

1 Modeling urban floods at sub-meter resolution:
2 challenges or opportunities for flood risk management?

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9 **Abstract**

10 In this article we investigate the influence of fine scale changes in the elevation
11 of urban terrains on the dynamics and final distribution of flood inundation
12 generated by intense rainfall. Numerical experiments have been performed
13 combining 2D shallow-water model with extremely fine resolution (10 cm)
14 terrain data. Our results reveal that localized, decimetric-scale alterations
15 in the elevation of streets can lead to remarkable differences in the flood
16 inundation. These results confirm the important role played by finely resolved
17 and accurate terrain data in capturing flow patterns that have a central
18 impact on model predictions of flood inundation. Also, we argue that the
19 observed sensitivity of flood inundation to small-scale topographical features
20 paves the way to new opportunities for flood risk management measures.
21 In particular, engineering flood resilient urban surfaces using fine resolution
22 models has a potential to considerably reduce flood impacts at a relatively
23 low cost.

24 *Keywords:* urban flood, modelling, terrestrial LiDAR

25 **1. Introduction**

26 It is an unfortunate and often tragic combination of factors that places
27 urban flooding amongst the most damaging and costly of all natural hazards.
28 Worldwide, a relatively frequent occurrence of heavy rainfall storms combine
29 with high levels of human exposure and high-value and vulnerable assets to
30 produce multi-billion losses every year. In a world of rapid urbanization and

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31 considering the prospect of strongly adverse climate change effects, under-
32 standing and mitigating urban flood risks is eliciting widespread concern and
33 has become an issue of the highest priority.

34 Among different sources of flooding that can occur in urban areas (e.g.
35 river, coastal, groundwater), surface water flooding (i.e. flood resulting from
36 intense excess rainfall) is often responsible for a significant proportion of
37 flood losses. For instance, the Environment Agency of England and Wales
38 estimates that 3.8 million properties are at risk of surface flooding (*EA*, 2009)
39 in England and Wales. A drastic example of this exposure occurred during
40 the summer of 2007, when approximately two thirds of the 55,000 damaged
41 properties were flooded by surface water (*DEFRA*, 2008; *Evans et al*, 2008).
42 In spite of the relevance to current and future generations, a comprehensive
43 understanding of the dynamics of surface water urban inundation, as well
44 as the development of methods to accurately model and mitigate its conse-
45 quences are still in their infancy when compared to the substantial progress
46 achieved over decades of research in river and coastal flooding. While models
47 of sewerage systems date back to the early 70's (*Delleur*, 2003), the devel-
48 opment and application of the first coupled sewer-surface flow models only
49 emerged during the first decade of the 21st century (*Djordjevic et al*, 1999).
50 In addition, prevention and mitigation of urban flooding has historically been
51 limited in scope, and almost exclusively linked to the appropriate design and
52 sizing of the sewerage system, a vision that has only recently been broad-
53 ened to include the concepts of Sustainable Drainage Systems (SuDS). Little
54 attention has been given to a thorough understanding of the role played by
55 urban topography (in particular sub-meter scale) on the behavior of floods.
56 This is despite the fact that under medium to extreme rainfall events (when
57 the sewer system is usually surcharged) most of the flood water is expected
58 to be carried as overland flow (e.g. *Mark et al*, 2004; *Mignot et al*, 2006), in
59 which case the layout of surface pathways will largely dictate what areas of
60 the urban terrain will be inundated.

61 Even though during intense rainfall events large parts of urban areas may
62 be exposed to relatively high flow depths, this usually occurs as a result of the
63 accumulation (in terrain depressions or lowland areas) of water previously
64 routed from the urban catchment along roads and other flow paths. The
65 transport of surface flow along these pathways is a phenomenon of shallow
66 water (i.e. typically $< 20\text{cm}$ deep) that can move at relatively high velocities.
67 This type of flow is controlled by small-scale features of the urban terrain such
68 as the height of curbs, the shape and dimensions of road cambers, as well as by

69 the connectivity of roads and pathways. The road network can be particularly
70 efficient in transporting water across the urban domain and therefore plays
71 an important role in the ultimate distribution of flooded areas. Capturing
72 the effects of these elements in a two dimensional (2D) model requires very
73 fine resolution topography (i.e. sub-meter resolution, as discussed in *Ozdemir*
74 *et al*, 2013), which translates into extremely high computational times that
75 are often unfeasible in most practical applications. This results from the
76 fact that the computational time of explicit two-dimensional models usually
77 used for flood simulations scales with the resolution of the mesh raised to the
78 power of three. For instance, refining a mesh from 1 m to 10 cm translates
79 into a $1000\times$ increase in the simulation time.

80 As a response to the above computational barrier, a number of practical
81 modeling abstractions and simplifications have emerged, which attempt to
82 overcome this limitation and to achieve simulation run times that are com-
83 patible with available computational resources. Particular efforts have been
84 devoted to models that conceptualize the surface component of urban floods
85 as a set of elements such as small catchments and/or ponds that are inter-
86 connected by 1D channels that represent the road network (e.g. *Mark et al*,
87 2004; *Nasello and Tucciarelli*, 2005; *Maksimovic et al*, 2009; *Leandro et al.*,
88 2009), in a similar way to the first river network models of the late 1970's (e.g.
89 *Cunge*, 1980). The coupling of this representation of the surface flow with a
90 sewerage network model is often described as a 1D-1D model, as opposed to
91 the 2D-1D approach, in which a two dimensional model is used to simulate
92 the overland component of the flow. Some of the limitations of the 1D rep-
93 resentation of surface flow (such as the dependency on user-defined schemes,
94 such as 1D network of pathways and storage elements) have been previously
95 exposed (*Mark et al*, 2004; *Leandro et al.*, 2009), while other aspects related
96 to the upscaling of sub-meter features remain largely unknown.

97 Two-dimensional models used in urban flooding are usually based on the
98 shallow water equations (*Mignot et al*, 2006; *Bazin et al*, 2014), and sim-
99 plified forms of these equations such as the zero inertial (e.g. *Nasello and*
100 *Tucciarelli*, 2005; *Leandro et al.*, 2009) and local inertial approximations (e.g.
101 *Aronica and Lanza*, 2005; *Fang and Su*, 2005; *Bates et al*, 2010; *de Almeida et*
102 *al*, 2012; *de Almeida and Bates*, 2013), or even simpler formulations (*Samp-*
103 *son et al*, 2012), have also been widely adopted to speed up simulations.
104 Another strategy to reduce the computational burden of 2D models focuses
105 on defining sub-grid abstractions that resolve some of the complexities of
106 the urban relief, which is modeled at coarse resolution (e.g. $10 \sim 100m$).

107 Among this type of models, those adopting the concept of porosity to de-
108 scribe urban features such as buildings have attracted significant attention
109 (e.g. *Molinaro et al*, 1994; *Sanders et al*, 2008; *Soares-Frazae et al*, 2008;
110 *Guinot*, 2012 to cite but a few). While this approach correctly represents
111 some of the physics operating at intermediate resolution scales (such as the
112 influence of buildings on mass and momentum conservation, which is gov-
113 erned by building dimensions and spacings) and perform well in representing
114 catastrophic flood events (e.g. dam-break induced), it lacks the ability to
115 capture wetting and drying, blockage and other directional effects that are
116 governed by considerably fine scale topographical features.

117 To date, two dimensional modeling of urban floods has been performed
118 almost exclusively using digital elevation models (DEMs) with resolutions of
119 1 m or coarser (e.g. *Mark et al*, 2004; *Fang and Su*, 2005; *Aronica and Lanza*,
120 2005; *Gallegos et al*, 2009; *Leandro et al.*, 2009; *Maksimovic et al*, 2009; *Gal-
121 lien et al*, 2011; *de Almeida et al*, 2012). Advances in computational resources
122 and methods combined with the recent availability of sub-meter resolution
123 terrestrial LiDAR data have enabled the first two-dimensional simulations of
124 urban inundation to be performed at resolutions as low as 10 cm (*Ozdemir
125 et al*, 2013). These extremely fine resolution simulations have shown that
126 differences in model predictions persist even as the mesh resolution is re-
127 fined from 50 cm to 10 cm. Implicit to this dependency of simulation results
128 on mesh resolution are two different albeit interrelated issues. Firstly, the
129 shape of different terrain features are degraded as the resolution is coars-
130 ened, which particularly affects the flow conveyance of road cambers and the
131 storage capacity of different elements (e.g. depression storage). Secondly,
132 and arguably more importantly for shallow water flows, is the fact that the
133 elevation of local peaks are closely approximated at fine resolution, but are
134 in general underestimated at coarser resolution as a result of the increased
135 average distance from the peaks to sampled points. For example, considering
136 a road camber with average cross slope of 4%, the maximum error introduced
137 to the vertical position of the crown by a 5 m resolution sampling is 10 cm.
138 This is of the same order of magnitude as typical flood depths that are ob-
139 served at road networks, and is expected to allow the model to incorrectly
140 route water along directions that would be topographically blocked in reality.

141 If the sensitivity of flood inundation to decimetric-scale elevation changes
142 confirmed, it has two important impacts on the future of flood risk assess-
143 ment and management. Firstly, it highlights the need for finely resolved and
144 accurate topography, which poses significant challenges to current generation

145 computational resources. Secondly, it paves the way for a range of new op-
 146 portunities for flood risk mitigation that have not been previously explored,
 147 and which have the potential to considerably reduce the impacts of extreme
 148 storms at relatively low cost.

149 The value of finely resolved topography in flood inundation modeling is
 150 an issue of intense recent debate, particularly when analyzed in the broader
 151 context of other sources of uncertainties that are inherently present in prac-
 152 tical flood risk assessments (e.g. *Dottori et al*, 2013 and references therein).
 153 While results from grid refinement sensitivity analysis (e.g. *Ozdemir et al*,
 154 2013) indicate that horizontal resolution plays an important role on model
 155 results, it is unclear the extent to which small perturbations in the elevation
 156 can produce significant changes to the patterns of surface flood inundation.
 157 In this article an extremely fine resolution (10 cm) description of the urban
 158 terrain is combined with a highly accurate and robust finite volume shallow
 159 water model to analyze the effects of decimetric scale and localised changes
 160 in the topography on the dynamics and outcomes of urban flooding. This
 161 relation is explored by introducing small modifications in the elevation of
 162 the original 10 cm resolution DEM, and comparing the simulation results
 163 against those obtained with the undisturbed DEM. Even though direct mod-
 164 elling of floods at such fine resolution (i.e. 10 cm) is unfeasible for any
 165 practical purposes in the foreseeable future, they offer a unique opportunity
 166 to clarify the extent to which decimetric scale terrain features control flood
 167 dynamics. The results of this analysis are then used to open a discussion
 168 on the challenges and opportunities that are intrinsically associated with the
 169 topography-impact nexus.

170 2. Numerical model

171 The model used here is based on the two-dimensional shallow water equa-
 172 tions

$$\frac{\partial \mathbf{U}}{\partial t} + \frac{\partial \mathbf{F}(\mathbf{U})}{\partial x} + \frac{\partial \mathbf{G}(\mathbf{U})}{\partial y} = \mathbf{S}_1(x, y, \mathbf{U}) - \mathbf{S}_2(x, y, \mathbf{U}) \quad (1)$$

173 where the $\mathbf{U}(x, y, t)$ is the vector of conserved variables, $\mathbf{F}(\mathbf{U})$ and $\mathbf{G}(\mathbf{U})$ are
 174 the flux vectors in the x and y directions, respectively, and $\mathbf{S}_1(x, y, \mathbf{U})$ and

175 $\mathbf{S}_2(x, y, \mathbf{U})$ are the slope and friction source terms, respectively:

$$\mathbf{U} = \begin{bmatrix} h \\ hu \\ hv \end{bmatrix}, \mathbf{F} = \begin{bmatrix} hu \\ hu^2 + \frac{1}{2}gh^2 \\ huv \end{bmatrix}, \mathbf{G} = \begin{bmatrix} hv \\ huv \\ hv^2 + \frac{1}{2}gh^2 \end{bmatrix},$$

$$\mathbf{S}_1 = \begin{bmatrix} 0 \\ ghS_{ox} \\ ghS_{oy} \end{bmatrix}, \mathbf{S}_2 = \begin{bmatrix} 0 \\ ghS_{fx} \\ ghS_{fy} \end{bmatrix},$$

176 h is the water depth, u and v are the x and y components of the velocity, g
 177 is the acceleration due to gravity, S_{ox} and S_{oy} are the x and y components
 178 of the bed slope (i.e. $-\partial z/\partial x$ and $-\partial z/\partial y$, respectively, where z is the
 179 bed elevation) and S_{fx} and S_{fy} the corresponding components of the friction
 180 slope. The numerical model solves the integral form of eqs. (1):

$$\frac{\partial}{\partial t} \int_{\Omega} \mathbf{U} d\Omega + \oint_{\partial\Omega} (\mathbf{E} \cdot \mathbf{n}) dl = \int_{\Omega} (\mathbf{S}_1 - \mathbf{S}_2) d\Omega \quad (2)$$

181 where \mathbf{E} is the 3×2 flux tensor $\mathbf{E} = (\mathbf{F}, \mathbf{G})$, Ω and $\partial\Omega$ respectively denote an
 182 arbitrary domain and its boundary, and \mathbf{n} is a unit outward vector normal
 183 to $\partial\Omega$. Eqs. 2 can be obtained by integrating (1) over Ω and then applying
 184 Gauss's theorem to the integral of the flux terms.

The computational domain is discretised using an unstructured mesh composed of triangular cells (Figure 1). Eqs. 2 are integrated numerically using a first order Godunov finite volume scheme, and a fractional step (e.g. described in *LeVeque*, 2002). First the cell-averaged value of the conserved variables \mathbf{U}_i in cell Ω_i are updated considering the flux terms (homogeneous part) and the bed slope, but neglecting the friction source term. \mathbf{S}_1 is evaluated with the method of *Valiani and Begnudelli* (2006), by which the area integral of \mathbf{S}_1 in (2) is transformed into a boundary integral that can be computed numerically at the edges of the cells. This first step is written as:

$$\mathbf{U}_i^* = \mathbf{U}_i^n - \frac{\Delta t}{A_i} \left(\sum_{k=1}^3 (\mathbf{E}^* - \mathbf{H})_{i,k}^n \mathbf{n}_{i,k} l_k \right) ; \quad \mathbf{H} = \begin{bmatrix} 0 & 0 \\ \frac{1}{2}gh|_{\eta_o}^2 & 0 \\ 0 & \frac{1}{2}gh|_{\eta_o}^2 \end{bmatrix} \quad (3)$$

where \mathbf{U}_i^* is the intermediate value of \mathbf{U}_i (i.e. fractional step), A_i is the area of cell Ω_i , Δt is the time step, the superscript n represents the time level, subindex k is used to denote the k -th edge of a cell, l_k is the length of edge

k , $\mathbf{E}^* = (\mathbf{F}^*, \mathbf{G}^*)$ represents the numerical approximation to \mathbf{E} , and $h|_{\eta_o}$ is the depth considering a piecewise constant free-surface elevation (Valiani and Begnudelli, 2006). The numerical fluxes \mathbf{F}^* and \mathbf{G}^* are computed using the central-upwind method of Kurganov and Petrova (2004). In the second step the friction term is accounted to update the solution to time level $n + 1$ from the values of \mathbf{U}_i^* . Friction slope components S_{fx} and S_{fy} are computed using Manning's equation

$$S_{fx} = \frac{n^2 u \|\mathbf{u}\|}{h^{4/3}} \quad S_{fy} = \frac{n^2 v \|\mathbf{u}\|}{h^{4/3}} \quad (4)$$

185 where n is the Manning's coefficient and $\|\mathbf{u}\|$ is the l^2 -norm of the velocity
 186 vector \mathbf{u} . It is widely recognised that at very shallow depths, an explicit
 187 discretisation of the friction terms can cause an overshooting of friction that
 188 often leads to source term instability. In order to avoid this problem, time
 189 integration of the friction term is performed using an implicit scheme widely
 190 adopted by other shallow-water models (e.g. Yoon and Kang, 2004; Sanders,
 191 2008; Liang and Marche, 2009; de Almeida et al, 2012):

$$(hu)_i^{n+1} = \frac{(hu)_i^*}{1 + \Delta t g [n^2 \|\mathbf{u}\| / (h)^{4/3}]_i^n} \quad (5)$$

$$(hv)_i^{n+1} = \frac{(hv)_i^*}{1 + \Delta t g [n^2 \|\mathbf{u}\| / (h)^{4/3}]_i^n} \quad (6)$$

192 Free-surface reconstruction and wetting and drying are handled by the
 193 volume/free-surface method (VFR) of Begnudelli and Sanders (2006), which
 194 provides a second-order accurate representation of the bed topography (Beg-
 195 nudelli and Sanders, 2006; Begnudelli et al., 2008). This further enhances
 196 the accuracy in the description of the terrain given by the extremely fine-
 197 resolution topography used in this paper. The stability of the model is con-
 198 trolled by the standard Courant-Friedrichs-Lewy (CFL) condition.

199 The model includes only the surface component of urban drainage. This
 200 allows us to separate the influence of the urban terrain on the flood inun-
 201 dation from the rather complex interactions that can take place between
 202 surface and the sewerage flows. While a realistic representation of real world
 203 inundation requires the dynamic coupling of the two processes (Mark et al,
 204 2004; Schmitt et al., 2004; Aronica and Lanza, 2005; Nasello and Tucciarelli,
 205 2005; Maksimovic et al, 2009; Bazin et al, 2014), the study of the surface
 206 component alone is appropriate for the objectives of the present analysis.

207 3. Test cases

208 A set of four different topographies have been used to analyse the influ-
209 ence of small scale changes in urban topography on the dynamics and final
210 distribution of flooding. The tests use a 10 cm resolution digital elevation
211 model produced from terrestrial LiDAR data collected by the Environment
212 Agency of England and Wales (*Ozdemir et al*, 2013) in the urban area of Al-
213 cester (Warwickshire, UK), which is shown in Figure 2.a. The computational
214 mesh generated using this DEM is composed of 3, 575, 123 nodes, 10, 711, 014
215 edges and 7, 135, 888 triangular elements. Figure 3 shows this computational
216 mesh close to a street junction, illustrating how fine scale elements such as
217 curbs are represented in the model. Such a fine resolution terrain model cap-
218 tures the shape of road cambers extremely accurately (as shown by *Ozdemir*
219 *et al*, 2013), and the use of a second order model for the bed slope terms (in
220 which the terrain is represented as inclined, rather than horizontal triangles,
221 as described in *Begnudelli and Sanders*, 2006 and *Begnudelli et al.*, 2008)
222 brings the level of model representation of topography to a unprecedented
223 level.

224 Small scale modifications have been introduced to the original topography
225 in the two regions of the domain indicated with ellipses in Figure 2.a. These
226 modifications have been strategically defined from previous observations of
227 the simulations using the undisturbed topography. Namely, the combined
228 inspection of the road topography, topology and the characteristics of the
229 flood propagation indicated potential regions of the domain where the effect
230 of topographical manipulations could lead to significant changes in the evo-
231 lution and final distribution of flooded areas. The extent and magnitude of
232 these alterations can be observed by comparing Figures 2.b and 2.d against
233 Figures 2.c and 2.e, respectively. In the first of these modifications, the ele-
234 vation of the road in Figure 2.b is reduced over a distance of approximately
235 30 m and by a maximum value of 18 cm (Figure 2.c). The second alteration
236 was the introduction of a short hump (placed perpendicularly to the road di-
237 rection and spanning from curb to curb) that increases the road elevation by
238 a maximum value of 12 cm (from Figure 2.d to 2.e). Finally, a third scenario
239 was generated by combining these two modifications into one DEM. Along
240 with the original DEM, this provides four different scenarios that can be com-
241 pared to analyse the influence of decimetric scale changes of the topography
242 on inundation dynamics. These topographies will hereafter be referred to as
243 A (unmodified topography), B (alteration shown in Figure 2.c), C (alteration

244 shown in Figure 2.e) and D (the combination of terrain modifications shown
245 in Figures 2.c and 2.e). All scenarios use exactly the same mesh topology,
246 and only differ in the elevation of the road in the specific areas of the domain
247 described above.

248 Two flow boundary conditions were used in the simulations. The first
249 follows that previously adopted and described by *Ozdemir et al* (2013), which
250 was derived by assuming a 200-year return period 30-min rainfall that is
251 collected over a drainage area upstream of the inflow point. The discharge
252 increases linearly from $0 \text{ m}^3\text{s}^{-1}$ to the peak value ($0.35 \text{ m}^3\text{s}^{-1}$) during the
253 first 7.5 min, is kept constant for the subsequent 15 min, after which it
254 falls linearly to $0 \text{ m}^3\text{s}^{-1}$ during the final 7.5 min (Figure 4). This boundary
255 condition is uniformly distributed across the road situated on the North-East
256 end of the computational domain in Figure 2.a. All other boundary edges
257 were set as solid walls, except at roads and pavements, where they were set
258 as open boundaries ($\partial\mathbf{U}/\partial\mathbf{n} = 0$). The second set of boundary conditions
259 was obtained by multiplying the above hydrograph by 1.5 (peak discharge
260 of $0.525 \text{ m}^3\text{s}^{-1}$) while maintaining all other boundaries unchanged. The two
261 different choices for the inflow boundary conditions will hereafter be referred
262 to as BC1 and BC2 respectively. In all simulations the value of Manning's
263 coefficient was set to $n = 0.013$ for roads and pavements, and $n = 0.035$
264 elsewhere. Two groups (i.e. BC1 and BC2) of four simulations each (i.e.
265 using the four topographies previously described) were performed.

266 4. Results

267 Figure 5 shows the results of the group of simulations performed with
268 BC1 at $t = 12, 30$ and 60 min. Figures 5.a, 5.b, 5.c and 5.d, respectively
269 represent simulations with topographies A, B, C and D. In all simulations
270 the flood wave initially propagates southward along the main road located
271 on the East side of the domain. As the water reaches street junctions, part of
272 the flow can be diverted to side streets, depending on the local topography
273 of the junction and neighbouring streets. For example, in Figure 5.a, the
274 water passes by the first junction without being diverted. However, Figure
275 5.b shows that the reprofiling of the side street (-18 cm as presented in
276 Figure 2.c) allows the water to flow along North-West direction, inundating
277 a region of the domain that is dry during the simulation performed with the
278 original topography (Figure 5.a). A second flow diversion is also observed as
279 the water reaches the central part of the domain, resulting in inundation at

280 the topographical depression in the end of the street (center-west in Figures
281 5.a and b). This effect is considerably attenuated by the introduction of the
282 12 cm hump, as shown in Figure 5.c (e.g. at $t = 30$ and 60 min). The partial
283 blockage of this street diversion by the hump also leads to more water being
284 routed along the main road. This increased flow is now capable of overcoming
285 the topographical blockage in the next downstream junction, allowing part
286 of the flood wave to be diverted to the next street (as can be observed by
287 comparing Figure 5.a against 5.c at 30 and 60 min. The hump therefore
288 mitigates flooding in one region of the domain at the expense of flooding
289 areas that would otherwise be kept dry. A similar (although opposite) effect
290 occurs as a result of the diversion of part of the flood water towards the North-
291 West part of the domain shown in Figure 5.b, which results in a decrease in
292 the volume of flow that is routed along the main road towards the South of
293 the domain. However, in this example the flow reduction does not produce
294 significant changes in the areas flooded downstream. The combined effects of
295 these two modifications of the topography on the flooded areas is evidenced in
296 Figure 5.d, which shows that only a negligible volume of the flood is diverted
297 towards the central part of the domain compared to the corresponding results
298 in Figure 5.a. In other words, two targeted minor alterations of the urban
299 topography were able to completely prevent the inundation of a part of the
300 domain that would otherwise receive a significant proportion of the flood
301 flow. The results of these simulations also show that the fine scale model
302 often captures the type of flow that occurs at low depths, when the water
303 flows exclusively close to the curbs (e.g. gutters), and does not inundate the
304 crown of the road camber.

305 Figure 6 shows the results of the simulations performed considering a
306 higher flow scenario (BC2 boundary condition) for the four topographies and
307 neglecting sinks. The propagation of the flood wave observed in this figure is
308 similar to that presented in Figure 5 although flow depths and flooded areas
309 are in general larger as a result of the increased flow rates. These results
310 confirm the high influence of the topography alterations on the dynamics of
311 flood inundation, as previously observed. Even though the combination of
312 the two modifications (Figure 6.d) are not capable of completely preventing
313 the inundation of the street located in the central region, it considerably
314 reduces its effect. For example, it can be observed from Figures 6.c and 6.d
315 that at $t = 30$ min the water overtopping the hump flows along the street
316 and accumulates in the lowest region; however, this effect is considerably
317 less pronounced in 6.d than in 6.a. The increase in the downstream hazards

318 induced by the 12 cm hump can also be observed by comparing Figures 5.c
319 and 6.c.

320 5. Discussion

321 The results of the 8 simulations presented in section 4 show that model
322 predictions of surface water flood in urban areas are highly sensitive to
323 decimetric-scale features of the urban topography. In particular, the road to-
324 pography close to junctions dictate whether diversions will occur, and there-
325 fore plays a crucial role in the dynamics and final distribution of flooded
326 areas. It has been observed that a minor (i.e. 18 cm) and localized reduction
327 of the road elevation can lead to significant inundation of areas that would
328 otherwise not flood, while a small increase in the elevation (i.e. 12 cm) can
329 significantly reduce the impacts of flood inundation over large parts of the
330 urban domain.

331 The sensitivity of flood inundation to decimetric scale topography poses
332 significant challenges for accurate assessments of flood risk in urban areas.
333 First, it confirms the importance of high-resolution topographical datasets
334 on the quality of model predictions, as previously indicated by *Ozdemir et*
335 *al* (2013). This puts particular pressure on computational resources and
336 methods. Secondly, it also raises questions on the accuracy that is needed for
337 the vertical position of topography datasets. Currently, terrain elevation data
338 derived from airborne LiDAR that is usually used in flood risk assessment has
339 a vertical accuracy of approximately 5 to 15 cm. While our results show that
340 systematic elevation errors of this magnitude can have a significant impact on
341 predictions of flood risk, it is unclear how randomly distributed measurement
342 errors may affect the results.

343 The complexity of the inundation processes observed in the simulations,
344 combined with the sensitivity of the results to small changes, also reaffirms
345 standing questions on the limitations of simplified approaches adopted to
346 modeling urban flooding. For instance, at shallow depths water typically
347 flows exclusively along gutters, which operate as two separate and independ-
348 ent channels. With increasing depths, the flow eventually overtops the
349 crown of the road camber and the two separate channels merge into a single
350 cross section. This behavior cannot be captured by 1D models, nor can it be
351 reproduced by currently available sub-grid approaches.

352 While, on the one hand, the issues discussed above pose serious chal-
353 lenges for accurate modeling of floods in urban areas, they also unveil new

354 opportunities for flood risk management. Namely, it has been shown that
355 the final distribution of flood hazards can be significantly manipulated by
356 introducing very small and localized changes to the topography of the road
357 network. While it has been observed that alleviating hazards at particular
358 areas can lead to increased inundation downstream (or vice-versa), an over-
359 all risk reduction can be obtained by selectively alleviating areas where the
360 damage caused by flooding is highest. For example, the urban surface can be
361 engineered to divert flood waters away from critical parts of the urban area
362 towards zones where the expected damage is limited or non-existent (e.g.
363 parks or green areas). The possibility of using the road network as efficient
364 open-channels to transport excess flood waters across the domain could pro-
365 vide a new set of engineering techniques to expand current methods used in
366 urban drainage (which are largely limited to the function of delivering wa-
367 ter to the sewer system). Such approach would fill an existing gap in flood
368 risk management, which lacks cost-effective measures to mitigate the impacts
369 of medium to extreme storm events. While high-frequency, low magnitude
370 events can usually be tackled by a combination of traditional (e.g. sewer
371 system design) methods and SuDS (e.g. soakaways, green roofs, pervious
372 surfaces, etc), these will often have only a minor effect on large flooding dis-
373 asters, and expanding these systems to accommodate larger events is unlikely
374 to be cost-effective. Our results show that only minor changes in the urban
375 topography are needed to drive significant changes to the impacts, which
376 suggests that low cost risk mitigation could be achieved under this proposed
377 framework.

378 **6. Summary and conclusions**

379 This article analyzes the influence of small changes in the topography
380 of the urban terrain on the propagation and final distribution of flooding
381 in urban areas. Numerical simulations have been performed using a highly
382 accurate finite volume shallow water model and an extremely fine resolution
383 (i.e. 10 cm) topography of a real urban area in the United Kingdom. This
384 provided an unprecedented level of detail in the representation of the dy-
385 namics of flood inundation over the urban terrain. Four different topography
386 scenarios were produced by introducing minor (decimetric scale) modifica-
387 tions to the original urban topography. A total of 8 numerical simulations
388 were performed using two different inflow boundary conditions.

389 The results of these numerical experiments have shown that small alter-
390 ations in the urban topography can lead to contrastingly different patterns
391 of flood inundation. Namely, the combination of two targeted and minor
392 modifications – whereby the elevation of the road has been locally lowered
393 by 18 cm and raised by 12 cm – has almost completely prevented flooding
394 from impacting a large proportion of the modelled domain.

395 The sensitivity of flood inundation to small changes in the urban topogra-
396 phy gives rise to a number of challenges. First, capturing the effect of small
397 scale features requires finely resolved data that is rarely available for the
398 great majority of model simulations that are currently performed for prac-
399 tical engineering studies. Second, not only the resolution of the datasets is
400 important, but the accuracy of the vertical position also becomes a issue of
401 high relevance. Airborne LiDAR datasets currently available have a vertical
402 accuracy of approximately 5 to 15 cm, which is of the same order of mag-
403 nitude as typical depths that occur when overland flood flow is conveyed by
404 road networks. Finally, the computational cost of modelling flood inundation
405 at these scales is in general too high, or even unfeasible for most practical ap-
406 plications. This is particularly true when multiple simulations are required,
407 which is typically the case in probabilistic risk assessments and engineering
408 assessment of multiple scenarios.

409 While the dependency of flood inundation on small scale topography dis-
410 cussed above poses a number of practical difficulties to accurate assessments
411 of flood risk, it also paves the way to new possibilities of risk mitigation
412 that have not been explored to date. Namely, significant changes in the final
413 distribution of flood hazards could be achieved by manipulating the topog-
414 raphy at key regions of the urban domain. This could be used to divert part
415 of the flood flow away from critical parts of the urban areas, or to guide the
416 flood wave towards low impact zones (e.g. parks). As our results illustrate,
417 only minor and localized modifications in the topography may be needed
418 to produce substantial change to flood hazards, indicating that considerable
419 mitigation can be achieved at low cost. The simulation results presented
420 in this article also suggest that alterations in the road topography nearby
421 road junctions can be particularly effective in producing major changes in
422 the dynamics of flood propagation. This is because in these areas the local
423 topography dictates how much water is diverted towards different parts of
424 the urban domain, and therefore plays a crucial role in the aftermath of the
425 urban flood.

426 The challenges and opportunities highlighted in this article are inher-

427 ently interrelated. The level of detail needed for the design and optimiza-
428 tion of the surface drainage methods proposed above can only be achieved
429 in practice by enhanced availability of high-quality topographical data and
430 high-performance computational resources and techniques.

431 Finally real-world urban flood inundation can be influenced by a number
432 of issues that are not taken into account in our numerical analysis, including
433 complex interactions with the sewer system. While the results presented in
434 this article provide evidence of the influence of small scale topography on
435 the surface component of inundation, further research is needed to under-
436 stand potentially important interactions between these mechanisms and the
437 sewerage system.

438 7. Acknowledgements and data access information

439 The Environment Agency of England and Wales (EA) is acknowledged for
440 providing the terrestrial LiDAR data used in this article. This data is copy-
441 righted and can be requested under licence from the EA ([www.environment-
442 agency.gov.uk](http://www.environment-agency.gov.uk)). All model results will be made available under request to
443 the corresponding author.

444 8. References

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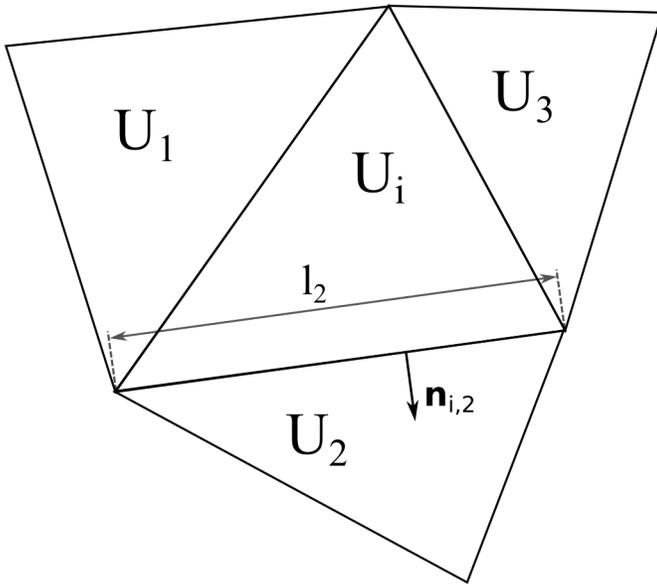


Figure 1: Ustructured computational mesh variables.

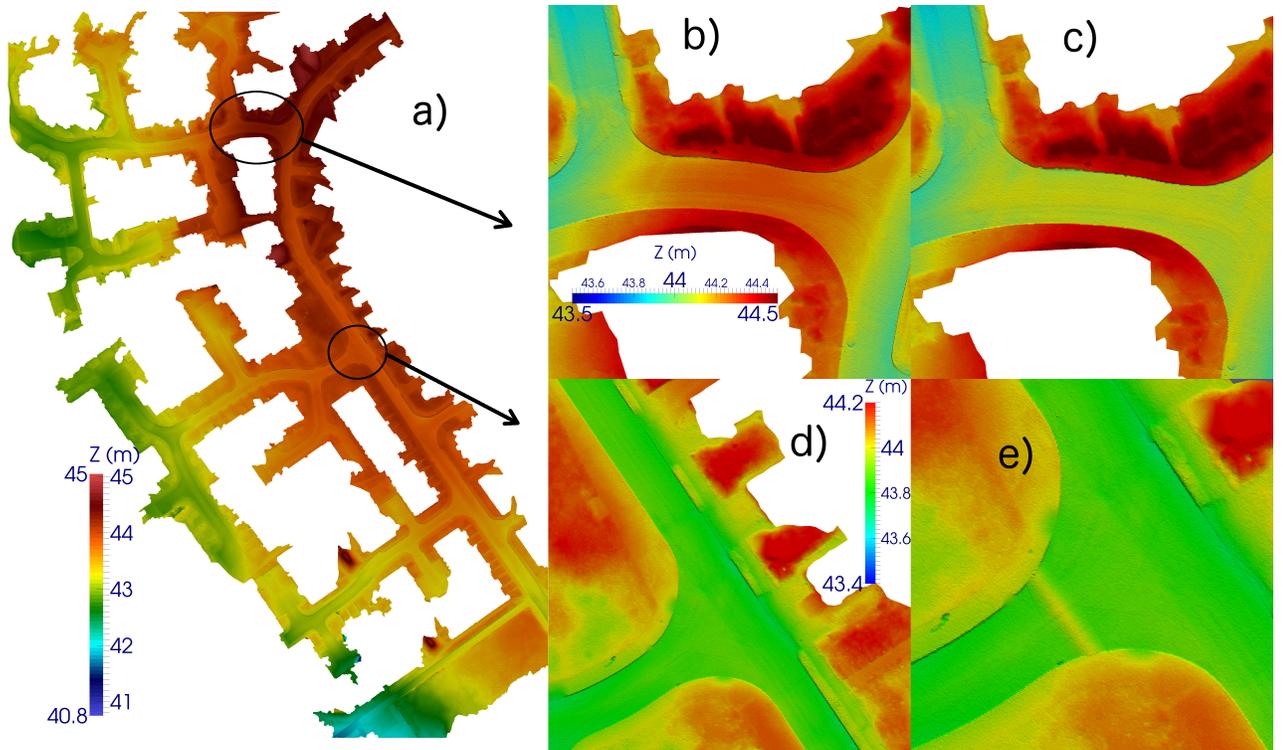


Figure 2: Original and modified DEMs. a) original DEM; b and d) zoom of the two regions indicated in the original DEM; c and e) modified DEMs.

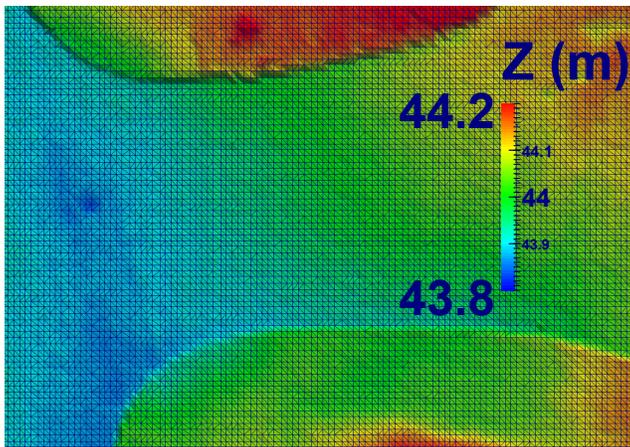


Figure 3: Detail of the computational mesh used.

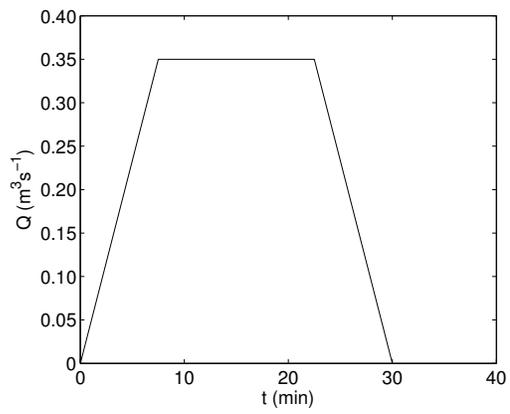


Figure 4: Hydrograph used as the upstream boundary condition in BC1.

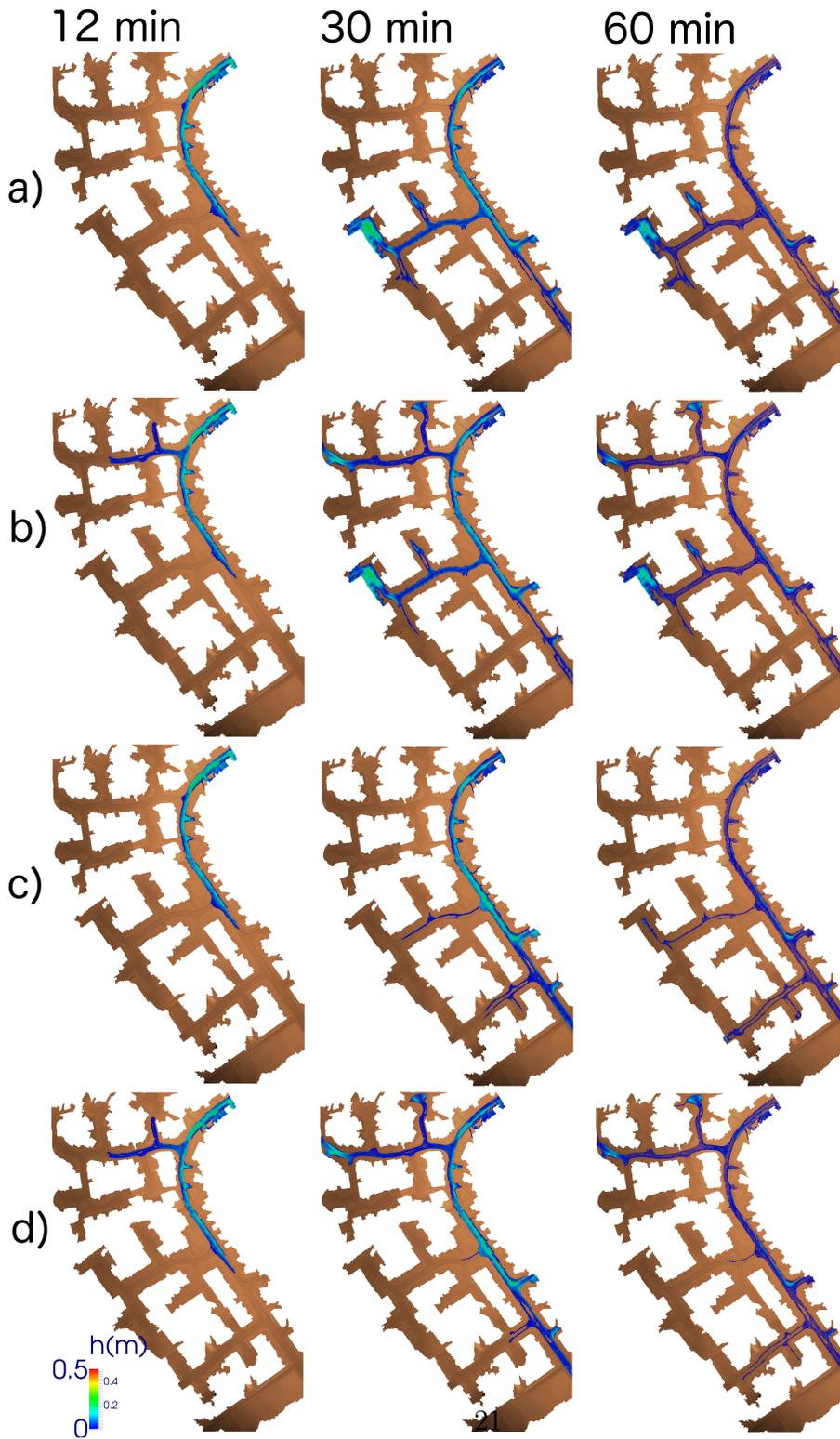


Figure 5: Results of the simulations using BC1 boundary conditions and neglecting the sewerage system. Results are shown at $t = 12$, 30 and 60 min and for the four scenarios. a) original topography; b) DEM modification corresponding Figure 1.c; c) DEM modification shown in Figure 1.e; d) combination of the two modifications.

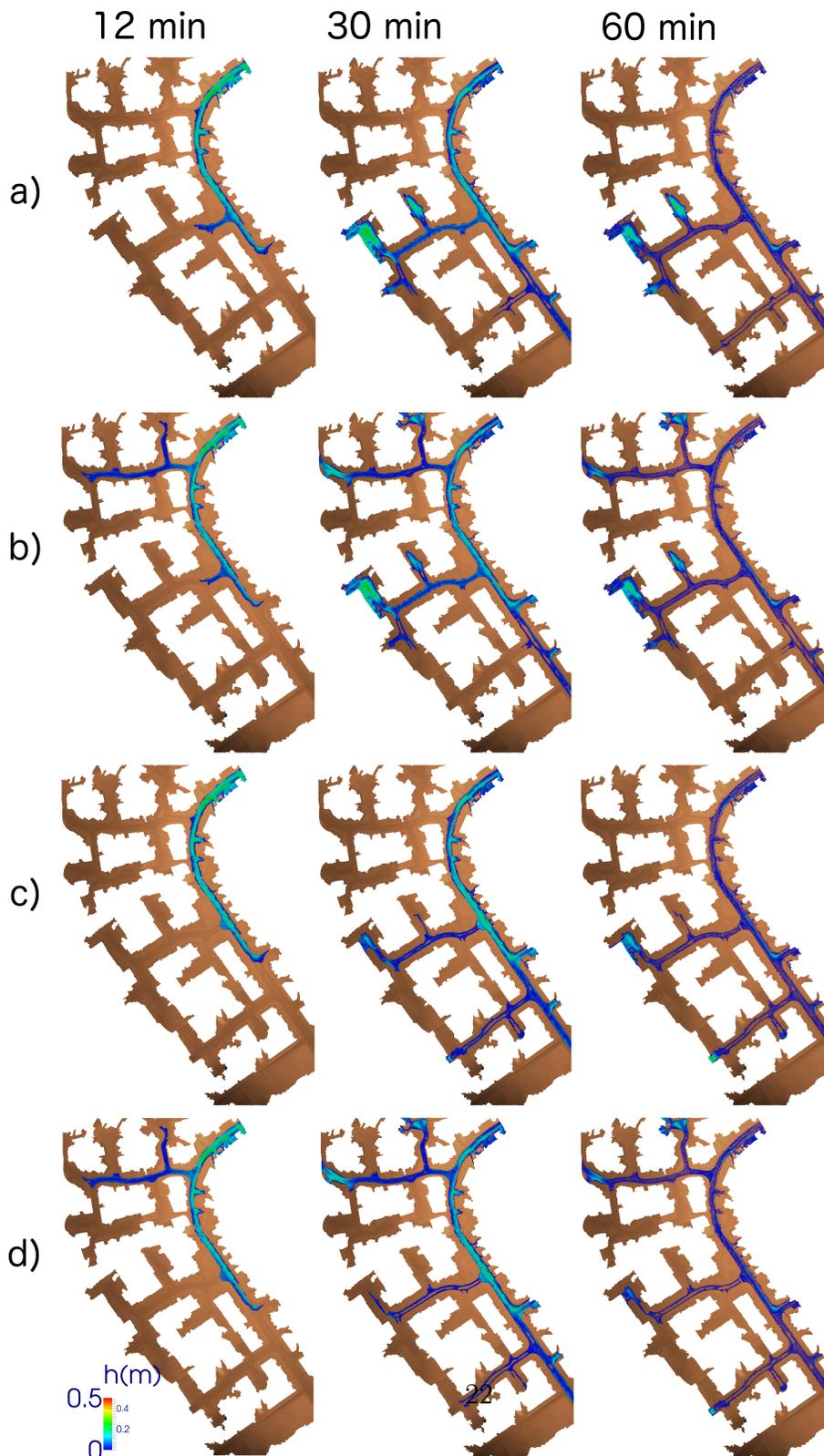


Figure 6: Results of the simulations using BC2 boundary conditions and neglecting the sewerage system. Results are shown at $t = 12, 30$ and 60 min and for the four scenarios. a) original topography; b) DEM modification corresponding Figure 1.c; c) DEM modification shown in Figure 1.e; d) combination of the two modifications.