

Solar cycles or random processes? Evaluating solar variability in Holocene climate records

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1 **Many studies have reported evidence for solar-forcing of Holocene climate change across a range**
2 **of archives. These studies have compared proxy-climate data with records of solar variability (e.g.**
3 **¹⁴C or ¹⁰Be), or have used time series analysis to test for solar-type cycles. This has led to some**
4 **climate sceptics misrepresenting this literature to argue strongly that solar variability drove the**
5 **rapid global temperature increase of the twentieth century. As proxy records underpin our**
6 **understanding of the long-term processes governing climate, they need to be evaluated**
7 **thoroughly. The peatland archive has become a prominent line of evidence for solar forcing of**
8 **climate. Here we examine high-resolution peatland proxy climate data to determine whether solar**
9 **signals are present. We find a wide range of significant periodicities similar to those in records of**
10 **solar variability; periods between 40-100 years, and 120-140 years are particularly common.**
11 **However, periodicities similar to those in the data are commonly found in random-walk**
12 **simulations. Our results demonstrate that solar-type signals can be the product of random**
13 **variations alone, and that a more critical approach is required for their robust interpretation.**

14 Over the last 50 years there has been considerable interest in the relationship between solar
15 variability and climate^{1,2,3}. Studies from a range of sedimentary archives have investigated the role of
16 solar forcing through comparisons of proxy climate data with reconstructions of solar activity^{3,4,5,6,7,8}.
17 Reconstructions of solar activity are based on concentrations of cosmogenic isotopes (e.g., ¹⁴C found
18 in tree-rings and ¹⁰Be in ice cores) which form in the upper atmosphere and are modulated by the
19 effects of changing solar activity on galactic cosmic ray flux⁶. Using this approach, numerous studies
20 have reported evidence for solar-forced climate change during the Holocene epoch^{3,5,9}.
21 Furthermore, researchers have reported solar cycles in proxy climate data based on the results of
22 spectral and wavelet analytical techniques^{4,8}. Several papers reporting a solar-climate link have been
23 used by climate sceptics as evidence of solar variability driving recent warming, implying that
24 atmospheric carbon dioxide has a less important influence on global temperature¹⁰.

25

26 A number of climate proxies have been used in investigations of solar-forced climate change
27 including geochemical and biological records from marine sediments^{3,5} and lake sediments^{5,11}, tree
28 rings¹², lake levels¹³ and glacial fluctuations¹⁴. In addition, palaeohydrological proxies from
29 ombrotrophic (rain-fed) peatlands have been used to investigate Holocene solar-climate
30 relationships^{1,15,16,17}. Shifts in peat hydrology sometimes coincide with changes in solar activity
31 during the mid- and late-Holocene^{15,18,19}. The proposed mechanisms of solar-forced climate change
32 include a complex series of ocean-atmosphere feedbacks driven primarily by changes in UV and solar
33 wind²⁰. The resultant variation in atmospheric circulation, temperature and precipitation would drive
34 changes in peatland hydrology^{3,20}. Global-scale climate response to solar forcing has also been
35 inferred through comparison of peat profiles in Europe^{1,15} and N and S America^{17,21}. In addition,
36 spectral analysis has revealed periodicities in peat-based proxies that are similar to those found in
37 cosmogenic isotope records of solar variability^{16,19,22}. These periodicities have been frequently
38 interpreted as periodic changes in climate, reflecting multi-decadal to centennial solar cycles²².

39

40 However, Holocene climate proxies are noisy and have chronological errors that often lead to
41 considerable temporal uncertainties in reconstructions^{7,23}. Random variations (complex non-linear
42 autogenic fluctuations) can themselves cause ecosystem changes including abrupt events, long-term
43 trends and even quasi-cyclic behaviour²⁴. Climate reconstructions derived from biological proxies in
44 ombrotrophic peatlands rely on the assumption that down-core changes in species composition are
45 driven by climate variability²⁵. Whilst there is often ample evidence to suggest that hydrology is the
46 strongest environmental control on taxa used in reconstructions (e.g. testate amoebae), other
47 factors, such as competition, pH and trophic status may also play an important role²⁶. We address
48 the question of whether periodicities found in peat-based palaeoclimate records truly reflect
49 changing solar activity, or whether they could also be explained by random variations or artefacts of
50 sampling intervals and/or chronological errors.

51

52 We examined nine high-resolution proxy climate records from ombrotrophic bogs in Europe and the
53 USA (Figure 1, Supplementary Methods S1). These proxy records have high quality age control and
54 robust age-depth relationships based on Bayesian models (Supplementary Figure S2). Spectral and
55 wavelet analyses were used to identify solar-type signals in the peat record, while the sunspot
56 reconstruction of Solanki et al.²⁷ was used as the record of changing solar activity through the mid-
57 late Holocene. We also developed random walk simulations (RWs) – a non-stationary stochastic ‘red
58 noise’ time series where values wander randomly over time (Ref 28; Supplementary Figure S3).
59 These simple simulations can exhibit complex features such as those found in palaeoenvironmental
60 data²⁴. We sampled fifteen RWs per site at the same time interval as the real proxy data to see if
61 similar periodicities could be found in random simulations. We also generated an additional 5000
62 RWs sampled to a regular time interval of 10 years (i.e. purely random data) which we tested for
63 significant positive correlation with the solar record. We used these to test a null hypothesis that
64 such variations are the product of random variations. We selected one RW per site with features
65 that plausibly imitate ‘real’ proxy reconstructions, such as rapid changes and quasi-cyclic patterns,
66 for further detailed statistical analysis to illustrate our argument.

67

68 There are well-established climatic events in some of the peat-based records including the 2.7 K year
69 event, Medieval Warm Period, and the Little Ice Age (Figure 1). The records indicate that rapid
70 change in the last ~100 years is coincident with both the large increase in global atmospheric CO₂
71 concentration and a rise in sunspot numbers. There are periods in the record where shifts in the
72 proxy climate data correspond with excursions in solar activity (Figure 1). There are also significant
73 correlations between the proxy records from four of our nine sites and the solar reconstruction
74 (Supplementary Table S7). Many previous studies have used running correlation analyses between
75 records of solar variability and proxy climate data time series to interrogate the relationship
76 between solar forcing and Holocene climate change^{11,29}. Our analysis (Supplementary Figure S7)
77 shows that the running correlations between the proxy climate records and solar variability are

78 highly variable in time for both 100-year and 500-year windows; however, when an appropriate
79 Monte Carlo significance testing procedure is used (Supplementary Data S8) it is mostly non-
80 significant ($p > 0.10$). Some studies have utilised significance testing procedures that are not
81 appropriate for time series data as they do not account for the multiple comparison problem^{11,29}.
82 There are also significant correlations and running correlations between the RWs and the solar
83 record, four of which are similar to or even stronger than those found for the 'real' data
84 (Supplementary Figure S7). Interestingly, 45% of the 5000 RWs unrelated to the real proxy data were
85 positively correlated with the solar record (Supplementary Figure S9). Given that these are purely
86 random data, it is quite remarkable that nearly half of these RWs show this level of correlation. This
87 poses the question of whether solar-type cycles in proxy climate records can be robustly linked to
88 solar variability.

89

90 Spectral analysis shows that there are a large number of significant, high-frequency periodicities
91 present in the real data (Figure 2). Commonly occurring periodicities span the ranges 40–100 years
92 ($n = 113 > 90\%$ false alarm level), and 120–140 years ($n = 17 > 90\%$ false alarm level). In addition,
93 our analysis of previous studies has shown the prominence of 80–90, 130–140, 200–210 and 260–
94 270 year periodicities in peat-based climate records (Supplementary Table S6). However, caution is
95 needed when interpreting these results as there may be a publication bias: the focus of several of
96 these studies was to present evidence for solar-forcing of Holocene climate. Low-frequency
97 periodicities were also present in both the real and RW data (Figure 2), but millennial-scale climatic
98 changes may be poorly preserved in peatlands due to signal-shredding or over-writing by autogenic
99 processes such as ecohydrological feedbacks and secondary decomposition²⁵. Additionally, the
100 maximum time period covered by the peat cores in this study is 7k years, rendering millennial-scale
101 periodicities more questionable.

102

103 The periodicities reported here and in previous studies are present in the solar reconstruction
104 (Figure 3A) and match the range of the Gleissberg cycle (~70–100 years) and sub-harmonics of the
105 Hale cycle (~132 years)³⁰, de Vries cycle (~200–210 years) and others present in the ¹⁴C record (105,
106 131, 232, 385, 504, 805, 2,241 years: ref. 31). These cycles have also been shown to be prominent in
107 other Holocene proxy climate records^{9,16}. However, similar significant periods are also found in the
108 analysis of RWs (Figure 2). Periods similar to solar cycles are particularly common: 80–160 years and
109 a clear peak at 120–140 years. Another peak spanning 200–220 is present (Figure 2) that matches
110 exactly the period of the de Vries solar cycle. Interestingly, 200-220 year periods are mostly absent
111 from the real proxy climate data. Wavelet and Cross-Wavelet analyses illustrate clearly that any
112 relationships between solar variability and the proxy climate records are temporally variable,
113 inconsistent between records, and show phases of correspondence and non-correspondence. These
114 discrepancies seem likely to result from some combination of: i) the sensitivity of a proxy to climate
115 drivers; ii) differences in temporal resolution within a record driven by changes in sedimentation
116 rate; and/or iii) differences in sampling resolution between reconstructions (Supplementary Figure
117 S5). The lack of consistency in correspondence through time and between sites is clear, suggesting
118 that either the sites have exhibited variable sensitivity to solar-forced climate change over time, or
119 that solar variability is not driving the variability in the proxy data (Figure 3, Supplementary Figure
120 S5).

121

122 Periodicities present in proxies derived from complex environmental systems must be interpreted
123 with caution because such systems possess the potential to modify external (climatic) signals
124 through autogenic mechanisms (e.g. ref. 32, for sedimentary systems). Peat-based proxy climate
125 records can exhibit amplified, damped or phase-shifted representations of climatic influences
126 through mechanisms such as vegetation succession³³ and a range of negative feedback mechanisms
127 that can lead to a degree of homeostasis in system behaviour³⁴.

128

129 The most common significant periodicities found here (within the ranges 40–100 years and 120–140
130 years) could be interpreted as evidence for solar-forced climate change because they match the
131 ranges of cycles in solar reconstructions. However, similar periodicities are also prominent in the
132 random-walk simulations. Thus, we propose that many of the periodicities found are the product of
133 either: i) random variations; ii) autogenic mechanisms in a complex environmental system; iii) the
134 sampling resolution; iv) the age model applied; or v) some combination of the above factors. Our
135 analysis suggests that replication is important to avoid erroneous attribution of periodicities to
136 external forcing. Large ensembles of well-dated Holocene proxy climate data are necessary for
137 robust testing of solar signals in Holocene proxy climate records^{16,35}, because they filter local, non-
138 climatic effects and reveal persistent variations, some of which may well be associated with past
139 solar variability. In dealing with time series analysis, care should be taken when attributing cyclical
140 behaviour to solar forcing because such signals could merely be the product of random variations,
141 non-climatic (e.g., autogenic) factors or the temporal-expression of the sampling strategy. We
142 contend that many solar-type cycles reported in the palaeoclimatological literature may potentially
143 be artefacts.

144

145 **Method**

146 We examined nine high-resolution proxy climate records from ombrotrophic bogs located in the
147 Northern Hemisphere (USA and Europe; Figure 1, Supplementary Methods S1). Eight of these
148 records are based on transfer function-reconstructions of water-table depth from testate amoebae
149 microfossils in the peat and one is based on *Sphagnum*/Vascular Ratio determined through
150 hydrogen isotope ratios of leaf wax compounds (see Supplementary Methods S1 for full details).
151 Age-depth models for the proxy palaeohydrological records were generated from radiocarbon dates
152 and age-equivalent stratigraphic markers (tephra, spheroidal carbonaceous particles) using a
153 Bayesian statistical modelling approach. A series of 15 random walks per site were generated (based
154 on each dataset) and time-steps were matched to the corresponding proxy (e.g., Dead Island = 4454

155 years) from an initial value of zero. The sunspot reconstruction of Solanki et al.²⁷ was used as the
156 record of changing solar activity through the mid-late Holocene. Spectral and wavelet analyses were
157 used to determine periodicities in the data, and cross-wavelet analysis was used to determine the
158 temporal relationship between the proxy data and the sunspot reconstruction. The significance of
159 periodicities was tested against appropriate noise background models. Bivariate running correlation
160 analysis (time windows = 100 and 500 years) was used to determine the correlation between the
161 solar record and the proxy climate data and the temporal variation of the correlation. The statistical
162 significance of the correlation was calculated using a Monte Carlo simulation to determine the null
163 distribution. An additional 5000 random walks were generated and tested for significant positive
164 correlation (Spearman's Rank, $p < 0.05$) with the solar reconstruction²⁷. For full methods see
165 Supplementary Methods S1 online.

166

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169

170 **Contributions**

171 TET and GTS conceived the project, led the data compilation and wrote the paper; PJM and LEP
172 assisted with interpretation and contributed to manuscript development; DJC, PGL, RKB and JEN
173 contributed data and helped improve the manuscript.

174

175 **Competing financial interests**

176 The authors declare no competing financial interests.

177

178 **Additional information**

179 Supplementary information is available in the online version of the paper. Reprints and permissions
180 information is available online. Correspondence and requests for materials should be addressed to
181 TET.

182

183 **Figure captions**

184

185 **Figure 1.** [A] Normalised water-table reconstruction from Ballyduff, Derragh, Dead Island,
186 Slieveanorra (Ireland), Butterburn and Malham (England), Minden and Sidney (USA). The record
187 from Great Heath (USA) is *Sphagnum/Vascular* Ratio based on stable hydrogen isotope ratios of leaf
188 wax compounds. A loess smoothing function is illustrated (red line). The chronologies have been
189 modelled using a Bayesian statistical approach (Supplementary Figure S2). Reconstructed sunspot
190 numbers (Solanki et al., 2004) and sunspot counts (blue line; source: SILSO data/image, Royal
191 Observatory of Belgium, Brussels), and the combined CO₂ record from Mauna Loa, the Law Dome
192 and EPICA Dome C ice cores (See refs in Supplementary Method S1). [B] An example random walk
193 simulation for each site (sampled to the same chronological spacing as the real data) is also shown.

194

195 **Figure 2.** Histograms of significant periodicities present in the data and random walk simulations. [A]
196 All periodicities in the random walks over 90 % false alarm level; [B] All periodicities in the proxy
197 climate records over 90 % false alarm level; [C] Highest power periodicities in the proxy climate
198 records over 90 % false alarm level; [D] Periodicities with a period ≤ 500 years in random walks over
199 90 % false alarm level; [E] Periodicities with a period ≤ 500 years in the proxy climate records over 90
200 % false alarm level; [F] Highest power periodicities in the proxy climate records over 90 % false alarm
201 level ≤ 500 years. Solar cycle bands commonly reported in palaeoclimate literature are illustrated.

202

203 **Figure 3.** Continuous wavelet analysis of [A] the sunspot reconstruction of Solanki et al. (2004); [B]
204 normalised water table reconstruction from Dead Island; [C] Cross-wavelet analysis of [A] and [B];

205 [D] Random walk simulation sampled to the same chronological spacing as Dead Island; [E] Cross-
206 wavelet analysis of [A] and [D]. The black lines signify 95 % significant levels against a lag1 (red noise)
207 background. Dead Island is given here as an example: for other sites refer to Supplementary Figure
208 S5.

209

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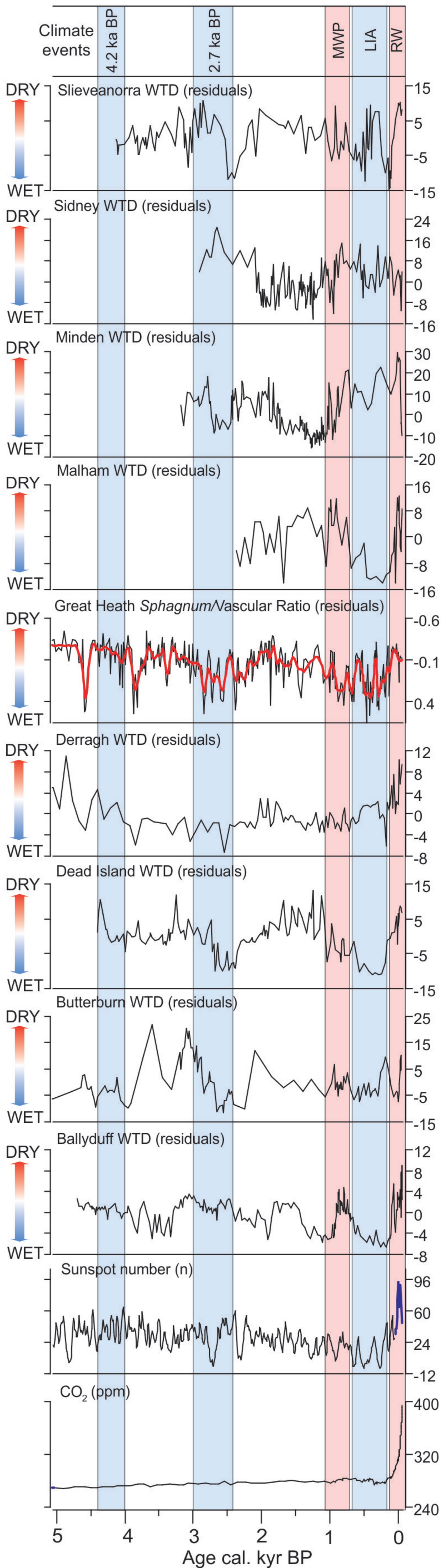
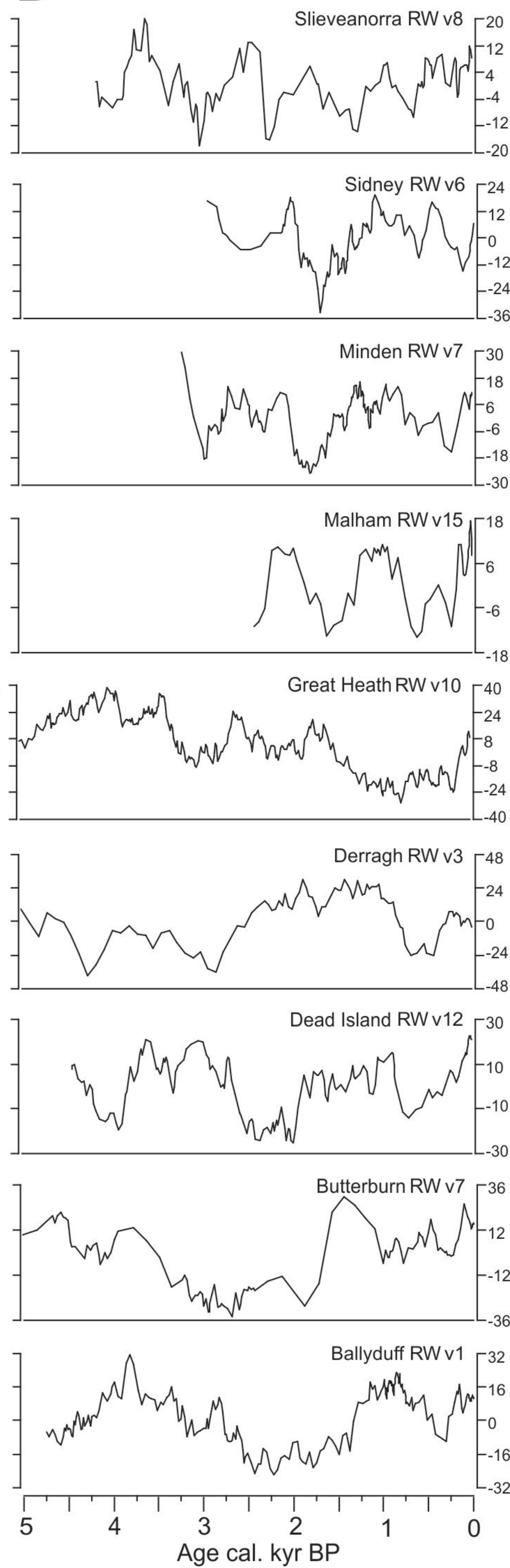
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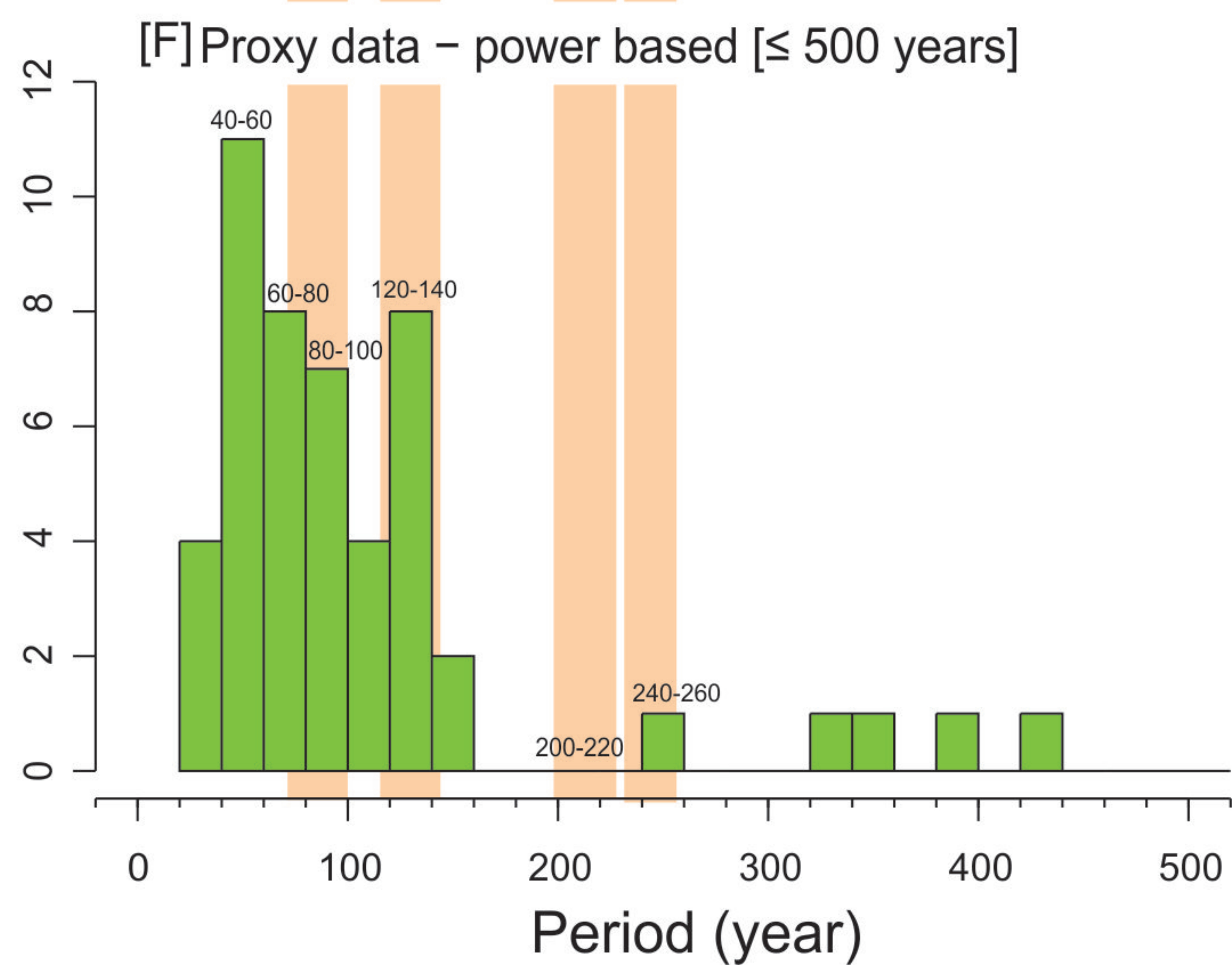
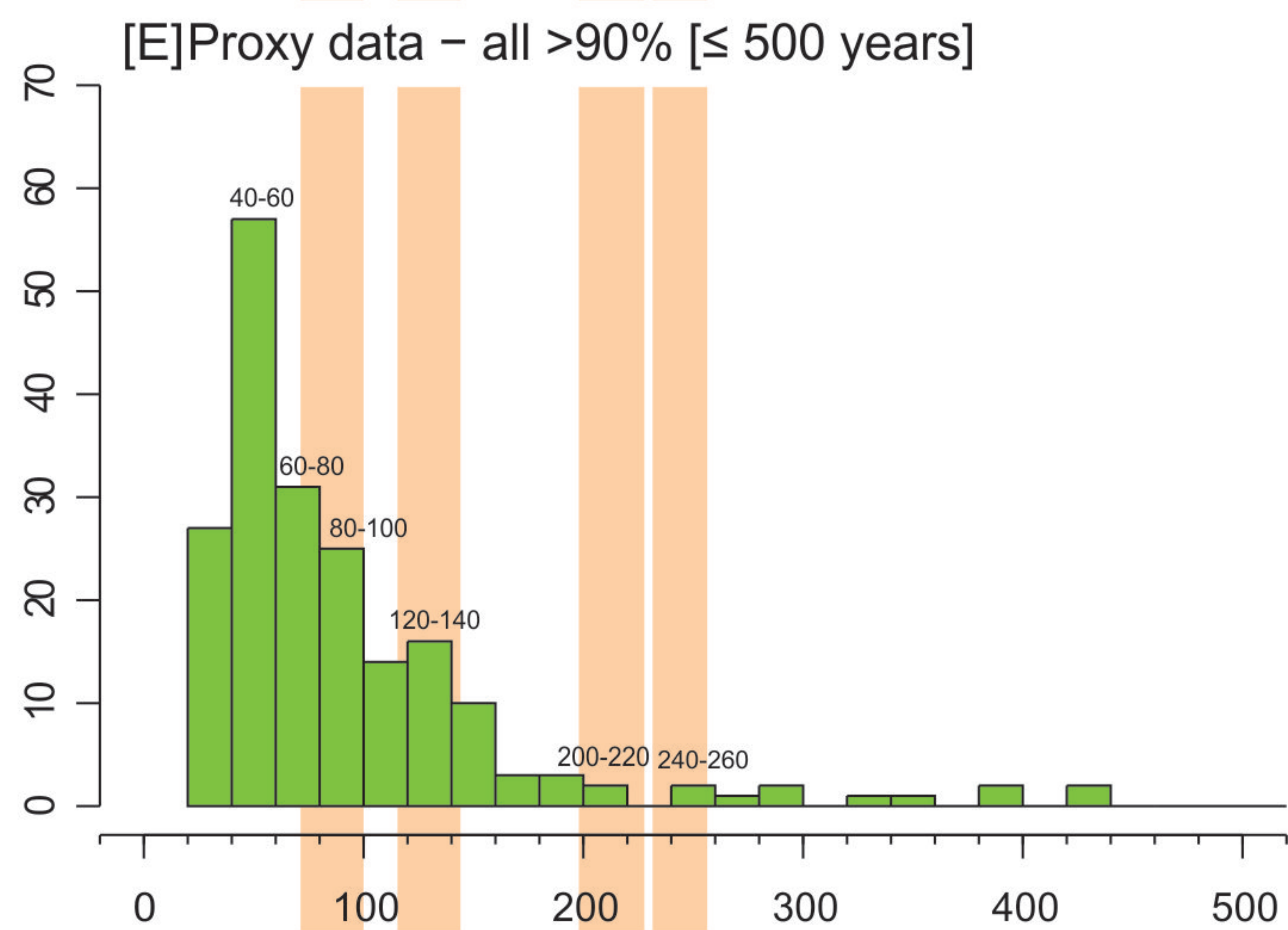
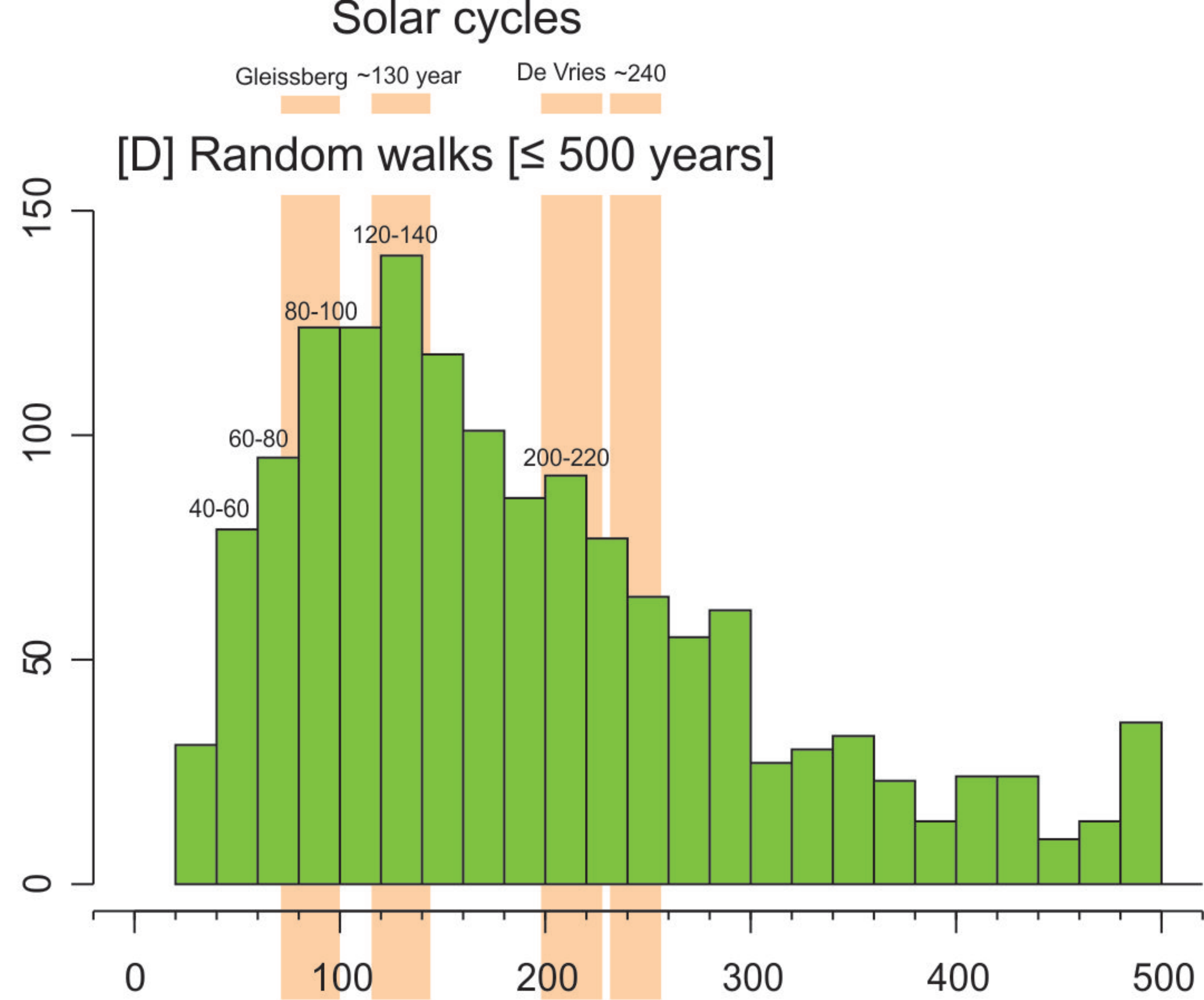
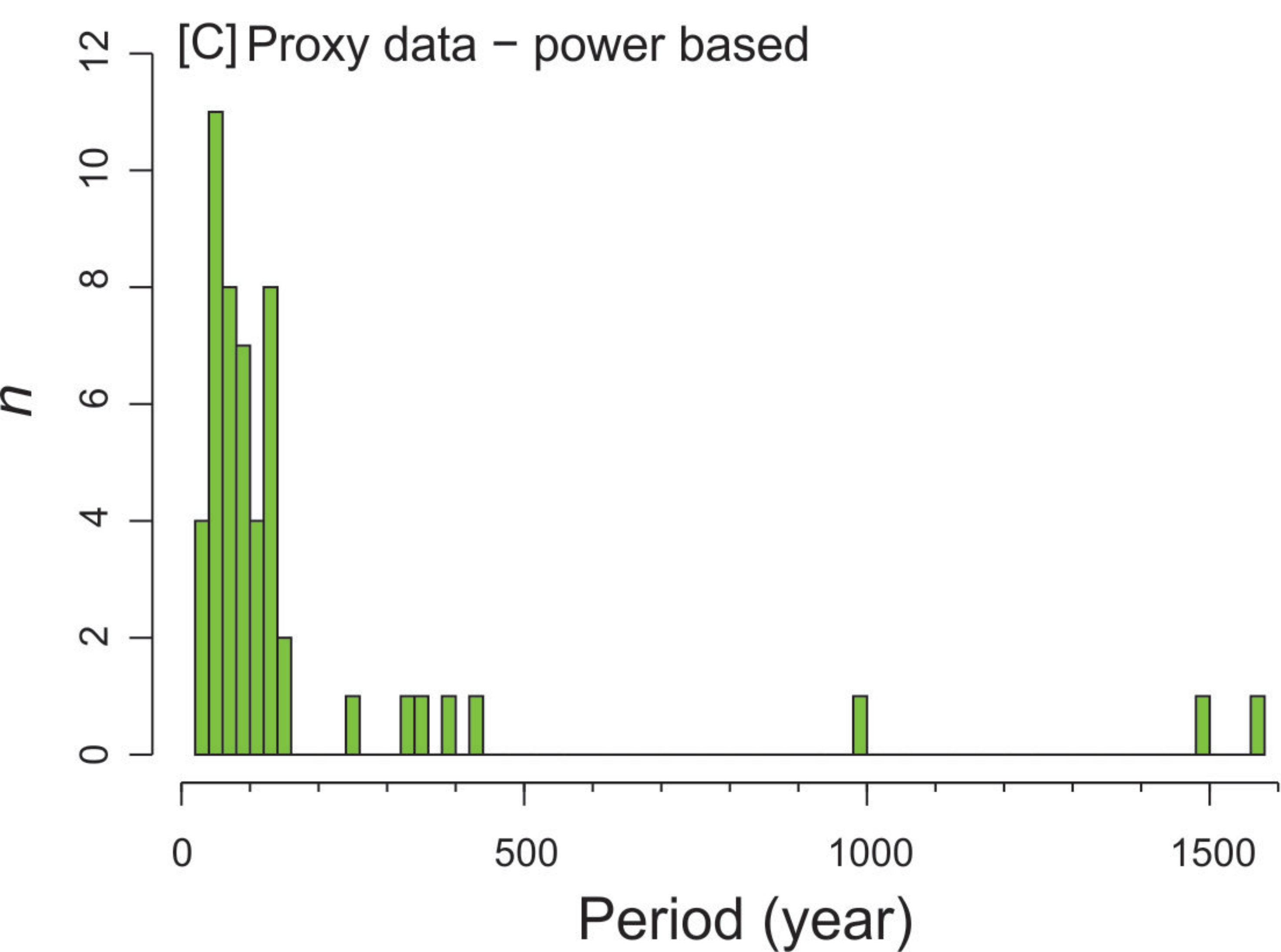
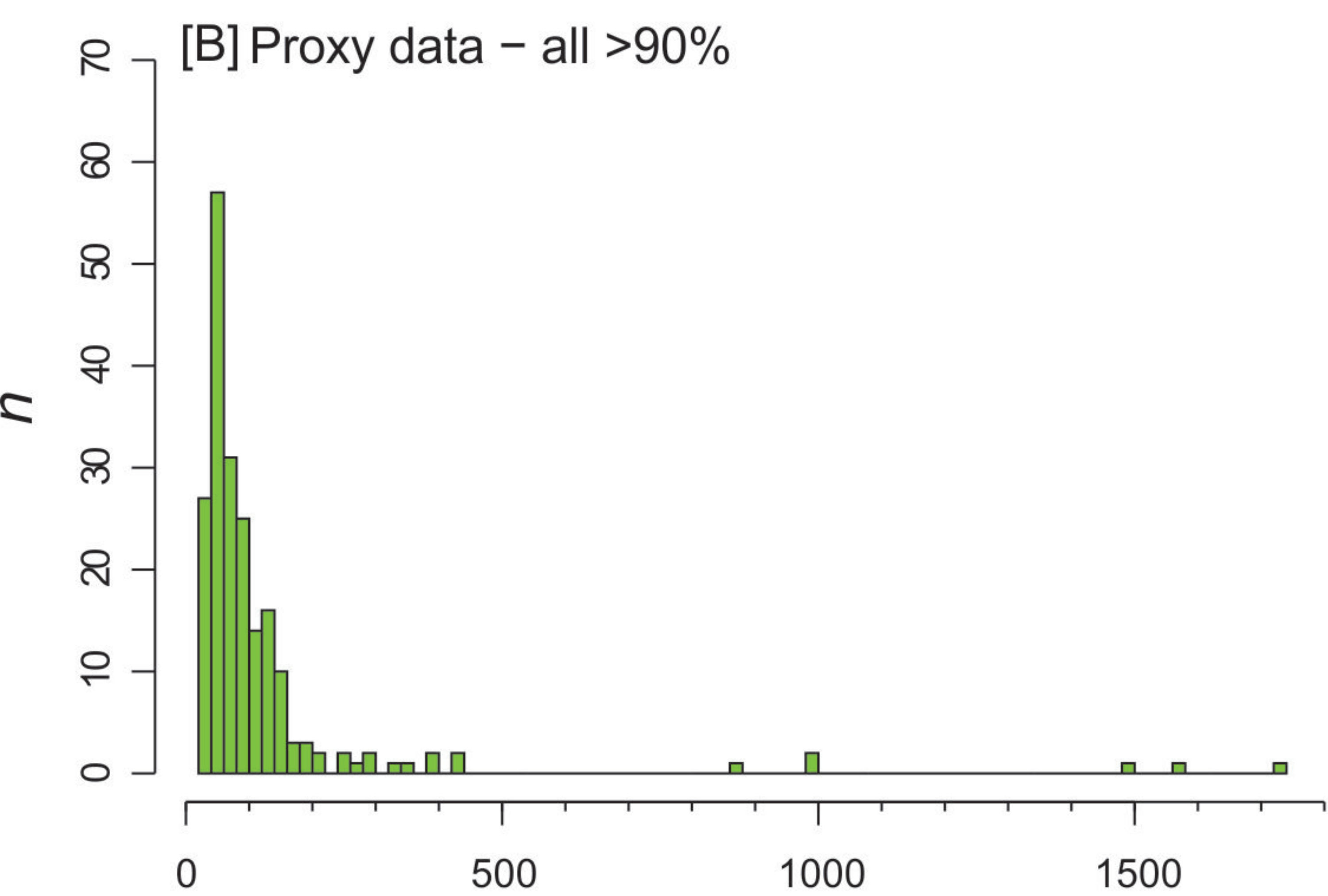
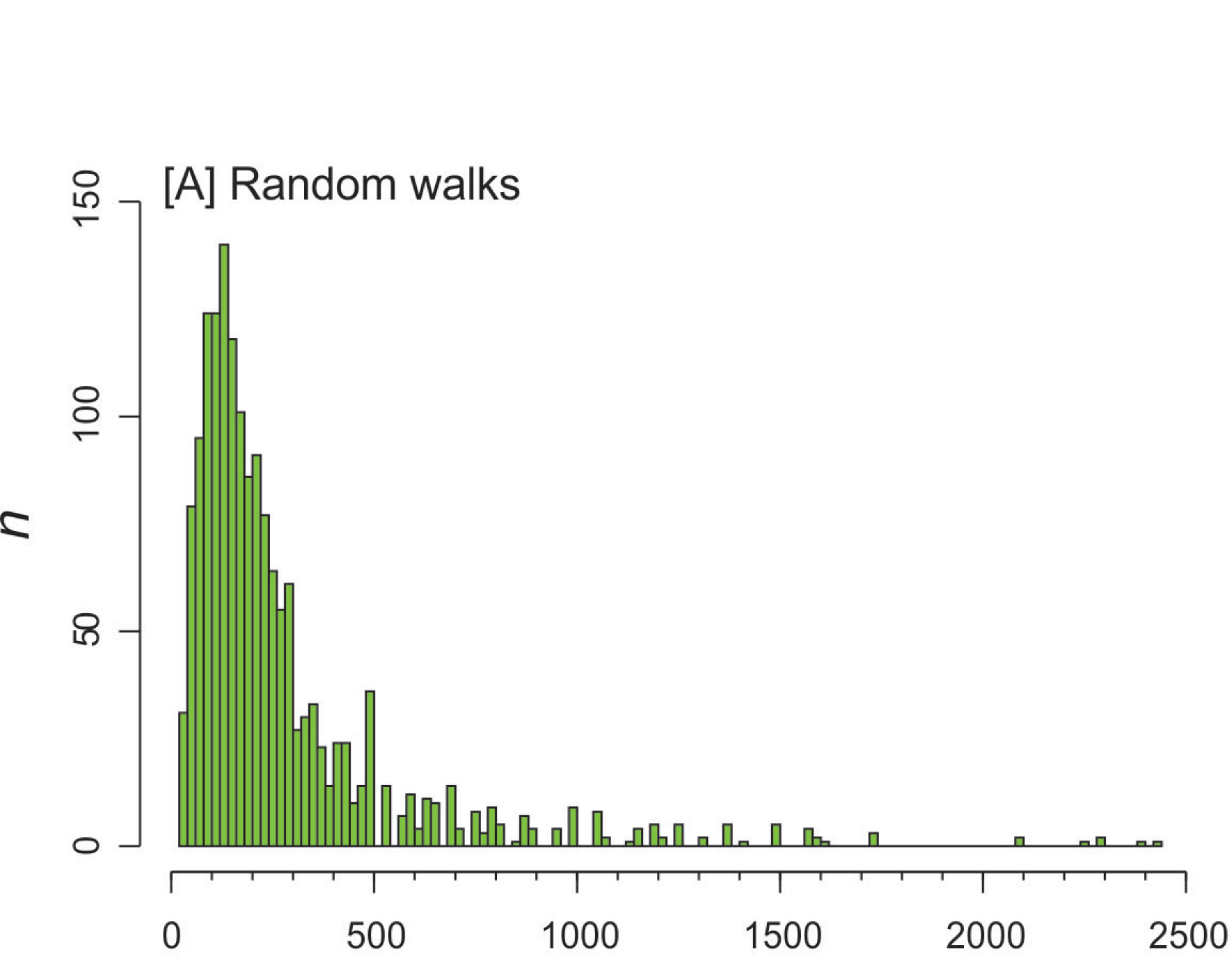
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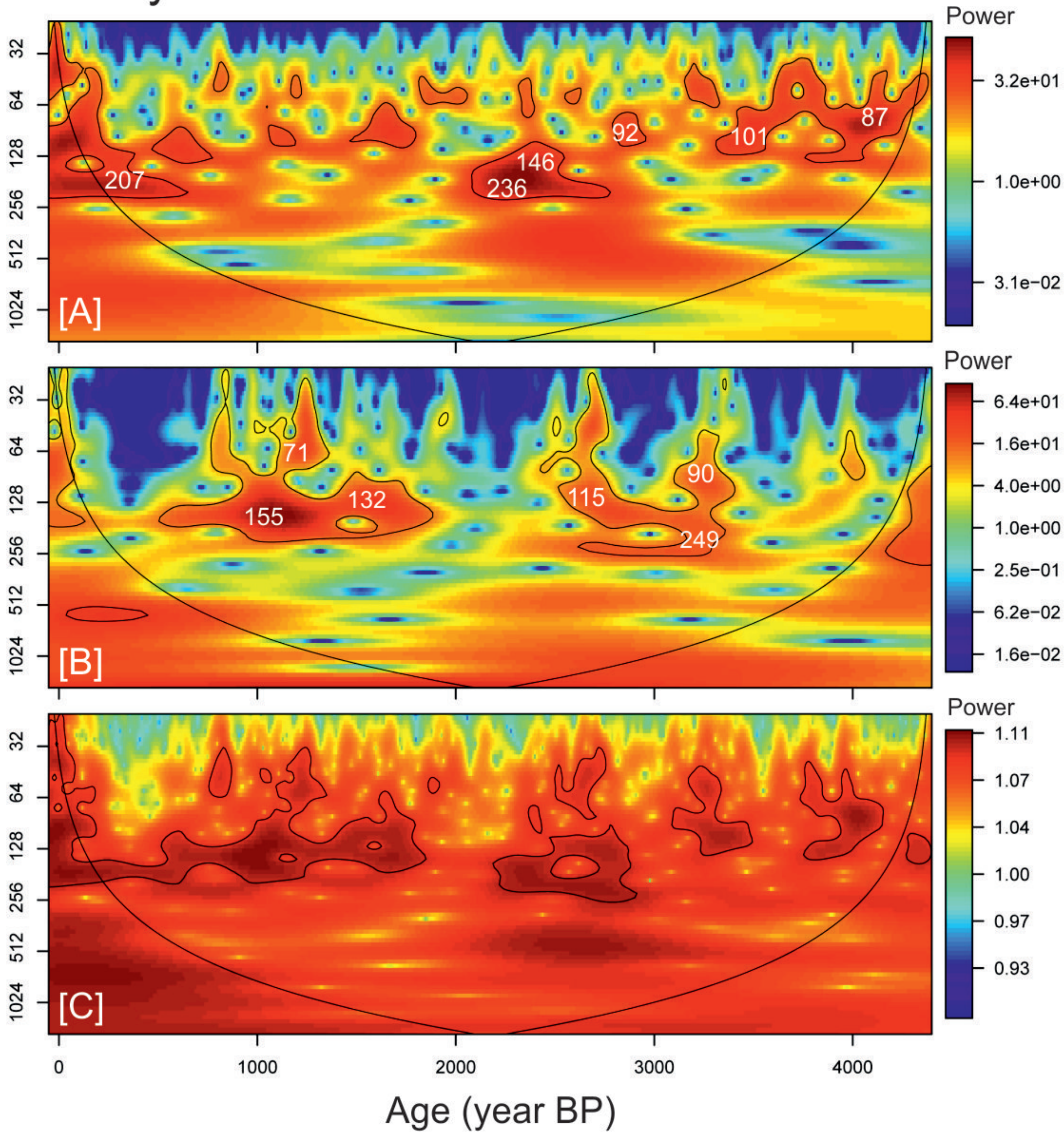
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A**B**



Proxy data for DI



Random walk for DI

