

**Non-alcoholic Fatty Liver Disease and Increased Risk of 1-Year All-Cause  
and Cardiac Hospital Readmissions in Elderly Patients Admitted for Acute  
Heart Failure**

**Short title:** Nonalcoholic fatty liver disease and hospital readmissions for heart failure

Filippo Valbusa, MD<sup>1</sup>, Stefano Bonapace, MD<sup>2</sup>, Davide Agnoletti, MD<sup>1</sup>, Luca Scala, MD<sup>1</sup>, Cristina  
Grillo MD<sup>1</sup>, Pietro Arduini, MD<sup>3</sup>, Emanuela Turcato, MD<sup>3</sup>, Alessandro Mantovani, MD<sup>4</sup>, Giacomo  
Zoppini, MD<sup>4</sup>, Guido Arcaro, MD<sup>1</sup>, Christopher Byrne, MB BCH<sup>5,6</sup>, Giovanni Targher, MD<sup>4\*</sup>

<sup>1</sup>Division of General Medicine “Sacro Cuore” Hospital, Negrar (VR), Italy

<sup>2</sup>Division of Cardiology, “Sacro Cuore” Hospital, Negrar (VR), Italy

<sup>3</sup>Division of Geriatrics, “Sacro Cuore” Hospital, Negrar (VR), Italy

<sup>4</sup>Section of Endocrinology, Diabetes and Metabolism, Department of Medicine, University and Azienda  
Ospedaliera Universitaria Integrata of Verona, Verona, Italy

<sup>5</sup>Nutrition and Metabolism, Faculty of Medicine, University of Southampton, Southampton, UK

<sup>6</sup>Southampton NIHR Biomedical Research Centre, University Hospital Southampton, UK

**\* Corresponding author:**

E-mail: giovanni.targher@univr.it (GT)

## 38 Abstract

39 Nonalcoholic fatty liver disease (NAFLD) is an emerging risk factor for heart failure (HF). Although  
40 some progress has been made in improving survival among patients admitted for HF, the rates of  
41 hospital readmissions and the related costs continue to rise dramatically. We sought to examine whether  
42 NAFLD and its severity (diagnosed at hospital admission) was independently associated with a higher  
43 risk of 1-year all-cause and cardiac re-hospitalization in patients admitted for acute HF.

44 We studied 212 elderly patients who were consecutively admitted with acute HF to the Hospital of  
45 Negrar (Verona) over a 1-year period. Diagnosis of NAFLD was based on ultrasonography, whereas the  
46 severity of advanced NAFLD fibrosis was based on the fibrosis (FIB)-4 score and other non-invasive  
47 fibrosis scores. Patients with acute myocardial infarction, severe valvular heart diseases, end-stage renal  
48 disease, cancer, known liver diseases or decompensated cirrhosis were excluded. Cox regression was  
49 used to estimate hazard ratios (HR) for the associations between NAFLD and the outcome(s) of interest.  
50 The cumulative rate of 1-year all-cause re-hospitalizations was 46.7% ( $n=99$ , largely due to cardiac  
51 causes). Patients with NAFLD ( $n=109$ ; 51.4%) had remarkably higher 1-year all-cause and cardiac re-  
52 hospitalization rates compared with their counterparts without NAFLD. Both event rates were  
53 particularly increased in those with advanced NAFLD fibrosis. NAFLD was associated with a 5-fold  
54 increased risk of 1-year all-cause re-hospitalization (adjusted-HR 5.05, 95% confidence intervals 2.78-  
55 9.10,  $p<0.0001$ ) after adjustment for established risk factors and potential confounders. Similar results  
56 were found for 1-year cardiac re-hospitalization (adjusted-HR 8.05, 95% confidence intervals 3.77-15.8,  
57  $p<0.0001$ ).

58 In conclusion, NAFLD and its severity were strongly and independently associated with an increased  
59 risk of 1-year all-cause and cardiac re-hospitalization in elderly patients admitted with acute HF.

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61

62 **Keywords:** acute heart failure; hospital re-admissions; nonalcoholic fatty liver disease

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## 65 **Introduction**

66 The prevalence of heart failure (HF) is high (>10%) among persons aged 70 years or older and its  
67 incidence is rapidly increasing, due to better life expectancy [1,2]. To date, although some progress has  
68 been made in improving survival in hospitalized patients with acute HF, the rates of hospital  
69 readmissions are rising dramatically, especially in the elderly [2,3]. High readmission rates not only  
70 drive burgeoning health care costs but also suggest that management of HF is suboptimal [3].  
71 Identifying novel predictors of hospital readmissions for HF in the elderly would facilitate better  
72 discharge planning and, perhaps, decrease readmission rates.

73

74 Non-alcoholic fatty liver disease (NAFLD) is a multisystem disease that affects many organ systems,  
75 including both the heart and the vasculature [4,5]. Growing evidence indicates that patients with  
76 NAFLD have early changes in cardiac substrate metabolism, producing functional, structural and  
77 arrhythmic consequences that are potentially linked to an increased risk of new-onset HF [6]. For  
78 example, some population-based studies reported a strong association between mildly elevated serum  
79 liver enzymes (a surrogate marker of NAFLD) and increased long-term risk of new-onset HF [7-9].  
80 Additionally, the Coronary Artery Risk Development in Young Adults (CARDIA) Investigators found  
81 that computed tomography-diagnosed NAFLD was independently associated with subclinical  
82 myocardial remodeling and dysfunction, thus providing further insight into the potential link between  
83 NAFLD and HF [10].

84

85 These findings support the view that NAFLD is implicated in HF development and may be a predictor  
86 of higher hospital readmissions for acute HF. We have previously explored the association between  
87 NAFLD and the risk of all-cause hospital readmissions in a small sample of elderly patients admitted for  
88 acute HF [11]. However, the sample size was much lower than that of the current study and no detailed  
89 information regarding the causes of hospital readmissions as well as the severity of hepatic fibrosis in  
90 patients with NAFLD was available [11].

91

92 Consequently, it remains currently uncertain whether NAFLD and its severity may independently  
93 predict 1-year hospital re-admissions after HF. Thus, the aim of this study was to examine whether  
94 ultrasound-diagnosed NAFLD and its severity – using the fibrosis (FIB)-4 score or other non-invasive  
95 markers of advanced NAFLD fibrosis – were associated with an increased risk of 1-year all-cause and  
96 cardiac re-hospitalization in patients admitted initially to the hospital with HF.

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98

## 99 **Materials and Methods**

### 100 **Patients**

101 We studied a cohort of patients consecutively admitted with a diagnosis of acute HF to the Divisions of  
102 General Medicine or Geriatrics at the ‘Sacro Cuore’ Hospital of Negrar (Verona) over the years 2013  
103 and 2014 ( $n=314$ ). All patients were initially eligible for the study if they had a confirmed clinical  
104 diagnosis of acute HF (pre-existing or *de novo* HF). In agreement with the 2012 European Society of  
105 Cardiology guidelines [2], the clinical diagnosis of acute HF was based on the presence of typical signs  
106 and symptoms of acute HF, increased NT pro-brain natriuretic peptide (NT-proBNP) levels as well as  
107 radiographic findings of acute HF.

108

109 From the initial eligible cohort, we excluded 102 patients with: 1) acute myocardial infarction, end-stage  
110 kidney disease or malignancy ( $n=11$ ); 2) severe heart valve diseases or prior heart valve surgery ( $n=22$ );  
111 3) decompensated cirrhosis or other known causes of chronic liver diseases, including viral hepatitis and  
112 excessive alcohol consumption (defined as  $>30$  g/day for men and  $>20$  g/day of alcohol intake for  
113 women, respectively) ( $n=34$ ); and 4) those who died during the first hospital admission (in-hospital  
114 deaths;  $n=35$ ). As consequence of this selection, 212 (67.5%) elderly patients hospitalized for acute HF  
115 were included in the final analysis.

116

117 The local ethics committee/IRB of the ‘Sacro Cuore’ Hospital approved the study protocol, and all  
118 participants gave their written informed consent.

119

## 120 **Clinical and Laboratory Variables**

121 Body mass index (BMI) was measured as kilograms divided by the square of height in meters. Blood  
122 pressure was measured with a standard mercury sphygmomanometer after patient had been seated  
123 quietly for at least 5 min. Patients were considered to have hypertension if their blood pressure was  
124  $\geq 140/90$  mmHg or if they were taking any anti-hypertensive drugs.

125

126 Serum levels of creatinine, liver enzymes (aspartate and alanine aminotransferases [AST and ALT] and  
127 gamma-glutamyltransferase [GGT]) and other biochemical blood measurements were determined by  
128 standard laboratory procedures in the central Laboratory of the hospital for all patients. Plasma NT-  
129 proBNP measurements were determined using a chemiluminescent immunoassay method. Most patients  
130 had serum liver enzyme levels within the reference ranges in our Laboratory, which for serum GGT  
131 levels were 60 U/l for both sexes, and for aminotransferases were 10 to 40 U/l for women and 10 to 50  
132 U/l for men, respectively. We also calculated the APRI (AST to platelet ratio index), the fibrosis (FIB)-  
133 4 score (that includes age, serum aminotransferases and platelet count in its equation) and the NAFLD  
134 fibrosis score (that includes age, BMI, impaired fasting glycaemia/diabetes status, serum  
135 aminotransferases, albumin and platelet count in its equation) in patients with NAFLD as non-invasive  
136 markers of advanced hepatic fibrosis, using for the FIB-4 and NAFLD fibrosis scores the new cutoffs  
137 proposed for patients with NAFLD aged  $\geq 65$  years [12]. Glomerular filtration rate ( $eGFR_{CKD-EPI}$ ) was

138 estimated using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI) study equation  
139 [13].

140

141 Presence of coronary heart disease (CHD) was defined as a documented history of myocardial  
142 infarction, angina or coronary revascularization procedures. Chronic kidney disease (CKD) was defined  
143 as the presence of  $eGFR_{CKD-EPI} < 60 \text{ ml/min/1.73 m}^2$ ; measurements of albuminuria or proteinuria were  
144 not available. The diagnosis of persistent or permanent atrial fibrillation was made on the basis of  
145 medical history (from reviewing hospital and physician charts from all patients) and standard  
146 electrocardiograms. The presence of chronic obstructive pulmonary disease (COPD) was confirmed by  
147 reviewing medical records of the hospital, including diagnostic symptoms patterns, and results of lung  
148 function tests.

149

### 150 **Hepatic Ultrasonography and Conventional Echocardiography**

151 At baseline, experienced radiologists (blinded to the patients' clinical details) performed hepatic  
152 ultrasonography for all patients. Hepatic steatosis was diagnosed based on characteristic  
153 ultrasonographic features, such as diffuse hyperechogenicity of the liver relative to the kidneys,  
154 ultrasound beam attenuation and poor visualization of the intra-hepatic vessel borders and diaphragm  
155 [14,15]. It is known that ultrasonography has high sensitivity and specificity for detecting moderate and  
156 severe hepatic steatosis. However, its sensitivity is reduced when less than 20-30% of hepatocytes are  
157 steatotic [14].

158

159 Conventional transthoracic echocardiography, which was performed by experienced cardiologists  
160 (blinded to the patients' clinical details), was used to measure left ventricular (LV) diameters and wall  
161 thicknesses according to international standard criteria [16]. LV end-diastolic and end-systolic volumes  
162 and ejection fraction at rest were measured at the apical 4-chamber and 2-chamber views (by modified  
163 Simpson rule) [16]. Echocardiographic measurements were available in the majority of our patients  
164 ( $n=196$ , 92.5%).

165

### 166 **Statistical Analyses**

167 Data are presented as means $\pm$ SD, medians and interquartile ranges or percentages. The primary  
168 outcome of the study was the first re-hospitalization at 1 year. Re-hospitalization data were obtained  
169 from either reviewing the patients' hospital records or contacting the patients' physician and the  
170 referring cardiologist or contacting patients directly.

171

172 Differences in baseline clinical and biochemical characteristics between patients stratified by 1-year re-  
173 hospitalization status at follow-up were tested with either the unpaired Student's *t*-test (for normally  
174 distributed variables) or the Kruskal-Wallis test for non-normally distributed variables (*i.e.*, liver

enzymes, triglycerides, FIB-4 score, eGFR<sub>CKD-EPI</sub> and NT-proBNP). The  $\chi^2$  test was used to test for between-group differences among the categorical variables.

Univariate survival analysis was performed by the Kaplan-Meier analysis and the overall significance was calculated by the log-rank test. Cox regression analysis was used to examine the association between baseline NAFLD status and 1-year all-cause or cardiac re-hospitalization rates after adjustment for potential confounding variables. The model assumptions for the Cox proportional hazard regression models were checked by visual inspection of proportional hazard assumption, Schoenfeld's residuals and covariance matrix. Four forced-entry Cox regression models were performed: an unadjusted model; a model adjusted for age, sex and hospital ward (General Medicine *vs.* Geriatrics) (model 1); a model adjusted for age, sex, hospital ward, past history of HF, obesity (i.e., BMI  $\geq 30$  kg/m<sup>2</sup>), diabetes, CHD, eGFR<sub>CKD-EPI</sub>, plasma NT-proBNP levels and LV-ejection fraction (model 2); and, finally, a regression model additionally adjusted for serum sodium and GGT concentrations (model 3). Covariates included in these multivariable regression models were chosen as potential confounding factors on the basis of their significance in univariable analyses or on the basis of their biologic plausibility. Results of Cox regression models were presented as hazard ratios (HR) and 95% confidence intervals (CI). *P* values  $< 0.05$  were considered statistically significant.

## Results

Overall, the patients included in the study had a mean age of  $82 \pm 9$  years, 59% had permanent/persistent atrial fibrillation, 37.8% had established diabetes, 35.4% had CKD, 33.5% had pre-existing CHD, 28% had a past history of HF, 18% had a LV-ejection fraction  $\leq 40\%$ , and 51.4% patients had NAFLD (defined as presence of fatty liver on ultrasonography among patients with no history of excessive alcohol consumption or other known causes of chronic liver disease).

In the whole cohort, the first all-cause re-hospitalizations at 1 year occurred in 99 (46.7%) patients. Overall, 78% ( $n=77$ ) of these re-hospitalizations were due to worsening HF and 22% to extra-cardiac causes (mainly respiratory and gastrointestinal diseases). The cumulative re-hospitalization rates were 11.3% ( $n=24$ ) at 1 month, 25.5% ( $n=54$ ) at 3 months, 36.8% ( $n=78$ ) at 6 months and 46.7% ( $n=99$ ) at 1 year.

**Table 1** shows the baseline clinical and biochemical characteristics of patients stratified by re-hospitalization status during the follow-up. At baseline, patients who had been hospitalized during the follow-up were more likely to have pre-existing CHD and had higher plasma NT-proBNP and GGT levels and lower serum sodium levels than those not requiring re-hospitalization. Moreover, they were

also more often treated with spironolactone, and tended to have (insignificantly) lower values of LV-ejection fraction and eGFR<sub>CKD-EPI</sub>. Notably, the prevalence of NAFLD and its severity using the FIB-4 score at baseline were remarkably greater in patients with re-hospitalization at follow-up than in those without.

At baseline, the two groups of patients did not significantly differ in terms of age, sex, BMI, heart rate, blood pressure, complete blood count, plasma lipids, pre-existing diabetes and other comorbid conditions (CKD, COPD and atrial fibrillation), nor in terms of the hospital length of stay and current use of many ‘cardiovascular’ medications (including the use of lipid-lowering drugs, ACE-inhibitors, angiotensin receptor blockers, beta-blockers, furosemide, digitalis, amiodarone, antiplatelet agents or anticoagulants).

**Table 1.** Baseline clinical and biochemical characteristics of hospitalized patients with acute HF stratified by 1-year all-cause re-hospitalization status at follow-up.

	Without re-hospitalization (n=113)	With re-hospitalization (n=99)	<i>p</i> value
Male sex (%)	44.2	46.5	0.75
Age (years)	82 ± 10	82 ± 8	0.92
Body weight (kg)	77 ± 23	75 ± 19	0.40
Body mass index (kg/m <sup>2</sup> )	27.8 ± 6	26.8 ± 6	0.35
Heart rate (bpm)	85 ± 22	82 ± 20	0.31
Systolic blood pressure (mmHg)	132 ± 21	132 ± 23	0.87
Diastolic blood pressure (mmHg)	75 ± 12	76 ± 12	0.75
Pulse pressure (mmHg)	57 ± 17	56 ± 19	0.66
Sodium (mmol/l)	138 ± 6	136 ± 5	<0.05
Potassium (mmol/l)	4.2 ± 0.5	4.2 ± 0.5	0.83
Hemoglobin (g/dl)	12.2 ± 2	11.9 ± 2	0.26
White blood cell count (x 10 <sup>9</sup> /l)	8.03 ± 3	8.16 ± 3	0.76
Platelet count (x 10 <sup>9</sup> /l)	226 ± 73	222 ± 84	0.72
eGFR <sub>CKD-EPI</sub> (ml/min/1.73 m <sup>2</sup> )	55.1 ± 22	50.2 ± 22	0.09

GGT (U/l)	45 (24 – 81)	49 (24 – 96)	<0.05
AST (U/l)	23 (18 – 31)	25 (19 – 33)	0.07
ALT (U/l)	18 (12 – 26)	20 (13 – 32)	0.15
AST/ALT ratio	1.44 ± 0.8	1.28 ± 0.6	0.08
NT-proBNP (pg/ml)	579 (312 – 1018)	761 (400 – 1456)	<0.05
Total cholesterol (mmol/l)	3.72 ± 0.9	3.68 ± 0.9	0.81
Triglycerides (mmol/l)	0.99 (0.8 – 1.3)	0.98 (0.8 – 1.3)	0.74
LV-ejection fraction (%)	49.7 ± 14	46.2 ± 13	0.10
LV-ejection fraction ≤40% (%)	14.9	23.2	0.14
Diabetes (%)	37.2	38.4	0.86
Chronic obstructive pulmonary disease (%)	15.9	20.2	0.42
CHD (%)	24.8	43.4	<0.005
Stroke (%)	4.4	7.1	0.42
Pacemaker or ICD (%)	15.9	26.3	0.07
Atrial fibrillation (%)	57.5	60.6	0.65
Chronic kidney disease (%)	38.0	33.3	0.53
ACE-inhibitors/ARB users (%)	59.8	51.5	0.23
Furosemide users (%)	98.2	98.0	0.85
Spironolactone users (%)	29.5	43.4	<0.05
Beta-blocker users (%)	60.7	70.7	0.14
Digoxin users (%)	11.6	11.1	0.91
Amiodarone users (%)	2.7	3.0	0.89
Antiplatelet drug users (%)	36.6	49.5	0.09
Oral anticoagulant users (%)	39.3	39.4	0.99
Statin users (%)	22.3	25.3	0.62
Hospital stay (days)	13.6 ± 7	13.1 ± 6	0.62
Geriatric ward (%)	47.0	34.3	0.07
NAFLD (%)	31.9	73.7	<0.0001
NAFLD fibrosis (%) *			<0.0001
normal: FIB-4 <2	60.0	47.9	



intermediate: FIB-4 2-2.67	22.9	15.1
high: FIB-4 >2.67	17.1	37.0

Sample size,  $n=212$ . Data are expressed as means  $\pm$  SD, medians (IQR) or relative proportions.

Note: Measurements of plasma NT-proBNP and LV-ejection fraction were available in 206 and 196 patients, respectively. \* The FIB-4 score was calculated only in patients with NAFLD.

Abbreviations: ARB, angiotensin receptor blocker; ALT, alanine aminotransferase; AST, aspartate aminotransferase; CHD, coronary heart disease;  $eGFR_{CKD-EPI}$ , estimated glomerular filtration rate (as estimated by the CKD-EPI equation); GGT, gamma-glutamyltransferase; LV, left ventricular; NAFLD, non-alcoholic fatty liver disease; NT-proBNP, NT pro-brain natriuretic peptide.

**Supplementary Table 1** shows the clinical and biochemical data of the patients stratified by the hospital ward. Patients admitted to the Geriatric ward were older and had a lower body weight and a longer hospital stay than those admitted to the General Medicine ward. The two groups did not differ in terms of most of other clinical and biochemical data, except for a higher proportion of patients admitted to the General Medicine ward, who were treated with spironolactone or antiplatelet agents and who had established diabetes or NAFLD.

When the whole sample of patients was stratified by NAFLD status at baseline, patients with NAFLD ( $n=109$ ) were more likely to be male (56% *vs.* 34%), younger ( $80\pm 9$  *vs.*  $84\pm 9$  years) and had higher BMI ( $28.4\pm 7$  *vs.*  $25.8\pm 5$   $kg/m^2$ ), higher serum triglycerides ( $1.19\pm 0.5$  *vs.*  $0.95\pm 0.4$  mmol/l) and lower values of AST/ALT ratio and  $eGFR_{CKD-EPI}$  compared to those without NAFLD ( $n=103$ ). The two groups of patients did not differ significantly in terms of most of the other clinical and biochemical data, including plasma NT-proBNP levels and LV-ejection fraction (data not shown).

The cumulative proportions of patients with 1-year all-cause or cardiac re-hospitalization by NAFLD status are shown in **Fig 1** (panel A and B). The Kaplan-Meier analysis showed that approximately 70% of patients with NAFLD at baseline were readmitted to the hospital at 1 year *vs.* only ~20% of those without NAFLD ( $p<0.0001$  for the difference by the log-rank test). Similar results were found for 1-year cardiac re-hospitalization (panel B).

**Fig. 1. This is the Fig. 1 Title** - Kaplan-Meier curves. Rates of 1-year all-cause (panel A) or cardiac (panel B) re-hospitalization in hospitalized patients with acute HF stratified by their ultrasound-diagnosed NAFLD status at baseline. Patients with NAFLD: closed circles; patients without NAFLD: open circles.  $P<0.0001$  for the difference by the log-rank test.

As shown in **Fig. 2**, the Kaplan-Meier curves for the rates of 1-year all-cause re-hospitalization showed that the rate of this endpoint was higher in patients with ultrasound-diagnosed NAFLD and high FIB-4

score (FIB-4 >2.67; a marker of advanced fibrosis) as compared to other subgroups of patients with normal or intermediate FIB4 scores or those without NAFLD ( $p<0.0001$  by the log-rank test). Almost identical results were found for 1-year cardiac re-hospitalization (data not shown). Similar results were also observed when we used other non-invasive fibrosis scores to identify/exclude advanced NAFLD fibrosis, such as the APRI index or the NAFLD fibrosis score (in this latter case, however, the number of patients with available data for calculating the NAFLD fibrosis score was smaller due to the lack of extensive measurement of serum albumin concentrations) (data not shown). However, these results should be interpreted with some caution because all of these non-invasive fibrosis markers have not been sufficiently validated in a non-NAFLD population.

**Fig. 2. This is the Fig. 2 Title** - Kaplan-Meier curves. Rates of 1-year all-cause re-hospitalization in hospitalized patients with acute HF stratified by baseline NAFLD status and FIB-4 score The FIB-4 score was used to categorize the severity of advanced liver fibrosis in patients with NAFLD.  $P<0.0001$  for the difference by the log-rank test.

**Table 2** shows the effect of adjustment for multiple potential confounders on the association between NAFLD and the risk of 1-year all-cause or cardiac re-hospitalization. In univariable regression analyses, NAFLD was associated with an approximately 3.5-fold increased risk of all-cause re-hospitalization and with an approximately 5.9-fold increased risk of cardiac re-hospitalization. After adjusting for age, sex and hospital ward (model 1), NAFLD maintained a strong association with 1-year re-hospitalization. The strength of this association was not attenuated after further adjustment for obesity, diabetes, CHD, past history of HF, LV-ejection fraction, eGFR<sub>CKD-EPI</sub> and plasma NT-proBNP levels (model 2). Finally, additional adjustment for circulating levels of sodium and GGT did not appreciably weaken the association between NAFLD and risk of 1-year re-hospitalization (model 3). Of note, in model 3 other independent predictors of increased 1-year all-cause or cardiac re-hospitalization rates, together with NAFLD, were higher baseline levels of plasma NT-proBNP and GGT.

**Table 2.** Cox regression analyses – Associations between NAFLD and risk of 1-year all-cause or cardiac re-hospitalization rates in hospitalized patients with acute HF at baseline.

Cox Hazard Models	Hazard ratio(s)	95% CI	<i>p</i> value
<i>1-year all-cause re-hospitalization</i>			
<b>NAFLD (yes vs. no)</b>			
Unadjusted model	3.50	2.23 – 5.49	<0.0001

Adjusted model 1	3.65	2.28 – 5.81	<0.0001
Adjusted model 2	4.60	2.69 – 7.94	<0.0001
Adjusted model 3	5.01	2.78 – 9.10	<0.0001

### *1-year cardiac re-hospitalization*

#### **NAFLD (yes vs. no)**

Unadjusted model	5.86	3.27 – 10.4	<0.0001
Adjusted model 1	6.24	3.44 – 11.1	<0.0001
Adjusted model 2	8.76	5.30 – 16.4	<0.0001
Adjusted model 3	8.05	3.77 – 15.8	<0.0001

Sample size:  $n=212$  for 1-year all-cause re-hospitalizations and  $n=187$  for 1-year cardiac re-hospitalizations, respectively. Data are expressed as hazard ratios  $\pm$  95% confidence intervals (CI) as assessed by either univariable (unadjusted) or multivariable Cox hazard models.

Other covariates included in the three multivariable regression models, together with NAFLD, were as follows: *model 1*: age, sex and hospital ward (General Medicine vs. Geriatrics); *model 2*: age, sex, hospital ward, past history of HF, diabetes, CHD, obesity (i.e., BMI  $\geq 30$  kg/m<sup>2</sup>), eGFR<sub>CKD-EPI</sub>, LV-ejection fraction and plasma NT-proBNP; *model 3*: adjustment for the same variables included in model 2 plus serum sodium and GGT levels.

Interestingly, NAFLD remained significantly associated with higher 1-year re-hospitalization rates from all causes (model 3: adjusted-HR 7.02, 95% CI 3.6-13.5) and from cardiac causes (model 3: adjusted-HR 13.1, 95% CI 5.2-31.6) even after excluding patients with re-hospitalization in the early post-discharge period, i.e., re-hospitalizations at 1 month ( $n=24$ ).

**Fig. 3** shows the cumulative proportions of patients with all-cause re-hospitalization at 1 year after simultaneous stratification by ultrasound-diagnosed NAFLD status and serum GGT levels (i.e., high GGT  $>46$  U/l or normal GGT  $\leq 46$  U/l; this cut-off corresponds to the median value of serum GGT in the whole cohort of patients). The Kaplan-Meier analysis showed that the risk of 1-year all-cause re-hospitalization was greatest in patients with NAFLD and high GGT (approximately 75% of these patients were readmitted to the hospital at 1 year), intermediate in those with NAFLD alone (approximately 65% of these patients were readmitted to the hospital at 1 year) and lowest in those without NAFLD, irrespective of their GGT levels. In particular, the risk of 1-year re-hospitalization was similar for patients without NAFLD with normal GGT and those with high GGT alone (approximately

25% of these patients were readmitted to the hospital at 1 year). Almost identical results were found for 1-year cardiac re-hospitalization (data not shown).

**Fig. 3. This is the Fig. 3 Title** - Kaplan-Meier curves. Rates of 1-year all-cause re-hospitalization in hospitalized patients with acute HF simultaneously stratified by baseline NAFLD status and serum gamma-glutamyltransferase (GGT) concentrations (*i.e.*, high or normal GGT according to its median value  $\leq 46$  vs.  $>46$  U/l).  $P < 0.0001$  for the difference by the log-rank test.

We undertook other sensitivity analyses (subgroup analyses) to evaluate the robustness of our findings. Notably, the association between NAFLD and 1-year all-cause re-hospitalization was consistently demonstrated in all subgroups examined. In particular, we found that there were significant age-adjusted associations between NAFLD and 1-year all-cause re-hospitalization rates in both men (HR 3.12, 95% CI 1.5-6.7) and women (HR 4.16, 95% CI 2.3-7.7), in those admitted to the hospital ward of General Medicine (HR 3.03, 95% CI 1.7-5.3) or Geriatrics (HR 4.5, 95% CI 2.1-10), in those with (HR 3.91, 95% CI 1.2-12.5) and without (HR 3.28, 95% CI 2.0-5.4) past history of HF, in those with (HR 3.23, 95% CI 1.5-7.1) and without (HR 3.70, 95% CI 2.1-6.7) pre-existing CHD, in those with (HR 8.33, 95% CI 2.6-25) and without (HR 2.95, 95% CI 1.7-5.1) established diabetes, in those with (HR 4.33, 95% CI 2.1-8.5) and without (HR 3.95, 95% CI 1.8-5.6) obesity, in those treated with (HR 3.71, 95% CI 1.8-7.7) or without (HR 3.33, 95% CI 1.9-5.9) spironolactone, in those with higher ( $>1000$  pg/ml: HR 5.26, 95% CI 2.6-10) or lower NT-proBNP ( $\leq 1000$  pg/ml: HR 3.13, 95% CI 1.7-5.9), and in those with LV-ejection fraction below 40% (HR 7.15, 95% CI 2.1-24) or above 40% (HR 3.23, 95% CI 2.0-5.3). Almost identical results were found for 1-year cardiac re-hospitalization (data not shown).

## Discussion

The novel results of our prospective study are as follows: 1) 1-year re-hospitalizations (most of which were due to worsening HF) occurred in 46.7% of patients, who were discharged after their first acute HF admission; 2) the prevalence of NAFLD at baseline was approximately 2.5-fold higher in patients with re-hospitalization at follow-up than in those without; 3) patients with NAFLD had remarkably higher rates of 1-year all-cause and cardiac re-hospitalization compared to their counterparts without NAFLD; both event rates were particularly increased in NAFLD patients with advanced hepatic fibrosis (as estimated by the FIB4 score or other non-invasive fibrosis scores); and 4) NAFLD and its severity were independently associated with substantially increased rates of 1-year all-cause and cardiac re-hospitalization. Interestingly, these findings were consistent in all subgroups evaluated, including also in those with and without established diabetes or prior CHD, in those with higher or lower plasma NT-proBNP, and in those with preserved or reduced LV-ejection fraction at baseline.

359 To our knowledge, this is the largest prospective study aimed at examining the prognostic value of  
360 NAFLD *per se* in predicting 1-year all-cause and cardiac re-hospitalization rates in elderly patients  
361 admitted for acute HF. Our results extend those that we recently reported in a pilot study on a smaller  
362 sample of 107 elderly patients admitted for acute HF, in which NAFLD was found to be significantly  
363 associated with higher 1-year all-cause re-hospitalization rates [11]. All these 107 patients were  
364 included in the present study, but the sample size was now almost doubled by including also patients  
365 admitted to the Geriatric ward of our hospital over the same period of follow-up. Additionally, in the  
366 current study more detailed information was also recorded regarding the severity of liver fibrosis in  
367 patients with NAFLD (using the FIB-4 score and other non-invasive clinical markers of advanced  
368 NAFLD fibrosis) as well as the cardiac and extra-cardiac causes of 1-year re-hospitalization for all  
369 patients. From a statistical standpoint, the doubling of the sample size of the study (with a consequent  
370 marked increase in the total number of clinical outcomes) allowed the achievement of solid and reliable  
371 results both from the subgroup analyses and from the multivariable regression analyses after adjustment  
372 for established risk factors and potential confounders.

373

374 Rates of 1-year re-hospitalization we observed in this study were comparable to those reported by other  
375 investigators in large cohorts of hospitalized patients with acute HF with similar baseline demographic  
376 characteristics [2,17-19]. In this study, we also found that higher circulating levels of NT-proBNP and  
377 GGT were two independent predictors (along with NAFLD) of higher 1-year re-hospitalization rates.  
378 Previous studies reported a strong and independent association between higher NT-proBNP and poor  
379 clinical outcomes in patients with acute HF [20]. Similarly, previous studies also reported that the  
380 presence of severe HF was frequently associated with increased serum GGT, bilirubin and  
381 aminotransferase levels [2,20]. Moreover, in a cohort of ambulatory patients with chronic HF, increased  
382 serum GGT levels predicted independently the rates of mortality or heart transplantation over a mean  
383 follow-up of 34 months [21].

384

385 A possible caveat in interpreting the results of this study is that moderately elevated serum liver enzyme  
386 levels may be present in patients with acute HF, possibly due to either the use of some potentially  
387 hepato-toxic drugs (such as amiodarone or warfarin) or the coexistence of congestive hepatopathy (*i.e.*,  
388 a condition caused by passive venous congestion of the liver that generally occurs in the setting of  
389 chronic cardiac conditions, such as chronic HF, constrictive pericarditis, tricuspid regurgitation or right-  
390 sided HF of any cause) [2,22]. However, we believe that the most important strength and the added  
391 value of our study was that the diagnosis of NAFLD was based on ultrasonography (and not on  
392 abnormal serum liver enzyme levels), which is able to differentiate hepatic steatosis from congestive  
393 hepatopathy (mainly through the ultrasonographic evaluation of both caval and supra-hepatic veins)  
394 [23]. We cannot, obviously, exclude that some of our patients with ultrasound-diagnosed NAFLD and  
395 raised serum liver enzyme levels could also have a coexisting congestive hepatopathy. However, our

396 patients with hepatic steatosis (diagnosed by ultrasonography) exhibited the typical anthropometric and  
397 biochemical features of NAFLD [24]. Furthermore, as shown in Figure 3, it is important to underline  
398 that the highest risk of 1-year all-cause or cardiac re-hospitalizations was observed in patients with  
399 hepatic steatosis and high GGT, intermediate in those with hepatic steatosis alone, and the lowest in  
400 patients without hepatic steatosis, irrespective of their serum GGT levels. In addition, there were no  
401 significant differences in baseline LV-ejection fraction or use of potentially hepato-toxic drugs (such as  
402 warfarin and amiodarone) between those with and those without re-hospitalization at follow-up. Finally,  
403 the association between NAFLD and 1-year re-hospitalization remained significant even after excluding  
404 patients with re-hospitalization in the early post-discharge period (*i.e.*, those with a higher likelihood of  
405 having more ‘severe’ HF and possibly coexisting congestive hepatopathy).

406

407 A number of underlying mechanisms can explain the association between NAFLD and increased 1-year  
408 re-hospitalization in patients with acute HF. Mounting evidence suggests that NAFLD, especially in its  
409 more advanced forms [non-alcoholic steatohepatitis (NASH) and advanced fibrosis], is not only  
410 associated with an increased risk of CHD, but is also strongly associated with functional and structural  
411 cardiomyopathy that may lead to the development of HF over time [4,6,10,25,26]. Moreover, NAFLD is  
412 associated with enlarged left atrial volume, and an increased risk of atrial fibrillation, a known risk  
413 factor of new-onset HF [27-30]. Finally, clear evidence also indicates that NAFLD, especially NASH  
414 with varying amounts of hepatic fibrosis, may exacerbate hepatic/peripheral insulin resistance and  
415 releases a variety of proinflammatory factors, vasoactive factors and thrombogenic molecules that play  
416 important roles in the development of CHD and other functional, structural and arrhythmic  
417 complications of the heart [4,6,25]. It is plausible to assume that one of the most important reasons why  
418 patients with progressive NAFLD have recurrent HF over time could be, in large part, due to worsening  
419 CHD. This assumption may be also true in other different disease models in which there is a high  
420 prevalence of hepatic steatosis, such as chronic infections due to either hepatitis C virus (HCV) or  
421 human immunodeficiency virus (HIV). Indeed, there is now accumulating evidence reinforcing the  
422 assertion that the presence of fatty/inflamed/fibrotic liver is a shared important determinant for the  
423 development of CHD and other cardiac complications in patients with HCV or HIV [31].

424

425 Our study has some limitations that should be mentioned. Firstly, this study is limited by its single-  
426 center, observational design, which limits our ability to establish the causality of the observed  
427 associations. Secondly, although our statistical models were extensive, unmeasured confounding factors  
428 might partially explain the observed associations. Thirdly, the diagnosis of NAFLD was based on  
429 ultrasonography and exclusion of secondary causes of chronic liver disease but was not confirmed by  
430 liver biopsy, which is considered as the reference standard for diagnosing and staging NAFLD [32].  
431 However, we believe that it would have been hazardous to perform liver biopsies for these elderly HF  
432 patients with normal or only moderately elevated serum liver enzymes. Indeed, ultrasonography enables

433 a reliable and accurate detection of moderate-to-severe hepatic steatosis compared with liver histology.  
434 A recent meta-analysis reported that the overall sensitivity and specificity of ultrasonography for the  
435 detection of moderate-to-severe fatty liver, compared to histology, were approximately 85% and 95%,  
436 respectively [14]. Finally, the use of non-invasive markers of advanced NAFLD fibrosis (such the FIB-  
437 4, APRI or NAFLD fibrosis scores) has not been adequately validated in patients with acute HF or in a  
438 general population. That said, future studies in larger cohorts of well-characterized patients with  
439 NAFLD (as diagnosed by magnetic resonance-proton density fat fraction and magnetic resonance  
440 elastography, which are rapidly being recognized as being as good as liver biopsies) [33,34] are needed  
441 to better elucidate whether the severity of NAFLD may differentially affect the risk of all-cause and  
442 cardiac re-hospitalization in patients admitted for acute HF.

443

444 Despite these limitations, our study has important strengths, including its relatively large sample size,  
445 the ultrasonographic diagnosis of NAFLD, the completeness of the dataset, the ability to adjust for  
446 multiple clinical risk factors and potential confounding factors, and the exclusion of patients with end-  
447 stage renal disease, cancer or cirrhosis.

448

## 449 **Conclusion**

450 Our results show that NAFLD and its severity - using the FIB-4 score or other non-invasive markers of  
451 advanced NAFLD fibrosis - were strongly and independently associated with an increased risk of 1-year  
452 all-cause and cardiac re-hospitalization in elderly patients admitted for acute HF. Further prospective  
453 studies are needed to corroborate these findings in other independent samples.

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458

459 **Acknowledgments:** CDB is supported in part by the Southampton National Institute for Health  
460 Research Biomedical Research Centre. GT is supported in part by grants from the University School of  
461 Medicine of Verona, Verona, Italy.

462

463

464 **Authors Contributions:** G.T. and F.V. conceived the design of the study. F.V. and S.B., researched  
465 data, contributed to discussion and reviewed/edited the manuscript. D.A., L.S., C.G., P.A., E.T.,  
466 researched data, reviewed/edited the manuscript. A.M., G.Z., G.A. and C.D.B contributed to discussion  
467 and reviewed/edited the manuscript. G.T. analyzed the data, wrote the manuscript draft, and is the  
468 guarantor of this work and, as such, had full access to all the data in the study and takes responsibility  
469 for the integrity of the data and the accuracy of the data.

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## Supporting Information

**S1 Table. This is the S1 Table Legend.** Baseline clinical and biochemical characteristics of hospitalized patients with acute HF stratified by the hospital ward.