}}{\text{MOBS} \times 2} (A^2 (L + 1) + B^2 (L + 1)) \quad -5$$

A list of the subprograms which are available in WARP4S is now given in the order in which they appear in the file. In this order, they constitute a subprogram library.

	Page number
FDAV	D4
FLIM	D5
CCAL2	D6
DCAL2	D7
FMOM	D8
TOVAR	D9
BSTAT	D10
CQDAV	D11
QUPEE	D12
DATA2	D13
DATA	D14
SINTAB	D15
QSIN2	D16
FTAB	D17
FCORR	D18
SPEC	D19
FDAV2	D20
UNITY	D21
WRAMP	D22
CQSPEC	D23
CQDAV2	D25
SBTAB	D26

#### Interdependence of subprograms:

Not all of the subprograms are independent of each other. The application of a cosine taper, for example, requires an initialisation call to

SUBROUTINE SINTAB followed by a call to SUBROUTINE DATA2, which prepares the data for FFT while applying a cosine taper. Within DATA2, FUNCTION QSIN2 is used to look-up the value of the taper function, the two programs being completely interdependent.

Each subprogram description gives information on its dependence on other subprograms in the system.

#### Array indexing convention

In order to be consistent with the output of RTRAN which, according to equation 2, puts the first harmonic in element 2 of the transform arrays, the second in element 3 and so on, all tabulations relating to the Fourier transform including frequency calculations follow this same convention.

However, when frequency domain averaging has been performed the first smoothed estimate above zero frequency is placed in element 1 of the relevant array and this also applies to the associated frequencies.

To avoid confusion, the above information is repeated at all relevant points in the individual subroutine documentation.

#### Naming conventions of variables

The names of the dummy arguments used in the subprograms are, as far as possible, consistent throughout the system. However, there are exceptions to this rule and the documentation of each subprogram should be carefully studied before calls are coded.

SUBROUTINE FDAV (NN, NAV, NOL, A, B, MOBS, NE, SCALF, SINT, NIA, NIB)  
(Frequency-domain averaging)

#### Purpose

Starting with the discrete Fourier transform of a time series in arrays A and B, FDAV does the following:

- (1) Forms the spectrum (see equation 5).
- (2) Averages over NAV adjacent elementary (periodogram) estimates, with overlap NOL.
- (3) Applies a scaling factor.
- (4) Forms a table of frequencies for the averaged spectrum.

#### Argument list

NN	Number of components in discrete Fourier transform ( $= \text{MOBS}/2$ ).
NAV	Number of adjacent elementary spectrum estimates to be included in each smoothed spectral estimate.
NOL	Number of elementary estimates to be common to adjacent smoothed estimates. If no overlap required, set to zero.
A	Array which initially contains the cosine terms of the discrete Fourier transform (see equation 2). The array is returned containing the smoothed spectrum in elements A(1) to A(NE).
B	Array which initially contains the sine terms of the discrete Fourier transform. The array is returned containing the frequencies for the smoothed spectrum in elements B(1) to B(NE).
MOBS	Number of points in the data series.
NE	Number of smoothed spectral estimates in final spectrum.
SCALF	Scaling factor (linear) to convert wave heights to user units (usually to metres).
SINT	Sampling interval in seconds.
NIA	Dimension information for A.
NIB	Dimension information for B.

#### Dependence on other subprograms in the system

Calls to FFT and RTRAN to calculate the Fourier transform must precede a call to FDAV.

SUBROUTINE FLIM (TETOP, TBOTT, ISTAR, ISTOP, B, NIB)  
(Frequency limit)

#### Purpose

FLIM inspects array B and identifies those elements which contain frequencies which are closest to  $1/\text{TETOP}$  and  $1/\text{TBOTT}$ . The corresponding indices are returned as ISTAR and ISTOP. Used for defining the summation range for the calculation of moments etc.

#### Argument list

TETOP	Period in seconds of low frequency limit.
TBOTT	Period in seconds of high frequency limit.
ISTAR	Low-frequency summation limit.
ISTOP	High-frequency summation limit.
B	Array containing monotonically increasing table of frequencies.
NIB	Dimension information for B.

#### Dependence on other subprograms in the system

The frequency table, B, would normally be output from SUBROUTINE FDAV.

FUNCTION CCAL2 (FREQ, AK, D)

Purpose

To calculate the amplitude frequency response correction for the type 4856 ship-borne wave recorder.

Argument list

FREQ	Frequency in Hz. A low-frequency limit is included so that that if FREQ is less than 0.04 Hz, the correction appropriate to 0.04 Hz is returned.
AK	K value. The true sensor depth D is multiplied by this number before the correction is evaluated. Often set to 2.5.
D	Pressure sensor depth (metres).

Dependence on other subprograms in the system

Nil.

## FUNCTION DCAL2 (FREQ)

### Purpose

To calculate the amplitude frequency response correction for the Datawell Waverider buoy.

### Argument list

FREQ                      Frequency (Hz). NB A low-frequency limit is included so that if FREQ is less than 0.04 Hz, the correction appropriate to 0.04 Hz is returned.

### Dependence on other subprograms in the system

Nil

FUNCTION FMOM (N, B, E, MOBS, ISTAR, ISTOP, NAV, SINT, NIB, NIE)  
(Frequency moment)

Purpose

To calculate the frequency moment of order N of the spectrum.

Argument list

N	Order of moment to be calculated.
B	Array containing table of frequencies of the spectrum.
E	Array containing corresponding spectral estimates.
MOBS	Number of points in original data series.
ISTAR	Low frequency summation limit ) These expressed as the number of
ISTOP	High frequency summation limit) the element of B containing the
	relevant frequency.
NAV	Number of elementary spectral estimates over which estimates
	in E have been averaged.
SINT	Sampling interval in seconds.
NIB	Dimension information for B.
NIE	Dimension information for E.

Dependence on other subprograms in the system

Calls to FFT and RTRAN and other appropriate subprograms must be made so that the spectrum is available in E, with its corresponding frequencies in B.

FUNCTION TOVAR (NSEC, RVAR, RMEAN, MOBS)  
(Total variance)

Purpose

To calculate the total variance of a data series which has been divided into sections of equal length whose individual means and variances are known.

Argument list

NSEC	Number of sections into which data series is divided.
RVAR	Array containing the variances of the NSEC sections.
RMEAN	Array containing the means of the NSEC sections.
MOBS	Number of points in each section.

Dependence on other subprograms in the system

Nil



SUBROUTINE BSTAT (IX, MOBS, XMEAN, VAR)  
(Basic Statistics)

Purpose

Calculate the mean and variance of the data series.

Argument list

IX	Array containing data points.
MOBS	Number of points in the series.
XMEAN	Mean of the series.
VAR	Variance of the series.

Dependence on other subprograms in the system

Nil

SUBROUTINE CQDAV (NN, NAV, NOL, A, B, C, D, MOBS, NIA, SINT, COSP, QUAD, FREQA, NE, NINE, SCALF)

(Development of FDAV to form co- and quad-spectra)

#### Purpose

Starting with the discrete Fourier transforms (DFT's) of two related time series in arrays A, B, C and D, CQDAV does the following:

- (1) Forms the co-spectrum and the quadrature-spectrum of the series.
- (2) Averages over NAV adjacent elementary estimates, with overlap NOL.
- (3) Applies a scaling factor.
- (4) Forms a table of frequencies for the averaged spectra.

#### Argument list

NN	Number of components in discrete Fourier transforms ( $= \text{MOBS}/2$ ).
NAV	Number of adjacent elementary spectrum estimates to be included in each smoothed spectral estimate.
NOL	Number of elementary estimates to be common to adjacent smoothed estimates. If no overlap required, set to zero.
A	Array containing the cosine terms of the DFT of data series 1.
B	Array containing the sine terms of the DFT of data series 1.
C	Array containing the cosine terms of the DFT of data series 2.
D	Array containing the sine terms of the DFT of data series 2.
MOBS	Number of points in data series.
NIA	Dimension information for A, B, C and D.
SINT	Sampling interval in seconds.
COSP	Array containing co-spectrum.
QUAD	Array containing quad-spectrum.
FREQA	Array containing a table of frequencies for spectra.
NE	The number of spectral estimates in COSP and QUAD.
NINE	Dimension information for COSP, QUAD and FREQA.
SCALF	Scale factor (linear) for converting wave heights to user units.

#### Dependence on other subprograms in the system

Calls to FFT and RTRAN to form the DFT's, A, B and C, D are required before CQDAV is called.

FUNCTION QUPEE (B, E, MOBS, ISTAR, ISTOP, NAV, SINT, NIB, NIE)  
(Goda's Qp)

Purpose

To calculate Goda's spectral peakedness parameter, Qp.

Argument list

B	Array containing list of frequencies of smoothed spectrum.
E	Array containing smoothed spectrum.
MOBS	Number of points in original data series.
ISTAR	Low frequency summation limit ) These expressed as the number of
ISTOP	High frequency summation limit) the element of B containing the
	relevant frequency.
NAV	Number of elementary spectral estimates over which estimates
	in E have been averaged.
SINT	Sampling interval in seconds.
NIB	Dimension information for B.
NIE	Dimension information for E.

Dependence on other subprograms in the system

The smoothed spectra and its frequency table must be formed by calling FDAV or FDAV2 before QUPEE is called.

SUBROUTINE DATA2 (A, B, IX, MOBS, NN, NIA, NIB, NIIX, ISILU, NPR)

#### Purpose

To transfer the data series contained in array IX into arrays A and B in the way required by FFT.

In addition a variable cosine taper can be applied to the data.

#### Argument list

A	Array containing prepared data.
B	Array containing prepared data.
IX	Array containing digital data series.
MOBS	Number of points in data series.
NN	Number of elementary components in discrete Fourier transform ( $= \text{MOBS}/2$ ). Also called "order" of FFT.
NIA	Dimension information for A.
NIB	Dimension information for B.
NIIX	Dimension information for IX.
ISILU	Array containing look-up table of values for cosine taper.
NPR	Cosine taper is applied to $1/\text{NPR}$ of the data series at each end. Thus $\text{NPR} = 2$ results in a full cosine taper. If $\text{NPR} = 0$ , DATA2 calls SUBROUTINE DATA and RETURNS.

#### Dependence on other subprograms in the system

If  $\text{NPR} = 0$ , SUBROUTINE DATA is called.

If  $\text{NPR} \neq 0$ , FUNCTION QSIN2 is used to obtain the taper coefficients from ISILU, which must be initialised by a prior call to SINTAB.

SUBROUTINE DATA (A, B, IX, MOBS, NN, NIA, NIB, NIIX)

Purpose

To transfer the data contained in array IX into arrays A and B in the way required by FFT.

Argument list

A	Array containing prepared data.
B	Array containing prepared data.
IX	Array containing digital data series.
MOBS	Number of points in data series.
NN	Number of elementary components in discrete Fourier transform ( = $\text{MOBS}/2$ ). Also called "order" of FFT.
NIA	Dimension information for A.
NIB	Dimension information for B.
NIIX	Dimension information for IX.

Dependence on other subprograms in the system

Nil

SUBROUTINE SINTAB (ISILU, NPR, MOBS)  
(Sine-squared tabulation)

Purpose

To initialise table of coefficient for use in applying a cosine-taper.

Argument list

ISILU	Array containing the table of taper coefficients (Internally dimensioned as 512).
NPR	Cosine taper is applied to $1/\text{NPR}$ of the data series at each end. Thus $\text{NPR} = 2$ results in a full cosine taper. If $\text{NPR} = 0$ , the subprogram returns immediately, and no attempt is made to initialise ISILU.
MOBS	Number of points in data series.

Dependence on other subprograms in the system

The form in which the taper is stored in ISILU is highly specific and requires the use of DATA2 and QSIN2 to access it.

FUNCTION QSIN2 (II, NANTA, ISILU)  
(Quick sine-squared)

Purpose

Used in conjunction with DATA2 to derive the taper coefficients from ISILU.

Argument list

II	Order of coefficient.
NANTA	Number of data points at each end of series to be tapered.
ISILU	Array containing the table of taper coefficients. (Internally dimensioned as 512).

Dependence on other subprograms in the system

FUNCTION QSIN2 is called by DATA2 to apply a cosine taper to the data.  
It should not be used indepently of DATA2.

SUBROUTINE FTAB (MOBS, NN, SINT, FLUP, NAV, NOL, FAVTAB)  
(Frequency table)

#### Purpose

To initialise tables of the frequencies corresponding to the elementary discrete Fourier transform (periodogram) of the data, and the smoothed (averaged) spectrum.

#### Argument list

MOBS	Number of points in data series.
NN	Returned as MOBS/2.
SINT	Sampling interval (seconds).
FLUP	Table of frequencies (Hz) for the elementary spectral estimates (periodogram). Note that the fundamental frequency is placed in element 2, the second harmonic in element 3 etc.
NAV	Number of elementary spectral estimates included in each smoothed spectral estimate.
NOL	Number of elementary estimates which are common to adjacent smoothed estimates. If no overlap required, set to zero.
FAVTAB	Table of frequencies for the smoothed spectral estimates.

Dependence on other subprograms in the system

Nil.



SUBROUTINE FCORR (NN, FLUP, FUNC1, FUNC2, CORLUP)  
(Frequency dependent correction)

#### Purpose

To initialise a table of frequency-dependent corrections to be applied to the spectrum. Tabulated corrections are the squares of the products of two functions of frequency.

#### Argument list

NN	Number of elementary components in discrete Fourier transform ( $= \text{MOBS}/2$ ). Also called "order" of FFT.
FLUP	Table of frequencies (Hz) for the elementary spectral estimates (periodogram).
FUNC1	FUNCTION subprogram of frequency which defines form of first amplitude correction.
FUNC2	FUNCTION subprogram of frequency which defines form of second amplitude correction.
CORLUP	Array containing correction factors corresponding to the frequencies in FLUP, ie first factor is placed in element 2. At each frequency the correction is formed as the square of the product of the two amplitude correction functions ie it is an "intensity" correction.

#### Dependence on other subprograms in the system

The look-up table of frequencies, FLUP, must be initialised by a call to FTAB before FCORR is called.

The FUNCTION subprograms FUNC1 and FUNC2 must be declared EXTERNAL in the calling program.

SUBROUTINE SPEC (A, B, NN, MOBS, CORLUP, SINT, SCALF, VAR, SCADJ2)  
(Spectrum)

#### Purpose

Starting with the discrete Fourier transform of a time series in arrays A and B, SPEC does the following:

- (1) Calculates the taper adjustment factor SCADJ2.
- (2) Forms the unsmoothed spectrum (periodogram) of the data series.  
(Equation 5).
- (3) Applies frequency-dependent corrections, taper adjustment and scale factors.

#### Argument list

A	Array which initially contains the cosine terms of the discrete Fourier transform (equation 2). The array is returned containing the unsmoothed spectrum (periodogram) of the data series in elements 2 to (NN + 1).
B	Array which contains the sine terms of the discrete Fourier transform (equation 2).
NN	Number of points in the data series.
CORLUP	Array containing table of frequency-dependent corrections to be applied to the periodogram.
SINT	Sampling interval (seconds).
SCALF	Scale factor (linear) to convert wave heights to user units (usually to metres).
VAR	Variance of data series.
SCADJ2	Taper adjustment factor.

#### Dependence on other subroutines in the system

Calls to FFT and RTRAN to calculate the Fourier transform of the data series must precede a call to SPEC.

CORLUP must be initialised by a call to FCORR.

VAR may be calculated using BSTAT.

SUBROUTINE FDAV2 (A, E, NAV, NOL, NE, NN)  
(Frequency-domain averaging, 2nd method)

Purpose

Starting with the unsmoothed spectrum (periodogram) of the data series, FDAV2 forms the average over NAV adjacent harmonics with an overlap of NOL.

Argument list

A	Array which initially contains the periodogram of the data series.
E	Array which is returned containing the smoothed spectrum.
NAV	Number of adjacent elementary spectrum estimates to be included in each smoothed spectral estimate.
NOL	Number of elementary estimates to be common to adjacent smoothed estimates. If no overlap required, set to zero.
NE	Number of smoothed spectral estimates in final spectrum.
NN	Number of components in the periodogram.

Dependence on other subprograms in the system

A call to SPEC to form the periodogram of the record must precede the call to FDAV2.

## FUNCTION UNITY (FREQ)

Dummy function of frequency

### Purpose

For use with SUBROUTINE FCORR when only one of the two FUNCTIONS is required, the other is set to UNITY, which always returns the result 1.0.

### Argument list

FREQ                      Frequency in Hz.

Dependence on other subprograms in the system.

Nil.

FUNCTION WRAMP (FREQ)  
(Waverider amplitude)

Purpose

To calculate the amplitude frequency response correction for the Datawell Waverider buoy.

Argument list

FREQ                      Frequency (Hz). NB A low frequency limit is included so that if FREQ is less than 0.04 Hz, the correction appropriate to 0.04 Hz is returned.

Dependence on other subprograms in the system

WRAMP is identical in all respects with DCAL2.

SUBROUTINE CQSPEC (A, B, AMPLUP, CO, QUAD, SBSPEC, E, SCALF, SINT, SCADJ1,  
SCADJ2, MOBS, NN, VAR, IND, NIA)  
(Co- and quad-spectrum)

#### Purpose

CQSPEC calculates the standard spectral quantities obtainable from two related data series. In addition and in particular, it can combine the pressure and heave information from the Shipborne Wave Recorder (SBWR) to give the total spectrum. Starting with the discrete Fourier transforms of the two data series CQSPEC does the following:

- (1) Calculates and applies the taper adjustment factor SCADJ1 for each series.
- (2) Applies the amplitude correction functions to the Fourier coefficients of the two series.
- (3) Applies the necessary scaling, including instrument scaling to the two series. Further functions of the subroutine are controlled by the mode indicator IND:-
- (4) If IND equals 0, the subroutine forms the SBWR spectrum and returns.  
If IND equals 1, the subroutine does the following:-
- (5) Forms the two auto-spectra.
- (6) Forms the quadrature spectrum.
- (7) Forms the co-spectrum.

If IND is greater than 1, the subroutine carries out all functions (1) to (7).

#### Argument list

A	2-dimensional array containing the cosine terms of the discrete Fourier transforms of the two data series.
B	2-dimensional array containing the sine terms of the discrete Fourier transforms of the two data series.
AMPLUP	2-dimensional array containing the amplitude response corrections for the two Fourier transforms. These would normally be those appropriate to the pressure and heave channels of the type 5254 SBWR.
CO	Array containing unsmoothed estimate of the co-spectrum of the two data series.
QUAD	Array containing unsmoothed estimate of the quad-spectrum of the two data series.
SBSPEC	Array containing the unsmoothed estimate of the combined SBWR

spectrum.

E	2-dimensional array containing unsmoothed estimates of the two auto-spectra of the two data series.
SCALF	2-element array containing the scale factors (linear) required to convert the data series units to user units.
SINT	Sampling intervals (seconds).
SCADJ1	2-element array containing square-roots of SCADJ2.
SCADJ2	2-element array containing the taper adjustment factors of the two series.
MOBS	Number of points in the data series.
NN	Number of components in discrete Fourier transforms ( = $\text{MOBS}/2$ ).
VAR	2-element array containing the variances of the two data series.
IND	Mode of call.
NIA	Dimension information for the 2-dimensional arrays A, B, AMPLUP, and E, eg A is dimensioned A (NIA, 2).

Dependence on other subprograms in the system

Calls to FFT and RTRAN to form the discrete Fourier transforms of the two data series must precede the call to CQSPEC.

The array AMPLUP must be initialised before CQSPEC is called. In the case of data from the type 5254 SBWR, this is done by calling SUBROUTINE SBTAB.

SUBROUTINE CQDAV2 (E, CO, QUAD, SBSPEC, GAMMA2, NAV, NOL, NN, NE, IND, NIA)  
(Extension of FDAV2 to perform frequency domain averaging of co- and quad-spectra)

#### Purpose

Starting with unsmoothed estimates of the several spectral and cross-spectral quantities obtained from two data series CQDAV2 forms the average over NAV estimates with overlap NOL. The precise function of the subroutine is controlled by the mode indicator IND:

If IND equals 0, only the combined SBWR spectrum is averaged.

If IND equals, 1, averaged values of the two auto-spectra, the co- and the quad-spectra and the coherency are returned.

If IND is greater than 1, all those functions included under IND equals 0 and IND equals 1 are performed.

#### Argument list

E	2-dimensional array initially containing the unsmoothed estimates of the two auto-spectra. Returned containing the averaged auto-spectra. Note that the unsmoothed auto-spectrum of series 1 is contained in elements E (2, 1) to E (NN + 1, 1). The smoothed spectrum is contained in elements E (1, 1) to E (NE, 1).
CO	Array initially containing the unsmoothed estimate of the co-spectrum of the two series. Returned containing the averaged co-spectrum.
QUAD	Array initially containing the unsmoothed estimate of the quad-spectrum of the two series. Returned containing the averaged quad-spectrum.
SBSPEC	Array initially containing the unsmoothed estimate of the SBWR spectrum. Returned containing the averaged SBWR spectrum.
GAMMA2	Contains the coherency ( $\gamma^2$ ) of the two series.
NAV	Number of adjacent elementary spectrum estimates included in each smoothed estimate.
NOL	Number of elementary estimates to be common to adjacent smoothed estimates. If no overlap required, set to zero.
NN	Number of components in unsmoothed spectra.
NE	Number of smoothed estimates in final spectra.
IND	Mode of call.
NIA	Dimension information for E, ie E is dimensioned E (NIA, 2).



SUBROUTINE SBTAB (FLUP, AK, D, NN, AMPLUP, LFLIM, HFLIM, NIA)  
(Tabulate SBWR corrections)

#### Purpose

SBTAB tabulates the amplitude frequency response corrections for the pressure channel and the heave channel for the 5254 SBWR.

#### Argument list

FLUP	Table of frequencies (Hz) for which corrections are to be evaluated.
AK	K-value. The true sensor depth D is multiplied by this number before the correction is evaluated. Often set to 2.5.
D	Pressure sensor depth (metres).
NN	Number of elementary spectral estimates for which correction required ( = $MOBS/2$ ).
AMPLUP	2-dimensional array containing the amplitude response corrections for pressure channel and the heave channel.
LFLIM	Low-frequency limit (Hz). At frequencies below this the correction appropriate to LFLIM is returned. LFLIM is a REAL variable.
HFLIM	High-frequency limit (Hz). At frequencies above this the correction appropriate to HFLIM is returned.
NIA	Dimension information for AMPLUP ie AMPLUP is dimensioned (NIA, 2).

#### Dependence on other subprograms in the system

The frequency table FLUP must be initialised by a call to FTAB before SBTAB is called.

## APPENDIX E

### Example spectral analyses using the subroutines in WARP4S

#### Introduction

Study of Appendix A will reveal that the spectral analysis of wave records can be undertaken in a number of slightly different ways. The exact method selected will depend on the circumstances, although for most applications the difference between the results using the different methods here described are rather small.

The relevant analysis choices are as follows:-

- (1) Data series may be tapered or not.
- (2) The smoothed spectrum may be formed before
  - (a) the application of frequency response corrections and
  - (b) the calculations of the momentsOR
  - (c) the periodogram may be corrected for frequency response and
  - (d) the moments calculated from the corrected periodogram, before finally
  - (e) the periodogram is smoothed to produce the final spectrum.

#### Purpose of the examples

The examples are not complete working programs, but show the sequence in which the subprograms from WARP4S should be called to accomplish the following analysis:

##### Example 1

Calculate spectrum of a 2048-point data series sampled at 2 Hz without application of taper. Form smooth spectrum by averaging over 10 harmonics apply frequency response corrections; calculate moments of spectrum, calculate Qp. (This method was used in a small computer where memory was very restricted.)

##### Example 2

Calculate spectrum of 1024 point data series sampled at 1 Hz applying full cosine taper. Form periodogram; apply frequency response corrections; calculate moments of spectrum; smooth spectrum over 10 harmonics, calculate Qp.

#### Note on summation range of moments

In each example we will sum the moments over a frequency range of

(approximately) 0.05 to 0.5 Hz. The indices of the corresponding spectral estimates can be calculated for example using equation (3.18) in Appendix A. Alternatively, SUBROUTINE FLIM could be called at an appropriate point, but this method is not used in the examples.

In example 2 the situation is a little more involved.  $Q_p$  is to be calculated from the smoothed spectrum, whereas the moments are to be calculated from the periodogram. For the sake of tidiness, if for no other reason, it is desirable that the estimates of all the integrated properties should be based on the same periodogram estimates. Thus, summation over 0.05 to 0.5 Hz requires that we sum over smoothed spectral estimate numbers 5 to 51. This range contains contributions from periodogram estimate numbers 41 to 510; but we should note that these estimates are contained in array elements 42 to 511, and these are the values of ISTAR and ISTOP which are used in the calculation of the moments.

#### EXAMPLE 1

```

      DIMENSION IX (2048), A (1025), B (1025), E (1025), RMOM (7)
      EQUIVALENCE(A (1), E (1)).
      |
      |
      Read 2048 data values into IX, read SCALF
      |
      |
      Calculate mean and variance of data
      CALL BSTAT (IX, 2048, XMEAN, VAR)
      Subtract mean
      DO 10 N = 1, 2048
10    IX (N) = IX (N) - IFIX (XMEAN)
      Prepare data for FFT
      CALL DATA (A, B, IX, 2048, NN, 1025, 1025, 2048)
      Compute Fourier Transform
      CALL FFT (A, B, NN, NN, NN, 1, 1025, 1025)
      CALL RTRAN (A, B, NN, 1, 1025, 1025)
      Form and smooth spectrum
      CALL FDAV (NN, 10, 0, A, B, 2048, NE, SCALF, 0.5, 1025, 1025)
      Apply frequency response corrections. Now that array A contains the
      spectrum we refer to it as E.
      DO 20 N = 1, NE
20    E (N) = E (N)* DCAL2 (B(N))**2
      Calculate 7 moments of order -2 to +4

```

```

DO 3Ø M = 1, 7
N = M-3
3Ø FMOM (M) = FMOM (N, B, E, 2Ø48, 5, 5, 1Ø, Ø.5, 1Ø25, 1Ø25)
Calculate Qp
QP = QUPEE (B, E, 2Ø48, 5, 51, Ø.5, 1Ø25, 1Ø25)

```

## EXAMPLE 2

```

DIMENSION IX (1Ø24), A (513), B (513), E (513), RMOM (7), ISILU (512),
FLUP (512), FAVTAB (51), CORLUP (512)
EQUIVALENCE (A (1), E (1))
EXTERNAL WRAMP, UNITY
DATA NN/512/

Initialise taper look-up table
CALL SINTAB (ISILU, 2, 1Ø24)
Initialise tables of frequencies
CALL FTAB (1Ø24, NN, 1.Ø, FLUP, 1Ø, Ø, FAVTAB)
Initialise table of frequency-dependent corrections
CALL FCORR (NN, FLUP, WRAMP, UNITY, CORLUP)
      |
      |
Read 1Ø24 data values into IX, read SCALF
      |
      |
Calculate mean and variance of data
CALL BSTAT (IX, 1Ø24, XMEAN, VAR)
Subtract mean
DO 1Ø N = 1, 1Ø24
1Ø IX (N) = IX (N) - IFIX (XMEAN)
Apply taper to data and prepare for FFT
CALL DATA2 (A, B, IX, 1Ø24, NN, 513, 513, 1Ø24, ISILU, 2)
Compute Fourier Transform
CALL FFT (A, B, NN, NN, NN, 1, 513, 513)
CALL RTRAN (A, B, NN, 1, 513, 513)
Form periodogram, apply taper adjustment, frequency response corrections
to scale factor
CALL SPEC (A, B, NN, 1Ø24, CORLUP, 1.Ø, SCALF, VAR, SCADJ2)
Calculate 7 moments of order -2 to +4
DO 3Ø M = 1, 7
N = M-3

```

```

30 RMOM (M) = RMOM (N, FLUP, E, 1024, 41, 510, 1, 1.0, 513, 513)
Average spectrum over 10 harmonics, without overlap
CALL FDAV2 (A, E, 10, 0, NE, NN)
Calculate Qp from smoothed spectrum
CALL QUPEE (FAVTAB, E, 1024, 5, 51, 10, 1.0, 513, 513)

```

## APPENDIX F

### Format and content of spectral data files

A spectral file and an index file are written at each run of the program. They each consist of formatted records with a uniform length of 96 characters. The entry in the output file corresponding to one digital site wave recording will be called an 'observation'. Hereafter, 'record' will mean 'logical record'.

#### Spectral file structure

The file starts with a file header consisting of 3 records, these are followed by the observations and the file is terminated by a logical end of file record.

#### Format of file header

##### Record 1

Data header record FORMAT (5X, 40A1, 51X)

##### Record 2

ISITE	Site Code
INST	Instrument Code
FORMAT (2A1, 94X)	

##### Record 3

ISTY	Nominal year of first observation
ITD	Nominal day number of first observation
ITH	Nominal time of first observation (Hours)
ITM	Nominal time of first observation (Minutes)
FORMAT (1X, I2, I3, 1X, 2I2, 84X)	

#### Format of observation

Each observation consists of 18 records.

Each record consists of 96 characters.

These records contain the following information:

##### Record 1

ISTY	Last two digits of year
NDAY	Day number
IHR	Hour
MIN	Minutes

HS                                Significant wave height  
 TZ                                Mean zero-crossing period  
 SNRL                              Quality figure, low frequencies  
 SNRH                              Quality figure, high frequencies  
 SCADJ1                            Taper adjustment factor  
 KFLAG                            (1-10) Validation flags  
 NREC                              Record number (address) of this record with  
                                  respect to the beginning of the file  
 FORMAT (5X, I2, I3, 3X, 2I2, 5 (3X, F6.2) 2X, 10I1, 4X, I5, 11X)

#### Record 2

RM (1-7)                          Moments of spectrum order -2 to +4  
 QP                                Goda's spectral peakedness parameter  
 FORMAT (8E12.5)

#### Records 3-18

Tabulation of FREQ (I) frequency (Hz) and  
                                  S (I) spectral density ( $m^2/Hz$ )  
 as 64 pairs, arranged 4 per record as follows:

FREQ (1)	S(1)	FREQ (17)	S(17)	- - - - -	FREQ (49)	S(49)
FREQ (2)	S(2)	FREQ (18)	S(18)	- - - - -	FREQ (50)	S(50)
FREQ (16)	S(16)	FREQ (32)	S(32)	- - - - -	FREQ (64)	S(64)

This can be read using the following statement:

```

READ (n, 8000) ((FREQ (N + M - 1), M = 1, 49, 16), N = 1, 16)
8000 FORMAT (4(3X, F6.4, 3X, E12.5))
  
```

#### 'No data' record

Only Record 1 appears in a 'no-data' observation, and in this all real numbers are set to 99.99, and the validation flags are set to 9. NREC, the record address continues to increment correctly.

#### File termination

There is a logical end of file record which takes the place of Record 1 of the next observation after the end of the data:    ISTDY (LEOF)  
    NDAY (LEOF)

IHR (LEOF)  
MIN (LEOF)  
FORMAT (5X, I2, 2X, I3, 3X, 2I2, 77X)

#### Index file structure

Index files are a separate file series which contain a subset of the information contained in the spectral files. They were designed to be written to a line-printer and so form a convenient hard-copy index to the spectral files. However, since they contain a fairly complete parameterisation of the spectra in terms of their moments spectral peakedness and quality indicators they are often used as the main data source.

#### File header records

There are 11 file header records, as follows:-

Record 1	Fortran carriage control '1' (form feed)
Record 2	15X, 'INSTITUTE OF OCEANOGRAPHIC SCIENCES'
Record 3	Blank
Record 4	5X, 'SPECTRAL ANALYSIS OF WAVE DATA'
Record 5	Blank
Record 6	25X, ITITL (40A1)
Record 7	Blank
Record 8	5X, 'YEAR', 2X, ISTDY (I4), 5X, 'MONTH NUMBER', 2X, MON (I2)
Record 9	Blank
Record 10	5X, 'SITE', 5X, ISITE (A1), 5X, 'INSTRUMENT', 5X, INST (A1)
Record 11	Blank

#### Observations

Each observation is represented by the first 2 records of the spectral observation as they appear in the spectral file.

'No-data' observations appear as one-record entries as in the spectral file.

#### File termination

Each index file is terminated by the same logical end of file (LEOF) code as its corresponding spectral file.



## APPENDIX G

### Description of SUBROUTINES FFT and RTRAN

Appendix G consists of the standard IOS documentation for the library SUBROUTINES FFT and RTRAN. The versions of these subroutines which are used in the spectral analysis suite of programs differ from the library versions in that the dimensions of the main array variables are included as arguments of the call, thus:-

```
CALL FFT (A, B, N, N, N, ISN, NIA, NIB)
```

Where NIA and NIB are the respective dimensions of arrays A and B.

SUBROUTINE FFT(A,B,NTOT,N,NSPAN,ISN)

### Function

Complex, multivariate, mixed-radix, Fast Fourier Transform.  
(Data series length not restricted to  $2^n$ )  
N.I.O Subprogram -134 on IBM 1800 computer.

This Fast Fourier Transform subroutine has great advantages over previous ones in that the lengths of data series used are not restricted to powers of 2.

With this subroutine the only restrictions are:-

1. The (complex) series length N must have all factors less than or equal to 23,
2. The product of square-free factors of N must be less than or equal to 210.

As the list of possible piece lengths shows (Appendix) this puts very little restriction on the user.

Subroutine written originally by R.C.Singleton at Stanford Research Institute.  
Converted for IBM 1800 by C.Clayson, W.J.Gould, M.Winbush.  
Transferred to Honeywell 66 by W.K.Strudwick.

### Input Parameters

A	Array containing real components of the data series.
B	Array containing imaginary components of the data series.
NTOT	Total number of complex variables.
N	Dimension of the current variable (for multivariate case).
NSPAN/N	Spacing of consecutive data values.
ISN	The sign of ISN is the sign of the complex exponential. The magnitude of ISN is 1.

### Output Parameters

- A        Array containing real components of resulting Fourier coefficients.  
         Multiply by  $1/N$  to give usual scaling of coefficients.
- B        Array containing imaginary components of resulting Fourier coefficients.  
         Multiply by  $1/N$  to give usual scaling of coefficients.

### Calling Subroutine

#### Single Variate Transform

For a single variate transform  
NTOT = N = NSPAN = (Number of complex data values)

eg. CALL FFT (A,B,N,N,N,1)

For real data, when array B would otherwise be filled with zeros, one can store the real data values alternately in arrays A and B, and then call subroutine RTRAN after FFT.  
This allows N data values to be processed using a transform of dimension  $N/2$ .

eg. NN=N/2  
CALL FFT (A,B,NN,NN,NN,1)  
CALL RTRAN (A,B,NN,1)

After scaling by  $0.5/N$  the results in A and B are the Fourier cosine and sine coefficients.

i.e.  $a_k = A(k+1)$   $k=0,1,2,\dots,NN$   
 $b_k = B(k+1)$   $k=0,1,2,\dots,NN$

The arrays A and B must be dimensioned in the main program with  $NN+1$  and not NN as there are  $NN+1$  coefficients to be calculated.

#### Multivariate Transform

For a multivariate transform the data is indexed according to the Fortran array element successor function. The subroutine is called once for each variate.

eg. a trivariate transform of  $A(N1,N2,N3)$ ,  $B(N1,N2,N3)$  is computed by

```
CALL FFT (A,B,N1*N2*N3,N1,N1,1)
CALL FFT (A,B,N1*N2*N3,N2,N1*N2,1)
CALL FFT (A,B,N1*N2*N3,N3,N1*N2*N3,1)
```

SUBROUTINE RTRAN(A,B,NN,ISN)

### Function

Used with subroutine FFT to complete the Fourier Transform of  $2*NN$  real data values and calculate the cosine and sine coefficients.

The original real data values before calling FFT were stored alternately in arrays A and B.

Subroutine written originally by R.C.Singleton at Stanford Research Institute.

Converted for IBM 1800 by W.J.Gould.

Transferred to Honeywell 66 by W.K.Strudwick.

### Input Parameters

A,B        Before calling FFT these arrays contain real data values stored alternately.  
eg. A(1), B(1), A(2), B(2),....A(NN), B(NN).  
N.B. In main program arrays must be dimensioned (NN+1)

NN         There are  $2*NN = N$  real data values.

ISN        If ISN = 1 completes Fourier Transform.  
           If ISN = -1 performs reverse transform.

### Output Parameters

A         Array containing NN+1 cosine coefficients.  
           Multiply by  $0.5/N$  to give usual scaling of coefficients.

B         Array containing NN+1 sine coefficients.  
           Multiply by  $0.5/N$  to give usual scaling of coefficients.

### Calling Subroutine

#### Single Variate Transform

For a single variate transform

For real data when array B would otherwise be filled with zeros, one can store the real data values alternately in arrays A and B, and then call subroutine RTRAN after FFT.

This allows N data values to be processed using a transform of dimension  $N/2$ .

eg.  $NN=N/2$   
CALL FFT (A,B,NN,NN,NN,1)  
CALL RTRAN (A,B,NN,1)

After scaling by  $0.5/N$  the results in A and B are the Fourier cosine and sine coefficients.

i.e.  $a_k = A(k+1)$   $k=0,1,2,\dots,NN$   
 $b_k = B(k+1)$   $k=0,1,2,\dots,NN$

The arrays A and B must be dimensioned in the main program with  $NN+1$  and not  $NN$  as there are  $NN+1$  coefficients to be calculated.

EXAMPLE OF SINGLE VARIATE TRANSFORM WITH COMPLEX DATA

```
010*#FRN *=(ULIB)LIBRARY/NIO,R
050C
060C SINGLE VARIATE FAST FOURIER TRANSFORM OF A COMPLEX DATA SERIES
070C
080     DIMENSION A(6),B(6)
090     DATA A/2.0,1.0,3.0,1.0,4.0,0.0/
100     DATA B/1.0,4.0,3.0,0.0,2.0,3.0/
110C
120     N=6
130     WRITE(6,3) N,(A(K),B(K),K=1,N)
140 3    FORMAT(' LENGTH OF COMPLEX DATA SERIES=',I6//,
150      &' REAL COMPONENT    IMAGINARY COMPONENT'//(5X,F9.5,10X,F9.5))
160C
170     CALL FFT(A,B,N,N,N,1)
180C
190C SCALING
200C
210     DO 10 I=1,N
220     A(I)=A(I)/N
230 10   B(I)=B(I)/N
240C
250     WRITE(6,4) (A(K),B(K),K=1,N)
260 4    FORMAT(//' RESULTING FOURIER COEFFICIENTS'//,
270      &' REAL COMPONENT    IMAGINARY COMPONENT'//(5X,F9.5,10X,F9.5))
280     STOP
290     END
```

```
*FRN
LENGTH OF COMPLEX DATA SERIES=      6
```

REAL COMPONENT	IMAGINARY COMPONENT
2.00000	1.00000
1.00000	4.00000
3.00000	3.00000
1.00000	0.
4.00000	2.00000
0.	3.00000

RESULTING FOURIER COEFFICIENTS

REAL COMPONENT	IMAGINARY COMPONENT
1.83333	2.16667
-0.62201	0.33333
-0.16667	-0.54466
1.16667	-0.16667
-0.16667	-1.12201
-0.04466	0.33333

EXAMPLE OF SINGLE VARIATE TRANSFORM WITH REAL DATA CALLING FFT ONLY

```
010*#FRN *=(ULIB)LIBRARY/NIO,R
050C
060C SINGLE VARIATE FAST FOURIER TRANSFORM
070C OF A REAL DATA SERIES.
080C
090     DIMENSION A(6),B(6)
100     DATA A/2.0,1.0,3.0,1.0,4.0,0.0/
110     DATA B/6*0.0/
120C
130     N=6
140     WRITE(6,3) N,(A(K),B(K),K=1,N)
150 3    FORMAT(' LENGTH OF REAL DATA SERIES=',I6//,
160     &'    REAL COMPONENT    IMAGINARY COMPONENT'//(5X,F9.5,10X,F9.5))
170C
180     CALL FFT(A,B,N,N,N,1)
190C
200C SCALING
210C
220     DO 10 I=1,N
230     A(I)=A(I)/N
240 10   B(I)=B(I)/N
250C
260     WRITE(6,4) (A(K),B(K),K=1,N)
270 4    FORMAT(//' RESULTING FOURIER COEFFICIENTS'//,
280     &'    REAL COMPONENT    IMAGINARY COMPONENT'//(5X,F9.5,10X,F9.5))
290     STOP
300     END
```

\*FRN

LENGTH OF REAL DATA SERIES= 6

REAL COMPONENT	IMAGINARY COMPONENT
----------------	---------------------

2.00000	0.
1.00000	0.
3.00000	0.
1.00000	0.
4.00000	0.
0.	0.

RESULTING FOURIER COEFFICIENTS

REAL COMPONENT	IMAGINARY COMPONENT
----------------	---------------------

1.83333	0.
-0.33333	0.
-0.16667	0.28868
1.16667	0.
-0.16667	-0.28868
-0.33333	0.

DP/US/NIO/1

REL 1.0

RTRAN/1



# EXAMPLE OF SINGLE VARIATE TRANSFORM WITH REAL DATA CALLING FFT AND RTRAN

```

010*#FRN *=(ULIB)LIBRARY/NIO,R
050C
060C SINGLE VARIATE FAST FOURIER TRANSFORM OF REAL DATA SERIES.
070C
080C
090     DIMENSION A(4),B(4)
100     DATA A/2.0,3.0,4.0,0.0/
110     DATA B/1.0,1.0,0.0,0.0/
120C
130     N=6
140     NN=N/2
150     WRITE(6,3) N,(A(K),B(K),K=1,NN)
160 3    FORMAT(' LENGTH OF REAL DATA SERIES=',I6//,
170     &'    REAL DATA VALUES='/(5X,F9.5))
180C
190     CALL FFT(A,B,NN,NN,NN,1)
200     CALL RTRAN(A,B,NN,1)
210C
220C SCALING
230C
240     DO 10 I=1,NN+1
250     A(I)=A(I)*0.5/N
260 10   B(I)=B(I)*0.5/N
270C
280     WRITE(6,4) (A(K),B(K),K=1,NN+1)
290 4    FORMAT(//' RESULTING COSINE AND SINE COEFFICIENTS'//,
300     &'    COSINE                SINE                '/(5X,F9.5,10X,F9.5))
310     STOP
320     END

```

```

*FRN
LENGTH OF REAL DATA SERIES=      6

```

REAL DATA VALUES=

```

2.00000
1.00000
3.00000
1.00000
4.00000
0.

```

RESULTING COSINE AND SINE COEFFICIENTS

COSINE	SINE
1.83333	0.
-0.33333	0.00000
-0.16667	0.28868
1.16667	0.

DP/US/NIO/1

REL 1.0

RTRAN/1

2	3	4	5	6	7	8	9	10	11	12
27	28	30	32	33	34	35	36	38	39	40
63	64	65	66	68	69	70	72	75	76	77

100	102	104	105	108	110	112	114	115	117	119	120	121	125	126	128	130	132
133	135	136	138	140	143	144	147	150	152	153	154	156	160	161	162	165	168
169	170	171	175	176	180	182	184	187	189	190	192	195	196	198	200	204	207
208	209	210	216	220	224	225	228	234	240	242	243	245	250	252	256	260	264
270	272	275	276	280	288	289	294	297	300	304	306	308	312	315	320	324	325
335	338	340	342	343	350	351	352	360	361	363	364	368	375	378	380	384	392
396	400	405	408	414	416	420	425	432	440	441	448	450	456	459	468	475	480
484	486	490	495	500	504	507	512	513	520	525	528	529	539	540	544	550	552
560	567	575	576	578	585	588	594	600	605	608	612	616	621	624	625	630	637
640	648	650	672	675	676	680	684	686	700	702	704	720	722	726	728	729	735
736	750	756	760	768	784	792	800	810	816	825	828	832	833	840	845	847	850
864	867	875	882	891	896	900	918	931	936	945	950	960	968	972	975	980	

10000	10125	10206	10240	10290	10368	10404	10500	10584	10625	10648	10692
10752	10800	10816	10935	10976	10985	11000	11016	11025	11178	11250	11264
11340	11520	11552	11560	11664	11776	11858	11875	11907	11979	12000	12005
12096	12150	12167	12168	12250	12288	12312	12348	12375	12393	12500	12544
12600	12636	12675	12696	12800	12960	13000	13056	13068	13122	13125	13182
13225	13230	13310	13312	13365	13500	13608	13689	13718	13720	13750	13824
13851	13872	14000	14112	14161	14175	14256	14283	14336	14375	14400	14406
14440	14450	14580	14625	14641	14700	14739	14904	15000	15092	15125	15309
15360	15379	15435	15488	15552	15806	15625	15750	15795	15876	15972	16000
16038	16128	16200	16250	16335	16384	16464	16524	16562	16767	16807	16848
16875	16928	17000	17010	17150	17280	17303	17408	17424	17496	17500	17576
17640	17689	17836	18000	18050	18144	18225	18252	18375	18432	18468	18496
18522	18634	18750	18816	18900	18954	19000	19200	19208	19440	19456	19494
19600	19602	19652	19663	19773	19845	19965	20000	20250	20412	20449	20480
20577	20580	20625	20736	20808	21000	21125	21168	21250	21296	21384	21504
21600	21609	21632	21870	21875	21952	21970	22032	22050	22275	22356	22500
22528	22627	22680	22815	23040	23104	23328	23409	23552	23625	23750	23814
23958	24000	24010	24037	24167	24192	24200	24300	24334	24336	24375	24500
24565	24576	24696	24736	25000	25080	25272	25289	25344	25515	25600	25725
25920	25921	25992	26136	26244	26250	26325	26364	26411	26460	26620	26624

