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Title: Prevalence of and factors associated with frailty and disability in older adults from China, Ghana, India, Mexico, Russia and South Africa

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Highlights

- Estimates are provided of the prevalence of frailty and disability in older adult populations in China, Ghana, India, Mexico, Russia and South Africa.
- Their relationship of prevalence to socioeconomic factors in these six countries is also examined.
- The study provides prevalence estimates in settings where data on frailty and disability among older adults on a country-wide scale may be unavailable.
- Frailty and disability in older adult populations are compared across high-, middle- and low-income countries.

Prevalence of and factors associated with frailty and disability in older adults from China, Ghana, India, Mexico, Russia and South Africa.

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ABSTRACT

Background: The severe burden imposed by frailty and disability in old age is a major challenge for healthcare systems in low- and middle-income countries alike. The current study aimed to provide estimates of the prevalence of frailty and disability in older adult populations and to examine their relationship with socioeconomic factors in six countries.

Methods: Focusing on adults aged 50+ years, a frailty index was constructed as the proportion of deficits in 40 variables, and disability was assessed using the World Health Organization Disability Assessment Schedule (WHODAS 2.0), as part of the Study on global AGEing and adult health (SAGE) Wave 1 in China, Ghana, India, Mexico, Russia and South Africa.

Results: This study included a total of 34,123 respondents. China had the lowest percentages of older adults with frailty (13.1%) and with disability (69.6%), whereas India had the highest percentages (55.5% and 93.3%, respectively). Both frailty and disability increased with age for all countries, and were more frequent in women, although the sex gap varied across countries. Lower levels of both frailty and disability were observed at higher levels of education and wealth. Both education and income were protective factors for frailty and disability in China, India and Russia, whereas only income was protective in Mexico, and only education in South Africa.

Conclusions: Age-related frailty and disability are increasing concerns for older adult populations in low- and middle-income countries. The results indicate that lower levels of frailty and disability can be achieved for older people, and the study highlights the need for targeted preventive approaches and support programs.

Keywords: Frail older adults, disability, frailty index, SAGE, low- and middle-income countries

Background

Today people live longer lives on average than at any other point in history. Global life expectancy (LE) has increased from 64.5 years in 1990 to 71.7 years in 2016 [1] with projections suggesting a 55.7% increase in the number of persons aged 60 years and older by 2030 [1]. This “demographic transition” is associated with a parallel “epidemiological transition,” characterized by an increasing level of non-communicable disease within the population [2]. Most adults spend a large proportion of their lives free of disease and disability, with long disability free life expectancy as well as long life expectancy. In China, for instance healthy life expectancy at age 60 years is 16.5 (LE 20.8 years) for women and 14.2 years for men (LE 18 years) [3]. However, many older adults develop chronic diseases and disability as they age, and have poor quality of life in their later years [4-6]. Although frailty and disability are common in older age, neither is an inevitable part of ageing [5]. The prevention or postponement of frailty and disability is therefore necessary to maintain the health and functioning of ageing populations. Factors that mitigate both frailty and disability operate not only at the individual level, but are also present in the supporting environment, community and networks [7].

Frailty and disability frequently co-exist in older adults; however, they are understood as distinct conditions. The literature currently points to several definitions of frailty, with no common consensus [6]. The two most common operational definitions of frailty are the physical frailty

phenotype [5] and the frailty index which is based on the deficit accumulation approach [8]. For disability, an assessment of functioning in activities of daily living (ADLs) is one method commonly used to assess disability in older persons [9, 10]. Another method is the World Health Organization (WHO) Disability Assessment Schedule (WHODAS) version 2.0, which identifies disability based on the conceptual framework of the International Classification of Functioning Disability and Health (ICF). The WHODAS v2.0 has been well-validated as an instrument for measuring disability across multiple countries [11].

The severe burden imposed by frailty and disability in old age is one of the major challenges faced by healthcare systems worldwide, and in the most developed and least developed countries [12]. Responses to this need including both strategies to prevent disability across the life course, and to maximise capacities in older age, as well as providing health and support systems meet the needs of older adults with frailty and disability. However, most of the epidemiological research into frailty and disability is focused on high-income countries. There is an urgent need to develop the evidence-base and investigate the unmet needs of the rapidly growing number of older people living in low and middle-income countries.

The WHO's Study on global AGEing and adult health (SAGE) provides a unique opportunity to examine levels of frailty and disability among older adults in low and middle-income countries and to stimulate a wider debate about older people's health and social care needs in less developed regions. The current study provides estimates of frailty and disability prevalence amongst older adult populations in the six SAGE countries, and examines the relationship of frailty and disability to various socioeconomic factors (age, sex, education, income, marital status and location) within the SAGE countries [12].

Materials and methods

The study sample

SAGE Wave 1 consists of nationally representative household health surveys conducted in six countries: China, Ghana, India, Mexico, the Russian Federation and South Africa. At the outset of data collection in 2007/08, SAGE included a mix of one low- (Ghana), two lower-middle (China and India) and three upper-middle income countries (South Africa, Mexico and the Russian Federation), based on the 2007 World Bank income categories [13]. The SAGE sampling methods were based on the design developed for the World Health Survey [14]. From a pooled sample of over 42,000 adults aged 18 years and older, this analysis considered only respondents aged 50-plus years. The six SAGE countries contained 42% of the world's population aged 50+ years in 2015 [2]. Details on sampling and the methodology are available in Kowal et al, 2012 [15].

Primary outcomes

Frailty Index

Frailty was measured using the deficit accumulation approach [8]. A frailty index was constructed as the proportion of deficits present out of 40 variables available in the SAGE database (as shown in Appendix 1). Deficits were selected if they were: a) associated with poor health and older age; b) common (present in at least 1% of the SAGE population); and, c) at least 75% of the information was available (not more than 25% missing variables per respondent) [16]. In this analysis missing data was limited: the variable criteria were met by 94.9% of respondents. The full list of variables included and the coding is presented in Appendix 1. Individual scores ranged from 0 (no deficits) to 1 (highest level of deficits in all variables). The cut-off value of 0.2 is recognized by multiple frailty measures as approaching a frail state [17, 18], hence we classified individuals into no frailty = (0-0.2] and frailty = (0.2-1.0] groups.

WHODAS score

The 12-item version of WHODAS 2.0 encompasses all six domains of the full version: cognition, mobility, self-care, getting along, life activities and participation in society [11]. Its psychometric

properties in older people from low and middle income countries have been validated previously [19]. The full list of variables included and the coding are presented in Appendix 2. For WHODAS, 95.3% of respondents had at least 75% of the information available to generate a score. The WHODAS score ranges from 0 (no disability) to 100 (full disability). Given the lack of a standardized threshold using WHODAS, it was decided to take the top 10th percentile as our cut-off for identifying disability [20, 21].

Covariates:

Education levels were standardized across the countries using UNESCO's International Standard Classification of Education (no education, less than primary, primary, secondary and higher) [22]. Income quintiles were derived from the household ownership of durable goods, dwelling characteristics (type of floors, walls and cooking stove), and access to services such as improved water, sanitation and cooking fuel. Relative wealth levels were generated through a multi-step process, and were then transformed into quintiles [23, 24]. Lowest (Quintile 1) is the quintile with the poorest households and highest (Quintile 5) is the quintile with the richest households.

Weighting procedure

Each household and individual was assigned a known non-zero probability of being selected. Household and individual weights were post-stratified according to country-specific population data [15]. Prevalence rates for each risk factor were estimated using post-stratified individual probability weights. According to the sampling design of each country, country-specific clusters and/or strata were taken into account to estimate 95% confidence intervals (CIs). The single unit (certainty) option was used to specify the stratum with only one sampling unit treated as certainty units. Data from these units contribute to parameter estimation but not to variance calculations.

Statistical Analysis

Histograms were constructed to assess the distributions of the frailty index and WHODAS score for each country, and to evaluate relationships between the frailty index and WHODAS scores with age, stratified by sex. Comparisons across mean values were performed using the Generalized Linear Models (GLM) procedure after adjusting for multiple comparisons. Prevalence was age-adjusted using the WHO Standard Population by age grouping (50-64 years, 65-74 years, 75 years and over) [25]. Logistic regression analyses were performed to estimate the association of frailty status and disability with the following socioeconomic factors: education, income and location, and adjusted by sex, age and marital status. Odds ratios and 95% Confidence Intervals were estimated using the SAS survey procedure, which produces estimates from complex sample survey data. Goodness of fit was evaluated by plotting the estimated values versus residuals and through Hosmer and Lemeshow's test; multicollinearity was checked by computing the tolerance and variance inflation. All analyses are weighted and performed using SAS version 9.2.

Results

The final total sample comprised 34,123 respondents aged 50-plus years, with response rates varying between 43.5% in Mexico to 98.6% in China (Table 1).

[Table 1 about here]

Frailty

Figure 1 shows the distribution of the frailty index scores by country. Across all countries, few participants had zero scores (less than 0.03%). China had the highest percentage (86.9%) of people without frailty (score \leq than 0.2) and India the lowest (44.5%). The frailty index increased with age for all countries and was higher for women, although the sex gap varied across countries (Figure 2). Women consistently had a statistically higher ($p < 0.0001$) frailty index than men across all countries. A statistically significant association was also observed for frailty with age ($p < 0.0001$).

[Figures 1 and 2 about here]

The age-standardized prevalence of frailty in the six countries was 56.9% in India, 38.0% in South Africa, 37.9% in Ghana, 30.8% in the Russian Federation, 30.4% in Mexico, and 13.5% in China (Table 2). Women generally had higher frailty prevalence compared to men. The gap between the sex-specific prevalence of frailty was wider for India and narrower for China. The sex-specific prevalence of frailty in China was 11.1% for older men and 15.9% for older women. Meanwhile, 47% of older women and 67% of older men in India were categorized as frail.

Except in Mexico and South Africa, the odds of frailty were lower for those with any education (less than primary, primary, higher) compared to those with no formal education (Table 2). Higher education (beyond secondary school) was associated with the greatest reduction in odds in most countries, including South Africa. Most of these associations attenuated after inclusion of other factors in the model, where the adjusted odds ratio (aOR) for frailty show higher odds of frailty for individuals with secondary school education in Ghana (aOR=1.90) and for those with less than primary school in Mexico (aOR=2.39). Compared to the lowest income quintile, wealthier quintiles had lower odds of frailty in India, Mexico, and the Russian Federation, and these effects changed little after adjustment, although some aOR were no longer statistically significant. The wealthiest income quintile was also a protective factor in China. Rural location was a risk factor for frailty only in China and only in the adjusted model (aOR=1.70).

[Table 2 about here]

Disability

Figures 3 and 4 present the WHODAS score distributions by country and the mean scores for the respective age groups by sex and country. The relationship between disability scores and age for men and women is very similar to the frailty index score patterns shown in Figure 2, with statistically significant higher disability scores for women than men and a clear age gradient.

[Figures 3 and 4 about here]

Table 3 also shows the WHODAS scores by selected characteristics. The WHODAS score includes zero values (no disability) for all countries, with China having the highest percentage (30.4%) and India the lowest (6.7%) .

[Table 3 about here]

Compared to no education, most of the education categories exerted a protective factor for disability in China and Russia (Table 4). Compared to the lowest quintile, the wealthiest income quintile was a protective factor for China, India, Mexico and Russia (aOR=0.35, aOR=0.39, aOR=0.34 and aOR=0.40, respectively). For Mexico, less wealthy income quintiles also represented a protective factor. Rural location was a risk factor for disability only in China (aOR=1.68).

[Table 4 about here]

Discussion

This study provides compelling baseline data on disability and frailty in a population of adults aged 50-plus in six countries representing 43% of the world's older adult population in 2016. It provides baseline data for comparison to high-income countries, as was done in a 2013 publication comparing SAGE to a number of European countries [11]. These results show a high age-standardized prevalence of frailty and disability, particularly in India, but also in Ghana, Mexico, Russia and South Africa. This is in the context of increasing life expectancies at age 60 and age 80 around the globe, with considerable uncertainty about health and disability levels in the extra years of life lived. Efforts to ensure that the extra years are lived in good health require early actions to maintain health. Early detection of frail and disabled community-dwelling older persons will maintain health of the older adult.

The good news is that both frailty and disability can be prevented or delayed [26-29], and with some evidence for effective reablement of older people with disability and slowing down the process of frailty accumulation [30]. The challenge is to convince governments to act early [31,32] and to provide appropriate approaches to prevention of disability and deficits and integrated care and support programs.

The frailty index used in this study is a comprehensive estimate of deficits and functional losses, with just four variables overlapping with the measure of disability used in this analysis. One potential limitation of these cross-sectional data are that they are not amenable to providing frailty prevalence estimates using the frailty phenotype approach [5] where the overlap would be smaller. Yet, the frailty index and WHODAS remain robust screening tools for complex and multidimensional conditions [33-35]. The frailty index, with mean frailty levels in SAGE are similar to those found in other studies in Chinese populations [36-38], and in Mexico [9].

This study supports that the frailty characteristics observed in high income countries [40] also extend to low- and middle- income countries, with a majority of the community-dwelling older adult population below the threshold for frailty, frailty scores that increase with age, and sex differences where women have higher frailty scores than men. Similar to our findings, a recent study [41] using data from a 10/66 population-based cohort study conducted in India, China, and five Latin American countries also showed that China has the lowest prevalence of frailty when assessed using two different frailty phenotypes. They suggested that moving beyond the physical frailty phenotype approach could be beneficial, because variables that are not traditionally included in the phenotype such as cognitive impairment could also be informative. In this study, we used the frailty index approach, which provides a better reflection of the multidimensionality of frailty and does not restrict the type of variables included in the tool. A systematic review of frailty assessment tools concluded that a frailty index seems to be the most suitable tool to evaluate outcome measures in frailty research [42,43].

If a goal for increasing longevity is healthier aging, improved health throughout the life cycle will be required to decrease frailty and disability at older ages [44]. Yet, available research about levels and duration of disability has not provided a clear answer about morbidity in the additional years lived. Even in high income countries like the US and UK, with much more data available to assess age-related disability, the possibility of cohort differences in morbidity, rather than being a largely linear age-related phenomenon, cannot be ruled out [45, 46]. The disability measure included in SAGE was based on WHODAS 2.0 and has been validated in low- and middle-income countries by WHO and collaborating agencies [11, 47-49].

A limitation of this study is that cultural, contextual, structural and analytical factors may have had differential impacts on the extent of under-reporting of frailty and disability. Recall and survivor biases may also be contributing to the estimated prevalence rates in these older adult populations.

“Another limitation of our study may be that we selected a cut-off of the worst 10% percentile on the WHODAS score to examine the relationship between frailty and disability. Since the WHODAS has no standard cut-off score we chose this approach to define those with significant disability, an approach that is consistent with the approach taken in the World Health Organization and World Bank’s World Report on Disability (World Health Organization. World report on disability. Geneva, 2011). Additionally, we have undertaken analyses (data not shown, available from the author upon request) that show that among those in the top 10% of the WHODAS score 30% report difficulties with Activities of Daily Living (ADLs) (3% in the rest) and 55% report difficulties with Instrumental Activities of Daily Living (IADLs) (7% in the rest). Over two-thirds of respondents in this group report bad or very bad health (17% in the rest). About 14% report severe or extreme difficulties with self-care (less than 1% in the rest). This illustrates that the respondents we included in the ‘disabled’ group indeed had severe limitations in functioning and hence could be construed to be significantly disabled.”

Strengths of this study are that SAGE followed standardized methods and procedures across all countries, including a translation protocol developed by WHO's World Health Survey (2002/04), uniform training materials, quality control procedures and real-time support from the WHO SAGE team. The geographic coverage is wide, with countries at different stages, and rates, of economic development. In addition, while many studies have looked at frailty or disability, this study looked at both simultaneously, while also investigating a range of relevant covariates for each. This study also provides baseline data that will enable functional change trajectories to be modeled, and also provide insight into the risks for frailty and disability as a means to prevent these conditions in adults who have neither [50,51]. Future studies can explore the trajectories of frailty and disability using longitudinal data from the 2015 SAGE Wave 2 interviews (www.who.int/healthinfo/sage).

Conclusions

Age-related frailty and disability are realities for older adult populations in low- and middle-income countries. This study showed that among people aged 50 years and older in six countries that represented 43% of the world's older adult population in 2016, India had the highest percentage of older people with frailty and disability, and China had the lowest. Both education and income were protective factors for frailty and disability in China, India and the Russian Federation, whereas only income and only education was a protective factor for Mexico and South Africa, respectively. Rural location was a risk factor in China for disability and frailty. These findings provide data for targeting groups with the greatest need for these countries and within similar settings, and for developing remedial public health measures in implementing national ageing policies and programmes.

Contributors

RBB, NM, AEY, GPM, SC, NN and PK developed the concept.

RBB, NM, AEY, GPM, OT, FW, YG, YZ, YJ, TM, SK, PA, BM-E and AS-R contributed country-specific inputs for the manuscript.

JJS, KNS, GE, MAL, JS, SA, ET, SV, KH, HS, JEByles, KR and AM contributed to drafting and reviewing different aspects of the manuscript.

All authors read and approved the final version of the manuscript before submission.

Conflict of interest

None declared.

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Ethical approval

SAGE has been approved by the World Health Organization's Ethical Review Board. Additionally, all partner organizations obtained ethical clearance through their respective review bodies. Informed consent was obtained from all study participants. The study complies with the STROBE statement.

Provenance and peer review

This article has undergone peer review.

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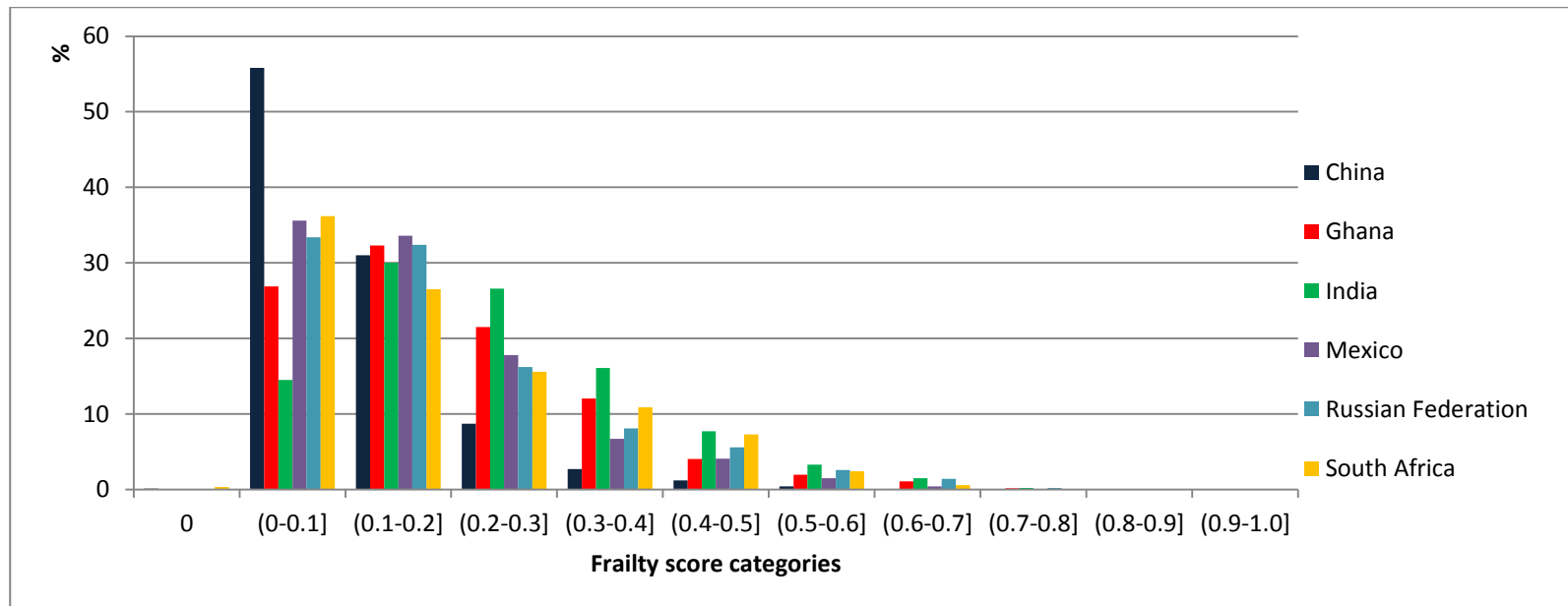
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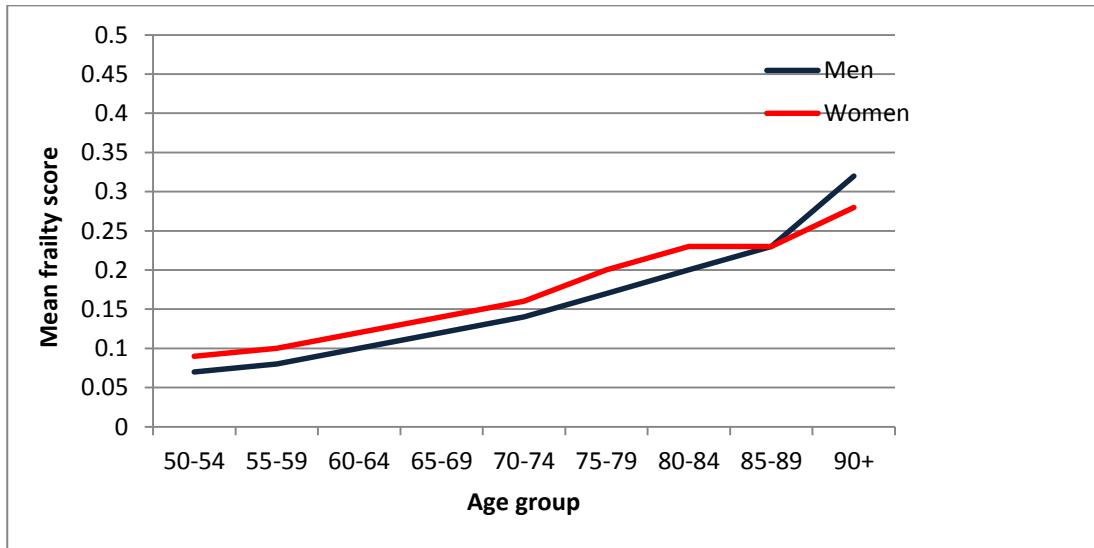
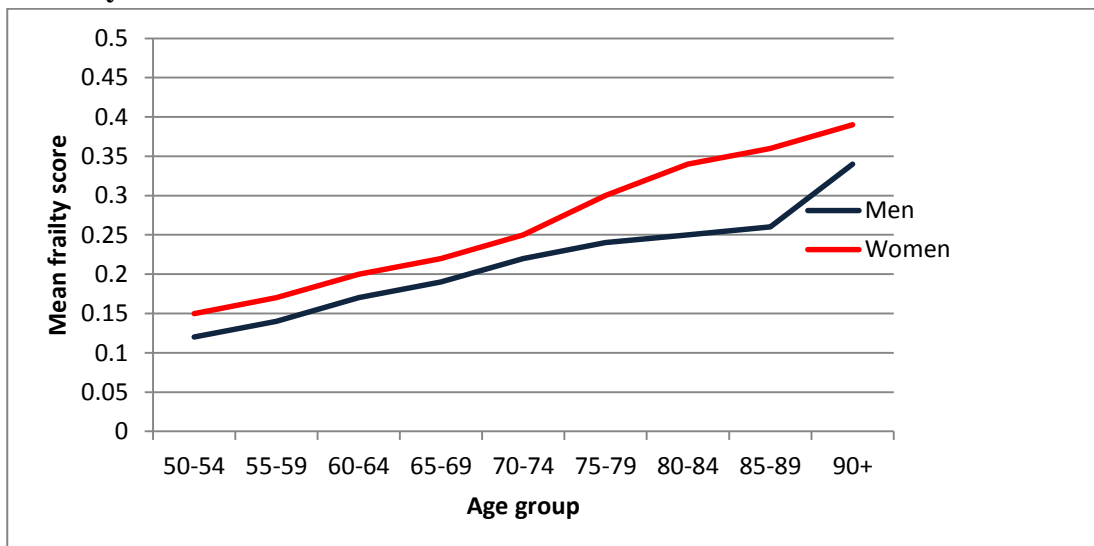
Tables and figures

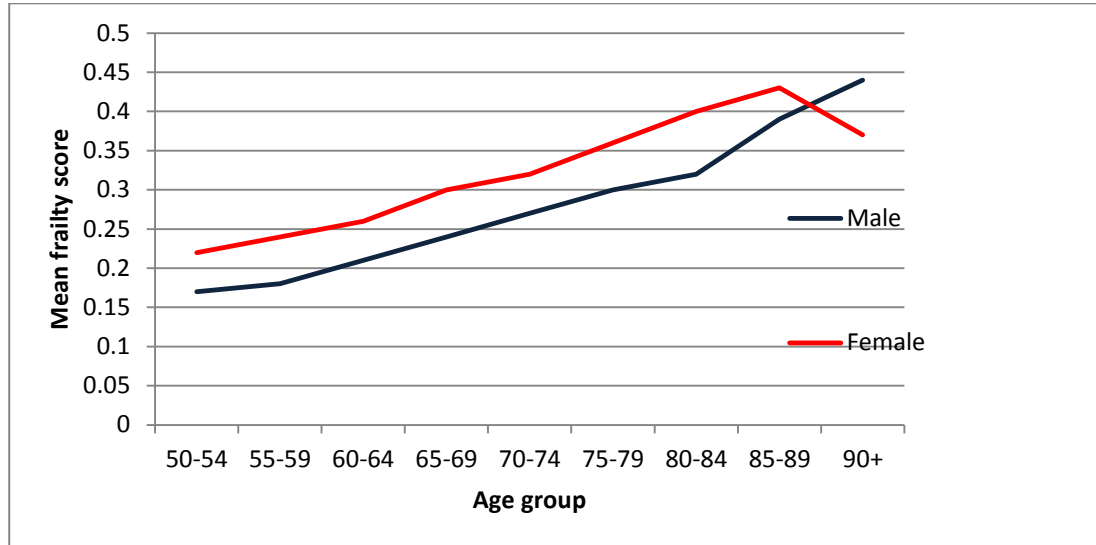
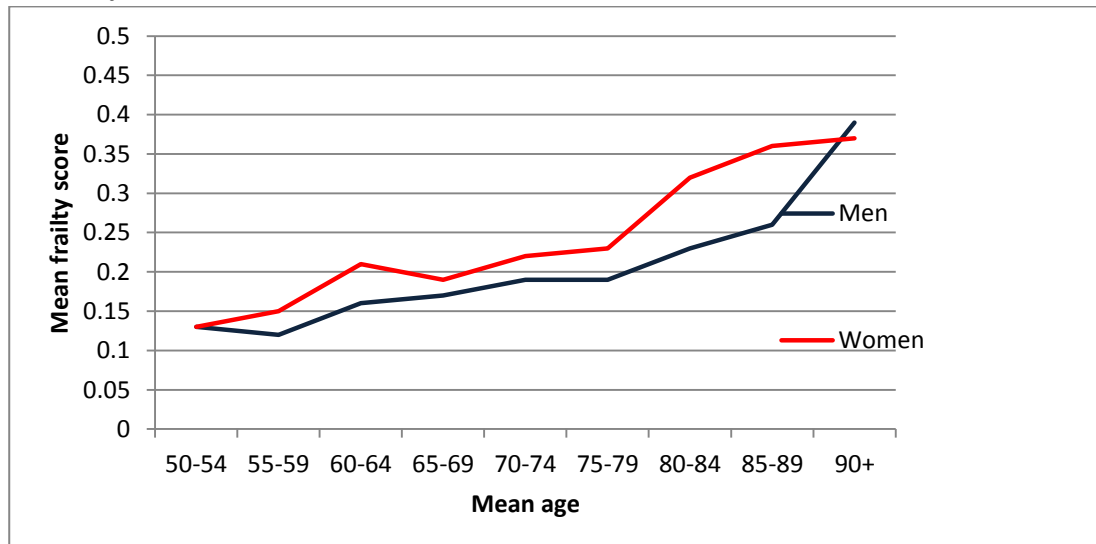
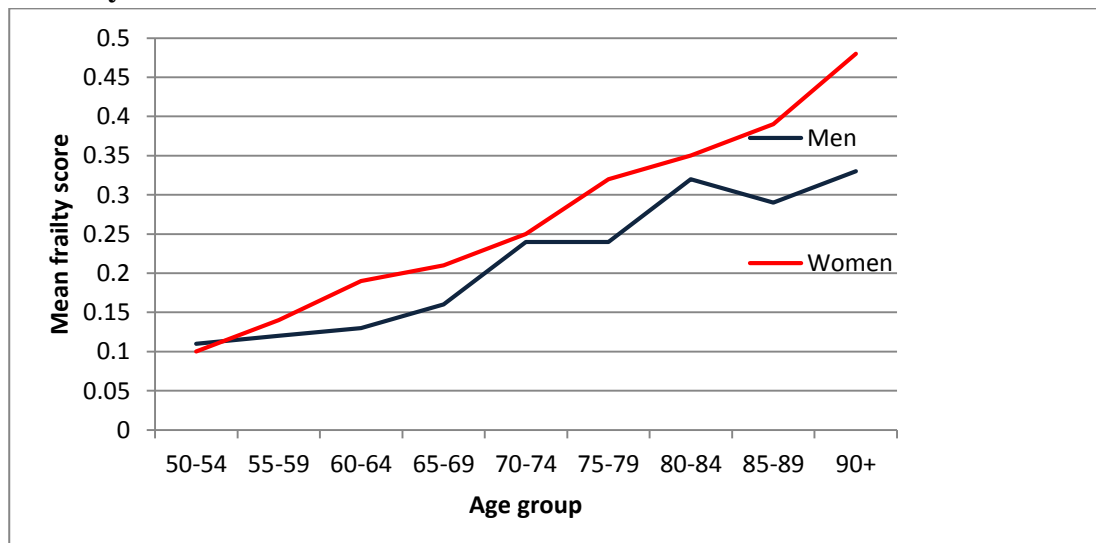
Table 1. Individual response rates, mean age and sex distribution for samples aged 50-plus, SAGE Wave 1 (2007/10).

| Countries | Target sample size | Number of responders | Response rate (%) | Mean age (SD) | % Women |
|--------------------|---------------------------|-----------------------------|--------------------------|----------------------|----------------|
| China | 13367 | 13175 | 98.6 | 62.5 (8.9) | 50.2 |
| Ghana | 4724 | 4305 | 91.1 | 64.3 (10.7) | 47.7 |
| India | 7150 | 6560 | 91.7 | 61.5 (8.8) | 49.0 |
| Mexico | 5317 | 2313 | 43.5 | 62.6 (10.3) | 53.4 |
| Russian Federation | 6269 | 3938 | 62.8 | 63.9 (10.4) | 60.9 |
| South Africa | 5023 | 3832 | 76.3 | 61.6 (9.5) | 56.1 |

Figure 1. Frailty index score distribution, by country.

Index: ≤ 0.02 is not frail; > 0.02 is frail

Figure 2. Mean frailty index score for men and women, by age group and country**Country: CHINA****Country: GHANA**

Country: INDIA**Country: MEXICO****Country: RUSSIA**

Country: SOUTH AFRICA

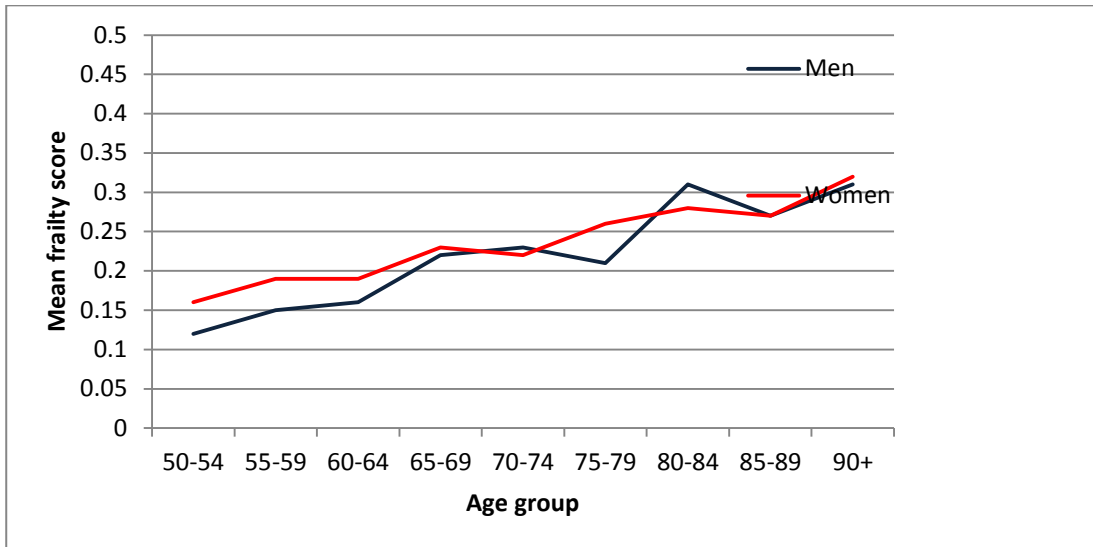


Table 2. Odds ratios (95% CI) for frailty for each country, unadjusted and adjusted by age, sex and marital status.

| | China | | Ghana | | India | |
|--|------------------|------------------|-------------------|------------------|------------------|------------------|
| Age-standardized prevalence (%) of frailty (95% CI) | 13.5 (12.4-14.7) | | 37.9 (35.2- 40.4) | | 56.9 (54.4-59.4) | |
| Men | 11.1 (9.8-12.3) | | 32.2 (29.6-34.9) | | 47.0 (44.5-49.5) | |
| Women | 15.9 (14.7-17.1) | | 44.1 (41.5-46.8) | | 67.2 (64.7-69.7) | |
| | Unadjusted | Adjusted | Unadjusted | Adjusted | Unadjusted | Adjusted |
| Education | | | | | | |
| No education | 1 | 1 | 1 | 1 | 1 | 1 |
| Less than primary | 0.49 (0.40-0.61) | 0.84 (0.66-1.07) | 0.59 (0.45-0.77) | 0.92 (0.69-1.24) | 0.69 (0.51-0.93) | 0.89 (0.66-1.21) |
| Primary | 0.41 (0.34-0.49) | 0.80 (0.67-0.97) | 0.45 (0.35-0.58) | 0.80 (0.61-1.07) | 0.60 (0.46-0.77) | 0.82 (0.60-1.12) |
| Secondary | 0.29 (0.21-0.40) | 0.81 (0.60-1.10) | 0.81 (0.53-1.23) | 1.90 (1.19-3.03) | 0.36 (0.28-0.46) | 0.65 (0.50-0.85) |
| Higher | 0.31 (0.24-0.40) | 0.75 (0.58-0.98) | 0.30 (0.23-0.40) | 0.60 (0.45-0.81) | 0.26 (0.20-0.35) | 0.51 (0.38-0.68) |
| Income | | | | | | |
| Q1 (lowest) | 1 | 1 | 1 | 1 | 1 | 1 |
| Q2 | 0.88 (0.75-1.05) | 1.01 (0.84-1.21) | 1.13 (0.90-1.43) | 1.10 (0.85-1.43) | 0.71 (0.56-0.91) | 0.69 (0.53-0.89) |
| Q3 | 0.89 (0.72-1.11) | 1.08 (0.85-1.39) | 1.18 (0.93-1.50) | 1.13 (0.88-1.46) | 0.67 (0.47-0.96) | 0.64 (0.44-0.93) |
| Q4 | 0.64 (0.49-0.85) | 0.85 (0.64-1.12) | 0.83 (0.64-1.09) | 0.80 (0.59-1.08) | 0.71 (0.55-0.94) | 0.68 (0.52-0.89) |
| Q5 (highest) | 0.37 (0.25-0.53) | 0.52 (0.36-0.76) | 0.92 (0.68-1.24) | 0.83 (0.59-1.15) | 0.66 (0.56-0.86) | 0.51 (0.38-0.68) |
| Location | | | | | | |
| Urban | 1 | 1 | 1 | 1 | 1 | 1 |
| Rural | 0.92 (0.71-1.20) | 1.70 (1.34-2.16) | 0.86 (0.67-1.11) | 0.93 (0.71-1.22) | 0.88 (0.68-1.15) | 1.01 (0.78-1.30) |
| Age (years) | 1.10 (1.09-1.11) | | 1.08 (1.07-1.09) | | 1.07 (1.06-1.08) | |
| Sex | | | | | | |
| Male | 1 | 1 | 1 | 1 | 1 | 1 |
| Female | 1.58 (1.37-1.82) | | 1.52 (1.29-1.81) | | 2.01 (1.67-2.41) | |

| Marital status | | | | | | |
|--------------------------------|---|------------------|---|------------------|---|------------------|
| Never married | 1 | 1 | 1 | 1 | 1 | 1 |
| Married-Cohabiting | | 0.57 (0.29-1.12) | | 0.64 (0.26-1.60) | | 0.83 (0.40-1.73) |
| Separated/Divorced /Widowed | | 0.51 (0.28-0.95) | | 0.80 (0.33-1.95) | | 1.03 (0.49-2.15) |

Table 2. continued...

| | Mexico | | Russian Federation | | South Africa | |
|--|------------------|------------------|--------------------|------------------|------------------|------------------|
| Age-standardized prevalence (%) of frailty (95% CI) | 30.4 (24.0-36.8) | | 30.8 (26.1-35.4) | | 38.0 (34.9-41.0) | |
| Men | 24.9 (18.5-31.3) | | 24.9 (20.3-29.6) | | 33.4 (30.4-36.5) | |
| Women | 35.2 (28.9-41.6) | | 34.3 (29.6-38.9) | | 41.8 (38.7-44.8) | |
| | Unadjusted | Adjusted | Unadjusted | Adjusted | Unadjusted | Adjusted |
| Education | | | | | | |
| No education | 1 | 1 | 1 | 1 | 1 | 1 |
| Less than primary | 1.80 (0.84-3.86) | 2.39 (1.20-4.80) | 0.22 (0.04-1.07) | 0.27 (0.06-1.19) | 0.99 (0.71-1.39) | 1.21 (0.87-1.68) |
| Primary | 0.83 (0.38-1.81) | 1.23 (0.56-2.70) | 0.11 (0.02-0.71) | 0.13 (0.02-0.73) | 1.09 (0.79-1.49) | 1.24 (0.89-1.72) |
| Secondary | 1.10 (0.33-3.60) | 1.98 (0.63-6.18) | 0.04 (0.01-0.24) | 0.09 (0.02-0.43) | 0.74 (0.51-1.09) | 0.93 (0.64-1.36) |
| Higher | 0.71 (0.29-1.71) | 1.04 (0.47-2.31) | 0.02 (0.004-0.10) | 0.09 (0.02-0.39) | 0.35 (0.18-0.67) | 0.51 (0.27-0.99) |
| Income | | | | | | |
| Q1 (lowest) | 1 | 1 | 1 | 1 | 1 | 1 |
| Q2 | 0.47 (0.22-0.98) | 0.61 (0.23-1.65) | 0.65 (0.47-0.90) | 0.66 (0.43-1.01) | 1.01 (0.69-1.48) | 1.05 (0.69-1.62) |
| Q3 | 0.40 (0.21-0.79) | 0.45 (0.25-0.82) | 0.75 (0.43-1.29) | 0.72 (0.45-1.16) | 0.88 (0.58-1.35) | 0.84 (0.53-1.35) |
| Q4 | 0.34 (0.19-0.60) | 0.39 (0.22-0.68) | 0.33 (0.20-0.54) | 0.44 (0.27-0.71) | 1.02 (0.65-1.61) | 0.94 (0.57-1.58) |
| Q5 (highest) | 0.29 (0.15-0.57) | 0.36 (0.18-0.70) | 0.32 (0.18-0.57) | 0.45 (0.25-0.82) | 1.34 (0.82-2.18) | 1.15 (0.68-1.96) |
| Location | | | | | | |
| Urban | 1 | 1 | 1 | 1 | 1 | 1 |
| Rural | 1.05 (0.49-2.23) | 1.33 (0.57-3.14) | 0.87 (0.48-1.58) | 0.99 (0.54-1.80) | 1.14 (0.76-1.65) | 1.11 (0.76-1.63) |
| Age (years) | | 1.06 (1.03-1.10) | | 1.11 (1.09-1.13) | | 1.04 (1.03-1.06) |
| Sex | | | | | | |
| Male | 1 | 1 | 1 | 1 | 1 | 1 |
| Female | | 1.97 (1.11-3.48) | | 1.68 (1.22-2.31) | | 1.34 (0.96-1.88) |

Marital status

| | | | | | | |
|--------------------------------|---|------------------|---|------------------|---|------------------|
| Never married | 1 | 1 | 1 | 1 | 1 | 1 |
| Married-Cohabiting | | 2.53 (1.02-6.31) | | 1.07 (0.39-2.91) | | 1.03 (0.67-1.58) |
| Separated/Divorced/ Widowed | | 1.99 (0.74-5.35) | | 1.06 (0.38-2.94) | | 1.39 (0.91-2.11) |

Note: Education indicates highest level completed

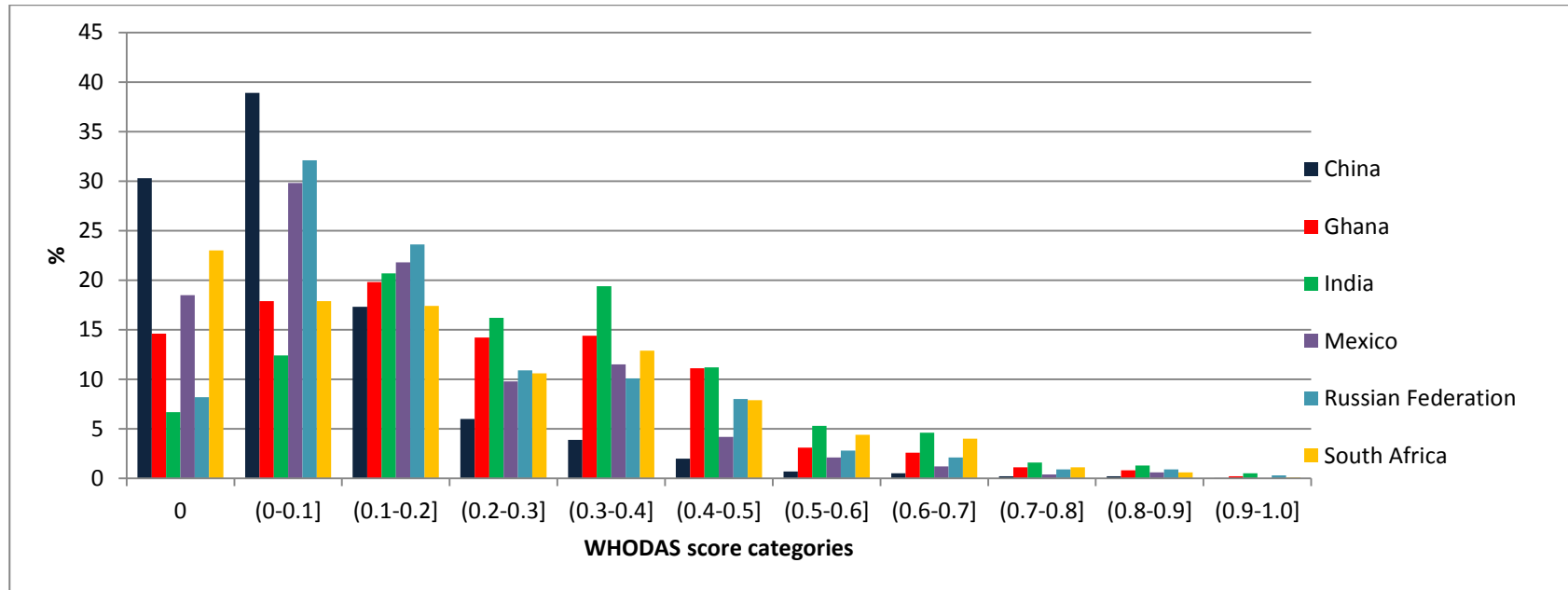
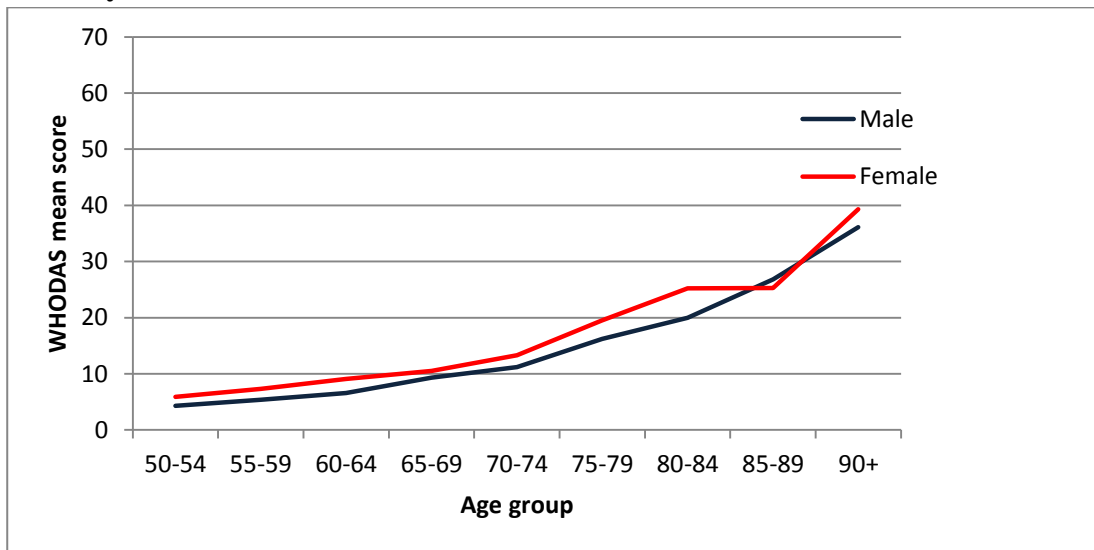
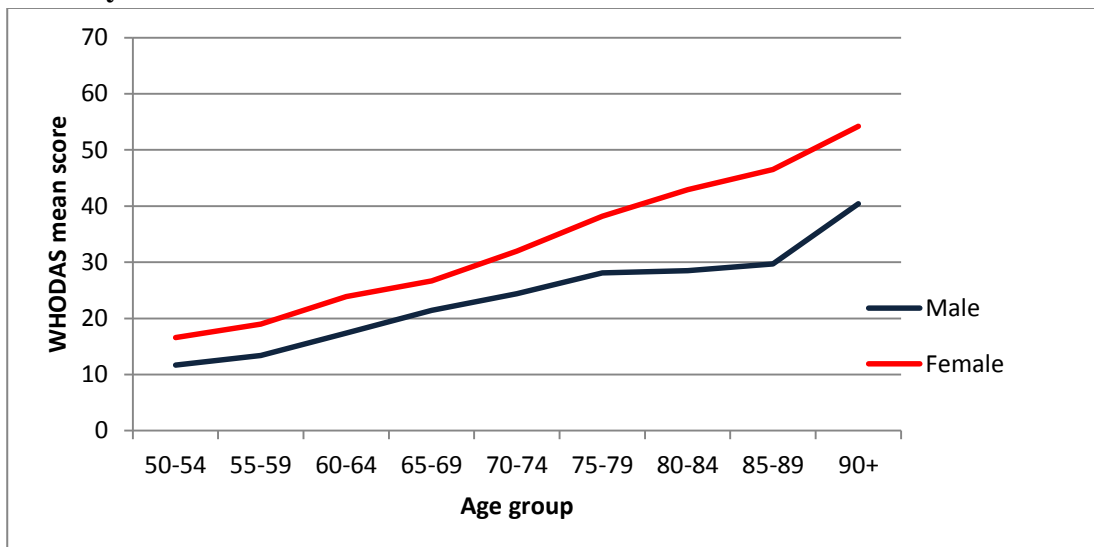
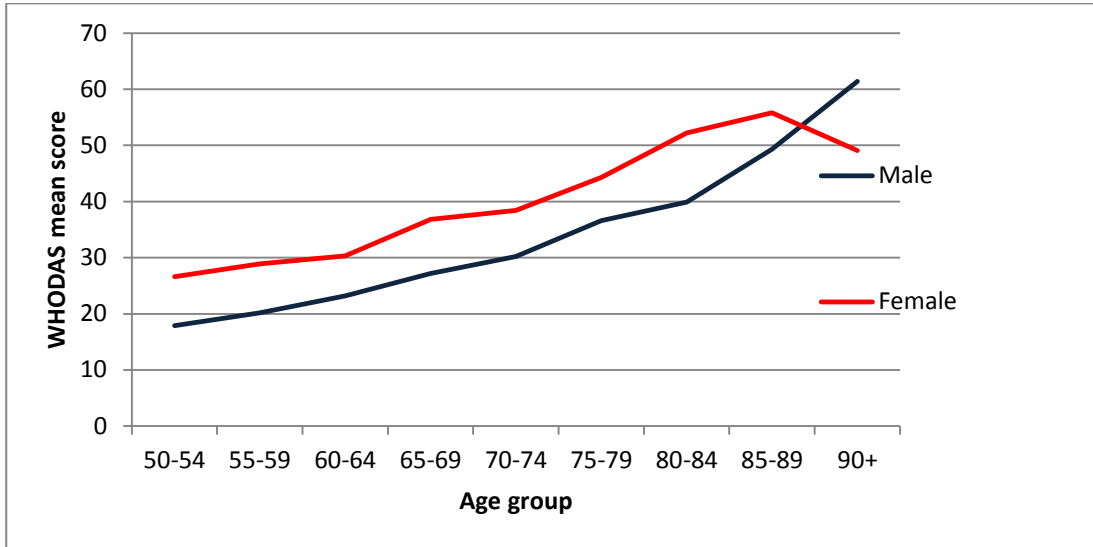
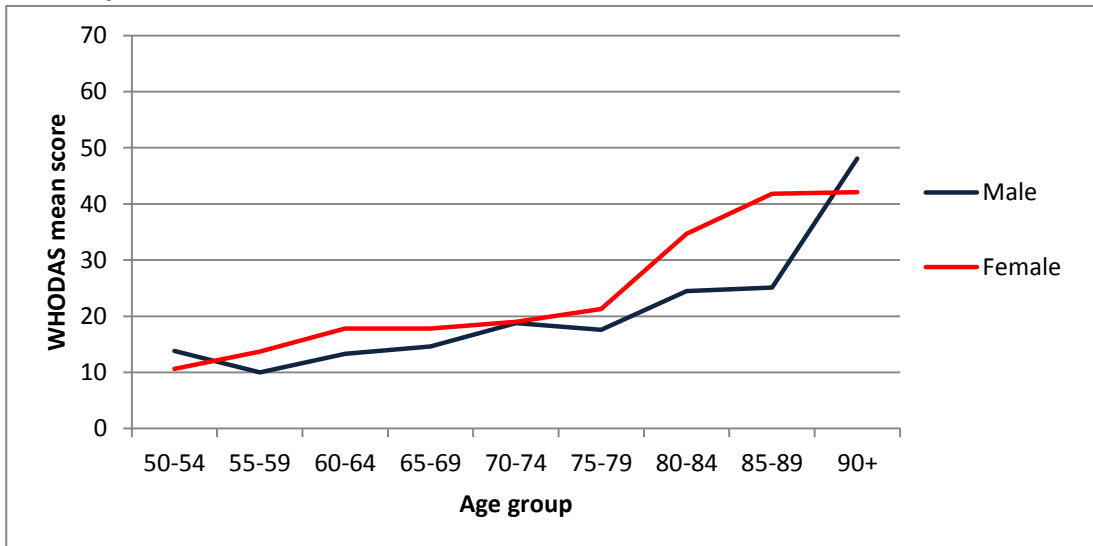
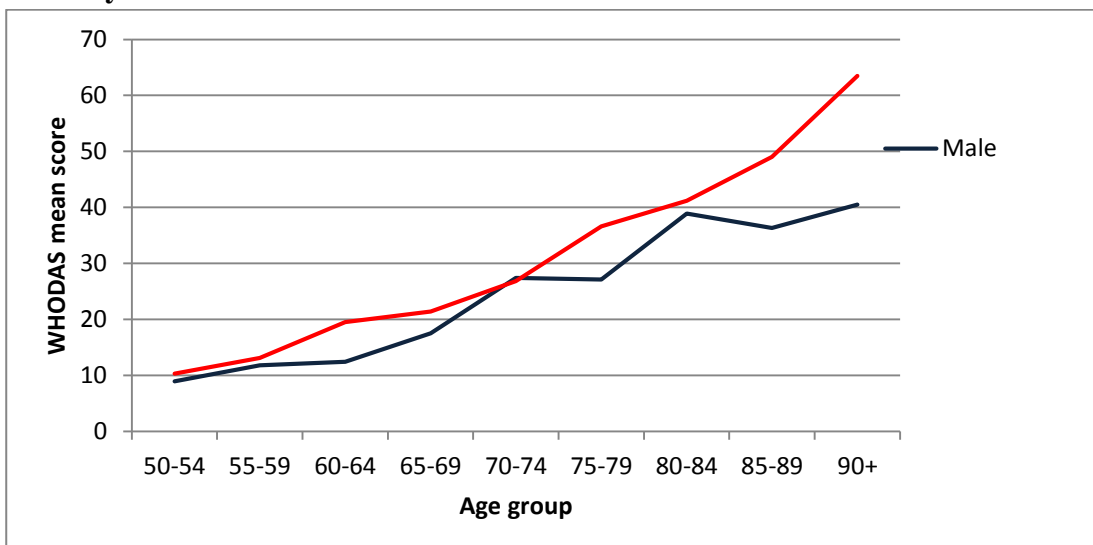
Figure 3. WHODAS Score Distribution, by country.

Figure 4. WHODAS mean score versus age, by sex and country**Country: CHINA****Country: GHANA**

Country: INDIA**Country: MEXICO****Country: RUSSIA**

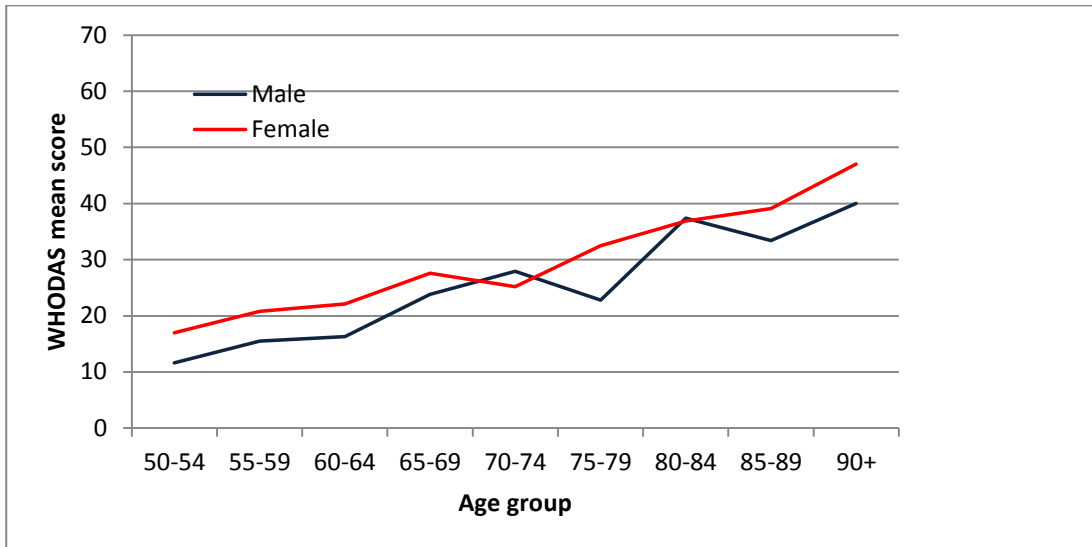
Country: SOUTH AFRICA

Table 3. Mean (SD), min-max and observation size (n) of the WHODAS score, by selected characteristics.

| | China | Ghana | India | Mexico | Russian Federation | South Africa |
|-----------------------------------|-------------------------------|--------------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|
| Overall | 9.1 (12.7) 0-97 n=12928 | 22.7 (19.4) 0-100 n=4302 | 28.3 (19.8) 0-100 n=6559 | 15.8 (16.6) 0-94 n=2211 | 19.9 (18.2) 0-100 n=3915 | 20.9 (20.6) 0-97 n=3759 |
| % with non-zero scores | 69.6 | 85.4 | 93.2 | 81.5 | 91.8 | 77.0 |
| 90th percentile | 25.0 | 47.2 | 55.5 | 46.8 | 50.0 | 47.2 |
| Sex | | | | | | |
| Male | 7.9 (12.4) 0-97 n=6069 | 19.3 (18.2) 0-97 n=2247 | 24.1 (19.0) 0-100 n=3303 | 14.6 (17.6) 0-92 n=872 | 16.1 (17.4) 0-97 n=1388 | 18.1 (20.1) 0-92 n=1601 |
| Female | 10.4 (12.8) 0-97 n=6859 | 26.4 (19.9) 0-100 n=2055 | 32.6 (19.7) 0-100 n=3256 | 16.8 (15.9) 0-94 n=1332 | 22.3 (18.4) 0-100 n=2527 | 23.1 (20.7) 0-97 n=2158 |
| p-value | <0.0001 | <0.0001 | <0.0001 | 0.002 | <0.0001 | <0.0001 |
| Age-groups (years) | | | | | | |
| [50-55) | 5.0 (8.3) 0-89 n=2801 | 13.9 (14.5) 0-78 n=900 | 22.3 (16.3) 0-97 n=1539 | 12.1 (32.0) 0-66 n=87 | 9.7 (10.0) 0-78 n=723 | 14.6 (17.8) 0-89 n=931 |
| [55-60) | 6.3 (9.4) 0-69 n=2846 | 16.1 (16.4) 0-92 n=788 | 24.1 (19.5) 0-94 n=1400 | 11.9 (14.8) 0-83 n=340 | 12.5 (12.6) 0-94 n=738 | 18.2 (19.0) 0-91 n=719 |
| [60-65) | 7.8 (10.8) 0-83 n=2087 | 20.6 (19.1) 0-95 n=640 | 27.0 (17.3) 0-100 n=1179 | 15.7 (13.3) 0-83 n=497 | 16.5 (13.5) 0-90 n=512 | 19.3 (19.7) 0-92 n=647 |
| [65-70) | 9.9 (12.8) 0-86 n=1787 | 23.9 (17.1) 0-86 n=564 | 31.7 (19.8) 0-100 n=1055 | 16.2 (12.4) 0-89 n=421 | 19.9 (15.4) 0-75 n=555 | 26.1 (21.9) 0-89 n=564 |
| [70-75) | 12.4 (13.4) 0-97 n=1598 | 28.2 (18.4) 0-86 n=625 | 34.2 (20.6) 0-100 n=714 | 18.9 (13.4) 0-89 n=316 | 27.0 (16.4) 0-100 n=570 | 26.4 (18.8) 0-83 n=395 |
| [75-80) | 18.0 (16.1) 0-97 n=1113 | 33.0 (19.8) 0-97 n=359 | 39.8 (18.6) 0-96 n=343 | 19.9 (18.4) 0-92 n=285 | 34.0 (20.0) 0-97 n=443 | 29.9 (21.9) 0-97 n=257 |
| [80-85) | 22.8 (16.9) 0-97 n=503 | 35.3 (18.8) 0-86 n=211 | 46.4 (21.2) 0-94 n=206 | 30.6 (18.1) 0-94 n=154 | 40.5 (17.0) 0-100 n=265 | 37.2 (21.9) 0-91 n=141 |
| [85-90) | 25.9 (20.0) 0-93 n=162 | 38.9 (21.0) 0-100 n=124 | 53.0 (23.6) 3-100 n=71 | 30.3 (15.8) 0-92 n=87 | 46.1 (20.2) 0-95 n=86 | 36.7 (20.4) 0-86 n=82 |
| [90-125] | 37.9 (19.3) 0-97 n=31 | 44.6 (25.1) 0-97 n=91 | 53.2 (19.9) 0-97 n=51 | 45.0 (18.7) 0-89 n=24 | 53.9 (30.1) 14-86 n=23 | 42.7 (20.1) 0-89 n=23 |

| | | | | | | |
|---------|---------|---------|---------|---------|---------|---------|
| p-value | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 |
|---------|---------|---------|---------|---------|---------|---------|

Table 3 (cont.)

| Marital status | | | | | | |
|------------------------|-------------------------------|--------------------------------|--------------------------------|-------------------------------|--------------------------------|-------------------------------|
| Never married | 12.5 (15.5) 0-86 n=111 | 25.6 (22.4) 0-72 n=50 | 29.1 (20.8) 0-100 n=64 | 11.8 (11.9) 0-86 n=186 | 19.7 (18.7) 0-69 n=109 | 21.5 (19.6) 0-91 n=501 |
| Married/Cohabiting | 8.2 (12.0) 0-97 n=10766 | 19.5 (18.5) 0-97 n=2431 | 25.8 (19.0) 0-100 n=4861 | 15.1 (17.1) 0-92 n=1347 | 16.2 (16.0) 0-97 n=2191 | 18.1 (20.0) 0-89 n=1956 |
| Separated/Widowed | 14.5 (14.6) 0-97 n=2032 | 27.3 (19.6) 0-100 n=1798 | 37.0 (19.9) 0-100 n=1634 | 19.6 (16.3) 0-94 n=678 | 25.4 (19.8) 0-100 n=1609 | 25.8 (21.1) 0-97 n=1233 |
| p-value | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 |
| Education level | | | | | | |
| No education | 15.2 (15.4) 0-97 n=3134 | 26.9 (19.3) 0-97 n=2356 | 33.4 (19.9) 0-100 n=3365 | 21.4 (17.5) 0-89 n=439 | 52.3 (14.7) 0-86 n=40 | 24.6 (20.8) 0-97 n=852 |
| Less primary | 9.7 (12.2) 0-93 n=2341 | 22.0 (20.9) 0-94 n=430 | 28.3 (17.7) 0-100 n=745 | 18.9 (16.9) 0-94 n=917 | 41.3 (16.7) 3-86 n=74 | 22.2 (19.8) 0-89 n=771 |
| Primary | 8.2 (12.1) 0-97 n=2586 | 19.4 (17.4) 0-100 n=461 | 26.2 (19.0) 0-97 n=929 | 11.0 (15.3) 0-92 n=481 | 35.7 (16.7) 0-100 n=316 | 23.1 (19.9) 0-92 n=750 |
| Secondary | 6.5 (10.2) 0-97 n=2593 | 20.6 (19.9) 0-83 n=173 | 21.4 (18.5) 0-100 n=654 | 9.7 (12.2) 0-80 n=146 | 26.2 (20.9) 0-92 n=758 | 17.9 (18.7) 0-81 n=433 |
| Higher | 4.8 (8.4) 0-87 n=2274 | 14.4 (16.4) 0-97 n=855 | 16.9 (15.3) 0-80 n=866 | 11.6 (12.9) 0-80 n=228 | 16.2 (15.9) 0-100 n=2726 | 11.1 (15.3) 0-81 n=367 |
| p-value | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 |
| Income quintile | | | | | | |
| Lowest | 14.7 (13.9) 0-97 n=2562 | 24.4 (17.6) 0-92 n=856 | 34.0 (21.5) 0-100 n=1062 | 25.0 (16.4) 0-94 n=476 | 26.8 (21.2) 0-100 n=718 | 24.2 (22.8) 0-91 n=722 |
| Second | 11.2 (13.3) 0-91 n=2542 | 24.6 (18.2) 0-100 n=850 | 30.3 (20.2) 0-97 n=1219 | 15.3 (18.3) 0-92 n=470 | 22.1 (18.2) 0-97 n=779 | 21.9 (21.8) 0-97 n=743 |
| Middle | 9.4 (12.7) 0-97 n=2591 | 25.1 (20.6) 0-97 n=855 | 29.7 (21.2) 0-100 n=1206 | 16.7 (15.4) 0-92 n=397 | 22.9 (18.5) 0-100 n=794 | 21.8 (19.9) 0-91 n=724 |
| Fourth | 7.6 (11.7) 0-86 n=2651 | 20.7 (19.6) 0-97 n=871 | 26.5 (17.4) 0-92 n=1407 | 13.4 (14.7) 0-89 n=453 | 15.8 (15.9) 0-97 n=778 | 20.4 (18.8) 0-92 n=767 |
| Highest | 4.4 (8.5) 0-87 n=2539 | 19.1 (20.0) 0-97 n=865 | 22.7 (17.6) 0-100 n=1627 | 11.9 (15.0) 0-89 n=411 | 14.6 (14.5) 0-92 n=841 | 16.4 (18.8) 0-83 n=787 |
| p-value | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 | <0.0001 |

* A higher WHODAS score reflects a higher level of disability.

Table 4. Odds ratios (95% CI) for WHODAS for each country, unadjusted and adjusted by age, sex and marital status.

| | China | | Ghana | | India | |
|-----------------------|------------------|------------------|------------------|------------------|------------------|------------------|
| | Unadjusted | Adjusted | Unadjusted | Adjusted | Unadjusted | Adjusted |
| Education | | | | | | |
| No education | 1 | 1 | 1 | 1 | 1 | 1 |
| Less than primary | 0.46 (0.36-0.57) | 0.79 (0.59-0.97) | 0.66 (0.45-0.97) | 1.13 (0.74-1.73) | 0.68 (0.47-1.00) | 0.86 (0.57-1.30) |
| Primary | 0.38 (0.32-0.46) | 0.73 (0.56-0.80) | 0.38 (0.24-0.60) | 0.76 (0.46-1.25) | 0.68 (0.46-1.02) | 0.93 (0.52-1.49) |
| Secondary | 0.27 (0.19-0.36) | 0.72 (0.53-0.94) | 0.56 (0.32-0.99) | 1.51 (0.84-2.73) | 0.51 (0.33-0.80) | 1.01 (0.65-1.57) |
| Higher | 0.21 (0.15-0.29) | 0.49 (0.33-0.58) | 0.31 (0.17-0.57) | 0.75 (0.40-1.39) | 0.18 (0.09-0.37) | 0.36 (0.18-0.73) |
| Income | | | | | | |
| Q1 (lowest) | 1 | 1 | 1 | 1 | 1 | 1 |
| Q2 | 0.79 (0.65-0.96) | 0.89 (0.73-1.09) | 0.89 (0.63-1.26) | 0.84 (0.58-1.22) | 0.72 (0.53-1.00) | 0.72 (0.49-1.04) |
| Q3 | 0.68 (0.56-0.84) | 0.81 (0.65-1.01) | 1.28 (0.91-1.81) | 1.22 (0.83-1.79) | 1.02 (0.71-1.45) | 1.08 (0.70-1.66) |
| Q4 | 0.55 (0.43-0.72) | 0.72 (0.55-0.94) | 0.99 (0.68-1.44) | 0.99 (0.67-1.47) | 0.69 (0.46-1.06) | 0.69 (0.43-1.11) |
| Q5 (highest) | 0.25 (0.17-0.37) | 0.35 (0.23-0.52) | 0.99 (0.65-1.50) | 0.92 (0.60-1.42) | 0.49 (0.32-0.75) | 0.39 (0.24-0.64) |
| Location | | | | | | |
| Urban | 1 | 1 | 1 | 1 | 1 | 1 |
| Rural | 0.95 (0.72-1.24) | 1.68 (1.33-2.08) | 0.79 (0.60-1.05) | 0.90 (0.67-1.21) | 0.96 (0.60-1.55) | 1.07 (0.67-1.73) |
| Age (years) | | 1.09 (1.07-1.11) | | 1.08 (1.06-1.09) | | 1.08 (1.07-1.09) |
| Sex | | | | | | |
| Male | | 1 | | 1 | | 1 |
| Female | | 1.50 (1.29-1.76) | | 1.86 (1.41-2.48) | | 1.60 (1.22-2.10) |
| Marital status | | | | | | |
| Never married | | 1 | | 1 | | 1 |
| Married-Cohabiting | | 0.70 (0.41-1.18) | | 0.45 (0.17-1.21) | | 0.42 (0.15-1.18) |
| Separated/divorced/ | | 0.71 (0.44-1.15) | | 0.54 (0.20-1.44) | | 0.56 (0.19-1.68) |

widowed

Table 4. continued...

| | Mexico | | Russian Federation | | South Africa | |
|--------------------------------|------------------|------------------|--------------------|------------------|------------------|------------------|
| | Unadjusted | Adjusted | Unadjusted | Adjusted | Unadjusted | Adjusted |
| Education | | | | | | |
| No education | 1 | 1 | 1 | 1 | 1 | 1 |
| Less than primary | 0.75 (0.40-1.43) | 0.93 (0.49-1.77) | 0.18 (0.04-0.68) | 0.21 (0.04-1.06) | 0.85 (0.54-1.33) | 1.09 (0.69-1.72) |
| Primary | 0.42 (0.18-0.97) | 0.62 (0.27-1.44) | 0.18 (0.06-0.50) | 0.15 (0.03-0.58) | 1.01 (0.64-1.57) | 1.26 (0.80-1.98) |
| Secondary | 0.06 (0.01-0.28) | 0.12 (0.02-0.53) | 0.08 (0.03-0.22) | 0.12 (0.03-0.46) | 0.55 (0.25-1.20) | 0.75 (0.36-1.55) |
| Higher | 0.26 (0.08-0.86) | 0.35 (0.11-1.13) | 0.02 (0.01-0.05) | 0.07 (0.02-0.28) | 0.05 (0.01-0.16) | 0.09 (0.03-0.27) |
| Income | | | | | | |
| Q1 (lowest) | 1 | 1 | 1 | 1 | 1 | 1 |
| Q2 | 0.32 (0.15-0.69) | 0.45 (0.22-0.93) | 0.60 (0.36-1.00) | 0.69 (0.38-1.25) | 1.01 (0.60-1.71) | 1.11 (0.62-1.97) |
| Q3 | 0.31 (0.12-0.75) | 0.36 (0.16-0.79) | 0.55 (0.27-1.11) | 0.61 (0.30-1.23) | 0.50 (0.27-0.89) | 0.48 (0.27-0.87) |
| Q4 | 0.44 (0.20-0.94) | 0.55 (0.24-1.28) | 0.47 (0.25-0.88) | 0.78 (0.43-1.42) | 0.72 (0.43-1.23) | 0.65 (0.36-1.18) |
| Q5 (highest) | 0.24 (0.10-0.58) | 0.34 (0.14-0.83) | 0.25 (0.14-0.47) | 0.40 (0.22-0.71) | 1.00 (0.46-2.17) | 0.77 (0.35-1.68) |
| Location | | | | | | |
| Urban | 1 | 1 | 1 | 1 | 1 | 1 |
| Rural | 0.65 (0.37-1.15) | 0.80 (0.49-1.30) | 0.59 (0.27-1.29) | 0.54 (0.22-1.33) | 1.30 (0.87-1.94) | 1.21 (0.81-1.81) |
| Age (years) | | 1.08 (1.05-1.11) | | 1.13 (1.11-1.16) | | 1.07 (1.04-1.09) |
| Sex | | | | | | |
| Male | | 1 | | 1 | | 1 |
| Female | | 1.36 (0.83-2.24) | | 1.06 (0.69-1.62) | | 1.21 (0.73-1.71) |
| Marital status | | | | | | |
| Never married | | 1 | | 1 | | 1 |
| Married-cohabiting | | 2.66 (1.02-6.89) | | 0.80 (0.24-2.69) | | 0.84 (0.52-1.37) |
| Separated/divorced /widowed | | 2.65 (0.95-7.38) | | 0.71 (0.22-2.22) | | 1.01 (0.56-1.82) |

Appendix 1. List of the 40 variables included in the frailty index and cut points by domain.

| | |
|---|---|
| General health (1) | |
| Self-rated health | Very good=0, Good=0.25, Moderate=0.5, Bad=0.75, Very bad=1 |
| Medically diagnosed conditions (9) | |
| Arthritis; stroke; angina; diabetes; COPD; asthma; depression; hypertension; cataracts | No=0 Yes=1 |
| Medical symptoms (4). In the last 30 days how much...? | |
| ...of bodily aches or pains did you have? | |
| ...of a problem did you have with sleeping? | |
| ...difficulty did you have in seeing (person or object) across the road? | None=0, Mild=0.25, Moderate=0.5, Severe=0.75, Extreme/cannot=1 |
| ...difficulty did you have in seeing an object at arm's length? | |
| Functional activities assessment (13). In the last 30 days how much difficulty did you have in...? | |
| ...sitting for long periods | |
| ...walking 100 meters | |
| ...standing up from sitting down | |
| ...standing for long periods | |
| ...climbing one flight of stairs without resting | |
| ...stooping, kneeling or crouching | |
| ...picking up things with fingers | None=0, Mild=0.25, Moderate=0.5, Severe=0.75, Extreme/cannot=1 |
| ...extending arms above shoulders | |
| ...concentrating for 10 minutes | |
| ...walking long distance (1km) | |
| ...carrying things | |
| ...getting out of home | |
| How much is respondent emotionally affected by health condition? | |
| Activities of daily living (ADLs)(10). In the last 30 days how much difficulty did you have...? | |
| ...taking care of your household responsibilities | |
| ...joining community activities | |
| ...bathing/washing | |
| ...dressing | |
| ...performing day to day work | None=0, Mild=0.25, Moderate=0.5, Severe=0.75, Extreme/cannot=1 |
| ...moving around inside home | |
| ...eating | |
| ...getting up from lying down | |
| ...getting to and using the toilet | |
| ...getting where you want to go (using private or public transport, if needed) | |
| Body mass index (BMI) (1) | |
| BMI [weight/(height in meters ²)] | ≥18.5= 0 (Reference), <18.5= 1 (Underweight) |
| Grip strength (1) | |
| Grip (in Kg) | <u>Men</u> : (0<bmi<=24 and grip<=29) or |

(Left hand+Right hand)/2

($24 < \text{bmi} \leq 26$ and $\text{grip} \leq 30$) or
 ($26 < \text{bmi} \leq 28$ and $\text{grip} \leq 30$) or
 ($28 < \text{bmi} \leq 40$ and $\text{grip} \leq 32$) = **1 (weak)**

Women: ($0 < \text{bmi} \leq 23$ and $\text{grip} \leq 17$) or
 ($23 < \text{bmi} \leq 26$ and $\text{grip} \leq 17.3$) or
 ($26 < \text{bmi} \leq 29$ and $\text{grip} \leq 18$) or
 ($29 < \text{bmi} \leq 40$ and $\text{grip} \leq 21$) = **1 (weak)**

Gait speed (1)

Time (sec) at normal/usual pace over 4 meters

($0 < \text{time} \leq 1$) = **0 (Normal)**,
 ($1 < \text{time} \leq 99$) = **1 (Slow)**

Appendix 2. List of the 12 variables included in the WHODAS score and cut points.

In the last 30 days how much difficulty do you have in:

- ...learning a new task, for example, learning how to get to a new place?
- ...making new friendships or maintaining current friendships?
- ...dealing with strangers?
- ...standing for long periods, such as 30 minutes?
- ...taking care of your household responsibilities?
- ...joining community activities (for example, festivities, religious or other activities) in the same way as anyone else can?
- ...concentrating on doing something for 10 minutes?
- ...walking long distance, such as one kilometer?
- ...bathing/washing your whole body?
- ...getting dressed?
- ...performing your day to day work?

None=**0**, Mild=**1**,
 Moderate=**2**, Severe=**3**,
 Extreme/cannot=**4**

In the last 30 days, how much have you been emotionally affected by your health condition(s)?
