

1 Article

2 Analysis of the Diffusion Process by pH Indicator in 3 Microfluidic Chips for Liposome Production

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9 Academic Editor: name

10 Received: date; Accepted: date; Published: date

11 **Abstract:** In recent years, the development of nano- and micro-particles has attracted considerable
12 interest from researchers and enterprises, because of the potential utility of such particles as drug
13 delivery vehicles. Amongst the different techniques employed for the production of nanoparticles,
14 microfluidic-based methods have proven to be the most effective for controlling particle size and
15 dispersity, and for achieving high encapsulation efficiency of bioactive compounds. In this study
16 we specifically focus on the production of liposomes, spherical vesicles formed by a lipid bilayer
17 encapsulating an aqueous core. The formation of liposomes in microfluidic devices is often
18 governed by diffusive mass transfer of chemical species at the liquid interface between a solvent
19 (i.e., alcohol) and a non-solvent (i.e., water). In this work, we developed a new approach for the
20 analysis of mixing processes within microfluidic devices. The method relies on the use of a pH
21 indicator, and we demonstrate its utility by characterising the transfer of ethanol and water within
22 two different microfluidic architectures. Our approach represents an effective route to
23 experimentally characterise diffusion and advection processes governing the formation of
24 vesicular/micellar systems in microfluidics, and can also be employed to validate the results of
25 numerical modelling.

26 **Keywords:** liposomes; microfluidic; mixing; diffusion; pH indicator; microfluidic hydrodynamic
27 focusing

28

29 **1. Introduction**

30 Nanomedicine is an attractive field involving the production and clinical application of size-
31 controlled nanoparticles, usually employed in therapy as drug delivery systems or in diagnostics as
32 contrast agents [1]. Amongst the different types of nanoparticles, liposomes have attracted
33 considerable interest for their application as drug delivery systems. Liposomes are artificial spherical
34 vesicles generally composed of natural phospholipids, which performance depends on different
35 physico-chemical variables including chemical composition, size and method of production [2].
36 Different techniques have been developed for producing size-controlled liposomes with reproducible
37 physical properties; the preparation methods can be generally divided into two groups: (i) “bulk”
38 methods, comprising common macroscale or batch techniques, and (ii) microfluidic methods. The
39 first group includes lipid film hydration, solvent (ethanol or ether) injection, and reverse-phase
40 evaporation [3], [4].

41 On the other hand, there has been growing interest in microfluidic-based production of liposome
42 formulations. This approach has proven to be particularly effective, offering several advantages
43 compared to macroscale techniques; these include small amount of reagents required, potential for

44 *in-situ* analysis at high temporal and spatial resolution, devices' portability and cost effectiveness [5],
45 [6].

46 In microfluidics, the formation of liposomes is caused by the unfavourable interactions between
47 lipids and water, causing the self-assembly of lipids (a process often defined as nanoprecipitation) to
48 form spherical vesicles [7]. In a typical microfluidic method, phospholipids are dissolved in a polar
49 solvent (e.g., ethanol or isopropanol) and injected in the central channel of a microfluidic
50 hydrodynamic focusing (MHF) device. The solvent is subsequently focused by water streams coming
51 from two lateral channels [8], leading to controlled mixing between chemical species.

52 Therefore, the formation of liposomes in microfluidic devices is often governed by diffusive
53 mass transfer of compounds at the liquid interface between solvent (i.e., alcohol) and non-solvent
54 (i.e., water). The alcohol, in which the lipids are initially solubilized, diffuses into the water until it
55 decreases down to a critical concentration [9]. The alcohol diffusion thus governs the formation of
56 vesicles, by a mechanism described as "self-assembly". Specifically, it is believed that the reduction
57 of lipids' solubility associated with water and alcohol diffusion across the fluid streams causes the
58 formation of intermediate structures, in the form of oblate micelles, which finally enclose to form
59 liposomes.

60 It is well known that microfluidic systems are characterized by steady laminar flow, which
61 typically occurs when Reynolds number is lower than a critical value of ~2000, due to the stronger
62 contribution of viscous forces compared to inertial forces at the micrometer scale [10]. The laminar
63 flow regime has two main implications: (i) the flow in microchannels is characterised by parabolic
64 fluid velocity profile, and (ii) the transfer of chemical species is dominated by diffusion, due to the
65 low fluid velocity magnitude (resulting in low Péclet number) [11].

66 The diffusion of chemicals (i.e. solvents, solutes and suspended particles) depends on the contact
67 area between the fluids flowing in the microchannels. The diffusion coefficient scales approximately
68 with the inverse of the molecular size (i.e., the hydrodynamic radius) and also depends, to some
69 extent, on the shape of the molecule [12]. Therefore, smaller molecules have higher diffusion
70 coefficient and will move a longer average distance per unit time, compared to larger molecules that
71 have a smaller diffusion coefficient.

72 On one hand the mixing of chemical species in microfluidic channels is therefore highly
73 controllable (i.e., being governed by diffusion) and reproducible (i.e., due to the laminar flow
74 conditions), but – on the other hand – it is associated with low throughput and in some cases full
75 mixing may not be achieved within the limited length typical of microfluidic devices.

76 Different methods for quantifying mixing in microfluidics have been presented; these are
77 generally based on the acquisition of microscopic images of two or more coloured or fluorescently
78 labelled liquids, followed by quantification of mixing efficiency using simple mathematical functions.
79 Examples of dyes employed are food dyes or stains for biological microscopy [13], or fluorescent dyes
80 such as fluorescein [14, 15].

81 Usually, mixing is quantified by processing a set of microscope images to yield a meaningful
82 index – frequently defined as 'mixing index' – that is representative of the extent of mixing. Different
83 fluids are usually distinguished based on differences in the light intensity and spectral properties
84 received by a charge-coupled device (CCD) camera.

85 A dye is often used to absorb transmitted light, reflect incoming light, or emit light. The mixing
86 index is computed using intensities of pixels over a cross-section of a grayscale image that delineates
87 a mixing event or region. The simplest index is calculated by taking the standard deviation of the
88 pixel intensities. This method however may not be suitable for comparing mixing efficiency across
89 different studies, from the moment that it is sensitive to different lighting conditions that may be
90 difficult to standardise [16].

91 An approach to measure the concentration of chemical species in microfluidic mixers is based
92 on the use of fluorescent probes, where mixing is assessed from changes in the fluorescence intensity
93 distribution along the device [17]. Three-dimensional characterisation of the mixing performance
94 could be performed with these methods, by using confocal microscopes. Alternative techniques
95 based on changes in the fluorescence lifetime of viscosity-sensitive molecular rotors have also been

96 reported [18]. They however require expensive equipment, including sensitive detectors, suitable
97 microscope optics, and specific software/hardware, which hinders their adoption from the broader
98 microfluidic and lab-on-a-chip community.

99 However, methods based on the use of a dye or fluorescent probe typically do not provide a
100 direct quantification of the mixing between a solvent and a non-solvent, but rather a quantification
101 of the transport of a selected dye or probe molecule. The physical and chemical properties of the
102 probe may therefore have a significant impact on the measured mixing performance.

103 In this study, we describe and critically analyze a new method for studying mixing processes in
104 different microfluidic chip architectures for nanoparticle production (i.e., MHF or Y-junction), which
105 is based on the use of the pH indicator bromoxylenol blue (BB). The method provides a direct
106 quantification of the exchange between solvent and non-solvent, and it relies on the colour shift of a
107 pH sensitive molecule, rather than on colour or fluorescence intensity changes.

108 2. Experimental and Numerical Methods

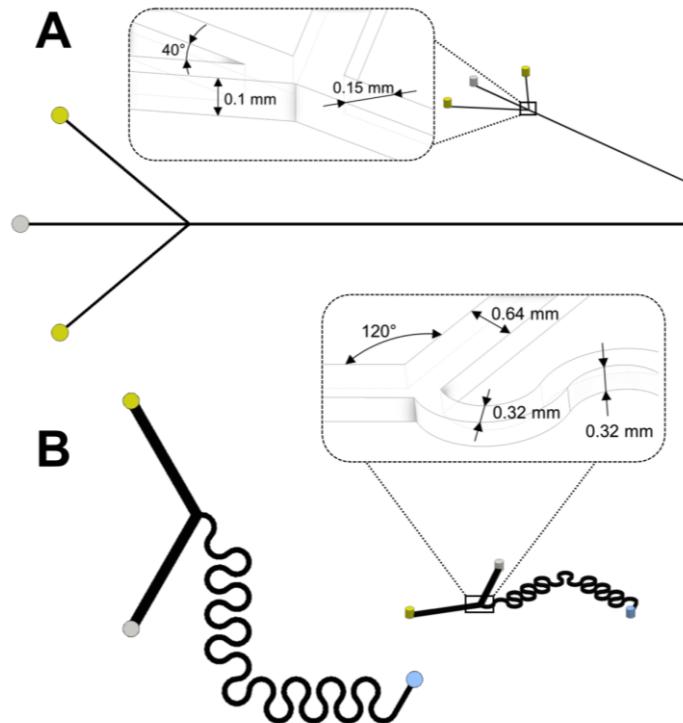
109 2.1 Chemicals

110 Highly pure phosphatidylcholine (PC) 90% from soybean (Phospholipon 90G) was purchased
111 from Lipoid GmbH (Germany). Dimethyldioactdecylammoniumbromide (DDAB), bromoxylenol
112 blue (BB), trichloro(1H,1H,2H,2H)-perfluoroctylsilane, hydrofluoric acid, and ammonium fluoride
113 were purchased from Sigma-Aldrich Co. Ltd (UK). Polydimethylsiloxane (PDMS) monomer
114 Sylgard®184 and curing agent were purchased from Dow Corning Corporation (USA), and SU-8
115 photoresist from Chestech Ltd (UK). All other reagents and solvents were supplied by Sigma-Aldrich
116 Co. Ltd (UK). The water employed was ultrapure water (Merck Millipore, USA).

117 2.2 Microfluidic devices design and fabrication

118 Two different microfluidic architectures were employed in the present study (see Figure 1).
119 #chip1-MHF is characterized by a cross flow geometry, in which the oblique side channels (length:
120 9.3 mm) intersect the central channel (length: 30 mm) with an angle of 40°. The channels have a
121 rectangular cross section with a width of 0.15 mm and a depth of 0.10 mm. They were produced *via*
122 soft lithography. Briefly, a SU-8 mould with the designed microchannel architecture was fabricated
123 following a standard procedure [19]. The mould was subsequently covered with a layer of a 10:1
124 (w/w) PDMS precursor and curing agent liquid mixture, and heated for 1 hour at 80°. The solidified
125 PDMS sheet, with the microchannel architecture on one surface, was then removed from the mould
126 and permanently bonded to a glass slide after surface treatment with a plasma ash (PVA TePla AG,
127 Germany).

128 #chip2-YJ is characterized by a “Y” shape geometry in which the 2 inlets intersect with a 120°
129 angle; the mixing channel (length: 66 mm) has a serpentine geometry. Channels have a squared cross-
130 section with width and depth of 0.32 mm. The device is made of cycloolefin copolymer (COC) and
131 was obtained from Thinxxs Microtechnology (Germany).



	Identification Code	Material	Channel Dimensions (mm)	
			Width/Depth	Main Channel Length
A	#chip1-MHF	PDMS/glass	0.15/0.1	30
B	#chip2-YJ	COC	0.32/0.32	66

132

133 **Figure 1.** Schematic showing the geometry of the microfluidic chips employed in the present study.
 134 (A) #chip1-MHF was characterized by a cross flow geometry, while (B) #chip2-YJ was characterized
 135 by a "Y" shape geometry. The constitutive materials of the chips and the dimensions of the main
 136 channel (i.e., located after the junction between the inlet channels) are also reported.

137 **2.3 Liposome preparation and characterisation**

138 Liposomes were prepared using both #chip1-MHF and #chip2-YJ. The lipid mixture (containing
 139 PC 90G at 90 mM, and DDAB at 10 mM) was dissolved in ethanol and injected into the central inlet
 140 channel of #chip1-MHF or one inlet of #chip2-YJ; water was instead injected into the two side inlet
 141 channels of #chip1-MHF or the second inlet of #chip2-YJ. Teflon® tubes with an internal diameter of
 142 750 μ m (Sigma Aldrich, UK) were employed to connect the inlets of the devices with syringe pumps
 143 (Pump Systems Inc., USA) for fluids' delivery.

144 Liposome formation at different flow regimes was investigated by changing the flow rate ratio
 145 between water and ethanol (FRR) in the range 0.5-40, and the total flow rate (TFR) in the range 18.75-
 146 75.00 μ l/min. The liposome samples were collected from the outlet tube (a 30 mm long Teflon® tube
 147 with an internal diameter of 750 μ m) in a 1.5 ml microcentrifuge tube. Liposomes were analyzed for
 148 size and size distribution by DLS Zetasizer Nano-ZS (Malvern Instruments, Worcs., UK) with a
 149 backscattering detection angle of 173°, a He/Ne laser that emits at 633 nm, and a 4.0 mW power
 150 source. The data were used to report the intensity mean diameter (Z-average) and the dispersity of
 151 the liposome formulations. The mean particle size was obtained from the results of three independent
 152 experiments, carried out at 21°C in water, without sample dilution (sample volume: 1 ml). Cryo-
 153 Transmission Electron Microscopy (cryo-TEM) images of liposomes were also acquired for
 154 morphological characterisation. For this purpose, a 3 ml aliquot of a liposome sample was applied on

155 plasma-treated (Gatan Solarus Model 950 Advanced Plasma System, pressure = 70 mTorr, H₂ flow =
156 6.4 sccm, O₂ flow = 27.5 sccm, forward RF target = 50 W, exposure time = 30 s) carbon copper grids
157 (Quantifoil R 3.5/1), in the environmental chamber of a fully automated vitrification device for plunge
158 freezing (FEI Vibrot). The relative air humidity was equal to 100 % and temperature to 22 °C. The
159 excess solution was removed by blotting with filter paper for 2 s, followed by 1 s draining and
160 plunging of the samples into a 1:1 mixture of liquid ethane and liquid propane, which was cooled to
161 170°C. Vitrified samples were cryo-transferred into a Jeol JEM3200FSC cryo-TEM, operating at 194°C.
162 The temperature of the samples was 187 °C during image acquisition. The microscope was operated
163 in bright field mode, using a 300 kV acceleration voltage; the in-column energy filter was set to 0–20
164 eV energy-loss range (zero-loss imaging). Micrographs were recorded with a Gatan Ultrascan 4000
165 CCD camera.

166 *2.4 Analysis of mixing in microfluidic chips*

167 The effect of FRR and TFR on the mixing of solvents and solutes in microfluidic channels were
168 studied using the pH indicator BB and NaOH, which were added to the lipid solution and water
169 respectively. BB was added to the ethanol lipid solution until saturation, after adjusting the pH by
170 0.1 M acetic acid; the concentration of NaOH in water was 0.1 N.

171 BB is a weak acid, and appears in yellow (below pH 6) or light blue (above pH 7.6) color when
172 it is in the protonated or deprotonated state, respectively. It has a green color in the interval of pH
173 comprised between 6 and 7.6, as an intermediate of the deprotonating mechanism in neutral solution.
174 Therefore, the mixing between ethanol containing BB and water containing NaOH within the
175 microfluidic devices, causes an increase in pH resulting in a change in BB color.

176 Different regions of the main channel within the two chips were imaged by an optical
177 microscope (Hund® Wilovert 30) equipped with a CCD camera (GXCAM-HICHROMESII, GT-
178 Vision®, UK), at 4x magnification.

179 Images were taken nearby the junction between inlet channels, and at a more distal location
180 along the main channel (in close proximity to the device outlet). The latter position was selected in
181 order to provide a quantification of the overall mixing performance of the devices, at fixed flow
182 dynamic boundary conditions.

183 Images were processed using ImageJ (NIH, USA), to measure the width of the regions in which
184 BB is either yellow, blue or green.

185 *2.5 Numerical simulation of fluid and species transport*

186 The transport of fluids and chemical species within both microfluidic devices was characterised
187 numerically, using computational fluid dynamic (CFD) simulations. Firstly, the geometry of the
188 microfluidic channels was designed using Inventor Pro 2016 (Autodesk Inc., USA), and then
189 transferred to ICEM CFD 17.0 (Ansys Inc., USA) for meshing. The fluidic domain was discretized
190 into finite volumes of tetrahedral shape. A mesh dependence study was performed to identify a
191 compromise between solution accuracy and computational cost, leading to an optimal number of
192 mesh volumes of 7'474'063 (#chip1-MHF) and 4'762'651 (#chip2-YJ). These corresponded to a mesh
193 volume edge size of 0.012 mm (#chip1-MHF) and 0.03 mm (#chip2-YJ). Ansys® Fluent 17.0 (Ansys
194 Inc., USA) was employed to solve for mass and momentum conservation equations (i.e., Navier-
195 Stokes equations at laminar flow regime), and species transfer (i.e., advection-diffusion equations).
196 Boundary conditions were defined so as to replicate the experimental ones; a mass flow boundary
197 condition was imposed at the device inlets, atmospheric pressure was imposed at the outlets, and a
198 no-slip boundary condition was imposed at the channel walls. The experimental values of TFR and
199 FRR were simulated numerically.

200 Fluids were assumed incompressible and Newtonian, and the ethanol-water diffusion
201 coefficient was set to $1 \times 10^{-9} \text{ m}^2/\text{s}$ [20]. The effect of solvents' mixing on fluid density and viscosity was
202 taken into consideration in the simulations. In order to compare the results of numerical simulations
203 with the experimental images, the numerical contours of ethanol mass fraction were transferred to
204 ImageJ for analysis. Stacks of RGB contour images at selected regions of interest (ROI) within the

205 microfluidic devices were converted to 8-bit format, and subsequently thresholded to obtain a binary
 206 image. Reference lines were defined in agreement with the experimental image processing protocol,
 207 in order to obtain the width of fluid layers of specific relevance for characterising the mixing process.
 208 The physical width of these layers was determined upon appropriate dimensional calibration of the
 209 images.

210 **3. Results and Discussion**

211 *3.1 Liposome preparation*

212 In this study, two different microfluidic chips characterised by different constitutive materials
 213 (i.e., PDMS and cycloolefin) and channel architecture were considered (see Figure 1). Notably, one
 214 chip was custom built using a design previously developed in our group (#chip1-MHF), while the
 215 other was commercially available (#chip2-YJ). They were selected as two relevant model devices
 216 employed for the production of nanoparticles and vesicular systems by solvent exchange mechanism
 217 [21, 22]. Devices' constitutive materials were compatible with solvents employed in the present study,
 218 and the microfluidic channels did not undergo any detectable deformation at the flow rates
 219 investigated. Therefore, the mixing performance in these chips can be considered independent from
 220 the material properties.

221 Particularly, #chip1-MHF is characterized by a cross flow geometry, in which the oblique side
 222 channels intersect the central channel at an angle of 40°. #chip2-YJ is instead characterized by a "Y"
 223 shape geometry in which the two inlets join with a 120° angle; and the main channel has a 66 mm
 224 long serpentine geometry.

225 Both devices were employed for the production of liposomes, composed of PC/DDAB (at a
 226 concentration of 9 mM and 1 mM, respectively). Different liposome samples were produced by
 227 varying the FRR (from 10 to 50) and maintaining the TFR fixed at 37.5 μ l/min. Liposomes were
 228 characterized for their size and dispersity by DLS.

229 Data reported in Figure 2 indicate that liposomes produced with #chip1-MHF were generally
 230 smaller (ranging between 40 and 110 nm in diameter) than those produced by #chip2-YJ (90-120 nm
 231 in diameter).

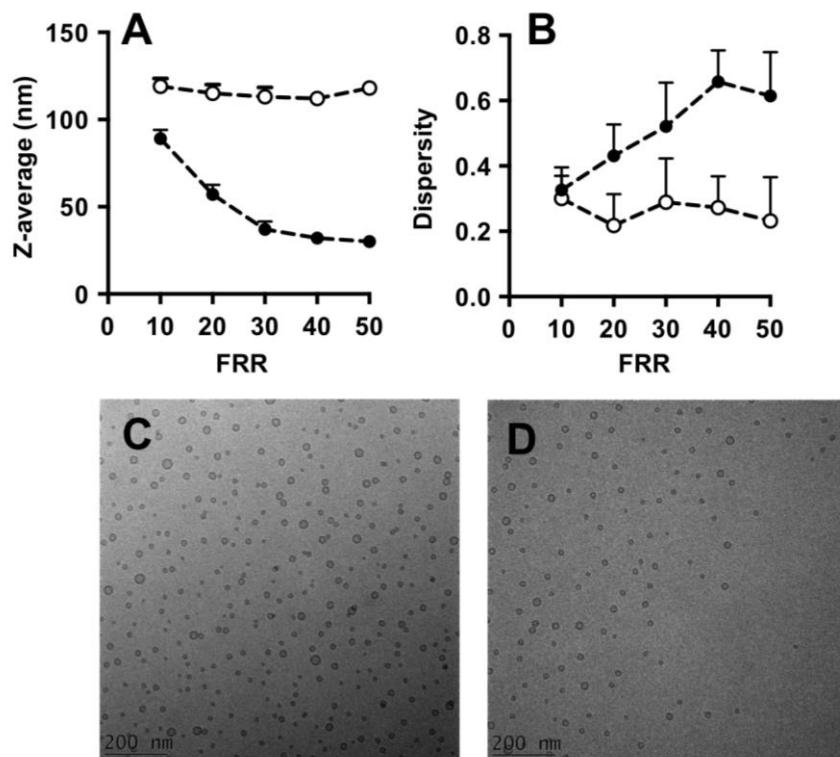


Figure 2. Dimensional characteristics of liposomes produced by microfluidics: Z-average (A) and dispersity (B). Liposomes were prepared by #chip1-MHF (filled circles) or #chip2-YJ (open circles). Experimental conditions and lipid composition are described in the methods section. Data represent the average of 3 batches, measured in triplicate \pm SD. Cryo-TEM images of liposomes produced using #chip1-MHF (C) and #chip2-YJ (D) are reported, for a FRR of 10 and TFR of 37.5 μ l/min.

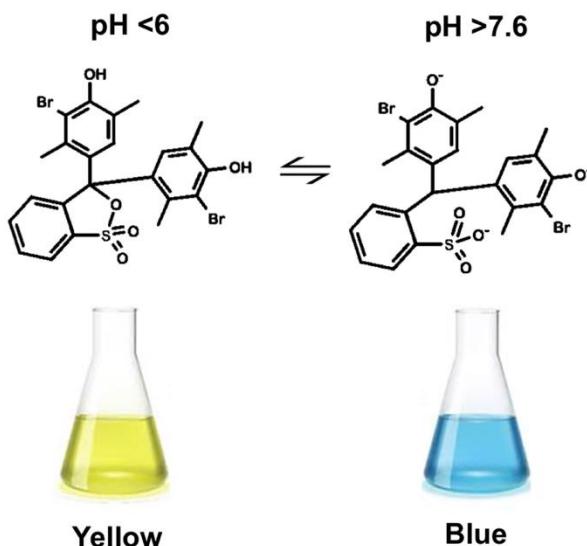
In addition, an inverse correlation between liposome size and FRR in the microfluidic hydrodynamic focusing device can be appreciated, with an increase in FRR resulting in a decrease in liposome diameter. This is in agreement with previous studies reporting production of liposomes using similar microfluidic architectures [8]. Conversely, the size of liposomes produced with #chip2-YJ did not change significantly with varying the FRR. Previous studies using serpentine shaped microfluidic devices, in which mixing is dominated by advection, have shown that liposome size changed only marginally with increasing FRR [23, 24], at values of FRR > 1.

245 Moreover, increasing the FRR resulted in increased liposome size dispersity for #chip1-MHF,
 246 whilst size dispersity was almost independent on FRR for liposomes produced using #chip2-YJ.

247 3.2 Analysis of mixing in microfluidic chips by pH indicator

To characterize the mixing between ethanol and water and its effect on liposome characteristics, a protocol based on the pH indicator bromoxylene blue was established. BB was selected since it presents a marked, pH-dependent chromatic change that is easily detectable by optical microscopy. At pH<6 (i.e., the lipid solution in ethanol adjusted with acetic acid) it appears yellow, while at pH >7.6 (i.e., the NaOH 0.1 N solution in water) it appears blue (Figure 3). For pH values comprised between 6 and 7.6 it has a green color.

Therefore, using BB, the process of mixing in microfluidic devices was analyzed at different flow conditions (i.e., by varying both FRR and TFR). Specifically, FRR was set to 0.5, 2.5, 5.0, 10.0, 20.0 and 40.0, whereas TFR was set to 18.75, 37.50 and 75.00 $\mu\text{l}/\text{min}$.



257

Figure 3. Change in chemical structure and colour of bromoxylenol blue (BB) as a function of pH. The color shifts from yellow (at pH <6) to blue (at pH >7.6).

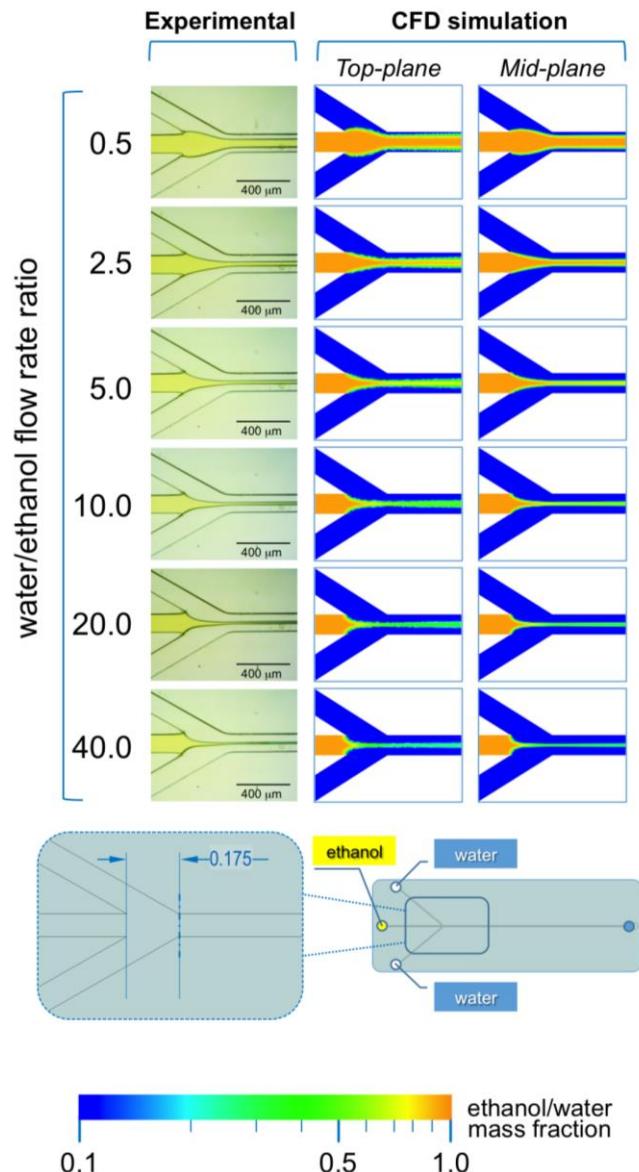
260 3.2.1 Microfluidic hydrodynamic focusing device

261 The microphotographs taken during the experiments performed with #chip1-MHF at the
 262 intermediate TFR value ($37.5 \mu\text{l/min}$) are reported in Figure 4 and 5, respectively showing the
 263 focusing region (i.e., at the inlet channels' intersection; Figure 4) and the region towards the end of
 264 the main channel (i.e., 10 mm from the outlet; Figure 5).

265 As illustrated in Figure 4, in #chip1-MHF the acidic BB ethanolic solution is hydrodynamically
266 focused by the aqueous NaOH solution into a narrow stream, which width depends on the FRR.
267 Notably, the width of focused stream is inversely correlated to the FRR at all TFRs tested; for instance,
268 at TFR equal to 37.5 μ l/min the width progressively decreased from 173 μ m (at FRR = 0.5) to 33 μ m
269 (at FRR = 40). The numerical simulations are in agreement with the experimental data, with the width
270 of the focused stream decreasing from 143 μ m (at FRR = 0.5) to 17 μ m (at FRR = 40). These results
271 suggest that the pH indicator approach employed in this study is suitable for characterizing the shape
272 of the focused stream, at the intersection between solvent and non-solvent streams within MHF
273 architectures. Moreover, data suggest that at lower FRRs the larger width of the focused stream may
274 result in higher diffusion length, which is reflected in liposomes having a higher diameter (see Figure
275 2). Slower mixing however generated dimensionally more uniform liposomes, which is reflected in
276 the lower size dispersity at the lower FRRs (Figure 2). Changes in the local concentration of lipids
277 may have also affected liposome size and size dispersity.

278 Inversely, higher FRRs produced a narrower focusing of the lipidic ethanolic solution, resulting
279 in a lower diffusion length and therefore faster mixing. Liposomes obtained at these conditions were
280 smaller in diameter, but presented a higher size dispersity (Figure 2). As mentioned earlier,
281 differences in lipid concentration (i.e., due to differences in the ethanol/water ratio) may have also
282 influenced the final liposome size. A compromise between FRR and the concentration/dispersity of
283 liposomes in the end-product should thus be considered when defining the operating conditions of
284 MHF devices.

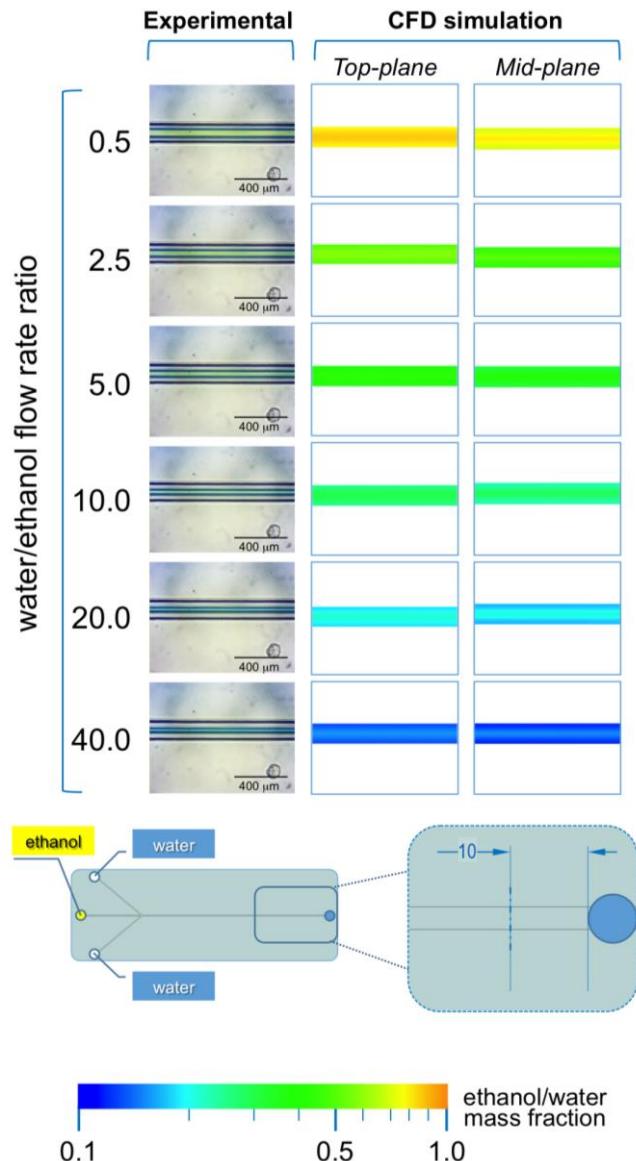
285 Cryo-TEM images of liposomes produced with the MHF chip at FRR of 10 and TFR of 37.5 μ l/min
286 are reported in Figure 2C.



287

288 **Figure 4.** Experimental and computational fluid dynamic (CFD) analysis of the effect of FRR on the
 289 shape of the focused stream, in #chip1-MHF. The images illustrate the experimental microscopic
 290 observations (left column) and the CFD simulations (mid and right columns) of the focusing region,
 291 at the channels' intersection. The images were employed to determine the focused stream width at
 292 0.175 mm from the inlet channel, as indicated in the schematic at the bottom. The numerical contours
 293 of ethanol mass fraction are reported at both the mid-plane (mid column) and top-plane (right
 294 column) of the device. Experiments and simulations were conducted at TFR of 37.50 μ l/min, and at
 295 varying FRRs.

296 Notably, the analysis of the mixing in the main channel at 10 mm from the outlet (see Figure 5),
 297 suggests that the mixing between the ethanolic solution and water is not complete at all FRRs
 298 investigated. This is evident from both experiments and numerical simulations, where excess ethanol
 299 in the central stream can be appreciated. This finding suggests that the production of supramolecular
 300 assemblies (i.e., liposomes or micelles) by MHF chips with limited channel dimensions, particularly
 301 in terms of total length of the main channel, may not be desirable.



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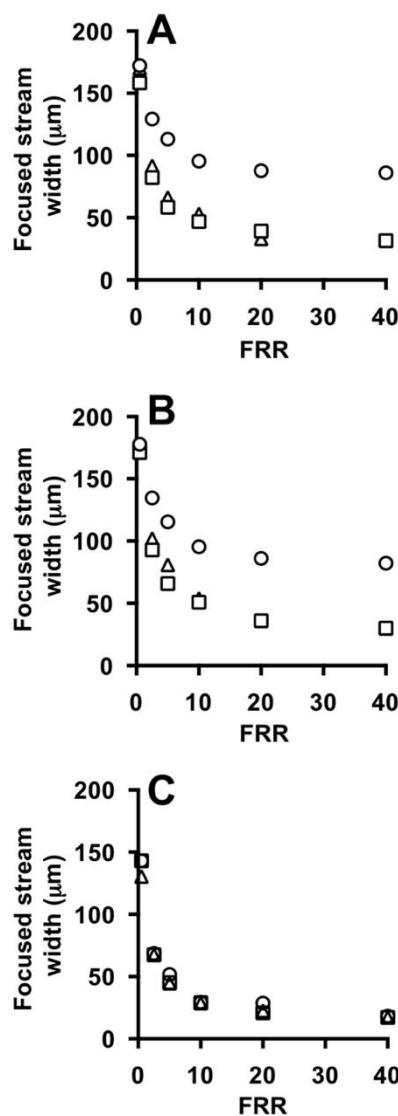
303 **Figure 5.** Experimental and computational fluid dynamic (CFD) analysis of the effect of FRR on
 304 diffusion and focused stream width, in #chip1-MHF. The images illustrate the experimental
 305 microscopic observations (left column) and the CFD simulations (mid and right columns) of the
 306 focusing region, at the channels' intersection. The images were employed to determine the focused
 307 stream width at 10 mm from the outlet, as indicated in the schematic at the bottom. The numerical
 308 contours of ethanol mass fraction are reported at both the mid-plane (mid column) and top-plane
 309 (right column) of the device. Experiments and simulations were conducted at TFR of 37.50 μ l/min,
 310 and at varying FRRs.

311 Data reported in Figure 5 also indicate that complete mixing of ethanol and water would occur
 312 only in the glassware used to collect the samples, therefore diminishing the value of utilizing a highly
 313 controlled microfluidic environment. As a matter of fact, the size dispersity of liposomes produced
 314 with #chip1-MHF at the conditions reported in the present study was higher when compared with
 315 liposomes produced using #chip2-YJ, likely due to inefficient mixing within the microfluidic device.
 316 Notably, while the formation of liposomes by solvent exchange is a dynamic process, which kinetics
 317 is complex to model or experimentally capture, we can assume that this process reaches an
 318 equilibrium once the mixing between solvent and non-solvent is complete. Thus, for the production
 319 of liposomes at high throughput, it would be advisable to employ microfluidic chips containing static
 320 mixing elements to improve the mixing efficiency between solvent and non-solvent.

321 Values of the width of the focused stream are reported in Figure 6A and 6B, which comprise
 322 experiments carried out in the absence and in the presence of phospholipids in the acidic ethanolic
 323 solution, respectively. There is no notable effect of having lipids in the ethanolic stream, on the shape
 324 and size of the focused stream (see Figures 6A and 6B). It should be noted that the initial total lipid
 325 concentration in this study was equal to 100 mM, leading to a final concentration in the range 2 - 10
 326 mM (depending on the FRR). These values are lower than typical concentrations of commercial
 327 formulations, which usually range between 5 mM and 25 mM. This limitation of microfluidic based
 328 production methods has been discussed elsewhere more comprehensively [25]. It is envisaged that -
 329 at these higher lipid concentrations - the physical and interfacial properties of fluids may be affected,
 330 thus impacting on the size and shape of the focused stream.

331 Panel C instead compares experimental and numerical data, showing good agreement between
 332 the two characterization methods.

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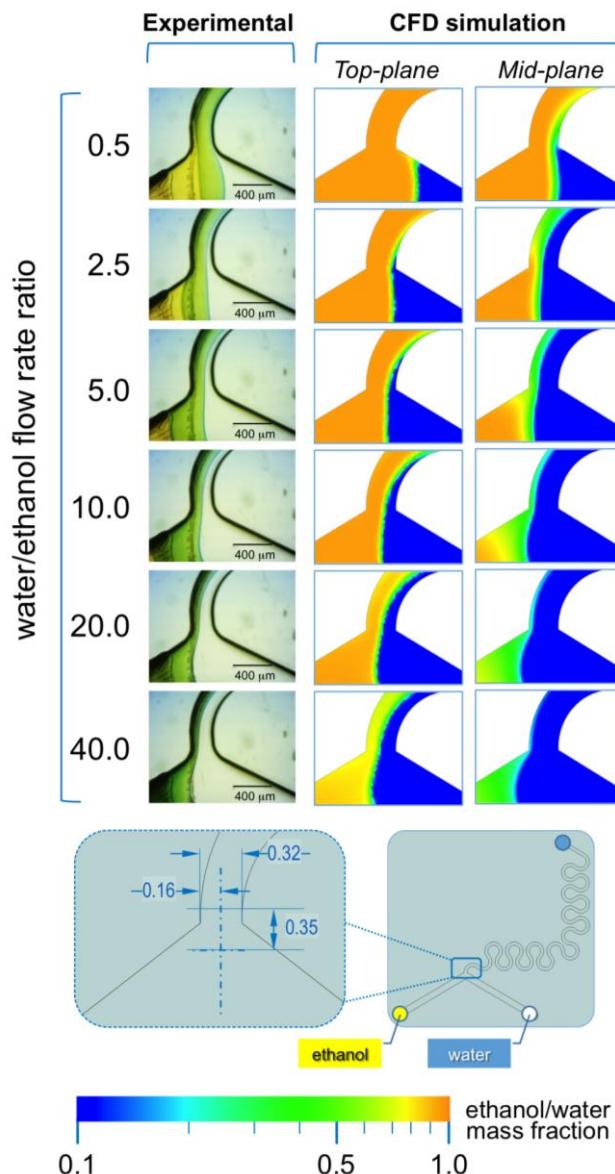
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335 **Figure 6.** Effect of FRR on the focused stream width at different TFRs, measured from experiments
 336 (A-B) and simulations (C) using #chip1-MHF. TFR was set to 18.75 (circles), 37.50 (squares) and 75.00
 337 (triangles) $\mu\text{l}/\text{min}$. Experiments were carried out in the absence (A) or in the presence of liposome
 338 forming lipids (B). Data represent the average of 3 measurements \pm SD (the maximum standard
 339 deviation is equal to 0.9).

340

341 3.2.2 Microfluidic 'Y'-shape device

342 In #chip2-YJ the acidic ethanolic solution of the indicator and the aqueous NaOH solution are
 343 pumped into the chip from the left and right inlets, respectively (see Figure 7).



344

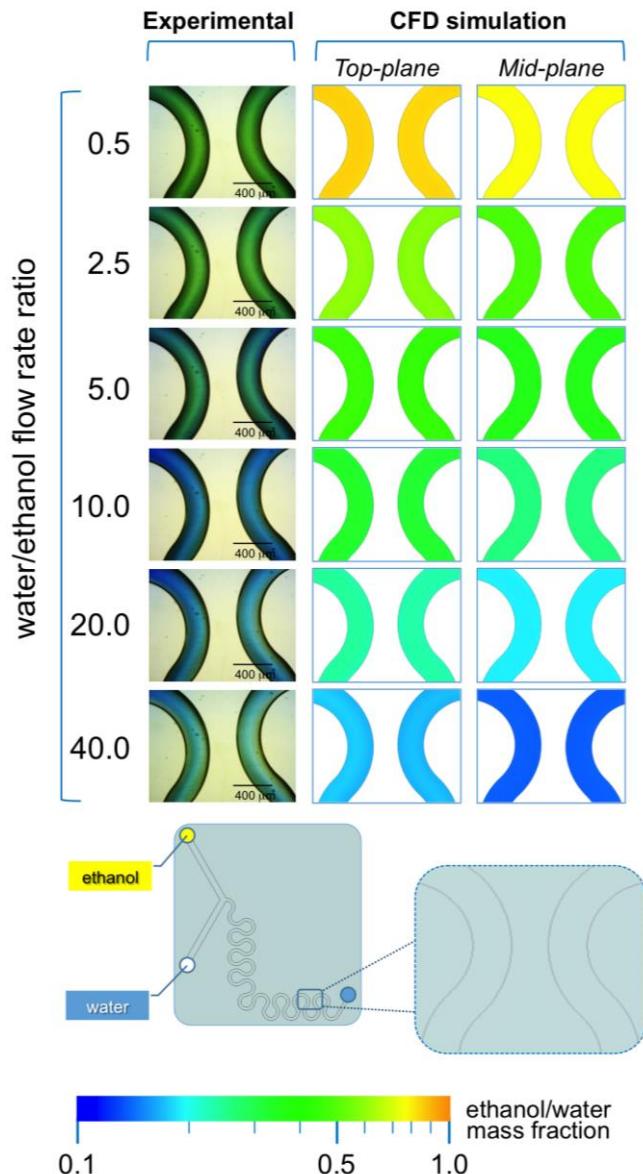
345 **Figure 7.** Experimental and computational fluid dynamic (CFD) analysis of the effect of microfluidic
 346 parameters on diffusion, diffusion layer width and water/ethanol interface position, in #chip2-YJ. The
 347 images illustrate the experimental microscopic observations (left column) and the CFD simulations
 348 (mid and right columns) of the "Y" junction region, at the channels' intersection. The images were
 349 employed to determine the width of the diffusion layer (i.e. the green region) and the water/ethanol
 350 interface position, as indicated in the schematic at the bottom. The numerical contours of ethanol mass
 351 fraction are reported at both the mid-plane (mid column) and top-plane (right column) of the device.
 352 Experiments and simulations were conducted at TFR of 37.50 μ l/min, and at varying FRRs.

353 In this microfluidic architecture, a single interface is formed between ethanol and water, which
 354 position depends on the FRR. As evident from Figure 7, at lower FRRs (in the range 0.5 to 5.0) the
 355 interface is shifted towards the right inlet channel, whereas at higher FRRs (>5.0) the interface
 356 progressively shifts towards the left inlet channel. This trend is evident in both experimental and
 357 simulated conditions, suggesting that simulations are able to capture the interfacial interaction
 358 between solvent and non-solvent.

359 A remarkable difference between #chip1-MHF and #chip2-YJ resides in the dimension of the
360 diffusion layer formed between ethanol and water. In #chip1-MHF, the boundary between solvent
361 and non-solvent appears rather sharp, while in #chip2-YJ a green colored region between ethanol and
362 water is detectable, corresponding to a pH value comprised between 6 and 7.6 (Figure 7). This is
363 reflected in the numerical results, suggesting that mixing between ethanol and water has partially
364 occurred already at the junction between inlets. The width of such diffusion layer appears to be
365 directly related to TFR and inversely related to FRR. Notably, the higher residence time and slower
366 mixing in this specific device resulted in liposomes with larger diameter compared to those obtained
367 with the MHF device, as illustrated in Figure 2.

368 Furthermore, the numerical results show a significant difference in the solvent concentration
369 between the top and mid planes, likely due to the ethanol moving upwards because of its lower
370 density compared to water. This effect is more evident in #chip2-YJ compared to #chip1-MHF, due to
371 the larger cross-sectional area and therefore the lower mean fluid velocity. However, stratification of
372 fluids due to differences in density did not appear to impact on the mixing efficiency in this specific
373 device. This effect has not been previously investigated in depth, and will form the basis of future
374 studies.

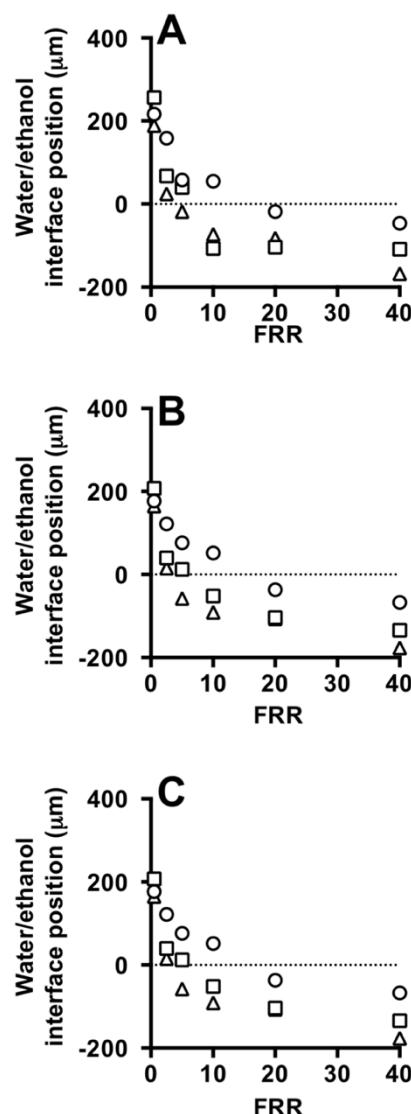
375 As illustrated in Figure 8, the presence of serpentine mixing elements in #chip2-YJ is sufficient
376 to achieve efficient mixing between ethanol and water at all FRRs investigated, without any evident
377 interfacial layer near the outlet of the device (Figure 8). As a result, liposome size dispersity was
378 nearly invariant at the different FRRs. The proportion of ethanol and pH are clearly related to FRR;
379 i.e., at the lower FRRs (0.5 and 2.0) the pH is between 6 and 7.6 as reflected in the green color, and at
380 FRR >10 the pH shifts towards basic values (blue colors). Notably, microfluidic architectures such as
381 #chip2-YJ may provide the benefit of efficient mixing and lower size dispersity at the experimental
382 conditions investigated in the present study.



383

384 **Figure 8.** Experimental and computational fluid dynamic (CFD) analysis of the effect of microfluidic
 385 parameters on diffusion, diffusion layer width and water/ethanol interface position, in #chip2-YJ. The
 386 images illustrate the experimental microscopic observations (left column) and the CFD simulations
 387 (mid and right columns) in a region located at the end of the serpentine geometry. The images were
 388 employed to determine the width of the diffusion layer (i.e. the green region) and the water/ethanol
 389 interface position, as indicated in the schematic at the bottom. The numerical contours of ethanol mass
 390 fraction are reported at both the mid-plane (mid column) and top-plane (right column) of the device.
 391 Experiments and simulations were conducted at TFR of 37.50 μ l/min, and at varying FRRs.

392 Quantitative results are provided in Figure 9, showing the influence of FRR on the water/ethanol
 393 interface position and diffusion layer width, respectively. In both simulations and experiments, the
 394 water/ethanol interface position reduced with increasing FRR. Good agreement between the
 395 experimental (Figure 9A) and the computational (Figure 9B) measurements can also be appreciated.
 396 Moreover, the presence of lipids in the ethanolic stream does not have a significant effect on the shape
 397 and size of this interface (see Figures 9A and 9B). Cryo-TEM images of liposomes produced with the
 398 Y-junction chip at FRR of 10 and TFR of 37.5 μ l/min are reported in Figure 2D.



399

400 **Figure 9.** Effect of FFR on the water/ethanol interface position at different TFRs, measured from
 401 experiments (A-B) and simulations (C) using #chip2-YJ. TFR was set to 18.75 (circles), 37.50 (squares)
 402 and 75.00 (triangles) $\mu\text{l}/\text{min}$. Experiments were carried out in the absence (A) and in the presence of
 403 liposome forming lipids (B). Data represent the average of 3 measurements \pm SD (the maximum
 404 standard deviation is equal to 0.5).

405 In conclusion, the experimental approach based on the pH indicator BB proved to be effective
 406 for studying the influence of FRR and TFR on the mixing performance of microfluidic devices.
 407 Notably, the method was validated using numerical simulations, demonstrating its ability to provide
 408 a qualitative and quantitative characterization of the mixing between a solvent (ethanol) and a non-
 409 solvent (water). The proposed method may provide a useful tool to design and validate appropriate
 410 experimental conditions for the use of microfluidic devices in the preparation of supramolecular
 411 assemblies, such as liposomes.

412 4. Conclusions

413 Microfluidic-based production of vesicular systems has proven to be an effective technique,
 414 offering several advantages compared to macroscale methods, particularly in terms of control over
 415 the physical properties of the end-product. These properties are usually highly dependent on the
 416 mixing between a solvent (i.e., ethanol) and a non-solvent (i.e., water). Thus, the design of a
 417 microfluidic architecture for vesicular systems' production requires an in-depth characterisation of
 418 the mixing within the microfluidic environment. In this study, we report on the development of a

419 novel method based on the use of a pH indicator, and we demonstrated its utility by characterizing the
420 transport of solvent and non-solvent within two different microfluidic mixers typically used for the
421 production of vesicular or micellar systems. Numerical simulations were performed to validate the
422 experimental findings. With these methods, we evaluated the effect of the hydrodynamic boundary
423 conditions (specifically the ratio between inlet flow rates, FRR) on the mixing performance of the
424 selected microfluidic architectures, which had distinct geometrical and fluid dynamic characteristics.
425 Our findings suggest that, in MHF devices, particular attention must be paid to the length of the main
426 channel in order to achieve efficient mixing within the microfluidic device. The presence of a
427 serpentine in the main channel was observed to significantly improve the mixing performance, and
428 complete mixing was achieved for the large majority of FRRs and TFRs investigated. The latter device
429 architecture may provide the benefit of efficient mixing at a larger spectrum of FRRs.

430 Compared to other mixing characterisation methods based on changes in colour or fluorescence
431 intensity of a dye or probe, our proposed technique relies on the colour-shift of a pH sensitive
432 molecule, and may therefore be less sensitive to the lighting conditions employed in the experiment.
433 Furthermore, it provides a route for qualifying and quantifying the solvent exchange process which
434 is postulated to govern the formation of vesicular systems in microfluidic devices.

435 The proposed mixing characterisation method also presents advantages of cost-effectiveness
436 and easiness of implementation in non-specialised laboratory settings, including those lacking of
437 adequate computational facilities or expertise for performing numerical studies.

438 **Author Contributions:** The concept and idea were developed by Claudio Nastruzzi. The processing of the
439 samples was performed by Elisabetta Bottaro, Ali Mosayyebi, and Dario Carugo. Experiments, simulations
440 and data analysis were performed by Elisabetta Bottaro, Ali Mosayyebi, and Dario Carugo. Elisabetta Bottaro,
441 Ali Mosayyebi, Dario Carugo and Claudio Nastruzzi wrote the paper.

442 **Conflicts of Interest:** The authors declare no conflict of interest.

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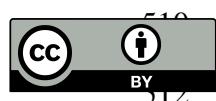
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