

1 **Transition to Manual: comparing simulator with on-road control transitions**

2 Eriksson A¹, Banks V A², Stanton N A¹

3 ¹*Transportation Research Group, Faculty of Engineering and the Environment, University of*
4 *Southampton, Boldrewood campus, SO16 7QF, UK*

5 ²*Human Factors Research Group, University of Nottingham, UK*
6

7 Corresponding author: Alexander Eriksson Transportation Research Group, Faculty of Engineering
8 and the Environment, University of Southampton, Boldrewood campus, SO16 7QF, UK. Email:
9 Alexander.eriksson@soton.ac.uk

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14

15 **Abstract**

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Background: Whilst previous research has explored how driver behaviour in simulators may transfer to the open road, there has been relatively little research showing the same transfer within the field of driving automation. As a consequence, most research into human-automation interaction has primarily been carried out in a research laboratory or on closed-circuit test tracks.

Objective: The aim of this study was to assess whether research into non-critical control transactions in highly automated vehicles performed in driving simulators correlate with road driving conditions.

Method: Twenty six drivers drove a highway scenario using an automated driving mode in the simulator and twelve drivers drove on a public motorway in a Tesla Model S with the Autopilot activated. Drivers were asked to relinquish, or resume control from the automation when prompted by the vehicle interface in both the simulator and on road condition.

Results: Drivers were generally faster to resume control in the on-road driving condition. However, strong positive correlations were found between the simulator and on road driving conditions for drivers transferring control to and from automation. No significant differences were found with regard to workload, perceived usefulness and satisfaction between the simulator and on-road drives.

Conclusion: The results indicate high levels of relative validity of driving simulators as a research tool for automated driving research.

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37 **Highlights**

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- Control transitions in automated on road and simulated driving were assessed

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- Correlation analysis was carried out for control transitions on road, and in simulators.

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- No differences in self-reported workload, or technology acceptance were found between

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conditions.

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- Results indicate high levels of relative validity for the use of simulators in control transition

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research.

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45 1. Introduction

46 Self-driving vehicles have gone from a futuristic dream to an engineering reality (Stanton, 2015),
47 fuelled by Moore's law (Moore, 1965). Continued development of ADAS systems such as Anti-lock
48 Braking, Automatic Emergency Brake (Banks & Stanton, 2017), Adaptive Cruise Control (Larsson et al.,
49 2014; Seppelt & Lee, 2007; Stanton & Young, 2005; Young & Stanton, 2007), and Lane Keeping Assist
50 (Ishida & Gayko, 2004; Young & Stanton, 2007) are introduced as standard features on many
51 contemporary vehicles . Vehicle manufacturers are trying to combine these function specific
52 assistance systems (NHTSA, 2013) into a holistic solution, called combined function assistance (NHTSA,
53 2013) or Highly Automated Driving (HAD). Examples of such technology emerging into the marketplace
54 include 'Integrated Cruise Assist' (Bosch, 2015), 'Autopilot' (Tesla Motors, 2016), 'Intellisafe Autopilot'
55 (Volvo Cars, 2016) and 'Highway Pilot' (Daimler, 2016). These systems automate both longitudinal and
56 lateral aspects of driving, as well as automating some of the traditional decision-making tasks of the
57 driver, such as anticipation of velocity reduction, monitoring lane position, and adherence to speed
58 limitations (Banks et al., 2014; Kircher et al., 2014; Stanton et al., 1997; Stanton & Young, 2005). This
59 is a form of "driver initiated automation", where the driver is in control of when the system is engaged
60 or disengaged (Banks & Stanton, 2015, 2016; Lu & de Winter, 2015). Such HAD systems could enable
61 the driver to become hands-free and feet-free (Banks & Stanton, 2014).

62 One of the main benefits of HAD is its potential for reducing the number of road traffic accidents. In
63 2010, NHTSA reported that the cost of motor vehicle crashes amounted to \$242 billion per annum and
64 32,999 fatalities in the United States (Blincoe et al., 2015), and over 1.2 million fatalities worldwide
65 (World Health Organization, 2009). Elon Musk, CEO of Tesla Motors stated that *"The probability of*
66 *having an accident is 50% lower if you have Autopilot on. Even with our first version. So we can see*
67 *basically what's the average number of kilometers to an accident – accident defined by airbag*
68 *deployment. Even with this early version, it's almost twice as good as a person."*- Musk (2016).
69 Furthermore, Ross (2016) showed that Tesla Autopilot maintains its distance to the lane centre more

70 consistently than manual drivers. Whilst it remains to be seen whether HAD features can yield
71 significant decreases in accident rates (Kalra & Paddock, 2016), it is estimated that HAD could greatly
72 reduce societal costs such as medical, legal, emergency service (EMS), insurance administration and
73 congestion costs, property damage, and workplace losses resulting from accident involvement
74 (Blincoe et al., 2015). This could help progress towards the goal of the European Commission to halve
75 the number of road deaths in the European Union by 2020 (European Commission, 2010).

76 Even so, HAD should not be viewed as a panacea in driving safety (Kalra & Paddock, 2016). HAD
77 features are unable to cope with all possible driving scenarios. This was demonstrated by the recent
78 Tesla incident where a vehicle crashed in to a trailer with the Autopilot engaged (Levin & Woolf, 2016).
79 HAD features operate within strict functional limits and once these limits are reached, ceases to
80 function effectively, if at all (SAE J3016, 2016; Stanton, 2015). Despite the good intentions of HAD, the
81 sudden increase in demand resulting from a transition between HAD to manual control (De Winter et
82 al., 2014; Stanton et al., 1997), could pose a significant problem for drivers of HAD vehicles as driving
83 is a very demanding activity that comprises of over 1600 sub-tasks (Walker et al., 2015).

84 Human Factors research into automated driving has been ongoing since the mid-90s (Nilsson, 1995;
85 Stanton & Marsden, 1996). As the motor-industry advances toward HAD, research conducted in
86 driving simulators will become ever more important (Boer et al., 2015). Driving simulators have the
87 advantage of allowing the evaluation of driver reactions to new technology within a virtual
88 environment without the physical risk found on roads (Carsten & Jamson, 2011; De Winter et al., 2012;
89 Flach et al., 2008; Stanton et al., 2001; Underwood et al., 2011). It is widely accepted that driving
90 simulation offers a high degree of controllability and reproducibility as well as providing access to
91 variables that are difficult to accurately determine in the real world (Godley et al., 2002), such as lane
92 position and distance to roadway objects (Santos et al., 2005; Van Winsum et al., 2000).

93 When evaluating the validity of a simulator, Blaauw (1982) distinguished between two types of
94 simulator validity; physical and behavioural validity. Physical validity refers to the level of

95 correspondence between the physical layout, the configuration of the driver cabin, components and
96 vehicle dynamics in the simulator and a real world counterpart. Behavioural fidelity, or the
97 correspondence in driver behaviour between the simulator and its on-road counterpart, is arguably
98 the most important form of validity when it comes to the evaluation of a specific task (Blaauw, 1982).
99 Behavioural fidelity can be further extended into absolute validity and relative validity. Absolute
100 validity is obtained when the absolute size of an effect measured in a simulator is the same as the
101 absolute effect measured in its on-road counterpart. Relative validity on the other hand describes how
102 well the relative size, or direction of an effect measured in the simulator corresponds to real driving
103 (Blaauw, 1982; Kaptein et al., 1996).

104 There is plenty of research the design of Human Machine Interfaces, driver errors and task load, very
105 little of the research has demonstrated transfer from the simulated environment to the open road
106 (Mayhew et al., 2011; Santos et al., 2005; Shechtman et al., 2009; Stanton et al., 2011; Stanton &
107 Salmon, 2009; Stanton et al., 2001; Wang et al., 2010). Most of the research showing how drivers
108 interact with highly automated vehicles outside of simulators have taken place on closed test tracks
109 (Albert et al., 2015; Llaneras et al., 2013; Stanton et al., 2011). Only a minority of studies on HAD being
110 performed on the road (Banks & Stanton, 2016). Those remaining studies have investigated sub-
111 systems such as Adaptive Cruise Control (Beggiato et al., 2015; Morando et al., 2016) and Lane Keeping
112 Assistance systems (euroFOT, 2012; Ishida & Gayko, 2004; Stanton et al., 2001). This means that there
113 is a paucity of research into the relative validity of driver behaviour in simulated HAD vehicles. This
114 lack of studies could be attributed to the costs and risks associated with non-professional drivers
115 driving prototype vehicles (such as the Mercedes S/E-class and Tesla vehicles equipped with these
116 features, for road testing (Mercedes-Benz, 2015; safecarnews.com, 2015; Tesla Motors, 2016))
117 Consequentially, most research into human-automation interaction has been limited to simulators
118 (for a review on control transitions in the simulator see Eriksson & Stanton, 2017) or closed test tracks
119 (e.g. Albert et al., 2015; Llaneras et al., 2013; Stanton et al., 2011). A disadvantage of testing on closed

120 test track compared to on road testing is the reduced complexity and dissonance between driver
121 behaviour on the track and normal on road driving as well as the lack of other road users.

122 The purpose of the research reported in this paper was to explore whether control transitions
123 between automated driving and manual driving observed in a driving simulator study are similar to
124 real-world driving. A recent meta-analysis found that drivers of manual vehicles (SAE Level 0) take
125 approximately 1 second to respond to sudden events in traffic (Eriksson & Stanton, 2016). It was also
126 found that drivers of “function specific automation” (ACC and assistive steering, SAE Level 1 and 2)
127 took an additional 1.1-1.5 seconds to respond to a sudden automation failure and that drivers of HAD
128 vehicles (SAE level 3) took on average 2.96 ± 1.96 seconds to respond to a control transition request
129 leading up to a critical event, such as a stranded vehicle (Eriksson & Stanton, 2016). In contrast, Google
130 (2015) reported that it takes their professional test drivers 0.84 seconds to respond to automation
131 failures of their autonomous (SAE Level 4/5) prototypes whilst driving on public roads based on 272
132 discrete events. Moreover, the meta-analysis showed that the response time varies with the lead-time
133 between the control transition request and a critical event. The reported lead times to the critical
134 event at the point the request from manual control was issued varied between 2 and 30 seconds, and
135 was 6.37 seconds on average. This is somewhat problematic as the SAE guidelines for level 3
136 automation states that the driver: *“Is receptive to a request to intervene and responds by performing*
137 *dynamic driving task fallback in a timely manner”* (SAE J3016, 2016, p. 20). A decision to explore
138 control transitions in non-urgent situations was made due to the lack of research into driver-paced
139 transitions of control, which arguably is one of the more common use-cases for HAD control
140 transitions, when for example leaving a highway.

141 **2 Method**

142 This paper is based upon the results of a two-phase between-participant research project. The first
143 phase involved collecting times for control transitions within a simulated driving environment and the

144 second phase collected the same data from the open road. The experimental design and procedure
145 for each study are discussed in turn.

146 **2.1 Phase 1**

147 **Participants**

148 Phase one of the study used 26 participants (10 females, 16 males) between 20 and 52 years of age
149 (Mean = 30.27 *SD* = 8.52) with a minimum one year driving experience (Mean = 10.57, *SD* = 8.61). This
150 part of the study had been approved by the Southampton University ERGO ethics committee (RGO
151 number 17771). Participants had no previous experience with ADAS systems.

152 **Equipment**

153 The study was carried out in a fixed based driving simulator located at the University of Southampton.
154 The simulator was a full cab Jaguar XJ 350 with integrated pedal and steering sensors provided by
155 Systems Technology Inc. as part of STISIM Drive® M500W Version 3
156 (<http://www.stisimdrive.com/m500w>) providing a projected 140° field of view. The instrument cluster
157 was displayed on a 10.6" Sharp LQ106K1LA01B Laptop LCD panel display fitted in place of the original
158 instrument cluster. Participants were instructed to drive at a speed of 70 mph on a 30 kilometre, three
159 lane highway with some curves, with oncoming traffic in the opposing three lanes separated by a
160 barrier and moderate traffic conditions.

161 **2.2 Phase 2**

162 **Participants**

163 The second phase of the study comprised of 12 participants (6 males, 6 females) between 20 and 49
164 years of age (Mean = 32.33 *SD* = 10.98) with a minimum one year driving experience (Mean = 14.58,
165 *SD* = 11.13). All participants in the on road trial had undertaken extended driver training as a legal
166 requirement for insurance purposes for the execution of phase 2; and therefore had previous
167 experience with Advanced Driver Assistance Systems, such as Adaptive Cruise Control or Lane Keeping
168 Assist. Nevertheless, none of the drivers had previous experience with the Tesla Autopilot system. The

169 second phase of the study was approved by the Southampton University ERGO ethics committee (RGO
170 number 19151).

171 **Equipment**

172 Phase 2 of the study was conducted using a Tesla Model S P90 equipped with the Autopilot software
173 feature which enables short periods of hands- and feet-free driving on motorways as longitudinal and
174 lateral control becomes automated. Drivers were reminded that they were ultimately responsible for
175 safe vehicle operation and were not actively encouraged to remove their hands from the wheel at any
176 point during the study. To ensure consistency between the two experiments, an iPad was mounted
177 next to the instrument cluster running the application “Duet Display”. This enables the iPad to act as
178 a secondary monitor, displaying the same type of ‘Take-over request’ (TOR) visual feedback and
179 auditory messages as in the simulator trial. The TOR’s were reset by the experimenter, sat in the rear
180 of the vehicle, in a Wizard-of-Oz fashion (Dahlbäck et al., 1993). To capture the control transitions a
181 Video VBox Pro from Racelogic was used.

182 Participants were invited to drive along public roads and highways within Warwickshire, United
183 Kingdom (B4100, M40 and M42). They were asked to adhere to national speed limits at all times and
184 to keep lane changes to a minimum. Data recording of TOR response times took place on the M40 and
185 M42 where speed was limited to 70 mph.

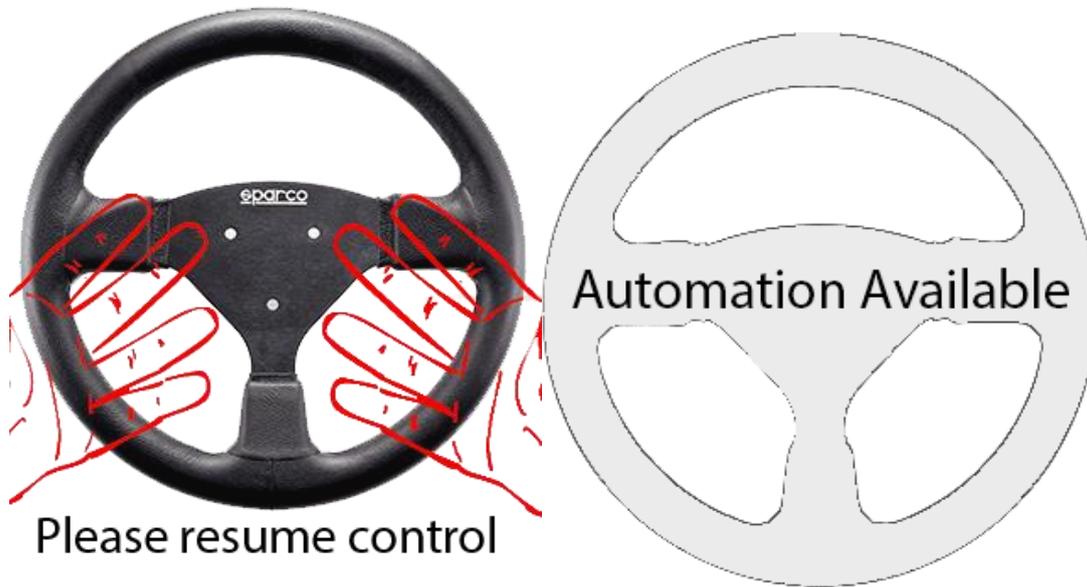
186 **2.3 Procedure**

187 Upon providing informed consent, participants in both phases of the study were provided with
188 additional information about the HAD feature they would be driving with. They were told that the
189 Tesla system could be overridden via the steering wheel, throttle or brake pedals, and through a touch
190 screen interface in the simulator. Participants were reminded that they were responsible for the safe
191 operation of the vehicle at all times, regardless of its mode (manual or automated) in accordance with
192 recent amendments to the Vienna Convention of Road Traffic (United Nations, 1968). Participants
193 were told that the system may prompt them to either resume or relinquish control of the vehicle

194 during the drive, and that they should adhere to the instruction only when they felt it was safe to do
195 so. This was intended to reduce the pressure on participants to respond immediately and to reinforce
196 the idea that they were ultimately responsible for safe vehicle operation. For the 12 participants
197 involved in the on-road study, additional instructions were given to ensure they remained aware of
198 the vehicle's internal HMI (specifically about the state of the Autopilot) in an effort to maintain the
199 safety of the vehicle driver and passengers in case of Autopilot malfunction, or failure to engage the
200 Autopilot due to for example, missing lane markings. To support them in doing this, a qualified safety
201 driver was present in the passenger seat at all times, ready to prompt the drivers to take back control
202 if the need to regain control arose, or to press the emergency stop button in the Tesla centre display
203 should the driver be unresponsive to prompts by the safety driver.

204 In both phases of the study, control transition requests were presented as both a visual cue (Figure
205 1) and an auditory message in the form of a computer-generated, female, voice stating "*please*
206 *resume control*" or "*automation available*". The interval in which these requests were issued ranged
207 from 30-45 seconds, thus allowing for approximately 24 control transitions half of which were to
208 manual during the approximately 20 minute drive on the M40 and M42.

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211 **Figure 1. Left hand side, the instrument cluster showing a take-over request. The visual TOR was**
212 **coupled with a computer-generated voice message stating "please resume control". On the right**
213 **hand side is a control transitions request to automated vehicle control presented in the instrument**
214 **cluster, coupled with a computer-generated voice message stating "automation available".**

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216 In the simulated driving condition the HMI used to switch mode was located in the centre display,
217 running on a windows tablet, consisting of two buttons used to engage or disengage the automated
218 driving feature. The automated driving system in the simulator was set to disengage only when the
219 mode-switching buttons were pressed to allow for consistent control transitions. In the on-road
220 driving condition the automated driving feature was engaged by a 'double pull' on a control stork on
221 the left side of the steering wheel, below the indicator stork. To disengage the automated driving
222 feature the driver could either; depress the brake, to disengage both the ACC and Lateral control,
223 apply a steering input to disengage the lateral control only, or press the control stork forwards to
224 disengage the ACC and lateral control.

225 Reaction times to the control transition request were recorded for each participant. In phase 1,
226 reaction time was recorded from the onset of stimuli until the driver completed the requested action.

227 In phase 2, reaction times to control transition requests were captured through Racelogic video VBOX
228 Pro and manually coded based upon the mode-indicator in the Tesla Instrument Cluster switching

229 mode after the control transition request was displayed in the iPad display. At the end of each drive,
230 participants were asked to fill out the NASA-TLX (Hart & Staveland, 1988) and Technology acceptance
231 Scale (Van Der Laan et al., 1997) with respect to the control transition process.

232 **3 Analysis**

233 The median Take Over Reaction Time values for each participant were calculated (Baayen & Milin,
234 2010) after which Wilcoxon rank sum tests were computed to analyse response-time and TLX data.
235 The box plots in Figure 2 were adjusted to accommodate the log-normal distribution of the Take Over
236 Response-time data (Hubert & Vandervieren, 2008).

237 To enable correlation analysis of take-over response times between the two groups of participants,
238 drivers from the simulated drive were matched with drivers from the on road driving scenario on
239 gender, age and driving experience as shown in Table 1. An uneven sample size was still present after
240 participant matching, with fewer transitions recorded in the on road condition. Therefore, a
241 randomised removal of observations on a participant-by-participant basis was conducted to ensure
242 equal number of observations for each participant pair. After data reduction, the take-over response
243 times for each task condition were added to a single vector and sorted in ascending order which,
244 according to Ryan and Joiner (1976), enables a comparison of the two distributions to be made.

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Table 1. Participants matched on age and gender from the on road and simulator experiments. The participants are ordered based on participant number for the on-road trial.

Participant	Gender	On road		Simulator	
		Age	Driving experience (Yrs)	Age	Driving experience (Yrs)
1	Male	20	3	20	1
2	Male	24	3	24	7
3	Female	29	12	29	6
4	Male	59	43	52	35
5	Female	32	15	28	10
6	Male	26	9	24	6
7	Male	28	11	28	6
8	Female	30	12	28	10
9	Female	32	14	40	22
10	Female	49	28	50	33
11	Female	26	8	27	10
12	Male	33	17	34	17
Mean		32.33	14.58	32	13.58
SD		10.98	11.13	10.20	10.99

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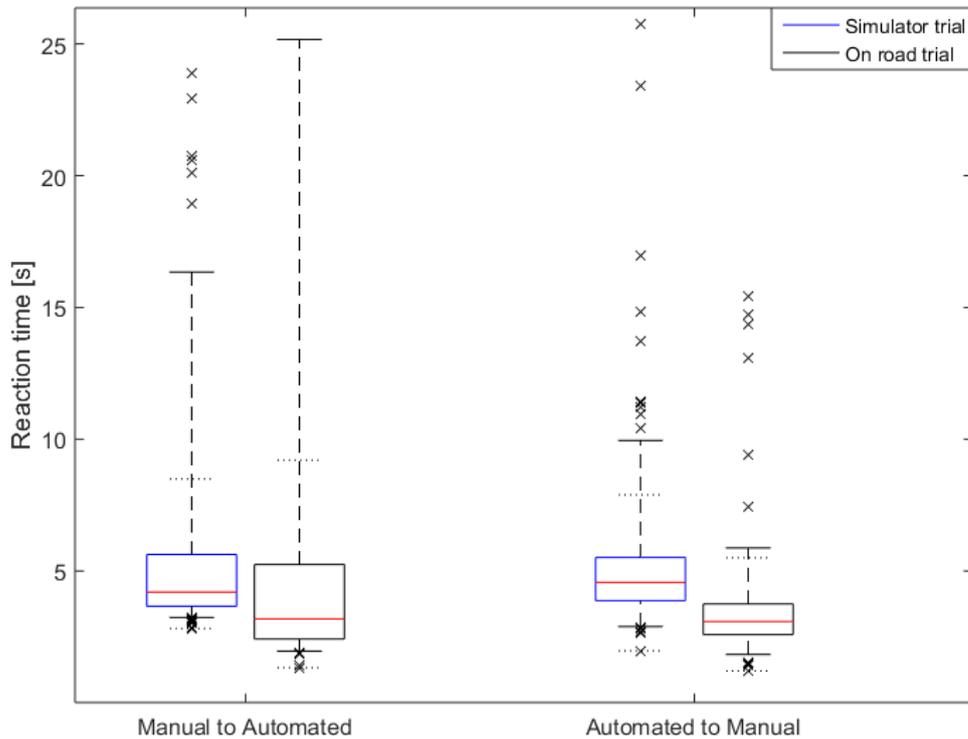
249 **4 Results**

250 The results showed significant differences between on road, and simulated driving when relinquishing
 251 control to the vehicle automation ($Z = -6.120, p < 0.01$). On average, a one second increase in response
 252 time was found (see Table 2, Figure 2) when relinquishing control to the vehicle automation in the
 253 simulated road condition. It took drivers approximately 3.18 ± 2.83 seconds to relinquish control in the
 254 on-road condition, whilst it took 4.20 ± 1.96 seconds to relinquish control in the simulated driving
 255 condition.

256 **Table 2. Control transition times (seconds) between manual and automated and automated and**
 257 **manual control in the on road, and simulator condition**

	To Automated		To Manual	
	Simulator	On road	Simulator	On road
Median	4.20	3.18	4.56	3.08
IQR	1.96	2.83	1.63	1.16
Min	2.82	1.33	1.97	1.21
Max	23.88	25.16	25.75	15.41

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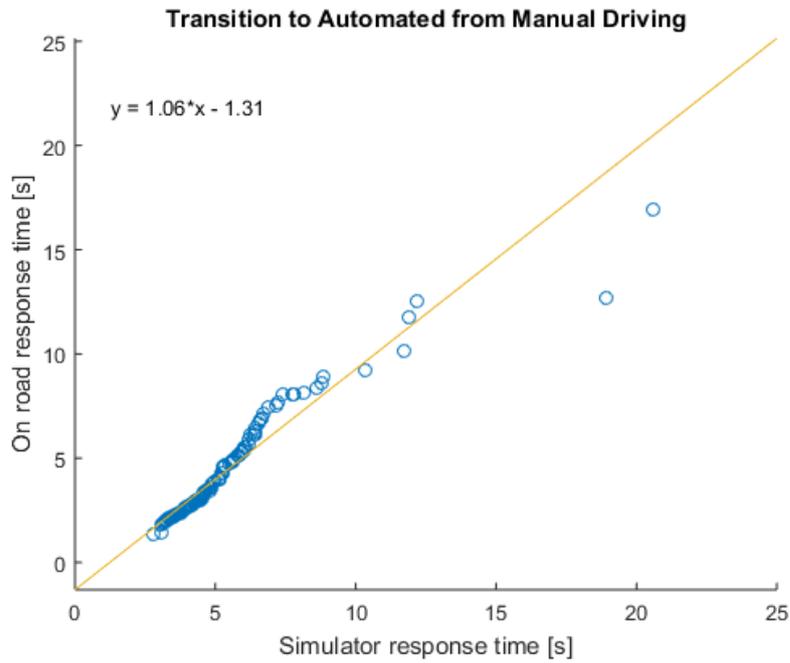


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260 **Figure 2. Control transition times from manual to automated control and from automated to**
 261 **manual control in the on road and simulated driving condition**

262 Moreover, a significant decrease in take-over request reaction time of approximately 1.5 seconds was
 263 found in the on road driving condition, in comparison to the simulated driving condition when
 264 resuming control from the automation ($Z = -10.403, p < 0.01$). It took drivers approximately 3.08 ± 1.16
 265 seconds to resume control from the automation in the on road driving condition and 4.56 ± 1.63
 266 seconds to resume control in the simulated driving condition.

267 The results from the correlation analysis of the sorted response time data for the transition to
 268 automated driving from manual driving showed a strong positive correlation (Pearson's $r=0.96,$
 269 $p < 0.0001$, calculated power = 1.0), as illustrated in Figure 4.

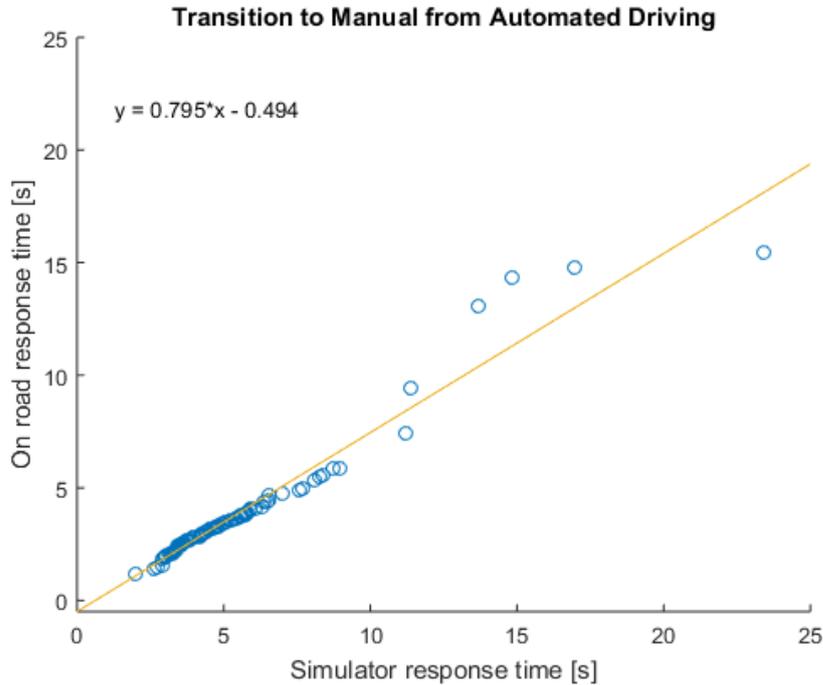


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271 **Figure 3. Scatter plot of control transitions from manual to automated vehicle control. The X axis**
 272 **shows the driver response time in the simulator, the Y axis show the driver response time in the on-**
 273 **road condition.**

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275 The correlation analysis of the control transition time from automated to manual control showed a
 276 significant positive relationship (Pearson's $r=0.97$, $p<0.0001$, calculated power = 1.0) between the two
 277 distributions as shown in Figure 5.



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279 **Figure 4. Scatter plot of control transitions from automated to manual vehicle control. The X axis**
 280 **shows the driver response time in the simulator, the Y axis show the driver response time in the on-**
 281 **road condition.**

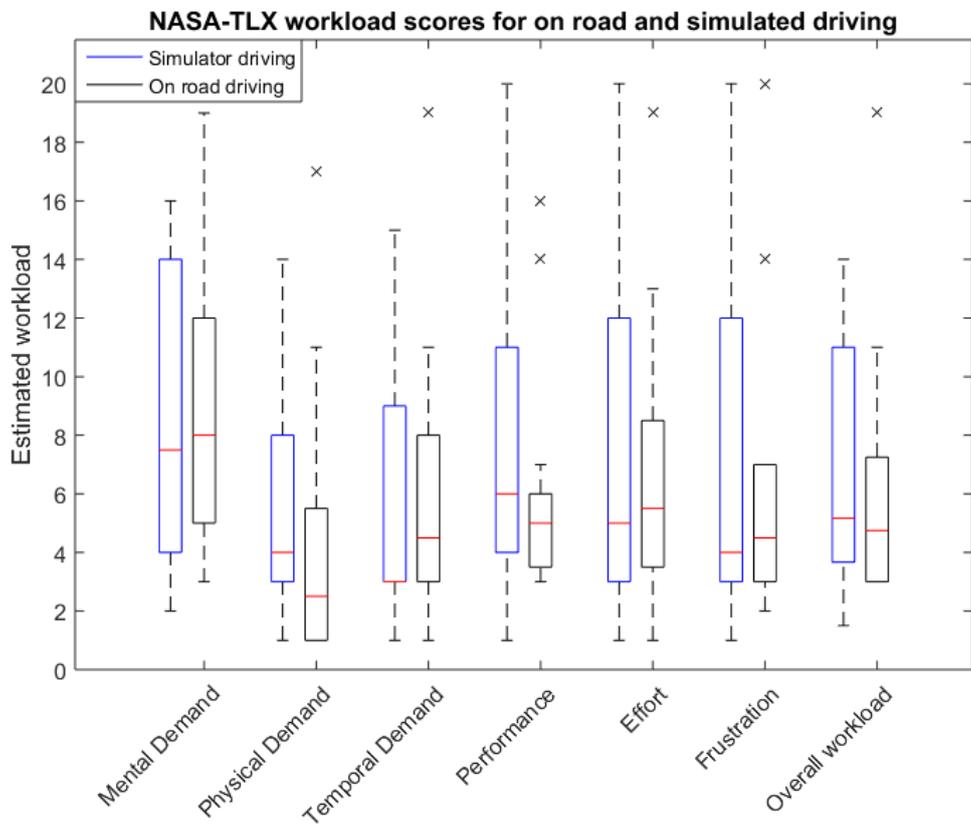
282 Subjective workload scores collected through the NASA-TLX sub-scales (Byers et al., 1989; Hart &
 283 Staveland, 1988) at the end of each driving condition showed no significant correlations on the
 284 workload sub-scales nor overall workload for the matched sample. A comparison of the two conditions
 285 showed no significant differences on any of the sub-scales or overall workload (Table 3). Overall there
 286 was little difference in workload, and the median workload in both conditions was approaching the
 287 halfway point on the scale (Figure 8), implying relatively low workload.

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Table 3. NASA-TLX and Technology acceptance scores scores of the on road and simulated driving conditions. The effect size was calculated as $r=abs(Z)/\sqrt{N1+N2}$

	On road	Simulator	Rank sum test			Pearson's Correlation	
	Median (SD)	Median (SD)	Z	P	r	p	r
Mental Demand	8 (7)	7.5 (10)	-0.603	0.53	0.09	0.60	-0.16
Physical Demand	2.5 (4.5)	4(5)	1.395	0.16	0.23	0.71	-0.12
Temporal Demand	4.5(5)	3(6)	-0.415	0.68	0.06	0.81	-0.08
Performance	5(2.5)	6(7)	0.872	0.38	0.14	0.81	-0.08
Effort	5.5(5)	5(9)	0.206	0.84	0.03	0.66	0.14
Frustration	4.5(4)	4(9)	0.269	0.79	0.04	0.25	-0.35
Overall workload	4.75(4.2)	5.16 (7.33)	0.755	0.45	0.12	0.92	-0.03
Usefulness	1.1 (0.6)	1 (1)	0.491	0.62	0.07	0.64	-0.14
Satisfaction	1 (0.87)	0.5(1.69)	1.316	0.18	0.21	0.43	-0.25



291

Figure 5. Self-reported workload scores for the simulated drive and the on road drive

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294 The Van Der Laan Technology Acceptance Scale yielded no significant correlations on the matched
295 samples as shown in Table 3. Moreover, no significant differences in automation usefulness ($Z= 0.491$

296 $p > 0.05$, $r = 0.07$) and automation satisfaction ($Z = 1.316$ $p > 0.05$, $r = 0.21$) between the on road and
297 simulated driving conditions could be found.

298 **5 Discussion**

299 As shown by Eriksson and Stanton (2017) most research into control transitions in Highly Automated
300 Driving has been undertaken in driving simulators. The studies outside of simulators tend to be limited
301 to closed test tracks (Albert et al., 2015; Llaneras et al., 2013), or to sub-systems of HAD (Beggiato et
302 al., 2015; euroFOT, 2012; Ishida & Gayko, 2004; Morando et al., 2016). One study by Banks and
303 Stanton (2016) has explored the interaction with automated vehicles on the open road, but links to
304 performance on similar tasks in simulated environments were not made. To further the understanding
305 of the validity of driving simulators in Highly Automated Driving we compared multiple control
306 transitions; from manual driving to automated driving and vice versa, for both simulated, and on-road
307 driving environments. The reason for the unusually high frequency of transitions of control compared
308 to contemporary literature was to reduce the impact of novelty effects, to enable the capturing of
309 inter- and intra-individual differences to get an appreciation for the wide range of transition times,
310 and to compress experience with the system as previously done by Stanton et al. (2001). Moreover, it
311 was argued in Eriksson and Stanton (2017) that the type of control transition utilised in this manuscript
312 is 'non-urgent' and such transitions are likely to be commonplace on public roads when SAE level 3
313 systems are limited to certain operational constraints (SAE J3016, 2016).

314 The results show that drivers in the on road driving condition took on average 3.08 seconds. This is
315 marginally longer compared to the average 2.96 control resumption time for drivers who are required
316 to resume control within a limited time-frame (e.g. 7 seconds as in Gold et al. (2013)). It has previously
317 been shown that permitting drivers to self-regulate the use of in-vehicle technologies on a tactical
318 level tend to maintain optimal workload and safer driving performance (Cooper et al., 2009; Eriksson
319 et al., 2014; Eriksson & Stanton, 2017; Kircher et al., 2016; Young & Stanton, 2007). Moreover, the
320 results show that there is a long tail in the resumption-time distribution indicating that some drivers

321 take up to 15 seconds to resume control. This shows that the design of the take-over process should
322 not focus on average resumption times, but rather use the 5th – 95th percentile user, as is common
323 practice in anthropometrics (Eriksson & Stanton, 2017; Porter et al., 2004). The results also show that
324 drivers were generally faster in the execution of control transitions in the on-road driving scenario for
325 transitions to both automated, and manual, control compared to the simulated driving scenario.
326 Similar effects have been observed by Wang et al. (2010) and Kurokawa and Wierwille (1990) where
327 drivers produced faster responses in on-road conditions than in the simulator for in-vehicle interaction
328 tasks.

329 This difference could be partially explained by the perception of greater risk in the on-road condition
330 (Carsten & Jamson, 2011; De Winter et al., 2012; Flach et al., 2008; Underwood et al., 2011).
331 Moreover, the differences between mode switching human-machine interface in the Tesla (control
332 stork next to the steering wheel) and the simulator (touch screen in centre console) could account for
333 the increased response time in the simulator part of this study. Drivers have been found to have
334 significantly higher eyes-off-road time when engaged with in-vehicle systems with high visual
335 demands, and as driving is a visually demanding task this can have large effects on driving performance
336 (Jæger et al., 2008). This increase in eyes-off-road could be further amplified by the virtue of using a
337 touch screen which lacks the haptic nature of standard vehicle interface elements, such as the control
338 stork in the Tesla, that enables blind interaction whilst driving (Rümelin & Butz, 2013). It could be that
339 drivers had to divert visual resources to identify which of the two buttons to press to reach the desired
340 state, and to plan a motor-path to execute the action to press the button. For experienced drivers this
341 is a well-practiced behaviour, executed whenever a driver needs to change the radio station, confirm
342 a rerouting on their sat-nav, or change the heating settings of their vehicle. It can therefore be argued
343 that this type of interaction should have a negligible effect on the transition times compared to the
344 magnitude of effects observed in the literature of driver reaction time on the road, and in the
345 simulator (Kurokawa & Wierwille, 1990; Wang et al., 2010).

346 Another factor that could have influenced take-over request response time could be the different
347 levels of experience with Advanced Driver Assistance Systems between the two samples, where
348 drivers in the experienced (Tesla) group produced faster reaction times due to their familiarity with
349 such systems. It is important to acknowledge these factors as they may have contributed to the faster
350 response times in the on road condition. However, as effects of a similar magnitude have been found
351 previously (Kurokawa & Wierwille, 1990; Wang et al., 2010) it stands to reason that the main part of
352 the observed difference can be accounted for by the nature of the driving environment, and to a lesser
353 extent, the mode switching HMI and the differences in ADAS experience. The consistent difference in
354 response times between the two conditions, suggest that absolute validity could not be found.
355 Nevertheless, evidence for relative validity between the on-road and simulated environments is strong
356 as the correlation analysis showed a strong positive similarity of the distributions for both types of
357 control transitions. The lack of correlations in workload and technology acceptance scores could be
358 explained by the subjective nature of the questionnaire, combined with a relatively small sample in a
359 between-group study-design. It is worth noting that the workload and technology acceptance scores
360 are not absolute scores, and therefore need to be looked upon with some caution due to the relatively
361 small sample of two independent groups.

362 Despite the lack of correlation between the on-road and simulated drive on the subjective
363 questionnaires, the lack of differences in workload and Technology acceptance scores, indicate that
364 the driving conditions had no measurable effect on perceived workload, usefulness and satisfaction.
365 We therefore argue that relative fidelity of the simulator can be established with regard to human-
366 automation interaction and, in particular, control transitions (Blaauw, 1982; Godley et al., 2002).
367 These results support Stanton et al. (2001) who found that driver performance on secondary tasks
368 were highly correlated when performed on the road and in the simulator during manual driving.

369 Consequentially, the results obtained in this study lends validity to previous research into control
370 transitions in automated vehicles carried out in simulated environments. In light of these results,

371 researchers may have more confidence when using simulators as a primary tool for research on
372 human-automation interaction (Stanton et al., 2001). This observation permits the exploration of
373 phenomena related to automated vehicles in a reproducible, deterministic, and completely
374 observable environment (Russel & Norvig, 2009), and facilitates the collection of data that would
375 otherwise be difficult to obtain in road vehicles (Godley et al., 2002; Santos et al., 2005; Van Winsum
376 et al., 2000). These findings show that driving simulators are legitimate tools for researching vehicle
377 automation (Boer et al., 2015).

378

379 **6 Conclusions**

380 In this paper the validity of human-automation interaction in highly automated vehicles in driving
381 simulators was assessed. Absolute validity could not be established due to the shorter transition times
382 observed in the on road driving condition. It was found that on average drivers take an additional
383 second to transfer control to the automation in the simulated drive, and an additional 1.4 seconds to
384 resume control in the simulated drive compared to on-road. Moreover, it was found that drivers in
385 the on-road driving condition were marginally faster than what has been found in previous literature
386 when drivers have resumed control under time-pressure. Despite these similarities, it was also shown
387 that there is a long tail in the distribution of resumption-times, and that these drivers will have to be
388 accommodated to ensure safe use of automation. Nevertheless, the results also showed that there
389 was a strong positive correlation for transition time in the on-road and simulated driving conditions.
390 In light of these results the authors argue that there is a strong indication of relative validity for
391 research conducted in simulators. Despite the lack of significant correlations we argue that relative
392 validity is further supported by the similarities in workload, and technology acceptance scores of the
393 drivers in the simulated, and on road driving conditions.

394 Consequentially, in this study, the authors argue that the driving simulator is a valid research tool for
395 the exploration human-automation interaction, and in particular the transfer of control between
396 driver and automation. In conclusion, medium-fidelity, fixed based, driving simulation is a safe and
397 cost-effective method for assessing human-automation interaction, and in particular control
398 transitions in highly automated driving.

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403

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