

Unexpected findings in a child with atypical HUS: an example of how genomics is changing the clinical diagnostic paradigm

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Author contribution statement

Eleanor G. Seaby: exomic data analysis, clinical data review, phenotyping, initial draft manuscript. Dr Rodney D. Gilbert: clinical consultant, reviewed and revised the manuscript, approved the final manuscript, supervision. Gaia Andreoletti: bioinformatics quality control analysis, exome data analysis and approved the final manuscript. Reuben J. Pengelly: exome data analysis, reviewed the manuscript and approved the final manuscript. Catherine Mercer: clinical genetics consultant, documented phenotype, approved the final manuscript. David Hunt: genetics specialist trainee, documented phenotype, approved the final manuscript. Professor Sarah Ennis: exomic analysis oversight, reviewed and revised the manuscript, supervision, approved the final manuscript.

Keywords

aHUS, Genomics, JMML, pre-clinical cancer, Whole-exome sequencing

Abstract

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CBL is a tumour suppressor gene on chromosome 11 encoding a multivalent adaptor protein with E3 ubiquitin ligase activity. Germline CBL mutations are dominant, with pathogenic de novo mutations reported that can phenotypically overlap Noonan syndrome.1 Some patients with CBL mutations go on to develop juvenile myelomonocytic leukaemia (JMML), an aggressive malignancy that usually necessitates bone marrow transplantation. Using whole exome sequencing methods, we identified a known mutation in CBL in a 4-year-old Caucasian boy with atypical haemolytic uraemic syndrome (aHUS), moyamoya phenomenon and dysmorphology consistent with a mild Noonan-like phenotype. Exome data revealed loss of heterozygosity across chromosome 11q consistent with JMML but in the absence of clinical leukaemia. Our finding challenges conventional clinical diagnostics since we have identified a pathogenic variant in the CBL gene previously only ascertained in children presenting with leukaemia. The increasing affordability of expansive sequencing is likely to increase the scope of clinical profiles observed for previously identified pathogenic variants and calls into question the interpretability and indications for clinical management.

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- 32 sequencing.
- 33
- 34 Key Words: aHUS, genomics, JMML, pre-clinical cancer, whole-exome sequencing

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38 ABSTRACT

CBL is a tumour suppressor gene on chromosome 11 encoding a multivalent adaptor protein with E3 ubiquitin ligase activity. Germline CBL mutations are dominant, with pathogenic de *novo* mutations reported that can phenotypically overlap Noonan syndrome.¹ Some patients with CBL mutations go on to develop juvenile myelomonocytic leukaemia (JMML), an aggressive malignancy that usually necessitates bone marrow transplantation. Using whole exome sequencing methods, we identified a known mutation in CBL in a 4-year-old Caucasian boy with atypical haemolytic uraemic syndrome (aHUS), moyamoya phenomenon and dysmorphology consistent with a mild Noonan-like phenotype. Exome data revealed loss of heterozygosity across chromosome 11q consistent with JMML but in the absence of clinical leukaemia. Our finding challenges conventional clinical diagnostics since we have identified a pathogenic variant in the *CBL* gene previously only ascertained in children presenting with leukaemia. The increasing affordability of expansive sequencing is likely to increase the scope of clinical profiles observed for previously identified pathogenic variants and calls into question the interpretability and indications for clinical management.

61 CASE PRESENTATION

Our patient presented aged four months with right-sided focal seizures. He had been born at
term following an uncomplicated pregnancy. A brain magnetic resonance imaging (MRI)
scan revealed a left cerebral artery infarct and occlusion of the left internal carotid artery
(ICA) with collateral flow in keeping with moyamoya phenomenon; sequelae have included a
right hemiparesis and dysarthria.

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Aged two, this patient had marked thrombocytopaenia, (platelets 26×10^9 /L, haemoglobin 68 69 115 g/L) mild proteinuria (urine protein/creatinine ratio 42 mg/mmol) and hypertension. 70 Investigations revealed normal range renin, aldosterone, reticulocyte count, lactate dehydrogenase, von Willebrand factor, and ADAMTS13. He had a negative Coomb's test, 71 72 but low serum complement C3 (0.59 g/L, normal 0.75 – 1.65). Complement C4 was normal (0.14 g/L, normal 0.14 to 0.54). Alternative pathway haemolytic complement activity was 73 low at 28% (normal 80 - 200%) but total haemolytic complement was normal at 92%, 74 suggesting dysregulated activation of the alternative complement pathway. Red cell 75 fragments were absent on blood film but the haptoglobin concentration was reduced at 0.17 76 77 g/L (normal 0.5-2.0). A karyotype was normal. Renal biopsy was unremarkable by light 78 microscopy apart from light C3 staining along capillary walls. Electron microscopy 79 confirmed endothelial cell separation from the glomerular basement membrane with 80 accumulation of fluffy subendothelial material consistent with endothelial damage. Atypical haemolytic uraemic syndrome was considered likely and possibly the cause of his cerebral 81 infarct; he thus commenced eculizumab therapy. Sequencing of the coding regions and 82 83 flanking sequences of C3, CFI, CFB, CD46, and DGKE revealed no pathogenic mutations. Sequencing of CFH revealed a heterozygous variant (c.G2850T:p.Q950H), which at the time 84 was of unknown clinical significance. 85

Examination at age three revealed dysmorphic features (Figure 1). His spleen was palpable 5 87 cm below the costal margin, and he had a right-sided hemiparesis with upper arm withdrawal 88 89 reflex and down-going plantars. He had marked dysarthria and only spoke single words. Developmentally, he had skills appropriate for a $1\frac{1}{2} - 2\frac{1}{2}$ year old, which were attributed to 90 his cerebral infarct. He had previously undergone orchidopexy to correct bilateral 91 92 cryptorchidism. Despite an improvement in his platelet count following continued eculizumab therapy, he remained variably thrombocytopaenic (platelet counts 85 to 181 x 93 94 10^{9} /L) with marked splenomegaly. A bone marrow aspirate showed no impaired thrombocyte production nor morphological abnormalities; therefore his thrombocytopaenia was attributed 95 to hypersplenism. 96

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98 The clinical hypothesis was an endothelial abnormality which interfered with complement
99 regulation, possibly by reducing factor H binding, causing thrombotic microangiopathy
100 (TMA) involving the kidneys and brain. The splenomegaly was not explained.

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102 MATERIALS AND METHODS

WES was undertaken in an attempt to elucidate the pathophysiology. Genomic DNA was extracted from whole blood and target capture was performed on Agilent's SureSelect v5.0 (51Mb). The enriched library was sequenced on the Illumina HiSeq2000. The identity and provenance of returned sequencing data were validated through application of an optimised genotyping panel.² WES data were analysed using an in-house pipeline as previously described.^{3,4} Candidate genes were selected using curated databases of pathogenic variants associated with search terms applicable to the phenotype of interest.

110 **RESULTS**

In total, 24,955 variants were called with an average read depth of 58x. Of these, 470 variants
were loss of function mutations, 2,631 were splicing variants, 11,146 were synonymous, and
10,708 were non-synonymous single nucleotide variants. Primary analysis comprised
filtering on a targeted panel of 540 complement-associated genes; 916 variants were called.
Variant prioritisation identified two variants of unknown significance: the same variant in *CFH* (c.G2850T:p.Q950H) as found in the aHUS gene panel, and a splicing variant in *CR1*(c.7252+1G>A). The results were equivocal.

Two years later, this case was revisited following clinical review. Phenotypic information 118 119 concerning moderate splenomegaly, persistent thrombocytopaenia (despite eculizumab therapy, a normal bone marrow aspirate, and unchanged appearances of the cerebral magnetic 120 resonance angiography) informed a revised analysis of a further 44 genes collated from a 121 122 literature search of PUBMED and the Human Gene Mutation Database using the search terms thrombocytopaenia, splenomegaly and moyamoya. Filtering parameters reduced 51 123 variants to one heterozygous splicing variant in CBL (c.1096-1G>T) predicted to be 124 pathogenic following application of the American College of Genetics and Genomics 125 guidance.⁵ The mutation was validated by Sanger sequencing and segregation analysis 126 127 confirmed *de novo* inheritance following the absence of the splicing mutation in both parents (Figure 2). 128

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In children harbouring germline *CBL* mutations, juvenile myelomonocytic leukaemia (JMML)
usually develops following somatic loss of heterozygosity (LOH) of chromosome 11q⁶
although some patients develop JMML without LOH [17]. In nearly all cases the mutant
allele is duplicated by acquired uniparental isodisomy (aUPiD), resulting in loss of the wildtype tumour suppressor allele and duplication of the oncogenic mutation (Figure 3).^{1,7,8} WES
data were therefore scrutinised for LOH across chromosome 11 in the region of the *CBL*

136 locus; this was facilitated by peripheral blood-derived DNA. We retrospectively assessed LOH by plotting B-allele frequency ratios across the exome (Supplementary Figure 1).⁹ On 137 average, 70% of the sequenced reads mapping across chromosome 11q harboured the mutant 138 139 allele compared with the reference. This significant allelic imbalance strongly suggested a clonal advantage of (a subset of) peripheral leucocytes consistent with myeloproliferation and 140 a potential transformation to JMML.^{6,7} 141

The two variants of unknown significance in *CR1* and *CFH* were also revisited. Sanger 142 sequencing confirmed both heterozygous variants in the proband. Neither variant was de 143 144 novo; the CFH variant was inherited from the proband's asymptomatic father and the CR1 variant was inherited from his asymptomatic mother. To assess functional significant, red 145 blood cells (RBC), plasma and DNA were sent to the Jokiranta Research Group, at the 146 University of Helsinki for functional analysis. CR1 was ruled out as pathogenic following 147 normal expression of the complement receptor 1 on erythrocytes with normal levels of C3dg 148 on the RBC surface. The Q950H variant of Factor H is now known to impair factor H 149 activity,¹⁰ providing a genetic explanation for the patient's aHUS. As previously reported, 150 most aHUS mutations show variable penetrance, providing explanation for why the 151 proband's father is unaffected.¹¹ 152

DISCUSSION 153

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Germline CBL mutations have been associated with Noonan-like syndrome, moyamoya, and 154 vasculitis.¹² Our patient displays many of the phenotypic features consistent with germline 155 CBL mutations. Although his global developmental delay had been attributed to his cerebral 156 157 infarct, review of his MRI scans suggests topographical inconsistencies; therefore, in retrospect, it is likely that a proportion of his developmental delay results from his CBL 158 mutation.

160 JMML is an aggressive, childhood myeloproliferative disease cured only by haematopoietic stem cell transplant (HSCT), yet haematological heterogeneity has been reported; some 161 haematological abnormalities spontaneously resolve, while others progress aggressively.^{6,13} 162 163 Data analysis poses a difficult diagnostic dilemma; our patient is displaying evidence of myeloproliferation and sub-clinical JMML (by discovery of clonal expansion within the 164 peripheral blood leukocyte population) despite not manifesting clinical leukaemia; he has a 165 normal peripheral blood film, white cell count, lactate dehydrogenase level and no increase in 166 peripheral blood monocytes. However, his monocytes were persistently elevated between 167 168 2011 and 2014 and he continues to have a borderline monocytosis. Furthermore, he has unremitting splenomegaly, a classical feature of JMML and has intermittent, mild anaemia 169 170 (haemoglobin concentrations 104 to 124 g/L) and thrombocytopaenia. Interestingly, the proband's thrombocytopaenia did not fully resolve with eculizumab, potentially 171 demonstrating marrow replacement by malignant cells or marrow failure; a common feature 172 of JMML. This patient does not meet full haematological JMML diagnostic criteria, although 173 he does meet oncogenetic diagnostic parameters¹³ and would have met the diagnosis between 174 2011 and 2014 during which time he had persistent monocytosis. Even so, not all patients 175 with CBL mutations and associated LOH will develop fulminant JMML that necessitates 176 HSCT.[17] CBL associated JMML does not always follow an aggressive course and can 177 spontaneously resolve without treatment.¹³ That said, in previous cases of *CBL* mutations 178 involving the *same* splice site, the disease presented aggressively;⁶ indeed in one child, the 179 mutation remained heterozygous in haematopoietic cells without evidence of LOH, yet the 180 patient still required HSCT.¹⁴ Therefore, this finding poses a challenging clinical scenario, 181 particularly since there is uncertainty regarding the disease trajectory. 182

183 Two differential prognoses include: a) Progression to an aggressive JMML if no potentially
184 curative HSCT intervention is offered; or b) Spontaneous regression (if not already regressed)

185 of a relatively quiescent myeloproliferative cell population that appears aggressive in the literature due to ascertainment bias. Ultimately the biggest challenge concerns the appropriate 186 action(s) to take, especially since a decision to proceed to transplant is not without significant 187 risk and there is established phenotypic heterogeneity and variable expressivity among 188 individuals with identical CBL mutations.¹⁵ The current recommendation for JMML 189 secondary to CBL mutations is that of careful surveillance. Locatelli et al. (2015) recommend 190 191 that in children harbouring CBL mutations, the decision to proceed to transplantation should be carefully weighed. They recommend adopting a "watch and wait" approach with close 192 follow up to enable prompt diagnosis and action should the disease evolve.¹³ There is, 193 however, speculation that HSCT may prevent further vascular complications, although this is 194 195 yet to be definitively proved. HSCT recipients with JMML secondary to CBL mutations tend not to have further vasculitis, suggesting that these mutations cause endothelial damage.¹³ It 196 seems likely therefore that abnormal endothelium combined with reduced complement factor 197 H function may have 'primed' the endothelium for complement mediated damage, resulting 198 199 in TMA as shown on the renal biopsy (Figure 4). In view of the severity of his previous infarct and extensive changes in intracranial vessels on magnetic resonance angiography, 200 201 there may be some justification in considering HSCT in this specific case, especially should a conservative approach result in further neurovascular or nephrological damage. However, this 202 203 should be balanced against the risk of thrombotic microangiopathy following bone marrow 204 transplantation in which the role of eculizumab is not well established.

This case also raises ethical questions with regards to return of information. There are concerns that informed consent for WES is insufficient in educating patients about the scope of potential results identified.¹⁶ When presented with data from an entire exome, there is always the possibility of incidentally discovering pathogenic mutations unrelated to the presenting phenotype.¹⁷ Although this was not an incidental finding *per se*, his pretest 210 diagnosis was aHUS with atypical features. The exome analysis was primarily to elucidate a cause for his aHUS, since at the time of referral, the dysmorphology was not extensively 211 documented and was presumed to be an unusual manifestation of TMA. His developmental 212 213 delay had not been considered as independent to his infarct, and his only active clinical input was by the nephrology service. Revisiting exome data allowed for the discovery of pre-214 leukaemia in addition to a monogenic explanation for his dysmorphology, moyamoya and 215 216 developmental delay. Relaying this information to his family necessitated reflection on how 'informed' the consent truly was, as well as the magnitude, distress and implications of 217 218 finding 'more than we bargained for'.

Our finding lies at the nexus of genomic and clinical haematological, nephrological and 219 neurological diagnostics, necessitating a multidisciplinary convergence of genomic 220 221 informaticians and clinicians in discussions concerning best practice. Since there is a relative dearth of evidence concerning children who harbour CBL mutations in the same splice site as 222 our patient, and who do not progress to JMML, this paper attempts to highlight the variable 223 expressivity of these mutations. We demonstrate how continued clinical review can inform a 224 revised analysis of exome data and uncover unexpected findings that, in retrospect, highlight 225 226 the limitations of biased and fallible clinical diagnostics. The ability to return to unbiased 227 exome data without cost duplication is of huge diagnostic value, especially since there is no 228 restriction to the number of times data can be revisited; for example if the phenotype changed 229 in the future or if functional studies reassigned a previously curated variant of unknown significance as a pathogenic allele. The full potential and utility of genomic data within the 230 clinical setting is yet to be fully appreciated, but in the emerging genomics era, cases such as 231 232 these will become increasingly prevalent and the interpretation and translation of genomic data within clinical medicine has the potential to force a paradigm shift in clinical diagnostics 233 with substantial prognostic impact. 234

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285 LEGENDS

Figure 1. Three photographs of our patient taken aged four. Photograph (a) shows a low 286 posterior hairline with low-set posteriorly rotated ears, microcephaly, and mild frontal 287 bossing. Photograph (b) shows down-slanting palpebral fissures and mild ptosis. He has 288 dental crowding and a narrow, high-arched palate (not shown). Photograph (c) shows lasting 289 damage from his cerebral infarct: the right upper limb is flexed and there is reduced muscle 290 tone of the thigh in comparison to the left lower limb. His neck appears broad, with a broad 291 thorax and wide-spaced nipples. His spleen measures 12 centimetres and marginally distorts 292 the appearance of the abdomen. His skin is soft and mottled and he has clinodactyly. He is 293 <0.4th centile for height, weight and head circumference and developmentally he is predicted 294 to have skills appropriate for a $2\frac{1}{2}$ - 3 year old. Noteworthy, during the antenatal period, 295 marginal nuchal fold enlargement was documented but otherwise pregnancy and delivery 296 were uneventful. 297

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Figure 2. Pedigree and Sanger traces of the patient/parent trio. The proband (filled black
square) has a *de novo CBL* heterozygous mutation of c.1096-1G>T, affecting the canonical
splice site.

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Figure 3. A simple schematic illustrating the process of acquired uniparental isodisomy. For
simplicity, we illustrate complete chromosomal loss of heterozygosity. Non-dysjunction
results in unequal chromosomal division during mitosis. Copy neutral loss of heterozygosity

306 occurs with duplication of the mutant allele and loss of the wild-type without a change in307 copy number.

Figure 4. Electron microscopy of the proband's renal biopsy demonstrating tissue
microangiopathy. The stars show the subendothelial space, representing detachment of the
endothelial cell from the basement membrane. P = podocyte, GBM = glomerular basement
membrane and E = endothelial cell.

312 Supplementary Figure 1. Segmented B allele frequency demonstrating significant LOH on

313 chromosome 11q. The y-axis denotes the proportion of alternative (B) to reference

314 (A) alleles across all called heterozygous variants. Heterozygous calls are expected to

harbour 50% of the A allele and 50% of the B allele (one maternal and one paternal

316 copy). A significant perturbance in this ratio (allelic imbalance) indicates LOH. Red

boxes denote regions of LOH across chromosome 11q and the green line shows

318 segmented average of B allele frequency. Preliminary copy number variant analysis of

chromosome 11 is consistent with (copy neutral) acquired uniparental isodisomy.









Figure 4.TIF