

1 Integrated optical waveguide-based fluorescent immunosensor for fast  
2 and sensitive detection of microcystin-LR in lakes: Optimization and  
3 Analysis

4 Lanhua Liu<sup>a</sup>, Xiaohong Zhou<sup>a\*</sup>, James S. Wilkinson<sup>b</sup>, Ping Hua<sup>b\*\*</sup>,  
5 Baodong Song<sup>a</sup>, Hanchang Shi<sup>a</sup>

6 a.Center for Sensor Technology of Environment and Health, State Key  
7 Joint Laboratory of ESPC, School of Environment, Tsinghua University,  
8 Beijing 10084, China

9 b.Optoelectronics Research Centre, Southampton University, Highfield,  
10 Southampton, SO17 1BJ, UK

11 [\\*xhzhou@mail.tsinghua.edu.cn](mailto:xhzhou@mail.tsinghua.edu.cn); [\\*\\*ph2@orc.soton.ac.uk](mailto:ph2@orc.soton.ac.uk)

12 Abstract: Nowadays, biosensor technologies which can detect various  
13 contaminants in water quickly and cost-effectively are in great demand.  
14 Herein, we report an integrated channel waveguide-based fluorescent  
15 immunosensor with the ability to detect a maximum of 32 contaminants  
16 rapidly and simultaneously. In particular, we use waveguide tapers to  
17 improve the efficiency of excitation and collection of fluorescent signals  
18 in the presence of fluorophore photobleaching in a solid surface bioassay.

19 Under the optimized waveguide geometry, this is the first demonstration  
20 of using such a type of waveguide immunosensor for the detection of  
21 microcystin-LR (MC-LR) in lake water. The waveguide chip was  
22 activated by **(3-Mercaptopropyl)**

23 trimethoxysilane/N-(4-maleimidobutyryloxy) succinimide (MTS/GMBS)  
24 for immobilization of BSA-MC-LR conjugate, which was confirmed to  
25 have uniform monolayer distribution by atomic force microscopy. All real  
26 lake samples, even those containing MC-LR in the sub-microgram per  
27 liter range (e.g. 0.5  $\mu$ g/L), could be determined by the immunosensor  
28 with recovery rates between 84% and 108%, confirming its application  
29 potential in the measurement of MC-LR in real water samples.

30 **Keywords:** Multi-channel waveguide; Immunoassay; Total internal  
31 reflection fluorescence; Microcystin-LR

## 32 **Introduction**

33 Research activities on chemical and biochemical sensors have progressed  
34 dramatically over the past three decades. At present, much research work  
35 is focused on the development of systems capable of multi-analyte  
36 detection in a single sample, for environmental, clinical or security  
37 applications<sup>1,2</sup>. Optical sensors have great potential in this field because  
38 of their ability to probe surface films using a range of optical phenomena  
39 while achieving low noise and high sensitivity. In addition, they have  
40 advantages in speed and permit in-situ sensing and real-time  
41 measurements. Optical sensors are also suitable for miniaturization and  
42 for remote and multi-analyte sensing. Another important feature of an  
43 optical sensor system is that it is substantially free from electromagnetic  
44 interference and has a reduced possibility of causing an explosion in a

45 dangerous environment, compared to electrical transduction systems.  
46 Therefore, optical biosensors offer **several** advantages over  
47 laboratory-based systems **when** compared to other sensing systems.  
48 Among these, waveguide-based evanescent **wave** fluorescent biosensors  
49 have attracted intensive attention because of their potential for easy  
50 miniaturization and their high sensitivity and selectivity<sup>1,2</sup>. The  
51 evanescent wave **provides** the excitation energy to induce fluorophore  
52 emission which can **then** be detected and directly related to the analyte  
53 concentration in samples. **In principle**, the combination of evanescent  
54 wave **excitation** and **fluorescent** labeling offers both **outstanding**  
55 sensitivity and selectivity. The evanescent wave essentially confines **the**  
56 **excitation power** within a **submicron** distance from the sensor surface,  
57 providing **the** selectivity to excite only the fluorophores attached **to** the  
58 sensor surface, **thereby** minimizing **the** interference or contribution from  
59 the bulk phase<sup>3</sup>. Furthermore, the excitation light is waveguided away  
60 from the detection region, allowing **simple** discrimination of the  
61 fluorescence signal from the excitation light and achieving high  
62 sensitivities and low limits of detection (LODs)<sup>3-6</sup>.  
63 Microcystin-LR (MC-LR) is one of the most toxic cyclic heptapeptide  
64 cyanotoxins released by cyanobacterial blooms in surface waters, for  
65 which sensitive and specific detection methods are necessary to carry out  
66 recognition and quantification<sup>7</sup>. **Although several analytical techniques**

67 for microcystin detection such as ELISA, HPLC and LC-MS/MS etc.  
68 have already been established, the development of biosensors offers rapid  
69 and accurate detection, high reproducibility and portability<sup>8</sup>. Shi *et al.*  
70 reported an automated online waveguide-based evanescent wave  
71 fluorescent immunosensor for the detection of microcystin-LR, which  
72 adopted a rectangular glass chip with a polished 45° bevel on one endface  
73 for light coupling as the evanescent wave transducer<sup>9</sup>. Following this  
74 approach, a linear strip laser excitation beam was used to expand the  
75 multi-analyte analysis capability, allowing for simultaneous  
76 measurements of up to twenty-four analytes; subsequently, the target  
77 compound of MC-LR was selected as a paradigm to validate the  
78 sensitivity of the biosensor, achieving a LOD of 0.67 µg/L<sup>10</sup>. However,  
79 single mode waveguides are of great interest compared with the  
80 extremely multimode waveguides demonstrated in the above studies<sup>9,10</sup>,  
81 because of several specific advantages<sup>11</sup>. For example, single mode  
82 planar waveguides (i) yield much higher surface intensity than multimode  
83 waveguides for a given waveguide power<sup>12</sup>, allowing high signal strength  
84 for low laser power, (ii) provide very stable and well-defined surface  
85 intensity distributions and unique optical velocity leading to much greater  
86 stability and hence low noise, and (iii) allow stable monolithic integration  
87 of multiple functions leading to multisensor integration and potentially  
88 on-chip processing. As far as we know, there are no other studies of using

89 single mode waveguide-based fluorescent biosensors for MC-LR  
90 measurement. The indirect competitive assay is commonly adopted in  
91 immunoassays to create a stably regenerable biosensing chip surface,  
92 which is especially critical for the application of such an immunosensor  
93 for on-line and semicontinuous operation<sup>9-10,13-18</sup>. Extensive efforts have  
94 been devoted to control the configuration and orientation of functional  
95 molecules on the chip surface, forming a well-accepted conclusion that a  
96 monolayer of bioactive solid surface enhances the performance of  
97 immunosensors<sup>16</sup>. However, there is still a dearth of direct imaging data  
98 on the biosensitive surface to confirm monolayer formation.

99 Herein, we reported a 32-analyte integrated optical fluorescence-based  
100 multi-channel sensor, and its integration to an automated biosensing  
101 system. A beam-propagation model which simulates the propagation of  
102 light throughout the waveguide layout, including surface intensity  
103 distribution for fluorescence excitation, has been established allowing  
104 design optimization of waveguides for immunosensing applications.  
105 Moreover, we describe the first demonstration of the use of such a  
106 waveguide immunosensor for the detection of Microcystin-LR in lakes.  
107 An indirect competitive immunoassay is adopted with the MC-LR-protein  
108 conjugate immobilized on the chip surface, which is investigated using  
109 the Atomic Force Microscopy (AFM) technology.

110 **Results**

111 **Waveguide Geometry: Simulation and Optimization**

112 Herein, a fibre-pigtailed waveguide chip consisting of a channel  
113 waveguide circuit which distributes excitation light to 32 separate sensing  
114 patches on the surface is presented, following the layout shown in **Figure**  
115 **1a**. In order to obtain low-loss, single-mode waveguides with a modal  
116 spot size similar to that of optical fibre at 635 nm, potassium  
117 ion-exchange in BK7 glass substrates was selected to give a good index  
118 match to optical fibre. A tapered waveguide section is introduced into the  
119 chip design to address the dilemma that the high surface excitation  
120 intensity will be accompanied by photobleaching of the dye molecules,  
121 which would reduce the sensitivity of the device, due to rapid decay of  
122 the signal<sup>19</sup>. In our design, an **adiabatic** taper section is employed to  
123 broaden the waveguides in order to reduce the power density of excitation  
124 radiation at the surface of the waveguide, while increasing the area over  
125 which the fluorescent-tagged molecules are exposed to the evanescent  
126 field. In this way, the peak emitted fluorescence power is maintained (as  
127 the product of area and surface power density is maintained) but the  
128 photobleaching rate is reduced, allowing longer acquisition time and  
129 hence improved signal to noise ratio and lower LOD.

130 A silica isolation layer of thickness 1  $\mu\text{m}$  is used to coat the waveguide  
131 surface, with areas of  $1500 \mu\text{m} \times 300 \mu\text{m}$  opened as sensing windows. The  
132 intensity at the surface of the isolation layer is negligible compared with

133 that at the waveguide surface within the window, effectively isolating the  
134 chip from the analyte outside these windows. A cross-sectional view  
135 along one of the sensing patches, showing the waveguide, isolation layer  
136 and location of the surface chemistry is shown in **Figure 1b** and a  
137 photographic image of light propagation along the waveguide **chip** is  
138 shown in **Figure 1c**.

139 Modal intensity profiles and fibre to waveguide coupling efficiency: The  
140 device we discuss here would ultimately be used in a portable instrument  
141 which requires the sensor chip to be pigtailed with a fibre, allowing easy  
142 connection and coupling of light from a laser source. The  
143 fibre-to-waveguide coupling efficiency was optimized as a first step in  
144 optimizing the sensor chip design.

145 Waveguide modal intensity profiles were measured using a CCD camera  
146 and compared with that of the fibre to be used for pigtailing, and the  
147 optimum fabrication conditions **was** selected to give a minimum coupling  
148 loss<sup>20</sup>; Subsequently, fibre-waveguide coupling efficiency measurements  
149 were made on these waveguides to confirm the optimum fabrication  
150 conditions for fibre coupling. As a result a 2.5  $\mu\text{m}$  photolithographic  
151 mask opening was chosen for the waveguides in order to maximize the  
152 fibre/waveguide coupling efficiency at approximately 80%.

153 Waveguide surface intensity:

154 Having established the single mode input waveguide design for efficient

155 fibre coupling, the modal surface intensity in the sensing window regions  
156 must be optimised for efficient fluorescence excitation before designing  
157 the overall waveguide layout. The laser pump or excitation intensity at  
158 the waveguide surface directly affects the excitation efficiency of the  
159 fluorescent dye, thus affecting the fluorescent power and sensitivity of the  
160 sensor. The fluorescence emission power density,  $I_{emitted}$ , is given by:

$$161 \quad I_{emitted} = D \times \eta \times (\lambda_p/\lambda_e) \times \sigma_a \times I_s \quad \text{Eqn 1}$$

162 In which  $D$  is the molecule surface density,  $\eta$  is the quantum efficiency of  
163 the fluorescent dye (for Cy5.5,  $\eta=0.28$ ),  $\lambda_p$  is the pump wavelength of  
164 635nm and  $\lambda_e$  is the emission wavelength of Cy5.5 at 700nm,  $\sigma_a$  is the  
165 absorption cross-section of Cy5.5, which is  $3.6 \times 10^{-20} \text{ m}^2$  and  $I_s$  is the  
166 surface intensity, which is calculated by numerical simulation. It has been  
167 found using aqueous dye solutions for preliminary characterization that a  
168 detection limit of  $10^{-8} \text{ M}$  Cy5.5 solution results in sufficient detection  
169 limit for subsequent immunoassay<sup>10</sup>. The  $10^{-8} \text{ M}$  fluorophore solution  
170 will bring the same number of fluorophores into the excited volume as a  
171 dye molecule surface density of  $D \approx 1.8 \times 10^{12} (\text{Cy5.5 molecules}) \cdot \text{m}^{-2}$ .

172 A beam propagation model was established to design adiabatic tapers to  
173 connect the single mode input waveguides to the sensing patches and to  
174 determine waveguide surface intensity distributions. The 3D beam  
175 propagation method (OlympIOs BPM) was used with the refractive index  
176 profile for potassium ion-exchange in BK7 glass. The taper used was

177 parabolic in width without tapering of the depth and the length was set at  
178 10 mm due to chip-size constraints. Waveguides tapering from 2.5  
179 microns width at the input to 60 microns, 30 microns and 2.5 microns  
180 (untapered) widths at the output were modelled. Wider tapering was  
181 found to lead to significant excitation of higher-order modes in the wide  
182 sections, which would result in undesirable surface intensity fluctuations.

183 **Figure 2a** shows the simulation result for waveguide surface intensity  
184 and estimated emitted fluorescence intensity for the untapered  
185 waveguides (2.5 $\mu$ m), and at the ends of the 30  $\mu$ m and 60  $\mu$ m taper  
186 waveguides. It can be seen that as the waveguide width increases, the  
187 surface intensity and fluorescence power density decrease but cover a  
188 larger surface, as discussed above. To estimate the emitted intensity, a  
189 surface coverage of  $18 \times 10^{12}$  Cy5.5 molecules per  $\text{m}^2$ , corresponding to a  
190  $10^{-7}$  M solution, and 1 mW input power into a single waveguide are  
191 assumed. Given the expected laser/waveguide coupling efficiency and the  
192 1x4 splitter, this corresponds to approximately 5 mW laser power from  
193 the fibre. To illustrate the near-adiabatic tapering, **Figure 2b** shows a  
194 surface plot of the electric field along the length of the 2.5  $\mu$ m to 60  $\mu$ m  
195 taper, where it is clearly shown that the mode remains in the the  
196 fundamental mode of the broadened waveguide.

197 The emitted fluorescent power can be calculated from Eqn 1 using the  
198 laser surface intensity in **Figure 2 a** by integration over the excited area,

199 resulting in  $\sim 0.45 \times 10^{-9}$  W fluorescent power in the case of the 30  $\mu\text{m}$   
200 wide waveguide over a 1.5 mm length, for a  $10^{-8}$  M dye solution with 1  
201 mW laser power in the waveguide.

202 We have estimated the maximum fractional pump power absorbed by dye  
203 molecules bound at a patch so that we can quantify the effect of binding  
204 on one patch upstream of another. Using the maximum surface dye  
205 density and the absorption cross-section and knowing the surface  
206 intensity normalized to the input power, this was estimated to be  $< 0.1\%$ ,  
207 so that a binding reaction on one patch will have an insignificant effect on  
208 the excitation power at downstream sensing patches.

209 **Instrumentation**

210 **Figure 3** illustrates the experimental configuration of the multi-channel  
211 waveguide-based fluorescent evanescent wave biosensing platform.  
212 Briefly, light from a semiconductor laser emitting approximately 5 mW at  
213 635 nm is coupled to the fibre-pigtailed multi-channel ion-exchange  
214 waveguide. The emitted fluorescent light is collected by 32 polymer  
215 fibres (NA = 0.46, 1 mm in diameter) located beneath the waveguide  
216 opposite to the biosensing surface (please see Supplementary Materials:  
217 design of the fibre collection system, Page 2). The end faces of the fibres  
218 are parallel to the chip surface. The fluorescent light is subsequently  
219 filtered by a high-pass filter to reject the scattered laser light. The  
220 fluorescent power is further processed and detected by photodiodes with

221 Noise Equivalent Power (NEP) of  $0.15 \text{ pW/Hz}^{-\frac{1}{2}}$ , through a lock-in  
222 amplifier, and the peak fluorescent power received is used as the  
223 characteristic signal associated with the concentration. The received  
224 power is related to the emitted fluorescent power by the collection  
225 efficiency, which takes into account the collection fibre area and  
226 numerical aperture and other geometrical factors, and is estimated to be  
227 0.08% for the  $30 \mu\text{m}$  waveguide<sup>20</sup>. If a minimum emitted power of 0.45  
228 nW must be detected, this would require a minimum detected power on  
229 the photodiode of 0.36 pW, which is achievable with this detection  
230 system **using a 1 Hz receiver bandwidth**. A syringe pump, a six-way  
231 injection valve, a preincubation loop (1 mL), a solenoid valve, and a flow  
232 cell comprise the flow injection system. The antibody and the  
233 preincubation loop are stored in two individual thermostats, where the  
234 temperatures are maintained at  $4^\circ\text{C}$  and  $37^\circ\text{C}$ , respectively, to ensure the  
235 activity and stability of biological reagents. Fluid handling and data  
236 acquisition is fully automated and controlled by an embedded computer.

237 ***Chip validation***

238 In order to achieve the highest sensitivity for the device, we investigated  
239 three different waveguide widths for the immune chip with different  
240 concentrations of Cy5.5 labeled MC-LR antibody to determine response  
241 signals. From **Figure 4**, it can be seen that when the width of the  
242 waveguide channel is  $2.5 \mu\text{m}$  along its full length, the collected signal is

243 very weak due to the strong photobleaching. With the 30  $\mu\text{m}$  waveguides,  
244 the cross section of the waveguide is enlarged and the optical density is  
245 decreased. After the antibody is attached to the sensing region, the signal  
246 is relatively high. However, with 60  $\mu\text{m}$  taper waveguide, the signal  
247 collected is lower due to reduced efficiency of collection into the fibre.  
248 Therefore, the waveguide chip with the 30  $\mu\text{m}$  taper was selected for the  
249 subsequent tests. Based on the sensor system, Cy5.5 fluorescent dyes  
250 with different concentrations were tested at 32 detection sites of the  
251 waveguide chip. It was determined that 80% of the detection sites reached  
252 a detection limit for aqueous solution of fluorescent dye of  $2.8 \times 10^{-9}$  M,  
253 indicating that the sensitivity of the system is sufficient for the  
254 immunoassay. **Figure S3** is the result of the response of 32 sensor sites  
255 with 30  $\mu\text{m}$  waveguides to  $10^{-6}$  M Cy5.5 solutions captured by the 32  
256 fibres which indicates the system has good parallel operation and can  
257 achieve detection of up to 32 substances simultaneously.

258 **Immunoassay**

259 An indirect competitive immunoassay is adopted to enable regeneration  
260 of the transducer for reuse without loss of activity, thereby allowing  
261 semicontinuous water monitoring. A monolayer of BSA-MC-LR  
262 conjugate with good binding affinity and excellent long-term stability  
263 will form on the chip surface, thereby providing more effective binding of  
264 fluorophore-labeled antibody compared with non-monolayer-based

265 immunosensors. AFM images of the bare and chemically modified  
266 waveguide chip surfaces are presented in **Figure 5**. The surface  
267 roughness of the waveguide chip increased significantly as a result of the  
268 covalently coated BSA-MC-LR conjugate. Extensive analysis of the  
269 AFM topography cross-sections shows that the bare waveguide displayed  
270 the height variation of 0.51 nm; however, the value increased to 6.89 nm  
271 after chemical modification. Considering that the diameter one BSA  
272 molecular was about  $7.2 \pm 0.2 \text{ nm}^{21}$ , the AFM images clearly revealed that  
273 a monolayer of BSA-MC-LR conjugate successfully formed on the chip  
274 surface.

275 In established biosensors for indirect competitive immunoassay, several  
276 factors are critical for device performance, including the preincubation  
277 time of labeled antibody and free antigen in samples, the incubation time  
278 when the preincubated mixture comes into contact with the biosensor  
279 surface, and the concentrations of immobilized antigen and labeled  
280 antibody. Among these factors, the concentration of labeled antibody is  
281 an important factor in immunoassays because it strongly affects the LODs  
282 and working ranges of immunosensors<sup>13,22-23</sup>. **Figure 6a** shows the  
283 relationship between the Cy5.5-labeled MC-LR antibody concentration  
284 and collected fluorescence signals. Through curve-fitting with the logistic  
285 function embedded in Origin Software, the linear range between the  
286 labeled antibody concentrations and the fluorescence signals was 0.31–

287 3.9  $\mu\text{g}/\text{mL}$ . The optimized labeled antibody concentration was selected to  
288 be 0.3  $\mu\text{g}/\text{mL}$  anti-MC-LR antibody, close to the minimum value of the  
289 linear range for achieving both of the high sensitivity and low cost.  
290 **Figure 6b** shows the relationship between the incubation time and  
291 collected fluorescence signals. Not surprisingly, the signals increased  
292 with the increased incubation time, however, the rate **of increase** slowed  
293 **significantly** after 600 s. As the nonequilibrium state for the  
294 surface-based immunoassay may be used for measurement to shorten the  
295 detection time<sup>9</sup>, the optimum incubation time was selected to be 600 s.  
296 The fluorescence signals reached a plateau when the preincubation time  
297 was more than 300 s as shown in **Figure 6c**, indicating that the  
298 immunoassay between the antibody and antigen in samples had reached  
299 equilibrium. Therefore, 300 s was used as the incubation time for this  
300 biosensor. Under the optimized detection conditions, the entire test cycle  
301 time is shown in **Figure S4**. The peak value with **the** laser on less the  
302 average value during the baseline acquisition is used as the  
303 immunosensor signal for subsequent analysis, such as in **Figures 4 and 6**.  
304 **Figure 6d** shows the typical calibration curve for this immunosensor  
305 towards MC-LR in series concentrations. The linear dynamic response  
306 range was 0.36  $\mu\text{g}/\text{L}$ - 2.50  $\mu\text{g}/\text{L}$  with a detection limit (LOD) of 0.21  
307  $\mu\text{g}/\text{L}$ . Moreover, **a complete test cycle of** the immunosensor described  
308 herein is **obtained within** 20 min.

309 ***Regeneration and reusability***

310 Creating a regenerated biochip surface is critical for cost-effective,  
311 on-line and semi-continuous water monitoring. In the indirect competitive  
312 immunoassay described herein, the BSA-MC-LR conjugate, which is  
313 tolerant of a harsh regeneration environment<sup>13,24</sup>, is covalently coated on  
314 the chip surface. **Figure S5** illustrates the signal recovery after 20  
315 consecutive determinations when the regeneration solution of 0.5% SDS  
316 was used at a constant flow rate of 1 mL/min. With the use of the  
317 regeneration agent, the surface regeneration was conducted up to 100  
318 times with less than 10% decrease in the signal for lake water detection,  
319 indicating no significant degradation of the surface chemistry.

320 ***Recovery study***

321 A recovery study was performed, in triplicate, using two real lake water  
322 samples taken from Fuhai lake and Beihai lake in Beijing, respectively,  
323 spiked with three different standard concentrations (0, 0.5 and 1 µg/L) of  
324 MC-LR. Before the measurement, the real lake water samples were  
325 filtered through a 0.45 µm filter. The concentrations measured were  
326 compared with the concentrations added and the results are summarized  
327 in **Table 1**. This shows that the average recoveries vary from 84%±7% to  
328 108%±6%, demonstrating the satisfactory accuracy of the biosensor and  
329 confirming the application potential of our method to measure MC-LR in  
330 real lake samples.

331 **Discussion**

332 New trends in water environmental monitoring highlight the need to  
333 develop tools for rapid, low-cost, routine and on-line contaminant  
334 detection for protecting the safety of water sources, risk identification and  
335 early warning for accidental water pollution. Based on its performance  
336 **compared** with laboratory-based technologies, the biosensor platform  
337 emerges as a suitable tool to detect large classes of compounds found in  
338 water environments<sup>25</sup>.

339 Herein, we have reported a 32-analyte optical fluorescence-based  
340 multi-channel waveguide biosensor integrated with fluidics and signal  
341 control and processing. An extensive study was undertaken theoretically  
342 and experimentally in order to optimize the sensor chip design,  
343 fabrication and sensing system. A taper width of 30  $\mu\text{m}$  was found to be  
344 optimum and was chosen for the final waveguide design. The surface  
345 intensity in the sensor region was also studied in depth, based upon beam  
346 propagation method simulation of waveguides which had been optimized  
347 for fibre to waveguide coupling efficiency. A low loss, high signal  
348 strength and robust optical transducer for multiple parallel fluorescence  
349 immunoassay **was** realized.

350 The surface immunochemistry used in this research was based on **an**  
351 indirect competitive immunoassay that requires the analyte derivatives  
352 covalently bound to the transducer surface, thereby bringing highly stable

353 regeneration capacity. The AFM images of a waveguide chip before and  
354 after covalently chemical modification revealed that a monolayer of  
355 BSA-MC-LR conjugate successfully formed on the chip surface. This  
356 allows for more than 100 regeneration cycles, consistent with the  
357 previous results reported by other researchers<sup>10,15</sup>. Under optimized  
358 detection conditions, single and multiplexed detection of contaminants in  
359 water samples are expected to be realized.

360 The target compound of MC-LR was chosen as a paradigm to validate the  
361 sensitivity of the biosensor because accidental animal poisoning and  
362 human diseases, even death, due to exposure to MCs by way of drinking  
363 and surface water have been much reported<sup>26-27</sup>. In this regard, the World  
364 Health Organization (WHO) has proposed an MC-LR guideline  
365 maximum value of 1  $\mu\text{g}/\text{L}$  in drinking water in order to minimize public  
366 exposure to MCs<sup>28</sup>. In 2002, China introduced the guideline value for  
367 MC-LR in drinking water with a recommended limit of 1  $\mu\text{g}/\text{L}$ . Therefore,  
368 there is a great need to establish the cost-effective, reliable and sensitive  
369 methods for the detection of MC-LR within natural systems for protecting  
370 environment and public health. By using the home-made anti-MC-LR  
371 monoclonal antibody (MC-LR-MAb, 8C10), the linear dynamic response  
372 range was 0.36  $\mu\text{g}/\text{L}$ -2.50  $\mu\text{g}/\text{L}$  with a LOD of 0.21  $\mu\text{g}/\text{L}$ . This is  
373 sufficiently sensitive for detection of MC-LR at the maximum  
374 concentration levels as established by the Chinese government, WHO and

375 other countries. The entire test cycle time is no more than 20 min. All real  
376 lake samples, even those containing MC-LR in the sub-microgram per  
377 liter range could be determined using the biosensor with recovery rates  
378 between 84 and 108%. If there are sufficient available monoclonal  
379 antibodies with good performance, such as high sensitivity, selectivity  
380 and negligible cross-reactivity, the multi-analyte detection can be realized  
381 on one chip via immobilizing the different antigen-protein conjugates on  
382 the separate sensing patches. Moreover, the biosensor can perform  
383 simultaneous testing of multiple water samples for one analyte through  
384 the design of independent flow cells along with flow injection system.  
385 Overall, the biosensor reported herein can serve as a common platform  
386 for the immunoassay of environmental contaminants, providing a reliable,  
387 feasible and cost-effective alternative to laboratory-based analytical  
388 technologies.

389 **Methods**

390 ***Materials and reagents***

391 The fluorescent dye Cy5.5 and N-hydroxysuccinimide (NHS) ester were  
392 purchased from GE Healthcare Life Sciences. Labeling of the  
393 anti-MC-LR-antibody with dye Cy5.5 was performed based on the  
394 method as previously described by Mujumdar et al<sup>29</sup>. The hapten  
395 conjugates were synthesized based on the procedure previously reported<sup>17</sup>.  
396 The Cy5.5-labeled antibody and the hapten conjugates were purified and

397 stored at -20°C in small aliquots for use. All chemicals were analytical  
398 grade and were used without further purification. Deionized water was  
399 used throughout the experiments. 1 mg/L MC-LR stock solutions were  
400 prepared in methanol solvent and stored at -20°C before use. Phosphate  
401 buffered saline (10 mM PBS, pH 7.4) was prepared using deionized water  
402 (18.2  $\Omega\text{-cm}$ ). All the stock solutions were diluted to a series of  
403 concentration levels using the 10 mM PBS buffer solution.

404 ***Waveguide chip fabrication by potassium ion-exchange***

405 Multi-channel sensor chips were fabricated by potassium ion-exchange in  
406 BK7 glass, since BK7 is of good optical quality and exhibits low  
407 fluorescence, and the process produces low loss waveguides. The overall  
408 chip dimensions were 67 mm  $\times$  15 mm, the length allowing low-loss bends  
409 and tapers and the width dictated by ease of handling. On each chip the  
410 monomode input waveguide was split into four monomode waveguides to  
411 provide equal power division and parabolic taper waveguides were  
412 introduced into each waveguide branch after the Y-junction splitters in  
413 order to reduce the optical power density at the waveguide surface, and  
414 hence to reduce the rate of fluorophore photobleaching. Separate chips  
415 were made with no tapering, with tapering to 30  $\mu\text{m}$  and with tapering to  
416 60  $\mu\text{m}$ .

417 The waveguide circuit was defined by opening tracks in an aluminium  
418 film deposited on the glass substrate, ranging from 2.5  $\mu\text{m}$  wide for a

419 single mode waveguide at 635 nm to 60  $\mu$ m wide for the tapers, using  
420 conventional photolithography.

421 Ion exchange was carried out by immersing the masked substrates in  
422  $\text{KNO}_3$  at  $400\pm5$  °C for 2 h to produce single mode channel waveguides  
423 with good coupling to optical fibre.

424 A silica layer thickness of 1  $\mu$ m was deposited on top of the waveguides  
425 by RF sputtering **and** the sensing windows **were** defined by lift-off  
426 photolithography. **The intensity at the surface of the isolation layer is**  
427 **negligible**, isolating the chip from the analyte outside the window  
428 regions.

429 The ends of the chips were polished to allow fibre coupling. A fibre  
430 pigtail was permanently bonded to the input end of the sensor chip with  
431 UV-curing epoxy.

432 ***Immunoassay and evaluation***

433 An indirect competitive immunoassay for the trace concentration of  
434 MC-LR detection is developed and stepped as follows. The MC-LR-BSA  
435 conjugate is covalently immobilized on the chip surface by a similar  
436 procedure described by Long et al.<sup>17</sup> (**Figure 1b** and **Figure S6**). When  
437 performing the test cycle, 0.8 mL of sample solution and 0.2 mL of 0.3  
438  $\mu$ g/mL Cy5.5-labelled antibody solution (in 10 mM PBS containing 5.0  
439 mg/mL BSA and 0.1 mg/mL thiomersal) is firstly transferred to the  
440 preincubation loop for 5 min to make the antibody-binding sites occupied

441 with the analyte. Subsequently, the mixture is delivered into the flow cell.  
442 Antibodies with free binding sites **remaining** interact with the coated  
443 antigen immobilized on the biochip for 10 min. To reduce the effect of  
444 free antibody in solution and its non-specific adsorption on the detection  
445 result, the fluorescence signal is detected after the mixture is washed with  
446 PBS solution. The amount of antibody **coupled** on the chip surface is  
447 inversely correlated to the concentrations of MC-LR in samples, **which**  
448 **can be reflected by** the fluorescence signal.

449 The signal intensities were fitted to a four parameter logistic equation<sup>10</sup>,

450 
$$A = \frac{A_1 - A_2}{1 + (x/x_0)^p} + A_2 \quad \text{Eqn 2}$$

451 where A is fluorescence intensity, x is the MC-LR concentration; A<sub>1</sub> is the  
452 upper asymptote and A<sub>2</sub> is the lower asymptote (background signal) to the  
453 titration curve; x<sub>0</sub> is the analyte concentration at inflection and p is the  
454 slope at the inflection point. The quantitative detection range is defined as  
455 the signals from 20% to 80% of the signal difference region (A<sub>1</sub>-A<sub>2</sub>),  
456 which is defined as a linear range. The limit of detection (LOD) is  
457 determined using the 90% of the signal difference region (A<sub>1</sub>-A<sub>2</sub>)<sup>17</sup>.

458 **References**

459 1. Leung, A., Shankar, P.M. & Mutharasan, R. A review of fiber-optic  
460 biosensors. *Sensor Actuat B Chem.* 125, 688-703 (2007).

461 2. Wang, X.D. & Wolfbeis, O.S. Fiber-optic chemical sensors and  
462 biosensors (2008-2012). *Anal Chem.* 85, 487-508 (2013).

463 3. Taitt, C.R., Anderson, G.P. & Ligler, F.S. Evanescent wave  
464 fluorescence biosensors. *Biosens Bioelectron.* 20, 2470-2487 (2005).

465 4. Farré, M. et al. Biosensors for Environmental Monitoring at Global  
466 Scale and the EU Level. *Biosensors for Environmental Monitoring of*  
467 *Aquatic Systems*, Springer Berlin Heidelberg. 5J, 1-32 (2009).

468 5. Golden, J.P. et al. Fluorometer and tapered fiber optic probes for  
469 sensing in the evanescent wave. *Opt Eng.* 31, 1458-1462 (1992).

470 6. Wadkins, R.M., Vladu, B.& Tung, C.-S. Actinomycin D binds to  
471 metastable hairpins in single-stranded DNA. *Biochemistry* 37,  
472 11915-11923 (1998).

473 7. Tan, F., Saucedo, N.M., Ramnani, P. & Mulchandani, A. Label-free  
474 electrical immunosensor for highly sensitive and specific detection of  
475 microcystin-LR in water samples. *Environ Sci Technol.* 49, 9256-9263  
476 (2015).

477 8. Singh, S., Srivastava, A., Oh, H-M., Ahn, C-Y., Choi, G-G., Asthana,  
478 R.K., Recent trends in development of biosensors for detection of  
479 microcystin. *Toxicon.* 60(5), 878-894 (2012).

480 9. Shi, H. C. et al. Automated online optical biosensing system for  
481 continuous real-time determination of microcystin-LR with high  
482 sensitivity and specificity: Early warning for cyanotoxin risk in  
483 drinking water sources. *Environ Sci Technol.* 47, 4434-4441 (2013).

484 10. Liu, L. H. et al. An array fluorescent biosensor based on planar

485 waveguide for multi-analyte determination in water samples. *Sensor*  
486 *Actuat B Chem.* 240, 107-113 (2017).

487 11. Mukundan, H. et al. Waveguide-based biosensors for pathogen  
488 detection. *Sensors*, 9, 5783-5809 (2009).

489 12. Lukosz, W. Principles and sensitivities of integrated optical and  
490 surface plasmon sensors for direct affinity sensing and  
491 immunosensing. *Biosens Bioelectron.* 6, 215-225 (1991).

492 13. Hua, P. et al. Integrated optical fluorescence multisensor for water  
493 pollution. *Opt. Express.* 13, 1124-1130 (2005).

494 14. Liu, L. H. et al. Highly sensitive detection of sulfadimidine in water  
495 and dairy products by means of an evanescent wave optical biosensor,  
496 *RSC Adv.* 4, 60227-60233 (2014).

497 15. Long, F. et al. Highly sensitive and selective optofluidics-based  
498 immunosensor for rapid assessment of Bisphenol A leaching risk.  
499 *Biosens Bioelectron.* 55, 19-25 (2014).

500 16. Vengatagalabathy Gobi, K. et al. Enhanced sensitivity of  
501 self-assembled-monolayer-based SPR immunosensor for detection of  
502 benzaldehyde using a single-step multi-sandwich immunoassay, *Anal*  
503 *Bioanal Chem.* 387, 2727-2735 (2007).

504 17. Long, F. et al. Portable optical immunosensor for highly sensitive  
505 detection of microcystin-LR in water samples. *Biosens Bioelectron.* 24,  
506 2346-2351 (2009).

507 18. Barzen, C., Brecht, A. & Gauglitz, G. Optical multiple analyte  
508 immunosensor for water pollution control, *Biosens Bioelectron.* 17,  
509 289-295 (2002).

510 19. Harris, R. D. et al. Waveguide Immunofluorescence Sensor for Water  
511 Pollution Analysis. *International Society for Optics and Photonics.*  
512 3539, 27-35 (1998).

513 20. Hua, P. Integrated optical fluorescence multi-sensor system  
514 [Dissertation]. *University of Southampton* (2009).

515 21. Wang, S. et al. Binding between proteins and cationic spherical  
516 polyelectrolyte brushes: effect of pH, ionic strength, and stoichiometry.  
517 *Biomacromolecules.* 14, 818-827 (2013).

518 22. Mauriz, E. et al. Real-time detection of chlorpyrifos at part per trillion  
519 levels in ground, surface and drinking water samples by a portable  
520 surface plasmon resonance immunosensor. *Anal Chimi Acta.* 561,  
521 40-47 (2006).

522 23. Sheng, J. W. et al. A comprehensive immunoassay for the detection of  
523 microcystins in waters based on polyclonal antibodies. *Anal Chimi  
524 Acta.* 572, 309-315 (2006).

525 24. Homola, J., Yee, S. S. & Gauglitz, G. Surface plasmon resonance  
526 sensors: review. *Sensor Actuat B Chem.* 54, 3-15 (1999).

527 25. McNamee, S. E. et al. Development of a planar waveguide microarray  
528 for the monitoring and early detection of five harmful algal toxins in

529 water and cultures. *Environ Sci Technol.* 48, 13340-13349 (2014).

530 26. Fitzgeorge, R.B. et al. Detection methods for cyanobacterial toxins,  
531 *Royal Society of Chemistry*, (1994).

532 27. Dietrich, D. & Hoeger, S. Guidance values for microcystins in water  
533 and cyanobacterial supplement products (blue-green algal  
534 supplements): a reasonable or misguided approach? *Toxicology and*  
535 *applied pharmacology*. 203, 273-289 (2005).

536 28. Guidelines for Drinking Water Quality, 3rd ed. World Health  
537 Organization (2004).

538 29. Mujumdar, S.R. et al. Cyanine-labeling reagents:  
539 sulfobenzindocyanine succinimidyl esters, *Bioconjug Chem.* 7,  
540 356-362 (1996).

541 **Acknowledgement**

542 This research is supported by the National Nature Science Foundation  
543 (21677082). JSW and PH gratefully acknowledge support from the  
544 European Research Council under GA 291216 ‘‘Wideband Integrated  
545 Photonics for Accessible Biomedical Diagnostics.’’

546 **Author contributions**

547 L. L. X. Z., and P. H. designed and performed all the experiments, and  
548 wrote the manuscript. H. S., J.S. W. and B. S. discussed the results and  
549 commented on the manuscript. X. Z. and P. H. designed and managed the  
550 project. All the authors reviewed the manuscript.

551 **Additional information**

552 Competing financial interests: The authors declare no competing financial

553 interests.

554

555 Integrated optical waveguide-based fluorescent immunosensor for fast  
556 and sensitive detection of microcystin-LR in lakes: Optimization and  
557 Analysis

558 Lanhua Liu<sup>a</sup>, Xiaohong Zhou<sup>a\*</sup>, James S. Wilkinson<sup>b</sup>, Ping Hua<sup>b\*\*</sup>,  
559 Baodong Song<sup>a</sup>, Hanchang Shi<sup>a</sup>

560 a. Center for Sensor Technology of Environment and Health State, Key  
561 Joint Laboratory of ESPC, School of Environment, Tsinghua University,  
562 Beijing 10084, China

563 b. Optoelectronics Research Centre, Southampton University, Highfield,  
564 Southampton, SO17 1BJ, UK

565 <sup>\*</sup>[xhzhou@mail.tsinghua.edu.cn](mailto:xhzhou@mail.tsinghua.edu.cn); <sup>\*\*</sup>[ph2@orc.soton.ac.uk](mailto:ph2@orc.soton.ac.uk)

566 **Figure 1** (a) Schematic diagram of the sensor layout; (b) A  
567 cross-sectional view along one of the sensing patches, showing the  
568 waveguide, isolation layer and location of the surface chemistry; (c) A  
569 photographic image of light propagation along the waveguide chip (Photo  
570 by Lanhua Liu)

571 **Figure 2** (a) Surface intensity of the start (2.5  $\mu\text{m}$ ) and the end of 30  $\mu\text{m}$   
572 and 60  $\mu\text{m}$  tapered waveguides in the lateral direction and emitted  
573 fluorescence intensity; (b) Electric field strength at the surface of a  
574 waveguide tapered from 2.5  $\mu\text{m}$  to 60  $\mu\text{m}$  over 10 mm

575 **Figure 3** Schematic diagram of the integrated optical fluorescence  
576 multi-channel biosensor

577 **Figure 4** Fluorescence signals from untapered, 30  $\mu\text{m}$  and 60  $\mu\text{m}$  tapered  
578 waveguides, respectively, towards Cy5.5-labelled anti-MC-LR antibody  
579 solution at different concentrations

580 **Figure 5** AFM topography images of (a) bare and (b) BSA-MC-LR  
581 conjugate modified waveguide chip, including a 1  $\mu\text{m} \times 1 \mu\text{m}$  plane AFM  
582 image (Higher left), a 3-D AFM topography image (Higher right), and  
583 cross-section height variations taken at a rough area (Lower: position  
584 indicated by blue line in the topographic images)

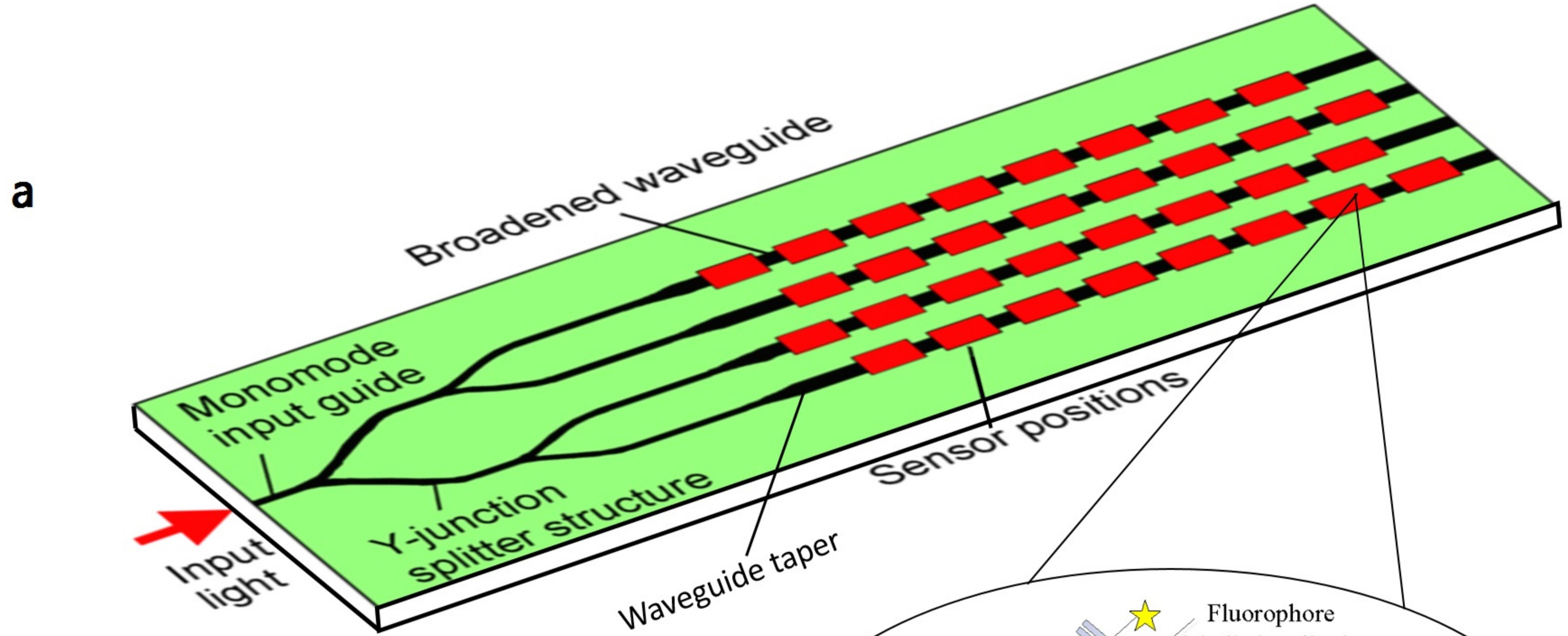
585 **Figure 6** Relationships between (a) the Cy5.5-labeled MC-LR antibody  
586 concentration, (b) the incubation time, (c) the preincubation time and  
587 fluorescence signals, respectively; (d) Typical calibration curve in triple  
588 measurements (Green line represents 95% confidence range) for MC-LR  
589 by using the immunosensor

590

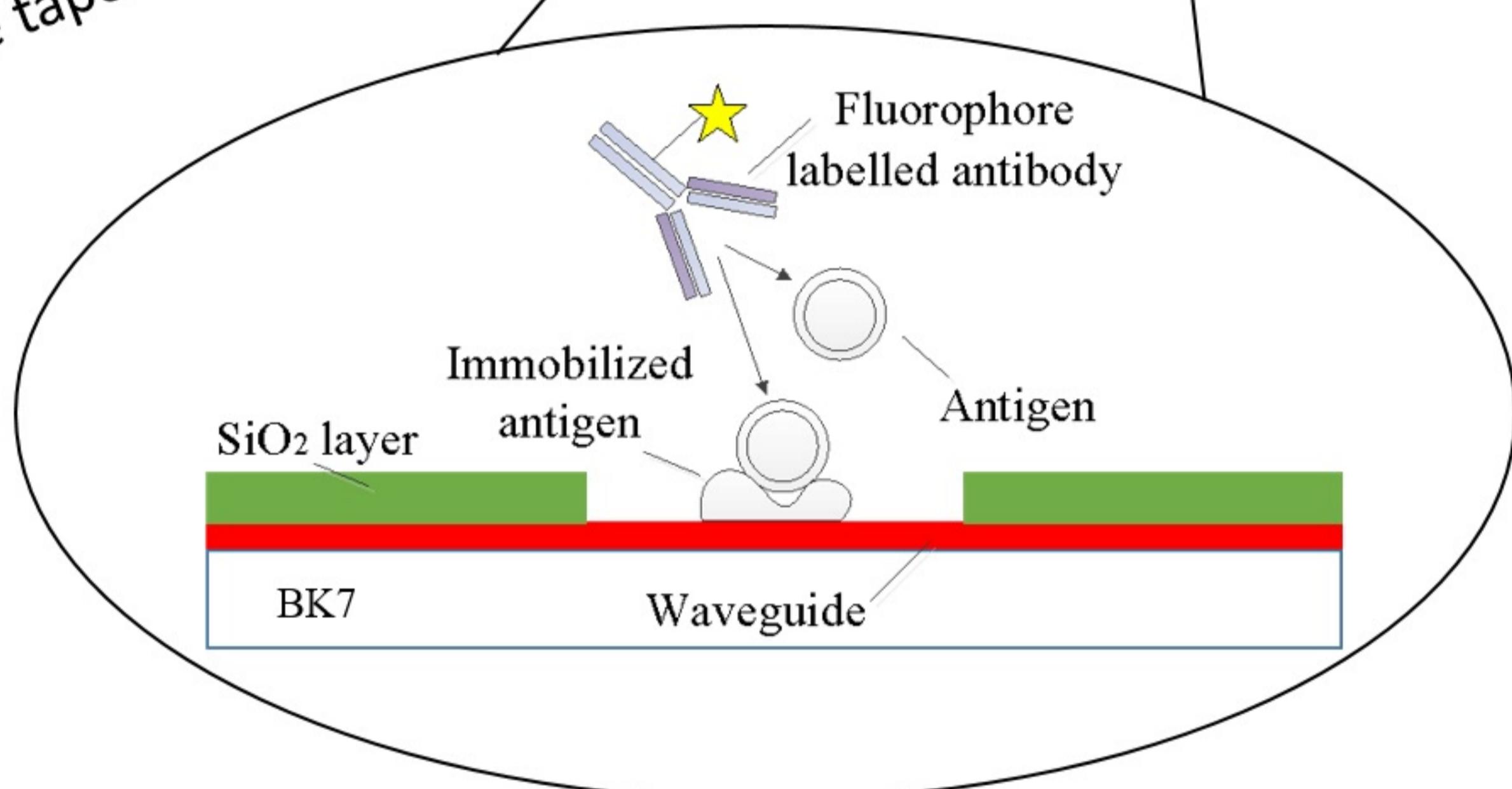
591 **Table 1** Recovery of MC-LR in real lake samples using the  
592 immunosensor (n=3)

Sample	Spiked MC-LR ( $\mu\text{g/L}$ )	Detected MC-LR ( $\mu\text{g/L}$ )	Recovery %	Coefficient variation (CV) %
	0	/	/	/
Fuhai lake	0.5	0.42 $\pm$ 0.03	84	7
	1	0.98 $\pm$ 0.09	98	9
Beihai lake	0	/	/	/
	0.5	0.45 $\pm$ 0.05	90	9
	1	1.08 $\pm$ 0.06	108	6

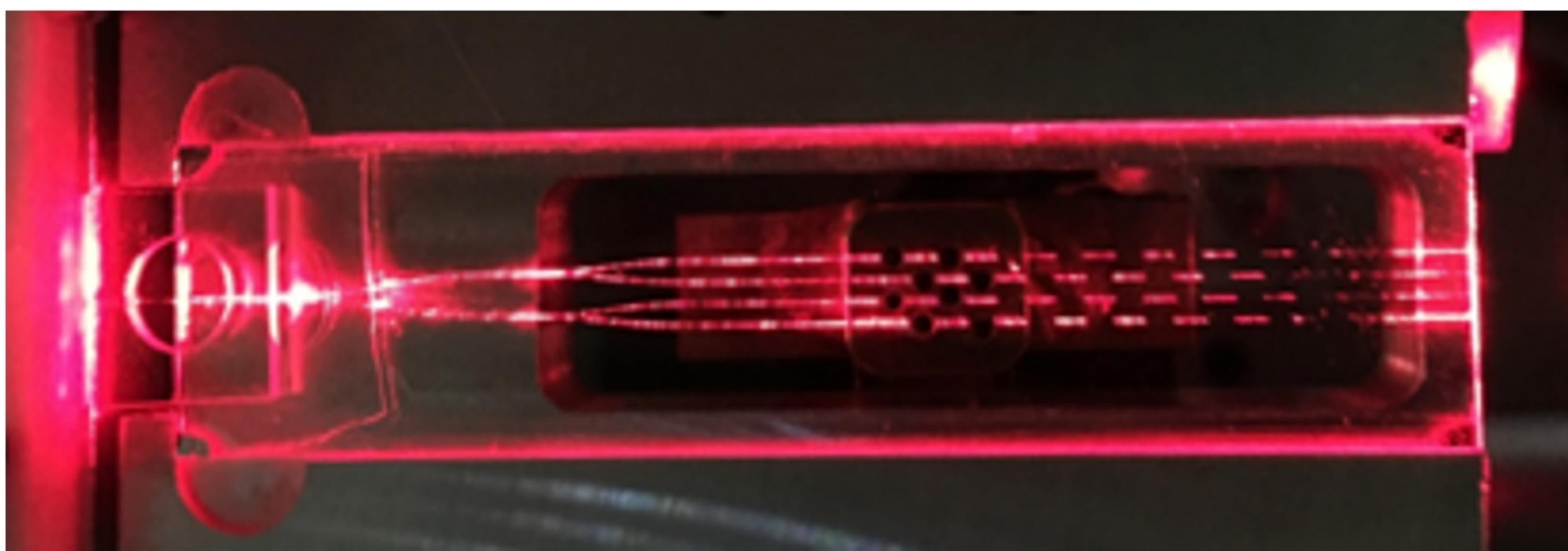
593 “/” means not detectable

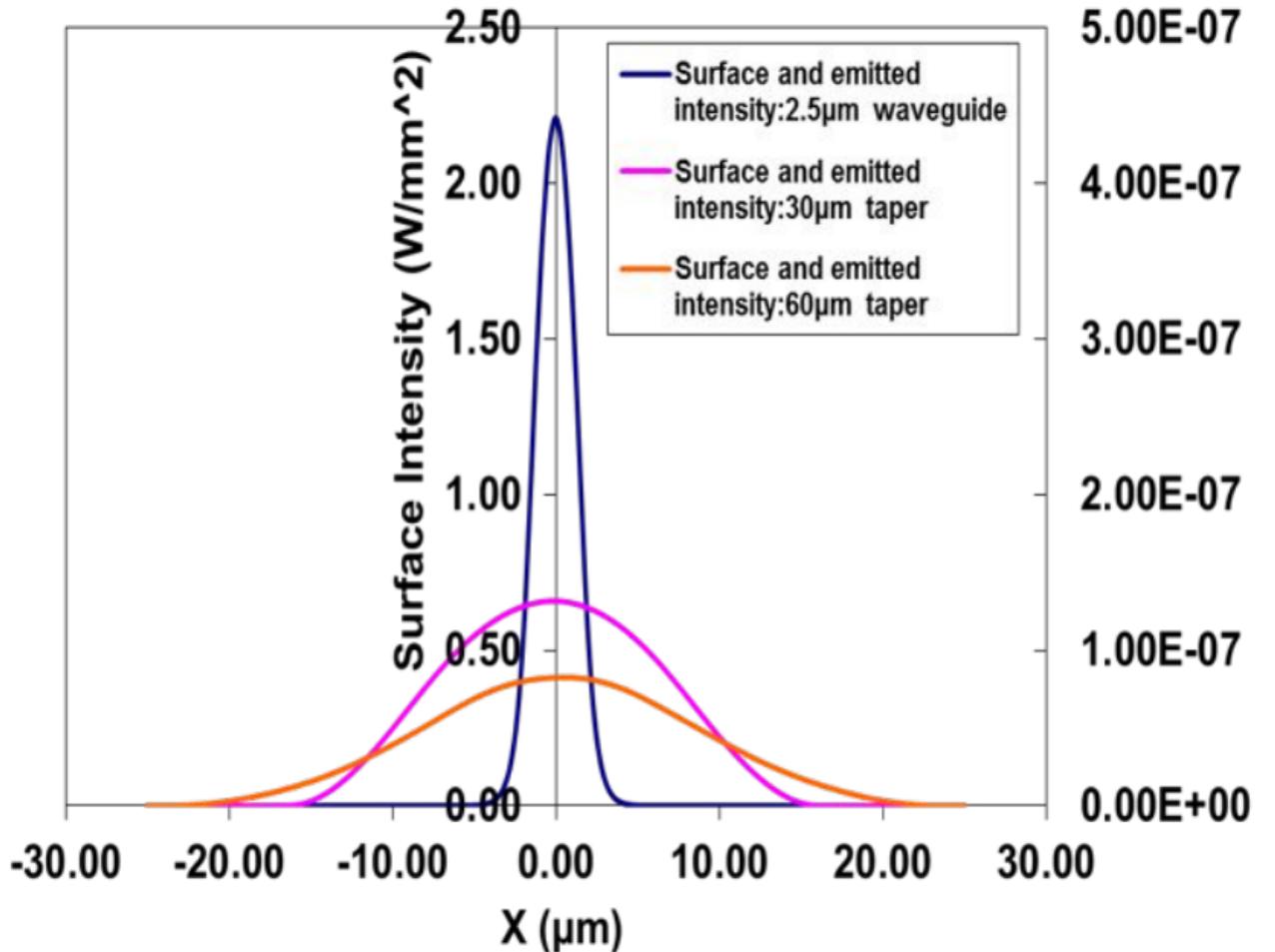
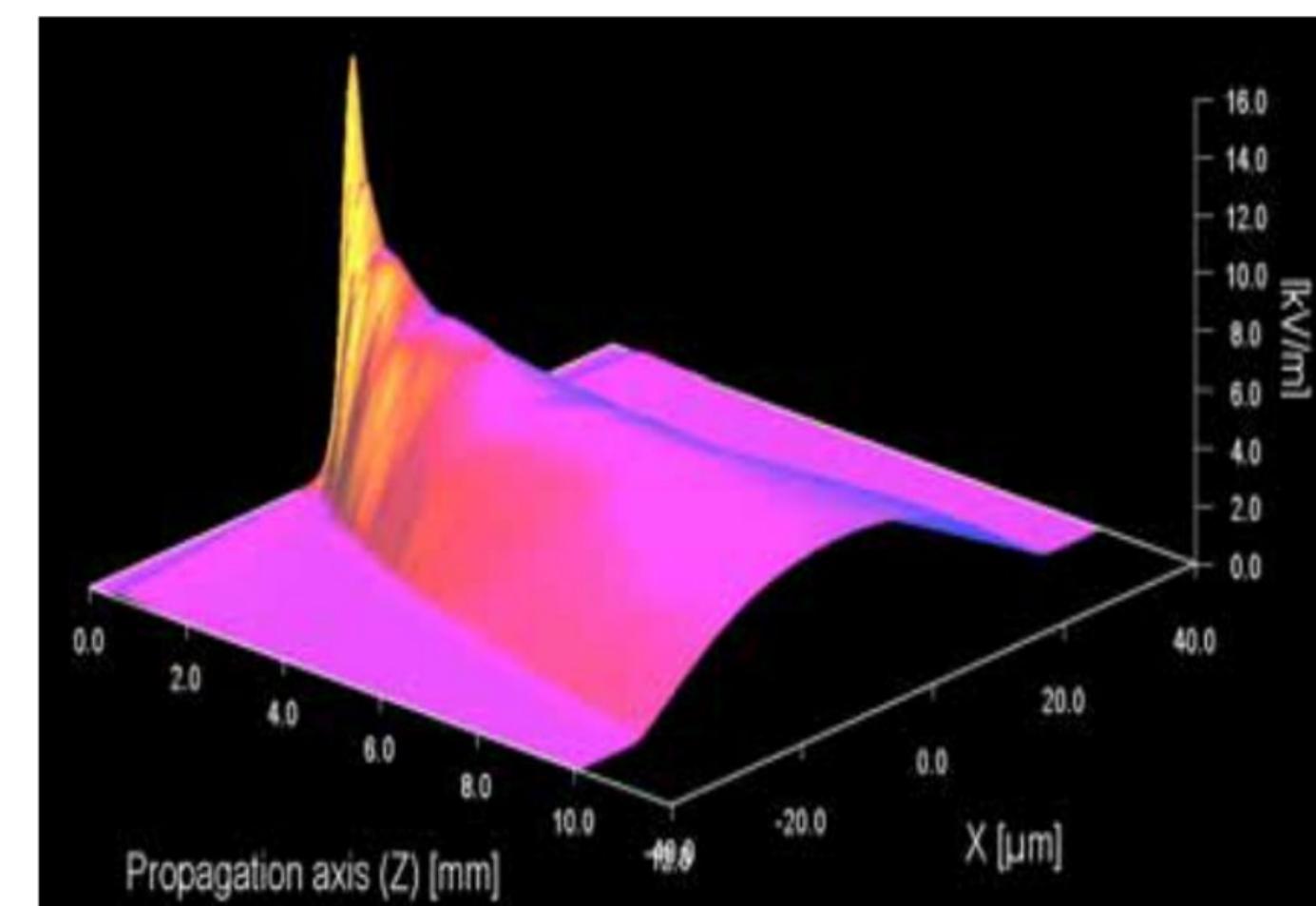


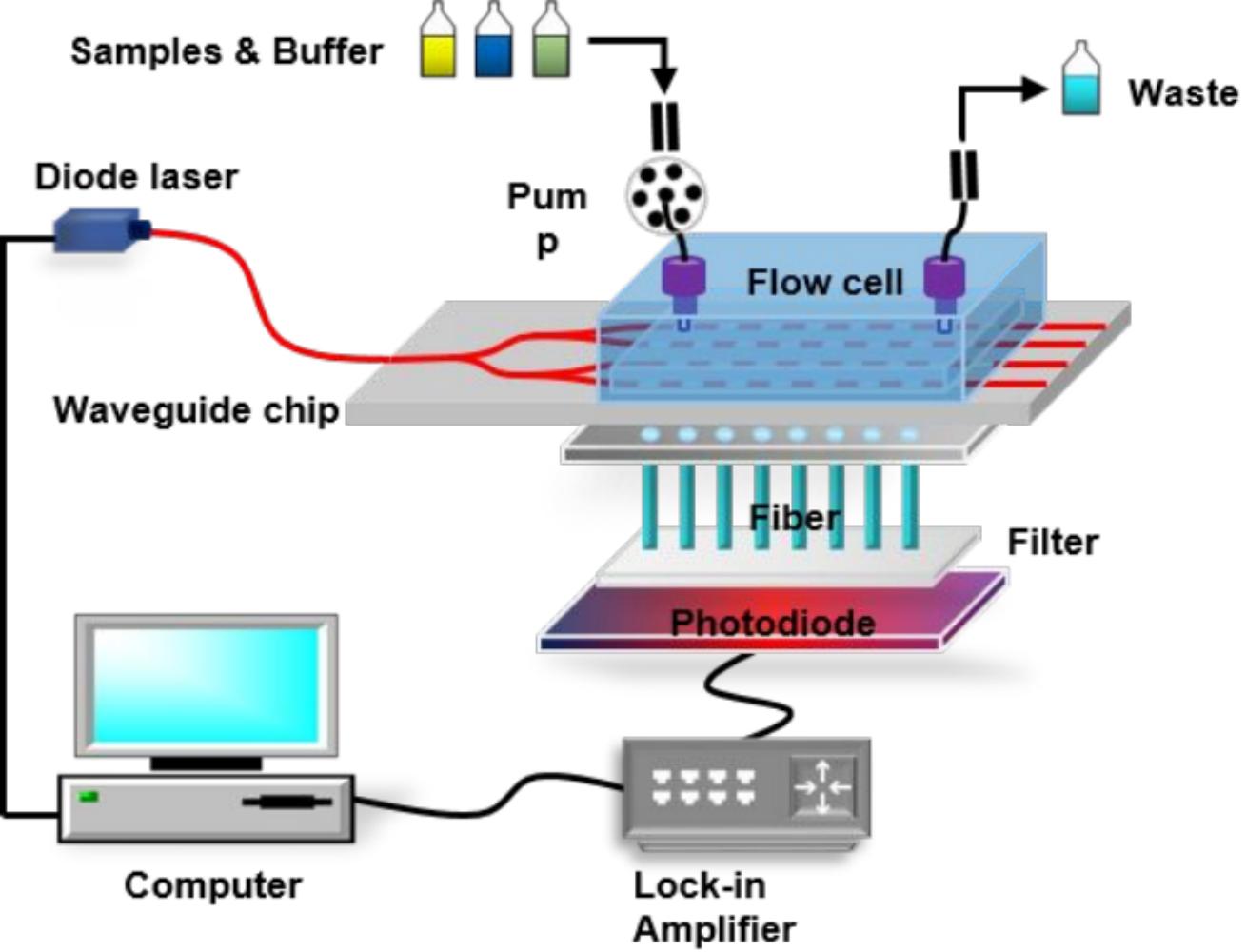
**b**

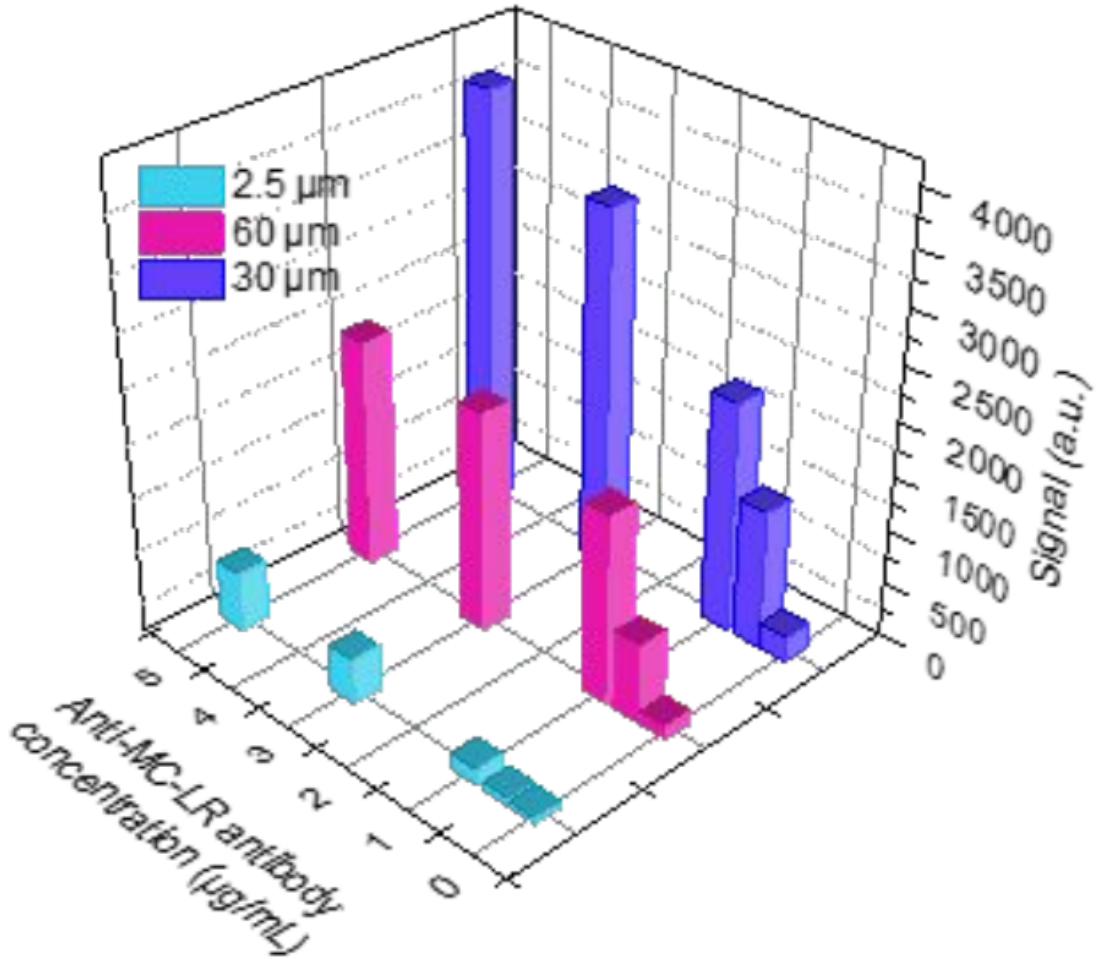


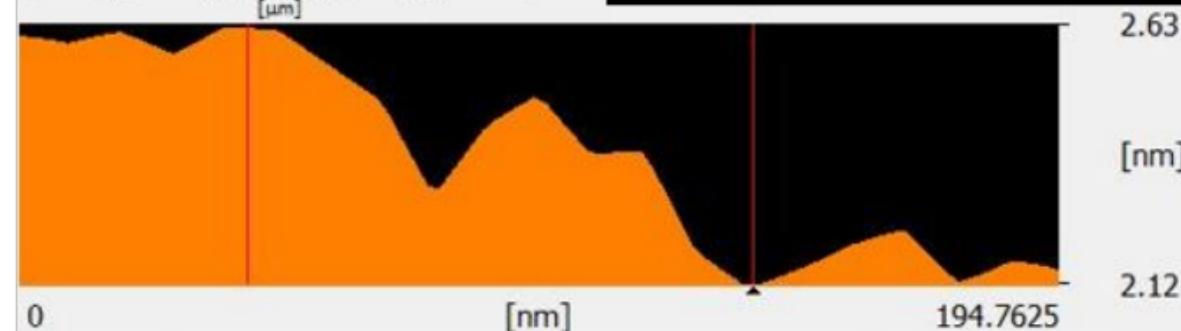
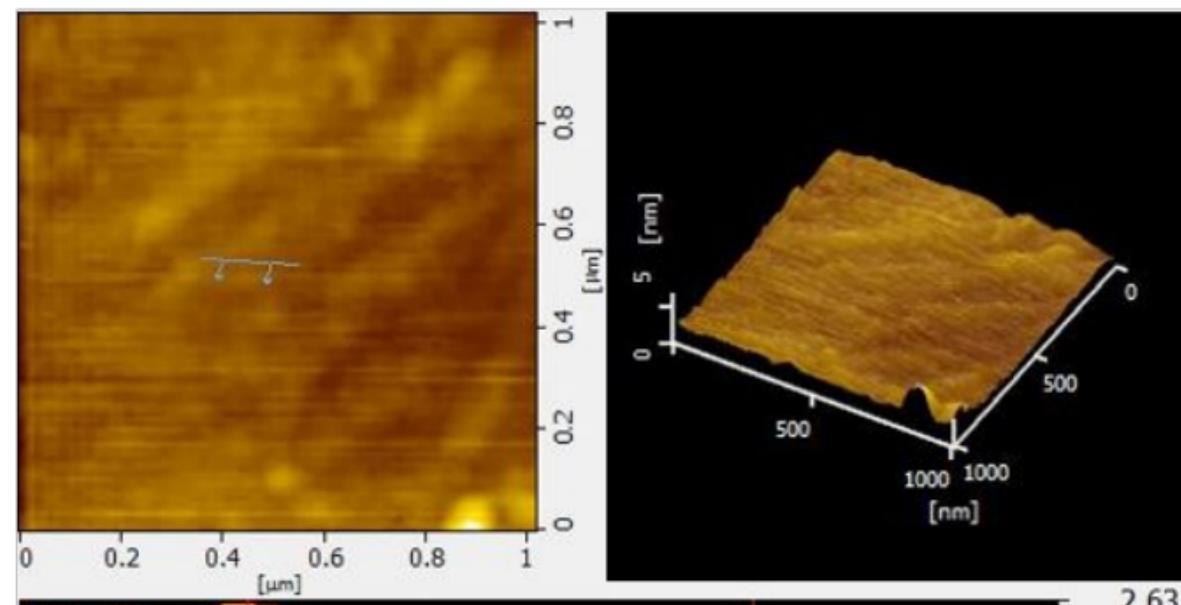
**c**



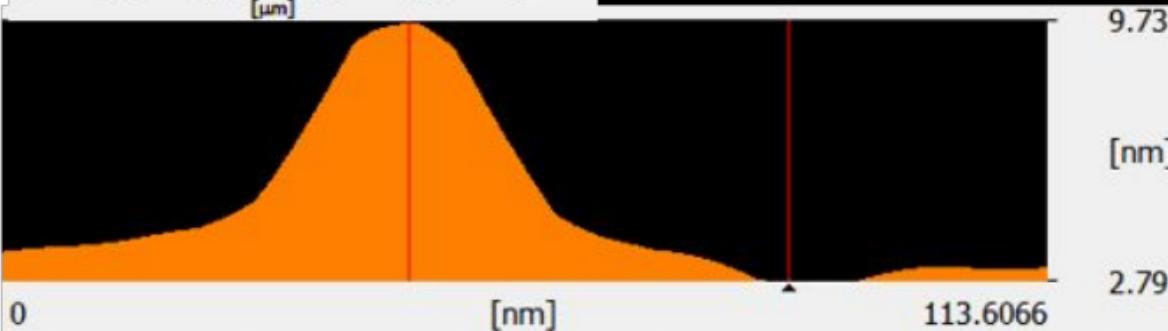
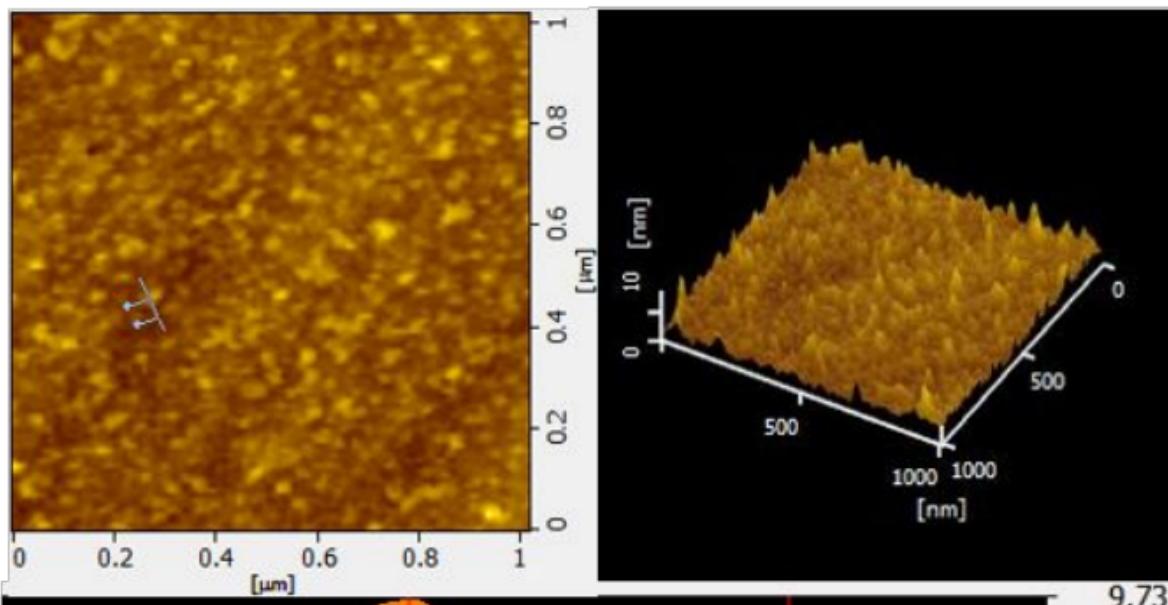
**a****b**





**a**

	Z1 [nm]	Z2 [nm]	$\Delta Z$ [nm]	Distance [nm]	$\Phi$ [°]
■	2.63	2.12	0.51	94.74	0.3

**b**

	Z1 [nm]	Z2 [nm]	$\Delta Z$ [nm]	Distance [nm]	$\Phi$ [°]
■	9.71	2.82	6.89	41.28	9.5

