

# 1 **Speech Enhancement Based on Neural Networks**

## 2 **Improves Speech Intelligibility in Noise for Cochlear Implant Users**

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### 12 13 **Abstract**

14 Speech understanding in noisy environments is still one of the major challenges for cochlear implant  
15 (CI) users in everyday life. We evaluated a speech enhancement algorithm based on neural networks  
16 (NNSE) for improving speech intelligibility in noise for CI users. The algorithm decomposes the  
17 noisy speech signal into time-frequency units, extracts a set of auditory-inspired features and feeds  
18 them to the neural network to produce an estimation of which frequency channels contain more  
19 perceptually important information (higher signal-to-noise ratio, SNR). This estimate is used to  
20 attenuate noise-dominated and retain speech-dominated CI channels for electrical stimulation, as in  
21 traditional *n-of-m* CI coding strategies. The proposed algorithm was evaluated by measuring the  
22 speech-in-noise performance of 14 CI users using three types of background noise. Two NNSE  
23 algorithms were compared: a speaker-dependent algorithm, that was trained on the target speaker used  
24 for testing, and a speaker-independent algorithm, that was trained on different speakers. Significant  
25 improvements in the intelligibility of speech in stationary and fluctuating noises were found relative  
26 to the unprocessed condition for the speaker-dependent algorithm in all noise types and for the  
27 speaker-independent algorithm in 2 out of 3 noise types. The NNSE algorithms used noise-specific  
28 neural networks that generalized to novel segments of the same noise type and worked over a range of  
29 SNRs. The proposed algorithm has the potential to improve the intelligibility of speech in noise for CI  
30 users while meeting the requirements of low computational complexity and processing delay for  
31 application in CI devices.

### 32 **Keywords**

33 Cochlear implants, noise reduction, speech enhancement, machine learning, neural networks

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35

## 36 1. INTRODUCTION

37 A cochlear implant (CI) is an auditory prosthesis that provides a sensation of hearing for listeners  
38 with severe to profound sensorineural hearing loss. State-of-the-art CI devices allow many users to  
39 achieve near-to-normal speech understanding in quiet acoustic conditions (Fetterman and Domico,  
40 2002; Zeng *et al.*, 2008). However, background noises such as environmental sounds or competing  
41 talkers negatively affect CI users' speech understanding. The decrease in performance can be  
42 measured with the speech reception threshold (SRT), which is defined as the signal-to-noise ratio  
43 (SNR) at which 50% of the speech is intelligible. CI users typically have SRTs that are 10 to 25 dB  
44 higher (worse) than those of normal hearing (NH) listeners (Spriet *et al.*, 2007; Wouters and Van den  
45 Berghe, 2001). It has been reported that CI recipients can take less advantage of temporal gaps or  
46 slow amplitude fluctuations on an otherwise stationary noise masker compared with NH listeners in  
47 terms of speech intelligibility (Cullington and Zeng, 2008; Stickney *et al.*, 2004; Zeng *et al.*, 2008,  
48 Oxenham and Kreft, 2014). This process is known as release from masking (Miller and Licklider,  
49 1950). Since the spectral information conveyed by a CI is reduced to a small number of effective  
50 spectral channels (Friesen *et al.*, 2001), CI users rely strongly on temporal information (in the form of  
51 envelope modulations) and thus are more susceptible to modulated masking noise than NH listeners  
52 (Cullington and Zeng, 2008; Fu *et al.*, 2013). Most likely, a combination of reduced spectral  
53 resolution and increased modulation interference accounts for the decrease in speech understanding  
54 performance observed for CI users compared with NH listeners and with NH listeners tested with CI  
55 simulations (Cullington and Zeng, 2008; Jin *et al.*, 2013, Oxenham and Kreft, 2014).

56  
57 Speech enhancement (SE) algorithms have been proposed to alleviate this problem by attenuating the  
58 noise component of the noisy mixture to increase the intelligibility and perceived quality of the speech  
59 component (Loizou, 2013). SE algorithms can be divided into algorithms that make use of two or  
60 more microphones to exploit the spatial properties of target and noise sources, and algorithms that  
61 make use of a single microphone (or the output signal of a multi-microphone algorithm). Multi-  
62 microphone algorithms have been shown to deliver large benefits in SRT scores when the target  
63 signal and the interfering noise source are spatially separated (Mauger and Warren, 2014; Spriet *et al.*,  
64 2007; Wouters and Van den Berghe, 2001). However, in everyday listening situations, these  
65 requirements might not always be fulfilled, and single-microphone algorithms are still of interest for  
66 numerous applications, such as hearing devices, where the number of microphones is usually limited  
67 to two and the two microphones are on the same side of the head.

68  
69 Single-microphone SE algorithms are based on the assumption that improving the global SNR of  
70 noisy speech will lead to improved speech intelligibility (SI) (French and Steinberg, 1947). With such  
71 algorithms, the signal is converted into the spectral domain (e.g. by Fourier analysis or filter bank  
72 processing) and a filter is applied to retain the signal in frequency channels with high SNR and

73 attenuate the signal in frequency channels with low SNR, leading to an increased global SNR.  
74 Numerous algorithms have been proposed to estimate the SNR in each frequency channel (Gerkmann  
75 and Hendriks, 2012; Martin, 2001). This estimate is used to calculate a gain function to determine the  
76 attenuation of noise-dominated channels. SE algorithms mainly differ in the SNR estimation methods  
77 and the gain functions used for noise suppression (e.g. spectral subtraction or parametric Wiener filter,  
78 Boll, 1979; Lim and Oppenheim, 1979). In the ideal case (i.e. when the speech and noise components  
79 are known), these algorithms can lead to highly increased intelligibility, close to that for noise-free  
80 speech for NH listeners (Madhu *et al.*, 2013) and CI users (Koning *et al.*, 2015; Mauger *et al.*, 2012a;  
81 Qazi *et al.*, 2013). Similarly, extensive studies on the SI benefits of time-frequency masking with the  
82 ideal binary mask (IBM) support the potential of SNR-based suppression criteria for improving the  
83 intelligibility of speech in noise (Anzalone *et al.*, 2006; Brungart *et al.*, 2006; Hu and Loizou, 2008;  
84 Wang *et al.*, 2009).

85

86 In a real system, where only the mixture of speech and noise is available, SNR estimation errors may  
87 lead to speech distortions, introduction of musical noise or insufficient noise suppression. In  
88 challenging acoustic environments these artefacts greatly reduce and often completely undo the  
89 speech intelligibility benefits observed in the ideal case for NH and hearing-impaired (HI) listeners  
90 (Brons *et al.*, 2012; Chen and Loizou, 2012; Loizou, 2013). For CI users, where a decrease in SI  
91 performance is typically observed at higher SNRs than for NH and HI listeners, improvements in SI  
92 have been reported with several SE algorithms based on noise-estimation techniques (Dawson *et al.*,  
93 2011; Hu *et al.*, 2007; Mauger *et al.*, 2012b; Ye *et al.*, 2013). This success may be due to the better  
94 performance (reduced estimation errors) of the algorithms for higher SNRs. In addition, Mauger *et al.*  
95 (2012a; 2012b) reported that CI users generally preferred a more aggressive gain function than the  
96 standard Wiener gain function, suggesting that CI users might be more resistant to speech removal  
97 distortions (type-II errors) and less resistant to noise addition errors (type-I) (also reported by Qazi *et al.*  
98 *et al.*, 2013). For CI users, maximum benefits of about 2 dB in SRT were found for speech in stationary  
99 noise, but the benefit was much reduced when the interfering noise was non-stationary, as in the case  
100 of competing talkers (Dawson *et al.*, 2011; Mauger *et al.*, 2012b).

101

102 A recent approach to SE algorithms employs supervised machine learning to estimate the gain  
103 function (by using either classification or regression methods), instead of using conventional SNR  
104 estimation techniques (Tchorz and Kollmeier, 2003). Using a similar approach, algorithms have been  
105 trained on labelled datasets to approximate the IBM. These have been reported to provide remarkably  
106 large SI improvements for NH listeners (Kim *et al.*, 2009), HI-listeners (Healy *et al.*, 2013, 2014) and  
107 CI users (Hu and Loizou, 2010) for speech in both stationary and non-stationary noise, even at low  
108 SNRs. However, these algorithms were trained and tested on datasets using the same speaker,  
109 background noise and SNRs. This approach is likely to lead to overfitting of the training data and

110 strongly limits generalization performance to acoustic conditions different from the ones used during  
111 training (May and Dau, 2014). Recently, it has been shown, for both NH and HI listeners, that  
112 incorporating more exemplars of the noise recordings in the training stage leads to algorithms that  
113 generalize well to novel realizations of the same noise type (Bolner *et al.*, 2016; Healy *et al.*, 2015) or  
114 to completely novel types of noise (Chen *et al.*, 2016). These studies indicate that generalization to  
115 novel noise conditions is possible when the training datasets incorporate higher degrees of variability.  
116 Furthermore, the use of a “soft” gain mask (often called *ideal ratio mask*, IRM) inspired by the  
117 Wiener filter gain function (Lim and Oppenheim, 1979) avoids the need to choose an appropriate  
118 SNR-dependent classification threshold in IBM-based processing, and can lead to a regression model  
119 that worked over a range of SNRs (Bolner *et al.*, 2016) or generalized to untrained SNRs (Chen *et al.*,  
120 2016).

121

122 The results from the studies described above are promising. However, generalization to novel, unseen  
123 speakers was not tested (Bolner *et al.*, 2016; Chen *et al.*, 2016, Healy *et al.*, 2015). In real-world  
124 situations, in the context of SE for hearing devices, an algorithm should work well with any target  
125 speaker and meet the requirements of limited computational complexity and short processing delay  
126 (Stone and Moore, 2005). The algorithms proposed by Chen *et al.* (2016) and Healy *et al.* (2015)  
127 include non-causal information (future frames) in the processing and therefore introduce considerable  
128 processing delays (>20 ms). As described by Healy *et al.* (2015), the use of future frames has to be  
129 avoided for applications using real-time processing, such as hearing aids and CIs.

130

131 In this study, we tested whether an SE algorithm using neural networks (NNSE) can improve the  
132 SRTs of CI users for speech in stationary and non-stationary background noises. We address the  
133 important aspect of generalization performance to a novel speaker by comparing two identical  
134 systems that were trained on either the same or different speakers from the one used during testing.  
135 This study used noise-specific networks that were tested on novel segments of the same noise type  
136 (similar to Healy *et al.*, 2015). The algorithm complexity and processing delay were chosen to yield a  
137 real-time feasible architecture with low latency for potential application in CIs. We employed an  
138 aggressive gain function as preferred by CI users (Mauger *et al.*, 2012a, 2012b; Qazi *et al.*, 2013) and  
139 integrated the SE algorithm into the coding strategy of a CI to evaluate the performance of the  
140 algorithm. The algorithm was designed to work over a range of SNRs (Chen *et al.*, 2016; Bolner *et al.*,  
141 2016) relevant to CI users and to process stimuli adaptively using online processing.

142

## 143 2. ALGORITHM DESCRIPTION

144 The NNSE algorithm, was integrated within an implementation of the Advanced Combination  
145 Encoder (ACE™) CI speech processing strategy (Seligman and McDermott, 1995). Figure 1 shows a  
146 block diagram of the algorithm.

147

148

*PLACEHOLDER - Figure 1*

149

**150 2.1 Reference strategy**

151 A research ACE strategy implementation served as the reference strategy. The noisy speech signal  
152 was downsampled to 16 kHz, passed through a pre-emphasis filter, and sent through an automatic  
153 gain control (AGC). The AGC compressed the acoustic dynamic range such that it could be conveyed  
154 into the smaller electrical dynamic range of a CI recipient (with an attack time of 5 ms, a release time  
155 of 75 ms, a compression threshold of 73 dB SPL and compression limiting above that level). Next, a  
156 filter bank based on a Fast Fourier Transform (FFT) was applied to the compressed signal. The FFT  
157 was performed on Hanning-windowed 8-ms long input blocks, with an overlap of 7 ms. The  
158 magnitude of the complex FFT output was used to provide an estimate of the envelope for each of the  
159 M frequency channels (typically, M=22). Each channel was then allocated to one electrode. Maxima  
160 selection was applied to retain the subset of N channels with the largest envelope magnitudes (with  
161  $N < M$  set by an audiologist during the fitting of the subject's CI processor). A loudness growth  
162 function (LGF) instantaneously mapped the envelope for each channel to the subject's dynamic range  
163 between the threshold level (THL) and maximum comfortable loudness level (MCL) for electrical  
164 stimulation (using the THL and MCL parameters from the subject's CI processor). Finally, the  
165 electrodes corresponding to the selected channels were stimulated sequentially and one cycle of  
166 stimulation was completed. The number of cycles per second is called the channel stimulation rate,  
167 and the total stimulation rate is N times the channel stimulation rate.

168

**169 2.2 Speech enhancement algorithm**

170 CI processing directly transforms the envelope of the frequency channels to an electrical output, and it  
171 does not require a reconstruction stage. We chose to integrate the NNSE directly into the CI signal  
172 path rather than performing preprocessing of the noisy signal. This avoids an unnecessary synthesis  
173 stage, which would introduce additional noise and increase the complexity and delay of the system.  
174 The NNSE algorithm consisted of two main components: feature extraction and neural network (NN)  
175 regression.

176

177 After downsampling to 16 kHz, the noisy speech signal was divided into 20-ms long segments with  
178 50% overlap. Feature extraction was performed on each segment of the noisy signal, and the output  
179 was fed to the NN. The trained NN (the training is described below) was used to estimate the Wiener  
180 gain over 31 frequency channels equally spaced on the equivalent rectangular bandwidth ( $ERB_N$ -  
181 number, Glasberg and Moore, 1990) scale with centre frequencies ranging from 50 to 8000 Hz. Since  
182 the frequency channels assigned to the electrodes varied across subjects, the estimated gains were  
183 mapped to each subject's specific filter bank configuration. Exponential smoothing (with a time

184 constant of 12 ms) was performed before applying the gain to the corresponding noisy envelope in the  
 185 ACE signal path. The main effect of the gain application was the attenuation of noise-dominated  
 186 channels. This occurred before the ACE channel selection (see Fig. 1). Therefore, speech-dominated  
 187 channels were more likely to be selected for stimulation. Unlike most SE algorithms (Loizou, 2013),  
 188 the algorithm does not require a voice activity detector or the estimation of noise statistics. The NNSE  
 189 was designed so that it could be run in real time, with an algorithmic delay of 10 ms.

190

191 An example of an electrodiagram of a Dutch sentence ("*Het verhaal is heel spannend*") from the LIST  
 192 corpus processed by the ACE coding strategy with 11 maxima is shown in Fig. 2. An electrodiagram  
 193 represents the stimulation pattern across electrodes (y-axis) over time (x-axes). The height of each  
 194 vertical bar reflects the normalised amplitude of a single stimulation pulse.

195 The top panel represents the electrodiagram of the clean sentence, in which the boundaries between  
 196 words are clearly visible. For the second panel, the speech was corrupted by babble noise (SNR = 5  
 197 dB). The resulting stimulation sequence changed significantly: periods of silence were filled with  
 198 noise, envelopes were distorted, and not all of the channels containing speech were selected. The third  
 199 and fourth panels represent the conditions with NNSE processing using speaker-independent and  
 200 speaker-dependent training, respectively. The processing steered channel selection to pick the  
 201 channels containing speech, thus partially restoring information that was masked by the noise (Qazi *et*  
 202 *al.*, 2013).

203

204

PLACEHOLDER - Figure 2

205

### 206 2.2.1 Feature extraction

207 Feature extraction was performed on each 20-ms segment, or frame, at a rate of 100 Hz. Each frame  
 208 was passed through a Gammatone filter bank consisting of 31 channels equally spaced on the ERB<sub>N</sub>-  
 209 number scale with centre frequencies ranging from 50 to 8000 Hz (Hohmann, 2002). Then, the energy  
 210 of each channel was log-compressed to obtain 31 Gammatone Frequency Energy features (GFEN<sub>n</sub>,  
 211 with  $n$  denoting the frame number). From the GFEN<sub>n</sub>, two additional features were extracted: 26  
 212 Gammatone Frequency Cepstral Coefficients (GFCC<sub>n</sub>) and 13 Gammatone Frequency Perceptual  
 213 Linear Prediction Cepstral Coefficients (GPLP<sub>n</sub>). The GFCC<sub>n</sub> features were obtained by performing  
 214 the discrete cosine transform (DCT) on GFEN<sub>n</sub> for frequencies above 200 Hz (and excluding the DC  
 215 component of the DCT). The GPLP<sub>n</sub> features were obtained by filtering GFEN<sub>n</sub> with the relative  
 216 spectral transform (RASTA, Hermansky and Morgan, 1994) filter, which emphasises the modulation  
 217 frequencies relevant to human speech, and performing a 12-th order linear prediction model analysis  
 218 on the output (perceptual linear prediction, PLP).

219

220 The 31 GFEN<sub>n</sub>, 26 GFCC<sub>n</sub> and 13 GFPLP<sub>n</sub> features were concatenated to form a 70-dimensional  
 221 feature vector  $F_n$ . Our pilot results (Bolner *et al.*, 2016) indicated that this combination led to higher  
 222 estimation accuracy than the individual features alone. Note that  $F_n$  was derived exclusively from the  
 223 ERB<sub>N</sub>-number spaced spectrum of the signal (GFEN<sub>n</sub>). Evaluation with several objective measures  
 224 (difference between hit and false alarm rates, HIT-FA, Kim *et al.*, 2009; short-time objective  
 225 intelligibility measure, STOI, Taal *et al.*, 2011; normalized covariance metric, NCM, Holube and  
 226 Kollmeier, 1996; Ma *et al.*, 2009) indicated that this choice had no detrimental effects on the  
 227 estimation accuracy of the algorithm compared with the use of the more conventional MFCC (using  
 228 the Mel-scale) and RASTA-PLP (using the Bark scale), and it avoided two additional filtering stages.  
 229 Finally,  $F_n$  was concatenated with the features extracted from the preceding frame  $F_{n-1}$  to provide  
 230 additional temporal information. The resulting 140-dimensional feature vector  $[F_n, F_{n-1}]$  was fed to the  
 231 NN to estimate the Wiener gain for the current frame  $n$ . Note that the NN estimated the Wiener gain  
 232 using information related to the current and past frames only. This feature set allowed relatively low  
 233 complexity and low delay making the proposed algorithm suitable for real-time processing, in contrast  
 234 to most recent speech segregation studies (Chen *et al.*, 2016; Healy *et al.*, 2013, 2015).

235

### 236 2.2.2 Neural network regression: architecture and training procedure

237 A parametric Wiener gain mask (Lim and Oppenheim, 1979), the IRM, was used as the training target  
 238 for the supervised training process. The ideal ratio mask is defined as follows:

$$239 \quad G(f,n) = \left( \frac{SNR(f,n)}{SNR(f,n) + 1} \right)^\beta,$$

240 where  $SNR(f,n)$  denotes the SNR in frame  $n$  and Gammatone frequency channel  $f$ . The parameter  $\beta$   
 241 controls the slope of the gain function  $G(f,n)$ . We experimented with different values of  $\beta$  and found  
 242  $\beta = 1$  to be a good compromise between noise removal and speech distortion when the mask was  
 243 applied to noisy speech. This choice was also supported by the finding that CI users generally prefer a  
 244 relatively aggressive gain function (Mauger *et al.*, 2012a, 2012b) as opposed to the square-root  
 245 Wiener mask ( $\beta = 0.5$ ) used in previous studies with HI listeners (Chen *et al.*, 2016; Healy *et al.*,  
 246 2015).

247

248 The neural network consisted of an input layer, defined by the feature vector, 2 hidden layers of 75  
 249 units using a saturating-linear activation function (which resembled a piecewise linearised sigmoidal  
 250 function) and 31 linear output units. Resilient backpropagation (Riedmiller and Braun, 1993) was  
 251 used for training the NN in full-batch mode over 500 epochs with a learning rate of 0.01 and weight  
 252 increment and decrement factors of 1.2 and 0.5, respectively. The cost function was the mean squared  
 253 error (MSE) between the true and estimated Wiener gain using a weight-decay regularisation of 0.5 to  
 254 avoid overfitting.

255

256 The parameters of the algorithm were chosen based on a previous study of Bolner *et al.* (2016), who  
257 observed significant improvements in speech intelligibility in noise for NH listeners using CI vocoder  
258 simulations with a supervised NN-based SE algorithm. The biggest difference between the two  
259 algorithm configurations was a reduced number of neural network parameters (node weights and  
260 biases), mainly deriving from the use of a Gammatone filter bank with 31 channels both for the  
261 feature extraction stage and Wiener gain estimation, as opposed to 63 channels used by Bolner *et al.*  
262 (2016). The Nucleus implants tested in this study maximally use 22 spectral channels, and thus 31  
263 channels seemed a good compromise between algorithm complexity and SE performance for CI  
264 application. The 31 estimated Wiener gains were mapped to the 22 CI channels before application to  
265 the envelopes. The configuration used in the current study allowed a reduction in the algorithm  
266 complexity while maintaining comparable performance in terms of estimation accuracy and with  
267 respect to several speech intelligibility objective metrics, such as HIT-FA (between estimated and  
268 ideal ratio masks), NCM and STOI (using vocoded simulations of the enhanced and noise-free  
269 reference signals, Chen and Loizou, 2011).

270

271 The algorithm made use of feed-forward neural networks that were trained using the true Wiener gain  
272 along with the features extracted from the noisy speech. Rather than performing large-scale training  
273 with thousands of noises (as done by Chen *et al.*, 2016), the networks were noise-specific, i.e. each  
274 network was trained for a particular listening situation (similar to Hu *et al.*, 2010). This made it  
275 possible to take advantage of the learning of the distinctive spectro-temporal characteristics of each  
276 noise while limiting the NN size.

277

278 The speech materials used to train the NNSE were LISTm (sentences of equal difficulty with 2-7  
279 keywords, equal number of syllables and key words per list, male Flemish talker, Jansen *et al.*, 2014),  
280 LISTf (similar structure to LISTm, but partially different sentences than LISTm, female Flemish  
281 talker, Van Wieringen and Wouters, 2008), NVA (lists of 10 bisyllabic words, male Flemish talker,  
282 Wouters *et al.*, 1994), and GRID (simple and syntactically identical phrases of 6 words, 18 male and  
283 16 female English talkers, Cooke *et al.*, 2006). Three types of noise were used: steady speech  
284 weighted noise (SWN), single-speaker-modulated speech-weighted noise (ICRA), and 20-talker  
285 babble (BABBLE). The SWN had the same long-term spectrum as the sentences of the LISTm corpus  
286 (Jansen *et al.*, 2014). The modulated speech-weighted noise was the ICRA5-250 (Dreschler *et al.*,  
287 2001) that was generated by sending English male speech through a 3-channel filter bank, randomly  
288 reversing the sign of each sample in each channel (with a probability of 0.5), filtering it again with the  
289 same filter bank, randomizing the phase in the frequency domain and applying the standard long-term  
290 average speech spectral shape of male speech. The ICRA5-250 noise has maximum silent gaps of 250  
291 ms and may contain some intelligible fragments, at least for English native speakers, as reported by  
292 Dreschler *et al.* (2001). The BABBLE signal was recorded at Auditec St. Louis and consisted of a



293 mixture of 20 English competing talkers (8 male, 12 female). The three types of masking noise have  
 294 different degrees of temporal fluctuation (increasing from SWN to BABBLE to ICRA) and thus  
 295 introduce varying amounts of modulation masking (Dau *et al.*, 1997).

296

297 During training, 4-minute long recordings of the three noises were mixed with two speech material  
 298 training sets:

- 299 • Single talker (ST), containing 10 lists from the LISTm corpus (total of 8 minutes)
- 300 • Multiple talker (MT), containing 6 lists from the LISTf corpus, 4 lists from the NVA corpus  
 301 and 120 sentences from the GRID corpus (total of 15 minutes).

302 In both cases, the sentences were mixed with random segments of the noise at 7 SNRs, from -6 to +6  
 303 dB in steps of 2 dB. This, in turn, produced two networks for each noise type, one trained on a single  
 304 talker (LISTm) and the other trained on multiple talkers.

### 305 **3. MATERIALS AND METHODS**

#### 306 **3.1 Software/Hardware**

307 The research ACE strategy and NNSE algorithm were developed in MATLAB (The MathWorks,  
 308 Natick, Massachusetts). Stimuli were processed through a computer implementing the ACE strategy  
 309 (with/without NNSE) and directly presented to the implant user. Electrical stimulation was delivered  
 310 via the Cochlear NIC3 interface connected to an L34 experimental processor. The system delivered  
 311 radio frequency output to the coil that transmitted stimulus data to the subject's implant.

312

#### 313 **3.2 Subjects**

314 A group of 14 CI users, all native Dutch speakers and implanted with a Cochlear Nucleus® CI,  
 315 participated. The study protocol was approved by the Commissie Medische Ethiek GZA Ziekenhuizen  
 316 (Antwerp) ethics committee, and subjects gave their informed consent to participate in the study.

317 Subjects were not paid, but travel expenses were reimbursed. This study was conducted according to  
 318 the guidelines for Good Clinical Practice (GCP), ISO14155-2011 (International Standard for Clinical  
 319 Investigations of medical devices for human subjects) and the Declaration of Helsinki (2013).

320 The mean age of the group at the start of the study was 61 years, ranging from 23 to 81 years. Only  
 321 one ear of each subject was tested. If the subject had a hearing aid (HA) or CI on the contralateral  
 322 side, it was turned off during the testing. The mean duration of implant use was 9.8 years at the start  
 323 of the study, with a range from 1.2 to 13.6 years. All subjects were users of the ACE strategy.

324 Demographic data for the subjects can be found in Table 1.

325

326

*PLACEHOLDER - Table 1*

327

328 Prior to the speech in noise test, the subjects' existing CI program parameters were transferred from

329 their own sound processor to the control computer. Subjects informally reported that they did not  
330 perceive a difference between the daily program on their sound processor and the stimulation  
331 delivered via the ACE strategy on the test system. Details of each subject's CI parameters, such as  
332 stimulation rate, number of maxima, number of total active channels, THL and MCL, and dynamic  
333 range are presented in Table 2.

334

335

PLACEHOLDER - Table 2

336

### 337 **3.3 Stimuli and processing conditions**

338 Sentences from the LISTm corpus (Jansen *et al.*, 2014) were used as the target speech material. The  
339 LISTm corpus consists of 38 lists, with 10 sentences for each list, produced by a male Flemish talker.  
340 The number of keywords per sentence ranged from 2 to 7, with an average and median of 3. Since 10  
341 lists of the corpus were used during the training stage of the algorithm, only the remaining 28 lists  
342 were employed for the listening test.

343 The maskers were 20-s long novel realizations of SWN, ICRA5-250 and BABBLE, from which a  
344 random segment was extracted and mixed with the target speech for each sentence. This was done in  
345 order to test the algorithm on sentences and noise segments that were not previously processed by the  
346 NNs.

347 The three processing conditions were:

- 348 • UN: unprocessed condition, i.e. ACE.
- 349 • NNSE-ST: processed condition with the NNSE algorithm, using the networks trained on the  
350 single-talker data. Note that in this case the algorithm was tested on the same speaker as the  
351 one used during the training stage (LISTm).
- 352 • NNSE-MT: processed condition with the NNSE algorithm, using the networks trained using  
353 multiple talkers data, which did not include the target speaker.

354 The NNSE-MT condition was included to assess the performance of the NNSE in more realistic and  
355 challenging conditions when the target speaker was unknown to the system, in contrast to recent SE  
356 studies (Bolner *et al.*, 2016; Chen *et al.*, 2016; Healy *et al.*, 2013, 2015; Hu and Loizou, 2010).

357

### 358 **3.4 Study protocol**

359 The study used a repeated measures, single-subject design in which each subject served as his/her  
360 own control. This approach made it possible to accommodate the heterogeneity that usually  
361 characterizes the CI population. At the beginning of the session, each subject was allowed to choose  
362 his/her preferred volume. Sentences from one list of the corpus (from the training set) were presented  
363 in quiet and in noise (SWN between 0 and 5 dB SNR) until the subject was satisfied with the volume.  
364 The chosen volume setting was then fixed for the rest of the testing.

365

366 The SRT was measured using an adaptive procedure for 9 conditions [3 maskers (SWN, ICRA,  
367 BABBLE) x 3 processing conditions (UN, NNSE-ST, NNSE-MT)] by an audiologist in a sound-  
368 treated room. Both subject and audiologist were blind as to which processing condition was being  
369 tested.

370

371 An SRT was measured using one list (10 sentences) randomly selected from the speech corpus. The  
372 speech level was held constant at 65 dB SPL while the noise level was adjusted according to the  
373 subject's response to each sentence in steps of 2 dB, in a one-down, one-up procedure to target the  
374 50% correct point. After determining the level of the (hypothetical) 11<sup>th</sup> item, the SRT was calculated  
375 as the mean of the last 6 SNRs. A response was counted as correct when all the keywords in the  
376 sentence were correctly identified. Errors for non-keywords were not taken into account, but  
377 incomplete keywords or minor variations of verb tenses of keywords were penalised (van Wieringen  
378 and Wouters, 2008).

379

380 Each of the 9 conditions was tested 3 times, counterbalancing the order in which the conditions were  
381 tested for each subject. The order in which the noise and processing conditions were tested was  
382 counterbalanced across 12 subjects, and the order for the remaining two subjects was allocated  
383 randomly. The final SRT for each condition was obtained by averaging the three SRT values. At the  
384 end of the testing, subjects resumed the use of their own sound processor.

385

### 386 3.5 Evaluation

387 Prior to clinical testing, an objective analysis of the performance of each processing condition was  
388 performed. Electrodiagrams were computed at different SNRs, and were compared with a reference  
389 electrodiagram in terms of type I and type II error rates. Although this method has not been widely  
390 used in the literature, it represents a useful way to compare noise reduction performance for CIs  
391 (Mauger *et al.*, 2012b).

392 In an electrodiagram, stimuli have normalized values between 0 and 1, representing the electrical  
393 perception range between threshold and comfort level in each frame and frequency channel. The  
394 reference electrodiagram was generated by processing speech in quiet with ACE (without NNSE), and  
395 provided the "ideal" outcome of noise reduction.

396 Error rates were computed as the stimulus amplitude difference of the reference electrodiagram (REF-  
397 E) and the comparison electrodiagram (COM-E), with the method proposed by Mauger *et al.* When  
398 the COM-E contained a stimulus (channel-frame) that was lower in amplitude than the corresponding  
399 stimulus in the REF-E, a type II error was computed as the stimuli amplitude difference. For example,

400 if the COM-E had a stimulus amplitude of 0.3 and the REF-E had a stimulus of 0.5, this was  
401 considered as a type II error of value 0.2. A full type II error (value = 1) occurred when no stimulus  
402 (amplitude = 0) was present in the COM-E, while the REF-E contained a stimulus with amplitude = 1.  
403 In a similar manner, a type I error occurred when the COM-E contained a stimulus of higher  
404 amplitude than for the REF-E. The type I error was computed as the difference of the stimulus  
405 amplitudes. For example, if the COM-E had a stimulus amplitude of 0.3 and the REF-E had a  
406 stimulus amplitude of 0, this was considered as a type I error of value 0.3. A type I error can be  
407 viewed as a noise addition error, while a type II error can be viewed as a speech removal error.

408 Type I and type II errors were summed across all channels and frames and divided by the total  
409 number of possible errors to obtain the type I and type II error rates. Error rates for processing  
410 condition were computed as the average error rates calculated over 20 sentences at -5, 0, 5, and 10 dB  
411 SNR, with 11 selected channels (ACE maxima selection). This was done so as to have the same  
412 number of possible errors for both error types and to avoid introducing a bias towards either of the  
413 two.

414 *PLACEHOLDER - Figure 3*

415  
416 Results of the objective analysis are displayed in Figure 3. For SWN, UN gave type I error rates from  
417 36% to 66%, and type II error rates ranging from 9% to 15% (SNR = -5 and 10 dB, respectively). The  
418 NNSE conditions gave similar error rates, with greatly reduced type I error rates ( $\leq 6\%$  and  $\leq 17\%$ ,  
419 at -5 and 10 dB SNR, respectively), at the expense of slightly higher type II error rates ( $\leq 14\%$  and  
420  $\leq 20\%$ , at -5 and 10 dB SNR, respectively).

421 For ICRA, UN gave type I error rates from 20% to 42%, and type II error rates from 4% to 10% (SNR  
422 = -5 and 10 dB, respectively). Again, both NNSE conditions gave greatly reduced type I error rates at  
423 the expense of higher type II error rates. Type I errors ranged from 7% to 17% for NNSE-MT, and  
424 from 6% to 14% for NNSE-ST, at -5 and 10 dB SNR, respectively, while type II error rates ranged  
425 from 7% to 12% for NNSE-MT, and from 11% to 15% for NNSE-ST (at -5 and 10 dB SNR,  
426 respectively).

427 For BABBLE, UN gave type I error rates from 37% to 66%, and type II error rates from 9% to 15%  
428 (SNR = -5 and 10 dB, respectively), in line with what was found for SWN. Also for BABBLE, both  
429 NNSE conditions gave reduced type I error rates but higher type II error rates compared to the UN  
430 condition. Type I errors ranged from 9% to 30% for NNSE-MT, and from 5% to 20% for NNSE-ST,  
431 at -5 and 10 dB SNR, respectively. Type II error rates ranged from 14% to 18% for NNSE-MT, and  
432 from 22% to 25% for NNSE-ST.

433 In conclusion, both NNSE algorithms greatly reduced the noise, but also introduced some speech  
434 removal distortions. This effect was more pronounced for NNSE-ST than for NNSE-MT for the  
435 modulated noises (ICRA and BABBLE), while the performance of the two NNSE strategies was  
436 comparable for SWN. Both NNSE-MT and NNSE-ST reduced the total error compared to UN for all  
437 noises and SNRs. These results suggested that an improvement in speech perception might be  
438 achieved and supported the clinical speech performance testing of CI users.

#### 439 4. RESULTS

440 The group mean SRTs for all processing conditions are shown in Fig. 4 and individual SRTs and their  
441 changes relative to those for the unprocessed condition (UN) are shown in Fig. 5. The data in all  
442 conditions were normally distributed, as tested with the Kolmogorov-Smirnov (using Lilliefors  
443 significance correction) and the Shapiro-Wilk tests. The SRTs used in statistical analyses were the  
444 average of the 3 SRTs obtained for each processing condition and noise type. Performance with UN  
445 was poorer (higher SRT) than with the processed conditions for all three noises. Group mean SRTs  
446 for speech in UN increased from 2.8 dB in SWN, to 5.1 dB in ICRA, and up to 6.7 dB in BABBLE.  
447 For all three noise types, lower mean SRTs were obtained with NNSE-MT and NNSE-ST than with  
448 UN. NNSE-ST achieved the lowest SRTs for all three noise conditions with an advantage of about 1  
449 to 1.5 dB SRT over NNSE-MT.

450 A two-way analysis of variance (ANOVA) with repeated measures was conducted with factors  
451 processing condition (UN, NNSE-ST and NNSE-MT) and noise type (SWN, ICRA, and BABBLE).  
452 There were significant main effects of processing condition [ $F(2,26) = 31.83, p < 0.001$ ], noise type  
453 [ $F(2,26) = 37.63, p < 0.001$ ] and a significant interaction [ $F(4,54) = 13.73, p < 0.001$ ].

454 Further statistical analysis was conducted separately for each noise type to compare the 3 processing  
455 conditions.

456 For SWN noise, Mauchly's test showed no violation of sphericity and a one-way repeated measures  
457 ANOVA indicated a significant effect of processing condition [ $F(2,12) = 8.165, p = 0.006$ ]. *Post hoc*  
458 pairwise comparisons using Bonferroni correction revealed significant differences between UN and  
459 both NNSE-MT ( $p = 0.019$ ) and NNSE-ST ( $p = 0.003$ ), but not between NNSE-MT and NNSE-ST ( $p$   
460  $= 0.10$ ), with improvements in SRT scores relative to those for UN of 1.4 and 2.3 dB for NNSE-MT  
461 and NNSE-ST, respectively. Apart from three subjects for NNSE-MT and one subject for NNSE-ST,  
462 subjects benefitted from the processing with both NNSE algorithms for speech in SWN.

463 For ICRA noise, Mauchly's test showed no violation of sphericity and a one-way repeated measures  
464 ANOVA indicated a significant effect of processing condition [ $F(2,12) = 28.13, p < 0.001$ ]. *Post hoc*  
465 pairwise comparisons using Bonferroni correction revealed significant differences between UN and  
466 both NNSE-MT ( $p < 0.001$ ) and NNSE-ST ( $p < 0.001$ ) but not between NNSE-MT and NNSE-ST ( $p$

467 = 0.67), with improvements in SRT scores relative to those for UN of 5.4 and 6.4 dB for NNSE-MT  
468 and NNSE-ST, respectively. Apart from subject 14, all subjects benefitted from the processing with  
469 both NNSE algorithms for speech in ICRA. For some subjects, there were improvements in SRT  
470 scores of more than 10 dB.

471 For BABBLE noise, Mauchly's test showed a violation of sphericity ( $p = 0.023$ ) and a one-way  
472 repeated measures ANOVA using the Greenhouse-Geisser correction indicated a significant effect of  
473 processing condition [ $F(1.364,32.727) = 7.45, p = 0.009$ ]. *Post hoc* pairwise comparisons using  
474 Bonferroni correction revealed significant differences between UN and NNSE-ST ( $p < 0.001$ ) and  
475 between NNSE-MT and NNSE-ST ( $p = 0.035$ ). A significant improvement in SRT scores relative to  
476 UN was observed only for NNSE-ST. Apart from subject 4, all subjects benefitted from NNSE-ST for  
477 speech in BABBLE. For NNSE-MT, 8 out of the 14 subjects showed SRT improvements relative to  
478 UN of 1.5-3 dB. However, the rest of the subjects performed either the same or more poorly with  
479 NNSE-MT than with UN.

480 *PLACEHOLDER - Figure 4*

481 *PLACEHOLDER - Figure 5*

482

## 483 5. DISCUSSION

484 Significant improvements in speech intelligibility for CI subjects were produced by NNSE for the  
485 three background noises over a range of SNRs. To accommodate the large variability among CI users,  
486 algorithm performance was evaluated using an adaptive procedure measuring SRT scores, in contrast  
487 to previous studies that tested at fixed SNRs. The magnitude of the improvements in SRT ranged from  
488 1.4 dB for speech in SWN with NNSE-MT up to 6.4 dB for speech in ICRA with NNSE-ST. Apart  
489 from NNSE-MT with BABBLE, significant improvements were found for NNSE relative to UN in all  
490 conditions.

491 For SWN, improvements tended to be larger for NNSE-ST than for NNSE-MT (2.3 / 1.4 dB SRT),  
492 but this difference was not statistically significant. There was also a non-significant difference of 1 dB  
493 between NNSE-MT and NNSE-ST for ICRA (SRTs of 5.4 and 6.4 dB, respectively) but there was a  
494 significant difference of 1.6 dB for BABBLE (SRTs of 0.4 and 2.0 dB, respectively). The advantage  
495 of NNSE-ST over NNSE-MT was expected due to the mismatch between training and testing sets for  
496 NNSE-MT. Nevertheless, NNSE-MT led to significant improvements relative to UN for speech in  
497 SWN and ICRA despite the mismatch in speakers. NNSE-MT failed to give significant improvements  
498 relative to UN for BABBLE. For this noise condition, competing speakers might be wrongly detected  
499 as the target speaker and not attenuated adequately. Especially for lower SNRs, where the spectral  
500 energy of the target speaker was less dominant, NNSE-MT performed worse than NNSE-ST (it

501 should be noted, that the training data were increased by nearly a factor of 2 for NNSE-MT, to  
502 increase its robustness to unseen speakers). The latter can use *a priori* information about the target  
503 speaker's spectral characteristics.

504 For ICRA, the improvements produced by NNSE (ST and MT) relative to UN were remarkable  
505 (about 5 to 6 dB) and were about 3 times larger than for the other two noise conditions. The average  
506 SRT for UN was comparable for ICRA and BABBLE. The processing produced a much larger  
507 improvement relative to UN for ICRA than for BABBLE. The ICRA noise employed in this study had  
508 much stronger spectro-temporal modulations (obtained from one male talker) than the BABBLE noise  
509 (20 talkers), leading to more and larger time-frequency (T-F) regions with a positive SNR. We  
510 speculate that the NNSE algorithm exploits these positive-SNR T-F regions in the feature space to  
511 predict adjacent or even more distant spectro-temporal patterns of the target speech signal. This would  
512 enable the algorithm to extrapolate its prediction over potentially masked T-F regions with lower SNR  
513 in the corresponding time frame (similar to the mechanism often called "glimpsing" or listening in the  
514 dips by human listeners). The algorithm was presented with numerous examples and variations of  
515 potential masking patterns during training and thus learned typical spectral patterns of the speech.  
516 This constitutes a potential benefit of machine learning algorithms in conjunction with acoustic  
517 broadband features over traditional signal processing schemes that operate independently on separate  
518 frequency channels.

519 The machine learning based algorithm proposed by Hu *et al.* (2010) showed large improvements in  
520 percentage correct scores for speech in three different non-stationary noise backgrounds for CI  
521 listeners. A direct comparison between the performance of their system and NNSE is difficult because  
522 we used an adaptive procedure in contrast to testing at fixed SNRs, and we used different speech  
523 materials and background noises. Hu *et al.* showed large improvements with an IBM-based  
524 processing scheme, but their system was trained on the same speaker, noise realizations and SNRs as  
525 used for testing. May *et al.* (2014) showed that the use of novel noise realizations for testing led to a  
526 substantial decrease in estimation performance with a Gaussian Mixture Model (GMM) based system,  
527 such as the one used by Hu *et al.* Recently, Healy *et al.* (2015) and Bolner *et al.* (2016) have shown  
528 that neural network based regression systems can achieve high estimation performance with novel  
529 realizations of the same noise type. Both studies tested at fixed SNRs and used acoustic stimuli to test  
530 normal hearing and hearing-impaired listeners' speech understanding in noise. Bolner *et al.* tested NH  
531 listeners using CI vocoder simulations and reported an improvement of 18% in percentage correct  
532 scores for speech in BABBLE at an SNR of 5 dB. This improvement can be compared to the 2-dB  
533 improvement in SRT for NNSE-ST, since the two algorithms used the same speaker for training and  
534 testing. Jansen *et al.* (2013) reported that, for CI users, an improvement in SRT scores of about 1 dB  
535 corresponds to an improvement in percentage correct scores of 18.7% with the LISTm corpus and  
536 SWN. This suggests that CI users benefitted more from NNSE processing than the NH listeners with

537 CI simulations for speech in BABBLE. For SWN at 5 dB SNR, Bolner *et al.* measured an  
538 improvement relative to UN of 27%, whereas in this study an improvement of 2.3 dB was achieved by  
539 NNSE-ST. Again, this suggests larger benefits for CI users than for NH listeners, but less so than for  
540 BABBLE.

541 Other studies of single-microphone noise reduction for CI users showed consistent improvements in  
542 understanding of speech in stationary noise such as SWN (Dawson *et al.*, 2011; Hu *et al.*, 2007;  
543 Mauger *et al.*, 2012; Ye *et al.*, 2013). However, the improvements were usually smaller with non-  
544 stationary noise and only a few studies achieved significant improvements for both stationary and  
545 non-stationary noise (Dawson *et al.*, 2011). Machine-learning based algorithms like NNSE have the  
546 potential to overcome this challenge and achieve consistent improvements in both stationary and non-  
547 stationary noises, as indicated by the performance of NNSE with BABBLE and ICRA.

548 Several architectures for machine learning based noise reduction have been proposed in the last few  
549 years. In the studies of Kim *et al.* (2009) and Hu and Loizou (2010), GMM classifiers were used,  
550 which recently have been surpassed by artificial neural networks with several hidden layers (*deep*  
551 *neural network*, DNN) (Chen *et al.*, 2016; Healy *et al.*, 2013, 2015). Similar to the architecture of the  
552 previous GMM-based classification systems, where the SNR of each frequency channel is predicted  
553 independently, Healy *et al.* (2013) used two successive stages of multiple-subband DNNs (one DNN  
554 for each of the 64 frequency channels) resulting in a very large classification system. Healy *et al.*  
555 (2014) reduced the complexity of the DNN by a factor of 43 by using a single DNN for the prediction  
556 of the SNR of all frequency channels simultaneously. They used a DNN with 3 hidden layers, each  
557 composed of 1024 rectified linear units, and changed the feature extraction process to broadband  
558 features (being extracted across all frequency channels simultaneously) resulting in a greatly reduced  
559 number of features (64 times smaller) and an input layer dimensionality of just 259. However, this  
560 DNN system still had nearly 2.5 million tunable parameters. In the most recent studies on DNN-based  
561 speech separation, the complexity was increased again to DNNs with nearly 4 million (Healy *et al.*,  
562 2015) and more than 20 million tunable parameters (Chen *et al.*, 2016). Recent advances in  
563 computational power through the use of supercomputers and graphics processing units (GPUs) made  
564 it possible to train and execute such complex algorithms in reasonable amounts of time. However, the  
565 application of such complex algorithms to hearing devices with strongly limited computational and  
566 memory resources is not feasible at present. In contrast, the NNSE algorithm uses a smaller number of  
567 relatively simple features combined with a much smaller NN regression system consisting of 2 hidden  
568 layers with 75 units each. This NN system has 18,631 tunable parameters, 2/3 of those used by Bolner  
569 *et al.* (2016). NNSE employs 200 times fewer parameters than the system used by Healy *et al.* (2015)  
570 and has a 1000-fold smaller system complexity than the system used by Chen *et al.* (2016).



571 Real-time processing requires a processing delay of less than 20-30 ms to ensure perceived audio-  
572 visual synchrony and acceptance by users of hearing devices (Stone and Moore, 2005). Besides the  
573 computational complexity aspect, which may become less relevant with the steady increase in  
574 computational power, the algorithm architectures used in many studies make use of non-causal  
575 processing involving the analysis of “future” frames (e.g. from feature sets using 2 future frames used  
576 by Healy *et al.*, 2015, up to 11 future frames used by Chen *et al.*, 2016). Generally, algorithms need to  
577 work in a causal way to be implementable in hearing devices that meet the perceptual requirements of  
578 potential end-users. The NNSE algorithm proposed in this study satisfies this requirement by using  
579 only the past and the current frames.

580 An important aspect of SE algorithms is their ability to generalize to unseen acoustic conditions.  
581 NNSE was designed to satisfy several generalization requirements. Firstly, multiple SNRs were used  
582 for training, yielding an algorithm that worked over a range of SNRs. This was assessed by using an  
583 error rate analysis where NNSE gave decreased total error rates relative to the unprocessed condition  
584 for all noise types and SNRs (and even for an untrained SNR of 10 dB). Secondly, novel realizations  
585 of a specific type of background noise were used for evaluation. NNSE performed well in these more  
586 challenging conditions (as it was also shown by Bolner *et al.*, 2016, and Healy *et al.*, 2015). Thirdly,  
587 NNSE-MT was tested using a novel speaker and substantial improvements were found for two out of  
588 three noise types. However, generalization to unseen types of noise was not assessed with the current  
589 study that used noise-specific training and testing. A future goal is to design a system that works in  
590 completely novel noise conditions, but still meets the constraints on delay and computational power of  
591 CI processors.

592 Kim and Loizou (2010) reported that a GMM classifier using amplitude modulation spectrum (AMS)  
593 features for estimating the IBM, that was trained on a large number of noise types, failed to achieve  
594 satisfactory performance with unseen noises (low classification rates). This was the case even when a  
595 speaker-dependent classifier was used. Instead of employing large-scale training to improve  
596 generalization, they proposed incrementally adapting the system to new noises. May and Dau (2014)  
597 have shown that a GMM-based classifier trained on AMS features tended to overfit the training data  
598 more when they increased the dimensionality of the feature space and the complexity of the classifier.  
599 The authors observed a larger decrease in classification performance when the algorithm was tested  
600 on novel segments of the same noise type for the more complex classifier and feature combinations  
601 than for the less complex ones (no evaluation on unseen noise types was performed). They proposed  
602 addressing the problem of overfitting with the use of a less complex classification system and a lower  
603 dimensionality of the feature space. Chen *et al.* (2016) used large-scale training with thousands of  
604 background noises in combination with a powerful DNN system and showed that generalization to  
605 unseen noises could be achieved when speaker-dependent models were used. This is a promising  
606 result and suggests that DNN-based systems might improve generalization to unseen noises compared

607 to the GMM-based systems that were used in previous studies (Kim and Loizou, 2010; May and Dau,  
608 2014).

609 GMM-based systems have been used mostly in combination with AMS features (Kim *et al.*, 2009;  
610 Kim and Loizou, 2010; Hu and Loizou, 2010; May and Dau, 2014). Chen *et al.* (2014), showed that  
611 Gammatone-based features performed better than other features (including AMS) in terms of  
612 classification accuracy and HIT-FA rates with a DNN-based system. During the optimization of  
613 NNSE, we found similar results, confirming an advantage of Gammatone-based energy features over  
614 AMS features. We combined the processing paradigms of Gammatone-based RASTA-PLP features  
615 (that incorporate temporal aspects of speech such as modulations), and GFCC features (that perform a  
616 de-correlation of the spectral information), with log-compressed Gammatone-energy features in order  
617 to increase the robustness to noise and changes in speaker characteristics.

618 We performed a pilot experiment to evaluate the performance of the NNSE algorithm with unseen  
619 types of noise. We used 12 real-world recordings from different noisy environments (various  
620 recordings from a stadium, several restaurants and cafeterias, a classroom, a train, city and highway  
621 traffic situations; all obtained from freesound.org) and combined 20-s long segments of each  
622 recording to form a multi-noise recording with a total length of 4 minutes (the same length as  
623 employed for the noise-specific NNSE). The NNSE algorithm was trained on the multi-noise  
624 recording using the same procedure as for the listening experiment, and its performance to the noises  
625 employed for the training of the noise-specific NNSE was assessed objectively using the NCM speech  
626 intelligibility predictor. The NCM scores are shown in Fig. 6 for the single- and multi-talker NNSE  
627 algorithm for both noise-specific and noise-independent training (the NCM scores were calculated  
628 using 20 sentences from the LISTm corpus).

629 *PLACEHOLDER - Figure 6*

630

631 For SWN and BABBLE, there was a small decrease in performance with the noise-independent  
632 algorithm compared to the noise-specific algorithm for NNSE-ST, and a larger decrease in  
633 performance with the noise-independent algorithm compared to the noise-specific algorithm for  
634 NNSE-MT. Interestingly, large improvements in NCM scores for both NNSE-ST and NNSE-MT  
635 were achieved with the noise-independent algorithms relative to UN. This is promising, because NCM  
636 was proven useful for predicting intelligibility outcomes for vocoded stimuli in our pilot study using  
637 CI simulations (Bolner *et al.*, 2016) and for CI users (Chen and Loizou, 2011), but it remains unclear  
638 if the predicted improvements relative to UN will occur for CI users. For ICRA, the performance of  
639 the noise-independent algorithm was much reduced in comparison to that for the noise-specific  
640 algorithm for NNSE-ST, and the predicted performance of the noise-independent algorithm equaled  
641 that for UN for NNSE-MT (it should be noted that the noise-independent algorithm did not impair

642 intelligibility relative to UN). We speculate that the difference in predicted performance between  
643 noise conditions depends on the degree of similarity of the spectro-temporal characteristics between  
644 the training and testing noise types. The NCM scores indicate that both the speaker-dependent and the  
645 speaker-independent NNSE algorithms generalize better to unseen noise types for cases when the  
646 spectro-temporal modulation patterns are somewhat similar between the training and testing noises (as  
647 was the case for SWN and BABBLE) than when the training and testing noises contain different  
648 spectro-temporal modulation patterns (in the case of ICRA). Instead of using multi-noise training to  
649 increase algorithm performance in unseen noise types, a noise-specific algorithm could be combined  
650 with an environmental classifier to provide *a priori* knowledge about the noise type (Hazrati *et al.*,  
651 2014; May and Dau, 2013), while retaining the advantages of high SE performance in combination  
652 with low processing delay and potentially reduced computational complexity compared to a “one-for-  
653 all” large-scale algorithm.

## 654 **6. CONCLUSIONS**

655 A speech enhancement algorithm based on neural networks (NNSE) intended to improve the  
656 perception of speech in noise was evaluated using 14 CI users. Significant improvements, ranging  
657 from 1.4 to 6.4 dB in SRT, were achieved with noise-specific neural networks using stationary and  
658 non-stationary background noise. The architecture and low processing delay of the NNSE algorithm  
659 make it suitable for application in hearing devices. While NNSE was evaluated using a noise-specific  
660 approach, several aspects of generalization to unseen acoustic conditions were addressed, most  
661 importantly performance with a speaker not used during the training stage. Even though  
662 improvements in SRT scores were about 1 to 1.5 dB lower than for the speaker-dependent algorithm,  
663 substantial and statistically significant improvements were found for 2 out of 3 noise conditions for  
664 the speaker-independent NNSE algorithm. The benefits in CI users’ speech in noise understanding are  
665 promising and provide motivation for further investigations of this approach. Future development in  
666 the rapidly growing field of machine learning can be expected to improve the estimation accuracy and  
667 generalization performance to unseen conditions.

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## Figure Captions

Figure 1. Block diagram of the proposed speech enhancement algorithm integrated into the ACE signal path (including an automatic gain control, AGC, and loudness growth function, LGF). The algorithm has two components: Feature Extraction and Neural Network.

Figure 2. Electrodiagram of the sentence *'Het verhaal is heel spannend'* produced by a male speaker (LISTm) at a level of 65 dB SPL. The top panel is for the noise-free signal. The second panel is for the signal with BABBLE noise (SNR = 5 dB). The third and fourth panels are for the conditions with NNSE-MT and NNSE-ST, respectively.

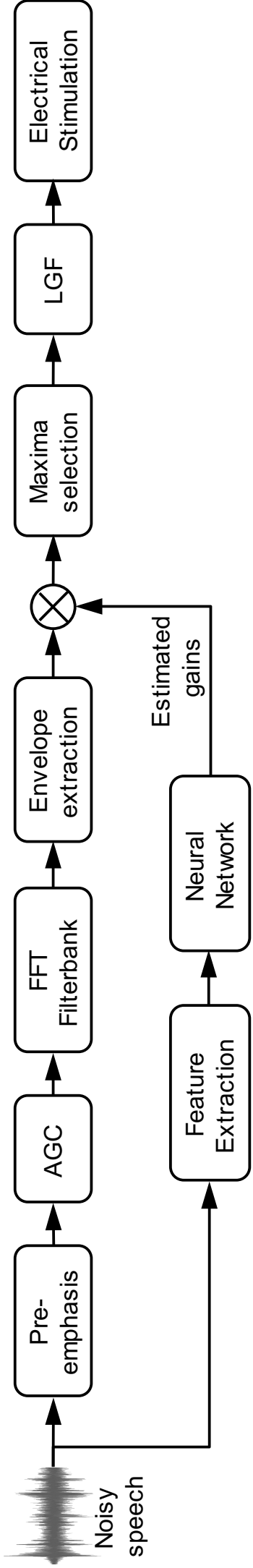
Figure 3. Error rate analysis for UN, NNSE-MT and NNSE-ST processing conditions for the three noises, at -5, 0, 5 and 10 dB SNR. Lines join error rates for the same input SNR. The target speech was LISTm sentences (not part of the training database of either of the NNSE algorithms).

Figure 4. Group mean SRTs with UN (ACE), NNSE-MT (multi-talker) and NNSE-ST (single-talker) processing for each noise type (left: SWN, center: ICRA, right: BABBLE). Error bars represent the standard error of the mean; (\*)  $p \leq 0.05$ , (\*\*)  $p \leq 0.01$ , (\*\*\*)  $p \leq 0.001$ .

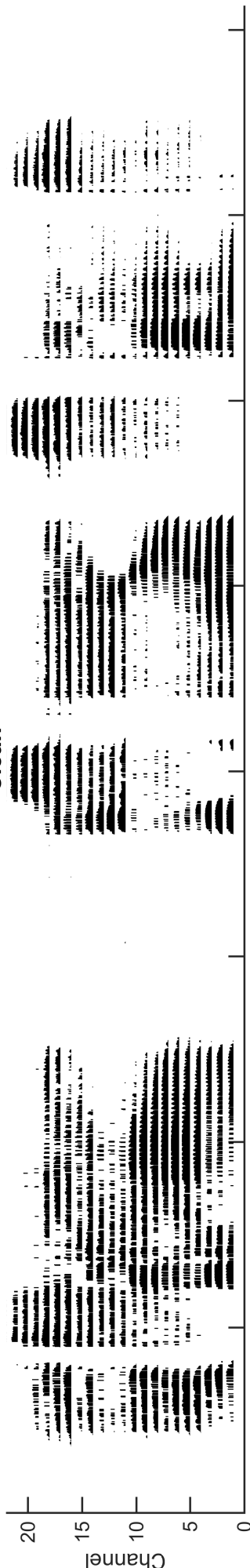
Figure 5. Top panel: Individual SRTs for UN (ACE), NNSE-MT (multi-talker) and NNSE-ST (single-talker) processing for each noise type (left: SWN, center: ICRA, right: BABBLE). Bottom panel: individual SRT change (positive is better) relative to the UN condition for NNSE-MT and NNSE-ST, for the three noises. Subjects are ordered by their performance for speech in UN (ascending SRT from left to right).

Figure 6. NCM intelligibility prediction scores for UN (ACE), MT-NI (NNSE-MT with noise-independent training), MT-NS (NNSE-MT with noise-specific training), ST-NI (NNSE-ST with noise-independent training), ST-NS (NNSE-ST with noise-specific training) and IRM (ideal ratio mask) for each noise type (left: SWN, center: ICRA, right: BABBLE).

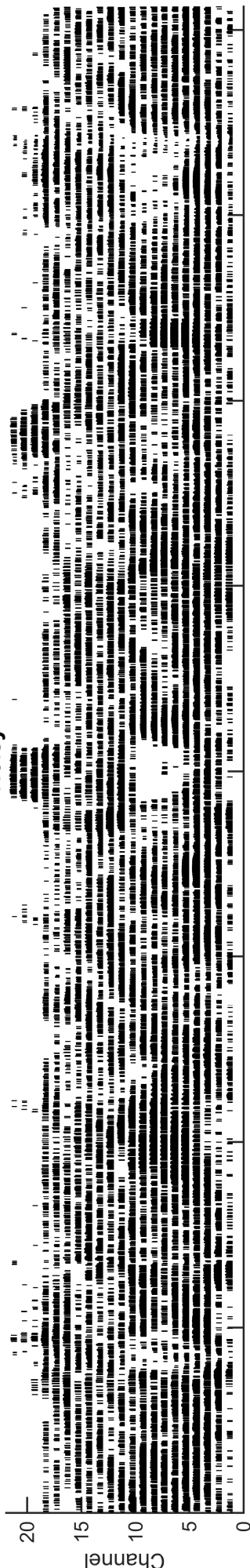




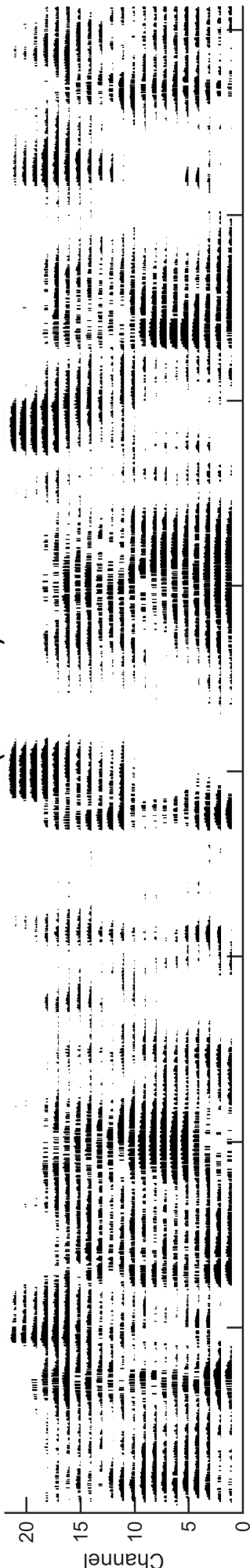
### Clean



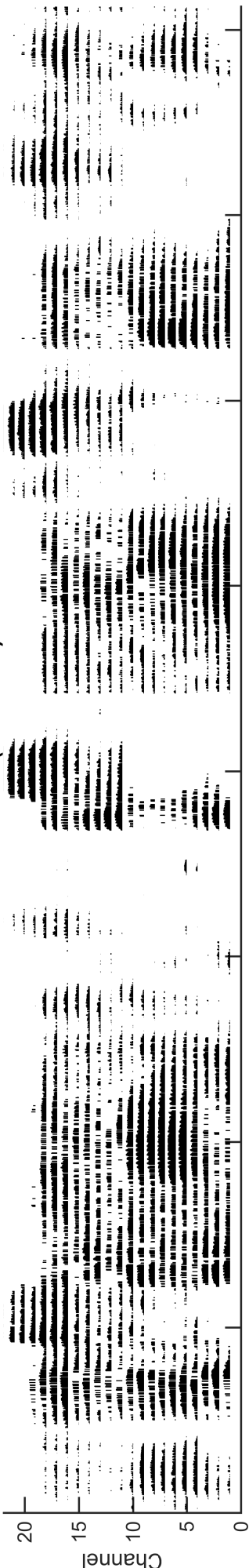
### Noisy



### Enhanced (NSE-MT)



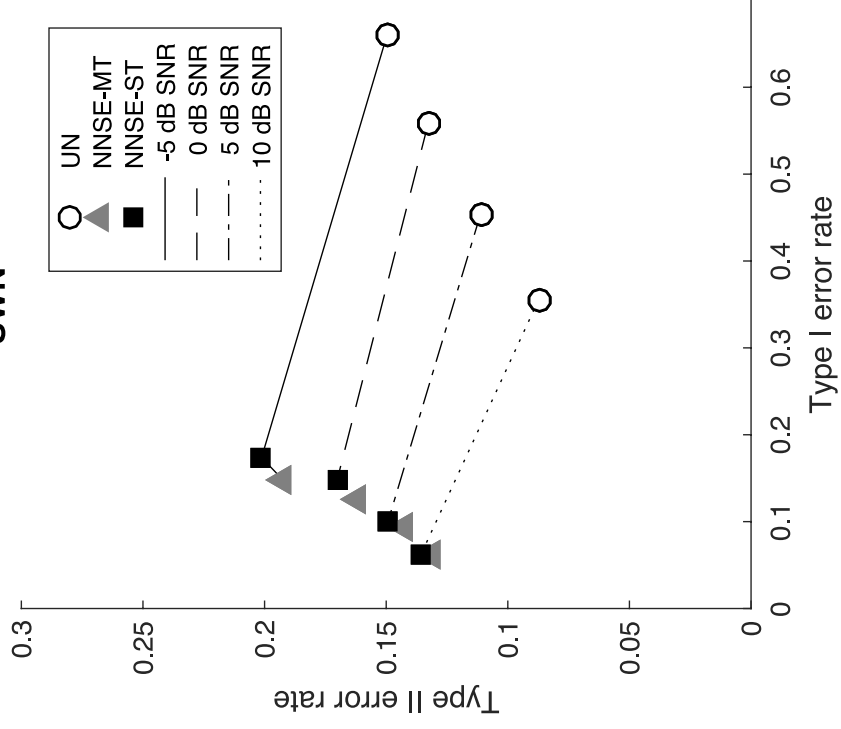
### Enhanced (NSE-ST)



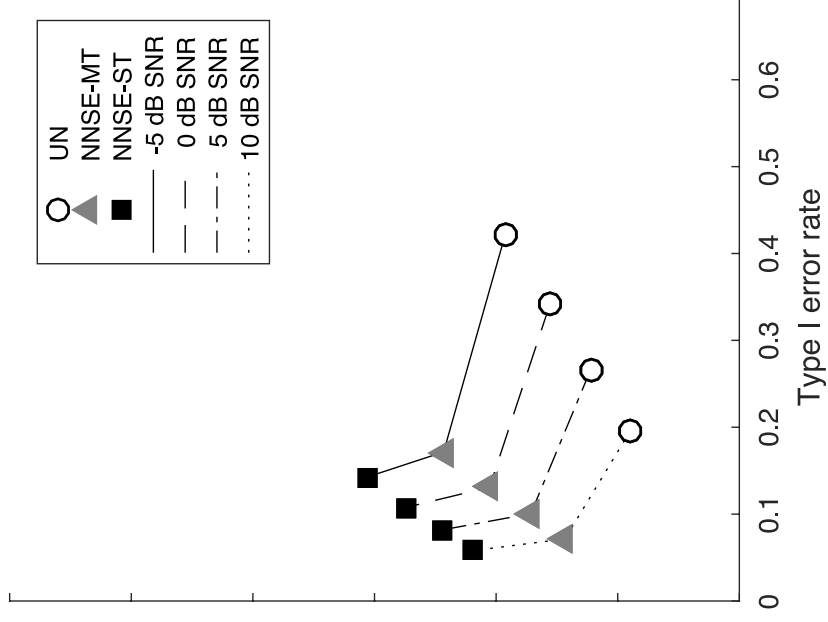
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Time (ms) 500 1000 1500 2000 2500 3000 3500 4000

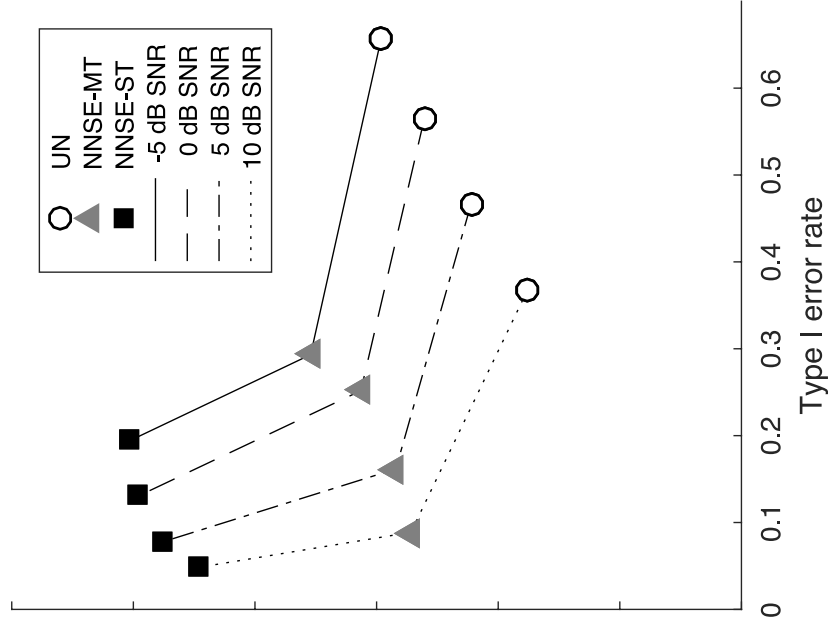
### SWN

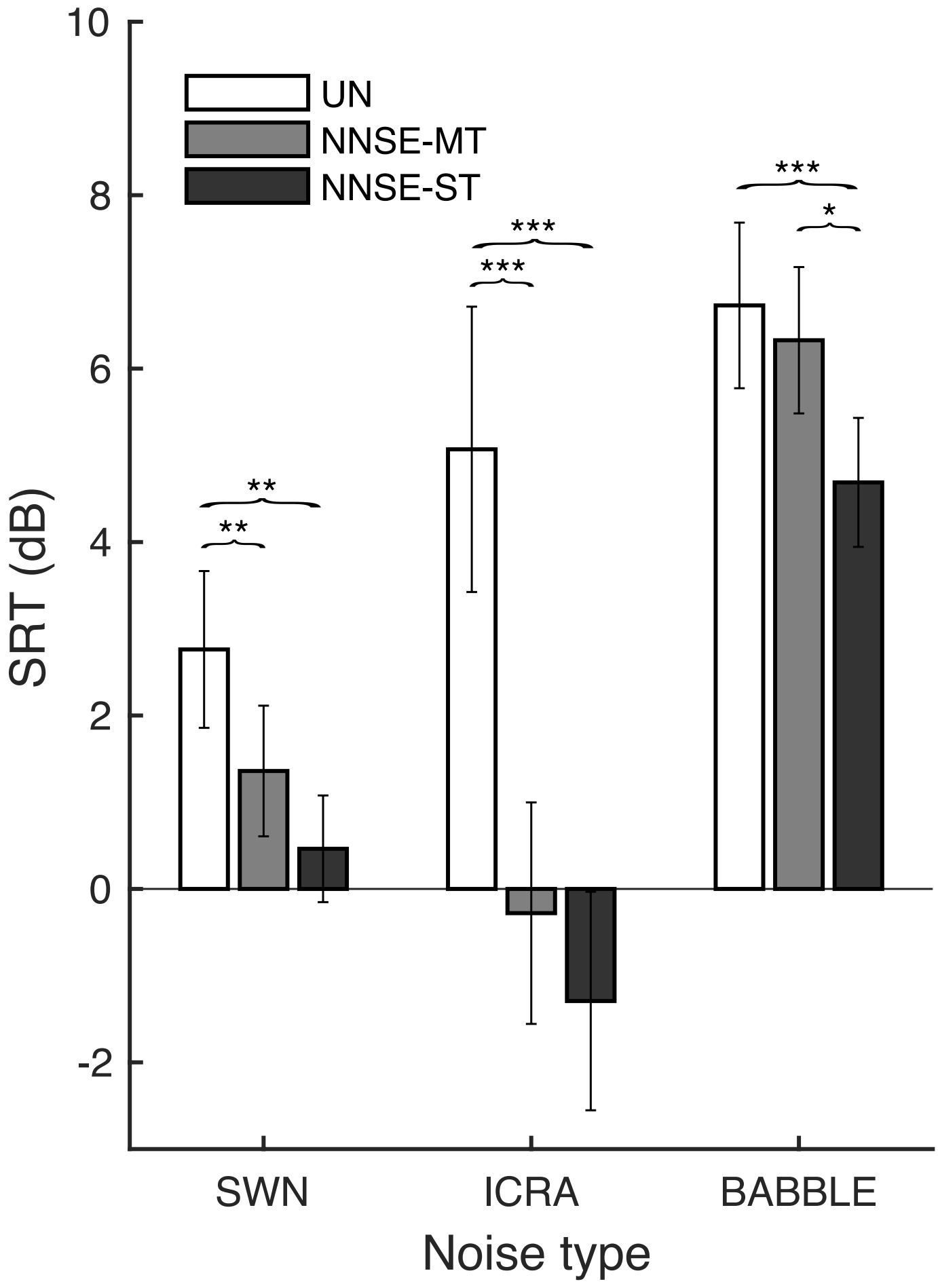


### ICRA

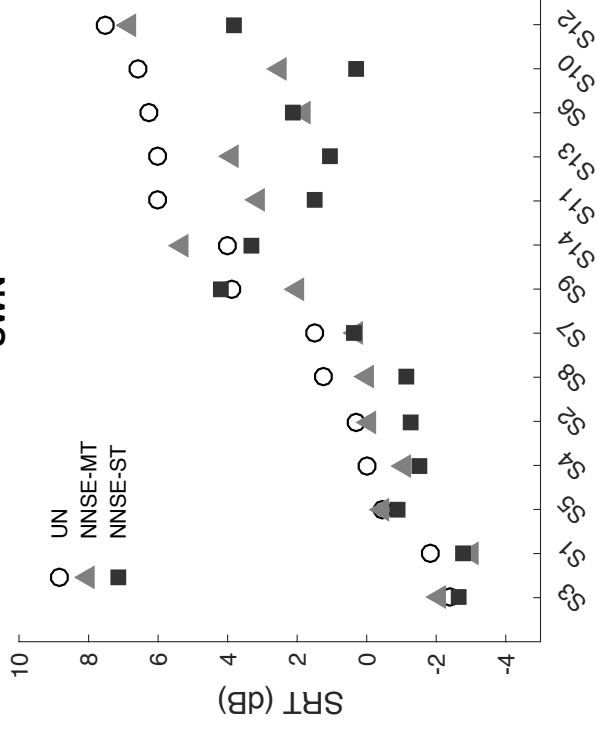


### BABBLE

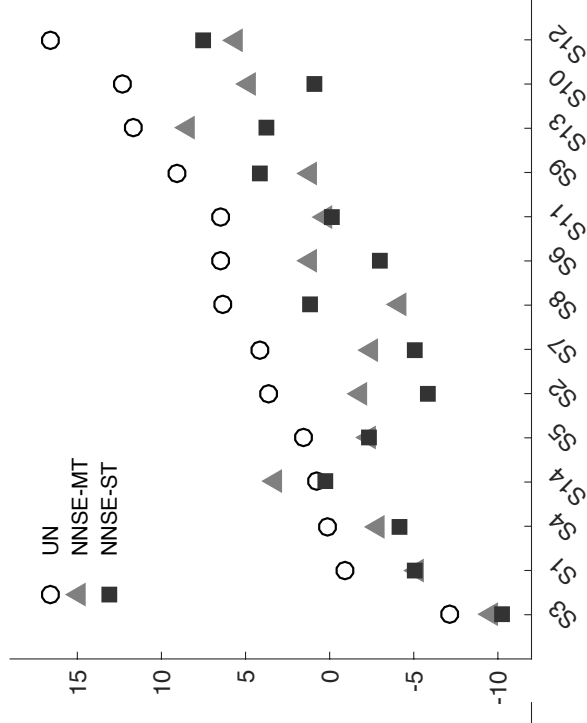




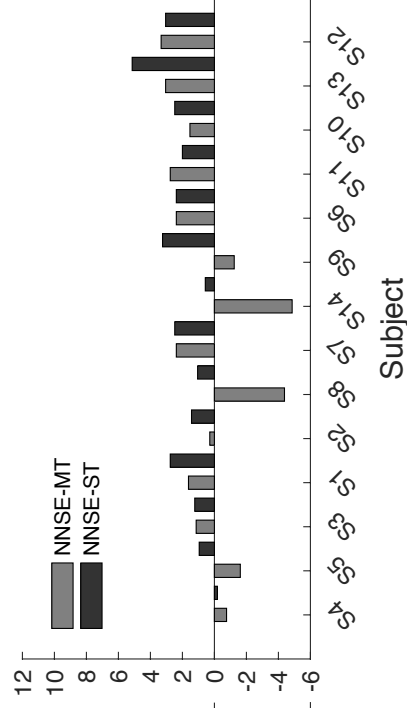
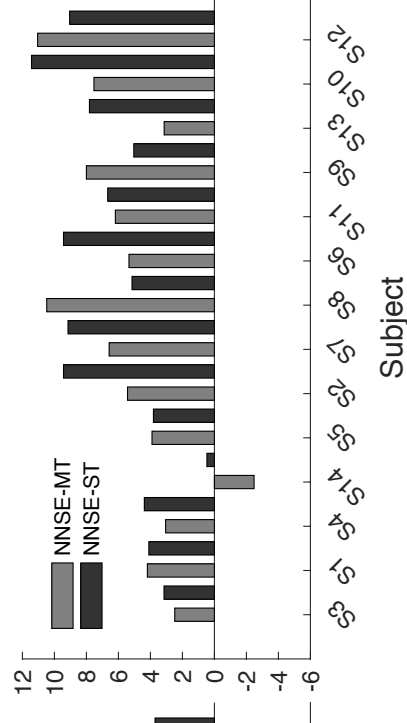
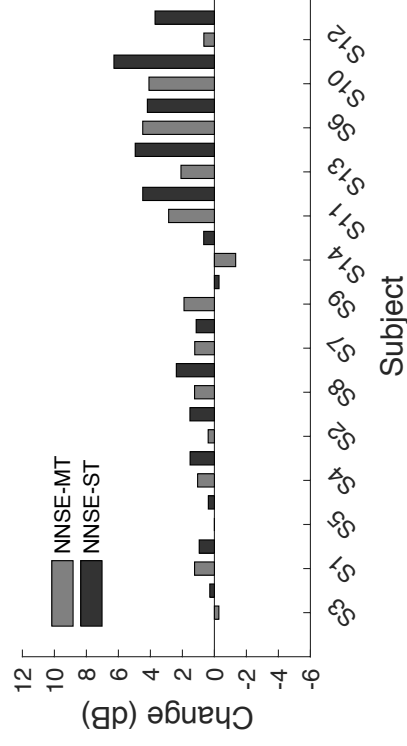
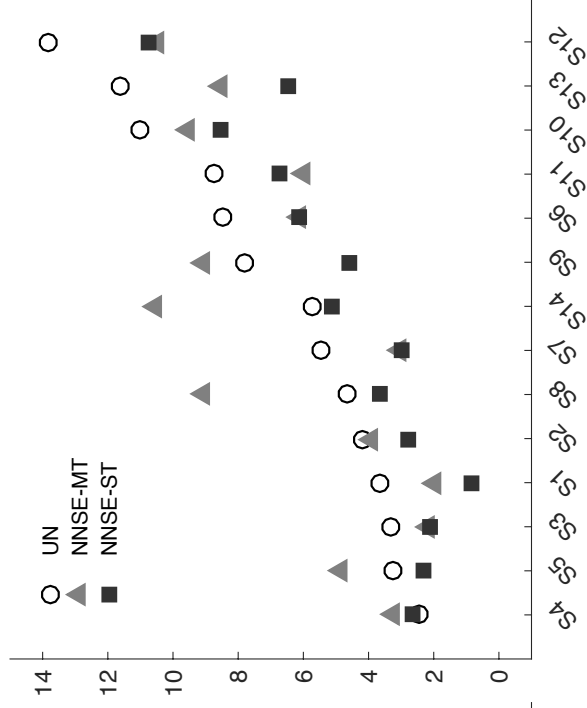
### SWN

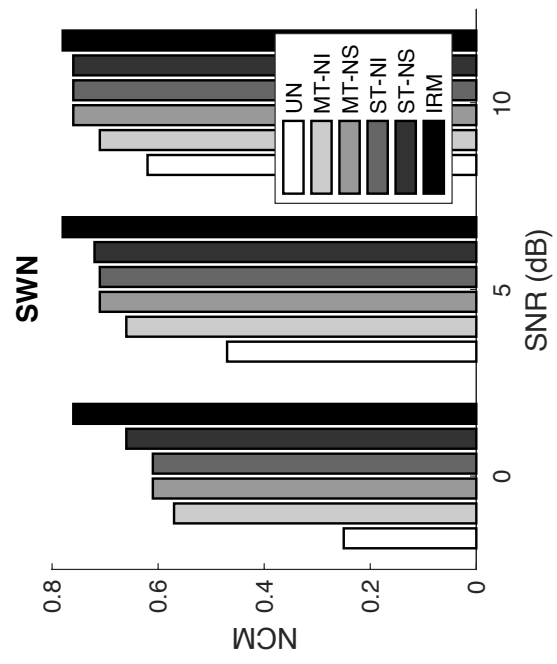
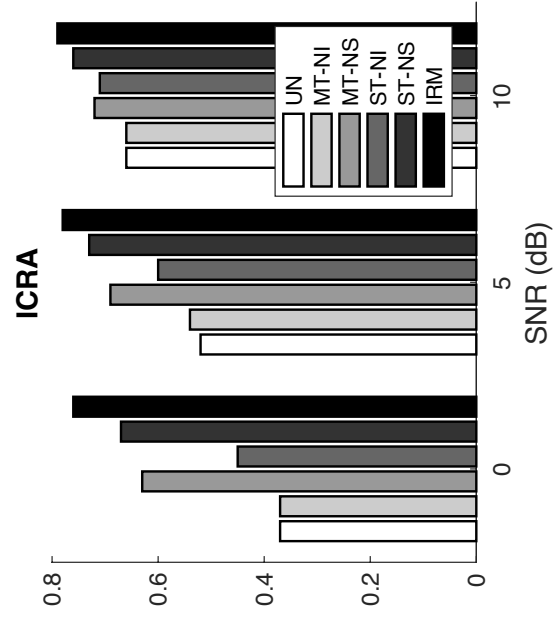
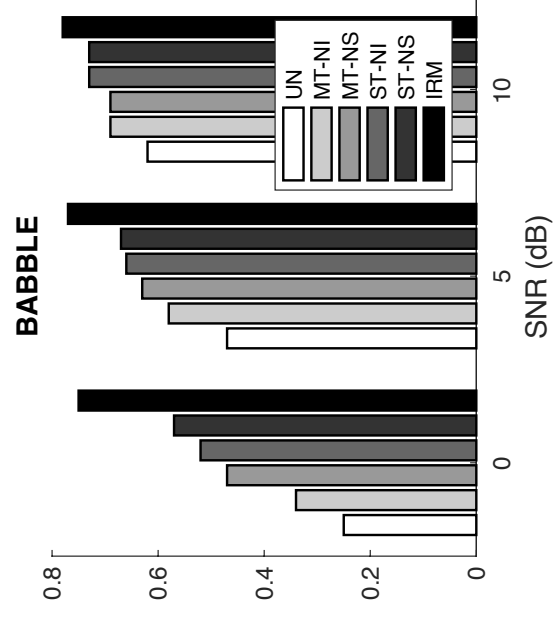


### ICRA



### BABBLE





## **Table Captions**

Table 1. Individual subject demographics: age (years), tested ear (left/right), duration of implant use (years), implant type, origin of hearing loss, etiology, and duration of profound hearing loss (years).

Table 2. CI parameters for each of the 14 subjects during the study: channel stimulation rate (Hz), number of maxima/number of active electrodes, THL and MCL (threshold and comfort levels in current level, CL), minimum and maximum of the dynamic range (DR, in CL).

Table 1.

Subject	Age	Tested Ear	Implant use	Implant type	Type of HL	Etiology	Duration of profound HL	Contralateral ear
01	62	R	12.6	CI24R	Progressive	Unknown	Unknown	-
02	62	L	11.3	CI24R	Progressive	Cholesteatoma	48	-
03	53	L	12.6	CI24R	Progressive	Unknown	47	-
04	68	L	8.1	CI24RE	Progressive	Meniere's Disease	17	HA
05	70	L	13.3	CI24R	Progressive	Otosclerosis	60	HA
06	69	R	10.6	CI24RE	Progressive	Meningitis and Otosclerosis	45	-
07	60	R	5.1	CI512	Sudden	Cholesteatoma	5	HA
08	35	L	11.5	CI24RE	Sudden	Meningitis	3	-
09	81	R	12.6	CI24R	Progressive	Cholesteatoma and Chronic Mastoiditis	Unknown	-
10	69	L	9.6	CI24RE	Sudden	Unknown	53	-
11	72	L	6.6	CI24RE	Progressive	Meniere's Disease	8	-
12	76	R	1.2	CI512	Progressive	Familial	5	HA
13	52	L	8.1	CI24RE	Congenital	Unknown	52	HA
14	23	R	13.6	CI24R	Congenital	Waardenburg Syndrome	1	CI24R



Table 2.

Subject	Channel stimulation rate	Pulse Width	Maxima / no. active electrodes	THL-current level		MCL-current level		DR	
				Min CL	Max CL	Min CL	Max CL	Min CL	Max CL
<b>UNIT</b>	Hz	µs		Min CL	Max CL	Min CL	Max CL	Min CL	Max CL
<b>01</b>	900	25	14/20	105	130	150	193	39	68
<b>02</b>	900	25	10/19	120	135	174	184	39	60
<b>03</b>	900	25	14/22	108	134	165	194	47	79
<b>04</b>	900	25	14/22	109	176	171	200	24	62
<b>05</b>	900	25	14/20	113	129	159	182	42	66
<b>06</b>	1800	20	10/22	150	180	177	228	27	48
<b>07</b>	900	25	14/22	130	160	153	185	15	28
<b>08</b>	2400	12	10/22	111	125	195	205	75	88
<b>09</b>	900	25	14/20	135	152	157	175	17	28
<b>10</b>	900	25	8/22	78	145	108	168	10	36
<b>11</b>	900	25	11/22	129	171	158	203	28	32
<b>12</b>	900	25	12/22	98	144	132	178	32	34
<b>13</b>	900	25	10/21	109	151	137	190	18	73
<b>14</b>	900	25	14/22	120	145	186	205	50	80

## Highlights

- An algorithm for improving speech understanding in noise for cochlear implant users is evaluated
- Significant improvements were found for stationary and non-stationary noise types
- It generalizes to a novel speaker and works over a range of signal-to-noise ratios
- The small algorithmic delay makes it suitable for real-time application