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<b>Abstract:</b>	<p>The set of formulations commonly known as 'the sonar equations' have for many decades been used to quantify the performance of sonar systems in terms of their ability to detect and localize objects submerged in seawater. The efficacy of the sonar equations, with individual terms evaluated in decibels, is well established in Earth's oceans. The sonar equations have been used in the past for missions to other planets and moons in our solar system, for which they are shown to be less suitable. Whilst it would be preferable to undertake high-fidelity acoustical calculations to support planning, execution and interpretation of acoustic data from planetary probes, to avoid possible errors for planned missions to such extraterrestrial bodies in future, doing so requires awareness of the pitfalls pointed out in this paper. There is a need to re-examine the assumptions, practices and calibrations that work well for Earth, to ensure that the sonar equations can be accurately applied in combination with the decibel to extraterrestrial scenarios. Examples are given for icy oceans such as exist on Europa and Ganymede, Titan's hydrocarbon lakes, and for the gaseous atmospheres of (e.g.) Jupiter and Venus.</p>

# 1     **Sonar equations for planetary exploration**

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## 9     **ABSTRACT**

10     The set of formulations commonly known as ‘the sonar equations’ have for many decades been  
11     used to quantify the performance of sonar systems in terms of their ability to detect and localize  
12     objects submerged in seawater. The efficacy of the sonar equations, with individual terms evaluated  
13     in decibels, is well established in Earth’s oceans. The sonar equations have been used in the past  
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15     suitable. Whilst it would be preferable to undertake high-fidelity acoustical calculations to support  
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18     the pitfalls pointed out in this paper. There is a need to re-examine the assumptions, practices and  
19     calibrations that work well for Earth, to ensure that the sonar equations can be accurately applied in  
20     combination with the decibel to extraterrestrial scenarios. Examples are given for icy oceans such as  
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26 **I. INTRODUCTION**

27       Recent years have given rise to a growing realization of the role acoustics can play in future  
28 planetary exploration. Acoustic descriptions of distant nebulae reveal the density fluctuations that  
29 will form stars; oscillatory waves in the matter of stars have been used to reveal the presence of  
30 distant planets; acoustic sensors on distant planets and moons could record ice cracking, cryo-  
31 volcanoes, dust devils and lightning; and the way the resulting sounds propagate to the sensor can  
32 reveal the hidden material and chemical properties of the matter through which they pass. In  
33 addition to using natural sources of sound, active sources can be used to measure sound speed and  
34 acoustic absorption, data that can be used to infer the material and chemical properties of the gases,  
35 liquids and solids through which the sound passes. Active sources can also be used for anemometry  
36 and range finding.

37       In many of these applications, the amplitude and detectability of the received echo is key to a  
38 useful deployment when planning missions, for example in assessing absorption from measured  
39 signal loss, calculating the surface roughness from the reflected signal when a range-finding  
40 acoustic pulse propagates down through the atmosphere of Titan to the ground and back to the  
41 sensor, or sounding the depth of Titan's lakes. The ambient sound is key to both passive and active  
42 sonar, providing signal for one in terms of the natural processes that produce, absorb and scatter it  
43 and the noise for the other. Although the terminology of source level and noise level, propagation,  
44 transmission and absorption loss etc., for in both active and passive sonar been subsumed by  
45 approaches that use the sonar equation, they are also quantities that can be manipulated in a more  
46 high-fidelity approach [1, Ch9]. There is a strong argument to use such a high-fidelity approach,  
47 and the authors of the present paper support this approach. Both the high-fidelity and sonar  
48 equation approaches can be applied without introducing the errors and ambiguities discussed in the

49 present paper, but examples of such unambiguous application are rare. In 1954, Horton lamented  
50 [2] “Restrictions which few overstep when dealing directly with such quantities are repeatedly  
51 disregarded when dealing with the logarithms of their ratios. The consequent errors, which are  
52 inevitable, are not committed solely by novices; nor are they trivial.” Horton was referring to the  
53 failure to mention or correct for differences in impedance between electrical circuits, but the  
54 statement applies equally well to any field of study, including acoustics, for which quantities not  
55 strictly proportional to power are reported as levels in decibels. It is especially relevant to planetary  
56 exploration because of the wide range of conditions encountered on extraterrestrial bodies.

57 While the validity of our arguments apply equally well to any approach for which results are  
58 reported in decibels, whether based on a high-fidelity solution to the wave equation or a power ratio  
59 (sonar equation) approach, given that past (and planned future) sonar missions have been  
60 formulated in terms of the sonar equations, we find it convenient to organise this paper around the  
61 familiar sonar equation terms, outlining errors and ambiguities that might have been introduced by  
62 conversion from linear to logarithmic quantities, and which should be borne in mind if the planetary  
63 probe research community continues to report its results in decibels.

64 Levels in decibels have been used for decades for sonar quantities measured on Earth, and  
65 although there have been some early but persistent ambiguities in definition, these have not  
66 revealed any systematic errors that might have caused significant hindering of operations because of  
67 the relatively small difference in impedance within Earth's oceans, lakes, atmosphere and  
68 sediments. However, the moment they are used for extraterrestrial environments, the familiar  
69 standard practice raises questions: What is the appropriate reference pressure for use in an alien  
70 atmosphere? If a signal propagates through Jupiter's atmosphere from pressures so great that it  
71 forms metallic hydrogen, to near-vacuum conditions at the top, how do we compare the source and  
72 received levels in terms of references?

73 The source of ambiguity goes beyond the simple and oft-cited issue of uncertainty in the  
74 reference value for a level in decibels. The signal to noise ratio (SNR) can be expressed in the form  
75 of a product of power ratios, leading to the so-called radar equation [3]. It follows that the  
76 logarithm of the SNR can be expressed as the sum of level differences, with each level difference  
77 equal to the logarithm of one of the power ratios, as is conventional with the sonar equation [4].  
78 More specifically the level  $L$  of a quantity  $Q$  is defined as [5]

$$79 \quad L = \log_r \frac{Q}{Q_0},$$

80 (1)

81 where  $Q_0$  is a specified reference value of the quantity  $Q$  and  $r$  is a specified base. By convention,  $L$   
82 is expressed in decibels (dB), such that  $r = e^2$  and Eq. (1) can be written [6]

$$83 \quad L = 10 \log_{10} \frac{Q}{Q_0} \text{ dB.}$$

84 (2)

85 When the decibel was first introduced as an alternative name for the transmission unit, it was  
86 understood that it would be used only in situations when  $Q/Q_0$  was a strict power ratio [7]. If the  
87 value of  $Q_0$  was provided, the value of the power  $Q$  followed immediately from Eq. (2). By the  
88 1950s, the decibel has lost this original simplicity in meaning [2,8], and its ambiguity in underwater  
89 acoustics increased further during the 1980s as shown by Ref. [9]. Specific concerns include:

- 90 1) The value of  $Q_0$  is not always stated explicitly, relying instead on convention to relay this  
91 crucial piece of information. Different conventions have arisen in different sub-fields of  
92 acoustics (especially airborne vs underwater acoustics) and in different branches of science  
93 (sonar vs radar), leading to the risk of misinterpretation if it is not stated which convention is  
94 being followed, a ubiquitous example being the use of different reference sound pressures in

- 95 gases and liquids [10]. Further, the reference value of propagation loss ( $1 \text{ m}^2$ ) (see Ref. 11)  
96 is frequently omitted.
- 97 2) Even when stated explicitly, the value of  $Q_0$  is often incomplete, relying on convention to  
98 relay the missing information. Examples are the omission of “/Hz” in “dB re  $1 \mu\text{Pa}^2/\text{Hz}$ ” for  
99 spectral density level and of “ $\text{m}^2$ ” in “dB re  $1 \mu\text{Pa}^2 \text{ m}^2$ ” for source level.
- 100 3) The nature of the physical quantity  $Q$  is rarely stated explicitly. Instead it is left to the  
101 reader to infer this information from the value of  $Q_0$ , such as stating a value of noise level in  
102 units of “dB re  $1 \mu\text{Pa}^2/\text{Hz}$ ” without specifying whether  $Q$  is the spectral density of the mean-  
103 square sound pressure (MSP) or of the equivalent plane wave intensity (EPWI, equal to  
104 MSP divided by the characteristic impedance), or characterising the “source level” of a  
105 surface ship in “dB re  $1 \mu\text{Pa} @ 1 \text{ m}$ ” without specifying whether the property in question is  
106 a conventional (monopole) source level or dipole source level [12], or a radiated noise level  
107 [13], all of which have identical reference values.
- 108 4) In the 1950s and 60s it was considered incorrect to use the decibel as a unit of a logarithmic  
109 ratio of any quantity  $Q$  not strictly proportional to power [14, 15, 16]. Today, however, it  
110 can no longer be assumed that  $Q/Q_0$  is a ratio of powers [2, 9]. More specifically:
- 111 a. since the 1950s, the decibel has been used to convey peak to peak [17], peak to  
112 valley [18], peak-equivalent [19], or zero to peak [20, 21] values of field quantities,  
113 even though the squares of such quantities are not proportional to power;
- 114 b. since the 1980s, the decibel has been used in underwater acoustics to convey ratios  
115 of root-mean-square (rms) sound pressure without regard for the corresponding  
116 impedance ratio. It has been argued by Kuperman and co-workers [22, 23, 24], most  
117 recently in 2011 [Ref. 22], that the mean-square sound pressure must always be  
118 divided by the medium impedance before converting to decibels, but an extensive  
119 search published in 2005 [9] revealed no examples between 1981 and 2005 of an

120 author having corrected for the impedance in this way, even by those who argue it is  
121 incorrect not to do so [22, 23, 24]. The *de facto* practice of not correcting for the  
122 impedance (henceforth referred to as the ‘MSP convention’) is so widespread that it  
123 is now required for compliance with international standard terminology [11].

124

125 Because of these ambiguities, some have called for an end to the use of the decibel [25, 26]. By  
126 contrast, Boute [27] makes a case for dropping all restrictions so that it may be applied to any ratio  
127 of like quantities, such as ratios of time, electrical resistance, temperature or frequency, while  
128 Chapman [28] and Chapman and Ellis [29] argue for moderation by calling for greater care in the  
129 continued use of the decibel.

130 Both the radar and sonar equations are used for quantifying the performance of sensor systems  
131 used for planetary exploration [30, 31, 32, 33, 34]. In the present paper we limit our scope to  
132 acoustics and therefore focus on the sonar equation. In underwater acoustics the use of the decibel  
133 is nearly universal, reporting levels with a reference sound intensity [4, 22] of  $1 \mu\text{Pa}^2/\rho_0 c_0$ , where  
134  $\rho_0 c_0$  is the impedance of seawater, assumed to be the same at all locations along the propagation  
135 path. On planets other than Earth, the characteristic acoustic impedance of the propagation medium  
136 is in general not equal to that of seawater on Earth, whether because the medium is a gas, a liquid  
137 other than water, or water subject to extremes of temperature or pressure. The decibel has been  
138 (and is being) used for planetary exploration, leading to ambiguity and confusion. Our Earth-  
139 centric conventions therefore need revisiting when applied to extraterrestrial acoustics. We  
140 consider each term in the sonar equation, evaluating potential for confusion by comparing a widely  
141 used textbook [4] with a recently developed international standard [11].

142 While we use the sonar equation to illustrate our point, primarily because doing so provides a  
143 convenient structure, our main point is that any calculation, no matter how carefully and precisely

144 made, is rendered ambiguous if presented as a level, level difference or loss in decibels, unless the  
145 same care and attention is afforded to the definition of the level (or loss) as was taken in the original  
146 precise calculations. This paper explores this point by examining the behaviour of the terms in the  
147 'sonar equations' as they are taken to other worlds.

148 In Section II the sonar equations of Urick [4] and the International Organization for  
149 Standardization (ISO) [11] are described, and the individual terms in each compared with the  
150 corresponding terms in the other. In Section III, the sonar equation terms are put into context by  
151 considering specific effects of extreme conditions such as high or low temperature or pressure.  
152 Conclusions are drawn in Section IV.

## 153 **II. THE SONAR EQUATIONS**

154 Active sonar uses the principle of echolocation. In other words, a pulse of sound (including  
155 infrasound or ultrasound) is transmitted by the sonar system, reflected from an object of interest (the  
156 sonar “target”), and the resulting echoes are sensed by the sonar receiver [14, 15]. The time delay  
157 between transmission and reception indicates the distance to the sonar “target” (i.e., the object of  
158 interest), while phase differences between receiver elements provide bearing information.  
159 Differences between the echo and the emitted pulses can be interpreted to infer properties of the  
160 target, such as its density and structure, which can provide characteristic ringing or resonances [35].

161 Unlike active sonar, passive sonar equipment does not transmit sound, listening instead for  
162 sounds radiated by the target or for perturbations in ambient sound caused by the target’s presence.  
163 Target bearing is estimated from the phase difference between receiver elements, in the same way  
164 as described above for active sonar. The target distance needs to be estimated by combining  
165 bearing estimates from different receivers, or from the rate of change of bearing on a single  
166 receiver.

167 In a variant of active sonar, if the source, receiver and reflector (if present) are well  
168 characterized, the received signal can be interpreted to identify parameter values associated with the  
169 medium. This has been discussed to provide ultrasonic anemometers for Mars [36; 37; 38] and  
170 devices to measure the sound speed on Titan [39], Venus [40; 41] and Jupiter, Saturn, Uranus and  
171 Neptune [42], such measurements having use in validating proposed chemical compositions for  
172 atmospheres, although the mountings of these have the potential to cause mis-readings if acoustical  
173 differences generated by transposing these structures to other worlds are not taken into account [43;  
174 44].

175 The sonar equation takes a different form for passive and active sonar. Both forms are  
176 considered below, starting with the (simpler) passive sonar equation. In its most general form, the  
177 sonar equation relates the signal excess (symbol  $\Delta L_{SE}$ ) to the signal to noise ratio (abbreviation  
178 SNR, symbol  $R$ ), via the equation [11]

$$179 \quad \Delta L_{SE} = 10 \log_{10} \frac{R}{R_T} \text{ dB},$$

180 (3)

181 where  $R_T$  is the value of  $R$  required to accomplish a specified task (often the detection of an object)  
182 with a specified degree of confidence, characterized in terms of the probability of detection (often  
183 0.5) and a specified probability of false alarm (typically between  $10^{-12}$  and  $10^{-4}$ ). In other words,  
184  $R_T$  is the SNR threshold above which the task is accomplished and  $\Delta L_{SE}$  is the amount by which  $R$   
185 exceeds that threshold, typically expressed in decibels.

186 The first sonar equations we are aware of are those of Horton [14], whose book was first  
187 published in 1957. Horton's "direct-listening equation" (in modern parlance, the passive sonar  
188 equation) for the signal to noise ratio  $R$  (Horton refers to  $10 \log_{10} R$  dB as the "signal differential",  
189 denoting it  $\Delta L_{s/n}$ ), with minor changes in notation to facilitate comparison with the notation of this  
190 paper (which follows Refs. 4 and 11), from p314 of Ref. 14, is

$$191 \quad 10 \log_{10} R \text{ dB} = L_{sl} - N_{pl} - L_{nl} + N_{di},$$

192 (4)

193 where  $L_{sl}$ ,  $N_{pl}$ ,  $L_{nl}$ , and  $N_{di}$  are referred to by Horton as the ‘index level of the signal’,  
 194 ‘propagation loss’, ‘equivalent plane wave level of the interfering noise’ and ‘effective directivity  
 195 index of the hydrophone system’, respectively. These were expressed as levels or level differences  
 196 of EPWI. For example,  $L_{nl}$  is  $10\log_{10}(J_N/I_0)$  dB, where  $J_N$  is the noise EPWI and  $I_0$  is a constant  
 197 reference intensity

$$198 \quad I_0 = 10 \text{ kW/m}^2,$$

199 (5)

200 equal to the unit of intensity in the centimetre-gram-second (CGS) system of units, i.e.,  $1 \text{ W/cm}^2$ .

201 Similarly, Horton’s “echo-ranging equation” (now the active sonar equation) (p342)

$$202 \quad 10 \log_{10} R \text{ dB} = L_{sl} - N_{pl} + N_{ts} - N_{pl} - L_{nl} + N_{di},$$

203 (6)

204 where  $N_{ts}$  is the target strength and  $N_{pl}$  appears twice because the sound travels from sonar to target  
 205 and back, the premise being that the return path experiences the same propagation loss as the  
 206 forward path.

207 In 1967, Urick published the first edition of his widely used ‘Principles of Underwater Sound’  
 208 [15], including sonar equations for passive and active sonar corresponding to Horton’s listening and  
 209 echo-ranging equations, respectively. In essence Urick’s sonar equations are the same as those of  
 210 Horton, but they differ in one important detail: while Horton emphasized the need for a constant  
 211 and well defined reference intensity, Urick (p13-14) introduced instead a value that depended on  
 212 one’s choice of impedance, defined as the intensity of a plane wave whose root-mean-square sound  
 213 pressure is equal to the reference sound pressure  $p_0 = 1 \text{ dyn/cm}^2$ , i.e.,

$$214 \quad I_0 = p_0^2 / \rho_0 c_0,$$

215 (7)

216 equal to approximately  $6500 \text{ pW/m}^2$  if  $\rho_0 c_0$  is chosen to be the characteristic impedance of  
217 seawater [6]. In the third and final edition of Urick's book [4], the reference pressure was updated  
218 to  $p_0 = 1 \text{ } \mu\text{Pa}$ , corresponding to  $I_0 = 6.5 \times 10^{-7} \text{ pW/m}^2$ , calculated using Eq. (7). According to Ref.  
219 4, Eq. (7) was the American National Standard value for the reference intensity, but this claim is  
220 not borne out by the standard cited by Urick. Reference 45 (entry 9.040 Standard Sea Water  
221 Conditions) provides standard values of pressure (1 atm = 0.101325 MPa), temperature (15 deg C)  
222 and sound speed (1500 m/s), from which the salinity (31.60 parts per thousand), density (1023.38  
223  $\text{kg/m}^3$ ) and impedance (1.53507 MPa s/m) are deduced. However, ASA Z24.1-1951 was superseded  
224 in 1960 by Ref. 46, leaving the value of the impedance  $\rho_0 c_0$  unspecified. (A reference value of  $I_0 =$   
225  $1 \text{ pW/m}^2$  has been the American national standard since 1960 and the international standard since  
226 1994, obviating the need to standardize the value of  $\rho_0 c_0$ ). Urick's equations have remained in use  
227 ever since, despite this ambiguity, appearing in his third (1983) edition and repeated by Ref. 22,  
228 unchanged except for the reference values of  $1 \text{ dyn/cm}^2$  and  $1 \text{ yd}$  being replaced in modern texts by  
229  $1 \text{ } \mu\text{Pa}$  and  $1 \text{ m}$ , respectively, but with the same ambiguity in reference intensity.

230 In 2010, Ainslie published 'Principles of Sonar Performance Modeling', [12] with new sonar  
231 equations that removed this ambiguity by defining levels as ratios of MSP instead of EPWI. In  
232 2012, ISO started the development of International Standard ISO 18405 Underwater Acoustics –  
233 Terminology, the purpose of which was to establish international standard definitions of quantities  
234 used in underwater acoustics. The ISO Working Group charged with the development of ISO  
235 18405 published its second draft in April 2016 [11], including passive and active sonar equations,  
236 also based on MSP ratios. The planned publication date for the final International Standard is  
237 December 2016. The remainder of Sec. II compares the sonar equations of Urick [4] with those of  
238 ISO/DIS 18405.2 [11], henceforth abbreviated as "ISO 18405".

239 The passive and active sonar equations are introduced in Sec. II.A and in Sec. II.B, respectively,  
240 followed by an in-depth review of the passive equation terms, as applied to planetary exploration in

241 Sec. II.C. Section II.D considers those terms of the active sonar equation most influenced by  
242 extreme propagation conditions.

### 243 **A. Passive sonar equation**

244 The ‘passive sonar equation’ is the modern name given to Horton’s ‘direct-listening’ equation. It  
245 relates the signal excess (the amount by which the SNR exceeds the threshold required to  
246 accomplish a specified task), to properties of the source of sound and of the sonar being used to  
247 detect the sound.

#### 248 1. *Urlick (passive sonar)*

249 A widely used form of the passive sonar equation is described by Ref. 4 (pp 22, 388), giving the  
250 signal excess (SE) in terms of the source level (SL), “transmission loss” (TL), noise level (NL),  
251 directivity index (DI) and detection threshold (DT)

$$252 \qquad \qquad \qquad SE = SL - TL - NL + DI - DT .$$

253 (8)

254 The term “transmission loss” is placed in inverted commas because what is meant is a quantity  
255 referred in the rest of this paper as propagation loss (abbreviated PL), while the term transmission  
256 loss is reserved hereafter to mean the difference between levels of like quantities at two different  
257 places [11]. Further, Urlick uses DI as an approximation for the array gain (AG), and with these two  
258 changes, Eq. (8) becomes

$$259 \qquad \qquad \qquad SE = SL - PL - NL + AG - DT .$$

260 (9)

261 In both cases, our purpose in making the change is to facilitate comparison with the ISO sonar  
262 equation, below.



287 performance of a Martian anemometer, and Lee et al. [31], who assess the detectability of ice cracks  
 288 on Europa with a view to using these to probe the upper 100 km of Europa’s structure.

289 In addition, Leese et al. [48] refer to earlier work by Garry [49], who appears to use the echo  
 290 sounder equation to investigate the height from which echoes from Titan’s surface might be  
 291 detected. We do not have access to Ref. 49.

## 292 **B. Active sonar equation**

293 The ‘active sonar equation’ is the modern name given to Horton’s ‘echo-ranging’ equation. For  
 294 high-power sonar in Earth’s oceans, the performance of active sonar is often limited by self-noise in  
 295 the form of reverberation [22]. For planetary missions we can expect less powerful transmitters to  
 296 be available, and early exploration systems are more likely to be limited by ambient noise, electrical  
 297 self-noise or even thermal noise. We therefore omit reverberation from our discussion of the active  
 298 sonar equation.

### 299 1. *Urlick (active sonar)*

300 Urick’s active sonar equation (Ref. 4, p21, 388) is

$$301$$

$$302 \quad SE = SL - PL + TS - PL - NL + AG - DT$$

303 (11)

### 304 2. *ISO (active sonar)*

305 The corresponding equation from Ref. 11 is

$$306 \quad \Delta L_{SE} = L_S - N_{PL,Tx} + N_{TS,eq} - N_{PL,Rx} - L_N + \Delta L_{PG} - \Delta L_{DT},$$

307 (12)

308 where the equivalent target strength ( $N_{TS,eq}$ ) is closely related to TS and the terms  $N_{PL,Tx}$  and  $N_{PL,Rx}$   
 309 replace the two PL terms in Eq. (11).

310 3. *Examples (active sonar)*

311 In 1969, Little [30] proposed a method for probing Earth's lower atmosphere using sonar, in  
312 order to measure parameters such as humidity, temperature and wind velocity profiles and 3D  
313 inhomogeneity, pointing out that fluctuations in the acoustic refractive index exceed those for their  
314 radio counterpart by a factor of 1000. For this purpose he employs a linear form of the active sonar  
315 equation based on the radar equation, in which the terms are multiplied instead of adding their  
316 logarithms as is customary for sonar. The same approach is adopted by Svedhem et al. [32], who  
317 examine the feasibility of using sonar to measure the properties of Titan's atmosphere such as  
318 precipitation rate.

319 **C. Passive sonar equation: term by term comparison**

320 The tolerances associated with many day-to-day measurements in acoustics (say  $\pm 3$  dB) would  
321 seem extremely large to some branches of measurement physics, but are considered acceptable for a  
322 great deal of acoustical measurements, and it is therefore pertinent to ask 'how accurate do I need to  
323 be in practice?'. However, this is a different question to 'how accurate does a standard need to  
324 be?', since the latter must in principle apply for the most precise foreseeable measurement,  
325 including calibration. The practical implications of the currently tolerated inaccuracy are discussed  
326 in Sec. III. While some of the systematic errors caused by transposing familiar practices to  
327 extraterrestrial environments might seem small compared with uncertainties (both random and  
328 systematic) that can result from measurement error, for a *definition* such an ambiguity is both  
329 unnecessary and undesirable. It likely leads to unnecessary calibration errors: if, even on Earth,  
330 there is no consensus on whether to use the impedances specific for fresh/salt water if a sonar  
331 system is calibrated in one and used in the other, then we can never achieve the better than 0.5 dB  
332 calibration accuracy that Horton argued for in 1959.

333 We now consider the implications of the above considerations for the passive sonar equation.  
334 This is achieved by comparing each in term in Eq. (9) with its corresponding term in Eq. (10).

335 1. *Source level*

336 The ‘source level’ is a measure of the power radiated by a sound source – more precisely a  
 337 measure of its far-field radiant intensity (power per unit solid angle) [12].

338 **a. Source level (Urlick)**

339 Ref. 4 (henceforth referred to as “Urlick 1983”) introduces projector source level (SL) as the term  
 340 in the active sonar equation that characterizes the sonar transmitter. It is defined on p71 as “the  
 341 intensity of the radiated sound in decibels relative to the intensity of a plane wave of rms pressure 1  
 342  $\mu\text{Pa}$ , referred to a point [at a reference distance,  $r_0$ , of] 1 yd from the acoustic center of the projector  
 343 in the direction of the target”. We interpret this definition, in equation form, as

$$344 \quad \text{SL} \equiv 10 \log_{10} \frac{I_{s,f}(r) r^2}{I_0 r_0^2 B_0^{-1}} \text{dB},$$

345 (13)

346 where the subscript  $f$  denotes a spectral density (here and throughout) and  $I_s(r)$  is the equivalent  
 347 free-field intensity (the magnitude of the sound intensity that would exist in the free field if the  
 348 source motion were unchanged [12, p576]) in the acoustic far field at distance  $r$ , i.e.,

$$349 \quad I_s(r) = \frac{p_s^2(r)}{\rho_s c_s},$$

350 (14)

351 where  $p_s$  is the rms free-field sound pressure in the far field of the source, again for identical source  
 352 motion. The reference values for Eq. (13) are given by  $r_0 = 1$  yd (converted here to  $r_0 = 1$  m) and  
 353 Eq. (7) for  $I_0$  with  $p_0 = 1$   $\mu\text{Pa}$ .

354 **b. Source level (ISO)**

355 Reference 11 (i.e., ISO 18405) defines source level as

$$356 \quad L_S \equiv 10 \log_{10} \frac{p_s^2(r) r^2}{p_0^2 r_0^2} \text{dB}.$$

357 (15)

358 The difference between the Urick and ISO definitions of source level depends on the characteristic  
 359 impedance at the source and on the receiver bandwidth, relative to the reference impedance and  
 360 reference bandwidth, respectively

$$L_S = SL + 10 \log_{10} \frac{\rho_s c_s B}{\rho_0 c_0 B_0} \text{ dB.}$$

362 (16)

### 363 c. Examples of source level

364 A recurring problem in the characterisation of sound sources in the context of the sonar equation  
 365 is that their properties are reported in decibels, often without a clear description of the physical  
 366 quantity being expressed as a level, leaving the reader to infer from the context what is intended.

367 Arvelo and Lorenz [34] calculate the source level required for an echo sounder in Titan's *Ligeia*  
 368 *Mare* to detect an echo from the bottom of the ethane lake if the sonar is floating at the surface.  
 369 They report a requirement of at least "150 dB re 1  $\mu\text{Pa}^2/\text{Hz}@1 \text{ m}$ ", where the "@1 m" is interpreted  
 370 to mean scaled to a reference distance  $r_0$  in the sense of Eq. (13), with  $r_0 = 1 \text{ m}$ . In other words the  
 371 source spectral density level is 150 dB re 1  $\mu\text{Pa}^2 \text{ m}^2/\text{Hz}$ . As pointed out by Ainslie [6], when using  
 372 the EPWI convention in a medium other than seawater on Earth, there is a need to specify the  
 373 impedance used to determine the reference intensity. In other words, to use the stated information  
 374 we at least need to know the value of  $I_0$  in Eq. (13), and possibly also the value of  $\rho_s c_s$  in Eq. (14).  
 375 Depending on the assumed impedance, the impedance correction term of Eq. (16) would have a  
 376 value between -3.6 dB (source in methane; reference of seawater) and +2.5 dB (source in ethane;  
 377 reference of methane), making for a total uncertainty of about 6 dB. There will always exist  
 378 circumstances for which the characteristic acoustic impedance (whether a standard reference value,  
 379 the value at source or the value at the receiver) must be specified. For example if a distributed  
 380 source of known power (e.g. lightning on Mars, Venus or Titan) is modelled as launching pressure

381 waves, whose forms are at the observer are numerically calculated and then summed to predict the  
382 received sound pressure field, this calculation hinges on knowing the correct value of impedance at  
383 the source. Furthermore, if the strength of that received field is then expressed as a level in  
384 decibels, both a standard reference impedance and the actual or assumed impedance at the receiver  
385 would need to be specified [50].

386 Towner et al. 2006 [51] describe the sonar transmitter on the Huygens landing probe as  
387 “resulting in a transmitted acoustic power of about 104 dB (with respect to  $20\mu\text{Pa}$  ...)”. While such  
388 a phrase might be clear in light of widely adopted conventions for reference values in the context of  
389 terrestrial atmospheric acoustics, the present authors (who are used to the conventions of  
390 underwater acoustics) are unsure of its meaning. Judging from the reference value, it could refer to  
391 a sound pressure level of  $104\text{ dB re } (20\ \mu\text{Pa})^2$ , corresponding to a mean-square sound pressure of  
392  $10.0\text{ Pa}^2$  at some (unspecified) distance. It could also refer to a source level of  $104\text{ dB re } (20\ \mu\text{Pa})^2$   
393  $\text{m}^2$ , implying a source factor [11] of  $10.0\text{ Pa}^2\text{ m}^2$  for the MSP convention, and between  $10\text{ Pa}^2\text{ m}^2$   
394 and  $31\text{ Pa}^2\text{ m}^2$  for the EPWI convention, depending on whether the impedance of air on Earth or  
395 nitrogen on Titan is chosen to determine the reference intensity, or some intermediate value.

396

## 397 2. *Propagation loss*

398 Propagation loss is the inverse of the transfer function from source to receiver. More specifically,  
399 it is the difference between the source level and the signal level received at the sonar. The term  
400 ‘transmission loss’ is sometimes used as a synonym [9], but we prefer ‘propagation loss’ to avoid  
401 confusion with the alternative meaning of transmission loss as the difference between two like  
402 quantities such as sound intensity level (SIL) [52].

403 **a. Propagation loss (Urlick)**

404 Urlick 1983 [4] defines propagation loss (PL) (p99) as  $10\log_{10}(I_s/J_s)$  dB, where  $I_s$  is “the intensity  
405 at the reference point located [1 m] from the “acoustic center” of the source ( $10\log_{10} I_s$  dB is the  
406 source level of the source)” and  $J_s$  is the “[equivalent plane wave] intensity at a distant point”. We  
407 interpret this definition, in equation form, as

$$408 \quad \text{PL} \equiv \text{SL} - 10 \log_{10} \frac{J_s}{I_0} \text{ dB},$$

409 (17)

410 where  $J_s$  is the EPWI of the signal at the sonar receiver.

411 **b. Propagation loss (ISO)**

412 ISO 18405 defines propagation loss as

$$413 \quad N_{\text{PL}} \equiv L_s - 10 \log_{10} \frac{p_s^2}{p_0^2} \text{ dB}.$$

414 (18)

415 The Urlick and ISO definitions of propagation loss are therefore related via the equation

$$416 \quad N_{\text{PL}} = \text{PL} + 10 \log_{10}(\rho_s c_s / \rho_r c_r) \text{ dB}.$$

417 (19)

418 **c. Examples of propagation loss**

419 Propagation loss results are presented by Collins et al. [53] for Jupiter’s atmosphere, by Lee et al.  
420 [31] and Heaney and Campbell [54] for Europa’s icy ocean, and by Arvelo and Lorenz [34] for  
421 *Ligeia Mare*, on Titan. These three different scenarios lead unsurprisingly to very different  
422 propagation conditions, making the results intrinsically difficult to compare. Comparison is further  
423 (unnecessarily) complicated for a more mundane reason, namely that what is plotted is a different  
424 physical quantity in each case. Specifically, Arvelo and Lorenz use Urlick’s definition of

425 propagation loss, whereas Heaney and Campbell adopt that of ISO 18405, while Lee et al. [31]  
426 define propagation loss in terms of ratios of (mean-square) sound particle velocities instead of  
427 sound pressures. While there is nothing wrong with any one of these three definitions (in each case  
428 the choice of definition followed is clear), the proliferation of different definitions can lead to  
429 confusion. By contrast, Collins et al. [53] present graphs of propagation loss but do not state which  
430 definition is being used for this quantity. Possibilities include the MSP and EPWI conventions, and  
431 a third possibility involving ratios of the mean-square sound pressure divided by the density [55].

432 The term propagation loss, defined as the difference between source level and sound pressure  
433 level, is referred to by Urick as “transmission loss” and this practice is widely followed [22, 34, 53].  
434 However, the term “transmission loss” has an alternative meaning as the difference between SIL at  
435 specified locations [56] (often either side of a barrier or boundary), and the ISO standard [11]  
436 reserves the term transmission loss for this second meaning. One example of the use of  
437 “transmission loss” with this ISO standard meaning, in the context of an echo sounder in Titan’s  
438 *Ligeia Mare* [34], is the decrease in SIL across the boundary between the solid transducer head,  
439 made of aluminium, and the liquid ethane in the lake.

440 Finally, we point out a third use of “transmission loss”, in the context of a Martian sonic  
441 anemometer [33], as a synonym of absorption loss, which is the contribution from absorption to  
442 propagation loss.

443

### 444 3. *Noise level*

445 The noise level is the level of the unwanted sound or non-acoustic noise that interferes with the  
446 sonar signal.

447 **a. Ambient noise (Urick)**

448 Urick 1983 [4] considers ambient noise (the ocean noise that would be present if the sonar and  
 449 target signal were not) and self-noise (the noise due to the presence and operation of the sonar).  
 450 Specifically, Urick (p202) defines ‘ambient noise level’ (NL) as “the intensity, in decibels, of the  
 451 ambient background measured with a nondirectional hydrophone and referred to the intensity of a  
 452 plane wave having an rms pressure of 1  $\mu\text{Pa}$ ”. We interpret Urick’s definition of noise level, in  
 453 equation form, as the level of the EPWI spectral density  $J_{\text{amb},N,f}$

$$454 \quad \text{NL} \equiv 10 \log_{10} \frac{J_{\text{amb},N,f}}{I_0 B_0^{-1}} \text{dB},$$

455 (20)

456 where  $B_0 = 1 \text{ Hz}$ .

457 In the event that self-noise is not negligible, the term  $J_{\text{amb},N,f}$  in Eq. (20) is replaced by  $J_{\text{amb},N,f} +$   
 458  $J_{\text{self},N,f}$ , where  $J_{\text{self},N}$  is defined as  $V_{\text{self}}^2 / (M^2 \rho_r c_r)$ , whereas  $M$  is the receiver sensitivity (receiver  
 459 voltage per unit incident sound pressure) and  $V_{\text{self}}$  is the receiver voltage in the absence of signal  
 460 and ambient noise. The subscript  $f$  denotes the spectral density.

461 **b. Sonar noise level (ISO)**

462 ISO 18405 [11] defines ‘sonar noise level’ as

$$463 \quad L_N \equiv 10 \log_{10} \frac{p_N^2}{p_0^2} \text{dB}$$

464 (21)

465 It follows that the ISO and Urick definitions are related via

$$466 \quad L_{\text{NL}} = \text{NL} + 10 \log_{10} \frac{\rho_r c_r}{\rho_0 c_0} \frac{B}{B_0} \text{dB}.$$

467 (22)

468 The need to correct for the impedance ratio was pointed out by Ainslie and Leighton [57]. For  
469 simplicity, the bandwidth term was excluded there by arbitrarily equating  $B$  to  $B_0$ . If NL is  
470 interpreted as a band-averaged spectral density level, no approximation is involved in the derivation  
471 of Eq. (22).

### 472 **c. Examples of noise level**

473 If the MSP convention is followed, the noise level of “40 dB re  $1 \mu\text{Pa}^2/\text{Hz}$ ” quoted by Arvelo and  
474 Lorenz [34] for wind-generated noise in *Ligeia Mare* means the MSP is  $10^4 \mu\text{Pa}^2/\text{Hz}$ , precisely. In  
475 fact Arvelo and Lorenz [34] follow Urick’s EPWI convention, for which either the reference  
476 impedance or reference intensity needs to be stated in order for the information to be interpreted  
477 unambiguously as an EPWI value. Possible values of reference intensity on Titan are between 6500  
478 and  $14900 \text{ aW}/(\text{m}^2 \text{ Hz})$  [6, 57]. For interpretation in terms of a mean-square sound pressure, the  
479 characteristic impedance of the medium would also be needed.

480 The information used by Arvelo and Lorenz to arrive at their stated value of noise level  
481 originates from Figure 5 of Ref. 58, which plots the spectrum of the noise from a methane fall on  
482 Titan, and used the same sound power per bubble of Titan as it would have on Earth (revising  
483 earlier calculations by the same authors that used an estimate for the sound power on Titan as being  
484 roughly 10 times greater [59, 60]). These data were taken from examination of a waterfall on Earth,  
485 and so are illustrative only, since the Earth waterfall could have been more or less powerful.  
486 Following consultation with the originator of these graphs (personal communication, Dr P. R.  
487 White, January 2016), we can confirm that they were calculated using the MSP convention, without  
488 an impedance ratio.

489 The example of noise level in Titan’s lakes teaches us that confusion can result when  
490 information from one paper making use of (say) the MSP convention is applied to another in which  
491 the EPWI convention is applied. The information is prone to misinterpretation unless a) the choice

492 of MSP vs EPWI convention is clearly stated and b) the choice of reference impedance and  
493 assumed medium impedance is stated when making use of the EPWI convention.

494 Lee et al. [31] define noise level in Europa's ocean in terms of the spectral density of the mean  
495 square sound particle velocity. Their definition does not include an impedance ratio, making their  
496 approach comparable with the MSP convention but applied instead to particle velocity.

497 It is usually the case that as the frequency increases, acoustic sensors tend to be more prone to  
498 thermal noise, for which the same ambiguity applies when reported in decibels (see Sec. III.D). For  
499 high frequency uncorrelated noise generally, the dimensions of the receiving transducer is typically  
500 not small compared with the acoustic wavelength, in which case the usual concepts of receiver  
501 sensitivity need to be refined by averaging the sound pressure (or mean-square sound pressure) over  
502 the transducer's active surface.

503

#### 504 4. *Signal to noise ratio and processing gain*

505 Sonar processing is designed to enhance performance, either by increasing the signal to noise  
506 ratio ( $R$ ) or by decreasing the threshold required for detection ( $R_T$ ). An increase in  $R$  (processing  
507 gain) can be achieved by combining signals from different hydrophones (spatial processing, known  
508 as beamforming, the resulting gain being called 'array gain') or by combining signals at different  
509 times (temporal processing, i.e. time-domain filtering such as a Fourier transform – the resulting  
510 gain is called 'filter gain').

511

##### 512 a. **Array gain (Urlick)**

513 In his sonar equation, Urlick 1983 [4] approximates the array gain (AG) by the receiver  
514 directivity index (DI). As explained above, comparison with ISO is facilitated by replacing DI with  
515 AG. Urlick 1983 defines AG (p34) as

516  $AG = 10 \log_{10} G_A \text{ dB},$   
 517 (23)

518 where

519  $G_A = \frac{R'_{\text{bf}}}{R'_{\text{hp}}}$   
 520 (24)

521 and  $R'$  is the ratio of signal power to noise power spectral density, a quantity with dimensions of  
 522 bandwidth. We interpret it as

523  $R' \equiv BR,$   
 524 (25)

525 where  $B$  is the receiver bandwidth and  $R$  is the ratio of signal power to noise power. The subscripts  
 526 ‘hp’ and ‘bf’ indicate hydrophone and beamformer output, respectively. It follows from Eq. (25)  
 527 that

528  $G_A = \frac{R_{\text{bf}}}{R_{\text{hp}}}.$   
 529 (26)

### 530 **b. Sonar processing gain (ISO)**

531 ISO 18405 considers temporal and spatial processing combined and refers to the combined gain  
 532 as ‘sonar processing gain’. Specifically, the ISO 18405 definition of ‘processing gain’ is

533  $\Delta L_{\text{PG}} = 10 \log_{10} G_P \text{ dB}$   
 534 (27)

535  $G_P = \frac{R_{\text{out}}}{R_{\text{hp}}},$   
 536 (28)

537 where the subscript ‘out’ indicates output of all processing, where the detection decision is made.  
 538 The difference between processing gain and array gain can be written

539 
$$\Delta L_{PG} = AG + 10 \log_{10} G_F \text{ dB},$$
  
540 (29)

541 where  $G_F$  is the filter gain, defined as

542 
$$G_F \equiv \frac{G_P}{G_A}.$$
  
543 (30)

### 544 **c. Examples of processing gain**

545 In assessing the likely performance of a depth sounder in Titan's hydrocarbon seas, Arvelo and  
546 Lorenz [34] present results for DI, a useful proxy for AG. In line with the worst-case philosophy of  
547 that paper, this approximation will tend to underestimate the true AG because the transducer is  
548 facing down, away from the main noise source.

549 The processing gain term incorporates any change to the signal to noise ratio resulting from  
550 conversion of the sound to an electrical or (digital) electronic form, whether the change results from  
551 signal processing (e.g., beamforming or spectral filtering) or as an intended or unintended  
552 consequence of the hardware. For example a transducer whose active surface is large compared  
553 with the acoustic wavelength will have directional properties that will increase the strength of  
554 coherent signals arriving from the direction perpendicular to the transducer face, relative to that of  
555 thermal noise, or other uncorrelated high frequency noise.

## 556 **5. Detection threshold**

### 557 **a. Detection threshold (Urlick)**

558 For a narrow-band source, Urlick 1983 [4] (p378) defines DT as “the ratio, in decibel units, of the  
559 signal power (or mean-squared voltage) in the receiver bandwidth to the noise power (or mean-  
560 squared voltage), in a 1-Hz band, measured at the receiver terminals, required for detection at some

561 preassigned level of correctness of the detection decisions.” For a broadband source we interpret  
562 this definition, in equation form, as

$$563 \qquad \qquad \qquad DT = 10 \log_{10} R_{bf,T} \text{ dB},$$

564 (31)

565 where the subscript ‘T’ indicates the threshold required to achieve a specified detection probability  
566 and false alarm probability.

567 **b. Detection threshold (ISO)**

568 The ISO 18405 definition of ‘detection threshold’ is

$$569 \qquad \qquad \qquad \Delta L_{DT} = 10 \log_{10} R_{out,T} \text{ dB},$$

570 (32)

571 from which it follows that

$$572 \qquad \qquad \qquad \Delta L_{DT} = DT + 10 \log_{10} G_F \text{ dB}.$$

573 (33)

574 **c. Examples of detection threshold**

575 The only planetary acoustics paper known to the authors to calculate detection threshold is Ref.  
576 34. For the simple receiver considered, the filter gain is expected to be small or negligible, so the  
577  $10 \log_{10} G_F$  dB difference between Urick and ISO detection thresholds is of no consequence for this  
578 example.

579 **6. Summary table**

580 In summary we highlight three main differences between Urick’s sonar equations [4] and those of  
581 ISO [11] (Table 1):

- 582 - various impedance ratios that are omitted from the ISO equations are implicit in Urick’s
- 583 equations, resulting in differences in the terms source level, propagation loss, and noise level;

- 584 - the ISO terms are band levels in the receiver frequency band, whereas Urick uses (band-  
585 averaged) spectral densities (affects noise level and source level);
- 586 - in the ISO equations, filter gain is included in the processing gain term, whereas Urick  
587 includes this effect in the detection threshold.
- 588

589

590 **Table 1.** ISO 18405 passive sonar equation terms and their relationship with corresponding terms from Urick  
 591 1983 [4].

term	symbol	relation to Urick's corresponding sonar equation term	explanatory notes
source level	$L_S$	$L_S = SL + 10 \log_{10} \frac{\rho_s c_s B}{\rho_0 c_0 B_0} \text{ dB}$	$\rho_s c_s =$ impedance at source position  $\rho_0 c_0 =$ reference impedance (see text)
propagation loss	$N_{PL}$	$N_{PL} = PL + 10 \log_{10} \frac{\rho_s c_s}{\rho_r c_r} \text{ dB}$	$\rho_r c_r =$ impedance at receiver position
sonar noise level	$L_N$	$L_N = NL + 10 \log_{10} \frac{\rho_r c_r B}{\rho_0 c_0 B_0} \text{ dB}$	$B =$ receiver bandwidth (assumed to exceed signal bandwidth)  $B_0 = 1 \text{ Hz}$
processing gain	$\Delta L_{PG}$	$\Delta L_{PG} = AG + 10 \log_{10} G_F \text{ dB}$	$G_F =$ filter gain (gain from all processing after the beamformer)
detection threshold	$\Delta L_{DT}$	$\Delta L_{DT} = DT + 10 \log_{10} G_F \text{ dB}$	
signal excess	$\Delta L_{SE}$	$\Delta L_{SE} = SE$	

592

593

594 **D. Active sonar equation: target strength and two-way propagation loss**

595 The active sonar equation can be derived from the passive sonar equation by replacing the one-  
 596 way transfer function, represented by the propagation loss term  $N_{PL}$ . If  $N_{PL,Tx}$  ( $N_{PL,Rx}$ ) is the one-  
 597 way propagation loss to (from) the target, the one-way transfer function is replaced without  
 598 approximation by the two-way transfer function, represented by the combination  $N_{PL,Tx} + N_{PL,Rx} -$   
 599  $N_{TS,eq}$ , where  $N_{TS,eq}$  is often approximated by TS. The issues of target strength and two-way  
 600 propagation loss are discussed below.

601 1. *Target strength and equivalent target strength*

602 **a. Target strength (Urlick)**

603 Urlick 1983 [4] (p291) defines TS as “10 times the logarithm to the base 10 of the ratio of the  
 604 intensity of the sound returned by the target, at a distance  $[r_0]$  from its “acoustic center” in some  
 605 direction, to the incident intensity from a distant source”. We interpret this definition, in equation  
 606 form, for an incident plane wave of intensity  $I_{inc}$ , and back-scattered intensity at far-field distance  $r$ ,  
 607  $I_{sc}(r)$ , as

608 
$$TS \equiv 10 \log_{10} \frac{I_{sc}(r) r^2}{I_{inc} r_0^2} \text{ dB.}$$

609 (34)

610 **b. Equivalent target strength (ISO)**

611 ISO 18405 defines the target strength of an object for the same idealized conditions (incident  
 612 plane wave and far-field free-field scattered wave) as Urlick, albeit with one important difference,  
 613 that the ISO standard defines target strength as a bistatic quantity, depending on both incident and  
 614 scattered angles. However, this term is not used in the ISO sonar equation because of the  
 615 requirement for it to be applicable in realistic situations (in which the idealized conditions are not  
 616 met). Instead, the concept of an equivalent target strength ( $N_{TS,eq}$ ), applicable to realistic conditions

617 such as scattering from a target in a shallow water waveguide, is introduced. This quantity is  
 618 defined as

$$619 \quad N_{\text{TS,eq}} \equiv L_{\text{TE}} + N_{\text{PL,Tx}} + N_{\text{PL,Rx}} - L_{\text{S}},$$

620 (35)

621 where  $L_{\text{TE}}$  is the target echo level, defined as the mean-square sound pressure level of the target  
 622 echo.

623 For modelling work,  $N_{\text{TS,eq}}$  is often approximated by TS because  $N_{\text{TS,eq}}$  is more difficult to  
 624 calculate, although this practice generates the new problem of knowing what values of incident and  
 625 scattered angles to choose ( $N_{\text{TS,eq}}$  is independent of both). On the other hand, the measurement of  
 626 TS is problematic because of the requirement for far-field condition and an incident plane-wave.  
 627 The two quantities are equal if the target's differential scattering cross section is independent of the  
 628 direction of both incident and scattered waves [12, pp607-610].

## 629 2. *Propagation loss revisited (reciprocity)*

630 It is often assumed that, for monostatic sonar, the sum of the two propagation loss terms in Eq.  
 631 (12) or (35) can be replaced by two times one of them. This is an approximation that holds for  
 632 narrow band sonar in a medium with zero mean flow and uniform impedance. For broadband sonar  
 633 there is a difference between  $N_{\text{PL,Tx}}$  and  $N_{\text{PL,Rx}}$  that arises from differential absorption (the return  
 634 path has a lower centre frequency than the outgoing path and therefore suffers less attenuation  
 635 through absorption) [12]. The impedance ratio matters, and the precise way in which it matters  
 636 depends on which sonar equation is used [12, p493]. The following discussion focuses on the  
 637 impedance ratio because of the large contrasts in density and sound speed that can be encountered  
 638 in planetary atmospheres.

639 The reciprocity principle applies to a situation with zero mean flow. In the presence of wind or  
 640 strong currents, a modified form of the principle known as the “flow reversal theorem” is applicable  
 641 [61]. The effect of vertical wind shear is known to be important for atmospheric acoustics [62].

642 Collins et al. 1995 [53] have developed methods for computing propagation loss with horizontal  
643 shear, leading to caustics due to horizontal refraction in the Jovian atmosphere.

644

645 **a. Urick**

646 Urick's active sonar equation, in the form quoted by Jensen et al. [22], replacing DI with AG for  
647 the same reason as previously, is

$$648 \quad SE = SL - PL_{Tx} + TS - PL_{Rx} - NL + AG - DT, \quad (36)$$

649

650 where  $PL_{Tx}$  is the propagation loss from sonar transmitter to target,  $PL_{Rx}$  is the equivalent quantity  
651 for the return path from target to sonar receiver, and TS is the target strength.

652 It is widely assumed [22 (p714)] that  $PL_{Tx} + PL_{Rx}$  in Eq. (36) may be replaced for monostatic  
653 sonar by  $2PL_{Tx}$  (or  $2PL_{Rx}$ ). However, in general  $PL_{Tx}$  and  $PL_{Rx}$  are not equal, even for monostatic  
654 sonar. If the speed of sound at the target position ( $c_{tgt}$ ) differs from that at the sonar ( $c_{snr}$ ), the two  
655 propagation loss terms are related by [12 (p493), 14 (p120)]

$$656 \quad PL_{Rx} = PL_{Tx} + 10 \log_{10} \frac{c_{snr}^2}{c_{tgt}^2} \text{ dB}, \quad (37)$$

657

658 where the subscripts 'snr' and 'tgt' indicate more generally a property of the sonar and target,  
659 respectively. Therefore the narrow-band active sonar equation can be written

$$660 \quad SE = SL - 2PL_{Tx} + TS - NL + AG - DT + 10 \log_{10} \frac{c_{tgt}^2}{c_{snr}^2} \text{ dB}. \quad (38)$$

661

662 **b. ISO**663 The equation corresponding to Eq. (37) for  $N_{PL}$ , as defined by ISO 18405 is [63]

$$664 \quad N_{PL,Rx} = N_{PL,Tx} + 10 \log_{10} \frac{\rho_{tgt}^2}{\rho_{snr}^2} \text{ dB}$$

665 (39)

666 With this in mind, for a monostatic sonar, Eq. (12) can be written [12, 63]

$$667 \quad \Delta L_{SE} = L_{TE} - L_N + \Delta L_{PG} - \Delta L_{DT},$$

668 (40)

669 where  $L_{TE}$  is the target echo level

$$670 \quad L_{TE} = L_S + N_{TS,eq} - 2N_{PL,Tx} + 10 \log_{10} \frac{\rho_{snr}^2}{\rho_{tgt}^2} \text{ dB},$$

671 (41)

672 correcting a sign error on p493 of Ref. 12.

673 **3. Signal level and noise level**

674 Whether for active or passive sonar, the sonar equation can always be written in the form

$$675 \quad \Delta L_{SE} = L_{\text{signal}} - L_{\text{noise}} - \Delta L_{DT},$$

676 (42)

677 by writing  $10 \log_{10} R$  dB as  $L_{\text{signal}} - L_{\text{noise}}$ , where  $L_{\text{signal}}$  and  $L_{\text{noise}}$  noise are defined as signal  
 678 and noise levels after processing. The same considerations described previously for noise level  
 679 apply also to signal level. For example, Svedhem et al. [32] plot the echo level (signal level for an  
 680 active sonar) in “dB,ref.20uPa”. The interpretation of this quantity depends on whether the MSP or  
 681 EPWI convention is being used and, in the case of the EPWI convention, the choice of reference  
 682 impedance.

683

684

### 685 **III. FEATURES OF PLANETARY ACOUSTICS**

686 The expense per bit of data for planetary exploration is very high, and so every effort must be  
687 made to foresee problems in definitions and calibrations that compromise either the design and  
688 effective use of equipment, or the end-users' ability to interpret the resulting measurements. In the  
689 study of planetary acoustics one inevitably encounters extreme conditions relative to those to which  
690 we are accustomed on Earth, resulting in the following issues:

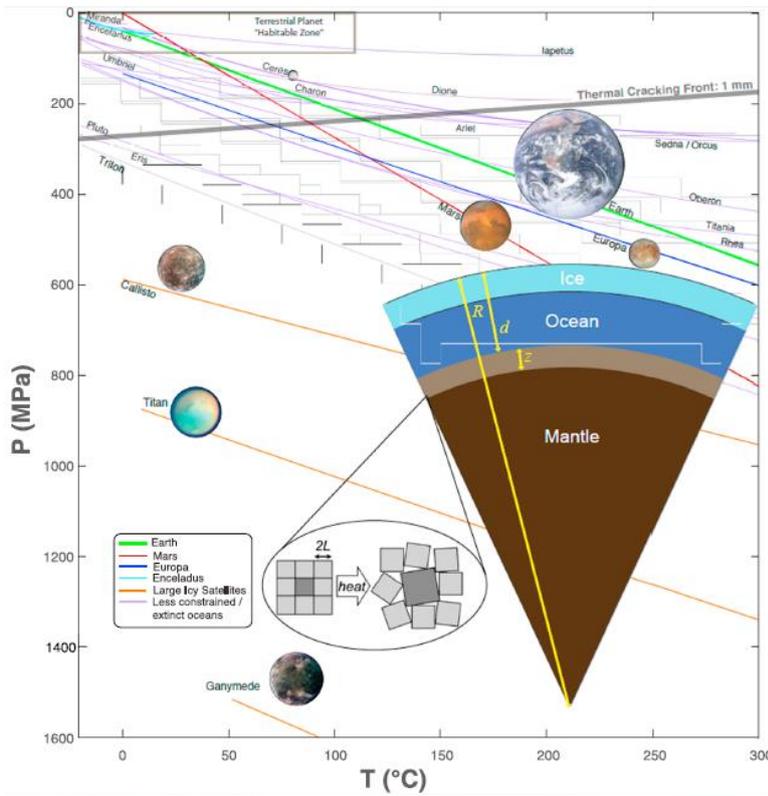
- 691 - the small size of moons and some small planets result in curvature related effects that are  
692 usually negligible on Earth;
- 693 - the gaseous atmospheres in which acoustic sensors might operate have chemical, acoustical  
694 and thermodynamical properties very different to those encountered in Earth's atmosphere;
- 695 - the conditions on Saturn's moon Titan (low temperature combined with large reserves of  
696 light hydrocarbons) result in the formation of liquid hydrocarbon lakes;
- 697 - the limiting omnipresent thermal noise depends on the chemical and thermodynamical  
698 properties of oceans, lakes and atmospheres in a predictable way;
- 699 - high amplitudes, required when high absorption reduces the signal-to-noise ratio to  
700 unacceptable levels, create the need to consider non-linear effects.

701 These issues are addressed in turn below.

#### 702 **A. Small planets with high curvature: Europa and the icy moons**

703 Water is a key ingredient for life, and as such its occurrence in vast quantities at the range of  
704 Jupiter and beyond (e.g. in Saturn's rings or on exoplanets) is of considerable interest, whether as a  
705 resource for human ventures, or as a possible harbour for extraterrestrial life. However, with solar  
706 radiation fluxes so weak at such distances within the Solar System, a power source is required to  
707 liquefy the ice, possibly occurring naturally through geothermal and tidal processes, etc. A  
708 surprising number of moons and dwarf planets are now thought to contain seas and oceans of liquid

709 water (see Figure 1), some of them vast in extent compared to Earth's oceans [64]. Also  
 710 noteworthy are the high curvature (illustrated by the section through Europa) and the high pressure,  
 711 exceeding 1000 MPa on Ganymede and Titan. Candidate bodies include a subset of the moons of  
 712 several distant planets: Jupiter's moons Europa, Ganymede and Callisto [65]; Saturn's moons  
 713 Titan and Rhea; Uranus's moons Titania and Oberon; Neptune's moon Triton; the dwarf planet  
 714 Ceres; and the minor planet Pluto [66].



715  
 716 **Figure 1.** Temperature vs pressure profiles for worlds on which either liquid oceans are known to exist or the  
 717 conditions for liquid water are thought to exist. Reproduced from Ref. [64].

718 Although the distance from the Sun causes the surface to freeze, beneath the ice, the combined  
 719 effects of radiation, geothermal action, and the passage through massive planetary gravitational  
 720 fields, is thought to create sufficient energy to maintain liquid water oceans beneath the frozen  
 721 surface [67]. The evidence of rich chemistry on Europa [68; 69], and the knowledge that Earth  
 722 supports some deep-ocean life that is not reliant on solar radiation, has stimulated planning for  
 723 missions to these bodies. Given that acoustics provides by far the most useful radiation for sensing

724 at distance in the ocean, it would be inconceivable not to equip such missions with sonar. Effective  
725 long range sonar requires propagation modelling e.g. to determine the acoustic path and path length  
726 to calculate the propagation loss. However, despite the apparent similarity to Earth's Arctic Ocean,  
727 the application of the familiar techniques developed for that environment would lead to errors in  
728 planning and interpreting sonar missions on Europa. Accounting for the effect of the curvature of  
729 small worlds when calculating the relative positions and geometries of sources, receivers and  
730 propagation paths is one requirement. Another, perhaps of greater importance is the correct  
731 calculation of hydrostatic pressure ( $P_h$ , a crucial parameter in ocean acoustics through its effect on  
732 the sound speed). This cannot be taken as equalling the product of density ( $\rho$ ), acceleration due to  
733 gravity ( $g$ ) and depth ( $h$ ) on small worlds, partly because spherical, not Cartesian, coordinates must  
734 be used in integrating  $\nabla P_h = \rho g$  (see Ref. 70), and partly because  $g$  itself is a function of depth, the  
735 depth of the ocean taking up a significant proportion of Europa's radius (Figure 1). The longer the  
736 propagation range in comparison with the planet radius, the more likely these effects would affect  
737 mission planning.

### 738 1. *Europa*

739 Sonar modelling has been done for both the ice and the ocean on Europa [31; 65, 70, 71; 72, 73].  
740 In terms of the definitions discussed in the present paper, when calculating the terms in Table 1,  
741 various models can be used to calculate the ocean sound speeds at the base of the ice pack and  
742 bottom of the water column [73], but typical values might be 1500 and 1770 m/s, respectively. Even  
743 if variations in density are neglected [67], and 'flat world' calculations are assumed to be valid  
744 (though they are not), the change in sound speed with depth on its own will influence mission  
745 planning significantly if, say, the plan is to place a receiver at the base of the icecap in order to  
746 detect signals from a source sitting on the seabed [65].

747 The small size of Europa makes for interesting physics arising from high curvature, but the  
748 corresponding low pressure results in a relatively small impedance contrast. The seabed is an

749 extreme environment for a manmade device but possibly is the location of geothermal or seismic  
750 sources of sound. In calculating the propagation loss, the  $10\log_{10}(\rho_s c_s / \rho_r c_r)$  term creates a 0.7 dB  
751 ambiguity.

752 Whether on Europa or anywhere else, the potential for ambiguity arises the moment any physical  
753 quantity is expressed as a level in decibels, however sophisticated the calculations giving rise to that  
754 quantity. For example, Lee et al. [31] describe and execute a high fidelity procedure for predicting  
755 the sound particle velocity field as a function of time in Europa's water ocean after an ice cracking  
756 event. In the following, Eq. ( $n$ ) and Fig.  $m$  from Ref. [31] are abbreviated as "LE- $n$ " and "LF- $m$ ".  
757 Lee et al. [31] could have presented their results directly in terms of the magnitude and (if needed)  
758 the phase of this field, but chose instead to plot the quantity "horizontal velocity level" (and a  
759 corresponding quantity for the vertical component) vs time, related in an unspecified way to the  
760 horizontal component of the sound particle velocity, denoted  $\dot{u}(r, z, t)$  (the caption of Fig. 13 refers  
761 to LE-C.18, but based on the evidence available to us we believe that what is plotted is the  
762 logarithm of  $\dot{u}^2$ , where the instantaneous quantity  $\dot{u}(r, z, t)$  is given by LE-C.22). It is conventional  
763 when expressing a field quantity as a level in decibels to first convert it into a quantity proportional  
764 to power [74]. Because a squared field quantity is not itself proportional to power, an essential first  
765 step, before taking the logarithm, is to carry out a mean-square or envelope operation on the field  
766 quantity  $\dot{u}(r, z, t)$  [16]. In LF-13 to LF-16 of Ref. [31] we see no evidence of any averaging (the  
767 deep nulls in LF-15 in particular are consistent with the zero crossings associated with an acoustic  
768 frequency of approximately 1.9 Hz, with no averaging), nor can we find any mention in the text of  
769 either a mean-square or envelope operation. This convention, combined with our interpretation of  
770 no averaging in LF-13 to LF-16, if confirmed, would lead to an error in the signal-to-noise ratio if  
771 any one of LF-13 to LF-16 were interpreted as the signal level; for a sine wave signal this error  
772 would be 3 dB. A further consequence of the convention is that nulls in the level of the time-  
773 averaged (or envelope) quantity can normally intuitively and unambiguously be interpreted as the

774 consequence of coherent destructive interference between multipaths. Therefore, the pattern of  
775 deep nulls between successive peaks in these figures might be misinterpreted by some readers as the  
776 result of multi-path interference, when in reality this pattern is the trivial manifestation of  
777 successive zero crossings expected of *any* time varying oscillatory function. These avoidable  
778 ambiguities in an otherwise exemplary paper are the consequence of its authors' use of the decibel  
779 in presenting their results.

## 780 2. *Ganymede*

781 The huge pressure in Ganymede's interior of up to 1500 MPa (15,000 bar) leads to a larger  
782 discrepancy associated with a larger impedance contrast [75, 76, 77]. For example a sound speed  
783 for 2500 m/s combined with a density of 1100 kg/m<sup>3</sup> resulting in an impedance 2750 kPa s/m,  
784 corresponding to a 2.6 dB correction, a discrepancy that Horton's approach would eliminate.

## 785 3. *Comparison with brine lakes and seawater on Earth*

786 We can put the calculations for Europa and Ganymede into perspective by comparing these with  
787 extremes of pressure and salinity in seawater found on Earth. The highest pressures encountered in  
788 seawater are those at the bottom of the Mariana Trench, where sound speed = 1670 m/s and density  
789 = 1080 kg/m<sup>3</sup> are thought to occur [78]. High impedance can also arise from extreme salinity  
790 conditions in brine lakes, which can have a density of up to 1200 kg/m<sup>3</sup> [79] and a sound speed up  
791 to 1600 m/s (see Ref. 75). In both cases the correction is of order 0.8 dB, comparable to the  
792 situation on Europa.

## 793 **B. Planets with gaseous atmospheres**

794 Large planets with a strong gravitational attraction typically have dense gaseous [80],  
795 occasionally supercritical atmospheres [81, 82]. Sound propagates well in dense atmospheres  
796 because of its relatively low compressibility compared with the rarer atmospheres of smaller  
797 planets, making sound a useful alternative to electromagnetic waves for sensing planets like Jupiter

798 [53, 83] or Venus [84, 85, 86, 87, 88]. Issues arise related to dense or rare atmospheres, large  
799 density changes (reciprocity), high mean flow (wind) and the choice of reference sound pressure  
800 and reference sound intensity.

801 1. *Fluid loading*

802 Several devices designed for planetary probes use components that vibrate in a known manner  
803 with known characteristics (such as the active acoustic transducers on anemometers [33] or sound  
804 speed measuring systems [88]). Other devices might have vibrations that we wish to damp out (such  
805 as in structural members of proposed instruments [89], dirigibles, ocean or land vehicles [90]). For  
806 such devices it is important that we know their vibrational characteristics, which are determined in  
807 large part by the stiffness, inertia and damping associated with the member. These latter two can, in  
808 particular, be strongly influenced by the density or compressibility fluid that surrounds the device,  
809 and if devices are designed, calibrated, tested and validated on Earth, then appropriate  
810 compensation needs to be made for the extraterrestrial environment. Leighton [44] illustrated  
811 simple trends in terms of the inertia. If the vibrating body is surrounded by an alien atmosphere that  
812 is more dense than the atmosphere on Earth in which it was calibrated, then the inertia associated  
813 with moving this fluid (from its “added mass”) will tend to be greater than when the device was  
814 tested on Earth, reducing its resonance frequencies (as when a device tested in Earth’s atmosphere  
815 is deployed at ground level on Venus or Titan). Conversely, if the transposition is instead to a rarer  
816 atmosphere such as on Mars, the inertia associated with fluid loading there will tend to be reduced,  
817 increasing the resonance frequencies of the device. Such effects would need to be taken into  
818 account if the changes of resonance frequencies of vibrating surfaces on Mars are to be interpreted  
819 in terms of an accumulation of mass upon that surface as a measure of some natural deposition  
820 process on Mars [91, 92]. Approaching any planet with an atmosphere, a probe would pass through  
821 regions where the density is less than that found at ground level on Earth, and on some (particularly  
822 giants like Jupiter, Saturn, Uranus and Neptune) could pass eventually into atmospheres far more

823 dense than those of Earth, and it is difficult to estimate reliably how far into the atmosphere of such  
824 a giant a future acoustic probe would penetrate [44].

825 However, the extent to which the difference in the density of the fluid (between Earth and the  
826 deployment site) affects the inertia associated with the sensor, depends on the geometry of the  
827 structure in which the vibrating component is housed. If the fluid is allowed to move freely in all  
828 directions, the effect is far less than if the fluid motion is constrained [44] (for example in a tube  
829 [93] or between plates [94]) because such constraint increases the proportional contribution that the  
830 fluid makes to the inertia of the whole vibrator. Constraint within a rectangular casing [95] or pipe  
831 [96] can affect both resonant frequency and damping.

832 The effect of this on the sonar equations comes about when mounting is used to affect the  
833 directivity index, or changes the frequency-dependent voltage/motion/pressure transfer function of  
834 an emitter or sensor, so changing the source level or receiver sensitivity, and hence the sonar figure  
835 of merit [12].

## 836 2. *Reciprocity*

837 Use of sonar in any gaseous atmosphere is likely to encounter large differences in density,  
838 depending on the relative height of the source and receiver. In such situations the  $10 \log_{10} \rho_{\text{tgt}}^2 / \rho_{\text{snr}}^2$   
839 term (see Eq. (39)) will result in important corrections if the reciprocity principle is invoked to  
840 interchange the positions of source and receiver, whether for passive or monostatic active sonar.

## 841 3. *Reference values for levels in decibels: EPWI and MSP conventions*

842 When expressing a physical quantity as a level in decibels, the physical quantity is first divided  
843 by a reference value (see Table 2 and Introduction) of that quantity before taking a logarithm. In  
844 order to retrieve the original value of the physical quantity from its level, this operation needs to be  
845 reversed, which is only possible if the reference value is known. If the reference value is not  
846 reported the original value of the physical quantity is lost.

847 Table 2. International standard reference values of sound pressure, sound intensity, sound power  
 848 and source factor in liquids, and where applicable in gases [10] (conventional values are included  
 849 in brackets where these depart from the International Standard [11]).

medium	sound pressure	sound intensity	sound power	radiant intensity	source factor
gas	20 $\mu\text{Pa}$	1 $\text{pW}/\text{m}^2$	1 $\text{pW}$	-	-
liquid	1 $\mu\text{Pa}$	1 $\text{pW}/\text{m}^2$ (1 $\mu\text{Pa}^2/\rho_0 c_0$ )	1 $\text{pW}$ (1 $\mu\text{Pa}^2 \text{ m}^2/\rho_0 c_0$ )	( $6.5 \times 10^{-7} \text{ pW}/\text{sr}$ )	1 $\mu\text{Pa}^2 \text{ m}^2$

850

851 The standard reference pressure for sound in water and other liquids (1  $\mu\text{Pa}$ ) is different from  
 852 that in gases (20  $\mu\text{Pa}$ ) [10]. The standard reference intensity is  $I_0 = 1 \text{ pW}/\text{m}^2$ , taking the same  
 853 value for all gases and liquids, making its use uncontroversial for planetary exploration. However,  
 854 the standard reference intensity is rarely (if ever) followed in underwater acoustics. Instead, sonar  
 855 modellers use a reference intensity of  $p_0^2/\rho_0 c_0 \approx 6.5 \times 10^{-7} \text{ pW}/\text{m}^2$ , [6] based on the intensity of a  
 856 plane wave in seawater whose rms sound pressure is 1  $\mu\text{Pa}$  (see Ref. 4). When using this  
 857 convention, levels are then reported as the “level in dB re 1  $\mu\text{Pa}$ ”, giving the impression that a SPL  
 858 is being reported, when it is actually the level of the equivalent plane wave intensity (EPWIL). In  
 859 seawater, the difference between SPL (in dB re 1  $\mu\text{Pa}^2$ ) and EPWIL (in dB re  $6.5 \times 10^{-7} \text{ pW}/\text{m}^2$ ) is  
 860 very small, and for this reason the distinction between them is rarely made.

861 For sound in both gases and liquids, the standard reference sound power is 1  $\text{pW}$ . However, the  
 862 concept of sound power is rarely used in sonar modelling, being replaced by the radiant intensity,  
 863 the integral of which over solid angle gives the source power. While values of source level in water  
 864 are usually stated in units of “dB re 1  $\mu\text{Pa}$  @ 1 m” or similar [34], what is meant by this is the level  
 865 of the source factor, in decibels relative to 1  $\mu\text{Pa}^2 \text{ m}^2$  (see Refs. 11, 12). This reference value of

866 source factor corresponds to a radiant intensity of  $6.5 \times 10^{-7}$  picowatt per steradian (pW/sr). The  
867 differences between standard and convention, and between standards for gases and those for liquids,  
868 are bound to lead to confusion and misunderstandings when quantities are reported as levels in  
869 decibels, unless both the reference value and the convention being followed is stated explicitly each  
870 time, and even then any numerical comparison between a value of sound pressure level in a gas and  
871 one in a liquid is complicated by the lack of a common reference sound pressure. In principle this  
872 could be resolved by agreeing on a common reference sound pressure for gases and liquids, but  
873 such harmonization seems unlikely in the near future because the current values are firmly  
874 entrenched in standards [10, 11, 97, 98] and in practice [56].

875 The solution to this seemingly unsurmountable problem is surprisingly simple: all that is  
876 required is to follow Horton's 60-year old advice [14] to express the sonar equation terms in terms  
877 of EPWI ratios, and with the same standard reference intensity, regardless of circumstances. The  
878 EPWIL,  $L_J$ ,

$$879 \quad L_J \equiv 10 \log_{10} J/I_0 \text{ dB} \quad (43)$$

881 is related to sound pressure level (SPL),  $L_p$ , via

$$882 \quad L_J = L_p + 10 \log_{10} \frac{p_0^2}{\rho c I_0} \text{ dB.} \quad (44)$$

884 The value of this correction is listed in Table 3 for situations representative of Earth's ocean and  
885 atmosphere, Titan's lakes and atmosphere, and Ganymede's ocean. Also included in the table (final  
886 column) is the EPWIL corresponding to an rms sound pressure of one pascal, ranging for the  
887 examples given from 54 dB re 1 pW/m<sup>2</sup> (Ganymede's ocean at 1000 MPa) to 100 dB re 1 pW/m<sup>2</sup>  
888 (Jupiter's atmosphere at 0.1 MPa).

889

890

891 Table 3. Corrections to convert from SPL to EPWIL, for a reference sound intensity of  $I_0 =$   
 892  $1 \text{ pW/m}^2$ , for selected example conditions on Earth, Titan, Ganymede, Venus and Jupiter. The  
 893 right-most column contains the value of EPWIL corresponding to an rms sound pressure of 1 Pa.

conditions	$\rho c /$ (kPa s/m)	$p_0 /$ $\mu\text{Pa}$	$10 \log_{10} \frac{p_0^2}{\rho c I_0}$	$L_J(p_{\text{rms}} =$ 1 Pa) / dB
seawater @ 10 °C (Earth) [12]	1530	1	-61.8	58.2
air @ 10 °C (Earth)	0.4205	20	-0.2	93.8
hydrocarbon lake (Titan) [60]	1 285	1	-61.1	58.9
N <sub>2</sub> -rich atmosphere @ 0.15 MPa (Titan) [60]	1.180	20	-4.7	89.3
water @ 1000 MPa (Ganymede) [75, 77]	3549	1	-65.5	54.5
CO <sub>2</sub> -rich atmosphere @ 9.2 MPa (Venus)	26.65	20	-18.2	75.7
H <sub>2</sub> -rich atmosphere @ 0.1 MPa (Jupiter)	0.095	20	6.2	100.2

894

895

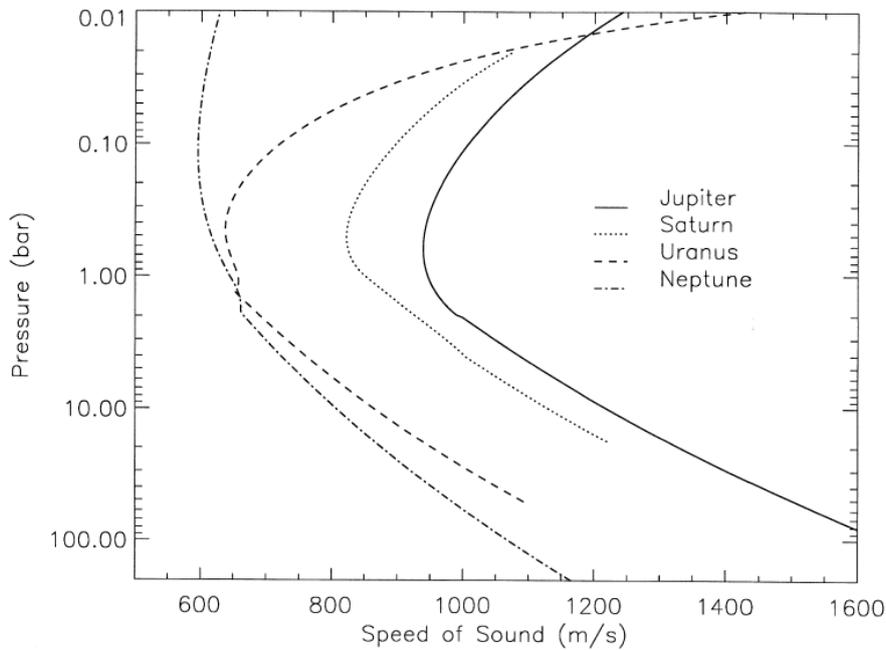
#### 896 4. *The gas giants Saturn and Jupiter*

897 The outer planets of the Solar System include the two gas giants (Saturn and Jupiter ) consisting  
 898 primarily of hydrogen and helium. The outer layer of molecular hydrogen contains clouds of  
 899 crystalline ammonia, ammonia sulphide and water. There is no sharp boundary between the gaseous  
 900 and liquid hydrogen layers in this so-called ‘inner atmosphere’, which is 21,000 km thick; it  
 901 surrounds a peculiar zone that takes up most of the volume of the planet: a 40,000 km-thick layer of  
 902 liquid hydrogen that has, under the extreme pressures, become electrically conducting and so is  
 903 termed ‘metallic’. A rocky core, possibly molten, probably characterizes the centre of the gas giant.

904 This is a fascinating environment for acoustics. The fortuitous collision of Comet Shoemaker-  
905 Levy 9 into Jupiter allowed some authors to consider the propagation of pressure waves in the  
906 atmosphere [53, 83; 99], facilitated by data from the Voyager mission [100]. Leighton [44]  
907 considered the fluid-structure interactions on man-made probes introduced into Jupiter's  
908 atmosphere. He calculated conditions for two locations of possible interest for future probes to  
909 Jupiter: (i) the 1 bar altitude, at an equatorial radius of 71,500 km from Jupiter's centre, where  $P_h =$   
910 100 kPa (1 bar),  $\rho = 0.1 \text{ kg m}^{-3}$ , and  $T \sim 165 \text{ K}$ ; and (ii) the estimated 'maximum operational  
911 penetration depth' of some future very robust probe, which he estimated by extrapolating from  
912 current terrestrial seismic sensors could withstand a maximum static pressure of  $P_h \sim 900 \text{ MPa}$ ,  
913 which he calculated to occur 69,600 km from Jupiter's centre, where  $T \sim 2000 \text{ K}$  and  $\rho \sim 50 \text{ kg m}^{-3}$ .  
914 An acoustic transmitter, dropped from the dirigible at the 1 bar altitude, would fall about 1,900 km  
915 before reaching this limit of operation. Ref. 44 compared the fluid loading on a range of structures  
916 at these two altitudes, and considered how the change in the density around them would affect their  
917 natural and resonance frequencies, concluding that the natural frequencies of some components,  
918 notably pipes, as the structure descended would be almost halved. Pipes were acoustically  
919 interesting for other reasons: Jiang et al. [43] considered an acoustical device that consisted of a  
920 pipe, with a sound source at one end and a receiver at the other, which was proposed for use on  
921 Venus and later the Jovian planets. The speed of sound pulses in the atmosphere, as measured by  
922 the propagation time in this pipe, could be used to infer atmospheric properties. However because  
923 space is limited on probes, this pipe was coiled into a spiral. Whilst this device worked well on  
924 Earth, Jiang et al. [43] showed that the dense atmosphere on Venus would couple to the material of  
925 the pipe walls and allow the acoustic pulse to 'short-cut' between arms of the spiral, artificially  
926 reducing the propagation time.

927 Fluid loading and coupling are just two of the acoustically-relevant fluid-structure interactions,  
928 and these calculations assume that the properties of the structure itself remain unaffected by the

929 extreme change in conditions as it descends. The sound speed profile in the gas giants tends to  
 930 favour the formation of an acoustic waveguide, with an axis close to Earth's atmospheric pressure  
 931 [101] (see Figure 2).



932

933 **Figure 2.** Sound speed vs pressure profiles for the gaseous atmospheres of Jupiter, Saturn, Uranus and  
 934 Neptune. The significance of 100 kPa (1 bar) is that it corresponds approximately to atmospheric  
 935 pressure on Earth. Reproduced from Ref. [101].

936 In a *tour de force*, Collins et al. [53] demonstrated the important effect of wind on sound  
 937 propagation in the Jovian atmosphere, with wind speed up to 150 m/s at equatorial latitude,  
 938 compared with a sound speed of 800 m/s at the channel axis (calculated from Lindal et al. [100]  
 939 temperature profile assuming ideal diatomic gas – Lorenz [101] predicts a higher value, taking into  
 940 account an expected increase in the specific heat ratio of hydrogen with decreasing temperature)  
 941 caused by a temperature minimum (100 K), with the resulting horizontal wind shear resulting in  
 942 caustics and focusing at predictable locations. Allison [102] documented a variety of waves  
 943 observed propagating in Jupiter's atmosphere at speeds between 40 m/s and 70 m/s. In addition to  
 944 acoustic waves, also gravity waves [103] are affected by the strong horizontal wind shear.

945 5. *The ice giants Uranus and Neptune*

946 While no opportunity has yet arisen to study acoustic waves on either of the ice giants Uranus or  
947 Neptune, the sound channel of Uranus (Figure 2) seems well suited to long distance propagation.  
948 Equatorial waves have been observed on both ice giants [104, 105] and gravity waves have been  
949 observed in Neptune's atmosphere [105]

950 6. *Venus*

951 On Venus the atmospheric density at the surface of the planet is not dissimilar to that at the  
952 'maximum operational penetration depth' position discussed for Jupiter (above). On Venus's floor  
953 the atmosphere is about 50 times more dense ( $\sim 65 \text{ kg/m}^3$ ) than Earth's ( $\sim 1.29 \text{ kg/m}^3$ ) and its speed  
954 of sound is also greater ( $\sim 410 \text{ m/s}$  on Venus cf.  $\sim 340 \text{ m/s}$  on the Earth). The increased density and  
955 sound speed of the ground-level atmosphere of Venus give it a characteristic acoustic impedance of  
956 about  $27 \text{ kPa s/m}$ , which is 60 times larger than that found in Earth's atmosphere, of  $0.44 \text{ kPa s/m}$ .  
957 This factor 60 leads to an ambiguity of about 18 dB (i.e.,  $10\log_{10}60 \text{ dB}$ ) in the interpretation of  
958 levels expressed using the traditional conventions of underwater acoustics and sonar [4, 15, 22], as  
959 exemplified by Eq. (19).

960 Parts of Venus's atmosphere consist of supercritical fluid  $\text{CO}_2$  [81], meaning that it behaves  
961 neither as a gas nor as a liquid. This inevitably raises the issue of reference value in extraterrestrial  
962 acoustics, and illustrates the need to harmonise standards for liquids and gases.

963

964 **C. Titan's hydrocarbon lakes**

965 Prior to the successful landing of the Huygens probe on Titan on 14 January 2005, there was  
966 considerable speculation and prior calculation on the acoustics of Titan, both by those who had built  
967 and planned the Huygens mission, and by other enthusiasts. Titan is a remarkable acoustical world,  
968 its surface temperature of 92 K allowing it to retain its mainly nitrogen-based atmosphere with a

969 surface pressure of around 150 kPa (1.5 bar), giving lower acoustical absorption than Earth's own  
970 atmosphere [106]. The possibility of sound travelling to long distance prompted the prediction,  
971 prior to Huygens' landing, of the sounds that Titan's 'waterfalls' (made of liquid ethane and  
972 methane) might make, and whether a lander with a microphone might detect and observers might  
973 recognize such sounds as emanating from a methane fall [60] or a splashdown. The same  
974 opportunities for long-distance sound propagation at audio frequencies promoted the predictions of  
975 the sounds man-made structures might produce, musical instruments and voices being chosen for  
976 outreach purposes [88], but with the knowledge that these principles for extraterrestrial fluid-  
977 structure interactions must be elucidated to design extraterrestrial dirigibles and submersibles [44,  
978 107]. With a dense atmosphere that has low acoustic absorption, and mysterious lakes and (at least  
979 for a period) flowing liquid, the possibilities for acoustic exploration of Titan are great.

980 In 2001, Garry and Towner [108] stated that "The Huygens probe *en route* to Titan carries a 15  
981 kHz non-beam forming sonar...that delivers a signal of ~80 dB (ref 20  $\mu$ Pa) in the laboratory. In the  
982 event of landing in a sufficiently deep body of liquid, the sensor works as a bathometer, inferring  
983 the 'sea' depth from the echo's delay". The present authors have as yet been unable to ascertain  
984 either the distance from the source at which the reported level was measured or the medium in  
985 which the measurement was made. A laboratory representation of the expected atmosphere on Titan  
986 is mentioned and might have been used for these measurements, but the present authors have not  
987 yet been able to access the associated publications [49; 109]. Although it was designed for depth-  
988 finding in Titan's lakes, this ~15 kHz active sonar also provided good echoes from the surface as  
989 the probe descended through the atmosphere [39; 48]. According to Leese et al., [48], Garry [49]  
990 had estimated a sound pressure level of "around 100 dB near Titan's surface", corresponding to "a  
991 first return at 100 m altitude", with no indication given in Leese's paper either of the reference  
992 value or of the assumed conditions.

993 It was only after Huygens' actual landing that the presence of hydrocarbon lakes was confirmed,  
994 a notable one being *Ligeia Mare*, a several-hundred-kilometre wide lake near Titan's north pole. In  
995 2013, Arvelo and Lorenz [34] described a possible future Titan Mare Explorer (TiME) mission,  
996 which would splashdown a capsule to operate for three months. Among TiME's scientific goals is  
997 the determination of the depth of *Ligeia*, using an acoustic depth sounder.

998 Arvelo and Lorenz conducted a theoretical study of the likely performance of this depth sounder.  
999 For the noise level term they used a prediction from Ref. 58 that the "power spectral density for  
1000 bubble entrainment noise" was expected to be about 10 dB higher on Titan than on Earth for the  
1001 frequency of interest, from which Arvelo and Lorenz estimated the wind-driven noise level to be  
1002 " $NLo = 40 \text{ dB}/1 \mu\text{Pa}^2/\text{Hz}$ ".

1003 Not one of the above-mentioned publications mentions, in association with the signal or noise  
1004 level in decibels, either the reference value of sound intensity or the impedance used to calculate  
1005 that reference intensity, which means that the reader is left to guess. Our purpose in making this  
1006 point is not to criticize any of the authors but to point out the complacency of conventional practice  
1007 in underwater acoustics, and the consequences of this complacency if transferred to planetary  
1008 exploration. If Ref. 34 adheres to Urick's definition of noise level as stated in Eq. (20), for  
1009 example, does this imply the impedance of seawater is being assumed for the reference intensity or  
1010 some other (unspecified) nominal characteristic acoustic impedance of the nitrogen atmosphere or  
1011 the liquid of *Ligeia*? In the latter case, depending on the chosen value for impedance, the reference  
1012 intensity might be anything from  $6.5 \times 10^{-7} \text{ pW/m}^2$  (if the impedance of seawater is used to define  
1013 the reference intensity) to  $14.9 \times 10^{-7} \text{ pW/m}^2$  (using the impedance of liquid methane on Titan's  
1014 surface). Without a clear specification of the reference intensity, any statement about noise level on  
1015 Titan incorporates an inherent factor of 2.4 uncertainty in the intended value of  $J_{\text{amb},N,f}$  in Eq. (20),  
1016 corresponding to 3.8 dB uncertainty in the level. If such calculations are being undertaken, the

1017 issues highlighted in this paper need to be addressed during the planning of any future Titan  
1018 mission [107].

#### 1019 **D. Extraterrestrial thermal noise**

1020 Whether in a gas or a liquid, all sonars are limited in their performance by noise, whether this be  
1021 from ambient noise, reverberation, electrical noise, etc., and on how and where it is used. Any  
1022 medium that supports sound is also a source of thermal noise [110], which determines the lower  
1023 bound for noise level for all sonar. In general its value depends on temperature, pressure and the  
1024 chemical composition of the medium.

1025 The properties of thermal noise in any medium are related to the same thermodynamical  
1026 properties of the medium that determine its density and speed of sound. Once we know the  
1027 chemical composition of a planet's ocean or atmosphere, we can study thermal noise in that ocean  
1028 or atmosphere from a theoretical perspective, using properties of the appropriate chemical elements  
1029 or compounds measured on Earth. Conversely, a measurement of thermal noise tells us something  
1030 about the chemistry, such as information about the molecular mass and specific heat ratio of a gas.

##### 1031 1. *Thermal noise in any fluid*

1032 It is known [110] that the EPWI spectral density at frequency  $f$  caused by thermal noise, in any  
1033 gas or liquid, is

$$1034 \quad J_{N,f} = \mu f^2, \quad (45)$$

1035  
1036 where  $\mu$  is a constant, henceforth referred to as the 'thermal noise coefficient'. It turns out that this  
1037 constant has dimensions of mass, and for an ideal gas is proportional to (and an order of magnitude  
1038 larger than) the molecular mass. The thermal noise coefficient is equal to about 500 yg for Ar, O<sub>2</sub>,  
1039 C<sub>2</sub>H<sub>6</sub> (one yoctogram (1 yg) is equal to  $10^{-27}$  kg = 1 aW/(m<sup>2</sup> kHz<sup>3</sup>)), and for liquids is of order 10

1040 yg. It thermal noise coefficient can be written in terms of Boltzmann's constant ( $k = 1.38065 \times$   
 1041  $10^{-23}$  J/K) and absolute temperature  $T$

$$1043 \quad \mu = 4\pi \frac{kT}{c^2}.$$

1042 (46)

1044 2. *Thermal noise in an ideal gas*

1045 **a. Characteristic acoustic impedance**

1046 Consider an ideal gas of pressure  $P$  and density  $\rho$  that obeys Boyle's law in the form

$$1047 \quad \rho = Pm/kT,$$

1048 (47)

1049 where  $m$  is the mean molecular mass. The speed of sound in a gas with polytropic index  $\Gamma$  is

$$1050 \quad c = \sqrt{\frac{\Gamma kT}{m}},$$

1051 (48)

1052 where  $\Gamma$  is equal to unity for isothermal fluctuations and to the specific heat ratio,  $\gamma$ , for adiabatic  
 1053 ones. In the high frequency limit, the fluctuations are expected to be isothermal [111, p351].

1054 Combining Eqs. (47) and (48), the characteristic impedance is

$$1055 \quad \rho c = P \sqrt{\frac{\Gamma m}{kT}},$$

1056 (49)

1057 consistent with [32].

1058 **b. Thermal noise coefficient**

1059 Substituting Eq. (48) in Eq. (46) for  $\mu$  gives

1060

$$\mu = 4\pi \frac{m}{\Gamma}$$

1061

(50)

1062

Equation (50) above, applicable to any ideal gas, is an extraordinarily simple result: at frequencies

1063

of interest, for which  $\Gamma = \gamma$ , the thermal noise coefficient depends only on the molecular mass and

1064

the specific heat ratio. The value of the thermal noise coefficient  $\mu$  is then equal to about 30 yg for

1065

hydrogen and 430 yg for air, as illustrated by Figure 3a for gases with molecular mass up to 75 yg.

1066

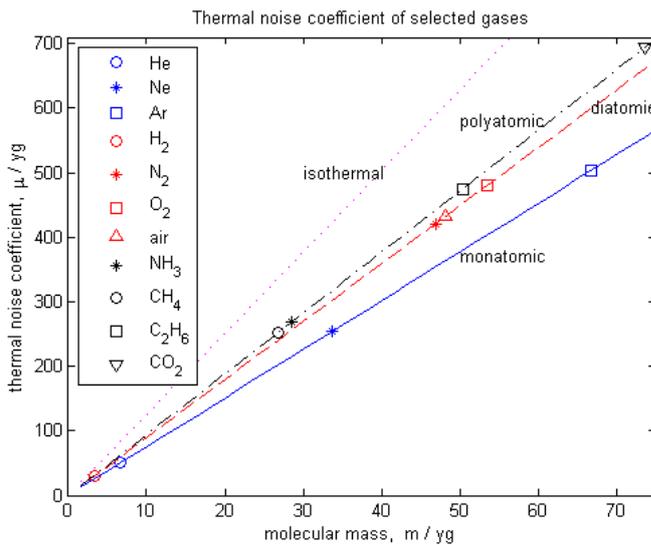
A consequence of this simple result is that the EPWI thermal noise in an ideal gas is independent

1067

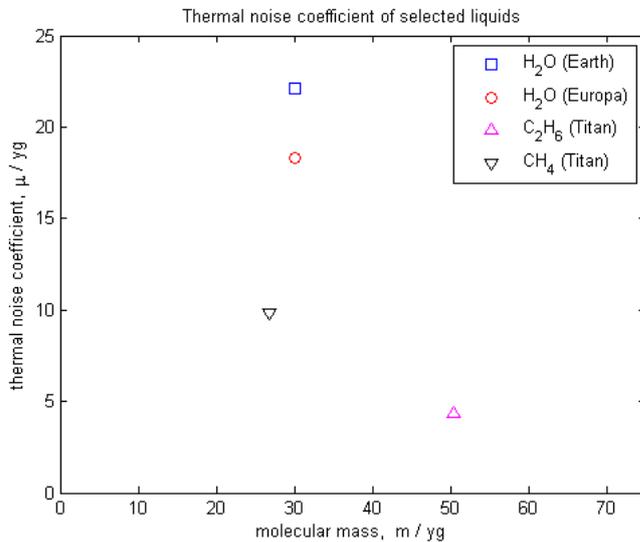
of temperature and pressure. The MSP thermal noise is proportional to  $P T^{-1/2}$  because of the

1068

additional impedance factor – see Eqs. (49) and (53).



1069



1070

1071 **Figure 3.** Thermal noise coefficient  $\mu$  (constant of proportionality in Eq. (45)) vs molecular mass. Upper: for  
 1072 selected gases; the dotted line is for an isothermal gas ( $\Gamma = 1$ ); other lines are for adiabatic gases with  $\gamma = 4/3$   
 1073 (polyatomic),  $7/5$  (diatomic) and  $5/3$  (monatomic). Lower: for selected liquids.

1074

### 1075 3. *Thermal noise in liquids and non-ideal gases*

1076 The thermal noise coefficient in fluids other than ideal gases can be estimated using Eq. (46).  
 1077 This quantity depends directly on temperature and indirectly (through the speed of sound) on the  
 1078 pressure and chemical composition of the liquid or solid. Some examples for liquids from Table 4  
 1079 are plotted in Figure 3b.

1080

1081 **Table 4. Thermal noise coefficients of liquids.**

Category	$T/K$	$c / \text{m s}^{-1}$	$\rho / \text{kg m}^{-3}$	$\rho c / (\text{kPa s/m})$	$4 \pi kT/c^2 / \text{yg}$
seawater on Earth	283	1490	1027	1530	22.1
water on Europa	270	1600	1000	1600	18.3
liquid ethane on Titan	95	1920	630	1210	4.5
liquid methane on Titan	95	1275	525	669	10.1

1082

1083

### 1084 4. *Use in the sonar equation(s)*

1085 For use in the sonar equation, Eq. (45) for  $J_{N,f}$  can be integrated over the receiver frequency band  
 1086  $f_1$  to  $f_2$ . The result can be expressed in terms of the arithmetic mean  $f_{\text{am}} = (f_1 + f_2)/2$  and the geometric  
 1087 mean  $f_{\text{gm}} = (f_1 f_2)^{1/2}$

$$\frac{p_N^2}{\rho c} = \frac{\mu}{3} B (4f_{am}^2 - f_{gm}^2),$$
(51)

where  $B = f_2 - f_1$ . It follows from Eq. (21) that

$$L_N = 10 \log_{10} \frac{\rho c \mu B (4f_{am}^2 - f_{gm}^2) / 3}{p_0^2} \text{ dB.}$$
(52)

Converting to NL for Urick's sonar equation using Eq. (22) then gives

$$\text{NL} = 10 \log_{10} \frac{\mu (4f_{am}^2 - f_{gm}^2) / 3}{I_0 B_0^{-1}} \text{ dB.}$$
(53)

### 1096 **E. Non-linear effects**

1097 Although by no means restricted to extraterrestrial acoustics, the issue of nonlinear propagation  
 1098 is mentioned because the high atmospheric absorption means that the detection of low frequency  
 1099 signals detected from a distance, and short-range high frequency signals emitted by planetary  
 1100 probes, might mean that these detected signals were high amplitude at source. Much of acoustics is  
 1101 based on models of low amplitude (linear) fluctuations, and such models generate errors if the  
 1102 material or convective nonlinearities become significant [112]). Nonlinear propagation might be  
 1103 expected in planetary acoustics in some circumstances, such as when sufficiently close to strong  
 1104 sources (volcanoes, meteorites, and meteors in thick atmospheres [113, 114]) or when such  
 1105 emissions in a higher density environment generate high sound particle velocities on reflection from  
 1106 the interface with a lower density environment (e.g., propagation of long-wavelength perturbations  
 1107 on Venus, crossing from its dense lower layer (reaching  $\sim 9$  MPa (90 bar)) into the middle  
 1108 atmosphere (reaching  $< 0.1$  MPa). Neglect of the presence of higher frequency energy that can  
 1109 result from such phenomena can lead to an underestimation of calculations of the absorption that  
 1110 occurs during propagation (and therefore an underestimate of propagation loss) and consequent

1111 underestimation of source level; or if the receiver is in the region where nonlinear  
1112 propagation occurs and has insufficient bandwidth, it can fail to measure the higher frequency  
1113 energy. This might be a consideration not only to the above examples of long distance propagation  
1114 of low frequency sound or infrasound from natural sources, but also if (for example) man-made  
1115 sources (e.g. in an anemometer) produce initially high amplitude signals to ensure a sufficient  
1116 signal-to-noise ratio on reception.

1117

1118

1119 **IV. CONCLUSIONS**

1120

1121 A term-by term comparison between the sonar equations originating from Urick's book [4] and  
1122 those from a recently published international terminology standard [11] reveals strong superficial  
1123 similarities but important differences in the definitions of the individual terms in these equations  
1124 (see Table 1). The main differences involve ratios of impedance ratios (affecting source level,  
1125 propagation loss, and noise level), bandwidth (source level and noise level), and filter gain  
1126 (processing gain and detection threshold). Urick's sonar equation terms involve ratios of equivalent  
1127 plane wave intensity (EPWI), with a reference intensity equal to  $p_0^2/\rho_0 c_0$ , where  $p_0$  is the standard  
1128 reference sound pressure (1  $\mu\text{Pa}$ ), and  $\rho_0 c_0$  is an unspecified reference impedance; this form of the  
1129 sonar equation creates confusion because it conflicts with international standards and is of limited  
1130 utility for extraterrestrial applications because of the ambiguity in reference intensity. The ISO  
1131 sonar equation terms involve ratios of mean-square sound pressure (MSP), with a reference sound  
1132 pressure of 1  $\mu\text{Pa}$ ; this form of the sonar equation would be satisfactory for use in liquids, but the  
1133 reference pressure conflicts with the international standard value for gases.

1134 The solution to both problems is to adopt Horton's sonar equation expressed in terms of EPWI  
1135 ratios, with the international standard reference sound intensity of 1  $\text{pW}/\text{m}^2$ . Any confusion  
1136 associated with uncertain reference pressure or failure to specify one's choice between MSP and  
1137 EPWI conventions is eliminated by following Horton's convention.

1138 When the value of a physical quantity is reported as a level in decibels, ambiguity results from  
1139 the common practices such as a) use of a physical quantity that is not proportional to power, b)  
1140 failure to specify the nature of the physical quantity, and c) partial or complete omission of the  
1141 corresponding reference value. Perhaps the most common ambiguity is of type b), and in particular

1142 the failure to specify whether the EPWI or MSP convention is being followed. This ambiguity was  
1143 introduced in the 1980s [see Ref. 9] and remains to this day, as illustrated by the examples provided  
1144 in the present paper.

1145 The atmospheres, lakes and oceans in which extraterrestrial acoustic sensors might operate have  
1146 acoustical, chemical, and thermodynamical properties very different to typical conditions on Earth.  
1147 The limiting omnipresent thermal noise depends on these properties in a predictable way. For  
1148 example, the EPWI thermal noise coefficient is proportional to the ratio of molecular mass to  
1149 specific heat ratio, independent of temperature  $T$  and pressure  $P$ . The corresponding MSP  
1150 coefficient, on the other hand, is proportional to  $P/T^{\frac{1}{2}}$ .

1151 Given that acoustics provides by far the most useful radiation for sensing at distance in liquid  
1152 oceans, it would be inconceivable not to equip exploratory missions to Titan and other icy bodies  
1153 with sonar. The ambiguities encountered on Earth are amplified by the exotic conditions found on  
1154 moons and planets. Given the huge investment in resource to undertake such a mission, and the ~7  
1155 year transit time of a probe to the gas giants, it would be regrettable if avoidable errors in concepts  
1156 were to prevent the successful acquisition or interpretation of mission data. The purpose of this  
1157 paper is to alert its reader to possible errors and ambiguities in modelling the performance of  
1158 acoustical systems intended for planetary exploration. Horton's sonar equations, with a single,  
1159 already unified international standard reference intensity for gases and liquids provide an  
1160 opportunity to start with a clean slate.

1161

1162

1163

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1165

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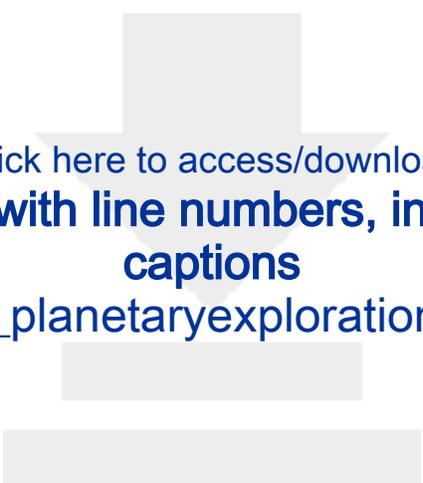
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1430

## 1431 **FIGURE CAPTIONS**

- 1432 Figure 1. Temperature vs pressure profiles for worlds on which either liquid oceans are known to  
1433 exist or the conditions for liquid water are thought to exist. Reproduced from Ref. [64].
- 1434 Figure 2. Sound speed vs pressure profiles for the gaseous atmospheres of Jupiter, Saturn, Uranus  
1435 and Neptune. The significance of 100 kPa (1 bar) is that it corresponds approximately to  
1436 atmospheric pressure on Earth. Reproduced from Ref. [101].
- 1437 Figure 3. Thermal noise coefficient  $\mu$  (constant of proportionality in Eq. (45)) vs molecular mass.  
1438 Upper: for selected gases; the dotted line is for an isothermal gas ( $\Gamma = 1$ ); other lines are for  
1439 adiabatic gases with  $\gamma = 4/3$  (polyatomic),  $7/5$  (diatomic) and  $5/3$  (monatomic). Lower: for selected  
1440 liquids.



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