Machine Learning Paradigms for Next-Generation Wireless Networks

Chunxiao Jiang, Huijun Zhang, Yong Ren, Zhu Han, Kwang-Cheng Chen, and Lajos Hanzo

Abstract

Next-generation wireless networks are expected to support extremely high data rates and radically new applications, which require a new wireless radio technology paradigm. The challenge is that of assisting the radio in intelligent adaptive learning and decision making, so that the diverse requirements of next-generation wireless networks can be satisfied. Machine learning is one of the most promising artificial intelligence tools, conceived to support smart radio terminals. Future smart 5G mobile terminals are expected to autonomously access the most meritorious spectral bands with the aid of sophisticated spectral efficiency learning and inference, in order to control the transmission power, while relying on energy efficiency learning/ inference and simultaneously adjusting the transmission protocols with the aid of quality of service learning/ inference. Hence we briefly review the rudimentary concepts of machine learning and propose their employment in the compelling applications of 5G networks, including cognitive radios, massive MIMOS, femto/small cells, heterogeneous networks, smart grid, energy harvesting, device-to-device communications, and so on. Our goal is to assist the readers in refining the motivation, problem formulation, and methodology of powerful machine learning algorithms in the context of future networks in order to tap into hitherto unexplored applications and services.

Introduction

Radical and sometime even unorthodox next-generation networking concepts have received substantial attention both in the academic as well as industrial communities. One of their driving forces is that of providing unprecedented data rates for supporting radical new applications. Specifically, next-generation networks are expected to learn the diverse and colorful characteristics of both the users’ ambience as well as human behavior, in order to autonomously determine the optimal system configurations. These smart mobile terminals have to rely on sophisticated learning and decision-making. Machine learning, as one of the most powerful artificial intelligence tools, constitutes a promising solution [1]. As shown in Fig. 1, we may envision an intelligent radio that is capable of autonomously accessing the available spectrum with the aid of learning, altruistically controlling transmission power for the sake of conserving energy as well as adjusting the transmission protocols.

Machine learning has found wide-ranging applications in image/audio processing, finance and economics, social behavior analysis, project management, and so on [2]. Explicitly, a machine learns the execution of a particular task $T$, with the goal of maintaining a specific performance metric $P$, based on a particular experience $E$, where the system aims to reliably improve its performance $P$ while executing task $T$, again by exploiting its experience $E$. Depending on how we specify $T$, $P$, and $E$, the learning might also be referred to as data mining, autonomous discovery, database updating, programming by example, and so on [3]. Machine learning algorithms can be simply categorized as supervised and unsupervised learning, where the adjectives “supervised/unsupervised” indicate whether there are labeled samples in the database. Later, reinforcement learning emerged as a new category that was inspired by behavioral psychology. It is concerned with an agent’s certain form of reward/utility, who is connected to its environment via perception and action. The family of machine learning algorithms can also be categorized based on their similarity in terms of their functionality and structure, yielding regression algorithms, instance-based algorithms, regularization algorithms, decision tree algorithms, Bayesian algorithms, clustering algorithms, association rule based learning algorithms, artificial neural networks, deep learning algorithms, dimension reduction algorithms, ensemble algorithms, and so on. In this article, we will introduce the basic concept of machine learning algorithms and the corresponding applications according to the category of supervised, unsupervised, and reinforcement learning.

Machine learning can be widely used in modeling various technical problems of next-generation systems, such as large-scale MIMOS, device-to-device (D2D) networks, heterogeneous networks constituted by femtocells and small cells, and so on. Figure 2 portrays the family-tree of machine learning techniques and their potential applications in 5G. Against this background, we embark on investigating the family of learning techniques. Specifically, in the following sections we consider supervised learning, unsupervised learning, and...
reinforcement learning. Each section consists of several subsections, discussing specific learning models, such as regression models and the k-nearest neighbor (KNN) algorithm, support vector machines (SVM) and Bayesian learning; k-means clustering, principal and independent component analysis; and partially observed Markov decision processes, Q-learning, and the multi-armed bandit technique. Each section commences with the introduction of the learning model and its applications in 5G networks. Finally, our conclusions are drawn.

**Supervised Learning in Wireless Communications**

**Regression Models, KNN and SVM: MIMO Channel and Energy Learning**

Models: Regression analysis relies on a statistical process for estimating the relationships among variables. The goal of regression analysis is to predict the value of one or more continuous-valued estimation targets, given the value of a D-dimensional vector \( x \) of input variables. The estimation target is a function of the independent variables. In linear regression, the regression function is linear, while in logistic regression, it is a logistic function assuming a common sigmoid curve. The KNN and SVM algorithms are mainly utilized for classification of points/objects. In KNN, an object is classified into a specific category by a majority vote of the object’s neighbors, with the object being assigned to the class that is most common among its \( k \) nearest neighbors. The output may be constituted by a specific property of the object, such as for example the average of the values of its \( k \) nearest neighbors. By contrast, the SVM algorithm relies on nonlinear mapping, which transforms the original training data into a higher dimension where it becomes separable and then it searches for the optimal linear separating hyperplane that is capable of separating one class from another, again in this higher dimension. They correspond to non-linear classification methods relying on the family of kernel methods. It was shown that with the aid of an appropriate nonlinear mapping to a sufficiently high dimension, the data from two classes can always be separated by a hyperplane [3 p. 21, 185, 239, 349].

Applications: These models can be used for estimating or predicting radio parameters that are associated with specific users. For example, in massive MIMO systems associated with hundreds of antennas, both detection and channel estimation lead to high-dimensional search-problems, which can be addressed by the above-mentioned learning models. In order to generalize the SVM function for employment in data classification problems, its hierarchical version, referred to as H-SVM, was proposed in [4], where each hierarchical level consisted of a finite number of SVM classifiers. This regime was used for the estimation of the Gaussian channel’s noise level in a MIMO-aided wireless network having \( t \) transmit antennas and \( r \) receive antennas. By exploiting the training data, the H-SVM model was trained for the estimation of the channel noise statistics.

In heterogeneous networks constituted by diverse cells, handovers may be frequent, where both the KNN and SVM can be applied to finding the optimal handover solutions. At the application layer, these models can also be used for learning the mobile terminal’s specific usage pattern in diverse spatio-temporal and device contexts, as discussed in [5]. This may then be exploited for prediction of the configuration to be used in the location-specific interface. Given a set of contex-

![Figure 1](image1.png)

**Figure 1.** Intelligent radio learning paradigm.

![Figure 2](image2.png)

**Figure 2.** Radio learning architecture.
HMM is a tool designed for representing probability distributions of sequences of observations. It can be considered a generalization of a mixture-based model, where the hidden variables, which control the specific mixture of the component to be selected for each observation, are related to each other through a Markov process, rather than being independent of each other.

Bayesian Learning: Massive MIMO and Cognitive Radio

Models: The philosophy of Bayesian learning is to compute the a posteriori probability distribution of the target variables conditioned on its input signals and on all of the training instances. Some simple examples of generative models that may be learned with the aid of Bayesian techniques include, but are not limited to, the Gaussians mixture model (GM), expectation maximization (EM), and hidden Markov models (HMM) [3 p. 445].

GM is a model where each data point belongs to one of several clusters or groups, and the data points within each cluster are Gaussian distributed.

EM is a generalization of maximum likelihood estimation, which iteratively finds the most likely solutions or parameters. It is characterized by two steps: the “E” step that chooses a function representing the lower bound of the likelihood, and the “M” step that finds the parameters maximizing the chosen function.

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Applications: The Bayesian learning model may be readily invoked for spectral characteristic learning and estimation in next-generation networks. To address the pilot contamination problem encountered in massive MIMO systems, the authors of [6] estimated both the channel parameters of the desired links in a target cell as well as those of the interfering links of the adjacent cells, where channel estimation was carried out with the aid of sparse Bayesian learning techniques.

Based on the observation of received signals, the channel component was first modeled by a GM, namely by a weighted sum of Gaussian distributions having different variances, and then estimated with the aid of the EM algorithm.

Another three closely related applications may be found in cognitive radio networks. In [7], a cooperative wideband spectrum sensing scheme based on the EM algorithm was proposed for the detection of a primary user (PU) supported by a multi-antenna assisted cognitive radio network. This iterative technique first created the log-likelihood function of both the known spectrum occupancy as well as of the channel information and of the noise in the “E” step. Then, it maximized the log-likelihood function for the sake of inferring the unknown information during the “M” step, which was carried out by jointly detecting both the PU signal as well as estimating the channel’s unknown frequency response and the noise variance of multiple subbands.

In contrast to [7], the authors in [8] constructed a HMM relying on a two-state hidden Markov process, where the PUs are present or absent and a two-state observation space, indicating whether the PUs are present or absent. Furthermore, the EM algorithm was invoked for finding the true channel parameters, such as the sojourn time of the available channels, the inactive states of the PUs, and the PUs’ signal strength. Finally, the third application of Bayesian learning was advocated in [9], where a tomography model, belonging to the Bayesian inference framework, was proposed for conceiving and statistically characterizing a range of techniques that are capable of extracting the prevalent parameters and traffic/interference patterns for employment in cognitive radio networks at both the link layer and network layer. The parameters collected included both the path-delay as well as the proportion of successful packet receptions, while the estimated parameter was the link’s successful transmission probability. The Bayesian estimators were derived for single/multiple transmissions in single/multiple path scenarios. In Table 1, we summarize the basic characteristics and applications of supervised machine learning algorithms.

Unsupervised Learning in Wireless Communications

K-Means Clustering: Heterogeneous Networks

Models: K-means clustering aims for partitioning n observations into k clusters, where each observation belongs to the closest cluster. It defines the centroid of a cluster as the center of
gravity, that is, the mean value of the points within the cluster. The clustering algorithm proceeds in an iterative manner, where an object is assigned to the specific cluster whose centroid is nearest to the object based on the Euclidean distance ‘similarity metric’, and then the in-cluster differences are minimized by iteratively updating the cluster-centroid, until ‘convergence’ is achieved. Explicitly, convergence is deemed to be achieved when the assignment becomes stable, that is, the clusters formed in the current round are the same as those formed in the previous round [3 p. 161, 317].

Applications: Clustering is a common problem in 5G networks, especially in heterogeneous scenarios associated with diverse cell sizes as well as WiFi and D2D networks. For example, the small cells have to be carefully clustered to avoid interference using coordinated multi-point transmission (CoMP), while the mobile users are clustered to obey an optimal offloading policy, the devices are clustered in D2D networks to achieve high energy efficiency, the WiFi users are clustered to maintain an optimal access point association, and so on. In [10], the authors considered a hybrid optical/wireless network scenario, in order to reduce the overall wireless tele-traffic by encouraging the utilization of the high-capacity optical infrastructure. A mixed integer programming (MIP) problem was formulated to jointly optimize both the gateway partitioning and the virtual-channel allocation based on classic k-means clustering, which was employed to partition the mesh access points (MAPs) into several groups. The proposed scheme commenced its operation from an initial gateway access point (GAP) set, which can be plucked by a random selection from the set of MAPs, or can be more astutely determined using a meritorious initialization criterion. Next, each MAP is assigned to its nearest GAP. If several eligible GAPs are in the vicinity, then the specific GAP that has a readily available virtual channel is chosen. Finally, by using the classic k-means clustering algorithm, the MAPs are divided into k groups associated with the closest GAPs.

**Principal and Independent Component Analysis: Smart Grid and Cognitive Radio**

Models: Principal component analysis (PCA) transforms a set of potentially correlated variables into a set of uncorrelated variables, referred to as the principal components, where the number of principal components is less than or equal to the number of original variables. Basically, the first principal component has the largest possible variance (i.e., accounts for as much of the variability in the data as possible), and each succeeding component in turn has the highest variance possible under the constraint that it is orthogonal to (i.e., uncorrelated with) the preceding components. The principal components are orthogonal, because they are the eigenvectors of the covariance matrix, which is symmetric. By contrast, independent component analysis (ICA) is a statistical technique conceived to reveal hidden factors that underlie sets of random variables, measurements, or signals. In the model, the data variables are assumed to be linear mixtures of some unknown latent variables, and the mixing system is also unknown. The latent variables are assumed to be non-Gaussian and mutually independent, and they are referred to as the independent components of the observed data, which can be found by ICA [3 p. 115].

Applications: Both the PCA and ICA constitute powerful statistical signal processing techniques devised to recover statistically independent source signals from their linear mixtures. One of their major applications may be found in the area of anomaly-detection, fault-detection, and intrusion-detection problems of wireless networks, which rely on traffic monitoring. Furthermore, similar problems may also be solved in sensor networks, mesh networks, and so on. They can also be invoked for the physical layer signal dimension reduction of massive MIMO systems or to classify the primary users’ behaviors in cognitive radio networks. As a further example, in [11] PCA and ICA were applied in a smart grid scenario to recover the simultaneous wireless transmissions of smart utility meters installed in each home. At the power utility station, it was required to separate the signals received from all the smart meters before the signals can be decoded. The statistical properties of the signals were exploited to blindly separate them using ICA. This operation is capable of enhancing both the transmission efficiency by avoiding channel estimation in each frame, as well as data security by eliminating any wideband interference or jamming signals. More explicitly, a substantial security enhancement was achieved by a robust version of the PCA-based method, which exploited the sparse, low-rank nature of the auto-covariance matrices of the smart metering signal and of the wideband interferer, respectively, in order to confidently separate them prior to ICA processing. Another pertinent example is found in cognitive radio scenarios, where the so-called Boolean ICA relied on the Boolean mixing of OR, XOR, and other functions of binary signals [12]. It was also incorporated into the PU separation problem often encountered in cognitive radio networks for the sake of distinguishing and characterizing the activities of PUs in the context of collaborative spectrum sensing. Furthermore, the observations of the secondary users (SUs) were modeled as Boolean OR mixtures of the underlying binary PU sources. An iterative algorithm, called Binary ICA, was developed to determine the activities of the underlying latent signal sources, such as the PUs. It was demonstrated that given m monitors or SUs, the activities of up to (2m − 1) distinct PUs can be inferred. In Table 2, we summarize the basic characteristics and applications of unsupervised machine learning algorithms.

**Reinforcement Learning in Wireless Communications**

**Partially Observable Markov Decision Process: Energy Harvesting**

Models: Markov decision processes (MDPs) provide a mathematical framework for modeling decision making in specific situations, where the outcomes are partly random and partly under the control of a decision maker, as illustrated in Fig. 3a. At each time step, the process is in some state s, and the decision maker may opt for any
Table 2. Unsupervised machine learning algorithms.

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<th>Category</th>
<th>Learning techniques</th>
<th>Key characteristics</th>
<th>Application in 5G</th>
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<tbody>
<tr>
<td>Unsupervised learning</td>
<td>K-means clustering</td>
<td>• k partition clustering</td>
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<td>• Iterative updating algorithm</td>
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<td>PCA</td>
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<td>Smart grid [11]</td>
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<td>ICA</td>
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The probability that the process moves into its new state \(s'\) is influenced both by the specific action chosen, as well as by the system’s inherent transitions, formally described by the state transition probability \(P_s(s'|s, a)\). Given \(s\) and \(a\), the state transition probability is conditionally independent of all previous states and actions, that is, the state transitions of an MDP process satisfy the fundamental Markov property. By contrast, a partially observable Markov decision process (POMDP) may be viewed as the generalization of a MDP, where the agent is unable to directly observe the underlying state transitions and hence only has partial knowledge, as shown in Fig. 3b. The agent has to keep track of both the probability distribution of the legitimate states, based on a set of observations, as well as of the observation probabilities and of the underlying MDP [3 p. 517].

Applications: The family of MDP/POMDP models constitutes ideal tools for supporting decision making in 5G networks, where the users may be regarded as agents and the network constitutes the environment. There are usually three steps associated with modeling a problem using MDP. The first step is to specify the system’s state space and the decision maker’s action space, as well as verifying the Markov property. The second step is that of constructing the state transition probabilities \(P_s(s'|s, a)\) formulated as the probability of traversing from state \(s\) to \(s'\) under action \(a\). The last step is to quantify both the decision maker’s immediate reward \(U_s(s)\) and its long-term reward using Bellman’s equation [13]. Then, a carefully constructed iterative algorithm may be conceived to identify the optimal action in each state.

Classical applications found in the literature include the network selection/association problems of heterogeneous networks (HetNets), channel sensing, and user access in cognitive radio networks, and so on. Furthermore, energy harvesting (EH) has also been extensively modeled using MDP/POMDP, where the limited battery and the time-variant channels are usually regarded as the environment, while the users’ channel selection or battery utilization are usually considered as the actions. For instance, in [13] the transmission power control problems of EH systems were investigated using the POMDP model, where the state space was defined by including the battery state, the channel state, the packet transmission/reception states, and an action by the node, which corresponded to sending a packet at a certain power level. The feedback messages implicitly provided the EH system with partial channel state information (CSI), which resulted in the corresponding POMDP formulation. Since finding exact solutions to the POMDP tends to be computationally intractable [13], a pair of computationally efficient suboptimal solutions, i.e. the maximum-likelihood heuristic policy and the voting heuristic policy, were explored.

Q-LEARNING: FEMTO/SMALL CELLS

Models: Q-learning may be invoked to find an optimal action policy for any given (finite) Markov decision process, especially when the system model is unknown, as shown in Fig. 3c. It is a model-free reinforcement learning technique and as such it can be used in conjunction with MDP models. In such a case, the Q-learning model is also comprised of an agent, of the states \(S\) and of a set of actions \(A\) per state. By executing an action in a specific state, the agent gains a reward and the goal is to maximize its accumulated reward. Such a reward is illustrated by a Q-function, where “Q” is initialized to be an (arbitrary) fixed value. Then, “Q” is updated in an iterative manner after the agent carries out an action and observes the resultant reward as well as the associated new state at each time-instant [3 p. 517].

Applications: Q-learning has also been extensively applied in heterogeneous networks, usually in conjunction with the aforementioned MDP models. In [14] the authors presented a heterogeneous fully distributed multi-objective strategy based on a reinforcement learning model con-

![Figure 3. Illustration of reinforcement learning: a) Markov decision process; b) partially observed Markov decision process; c) Q-learning.](image-url)
structured for the self-configuration/optimization of femtocells. The model was supposed to solve both the resource allocation and interference coordination problems in the downlink of femtocell networks. The main objectives of the learning process are two-fold: first, to acquire spectrum allocation awareness and to identify the availability of unused spectral slots for the provision of opportunistic access; second, to select sub-channels from the available spectrum pool and to configure the terminals supported by femtocells to operate under carefully constructed restrictions to avoid interference and to meet the quality of service (QoS) requirements. Another example is constituted by dense small cell networks regarding their cell outage management and compensation [15]. The system's state was constituted by the specific allocation of users to the resource blocks of small cells, as well as by the channel quality, while the actions were constituted by the downlink power control actions, with the rewards being quantified in terms of signal-to-interference-plus-noise ratio (SINR) improvement. It was demonstrated that the compensation strategy based on the reinforcement learning model attained an exceptional performance improvement.

**Multi-Armed Bandits: Device-to-Device Networks**

**Models:** In practice, multi-armed bandits (MAB) have been used to model resource allocation problems operating under a fixed budget by carefully proportioning resources among competing projects, whose properties are only partially known at the time of resource allocation, but which may become better understood as time passes. Since the agent has no initial knowledge about the machines, the crucial trade-off they confront at each instance is between the “exploitation” of the specific machine that has the highest expected payoff and the “exploration” required to glean more information about the expected payoffs of the other machines.

The MAB problem may also be extended into a multi-player, multi-armed bandit game (MP-MAB), where the reward gleaned by any player depends on the specific decisions of all players. The key idea of the proposed approach is to enable each user to forecast the future actions of its opponents based on public knowledge and to proceed by best responding to the predicted joint action profile using some bandit strategy [3 p. 517].

**Applications:** The MAB and MP-MAB models, as a family of emerging signal processing tools, are capable of solving challenging resource allocation problems in wireless scenarios, where either the channel conditions or some other wireless environment parameters have to be “explored,” while the known channels also have to be “exploited” by a group of users. Generally, these models may be beneficially used in multi-player adaptive decision making problems, where selfish players infer an optimal joint action profile from their successive interactions with a dynamic environment, and finally settle at some equilibrium point. This problem has indeed been encountered in many wireless networking scenarios, with a compelling one being the channel selection problem of a distributed device-to-device (D2D) communication system integrated into a cellular network, and another one in the context of emerging next-generation networks [16]. The selfish D2D users aimed to optimize their own performance by camping on the vacant cellular channels, whose statistics were unknown to the users. This distributed channel selection problem was in harmony with the typical MP-MAB settings, and thus it was modeled as an MP-MAB game. Specifically, every D2D user was modeled as a player of the MP-MAB game, while the channels were regarded as arms and choosing a channel corresponds to pulling an arm. The authors proposed a channel selection strategy consisting of two main blocks, namely the calibrated forecasting and the no-regret bandit learning strategies. In Table 3, we summarize the rudimentary characteristics and applications of reinforcement machine learning algorithms.

**Future Research and Conclusions**

A range of future research ideas on machine learning in 5G networks can be summarized as follows.

The family of supervised learning techniques relies on known models and labels that can support the estimation of unknown parameters. They can be utilized for massive MIMO channel estimation and data detection, spectrum sensing and white space detection in cognitive radio, as well as for adaptive filtering in signal processing for 5G communications. They can also be applied in higher-layer applications, such as inferring the mobile users’ locations and behaviors, which can assist the network operators to improve the quality of their services.

Unsupervised learning relies on the input data itself in a heuristic manner. It can be utilized for cell clustering in cooperative ultra-dense small-cell networks, for access point association in ubiquitous WiFi networks, for heterogeneous base station clustering in HetNets, and for load-balancing in HetNets. It can also be applied in anomaly/fault/intrusion detection and for the users’ behavior-classification.

Reinforcement learning relies on a dynamic iterative learning and decision-making process. It can be utilized for inferring the mobil users’ decision making under unknown network conditions, for example during channel access under unknown channel availability conditions in spectrum sharing, for distributed resource allocation under unknown resource quality conditions in femto/small-cell networks, and base station asso-

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</table>
| Reinforcement learning | MDP/POMDP          | • Bellman equation maximization
                          |                     | • Value iteration algorithm          | Energy harvesting [13]        |
| Q-learning        |                     | • Unknown system transition model
                          |                     | • Q-function maximization            | Femto and small cells [14, 15] |
| Multi-armed bandit|                     | • Exploration vs. exploitation
                          |                     | • Multi-armed bandit game            | Device-to-device networks [16] |

Table 3. Reinforcement machine learning algorithms.
The classes of supervised, unsupervised, and reinforcement learning tools were investigated, along with the corresponding modeling methodology and possible future applications in 5G networks. In a nutshell, machine learning is an exiting area for artificial intelligence aided networking research!

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**References**


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