

# Metamaterials at Southampton University and Beyond

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## Abstract

At the University of Southampton research in metamaterials started in 2000 with a paper describing “a metallic microstructure, comprising an ensemble of fully metallic “molecules”“. In the sixteen years since then metamaterials have evolved from an approach to designing unusual electromagnetic properties by structuring matter at the sub-wavelength scale to become a universal paradigm for active functional media with optical properties on demand at any given point in time and space. Metamaterials are now recognized as a promising enabling technology and one of the most buoyant and exciting research disciplines at the crossroads between photonics and nanoscience. Many “made in Southampton” concepts have influenced the global research community and now we work in collaboration with our sister research centre in Nanyang Technological University, Singapore. In this article, I provide a historical overview of the metamaterials research field from early studies to recent developments through the lens of the Southampton group and discuss promising future directions.

## Introduction

The ‘Metamaterial Paradigm,’ without using this now fashionable term, was articulated by Richard Feynman in 1959: “Up to now, we have been content to dig in the ground to find minerals... What would happen if we could arrange the atoms one by one the way we want them? ... when we have some control of the arrangement of things on a small scale we will get an enormously greater range of possible properties that substances can have, and of different things that we can do.”[1] In essence, metamaterials is all about new functionalities that can be achieved with conventional materials by arranging them on a small scale. It has proven to be an extremely powerful concept.

Indeed, metamaterial studies have become one of the most fertile areas of science. From the onset of research

about fifteen years ago they have generated nearly the same number of publications as laser science in its first fifteen years. What are metamaterials? Today this term refers to artificial materials with electromagnetic properties on demand[2, 3]. Electromagnetic metamaterials may not only be designed to give certain *static* characteristics to the electromagnetic space, but we now work on developing *dynamic* metamaterials that can provide desired electromagnetic characteristics at a given point of time and space. Why metamaterials are so interesting? They can be fabricated top down, in particular using the tools and processes of nanotechnology. They can have designer resonances and prescribed permittivity and permeability. They can have engineered symmetries of electromagnetic response and can localize light creating strong concentrations of electromagnetic energy. Metamaterials can also exhibit profound nonlocality of electromagnetic response (large meta-molecules, compared with wavelength of light) and can show extreme anisotropy. Metamaterials can be tuned and switched, and can be reconfigured as arrays and at the individual metamolecule level.

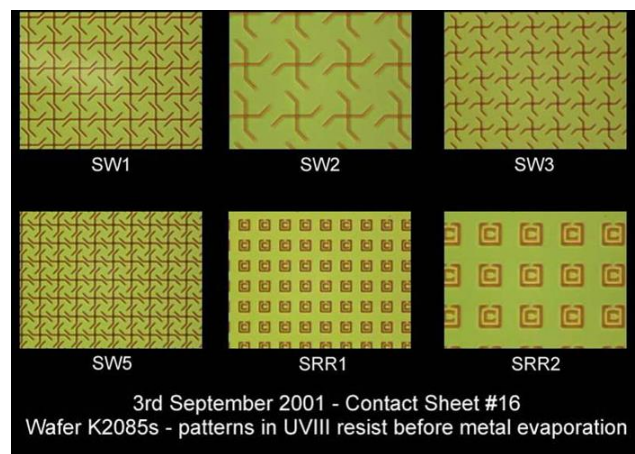


Figure 1. Contact sheets of the first photonic metamaterial samples developed at Southampton University (N.I.Zheludev, D.Bagnall and A.Potts, 3 September 2001)

In a historically short interval of time, from the onset of the twenty-first century, metamaterials have allowed an unprecedented array of fundamentally important achievements. This includes the discoveries of materials with negative index of refraction, optical magnetism, and

asymmetric transmission. Metamaterials have allowed the observation of toroidal moments and optical anapoles in electrodynamics. Media with hyperbolic dispersion and epsilon near zero were first identified in metamaterials. Metamaterials stimulated research on topological phenomena in optics and made possible arbitrary control of light trajectories in new photonic application such as cloaking devices. Who could anticipate twenty years ago that the mature fields of optics and electromagnetism would be reborn again? The new findings now require a re-write of physics courses and textbooks on optics, electromagnetism, and beyond.

From a more practical perspective, metamaterials can control light propagation in free space and waveguides, can be used to manipulate electromagnetic wavefronts in applications such as focusing, signal multiplexing, data processing and holography. Metamaterials are being developed that control light with external electric and magnetic signals. They can be made extremely efficient in absorbing and harvesting light. Metamaterials can amplify and generate coherent and incoherent light and can be exploited to control light with light using record-breaking engineered nonlinearities. They can be used to convert and mix light frequencies and tailor the field structures at their interfaces.

In the early days of research, metamaterials were constructed by arranging sub-wavelength resonators (metamolecules) in two or three dimensional arrays. The majority of works published before 2012 explored metamaterial structures based on metals. A much wider range of material is now used to construct metamaterials. Metamaterials are now being made from metals, superconductors, dielectrics, semiconductors, graphene and carbon nanotubes, polymers and liquid crystals, conventional liquids such as water and molten mercury and gallium, oxides and nitrides, chalcogenides, perovskites and topological insulators.

### Earlier Days, from Optics to Microwaves and Back

In Southampton University we came to work on what became known as the field of metamaterials at a very early stage. This activity grew from our interests in nanophotonics and chirality. In particular, our earlier studies in linear and nonlinear polarization phenomena in chiral media[4] led to a keen interest in artificial (metamaterial) chirality at the beginning stages of the discipline. Our past work on nanophotonics of structural transformation[5] seeded our later interest in phase change metamaterials. Highly talented Kevin MacDonald and Vassili Fedotov, who will become key players in the future metamaterials group at Southampton University joined us as my research students in 1998 and 1999

respectively and worked on structural transformations at the nanoscale.

In November 2000, still unaware of the seminal San Diego group paper reporting negative permittivity and permeability[6], which triggered metamaterials research in many groups around the world, we submitted a paper that, in essence, described metamolecules and three-dimensional metamaterials[7]. It talked about “a bilayered quasiplanar metallic microstructure, comprising an ensemble of fully metallic “molecules” with inductive coupling between two parts of the molecule that show strong optical rotatory power” (Fig.1). The universality and power of this idea has become evident in the years that followed<sup>1</sup>.

From the very beginning of our work on structural materials, we wanted to develop functional materials for the optical part of the spectrum and later adopted metamaterials terminology. Working in the optical part of the spectrum was a tough proposition as it required fabrication at the subwavelength level and thus nanoscale finesse was needed to develop structures. We were very lucky to have at Southampton a fully-fledged silicon foundry, and in 2001 started our journey to the exciting world of experimental research on metamaterials by fabricating two-dimensional micron-scale arrays of planar chiral ‘gammadion’ metamolecules (see Figure 1). We are not aware of any other groups who fabricated optical metamaterial nanostructures at that time. This was an important and promising step, but not yet sufficient to create truly homogeneous optical metamaterials – available resolution was limited to about one micron. With these metamaterial structures in hand, some of which were left-right asymmetric, we decided to experimentally study diffractive polarization phenomena, a field in which no other group had previously expressed interest<sup>2</sup>. In August 2002 we submitted a paper[10] entitled “Optical manifestations of planar chirality”. In

<sup>1</sup> For instance, in the configuration when coupled metallic plates forming the molecule are parallel, it shows profound optical magnetic response, as has been exploited in a number of earlier demonstration of optical magnetism[8] V. M. Shalaev, W. S. Cai, U. K. Chettiar, H. K. Yuan, A. K. Sarychev, V. P. Drachev, *et al.*, “Negative index of refraction in optical metamaterials,” *Optics Letters*, vol. 30, pp. 3356-3358, Dec 15 2005.

<sup>2</sup> Such structures would eventually be known as gradient metamaterials, where metamolecules change shape or size in a periodic fashion, creating interesting diffraction effects. In particular, in 2011 the Capasso group provided a systematic study of diffraction on arrays of polarization-sensitive meta-molecules that used the same V-shaped constitutive blocks as our gammadion arrays and became known as photonic meta-surfaces[9] N. F. Yu, P. Genevet, M. A. Kats, F. Aieta, J. P. Tetienne, F. Capasso, *et al.*, “Light Propagation with Phase Discontinuities: Generalized Laws of Reflection and Refraction,” *Science*, vol. 334, pp. 333-337, Oct 21 2011.

essence, we reported the observation of two-dimensional optical activity: that a planar chiral structure (i.e. one that cannot be completely superimposed over its mirror image) can affect the polarization state of diffracted light. This observation showed that polarization rotation changed its sign for two enantiomeric forms of the media. The fundamental role that planar chirality (not to be confused with three dimensional chirality that underpins the conventional optical activity effect) plays in polarization phenomena was identified in this paper. This work was sponsored by what was arguably the first metamaterial research grant in Europe, awarded to me by the Science and Engineering Research Council (UK). Beginning on 1 October 2002, the grant was titled 'Optical Manifestations of Planar Chirality.' Around that time we also met and started a fruitful collaboration with a theorist from Kharkov, Ukraine, Professor Sergei Prosvirnin who came to visit our group a few times in the years to come.

On the same planar arrays of chiral gammadions we saw that in a diffraction experiment the polarization eigenstates changed if the direction of light propagation through the structure was reversed[10]. This result initiated our studies of propagation asymmetries with planar chiral metamaterials. We soon reported the 'asymmetric transmission effect' – where the overall microwave intensity measured upon transmission of a circularly polarized wave through a planar chiral metamaterial depended on the direction of transmission[11]. This was a shocking discovery for many, as normally one would expect the optical properties of a medium in the reverse direction to be exactly the same in the absence of magnetic field, according to the Lorentz reciprocity consideration. In fact, the observed phenomenon is underpinned by a polarization-conversion process that is allowed by reciprocity. The observed effect is fundamental, as it adds to the pantheon of basic polarization effects. It is distinct from conventional anisotropy with orthogonal linear eigenstates, and from conventional gyrotropy of bulk chiral media and the Faraday Effect, where the eigenstates are a pair of counter-rotating elliptical states. Indeed, the eigenstates of the lossy anisotropic planar chiral structure are two co-rotating elliptical polarizations. In the following years, we also demonstrated this effect in plasmonic nanostructures in the optical[12, 13] and THz parts of the spectrum[14].

On 30 October, 2005 disaster struck: a fire destroyed our fabrication platform, the Southampton Silicon Foundry, and many photonic labs. Our ambition to push for photonic metamaterials had become considerably more challenging without direct access to the sophisticated fabrication facility. However we were able to keep

working on metamaterials as in 2003 we secured funding to set up a microwave characterization facility located in a different building. Microwave capability allows relatively simple measurements and cheap samples (in most cases fabricated by printed circuit board technology). Diverting to microwave research turned out to be a wise step at that time of troubles with our nanofabrication capability: the fire slowed our photonics work, but allowed us to start a number of new research projects.

During this period of time in 2005, we demonstrated a metamaterial mirror that resonantly 'amplifies' losses in the dielectric layer sandwiched between the metamaterial and metal plate[15]. Later this became known as a 'perfect absorber'. This device showed nearly 40dB resonant suppression of reflectivity, attributed to the high concentration of fields near the metamaterial that remained the best performance for many years. We identified applications of high-absorbing metamaterials in spectroscopy of weak lines, and for improving the sensitivity of photodetectors. We also demonstrated that microwave structures can be used as 'magnetic walls' that repel magnetic field, in a similar way that a static magnetic field is repelled from superconductors by the Meissner effect. Such a mirror reflects like a conventional mirror without diffraction, but shows no phase change with respect to the incident wave[16]. In a few years we provided a demonstration of the magnetic wall in the optical part of the spectrum[17]. In 2005 we also found a way of making metamaterials invisible, and experimentally demonstrated a microwave metamaterial that at some frequency contributes no transmission losses or phase delay[15]. We called this an 'invisible metallic structure,' or 'invisible metal.'<sup>3</sup>

Deprived by the fire from our own nanofabrication resource we stated a very important collaboration with Dr Yifang Chen from the Rutherford Appleton Laboratory in Didcot (now in Fudan University, China). Due to the Didcot fabrication facility limitations, we were not able to work with gold and silver, good plasmonic materials used by other groups, but instead embarked into a very early use of aluminum as the metal component of plasmonic metamaterial nanostructures. At this facility, from 2005 we have also experimented with soft lithography and nanoimprint to develop high-throughput metamaterial fabrication techniques[19].

Experimental realization of the chiral microwave metamaterial with electromagnetic coupling predicted in

<sup>3</sup> Concurrently, a similar scattering cancelation idea was theoretically discussed by the Engheta group [18] A. Alu and N. Engheta, "Achieving transparency with plasmonic and metamaterial coatings," *Physical Review E*, vol. 72, Jul 2005.

our earlier work[10] was reported by our group in 2006[20]. In these structures we found extremely strong polarization rotatory power – in terms of rotatory power per sample thickness of one wavelength, the bilayered structure rotated 5 orders of magnitude stronger than a gyrotropic crystal of quartz in the visible spectrum. Moreover, we saw clear evidence of negative refraction in the metamaterials by identifying a range of frequencies where the phase velocity and group velocities of light have opposite signs for one of circular polarizations. A detailed analysis of the observed effect was developed a few years later[21]. A year after that we demonstrated a three-dimensional chiral photonic metamaterial, by fabricating a multi-layer nanostructure. Multi-layered structures would later be referred as a ‘stereo metamaterials’[22]. We were able to achieve nanoscale mutual alignment of individual layers and create large arrays of metamolecules only 700nm x 700nm in size. In the visible and near-IR spectral ranges, the metamaterial exhibited polarization rotatory power of up to 2500 degrees/mm and showed relatively low losses and negligible circular dichroism.

Nikitas Papasimakis and then Eric Plum joined the metamaterials group at Southampton as my research students in 2005 and 2006, respectively, and started intense work on exciting new directions of metamaterials research: trapped-modes, slow light, collective resonances and artificial chirality. In the years to come they will become key collaborators and players in our metamaterials research programmes

Metamaterials have also helped us to understand that the classical phenomenon of optical activity can be observed in artificial planar media which exhibit neither 3D nor 2D chirality[23]. We experimentally observed what we called ‘extrinsic optical activity’ in the microwave and optical parts of the spectrum by passing light at oblique incidence through regular arrays of nonchiral subwavelength metamolecules. We saw strong circular dichroism and birefringence indistinguishable from those of chiral three-dimensional media, but which arose from the mutual orientation of the metamaterial and the incident beam. As an extension of this work, we recently looked at the reflective effects of chiral metasurfaces[24]. We demonstrated a type of mirror reflecting one circular polarization without changing its handedness, while absorbing the other[25]. The polarization-preserving mirror consists of a planar metasurface and a conventional mirror spaced by a fraction of the wavelength from the metasurface. Such mirrors enable circularly polarized lasers and Fabry-Perot cavities with enhanced tunability, gyroscopic applications, polarization-sensitive detectors of electromagnetic waves, and can be used to enhance spectroscopies of chiral media. Furthermore, we

discovered that also directionally asymmetric transmission of circularly polarized waves occurs at oblique incidence onto structures that are not planar chiral [26, 27].

As a continuation of earlier works of the 80<sup>th</sup> on microwave frequency selective surfaces, a practically important direction emerged in metamaterial research around 2006, as scientists were looking to realize narrow,



Figure 2. University of Southampton Mountbatten Building that hosts the Optoelectronics Research Centre and the Center for Photonic Metamaterials and stays on the place of Southampton Silicon Foundry. It is arguably the only building in the world covered with a metamaterial design. The inset (top left) shows a fragment of the planar-chiral fractal metamaterial pattern developed within the first metamaterial research grant ‘Optical manifestations of planar chirality’ awarded to NIZ in 2002. The pattern was developed by A. Potts, D. Bagnall and N.I. Zheludev, and was fabricated on a silicon wafer at the Southampton Silicon Foundry shortly before fire destroyed it. Its design was inspiration for the

low-loss resonances and strongly dispersive behavior in planar, two-dimensional structures of sub-wavelength thickness by using interference effects that suppress radiation leakage. These advances would greatly expand the metamaterial playground to encompass sensors, compact delay lines and coherent light-emitting devices. In 2007 we observed that an array of asymmetrically split ring meta-molecules exhibit a ‘classical analog of the narrow resonances observed in electromagnetically induced transparency’ and Fano-type resonances [28, 29]. In years to come the asymmetric split ring design would become one of the most popular metamaterial patterns due to the manifestation of high-quality resonance modes with easy to control quality factors. We then studied classical analogs of the electromagnetically induced transparency (EIT) effect in detail and showed that pulses propagating through such metamaterials experience considerable delay. The thickness of the structure along the direction of wave propagation is much smaller than the wavelength, which allows successive stacking of

multiple metamaterial slabs leading to high transmission and broadband slow light[30, 31].

At this point we realized that metamaterial research was a much broader paradigm than just control of permeability, permittivity and nonlocality, and we began thinking about metamaterials in a much broader context[2]: “The next stage of this technological revolution will be the development of active, controllable, and nonlinear metamaterials surpassing natural media as platforms for optical data processing and quantum information applications. Metamaterials are expected to have an impact across the entire range of technologies where electromagnetic radiation is used, and will provide a flexible platform for modeling and mimicking fundamental physical effects as diverse as superconductivity and cosmology, and for templating electromagnetic landscapes to facilitate observations of phenomena that would otherwise be difficult to detect.” In the following years, we have witnessed these predictions vindicated.

In 2008 we introduced a lasing spaser, a further development of the spaser concept. We showed that by combining the metamaterial and spaser ideas one can create a narrow-diversion, coherent source of electromagnetic radiation that is fueled by plasmonic oscillations. We argued that a two-dimensional array of plasmonic resonators, supporting high quality-factor, coherent current excitations across the array, can act as a planar source of spatially and temporally coherent radiation (see below for more details on ‘coherent’ metamaterials). In the following years, this idea would be realized by a number of groups[32]. Recently, I discovered that Richard Feynman, in the days before metamaterials, had proposed essentially this for an array of nanoscale coherent emitters: “Consider ... a piece of material in which we make little coils and condensers 1,000 or 10,000 angstroms in a circuit ... over a large area, with little antennas sticking out at the other end ... to emit light from a whole set of antennas ... ?”[1].

Our work in the field of light-emitting metamaterials included the observation that hybridizing semiconductor quantum dots with plasmonic metamaterial leads to a multifold intensity increase and narrowing of their photoluminescence spectrum. This manifestation of the cavity Purcell effect can also be controlled by the nanostructure’s design[33]. This observation was a step towards understanding loss compensation in plasmonic metamaterials with, and developing metamaterial enhancement for gain media.

From 2004 until the end of 2009, our metamaterial and nanophotonic activities at Southampton University were

sponsored predominantly from by my EPSRC Nanophotonics Portfolio Grant EP/C511786/1. Recognition of metamaterial research as a hugely important direction has grown in the UK physics community and in 2010 the Engineering and Physical Sciences Research Council (UK) awarded my group with a large Programme Grant titled ‘Nanostructured Photonic Metamaterials,’ EP/G060363/1.

At the end of 2006 I moved my research labs and entire research group from the School of Physics at the University of Southampton to the world-famous Southampton Optoelectronics Research Center (ORC), where I was appointed the Deputy Director (Physics). From that time I had the privilege of working with the ORC Director, Prof. Sir David Payne, FRS, and the ORC Deputy Director (Technology), Professor David Richardson. In this post, I succeeded Professor David Hanna, FRS, a famous British laser scientist who, in 1991, had played a crucial role in my initial appointment at Southampton, and had recently retired. The diverse environment of the ORC had a very positive and stimulating impact on our work, and in particular helped us to align our work with the needs of laser and optical telecommunication technology. Several people at the ORC became active contributors to our programme, most notably Professor Robert Eason and Professor Dan Hewak, experts in nonlinear optics and photonic materials. This move to the ORC has profoundly and positively affected the destiny of metamaterials research at Southampton, now a primary research direction of the ORC and the University.

Through the late 2000’s, the international metamaterial community grew rapidly, attracting to this new synthetic field of research bright people from various disciplines such as microwave engineering, solid state physics, photonics, materials science, nanotechnology and computational science. A number of new conferences emerged. Optical Society of America launched a series of conferences on ‘Photonic Metamaterials and Plasmonics’ located in The Bahamas (2006), Jackson Hole, WY (2007), Rochester, NY (2008), and Tucson, AZ (2010). I worked on the Jackson Hole conference with Prof. Vlad Shalaev from Purdue University and was also engaged in conferences with learning societies in Europe, where coordination of metamaterials research had started even earlier than in the United States. On 18 December 2002, Professor Sergei Tretyakov (Aalto University, Finland) initiated a meeting in Brussels to lobby for a European network of excellence sponsored by the European Union. It was attended by a few enthusiasts of the discipline, including Prof. Didier Lippens (France), Prof. Ferran Martin (Spain), Dr. Nigel Johnson (UK), Prof. Andre de Lustrac (France), Prof. Tomasz Szoplik (Poland), Prof.



Nikolay Zheludev (UK), Dr. Alex Schuchinsky (UK) and Dr. F. Javier García de Abajo (Spain). The meeting was also attended by a representative of the EU funding body, Dr. Gustav Kalbe. Eventually, this led to the formation of the ‘Metamorphose Network of Excellence’ in 2003. In 2008, it became ‘The Virtual Institute for Artificial Electromagnetic Materials and Metamaterials’ (‘Metamorphose VI’). The most important legacy of this development was the creation of the ‘Metamaterials Congress,’ a regular conference event on our calendar, recurring yearly from 2007 to 2016, and held consecutively in Rome, Pamplona, London, Karlsruhe, Barcelona, St. Petersburg, Bordeaux, Copenhagen, Oxford, and Crete. I chaired the Programme Committee of the first congress in Rome. In 2008 Professor Said Zouhdi (France) singlehandedly started a new nanophotonics and metamaterials conference called META that was held consecutively in Marrakesh, Cairo, Paris, Dubai, Singapore, New York, and Malaga, and for which I co-chaired the Singaporean conference with Prof. Zouhdi. SPIE also began a series of annual conference on Metamaterials, one in the USA and another in Europe, now co-chaired by Kevin MacDonald. Jointly with Professor Mikhail Noginov and Nader Engheta I have, since 2010, also co-chaired the USA branch of this conference. Currently titled ‘Metamaterials, Metadevices, and Metasystems,’ the conference is traditionally held in San Diego, CA. For our Southampton group, the most significant conference development was the creation of ‘NANOMETA’ in 2007. It is now a flagship European Physical Society international meeting at the crossroads of nanophotonics and metamaterials. NANOMETA is run biennially in Seefeld, Austria (2007, 2009, 2011, 2013, 2015, 2017, see: [www.nanometa.org](http://www.nanometa.org)). I proposed the first meeting and organized it jointly with Professor Ekmel Ozbay (Turkey), and since 2013 we have co-chaired the meeting with Professor Harald Giessen (Germany)[34].

### **Developing Metamaterials Technology: Switching and Tunability**

With the award of the dedicated photonic metamaterials Programme Grant, the Center for Photonic Metamaterials at Southampton University ([www.nanophotonics.org.uk](http://www.nanophotonics.org.uk)) was formed with myself as Director. Kevin MacDonald was appointed Research Manager of the Programme and the new Centre. Professors Robert Eason (ORC), Peter DeGroot (School of Physics and Astronomy), Janne Ruostekoski (School of Mathematics), and Dan Hewak(ORC) joined the Programme as Co-Investigators. Dr. Vassili Fedotov contributed to the Programme in the capacity of EPSRC Career Acceleration Fellow, and shortly joined the faculty at Southampton. Simultaneously, Eric Plum and Nikitas Papasimakis received advanced fellowships and shortly afterwards

became assistant professors at Southampton. This was possible in 2010 with help from The Leverhulme Trust Programme on ‘Embedding The New Discipline of Metamaterials into UK Universities’ (jointly held with the programme PI, Sir John Pendry at Imperial College). A substantial, stable critical mass of research in metamaterials at the University of Southampton was formed with many academics, researchers and student involved. A prominent international theorist, Professor F. Javier García de Abajo, spent nearly two sabbatical years with us. We have a constant flow of long-term international visiting researchers to the Centre, most notably from Japan. A strong collaborative link with Prof Din Ping Tsai from National Taiwan University has become a constant feature of our work, and a source of many results and inspirations. The Programme Grant was a strong catalyzer for our research, and during its five years period, we have been able to attract more than twenty additional grants and contracts related to metamaterials technology.

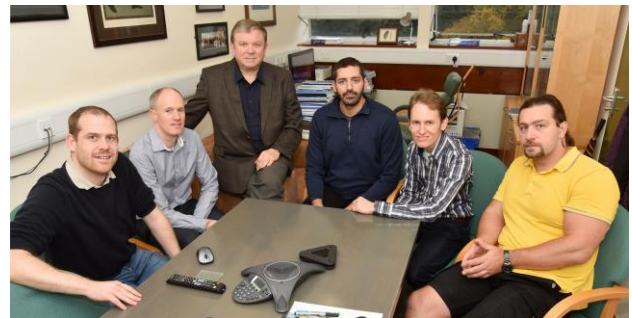


Figure 3. Metamaterials researchers at Southampton University in 2016 (from left to right): Edward Rogers, Kevin MacDonald, Nikolay Zheludev, Nikitas Papasimakis, Eric Plum and Vassili Fedotov.

The Southampton silicon foundry that was destroyed by fire in 2005 was resurrected at the University in 2010 as the Mountbatten Complex, a dedicated new building with 1500 square meters of high-class cleanrooms. We were honored to see that the award-winning building featured a full-façade glass surface emblazoned with our metamaterial design (see Figure 2). It would soon also host the Southampton Nanofabrication Centre, a state-of-the-art facility for microfabrication and high-spec nanofabrication, and the Southampton Photonics Foundry, a hub for innovation in photonic science and technology. This fabrication facility would provide unique processes for manipulating a broad spectrum of photonic materials and devices such as novel glass, integrated photonics, and advanced optical fibres, for use in applications such as biophotonics, nanophotonics, and metamaterials. However, more time was needed to make it fully operational. At that stage a new collaboration had emerged that helped us during the difficult years of deprivation from an in-house fabrication capability. The

group of Professor Di Fabrizio, a world leader in innovative nanofabrication from the Italian Institute of Technology, worked with us on complex nanostructures for three years between 2010 and 2012.

While a majority of the research community was still exploring the intriguing opportunities that negative index materials could provide and transformation optics could deliver, our vision for the programme granted in 2010 was to develop a new generation of switchable and active nanostructured photonic media, thus providing groundbreaking solutions for telecoms, energy, light generation, imaging, lithography, data storage, sensing, and security and defense applications. The main methodological paradigm for The Programme was to achieve new functionalities by developing hybrid photonic metamaterials.

Hybridization was a powerful approach, in particular for developing highly-nonlinear and switchable metamaterials by harnessing resonant local field enhancement and plasmonic resonances. For instance, hybridization of a nanoscale ‘feutre’ of single-walled carbon nanotubes with plasmonic metamaterial creates a photonic medium with exceptionally strong ultrafast nonlinearity[35]. This behavior is underpinned by coupling of the nanotube excitonic response to weakly radiating Fano-type resonant plasmonic modes that can be tailored by metamaterial design. The strongest response with picosecond relaxation time was achieved when the carbon nanotube resonance frequency matched that of the plasmonic mode. In the past, we used powerful and complex nanosecond, picosecond and femtosecond lasers for measuring the nonlinear properties of materials. However, when studying carbon nanotube metamaterial hybrids, it was sufficient to use only a few milliwatts of power from a spectrally filtered, broadband quasi-continuous-wave (CW) supercontinuum source<sup>4</sup>, an indication of the strength of the nonlinear response. With the rise of graphene, we rapidly recognized that photonic metamaterials can exhibit extraordinary sensitivity to the presence of a single atomic layer of graphene on its surface[36]. The highly broadband, but relatively weak nonlinearity of graphene can be enhanced about twenty times by placing it on a resonant plasmonic metamaterial, another demonstration of the hybridization concept[37].

I had studied ultrafast optical nonlinearities of metals[38-40] for a few years before we originally embarked into research on metamaterials. It was clear to me that the metallic framework of the metamaterial itself should have

a substantial nonlinear response, even surpassing that of unstructured metallic films. Indeed, we have found that the third-order, two-photon-absorption optical nonlinearity of gold films can be greatly enhanced, and that even its sign can be controlled by metamaterial nanostructuring. This discovery offers applications in ultrafast optical limiters, saturable absorbers, and terahertz-bandwidth all-optical gates[41].

This brings me to the story that, in my view, epitomizes the power of the metamaterial paradigm. In 1979, as a student, I was charged to observe the effect of nonlinear optical activity. I spent a lot of effort and inventiveness on detecting the minute dependence of the polarization rotatory power on light’s intensity in a crystal of lithium iodate. This was a tough job. Thirty-three years later, thanks to our development of metamaterials that exhibit strong optical activity and ultrafast nonlinearity in the constituting metal, the observation of nonlinear optical activity has become trivial: we reported that a plasmonic metamaterial exhibits nonlinear optical activity 30 million times stronger than lithium iodate crystals, thus transforming this fundamental phenomenon of polarization in nonlinear optics, observed back in 1979, from an esoteric phenomenon into a major effect encountered in nonlinear plasmonics, with potential for practical applications[42].

Using superconductors was one of the most intriguing opportunity for making low loss tunable metamaterials. Indeed, superconductivity is very sensitive to heat, magnetic field, and current stress, which gives researchers a number of new degrees of freedom. In 2009 we decided to set up a characterization rig for superconducting metamaterials, and elected to work at the spectral band around 100GHz, the millimeter-wave part of the spectrum, using a recently developed, new generation of high-frequency vector network analyzers (HP/Agilent). At these frequencies we could work with both high-temperature, cuprate superconductors, and with conventional superconducting niobium would break superconductivity by electromagnetic radiation. Moreover, quasi-optical techniques, optical cryostats, and other components to which we were well accustomed were suitable for these frequencies. What excited us was that a negative dielectric constant and dominant kinetic resistance make superconductors a natural, broadband, plasmonic media [43, 44]. In our earlier experiments, we targeted losses as the main limiting factor in the use of metamaterials in practical applications. While radiation losses may be controlled by design, Joule losses are inherent to the metamaterial structures. Superconducting metamaterials are an exception to this, since Joule losses can be uniquely controlled with temperature across a very wide range. We put this concept to use by demonstrating

<sup>4</sup> Supercontinuum lasers are now widely used in nanophotonics and metamaterials research. Our research used one of the first commercial models, developed by another close colleague Dr Anatoly Grudinin, former ORC researcher, and founder and CEO of Fianium Ltd., which is now a multi-million-pound laser business in Southampton.

temperature-dependent transmission in the millimeter-wave part of the spectrum in a high-temperature superconducting (high- $T_c$ ) cuprate hole array[43] and metamaterial, supporting sub-radiant Fano-type resonances [45]. At that point, only a handful of groups were working on superconducting metamaterials and we benefited from discussion and collaborations with Professor Steven Anlage at the University of Maryland, who came to visit us on a few occasions. Our further works included the demonstration of a frequency-selective, energy-harvesting, sub-THz superconducting bolometer using ‘coherent metamaterials’[46], and a sub-THz electro-optical modulator based on a niobium superconducting metamaterial, where current passing through a network of superconducting metamolecules control the state of superconductivity[47]. We also explored the idea of flux-exclusion quantum metamaterials[48]. However, perhaps the most relevant illustration of what is possible to achieve with a highly sensitive state of superconductivity is our superconducting, sub-THz metamaterial that operates in a CW-regime. This device exhibited a record-breaking resonant, third-order nonlinearity with effective  $n^2 = 10 \text{ cm}^2/\text{W}$ [49]. We designed this metamaterial in such a way that the heat of absorbed electromagnetic radiation was released in the nano-scale constrictions of the superconducting meta-molecules suppressing superconductivity and higher powers. Using this mechanism of nonlinearity it was possible to achieve a profound nonlinear response in the structure at power level of only  $500 \text{ } \mu\text{W}/\text{cm}^2$ .

The cubic optical nonlinearities that are responsible for the light-induced change of refraction and absorption allow the control of light with light in a volatile fashion: a switched medium relaxes to its initial state after excitation is withdrawn. Nonvolatile switching that remains in the absence of the excitation source requires a material with ‘memory.’ From 1998 onward, we worked on nanoscale structural transformations as a source of optical nonlinearity and nonvolatile switching[5], with keen interest in using these for photonic technologies. We realized that phase-change functionality could provide a way of shrinking optical switching devices to the nanoscale[50] and later, that it could be used to control individual molecules of metamaterials[51]. Indeed, the modulation of a signal requires that its amplitude or phase be changed substantially by a control signal, through a change in either propagation losses or propagation delays. The only way to overcome the lack of propagation distance in nanoscale elements is to use nanometre-scale materials that can undergo a very strong change in absorption or refraction in response to external control. To accomplish this via a material’s refractive index, the real and imaginary parts would need to change on the

order of unity. Large changes in the optical properties of a material can be achieved by providing the energy needed to change the material from one structural phase to another. This energy ‘price’ is typically of the order of the characteristic phonon energy per atom. The energy of only a handful of photons could be enough to convert an entire nanoparticle or metamolecule made of phase-change material from one phase to another.

There are two main types of structural phase-change transformation that are particularly useful in photonic metamaterials: ‘ovonic’ phase change and ‘phase change coexistence.’ In phase change coexistence, a nanoparticle or film of material may have two different structural phases of matter that coexist simultaneously, with the balance between them controlled by temperature or an external supply of energy. An early example from our work is a nanoscale film of liquid gallium existing at the interface between solid gallium and silica glass[52]. Similar coexistence arrangements may be found in gallium nanoparticles where, depending on temperature, solid-liquid or solid-solid core-shell particles made of different structural phases are formed[53]. During the pre-metamaterial era we extensively studied such gallium films and nanoparticles as platforms for all-optical and free-electron-driven switching and memory elements[5, 54-56]. Today, the metamaterial terminology is used widely, and structural materials consisting of arrays of nanoparticles are commonly referred to as metamaterials. In 2002, we demonstrated all-optical switching in a metamaterial of gallium nanoparticles[57], and the memory effect in this metamaterial in 2003[58]. In 2006 we demonstrated nano-composite metamaterials created by grain boundary penetration of gallium into an aluminum film. These composite films form mirror-like interfaces with silica and show an exceptionally broadband phase-transition-based nonlinear response to optical excitation[59]. Recently, we demonstrated an optically switchable, hybrid, plasmonic, gallium-based metasurface, in which a reversible light-induced transition between solid and liquid phases, occurring in a confined nanoscale surface layer of the metal, drives significant changes in reflectivity and absorption [60]. The metasurface architecture resonantly enhances the metal’s ‘active plasmonic’ phase-change nonlinearity by an order of magnitude, offering high contrast all-optical switching in the near-infrared range at low,  $\mu\text{W}/\mu\text{m}^2$ , excitation intensities.

The ‘ovonic’ phase change transformation type is most often seen in chalcogenide semiconductor materials. It happens as a gradual destruction of the crystalline lattice, converting the material into the amorphous phase. By contrast, the transition from the amorphous state to the crystalline one is usually abrupt. Phase change in



chalcogenide materials, such as germanium antimony telluride (GST) and gallium lanthanum sulfide (GLS), is a very robust process that is used in re-writable optical and electronic data storage. In 2010 we demonstrated hybrid-plasmonic electro-optic switching in chalcogenides. To accomplish this, we exploited the frequency shift of a narrow-band Fano resonance mode in a plasmonic planar metamaterial, induced by a change in the dielectric properties of an adjacent chalcogenide glass layer[61]. The all-optical switch combines the chalcogenide glass phase-change medium with nanostructured, plasmonic metamaterials to yield a high-contrast, large area, reversible switching solution[62].

Importantly, phase-change is a technology that can work for metamolecule-level switching, providing a new platform for creating optical components that are written, erased, and rewritten as two-dimensional binary or grayscale patterns into a film of chalcogenide glass using tailored trains of femtosecond pulses. By combining germanium-antimony-tellurium-based films with a diffraction-limited-resolution optical writing process, we demonstrated that reconfigurable bichromatic and multifocus Fresnel zone plates, superoscillatory lenses with subwavelength focus, grayscale holograms, and dielectric metamaterials with on-demand resonances can be created with this technology[63]. Today, phase-change is widely seen as a key technology for nanophotonic and metamaterial switching and memory, and many leading nanophotonic research groups run projects developing phase change technology.

More and more, we focused our work not only on developing metamaterials in which change of optical response could be achieved across the entire volume of the metamaterial, but also on those in which ‘on-demand’ control - change at any given point in space and time - of individual metamolecules would be possible[3]. Analogous with electronic random access memory, we called these ‘randomly accessible metamaterials.’ These metamaterials would not only allow for the modulation of light’s intensity or phase, but would offer full, active control of the wavefront of electromagnetic radiation, tailoring of the near field, and, ultimately, multichannel data processing. Developing randomly accessible photonic metamaterials is a challenge: the metamolecules must be subwavelength optical switches with a physical volume of about  $10^{-19} \text{ m}^3$ . To have an impact on telecommunications technologies, such switches must also be fast and energy-efficient. At Southampton we were looking for such technologies that could deliver not only metamolecule-level switching controlled by electric or magnetic signals, but also switching with light[3]. One such light-sensitive process is the phase-change technology described above.

Some exceptional opportunities are also provided by nano-optomechanics that take advantage of the changing balance of forces at the nanoscale. At the sub-micrometre dimensions of metamolecules, electromagnetic effects such as Coulomb, Ampère, and Lorentz forces compete with elastic forces and can thus be used to reconfigure the shape of individual metamolecules, or their mutual arrangement. We have identified that nanomembranes are the ideal platform for such nano-optomechanical reconfigurable metamaterials[64]. Metamaterials on structured membranes can be reconfigured thermoelastically[65], mechanically[66], electrically[67], and magnetically[68]. They can also be reconfigured by the light-induced forces that occur between elements of illuminated metamolecules. We have shown this in both plasmonic[69] and dielectric metamaterial nanostructures[70]. It is now clear that nonlinear, switching, electro-optical, and magneto-optical characteristics of nano-opto-mechanical metamaterials can surpass those of natural media by orders of magnitude. For instance, the electro-optic coefficient of a reconfigurable metamaterial exceeds that of a reference lithium niobate crystal by five orders of magnitude[67]. Nano-optomechanic metamaterials also show exceptionally strong optical nonlinearities. Dielectric metamaterial nanostructures can modulate light by light at 150MHz with a nonlinear coefficient of  $\beta \approx 7 \cdot 10^{-5} \text{ m/W}$ [70], while plasmonic nano-optomechanical metamaterials modulating at a frequency of 1MHz show  $\beta \approx 5 \cdot 10^{-3} \text{ m/W}$ [69]. Such a high level of nonlinearity allows for cross-wavelength, all-optical signal modulation with conventional telecom diode lasers of only a few milliwatts. Importantly, nanoscale metamaterial building blocks can be moved very fast, potentially offering gigahertz bandwidth switching – modulation at a hundred MHz has already been achieved[70]. The first generation of randomly accessible, reconfigurable photonic metasurfaces on nano membranes, providing control in a single spatial dimension, have also been realized[64]. Such metasurfaces can function as re-focusable lenses or dynamic diffraction gratings[71-73]. I believe that soon we will be able to see the demonstration of an intrinsically bistable metamaterial, driven by resonant optomechanical forces. Being inherently free of Joule losses, we expect this to exhibit optical bistability at intensity levels of less than  $\text{mW}/\mu\text{m}^2$  [74].

Another emerging technology for controlling and switching optical properties in metamaterials is ‘coherent control’[75]. In 2012 we realized that a highly absorbing plasmonic metamaterial film of subwavelength thickness that is placed at the node of a standing wave, formed by counter-propagating control and signal waves, will see zero electric field and thus will not absorb light. Any

change in the phase or intensity of the control wave will distort the standing wave pattern and destroy the regime of zero absorption. This method can be used to control various optical effects, such as diffraction[76], optical activity and birefringence[77], and nonlinear interactions[78]. This phenomenon underpins various forms of optical switching[79] with only a few photons[80], and with a modulation bandwidth up to 100 THz[81]. This presents powerful opportunities for laser spectroscopy[82-84] and data handling in locally coherent networks, increasingly a part of mainstream telecommunications development. The ability to control the wavefront of light is fundamental to the focusing and redistribution of light, enabling many applications from imaging to spectroscopy. Coherent interactions can also be used for two-dimensional control of light with light on highly absorbing plasmonic metasurfaces. In 2015 we demonstrated this by performing 2D all-optical logical operations (AND, XOR and OR) and image recognition and processing[85, 86], offering potential solutions for all-optical data processing in multi-core fibre networks[87]. Our approach offers diffraction-limited image processing, potentially at arbitrarily low intensity levels, and with 100 THz bandwidth, thus promising new applications in space-division multiplexing, adaptive optics, image correction, processing, and recognition, 2D binary optical data processing, and reconfigurable optical devices.

The interaction of free-electron beams with nanostructures fascinated me for many years. We studied phase coexistence in gallium nanoparticles controlled by electron excitation[88]. Concurrently with Albert Polman's group in AMOLF, but a few days earlier we published on the generation of traveling surface plasmon waves by free-electron impact[89]. We also demonstrated amplification of the evanescent field of free electrons[90]. We developed a nanoscale analog of a free electron light source by demonstrating that the passage of a free-electron beam through a nanohole in a periodically layered metal-dielectric structure creates a new type of tunable, nanoscale radiation source that we termed a 'light well'[91]. The study of light generation in metamaterials has become a logical extension of this work and in 2012 we showed experimentally that the energy from a highly localized free-electron-beam excitation can be converted via a planar plasmonic metamaterial to a low-divergence free-space light beam[92]. This emission, which emanates from a collectively oscillating coupled metamolecule nanoantenna ensemble much larger in size than the initial excitation, is distinctly different from cathodoluminescence and bears some similarity with laser light. This offered a novel, flexible paradigm for the development of scalable, threshold-free light sources. Recently we developed a direction and mode-tunable

holographic free-electron light source based on the injection of free electrons in gradient metasurfaces[93].

Our 2011 paper on changing the perceived color of the gold through metamaterial patterning without without violation or employing any form of chemical modification attracted a huge interest from general public, watch manufacturers, jewelry and luxury goods industries. We have shown that on a continuous gold film the coloring effect may be underpinned by plasmonic Joule losses in the constituent elements of the patterns (dubbed 'intaglio' and 'bas relief' metamaterials to distinguish indented and raised structures respectively) [94, 95]. This coloring method has the advantage of maintaining the integrity of metal surfaces and is well suited to high-throughput fabrication via techniques such as nano-imprint.

It took some time for the metamaterials community to realize that the many exotic and useful functionalities of metamaterial could be realized without using metals as building materials for metamolecules and that results similar to those of plasmonic devices could be obtained with all-dielectric structures based on high-index dielectrics. We had interest for this topic while still in its earlier stages, and in 2003 developed an effective medium theory of a metasurface, a closely packed nanoparticle film of spheroids and nanoshells with arbitrary dielectric properties[96]. In 2005, we developed techniques for fabricating dielectric metamaterials for the optical part of the spectrum and studied their polarization properties[19]. This included the fabrication of various chiral shapes in silicon with feature of about 50 nm. We also fabricated planar chiral metamaterials in a dielectric layer of Hydrogen Silsesquioxane resist and used room temperature nanoimprint lithography to replicate metallic planar chiral structures by metallization and lift off processes.

To continue our work on dielectric metamaterials, in 2013 we experimentally demonstrated a fully-dielectric (silicon) metasurface that exhibits a magnetic resonance at optical frequencies, founded upon the excitation by incident light of anti-parallel displacement currents, in metamolecules comprising pairs of dissimilar dielectric nano-bars, showing that in principle, strong, lossless, resonant responses are possible anywhere in the optical spectral range[97, 98]. Moreover, we saw suppression of reflectivity caused by the interference of magnetic and electric dipole responses, which was referred to as the Huygens surface behavior. The same year, we introduced a dielectric photonic nonlinear metamaterial that is inherently free of Joule losses[74]. We predicted that it would exhibit a giant nonlinear optical response and nonlinear asymmetric transmission with a forward:backward optical extinction driven by resonant

optomechanical forces in a structure comprised of dissimilar dielectric nano-bars on a flexible membrane. As we have already noted above, we experimentally demonstrated highly nonlinear, dielectric, optomechanical metamaterials two years later[70], thereby offering a path to fast, compact, and energy efficient all-optical metadevices. In 2016 we demonstrated an all-dielectric phase-change reconfigurable metasurface[99]. We harnessed non-volatile, amorphous-crystalline transitions in the chalcogenide phase-change medium germanium antimony telluride to realize optically-switchable, all-dielectric metamaterials that provided reflectivity and transmission switching contrast ratios of up to 5:1 at visible/near-infrared wavelengths selected by design. We also demonstrated that dielectric metamaterials can be written, erased, and rewritten as two-dimensional binary or greyscale patterns into a nanoscale film of phase-change material by inducing a refractive-index-changing phase transition with tailored trains of femtosecond pulses[51]. In collaboration with Prof Brian Hayden from Southampton's Department of Chemistry – a pioneer and global leader in the field of 'high-throughput materials discovery', and colleagues in Singapore, we continue to explore new plasmonic and dielectric materials including chalcogenides, superconductors, topological insulators[70] and perovskites[100].

The School of mathematics theory group of Prof. Janne Ruostekoski and Dr Stewart Jenkins (another Leverhulme fellow on my grant) provided a considerable input in several aspects of our programme by developing microscopic and quantum mechanical descriptions of metamaterials collective response [92, 101-105].

Metamaterials are also extremely powerful platforms for fostering the observation of new physical phenomena. The asymmetric transmission effect discussed above was one of the examples. Another unusual phenomenon, which was never reported in natural solid or liquid media for the entire history of optics, that was possible to 'engineer' in metamaterials was the dependence of absorption line width on sample size<sup>5</sup>. In 2010, we reported on a metamaterial where the spectral line collapsed with an increasing number of metamolecules: bigger samples had narrower lines[106]. We observed this effect at microwave, terahertz, and optical frequencies, and linked this to the suppression of radiation losses in periodic arrays of strongly interacting metamolecules (collective subradiance). We later referred to this as an optical analogue of the famous Mössbauer effect, where a narrow resonance for nuclear absorption results from the

recoil being delivered to the entire crystal lattice rather than to the nucleus alone.

Spectral collapse is relevant to the concept of 'coherent' and 'incoherent' metamaterials, which we introduced in 2009 by experimentally demonstrating two distinctly different types of resonant metamaterial response upon disordering of the metamaterial lattice[107]. In the case of a coherent metamaterial, a regular ensemble of metamolecules exhibited a collective narrow-band response that became broader and eventually disappeared with increasing disorder. In an incoherent metamaterial, the disorder had little effect on the structure's resonant properties. Furthermore, we observed that in metamaterials with strongly interacting metamolecules, positional disorder could lead to light localization[108].

We also showed that strong, optically induced interactions between metamolecules and a light beam with a spatially tailored phase profile can be used to prepare energy 'hot spots' of a fraction of a wavelength that can be created and positioned on the metamaterial landscape. This development offers new opportunities for data storage applications[109, 110] and light harvesting in metamaterial enhanced radiation detectors[46]. Metasurfaces with strongly interacting metamolecules could exhibit another interesting and useful property of wavevector selectivity. In 2015 we introduced and demonstrated experimental wavevector selective surfaces that are transparent only within a narrow range of light propagation directions, operating effectively as tunnel vision filters[111]. The practical applications of such metasurfaces could be in wave front manipulation, observational instruments, and free-space communication in light-scattering environments. One characteristic feature of metamaterials with weakly interacting metamolecules is their insensitivity to disorder and omnidirectional response[112], which are useful for many practical applications.

Another powerful example of metamaterials impacting fundamental physics is the experimental discovery of toroidal dipole response in electrodynamics and the observation of the anapole. The metamaterial platform was crucial for these discoveries. The toroidal dipole is a localized electromagnetic excitation, distinct from the magnetic and electric dipoles. While the electric dipole can be understood as a pair of opposing charges, and the magnetic dipole as a current loop, the toroidal dipole corresponds to currents flowing on the surface of a torus. Toroidal dipoles provide physically significant contributions to the basic characteristics of matter including absorption, dispersion and optical activity. We were interested in toroidal excitation in matter from the very first days of our metamaterial research[113, 114] and in 2004, hosted at Southampton the first international

<sup>5</sup> Dependence of the absorption line width in a gas sample on the sample or beam size is a well-known effect. It has a different nature, relevant to the finite interaction time the atoms flying across the optical beam have in the gas phase.

workshop on toroidal electrodynamics attended by many earlier researchers on the subject including G.N. Afanasiev, E.V. Tkalya, A. Ceulemans, M.A. Martsenyuk, H. Schmid and A. Dereux. In 2004 I won a very rare and highly sought after EPSRC Adventure Grant entitled ‘Supertoroids Challenge Established Laws of Physics,’ GR/S48165/01, which we run with my co-investigators Professor Allan Boardman in Salford University and Professor Tony Bland in Cambridge. Initially, evidence of toroidal response was seen in a chiral metamaterial, an array of wire helix bent into a torus [114], but announcement had to wait until 2010 when we clearly saw a toroidal dipole response by detecting a resonant toroidal electromagnetic response in an artificially engineered metamaterial [115]. Observations of toroidal response in terahertz [116] and optical plasmonic [117-119] and dielectric metamaterials [120] followed soon together with developing theory [121].

Another intriguing result was the observation of a ‘dynamic anapole’ response in metamaterials. What is dynamic anapole? Toroidal dipole emitters can be combined with electric dipole emitters such that the radiated fields interfere destructively. Such a non-radiating configuration is known as dynamic anapole. Our group reported the observation of the dynamic anapole in a microwave metamaterial in 2013 [122]. The observation of a resonant toroidal response in metamaterials has enabled the systematic study of toroidal electrodynamics [123]. Despite the recent stream of experimental and theoretical works, however, the field is still in its infancy, with many questions to be resolved and applications to be explored. Toroidal resonances in natural media have not yet been observed, and the spectroscopy of toroidal resonances is still being developed [124]. While a strong toroidal contribution is expected only from large toroidal ‘molecules’ (comparable with the wavelength of light), this contribution can be profound [125]. Toroidal resonances can destructively interfere with other modes of excitations in the materials, providing a new mechanism of induced transparency (slow light), and scattering suppression that can be used in narrow-band filters and for dispersion control. Novel laser designs have been investigated where resonant arrays of toroidal metamolecules are used as a gain medium [126]. Finally, toroidal electromagnetic excitations propagating in free space represent an exciting new opportunity for energy and information transfer [127].

### **Developing Technology and Going international**

From 2012, we started a very fruitful engagement with Nanyang Technological University (NTU) in Singapore. After winning a very substantial grant from the Ministry

of Education in Singapore I was appointed Director of the Centre for Disruptive Photonic Technologies (CDPT) at NTU (Figure 3) [128]. The center was built from scratch and is now a two-story complex of research labs and cleanrooms. It is now vigorously establishing a reputation as a prominent centre for research and collaboration in South-East Asia and one of the main research establishments in nanophotonics and metamaterials in the world. According to the Web of Science, since 2012 NTU has been propelled by CDPT research to be the leading university in the world in number of publications on metamaterials (Web of Science search on the topic of “Metamaterial or Metamaterials” for years 2010-2016). Professors Zexiang Shen, Cesare Soci, David Wilkowski, Hongjin Fan, Ping Perry Shum, Yidong Chon, Baile Zhang, Ranjan Singh and Weibo Gao joined the Center’s research on metamaterials and ensured its success. Zexiang Shen and Cesare Soci were appointed co-Director and Deputy Director of the CDPT and Dr. Giorgio Adamo moved from Southampton to NTU to become the Research Manager of the Centre. Other groups at NTU, notably that of Professors Ai Qun Liu and Dao Hua Zhang, also run strong research programmes on metamaterials and collaborate with CDPT. At CDPT we also work closely with our collaborators, experts in metamaterials at A\*STAR institutions, Prof Boris Lukiyanchuk and Dr Jing Hua Teng, both Adjunct Professors at NTU. Professor Harald Giessen and Federico Capasso have also become NTU visiting professors affiliated with CDPT.

At the CDPT, jointly with group of Professor Ai Qun Liu, we worked on MEMS metamaterials driven by comb actuators [129, 130]. We also developed a planar microwave metamaterial in which the resonant properties of every individual metamolecule can be continuously controlled at will (a random access reconfigurable metamaterial) [131]. To achieve this, we created an array of cavities that can be filled with liquid metal in a controllable fashion, using microfluidic technology and a multiplexer of pneumatic valves. A microfluidic network, addressing every individual element of the array, provides the mechanism to dynamically change the filling factor of resonators, and thus their resonant electromagnetic properties, continuously and with random access. Such individually addressable cavities of sub-wavelength size form a metamaterial array of subwavelength resonators in which the modulation of the transmitted wavefront is accomplished, with negligible Joule losses, by changing the spatial phase gradient of the array.

Transformation optics, the science of bending light trajectories by filling the space with metamaterials, has always fascinated me, but we never worked in this field in Southampton. However, at NTU we explored some novel

ideas of how to build transformation optics and cloaking devices for the optical part of the spectrum. In 2012, in collaboration with Professor Ai Qun Liu, we analyzed liquid flowing in an optofluidic waveguide as a new type of controllable medium for transformation optics[132]. We showed that a laminar liquid flow in an optofluidic channel exhibits spatially variable dielectric properties that support novel wave-focusing and interference phenomena, which are distinctively different from the discrete diffraction observed in solid waveguide arrays. In 2013, working with Professor Baile Zhang and collaborators in China, we proposed a simplified version of Pendry's cloak by abolishing the requirement for phase preservation, as it is irrelevant for observation using incoherent natural light with human eyes, which are phase and polarization insensitive. This allows for a cloak design on large scales using commonly available materials. We successfully demonstrate the full optical spectrum cloaking of living creatures, a cat and a fish, from the human eye[133].



Figure 4. Opening event for the Center for Disruptive Photonic Technologies at Nanyang Technological University, Singapore, December 2012. The event was attended by many prominent members of the international metamaterial and photonic research community including Professors David Smith (Duke), Din Ping Tsai (Taiwan), Harald Giessen (Stuttgart), Willi Padilla (Duke), Nader Engheta (UPenn), Anatoly Zayats (Kings), Benjamin Eggleton (Sydney), Michal Lipson (Columbia), John Dudley (Franché-Comté), Vlad Yakovlev (A&M Texas). The Southampton University group included Professors Sir David Payne, Dan Hewak, Graham Reed, Micahel Zervas, and Gilberto Brambilla. NTU was represented by CDPT Director Professor Nikolay Zheludev, CDPT principle and affiliated investigators Professors Zexiang Shen, Cesare Soci, Baile Zhang, Hongjin Fan, Qijie Wang, David Wilkowski, CDPT Research Manager Dr. Giorgio Adamo and NTU senior management, including the Provost Professor Freddy Boey and the President Professor Bertil Andersson, among other guests. The Chief Editor of Nature Photonics, Dr Rachel Won was also present and wrote a feature article in Nature Photonics on the event.

At NTU, we are exploring the use of new material for metamaterials, such as topological insulators[134] and perovskites[135], as well as studying the quantum effects of light interaction with metamaterials. In 2015 we demonstrated a quantum metamaterial based on the hybridization of plasmonic landscapes with atomic

gas[136]. We show that the hyperfine sub-Doppler spectrum of the  $62S_{1/2}-62P_{3/2}$  resonance transition at 852 nm is strongly affected by coupling to the plasmonic resonance of the nanostructure. Fine tuning of the dispersion and positions of the atomic lines in the nearfield of plasmonic metamaterials could have uses and implications for atom-based metrology, sensing, and the development of atom-on-a-chip devices.

In October 2014, CDPT became a part of The Photonics Institute (TPI), a new institute at NTU coordinated with the ORC at Southampton University, with research activities spanning from the fundamental aspects of nanophotonics, to fiber, telecom sensor and LED technologies. I became the co-Director of TPI jointly with Prof Sir David Payne and Professor Swee Chuan Tjin.

Initial funding provided to CDPT by the Ministry of Education in 2012 will come to end in early 2018. However, in 2017 we have been able to secure further generous support from the Ministry of Education to continue our work on the topic of "Quantum and Topological Nanophotonics", the program in which metamaterial will play an important role. Furthermore, at the end of 2016 we entered the A\*STAR Singapore quantum technologies programme which looks for applications of metamaterials in quantum devices.

At Southampton University, 2015 was very significant to us. I was awarded the Thomas Young medal (IOP) for 'global leadership and pioneering, seminal work in optical metamaterials and nanophotonics.' We received a new 6 year EPSRC Programme Grant on 'The Physics and Technology of Photonic Metadevices and Metasystems,' grant number EP/M009122/1, in which I was appointed principle investigator. At the core of this programme were metamaterials with 'optical properties on demand' to facilitate development of new applications in telecommunication technologies. We have also become a part of the Southampton-centered EPSRC 'National Hub in High Value Photonic Manufacturing,' EP/N00762X/1 which aims to develop manufacturing processes for advanced photonic technologies, in particular, metamaterials. Professors David Richardson, Otto Muskens, Brian Hayden, Assistant Professor Eric Plum and Dr. Kevin MacDonald (Research Manager of the new Programme) from Southampton and Professor Danielle Faccio, a quantum Physicist from Harriot Watt University joined us as Co-Investigators. We work closely with other members of Southampton community, most notably with theory group of Prof. Janne Ruostekoski (School of Mathematics), Dr. Xu Fang (ECS), Dr. Vassili Fedotov, Assistant Professor Nikitas Papisimakis and Professor-Consultant Will Stewart, former Chief Scientist of Marconi PLS and an early pioneer of metamaterials. From



the day of establishing the center for Photonic Metamaterials the Southampton group has become the highest-cited metamaterials research group in Europe. (Web of Science search on the topic of “Metamaterial or Metamaterials” for years 2010-2016).

I shall also say that while metamaterials were the main topic of our research from about 2001, we conducted a substantial parallel programme on nanophotonics that clearly impacted and benefited our work on metamaterials and in many instances, interlinked with it. Above I gave examples on how our earlier work on nanophotonic switching via structural transformations and active plasmonics (the term which we coined in 2004[137]) resulted in demonstrations of phase change memory metamaterials, while the study of electron-beam interactions with nanostructures resulted in the demonstration of frequency, wavelength and beam profile tunable light generation on metasurfaces pumped by free electron injection. Similarly, our earlier studies of optical nonlinearities of metals[38-40] and all-optical ultrafast control of plasmon-polaritons[138] have resulted in developing nonlinear plasmonic metamaterials with strong ultrafast switching[41, 42].

Another interesting and, arguably, even more important metamaterials-related story is our work on super-resolution imaging. For centuries improvements in imaging were drivers of scientific discoveries and technologies and metamaterials community was and still is very active in this field, most notably through massive research of leading groups in super-resolution negative index Veselago-Pendry Superlens and Narimanov-Engheta hyperlens. We stayed away from this mainstream research activity, but developed our own super-resolution approach. It came about as the evolution of our 2006 work on light focusing and imaging using nano-hole arrays, which are essentially metamaterial lenses [139-141]. Not only has this work evolved into the introduction and development of powerful label-free super-oscillatory imaging, beating the diffraction limit of resolution, it has also pioneered the development of focusing metasurfaces, in particular hole and slit arrays, which have now become a popular topic of research, ten years later.

The development of super-oscillatory imaging technology started in 2006 when Michael Berry and Sandu Popescu at Bristol described[142] and our group in Southampton in 2007 experimentally observed[139] the phenomenon of optical superoscillations. In 2007 we realized potential of this phenomenon for imaging[140, 141] and in 2009 and developed instructive algorithm for designing super-oscillatory masks[143]. A super-oscillatory imaging device exploits the non-trivial fact that accurately tailored interference of coherent light waves can form foci smaller

than allowed by the Abbe-Rayleigh diffraction limit[144]. In fact there are no fundamental limits on how fine super-oscillatory foci and imaging resolution could be. The imaging potential of this discovery was rapidly recognized by EPSRC in 2008 when they generously supported the development of super-oscillatory imaging by awarding me a multi-million Basic Technology Research Programme “NANOSCOPE: looking inside a living cell with nanoscale resolution”, EP/F040644/1 which was developed in close collaboration with groups of Professor Kishan Dholakia from St Andrews, Dr Yifang Chen from the Rutherford Appleton Laboratory, Dr Mark Denis from Bristol and Professor John Chad, a biologist from Southampton University.

A practical NANOSCOPE imaging apparatus with resolution of about one sixth of the wavelength has been demonstrated[145] and a wide range of nanotechnology-enabled super-oscillatory lenses have been developed for applications ranging from imaging to data storage and nanofabrication with light[146-151]. This includes the deployment of super-oscillatory microscopy for bio-imaging[152] and development of specialized lenses for the visible and infrared spectral bands made from dielectric and metals, lenses manufactured on fiber tips and silicon wafers, achromatic and apochromatic lenses[153] operating down to single photon level[154]. Dr Edward Rogers, who was initially appointed as a researcher on the Basic Technology Research Programme in 2009 and became an Advanced Fellow with the Institute for Life Sciences at Southampton University, and Dr Guanghui Yuan, appointed in the Center for Disruptive Photonic Technologies at NTU Singapore in 2012, have become major contributors to developing super-oscillatory imaging and focusing technologies.

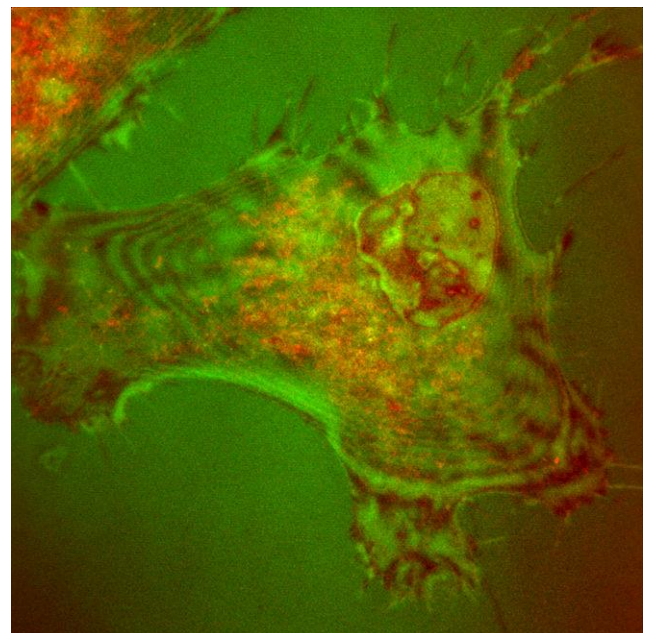


Figure 5. Super-oscillatory unlabelled reflection imaging of MG63 human cells (green) with a conventional image of labelled mitochondria (red) for context (credit to E.T.F. Rogers, S. Quraishie, T.A. Newman, J.E. Chad, N. I. Zheludev, P.J.S. Smith)

As we know from recent history, in 2014, Stefan Hell, Eric Betzig and William Moerner received a Nobel Prize for the development of new microscopies that can look into cells at features much smaller than a micron. These techniques require labelling, i.e. deliberate marking living cells with dyes and reporters. In the words of the Nobel laureate Eric Betzig, the next revolution in imaging will be in developing label-free imaging that goes beyond the micron barrier. Indeed, the freedom from labels would open incredible new opportunities for nanotechnology (e.g. lithography), non-invasive and non-toxic biomedical imaging, data storage and precision fabrication with light. Super-oscillatory imaging is a label-free technology with the potential to address all these opportunities. In recent years the collective effort of optical scientists and biologist at Southampton have advanced it to the state that we can now image fine details of living cells in real time[152], see Fig.5.

### **On the future**

Where the metamaterial community is heading now and what else is left to do in metamaterials? There is plenty! Let me list just a few directions: random access 2 & 3 dimensional metamaterials for optical components on demand, reconfigurable photonic circuits, dynamic 3d holographic displays and cognitive metasystems; fast, low energy all-optical memory components for telecom and displays; large area reconfigurable metasurfaces for dynamic paint, self-adaptive camouflage, automotive and fashion industries; high quality resonance ( $Q > 10000$ ) large photonic arrays for switching, lasing, sensing, quantum simulations and quantum computing application. High throughput fabrication of photonic metamaterials remains major challenge and we could look at molecular manufacturing using kinematic self-replicating machines, diamond mechanosynthesis, DNA scaffolding etc. Metamaterials for UV, soft X-ray and matter wave of ultralow temperature molecular/atomic beams are still waiting for their time!

In conclusion, I would like to answer an important question. With all the remarkable success and impact of the metamaterial research programmes internationally, the emergence of a mighty and highly skilled research community, and the substantial funding across the globe, why we do not see massive deployment of metamaterials yet? Many ask this question. There are several reasons one would need to take into consideration when answering it. First, we need to admit that the field was partially discredited by overstated promises and hype in the early days of the discipline, and we all have to take responsibility for this. This has made the industry over-cautions in embracing metamaterials. Second, for a few years, due to enormous popular interest in the subject,

metamaterials were seen as only relevant to superlens and clocking device applications, which limited them in the eyes of the general public and industrial developers, obscuring their real practical impact in other applications. This perception is rapidly changing, but it will take time for the industry to appreciate the true potential of metamaterials across a wide range of technologies. Third, metamaterials are not a technology as such, but are technology enablers, and thus their proliferation faces a number of challenges. For example, greater education of end-users is required in many application fields; additionally, the development of high-throughput, cost-effective fabrication processes is needed. Most importantly, the development of integration approaches, such as metamaterial + fibre technology, metamaterial + silicon photonic technology, and metamaterial enabled photovoltaics is crucial. And finally, more time is needed: from the discovery of the light emitting diode in 1925 and LED-based optical telecommunication in 1927[155] more than 60 years was required for the massive deployment of these optical telecommunications seen currently. As of today, the field of metamaterials is only 16 years old.

### **Acknowledgements**

Author is deeply grateful to the Science and Engineering Research Council (UK) for generous and consistent support of our work on metamaterials at Southampton University from the first responsive grant on the subject to metamaterials programmers and other larger grants. Author is also sincerely indebted to the Ministry of Education and the Agency for Science, Technology and Research (Singapore) and Nanyang Technological University for supporting our work in Singapore. I am grateful to the Royal Society, the Leverhulme Trust, and the Science and Engineering Research Council for various research fellowship awarded to me and my colleagues at Southampton. In particular this work was supported by the EPSRC [Grant No. EP/ M009122/1], and the Singapore Ministry of Education [Grant No. MOE2011-T3-1-005]. We also appreciate generous support of the Defense Science and Technology Laboratory (UK), Office of Naval Research (USA) and from our industrial partners Oclaro, QinetiQ, Erika, Samsung, Sony, Fujifilm and Asahi Kasei Corporations.

Most warmly I would like to thank my co-authors who contributed to our work on metamaterials in the last sixteen years, among them Adamo, G., Albanis, V., Aljunid, S. A., Anlage, S. M., Ashburn, P., Atmatzakis, E., Azad, A., Bagnall, D., Bailey, J. L., Basharin, A. A., Bashevov, M. V., J. Baumgartl, J., Bennett, P. J., Bitzer, A., Boden, S. A., Bolduc, E., Bourouina, T., Buckingham, A. R., Cencillo-Abad, P., Chad, J. E., Chan, E. A., Chen, H. S., Chen, M. K., Chen,

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The data from this paper will be available from the University of Southampton repository at <http://dx.doi.org/10.5258/SOTON/D0089>

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