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Recent contributions to the rapid screening of radionuclides in emergency responses and nuclear forensics

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Highlights:

- A general overview of current Investigative methods used in nuclear forensics and emergency responses is presented along with a range of new rapid methods.
- Borate fusion is presented as a valuable tool for rapidly dissolving complex samples with one key application being the elimination of matrix absorption effects that can compromise gamma ray spectrometry data.
- A novel, rapid liquid scintillation method is presented that uses multiple quench corrections to allow rapid screening and identification of alpha and beta contaminated water and other samples in emergency situations
- A review of mass spectrometric methods shows their impact on rapid and precise isotopic analysis in the context of nuclear forensics and emergency situations

Abstract

The ability to efficiently identify potential radiological threats or actual radioactive assaults on society and the environment demands a sophisticated and dedicated infrastructure comprising specialised personnel, mobile and fixed laboratories and advanced analytical instrumentation. Most developed countries have such systems but ensuring a long-term and resilient capability is recognised as a perennial challenge. National government laboratories specialising in nuclear forensics play a key role in maintaining capability but these organisations continue to benefit significantly from interdisciplinary and innovative contributions derived from universities and other research institutions. This review provides an insight into the range of technologies used and also provides a broad overview of applied techniques and instrumentation that contribute to rapid screening and analysis in the context of nuclear forensics and radiological emergencies.

Keywords: Homeland security, nuclear forensics, radiological emergencies, rapid radioanalytical methods, radioanalytical skills gaps, universities as innovators

37 1. Introduction

38 There is an international threat of nuclear or other radioactive material being used
39 in criminal acts [1,2]. This requires the radioanalytical community to continually
40 develop or improve a range of robust and rapid analytical methods to support
41 investigative and law enforcement agencies. This paper presents a limited review
42 of commonly used methods and some relatively recent approaches that offer
43 practical benefits and enhancements in sensitivity, speed and accuracy in the
44 context of nuclear forensics and radiological emergencies.

45

46 Recent non-nuclear acts committed by terrorists have demonstrated their global
47 organisational ability and several countries are justly concerned that radiological
48 acts could follow. That there is an illicit demand for nuclear or other radioactive
49 materials is known by the authorities from attempts to sell such materials [3,4].
50 The number of successful transactions is imprecisely known and therefore it is
51 difficult to accurately characterize the 'illicit nuclear market'. Many trafficking
52 incidents are considered amateurish in nature and lacking in planning, resourcing
53 and technical proficiency. There are, however, a few significant cases that are
54 better organised and resourced and involved perpetrators with a track record in
55 trafficking nuclear/radioactive material.

56

57 The IAEA has promoted the message that the responsibility for nuclear security
58 matters lies with each member state but that guidance and best practice could be
59 coordinated [5]. It also considers that the identification and inhibition of threats
60 can be most effective through member co-operation and the implementation and
61 development of sophisticated systems. One aspect of this is the IAEA's Incident
62 and Trafficking Database (ITDB) that was originally established in 1995, and
63 which records incidents of illicit trafficking and other unauthorised activities and
64 events involving nuclear and other radioactive material outside regulatory control.
65 Up to the end of 2014, the ITDB contained a total of 2734 confirmed incidents
66 reported by participating States [1]. Of these, 442 incidents involved *unauthorised*
67 *possession and related criminal activities*, 714 incidents involved reported *theft or*

68 loss and 1526 incidents involved *other unauthorised activities and events*. In the
69 remaining 86 cases, a category could not be assigned due to insufficient reported
70 information.

71

72 Nuclear forensics as a technical discipline has been emerging for over two
73 decades [6] and is concerned with characterising various nuclear
74 materials and interpreting the resulting data. It uses a broad array of
75 advanced physical, chemical and isotopic procedures to characterise sampled or
76 seized nuclear and related materials (Figure 1). The insights gained are used to
77 control suspected trafficking activities, to deter nuclear terrorism and to verify that
78 international treaties (e.g. Non-Proliferation Treaty) are being upheld.

79

80 Many of the methods used in nuclear forensics can also be profitably employed
81 during the tracking of intentional or unintentional releases of nuclear or
82 radioactive materials into the environment. For example, deliberate contamination
83 of drinking water supplies with radioactive substances would be likely to have
84 significant health, social, and economic impacts. This scenario was addressed in
85 a recent multidisciplinary EU-funded study (SecurEau 2008-12) that focused on
86 developing rapid methods for potential CBRN attacks (chemical, biological, or
87 radioactive or nuclear) on water supplies. One of the most rapid of the radiometric
88 methods developed and discussed later involved a novel Liquid Scintillation
89 technique that applied spectral analysis to identify pure beta and alpha emitters in
90 waters, biofilms and pipeline deposits within one hour [7,8].

91

92 The ability to reliably monitor and identify illegal activities [e.g. those involving
93 fissionable materials (pre-detonation and post-detonation) and radiological
94 emergencies] requires constant vigilance, effective globally distributed monitoring
95 systems (e.g. CTBTO), specialist national laboratories, innovative investigative
96 approaches and a cohort of highly skilled specialists. Within the last 20 years a
97 gamut of techniques and systematic investigative approaches has been
98 developed by the nuclear forensic communities [9–13]. Regulatory and nuclear

99 forensic agencies not only require sensitive, accurate and precise analytical
100 techniques to undertake their work effectively, but also a supply of suitably skilled
101 specialists working in well-funded centres [14]. As argued by the National
102 Research Council Committee on Nuclear Forensics (2010) [15], without suitable
103 and sustained support, there is a danger of developing a skills/capability gap (Box
104 1) that would impact on resilience and the ability to be adequately prepared for
105 radiological threats. Expertise and training offered by well-equipped universities
106 and other research institutions (e.g. those specialising in geochemistry, isotope
107 geochemistry, radioanalytical chemistry etc.) can play a short-term mitigating role
108 in filling these gaps.

109

110 For both emergency response and nuclear forensics investigations,
111 comprehensive physical, chemical and radiological characterisation of materials
112 are required to inform emergency response strategies, assess risk and provide
113 evidence for subsequent investigations. Generally, characterisation of any
114 material will be staged and will commence with rapid non-destructive, non-contact,
115 testing followed by more time-consuming in-depth studies typically involving
116 destructive testing of sub-samples (Figure 1). The requirement for robust and
117 complex datasets to be produced in a time-constrained manner places unique
118 demands on the sample preparation, separation and measurement approaches
119 required for the in-depth characterisation stages. This review provides a general
120 insight into laboratory-based radioanalytical procedures used in nuclear forensic
121 and emergency situations. It also presents a number of recent analytical
122 procedures developed by our group that contribute to the speed of analysis at key
123 stages in the characterisation process.

124

125 **2. Rapid and quantitative methods for digesting solid samples**

126

127 Suspicious seized solid samples of security concern usually need to be dissolved
128 after initial non-destructive investigation and prior to more sensitive and targeted
129 analysis (e.g. mass spectrometry). A range of possible dissolution methods exist
130 (Table 1). The choice will be guided by early compositional information gathered

131 about the suspect material. Some materials will dissolve easily or with
132 persistence in mineral acid media and may benefit from microwave-induced
133 heating in closed PTFE or PFA vessels. Other samples such as silicates, oxides,
134 phosphates and sulphates in soils, sediment, rocks and minerals can be
135 resistant to acid digestion procedures and will succumb following a fusion
136 approach where 'minerals' are opened-out. Perhaps the most effective and
137 attractive of the fusion methods is borate fusion where most minerals readily
138 dissolve when blended with a flux and heated (Box 2 and Table 2).

139

140 Borate fusion, using lithium borates, was first established in the late 1960s [18] as a
141 means of fusing natural and industrial materials for subsequent XRF analysis.
142 Expansion in the use of the procedure accompanied the development of
143 automated wavelength dispersive X-ray fluorescence spectrometry (WDXRF)
144 and contributed to a general advance in major and trace element
145 geochemistry (e.g. through analysis of numerous samples collected from the
146 International Ocean Drilling Project). It was quickly recognised that most
147 geological rocks and minerals could be readily dissolved in lithium borate
148 fluxes, using either platinum or graphite crucibles. The resulting
149 homogeneous glasses could be measured directly for 50 or more elements by
150 WDXRF analysis. With the advent of inductively coupled plasma spectrometry
151 (ICP-OES and ICP-MS), lithium borate fusions were also used to dissolve
152 samples and the melts were poured directly into dilute acid or water to rapidly
153 fragment the quenched glass. Fragmentation enhanced the speed of
154 subsequent acid dissolution and allowed measurement using ICP instruments.
155 Another approach was to apply laser ablation directly to the borate glass disk
156 to acquire chemical information, even down to low trace element
157 concentrations [19]

158

159 Given the beneficial characteristics of borate fusion (speed, safety and ability
160 to dissolve a broad range of materials) and its established use in the
161 geochemical field, it is surprising that it was not applied in radioanalytical

162 chemistry until the work by Croudace and co-workers in 1996 [20]. A likely
163 reason is that most radioanalytical practitioners were chemists who
164 traditionally used classical solution methods (acids, bases) or alkali carbonate
165 or fluoride fluxes to digest samples, both of which were non-ideal. The first
166 reported routine application of borate fusion in radioanalytical sample
167 preparation related to a 1996 research project that demanded high precision
168 and rapid isotopic analysis of U and Pu. The ability to digest, chemically
169 purify and measure 800 soil and QC samples by mass spectrometry within a
170 3-month period is a testament to the effectiveness of borate fusion. This was
171 a complex and high public profile investigation of an alleged nuclear weapon
172 incident (Feb 1958) at the former USAF airbase at Greenham Common near
173 Newbury in the UK. It was alleged that the ground had become contaminated
174 and a broad ranging soil sampling program was established to assess the
175 veracity of the claims. Prior to this work, radioanalytical specialists would
176 traditionally have used one or more sample digestion approaches to extract U
177 and Pu (and other elements with radioactive species) from soils. The
178 traditional methods were slow and often potentially hazardous using
179 hydrofluoric acid attacks or fusions with alkali fluorides, carbonates and
180 peroxides. The 1996 study demonstrated the impressive benefits of using
181 borate fusion in the rapid digestion of solid materials. Subsequently the
182 lithium tetraborate method has been routinely used by the originators in
183 routine environmental radioactivity and nuclear forensic investigations [28–
184 34].

185

186 **3. Overcoming matrix attenuation in gamma spectrometric measurements**

187 Upon discovery or seizure of illicitly trafficked nuclear materials, the unknown
188 specimen should be measured via gamma spectrometry within 24-hours to gain a
189 rapid but preliminary understanding of the radiological composition and
190 concentration. The measurement of low energy gamma photons (40-200 keV) in
191 potentially heterogeneous sample matrices containing high-density particles, such
192 as uranium ore concentrate (UOC or yellowcake), can result in under or

193 overestimated activity concentrations. This is because of photon self-attenuation
194 and the inability to correctly adjust photon detection efficiencies based on sample
195 mean density as a result of the heterogeneous sample matrix. For U-ore and
196 UOC, dense U-bearing minerals of variable grain size are supported within a
197 lighter bulk matrix comprising trace minerals and/or chemical residues (analogous
198 to a nugget effect) [33]. The extent of photon attenuation observed in reference
199 materials is proportional to the grain size and concentration of the dense U
200 phases. With high grain size and concentration, the probability of a transmitting
201 photon undergoing attenuation within such a grain is increased. Other
202 experimental [35–38] and theoretical [39–41] approaches have successfully been
203 applied to overcome or correct for photon attenuation but they are not applicable
204 to nuclear forensic investigations and highly heterogeneous matrices due to
205 impracticalities, assumptions or time consuming requirements.

206

207 Lithium tetraborate flux was used to rapidly and efficiently dissolve and digest a
208 set of complex and heterogeneous compounds resulting in an aqueous sample
209 with a predictable and consistent geometry / density identical to aqueous
210 calibration standards [33]. This procedure removes the requirement for
211 attenuation correction factors deduced from direct transmission style experiments
212 and no proxy radionuclides are used. Additionally, no prior knowledge about the
213 chemical, physical and radiological composition of the sample is required. To
214 demonstrate the importance of this technique, three certified reference materials
215 (CRMs) for U, CUP-1 (0.128 wt% U), BL-5 (7.09 wt% U) and CUP-2 (75.42 wt%
216 U) were characterised in their as supplied form (direct measurement) and after
217 lithium borate fusion (fused measurement) using HPGe well-type gamma
218 spectrometers (figure 2). The total sample used was 0.5 g and was found to be
219 adequate for the measurement of low U concentration samples such as ores.
220 Where UOC or high radioactivity samples are suspected, lower sample mass
221 could be used but the associated uncertainty would increase. The entire
222 procedure is extremely rapid as the preparation requires approximately 20 h and
223 the measurement time is 1 h.

224

225 Direct measurement of the low-grade U-ore reference material CUP-1 gives ^{210}Pb
226 and ^{234}Th activity concentration of $78 \pm 10\%$ and $94 \pm 10\%$ respectively of its
227 certified value. The higher energy ^{235}U and ^{226}Ra measured activity
228 concentrations agree with certified values within uncertainty. In the fused form,
229 measured ^{210}Pb and ^{234}Th are $95 \pm 10\%$ and $98 \pm 8\%$ respectively of the certified
230 value. For the UOC analogue CUP-2, the directly measured ^{234}Th activity
231 concentration is $7 \pm 1\%$ of the certified activity concentration whereas after fusion,
232 this value is $100 \pm 2\%$ of the certified value. Additionally, directly measured
233 $^{234\text{m}}\text{Pa}$ (1001 keV) in CUP-2 was $91 \pm 5\%$ of the certified value indicating that
234 even high-energy gamma photons are being measurably attenuated.

235

236 Gamma spectrometry is used in nuclear forensic analysis as offers a non-
237 destructive capability thereby preserving the recovered sample for other testing.
238 Although the borate fusion procedure is a destructive technique, the sample mass
239 required is very small resulting in the majority of the sample being preserved for
240 future analyses and requirements. Additionally, the resulting fused sample can be
241 used for mass spectrometric / radiochemical measurements without the
242 requirement of further sub-sampling and digestion thus increasing the speed in
243 which other analytical techniques can be implemented as part of the nuclear
244 forensics characterisation.

245

246

247 **4. Rapid radionuclide screening including identification and quantification**

248 Following an actual or suspected assault on a water supply, rapid identification
249 and quantification of contaminants is critical to assessing the risk to the public
250 and remediation actions required. The EU Framework 7 project – Secureau [7]
251 considered CBRN assaults on drinking water supplies. As part of this programme,
252 our group was tasked with the development of rapid techniques for the
253 identification and quantification of radionuclide contaminants. Such a screening
254 technique must be capable of detecting alpha and beta emitting radionuclides and
255 must be sensitive to low energy beta emitters. Most techniques used for routine
256 analysis of radionuclides in drinking waters either are incapable of detecting alpha,
257 high energy beta and low energy beta emitting radionuclides or do not provide
258 spectrometric information necessary for radionuclide identification. Liquid
259 scintillation analysis (LSA) was identified as the only radiometric technique
260 capable of fulfilling this role. The technique exhibits detection efficiencies
261 approaching 100% for alpha and high energy beta emitting radionuclides and
262 good efficiencies even for low energy beta emitters such as ^3H (18.6 keV) and ^{14}C
263 (156 keV). Alpha and beta emissions can be distinguished if required using pulse
264 shape analysis techniques. In addition liquid scintillation analysis provides
265 spectrometric information that is critical for radionuclide identification.

266
267 Radionuclide identification by LSA is complicated by the effect of quenching on
268 peak position. Theoretically, the maximum energy of emission (E_{max}) is diagnostic
269 of the nuclide present. However, quenching effectively shifts the beta spectrum
270 to lower energies, with the degree of quench being dependent on the sample
271 composition. To overcome this, a multiple quench correction approach was
272 developed to permit radionuclide identification irrespective of quench conditions
273 [8]. Two quench parameters, the internal quench parameter (which is dependent
274 on emission energy and quench level) and the external quench parameter (which
275 is dependent on quench level only), were measured along with the sample activity.
276 The quench parameters were combined to provide a factor that is related only to
277 emission energy and is independent of quench. This factor was then used to

278 determine the decay energy of the emission and hence the identity of the
279 radionuclide present. Once the decay energy is known, the measured sample
280 count rates can be corrected for detection efficiency to provide activity estimates.
281 An additional factor, termed the peak shape factor was developed to measure the
282 asymmetry of the peak and to distinguish between alpha and beta emitting
283 radionuclides as well as providing an indication of the presence of multiple
284 radionuclides (Figure 3). Internal quench parameters (SQPI(x)) are determined
285 from the sample spectrum and are quoted in terms of the spectrum channel
286 number below which a defined percentage area (x) of the spectrum lies. The peak
287 shape factor (PSF) is the ratio of the SQPI values calculated for 95% of the
288 spectrum and 50% of the spectrum ($PSF = SQPI(95)/SQPI(50)$). Deconvolving of
289 alpha and beta emitting radionuclides can be achieved using other approaches
290 but this requires specific signal processing capability that is not available on all
291 commercial liquid scintillation counters and which is also dependent on quench.
292

293 The novel approach was demonstrated to be effective at identifying and
294 quantifying radionuclides in a range of drinking waters with [Ca+Mg] ranging from
295 0 - 230 mg L⁻¹. The approach is rapid, providing data within 60 minutes of sample
296 receipt and is capable of quantifying alpha and beta emitting radionuclides down
297 to at least 10% of the emergency drinking water action levels. The approach was
298 also demonstrated as effective for the screening of radionuclide contamination in
299 Fe-rich (up to 64 wt % Fe), Mn-rich (5.7 wt % Mn and 42 wt % Fe) and CO₃²⁻—
300 rich (35 wt % Ca) pipeline scales. Both developments relate to advances in data
301 processing rather than instrumental development and can therefore be
302 implemented using existing, commercially-available hardware.

303

304 **5. Analyte separation techniques**

305 Chemical separation of the analyte from the bulk matrix and potential
306 interferences is critical to alpha spectrometric and beta radionuclide analysis as
307 well as for mass spectrometric determination of radionuclides. Traditionally, such
308 separations have been achieved using solvent extraction, precipitation or ion-
309 exchange based techniques. Extraction chromatographic materials potentially
310 offer more specific separation whilst retaining the benefits associated with
311 chromatographic separation. Development of novel extraction chromatographic
312 materials has permitted targeted separation of the analyte, simplifying separation
313 schemes and reducing analysis times. A range of extractants including simple
314 complexants, ion-selective macrocyclic complexants (e.g. crown ethers,
315 cryptands, calixarenes), chelating agents and liquid ion exchangers have been
316 used. In general, materials are based on an extractant / solvent coated onto an
317 inert support although covalent bonding of the extractant to the support has also
318 been reported. Commercially-available resins have been developed for the
319 targeted purification of Ni, Sr, Sn, Pb, Tc, lanthanides and actinides and have
320 been utilised in safeguards / nuclear forensics applications [42–49]. Higginson *et*
321 *al* (2015) [50] reported the development and characterisation of a soft N-donor
322 ligand extractant for the separation of ²⁴¹Am from matrix elements including rare
323 earth elements, specifically for nuclear forensics applications. Our group has

324 developed and characterised extraction chromatographic materials based on
325 ketones (DIBK) for the isolation of ^{55}Fe [51], amines for the separation of ^{99}Tc [52]
326 and calixarene-based materials for the isolation of ^{135}Cs prior to ICP-MS
327 measurement [53]. In all cases high specificity for the target analyte was
328 demonstrated. For ^{135}Cs , the calixarene (Bob-CalixC6) was used to effectively
329 separate ^{135}Cs from the isobaric interference ^{135}Ba (6.59% natural abundance),
330 achieving separation factors > 2500 . Caesium was eluted from the column in
331 0.05M HNO_3 . This acid strength can be aspirated directly into an ICP-MS,
332 avoiding the need for further evaporation of the sample prior to ICP-MS, reducing
333 analysis times and eliminating the potential for contamination. Direct assay of the
334 analyte adsorbed onto an extractant has been applied for the measurement of
335 rare earth elements in U ore concentrates by LA-ICP-MS [54]. Combining the
336 extraction chromatographic functionality with scintillant detection into a single
337 solid-phase material offers further simplification of the analytical scheme,
338 reduction in analysis times and potential reduction in waste generation.

339

340 **6. Mass spectrometric developments for rapid screening of radionuclides**

341 Measurement of a number of radionuclides by radiometric techniques is
342 extremely challenging and labour-intensive, and in some cases may not be
343 possible. The advances in mass spectrometric techniques (particularly ICP-MS)
344 has increased the number of nuclides detectable and in many cases the
345 sensitivities achievable, expanding measurement capabilities in the field of
346 nuclear forensics. Initially, ICP-MS focussed on detection of single longer lived
347 radionuclides where the low specific activities favoured an atom-counting
348 technique e.g. ^{238}U , ^{235}Th , ^{99}Tc , and ^{237}Np . As the technique has advanced, the
349 capabilities have expanded to include the detection of long lived, low abundance
350 radionuclides including ^{93}Zr , ^{135}Cs and ^{59}Ni ; quantification of shorter-lived
351 radionuclides such as ^{90}Sr , significantly reducing the analytical time for such
352 analyses [55]; and measurement of isotopic ratios e.g. $^{135}\text{Cs}/^{137}\text{Cs}$ [56,57],
353 $^{239}\text{Pu}/^{240}\text{Pu}$ [58] $^{236}\text{U}/^{238}\text{U}$ [59], and $^{127}\text{I}/^{129}\text{I}$ [60,61]. This has significant

354 implications in the field of nuclear forensics, enabling the user to determine the
355 source of nuclear contamination.

356

357 ICP-MS offers a significantly reduced measurement time and higher sample
358 throughput compared to alpha and beta counting techniques for longer-lived
359 radionuclides, with a count time of several minutes per sample. Following sample
360 digestion, ICP-MS can be used as a rapid screening technique to determine the
361 bulk sample composition and identify radionuclides of interest. Following chemical
362 separation, multiple radionuclides can be determined within a single sample run.
363 A major consideration is the extent of interference removal required, primarily the
364 elimination of isobaric, polyatomic and tailing interferences. The instrumental
365 setup will influence the extent of chemical separation required prior to sample
366 introduction, and the sensitivity and detection limits achievable (Table 2). The
367 flexibility of sample introduction has led to significant advances in radionuclide
368 measurement capabilities by ICP-MS. A number of variables must be considered
369 including the sample uptake rate, instrumental sensitivity, hydride and oxide
370 formation rate, and the efficiency of sample washout to avoid cross-contamination.

371

372 Quadrupole instruments without a collision or reaction cell have limited ability to
373 remove interferences, and are reliant on chemical separation and/or sample
374 introduction-based separation to remove interferences. An example of a recent
375 instrumental development in quadrupole ICP-MS is the Agilent 7900 with an Ultra
376 High Matrix Introduction (UHMI) system that allows direct analysis of sample with
377 up to 25 % TDS, potentially reducing the sample preparation time required prior
378 to analysis. Alternatively, the Agilent 8800 Triple Quad ICP-MS/MS (ICP-QQQ)
379 consists of a quadrupole positioned either side of a collision-reaction cell (termed
380 the octopole reaction system, ORS), which leads to greater control over the ions
381 entering the cell compared to previous generation reaction cell instruments, and
382 improves the abundance sensitivity to a theoretical value of 10^{-14} . This setup has
383 been proven to be advantageous to measurement of several isotopic ratios,
384 including $^{135}\text{Cs}/^{137}\text{Cs}$ [56], $^{129}\text{I}/^{127}\text{I}$ [61], and $^{236}\text{U}/^{238}\text{U}$ [59].

385 Sector field instruments have limited ability to remove interferences, as even at
386 high resolution the majority of isobaric and polyatomic interferences cannot be
387 resolved. Operating at medium or high resolution reduces the instrument
388 sensitivity, but has been proven to improve the detection limit because of the
389 enhancement in abundance sensitivity and polyatomic interference removal
390 [55,62,63]. Generally, radionuclide measurements by sector field measurements
391 (such as the Thermo Scientific Element 2XR) are performed in low resolution
392 mode and combined with extensive chemical separation and efficient sample
393 introduction to achieve very high sensitivity and detection limits in the fg/g range
394 [32,53,58,64]. Further to this, multi-collector instruments are fitted with multiple
395 detectors, which increases beam usage efficiency compared to single collector
396 instruments, as there is no need to cycle a number of small ion beams through a
397 single detector [65,66]. This enables highly accurate measurement of isotopic
398 ratios ($\sim 0.001\%$) [65,66]. In order to achieve accurate isotopic ratio values, the
399 instrumental mass bias and response of each detector must be monitored, most
400 commonly by measuring a standard of known isotope ratio and determining a
401 mass bias factor, using an element with a similar mass and ionisation efficiency
402 to the nuclide of interest.

403

404 Thermal ionisation mass spectrometry (TIMS) is a realistic alternative to ICP-MS
405 and has some benefits (high source stability leading to high precision
406 measurements) but also has some negative features (longer filament preparation
407 time, filament burnout). The TIMS technique was well established before the
408 advent of ICP-MS but is undoubtedly being progressively replaced by double
409 focussing, sector field plasma-based methods. Accelerator mass spectrometry
410 (AMS) and resonance ionisation mass spectrometry (RIMS) are both highly
411 sensitive techniques that offer superior sensitivity to ICP-MS. They have a place
412 in nuclear forensics but exist in fewer highly specialised and expensive facilities.
413 They are likely to be used if readily available or in otherwise exceptional
414 circumstances.

415

416 **7. Conclusion**

417 The ability to investigate radioactivity assaults requires a broad and multi-
418 disciplinary technical capability that includes appropriately skilled personnel, a
419 range of instrumental and analytical approaches and laboratory infrastructure
420 (mobile and fixed). International co-operation is also a key requirement to ensure
421 the spread of good practice and to share know-how given a limited pool of talent.
422 Building greater resilience within the nuclear forensics and radioanalytical sector
423 is largely stimulated within the existing national laboratories. However, as is
424 shown in this review, other organisations like universities with specialisms in
425 radioanalytical science, geochemistry, photonics and mass spectrometry can
426 also play a beneficial role. As demonstrated, such centres of excellence
427 frequently contribute innovative analytical solutions and skilled scientists that are
428 fit for the nuclear forensics community.

429

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436

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627

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631 (Southampton). He obtained his PhD in granite geochemistry (specializing in
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635 research and consultancy experience and has written over 170 scientific
636 publications and one book along with numerous reports. He specialises in isotope
637 geochemistry, gamma ray spectrometry and X-ray fluorescence analysis. He has
638 managed several high profile UK research projects in nuclear and environmental
639 forensics and was part of the award winning EU FP7 SecurEAU project.

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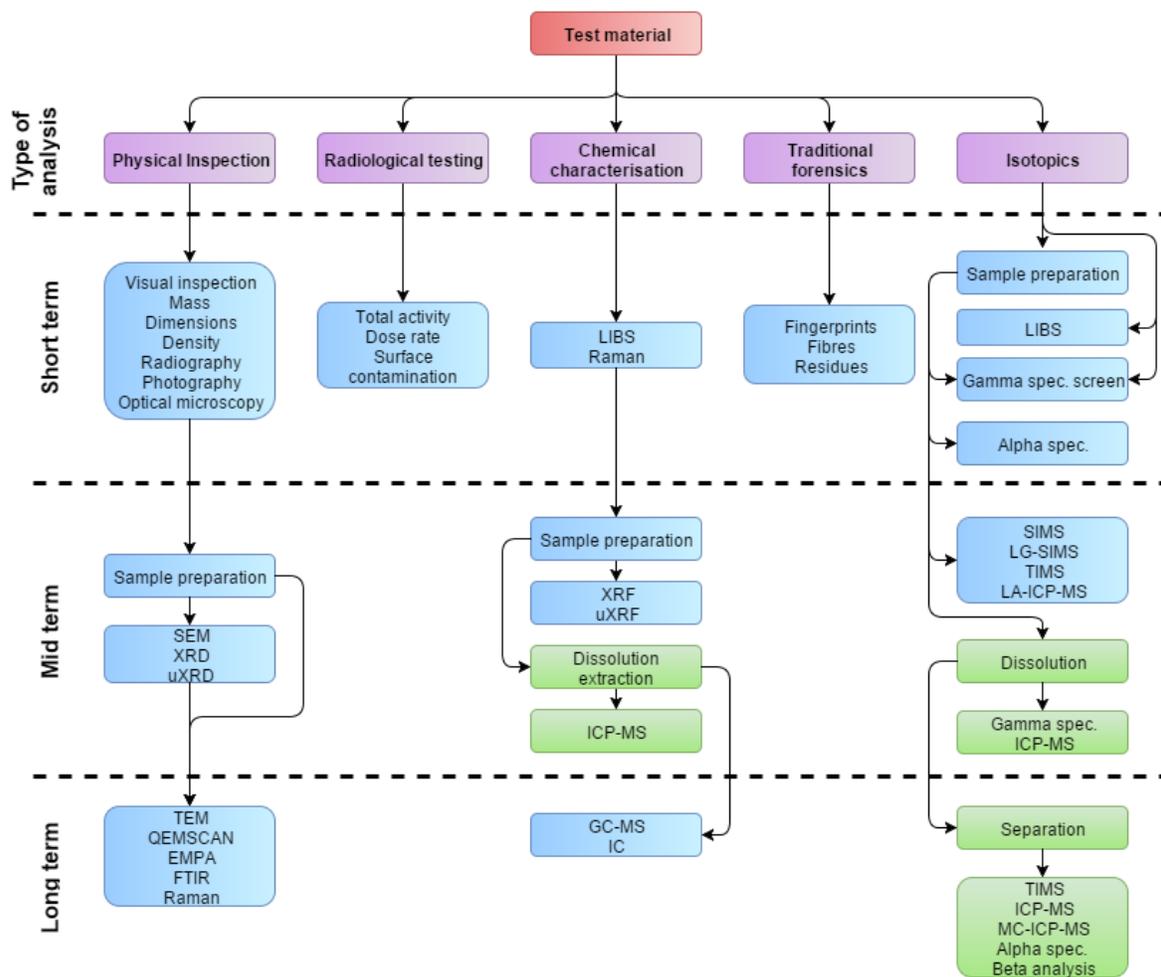
641 **Phillip Warwick** is the deputy Director of GAU-Radioanalytical and a Professorial Fellow
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643 Environmental and Biological Chemistry laboratory for the Atomic Energy
644 Authority. He has over 25 years research and consultancy experience and has
645 published more than 55 papers in environmental and analytical radiochemistry
646 and nuclear waste characterisation. He has been the chair of the Radiochemistry

647 Group of the Royal Society of Chemistry and is a member of the Analyst Informal
648 Working Group and Cross Industry Assay Working Group. With Ian Croudace, he
649 has worked extensively on the development of mass spectrometric techniques for
650 radionuclide analysis and has applied this to several high profile nuclear and
651 environmental forensics research projects. Both are currently evaluating the
652 radioanalytical potential of the Agilent 8080 ICP-MS/MS instrument.
653

654

655 **David Reading** received his MSci in Geology from The University of Southampton in
656 2011. He continued with a PhD in the field of nuclear forensics funded by AWE
657 Plc with Ian Croudace and Phil Warwick as advisors. His project focused on the
658 development of novel sample preparation methods for uranium ores and uranium
659 ore concentrates prior to radiometric and high precision mass spectrometric
660 determinations (REE, U isotopes). The research has created a range of
661 analytical tools to identify geolocation criteria for UOCs and U ores. David's work
662 has led to five drafted publications with one being published as an Elsevier
663 featured article in *Analytica Chimica Acta*.
664

665 **Ben Russell** completed his PhD at the University of Southampton in 2014 with Ian
666 Croudace and Phil Warwick as advisors. He investigated the application of sector
667 field inductively coupled plasma mass spectrometry (ICP-SFMS) for level nuclear
668 waste characterisation, focussing on radiocaesium isotopes and strontium-90.
669 The project combined novel and efficient chemical separation techniques with
670 high sensitivity ICP-SFMS quantification, resulting in three lead-author peer-
671 reviewed papers and with a significant invited review paper in draft. Ben now
672 works in the radioactivity group at the National Physical Laboratory, London, with
673 his primary role being to assess the radioanalytical capability of a novel ICP-
674 MS/MS instrument.
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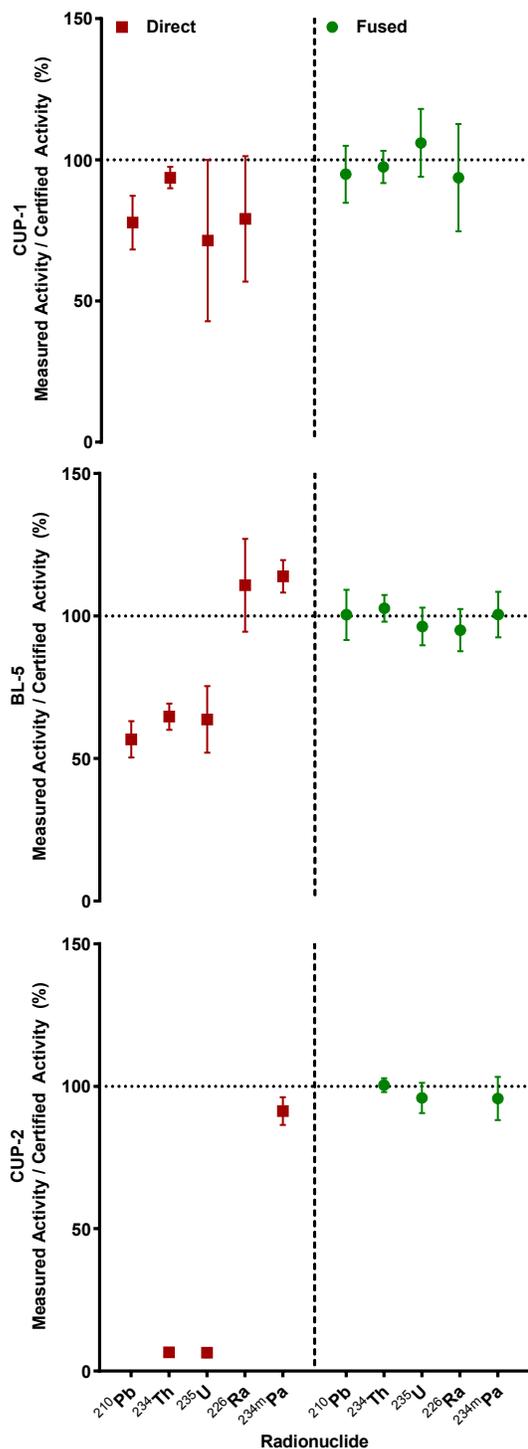
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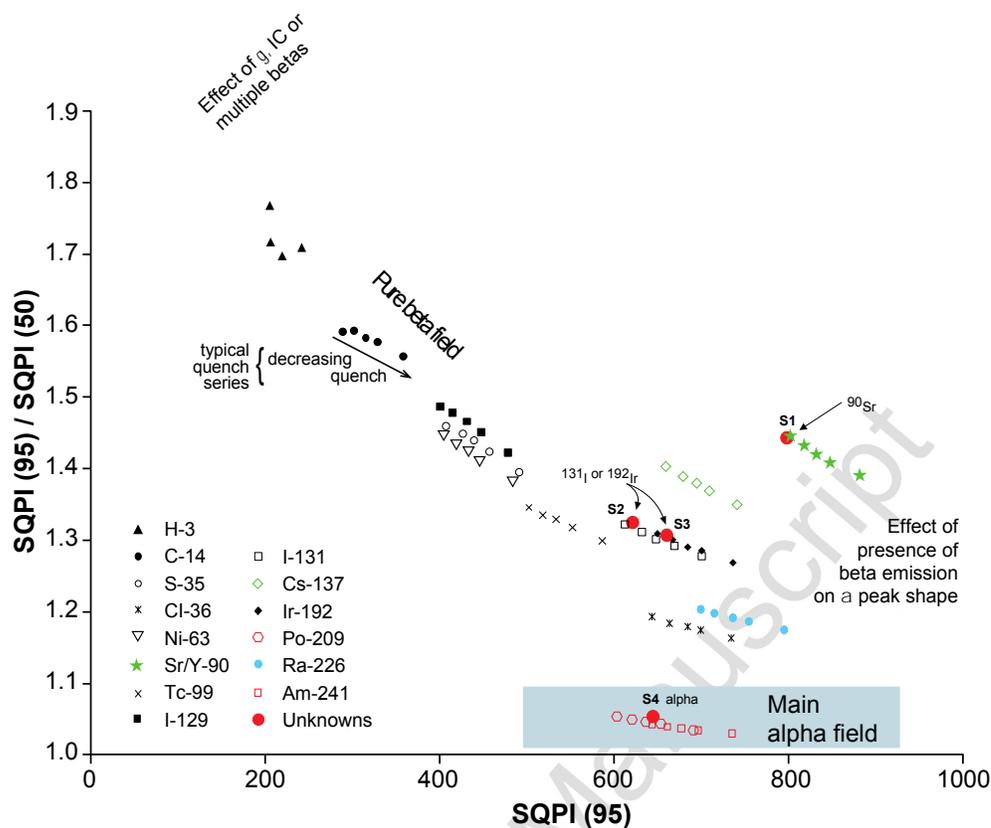
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Figure 1: Summary of analytical sequences and methods used when investigating nuclear / radioactive suspect materials in the context of nuclear forensics or emergencies. Techniques shown in green coloured boxes are the focus of this paper. Adapted, and further developed, from Hutcheon et al 2013 and Mayer et al 2005 [16,17]



682

683 **Figure2:** Activity concentrations for natural uranium radionuclides of direct and fused
 684 reference materials using certified activities. Missing data are due to activity
 685 concentrations being below LOD. Uncertainty = 2σ . Adapted from Reading et al. 2015
 686 [33].



687

688 **Figure 3:** Identification of radionuclides using a peak shape factor (SQPI(95)/SQPI(50)).
 689 S1 – S4 represents test samples spiked with an unknown radionuclide that are
 690 superimposed on standards data. The radionuclide present in each sample was
 691 identified by its position on the plot along with data from the combined internal / external
 692 quench ratio. Reproduced with permission from Warwick et al, 2013 [8], Copyright 2013,
 693 American Chemical Society.

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Accepted Manuscript

696 **Table 1: Sample digestion methods available for suspect materials.**

Digestion method	Problems / comments	Silicates	Oxides	Sulphates	Carbonates	Borates	Phosphates	Metals *	References
Borate fusion +/- acid digestion	Flexible method with no problems. Effectively digests all materials and is ideal for many elemental and isotopic analysis purposes. High purity lithium borate fluxes used to ensure low analytical blanks. Sample size can vary from 0.1-10 g. Sample:flux ratios from 1:1 upward. Pt-Au crucibles used which are easily cleaned. Typical fusion temperature < 1000-1200 °C.. Possible volatility issues with: Cs, Tc, Ru, I, Hg, Pb Po & Tl.	X	X	X	X			X	[20]
Flux free fusion +/- acid digestion	Small sample volumes treatable. Conducted in inert Ar atmosphere. Typical fusion temperature > 1300 °C. May require addition of SiO ₂ and MgO if silicate poor to help glass formation. Possible volatility issues with Cs, Hg, Pb & Tl.	X	X	X	X			X	[21]
HCl, HNO ₃	Microwave digestion, heating in PTFE or PFA pressure vessels may be effective. Full recovery of analytes potentially low. Oxidation of sample may be required to prevent volatilisation. Difficult to achieve full dissolution Possible volatility issues with: As, Ge, Po, S, Sb, Se, Tc.				X	X		X	[22]
HF / HClO ₄ Acid mix	Only small sample masses readily treatable. HF needs to be removed prior to analysis. Insoluble fluoride precipitates in large sample volumes. Perchlorates potentially explosive. Frequently requires the use of HCl and/or HNO ₃ . Possible volatility issues with: As, B, Ge, Po, Sb, Tc.	X	X		X	X	X	X	[23]
HF / H ₂ SO ₄ Acid mix	Small sample volumes treatable. HF needs to be removed prior to analysis. Many evaporation stages.							X	[22]
Alkali fluoride with pyrosulfate	Hazardous as HF produced; requires treatment with pyrosulfate to remove fluorides. Will attack Pt hardware.	X			X				[24]
NaCO ₃ fusion	Opens out mineral lattices but requires lengthy treatment. Dissolution of Pt hardware possible. Elevated Pb or Fe(II) will alloy with Pt hardware. Possible volatility issues with: As, Hg, Po, Tc, Tl, Se.	X			X	X		X	[25]
NaOH fusion	Opens out mineral lattices but requires lengthy post fusion treatment. Dissolution of Pt hardware possible.	X			X			X	[26]
Na ₂ O ₂ fusion or sinter with acid digestion	Attack of Pt hardware possible. Typical fusion temperature of 250-500 °C. Small sample volumes treatable. Time intensive procedure to dissolve the alkaline fusion cake. Possible volatility issues with Au & Ru.	X						X	[27]

697 *Use of oxidants or nitric acid digestion may be required.

698 **Table 2: Summary of key features of ICP-MS and alternative mass spectrometric techniques**

Instrument stage	Strengths	Potential problems
ICP-MS sample introduction		
Solution nebulisation	<ul style="list-style-type: none"> • Straightforward and potential for low sample uptake rates 	<ul style="list-style-type: none"> • Higher oxide and hydride formation rates, and lower introduction efficiencies compared to other techniques
Desolvating sample introduction	<ul style="list-style-type: none"> • Reduced hydride and oxide formation • High introduction efficiency • Low sample uptake 	<ul style="list-style-type: none"> • Long washout times • High cost compared to solution nebulisation
Laser ablation	<ul style="list-style-type: none"> • No sample preparation prior to introduction • Low oxide and hydride formation compared to solution nebulisation 	<ul style="list-style-type: none"> • Lower sensitivity than solution nebulisation • Heterogeneous sample leads to inaccurate measurement
Cold plasma	<ul style="list-style-type: none"> • Rapid compared to offline chemical separation 	<ul style="list-style-type: none"> • Increased vulnerability to matrix effects, necessitating chemical separation prior to measurement
Glow discharge Capillary electrophoresis Electro thermal vaporisation	<ul style="list-style-type: none"> • High sample introduction efficiency • Reduced sample preparation 	<ul style="list-style-type: none"> • Prior separation required for complex sample matrices
ICP-MS instrument design		
Quadrupole	<ul style="list-style-type: none"> • Robust • Straightforward preparation 	<ul style="list-style-type: none"> • Lack of instrument-based separation • Inferior instrument detection limit
Collision cell (Thermo iCap-Q)	<ul style="list-style-type: none"> • Polyatomic interference removal 	<ul style="list-style-type: none"> • No isobaric interference removal • Higher LOD than ICP-SFMS
Dynamic Reaction Cell (Perkin Elmer NexION)	<ul style="list-style-type: none"> • Isobaric interference removal 	<ul style="list-style-type: none"> • Potential for polyatomic interference formation in the cell • Higher LOD than ICP-SFMS
Triple quadrupole (MS/MS) (Agilent 8800)	<ul style="list-style-type: none"> • Improved cell chemistry control • High abundance sensitivity 	<ul style="list-style-type: none"> • Lower instrumental sensitivity and instrument LOD than ICP-SFMS
Sector field (single detector) (Thermo Element 2, 2XR) (Nu Attom) (Spectro MS)	<ul style="list-style-type: none"> • High instrument sensitivity • Low detection limits 	<ul style="list-style-type: none"> • Extensive chemical separation required prior to sample introduction
Sector field (multi-collector) (Thermo Neptune plus) (Nu Plasma II)	<ul style="list-style-type: none"> • High accuracy isotope ratio measurements 	<ul style="list-style-type: none"> • Extensive chemical separation required prior to sample introduction
Alternative measurement techniques		
TIMS	<ul style="list-style-type: none"> • Widely applied to radionuclide measurement, particularly precise isotope ratio measurements 	<ul style="list-style-type: none"> • ICP-MS now more widely applied due to its high ionisation efficiency • Longer sample preparation
RIMS and AMS	<ul style="list-style-type: none"> • High sensitivity 	<ul style="list-style-type: none"> • Time consuming sample preparation • Lack of commercial instrument availability • High instrument cost

BOX 1: Adapted from *Nuclear Forensics: A capability at risk* [15].

Likely expanded growth of nuclear technologies and subsequent illegal access to materials (e.g. through conflicts) adds to the risk of unauthorised activities and demands a sustained support for nuclear security infrastructure and nuclear forensics as summarized below.

The status quo in 2010

Organization in the NF field is insufficiently focused and inhibits development of a strategic consensus

Sustainability – in many countries NF capabilities developed in Government laboratories based on nuclear weapons programs that are in a state of decline.

Workforce skills and Infrastructure are in decline and key facilities are old and are not up to modern standards.

Procedures and Tools – many current NF techniques were developed to carry out Cold War missions and do not reflect current technical capabilities.

Recommendations

1. Streamline organizational structures, align authority and responsibility, develop and issue documents.
2. Issue coordinated and integrated implementation plans to improve national NF program capabilities.
3. Build and maintain effective NF workforces at national laboratories and through collaborations with universities and other organizations.
4. Adapt NF to the challenges of real emergency situations, including, for example, conducting more realistic exercises that are unannounced and that challenge regulations and procedures followed in the normal work environment, and implementing lessons learned.
5. National laboratories should optimize procedures and equipment through R&D to meet program requirements. Modelling and simulation should play an increased role in research, development, and planning.
6. The NF community should develop standards and procedures that are rooted in the same underlying principles that guide modern forensic science.
7. Homeland Security agencies should devise and implement plans that enable access to relevant information in databases including classified and proprietary databases—for NF missions.
8. Establish international sharing of information and best practice, subject to safeguards.

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BOX 2: Borate fusion

Tools: Pt-Au crucibles are most frequently used and although initially expensive they are very long-lived and can be used to process several hundred samples before requiring refurbishment or re-manufacture. Graphite crucibles are less costly and can be used effectively for 20 or more fusions.

Heating: Electrical furnace or gas burner systems (e.g. propane-oxygen) are used to heat fusion mixtures to approximately 1000 C.

Borates: For reasons of chemical purity lithium metaborate and lithium tetraborate are most commonly used. Originally developed for the XRF market these are now also widely applied to ICP-OES or ICP-M.

Sample: flux: Mixtures used can vary from 1:2 upward and generally produce a homogeneous melt/glass.

Effectiveness: Borate fusions will dissolve virtually any sample within 5-10 minutes. Otherwise intractable minor or accessory minerals are readily rendered soluble following a borate fusion. The majority of chemical elements are retained during the fusion procedure except some volatile elements. The melt can be cast onto a Pt-Au plate to produce a homogeneous glass or it can be cast into water or dilute acid and dissolved within 1-2 hours using stirring or ultrasonic disaggregation.

Manufacturer of fusion device	Models	Heating method and no. of samples processed per batch		Acid digestion capability
		Gas	Electric	
Claisse Canada	LeNeo, TheOx Eagon 2,M4	1-6	1-6	Yes
Breitlander Germany	Autofluxer 2 Autofluxer 4	2 4	na	Yes
Equilab	F1 induction heating F2 induction heating	na	1-2	Yes
Fluxana Germany	Vulcan (XRF/ICP/AES)	1-6	1-6	Yes
Herzog Germany	HAG-M-HF Induction heating	na	1	No
Initiative Scientific Australia	Beadmaster-4 QP PF	na	1-4 2, 4, 6, 12 5, 10, 15	Yes
Spex Katanax Canada	K1 K2 X-300 ; X-600	na	1 1- 6 1-6	Yes
XRF Scientific Australia	Phoenix II xrFuse-2 xrFuse-6	1-6	1-6	Yes

na – not available as an option.