

1 **Greenhouse to icehouse: A biostratigraphic review of latest Devonian - Mississippian glaciations**  
2 **and their global effects**

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11 **Abbreviated title:** A review of latest Devonian and Mississippian glaciations

12 **Abstract:** The latest Devonian to Mississippian interval records the long term transition from  
13 Devonian Greenhouse conditions into the Late Palaeozoic Ice Age (LPIA). This transition was  
14 punctuated by three short glaciation events in the latest Famennian, mid Tournaisian and Viséan  
15 stages respectively. Primary evidence for glaciation is based on diamictite deposits and striated  
16 pavements in South America, Appalachia and Africa. The aim of this review is to assess the primary  
17 biostratigraphical and sedimentological data constraining diamictite deposits through this transition.  
18 These data are then compared to the wider record of eustasy, mass extinction and isotope stratigraphy  
19 in the lower palaeolatitudes. Precise age determinations are vital to integrate high and low  
20 palaeolatitude datasets and to understand the glacial control on wider global changes. Palynological  
21 techniques currently provide the best biostratigraphic tool to date these glacial deposits and to  
22 correlate the effects of glaciation globally. This review highlights a high degree of uncertainty in the  
23 known history of early LPIA glaciation as much of the primary stratigraphic data is limited and/or  
24 unpublished. Future high-resolution stratigraphic studies are needed to constrain the history of  
25 glaciation both spatially and temporally through the latest Devonian and Mississippian.

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27 The latest Devonian to Mississippian time period saw the onset of the Late Palaeozoic Ice Age  
28 (LPIA), marked by three short “precursor” glaciations in the latest Famennian, mid Tournaisian and  
29 Viséan respectively (Caputo *et al.* 2008; Montañez & Poulson 2010). In contrast, the earlier  
30 Devonian Period had globally warm climates, high sea levels and widespread reef complexes at  
31 median palaeolatitudes (Copper 2002; Joachimski *et al.* 2009). The latest Devonian and  
32 Mississippian therefore record a transitional period between these two first-order climate modes,  
33 which was characterised by at least three distinct glacial episodes and long-term declining  
34 atmospheric CO<sub>2</sub> concentrations (Bernier 2006). Within this transitional period are global oceanic  
35 anoxic events associated with mass extinction, eustatic changes and isotopic excursions that  
36 approximately coincide with the glaciations in the latest Famennian and mid Tournaisian (Caplan &  
37 Bustin 1999; House 2002; Kaiser *et al.* 2007, 2008, 2011, 2015 *this volume*; McGhee 2013; Sallan &  
38 Coates 2010; Saltzman 2002; Streepl 1986; Yao *et al.* 2015;).

39 Evidence for LPIA glaciation in Gondwana is based on diamictite deposits and striated pavements in  
40 both South America and Africa (Caputo *et al.* 2008; Isaacson *et al.* 2008). Recent work describing  
41 latest Devonian diamictites in Appalachia also provides evidence for an additional glacial centre in  
42 the low palaeolatitudes of Euramerica (Brezinski *et al.* 2008; 2010). The precise age of these glacial  
43 deposits is crucial in understanding the relationship between LPIA glaciation and its wider effects on  
44 eustasy, environmental change and mass extinction. There is uncertainty however, over the exact  
45 timing of these glacial events. Several authors argue for an expanded range of glaciation that includes  
46 the Mid Devonian (Elrick *et al.* 2009) and Frasnian-Famennian (Isaacson *et al.* 1999; McGhee 2013;  
47 Streepl *et al.* 2000a) based on indirect evidence from isotopes, palaeontology and palynology.  
48 Furthermore, the glaciation near the Devonian/Carboniferous (D/C) boundary has been regarded as  
49 both discrete, i.e., an individual precursor event (Caputo *et al.* 2008), or intermittent throughout the  
50 entire Famennian to earliest Mississippian (Isaacson *et al.* 1999, 2008; Sandberg *et al.* 2002).

51 Two recent reviews of latest Devonian and Mississippian glaciation are provided by Caputo *et al.*  
52 (2008) and Isaacson *et al.* (2008). For reviews of the wider LPIA see Fielding *et al.* (2008), Limarino  
53 *et al.* (2014) and Montañez & Poulson (2010). This review does not reiterate these previous  
54 contributions but rather approaches the glacial histories of the latest Devonian and Mississippian from  
55 a biostratigraphic standpoint. The aims are to: (1) discuss published biostratigraphic schemes, their  
56 limitations and correlation into South America; (2) assess the primary biostratigraphical and  
57 sedimentological evidence for glacial histories, and (3) to integrate these histories into a global  
58 context of mass extinction and environmental change. This will facilitate future research by providing  
59 an assessment of the timing of glaciations from the latest Devonian and Mississippian interval.

60 The review is divided into ‘near-field’ and ‘far-field’ phenomena. The former refers to those areas  
61 with direct evidence for glaciation, i.e., diamictites and/or striated pavements. ‘Far-field’ refers to  
62 those areas with only proxy evidence for glaciation, which includes sequence-stratigraphic and  
63 palaeoenvironmental observations.

#### 64 **PALAEOGEOGRAPHIC AND PALAEOCLIMATIC SETTING**

65 Most of the continents at this time were part of either Euramerica or Gondwana (Fig. 1a). Gondwana  
66 was situated in the southern palaeolatitudes and consisted of South America, Africa, the Arabian  
67 Plate, India, Australia and Antarctica.

68 The Panthalassic Ocean bordered western Gondwana along an active margin (Sempere 1995). To the  
69 north of Gondwana was the closing Variscan Sea. The subsequent collision of Gondwana with  
70 Euramerica during this closure was a key part in the assembly of Pangaea in the Late Palaeozoic.  
71 Putative ice-centres have been suggested for the Brazilian Shield, Guiana Shield, Puna Arch and  
72 Arequipa Massif in central South America, and along an orogenic highland belt on the southern active  
73 margin of Euramerica (Fig. 1a-b; Brezinski *et al.* 2010; Díaz-Martínez & Isaacson 1994; Isaacson *et*  
74 *al.* 2008). In addition, there are poorly-constrained ice-centres postulated in central Africa (Fig. 1c;  
75 Isaacson *et al.* 2008).

76 The Late Devonian is an important interval in the evolution and diversification of land plants. This  
77 includes an increase in arborescence (i.e., trees became taller and with deeper root systems) and the  
78 evolution of seeds, which allowed vegetation to colonise dryer upland areas (Algeo & Scheckler  
79 1998). The resulting increase in deep-soil formation and continental weathering may have caused the  
80 postulated reduction in atmospheric CO<sub>2</sub>, thus possibly setting the stage for glaciation in the latest  
81 Devonian and Carboniferous (Algeo *et al.* 1995; Algeo & Scheckler 1998; Berner 2006).

## 82 **PUBLISHED BIOSTRATIGRAPHIC SCHEMES**

83 In Europe and North America, Late Devonian and Mississippian successions are primarily dated using  
84 conodonts and goniatites (Becker *et al.* 2012; Davydov *et al.* 2012). These schemes are supplemented  
85 by miospore biostratigraphy, which is very well established in Western Europe (Clayton *et al.* 1977;  
86 Higgs *et al.* 1988; Maziane *et al.* 1999; Streel *et al.* 1987). The published global and regional  
87 biostratigraphic schemes discussed in this section are summarised in Fig. 2.

88 The D/C boundary Global Stratotype Section & Point (GSSP) is at La Serre, France, and is based on  
89 the supposed evolutionary lineage of the conodonts *Siphonodella praesulcata* to *S. sulcata*. The first  
90 occurrence of the latter was used to define the base of the *sulcata* Zone and of the Carboniferous  
91 Period (Paproth & Streel 1984; Paproth *et al.* 1991). However, the practical use of the siphonodellids  
92 has been questioned by Kaiser (2009) based on the occurrence of *S. sulcata* stratigraphically below  
93 the level that defines the GSSP. Additionally the GSSP is picked within a reworked oolitic sequence,  
94 which hinders its correlation potential. This issue is compounded by difficulties in taxonomic  
95 discrimination between *S. praesulcata* and *S. sulcata*, which may represent two morphological end-  
96 members of a single species (Corradini *et al.* 2013; Kaiser & Corradini 2011). These findings reduce  
97 confidence in the both the current placement of the D/C boundary GSSP and its inter-regional  
98 correlation. This is significant as the *praesulcata* - *sulcata* interval contains the first of the precursor  
99 glaciations in the latest Famennian and also the end-Devonian mass extinction.

## 100 **The correlation of global schemes into South America**

102 The correlation of global biostratigraphic schemes into Gondwanan South America is of significant  
103 importance as this region has more reported occurrences of glacial diamictites than any other.  
104 Unfortunately the dating of these sediments is problematic as conodonts and goniatites are  
105 extraordinarily rare in South America. In addition, the macro-fauna available for regional  
106 biostratigraphy, such as brachiopods, are largely endemic (Isaacson 1977). Hence palynological  
107 analyses have the greatest biostratigraphic potential owing to the cosmopolitan nature of some  
108 miospore species that can be used for extra-Gondwanan correlation (Playford 1991; Table 1).  
109 Significant research has improved Palaeozoic South American miospore taxonomy and established a  
110 miospore biostratigraphic scheme for the Amazon Basin, Brazil (Loboziak & Melo 2002; Loboziak *et*  
111 *al.* 1986, 1999, 2000a, 2000b, 2005; Melo & Loboziak 2000, 2003; Melo & Playford 2012; Playford  
112 & Melo 2009, 2010, 2012). The Amazon Basin scheme has also been calibrated with established  
113 miospore biostratigraphy from Western Europe (i.e., Clayton *et al.* 1977; Streel *et al.* 1987). The  
114 reference sections for the Amazon Basin scheme unfortunately contain large stratigraphic gaps, which  
115 may therefore limit its usefulness outside the basin (Melo & Loboziak 2003; Melo & Playford 2012).  
116 Research into Palaeozoic palynostratigraphy in South America is ongoing, which will improve future  
117 correlations between Gondwana and Euramerica (see for example di Pasquo 2015; di Pasquo *et al.*  
118 2015; Marshall 2015 *this volume*; Troth *et al.* 2011).

119 In the latest Famennian the index miospore *Retispora lepidophyta* has a near global distribution in a  
120 wide variety of settings. In Western Europe the first occurrences of *Knoxisporites literatus*,  
121 *Indotriradites explanatus* (Luber) Playford 1990 and *Verrucosisporites nitidus* subdivide the total  
122 range of *R. lepidophyta* into the LL (*lepidophyta - literatus*), LE (*lepidophyta - explanatus*) and LN  
123 (*lepidophyta - nitidus*) biozones respectively (Streel *et al.* 1987). However, *K. literatus* and *V. nitidus*  
124 are rarely observed in Amazon Basin miospore assemblages, and so the LE/LN zones are often  
125 undifferentiated with the LL Zone either missing or not identified (Melo & Loboziak 2003; Melo &  
126 Playford 2012). Therefore Melo & Loboziak (2003) proposed a lower Rle (*lepidophyta*) Zone and an  
127 upper LVa (*lepidophyta-vallatus*) Zone in the Amazon Basin, based on the first occurrences of *R.*  
128 *lepidophyta* and *Vallatisporites vallatus*. The inception of *V. vallatus* is synchronous with that of *V.*

129 *nitidus* in Western Europe; therefore the R1e and LVa zones were argued to be South American  
130 equivalents of the LE and LN zones respectively.

131 The extinction of *R. lepidophyta* occurs 14 cm below the D/C boundary as defined in the GSSP  
132 paratype section at Hasselbachtal, Germany (Higgs & Streel 1993). This extinction may not be  
133 entirely synchronous with the problematic boundary GSSP, but it is considered a useful global marker  
134 for the D/C boundary (Davydov *et al.* 2012). In the Amazon Basin the last occurrence of *R.*  
135 *lepidophyta* and the first occurrence of *Waltzispora lanzonii* define the LVa - AL (*Radiizonates*  
136 *arcuatus-lanzonii*) zonal boundary. However this boundary is an erosional surface and does not  
137 represent a continuously preserved D/C boundary sequence (Fig. 2; Melo & Playford 2012).

138 In the Mississippian there are several key miospores which exist in both Gondwana and Euramerica,  
139 the first occurrences of which can therefore be used for correlation. These include *Neoraistrickia*  
140 *loganensis* for the early-mid Tournaisian and several species of *Spelaeotriletes*, such as *S. balteatus*  
141 and *S. pretiosus* (Playford & Melo 2009; Playford *et al.* 2001).

#### 142 *Acritarch, prasinophyte and chitinozoan records*

143 Acritarchs and prasinophytes represent the preserved cysts of marine phytoplankton. Their use in  
144 Devonian biostratigraphy is more limited than that of spores, but many Late Devonian species are  
145 known from both Euramerica and Gondwana (Le Hérissé *et al.* 2000 Molyneux *et al.* 2013). Such  
146 cosmopolitan taxa include: *Chomotriletes vedugensis*, *Gorgonisphaeridium ohioense*, and *Stellinium*  
147 *micropolygonale* (Molyneux *et al.* 2013; Table 1). There is a distinct Famennian assemblage in  
148 Gondwana characterized by *Umbellisphaeridium saharicum*, *U. deflandrei*, *Horologinella*  
149 *quadrispina*, (?)*Schizocystia bicornuta* and *Maranhites mosesii* (Le Hérissé *et al.* 2000; Molyneux *et*  
150 *al.* 2013; Vavrdova & Isaacson 1999). *U. saharicum*, in particular, is associated with *R. lepidophyta*  
151 in South America (Daemon & Contreiras 1971; Vavrdova & Isaacson 1999; Wicander *et al.* 2011).

152 Acritarchs are of limited biostratigraphic value in the Mississippian since their abundance and  
153 diversity became increasingly diminished across the D/C boundary and into the Carboniferous  
154 (Mullins & Servais 2008). Marine phytoplankton did not recover until the Mesozoic. This period of

155 low phytoplankton diversity has been termed the Late Palaeozoic Phytoplankton Blackout (Riegel  
156 2008). However, a lack of detailed D/C reference sections for which there are comprehensive  
157 palynological records means that the decline in phytoplankton diversity is poorly constrained (Le  
158 Hérissé *et al.* 2000; Mullins & Servais 2008).

159 A global chitinozoan biostratigraphic scheme has been proposed for the Devonian, which is integrated  
160 with a regional scheme for Western Gondwana (Grahn 2005; Paris *et al.* 2000). Similarly to other  
161 fossil groups, however there is a significant degree of endemism in South America (Troth 2006).  
162 Chitinozoa are useful for the wider Devonian Period but suffer total extinction near the D/C boundary.  
163 In South America this extinction is reported in the VH Miospore Zone (Grahn 2005; Grahn *et al.*  
164 2006).

#### 165 **NEAR-FIELD LATEST FAMENNIAN GLACIATION**

166 The review by Caputo (1985) first argued for the glacial character of Famennian diamictites in  
167 Brazilian basins, which had until that point had remained controversial. Since then, expressions of  
168 latest Famennian glaciations have been found to have a much wider geographic extent (Fig. 3). The  
169 primary evidence is based on diamictite deposits and striated pavements in Brazil, Peru and Bolivia of  
170 central South America (Caputo 1985; Caputo *et al.* 2008; Isaacson *et al.* 2008). In Brazil, this  
171 evidence is known from the Solimões, Amazon/Maranhão, Parnaíba and Paraná basins that border the  
172 Brazilian Shield (Caputo *et al.* 2008). In Bolivia and Peru there are diamictites in the Altiplano Basin  
173 and the Sub Andean Madre de Dios and Tarija basins (Díaz-Martínez & Isaacson 1994; Isaacson *et al.*  
174 1999). Brezinski *et al.* (2008, 2010) have described diamictites from Appalachia, on what was then  
175 the southern active margin of Euramerica. These are interpreted to have been deposited in a terrestrial  
176 foreland basin under subglacial, englacial and supraglacial settings (Brezinski *et al.* 2010). Evidence  
177 for glaciation in Appalachia broadens the geographic extent of latest Famennian glaciation into the  
178 lower palaeolatitudes.

#### 179 **Stratigraphic evidence from Brazil**

180 *Maranhão and Amazon basins*

181 The Maranhão and Amazon basins contain the Curiri Formation, which outcrops along two 500 km  
182 long belts on the northern and southern basin margins (Caputo *et al.* 2008). An erosive unconformity  
183 separates the upper Curiri Formation from the diamictite-free lower Curiri Formation (Melo &  
184 Loboziak 2003). In subsurface wells the upper Curiri Formation is a ~50 m thick diamictite unit with  
185 subordinate siltstone beds (Cunha *et al.* 2007). Maximum glacial advance is represented by lobate  
186 diamictite deposits (4000 km<sup>2</sup>) that contain “floating” heterogeneous clasts and which overlie offshore  
187 to shoreface black shales and siltstones (Carozzi 1979). The diamictites are associated with incised  
188 subglacial channels and slump structures. The depositional model of Carozzi (1979) suggests  
189 glaciomarine conditions with ice-rafted debris and grounded ice-sheets. Retreat occurs in the very  
190 latest Devonian. The upper Curiri Formation grades, both laterally and vertically, into the non-glacial  
191 Oriximiná Formation.

192 The Curiri Formation is associated with the enigmatic *Protosalvinia* fossil, of probably land plant  
193 origin, and the ichnofossil *Spirophyton*, a common feature of the uppermost Devonian in northern  
194 Brazilian basins (Carozzi 1979; Quijada *et al.* 2015). *Protosalvinia* is Famennian in age but probably  
195 pre-*R. lepidophyta* (Loboziak *et al.* 1997; Over *et al.* 2009; Phillips *et al.* 1972). The diamictites of  
196 the upper Curiri Formation contain *R. lepidophyta* and are assigned an undifferentiated LE-LN age  
197 (Loboziak *et al.* 1997). Melo & Loboziak (2003) indicate an equivalent Rle-LVa age for the upper  
198 Curiri Formation. However, there is no lithostratigraphic section which shows the vertical  
199 distribution of diamictites compared with the palynostratigraphy of Melo & Loboziak (2003).

200 Isaacson *et al.* (2008) reported a VCo, VH and LE/LN age range for glaciation in the Amazon Basin.  
201 This is likely based on Cunha *et al.* (1994) who showed the Curiri Formation as belonging to Zones  
202 ‘VII-VIII’ of Daemon & Contreiras (1971). These zones correspond roughly to the VCo-LN spore  
203 zones of Europe (Melo & Loboziak 2003). However, diamictites only occur within the upper unit of  
204 the Curiri Formation and not in the lower unit, so this extended age range down into the VCo Zone is  
205 likely an overestimate.

206 *Solimões Basin*



207 The Jaraqui Diamictite Member (Jandiatuba Formation) is restricted to the subsurface in the Solimões  
208 Basin (Caputo *et al.* 2008; Filho *et al.* 2007; Isaacson *et al.* 2008). The unit is up to 50m thick and  
209 has a distinctive wireline response characterised by high gamma values and sharp changes in porosity,  
210 density and resistivity curves (Eiras *et al.* 1994). Eiras *et al.* (1994) label the member as glaciomarine  
211 and of Famennian - Tournaisian in age, while Filho *et al.* (2007) show it restricted to the Famennian.  
212 The chronostratigraphic chart in Eiras *et al.* (1994) shows the Jaraqui Diamictite Member as  
213 belonging to the “*lepidophytus-splaeotriletes*” Zone. The name “*lepidophytus*” refers to *R.*  
214 *lepidophyta* and so a latest Famennian age (LL-LE-LN) is likely.

215 Much of the primary sedimentological and biostratigraphical evidence for the glaciomarine deposits  
216 in this basin appears to derive from internal and unpublished company reports (for example Caputo &  
217 Silva 1990; Eiras *et al.* 1994; Quadros 1988). With the exception of Eiras *et al.* (1994) detailed  
218 information for diamictites in the Solimões Basin is not easily available. Although Caputo *et al.*  
219 (2008) have provided a brief lithological description of the diamictites and claimed that they are  
220 coincident with the upper Curiri Formation in the Amazon Basin.

#### 221 *Parnaíba Basin*

222 The Parnaíba Basin contains the Cabeças Formation, which can be observed both at outcrop and in the  
223 subsurface (Caputo *et al.* 2008). This formation consists of fine to medium grained, cross-bedded  
224 sandstones with interbedded siltstones and shales, which are interpreted as representing fluvial, deltaic  
225 to shelfal depositional environments (Caputo 1985; Caputo *et al.* 2008; Góes & Feijó 1994; Vaz *et al.*  
226 2007). Diamictites occur with greater frequency in the upper part of the Cabeças Formation (Vaz *et*  
227 *al.* 2007). They contain striated clasts and rest upon an unconformity surface and striated pavement  
228 (Caputo *et al.* 2008). The orientation of the striations suggests a glacial source area on the Brazilian  
229 Shield (Caputo *et al.* 2008). Equivalent deep-water strata are represented by varve-like rhythmites  
230 that contain marine acritarchs (Streel *et al.* 2000b).

231 The diamictites in the Parnaíba Basin were broadly inferred to be synchronous with those from the  
232 Amazon Basin by Carozzi (1980) based on their association with a *Protosalvinia - Spirophyton*

233 assemblage, similar to the Amazon Basin. Rhythmites in the deeper basin were designated a LN age  
234 based on a palynological analysis of individual millimetre-scale rhythmites (Streel *et al.* 2000b). In  
235 the subsurface the Cabeças Formation was attributed an undifferentiated LE-LN age by Loboziak *et*  
236 *al.* (1992). A later investigation of sections in the Tocantins River valley produced a LN Zone age for  
237 a single sample from the Cabeças Formation (Loboziak *et al.* 2000a).

238 The palynological investigations in the Parnaíba Basin are essentially based on single spot samples.  
239 With the exception of Streel *et al.* (2000b) it is unknown if these spot samples specifically date the  
240 diamictite facies or sediments in the associated stratigraphic sequences. There is no available  
241 palynostratigraphy to constrain the age of the incision surface at the base of the diamictites or the  
242 timing of the retreat, although final retreat is stated to have occurred immediately below the D/C  
243 boundary (Caputo *et al.* 2008).

#### 244 *Paraná Basin*

245 Milani *et al.* (2007) informally described a 1.5 m thick diamictite interval as the ‘Ortigueira  
246 Diamictite’ in the uppermost part of the Late Devonian Ponta Grossa Formation. The unit is  
247 unconformably overlain by diamictites of the Late Carboniferous - Early Permian Itararé Group  
248 (Milani *et al.* 2007). It was extremely difficult to differentiate these latest Devonian diamictites from  
249 the overlying Itararé Group. It was only when they were analysed palynologically that a latest  
250 Famennian age was constrained (Loboziak *et al.* 1995a). The diamictites contain the following  
251 miospores: *R. lepidophyta*, *V. cf. vallatus* and *Vallatisporites hystricosus*, and were interpreted to be  
252 of LN age (Loboziak *et al.* 1995a). Loboziak *et al.* (1995a) is however a preliminary report and so  
253 does not contain any illustrations/descriptions of the palynological material or any measured sections.  
254 Caputo *et al.* (2008) have described the diamictites as grey, muddy with clasts of varying size. No  
255 further descriptions of latest Famennian diamictites in the Paraná Basin have been published, and  
256 without detailed sedimentary logs and facies descriptions the depositional environment remains  
257 unknown.

#### 258 **Stratigraphic evidence from Bolivia**

260 The latest Famennian glaciation in the Bolivian Altiplano is represented by the Cumaná Formation  
261 exposed near Lake Titicaca (Fig. 4a-b). The entire unit contains striated and polished exotic clasts  
262 (Díaz-Martínez & Isaacson 1994). There are three generalised lithofacies: the lowermost lithofacies  
263 comprises outer shelf laminated shales with ice-rafted dropstones (Díaz-Martínez & Isaacson, 1994;  
264 Díaz-Martínez *et al.* 1999); the second lithofacies consists of massive muddy to sandy diamictites  
265 with large blocks, interpreted as sub-aqueous debris flows; the uppermost lithofacies is the most  
266 proximal and consists of interbedded cross-stratified sandstones and diamictites deposited within  
267 periglacial subaqueous outwash fans. This vertical association was interpreted as a single glacial  
268 advance into a glaciomarine environment (Díaz-Martínez & Isaacson 1994).

269 Palynological samples from the Cumaná Formation and associated stratigraphy were collected from  
270 Villa Molino, Hinchaka and Isle del Sol by Díaz-Martínez *et al.* (1999). The samples contain *R.*  
271 *lepidophyta* and *I. explanatus*. The absence of *V. nitidus* means that the LN Zone cannot be  
272 recognised. The diamictites were also associated with a relatively diverse marine acritarch and  
273 prasinophyte assemblage, which includes *U. saharicum*, *Maranhites mosesii*, *Pterospermella* spp. and  
274 *Exochoderma irregulare*. The D/C boundary was recognised at Villa Molino between samples 9a and  
275 9b (Fig. 4c). Both *R. lepidophyta* and Devonian phytoplankton were reported to disappear above the  
276 boundary (Díaz-Martínez *et al.* 1999). Sample 6 at Hinchaka was collected above the diamictites  
277 (Fig. 4c), and contained only a single acritarch species and no *R. lepidophyta*, which suggests that the  
278 D/C boundary and phytoplankton extinctions occur within or immediately above the diamictite.

#### 279 *Sub Andean Zone - Madre de Dios/Tarija basins*

280 Diamictites in the central Cordillera and Subandean Zones are assigned to the Itacua Formation. In  
281 the eastern Cordillera diamictites are known in the Saipuru Formation and have been attributed to the  
282 *R. lepidophyta* Zone (Caputo *et al.* 2008; Suárez-Soruco & López-Pugliesi 1983).

283 Towards the southwest, in the Madre de Dios Basin, diamictites form part of the Toregua Formation  
284 (Isaacson *et al.* 1995). The Toregua Formation was reported to straddle the D/C boundary as based on

285 the first down-hole occurrence of *R. lepidophyta* and *U. saharicum* in the ‘Pando X-1’ and ‘Manuripi  
286 X-1’ wells (Isaacson *et al.* 1995; see Fig. 4a for their location).

287 *Sub Andean Zone – the Bermejo section*

288 The most detailed available biostratigraphic evidence regarding latest Devonian glaciation in the  
289 Subandean Zone is from Wicander *et al.* (2011), presenting a sedimentary log through the 18 m thick  
290 diamictite unit representing the Itacua Formation at Bermejo (Fig. 5; see Fig. 4a for geographic  
291 location). The unit contains sandstone lenses, exotic clasts, sandstone lithic boulders and overlies a  
292 sheared basal contact. It was interpreted as a glaciomarine environment based on the presence of  
293 marine acritarchs. The palynological analysis suggests a sequence of glacial events through the LL,  
294 LE and LN zones based on the step-wise occurrence of *R. lepidophyta*, *I. explanatus* and *V. nitidus*.  
295 This is significant as it potentially extends the onset of glaciation into the older LL Zone stratigraphy  
296 which is typically missing in Brazil.

297 There is a degree of palynological reworking observed in the Bermejo section, which may affect any  
298 biostratigraphic interpretations. Wicander *et al.* (2011) recognised several of the acritarch and  
299 prasinophyte species in the diamictites Itacua Formation were likely reworked from the Middle  
300 Devonian. In addition Late Devonian miospore species have been reworked into the Mississippian  
301 Saipuri Formation sitting directly above the Itacua diamictites (Perez-Leyton 1991; Streel *et al.* 2013).  
302 The latest Devonian miospores in the Itacua Formation however are likely not reworked considering  
303 that no exclusively Carboniferous species were identified by Wicander *et al.* (2011).

304 Before the publication of the data from Bermejo, the Bolivian diamictites had been interpreted as  
305 representing a single glacial advance (e.g. Díaz-Martínez & Isaacson 1994). Wicander *et al.* (2011) in  
306 contrast, suggest that a series of glacial events spanned the entire LL-LE-LN range of *R. lepidophyta*.  
307 This range defines the “Strunian” interval in Belgium, which has an estimated duration of 1 - 3  
308 million years, suggesting that the glaciations were of a similar duration (Streel *et al.* 2006; Trapp *et al.*  
309 2004). A sandstone lithic boulder clast (i.e., a non-diamictite lithology) in the Itacua Formation at  
310 Bermejo was palynologically dated as of LN Zone age (‘exotic block’ in Fig. 5). The surrounding

311 country rock however was interpreted as the older LE Zone. This suggests the boulder was  
312 transported by ice in a frozen state and re-deposited into older unconsolidated sediment (Wicander *et*  
313 *al.* 2011). The 1 - 3 million year duration and evidence of ice-reworking makes it unlikely this is a  
314 single glacial cycle. Rather the succession was interpreted as a composite of several deglaciation  
315 events shedding sediment into a glaciomarine environment (Wicander *et al.* 2011).

316 Streel *et al.* (2013) challenged the interpretation of Wicander *et al.* (2011) and argue that the entire  
317 Itacua Formation is more likely to have been deposited over a single 100,000 year glacial event within  
318 part of the LE-LN zones. They base their challenge on three lines of reasoning: (1) that the LE-LN  
319 zones are not easily differentiated in Brazil due to the rarity of *V. nitidus* specimens. The absence  
320 therefore of *V. nitidus* in country rock surrounding the exotic boulder would not necessarily be  
321 indicative of the LE Zone; (2) that the taxonomic assignment of their figured specimen of *V. nitidus* is  
322 questionable, and (3) that the record at Bermejo appears to contradict the far-field eustatic and oxygen  
323 isotope response observed in Europe, which indicates a cold regressive interval within a much  
324 narrower age range in the LN zone only (Kaiser *et al.* 2007, 2008, 2015 *this volume*; Streel *et al.*  
325 2013; Walliser 1984).

326 The palynological sampling at Bermejo was systematic over a continuous section and the published  
327 range chart in Wicander *et al.* (2011) only shows *V. nitidus* occurrences in the upper part of the Itacua  
328 Formation. The occurrence of *V. nitidus* was not sporadic, but was instead stratigraphically confined,  
329 which is why the upper part of the Itacua Formation was interpreted as of LN Zone age. The  
330 observation of rare *V. nitidus* in Brazilian spore assemblages may therefore not be comparable to  
331 contemporaneous assemblages in Bolivia. In addition, using an indirect far-field record to reinterpret  
332 primary near-field biostratigraphic data may be unwise and could lead to circular reasoning. Whether  
333 glaciation in the latest Devonian consists of a single short event or a composite of many is a  
334 contentious issue and requires further investigation. Furthermore, stacked glacial cycles may be  
335 difficult to recognise, as the younger glacial cycles would remove evidence of earlier glaciation  
336 during erosive ice-advances.

337 **Stratigraphic evidence from Peru**

338 Diamictites in south eastern Peru have been reported in various conference abstracts and termed the  
339 Ccatcca Formation (Carlotto *et al.* 2004; Cerpa *et al.* 2004; Díaz-Martínez 2004). This formation has  
340 been correlated to the Cumaná Formation in Bolivia based on its lithological similarity and is stated to  
341 be of late Famennian age (Díaz-Martínez 2004; Isaacson *et al.* 2008). The Ccatcca Formation is ~100  
342 m thick and has been split into 3 distinct units (Isaacson *et al.* 2008). The lowest consists of  
343 laminated shales containing dropstones, overlain by massive diamictites with evidence for  
344 gravitational reworking. The uppermost unit consists of sandstones with “disharmonic” folding and  
345 hummocky-swaley cross-stratification (Isaacson *et al.* 2008). This vertical association is similar to  
346 the Cumaná Formation on the Bolivian Altiplano 400 km to the south-east.

347 **Stratigraphic evidence from Libya**

348 Latest Famennian glaciation is postulated to have existed in south Libya by Streele *et al.* (2000a). This  
349 is based on an unpublished study of the A.1-NC 58 wildcat well in Ghadames Basin. It was noted that  
350 this well contained conglomerates, sandstones and diamictites in the Tahara Formation that were  
351 interpreted to be of glacial origin (Streele *et al.* 2000a). The Tahara Formation is latest Famennian age  
352 and contains *R. lepidophyta* (Coquel and Moreau-Benoit 1986).

353 **Stratigraphic evidence from South Africa**

354 Putative pre-Dwyka Group glacial events have been recognised in the Devonian to Early  
355 Carboniferous Witteburg Group by Almond *et al.* (2002) in South Africa. The stratigraphic lowest of  
356 these is within the Perdepoort Member of Famennian age. The Perdepoort Member is predominantly  
357 a texturally-mature orthoquartzite, which contains lenticular to tabulate diamictite units. These  
358 diamictites are clast-poor, sandy, weakly-bedded and have a lateral extent of hundreds of kilometres.  
359 They were interpreted by as slump deposits formed during rapid deglaciation events by Almond *et al.*  
360 (2002). A glacial interpretation is supported by the reports of clasts within the diamictite bearing  
361 striated surfaces (Almond *et al.* 2002).

362 **Stratigraphic evidence from North America**

363 Recent evidence has strongly suggested an additional glacial centre in the Appalachian Basin, then  
364 situated in the temperate latitudes (Brezinski *et al.* 2008, 2010; Blaine Cecil *et al.* 2004). This is  
365 based on mudstone and diamictite sequences in the Spechty Kopf and Rockwell formations exposed  
366 along a 400 km outcrop belt (Brezinski *et al.* 2008, 2010; Brezinski & Blaine Cecil, 2015). These  
367 were deposited within a glacio-lacustrine to proglacial terrestrial environment and consist of a single  
368 preserved ice advance and retreat trend (Brezinski *et al.* 2010). Evidence for glaciation also extends  
369 into Kentucky, where a 3-tonne granitic boulder clast (i.e., a dropstone) can be observed in the black  
370 mudstones of the Cleveland Member of the offshore-marine Ohio Shale Formation (Lierman and  
371 Mason 2007; Ettensohn 2008; Ettensohn *et al.* 2008, 2009). Ice likely nucleated on highland areas  
372 generated by the Acadian Orogeny and expanded north-westwards into the central Appalachian Basin  
373 (Lierman, 2007).

374 The diamictites are reported to contain *R. lepidophyta* and correlate to the *praesulcata* Condot Zone  
375 (Brezinski *et al.* 2008; Ettensohn 2008). Woodrow & Richardson (2006) report that the diamictite  
376 sequences of the Spechty Kopf Formation represent a minor portion of the LE Zone. In contrast, the  
377 exotic granitic boulders in the Ohio Shale Formation are apparently situated within country rock dated  
378 as LN age. The latter LN age-determinations of the Ohio Shale were cited as unpublished written  
379 communications (Brezinski *et al.* 2010 - page 276; Ettensohn *et al.* 2009 - p. 31). An LE to LN zonal  
380 range is not unreasonable, but insufficient biostratigraphic data are currently available, and it is not  
381 known whether the palynological analyses were based on spot samples or a more systematic study.  
382 Furthermore, Rooney *et al.* (2015) noted that detailed biostratigraphic resolution of the Cleveland  
383 Member is difficult due to the uniform palynological assemblage which is dominated by amorphous  
384 organic matter.

385 **NEAR-FIELD MID TOURNAISIAN GLACIATION**

386 The mid Tournaisian glacial event has a lesser geographic extent compared to that of the latest  
387 Famennian, and is only known from Brazil (Fig. 3), Falkland Islands and South Africa. Detailed

388 evidence for the mid Tournaisian glaciation event is poorly-constrained, with limited published data  
389 (Caputo *et al.* 2008).

### 390 **Brazilian basins**

#### 391 *Amazon Basin*

392 In the Amazon Basin the Tournaisian section of the Oriximiná Formation is reported to contain  
393 diamictites restricted to the subsurface (Caputo *et al.* 2008). They consist of claystones with mixed  
394 sand, gravel and pebble clasts. However there is no reference of diamictite facies in published well  
395 sections that penetrate the Oriximiná Formation (e.g., Cunha *et al.* 2007, Melo & Playford, 2012).  
396 The stratigraphic distribution, depositional environment and age-determination of diamictites in the  
397 Oriximiná Formation are therefore unknown.

#### 398 *Solimões Basin*

399 In the subsurface of the Solimões Basin Tournaisian diamictites are reported in the upper part of the  
400 Jaraqui Member (Caputo *et al.* 2008). However Filho *et al.* (2007) show the Jaraqui Member  
401 restricted to the Famennian only. The diamictites are said to have been correlated to the BP-PC zones  
402 of Western Europe by Caputo *et al.* (2008), however two of the cited references for this age-  
403 determination are unpublished reports (Loboziak *et al.* 1994a, 1994b). The third cited reference,  
404 Loboziak *et al.* (1995b), does not mention Tournaisian diamictites, and provides no palynological  
405 descriptions or illustrations. Caputo *et al.* (2008) do however provide a relatively detailed lithological  
406 description of the diamictites; however no original sources were cited. As such, interpretations of the  
407 depositional environment and age of diamictites in the Solimões Basin cannot be validated.

#### 408 *Parnaíba Basin*

409 The Parnaíba Basin provides the most detailed account of mid Tournaisian diamictites, which are  
410 constrained to the upper part of the Longá Formation (Playford *et al.* 2012). The diamictites are 63 m  
411 thick in the UN-24 well in the northern part of the basin and are interbedded with thin shales and  
412 sandstones (Playford *et al.* 2012). Diamictites are poorly-sorted, mudstone-dominated, and with



413 randomly orientated pebble clasts. They are interpreted as ice rain-out deposits affected by  
414 gravitational reworking and ice-keel scouring (Lobato 2010, reported in Playford *et al.* 2012). The  
415 diamictites contain a well-preserved palynological assemblage that was correlated to the PC Zone  
416 (Playford *et al.* 2012). This was based on the occurrences of *Colatisporites decorus*, *N. loganensis*, *S.*  
417 *balteatus*, *S. pretiosus* and *Raistrickia clavata* amongst others.

#### 418 **South Africa**

419 The LPIA in South Africa is represented by diamictites of the Dwyka Group, which unconformably  
420 overly Late Devonian-Mississippian pre-glacial sediments. This basal contact contains evidence of  
421 glacially influenced soft-sediment deformation in the uppermost pre-glacial Waaipoort Formation,  
422 indicating the latter was still unconsolidated sediment by the time of the first glacial advance in South  
423 Africa (Streel & Theron 1999). The biostratigraphic dating of the Devonian and Mississippian  
424 sequences has historically been difficult due to contradictory ages suggested by miospore, floral and  
425 vertebrate remains, and the high degree of thermal maturity that degrades and darkens palynological  
426 material (Theron 1993). It was Streel & Theron (1999) who described identifiable palynological  
427 material for the first time in the Waaipoort Formation: miospores including *S. balteatus* and *S.*  
428 *pretiosus* were interpreted to be no older than mid Tournaisian. As these sediments contain evidence  
429 of glacially influenced soft-sediment deformation, these palynological data suggest a mid Tournaisian  
430 phase of glaciation in South Africa immediately after the deposition of the uppermost Waaipoort  
431 Formation.

432 The Miller Diamictite in the Kommadagga Subgroup is laterally discontinuous but sits  
433 stratigraphically above the Waaipoort Formation and below the Dwyka Group (Evans 1999;  
434 Goossens 2002; Swart 1982; Theron 1993). The units association with the underlying Waaipoort  
435 Formation could suggest a glacial origin of this unit. The Miller diamictite is up to 6 m in thickness  
436 and consists of fine to medium grained muddy-sandy matrix with clasts up to 2 cm in diameter  
437 (Swart, 1982). The depositional environment of the Miller Diamictite was described as “problematic”  
438 by Swartz (1982), who interpreted it as a debris flow deposit, within a prograding delta on the basis of

439 there being no conclusive evidence of a glacial origin. Further work is needed to better constrain the  
440 depositional environment and age of the Miller Diamictite. Despite being succeeded by the overlying  
441 Dwyka Group, of definitive glacial origin, these units separated by a significant unconformity (Isbell  
442 *et al.* 2008; Swartz 1982), and so any interpretations derived from the Dwyka Group should not be  
443 applied to the underlying sequence.

#### 444 **NEAR FIELD VISÉAN GLACIATION**

445 The known evidence for Viséan glaciation comes from the Brazilian basins, Argentina and the  
446 Falkland Islands (Fig. 3; Caputo *et al.* 2008; Limarino *et al.* 2014; Hyam *et al.* 1997).

#### 447 **Brazil**

448 The Faro Formation, in the Amazon Basin, consists of ~400 m of sandstones and shales interpreted as  
449 representing fluvial-deltaic to storm-influenced shelfal conditions (Cunha *et al.* 1994, 2007).

450 Diamictites are reported from the subsurface of “possible glacial derivation” by Caputo *et al.* (2008).

451 These are potentially correlative to similar deposits in the Jandiatuba Formation of the Solimões  
452 Basin.

453 In the Parnaíba Basin, Caputo *et al.* (2008) describe sandstones and diamictites in the Poti Formation,  
454 which contains clasts of varying size dispersed in a muddy matrix. These are reported to be exposed  
455 at outcrop. The sedimentologic information reported in Caputo *et al.* (2008) comes from an  
456 unpublished PhD thesis (Andrade 1972). Vaz *et al.* (2007) describe the Poti Formation in the  
457 subsurface as tidal to deltaic in nature, consisting of a lower unit of sandstones overlain by shales and  
458 coals, and do not mention diamictites.

459 The Faro and Poti formations have been constrained to the Mag Zone in the middle to late Viséan,  
460 defined by the range of the miospore *Reticulatisporites magnidictyus* (Melo & Loboziak 2000; Melo  
461 & Loboziak 2003; Melo & Playford 2012). Streef *et al.* (2013) have provided a list of miospore  
462 species present in the Poti Formation with photomicrograph plates provided. No diamictite facies are  
463 shown in published well or outcrop data that include either the Faro or Poti formations (Cunha *et al.*

464 2007; Melo & Loboziak 2000; Melo & Playford 2012; Vaz *et al.* 2007). Detailed sedimentological  
465 and biostratigraphical data regarding Viséan diamictites in Brazilian basins is therefore extremely  
466 limited and difficult to validate.

#### 467 **Stratigraphic evidence from Argentina and the Falkland Islands/Islas Malvinas**

468 The evidence for Viséan glaciation in the Río Blanco Basin of Argentina is very well constrained and  
469 based on integrated sedimentological, palynological and U-Pb ages (Gulbranson *et al.* 2010; Perez  
470 Loinaze *et al.* 2010), and represents the initiation of the Viséan - Serpukhovian Glacial Stage in the  
471 LPIA (Isbell *et al.* 2003; Limarino *et al.* 2014). Diamictites belong to the Cortaderas Formation and  
472 consist of dropstones in shale facies and massive to stratified diamictites interpreted as an ice-distal  
473 glaciomarine environment (Perez Loinaze *et al.* 2010). There is a rich and well-preserved miospore  
474 assemblage that contains *R. magnidictyus*, *Rugospora australiensis* and *Verrucosisporites*  
475 *quasigobbettii*. These are of biozonal significance and have first occurrences in the mid to late  
476 Viséan. The spore assemblage was determined by Perez Loinaze *et al.* (2010) as belonging to the MQ  
477 (*Reticulatisporites magnidictyus* - *Verrucosisporites quasigobbettii*) biozone of western Argentina,  
478 correlated to the Mag Zone in the Amazon Basin (Melo & Loboziak 2003; Melo & Playford 2012;  
479 Perez Lionaze 2007). It is interesting that evidence of glaciation in the Mag zone of the Viséan in  
480 South America contrasts with early Viséan warm-water faunal elements in northern Argentina,  
481 characterised by the goniatite *Michiganites scalabrinii* (House 1996).

482 In the Falkland Islands there are sub-vertical diamictite dykes hosted within (?)Ordovician - Devonian  
483 strata (Hyam *et al.* 1997). These were interpreted as the downward injection of diamicton into a  
484 sedimentary host rock during an episode of glaciation. The diamictites were analysed palynological  
485 and found to contain *R. magnidictyus* and *V. quasigobbettii* (Hyam *et al.* 1997). This indicates a late  
486 Viséan age with a palynoflora correlative to that of Argentina.

#### 487 **Bolivia – Mississippian glaciation(s)?**

488 Diamictite deposits are reported in the Tournaisian/Viséan Kasa Formation in the Bolivian Altiplano  
489 are interpreted as sediment gravity flows (Fig. 4d; Díaz-Martínez 1991, 1996; Díaz-Martínez *et al.*

490 1993; Oviedo Gomez 1965). These are thought to be the distal expression of proglacial outbursts that  
491 would have occurred in more proximal coastal or braided alluvial settings (Díaz-Martínez & Isaacson  
492 1994; Díaz-Martínez 1993; Díaz-Martínez *et al.* 1993; Isaacson *et al.* 2008). These deposits are not  
493 known to contain independent ice-indicators, such as faceted clasts or striated pavements, nor are they  
494 constrained biostratigraphically. Therefore to prove whether these deposits represent additional  
495 Mississippian glaciations in the Bolivian Altiplano requires additional study (Caputo *et al.* 2008).

496 Diamictites of glaciomarine origin are reported in the Mississippian Kaka Formation of the Bolivian  
497 Subandean Belt (Caputo *et al.* 2008; Suárez-Soruco 2000). These deposits are not associated with any  
498 published sedimentological or biostratigraphical data, but are said to be correlative to the Kasa  
499 Formation in the Bolivian Altiplano (Caputo *et al.* 2008).

500 An early Viséan age has been assigned to diamictites of the Itacua Formation at Balapuca in southern  
501 Bolivia (di Pasquo 2007a, 2007b). At Bermejo, some 500km north of Balapuca, the lowermost 18m  
502 of the Itacua Formation have been dated as latest Famennian (Wicander *et al.* 2011). The Sub Andean  
503 mid-Palaeozoic record contains several hiatuses and it is likely that older glacial episodes have been  
504 directly, and unconformably, overlain by younger ones in the preserved stratigraphic record (Streel *et*  
505 *al.* 2013).

#### 506 **Stratigraphic evidence in east Asia**

507 The Chepor Member in the Kubang Pasu Formation of northwest Malaysia contains a diamictite  
508 facies (pebble clasts in muddy sandstones) interpreted as suspension fall-out deposits in a  
509 glaciomarine environment (Meor *et al.* 2014). The Chepor Member is fossiliferous with diverse  
510 communities of brachiopods, trilobites, gastropods, tabulate corals and bivalves (Meor *et al.* 2014). A  
511 Viséan to Late Viséan age was interpreted by Meor *et al.* (2014) primarily based on the bivalve  
512 *Posidonia* sp., the trilobite assemblage and the goniatite genus *Praedaraelites*.

#### 513 **NEAR FIELD GLACIATION OF UNCERTAIN AGE IN CENTRAL AFRICA**

514 The Mambéré Formation crops out in the southwest of the Central African Republic and is interpreted  
515 as lacustrine to glaciolacustrine (Censier *et al.* 1985). Based on palaeomagnetic arguments, an age  
516 within the Mid Devonian to Mississippian interval was estimated. This fits generally into the presence  
517 of Late Devonian to Mississippian glaciations, but provides no further detail and should be regarded  
518 as questionably dated. There are additional reported occurrences of pre-Viséan diamictites in Niger  
519 (Lang *et al.* 1991; Isaacson *et al.* 2008).

## 520 **FAR-FIELD EVIDENCE**

### 521 **Hangenberg Crisis and End-Devonian Mass Extinction**

522 The Hangenberg Crisis in the latest Devonian and earliest Tournaisian was a protracted biotic event  
523 associated with mass extinction, eustatic changes and positive carbon isotope excursions (Brand *et al.*  
524 2004; Kaiser *et al.* 2007, 2008, 2011, 2015 *this volume*; Walliser 1984). The associated mass  
525 extinction is considered a ‘top-6’ event in terms of ecological severity (McGhee *et al.* 2012, 2013).  
526 Its effects were wide ranging, affecting marine benthic realm (ostracods, stromatoporoids, and  
527 trilobites), pelagic realm (conodonts, ammonoids and forams) to extinctions of terrestrial vertebrates  
528 and land plants (Becker 1992; Caplan & Bustin 1999; Clack 2007; Hallam & Wignall 1999; Kaiser *et al.*  
529 *al.* 2015 *this volume*; Sallan & Coates 2010; Streef *et al.* 2000a).

530 The Hangenberg Crisis *sensu stricto* is defined in reference sections exposed in the Rhenish Massif of  
531 Western Europe (Fig. 6), which begin with the transgressive Hangenberg Black Shale (HBS) in the  
532 latest Famennian mid *praesulcata* Zone. The HBS contains the main extinction pulse and is  
533 correlated with geographically widespread black shale deposition, marine anoxia, and positive isotope  
534 excursions in both organic and inorganic carbon (Fig. 7; Kaiser *et al.* 2007). Positive carbon isotope  
535 excursions associated with the Hangenberg Crisis are widely believed to have been caused by a global  
536 increase in the burial of organic carbon (Kaiser *et al.* 2008, 2015 *this volume*).

537 The HBS is overlain by a regressive unit known as the Hangenberg Sandstone (HS) in the Rhenish  
538 Massif. Elsewhere in the Rhenish Massif some 100 m of incision is represented by the Seiler  
539 Channel, which is infilled by the Seiler Conglomerate and Hangenberg Shale. The incision is

540 interpreted as being due to sea-level drawdown constrained to the LN Zone (Bless *et al.* 1992; Higgs  
541 & Streel 1993). Regression across Western and Eastern Europe has been correlated with the HS using  
542 gamma ray, geochemical and sedimentological proxies (Kumpan *et al.* 2013, 2014). Incision is also  
543 observed near the D/C boundary throughout North America (Fig. 15 in Brezinski *et al.* 2010). In  
544 northern Gondwana, there is a significant pulse of siliciclastic sediment and 100 m of relative sea-  
545 level fall immediately below the D/C boundary in the Moroccan Anti-Atlas, which was correlated  
546 with the HS in the Rhenish Massif (Kaiser *et al.* 2011). In the Central Asian Orogenic Belt there is  
547 evidence for increased detrital supply just below the D/C boundary, which extends evidence for a  
548 eustatic drawdown into an open-ocean island arc environment (Carmichael *et al.* 2015). Regression  
549 on a global scale, which is represented by the HS in the Rhenish Massif, has been inferred to be  
550 synchronous with the main pulse of glaciation at high palaeolatitudes (Kaiser *et al.* 2011).

551 Above the HS there is marine transgression containing a secondary extinction pulse at the D/C  
552 boundary, which affected the miospores, acritarchs/prasinophytes and clymeniid ammonoids (Kaiser  
553 *et al.* 2011; Kaiser *et al.* 2015 *this volume*). There is also a secondary isotope excursion constrained  
554 to this level (Kaiser *et al.* 2015 *this volume*).

555 Late Devonian biotic events, which include the Hangenberg Crisis, have been shown to group into  
556 long-period (2.4 Myr) eccentricity cycles (de Vleeschouwer *et al.* 2013). Within this longer term  
557 cyclicity are shorter periodicities of 100 kyr duration. The transgressive - regressive couplet of the  
558 Hangenberg Crisis may have occurred over one such short 100 kyr eccentricity cycle. This would be  
559 consistent with the suggestion of Streel *et al.* (2013) of a single 100,000 year glacial cycle in  
560 Gondwana and potentially the single advance of glaciation both in Appalachia and the Bolivian  
561 Altiplano (Brezinski *et al.* 2008, 2010; Díaz-Martínez & Isaacson 1994). However, more precise  
562 information as to the nature and timing of the precursor glaciations is needed before an orbital control  
563 can be identified.

564 **Lower Alum Shale and *Siphonodella isostichia* isotope excursions**

565 In Germany there is a transgressive black shale unit known as the “Liegender Alaunschiefer” (Lower  
566 Alum Shale), interpreted as the result of eutrophication, anoxia and high organic productivity (Becker  
567 1993; Siegmund *et al.* 2002). The Lower Alum Shale is overlain by prograding highstand carbonates  
568 and an erosional sequence boundary in the Velbert area (Fig. 2 in Siegmund *et al.* 2002). The  
569 stratigraphic extent of the Liegender Alaunschiefer ranges through the mid to late Tournaisian (Tn2-  
570 Tn3 zones), which overlaps with Tournaisian glaciation South America during the PC/PD zones  
571 (Siegmund *et al.* 2002; Playford *et al.* 2012). Transgression and anoxic conditions during the Lower  
572 Alum Shale have been correlated to the Gondwanan record in the Anti-Atlas Mountains, Morocco,  
573 and dated to the base of the mid Tournaisian (Kaiser *et al.* 2011, 2013). Weathered black shales,  
574 interpreted as representing anoxic conditions, are overlain by regressive sandstones at the El Atrous  
575 section, a lithological signature similar to that of the Hangenberg Crisis lower down in the same  
576 section (Kaiser *et al.* 2005, 2011, 2013, 2015 *this volume*). The Lower Alum Shale could represent a  
577 mid Tournaisian ‘Hangenberg Crisis equivalent’, in which glaciation is associated with a transgressive  
578 - regressive couplet and marine anoxia in the far-field records. Further study is needed to integrate  
579 these near-field and far-field records in the mid Tournaisian.

580 In North America there is a  $\leq 7$  ‰ positive carbon isotope  $\delta^{13}\text{C}_{\text{carbonate}}$  excursion within the mid  
581 Tournaisian *Siphonodella isosticha* conodont Zone of the Kinderhookian regional stage (Fig. 7). This  
582 is roughly coincident with both the Lower Alum Shale and mid Tournaisian glaciation in Gondwana  
583 (Saltzman 2002; Playford *et al.* 2012). Positive carbon isotope excursions can be correlated to the  
584 Russian Platform (Mii *et al.* 1999) and South China (Yao *et al.* 2015; Qie *et al.* 2011, 2015). The  
585 cause of these excursions is interpreted as the global-scale burial of organic carbon (Yao *et al.* 2015).  
586 Despite the apparent global scale of the event, there is a wide variation in the magnitudes and absolute  
587 values of  $\delta^{13}\text{C}_{\text{carbonate}}$  excursions, which Yao *et al.* (2015) attributed to spatial differences in marine  
588 nutrient concentrations.

589 Mid Tournaisian  $\delta^{13}\text{C}_{\text{carbonate}}$  excursions in South China are accompanied by a positive shift in  $\delta^{15}\text{N}$  of  
590 1.5 - 4.2 ‰, which was interpreted to reflect enhanced water-column denitrification (Yao *et al.* 2015).  
591 Significantly this positive shift in  $\delta^{15}\text{N}$  did not return to pre-excursion values but rather remained

592 relatively positive into the lower part of the *G. typicus* Conodont Zone. This positive shift in the mid  
593 Tournaisian is inferred to be the initiation of elevated global  $\delta^{15}\text{N}$  values throughout the entire LPIA  
594 (Algeo *et al.* 2014; Yao *et al.* 2015), caused by changes in oceanic circulation and lower eustatic sea-  
595 level during icehouse climate modes, which favoured enhanced water-column denitrification in  
596 continental margin oxygen minimum zones. These perturbations in carbon-nitrogen isotopes are  
597 synchronous with sustained decreases in oxygen isotopic data, suggesting long-term global cooling  
598 from the mid Tournaisian onwards (Buggisch *et al.* 2008; Yao *et al.* 2015). Yao *et al.* (2015) have  
599 interpreted these isotopic trends as reflecting the mid Tournaisian onset of sustained continental  
600 glaciation during the LPIA.

601 There is additional evidence of top Tournaisian regression and palaeovalley incision throughout North  
602 America at the Kinderhookian/Osagean regional stage boundary (Kammer & Matchen 2008). This  
603 implies that regression and incision in North America may immediately post-date positive carbon  
604 isotope excursions reported from the mid to late Kinderhookian (Saltzman 2002).

## 605 **Viséan**

606 Smith & Read (2000) interpret a Viséan onset of the LPIA within the *G. bilineatus* Conodont Zone,  
607 based on a sequence stratigraphic interpretation of the Illinois Basin. This is correlative to the Mag  
608 Zone in the Amazon Basin (Fig. 2). They describe five sequences that have extensive deep  
609 palaeovalley incision at their sequence boundaries. This suggests that the Visean glaciation event  
610 may have consisted of multiple glacial cycles of advance and retreat, resulting in multiple stacked  
611 sedimentary cycles in the Illinois Basin.

## 612 **DISCUSSION**

### 613 **Uncertainties in the near-field record**

614 This review has highlighted a relative paucity of detailed analyses of LPIA glacial deposits in the  
615 public domain. Brazil is particularly problematic, not least because many reported diamictite  
616 occurrences are from proprietary subsurface well-data (Caputo *et al.* 2008). In Fig. 8, only those



617 reports of glaciation that are either stratigraphically and/or biostratigraphic constrained with original  
618 and fully published data are shown. This demonstrates that only a minority of studies provide  
619 integrated sedimentological, biostratigraphical and/or chronostratigraphical data through the  
620 diamictite sequences (e.g., di Pasquo 2007a, 2007b; Díaz-Martínez *et al.* 1999; Hyam *et al.* 1997;  
621 Playford *et al.* 2012; Gulbranson *et al.* 2010; Perez-Loinaze *et al.* 2010; Wicander *et al.* 2011). The  
622 LPIA can be shown to be strongly diachronous across certain regions and between individual basins  
623 (Limarino *et al.* 2014; Montañez and Poulson 2010). This diachroneity combined with the limited  
624 published data means that it is difficult to confidently assess the precise timing of glacial events in the  
625 latest Devonian to early Mississippian interval.

626 Where latest Famennian diamictites have been palynologically analysed they are typically associated  
627 with *R. lepidophyta* and *U. saharicum*. As such, there is no direct near-field evidence that support an  
628 extended duration of glaciation in the Middle Devonian (Elrick *et al.* 2009), at the  
629 Frasnian/Famennian boundary (Streel *et al.* 2000a), nor through the entire Famennian to earliest  
630 Tournaisian (Isaacson *et al.* 1999, 2008; Sandberg *et al.* 2002). Diamictites are typically associated  
631 with sheared or erosional basal contacts. There is little biostratigraphic constraint on the timing of  
632 erosion and ice-retreat, which would give a maximum estimate of glacial duration.

633 The validation of mid Tournaisian and Viséan glaciations in Brazil and Bolivia is difficult. Mid  
634 Tournaisian glaciation is supported by only one detailed published study (Playford *et al.* 2012).  
635 Viséan glaciation in Brazil is not as yet supported by any published measured sections or  
636 palynological descriptions. The reported diamictites in the Mississippian Kasa Formation in Bolivia  
637 are interesting but need to be accurately dated. Further work constraining Mississippian diamictite  
638 occurrences would test the assertion of Yao *et al.* (2015) of established, permanent continental  
639 glaciation from the mid Tournaisian onwards.

#### 640 **Far-field integration**

641 Palynological methods have shown great potential for the dating and global correlation of uppermost  
642 Devonian-lower Carboniferous sediments, with assemblages characterised by the miospore *R.*

643 *lepidophyta* and the acritarch *U. saharicum*. However the distribution, evolution and extinction of  
644 palynological groups over the latest Famennian glaciation require further study (di Pasquo 2007c; di  
645 Pasquo & Azcuay 1997; Le Hérissé *et al.* 2000; Mullins & Servais 2008). It is not currently known if  
646 palynological extinctions are synchronous with the main glacial pulse or with the initial post-glacial  
647 transgression. Due to problems with the D/C boundaries as defined in both Europe and the Amazon  
648 Basin (i.e., the problems with the GSSP in the former, and erosion in the latter) the timing of these  
649 extinctions could provide an additional D/C boundary proxy. By defining the relationship between  
650 glaciation and palynological extinctions, it will then be possible to determine how these compare to  
651 wider palaeoclimatic and glacio-eustatic changes.

652 An additional correlation tool would be to recognise the wider climatic, oceanographic, biotic and  
653 isotopic events to which the glaciations were likely related. The latest Famennian and mid  
654 Tournaisian glacial events in particular are associated with positive carbon and nitrogen isotope  
655 excursions, indicating that glaciation is reflecting and/or driving wider changes in the global Earth  
656 system (Kaiser *et al.* 2007, 2008; Saltzman 2002).

657 There are several potential triggering mechanisms for these events (see Kaiser *et al.* 2015 *this*  
658 *volume*); one being the long-term decline in atmospheric CO<sub>2</sub>, possibly related to the expansion of  
659 terrestrial vegetation (Algeo *et al.* 1995; Berner 2006). These large-scale processes may have  
660 controlled the long-term greenhouse to icehouse transition, but do not adequately explain discrete  
661 glaciation events or positive carbon isotopes excursions, which occurred on much shorter timescales.  
662 The trigger for global organic carbon burial at the Hangenberg Crisis is debatable, but appears to have  
663 been geographically wide-ranging, evidenced by anoxic conditions in both continental basins and  
664 open ocean environments (Carmichael *et al.* 2015; Kaiser *et al.* 2007, 2011). The known geographic  
665 extent of anoxia and isotopic excursions in the mid Tournaisian is increasing with evidence in both  
666 Euramerica and Gondwana (Kaiser, 2005; Kaiser *et al.* 2011, 2013; Le Yao *et al.* 2015; Siegmund *et*  
667 *al.* 2002). The coincidence of global environmental perturbations with evidence for glaciation at  
668 Hangenberg Crisis and mid Tournaisian Lower Alum Shale suggests similar global triggering  
669 mechanisms between these two events (see Kaiser *et al.* 2015 *this vol.*).

670 Any glacial-interglacial cycles within each glacial episode would be orbital controlled and their  
671 correlation could provide additional stratigraphic constraint, as based on the concepts of sequence  
672 stratigraphy and cyclostratigraphy. It remains necessary to determine if latest Famennian glaciation  
673 was associated with a single glacial event lasting 100,000 years, or multiple stacked glacial advances  
674 of estimated 1 - 3 million year duration (Strobel *et al.* 2013; Wicander *et al.* 2011). The mid  
675 Tournaisian event appears to be correlated with one regressive event whereas the Viséan sequences  
676 are associated with multiple stacked sequence boundaries, which suggests they may be a composite of  
677 many glacial-interglacial cycles. Future research will need to be driven by high-resolution multi-  
678 proxy stratigraphic studies to answer these questions.

## 679 CONCLUSIONS

- 680 • This review highlights uncertainty in the known stratigraphic and geographic extent of  
681 glaciation in the latest Devonian and Mississippian due to a relative lack of detailed published  
682 glacial sections in which sedimentological and biostratigraphical data are integrated.
- 683 • This paucity of data leads to uncertainty between direct near-field records and the wider far-  
684 field effect on glacioeustasy, mass extinction and carbon isotope stratigraphy.
- 685 • The latest Famennian to Mississippian represents a long-term greenhouse to icehouse  
686 transition, likely controlled by the long-term drawdown of atmospheric CO<sub>2</sub>.
- 687 • Superimposed on this transition are short and discrete glacial events which can be correlated  
688 to regressive intervals, mass extinctions, positive carbon isotope excursions and global marine  
689 anoxia. These near-globally recognised events are the Hangenberg Crisis in the latest  
690 Devonian and Lower Alum Shale Event in the mid Tournaisian.
- 691 • Palynological analyses can be employed constructively to date near-field deposits, and to  
692 correlate the far-field effects globally due to cosmopolitan plant miospores recognised in  
693 Gondwana and Euramerica. The most widely recognised miospore during the latest

694 Famennian glaciation event is *R. lepidophyta*, which in South America is typically associated  
695 with the marine acritarch *U. saharicum*.

- 696 • Future work is needed to further constrain near-field glacial records. This requires multi-  
697 proxy and high resolution stratigraphic studies in which sedimentology, palynology and  
698 geochemical techniques are synergistically combined.

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- 1226 **Fig. 1. (a)** Palaeotectonic reconstruction for the Early Carboniferous (350 Ma) with putative ice-  
1227 centres overlain, redrawn from Domeier and Torsvik, 2014. *N. Am* - North America, *S. Am* - South  
1228 America, *Af* - Africa. **(b)**. Palaeozoic basin map of South America, redrawn from Caputo *et al.* 2008.  
1229 **(c)**. Location of Central African Republic and Niger in sub-Saharan Africa, with shields regions  
1230 overlain, redrawn from Isaacson *et al.* 2008.

1231 **Fig. 2.** Published biostratigraphic schemes for the Famennian, Tournaisian and Viséan Stages.  
1232 Chronostratigraphic age, Stage/Period boundaries and standard conodont & ammonoid schemes from  
1233 Becker *et al.* 2012 and Davydov *et al.* 2012 (with corrections from Becker *pers. comms*).

1234 **Fig. 3.** A summarised overview of published diamictite occurrences and their stratigraphic position.  
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1241 diamictites postulated in the Tahara Formation by Streeel *et al.* (2000a). USA Appalachian Basin -  
1242 Brezinski *et al.* (2010) and Ettensohn *et al.* (2009). State abbreviations, *PA* – Pennsylvania, *MD* –  
1243 Maryland, *WV* – West Virginia, *KY* - Kentucky. Malaysia - Meor *et al.* (2014).

1244 **Fig. 4.** Stratigraphic evidence for latest Famennian glaciation in the Bolivian Altiplano. **(a)** Tectonic  
1245 map of Bolivia redrawn from Barnes *et al.* 2012 and Sempere 1995. *AP* - Altiplano, *EC* - Eastern  
1246 Cordillera, *IA* - Interandean Zone, *SA* Subandean Zone. Location of Manuripi X-1 and Pando X-1  
1247 onshore wells shown, as is Bermejo section of Wicander *et al.* 2011 (see Fig. 5) **(b)** Geographic map  
1248 of the Bolivian Altiplano near Lake Titicaca, with location of key sections shown. **(c)** The Villa  
1249 Molino, Hinchaka and Isle del Sol sections, which have been sampled for palynology, and their  
1250 geographic location. Redrawn from Díaz-Martínez *et al.* 1999. **(d)** Stratigraphic log from the  
1251 Cumaná Peninsula that shows the stratigraphic position of Mississippian diamictites. Redrawn from  
1252 Díaz-Martínez 1996.

1253 **Fig. 5.** Measured section of the latest Famennian and glaciomarine Itacua Formation at Bermejo. See  
1254 Fig. 4a for geographic location of this section and Fig. 4c for lithology symbol key. Numbered  
1255 arrows represent collected samples. Redrawn from Wicander *et al.* 2011.



1256 **Fig. 6.** Lithostratigraphy and biostratigraphy of the Hangenberg Crisis *sensu stricto* in the Rhenish  
 1257 Massif, Germany. Redrawn from Kaiser *et al.* 2011.

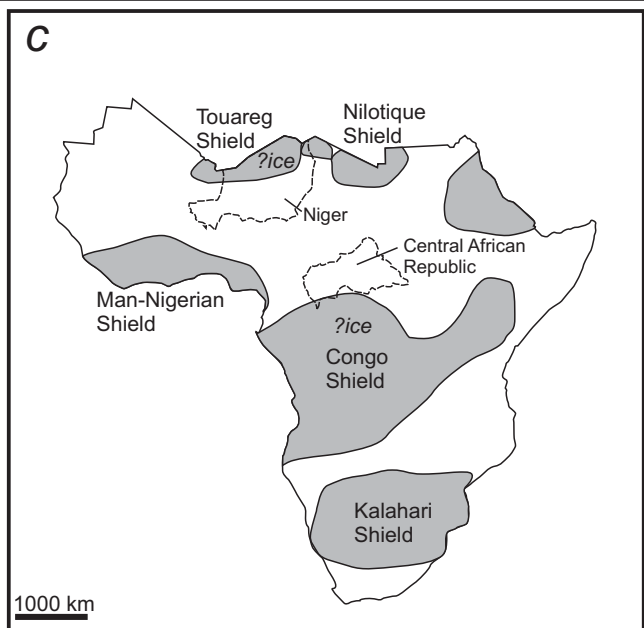
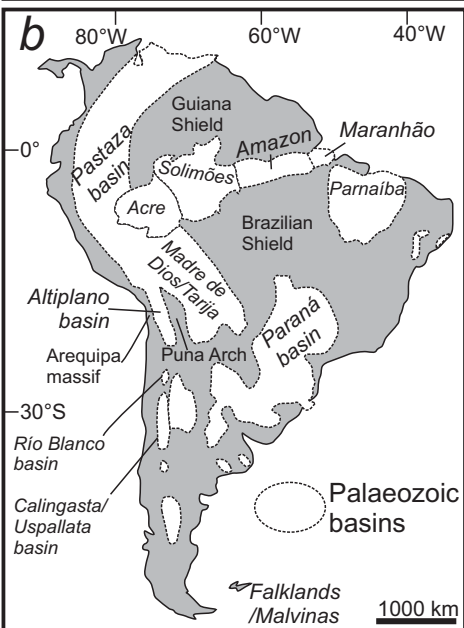
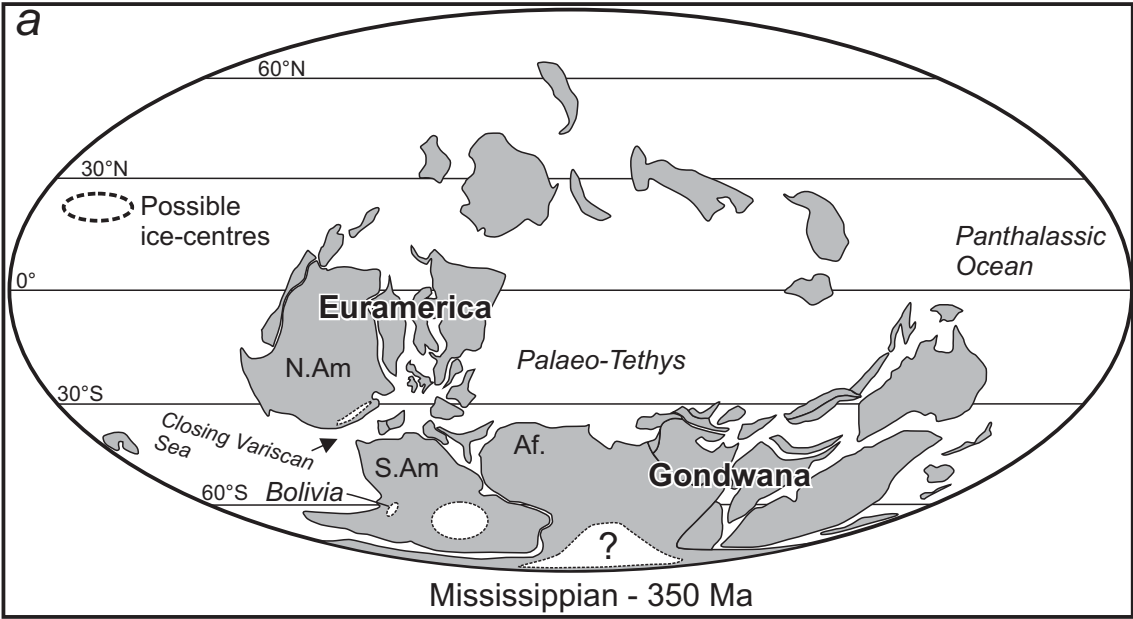
1258 **Fig. 7.** Positive carbon isotope excursions in the latest Famennian *praesulcata* conodont Zone and the  
 1259 mid Tournaisian *isostichia* conodont Zone from reference sections in the Rhenish Massif and Idaho  
 1260 Basin. HBS = Hangenberg Black Shale *sensu stricto*. HS - Hangenberg Sandstone *sensu stricto*.

1261 **Fig. 8.** Summary of published diamictite occurrences that are well-constrained and associated with  
 1262 detailed published sedimentological, stratigraphical and/or biostratigraphical data.

1263 **Table 1.** List of selected miospore, acritarch and prasinophyte taxa useful for stratigraphic  
 1264 correlation between Euramerica and Gondwana.

| <b>Miospore species</b>   |
|---|
| <i>Retispora lepidophyta</i> (Kedo) Playford 1976                           |
| <i>Knoxisporites literatus</i> (Potonié & Kremp) Neves 1961                 |
| <i>Indotriradites explanatus</i> (Luber) Playford 1990                      |
| <i>Verrucosisporites nitidus</i> Playford 1954                              |
| <i>Vallatisporites vallatus</i> Hacquebard 1957                             |
| <i>Waltzisporea lanzonii</i> Daemon 1974                                    |
| <i>Neoraistrickia loganensis</i> (Winslow) Coleman and Clayton 1987         |
| <i>Spelaeotriletes balteatus</i> (Playford) Higgs 1996                      |
| <i>Spelaeotriletes pretiosus</i> (Playford) Utting 1987                     |
| <i>Raistrickia clavata</i> (Hacquebard) Playford 1964                       |
| <i>Reticulatisporites magnidictyus</i> Playford and Helby 1968              |
| <i>Rugospora australiensis</i> (Playford and Helby) Jones and Truswell 1992 |
| <i>Verrucosisporites quasigobbettii</i> Jones and Truswell 1992             |
| <b>Acritarch and prasinophyte species</b>                                   |
| <i>Chomotriletes vedugensis</i> Naumova 1953                                |
| <i>Gorgonisphaeridium ohioense</i> (Winslow) Wicander 1974                  |
| <i>Stellinium micropolygonale</i> (Stockmans and Williére) Playford 1977    |
| <i>Umbellisphaeridium saharicum</i> Jardine et al. 1974                     |
| <i>Umbellisphaeridium deflandrei</i> (Moreau-Benoit) Jardine et al. 1974    |
| <i>Horologinella quadrispina</i> Jardiné et al. 1972                        |
| (?) <i>Schizocystia bicornuta</i> Jardiné et al. 1974                       |

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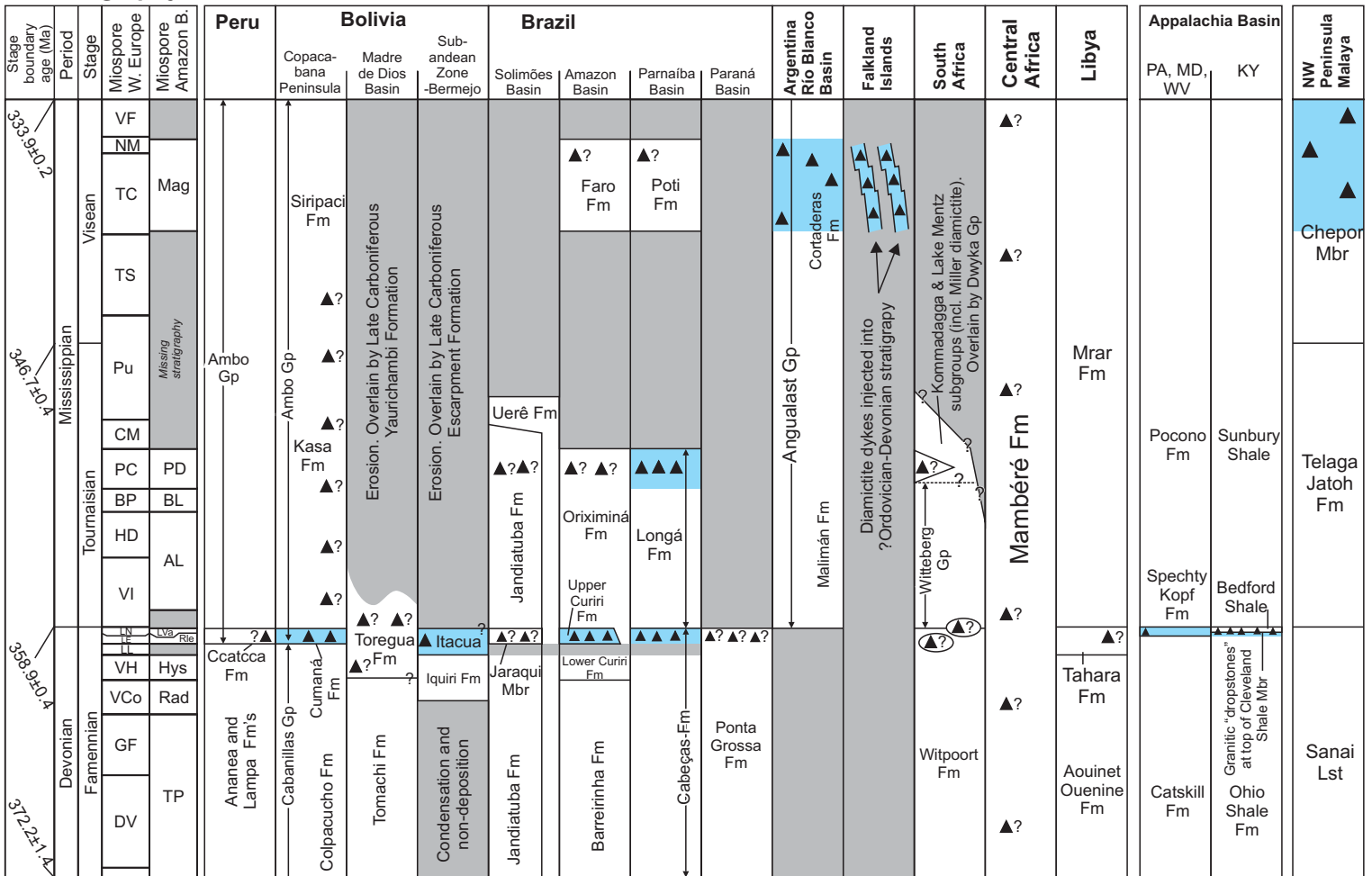




# Stratigraphy

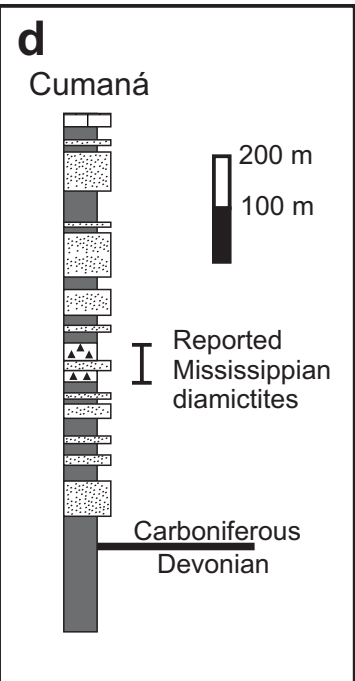
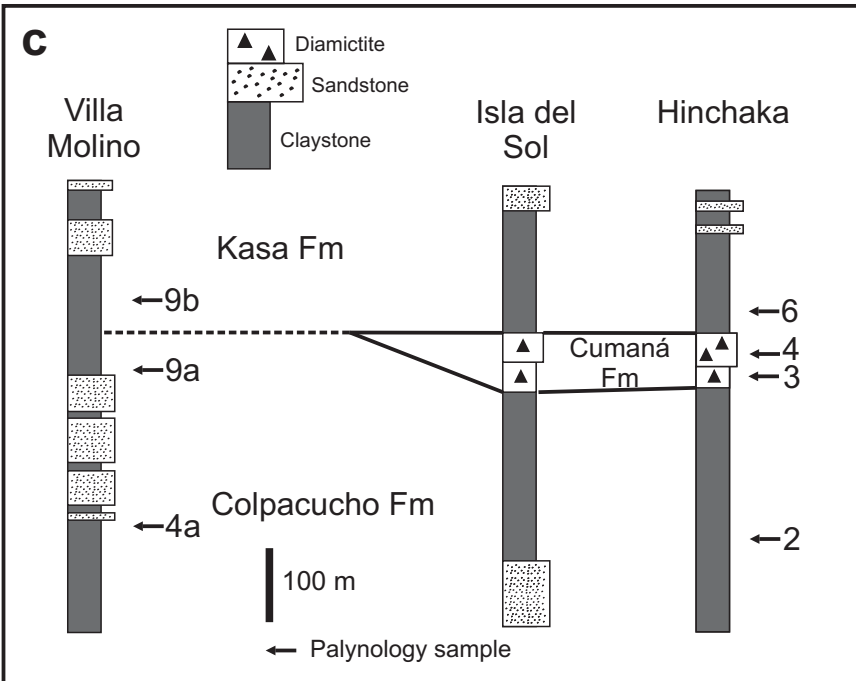
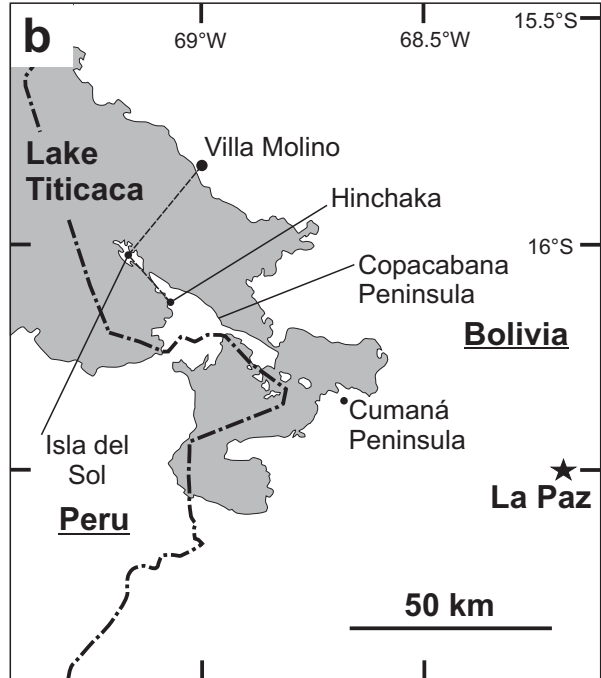
# Gondwana

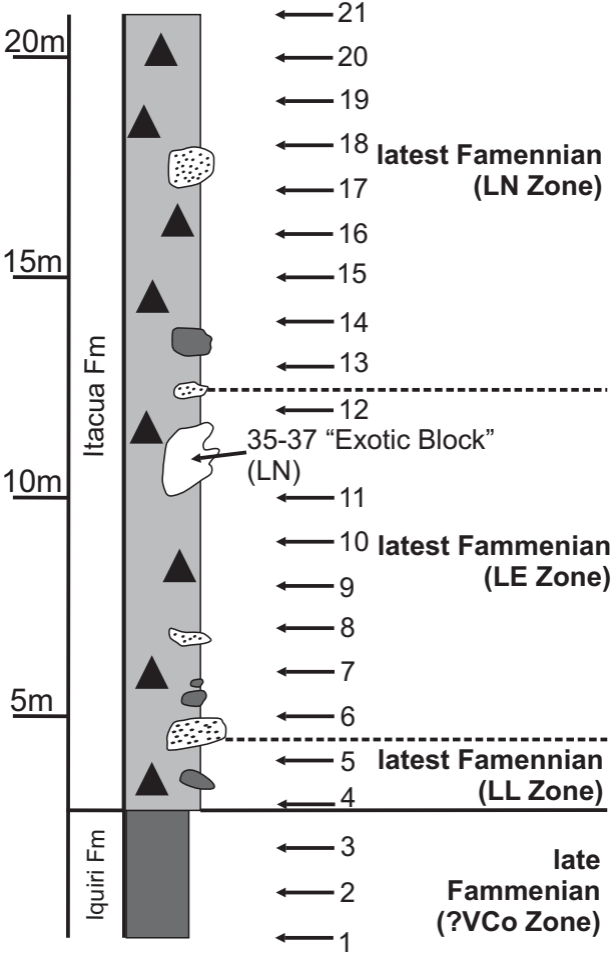
# Euramerica E. Asia

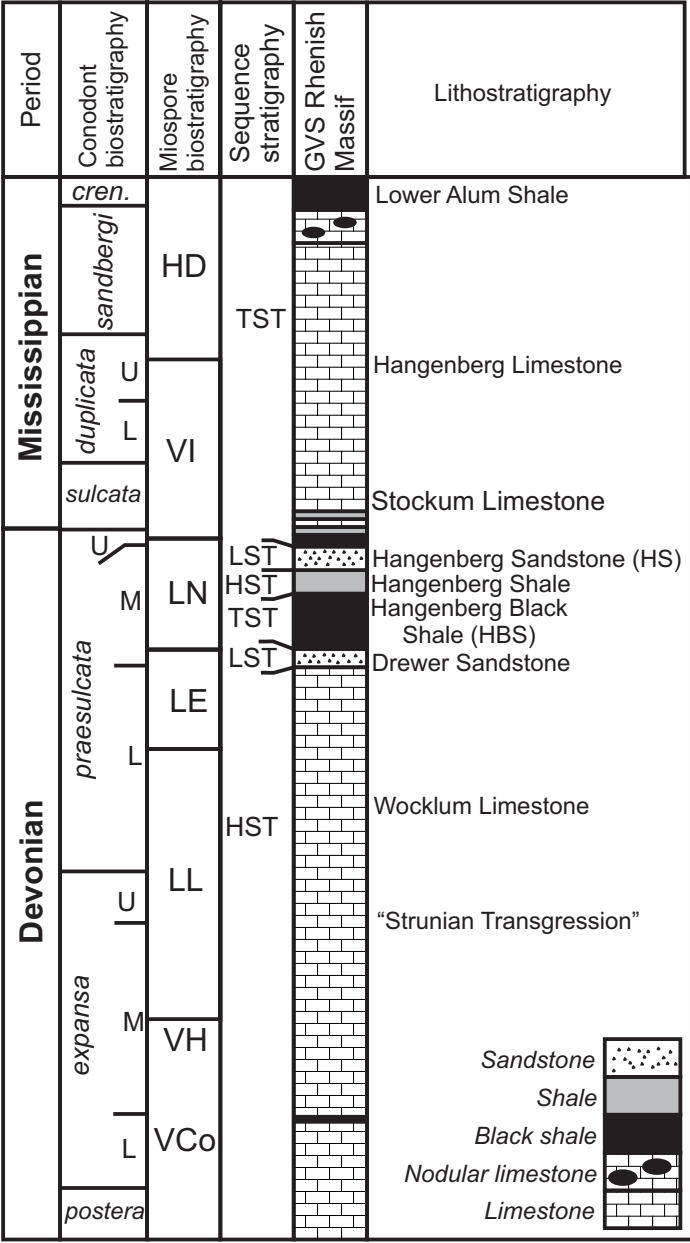


▲▲▲▲ Relatively well-constrained diamicite deposits with published biostratigraphy

▲? ▲? ▲? Diamicites poorly constrained and/or with no published biostratigraphy

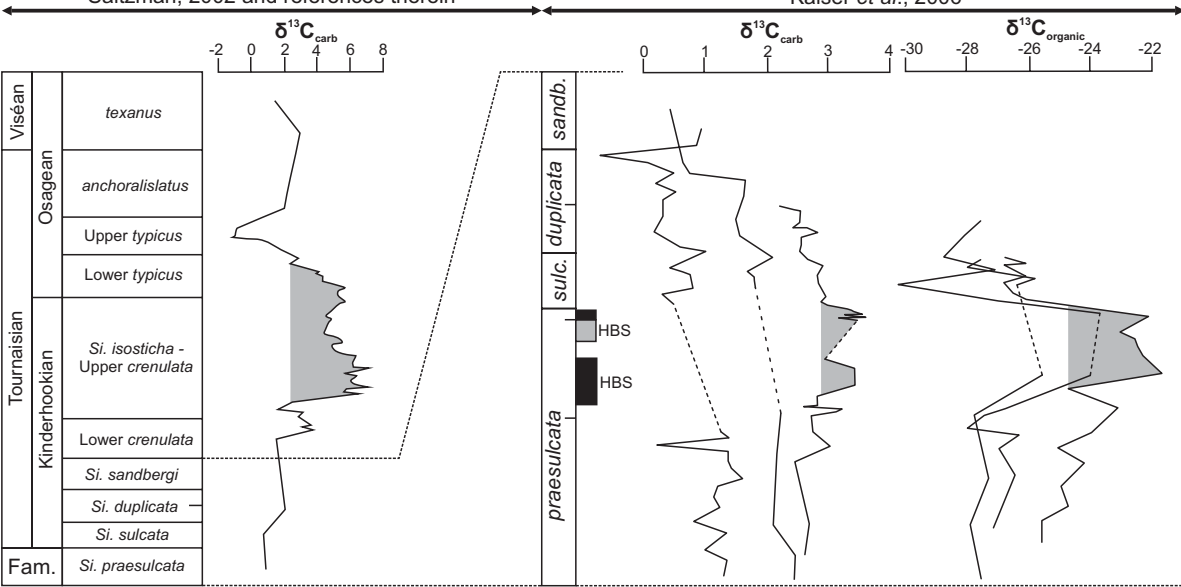






Idaho Basin - *isosticha* positive excursion  
Saltzman, 2002 and references therein

Rhenish Massif *praesulcata* positive excursion  
Kaiser *et al.*, 2006

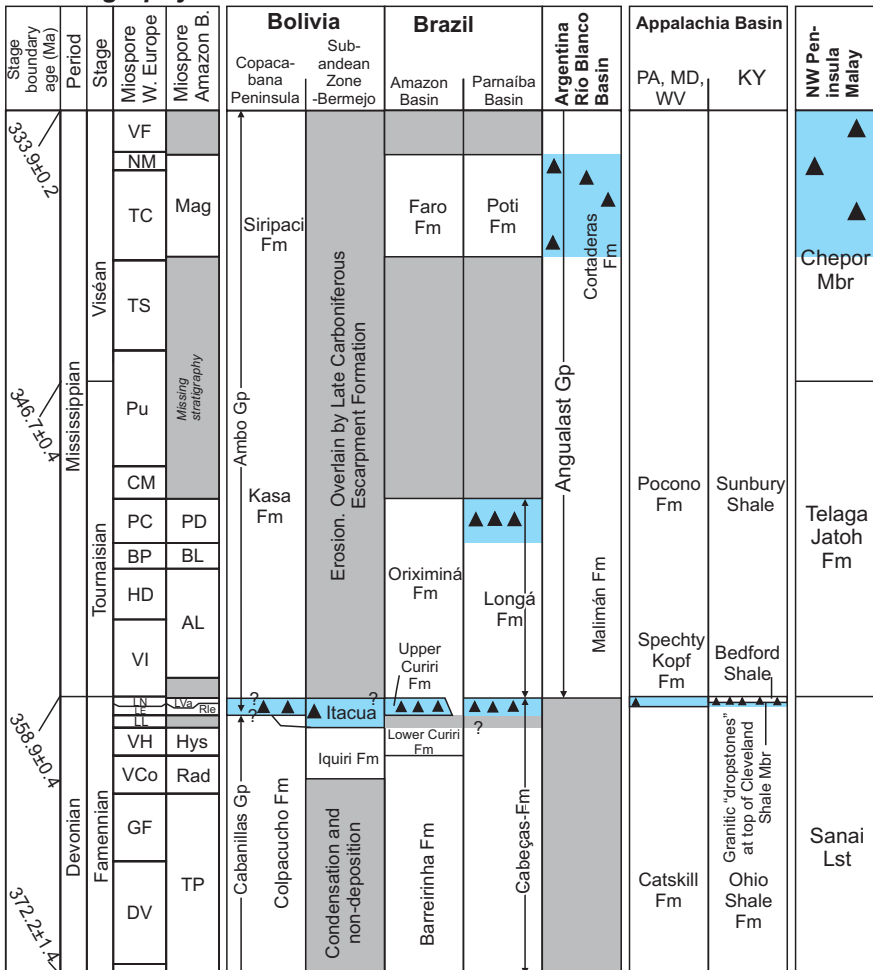




# Stratigraphy

# Gondwana

# Euramerica E. Asia



Published reports of glacial diamictite deposits that can be validated in the current review