1 European shortage of purified protein derivative and its impact on tuberculosis

2 screening practices

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- 4 Marc Tebruegge ^{1,2,3}, Danilo Buonsenso ⁴, Folke Brinkmann ⁵,
- 5 Antoni Noguera-Julian ⁶, Ivan Pavić ⁷, Adriana Sorete Arbore ⁸,
- 6 Zuzana Vančíková ⁹, Svetlana Velizarova ¹⁰, Steven B Welch ¹¹, Nicole Ritz ^{3,12}
- 7 on behalf of the Paediatric Tuberculosis Network European Trials Group (ptbnet)

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- 9 1. Academic Unit of Clinical & Experimental Sciences, Faculty of Medicine and Institute for
- Life Sciences and Global Health Research Institute, University of Southampton,
- Southampton, UK.
- 12 2. Department of Paediatric Infectious Diseases & Immunology and Southampton NIHR
- Respiratory Biomedical Research Unit, University Hospital Southampton NHS
- Foundation Trust, Southampton, UK.
- 3. Department of Paediatrics, The University of Melbourne, Parkville, Australia.
- 4. Department of Paediatrics, Catholic University of Rome, A. Gemelli Hospital, Rome, Italy.
- 5. Department of Paediatric Pneumology, Ruhr-University Bochum, Germany
- 18 6. Infectious Diseases Unit, Department of Paediatrics, Hospital Sant Joan de Déu –
- 19 Universitat de Barcelona, Barcelona, Spain.
- 7. Department of Paediatric Allergology, Pulmonology, Rheumatology and Clinical
- 21 Immunology, Children's Hospital Zagreb, Zagreb, Croatia.
- 8. Clinic of Pulmonary Diseases, Iasi, Romania.
- 9. Department of Paediatrics and Adolescent Medicine, First Faculty of Medicine, Charles
- 24 University and General University Hospital, Prague, Czech Republic.
- 25 10. Department of Pulmonary Diseases, Clinic of Pulmonary Diseases in Children, Medical
- 26 University Sofia, Sofia, Bulgaria.
- 27 11. Birmingham Chest Clinic, Heart of England NHS Foundation Trust, Birmingham, UK.
- 28 12. University of Basel Children's Hospital, Paediatric Infectious Diseases and Vaccinology,
- 29 Basel, Switzerland.

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31 Corresponding author:

- 32 Dr Marc Tebruegge, NIHR Wellcome Trust Clinical Research Facility (mailpoint
- 33 218), University Hospital Southampton NHS Foundation Trust, Tremona Road,
- 34 Southampton, SO16 6YD, United Kingdom.
- 35 Fax: +44 (0) 23 8079 5023. Email: m.tebruegge@soton.ac.uk

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56 57 **Setting & Objective** In June 2014 we became aware that shortages of purified protein derivative (PPD), the 58 59 test substance used for tuberculin skin tests (TST), had occurred in several European 60 healthcare institutions providing care for children with TB. To establish the extent of 61 the shortage a survey was performed. 62 63 **Design** 64 Survey conducted over a 1-month-period (June to July 2014) among Paediatric 65 Tuberculosis Network European Trials Group (ptbnet) members. 66 Results 67 68 Thirty-five physicians from 23 European countries contributed data. The most 69 commonly used PPD product was RT23 (Statens Serum Institut; n=22; 63%). 70 Twenty-one (60%) participants reported that their institution was experiencing a PPD 71 shortage. The majority (n=17; 81%) of those reporting a shortage were using RT23. 72 Thirteen (37%) participants reported changes in screening practices resulting from the 73 shortage, including sourcing PPD from alternative manufacturers, restricting 74 remaining supplies to patients at greatest risk, or replacing TST with IGRA testing. 75 76 **Conclusions** 77 The data show that a PPD shortage affecting multiple European countries occurred in 78 2014. The shortage resulted in changes in TB screening capabilities and practices, 79 potentially compromising patient care, as well as public health efforts. Appropriate 80 actions to prevent future PPD shortages should be explored urgently by public health 81 agencies and key stakeholders. 82 83 84 85 86

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ABSTRACT

87 Introduction Since the early 20th century purified protein derivative (PPD), a heterogeneous 88 89 mixture of mycobacterial peptides, has been used as the test substance for the 90 tuberculin skin test (TST). The TST was the only available test for the detection of 91 latent TB infection (LTBI), until interferon-gamma release assays (IGRA) became commercially available in 2002. In clinical practice the TST is also commonly used 92 93 to support the presumptive diagnosis of active TB. There are data suggesting that 94 IGRA perform worse in children compared with adults, and consequently many 95 specialists recommend a dual testing approach in children using both TST and IGRA in parallel to increase overall sensitivity. 1-8 Notably, the latest guidelines of the 96 97 American Academy of Pediatrics Committee on Infectious Diseases recommend that 98 for LTBI screening in children younger than 5 years of age TST should be used in preference to IGRA. The 2015 World Health Organization guidelines for the 99 100 management of latent tuberculosis infection state that in low- and middle-income 101 countries IGRA should not replace TST for LTBI screening irrespective of the patient's age. 10 102 103 104 Contact tracing to identify individuals with LTBI who may benefit from preventive 105 therapy is a key strategy of TB control programs in most countries with low TB prevalence. 1,11-15 The vast majority of those countries continue to use TST rather than 106 IGRA for this purpose, and are therefore reliant on a stable supply of PPD. 107 108 Worldwide only a limited number of manufacturers produce PPD for clinical use.⁵ 109 Therefore, significant PPD supply shortages at one manufacturer are likely to affect 110 the market on a global scale. 111 112 In June 2014, a message highlighting issues with the supply of PPD in Austria was 113 posted on the mailing list of the Paediatric Tuberculosis Network European Trials Group (ptbnet). In the following 48 hours several other network members reported 114 115 that they were experiencing similar issues. These events prompted us to conduct a 116 structured survey within the network. 117 118 The survey reported here aimed to capture data on the extent of the PPD shortage at 119 specialist centers providing care for children with TB across Europe, and to establish 120 the impact of this shortage on TB screening practices at those centers.

121 122 123 **Material and Methods** The survey was conducted over a 1-month-period (11th June to 11th July 2014) among 124 125 the members of ptbnet. The network comprises clinicians, public health professionals 126 and researchers with a special interest in paediatric TB (for further details see: http://www.tb-net.org/index.php/ptbnet). 16,17 At the time the survey was conducted 127 ptbnet had a total of 97 members, based in 25 European countries; each of these 128 countries has a designated ptbnet country representative. 129 130 131 All ptbnet members were invited by email to participate in the survey, and asked to 132 provide information regarding the following: i) the PPD preparation used in their 133 healthcare institution, ii) whether there was a shortage of PPD in their healthcare 134 institution at that time, iii) the date of the onset of the PPD shortage (if shortage 135 present), iv) details regarding the stock and supply of PPD at that time, and v) any 136 changes in TB testing practices resulting from the PPD shortage (if shortage present). 137 138 Members were sent reminders to participate in the survey via group email two weeks 139 after initiation of the survey. In addition, targeted emails were sent to the ptbnet 140 country representatives asking them to provide the data requested. All replies were 141 collated into an Excel database hosted on a secure internet server. In instances where 142 replies lacked sufficient detail respondents were contacted via email and asked to 143 provide clarification by one of the authors (M.T.). 144 145 Ethical approval 146 According to current UK National Research Ethics Service (NRES) regulations, 147 research involving healthcare staff participating in research by virtue of their 148 professional role does not require Research Ethics Committee review (Governance 149 Arrangements for Research Ethics Committees, paragraph 2.3.13). Participation in 150 this survey was voluntary, and participants were aware that the results may be 151 published. In addition, all participants consented to their data being published. 152 153 154

155 156 157 **Results** 158 159 A total of 35 physicians involved in TB screening programs and/or providing care for 160 children with TB from 23 European countries contributed contemporary data, which 161 are summarised in Table 1. No replies were received from two European countries 162 that have ptbnet members (Denmark and Malta). 163 164 The most commonly used PPD product in the healthcare institutions represented by 165 the participants was RT23 (Statens Serum Institut (SSI), Copenhagen, Denmark; 166 n=22; 63%), followed by Tubertest (Sanofi Pasteur, Lyon, France; n=6; 17%), PPD Tuberculin mammalian (BulBio, Sofia, Bulgaria; n=6; 17%), and PPD Tuberculin (St. 167 168 Petersburg Institute of Vaccines and Sera (SPIVS), St. Petersburg, Russia; n=1; 3%). 169 There were no within-country variations in the PPD product used, as shown in 170 Table 1. 171 172 Twenty-one (60%) participants reported that their institution was currently 173 experiencing a shortage of PPD. Shortages were reported from a total of 14 countries 174 (Austria, Belgium, Croatia, Czech Republic, Germany, Greece, Hungary, Italy, Lithuania, Spain, Sweden, Switzerland, Ukraine, United Kingdom). The majority of 175 176 those reporting a PPD shortage were using RT23 (SSI; n=17; 81%) at their healthcare 177 institution; only four of the participants who were using RT23 (based in Finland, 178 Germany, Portugal, and Slovenia) reported that they were not experiencing a current 179 shortage. Fewer participants reported shortages of Tubertest (Sanofi Pasteur; n=2; 180 10%), PPD Tuberculin mammalian (BulBio; n=1; 5%), and PPD Tuberculin (SPIVS; 181 n=1; 5%). The last was reported by a participant from the Ukraine who highlighted that the shortage was persisting since 2011, and that a Ukrainian company (Biolik 182 183 JSC) was planning to commence production of PPD in the near future. A Romanian 184 participant reported recently having changed from procuring PPD from a national 185 manufacturer (Cantacuzino Institute for Serum and Vaccines, Bukarest, Romania) to 186 importing PPD from Bulgaria (produced by BulBio). 187

Five (14%) participants (based in Spain, Sweden and the Ukraine) reported that there were no stocks of PPD currently available at their healthcare institution. An additional 10 (29%) participants reported that current stocks at their institution were 'limited' or 'very limited'; a further seven (20%) reported supply issues.

Thirteen (62%) of the 21 participants who reported a shortage of PPD additionally reported changes in TB screening practices resulting from the shortage. Some had started the process of sourcing PPD from alternative manufacturers (including all Spanish survey participants), or were considering this option (Table 1). Others had changed their testing strategies, restricting their limited remaining PPD supplies to patients at greatest risk, or had entirely replaced TST with IGRA testing as a result of the PPD shortage.

203 **Discussion** 204 The results from this survey show that a significant PPD shortage affecting healthcare 205 institutions providing care for children with TB in a considerable number of European 206 countries occurred in the second half of 2014. Some institutions experienced critical 207 shortages while others had no remaining stocks of PPD at all. The great majority of 208 institutions experiencing a PPD shortage were using RT23 produced by SSI. 209 210 Our data also highlight that this PPD shortage had a significant impact on TB 211 screening capabilities and practices. Importantly, this shortage struck healthcare 212 providers without appropriate warning. Companies supplying PPD and public health 213 agencies alike failed to prepare end-users for the shortfall of available product. As a 214 result, alternative supplies were not in place in many settings, jeopardizing TB control 215 efforts in several European countries. 216 217 Interestingly, Public Health England (previously U.K. Health Protection Agency) 218 announced in April 2014 that PPD was available, but that only orders restricted to one 219 pack (containing 10 vials of RT23) per week could be accepted. ¹⁸ No explanation for 220 this change in policy was provided. It is worth noting that the U.K. already 221 experienced a PPD shortage in 2003, when Evans Vaccines, at that time the only 222 licensed PPD manufacturer in the U.K., had production problems and PPD had to be sourced from SSI as an interim measure.¹⁹ 223 224 225 The recent PPD shortage affecting Europe is not an isolated incident. In April 2013 226 the U.S. Centers for Disease Control and Prevention (CDC) announced a national 227 shortage of PPD caused by production problems with Tubersol manufactured by Sanofi Pasteur, one of only two Food and Drug Administration licensed PPD 228 products. ²⁰ The CDC advised the use of the other licensed product, Aplisol, produced 229 230 by JHP Pharmaceuticals. However, the company only had 'a restricted quantity' at 231 that time, and Aplisol shortages had already been reported by several TB control 232 officials by the time of that announcement. According to the chronology outlined in a 233 CDC publication in December 2013, the issues with Tubersol began in late 2012, and continued until October 2013 when the product returned to market.²¹ In August 2013. 234 29 of 52 U.S. jurisdictions reported a shortage of at least one of the two PPD products 235 236 in health departments 'to the extent that routine activities were being threatened or

had been curtailed'. 21 Canada also experienced a PPD shortage, which evolved in late 237 2012.22 238 239 240 The impact of this recent European PPD shortage on public health will be difficult to 241 measure, as it will be impossible to determine how many patients did not receive 242 preventive treatment and therefore subsequently developed active TB, as a direct 243 result of PPD being unavailable for TB screening. Children will be at particular risk, 244 due to the suboptimal performance of IGRA in young patients, and the fact that 245 without preventive treatment young children are at far higher risk of progressing from LTBI to active TB compared with adults. 1-4,23,24 246 247 248 Although messages posted via the ptbnet mailing list indicate that the PPD shortages 249 reported here had resolved in most healthcare institutions by the first quarter of 2015, 250 more recent messages (last quarter of 2015) have highlighted renewed shortages in 251 Croatia and Germany. It is probable that the current shortages are at least in part 252 related to the migration crisis Europe is currently experiencing, which results in more 253 TST tests being performed than previously. For example, a German consensus 254 statement has recently emphasised the need to screen all refugee children and 255 adolescents for TB irrespective of the TB incidence in their country of origin, and 256 recommended that a TST should preferably be used in children below 5 years of age for this purpose.²⁵ Our network has recently highlighted the importance of TB 257 258 screening in migrants from high TB prevalence countries arriving in Europe, and 259 emphasised that screening should not solely focus on the detection of cases with 260 active TB, but also include identification and preventive treatment of individuals with LTBI 26 261 262 263 The ongoing PPD supply issues, which have been highlighted in a number of recent national public health agency publications and even by the popular press, ²⁷⁻³¹ raise a 264 265 number of important questions relevant to physicians, public health officials, and 266 policy makers. Considering the importance of functioning TB control programs for 267 public health, it would appear critical that PPD production and supplies are monitored 268 by a supra-national agency, such as the European Centre for Disease Prevention and 269 Control or the World Health Organization. These agencies could also maintain backup 270 supplies for use during critical shortages, as is already the case for certain vaccines.

^{25,26} Manufacturers should be encouraged to put reliable systems into place to prevent such shortages and communicate production issues early. We believe that timely open dialogue and concerted action involving all key stakeholders is required to ensure the uninterrupted supply of a test reagent that remains crucial to public health. The key limitations of this survey lie in the fact that the number of participants was relatively small, and the fact that we were unable to obtain data from several European countries. However, by using an established network of European paediatric TB specialists and specifically targeting its country representatives we were able to capture reliable, real-time data from a total of 23 European countries. All survey participants were based in paediatric healthcare settings, and it therefore remains uncertain if adult healthcare institutions in the countries captured by this survey were affected to the same extent. Finally, the majority of participants were based in University or University-affiliated healthcare institutions, and the data may therefore not be representative of the situation in regional hospitals at that time. **Conclusions** The data from this survey show that a PPD shortage affecting healthcare institutions in multiple European countries occurred in the summer of 2014. In several healthcare institutions providing care for children with TB this shortage resulted in changes in TB screening policies, potentially compromising patient care on an individual patient level, as well as public health efforts on national levels. Appropriate mechanisms to prevent future PPD shortages should be explored urgently by public health agencies and key stakeholders.

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Table 1. Results of the Paediatric Tuberculosis Network European Trials Group survey regarding the availability of purified protein derivative.

Country	National TB prevalence*	Healthcare Institution / Academic Institution / Organisation	PPD preparation used (manufacturer)	PPD shortage	Onset of PPD shortage	PPD stock and supply	Change in TB testing strategy resulting from PPD shortage
Austria	9.8/100.000	Wilhelminenspital Vienna	RT23 (SSI)	yes	unknown	limited stock	yes
Belgium	11/100.000	All Belgian centres	RT23 (SSI)	yes	unknown	currently in stock, but supply issues	no
Bulgaria	33/100.000	Medical University Sofia, Clinic of Pulmonary Diseases in children	PPD Tuberculin (BulBio)	no	N/A	currently in stock	N/A
Croatia	16/100.000	Children's Hospital Zagreb	RT23 (SSI)	yes	unknown	limited stock	no
Czech Republic	5.9/100.000	1st Faculty of Medicine, Charles University Prague	RT23 (SSI)	yes	1st quarter 2014	very limited stock	no
Finland	7.1/100.000	Children's Hospital Helsinki	RT23 (SSI)	no	N/A	currently in stock	N/A
France	12/100.000	Hôpital Robert Debré Paris	Tubertest (Sanofi Pasteur)	no	N/A	currently in stock	N/A
Germany	7.8/100.000	Kinderklinik Fachkliniken Wangen	RT23 (SSI)	yes	unknown	currently in stock, but supply issues	no
Germany		Hannover Medical School	RT23 (SSI)	yes	unknown	limited stock	no
Germany		ProfHess-Kinderklinik Bremen	RT23 (SSI)	yes	unknown	limited stock	no
Germany		Charité Hospital Berlin	RT23 (SSI)	no	N/A	currently in stock	N/A
Greece	6.0/100.000	P and A Kyriakou Children's Hospital Athens	RT23 (SSI)	yes	4th quarter 2013	very limited stock	yes
Hungary	15/100.000	Dept. of Pediatric Pulmonology, Hospital of Pulmonology, Torokbalint	Tubertest (Sanofi Pasteur)	yes	unknown	currently in stock, but supply issues	yes
Italy	7.3/100.000	Catholic University - A. Gemelli Hospital Rome	Tubertest (Sanofi Pasteur)	yes	unknown	very limited stock	yes
Italy		University of Florence	Tubertest (Sanofi Pasteur)	no	N/A	sufficient stock	yes (change from RT23 to PPD Tuberculin to Tubertest)
Italy		University Hospital Padova	Tubertest (Sanofi Pasteur)	no	N/A	sufficient stock	N/A
Lithuania	83/100.000	Hospital of Lithuanian University of Health Sciences Kauno Klinikos	PPD Tuberculin (BulBio)	yes	2nd quarter 2014	limited stock	N/A
Lithuania		Children's Hospital, Vilnius University Hospital Santariskiu Klinikos	PPD Tuberculin (BulBio)	no	N/A	sufficient stock	yes (change from RT 23 to PPD Tuberculin)
Netherlands	7.2/100.000	Beatrix Children's Hospital Groningen	Tubertest (Sanofi Pasteur)	no	N/A	sufficient stock	N/A

Portugal	29/100.000	Gaia Pneumologic Diagnosis Center - Gaia Hospital Center	RT23 (SSI)	no	N/A	currently in stock	N/A
Republic of Moldova	229/100.000	State University of Medicine and Pharmacy "Nicolae Testemitanu" Chişinău	PPD Tuberculin (SPIVS)	no	N/A	sufficient stock	no
Romania	99/100.000	Clinic of Pulmonary Diseases lasi	PPD Tuberculin (BulBio)	no	N/A	currently in stock, but supply issues	yes (change of supplier 4th quarter 2013 from Romanian manufacturer (Cantacuzino Institute for Serum and Vaccines) to BulBio)
Slovenia	9.8/100.000	University Children's Hospital Ljubljana	RT23 (SSI)	no	N/A	sufficient stock	N/A
Spain	15/100.000	TB Unit Drassanes-Vall Hebron Barcelona	RT23 (SSI)	yes	unknown	not available	yes (on 2nd of July 2014 the Spanish Drug Agency has agreed to import Tubertest)
Spain		TB Unit Hospital Sant Joan de Déu Barcelona	RT23 (SSI)	yes	unknown	not available	yes (on 2nd of July 2014 the Spanish Drug Agency has agreed to import Tubertest)
Spain		Hospital Gregorio Marañon Madrid	RT23 (SSI)	yes	unknown	not available	yes (on 2nd of July 2014 the Spanish Drug Agency has agreed to import Tubertest)
Spain		Hospital Infantil Virgen del Rocio Sevilla	RT23 (SSI)	yes	unknown	limited stock	yes (on 2nd of July 2014 the Spanish Drug Agency has agreed to import Tubertest)
Sweden	9.4/100.000	Queen Silvia's Children's Hospital Gothenburg	RT23 (SSI)	yes	unknown	not available	yes
Switzerland	7.7/100.000	University Children's Hospital Basel	RT23 (SSI)	yes	unknown	currently in stock, but supply issues	no
Turkey	22/100.000	Celal Bayar University Manisa	PPD Tuberculin (BulBio)	no	N/A	sufficient stock	N/A
Turkey		Celal Bayar University Manisa	PPD Tuberculin (BulBio)	no	N/A	sufficient stock	N/A
Ukraine	114/100.000	Perinatal Prevention of AIDS Initiative (Non-governmental organisation)	PPD Tuberculin (SPIVS) & RT23 (SSI)	yes	2011	not available	yes (purchasing from Russian supplier suspended; production by Ukrainian manufacturer BIOLIK expected to commence in 2014)
United Kingdom	15/100.000	St. Mary's Hospital London & Imperial College London	RT23 (SSI)	yes	UK - official announcement by PHE April 2014	currently in stock, but supply issues	no
United Kingdom		University Hospital Southampton NHS Trust & University of Southampton	RT23 (SSI)	yes	UK - official announcement by PHE April 2014	currently in stock, but supply issues	no
United Kingdom		Birmingham Chest Clinic	RT23 (SSI)	yes	2nd quarter 2014	limited stock	no

* National TB prevalence in 2014 according to World Health Organization Global Tuberculosis Report 2015.

Abbreviations: N/A – not applicable; PHE – Public Health England; PPD – purified protein derivative; SPIVS - St. Petersburg Institute of Vaccines and Sera; SSI – Statens Serum Institut