Running head: Degenerative Disc Disease Variation in Europe

# **DEGENERATIVE INTER-VERTEBRAL DISC DISEASE**

# (OSTEOCHONDROSIS INTERVERTEBRALIS) IN EUROPE:

# PREVALENCE, GEOGRAPHIC VARIATION, AND RADIOLOGICAL

# **CORRELATES IN MEN AND WOMEN AGED 50 AND OVER.**

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# **Conflict of Interest Statement:**

Gabriele Armbrecht MD, Dieter Felsenberg MD, Melanie Ganswindt MD, Mark Lunt PhD, Stephen K Kaptoge PhD, Klaus Abendroth MD, Antonio Aroso Dias MD, Ashok K Bhalla MD, Jorge Cannata Andia MD, Jan Dequeker MD, Richard Eastell MD, Krysztoff Hoszowski MD, George Lyritis MD, Pavol Masaryk MD, Joyce van Meurs PhD, Tomasz Miazgowski MD, Ranuccio Nuti MD, Gyula Poor MD, Inga Redlund-Johnell MD, David M Reid MD, Helmut Schatz MD, Christopher J Todd PhD, Anthony D Woolf MD, Fernando Rivadeneira MD, Muhammed K Javaid MD, Cyrus Cooper MD, Alan J Silman MD, Terence W O'Neill MD and Jonathan Reeve DM all declare that they have no conflict of interest.

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#### **ABSTRACT**

Objectives: To assess the prevalence across Europe of radiological indices of degenerative inter-vertebral disc disease (DDD); and to quantify their associations with, age, sex, physical anthropometry, areal bone mineral density (aBMD) and change in aBMD with time.

Methods: In the population-based European Prospective Osteoporosis Study 27 age-stratified samples of men and women from across the continent aged 50+ had standardized lateral radiographs of the lumbar and thoracic spine to evaluate the severity of DDD, using the Kellgren-Lawrence (KL) scale. Measurements of anterior, mid-body and posterior vertebral heights on all assessed vertebrae from T4 to L4 were used to generate indices of end-plate curvature.

Results: Images from 10,132 participants (56% female, mean age 63.9 years) passed quality checks. Overall, 47% of men and women had DDD grade 3 or more in the lumbar spine and 36% in both thoracic and lumbar spine. Risk ratios for DDD grades 3 and 4, adjusted for age and anthropometric determinants, varied across a three-fold range between centres, yet prevalences were highly correlated in men and women. DDD was associated with flattened, non-ovoid inter-vertebral disc spaces. KL grade 4 and loss of inter-vertebral disc space were associated with higher spine aBMD.

Discussion: KL Grades 3 and 4 are often used clinically to categorise radiological DDD. Highly variable European prevalences of radiologically-defined DDD Grades 3+ along with the large effects of age may have growing and geographically unequal health and economic impacts as the population ages. These data encourage further studies of potential genetic and environmental causes.

# INTRODUCTION

Degenerative inter-vertebral disc disease (DDD - osteochondrosis intervertebralis) has been studied in single populations in the lumbar and cervical spine for several decades (1-4). We aimed to compare the impact of DDD across Europe because its prevalence in the middle-aged and elderly has not been previously compared between geographically distinct Caucasian populations.

Europe is genetically diverse and its people experience a wide range of environmental and lifestyle exposures. Genetic factors are likely to play an important role in the aetiology of arthritis of synovial joints (5-8); also occupation is clearly associated with some types of arthritis (9). Since genetic aspects of risk (10) and susceptibility to inflammation (11) have also been specifically linked to DDD, as well as other geographically variable risk factors such as body mass (4) it is timely to contrast the impact of DDD in different communities. This has now been resourced through data provided by a previous study of osteoporotic vertebral fracture.

The main objective of this paper is to describe variations in population prevalences of DDD across Europe and their relationship to age. The study of DDD within an osteoporosis study that has recently been used to describe the prevalence of Scheuermann's disease across Europe (12) provided additional opportunities. A large subset of participants had measurements of a real bone mineral density (aBMD) of the lumbar spine and hip, enabling the association of a BMD and its changes with different grades of DDD to be studied in a sizeable sub-population. The data allowed us to assess associations of DDD with geometrical profiles or shapes of vertebral bodies, as reflected in their vertebral height measurements. Finally, statistical associations between the three conditions - prevalent osteoporotic vertebral fracture, Scheuermann's Disease and DDD - have been studied at the population level.

#### PARTICIPANTS & METHODS

All participants were from the European Vertebral Osteoporosis Study (EVOS) (13) and each gave informed consent according to the Declaration of Helsinki. In 1990-6, men and women were recruited from population-based registers in 36 centres across Europe to study vertebral fracture. Stratified sampling was used with the aim of recruiting equal numbers of men and women in each of six 5-year age-bands: 50-54 years, 55-59 years, 60-64 years, 65-69 years, 70-74 years and 75 years and older. All those consenting had baseline lumbar and spinal radiographs, according to a standardised protocol (14); the breathing technique was used to obscure overlying lung shadows. An interviewer administered a lifestyle questionnaire and measured height and weight (15). The study was continued as an incidence vertebral fracture study: the European Prospective Osteoporosis Study (EPOS). Repeat spinal radiographs were obtained a mean of 3.8 years after baseline (14, 16) but one centre suffered a partial loss of their second radiographs in the post. Bone mineral density was measured in as many centres as could obtain funding (17). After digitizing the images, the identification of vertebral fractures in the thoracic and lumbar spine from T4 to L4 was undertaken in Berlin.

The Berlin imaging centre then re-read as many vertebral images as were of adequate quality for Scheuermann's disease and degenerative disc disease (DDD). For acceptability we used the reproducible reading of a Kellgren-Lawrence (KL) score for both lumbar and thoracic vertebrae, according to pre-defined criteria established in the centre for image analysis.

# Radiographs

In Germany funding was available for a senior radiographer to train each centre radiographer to obtain consistency of imaging technique. The non-German centres sent a subset of early, exemplary films to Berlin where the second author provided feedback to the centre radiographers with the same aim in view. Three vertebral height measurements were made on each vertebral body from T4 to L4 (n=13): anterior, mid-

body and posterior (16) – see Fig 1. From these vertebral height measurements, general aspects of vertebral shape were calculated separately for the thoracic and lumbar vertebrae by subtracting the mid vertebral height from the mean of the anterior and posterior heights for each vertebra and then averaging the results regionally as an Index of End-Plate Concavity (IEPC – Fig 1). Clinical diagnoses including Forestier's disease were also recorded at the time the vertebral heights were measured according to the methods used in the clinical department (Table 1). Prevalent vertebral deformities were identified using the McCloskey-Kanis algorithm (18). However, to allow optimisation of vertebral height and other data by using side-by-side reading of paired images participants with an *incident* morphometric vertebral fracture were excluded (88 women and 41 men).

A fourth reader (MG) was trained to evaluate each set of images for Scheuermann's Disease (12) and to score the lumbar and thoracic images separately for disc degeneration based on the paired EVOS and EPOS images, using the Kellgren-Lawrence (KL) scale (5 gradations from 0 to 4) according to generally agreed criteria (Table 1). Low image quality led to further exclusion of 996 male and 832 female participants (14.7% overall). Each inter-vertebral disc space from T4 through L4 was graded for loss of height (yes/no) and degenerative vertebral deformities were enumerated referring to an atlas (19).

To avoid reader "drift" over time in the categorisation of images, a random subset of 10 radiographs from every 500 cases evaluated was contemporaneously analysed blind by the first author and results compared. Discrepancies were resolved in open discussion involving at least 2 other Study Radiologists. A decade later, a reproducibility study was done in which 100 randomly selected participants' images, half with Scheuermann's disease, were submitted blind to the first author and their KL scores compared with those originally obtained (12).

# Areal Bone Mineral Density (aBMD) and Change in aBMD

In 21 centres, participants had bone densitometry performed at baseline or during the follow-up period. Eleven centres measured a BMD at the spine (L2-L4) and the

hip, 2 measured only the spine and 8 only the hip. Change in a BMD was measured in 1438 participants by acquiring an a BMD measurement at the time of each radiograph.

The densitometers were pencil beam dual-energy X-ray absorptiometry (DXA) machines made by Lunar, Hologic or Norland and were cross-calibrated using the European Spine Phantom (20) prior to data analysis.

# Statistical Analysis of determinants of KL grade.

To minimise bias, we restricted our analysis to data from the 27 centres providing 70% or more fully readable paired interval radiographic images. Our primary DDD outcome was the ordinal KL score. Numbers of inter-vertebral discs of reduced height and numbers of deformed vertebrae were also studied as ordinal outcomes. Data for men and women were analysed separately only when sex-adjusted analyses were considered inappropriate as suggested by a statistically significant interaction with sex. The KL score recorded was assessed separately for the thoracic and lumbar regions, being the highest for the region concerned; and was treated as an ordinal outcome on a scale of 1-4 (no participant was graded zero). Other outcomes/correlates were treated as binary if appropriate, including the presence or absence of vertebral fractures; but anthropometry, DXA a BMD, IEPC and other potential determinants of DDD were retained as continuous variables when measured as such. Generalised ordinal logistic regression modelling (21), adjusting for age, sex, an age-sex interaction term, and postulated correlates including geographical centre, nested within four European regions "North (Scandinavia), South, East and West" (defined by the then recently dismantled iron curtain, the Baltic sea and the Pyrenees and Alps); clinical diagnoses; and measured radiographic features including IEPC and a BMD (22). In light of odds ratios (ORs) overestimating relative risks (RRs) when outcomes are common, we transformed ORs into RRs in relation to a stated comparator to aid valid interpretation as previously advocated (23).

In view of the partial availability of aBMD data, aBMD was excluded from the first round of multivariable modelling. The other significant determinants (including

interactions with sex and centre) were entered into multivariable ordinal logistical regression models. Beginning with interaction terms, non-significant variables were removed in increasing order of significance until all remaining variables were significant at p<0.01.

Centre-specific risk coefficients were derived from the Logistic model for KL grade with continuous variables (age, height, weight) set to mean values across the sample and absence of other categorical diagnoses was assumed. These risk coefficients were correlated with those for Scheuermann's disease (12).

When used as outcomes in linear regression models, lumbar and femoral neck (FN) a BMD were log-transformed (24) to achieve normally distributed residuals. These models included all significant interaction terms with sex. a BMD changes were annualised and made an outcome variable to investigate the ongoing and contrasting effects of DDD on lumbar and femoral a BMD. Annual rate of change in a BMD was also made an outcome variable to investigate the contrasting associations of KL score and other correlates on lumbar and femoral neck a BMD.

# **RESULTS**

#### **PARTICIPANTS**

From the 27 included centres, there remained 10,132 participants (5652 female), aged 50 to over 75 years in whom all vertebral levels were evaluated. KL score readability was only marginally associated with BMI (the participants with unreadable radiographs had a mean BMI 0.4 units lower than those with readable images, p=0.009). The mean ages of the evaluated participants were 64.6 years (men) and 63.7 years (women). Descriptive statistics of radiological abnormalities found are listed in Table 1. The 4,480 men had an average +/- 1SD height of 1.71 +/- 0.07 m and weight of 79.2 +/- 11.7 Kg; the 82% of men with neither vertebral fractures, Scheuermann's nor Forestier's were on average 0.5 years younger than the mean. The women had an average height of 1.59 +/- 0.06 m. and weight of 68.4 +/- 11.9 Kg. The 80% of women with neither vertebral fractures, Scheuermann's nor Forestier's were on average 0.8 years younger than the mean. The agreement measured by Cohen's kappa ( $\kappa$ ) at the individual level between lumbar and thoracic KL scores in those with both readings was 0.185 (SE 0.008) with significant asymmetry (p< 0.00001) due to a tendency towards higher thoracic readings.

#### Reproducibility of DDD assessment.

In the lumbar spine, the two readers read 65% of radiographs identically for KL grade and Cohen's kappa ( $\kappa$ ) was 0.42. In 2% the KL scores differed by more than one grade. In the thoracic spine, 58% of readings concurred,  $\kappa$  was 0.38 and in 1% the KL scores differed by more than one grade. There was significant asymmetry of disagreement (for the lumbar spine and thoracic spine respectively: Bowker  $\chi^2$  19.0 and 19.6; p both<0.005). This reflected a tendency for the original readings to be graded 3, at the expense of both grades 2 and 4, with a higher likelihood than in the blinded second readings.

# Kellgren-Lawrence (KL) Scores: Crude Prevalences According to Clinical Diagnosis

Fig 2 shows the un-adjusted prevalences of the four KL grades in men and women

combined for the thoracic spine, grouped according to the clustering of radiologically diagnosed clinical conditions. Shown separately are subjects with a vertebral fracture anywhere in the T4-L4 region, subjects with Scheuermann's disease, subjects with Forestier's disease and the majority of subjects that had none of these diagnosed. In the lumbar spine only, a positive identification of Scheuermann's disease had no significant effect on KL score (p=0.3); however all other combinations of diagnoses increased KL score significantly (p<0.001).

# KL SCORES FOR LUMBAR SPINE

#### Prevalences by Grade

In the lumbar spine, 3% of male participants had a KL score of 1, 42% had a score of 2, 48% a score of 3 and 7% a score of 4. In women the equivalent percentages were 4%, 55%, 40% and 1% ( $\chi^2$ =316, p<0.0001 for sex difference).

# Associations of lumbar KL scores 3 & 4 with Age, Weight, Height and Geographic Centre

Fig 3 shows the large variations in prevalence of a KL score of 3 or 4 by age, country and investigational centre adjusted for age and sex.

The multivariable adjusted associations of age, body weight, index of vertebral shape (lumbar IEPC) and sex with Lumbar KL score, after adjusting for centre, are shown in Table 2, (for all p<0.0001). The large independent effect of geographic centre ( $\chi^2$  = 1,344, p<0.0001) did not diminish the anthropometric associations.

#### KL SCORES FOR THORACIC SPINE

# Prevalences by Grade

In the thoracic spine, less than 1% of male subjects overall had a KL score of 1, 32% had a score of 2, 43% a score of 3 and 24% a score of 4. In women the equivalent percentages were <1%, 31%, 57% and 12% ( $\chi^2$ =294, p<0.0001 for sex

difference). Fig 3 shows the much greater similarity between men and women in adjusted prevalences of a KL score of 3+ compared to the lumbar spine, with prevalences in the thoracic spine generally exceeding those in the lumbar spine.

# Associations of thoracic KL scores 3 & 4 with Age, Weight, Height and geographic Centre

The associations of age, body weight, thoracic vertebral shape (IEPC) and sex with Thoracic KL score are also shown in Table 2, all being highly significant. Again, the large independent effect of geographic centre ( $\chi^2$  = 805, p<0.0001) did not diminish the anthropometric effects.

When the results for men and women were modelled separately, their centre-specific risk coefficients for K-L score, both lumbar and thoracic, were highly correlated (Spearman's  $\rho = 0.88$ , p < 0.0001) and there were no statistically significant interactions between sex and centre to suggest that any centre-dependent effect influenced differently the impact of DDD in the lumbar or thoracic spine in men and women.

#### Correlates of DDD KL Grade 4

When KL grade 4 was the outcome variable, geographical centre was no longer an important statistical determinant. The effects of the other significant correlates of KL grade derived from the multivariate models are shown separately in Table 2 for KL grade 4 alone.

#### DDD Grades 3+4 and Scheuermann's disease

There was no statistical correlation at centre level between the prevalence of Scheuermann's (as defined by Armbrecht et al (12)) and the centre-specific risk coefficients for KL grade 3+4 in the Lumbar spine (Spearman's  $\rho$  = 0.09, NS).

#### DEGENERATIVE DEFORMITIES AND REDUCED DISC SPACES

Fig 4 shows the distributions of numbers of degenerative deformities and reduced disc spaces per participant recorded in our population. Because these phenomena contributed to KL score grading, ordinal logistic models for predicting numbers of deformities and reduced

disc spaces respectively were reviewed that contained the same independent variables as found in the models for KL score to see if they could illuminate aspects of the KL score results. In each case, the determinants that were significantly associated with KL score were also significantly associated with numbers of reduced disc spaces and numbers of deformed vertebrae.

#### AREAL BONE MINERAL DENSITY

After accounting for the more rapid decline in a BMD with age in women, the following radiological variables also had significant associations with L2-4 aBMD at p<0.0001: increasing lumbar (L1-4) KL score (an 8.6% increase for each increment in K-L score); reduced joint spaces in the L2-4 region (range 0-3) - a 10.0% increase per affected joint space; and for each enumerated radiological vertebral fracture there was an additional 3.5% reduction in a BMD independently of the other effects. In contrast, for femoral neck a BMD the effect of reduced joint space(s) was not statistically significant. The effects of the other three variables remained statistically significant at p<0.0001: but the effect of K-L score at the femur was considerably smaller - a 2.6% increase in a BMD per unit increase in K-L score; while the effects of lumbar IEPC and number of enumerated fractures were similar to those for a BMD at L2-L4.

#### AREAL BONE MINERAL DENSITY CHANGE WITH TIME

There was a statistical contrast in the participants with two interval L2-L4 a BMD measurements. In those with the maximum K-L score of 4 (46 men, 7 women among 1438 participants), a BMD in L2-L4 increased by approximately 1% per annum more, after adjusting for sex and its interaction with age, (p=0.024) when compared to those with lower K-L scores. There was no significant association of K-L score with femoral neck a BMD change. The association of K-L score 4 with increased L2-L4 a BMD was reduced by about a fifth after adjusting for investigational centre and body weight (p=0.063).

#### **DISCUSSION**

A Kellgren-Lawrence (KL) score of at least 2 in both lumbar and thoracic spine was practically universal. While this was predictable (1, 2, 4, 25, 26), we have also made novel observations (27). The most surprising finding is that KL Grade 3+ has a highly variable geographical prevalence, with a three-fold range of variation in prevalence between centres, while geographical risk varies similarly in men and women. The severest (KL grade 4) lumbar disc degeneration was associated increased bone mineral density in the lumbar spine. The positive with associations of age and weight with increased KL grade were largely in line with expectations; but the much larger differences in lumbar than thoracic KL scores between the sexes is unexplained by our data. Finally, several features of disc degeneration are associated with vertebral body shape. We cannot demonstrate whether this is cause or effect, but it poses potentially answerable questions concerning the possible role of inter-vertebral disc anatomy in the development of future degenerative disease of the spine.

To our knowledge, this is the first multi-centre study of DDD prevalence with standardization of techniques to span an entire continent. So the marked but unexplained geographic variations in the prevalence of DDD poses intriguing questions. We do not have histories that might have pointed to occupation as an explanatory risk factor (9). The nesting of investigational centre within European regions resulted in one regional effect: a significantly increasing gradient from North to South in KL score. This gradient only partly explained the inter-country variation. Geographic analysis may help in the future planning of health services, but these results also demand fresh thought concerning potential causes of the wide variations in degenerative disc disease between European counties.

Although computed tomography and magnetic resonance imaging are contributing to the understanding of osteoarthritis of the facet joints (27) and disc degeneration, for documenting the structural correlates of DDD the old technology of plane radiographs retains advantages. Benneker et al found that for staging DDD, plane radiography performed better than MRI (3). The standardisation of techniques, the duplication of images with reductions in risks of misinterpretation added further advantages.

The choice made to record the highest KL score in a region was driven by the need to evaluate Scheuermann's disease in Europe (12). We also recorded loss of intervertebral disc height and degenerative vertebral body deformities, all features found in DDD, at the individual disc level. Goode et al recommend they should all be documented since their associations with demographic and clinical features differ (2). Fujiwara et al reported that disc degeneration precedes and is correlated with facet joint osteoarthritis (28). That loss of disc space was associated with increased a BMD confirms the finding of the Rotterdam and UK Twins studies (29, 30). Increases in aBMD may be attributable partly to development of osteophytes and end plate sclerosis, since these could not be excluded from the region of interest with the early version software available at the time of study.

There was strong statistical evidence that vertebral shape was associated with the K-L grade of OA. Flat inter-vertebral discs inferred to have similar thicknesses anteriorly, posteriorly and centrally were associated with increased odds of DDD in contrast to "lozenge-shaped" inter-vertebral discs. By analogy, since locally more extreme mechanical loading conditions near the acetabular edge are associated with the development of OA in the hip joint (31), lozenge-shaped discs might deliver a less extreme range of loading forces in bending. Alternatively, flattened discs might be a consequence rather than a contributing cause of degeneration. More lozenge-shaped inter-vertebral discs were also associated with lower bone mineral density at both spine and hip. It has long been of interest that OA and osteoporosis appear to be inversely correlated in populations (7).

The high correlation between men and women of geographic prevalences of KL scores suggests possible common genetic or environmental risk factors, while as expected men were substantially more likely to suffer lumbar KL grade 4 than

women. Loss of one or more inter-vertebral disc space independently of KL score was associated with higher baseline aBMD of the lumbar spine though not the hip and was also associated with female sex; there was an approximately 1 SD higher a BMD for each reduced disc space between L1 and L4 making this a large effect. Prior loss of disc space was not independently associated with progressive increase in a BMD in those with two a BMD measurements. In women studied after menopause, increasing a BMD in one or more vertebrae was associated with growing irregularities in vertebral outline in the DXA image (32). Other statistical correlates of L2-4 a BMD change include investigational centre and body weight (26).

Our study has strengths. It was population-based and used standardised approaches in design, conduct and analysis. The reading of images by a single trained radiologist seemed mandatory, because not to do so leads to confounding by inter-rater variation (4, 33). To avoid "drift" in scoring the images over time, a contemporary rereading of a minority of images was done by a senior radiologist with consensual resolution of differences. This was no guarantee against a possibly gradual, consensual shift in gradings over the next decade, maybe reflected in the greater allocation to grades 2 and 4 at the expense of Grade 3 seen in the reproducibility substudy. It is possible that variation in survey methods between centres may have led to variation in data quality. The effect of this would be to dilute the strength of any observed associations towards no association. Variations in image quality, differences in response rates or small deviations in techniques for obtaining radiographs between centres would increase the statistical modelling effects attributed to between centre variations. It seems unlikely that it would have altered our findings concerning gender comparisons.

In the majority of participants, a BMD was assessed at the time of the baseline survey. There was no evidence that the timing of the aBMD measurements influenced the results (17). Finally, our data were obtained from a 98%+ caucasian group of

participants.

In conclusion, we have undertaken a large-scale population-based survey of the prevalences of inter-vertebral disc disease in the lumbar and thoracic spine, which with osteoporosis and Scheuermann's disease account for the bulk of radiologically identified spinal pathology in older subjects. We demonstrated three-fold variations between centres in prevalence of inter-vertebral disc degeneration (DDD), in distinct contrast to vertebral osteoporosis, which was less variable between populations (13). DDD was confirmed to be inversely associated with osteoporosis (7) and positively associated with a BMD. Vertebral body and hence inter-vertebral disc shape was raised as a possible contributor to its development. With the high prevalences demonstrated, this study highlights the great vulnerability of the vertebral column to age-related degenerative change - and also the variable impact on populations of these changes. These findings need to be related to the clinical and social impact of degenerative disease of the spine in our aging population. In part this seems to require the development of new investigational approaches at the population level; but our own measures (34, 35) of the clinical impact of this and other major diseases of the spine - osteoporosis and Scheuermann's disease - will also help establish the level of personal impact associated with spinal disorders and their severity.

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#### LIST OF FIGURES

- Fig 1: Diagram to show how the Index of End-Plate Concavity (IEPC) is calculated for a single vertebra. Individual vertebral height measurements were made as described in O'Neill et al and as shown diagrammatically by the arrows (14). Thoracic and Lumbar IEPC values were, for the purposes of this paper, calculated by averaging the individual IEPC values for the 9 thoracic and 4 lumbar vertebrae respectively.
- Fig 2: Combinations of radiological diagnoses and distributions of K-L scores across 4 grades in the Lumbar and Thoracic spine. Combinations of KL scores add up to 100% (ordinate scale). Note that no subject scored zero on the K-L score. Represented are: subjects with a vertebral fracture; subjects with Scheuermann's disease; subjects with Forestier's disease; and subjects with none of these diagnoses and all combinations.
- FIG 3: Prevalences in the Lumbar and Thoracic spine of DDD KL grades 3+4 associated with (left) age, (centre) nationality and European Region and (right) Investigational Centre, all adjusted to age 65 and shown with 95% confidence intervals. Men and women are shown separately. Key to country codes, right hand graph: BE Belgium; DE Germany; ES Spain; FR France; GR Greece; HU Hungary; IT Italy; NL Netherlands; PL Poland; PO Portugal; RU Russia; SE Sweden; SK Slovakia; UK United Kingdom.
- Fig 4: left: distribution of numbers (y axis) of degenerative vertebral deformities per vertebral column (13 vertebrae evaluated) in this population. It can be seen that 85% of subjects were unaffected by degenerative vertebral deformities. right: distribution of numbers of inter-vertebral discs of reduced height per vertebral column. The percentages show the proportions of the population in each category.

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**Table 1: Numbers of Subjects Affected by Radiological Diagnoses Studied** 

Disease & Radiological Category	Numbers of participants affected	Clinical Definition or Literature Reference. NB the grade assigned was the highest grade observed in the region		
DDD: Kellgren- Lawrence (KL) Grade 0	0/0*	no degenerative changes		
DDD: KL Grade 1 (minimal)	379/60*	minimal anterior osteophyte formation, no reduction of inter-vertebral disc height and no vertebral end plate sclerosis		
DDD: KL Grade 2 (mild)	5281/3450*	definite anterior osteophyte formation; subtle or no reduction in inter-vertebral disc height (<25%); just recognisable sclerosis of the endplates		
DDD: KL Grade 3 (moderate)	4087/4904*	definite anterior osteophyte formation; moderate narrowing of the disc space (25-75%);definite sclerosis of the endplates and osteophyte sclerosis.		
DDD: KL Grade 4 (severe)	385/1718*	large and multiple large osteophyte; severe narrowing of the disc space (>75%); sclerosis of the endplates with irregularities.		
Prevalent osteoporotic deformity	1164	Osteoporotic deformities by McCloskey - Kanis criteria		
Incident osteoporotic fracture	243**	See (15) NB qualitative definition as reported there, but prior to exclusions based on specific quality criteria reported in that paper.		
Degenerative Deformity	169	Clinical reading		
Traumatic Deformity	13	Clinical reading		
Scheuermann's Disease	962	For diagnostic criteria used see (13)		
Forestier's disease	180	Clinical reading		
Other Diagnoses	77***	Clinical reading		

<sup>\*</sup>Male and female combined: For KL Grading, Lumbar followed by Thoracic.

<sup>\*\*</sup> these subjects were censored from the present study due to an event having a major effect on risk of osteoarthritic change

<sup>\*\*\*</sup>Other diagnoses included osteomalacia, Cupid's Bow deformity, Epiphysiolysis and Genetic Malformation

Table 2. Correlates of DDD severity

Table 2. Correlates of DDD severity					
Site, KL grading, and variable	OR (95% CI)	Prev*	RR (95% CI)		
Lumbar KL grade ≥ 3					
Age (per 5 yrs)	1.47 (1.43, 1.51)	0.48	1.20 (1.19, 1.22)		
Weight (per 15 kg)	1.33 (1.25, 1.41)	0.48	1.15 (1.12, 1.18)		
Lumbar IEPC (per 1.12 mm)	0.73 (0.69, 0.77)	0.48	0.84 (0.81, 0.86)		
Sex (male vs female)	1.81 (1.63, 2.00)	0.43	1.34 (1.28, 1.40)		
Forestiers disease (yes vs no)	2.03 (1.40, 2.93)	0.48	1.36 (1.20, 1.55)		
McCloskey-Kanis fracture (yes vs no)	1.24 (1.07, 1.43)	0.47	1.11 (1.04, 1.19)		
Lumbar KL grade ≥ 4					
Age (per 5 yrs)	1.74 (1.58, 1.91)	0.03	1.69 (1.55, 1.85)		
Weight (per 15 kg)	1.37 (1.20, 1.56)	0.03	1.35 (1.19, 1.54)		
Lumbar IEPC (per 1.12 mm)	0.80 (0.71, 0.89)	0.03	0.80 (0.72, 0.89)		
Sex (male vs female)	3.36 (2.56, 4.40)	0.02	3.24 (2.51, 4.19)		
Forestiers disease (yes vs no)	4.32 (2.82, 6.61)	0.03	3.91 (2.69, 5.69)		
McCloskey-Kanis fracture (yes vs no)	1.42 (1.05, 1.92)	0.03	1.40 (1.05, 1.87)		
Thoracic KL grade ≥ 3					
Age (per 5 yrs)	1.39 (1.35, 1.44)	0.69	1.10 (1.09, 1.10)		
Weight (per 15 kg)	1.55 (1.46, 1.65)	0.69	1.12 (1.11, 1.14)		
Sex (male vs female)	0.72 (0.65, 0.81)	0.73	0.91 (0.87, 0.94)		
Forestiers disease (yes vs no)	5.19 (3.15, 8.53)	0.69	1.34 (1.29, 1.39)		
McCloskey-Kanis fracture (yes vs no)	1.09 (0.93, 1.27)	0.69	1.03 (0.98, 1.07)		
Thoracic IEPC (per 0.60 mm)	0.82 (0.77, 0.88)	0.69	0.94 (0.92, 0.96)		
Cobb angle > 40 degrees (yes vs no)	1.91 (1.67, 2.19)	0.68	1.18 (1.15, 1.21)		
Scheuermann's disease (yes vs no)	1.47 (1.21, 1.79)	0.68	1.11 (1.06, 1.17)		
Thoracic KL grade ≥ 4					
Age (per 5 yrs)	1.51 (1.46, 1.57)	0.16	1.40 (1.36, 1.45)		
Weight (per 15 kg)	1.73 (1.61, 1.86)	0.16	1.55 (1.47, 1.64)		
Sex (male vs female)	1.62 (1.43, 1.84)	0.12	1.50 (1.36, 1.67)		
Forestiers disease (yes vs no)	11.64 (7.82, 17.33)	0.15	4.52 (3.96, 5.16)		
McCloskey-Kanis fracture (yes vs no)	1.15 (0.97, 1.37)	0.15	1.13 (0.98, 1.30)		
Thoracic IEPC (per 0.60 mm)	0.86 (0.80, 0.92)	0.16	0.88 (0.82, 0.94)		
Cobb angle > 40 degrees (yes vs no)	1.44 (1.23, 1.67)	0.15	1.35 (1.19, 1.52)		
Scheuermann's disease (yes vs no)	0.72 (0.58, 0.91)	0.16	0.76 (0.62, 0.92)		
* Providenates the representative baseline risk used in transforming adds ratio estimate					

<sup>\*</sup> Prev denotes the representative baseline risk used in transforming odds ratio estimates to relative risk estimates, defined as the expected risk for a 65 year old with average levels of continuous variables or in reference category (i.e. female sex or absence of categorical risk factor).

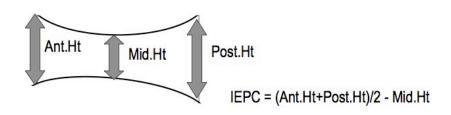
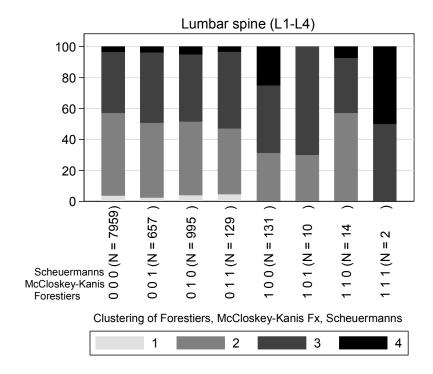
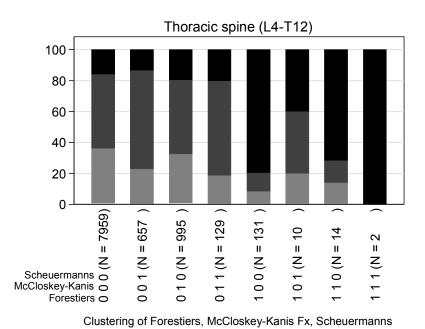


Fig1

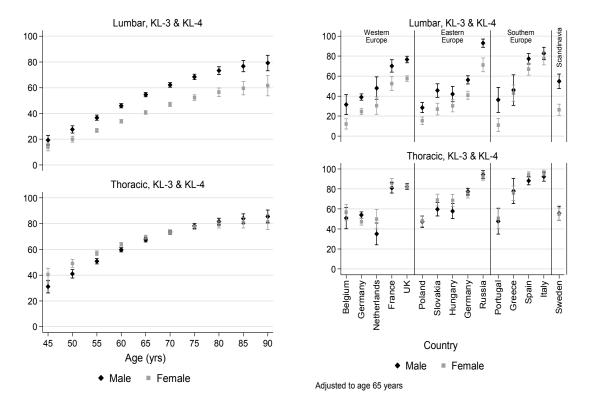
Figure 2. Prevalence of KL-grades by clustering of clinical conditions.

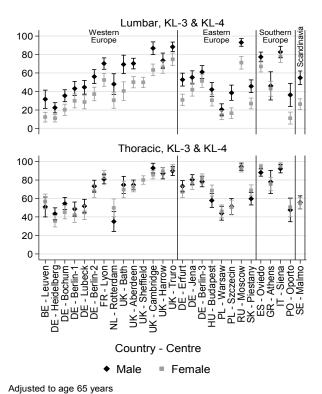


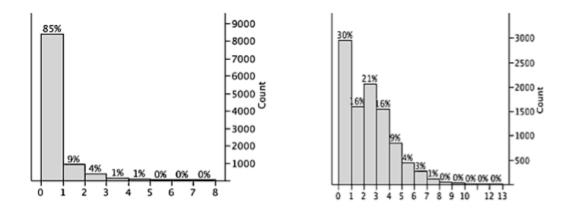


2

Figure 3. Prevalence of DDD by age, centre, and country.







Numbers of vertebrae affected (maximum 13)

Fig 4