The dynamics of ship propulsion unit-large hull-water interactions

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Abstract

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This paper developed a generalised theory to model the dynamics of an integrated ship propulsion unit-large hull-water interaction system. The engine shaft unit, the hull structure are considered as two substructures and the water as a subdomain, of which the motions of each subsystem are governed by the fundamental laws in continuum mechanics, and on their interfaces, kinematical and dynamical conditions are satisfied. The integrated variational formulation is given, based on which the numerical equation is derived by using the mode summation approach. The shaft frequency and deformation factors are defined to study on its interactions with large hull and water in order to provide a mean for safety propulsion unit design in large ships. An example is given to illustrate the applications of the general theory presented in the paper. Some guidelines for dynamical designs of large ship hull – propulsion system are suggested.

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- Key Words: Propulsion unit-hull-water interaction; Fluid-structure interaction; Sea wave excitations;
- 27 Shaft frequency / deformation factors; Natural vibration; Dynamic response.

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1. Introduction

With fast development of ship sizes, dynamic interactions between ship hulls and engine propulsion systems have been playing more and more roles for safety operations of ships. For the type of small ships, the hull deformations excited by wave loads have no obvious effects on the operation of engine

propulsion shaft systems. However, for large ships, especially with very big length, the deformation of ship may seriously change the mounting position of its propulsion shaft system, so that it could not normally work (Murawski, 2005; Shi et al, 2010; Shaft alignment, 2000). The statistical researches reported that about 52.9% ship operation fails during 1998-2004 were caused by engine propulsion system problems (Leontopoulos, 2006; The Swedish Club Highlights, 2005), of which some photos of broken parts of main propulsion system can be read in (Dymarski, 2009; Fonte et al, 2009). Based on this practical situation as well as very strong requirements of worldwide ocean transportations, designers and scientists have to put much attention into dynamic interactions between ship hull and main engine system (Moctar et al, 2005; Ogawa et al, 2011; Lu et al, 2010; Pouw, 2008) in order to get the safety operations of large ships. Recently, a review paper (Yan et al, 2013) presents more details on the dynamic interactions between the propulsion system and large ship structures. The discussed problems involve the torsional vibration and its bearing arrangement (Murawski et al. 2015; Tang et al, 2013; Roemen et al, 2009), the robust global sliding model controls (Li, 2015; Li, 2015; Li, 2013) and the modelling with simulations (Tian et al, 2014; Tu et al, 2014) of marine propulsion system. The methods used to deal with the problems are mainly by numerical analysis, such as finite element models and substructure approaches (Schulten, 2005; Jun, 1998). Ships move on the water, the integrated system is a fluid-structure interaction system (Newman, 1978), for which the water flows affect ship motions and its elastic deformation so that the deformation of the engine propulsion system mounted in / on the ships. Reversely, the motions of ships are also affecting water flows through wet interaction interfaces. Therefore, to predicate more accurate deformation of engine shaft and hull structure and more safely to arrange the engine system, investigations on an integrated water-hull-engine system interaction is necessary, for example, the reported marine structures-water interactions (Newman, 1979; Bishop et al, 1986; Xing, 2009; Deruieux, 2003), to cite but too more. Based on the developed fluid-structure interaction theory and computer code (Xing, 1995; Xing, 1995) which has been used to simulate many dynamic problems in marine engineering (Xing, 2006; Xiong, 2006; Tan, 2006; Xiong, 2006; Xing, 2007; Xing, 2008), this paper intends to propose an integrated ship propulsion unit-large hull-water interaction model to

reveal dynamic effects of water-hull interactions on large ship engine propulsion system.

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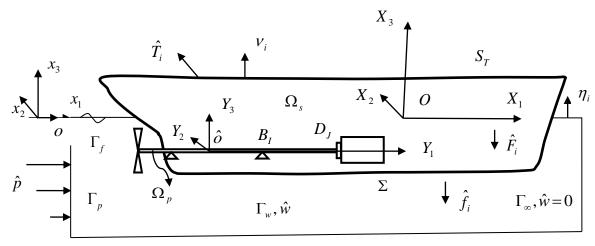
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2. Governing equations of integrated interaction system

- 63 Fig. 1 shows an integrated ship propulsion unit-large hull-water interaction system studied in this paper. This system consists of a flexible hull structure of mass density ho_s , body force \hat{F}_i and elastic 64 tensor E_{ijkl} within a domain Ω_s of boundary $S = S_T \cup S_w \cup \Sigma$ with its unit normal vector v_i , the 65 sound speed of water c , body force \hat{f}_i and mass density ho_f in a domain Ω_f of boundary 66 $\Gamma = \Gamma_f \cup \Gamma_{\scriptscriptstyle W} \cup \Gamma_{\scriptscriptstyle p} \cup \Sigma \cup \Gamma_{\scriptscriptstyle \infty} \text{ with a unit normal vector } \eta_i \text{ and a ship propulsion unit } \Omega_{\scriptscriptstyle p} \text{ mounted}$ 67 on the hull by \hat{I} journal bearings B_I , $(I=1,2,\cdots,\hat{I})$. Cartesian coordinate system $o-x_1x_2x_3$, where 68 the gravitational acceleration g is along the negative direction of the coordinate axis $o - x_3$, is chosen 69 as a reference frame to describe the dynamics of interaction system. A hull-Lagrange coordinate 70 system $O - X_1 X_2 X_3$, of which the three coordinator vectors are parallel to the ones of the system 71 $o-x_1x_2x_3$, is fixed at the mass centre $O(x_{10},x_{20},x_{30})$ of the ship hull. A propulsion unit-Lagrange 72 coordinate system $\hat{o} - Y_1Y_2Y_3$ is fixed at a suitable point chosen by users, such as its centre of mass 73 $\hat{o}(X_{10}, X_{20}, X_{30})$ of the central line of the propulsion shaft. The relationship between the hull-74 coordinate system $O - X_1 X_2 X_3$ and the system $\hat{o} - Y_1 Y_2 Y_3$ for the propulsion unit is defined by an 75 orthogonal transformation matrix $\boldsymbol{\beta}$, of which the components $\beta_{ij} = \cos(Y_i, X_j)$, here (Y_i, X_j) denotes 76 the angle between axis $\hat{o} - Y_i$ and $O - X_j$. The system may be excited by external dynamical forces 77 \hat{F}_i , \hat{T}_i , \hat{f}_i , \hat{p} and ground acceleration \hat{w}_i . The Cartesian tensor notations (Fung, 1977) with 78 subscripts i, j, k and 1 (=1, 2, 3) obeying the summation convention are used in this paper. For 79 example, u_i , v_i , w_i , e_{ij} and σ_{ij} represent the displacement, velocity, acceleration vectors, strain 80 and stress tensors in solid, respectively, p denotes the pressure in fluid, $p_{,tt} = \partial^2 p / \partial t^2$, 81 $u_{i,j} = \partial u_i / \partial x_j, \ v_i = \dot{u}_i = u_{i,t} = \partial u_i / \partial t, \ w_i = \dot{v}_i = \ddot{u}_i = u_{i,tt} = \partial^2 u_i / \partial t^2 \text{ and Kronecker delta } \delta_{ij} \text{ etc.}$ 82
- 83 Here one or double dots over the parameters represent their derivatives with respect to time t.



As shown in Fig. 2, we consider the propulsion unit as a shaft system consisting of the propeller

Fig. 1. The integrated ship propulsion unit-large hull-water interaction system

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> $D_{\scriptscriptstyle p}$, supporting bearings $B_{\scriptscriptstyle I}$, (I = 1,2,..., \hat{I}), engine crack box $D_{\scriptscriptstyle E}$ and attached disks D_J , $(J=1,2,\cdots,\hat{J})$, to represent flying wheels, connectors. The shaft system $\hat{o}-Y_1Y_2Y_3$ is used to study the motion of this propulsion unit, which undergoes a translation and a rotation in / about axis $\hat{o}-Y_1$, two bending motions in the directions $\hat{o}-Y_2$ and $\hat{o}-Y_3$, respectively. We assume these motion components are governed by the classical beam, rod or shaft theory and their couplings are neglected. Therefore, the propulsion unit is represented by a shaft central line of mass density ρ per unit volume, extension stiffness ES and rotation stiffness GJ_1 for axis $\hat{o} - Y_1$ as well as two bending stiffness $E\!J_2$ in the plane $Y_1\hat{o}Y_2$ and $E\!J_3$ the plane $Y_1\hat{o}Y_3$, respectively. A typical disk D_J , $(J=1,2,\cdots,\hat{J})$, is fixed on the shaft line at point $(Y_{J1},Y_{J2}=0=Y_{J3})$, which has concentrated mass M_J , inertial moment I_{J1} for rotation about $\hat{o}-Y_1$, inertial moment I_{J2} for bending in the plane $Y_1 \hat{o} Y_2$ and I_{J3} for bending the plane $Y_1 \hat{o} Y_3$. We assume that the ship is in its stable equilibrium motion with a constant velocity on the water. The propulsion shaft unit has been mounted on the hull in a good alignment state (Shaft alignment, 2000; Leontopoulos, 2006). We are interested in the dynamic responses added on the equilibrium motion state of the system, which is caused by extra external forces, such as waves and earthquakes. Therefore, the coordinate system $o - x_1 x_2 x_3$ is considered as an inertial system in association with the

ship constant velocity, which could be ignored. For a first instance to explore these complex dynamic interactions we consider the system is a linear system in which the hull motion is governed by linear elastic theory and the motion of the propulsion unit follows the beam / shaft theory as mentioned above. The water is compressible fluid with irrotational motions and a linear free surface wave condition. The dynamic pressure of the water satisfies a wave equation in the water domain. To derive the governing equations of the integrated interaction system, we have to model the dynamic interactions of the propulsion unit with the hull and the water through the bearings, engine crack box and the propeller, which is discussed and described by the corresponding equilibrium and geometrical relationships as follows.

2.1 Propulsion unit-ship hull-water interactions

114 2.1.1 Bearings

coordinate transformation

For a representative bearing B_I , of which the mass of moving parts is neglected, is fixed at a point $B_I(Y_{I1}^B, Y_{I2}^B, Y_{I3}^B)$ in the hull and a point $A_I(Y_{I1}^A, Y_{I2}^A = 0 = Y_{I3}^A)$ on the central line of the shaft. The coordinates of these two points in the hull system $O - X_1 X_2 X_3$ can be obtained from the following

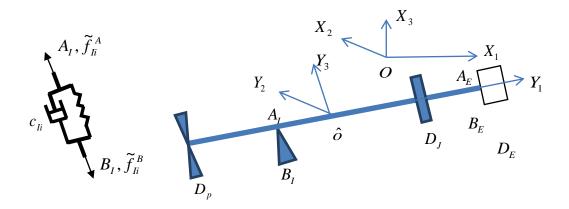
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$$X_{li}^{A} = X_{i0} + \beta_{ji} Y_{lj}^{A}, \qquad X_{li}^{B} = X_{i0} + \beta_{ji} Y_{lj}^{B}.$$
 (1)

The interaction dynamic force components \tilde{f}_{li}^A and \tilde{f}_{li}^B at two ends of bearing B_l in the shaft coordinate system, between the shaft and the hull can be calculated by using the stiffness coefficient k_{li} (Bernhard Bettig, http://www.me.mtu.edu/~mdrl) but neglecting its damping c_{li} shown in Fig. 2, i.e.

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$$\begin{bmatrix} \widetilde{f}_{li}^{A} \\ \widetilde{f}_{li}^{B} \end{bmatrix} = \begin{bmatrix} k_{l\hat{i}} & -k_{l\hat{i}} \\ -k_{l\hat{i}} & k_{l\hat{i}} \end{bmatrix} \begin{bmatrix} U_{i}(Y_{lj}^{A}) \\ \beta_{ij}u_{j}(X_{lk}^{B}) \end{bmatrix}, \quad \begin{bmatrix} \widetilde{f}_{li}^{As} \\ \widetilde{f}_{li}^{Bh} \end{bmatrix} = -\begin{bmatrix} \widetilde{f}_{l\hat{i}} \\ \widetilde{f}_{l\hat{i}} \end{bmatrix} \quad (\hat{i} = i),$$
(2)

of which a positive value implies a pulling interaction force between two points A_I and B_I . Due to interactions, the forces $\tilde{f}_{li}^{As} = -\tilde{f}_{li}^{A}$ and $\tilde{f}_{li}^{Bh} = -\tilde{f}_{li}^{B}$ are applied at the two corresponding points of the shaft and the hull, respectively. Here subscript $\hat{i}=i$ is introduced to avoid summation for tensor index. Here, U_i denotes the shaft displacement in the system $\hat{o}-Y_1Y_2Y_3$ while u_i represents the hull

displacement in the hull system $O-X_1X_2X_3$. Therefore, in Eq. (2), the hull displacement u_i is premultiplied by the transformation tensor β_{ij} to obtain its corresponding components in the system $\hat{o}-Y_1Y_2Y_3$. If the bearing parameter $k_{I1}\to\infty$, it implies that the motion in direction $\hat{o}-Y_1$ is restricted.



134 (a) (b)

Fig. 2. The propulsion unit: (a) journal bearing forces, stiffness and damping (but neglected in Eq. (2),

(b) the arrangement of shaft, propeller, bearings, disks as well as engine crack box.

2.1.2 Engine crack box

Similarly, the crank box D_E , of concentrated mass M_E and three inertial moments I_{E1} , I_{E2} and I_{E3} , is fixed at point $B_E(Y_{E1}^B, Y_{E2}^B, Y_{E3}^B)$ in the hull and point $A_E(Y_{E1}^A, Y_{E1}^A, Y_{E1}^A)$ on the central line of the shaft. Replacing the subscript I in Eqs. (1) and (2) by subscript E to indicate the related variables or parameters of the crank box, we obtain the following equations for crank box-hull interactions,

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$$X_{Ei}^{A} = X_{i0} + \beta_{ji} Y_{Ej}^{A}, \qquad X_{Ei}^{B} = X_{i0} + \beta_{ji} Y_{Ej}^{B},$$
(3)

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$$\begin{bmatrix} \widetilde{f}_{Ei}^{A} \\ \widetilde{f}_{Ei}^{B} \end{bmatrix} = \begin{bmatrix} k_{E\hat{i}} & -k_{E\hat{i}} \\ -k_{E\hat{i}} & k_{E\hat{i}} \end{bmatrix} \begin{bmatrix} U_{i}(Y_{Ej}^{A}) \\ \beta_{ij}u_{j}(X_{Ek}^{B}) \end{bmatrix}, \qquad \begin{bmatrix} \widetilde{f}_{Ei}^{As} \\ \widetilde{f}_{Ei}^{B} \end{bmatrix} = -\begin{bmatrix} \widetilde{f}_{Ei}^{A} \\ \widetilde{f}_{Ei}^{B} \end{bmatrix} \qquad (\hat{i} = i), \tag{4}$$

As explained in Fig. 3, the engine moving parts produce a restoring moment \tilde{m}_E about point A_E to restrict the shaft rotation, which can be calculated by a rotation stiffness k_E of engine in the form

$$\widetilde{m}_{E} = k_{E} \theta_{1}(Y_{E1}^{A}), \quad \theta_{1}(Y_{E1}^{A}) = \beta_{3j} [u_{j}(X_{Ei}^{B_{1}}) - u_{j}(X_{Ei}^{B_{2}})] / (2d) = \Delta_{B} \boldsymbol{\beta}_{3} \mathbf{u},$$

$$\widetilde{m}_{E} = d \begin{bmatrix} 1 & -1 \end{bmatrix} \begin{bmatrix} \widetilde{f}_{E_{3}}^{B_{1}} \\ \widetilde{f}_{E_{3}}^{B_{2}} \end{bmatrix}, \quad \begin{bmatrix} \widetilde{f}_{E_{3}}^{B_{1}} \\ \widetilde{f}_{E_{3}}^{B_{2}} \end{bmatrix} = \frac{1}{4d^{2}} \begin{bmatrix} k_{E} & -k_{E} \\ -k_{E} & k_{E} \end{bmatrix} \begin{bmatrix} \beta_{3j} u_{j}(X_{Ei}^{B_{1}}) \\ \beta_{3j} u_{j}(X_{Ei}^{B_{2}}) \end{bmatrix}, \quad \begin{bmatrix} \widetilde{f}_{E_{3}}^{B_{1h}} \\ \widetilde{f}_{E_{3}}^{B_{2h}} \end{bmatrix} = - \begin{bmatrix} \widetilde{f}_{E_{3}}^{B_{1}} \\ \widetilde{f}_{E_{3}}^{B_{2}} \end{bmatrix}, \quad (5)$$

$$\widetilde{m}_{E}^{s} = -\widetilde{m}_{E} = -k_{E} \Delta_{B} \boldsymbol{\beta}_{3} \mathbf{u}, \quad \Delta_{B} = [\Delta(X_{i} - X_{Ei}^{B_{1}}) - \Delta(X_{i} - X_{Ei}^{B_{2}})] / (2d). \quad \boldsymbol{\beta}_{3} = [\beta_{31} \quad \beta_{32} \quad \beta_{33}].$$

- Here the two forces \tilde{f}_{E3}^m corresponding to the moment \tilde{m}_E is added at the two hull points B_1 and B_2
- of distance 2d along direction $\hat{o} Y_3$. The coordinates of these two points are given by

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$$Y_{Ei}^{B_{1}} = Y_{Ei}^{B} + \delta_{i2}d, \qquad Y_{Ei}^{B_{2}} = Y_{Ei}^{B} - \delta_{i2}d, \\ X_{Ei}^{B_{1}} = X_{i0} + \beta_{ji}Y_{Ej}^{B_{1}}, \qquad X_{Ei}^{B_{2}} = X_{i0} + \beta_{ji}Y_{Ej}^{B_{2}}.$$

$$Y_{2}$$

$$Q_{1}$$

$$A_{E}$$

$$\tilde{f}_{E3}^{B_{1}}$$

$$\tilde{f}_{E3}^{B_{2}}$$

$$\tilde{f}_{E3}^{B_{2}}$$

$$\tilde{f}_{E3}^{B_{2}}$$

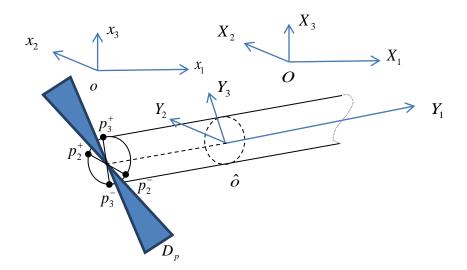
$$\tilde{f}_{E3}^{B_{2}}$$

$$\tilde{f}_{E3}^{B_{2}}$$

$$\tilde{f}_{E3}^{B_{2}}$$

$$\tilde{f}_{E3}^{B_{2}}$$

- 151 Fig. 3. The rotation angle θ_1 and moment \tilde{m}_E , produced by the engine torsion stiffness, a moment
- 153 $-\tilde{m}_E$ is applied at point A_E of shaft. The hull provides a pair of forces $\tilde{f}_{E3}^{B_1} = -\tilde{f}_{E3}^{B_2}$ parallel to
- 154 $\hat{o} Y_3$ and applied at two engine points B_1 and B_2 of distance 2d along direction $\hat{o} Y_3$.
- 155 2.1.3 Propeller
- The propeller D_p is fixed at point $(Y_{p1}, Y_{p2} = 0 = Y_{p3})$ and has concentrated mass M_p and three
- inertial moments I_{p1} , I_{p2} and I_{p3} defined as same as the ones for disk D_J . Due to water-propeller
- interactions, the dynamic water forces \tilde{f}_{pi}^{s} are added on the propeller shaft, which could be neglected
- if it is compared with the forces applied to the hull wet surface.



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Fig. 4. The interaction forces between water and propeller shaft.

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However, if it is to be considered, these forces are approximately estimated as follows. As shown in Fig. 4, in $Y_1 - \hat{o} - Y_2$ plane, the difference of water pressure between p_2^+ and p_2^- along $\hat{o} - Y_2$ is

 $p_2^+ - p_2^- = \widetilde{p}_2$, so that the interaction force can be calculated through multiplying \widetilde{p}_2 by an effective

area $A_{p2} = D \times L = A_{p3}$ in the system the system $\hat{o} - Y_1 Y_2 Y_3$, where the shaft diameter D and wet part

length L are used. Therefore, the three interaction force components are represented by

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$$\widetilde{f}_{pi}^{s} = -\widetilde{f}_{pi}, \qquad \widetilde{f}_{pi} = \widetilde{p}A_{pi} = (p_{\hat{i}}^{+} - p_{\hat{i}}^{-})A_{pi}, \qquad x_{pj} = x_{j0} + X_{j0} + \beta_{lj}Y_{pl}, \quad \hat{i} = i.$$
 (7)

Considering the propeller effect in direction $\hat{o}-Y_1$, we introduce a coefficient α and take

$$170 A_{p1} = \alpha A_{p2}.$$

171 **2.2 Ship hull**

Using the interaction forces between the propulsion unit and the hull given in section 2.1, and linear theory of elasticity, we can now derive the governing equations describing the hull dynamics in this interaction system as follows.

175 Dynamic equation

$$\sigma_{ij,j} + \hat{F}_{i} + \sum_{l=1}^{\hat{I}} \tilde{f}_{lj}^{Bh} \beta_{ji} \Delta(X_{k} - X_{lk}^{B}) + \tilde{f}_{Ej}^{Bh} \beta_{ji} \Delta(X_{k} - X_{Ek}^{B})$$

$$+ \beta_{3i} [\tilde{f}_{E3}^{B_{1h}} \Delta(X_{k} - X_{Ek}^{B_{1}}) + \tilde{f}_{E3}^{B_{2h}} \Delta(X_{k} - X_{Ek}^{B_{2}})] = \rho_{s} w_{i}, \qquad (X_{i}, t) \in \Omega_{s} \times (t_{1}, t_{2}),$$

$$(8)$$

- where $\Delta()$ denotes Delta function. The forces $\widetilde{f}_{Ij}^{\ B}$, $\widetilde{f}_{Ej}^{\ B}$ and $\widetilde{f}_{E3}^{\ m}$ defined in the shaft system are
- transformed into the components in the hull system using the tensor β_{ij} , respectively.
- 179 Strain-displacement

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$$e_{ij} = \frac{1}{2} (u_{i,j} + u_{j,i}), \qquad (X_i, t) \in \Omega_s \times (t_1, t_2). \tag{9}$$

181 Constitutive equation

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$$\sigma_{ij} = E_{ijkl} e_{kl}, \qquad (X_i, t) \in \Omega_s \times (t_1, t_2). \tag{10}$$

and we have

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$$v_i = u_{i,t}, \qquad w_i = v_{i,t}, \qquad d_{ij} = e_{ij,t} = \frac{1}{2} (v_{i,j} + v_{j,i}).$$
 (11)

185 Boundary conditions

given acceleration:
$$w_i = \hat{w}_i$$
, $(X_i, t) \in S_w \times [t_1, t_2]$, (12-1)

given traction:
$$\sigma_{ii}v_i = \hat{T}_i$$
, $(X_i, t) \in S_T \times [t_1, t_2]$. (12-2)

- For a moored ship, at the moored point, the acceleration $\hat{W_i}=0$, while for a ship in motion there
- 189 exists no given acceleration boundary, so that $S_w = 0$.
- Substituting Eqs. (9) and (10) into Eq. (8), we obtain the dynamic equations in its displacement
- form. Using the following matrix notations with the elastic modulus E, shear modulus ζ and Poison's
- 192 ratio μ of the material,

$$\mathbf{D} = \begin{bmatrix} \partial/\partial X_{1} & 0 & 0 \\ 0 & \partial/\partial X_{2} & 0 \\ 0 & 0 & \partial/\partial X_{3} \\ \partial/\partial X_{2} & \partial/\partial X_{1} & 0 \\ 0 & 0 & \partial/\partial X_{3} & \partial/\partial X_{2} \\ \partial/\partial X_{3} & 0 & \partial/\partial X_{1} \end{bmatrix}, \quad \hat{\mathbf{E}} = \frac{E}{2\varsigma(1+\mu)} \begin{bmatrix} 1 & \gamma & \gamma & 0 & 0 & 0 \\ \gamma & 1 & \gamma & 0 & 0 & 0 \\ \gamma & \gamma & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & \varsigma & 0 & 0 \\ 0 & 0 & 0 & \varsigma & 0 & 0 \\ 0 & 0 & 0 & 0 & \varsigma & 0 \\ 0 & 0 & 0 & 0 & \varsigma & 0 \end{bmatrix},$$

$$\mathbf{u} = \begin{bmatrix} u_{1} & u_{2} & u_{3} \end{bmatrix}^{T}, \quad \mathbf{v} = \dot{\mathbf{u}}, \quad \mathbf{w} = \ddot{\mathbf{u}}, \quad \varsigma = \frac{(1-2\mu)}{2(1-\mu)}, \quad \gamma = \frac{\mu}{1-\mu},$$

$$\mathbf{U} = \begin{bmatrix} U_{1} & U_{2} & U_{3} & \theta_{1} \end{bmatrix}^{T}, \quad \mathbf{V} = \dot{\mathbf{U}}, \quad \mathbf{W} = \ddot{\mathbf{U}}, \quad \tilde{\mathbf{p}} = \begin{bmatrix} \tilde{p}_{1} & \tilde{p}_{2} & \tilde{p}_{3} \end{bmatrix}^{T},$$

$$(13-1)$$

$$\overline{\mathbf{v}} = \begin{bmatrix} v_1 & 0 & 0 & v_2 & 0 & v_3 \\ 0 & v_2 & 0 & v_1 & v_3 & 0 \\ 0 & 0 & v_3 & 0 & v_2 & v_1 \end{bmatrix}, \quad \mathbf{v} = \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix}^T, \quad \mathbf{\eta} = \begin{bmatrix} \eta_1 & \eta_2 & \eta_3 \end{bmatrix}^T,$$

 $\mathbf{E} = \operatorname{diag}(ES \quad EJ_2 \quad EJ_3 \quad GJ_1), \quad \overline{\mathbf{L}} = \operatorname{diag}(0, \partial/\partial Y_1, \partial/\partial Y_1, 0),$

$$\mathbf{L} = \operatorname{diag}(\partial/\partial Y_1, \partial^2/\partial Y_1^2, \partial^2/\partial Y_1^2, \partial/\partial Y_1), \quad \hat{\mathbf{F}} = \begin{bmatrix} \hat{F}_1 & \hat{F}_2 & \hat{F}_3 \end{bmatrix}^T,$$

$$\overline{\mathbf{M}} = \begin{bmatrix} \rho S & M_n & M_1 & M_2 & \cdots & M_{\hat{I}} & M_E \end{bmatrix}, \quad \widetilde{\mathbf{M}} = \begin{bmatrix} \overline{\mathbf{M}}^T & \overline{\mathbf{J}}_2^T & \overline{\mathbf{J}}_3^T & \overline{\mathbf{J}}_1^T \end{bmatrix}^T,$$

$$\overline{\mathbf{J}}_{i} = \begin{bmatrix} \rho J_{i} & I_{pi} & I_{1i} & I_{2i} & \cdots & I_{\hat{J}i} & I_{Ei} \end{bmatrix} \quad (i = 1, 2, 3), \quad \mathbf{J} = \begin{bmatrix} \mathbf{0}^{T} & \overline{\mathbf{J}}_{2}^{T} & \overline{\mathbf{J}}_{3}^{T} & \mathbf{0}^{T} \end{bmatrix}^{T},$$

$$\Delta = \begin{bmatrix} 1 & \Delta(Y_1 - Y_{p1}) & \Delta(Y_1 - Y_{11}) & \Delta(Y_1 - Y_{21}) & \cdots & \Delta(Y_1 - Y_{\hat{1}1}) & \Delta(Y_1 - Y_{E1}) \end{bmatrix}^T,$$

$$\mathbf{k}_{I} = \operatorname{diag}(k_{I1}, k_{I2}, k_{I3}), \quad \mathbf{k}_{E} = \operatorname{diag}(k_{E1}, k_{E2}, k_{E3}), \quad \Delta_{pi} = \Delta(Y_{i} - Y_{pi}^{+}) - \Delta(Y_{i} - Y_{pi}^{-}),$$

$$\mathbf{K}_{A}^{\Delta} = \sum_{I=1}^{\hat{I}} [\mathbf{k}_{I} \Delta (Y_{1} - Y_{I1}^{A})] + \mathbf{k}_{E} \Delta (Y_{1} - Y_{E1}^{A}), \quad \mathbf{K}_{B}^{\Delta} = \{ \sum_{I=1}^{\hat{I}} [\mathbf{k}_{I} \Delta (X_{k} - X_{Ik}^{B})] + \mathbf{k}_{E} \Delta (X_{k} - X_{Ek}^{B}) \},$$

$$\mathbf{F}_{sf}^{\Delta} = \begin{bmatrix} (\boldsymbol{\Lambda}_{p} \boldsymbol{A}_{p})^{T} & 0 \end{bmatrix}^{T}, \quad \boldsymbol{\Lambda}_{p} = \begin{bmatrix} A_{p1} A_{p2} A_{p3} \end{bmatrix}^{T}, \quad k_{E}^{\Delta} = k_{E} \Delta_{B}, \quad \boldsymbol{\Lambda}_{p} = \operatorname{diag}(\Delta_{p1} \quad \Delta_{p2} \quad \Delta_{p3})$$

$$\mathbf{\overline{K}}_{B}^{\Delta} = \begin{bmatrix} \mathbf{K}_{B}^{\Delta} & \mathbf{0} \\ \mathbf{0} & 0 \end{bmatrix}, \quad \mathbf{\overline{K}}_{BE}^{\Delta} = \begin{bmatrix} \mathbf{K}_{B}^{\Delta} & \mathbf{0} \\ \mathbf{0} & -k_{E}^{\Delta} \end{bmatrix}, \mathbf{\overline{K}}_{A}^{\Delta} = \begin{bmatrix} \mathbf{K}_{A}^{\Delta} & 0 \\ 0 & 0 \end{bmatrix}, \quad \mathbf{\overline{K}}_{AE}^{\Delta} = \begin{bmatrix} \mathbf{K}_{A}^{\Delta} & 0 \\ 0 & -k_{E}^{\Delta} \end{bmatrix}, \quad \mathbf{\overline{\beta}} = \begin{bmatrix} \mathbf{\beta} \\ \mathbf{\beta}_{3} \end{bmatrix}, \quad (13-2)$$

We can write this equation in a matrix form

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$$\mathbf{D}^{T}(\hat{\mathbf{E}}\mathbf{D}\mathbf{u}) + \hat{\mathbf{F}} - \overline{\boldsymbol{\beta}}^{T}\overline{\mathbf{K}}_{BE}^{\Delta}\mathbf{U} + \overline{\boldsymbol{\beta}}^{T}\overline{\mathbf{K}}_{B}^{\Delta}\overline{\boldsymbol{\beta}}\mathbf{u} = \rho_{s}\mathbf{w}.$$
 (14-1)

The corresponding boundary conditions (12) in a matrix form are as follows

198 given acceleration:
$$\mathbf{w} = \hat{\mathbf{w}}$$
, (14-2)

given traction:
$$\hat{\mathbf{v}}\hat{\mathbf{E}}\mathbf{D}\mathbf{u} = \hat{\mathbf{T}}$$
. (14-3)

200 2.3 Fluid domain

- The water motion is described by a dynamic pressure relative to the stationary travel state where
- this dynamic pressure vanishing. The wave equation and boundary conditions for water domain are
- given as follows (Xing, 1991).
- 204 Dynamic equation

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$$p_{,tt} = c^2 p_{,ii}, \qquad (x_i, t) \in \Omega_f \times (t_1, t_2).$$
 (15)

206 Boundary conditions

free surface wave:
$$p_i \eta_i = -p_{tt}/g$$
, $(x_i, t) \in \Gamma_t \times [t_1, t_2]$, (16-1)

208 given pressure:
$$p = \hat{p}$$
, $(x_i, t) \in \Gamma_p \times [t_1, t_2]$, (16-2)

given acceleration: $p_i \eta_i = -\rho_f \hat{w}_i \eta_i$, $(x_i, t) \in \Gamma_w \times [t_1, t_2]$, (16-3)

On infinity boundary Γ_{∞} , the dynamic pressure can be set to zero since the disturbance cannot transmitted to infinity due to practical damping, or to Sommerfeld radiation condition implying waves transmitting to infinity without any reflections (Xing, 2007; Xing, 2008). This paper adopts the zero disturbance condition at a sufficiently far boundary from the ship. An incident wave excitation can be modelled by equation (16-2) where the dynamic pressure is given, or by equation (16-3) which gives the acceleration of the incident wave.

2.4 Water-hull interaction interface

217 kinematic:
$$\mathbf{w}^T \mathbf{v} = \nabla^T p \mathbf{\eta} / \rho_f$$
, $(x_i, t) \in \sum \times [t_1, t_2]$, (17-1)

218 where $\nabla = \left[\frac{\partial}{\partial x_1} \quad \frac{\partial}{\partial x_2} \quad \frac{\partial}{\partial x_3} \right]^T$.

equilibrium:
$$\mathbf{\bar{v}EDu} = p\mathbf{\eta} - \rho_f g u_3 \mathbf{\eta}, \qquad (x_i, t) \in \Sigma \times [t_1, t_2]. \tag{17-2}$$

220 **2.5 Propulsion unit**

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- The motion of the propulsion unit is investigated in the shaft system $\hat{o} Y_1Y_2Y_3$, which consists of an axial extension / compression displacement $U_1(Y_j,t)$, two bending displacements $U_2(Y_j,t)$ and $U_3(Y_j,t)$ as well as a rotation about $\hat{o} Y_1$ axis. We neglected the couplings between these four types of motions. Based on the classical theory of road, shaft and beam, using the second Newton's law, we can derive the dynamic equations describing the dynamics of the propulsion unit as follows.
- 226 Axial extension $U_1(Y_i,t)$ and Torsion $\theta_1(Y_i,t)$

$$\frac{\partial}{\partial Y_{1}} \left(ES \frac{\partial U_{1}}{\partial Y_{1}} \right) + \tilde{f}_{p1}^{s} \Delta(Y_{1} - Y_{p1}) + \sum_{I=1}^{\hat{I}} \tilde{f}_{I1}^{As} \Delta(Y_{1} - Y_{I1}^{A}) + \tilde{f}_{E1}^{As} \Delta(Y_{1} - Y_{E1}^{A}) = \overline{\mathbf{M}} \Delta \ddot{U}_{1}, \tag{18}$$

$$\frac{\partial}{\partial Y_1} \left(G J_1 \frac{\partial \theta_1}{\partial Y_1} \right) + \widetilde{m}_E^s = \overline{\mathbf{J}}_1 \Delta \ddot{\theta}_1, \tag{19}$$

Bending displacements $U_2(Y_i,t)$ and $U_3(Y_i,t)$

$$\frac{\partial^{2}}{\partial Y_{1}^{2}} \left(EJ_{2} \frac{\partial^{2} U_{2}}{\partial Y_{1}^{2}} \right) + \tilde{f}_{p2}^{s} \Delta (Y_{1} - Y_{p1}) + \sum_{I=1}^{\hat{I}} \tilde{f}_{I2}^{As} \Delta (Y_{1} - Y_{I1}^{A})
+ \tilde{f}_{E2}^{As} \Delta (Y_{1} - Y_{E1}^{A}) = -\overline{\mathbf{M}} \Delta \ddot{U}_{2} + \overline{\mathbf{J}}_{2} \Delta \frac{\partial^{2} \ddot{U}_{2}}{\partial Y_{1}^{2}}.$$
(20)

$$\frac{\partial^{2}}{\partial Y_{1}^{2}} \left(EJ_{3} \frac{\partial^{2}U_{3}}{\partial Y_{1}^{2}} \right) + \widetilde{f}_{p3}^{s} \Delta(Y_{1} - Y_{p1}) + \sum_{I=1}^{\hat{I}} \widetilde{f}_{I3}^{As} \Delta(Y_{1} - Y_{I1}^{A})
+ \widetilde{f}_{E3}^{As} \Delta(Y_{1} - Y_{E1}^{A}) = -\overline{\mathbf{M}} \Delta \ddot{U}_{3} + \overline{\mathbf{J}}_{3} \Delta \frac{\partial^{2} \ddot{U}_{3}}{\partial Y_{1}^{2}}.$$
(21)

Eqs. (18)-(21) are rewritten in a matrix form

$$-L(ELU) + \overline{K}_{A}^{\Delta}U - \overline{K}_{AE}^{\Delta}\overline{\beta}u + \widetilde{f}_{D} = [\operatorname{diag}(\widetilde{M}\Delta) - \operatorname{diag}(J\Delta)\overline{L}^{2}]\ddot{U}. \tag{22}$$

In this equation, the third and fourth terms represent the interactions of propulsion unit with the hull and the water, respectively.

2.6 Propeller shaft-water interaction interface

- Assume that $\bar{\Gamma}_p$ denotes the interaction wet interface between the shaft and water, in which the
- points $Y_i = Y_{pi}$, $x_i = x_{pi}$ are located, the averaged approximately coupling conditions may be
- 239 represented as

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240 kinematic:
$$\ddot{\mathbf{U}}^T \mathbf{F}_{sf}^{\Delta} = -\int_{\Gamma_s} \mathbf{\eta}^T \nabla p / \rho_f d\Gamma, \qquad Y_i = Y_{pi}, \quad x_i = x_{pi},$$
 (23-1)

equilibrium:
$$\widetilde{\mathbf{f}}_{p}^{s} = -\widetilde{\mathbf{f}}_{p} = -\mathbf{F}_{sf}^{\Delta} p, \qquad Y_{i} = Y_{pi}, \quad x_{i} = x_{pi}. \tag{23-2}$$

242 3. Variational formulation

- In order to construct a numerical model for the coupling system, we need to establish a variational
- formulation of which the stationary conditions cover all of the governing equations presented above.
- Based on the generalised variational principles developed by Xing et al (Xing, 1995; Xing, 1996), we
- can construct the following functional,

$$H_{sf}[p,\mathbf{w},\mathbf{W}] = \int_{t_{1}}^{t_{2}} \left\{ \int_{Y_{p_{1}}}^{Y_{EI}} \left[\frac{1}{2} \mathbf{W}^{T} \operatorname{diag}(\widetilde{\mathbf{M}} \Delta) \mathbf{W} + \frac{1}{2} \mathbf{W}_{,1}^{T} \operatorname{diag}(\mathbf{J} \Delta) \mathbf{W}_{,1} - \frac{1}{2} (\dot{\mathbf{U}}^{T} \overline{\mathbf{L}}^{T}) \mathbf{E} \overline{\mathbf{L}} \dot{\mathbf{U}} \right.$$

$$\left. - \frac{1}{2} \left[\dot{\mathbf{U}}^{T} \quad \dot{\mathbf{u}}^{T} \overline{\mathbf{\beta}}^{T} \left[\frac{\overline{\mathbf{K}}_{A}^{\Delta}}{-\overline{\mathbf{K}}_{AE}^{\Delta}} \right] \left[\dot{\overline{\mathbf{U}}} \right] - \mathbf{W}^{T} \mathbf{F}_{sf}^{\Delta} p \right] dL \right\} dt$$

$$+ \int_{t_{1}}^{t_{2}} \left\{ \int_{\Omega_{s}} \left[\frac{1}{2} \rho_{s} \mathbf{w}^{T} \mathbf{w} - \frac{1}{2} (\dot{\mathbf{u}}^{T} \mathbf{D}^{T}) \hat{\mathbf{E}} \mathbf{D} \dot{\mathbf{u}} - \mathbf{w}^{T} \hat{\mathbf{F}} \right] d\Omega_{s} - \int_{S_{T}} \mathbf{w}^{T} \hat{\mathbf{T}} dS \right\} dt$$

$$+ \int_{t_{1}}^{t_{2}} \left\{ \int_{\Omega_{f}} \left[\frac{1}{2 \rho_{f} c^{2}} p_{,t} p_{,t} - \frac{1}{2 \rho_{f}} p \nabla^{T} \nabla p \right] d\Omega_{f} + \int_{\Gamma_{f}} \frac{\eta_{3}}{2 \rho_{f} g} p_{,t} p_{,t} d\Gamma - \int_{\Gamma_{w}} p \hat{\mathbf{w}}^{T} \mathbf{\eta} d\Gamma \right.$$

$$\left. - \int_{\Sigma} (p \mathbf{w}^{T} \mathbf{\eta} + \frac{1}{2} \rho_{f} g \dot{u}_{3}^{2}) d\Gamma \right\} dt$$

$$(24)$$

In this functional, the acceleration in solids and the pressure in fluids are taken as the variables. The functional is subject to the constraints given in Eqs. (9), (11), (14-2), (16-2) as well as the imposed variation constraints $\delta v_i = 0 = \delta p$ at the two time terminals t_1 and t_2 . The stationary conditions of the functional given in Eq. (24) are described in Eqs. (14), (15), (16-1,3), (17), (22) and (23).

4. Substructures and their mode functions

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- To establish a numerical model to investigate the dynamics of the integrated coupling system governed by the functional (24), we need to find some Ritz functions to represent the motion of the system. For this purpose, we divide the integrated system into two solid substructures (Xing, 1986; Xing, 1986; Craig et al, 1986; Xing, 1983) (a hull and a shaft) and a fluid domain (Xing, 1996). We derive the natural frequencies and mode functions of each substructure / domain as follows. These mode functions will be chosen as Ritz functions to span a subspace in which the motion of substructure / domain is described.
- 4.1 Hull substructure and its mode functions
- The free-free dry hull with no the shaft system is considered as the hull substructure of which the equation for the natural vibrations with no any external forces are derived from equation (14), i.e.

$$\mathbf{D}^{T}(\hat{\mathbf{E}}\mathbf{D}\mathbf{u}) = \rho_{s}\ddot{\mathbf{u}},$$

$$\hat{\mathbf{v}}\hat{\mathbf{E}}\mathbf{D}\mathbf{u} = 0.$$
(25)

This is an eigenvalue problem from which the I-th natural frequencies ω_l and the corresponding mode function φ_l can be obtained. To do so, we assume that

$$\mathbf{u} = \mathbf{\varphi}_I e^{\mathrm{j}\omega_I t}, \qquad \ddot{\mathbf{u}} = -\omega^2 \mathbf{\varphi}_I e^{\mathrm{j}\omega_I t}, \tag{26}$$

267 from which, when substituted into equation (25), it follows

$$\mathbf{D}^{T}(\hat{\mathbf{E}}\mathbf{D}\boldsymbol{\varphi}_{I}) = -\omega_{I}^{2}\rho_{s}\boldsymbol{\varphi}_{I},$$

$$\mathbf{v}\hat{\mathbf{E}}\mathbf{D}\boldsymbol{\varphi}_{I} = 0.$$
(27)

- Pre-multiplying equation (27) by $\mathbf{\phi}_{I}^{T}$ and then integrating it over the volume of the hull as well as
- using Green theorem, we obtain

$$\int_{\Omega_{S}} \mathbf{\phi}_{I}^{T} \mathbf{D}^{T} (\hat{\mathbf{E}} \mathbf{D} \mathbf{\phi}_{I}) d\Omega_{S} = \int_{S} \bar{\mathbf{v}} \hat{\mathbf{E}} \mathbf{D} \mathbf{\phi}_{I} dS - \int_{\Omega_{S}} (\mathbf{\phi}_{I}^{T} \mathbf{D}^{T}) \hat{\mathbf{E}} \mathbf{D} \mathbf{\phi}_{I} d\Omega_{S} = -k_{I}$$

$$= -\int_{\Omega_{S}} \omega_{I}^{2} \mathbf{\phi}_{I}^{T} \rho_{s} \mathbf{\phi}_{I} d\Omega_{S} = -\omega_{I}^{2} m_{I},$$

$$k_{I} = \int_{\Omega_{S}} \mathbf{\phi}_{I}^{T} \mathbf{D}^{T} \hat{\mathbf{E}} \mathbf{D} \mathbf{\phi}_{I} d\Omega_{S}, \qquad m_{I} = \int_{\Omega_{S}} \omega_{I}^{2} \mathbf{\phi}_{I}^{T} \rho_{s} \mathbf{\phi}_{I} d\Omega_{S}, \qquad \omega_{I}^{2} = k_{I} / m_{I}.$$
(28)

- Here, k_I and m_I are called the generalised stiffness and mass of the I-th mode of the hull. For any
- two modes φ_I and φ_J with difference frequencies, the following orthogonal relationships are valid

$$\int_{\Omega_{S}} \mathbf{\phi}_{I}^{T} \mathbf{D}^{T} \hat{\mathbf{E}} \mathbf{D} \mathbf{\phi}_{J} d\Omega_{S} = \begin{cases} 0, & I \neq J \\ k_{I}, & I = J \end{cases}, \quad \mathbf{k} = \operatorname{diag}(k_{I}),$$

$$\int_{\Omega_{S}} \mathbf{\phi}_{I}^{T} \rho_{s} \mathbf{\phi}_{J} d\Omega_{S} = \begin{cases} 0, & I \neq J \\ m_{I}, & I = J \end{cases}, \quad \mathbf{m} = \operatorname{diag}(m_{I}).$$
(28)

- Generally, the first n natural frequencies and modes can be solved by finite element method and
- corresponding computer code. Introducing the eigen-matrices of the hull

$$\lambda = \operatorname{diag}(\omega_1, \omega_2, \dots, \omega_n), \qquad \mathbf{\phi} = \begin{bmatrix} \mathbf{\phi}_1 & \mathbf{\phi}_2 & \dots & \mathbf{\phi}_3 \end{bmatrix}$$
 (29)

- 278 which is used as a generalised coordinate frame to describe the motion of the hull. We represent the
- displacement of the hull in the form

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$$\mathbf{u} = \boldsymbol{\varphi} \mathbf{q}, \quad \dot{\mathbf{u}} = \boldsymbol{\varphi} \dot{\mathbf{q}}, \quad \dot{\mathbf{q}} = \begin{bmatrix} q_1 & q_2 & \cdots & q_n \end{bmatrix}^T,$$
 (30)

- where \mathbf{q} called as the generalised coordinate vector that is a time function.
- 4.2 Propulsion unit substructure and its mode functions
- The free-free propulsion shaft with its attached disks, propeller and etc is considered as a substructure
- of which the equations for the natural vibrations with no any external forces are derived from Eq. (22),

285 i.e.

$$-\mathbf{L}(\mathbf{ELU}) = [\operatorname{diag}(\widetilde{\mathbf{M}}\Delta) - \operatorname{diag}(\mathbf{J}\Delta)\overline{\mathbf{L}}^{2}]\ddot{\mathbf{U}},$$

$$\mathbf{ELU} = 0, \qquad \overline{\mathbf{L}}(\mathbf{ELU}) = 0, \qquad Y_{1} = Y_{p1}, Y_{E1}.$$
(31)

- Also, this is an eigenvalue problem from which the I-th natural frequencies Ω_I and the corresponding
- 288 mode function Φ_I can be obtained. We assume that

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$$\mathbf{U} = \Phi_I e^{j\Omega_I t}, \qquad \ddot{\mathbf{U}} = -\Omega_I^2 \Phi_I e^{j\Omega_I t}, \tag{32}$$

290 from which, when substituted into equation (31), it follows

$$-\mathbf{L}(\mathbf{E}\mathbf{L}\Phi_{I}) = -\Omega_{I}^{2}[\operatorname{diag}(\widetilde{\mathbf{M}}\boldsymbol{\Delta}) - \operatorname{diag}(\mathbf{J}\boldsymbol{\Delta})\mathbf{L}]\Phi_{I},$$

$$\mathbf{E}\mathbf{L}\Phi_{I} = 0, \qquad \overline{\mathbf{L}}(\mathbf{E}\mathbf{L}\Phi_{I}) = 0, \qquad Y_{1} = Y_{p1}, Y_{E1}.$$
(33)

- Pre-multiplying equation (33) by Φ_I^T and then integrating it over the length of the shaft as well as
- integrating it by parts we obtain

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$$K_I = \Omega_I^2 M_I$$
, $K_I = \int_{Y_{al}}^{Y_{El}} (\Phi_I^T \overline{\mathbf{L}}^T) \mathbf{E} \mathbf{L} \Phi_I dL$, $M_I = \int_{Y_{al}}^{Y_{El}} \Phi_I^T [\operatorname{diag}(\widetilde{\mathbf{M}} \Delta) + \overline{\mathbf{L}}^T \operatorname{diag}(\mathbf{J} \Delta) \overline{\mathbf{L}}] \Phi_I dL$. (34)

- Here, K_I and M_I are called the generalised stiffness and mass of the I-th mode of the shaft. For any
- two modes Φ_I and Φ_J with difference frequencies, the following orthogonal relationships are valid

$$\int_{Y_{p_{1}}}^{Y_{E1}} (\boldsymbol{\Phi}_{I}^{T} \mathbf{L}^{T}) \mathbf{E} \mathbf{L} \boldsymbol{\Phi}_{J} dL = \begin{cases} 0, & I \neq J \\ K_{I}, & I = J \end{cases}, \quad \mathbf{K} = \operatorname{diag}(K_{I}),$$

$$\int_{Y_{p_{1}}}^{Y_{E1}} \boldsymbol{\Phi}_{I}^{T} [\operatorname{diag}(\widetilde{\mathbf{M}} \boldsymbol{\Delta}) + \overline{\mathbf{L}}^{T} \operatorname{diag}(\mathbf{J} \boldsymbol{\Delta}) \overline{\mathbf{L}}] \boldsymbol{\Phi}_{J} dL = \begin{cases} 0, & I \neq J \\ M_{I}, & I = J \end{cases}, \quad \mathbf{M} = \operatorname{diag}(M_{I}).$$
(35)

- Introducing the eigen-matrices of the first N natural frequencies and corresponding modes for shaft
- 299 unit

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$$\mathbf{\Lambda} = \operatorname{diag}(\Omega_1, \Omega_2, \dots, \Omega_N), \qquad \mathbf{\Phi} = \begin{bmatrix} \Phi_1 & \Phi_2 & \dots & \Phi_N \end{bmatrix}, \tag{36}$$

- 301 which is used as a generalised coordinate frame to describe the motion of the shaft. We represent the
- displacement of the shaft in the form

303
$$\mathbf{U} = \mathbf{\Phi} \mathbf{Q}, \quad \dot{\mathbf{U}} = \mathbf{\Phi} \dot{\mathbf{Q}}, \quad \ddot{\mathbf{U}} = \mathbf{\Phi} \ddot{\mathbf{Q}}, \quad \mathbf{Q} = \begin{bmatrix} Q_1 & Q_2 & \cdots & Q_N \end{bmatrix}^T, \quad (37)$$

where \mathbf{Q} called as the generalised coordinate vector that is a time function.

- 305 4.3 Water domain and its mode functions
- The water is considered as a subdomain of which the natural vibration is governed by the following
- equations derived from Eq. (15) and Eq. (16) by fixing its boundaries except free surface, that is

$$p_{,tt} = c^{2} p_{,ii}, \qquad (x_{i}, t) \in \Omega_{f} \times (t_{1}, t_{2}),$$

$$p_{,i} \eta_{i} = -p_{,tt} / g, \qquad (x_{i}, t) \in \Gamma_{f} \times [t_{1}, t_{2}],$$

$$p_{,i} \eta_{i} = 0, \qquad (x_{i}, t) \in \Gamma_{w} \cup \Sigma \times [t_{1}, t_{2}].$$
(38)

- Here, we consider the incident wave is given by a boundary acceleration so that $\Gamma_p = 0$. This set of
- equations constructs a fluid pressure eigenvalue problem, and to obtain its solution we assume that

311
$$p = \Psi_I e^{j\tilde{\omega}_I t}, \qquad p_{II} = -\tilde{\omega}_I^2 \Psi_I e^{j\tilde{\omega}_I t}, \tag{39}$$

312 from which, when substituted into Eq. (38), it follows

$$-\widetilde{\omega}_{I}^{2}\Psi_{I} = c^{2}\Psi_{I,ii}, \qquad (x_{i},t) \in \Omega_{f} \times (t_{1},t_{2}),$$

$$\Psi_{I,i}\eta_{i} = \widetilde{\omega}_{I}^{2}\Psi_{I}/g, \qquad (x_{i},t) \in \Gamma_{f} \times [t_{1},t_{2}],$$

$$\Psi_{I,i}\eta_{i} = 0, \qquad (x_{i},t) \in \Gamma_{w} \cup \Sigma \times [t_{1},t_{2}].$$

$$(40)$$

- Pre-multiplying Eq. (40) by $\Psi_I^T(\rho_f c^2)^{-1}$ and then integrating it over the water domain and using
- 315 Green theorem, we obtain

$$\widetilde{\omega}_{I}^{2}[(\rho_{f}c^{2})^{-1}\int_{\Omega_{f}}\Psi_{I}^{T}\Psi_{I}d\Omega_{f} + (\rho_{f})^{-1}\int_{\Gamma_{f}}\Psi_{I}^{T}\Psi_{I}/gd\Gamma] = (\rho_{f})^{-1}\int_{\Omega_{f}}\Psi_{I,i}^{T}\Psi_{I,i})d\Omega_{f},$$

$$\widetilde{k}_{I} = (\rho_{f})^{-1}\int_{\Omega_{f}}\Psi_{I,i}^{T}\Psi_{I,i})d\Omega_{f}, \qquad \widetilde{\omega}_{I}^{2} = \widetilde{k}_{I}/\widetilde{m}_{I},$$

$$\widetilde{m}_{I} = (\rho_{f}c^{2})^{-1}\int_{\Omega_{f}}\Psi_{I}^{T}\Psi_{I}d\Omega_{f} + (\rho_{f}g)^{-1}\int_{\Gamma_{f}}\Psi_{I}^{T}\Psi_{I}d\Gamma,$$
(41)

- Here, \tilde{k}_I and \tilde{m}_I are called the generalised stiffness and mass of the I-th mode of the fluid. For any
- two modes Ψ_I and Ψ_J with difference frequencies, the following orthogonal relationships are valid

$$(\rho_{f})^{-1} \int_{\Omega_{f}} \Psi_{I,i}^{T} \Psi_{J,i} d\Omega_{f} = \begin{cases} 0, & I \neq J \\ \widetilde{k}_{I}, & I = J \end{cases}, \quad \widetilde{\mathbf{k}} = \operatorname{diag}(\widetilde{k}_{I}),$$

$$(\rho_{f}c^{2})^{-1} \int_{\Omega_{f}} \Psi_{I}^{T} \Psi_{I} d\Omega_{f} + (\rho_{f}g)^{-1} \int_{\Gamma_{f}} \Psi_{I}^{T} \Psi_{I} d\Gamma = \begin{cases} 0, & I \neq J \\ \widetilde{m}_{I}, & I = J \end{cases}, \quad \widetilde{\mathbf{m}} = \operatorname{diag}(\widetilde{m}_{I}).$$

$$(42)$$

Introducing the eigen-matrices of the first \tilde{n} natural frequencies and corresponding modes fort the

321 water

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$$\widetilde{\lambda} = \operatorname{diag}(\widetilde{\omega}_1, \widetilde{\omega}_2, \dots, \widetilde{\omega}_{\widetilde{n}}), \quad \Psi = \begin{bmatrix} \Psi_1 & \Psi_2 & \dots & \Psi_{\widetilde{n}} \end{bmatrix}$$
 (43)

- which is used as a generalised coordinate frame to describe the motion of the water. We represent the
- 324 dynamics pressure of the water in the form

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$$p = \Psi \tilde{\mathbf{q}}, \quad p_{t} = \Psi \dot{\tilde{\mathbf{q}}}, \quad p_{tt} = \Psi \ddot{\tilde{\mathbf{q}}}, \quad \tilde{\mathbf{q}} = \begin{bmatrix} \tilde{q}_{1} & \tilde{q}_{2} & \cdots & \tilde{q}_{\tilde{n}} \end{bmatrix}^{T},$$
 (44)

- 326 where \tilde{q} is a time function and called as the generalised coordinate vector of the fluid
- For practical complex ship structures and fluid domains, the mode functions can be derived using
- 328 finite element methods (Bathe, 1983; Zienkiewicz, 1991). The developed computer code for fluid-
- structure dynamic analysis can provide a mean to complete these calculations (Xing, 1995; Xing, 1995).
- 330 5. Mode equation of the integrated coupling system
- Substituting Eqs. (30), (37) and (44) into the functional (24), we obtain that

$$H_{sf}[\tilde{\mathbf{q}},\mathbf{q},\mathbf{Q}] = \int_{t_{1}}^{t_{2}} \left\{ \int_{Y_{p_{1}}}^{Y_{E1}} \left\{ \frac{1}{2} \ddot{\mathbf{Q}}^{T} \mathbf{\Phi}^{T} [\operatorname{diag}(\tilde{\mathbf{M}}\Delta) + \overline{\mathbf{L}}^{T} \operatorname{diag}(\mathbf{J}\Delta) \overline{\mathbf{L}}] \mathbf{\Phi} \ddot{\mathbf{Q}} - \frac{1}{2} \dot{\mathbf{Q}}^{T} \mathbf{\Phi}^{T} \overline{\mathbf{L}}^{T} \mathbf{E} \overline{\mathbf{L}} \mathbf{\Phi} \dot{\mathbf{Q}} \right\} dt$$

$$- \frac{1}{2} \left[\dot{\mathbf{Q}}^{T} \quad \dot{\mathbf{q}}^{T} \begin{bmatrix} \mathbf{\Phi}^{T} \quad \mathbf{0} \\ \mathbf{0} \quad \boldsymbol{\varphi}^{T} \overline{\mathbf{\beta}}^{T} \end{bmatrix} \right] \left[\overline{\mathbf{K}}_{A}^{\Delta} \quad - \overline{\mathbf{K}}_{AE}^{\Delta} \right] \left[\mathbf{\Phi} \quad \mathbf{0} \\ \mathbf{0} \quad \overline{\mathbf{\beta}} \boldsymbol{\varphi} \end{bmatrix} \left[\dot{\mathbf{Q}} \right] - \ddot{\mathbf{Q}}^{T} \mathbf{\Phi}^{T} \mathbf{F}_{sf}^{\Delta} \boldsymbol{\Psi} \tilde{\mathbf{q}} \right\} dL \right\} dt$$

$$+ \int_{t_{1}}^{t_{2}} \left\{ \int_{\Omega_{s}} \left[\frac{1}{2} \ddot{\mathbf{q}}^{T} \boldsymbol{\varphi}^{T} \rho_{s} \boldsymbol{\varphi} \dot{\mathbf{q}} - \frac{1}{2} \dot{\mathbf{q}}^{T} (\boldsymbol{\varphi}^{T} \mathbf{D}^{T} \hat{\mathbf{E}} \mathbf{D} \boldsymbol{\varphi}) \dot{\mathbf{q}} - \ddot{\mathbf{q}}^{T} \boldsymbol{\varphi}^{T} \hat{\mathbf{F}} \right] d\Omega_{s} - \int_{S_{T}} \ddot{\mathbf{q}}^{T} \boldsymbol{\varphi}^{T} \hat{\mathbf{T}} dS \right\} dt$$

$$+ \int_{t_{1}}^{t_{2}} \left\{ \int_{\Omega_{s}} \left[\frac{1}{2\rho_{f} c^{2}} \dot{\tilde{\mathbf{q}}}^{T} \boldsymbol{\Psi}^{T} \boldsymbol{\Psi} \dot{\tilde{\mathbf{q}}} - \frac{1}{2\rho_{f}} \tilde{\mathbf{q}}^{T} \boldsymbol{\Psi}^{T} \dot{\mathbf{q}} \dot{\mathbf{q}} \right] d\Omega_{f} \right\} dt$$

$$+ \int_{t_{1}}^{t_{2}} \left\{ \int_{\Gamma_{f}} \frac{\eta_{3}}{2\rho_{f} g} \dot{\tilde{\mathbf{q}}}^{T} \boldsymbol{\Psi}^{T} \boldsymbol{\Psi} \dot{\tilde{\mathbf{q}}} d\Gamma - \int_{\Gamma_{w}} \tilde{\mathbf{q}}^{T} \boldsymbol{\Psi}^{T} \dot{\mathbf{w}}^{T} \boldsymbol{\eta} d\Gamma - \int_{\Sigma} (\tilde{\mathbf{q}}^{T} \boldsymbol{\Psi}^{T} \boldsymbol{\eta}^{T} \boldsymbol{\varphi} \dot{\tilde{\mathbf{q}}} + \frac{1}{2} \dot{\mathbf{q}}^{T} \boldsymbol{\varphi}_{3}^{T} \rho_{f} g \boldsymbol{\varphi}_{3} \dot{\tilde{\mathbf{q}}}) d\Gamma \right\} dt,$$

$$(45)$$

into which, when Eqs. (28), (35) and (42) is substituted, it follows

$$H_{sf}[\tilde{\mathbf{q}},\mathbf{q},\mathbf{Q}] = \int_{t_{1}}^{t_{2}} \{\frac{1}{2}\ddot{\mathbf{Q}}^{T}\mathbf{M}\ddot{\mathbf{Q}} - \frac{1}{2}\dot{\mathbf{Q}}^{T}\mathbf{K}\dot{\mathbf{Q}} - \frac{1}{2}\left[\dot{\mathbf{Q}}^{T} \quad \dot{\mathbf{q}}^{T}\begin{bmatrix}\mathbf{K}_{ss} & \mathbf{K}_{sh}\\\mathbf{K}_{hs} & \mathbf{K}_{hh}\end{bmatrix}\right]\tilde{\mathbf{Q}}\\\dot{\mathbf{q}} - \ddot{\mathbf{Q}}^{T}\mathbf{K}_{sw}\tilde{\mathbf{q}}\}dt$$

$$+ \int_{t_{1}}^{t_{2}} (\frac{1}{2}\ddot{\mathbf{q}}^{T}\mathbf{m}\ddot{\mathbf{q}} - \frac{1}{2}\dot{\mathbf{q}}^{T}(\mathbf{k} + \mathbf{k}_{g})\dot{\mathbf{q}} - \ddot{\mathbf{q}}^{T}\hat{\mathbf{F}}_{h})dt + \int_{t_{1}}^{t_{2}} (\frac{1}{2}\ddot{\mathbf{q}}^{T}\tilde{\mathbf{m}}\ddot{\mathbf{q}} - \frac{1}{2}\tilde{\mathbf{q}}^{T}\tilde{\mathbf{k}}\tilde{\mathbf{q}})dt$$

$$- \int_{t_{1}}^{t_{2}} (\tilde{\mathbf{q}}^{T}\hat{\mathbf{F}}_{w} + \tilde{\mathbf{q}}^{T}\mathbf{K}_{hw}^{T}\ddot{\mathbf{q}})dt,$$

$$(46)$$

335 where

$$\begin{bmatrix} \mathbf{K}_{ss} & \mathbf{K}_{sh} \\ \mathbf{K}_{hs} & \mathbf{K}_{hh} \end{bmatrix} = \int_{Y_{p_1}}^{Y_{E_1}} \begin{bmatrix} \mathbf{\Phi}^T & \mathbf{0} \\ \mathbf{0} & \mathbf{\phi}^T \overline{\mathbf{\beta}}^T \end{bmatrix} \begin{bmatrix} \overline{\mathbf{K}}_{A}^{\Delta} & -\overline{\mathbf{K}}_{AE}^{\Delta} \\ -\overline{\mathbf{K}}_{BE}^{\Delta} & \overline{\mathbf{K}}_{B}^{\Delta} \end{bmatrix} \begin{bmatrix} \mathbf{\Phi} & \mathbf{0} \\ \mathbf{0} & \overline{\mathbf{\beta}} \mathbf{\phi} \end{bmatrix} dL,$$

$$\mathbf{K}_{sw} = \int_{Y_{p_1}}^{Y_{E_1}} \mathbf{\Phi}^T \mathbf{F}_{sf}^{\Delta} \mathbf{\Psi} dL, \qquad \mathbf{K}_{hw} = \int_{\Sigma} \mathbf{\phi}^T \mathbf{\eta} \mathbf{\Psi} d\Gamma,$$

$$\hat{\mathbf{F}}_{h} = \int_{\Omega_{s}} \mathbf{\phi}^T \hat{\mathbf{F}} d\Omega_{s} + \int_{S_{T}} \mathbf{\phi}^T \hat{\mathbf{T}} dS, \qquad \hat{\mathbf{F}}_{w} = \int_{\Gamma_{w}} \mathbf{\Psi}^T \hat{\mathbf{w}}^T \mathbf{\eta} d\Gamma,$$

$$\mathbf{k}_{g} = \int_{\Sigma} \mathbf{\phi}_{3}^T \rho_{f} g \mathbf{\phi}_{3} d\Gamma.$$

$$(47)$$

Taking the variation of the functional (46), we obtain

$$\delta H_{sf}[\tilde{\mathbf{q}}, \mathbf{q}, \mathbf{Q}] = \int_{t_{1}}^{t_{2}} \{\delta \ddot{\mathbf{Q}}^{T} \mathbf{M} \ddot{\mathbf{Q}} - \delta \dot{\mathbf{Q}}^{T} \mathbf{K} \dot{\mathbf{Q}} + \left[\delta \dot{\mathbf{Q}}^{T} - \delta \dot{\mathbf{q}}^{T} \begin{bmatrix} \mathbf{K}_{ss} & \mathbf{K}_{sh} \\ \mathbf{K}_{hs} & \mathbf{K}_{hh} \end{bmatrix} \right] \dot{\mathbf{Q}} \} dt
+ \int_{t_{1}}^{t_{2}} (\delta \ddot{\mathbf{q}}^{T} \mathbf{m} \ddot{\mathbf{q}} - \delta \dot{\mathbf{q}}^{T} (\mathbf{k} + \mathbf{k}_{g}) \dot{\mathbf{q}} - \delta \ddot{\mathbf{q}}^{T} \hat{\mathbf{F}}_{h}) dt + \int_{t_{1}}^{t_{2}} (\delta \dot{\ddot{\mathbf{q}}}^{T} \tilde{\mathbf{m}} \dot{\tilde{\mathbf{q}}} - \delta \tilde{\mathbf{q}}^{T} \tilde{\mathbf{k}} \tilde{\mathbf{q}} - \delta \tilde{\mathbf{q}}^{T} \hat{\mathbf{F}}_{w}) dt
- \int_{t_{1}}^{t_{2}} (\delta \tilde{\mathbf{q}}^{T} \mathbf{K}_{hw}^{T} \ddot{\mathbf{q}} + \delta \ddot{\mathbf{q}}^{T} \mathbf{K}_{hw} \tilde{\mathbf{q}} + \delta \ddot{\mathbf{Q}}^{T} \mathbf{K}_{sw} \tilde{\mathbf{q}} + \delta \tilde{\mathbf{q}}^{T} \mathbf{K}_{sw}^{T} \ddot{\mathbf{Q}}) dt,$$
(48)

from which, when integrating by parts and vanishing the two time terminal variations, it follows

$$\delta H_{sf}[\widetilde{\mathbf{q}}, \mathbf{q}, \mathbf{Q}] = \int_{t_{1}}^{t_{2}} \{\delta \widetilde{\mathbf{Q}}^{T}[\mathbf{M}\widetilde{\mathbf{Q}} + \mathbf{K}\mathbf{Q}] + \left[\delta \widetilde{\mathbf{Q}}^{T} - \delta \widetilde{\mathbf{q}}^{T} \begin{bmatrix} \mathbf{K}_{ss} & \mathbf{K}_{sh} \end{bmatrix} \begin{bmatrix} \mathbf{Q} \\ \mathbf{K}_{hs} & \mathbf{K}_{hh} \end{bmatrix} \right] \} dt$$

$$+ \int_{t_{1}}^{t_{2}} \delta \widetilde{\mathbf{q}}^{T}[\mathbf{m}\widetilde{\mathbf{q}} + (\mathbf{k} + \mathbf{k}_{g})\mathbf{q} - \widehat{\mathbf{f}}_{h}] dt - \int_{t_{1}}^{t_{2}} \delta \widetilde{\mathbf{q}}^{T}(\widetilde{\mathbf{m}}\widetilde{\mathbf{q}} + \widetilde{\mathbf{k}}\widetilde{\mathbf{q}} + \widehat{\mathbf{f}}_{w}) dt$$

$$- \int_{t_{1}}^{t_{2}} (\delta \widetilde{\mathbf{q}}^{T} \mathbf{K}_{hw}^{T} \widetilde{\mathbf{q}} + \delta \widetilde{\mathbf{q}}^{T} \mathbf{K}_{hw} \widetilde{\mathbf{q}} + \delta \widetilde{\mathbf{Q}}^{T} \mathbf{K}_{sw} \widetilde{\mathbf{q}} + \delta \widetilde{\mathbf{q}}^{T} \mathbf{K}_{sw}^{T} \widetilde{\mathbf{Q}}) dt.$$
(49)

Since the variations $\partial \ddot{\mathbf{Q}}$, $\partial \ddot{\mathbf{q}}$ and $\partial \tilde{\mathbf{q}}$ are independent, from $\partial H_{sf} = 0$ it follows

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$$\begin{bmatrix} \mathbf{M} & 0 & 0 \\ 0 & \mathbf{m} & 0 \\ \mathbf{K}_{sw}^{T} & \mathbf{K}_{hw}^{T} & \widetilde{\mathbf{m}} \end{bmatrix} \ddot{\widetilde{\mathbf{q}}} + \begin{bmatrix} \mathbf{K} + \mathbf{K}_{ss} & \mathbf{K}_{sh} & -\mathbf{K}_{sw} \\ \mathbf{K}_{hs} & \mathbf{k} + \mathbf{k}_{g} + \mathbf{K}_{hh} & -\mathbf{K}_{hw} \\ 0 & 0 & \widetilde{\mathbf{k}} \end{bmatrix} \ddot{\mathbf{q}} = \begin{bmatrix} 0 \\ \hat{\mathbf{f}}_{h} \\ -\hat{\mathbf{f}}_{w} \end{bmatrix}. \quad (50)$$

This is the numerical equation describing the dynamics of the integrated system. The degree of freedom of the system depends on the retaining mode number of each substructure / subdomain, and generally it equals $n+N+\widetilde{n}$. In this equation, the matrices \mathbf{M} , \mathbf{m} , $\widetilde{\mathbf{m}}$, \mathbf{K} , \mathbf{k} and $\widetilde{\mathbf{k}}$ are diagonal. In this equation, the force vector $\hat{\mathbf{F}}_h$ is generated from the external forces applied to the hull structure and the force vector $\hat{\mathbf{F}}_w$ is generated the external forces applied to the water, such as the incident wave acceleration.

Due to pressure-displacement model for this fluid-structure interactions, Eq. (50) is nonsymmetrical, which can be symmetrised by using one of symmetrisation methods (Xing, 1991; Xing, 1996), we derive the following symmetrical equation

352
$$\begin{bmatrix} \overline{\mathbf{K}} & \mathbf{0} \\ \mathbf{0} & \widetilde{\mathbf{m}} \end{bmatrix} \begin{bmatrix} \ddot{\overline{\mathbf{Q}}} \\ \ddot{\overline{\mathbf{q}}} \end{bmatrix} + \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & -\overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \mathbf{R} \\ -\mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & \widetilde{\mathbf{k}} + \mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \mathbf{R} \end{bmatrix} \begin{bmatrix} \overline{\mathbf{Q}} \\ \widetilde{\mathbf{q}} \end{bmatrix} = \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{F}} \\ \overline{\mathbf{f}} - \mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \overline{\mathbf{F}} \end{bmatrix},$$
 (51)

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$$\overline{\mathbf{M}} = \begin{bmatrix} \mathbf{M} & 0 \\ 0 & \mathbf{m} \end{bmatrix}, \qquad \overline{\mathbf{K}} = \begin{bmatrix} \mathbf{K} + \mathbf{K}_{ss} & \mathbf{K}_{sh} \\ \mathbf{K}_{hs} & \mathbf{k} + \mathbf{k}_{g} + \mathbf{K}_{hh} \end{bmatrix}, \qquad \overline{\mathbf{Q}} = \begin{bmatrix} \mathbf{Q} \\ \mathbf{q} \end{bmatrix}, \\
\mathbf{R} = \begin{bmatrix} \mathbf{K}_{sw} \\ \mathbf{K}_{hw} \end{bmatrix}, \qquad \overline{\mathbf{F}} = \begin{bmatrix} 0 \\ \hat{\mathbf{F}}_{h} \end{bmatrix}, \qquad \overline{\mathbf{f}} = -\hat{\mathbf{F}}_{w}. \tag{52}$$

- 6. Dynamic interaction analysis of the coupling system
- Based on the equations developed in section 5, we can now carry on a numerical analysis of the dynamic interaction of the integrated system. We are more interested in the effect of the hull motion on the propulsion system, so that we define some parameters to measure it.
- 359 6.1 Natural vibrations and shaft frequency / deformation factors
- Natural vibrations. The natural frequencies and the corresponding modes of the coupling system are governed by equation

$$\begin{bmatrix} \overline{\mathbf{K}} & \mathbf{0} \\ \mathbf{0} & \widetilde{\mathbf{m}} \end{bmatrix} \begin{bmatrix} \ddot{\overline{\mathbf{Q}}} \\ \ddot{\widetilde{\mathbf{q}}} \end{bmatrix} + \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & -\overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \mathbf{R} \\ -\mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & \widetilde{\mathbf{k}} + \mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \mathbf{R} \end{bmatrix} \begin{bmatrix} \overline{\mathbf{Q}} \\ \widetilde{\mathbf{q}} \end{bmatrix} = 0,$$
(53)

- derived by vanishing the left side force vector in Eq. (51). Mathematically, this is an eigenvalue problem, which can be solved using some well-designed software. There might exist zero frequency of this problem, therefore the frequency shift technique should be used to obtain the solutions (Xing, 1991; Xing, 1996).
- Assume that the first \hat{N} natural frequencies $\hat{\Omega}_I$ and corresponding natural modes $\hat{\Phi}_I$ are obtained, which are represented in a matrix form

$$\widehat{\mathbf{\Lambda}} = \operatorname{diag}(\widehat{\Omega}_{1}, \widehat{\Omega}_{2}, \dots, \widehat{\Omega}_{\widehat{N}}), \qquad \widehat{\mathbf{\Phi}} = \left[\widehat{\Phi}_{1} \quad \widehat{\Phi}_{2} \quad \dots \quad \widehat{\Phi}_{\widehat{N}}\right], \tag{54}$$

which satisfy the orthogonal relationships

371
$$\widehat{\boldsymbol{\Phi}}^{T} \begin{bmatrix} \overline{\mathbf{K}} & \mathbf{0} \\ \mathbf{0} & \widetilde{\mathbf{m}} \end{bmatrix} \widehat{\boldsymbol{\Phi}} = \mathbf{I}, \qquad \widehat{\boldsymbol{\Phi}}^{T} \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & -\overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \mathbf{R} \\ -\mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & \widetilde{\mathbf{K}} + \mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \mathbf{R} \end{bmatrix} \widehat{\boldsymbol{\Phi}} = \operatorname{diag}(\widehat{\Omega}_{I}). \tag{55}$$

- 372 Shaft frequency factors. To obtain the shaft motion as smaller as possible, we need to avoid the
- and natural frequency of the shaft substructure far from the natural frequencies of the integrated system.
- 374 To measure this, we define the shaft frequency factors

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$$\xi_J^{(I)} = \Omega_J / \widehat{\Omega}_I, \qquad (I = 1, 2, \dots, \widehat{N}; \ J = 1, 2, \dots, N), \tag{56}$$

- representing the ratio of the frequency Ω_I of the shaft substructure over the frequency $\widehat{\Omega}_I$ of the
- integrated system. According to frequency rule for substructure methods (Li, 2013), we requires

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$$\xi_{J}^{(I)} = \begin{cases} \leq 1/3 \sim 1/2, & \Omega_{J} < \widehat{\Omega}_{I} \\ \geq 2 \sim 3, & \Omega_{J} > \widehat{\Omega}_{I} \end{cases}, \qquad (I = 1, 2, \dots, \widehat{N}; \quad J = 1, 2, \dots, N), \tag{57}$$

- 379 to avoid resonance between the substructure and the integrated system. This implies that the shaft
- frequency factors should be far from 1 to avoid any resonances.
- 381 Shaft deformation factors. The mode shape $\widehat{\Phi}_I$ of the integrated coupling system defines the
- 382 following vector

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$$\widehat{\boldsymbol{\Phi}}_{I} = \begin{bmatrix} \widehat{\overline{\mathbf{Q}}}^{T} & \widehat{\mathbf{q}}^{T} \end{bmatrix}_{I}^{T} = \begin{bmatrix} \widehat{\mathbf{Q}}^{T} & \widehat{\mathbf{q}}^{T} & \widehat{\overline{\mathbf{q}}}^{T} \end{bmatrix}_{I}^{T}, \tag{58}$$

- from which the corresponding components of each substructure / subdomain can be obtained using
- 385 Eqs. (30), (37) and (44), i.e.

$$\widehat{\mathbf{u}}^{(I)} = \boldsymbol{\varphi} \widehat{\mathbf{q}}^{(I)}, \qquad \widehat{\mathbf{q}}^{(I)} = \begin{bmatrix} \widehat{q}_1^{(I)} & \widehat{q}_2^{(I)} & \cdots & \widehat{q}_n^{(I)} \end{bmatrix}^T,$$

$$\widehat{\mathbf{U}}^{(I)} = \boldsymbol{\Phi} \widehat{\mathbf{Q}}^{(I)}, \qquad \widehat{\mathbf{Q}}^{(I)} = \begin{bmatrix} \widehat{Q}_1^{(I)} & \widehat{Q}_2^{(I)} & \cdots & \widehat{Q}_N^{(I)} \end{bmatrix}^T,$$

$$\widehat{p}^{(I)} = \boldsymbol{\Psi} \widehat{\mathbf{q}}^{(I)}, \qquad \widehat{\mathbf{q}}^{(I)} = \begin{bmatrix} \widehat{q}_1^{(I)} & \widehat{q}_2^{(I)} & \cdots & \widehat{q}_n^{(I)} \end{bmatrix}^T.$$
(59)

- Physically, these components represent the hull displacement, the shaft displacement and the water
- pressure for the I-th mode of the integrated system.
- Involving the propulsion shaft unit, we wish the deformation of the shaft relative to the hull motion
- would be small, so that the deformation of the shaft unit in the I-th mode of the integrated system is
- small and the bearings can safety operation. The J-th bearing connected to the shaft at point $A_J(\mathbf{Y}_J^A)$

and to the hull at point $B_J(\mathbf{Y}_J^B)$, so that the displacement of point $A_J(\mathbf{Y}_J^A)$ relative to point $B_J(\mathbf{Y}_J^B)$ in the I-th mode of the integrated system is $\mathbf{U}_I^A(\mathbf{Y}_J^A) - \mathbf{u}_I^B(\mathbf{Y}_J^B)$. Therefore we define a shaft relative motion factor for the I-th mode of the integrated system as the ratio of the averaged relative displacement of the shaft points $A_J(\mathbf{Y}_J^A)$ of all bearings over the corresponding averaged hull displacement at points $B_J(\mathbf{Y}_J^B)$, that is

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$$\gamma_{I} = \frac{\sum_{J}^{\hat{I}} \left| \mathbf{U}_{I}^{A} (\mathbf{Y}_{J}^{A}) - \mathbf{u}_{I}^{B} (\mathbf{Y}_{J}^{B}) \right|}{\sum_{J}^{\hat{I}} \left| \mathbf{u}_{I}^{B} (\mathbf{Y}_{J}^{B}) \right|}, \qquad I = 1, 2, \dots, \hat{N}.$$

$$(60)$$

Considering all modes, we define the shaft relative motion factor vector

$$\mathbf{\gamma} = \begin{bmatrix} \gamma_1 & \gamma_2 & \cdots & \gamma_{\hat{N}} \end{bmatrix} \tag{61}$$

To design a more suitable arrangement of the propulsion shat unit, we need to choose a smaller value of the shaft relative motion factor vector, i.e.

$$|\gamma| = \sqrt{\gamma^T \gamma}. \tag{62}$$

The smallest shaft relative motion factor vector cannot be zero, because the elastic shaft is supported by elastic bearings, so that the relative motion of the elastic shaft does not vanish. Eq. (56) and Eq. (62) provide two parameters to measure the dynamic coupling level of the shat unit and the hull structure from the natural vibrations.

407 6.2 Dynamic responses

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Mode summation solution. The dynamic responses of the integrated can be calculated by solving Eq. (51). The mode summation method provides a fast way to obtain the solution of Eq. (51). We denote the dynamic response of the integrated system in a mode summation form

412 from which, when substituted into Eq. (51) using the orthogonal relationships (55), it follows

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$$\ddot{\mathbf{Q}} + \operatorname{diag}(\widehat{\Omega}_{I}^{2})\mathbf{Q} = \mathbf{F}, \qquad \mathbf{F} = \widehat{\mathbf{\Phi}} \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{F}} \\ \overline{\mathbf{f}} - \mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \overline{\mathbf{F}} \end{bmatrix}, \tag{64}$$

which consists of $n+N+\tilde{n}$ independent dynamic equations describing the dynamic responses of the integrated system excited by the external forces. To consider practical damping effect, we introduce the damping matrix as follows (Bathe, 1996)

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$$\widehat{\mathbf{C}} = \alpha \begin{bmatrix} \overline{\mathbf{K}} & \mathbf{0} \\ \mathbf{0} & \widetilde{\mathbf{m}} \end{bmatrix} + \beta \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & -\overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \mathbf{R} \\ -\mathbf{R}^T \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & \widetilde{\mathbf{k}} + \mathbf{R}^T \overline{\mathbf{M}}^{-1} \mathbf{R} \end{bmatrix}, \qquad (65)$$

$$\widetilde{\mathbf{C}} = \widehat{\mathbf{\Phi}}^T \widehat{\mathbf{C}} \widehat{\mathbf{\Phi}} = \alpha \mathbf{I} + \beta \operatorname{diag}(\widehat{\Omega}_I^2) = \operatorname{diag}(\widecheck{C}_I),$$

where the coefficients α and β can be determined by using available practical experiment / experience data. The dynamic equation including damping effect is now written as

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$$\ddot{\mathbf{Q}} + \operatorname{diag}(\ddot{C}_I)\dot{\mathbf{Q}} + \operatorname{diag}(\hat{\Omega}_I^2)\dot{\mathbf{Q}} = \ddot{\mathbf{F}}.$$
 (66)

The equation for the I-th independent mode is written as

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$$\ddot{\vec{Q}}_I + \ddot{C}_I \dot{\vec{Q}}_I + \hat{\Omega}_I^2 \ddot{Q}_I = \ddot{F}_I. \tag{67}$$

- Generally, this equation can be solved using a time integration method (Bathe, 1983; Zienkiewicz,
- 424 1991). If the external force is a sinusoidal force of frequency $\check{\omega}$, $\check{F}_I = \check{f}_I \, e^{\cdot j\check{\omega}t}$, the solution of Eq. (67)
- 425 has a form $\tilde{Q}_I = \tilde{q}_I e^{-j\tilde{\omega}t}$, so that

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$$(1 - \tilde{\eta}_{I}^{2} - 2j\tilde{\eta}_{I})\tilde{q}_{I} = \tilde{f}_{I} / \hat{\Omega}_{I}^{2}, \qquad \tilde{\eta}_{I} = \tilde{\omega}/\hat{\Omega}_{I}, \qquad \zeta_{I} = \tilde{C}_{I} / (2\hat{\Omega}_{I}),$$

$$\tilde{q}_{I} = \frac{\tilde{f}_{I} / \hat{\Omega}_{I}^{2}}{(1 - \tilde{\eta}_{I}^{2} - 2j\tilde{\eta}_{I})} = |\tilde{q}_{I}|e^{-j(\tilde{\omega}t - \tilde{\phi}_{I})}, \qquad |\tilde{q}_{I}| = \frac{\tilde{f}_{I} / \hat{\Omega}_{I}^{2}}{\sqrt{(1 - \tilde{\eta}_{I}^{2})^{2} + 4\tilde{\eta}_{I}^{2}}}, \qquad \tilde{\phi}_{I} = \tan^{-1}\frac{2\tilde{\eta}_{I}}{1 - \tilde{\eta}_{I}^{2}}.$$
(68)

Physical dynamic responses. Obtaining the generalised coordinate vector \mathbf{Q} , we can calculate the corresponding generalised vectors \mathbf{Q} , \mathbf{q} and $\mathbf{\tilde{q}}$ for substructures from Eq. (63), so that the dynamic displacement / pressure responses at each point of substructures / subdomain: shaft, hull and water can be calculated from Eq. (37), (30) and (44), respectively. To investigate the effect of hull motion with water interaction on the propulsion unit, we can calculated the shaft relative motion factor to the excitation force frequency $\boldsymbol{\tilde{\omega}}$ in a similar form as Eq. (60), i.e.

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$$\gamma_{\omega} = \frac{\sum_{J}^{\hat{I}} \left| \mathbf{U}_{\omega}^{A} (\mathbf{Y}_{J}^{A}) - \mathbf{u}_{\omega}^{B} (\mathbf{Y}_{J}^{B}) \right|}{\sum_{J}^{\hat{I}} \left| \mathbf{u}_{\omega}^{B} (\mathbf{Y}_{J}^{B}) \right|}.$$
 (69)

434 Also, we define a shaft twist factor

$$\alpha_{\omega} = \max \left| \mathbf{U}(Y_J^A) - \mathbf{U}(0) \right| \tag{70}$$

Physically, Eq. (69) gives the ration of the averaged shaft displacement over the averaged hull displacement, and Eq. (70) represents the displacement at the shaft point Y_J^A of bearing J relative to the origin \hat{O} of the shaft coordinate system which reflects the rotation of the shaft. Therefore, these two factors measure the motion and deformation of the shaft. For example, if Eq. (69) equals zero, it implies that the shaft undergoes a rigid translation with the hull base. Large values of these factors should be avoided for shaft safe operations.

7. An example

In this section, based on the generalised theory and analysis approach, we investigate a 2-dimensional (2-D) simplified example. As shown in Fig. 5, we consider the ship hull as a 2-D beam of length L_h beam, mass density ρ_h , section area S_h and bending stiffness $E_h J_h$ floating on the water of depth H and width L_w . On the boundary Γ_w of the water, there is an incident acceleration wave $\hat{w}_1 = (1 + x_3/H)\cos\check{\omega}t$ in the x_1 direction. This assumption case may be considered as the one in which a ship is moored in a port and subjected a sea wave excitation. The propulsion unit is another uniform 2-D beam (neglecting masses of propeller / disk) of length L, mass density ρ , section area S and bending stiffness EJ fixed at two points $B_1(X_{11}^B)$ and $B_2(X_{21}^B)$ on the ship hull by two bearings $A_1(Y_{11}^A)$ and $A_2(Y_{21}^A)$ of vertical stiffness k_{13} and k_{23} , respectively. We aim to investigate the interactions of vertical bending motions of two beams, therefore only their bending deformation in the vertical direction is considered. For our convenience, we assume that the central line of the floating beam is on the static free surface plane and the coordinate system $o - x_1 x_2 x_3$ is fixed at the middle point o on the static equilibrium free surface, although it is located at a general point. We

choose two coordinate systems fixed on the mass centre $O(x_{10} = 0, x_{20} = 0, x_{30})$ of hull beam and the mass centre $\hat{o}(X_{10}, X_{20}, X_{30})$ of shaft beam, respectively. Assume that the two beams are parallel each other, so that the coordinate transformation matrix $\beta_{ij} = \delta_{ij}$. From Eq. (1), the coordinates of these points with up-index A and B under the hull reference system are given by

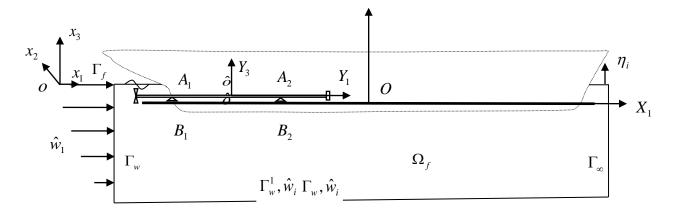
$$X_{Ii}^{A} = X_{i0} + Y_{Ii}^{A}, \qquad X_{Ii}^{B} = X_{i0} + Y_{Ii}^{B}, \qquad I = 1, 2,$$

$$\mathbf{K}_{A}^{\Delta} = k_{13} \Delta (Y_{1} - Y_{11}^{A}) + k_{23} \Delta (Y_{1} - Y_{21}^{A}),$$

$$\mathbf{K}_{B}^{\Delta} = k_{13} \Delta (X_{1} - X_{11}^{B}) + k_{23} \Delta (X_{1} - X_{21}^{B}),$$

$$\mathbf{F}_{sf}^{\Delta} = 0, \qquad \overline{\mathbf{K}}_{B}^{\Delta} = \overline{\mathbf{K}}_{BE}^{\Delta}, \qquad \overline{\mathbf{K}}_{A}^{\Delta} = \overline{\mathbf{K}}_{AE}^{\Delta}.$$

$$(71)$$



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Fig. 5. A 2-D water-shaft beam-hull beam interaction system with boundary conditions: p = 0 on

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$$\Gamma_{\infty}$$
; $\hat{w}_i = 0$ on Γ_w^1 of length L_w and $\hat{w}_1 = (1 + x_3/H)\cos \check{\omega}t$ on Γ_w of height H .

7.1. Mode functions of two beams

To analyse this simplified model, we can use theoretical mode functions of free-free beam given as follows

467
$$f_{J}(\varsigma) = \begin{cases} 1/2 & J = 1\\ \frac{1}{2} \left\{ \frac{\cosh(\varsigma \mu_{J})}{\cosh \mu_{J}} + \frac{\cos(\varsigma \mu_{J})}{\cos \mu_{J}} \right\} & J = 3,5,\dots \end{cases} , \tag{72-1}$$

468
$$f_{J}(\varsigma) = \begin{cases} \sqrt{3}\varsigma/2 & J = 2\\ \frac{1}{2} \left\{ \frac{\sinh(\varsigma\mu_{J})}{\sinh\mu_{J}} + \frac{\sin(\varsigma\mu_{J})}{\sin\mu_{J}} \right\} & J = 4,6,... \end{cases}, \tag{72-2}$$

where $\zeta = X/a$ and a is the half length of beam and μ_J denote positive real roots of the eigenvalue

470 equation

$$\begin{cases} \tan \mu_J + \tanh \mu_J = 0 & J = 1,3,5,... \\ \tan \mu_J - \tanh \mu_J = 0 & J = 2,4,6,... \end{cases}$$
 (73)

The orthogonal condition of these mode functions is given by

$$\int_{-1}^{1} f_{I}(\varsigma) f_{J}(\varsigma) d\varsigma = \begin{cases} 0 & I \neq J \\ 1/2 & I = J \end{cases}$$
 (74)

In these mode functions, physically, $f_1(\zeta)$ and $f_2(\zeta)$ are two rigid modes of zero frequency and

function $f_3(\varsigma)$ is the first symmetrical bending mode of frequency ω for hull and Ω for shaft. In the

numerical works, the first five modes will be chosen to represent two beam motions. Following Eqs.

477 (30), (37) and (47), now we can represent the vertical motions of hull / shaft beams respectively in the

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$$u_3 = \mathbf{\varphi} \mathbf{q}, \quad \mathbf{\varphi} = \begin{bmatrix} f_1 & \cdots & f_5 \end{bmatrix}, \quad \mathbf{q} = \begin{bmatrix} q_1 & \cdots & q_5 \end{bmatrix}^T, \quad \zeta = X_1/a, \quad a = L_h/2, \\ m = \rho_h S_h L_h/4, \quad \mathbf{m} = m\mathbf{I}, \quad \mathbf{k} = \mathbf{m}\lambda^2, \quad \lambda^2 = \operatorname{diag}(0,0,\omega^2),$$
 (75-1)

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$$U_3 = \mathbf{\Phi} \mathbf{Q}, \qquad \mathbf{\Phi} = \begin{bmatrix} f_1 & \cdots_2 & f_3 \end{bmatrix}, \qquad \mathbf{Q} = \begin{bmatrix} Q_1 & \cdots & Q_3 \end{bmatrix}^T, \qquad \zeta = Y_1/a, \qquad a = L/2,$$

$$M = \rho SL/4, \qquad \mathbf{M} = M\mathbf{I}, \qquad \mathbf{K} = \mathbf{M} \Lambda^2, \qquad \Lambda^2 = \operatorname{diag}(0,0,\Omega^2),$$
(75-2)

$$\begin{bmatrix} \mathbf{K}_{ss} & \mathbf{K}_{sh} \\ \mathbf{K}_{hs} & \mathbf{K}_{hh} \end{bmatrix} = \int_{-1}^{1} \begin{bmatrix} \mathbf{\Phi}^{T} & \mathbf{0} \\ \mathbf{0} & \mathbf{\phi}^{T} \end{bmatrix} \begin{bmatrix} \mathbf{K}_{A}^{\Delta} & -\mathbf{K}_{A}^{\Delta} \\ -\mathbf{K}_{B}^{\Delta} & \mathbf{K}_{B}^{\Delta} \end{bmatrix} \begin{bmatrix} \mathbf{\Phi} & \mathbf{0} \\ \mathbf{0} & \mathbf{\phi} \end{bmatrix} d\varsigma$$

$$\mathbf{K}_{ss} = \mathbf{\Phi}^{T} (Y_{11}^{A}) k_{13} \mathbf{\Phi} (Y_{11}^{A}) + \mathbf{\Phi}^{T} (Y_{21}^{A}) k_{23} \mathbf{\Phi} (Y_{21}^{A}), \qquad (75-3)$$

$$\mathbf{K}_{sh} = -\mathbf{\Phi}^{T} (Y_{11}^{A}) k_{13} \mathbf{\phi} (X_{11}^{B}) - \mathbf{\Phi}^{T} (Y_{21}^{A}) k_{23} \mathbf{\phi} (X_{21}^{B}) = \mathbf{K}_{hs},$$

$$\mathbf{K}_{hh} = \mathbf{\phi}^{T} (X_{11}^{B}) k_{13} \mathbf{\phi} (X_{11}^{B}) + \mathbf{\phi}^{T} (X_{21}^{B}) k_{23} \mathbf{\phi} (X_{21}^{B}).$$

Here, in Eq. (75-3), the integrations with respect to non-dimensional length ς involve Delta functions

to be non-dimensional, and therefore the resultant matrices have dimension of stiffness.

484 7.2. Mode functions of 2-D water domain

For the water domain, we consider it as 2-D incompressible fluid $(c \to \infty)$ of depth H and width L_w .

By using a separation method of variables, we obtain the mode functions of this 2-D water domain as

487 follows.

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$$\widetilde{\omega}_{I}^{2} = \widetilde{\omega}_{n+1}^{2} = \frac{n\pi g}{L_{w}} \tanh \frac{n\pi H}{L_{w}}, \qquad I = n+1,$$

$$\Psi_{I} = \Psi_{n+1} = \begin{cases}
1/\sqrt{2}, & n = 0, \\
\cos \frac{n\pi x_{1}}{L_{w}} \cosh \frac{n\pi (x_{3} + H)}{L_{w}}, & n = 2, 4, \cdots. \\
\sin \frac{n\pi x_{1}}{L_{w}} \cosh \frac{n\pi (x_{3} + H)}{L_{w}}, & n = 1, 3, 5, \cdots
\end{cases}$$
(76-1)

$$\frac{1}{\rho_{f}} \int_{\Omega_{f}} \Psi_{I,i}^{T} \Psi_{J,i} d\Omega_{f} = \begin{cases} 0, & I \neq J \\ \widetilde{k}_{I}, & I = J \end{cases}, \quad \widetilde{k} = \operatorname{diag}(\widetilde{k}_{I}), \quad [\text{m}^{5} \text{N}^{-1} \text{S}^{-2}],$$

$$\frac{1}{\rho_{f}} g \int_{\Gamma_{f}} \Psi_{I}^{T} \Psi_{I} d\Gamma = \begin{cases} 0, & I \neq J \\ \widetilde{m}_{I}, & I = J \end{cases}, \quad \widetilde{m} = \operatorname{diag}(\widetilde{m}_{I}), \quad [\text{m}^{5} \text{N}^{-1}],$$

$$\widetilde{m}_{I} = \frac{L_{w} b}{2\rho_{f} g} \cosh^{2} \frac{n\pi H}{L_{w}}, \quad b = 1 \quad \text{unit thickness in } x_{2} \text{ direction }.$$
(76-2)

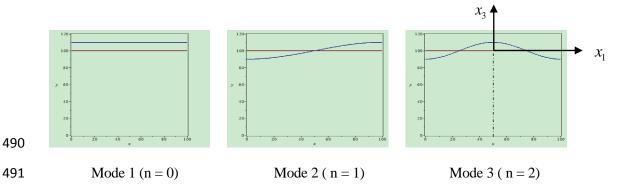


Fig. 6. The first three modes of the 2-D incompressible water domain of depth H = 100 in direction $y = x_3$ and width $L_w = 100$ in direction $x = x_1$. The position of coordinate $o - x_1 x_2 x_3$ is given in mode 3, which are neglected for other two modes.

The first three modes for the water domain H = 100 and $L_w = 100$ are shown in Fig. 6 in which the first one is a constant pressure mode with zero frequency, the second one plays a slash form while the third one characterizes an cosine wave pattern. To model the water pressure, we will use it first 5 modes for mode summation, so that from (42-47) it follows

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$$\widetilde{\lambda} = \operatorname{diag}(\widetilde{\omega}_1, \dots, \widetilde{\omega}_5), \quad \Psi = \begin{bmatrix} \Psi_1 & \dots & \Psi_5 \end{bmatrix}, \quad \widetilde{\mathbf{q}} = \begin{bmatrix} \widetilde{q}_1 & \dots & \widetilde{q}_5 \end{bmatrix}^T, \quad \widetilde{n} = 5. \quad (77-1)$$

$$\mathbf{K}_{sw} = \mathbf{0}, \qquad \mathbf{K}_{hw} = \int_{-L_{h}/2}^{L_{h}/2} \mathbf{\phi}^{T} \mathbf{\Psi} dX_{1}, \qquad [\mathbf{m}^{2}],$$

$$\hat{\mathbf{F}}_{h} = \mathbf{0}, \qquad \hat{\mathbf{F}}_{w} = \int_{0}^{H} \mathbf{\Psi}^{T} \hat{w}_{1} dx_{3} = \cos \breve{\omega} t \int_{0}^{H} \mathbf{\Psi}^{T} (1 + x_{3} / H) dx_{3}, \qquad [\mathbf{m}^{3} \mathbf{S}^{-2}].$$
(77-2)

Therefore, Eq. (50) for this example respectively takes the form

$$\begin{bmatrix}
\mathbf{M}\mathbf{I} & 0 & 0 \\
0 & m\mathbf{I} & 0 \\
0 & \mathbf{K}_{hw}^{T} & \widetilde{\mathbf{m}}
\end{bmatrix} \stackrel{\mathbf{\ddot{Q}}}{\mathbf{\ddot{q}}} + \begin{bmatrix}
\mathbf{M}\Lambda^{2} + \mathbf{K}_{ss} & \mathbf{K}_{sh} & 0 \\
\mathbf{K}_{hs} & m\lambda^{2} + \mathbf{k}_{g} + \mathbf{K}_{hh} & -\mathbf{K}_{hw} \\
0 & 0 & \widetilde{\mathbf{k}}
\end{bmatrix} \stackrel{\mathbf{Q}}{\mathbf{q}} = \begin{bmatrix}
0 \\
0 \\
-\hat{\mathbf{F}}_{w}
\end{bmatrix}.$$
(78)

- 503 7.3. Non-dimensional equations
- To derive a non-dimensional equation, we choose ω^{-1} , L_h and m as the units to measure time,
- length and mass, respectively, as well as define the following parameters,

$$\overline{\mathbf{K}}_{sh} = \boldsymbol{\omega}^{-2} m^{-1} \mathbf{K}_{sh}, \quad \overline{\mathbf{K}}_{ss} = \boldsymbol{\omega}^{-2} m^{-1} \mathbf{K}_{ss}, \quad \overline{\mathbf{K}}_{hs} = \boldsymbol{\omega}^{-2} m^{-1} \mathbf{K}_{hs}, \quad \overline{\mathbf{K}}_{hh} = \boldsymbol{\omega}^{-2} m^{-1} \mathbf{K}_{hh},$$

$$\overline{\mathbf{K}}_{hw} = L_h^{-2} \mathbf{K}_{hw}, \quad \overline{\mathbf{Q}} = \mathbf{Q}/L_h, \quad \overline{\mathbf{q}} = \mathbf{q}/L_h, \quad \overline{\mathbf{\tilde{q}}} = \mathbf{\tilde{q}}/\overline{p}, \quad \overline{\mathbf{\tilde{F}}}_w = \hat{m}^{-1} \boldsymbol{\omega}^{-2} \overline{p}^{-1} \mathbf{\hat{F}}_w,$$

$$\overline{\lambda}^2 = \boldsymbol{\omega}^{-2} \lambda^2, \quad \overline{\lambda}^2 = \boldsymbol{\omega}^{-2} \Lambda^2, \quad \overline{\tilde{\lambda}}^2 = \boldsymbol{\omega}^{-2} \tilde{\lambda}^2, \quad \overline{p} = m \boldsymbol{\omega}^2/L_h, \quad \hat{m} = \overline{p}/L_h^3,$$

$$\overline{\mathbf{m}} = \mathbf{\tilde{m}}/\hat{m}, \quad \overline{M} = M/m, \quad \overline{\mathbf{k}}_g = \boldsymbol{\omega}^{-2} m \mathbf{k}_g.$$

$$(79-1)$$

from which Eq. (78) can be represented in the following non-dimensional form

$$\begin{bmatrix}
\overline{M}\mathbf{I} & 0 & 0 \\
0 & \mathbf{I} & 0 \\
0 & \overline{\mathbf{K}}_{hw}^{T} & \overline{\widetilde{\mathbf{m}}}
\end{bmatrix} \begin{bmatrix} \ddot{\overline{\mathbf{Q}}} \\ \ddot{\overline{\mathbf{q}}} \\ \ddot{\overline{\mathbf{q}}} \end{bmatrix} + \begin{bmatrix} \overline{M}\overline{\Lambda}^{2} + \overline{\mathbf{K}}_{ss} & \overline{\mathbf{K}}_{sh} & 0 \\
\overline{\mathbf{K}}_{hs} & \overline{\lambda}^{2} + \overline{\mathbf{k}}_{g} + \overline{\mathbf{K}}_{hh} & -\overline{\mathbf{K}}_{hw} \\
0 & 0 & \overline{\widetilde{\mathbf{m}}} \overline{\widetilde{\lambda}} \end{bmatrix} \begin{bmatrix} \overline{\mathbf{Q}} \\ \overline{\overline{\mathbf{q}}} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ -\overline{\hat{\mathbf{F}}}_{w} \end{bmatrix}.$$
(79-2)

This equation has the following symmetrical form

510
$$\begin{bmatrix} \overline{\mathbf{K}} & \mathbf{0} \\ \mathbf{0} & \widetilde{\mathbf{m}} \end{bmatrix} \begin{bmatrix} \ddot{\widetilde{\mathbf{Q}}} \\ \ddot{\overline{\mathbf{q}}} \end{bmatrix} + \begin{bmatrix} \overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & -\overline{\mathbf{K}} \overline{\mathbf{M}}^{-1} \mathbf{R} \\ -\mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \overline{\mathbf{K}} & \overline{\widetilde{\mathbf{m}}} \overline{\widetilde{\lambda}} + \mathbf{R}^{T} \overline{\mathbf{M}}^{-1} \mathbf{R} \end{bmatrix} \begin{bmatrix} \widetilde{\mathbf{Q}} \\ \overline{\widetilde{\mathbf{q}}} \end{bmatrix} = \begin{bmatrix} \mathbf{0} \\ -\overline{\hat{\mathbf{F}}}_{w} \end{bmatrix}, \tag{79-3}$$

511 where

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$$\overline{\mathbf{M}} = \begin{bmatrix} \overline{M}\mathbf{I} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} \end{bmatrix}$$
, $\overline{\mathbf{K}} = \begin{bmatrix} \overline{M}\overline{\Lambda}^2 + \overline{\mathbf{K}}_{ss} & \overline{\mathbf{K}}_{sh} \\ \overline{\mathbf{K}}_{hs} & \overline{\lambda}^2 + \overline{\mathbf{k}}_{g} + \overline{\mathbf{K}}_{hh} \end{bmatrix}$, $\widetilde{\mathbf{Q}} = \begin{bmatrix} \overline{\mathbf{Q}} \\ \overline{\mathbf{q}} \end{bmatrix}$, $\mathbf{R} = \begin{bmatrix} \mathbf{0} \\ \overline{\mathbf{K}}_{hw} \end{bmatrix}$. (79-4)

Eq. (79-3) is a matrix equation with 15 degrees of freedom, of which the numerical solution gives the natural vibrations and the dynamic response of the system excited by the incident wave. For the numerical simulations, according to a practical ship configuration, we choose the following physical parameters and calculate the first 5 natural frequencies of the hull, shaft and the water domain, as

517 follows.

518 Hull:
$$L_h = 320 \text{m}, \ \rho_h = 7820 S_h = 1.086 \times 10^6 \text{kgm}^{-1}, \ E_h = 2.06 \times 10^{11} \text{Nm}^{-2}, \ J = 1.535 \times 10^3 \text{m}^4,$$

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$$\lambda^2 = \text{diag}(0 \ 0 \ 13.90 \ 105.60 \ 406.100)$$
.

Shaft:
$$L = 14.4 \text{ m}$$
, $r = 0.4 \text{ m}$, $S = \pi r^2$, $\rho = 7820 S = 3930 \text{ kgm}^{-1}$, $E = 2.06 \times 10^{11} \text{ GNm}^{-2}$,

521
$$k_{13} = k_{23} = 1.0 \times 10^9 \,\text{Nm}^{-1}, \quad I = 0.0201 \,\text{m}^4, \quad Y_{11} = -5 \,\text{m}, \quad Y_{21} = 5 \,\text{m}, \quad X_{11} = -159 \,\text{m},$$

522
$$X_{21} = -149 \text{m}, \quad \Lambda^2 = \text{diag}(0 \quad 0 \quad 2.71 \quad 20.61 \quad 79.21) \times 10^3.$$

523 Water:
$$\rho_f = 1000 \text{kgm}^{-3}$$
, $L_w = 7L_h$, $H = 200 \text{m}$, $g = 9.8 \text{ms}^{-2}$.

524
$$\tilde{\lambda}^2 = \text{diag}(0 \ 8.32 \ 17.57 \ 26.39 \ 35.19) \times 10^{-2}$$
.

7.4. Natural vibration

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Based on the above parameters, we obtain the natural frequencies and the corresponding natural modes of the system and the shaft deformation factors as listed in Table 1. In these modes, the first one with a zero frequency is a constant pressure mode of the water for the interaction system. As indicated by the data above, in the frequencies of three subsystems: water, hull and shaft, the frequencies of the water domain are lowest and the shaft ones are highest, while the hull ones are in the middle. Therefore, in the frequencies of the integrated interaction system given in Table 1, the first 5, middle 5 and last 5 ones are near to the ones of water domain, hull and shaft substructures, respectively. As shown in Fig. 7, the mode 8 of non-dimensional frequency 1.0106 corresponds to the hull first elastic mode while the mode 11 of frequency 10.2291 is near to the shaft first elastic bending mode for which the corresponding the shaft frequency factors are calculated in Table 1. For the mode 11, the shaft frequency factor is 1.365 near to the frequency of integrated mode 11, and the corresponding shaft relative motion factor also takes a big value, which results that in this integrated mode of the system, there exists very large deformation of the shaft. It is also shown the big values of shaft relative motion factors for modes 12~15 but the frequency factors are very low, so that the shaft deformation is not large. Since the natural frequency is higher than 37, the base motions are quite low as indicated in Fig. 8.

Mode number	Natural frequency	Shaft relative motion	Shaft frequency
I	$\widehat{\Omega}_{I}$	factor γ_I	factor $\Omega_1/\widehat{\Omega}_I$
1	0.0000	1.86e-15	∞
2	0.0062	2.23e-08	2252
3	0.0900	4.65e-06	155.1
4	0.1073	6.60e-06	130.1
5	0.1324	1.00e-05	105.5
6	0.2691	4.15e-05	51.89
7	0.4858	1.35e-04	28.74
8	1.0106	5.89e-04	13.82
9	2.7567	4.50e-03	5.065
10	5.4032	2.00e-02	2.584
11	10.2291	2.21e+01	1.365
12	37.8349	1.86e+03	0.369
13	53.9887	1.01e+03	0.259
14	56.0603	2.96e+03	0.249
15	79.7987	1.453+03	0.175
1.6 x 10 ³ 1.4	Shaft	U 2 10°3 0 -2-	Shaft
1-1 -0.8 -0.6 -0.4 -0.2 1 -2 x 10 ³	0 0.2 0.4 0.6 0.8 1	u 6 x 10 6 4 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 -	0 0.2 0.4 0.6 0.8 1
-1 -0.8 -0.6 -0.4 -0.2	0 0.2 0.4 0.6 0.8 1 Water	p 0 0 10 11 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0 0.2 0.4 0.6 0.8 1 Water
-51 -08 -06 -04 -02	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	

Fig. 7. The 8-th natural mode of $f_8 = 1.0106$ (a) where the hull is in its first elastic bending form and the 11-th natural mode of $f_{11} = 10.2291$ (b) where the shaft is in its first elastic bending deformation.

7.5. Dynamic response

The main energy of sea waves is located in frequency range lower than 10 Hz [24, 25]. To investigate the dynamic response of the integrated system, we consider the sea wave frequency $\tilde{\omega} = 2\pi \times (0.5 \sim 10 \text{Hz})$ for the dynamic response analysis. To investigate the dynamic response characteristics affected by the wave frequency and the bearing stiffness, we define the following non-dimensional parameters

Wave frequency
$$\eta_f = \overline{\omega}/\omega$$
, (80-1)

Bearing stiffness parameter
$$\eta_b = \omega_b / \omega$$
, (80-2)

where ω_b denotes the frequency of the rigid shaft supported by the two baring springs. Taking dB values referencing to 10^{-12} we obtain the following figures to characterise the dynamic behaviour of the system. Fig. 8 shows the dynamic response surface of the shaft base motion with respect to non-dimensional wave frequency η_f and bearing stiffness parameter η_b , while Fig. 9 provides the corresponding dynamic response surface of shaft relative motion factor in Eq. (69). From these surfaces, it is observed that with varying of bearing stiffness parameter there are different dynamic response peaks. To observe these peaks more clearly, Figs. 10 and 11 respectively shows the curves of shaft relative motion factor (69) and twist factor (70) for the case of bearing stiffness parameter $\eta_b = 0.9$. Fig. 10 shows the maximum peak of the shaft relative motion is 10.23 corresponding the shat first bending deformation, mode 11 in Table 1 and its mode shape shown by the right figure in Fig. 7. Fig. 11 shows the shaft twist peaks at frequencies 1.011 and 10.23, which correspond to the hull first bending mode and the shaft first bending mode shown in Fig. 7, respectively. The calculated results demonstrate that the defined shaft motion parameters can provide useful information for safety shaft design in huge ships.

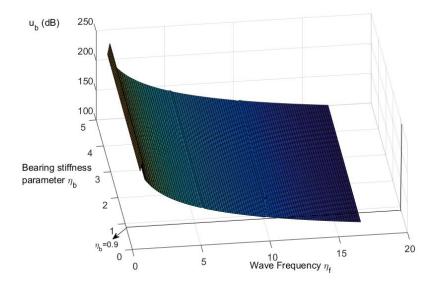


Fig. 8. The dynamic response surface of shaft base motion with respect to bearing stiffness parameter and wave excitation frequency.

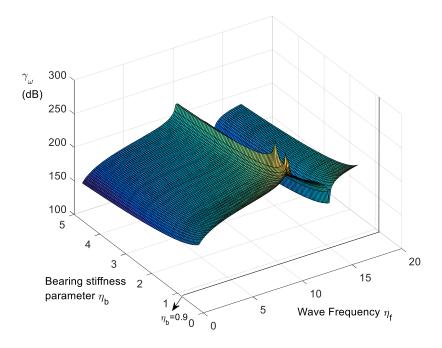


Fig. 9. The dynamic response surface of shaft relative motion factor (69) with respect to bearing stiffness parameter and wave excitation frequency.

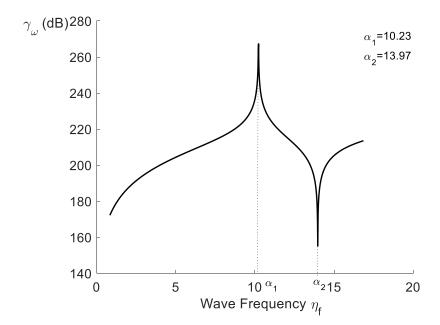


Fig. 10. The dynamic response curve of shaft relative motion factor (69) in the case of $\eta_b = 0.9$.

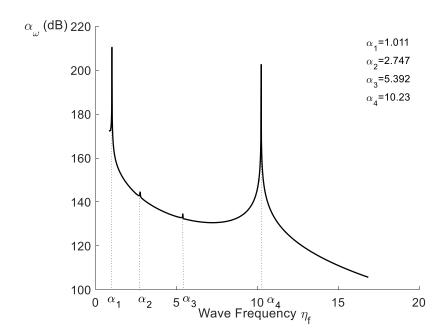


Fig. 11. The dynamic response curve of shaft twist factor (70) in the case of $\eta_b = 0.9$.

8. Conclusion & discussion

The developed integrated theory and the corresponding numerical model can provide a useful mean for large ship – propulsion system designs considering the engine safety operations. As an example, a 2-D numerical model gives the numerical results to illustrate the applications of the proposed numerical approach, which can be extended to the complex case for practical designs.

Based on the example results, the following guidelines for practical designs may be suggested. For the natural vibrations of the integrated system, the shaft frequency factor given by Eq. (56) should be far from 1, and the shaft deformation factor given by Eq. (60) should be small to avoid large shaft motion and deformation in each integrated mode. The first bending frequency of the hull and the shaft may play important role in dynamic designs. For the large ships, its first bending frequency will be small which may not be avoided. It would be beneficial to reduce the shaft dynamic response if designing a higher shaft bending frequency. The suggested dynamic design may take the three steps: 1) initial design using a 2-D model as example to choose initial parameters; 2) middle design using the designed data based on the first step to undergo 3-D analysis using the proposed model to modify the design data; 3) final check and modification by the analysis of natural vibrations and dynamic responses.

The proposed model has not very detailed considered the effects of ships with its moving speed, although it is assumed as a stationary motion on which disturbance vibrations are interested in this paper. A further investigation on this case to explore some effect may be carried on in future.

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