

1 **Comparable CD4 and CD8 T cell responses and cytokine release after at-birth**
2 **and delayed BCG immunisation in infants born in Australia**

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38 TB

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40 Conflict of interest

41 None for all authors.

42

43

44 **Abstract**

45 Background

46 More than 120 million doses of BCG vaccine are administered worldwide each year.
47 Most infants are given BCG at birth in accordance with WHO recommendations.
48 However, the effect of the maturing neonatal immune system on the immune response
49 and protection conferred by BCG remains uncertain. Previous studies investigating the
50 influence of age at immunisation on the immune response induced by BCG have
51 reported conflicting results. This study compared BCG given at birth and at two
52 months of age in infants in Australia.

53 Methods

54 Infants born in Melbourne were randomly allocated to immunisation with BCG-
55 Denmark at birth or two months of age. Ten weeks after immunisation, anti-
56 mycobacterial immune responses were measured in a whole blood assay using
57 intracellular cytokine assays and x-MAP multiplex cytokine analysis.

58 Results

59 Results from 98 BCG-immunised infants were included in the final analysis. BCG
60 immunisation at birth (n=54) and at 2 months of age (n=44) induced comparable
61 proportions of mycobacteria-specific cytokine-producing CD4 and CD8 T cells, as
62 well as comparable proportions of polyfunctional (TNF+ IL-2+ IFN-g+) CD4 T cells.
63 Concentrations of cytokines in supernatants were also similar in both groups.

64 Conclusions

65 Cellular immunity measured 10 weeks after BCG immunisation was similar in infants
66 given BCG at birth and in those given BCG at 2 months of age. Although definitive
67 correlates of protection against TB remain uncertain, these results suggest that

68 delaying BCG immunisation does not confer any immunological advantage in cellular
69 immunity.

70 **Introduction**

71 Bacille-Calmette-Guérin (BCG) is one of the most commonly administered vaccines
72 worldwide [1]. BCG immunisation is most effective for the prevention of severe forms
73 of tuberculosis (TB), with protective efficacy of up to 87% against TB meningitis and
74 miliary TB [2, 3]. These forms of TB are most commonly seen in infants and children
75 less than two years of age. The World Health Organization (WHO) therefore
76 recommends that BCG is given as soon as possible after birth in countries with high
77 TB incidence [4].

78 However, BCG immunisation soon after birth may not be optimal for two reasons.
79 Firstly, there is the risk of inadvertently immunising infants who are infected with
80 human immunodeficiency virus (HIV). HIV-infected infants are at risk of developing
81 disseminated BCG disease, which is associated with a mortality of up to 75% [5, 6].
82 As a result, WHO revised their recommendations in 2007 to state that BCG vaccine
83 should not be used in children who are known to be HIV-infected and, in settings with
84 adequate HIV services, to delay BCG immunisation for infants born to mothers known
85 to be HIV-infected until these infants are confirmed to be HIV-uninfected [4]. This
86 recommendation was reinforced in 2010 [7]. Secondly, BCG immunisation
87 administered at birth potentially induces an immune response that is inferior to that
88 provided by immunisation beyond the neonatal period. The human immune system
89 undergoes significant maturational changes in early life [8]. Consequently, the
90 ‘immature’ immune system of newborns may be less capable of generating protective
91 anti-mycobacterial immune responses after BCG immunisation compared with the
92 immune system of older infants.

93 Only few studies have investigated the influence of age at immunisation on the
94 immune response and protection against TB induced by BCG. Clinical studies in
95 Canada and Colombia indicate that immunisation after 6 to 12 months of age may be
96 associated with better protective efficacy [9, 10]. More recently, four important studies
97 in Africa comparing the mycobacteria-specific immune response induced by BCG
98 immunisation at birth with delayed immunisation have reported conflicted results [11-
99 14]. In some studies, certain subsets of mycobacteria-specific cytokine-producing CD4
100 and CD8 T cells were higher in infants with delayed BCG immunisation while in
101 others the same subsets were not different (detailed further in discussion below). In
102 addition, geographical setting plays an important role in the early life immune
103 response in infants. For example, a recently published study comparing the cytokine
104 response of monocytes and dendritic cells in 2-year old children from Canada,
105 Belgium, Ecuador and South Africa showed that children from South Africa had lower
106 interleukin (IL)-6, IL-12, interferon (IFN)- α , IFN- γ , and tumor necrosis factor (TNF)
107 concentrations [15, 16]. The mechanism underlying differing immune responses
108 between populations is uncertain but is likely attributable to host genetics and
109 environmental factors. It is therefore important to study the immune response to early
110 versus delayed BCG immunisation in different settings.

111 **Materials and Methods**

112 Study population and BCG immunisation

113 Infants were recruited during a related but independent study [17]. Pregnant women
114 attending the antenatal clinic at the Mercy Hospital for Women in Melbourne,
115 Australia were approached if one of the parents was born in a country with high TB
116 incidence (defined as more than 100 cases per 100 000 inhabitants) and planned to

117 travel to their country of origin within the next five years. This identified infants for
118 whom BCG immunisation is recommended by the Australian Immunisation
119 Guidelines [18]. Written informed consent was obtained from the mother. Exclusion
120 criteria included mothers known to be infected with HIV, premature birth (less than 35
121 weeks of gestation), birth weight below 2500 grams, and any symptoms or signs of
122 illness. Participants were randomly allocated to be immunised with BCG at birth or at
123 two months of age. BCG vaccine (SSI-1331 from Statens Serum Institute,
124 Copenhagen, Denmark) was given as a 0.05 ml intradermal injection in the left deltoid
125 region using a 26-gauge needle.

126

127 The study was approved by the Mercy Health Human Research Ethics Committee
128 (R07/16), and approved as a clinical trial by the Australian Therapeutic Goods
129 Administration (TGA). The trial was registered with the Australian New Zealand
130 Clinical Trials Registry (number ACTRN12608000227392).

131

132 Immunological assays

133 Ten weeks after BCG immunisation (ie at 10 weeks of age in the at birth BCG-
134 immunised group and at 18 weeks of age in the delayed group), up to 6 ml of blood
135 were collected in sodium heparin tubes and stimulation assays were done within two
136 hours of collection. A whole blood intracellular cytokine/cytotoxicity assay was done
137 as previously described [17]. Briefly, blood was incubated with BCG (SSI-1331,
138 Statens Serum Institute), purified protein derivative (PPD; Batch RT50, Statens Serum
139 Institute), heat-killed *M. tuberculosis* (MTB; H37Rv), staphylococcal enterotoxin B
140 (SEB; Sigma-Aldrich, St. Louis, MO, USA), or medium alone in the presence of co-

141 stimulatory antibodies CD28 and CD49d (BD Biosciences, San Jose, CA, USA) at
142 37 °C for 7 hours. Plasma was removed for cryopreservation and the remaining blood
143 was incubated for a further 5 hours with brefeldin A (BfA; Sigma-Aldrich) for
144 intracellular cytokine staining or a combination of BfA, monensin (Sigma-Aldrich)
145 and anti-CD107a-APC (BD Biosciences) for cytotoxicity assays. The remaining blood
146 was then harvested, and cells lysed and fixed in FACS lysing solution (BD
147 Biosciences) before cryopreservation at -80 °C.

148 For the flow cytometric analyses, thawed samples were permeabilised with Perm2
149 Solution (BD Biosciences), washed with staining buffer and incubated with the
150 following fluorochrome-conjugated antibodies (all BD Biosciences): anti-CD3 PerCP-
151 Cy5.5 (SK7), anti-CD4 FITC (RPA-T4), anti-CD8 Alexa-700 (RPA-T8), anti-IFN- γ
152 PE-Cy7 (4S.B3), anti-IL-2 PE (MQ1-17H12) and anti-TNF APC (Mab11), and anti-
153 CD107a APC (H4A3). Analysis was done on an LSRII flow cytometer (BD
154 Biosciences) with optimised PMT voltages and standardisation using CST beads (BD
155 Biosciences). Automated compensation was calculated with FACSDiva software
156 (version 6.1, BD Biosciences, San Jose, CA, USA) using stained anti-mouse and anti-
157 rat Ig kappa beads. Flow cytometric analysis was done using FlowJo software (version
158 8.8.6, TreeStar Inc, Ashland, OR, USA). A hierarchical gating strategy was used to
159 select single cell CD4 and CD8 T cell populations. Gates for cytokine expression and
160 cytotoxic markers for blood stimulated with mycobacterial antigens and SEB were set
161 using the unstimulated control cells. A Boolean combination was used to determine
162 polyfunctional T cells producing more than one cytokine, ie double-positive and triple-
163 positive populations.

164 Concentrations of cytokines in supernatants were measured using human multiplex
165 bead-based cytokine kits using xMAP technology (Milliplex Human

166 Cytokine/Chemokine Immunoassay, Millipore Corp, Billerica, MA, USA).
167 Preliminary experiments were done to determine which cytokine and chemokines are
168 detectable in supernatants from infants after BCG immunisation and the variability of
169 the assay. These included 16 cytokines and chemokines covering the spectrum of Th1,
170 Th2, Th17, regulatory and pro-inflammatory pathways. IL-4, IL-5 and IL-17 were not
171 detectable and not further analysed. Differences between samples run in duplicate
172 were minimal. MIP-1 α and MIP-1 β results were highly correlated so only MIP-1 β was
173 further analysed. EGF, eotaxin, fractalkine, IL-2, IL-10, IL-12 (p40), IL-13, and IFN- γ
174 were analysed in undiluted samples and IL-6, MCP-1, MIP-1 β and TNF were analysed
175 in 1:20 diluted samples based on previous optimisation experiments. Standard curves
176 were generated using six dilutions of standards run in duplicate and two controls were
177 included in every run. Samples were run as single assays. Assays were read with a
178 Luminex 200 bioanalyser (Luminex Corp. TX, USA), which was calibrated before
179 each run and set to acquire 50 events per bead. All outcome assessors were blinded to
180 group assignment.

181 Statistics

182 All data were analysed after background correction using the unstimulated control
183 sample. A Mann-Whitney U test was used for comparisons between groups. All p-
184 values were interpreted in the light of multiple significance testing; a p-value < 0.01
185 was considered potentially significant. Statistical analyses were done using STATA 11
186 software (College Station, TX, USA). Graphs were created using Prism 5 software
187 (Graph Pad Software Inc., La Jolla, CA, USA).

188

189 **Results**

190 Participant characteristics

191 A total of 124 infants were randomised to be immunised at birth or at two months of
192 age, of which 102 (82%) returned for the follow-up sample collection. Data from 98
193 infants (79%) were included in the final analysis (Figure 1). The demographic and
194 baseline characteristics of participants included in the final analysis were comparable
195 between the groups (Table 1).

196 The proportions of mycobacteria-specific cytokine-producing CD4 and CD8 T cells
197 were comparable in at-birth and delayed BCG immunisation

198 The proportions of single, double and triple mycobacteria-specific cytokine-producing
199 CD4 T cells were comparable in both groups (Figure 2). Generally, proportions of
200 mycobacteria-specific single cytokine-producing CD4 and CD8 T cells were higher
201 than those of double and triple cytokine-producing CD4 and CD8 T cells. The
202 proportions of cytokine-producing CD4 T cells were lower in the group of infants
203 immunised at two months of age, but none of the comparisons had a p-value ≤ 0.01 . In
204 addition, whilst, for example, the proportion of PPD-specific IL-2/TNF double
205 positive CD4 T cells was lower in infants immunised at two months of age, this was
206 not the case when BCG or MTB was used as the in vitro stimulant. Similar to the
207 findings for CD4 T cells, the proportions of cytokine-producing CD8 T cells were
208 similar in both groups (Figure 3). Also, there were no significant differences between
209 both groups in the unstimulated (negative 'nil' control) and SEB-stimulated (positive
210 control) responses (data not shown).

211 The proportions of mycobacteria-specific cytotoxic CD4 and CD8 T cells were
212 comparable in at-birth and delayed BCG immunisation

213 CD107-expressing cytotoxic T cells did not produce IFN- γ or IL-2 (data not shown).
214 The proportion of mycobacteria-specific cytotoxic T cells was generally lower in CD8
215 than in CD4 T cells, and mycobacteria-specific CD107 expression was similar in both
216 groups (Figure 4). There were no significant differences between both groups in the
217 unstimulated (negative control) and SEB-stimulated (positive control) responses (data
218 not shown).

219 Cytokine expression was comparable in at-birth and delayed BCG immunisation
220 There were no significant differences in the concentrations of the 12 background-
221 corrected cytokines in supernatants between infants immunised at birth and those with
222 delayed BCG immunisation, regardless of the in vitro stimulatory antigen used (Figure
223 5). However, in the delayed group, there was a trend towards a higher concentration of
224 IL-12 p40 ($p=0.04$) and a lower concentration of EGF ($p=0.02$) in samples stimulated
225 with MTB. These differences were not seen in samples stimulated with BCG or PPD.

226 **Discussion**

227 This study compared the mycobacteria-specific immune response 10 weeks post
228 immunisation in infants immunised with BCG at birth and those in whom BCG
229 immunisation was delayed until two months of age. To our knowledge, this is the first
230 study to compare the immune response to at-birth and delayed BCG in a setting
231 outside the African continent. Our findings suggest that delaying BCG immunisation
232 from birth to 10 weeks of life does not significantly affect mycobacteria-specific
233 immune responses, assessed by cytokine-producing and cytotoxic T cells and the
234 expression of several cytokines involved in the human anti-mycobacterial immune
235 response. This contrasts with the results of our previous study that compared different
236 BCG vaccine strains given at birth in a separate set of participants, which showed

237 mycobacteria-specific immune responses were significantly influenced by the BCG
238 vaccine strain administered [17].

239 Four previous studies have investigated the influence of delayed BCG immunisation,
240 three of which were randomised trials (Table 2). Two studies used the same BCG
241 vaccine strain (BCG-Denmark) as our study given at age 8 and 10 weeks [12, 14].
242 Both these studies showed higher proportions of IFN- γ /IL-2 double- and/or IFN- γ
243 single-producing CD4 T cells, measured 6 to 14 weeks after immunisation, when BCG
244 was delayed. However, consistent with our findings, both studies showed comparable
245 results for all other cytokine-producing CD4 and CD8 subpopulations, in particular for
246 polyfunctional T cells.

247 In addition, our study found that delaying BCG immunisation did not affect cytotoxic
248 T cell responses. Only one previous study has investigated the influence of delayed
249 BCG immunisation on cytotoxic T cells [13]. This was a non-randomised study in
250 Uganda that compared cytotoxic T cells by measuring perforin expression at 40 weeks
251 of age. Despite the differences in study design, this study also found no differences in
252 cytotoxic T cells in children immunised at birth compared to children immunised at 6
253 weeks of age.

254 When we investigated multiple cytokines that are known to be important in the
255 protective immune response against TB, infants immunised at birth and those
256 immunised at 2 months of age had comparable concentrations in supernatants from
257 whole blood stimulation assays. Two previous studies have investigated the influence
258 of delayed BCG immunisation on the mycobacteria-specific cytokine response,
259 assessing eight cytokines (IFN- γ , IL-2, IL-4, IL-5, IL-6, IL-10, IL-13 and IL-17) [11,
260 13]. In the study in Uganda, the concentration of only one (IL-10) of the six measured

261 cytokines was higher in the delayed BCG group (measured at 40 weeks of age) [13].
262 Similarly, in the study in The Gambia, higher IL-10 concentrations were found in
263 infants with delayed BCG compared to those immunised at birth [11]. In addition, in
264 the Gambian study, three cytokines (IFN- γ , IL-6 and IL-17) were lower and one (IL-
265 13) was not different in the delayed group (measured 20 weeks post-immunisation).
266 However, when the cytokines were measured at 40 weeks of age (ie 20 weeks post-
267 immunisation in the delayed BCG group and 40 weeks post immunisation in the at-
268 birth BCG group), cytokine concentrations were no longer significantly different. This
269 suggests that mycobacteria-specific cytokine responses wane after immunisation,
270 which might not be apparent when there is a comparatively short interval between at-
271 birth and delayed BCG, as was the case in our study. Importantly, the BCG vaccine
272 strain used in the Gambian study was BCG-Russia, which induces a significantly
273 different immune response with generally lower proportions of IFN- γ , IL-2 and TNF
274 single and polyfunctional CD4 T cells and lower concentrations of Th1 cytokines
275 compared to BCG Denmark [17].

276 One important caveat in this study is that the immune response was inevitably
277 measured at a different postnatal age in the two groups, as the interval between BCG
278 immunisation and measurement of the mycobacteria-specific immune response was 10
279 weeks in both groups. This interval had to be consistent as the time between
280 immunisation and measurement of the immune response influences the detected
281 immune response [11, 19]. As a result of the limited available blood volumes, a
282 number of other potentially important aspects of the immune response, including
283 memory T cell phenotypes, could not be assessed. However, no influence on memory
284 phenotypes was found in the two previous studies that investigated the influence of
285 delayed BCG on this aspect of the mycobacteria-specific immune response [12, 13].

286 We also did not determine non-conventional T cells, which have recently been
287 recognised to play an important role in the immune response to BCG [20-22]. Another
288 inevitable limitation of our study is that we were not able to compare clinical
289 outcomes (ie protective efficacy) as a result of the low TB incidence in Australia.

290 Although definitive immunological correlates of protection against TB induced by
291 BCG remain uncertain, our study, together with the four studies done in Africa,
292 suggest that delaying BCG immunisation for several weeks results in a similar anti-
293 mycobacterial immune response as immunisation at birth. The choice of whether to
294 administer BCG at birth or later in infancy should therefore be based on other factors.
295 This will involve balancing the risk of inadvertent immunisation of HIV-infected
296 infants inherent when an at-birth BCG immunisation strategy is used with the risk of
297 reduced coverage or prior TB infection with a delayed BCG immunisation strategy.

298 Finally, the potential beneficial heterologous ('non-specific') effects of BCG [23-27]
299 immunisation at birth also need to be considered when deciding on the timing of
300 routine BCG in settings with high infant mortality.

301

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390

Table 1 Characteristics of study participants.

		BCG at birth (n = 54)	BCG at 2 months (n = 44)
Gestational age (weeks)	Median	39.7	39.9
	IQR	39.0–40.3	38.9–40.4
Female	Number	28	28
	Proportion	(52%)	62%)
Birth-weight (grams)	Median	3325	3355
	IQR	3015–3523	3025–3477
Age at immunisation (weeks)	Median	0.1	10
	IQR	0.1–0.4	9.8–10.6
Interval immunisation to follow-up (weeks)	Median	10.0	10.1
	IQR	9.9–10.5	9.9–10.9
Maternal age (years)	Median	30.1	30.1
	IQR	26.4–34.2	26.8–35.7

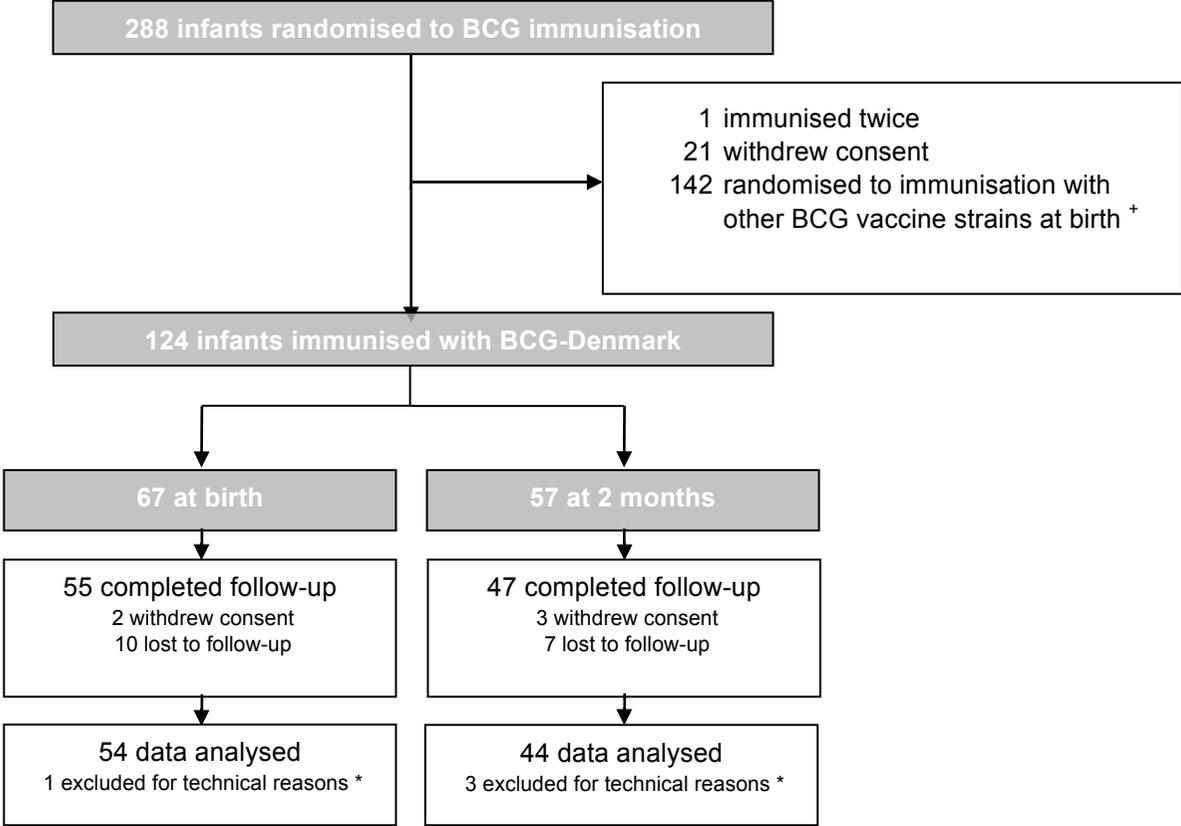
Table 2 Summary of previous studies comparing the mycobacteria-specific immune response in infants immunised with BCG at birth and those whose BCG immunisation was delayed until later in infancy

Ref	Country (year) Study design	Number (immunisation at birth/delayed)	HIV status	Age at BCG immunisation birth/delayed (weeks)	BCG vaccine strain	Age at blood collection (weeks)	<i>In vitro</i> stimulation	Assay	Main findings
[14]	South Africa (2010-2012) RCT	63/59 (only 28 per group analysed at each time point)	HIV-exposed infants	0/8	BCG-Denmark	0, 6, 8, 14	BCG-Denmark SEB	6 d whole blood	At 6 weeks <i>post immunisation</i> : <ul style="list-style-type: none"> • BCG-specific CD4 and CD8 T cell proliferation: no difference. • Proportion of BCG-specific CD4 and CD8 polyfunctional T cells: no difference. At 14 weeks <i>of age</i> : <ul style="list-style-type: none"> • IFN-γ-expressing CD4 T cells: higher proportion in delayed group. • IL-2 and IL-17 expressing CD4 T cells: no difference.
[13]	Uganda (ns) Retro-spective	44/40	Mother HIV negative or in a HIV mother to child prevention program	0/6	ns	40	BCG-Denmark PHA	7-12 h and 6 d whole blood	At 40 weeks <i>of age</i> : <ul style="list-style-type: none"> • proportion of proliferating CD4 and CD8 T cells: no difference. • proportion of proliferating cytokine-producing IFN-γ/IL-2/TNF CD4 T cells: lower in delayed group. • proportion of proliferating TNF-single-producing CD4 T cells: higher in delayed group. • proportion of BCG-specific IFN-γ-producing CD4 and CD8 T cells: lower in delayed group. • polyfunctional CD4 and CD8 T cells: no difference. • proportion of memory phenotype of IFN-γ producing CD4 and CD8 T cells: no difference. • IL-10 in supernatant: higher in delayed group • IFN-γ, IL-2 in supernatant: no difference.

[11]	The Gambia (ns) RCT	46/41	HIV status not assessed	0/20	BCG-Russia	0, 20, 40	PPD BCG Russia ESAT6/CFP-10 SEB	5 d whole blood	At 20 weeks <i>post immunisation</i> : <ul style="list-style-type: none"> • PPD-specific concentrations for IFN-γ, IL-6 and IL-17: lower in delayed group. • BCG-specific IL-10 in supernatant: lower in delayed group • PPD specific IL-10 in supernatant: no difference. At 40 weeks <i>of age</i> : <ul style="list-style-type: none"> • PPD-specific activated, regulatory or proliferating CD4 T cells: no difference. • PPD-specific cytokine concentrations for IFN-γ, IL-6, IL-10, IL-13, IL-17: no difference.
[12]	South Africa (2006-2008) RCT	25/21	Mother documented HIV negative	0/10	BCG-Denmark	8-14 18-28 41-54	Nil BCG Denmark SEB	16 h whole blood	At 10 weeks <i>post immunisation</i> : <ul style="list-style-type: none"> • IFN-γ and IFN-γ /IL-2 producing CD4 T cells: higher in delayed group. At one year <i>of age</i> : <ul style="list-style-type: none"> • IFN-γ /TNF/IL-2, IFN-γ /TNF, TNF/IL-2 and TNF producing CD4 T cells: higher in delayed group. • memory phenotype of cytokine expressing CD4 T cells: no difference.

ns = not specified, h = hour, d = day

Figure 1 Study flow chart showing recruitment and final number of included participants



+ as part of a separate study [17]

* technical problems included: inadequate staining for flow cytometry, and incorrect instrument settings during flow cytometry

Figure 2 Box plots (depicting lower, median and upper quartiles, with Tukey whiskers) showing background-corrected proportions of triple, double and single cytokine-producing CD4 T cells following *in vitro* stimulation with BCG, PPD or MTB (heat-killed whole cell *M. tuberculosis*) measured 10 weeks post immunisation. The p-values for comparisons between infants immunised at birth (white bars) and infants immunised at 2 months (grey bars) are shown above each pair. Note the different scale used for the y-axis in the plots showing single cytokine-producing cells in the third column.

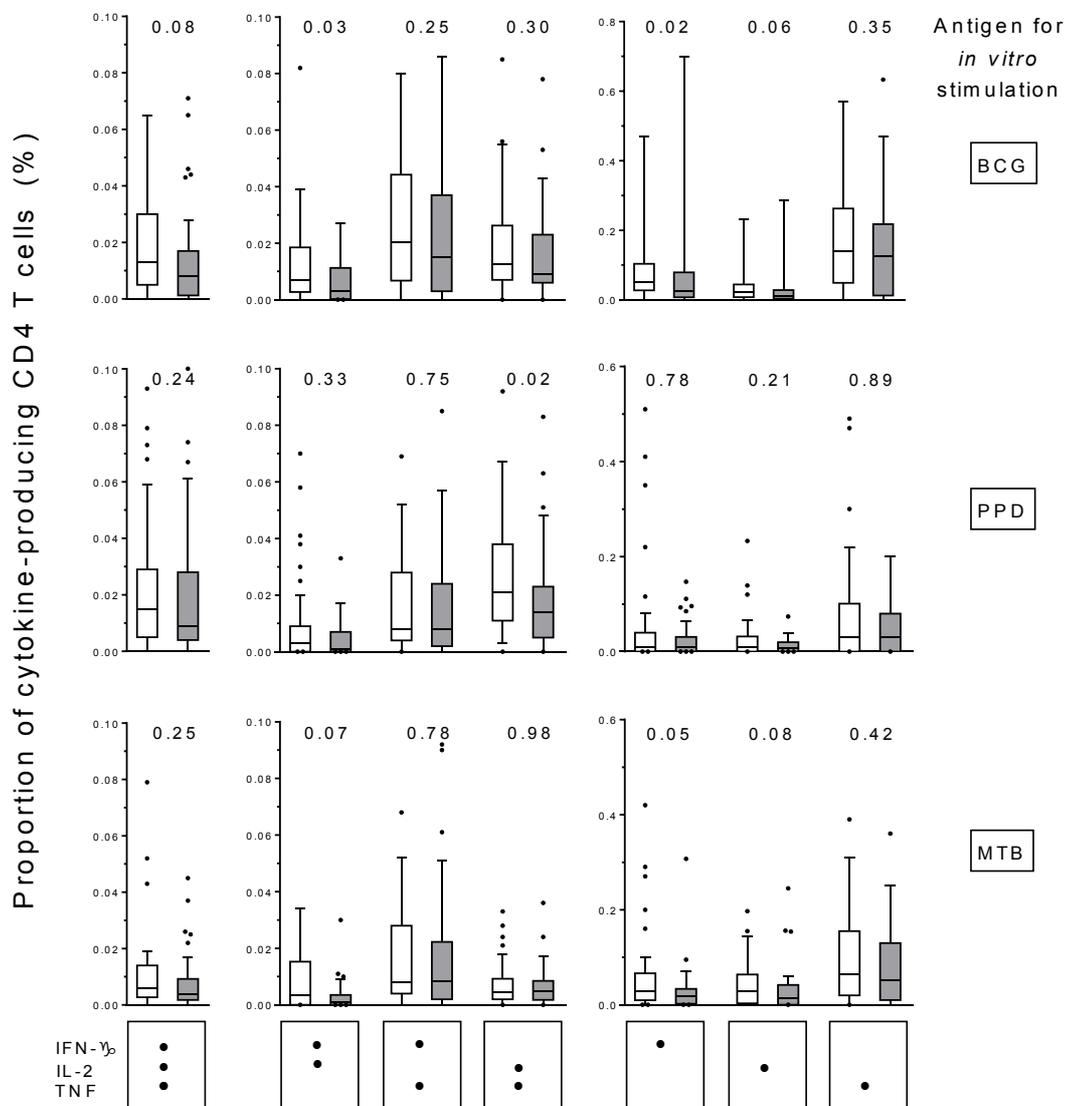


Figure 3 Box plots (depicting lower, median and upper quartiles, with Tukey whiskers) showing background-corrected proportions of triple, double and single cytokine-producing CD8 T cells following *in vitro* stimulation with BCG, PPD or MTB measured 10 weeks post immunisation. The p-values for comparisons between infants immunised at birth (white bars) and infants immunised at 2 months (grey bars) are shown above each pair. Note the different scale used for the y-axis in the plots showing single cytokine-producing cells in the third column.

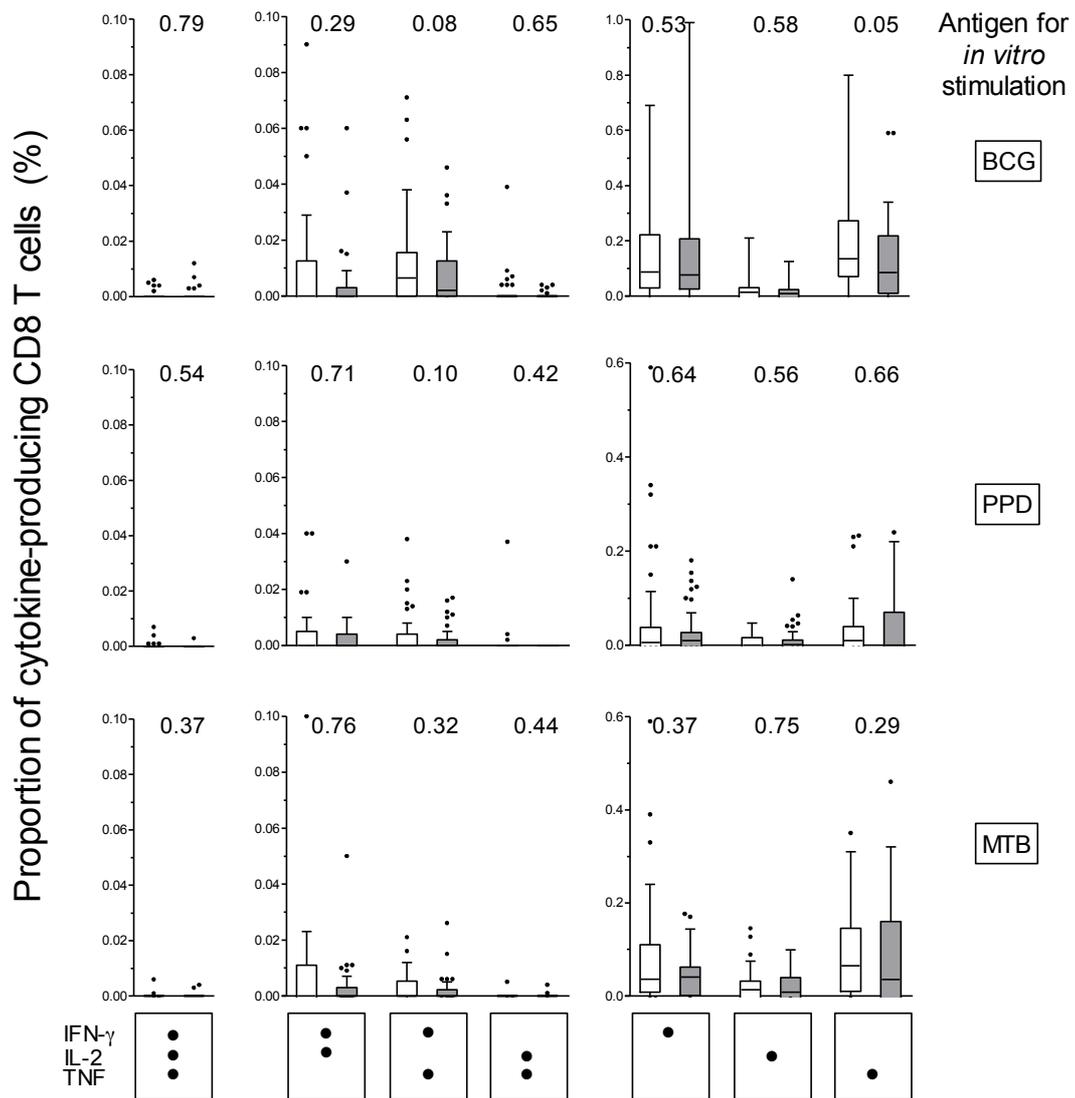


Figure 4 Box plots (depicting lower, median and upper quartiles, with Tukey whiskers) showing proportions of CD107-expressing (cytotoxic) CD4 and CD8 T cells following *in vitro* stimulation with BCG and MTB measured 10 weeks post immunisation. The p-values for comparisons between infants immunised at birth (white bars) and infants immunised at 2 months (grey bars) are shown above each pair.

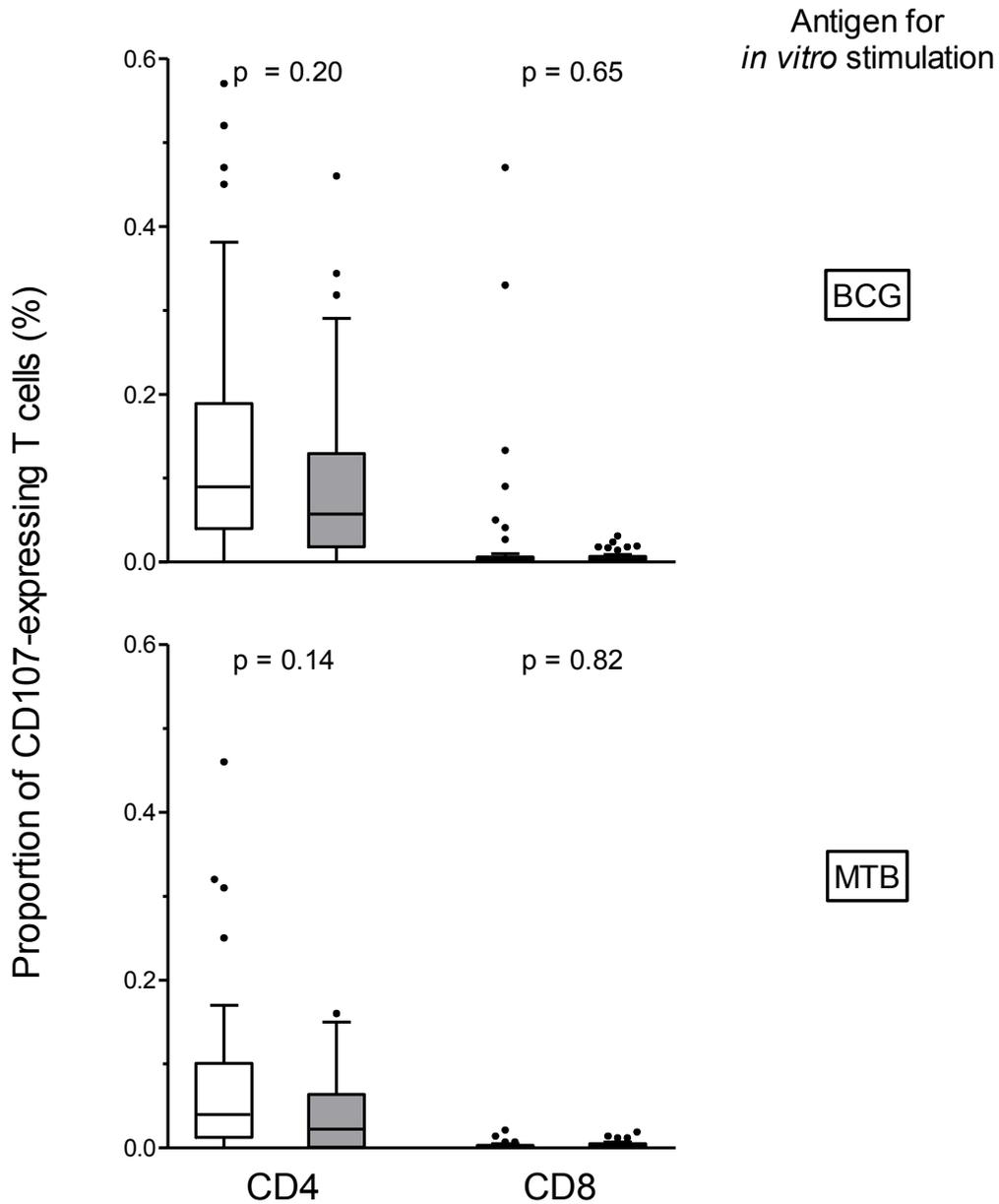
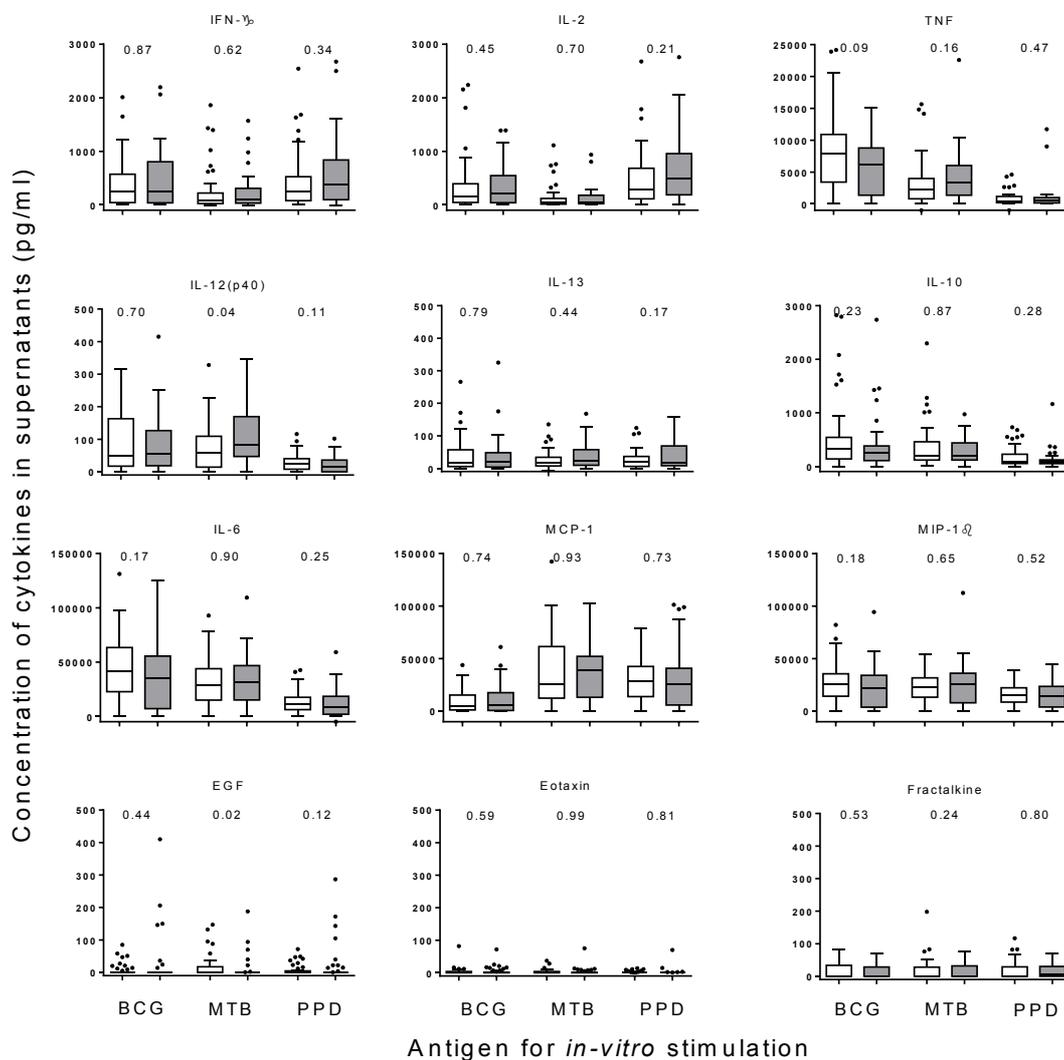


Figure 5 Box plots (depicting lower, median and upper quartiles, with Tukey whiskers) background (nil)-corrected cytokine concentrations in supernatants following *in vitro* stimulation with BCG, MTB and PPD. The p-values for comparisons between infants immunised at birth (white bars) and infants immunised at 2 months (grey bars) are shown above each pair. Note negative values reflect a concentration in the antigen-stimulated sample lower than that in the nil control sample. Background-corrected values with negative concentrations were set to zero.



Supplementary Figure: Gating strategy used to select IFN- γ , IL-2 and TNF producing CD4 and CD8 T cells shown in a BCG-stimulated sample from one individual.

