

33 over Southern Europe, and in anti-phase over Northern Europe. The North Atlantic
34 Oscillation (NAO) accounts for the major part of the inter-annual and decadal
35 variability of the westerly winds over Europe and a 11-year solar modulation of the
36 NAO with a lag of a few years has been recently suggested (Gray et al., 2013; Scaife et
37 al., 2013; Thieblemont et al., 2015) although the mechanisms explaining the solar
38 influence on the troposphere are still unclear (Gray et al., 2010).

39 Sea level extremes have been reported in many studies to be changing in accordance
40 with mean sea level changes. Therefore the changes in extreme sea level in Europe
41 should include at least the 1-1.5 cm signal estimated by Woodworth (1985) for the 11-yr
42 cycle. Sea level extremes over the European coasts are primarily related to the NAO
43 (Tsimplis et al., 2005; Woodworth et al., 2007; Marcos et al., 2009; Tsimplis and Shaw,
44 2010) but other regional climate modes such as the Arctic Oscillation (AO), the East
45 Atlantic pattern (EA), the East Atlantic Western/Russian Pattern (EA/WR) and the
46 Scandinavian Pattern (SCAN) are also relevant (Menendez and Woodworth, 2010).
47 However a significant relationship between sea level extremes and the 11-year solar
48 cycle has been reported only at Venice (Punta della Salute) during the second half of the
49 20th century (Smith, 1986; Tomasin, 2002). Barriopedro et al. (2010) suggest, for
50 Venice, that the interactions between the main regional climate modes during autumn
51 favour the occurrence of sea level extremes during years of solar maxima while the
52 opposite occurs during the solar minima. Both the studies of Tomasin (2002) and
53 Barriopedro et al. (2010) involved a removal of the annual MSL prior to selecting the sea
54 level extremes. Thus their results indicate that the 11-year cycle affects sea level
55 extremes in Venice in addition to the influence it has on MSL. If the relationship
56 between the 11-year cycle and extremes in Venice is caused by changes in the weather
57 patterns one would expect to see a similar relationship in other tide gauge stations in the
58 Mediterranean Sea and possible in other European coasts. Furthermore, in this latter
59 case the solar cycle influence may also be, at least partly, captured by barotropic sea
60 level models driven by wind and atmospheric pressure, and this would identify
61 conclusively the physical forcing as of atmospheric origin though it will not resolve the
62 link between solar activity and winds.

63 This study uses both the methodology developed by Tomasin (2002) and that developed
64 by Barriopedro et al. (2010) to assess whether the observed contribution of the solar
65 activity to sea level extremes in Venice can also be observed in other European tide-

gauges. This relationship is evaluated by comparison of the sunspot number series (SSN) and a set of both observed and modelled sea level extremes series. The data sets and methods are introduced in section 2. Results are presented and discussed in section 3, and conclusions are provided in section 4.

2. Data set and methods

2.1 Data

Hourly sea level records for six long European tide gauge stations covering different periods are used. Three of the records (Ceuta, Brest and Newlyn) have been obtained from the University of Hawaii Sea Level Centre (UHSLC). Updated time series for Venice (Punta della Salute) and Trieste have been kindly provided by A. Tomasin and F. Raichich, respectively. The data for Marseille of Woppelmann et al (2014) has also been used. The locations and span of tide gauge stations are shown in Fig. 1. Five other UHSLC stations were also analyzed (La Coruña, Cuxhaven, Tregde, Gedser, Hornbaek, Stockholm and New York – see Fig. S1) but are not presented here because no correlation was found. However an explanation for the lack of correlation will be presented in the conclusions.

Atmospherically forced sea level values were obtained from the VANI2-ERA data set (Jordà et al., 2012). This dataset is based on a barotropic version of the HAMSOM model forced with atmospheric pressure and winds from a dynamical downscaling of the ERA40 reanalysis. The model output spans the period 1958–2008 and covers the Mediterranean Sea and a sector of the NE Atlantic Ocean with a spatial resolution of $1/6^\circ \times 1/4^\circ$. The model output (in this and earlier versions) have been used for estimations of sea level extremes in the Mediterranean (Marcos et al., 2009) and, despite their limitations (Calafat et al., 2015), provide valuable spatial information not available through observations. Monthly values of sunspot numbers were downloaded from the WDC-SILSO, Royal Observatory of Belgium, Brussels (<http://sidc.oma.be/sunspot-data/SIDCpub.php>).

2.2 Methodology

96 The extremes at these stations were estimated through the following process. Tidal
97 residuals were first estimated by removing the tidal component from observations by
98 use of the matlab UTide software (Codiga, 2011). MSL was filtered out from both the
99 observations and the tidal residuals by use of a Butterworth high-pass filter of order 2
100 and 1 year cut-off period (Marcos et al., 2015). The mean annual and semi-annual
101 components were also removed by fitting a regression model with two harmonics. The
102 resulting time series were then used to calculate monthly values for two sea level
103 extreme indicators. The first indicator used was the total number of hours of sea level
104 (HSN) above a threshold. This indicator is related to the magnitude and frequency of
105 storm surges. This is similar to the methodology used by Tomasin (2002) with the
106 difference that we use the 99.5th percentile whereas Tomasin (2002) used a threshold of
107 0.50 m. The second indicator is a time series of the total number of independent sea
108 level extreme events (ESN) per month, that is, the number of exceedances over the same
109 threshold (99.5th percentile). This indicator, which was used by Barriopedro et al.
110 (2010) albeit with a slightly different threshold (95th percentile), is a measure of the
111 frequency of surge events and does not take in account their magnitude. For the
112 computation of ESN a 72 hours minimum separation between successive events was
113 used to ensure that the selected extreme events were approximately independent.

114 Only months having at least 50% of valid hourly values have been used for the
115 calculation of the monthly time series of HSN and ESN. Annual values (from July to
116 June) of the two indicators as well as seasonal values for autumn October-December
117 (OND) and winter December-March (DJFM), respectively were computed from the
118 monthly time series and will be the basis of the analysis. Seasonal values were produced
119 when, at most, 1 monthly value was missing. The HSN and ESN time series for Venice
120 are shown as an example in Fig. S2 and the seasonal data series for all stations in Figure
121 S3. A low-pass filtered time series was also obtained for each indicator by applying a
122 three-year running mean to the annual values. Analogous time series were obtained for
123 the sunspot numbers (SSN) by annually and seasonally averaging the monthly time
124 series of SSN.

125 The relationship between the sea level extremes and SSN was explored on the basis of
126 the correlation values between the corresponding annual (OND, DJFM and the three-
127 year running mean) anomalies of each variable during their overlapping periods. For the
128 tide gauges, correlations were also calculated over the model period (1958-2008) for

129 comparison. All time series were previously linearly detrended over the common
130 period.

131 The statistical significance of the correlation coefficients between SSN and the extreme
132 indices was calculated by using a randomization technique. Each hourly sea level
133 extremes time series was first randomly permuted (with replacement) to build an
134 ensemble of 500 time series. Then, for each time series in the ensemble 3-year low-pass
135 filtered and seasonal (OND, DJFM) time series of both HSN and ESN were computed
136 using the same procedure as for the original time series. The 3-year filtering period was
137 selected in order to reduce high frequencies while retaining the 11-year cycle. Finally,
138 the ranking of the correlation for the original time series within the sample of
139 correlations derived from the randomized series was used as a measure of the statistical
140 significance. The same procedure was used for the 2-D model values at each grid point.
141 An alternative randomisation process preserving seasonality was also used and led to
142 almost identical results.

143

144 **3. Results**

145 **3.1 Relationship between solar cycle and storm surges**

146 Significant correlations for autumn (OND) between sea level extremes and SSN were
147 found for Venice (0.28 and 0.29, HSN and ESN, respectively) and Trieste (0.36 and
148 0.14, respectively) (Table 1). This is consistent with the findings of Barriopedro et al.,
149 2010. Note that if the last HSN value of Venice is removed, as suggested by a reviewer,
150 the correlation increases to 0.41.

151 For the winter season significant correlations between HSN and SSN were found at
152 Venice (0.28), Trieste (0.29), Marseille (0.24) and Newlyn (0.32) over their overlapping
153 periods. The low-pass filtered HSN time series show higher correlations for Venice
154 (0.56), Trieste (0.45) and Newlyn (0.40) (see Table 1). This result is consistent with that
155 shown in previous studies where a solar effect in HSN is suggested at Venice (0.67)
156 during 1940-2006 for 3-year low-pass filtered time series (Tomasin, 2002; Pirazzolli
157 and Tomasin, 2008). ESN at Ceuta (-0.29) and Brest (0.15) are also correlated to SSN.
158 Lagged correlations (1-year) resulted in higher values for autumn correlations at all tide

159 gauges (see Table S1), suggesting a possible lagged response to the forcing associated
160 with the solar cycle.

161 The barotropic model provides the opportunity to conform the source of the correlation
162 and enables the analysis of the corresponding spatial patterns of the correlation
163 coefficients between SSN and storm surges for the Mediterranean and the Iberian
164 coasts. Significant positive correlations between autumn (OND) SSN and HSN were
165 found over all the northern part of the model domain (above 42°N latitude) including
166 both the NE Atlantic and the North Adriatic Sea with values of up to 0.38 (see Fig. 3a).
167 These values are similar to those found for the observed HSE at both Venice (0.42) and
168 Trieste (0.36) tide gauges over the same period (see Fig. 2). Significant correlations
169 were also found for ESN over limited areas of both western and eastern basins with
170 correlation values of up to 0.52 (see Fig. 3b) although no significant correlations were
171 found over the NE Atlantic. During winter, significant correlations between SSN and
172 HSN of up to 0.50 were found over all the northern part of the model domain (above
173 40°N latitude) including both the NE Atlantic and the Mediterranean Sea (see Fig. 3c).
174 This is consistent with the significant correlation (0.33) found for the tide gauge record
175 of Marseille over the same period (see Fig. 2). Significant negative correlations of up to
176 -0.34 between SSN and ESN were found in winter at limited areas over the Alboran Sea
177 (see Fig. 3d) which is consistent with the correlation of -0.32 found at the tide gauge of
178 Ceuta during the same period (not shown).

179 No significant correlations between the sunspot cycle and sea level extremes were found
180 over the Atlantic coasts at latitudes lower than ~44°N and ~42°N for autumn and winter,
181 respectively. This is consistent with the lack of correlation with SSN at the La Coruña
182 tide gauge; the only tide gauge located inside the model domain that was not presented
183 here (see Fig. S1).

184 The way the atmospheric forcing is linked to the sunspot cycle is unclear. To investigate
185 this issue, we obtained composites of sea level pressure, winds, HSN and ESN
186 anomalies for the periods of high (or low) solar activity during 1958-2008
187 corresponding to the three-year period of relative maximum (or minimum) SSN values
188 (see Figs. S4a-h and supplementary text S1 in the supporting information). The
189 composites associated with periods of high solar activity (see Figs. S4a-d) show a low
190 pressure anomaly over Europe with its centre of action located over the North Sea

191 (English Channel) during autumn (winter) that favours the entrance of storms from the
192 Atlantic (Rogers, 1990) and enhances the duration of storm surges over the Biscay Bay
193 and the Mediterranean Sea during autumn (up to 6 hours on average) and winter (up to
194 8 hours on average) (see Figs. S4a, c). The increase in the cyclonic activity under
195 periods of solar maxima also induces an increase in the frequency of the number of
196 events over the Eastern Mediterranean during autumn (up to 0.3 events per month on
197 average) (see Figs. S4b). One possible explanation for the correlation between SSN and
198 of in HSN at the Atlantic coasts but not in ESN (see Figure 3) is that storms tend to last
199 longer in the Atlantic than in the Mediterranean Sea, thus leading to more intense and
200 longer surges in the former region. On the other hand, depressions in the Mediterranean
201 Sea are more frequent which results in a larger number of surges (Trigo and Davies,
202 1999, Cid et al., 2016).

203 During the periods of low solar activity the opposite situation occurs. A positive
204 pressure anomaly located over Europe with the potential to generate blocking events
205 prevents the transport of mild air from the Atlantic into the continent and inhibits the
206 storm track activity (Trigo et al., 2004; Sillmann and Croci-Maspoli, 2009; Mahlstein
207 et al., 2012) over the entire domain in autumn (see Figs. S4e, f) and over the Atlantic
208 and the Northern Mediterranean in winter (see Figs. S4g, h) which is consistent with the
209 absence of correlation between SSN and the extremes in the Eastern Mediterranean
210 (see Fig. 3d).

211 Large-scale atmospheric patterns have been suggested as the link between solar activity
212 and mean sea level (Zanchettin et al. 2009) and extremes (Barriopedro et al., 2010) at
213 Venice. We correlated the SSN with the NAO, the EA, the SCAN and the EA/WR
214 patterns during the period 1871-2012. The winter EA is the only pattern that appears
215 connected with the SSN with a low correlation value of 0.17 which is significant at the
216 94% confidence level. The power spectral density (PSD) of both the SSN and the winter
217 EA index show significant energy at periods of about 11 years (Fig. S5) (see
218 supplementary text S2 in the supporting information). We have tested the significance
219 of the 11-year spectral peak against the background red noise from an AR1 process and
220 have found that such peak reaches the 94.2% confidence interval for the red
221 noise, suggesting that the peak is very likely real and not due to chance. The PSD of sea
222 level extremes at Trieste also shows a maximum peak of energy at the 11-year period
223 over 1939-2011 except for winter ESN, which is not surprising due to the lack of

224 correlation found between this time series and the SSN (see Table 1). Note that we were
225 not able to calculate the PSD at the rest of tide gauges due to the presence of gaps in the
226 data.

227 The percentage of HSN and ESN variance accounted for by the climate indices and the
228 SSN during the period 1958-2008 was quantified and averaged over the areas where the
229 correlation with SSN is significant. The SSN accounts for a larger part of HSN and ESN
230 variability than the climate indices with spatially averaged values of 9% (up to 15% at
231 certain locations) and 11% (up to 27%) for autumn, respectively, and 15% (up to 28%)
232 and 9% (up to 11%) for winter. The climate indices account for a smaller fraction of the
233 variance with values of 6% (up to 21%) by the EA in autumn HSN (1% by the NAO
234 and the SCAN), 3% by the EA and the EA/WR in autumn ESN (2% by the NAO and
235 1% by the SCAN) and 5% by the SCAN in winter HSN (~2% by the rest of the indices).
236 Note that the corresponding values for winter ESN are not discussed because they are
237 not correlated to SSN. Although the SSN accounts for a larger fraction of the variance
238 than climate indices, it is important to recognize that, first its influence is important for
239 the specific period, thus for the interannual variability in extremes the NAO is the
240 dominant pattern and, second, that the variance values are small (maximum values of
241 28% at some locations) and other drivers may play a more important role.

242 The composites associated with the positive (negative) phase of the EA pattern
243 calculated over 1958-2008 show a low (high) pressure anomaly over most of the
244 domain (see Figs. S4i-p) and resembles the composite associated with high (low) solar
245 activity albeit with some differences in the location of the centres of action and the
246 pressure gradients. The atmospheric composites did not significantly change when the
247 1871-2012 period was used. Positive (negative) EA phases are related to higher (lower)
248 than average storm track activity coming from the Atlantic over the Bay of Biscay and
249 the Northern Mediterranean (Woollings et al. 2010) and also to higher duration and
250 frequency of surges specially during winter (see Figs. S4i-p). Similarities found
251 between the different phases of the solar cycle and the EA pattern could explain the
252 statistical relationship found between winter EA index and SSN.

253

254 **4. Conclusions**

255 We have investigated the decadal changes in sea level extremes along European coasts
256 associated to the 11-year solar cycle. Our results confirm that the autumn extremes at
257 Venice have variability at the sunspot cycle frequency as presented by Tomasin (2002)
258 and Barriopedro et al., (2010). We further support these findings by confirming that
259 similar results are obtained for nearby Trieste. Significant correlations are also found for
260 winter at Venice and Trieste but also at Marseille, Ceuta, Brest and Newlyn. Through
261 the analysis of an atmospherically forced barotropic model we confirm that solar
262 activity is significantly related to the magnitude and frequency of storm surges at
263 several locations along the European coasts. The spatial patterns derived from the model
264 analysis are consistent with the correlations established at the tide gauges used in this
265 study. The good agreement between the observed and modelled time series of HSN and
266 ESN indicates a good ability by the model to capture the solar signal and suggests that
267 the atmospheric forcing of the model included the 11-year period, which in turn lead to
268 changes in sea level extremes. The identified changes in extremes are in addition to 1 –
269 1.5cm changes in MSL identified by earlier works. We found that the EA is the regional
270 pattern that has variability at the 11-year period and also correlates with the extreme
271 indicators variability at this periodicity. The sunspot cycle accounts for an important
272 fraction of year-to-year variations of sea level extremes over the Mediterranean Sea and
273 the NE Atlantic, with values of up to 28% of the interannual variability. Given the
274 quasi-periodicity of the 11-year solar cycle our results can help to improve decadal
275 predictions of sea level extremes over the highly populated coasts along the
276 Mediterranean Sea and the NE Atlantic.

277

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380

381

382

383 **Table and Figure Captions**

384 **Table 1.** Correlation coefficients between annual (OND, DJFM, and 3-year running
385 mean) SSN and both HSN and ESN at tide gauge stations during the overlapping period
386 (left). Correlation coefficients at their corresponding closest model grid points are also
387 listed (marked by asterisk). Boldface values denote statistical significance at 0.05%
388 level.

389 **Figure 1.** Map showing the location of tide gauge stations and period of operation.

390

391 **Figure 2.** Standardized annual time series of total number of surge hours (blue lines)
392 and sunspot number (red lines). Autumn (OND) time series are plotted for Venice and
393 Trieste while winter (DJFM) time series are plotted for the rest of stations. Plotted time
394 series of Ceuta are multiplied by -1. Correlation coefficients calculated during the
395 overlapping periods (r1) and during the period 1958-2008 (r2) are shown. Asterisks
396 denote statistical significance at 0.05 level.

397 **Figure 3.** Correlation coefficients between modelled annual time series of SSN and
398 both HSN and ESN during 1958 - 2008 for autumn (a, b) and winter (c, d). Black line
399 denotes statistical significance at a 0.05 level.

400

Table 1. Correlation coefficients between annual (OND, DJFM, and 3-year running mean) SSN and both HSN and ESN at tide gauges stations during the overlapping period (left). Correlation coefficients at their corresponding closest grid points are also listed (marked by asterisk). Boldface values denote statistical significance at 0.05% level.

	OND		DJFM		3-year running mean	
	HSN	ESN	HSN	ESN	HSN	ESN
Venice	0.28	0.29	0.28	0.02	0.56	0.29
Venice *	0.34	0.39	0.46	0.11	0.61	0.45
Trieste	0.36	0.14	0.29	0.05	0.45	0.18
Trieste *	0.32	0.41	0.47	0.14	0.58	0.37
Marseille	0.14	0.05	0.24	0.05	0.22	0.01
Marseille*	0.23	0.30	0.26	0.03	0.36	0.16
Ceuta	0.03	0.05	-0.13	-0.29	-0.14	-0.20
Ceuta*	0.00	0.01	-0.05	-0.19	-0.01	-0.14
Brest	0.12	0.07	0.15	0.15	0.16	0.11
Newlyn	0.18	0.06	0.32	0.19	0.40	0.20

Figure 1.

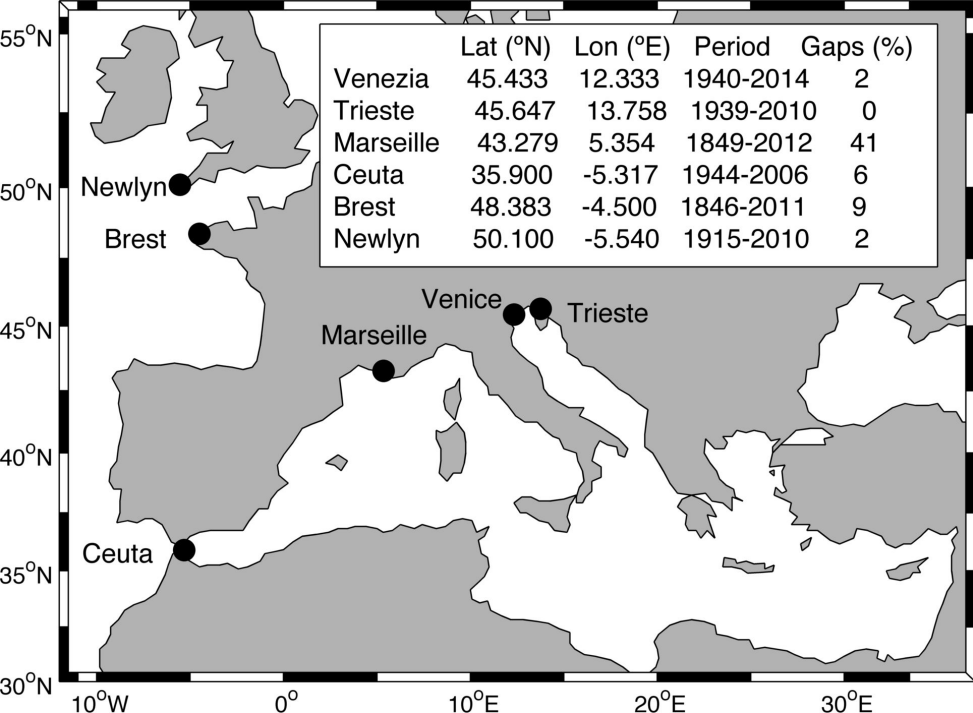


Figure 2.

Sunspot number vs Number of surge hours

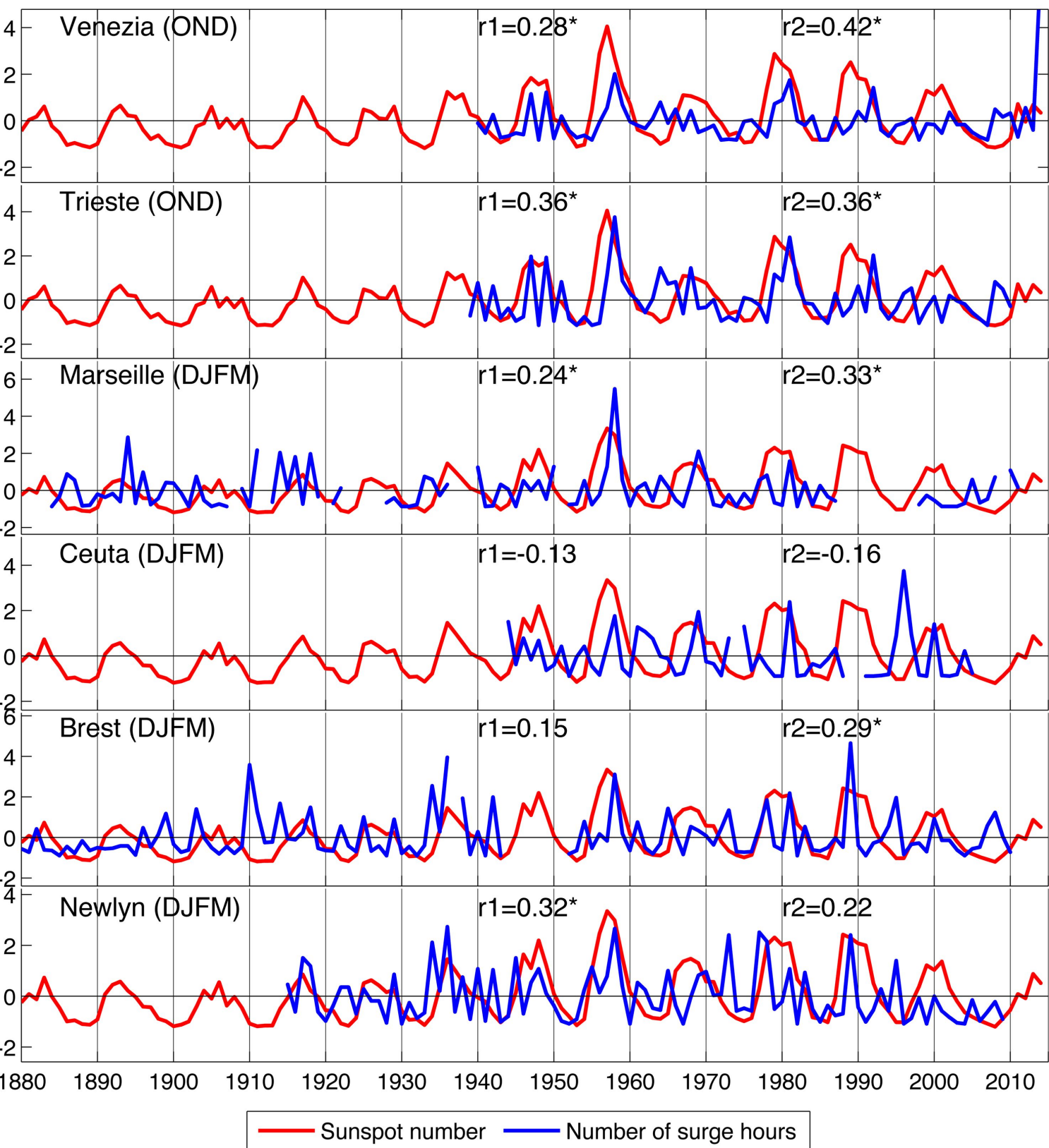
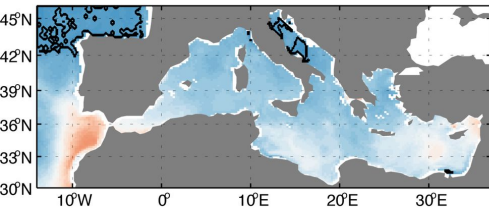
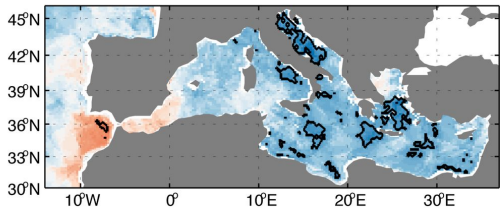


Figure 3.

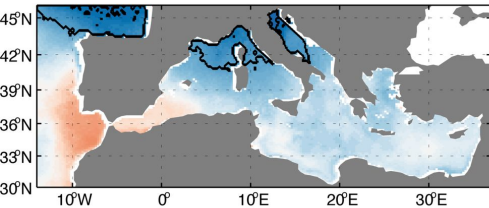
(a) SSN vs Surge hours (OND)



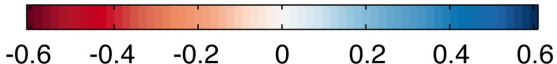
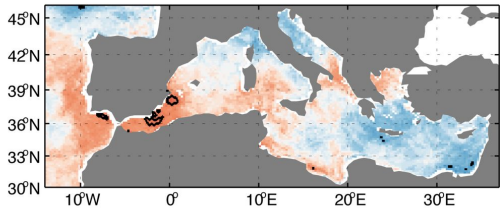
(b) SSN vs Surge events (OND)



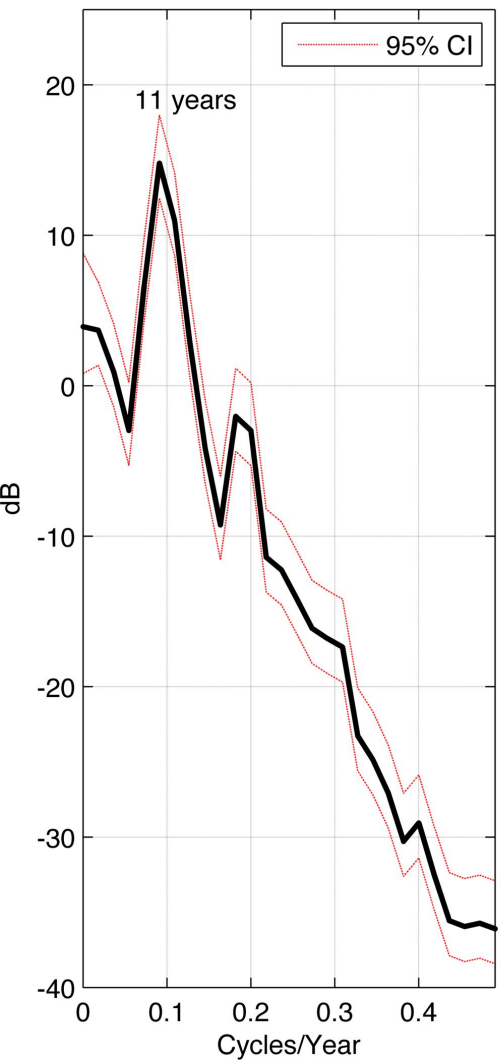
(c) SSN vs Surge hours (DJFM)



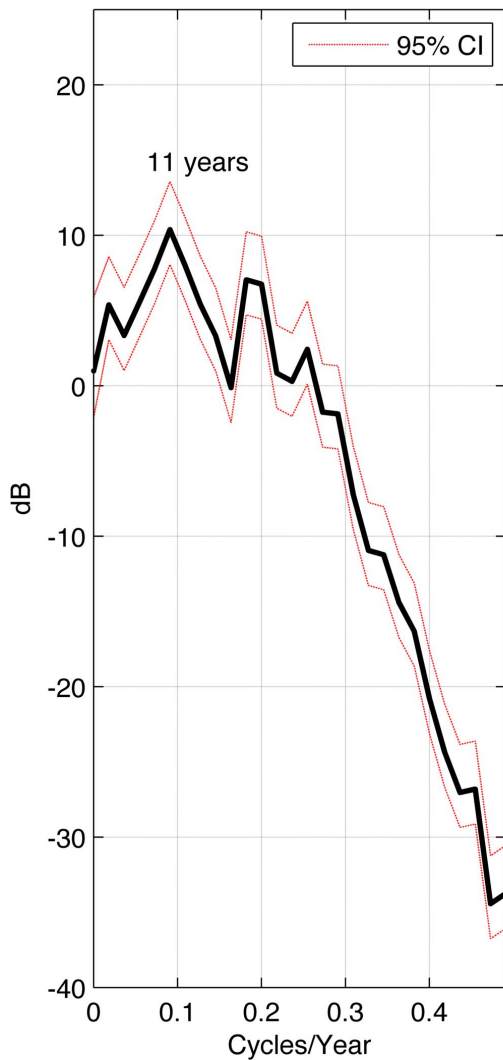
(d) SSN vs Surge events (DJFM)



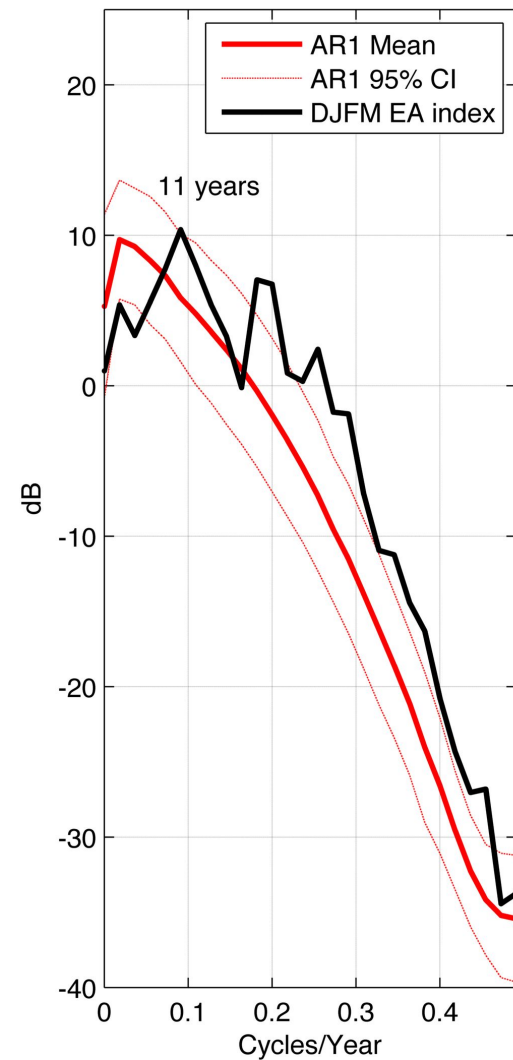
(a) Power Spectral Density (DJFM) SSN



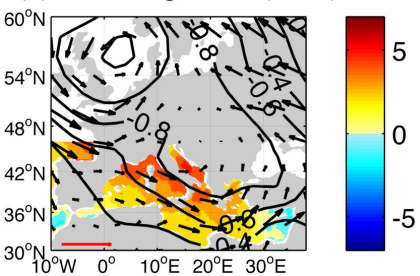
(b) Power Spectral Density (DJFM) EA index



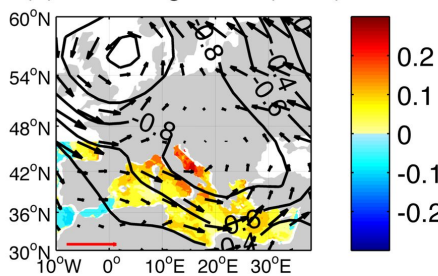
(c) Power Spectral Density AR1 Process



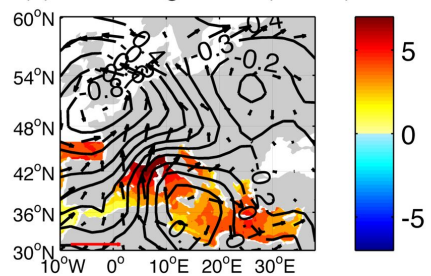
(a) HSN - High SSN (OND)



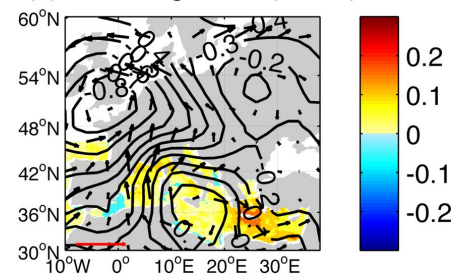
(b) ESN - High SSN (OND)



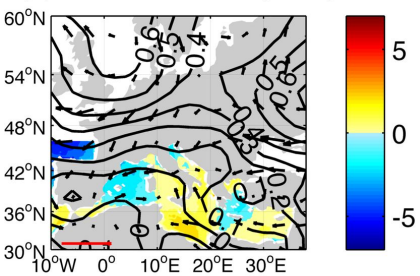
(c) HSN - High SSN (DJFM)



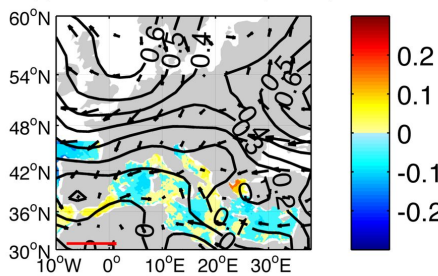
(d) ESN - High SSN (DJFM)



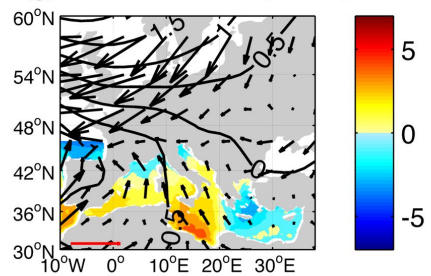
(e) HSN - Low SSN (OND)



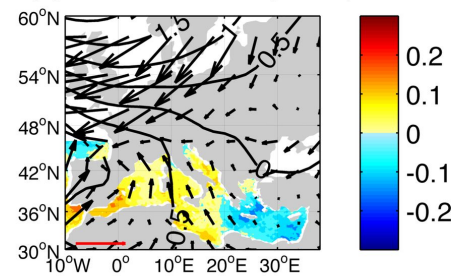
(f) ESN - Low SSN (OND)



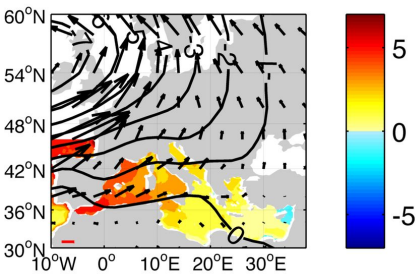
(g) HSN - Low SSN (DJFM)



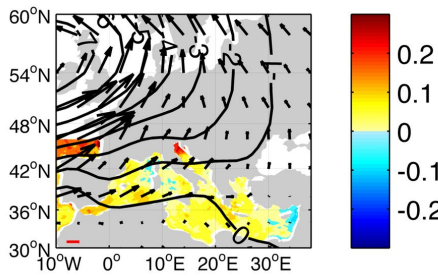
(h) ESN - Low SSN (DJFM)



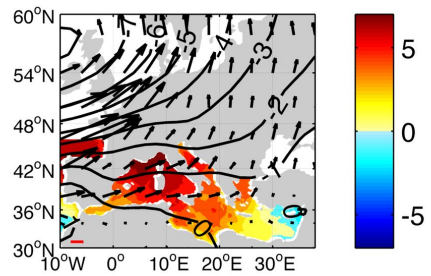
(i) HSN - Positive EA (OND)



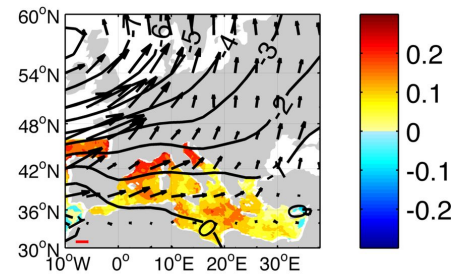
(j) ESN - Positive EA (OND)



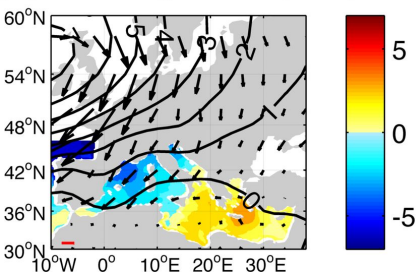
(k) HSN - Positive EA (DJFM)



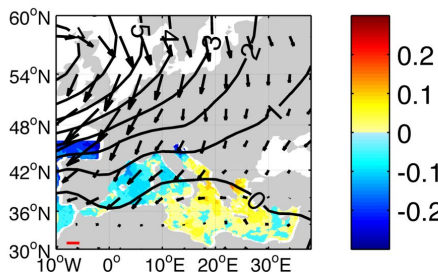
(l) ESN - Positive EA (DJFM)



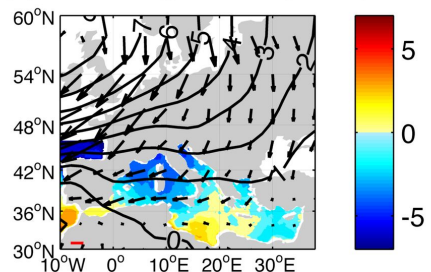
(m) HSN - Negative EA (OND)



(n) ESN - Negative EA (OND)



(o) HSN - Negative EA (DJFM)



(p) ESN - Negative EA (DJFM)

