Original article:

Nomogram-based prediction of overall survival in patients with metastatic urothelial carcinoma receiving first-line platinum-based chemotherapy: Retrospective International Study of Invasive/Advanced Cancer of the Urothelium (RISC).

Necchi A,¹ Sonpavde G,² Lo Vullo S,¹ Giardiello D,¹ Bamias A,³ Crabb SJ,⁴ Harshman LC,⁵ Bellmunt J,⁵ De Giorgi U,⁶ Sternberg CN,⁷ Cerbone L,⁷ Ladoire S,⁸ Wong Y-N,⁹ Yu EY,¹⁰ Chowdhury S,¹¹ Niegisch G,¹² Srinivas S,¹³ Vaishampayan UN,¹⁴ Pal SK,¹⁵ Agarwal N,¹⁶ Alva A,¹⁷ Baniel J,¹⁸ Golshayan A,¹⁹ Morales-Barrera R,²⁰ Bowles DW,²¹ Milowsky MI,²² Theodore C,²³ Berthold RD,²⁴ Daugaard G,²⁵ Sridhar SS,²⁶ Powles T,²⁷ Rosenberg JE,²⁸ Galsky MD,²⁹ Mariani L,¹ RISC Investigators

¹Fondazione IRCCS Istituto Nazionale dei Tumori, Milano, Italy, ²UAB Comprehensive Cancer Center, Birmingham, AL, USA, ³University of Athens, Athens, Greece, ⁴University of Southampton, Southampton, United Kingdom, ⁵Dana-Farber Cancer Institute, Boston, MA, USA, ⁶IRCCS Istituto Scientifico Romagnolo per lo studio e la Cura dei Tumori, Meldola, Italy, ⁷San Camillo Forlanini Hospital, Rome, Italy, ⁸Center Georges-François Leclerc, Dijon, France, ⁹Fox Chase Cancer Center, Philadelphia, PA, USA, ¹⁰University of Washington, Seattle, WA, USA, ¹¹Guy's and St. Thomas' Hospital, London, United Kingdom, ¹²Heinrich-Heine-University, Medical Faculty, Department of Urology, Düsseldorf, Germany, ¹³Stanford University School of Medicine, Stanford, CA, USA, ¹⁴Karmanos Cancer Institute, Detroit, MI, USA, ¹⁵City of Hope Comprehensive Cancer Center, Duarte, CA, USA, ¹⁶University of Utah, Salt Lake City, UT, USA, ¹⁷University of Michigan, Ann Arbor, MI, USA, ¹⁸Rabin Medical Center, Petach Tikva, Israel, ¹⁹Medical University of South Carolina, Charleston, SC, USA, ²⁰Vall d'Hebron Institute of Oncology, Vall d'Hebron University Hospital, Universitat Autonoma de Barcelona, Barcelona, Spain, ²¹Denver Veterans Affairs Medical Center, Eastern Colorado Health Care System, Denver, CO, USA, ²²University of North Carolina at Chapel Hill, Lineberger Comprehensive Cancer Center, NC, USA, ²³Hopital Foch, Suresnes, France, ²⁴Centre Hospitalier Universitaire Vaudois, Lausanne, Switzerland, ²⁵Copenhagen University Hospital, Rigshospitalet, Copenhagen, Denmark, ²⁶Princess Margaret Hospital, University Health Network, Toronto, Canada, ²⁷Barts Health and the Roval Free NHS Trust. Queen Mary University of London, London, United Kingdom, ²⁸Memorial Sloan-Kettering Cancer Center, New York, NY, USA, ²⁹Mount Sinai School of Medicine, Tisch Cancer Institute, New York, NY, USA

Running title: Nomogram-based prediction of OS for first-line therapy of metastatic urothelial carcinoma. **Keywords:** Urothelial carcinoma; Overall Survival; Prognosis; Platinum chemotherapy; Nomogram

Corresponding Author

Andrea Necchi, MD Department of Medical Oncology Fondazione IRCCS Istituto Nazionale dei Tumori Via G. Venezian 1, 20133 Milano, Italy Phone. +39 02 2390 2402; Fax. +39 02 2390 3150; Email: andrea.necchi@istitutotumori.mi.it

Total number of words in abstract: 294 Total number of words in text: 2,565 Number of references: 20 Number of tables plus figures: 6 (+2 Supplementary Tables + 4 Supplementary Figures)

Conflict of interest statement: All authors have no conflict of interests to disclose. **Funding:** This work was not supported by any grant funding.

Abstract:

Background: The available prognostic models for overall survival (OS) in patients with metastatic urothelial carcinoma (UC) have been derived from clinical trial populations of cisplatin-treated patients.

<u>Objective</u>: To develop a new model based on 'real world' patients.

Design, Setting, Participants: Individual patient-level data from 29 centres was collected, including metastatic UC and first-line cisplatin- or carboplatin-based chemotherapy administered between 01/2006 and 01/2011.

Intervention: First-line, platinum-based, combination chemotherapy.

<u>**Outcome measures and statistical analysis:**</u> The population was randomly split into a development and a validation cohort. Generalized boosted regression modelling was used to screen out irrelevant variables and address multivariable analyses. Two nomograms were built to estimate OS probability, the first based on baseline factors and platinum agent, the second incorporating objective response (OR). The performance of the above nomograms and that of other available models was assessed. We plotted decision curves to evaluate the clinical usefulness of the two nomograms.

<u>Results and limitations</u>: A total of 1,020 patients were analysed (development: 687; validation: 333). In a platinum-stratified Cox model, significant variables for OS were performance status (p<0.001); white blood cell count (p=0.013); body mass index (p=0.003); ethnicity (p=0.012); lung, liver, or bone metastases (p<.001); and prior perioperative chemotherapy (p=0.012). The c-index was 0.660. The distribution of the nomogram scores was associated with OR (p<0.001), and incorporating OR into the model further improved the c-index in the validation cohort (0.670).

<u>Conclusions</u>: We developed and validated two nomograms for OS to be used before and after completion of first-line chemotherapy for metastatic UC.

Patient summary: We proposed two models for estimating OS of patients with metastatic UC receiving firstline, platinum-based chemotherapy. These nomograms have been developed on real world patients who were treated outside of clinical trials and may be used irrespective of the chemotherapeutic platinum agent.

Introduction:

After several decades of therapeutic stagnation in the field of urothelial carcinoma (UC), the advent of immunotherapy, that has just revitalized the therapeutic landscape of the salvage therapy options, hold promise to also change the paradigm in the first-line metastatic setting.[1-4] Therefore, there is growing interest in developing a new prognostic model that would allow investigators to compare results of experimental and standard therapies and that can be easily used in all patients in clinical practice. Many prognostic factors have been proposed over the last 15 years, and many of them were derived from clinical trial cohorts or small single-centre experiences. These factors included performance status (PS) and the presence of visceral (i.e., lung, liver, or bone [LLB]) metastases.[5,6] Subsequently, these characteristics have been augmented with additional factors like albumin, haemoglobin, leukocyte count and number of metastatic sites.[7,8] In general, all models have relied on clinical trial populations and included cisplatin-treated patients only. However, we know that almost half of the patients who require systemic therapy for metastatic UC are not considered eligible for cisplatin treatment for many reasons, and carboplatin is used instead, despite its documented inferior efficacy.[9,10] Currently, there are no prognostic models for carboplatin-treated patients, and some investigators are now questioning the need to separate cisplatin-from carboplatin-treated patients in clinical trials.[11]

If available, a unique prognostic model covering both of these therapeutic options would be more applicable in 'real world' practice as well as for better clinical trial planning. An additional benefit would be the possibility of updating the prognostic assessment on the basis of the response to chemotherapy observed in individual patients. The little information that is currently available is one post-treatment nomogram, which is also based on a cisplatin-treated and trial-based patient population.[12]

Patients and Methods:

Patient selection:

The Retrospective International Study of Invasive/Advanced Cancer of the Urothelium (RISC) is a retrospective study including individual patient-level data from patients with muscle-invasive or advanced UC or non-UC histology who have received systemic therapy in any clinical setting. This contemporary database includes data gathered from January 1st, 2006 to January 1st, 2011 from hospitals in the United States, Europe, Israel, and Canada.

At the end of October 2015, data were extracted to select patients who fulfilled the following characteristics: any tumour primary site, predominant UC histology, *de novo* metastatic UC (including regional lymph-nodes or distant metastatic disease) or relapse after radical surgery, and administration of cisplatin- or carboplatincontaining chemotherapy in the first-line metastatic setting. Data analysis was performed at the Fondazione IRCCS Istituto Nazionale dei Tumori, Milano, Italy. The present study was approved by the ethics committees at each participating institution.

Statistical analyses:

The study objective was to determine prognostic features associated with overall survival (OS) in a large contemporary cohort of patients with metastatic UC treated with platinum-based chemotherapy outside of clinical trials. Accordingly, a nomogram for OS prediction was developed, including selected baseline factors and the platinum agent. An additional aim was to investigate the possible surrogate or prognostic role of the objective response (OR) to first-line chemotherapy to improve nomogram predictions. OR were assessed at each site by the local investigators. Descriptive statistics were used to summarize baseline characteristics, treatments and outcomes. The Kaplan-Meier method was used for estimation of progression-free survival (PFS) and OS, while the reverse Kaplan-Meier method described by Schemper and Smith was used for follow-up quantification.[13]

The analyses were performed using a split-sampling strategy: the overall sample was randomly split with stratification by centre into development and validation cohorts. The former was used for model building, and the latter was used only for model testing purposes.

For model building, generalized boosted regression modelling (GBM) was used first for exploratory purposes, i.e. to screen out irrelevant variables in terms of association with PFS and OS.[14] This tree-based regression approach, which is able to incorporate observations with partially missing data, also provided guidance for the detection of nonlinear effects and interactions among covariates, which was useful for the subsequent phase of analysis. The variables with a relative influence lower than 1 were discarded, while the remaining variables were entered into multivariable Cox proportional hazards (PH) regression models and selected with a p value-based backward procedure. Missing values were substituted with the median in case of continuous covariates or considered a separate class of categorical covariates. PH assumptions were graphically assessed, and the results of the final models were summarized using hazard ratios (HR), together with the corresponding 95% confidence intervals (CI) and Wald's p-values. Finally, a nomogram was built for estimating 1-, 2- and 5-year OS probability (i.e. RISC₁ nomogram). Furthermore, the distribution

4

of the nomogram scores was compared between the distinct OR categories using a Kruskal-Wallis test. A second nomogram for OS was then derived from a Cox model including the first nomogram scores and OR as predictors (i.e. RISC₂ nomogram). Performance testing of the two nomograms developed here, as well as the most important published models, was assessed in terms of discrimination (Harrell's c-index),[15] calibration (calibration plots and slope of "fit2" model by Crowson et al.) [16] and predictive accuracy (Schemper and Henderson Dx statistic).[17] Furthermore, we plotted decision curves to assess the benefits of nomogram-assisted decisions in a clinical context.[18] Statistical analyses were carried out with SAS (version 9.2, SAS Institute, Cary, NC) and R software (version

3.2.3, R Foundation for Statistical Computing, Vienna, Austria). Statistical significance was set at the conventional 5% two-sided threshold.

Results:

Patient characteristics and outcomes:

The study flow chart is presented in Figure 1. Of 3,024 registered cases, 1,020 patients, from 29 contributing centres, were suitable for analyses. The main characteristics of the study population are shown in Table 1. There were 639 (62.6%) patients who received cisplatin and 381 (37.4%) who received carboplatin chemotherapy. Kaplan-Meier curves of PFS and OS in the two groups are shown in Supplementary Figure 1A,B. The reasons for carboplatin administration were age (N=51), co-morbidities (N=46), impaired renal function (N=187), and other not specified (N=97). Globally, 813 patients had information on the response to chemotherapy: 85 (10.5%) achieved a complete response (CR) and 285 (35.1%) achieved a partial response (PR), with statistically significant differences between cisplatin and carboplatin (chi-squared test, p<0.001). The OS curves based on response are shown in Supplementary Figure 2A (for carboplatin chemotherapy) and Supplementary Figure 2B (for cisplatin chemotherapy). After median follow-up of 31.6 months (95%CI: 29.4-35.0) there were 853 progression and 664 death events. Of the latter, 594 (89.5%) were due to disease progression, 20 (3%) due to other causes and the remaining due to unknown reasons or toxicity. The data were split into a development sample (N=687, 67.4%) and a validation sample (N=333, 32.6%) targeting a 2:1 ratio, and PFS and OS curves were

overlapping in the two groups (Supplementary Figure 3A,B).

Prognostic factors for PFS and OS:

5

The variables selected for analysis of OS after GBM are provided in Supplementary Table 1, and results of the multivariable Cox analyses are shown in Table 2. Within the Cox models, using platinum type as a covariate or a stratification factor did not impact the results in terms of variables retained or model performance. Meaningful interactions between platinum type and the remaining covariates could also be ruled out. On this basis, we decided to present results obtained with stratified Cox models. This choice had the advantage of allowing calculation of a prognostic score independent of treatment and subsequently translating this score into the expected OS by accounting for the platinum type. The c-index for OS was 0.671 (95%CI: 0.641-0.701) in the development cohort and 0.660 (95%CI: 0.617-0.704) in the validation cohort. The results of the model assessments are shown in Table 3. Overall, performance statistics favoured our models (higher c-index and lower Dx, calibration slopes generally consistent with a value of one).

Development of a nomogram for OS:

The RISC₁ nomogram for estimating 1-, 2-, and 5-year OS is shown in Figure 2A, while 12- or 24-month calibration plots are shown in Figures 2B and 2C, respectively. Decision curves for the OS model are also shown in Figure 2. The plots show that model-based decisions are supported in the range of threshold probabilities of about 20-80% at 12 months (2D) and 50-80% at 24 months (2E).

Prognostic role of the response to first-line chemotherapy:

To analyse the interplay between predictions from baseline prognostic factors, response to chemotherapy and OS, we used the data from 737 patients (development cohort: N=506; validation cohort: N=231) who had information on response and fulfilled a 4-month landmark analysis. We found a significantly different distribution of nomogram scores according to the response to chemotherapy (Supplementary Figure 4, p<0.001). This finding implies that baseline covariates also predicted the response to chemotherapy. However, by modelling the response and nomogram scores together with a new Cox model for OS, both factors were statistically significant (Supplementary Table 2), and the c-index increased to 0.705 (95%CI: 0.670-0.739) and 0.670 (95%CI: 0.619-0.721) in the development and validation cohort, respectively. These findings suggest that tumour response must be considered an additional prognostic factor rather than a perfect surrogate for OS. The corresponding RISC₂ nomogram is shown in Figure 3A, with calibration plots at 12 and 24 months (Figures 3B and 3C). Decision curves for the OS model are also shown in Figure 3. The plots show that model-based decisions are supported in the range of threshold probabilities of about 25-60% at 12 months (3D) and 30-85% at 24 months (3E).

Discussion:

We developed and validated two new nomograms for estimating OS that apply to all patients with metastatic UC receiving platinum-based first-line chemotherapy. Also, we tested the available prognostic models for OS in a large, contemporary population of patients treated outside of clinical trials. The prognostic performance was analysed: in general, an improvement in the c-index in excess of 0.015 is deemed clinically relevant.[19] Consequently, we observed a meaningful performance improvement of our models compared to that of the Memorial Sloan-Kettering Cancer Center,[5] Apolo's nomogram [6] and Galsky's nomogram, which altogether accounted for PS, site of primary tumour, number of metastatic sites, albumin level, leukocyte count, and lymph node metastases.[8]

Remarkably, the most intriguing feature of our model is its applicability regardless of the type of platinum administered, a factor that has been further confirmed as having an impact on OS. Additionally, thanks to the construction of our models through a series drawn from current practice rather than a clinical trial population, many artificial factors typically arising in experimental setting (centre and patient selection, modalities of treatment administration and patient assessment) are unlikely to affect the results.

Some limitations must be recognized as well. First, in spite of the large sample size of both development and validation cohorts, an external validation would have strengthened our findings. Second, the retrospective nature of the study does not totally rule out that some patients were excluded from the RISC database because of missing records. Yet the number of missing data was not negligible for ECOG-PS and ethnicity factors, hence the "not available" category was introduced in the nomogram. Practical application of this choice might be the possibility to use the nomogram in retrospective case-series where the above information is also missing. Third, criteria for choosing carboplatin instead of cisplatin were rather heterogeneous, being based on either the clinical judgment or the policy of each centre. A way to account for the lack of standardization might be the inclusion of co-morbidity status (e.g. the Charlson comorbidity index) as a covariate into multivariable analysis, but this information could not be retrieved in our study. Similarly, we could not analyze other potentially meaningful factors like chemotherapeutic dosing, toxicity and tolerability, and need for dose reductions or treatment interruption. These factors might have accounted for the significant association of age with PFS in our multivariable model. Fourth, the study did not foresee a formal definition of response to chemotherapy. However, it is plausible that substantial overlap might exist in expert centres between Response Evaluation Criteria for Solid Tumours (RECIST) definitions and routine clinical practice. The lack of central response assessment and the possible variations in response

7

assessment across the centres might partly explain the overlap between survival curves after PR and SD to first-line chemotherapy.

Finally, we were not confident in more finely assessing the impact of prior perioperative chemotherapy based on the time lapse to the initiation of first-line therapy owing to the lack of available data. Nevertheless, the suitability of cisplatin-based first-line therapy after prior perioperative cisplatin has been questioned [20] and analysing all platinum treatments does make sense.

The present model did incorporate the two historical factors that are common in most available models, i.e., ECOG-PS and LLB metastases, but two interesting and novel factors were shown to be significantly associated with OS: BMI and ethnicity. It is plausible that decreased BMI is associated with a shorter OS because it could be a surrogate for weight loss or cachexia. Regarding ethnicity, that was self-reported by patients in each case to avoid misunderstanding, it is possible that underlying differences in either the disease biology or pharmacogenomics may be responsible for the different survival, and further investigation is warranted. No differences in access to second-line therapy based on ethnicity could be found (data not shown), and socioeconomic information was not recorded in the database.

Despite the decision thresholds of the two nomograms suggested a net benefit over a fairly limited range at long term, these nomograms may be offered as possible aids to clinicians in the context of limited validated options for risk estimation. The RISC₁ nomogram might be best applied to stratify the results in randomized clinical trials in which a standard chemotherapy arm is used. Examining the RISC₂ nomogram, we observed an increase in the prognostic ability leading to a c-index of approximately 0.70 after the inclusion of tumour response as a predictor, together with baseline factors. Such a result was obtained in spite of a statistically significant association between the two nomogram components. This association is an original finding in the field of advanced UC, although caution is needed in interpreting the results owing to the acknowledged limitations.

Galsky et al. has already presented a post-treatment nomogram based on 317 cisplatin-treated patients, and its prognostic ability was equally good (c-index of 0.68).[12] Our proposal, however, has the advantage of expanding the field of applicability to all patients who had received a platinum-based combination chemotherapy. The applicability of the RISC₂ may be twofold: in real world practice, where follow-up planning and patient counseling at the end of first-line chemotherapy may be better addressed; in the context of clinical trials, where the nomogram may provide the benchmark of expected OS with standard treatment and interest lies in investigating maintenance therapy. To make these new prognostication tools more user friendly, a free app called "RISCalculator" is being developed for smartphones and tablets and will be

8

available in the app stores. Formulas for calculating estimated survival for individual patients are available to the reader upon request.

Conclusions:

We developed and tested nomograms for estimating the OS of patients with metastatic UC receiving first-line platinum-based chemotherapy. The nomograms we developed were based on contemporary real world patients who were treated outside of clinical trials, included newly identified prognostic factors, and allowed for the response to chemotherapy. External validation of the present models and nomograms is warranted. Owing to the broad applicability of the baseline and post-treatment nomogram, and the comparatively improved performance of both, their use may be recommended.

References:

- 1. Sonpavde G, Jones BS, Bellmunt J, Choueiri TK, Sternberg CN. Future directions and targeted therapies in bladder cancer. Hematol Oncol Clin North Am 2015;29:361-376.
- Powles T, Eder JP, Fine GD, et al. MPDL3280A (anti-PD-L1) treatment leads to clinical activity in metastatic bladder cancer. Nature 2014;515:558-562.
- 3. Rosenberg JE, Hoffman-Censits J, Powles T, et al. Atezolizumab in patients with locally advanced and metastatic urothelial carcinoma who have progressed following treatment with platinum-based chemotherapy: a single-arm, multicentre, phase 2 trial. Lancet 2016; 387:1909-1920.
- Plimack ER, Bellmunt J, Gupta S, et al. Pembrolizumab (MK-3475) for advanced urothelial cancer: updated results and biomarker analysis from KEYNOTE-012. J Clin Oncol 33, 2015 (suppl; abstr 4502).
- 5. Abida W, Bajorin DF, Rosenberg JE. First-line treatment and prognostic factors of metastatic bladder cancer for platinum-eligible patients. Hematol Oncol Clin North Am 2015;29:319-328.
- Bajorin DF, Dodd PM, Mazumdar M, et al. Long-term survival in metastatic transitional-cell carcinoma and prognostic factors predicting outcome of therapy. J Clin Oncol 1999;17:3173-3181.
- Apolo AB, Ostrovnaya I, Halabi S, et al. Prognostic model for predicting survival of patients with metastatic urothelial cancer treated with cisplatin-based chemotherapy. J Natl Cancer Inst 2013;105:499-503.
- Galsky MD, Moshier E, Krege S, et al. Nomogram for predicting survival in patients with unresectable and/or metastatic urothelial cancer who are treated with cisplatin-based chemotherapy. Cancer 2013;11:3012-3019.

- 9. Sonpavde G, Watson D, Tourtellot M, et al. Administration of cisplatin-based chemotherapy for advanced urothelial carcinoma in the community. Clin Genitourin Cancer 2012;10:1-5.
- 10. Galsky MD, Chen GJ, Oh WK, et al. Comparative effectiveness of cisplatin-based and carboplatinbased chemotherapy for treatment of advanced urothelial carcinoma. Ann Oncol 2012;23:406-410.
- Sonpavde G, Galsky MD, Vogelzang NJ. First-line systemic therapy trials for advanced transitionalcell carcinoma of the urothelium: should we stop separating cisplatin-eligible and –ineligible patients? J Clin Oncol 2010;28:e441-442.
- Galsky MD, Moshier E, Krege S, et al. Posttreatment prognostic nomogram for patients with metastatic urothelial cancer completing first-line cisplatin-based chemotherapy. Urol Oncol 2014;32:48.e1-8.
- Schemper M, Smith TL. A note on quantifying follow-up in studies of failure time. Control Clin Trials 1996;17:343-346.
- 14. Hastie T, Tibshirani R, Friedman J, et al. The elements of statistical learning, New York: Springer series in statistics, Springer New York Inc, 2001.
- Harrell FE Jr, Lee KL, Mark DB. Multivariable prognostic models: issues in developing models, evaluating assumptions and adequacy, and measuring and reducing errors. Stat Med 1996;15:361-387.
- Crowson CS, Atkinson EJ, Therneau TM. Assessing calibration of prognostic risk scores. Stat Methods Med Res 2016;25:1692-1706.
- Schemper M, Henderson R. Predictive accuracy and explained variation in Cox regression. Biometrics 2000;56:249-255.
- Vickers AJ, Elkin EB. Decision curve analysis: a novel method for evaluating prediction models. Med Decis Making 2006;26:565-574.
- 19. Nguyen CT, Kattan MW. How to tell if a new marker improves prediction. Eur Urol 2011;60:226-228.
- 20. Locke JA, Pond GR, Sonpavde G, et al. Cisplatin- versus non-cisplatin-based first-line chemotherapy for advanced urothelial carcinoma previously treated with perioperative cisplatin. Clin Genitourin Cancer 2016;14:331-340.

Legend to Figures:

Figure 1: Study flow chart, with counts and reasons for patient selection.

Figure 2: A) RISC₁ nomogram to predict individual patient-level 1-, 2-, and 5-year overall survival based on baseline factors before starting first-line chemotherapy. B) Calibration plots for the validation sample of the RISC₁ nomogram, estimated at 12 months, and C) 24 months. The average predicted probability (nomogram-predicted overall survival; x-axis) was plotted against Kaplan-Meier estimate (observed overall survival; y-axis). 95% confidence intervals of the Kaplan-Meier estimates are indicated with vertical lines. Red line indicates the reference line, indicating where an ideal nomogram would lie.

D) Decision curves for overall survival at 12 and E) 24 months applied to the RISC₁ nomogram.

Legend: solid thin line: net benefit of a strategy of treating all patients; solid bold line: net benefit of treating no patients; dotted line: net benefit of a strategy of treating patients according to the nomogram predictions. *Abbreviations*: BMI: body-mass index; ECOG-PS: Eastern Cooperative Oncology Group Performance Status; NA: not available; RISC: Retrospective International Study of Invasive/Advanced Cancer of the Urothelium; WBC: white blood cell count.

Figure 3: A) $RISC_2$ nomogram to predict individual patient-level 1-, 2-, and 5-year overall survival based on the response to first-line chemotherapy added to the total score from $RISC_1$. B) Calibration plots for the validation sample of the $RISC_2$ nomogram, estimated at 12 months, and C) 24 months.

D) Decision curves for overall survival at 12- and 24- (E) months applied to the RISC₂ nomogram. *Legend*: solid thin line: net benefit of a strategy of treating all patients; solid bold line: net benefit of treating no patients; dotted line: net benefit of a strategy of treating patients according to the nomogram predictions. *Abbreviations*: CR: complete response; CT: chemotherapy; PD: progressive disease; PR: partial response; NA: not available; RISC₁: nomogram with baseline factors from the Retrospective International Study of Invasive/Advanced Cancer of the Urothelium database; SD: stable disease.

Supplementary Figure 1: Kaplan-Meier curves of progression-free survival (A) and overall survival (B) in the overall population according to the platinum agent.

Legend: black line: carboplatin; red line: cisplatin.

Supplementary Figure 2: Kaplan-Meier curves of overall survival for carboplatin-treated (A) and cisplatin-treated (B) patients according to the response to chemotherapy.

<u>Legend</u>: black line: complete response; red line: partial response; green line: stable disease; blue line: progressive disease.

<u>Abbreviations</u>: CR: complete response; PD: progressive disease; PR: partial response; SD: stable disease. **Supplementary Figure 3**: Kaplan-Meier curves of progression-free survival (A) and overall survival (B) in the development and validation groups, respectively. Legend: black line: development group; red line: validation group.

Supplementary Figure 4: Box plots of RISC₁ nomogram scores, according to the response to first-line chemotherapy.

Abbreviations: CR: complete response; CT: chemotherapy; PD: progressive disease; PR: partial response;

RISC: Retrospective International Study of Invasive/Advanced Cancer of the Urothelium; SD: stable disease.

Take Home message:

- We were able to identify new prognostic factors and to construct two nomograms that were based on baseline factors and allowed for response to chemotherapy, respectively.
- Such nomograms may serve to perform prognostic stratification in trials and inform patients in the clinic.

	Ma	0/
Total number of notion to	NO.	%
I otal number of patients	1,020	-
Age, years: median (IQR)	67 (59	9-73)
Gender		
Male	802	78.6
Female	211	20.7
Missing	7	0.7
Ethnicity		
Not Hispanic/latino, White	831	81.5
Not Hispanic/latino, Black	29	2.8
Hispanic or latino	81	7.9
Other or mixed	22	2.2
Missing	57	5.6
Smoking history		
Current smoker	220	21.5
Former smoker	359	35.2
Never smoker	226	22.2
Missing	215	21.1
Primary tumour location		
Bladder	828	81.2
Renal pelvis or ureter	165	16.2
Urethra	6	0.6
Missing	21	2.0
Histology	21	2.0
Pure LIC	023	90.5
UC with divergent histologies	70	90.3 7 7
Missing	18	1.7
PMI Ka/m ² : modion (IOP)	10	6 20 1)
ECOC Derformance Status	20.3 (23.	0-29.1)
	202	20.7
0	303	29.7
<u> </u>	392	30.4
<u> </u>	110	10.8
>2	20	2.0
Missing	195	19.1
Baseline Haemoglobin, gr/dL: median (IQR)	12.2 (10	9-13.5)
Baseline WBC, x10 [°] /µL: median (IQR)	8.0 (6.3	-10.3)
Prior peri-operative systemic therapy	171	16.8
Time from end peri-operative chemotherapy to start first-line, months: median (IQR)	11.0 (4.8	8-24.5)
≤12 months	71	41.5
>12 months	61	35.7
Undetermined	39	22.8
Number of metastatic sites		
1	415	40.7
2	306	30.0
≥3	254	24.9
Missing	45	4.4
Site of metastases		
Pelvic lymph-nodes	391	38.3
Retroperitoneal lymph-nodes	305	29.9
Other lymph-nodes	183	17.9
Luna	258	25.3
Bone	256	25.0
Liver	189	18.5
Pelvic soft tissue	127	12.5
Peritoneum	30	2.0
Brain	16	1.3
	152	1/ 0
	152	14.9

Type of first-line chemotherapy					
Cisplatin-based combination	639	62.6			
Gemcitabine and cisplatin	429	67.2			
MVAC or DD-MVAC	167	26.1			
Taxane, cisplatin, and gemcitabine	9	1.4			
Other	34	5.3			
Carboplatin-based combination	381	37.4			
Gemcitabine and carboplatin	307	80.6			
Taxane and carboplatin	39	10.2			
Taxane, carboplatin, and gemcitabine	15	3.9			
Other	20	5.3			
Response to first-line chemotherapy	Total: 813				
CR	85	10.5			
PR	285	35.1			
SD	215	26.4			
PD	228	28.0			
Abbroviations: CP: complete response: ECOC: Eastern Cooperative C	noology Croup: IC	יםר			

Abbreviations: CR: complete response; ECOG: Eastern Cooperative Oncology Group; IQR: interquartile range; (DD)-MVAC: (dose-dense)-methotrexate, vinblastine, doxorubicin, cisplatin; PD: disease progression; PR: partial response; SD: stable disease; UC: urothelial carcinoma; WBC: white blood cell count.

Table 2 . Results of the multivariate, pbased on p-value significance. Factor	latinum strat s in the final	ified, Cox model for O model in the developn	S (primary enconent sample.	lpoint) and P	FS using variable sel	ection					
		OS		PFS							
Factor	HR	95% CI	P*	HR	95% CI	P*					
WBC (x10 ³ /µL):			0.013								
6.3 (1 st Quartile)	Ref.	Ref.									
10.3 (3 rd Quartile)	1.10	1.02-1.20									
ECOG-PS:			<0.001			<0.001					
0	Ref.	Ref.		Ref.	Ref.						
1	1.76	1.37-2.26		1.54	1.25-1.90						
≥2	2.39	1.69-3.38		1.81	1.35-2.42						
Unknown	1.53	1.14-2.06		1.38	1.07-1.78						
BMI:			0.003								
23.6 (1 st Quartile)	Ref.	Ref.									
29.1 (3 ^{3d} Quartile)	0.80	0.69-0.93									
Lung-Liver-Bone metastases:			<0.001			<0.001					
Yes vs No	1.68	1.38-2.04		1.63	1.38-1.94						
Ethnicity:			0.012								
Not Hispanic/L, White	Ref.	Ref.									
Hispanic/L	1.42	1.03-1.97									
Not Hispanic/L, Black	0.77	0.39-1.51									
Other/mixed	0.44	0.21-0.95									
Unknown	0.62	0.36-1.07									
Prior peri-operative chemotherapy: Yes vs No	1.38	1.07-1.78	0.012	1.29	1.02-1.63	0.033					
Age						0.020					
59 (1 st Quartile)				Ref.	Ref.	0.030					
73 (3 rd Quartile)				0.72	0.57-0.90						

Abbreviations: BMI: body-mass index; CI: confidence interval; ECOG-PS: Eastern Cooperative Oncology Group-Performance Status; HR: hazard ratio; L: latino; PFS: progression-free survival; OS: overall survival; WBC: white blood cell count.

*P: two-sided Wald test p value.

Table 3. Assessment on the RISC population of the performance of the available platinum-stratified Cox models for OS, in terms of discrimination (Harrell c-index), calibration (calibration slope) and accuracy (Schemper and Henderson *Dx*).

Model	Developm	ent cohort	Validation cohort						
	All patients	Cisplatin only	All patients	Cisplatin only					
Bajorin, 1999* [6] • <i>c-index (95% CI) ‡</i> • <i>calibration slope (95% CI)</i> • <i>Dx</i>	0.643 (0.613-0.672)	0.622 (0.584-0.660)	0.623 (0.579-0.666) 0.845 (0.683-1.045) 0.541	0.619 (0.563-0.675) 0.762 (0.542-1.071) 0.566					
Apolo, 2013* [7] • <i>c-index (95%Cl) ‡</i> • <i>calibration slope (95% Cl)</i> • <i>Dx</i>	0.659 (0.629-0.689)	0.642 (0.603-0.681)	0.636 (0.592-0.679) 0.854 (0.695-1.049) 0.540	0.641 (0.583-0.698) 0.798 (0.575-1.108) 0.564					
Galsky, 2013* [8] • c-index (95% Cl) ‡ • calibration slope (95% Cl) • Dx	0.666 (0.635-0.696)	0.657 (0.617-0.696)	0.644 (0.600-0.688) 0.841 (0.674-1.049) 0.542	0.645 (0.587-0.702) 0.778 (0.553-1.094) 0.564					
Present • <i>c-index (95%Cl) ‡</i> • <i>calibration slope (95% Cl)</i> • <i>Dx</i>	0.671 (0.641-0.701)		0.660 (0.617-0.704) 0.881 (0.715-1.087) 0.534						

Abbreviations: CI: confidence interval; OS: overall survival; RISC: Retrospective International Study of Invasive/Advanced Cancer of the Urothelium.

* Type of chemotherapy (cisplatin vs carboplatin) being included into the original model as a covariate.

[‡] estimated at 36 months

Illustration Click here to download high resolution image

Figure 1



Figure 2A, RISC₁

Points	٩		10	15 20	25	30	35 4	10 45	50	55 6	0 65	70	. 75	80 85	. 90	95	100
WBC (x10^3/µL)	5	2 4	6	8 10	14	1	8	22	26	30	34	38	42	46		50	54
ECOG PS	5	27 11		54 00445	Shell	N.A.	o	1		20000	<u>></u> 1						
BMI	40	38	36	34	32	30	28	26	24 2	2 20	18	16					
Lung/Liver/Bone Metastases	No						Yes										
Ethnicity	-	_		Not	availat	ole			Not His	panic/L	atino, V	Vhite	-101		0.00		
Perioperative Systemic Therapy	Other/Mit	xed		8	Yes	Not Hi	spanic/	Latino,	Black				His	panic or	Latino	0	
Total Points	6	20	40	60	80	100	120	140	160	180	200	220	240	260	280	300	320
1-yr Survival Prob Carboplatin				0.86	0.8		0.7	0.6	0.5	0.4	0,3	0,2	0	1 0.05	ŝ	0.01	
1-yr Survival Prob Cisplatin				0.91			0.8		0.7	0.6	0.5	0.4	0.3	0.2	0.1	0.0	5
2-yr Survival Prob Carboplatin				0.75 0	7	0.6	0.5	0.4	0.3	0.2	0.1	0.05	0.	01			
2-yr Survival Prob Cisplatin				0.78	0.7	0.	6 0	5 0	4 0.	3 0.2	2	0.1 0	.05	0.01			
5-yr Survival Prob Carboplatin				0.6	0.5	0.4	0.3	0.2	0.1	0.05	0.	01					
5-yr Survival Prob Cisplatin				0.66 0.6	5 0	5 0	.4 0	.3 (2	0.1 0	.05	0.01					

Illustration Click here to download high resolution image

Figure 2B



Figure 2C



Figure 2D



Figure 2E



Figure 3A, RISC₂

Points	ę		10	15	20		5 30	35	40	45	5 50		5 6	0 6	5	70	75	50	85	90	95	_100
Response to CT	CR	5						PR	D					P	D							
Score from RISC1	60	70	80	90	100		120	•	140	,	160		180		200	14	220	•	240		260	-
Total Points	ć	10	20		30	40	50	60	70		80	90	100) 11	0	120	130	140) 1	50	160	170
1-yr Survival Prob Carboplatin	0.91	0.9			(0.8		0.7	0.	6	0.5	į	0.4	0.3		0.2	0.	1 0	0.05			
1-yr Survival Prob Cisplatin	0.93	8)	0.9				0.8	0	0.7	()	0.6	0.	5	0.4	0.3	3	0.2	0.	1	0.05		
2-yr Survival Prob Carboplatin	0.86	1	0.8		0.	7	0.6	0	5 (0.4	0.3	6)	0.2		0.1	0.0	05	0.0	1			
2-yr Survival Prob Cisplatin	0.86).	0.8		0.	7	0.6	0	5	0.4	0.3	e i	0.2	0	0.1	0.0	05	0.0	1			
5-yr Survival Prob Carboplatin	0.74	0.7	0	.6	0.5	5	0.4	0.3	0.2		0,1	0	.05		0.01							
5-yr Survival Prob Cisplatin	0.78	5 0	0.7		0.6	0.5	5 0.	4 ().3	0.2	2	0.1	0.0	05	(0.01						

Illustration Click here to download high resolution image

Figure 3B



Illustration Click here to download high resolution image

Figure 3C



Figure 3D



Figure 3E



Supplementary file Click here to download Supplementary file: Supplementary Table 1.docx Supplementary file Click here to download Supplementary file: Rev_Suppl Table 2.docx Illustration Click here to download Supplementary file: Suppl Fig 1A.jpg Illustration Click here to download Supplementary file: Suppl Fig 1B.jpg Illustration Click here to download Supplementary file: Suppl Fig 2A.jpg Illustration Click here to download Supplementary file: Suppl Fig 2B.jpg Illustration Click here to download Supplementary file: Suppl Fig 3A.jpg Illustration Click here to download Supplementary file: Suppl Fig 3B.jpg Supplementary file Click here to download Supplementary file: Supplementary Figure 4.jpg Supplementary file Click here to download Supplementary file: 998F-4CC0-8B84-E3C7-FB3A.pdf