# Enabling a Wind Energy Harvester Based on ZnO thin Film as the

# **Building skin**

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#### Abstract

In this paper, a wind energy harvester, which can be used as building skin, is developed. In order to overcome the defects of traditional wind energy harvester, such as expensive and crisp, the developed harvester is designed as a circular silicon plate with several symmetrically distributed rectangular cavities which were covered with ZnO (Zinc oxide) thin film. It is verified whether the designed harvester can function in turbulence flow by theoretical analysis and finite element analysis. The acoustic measurement illustrated that the output of designed device is linear to the frequency. And, the wind fluid experiments suggested that the thin film can vibrate under a low wind velocity 1m/s and is easy to vibrate in wind turbulence. The maximum output power density is 23.39nW/cm² with a maximum open circuit output voltage 2.81V. All of characteristics of designed harvester enable that it can be used as the building skin to harvest wind energy.

Keywords Wind energy harvester, ZnO thin film array, fluid-structure interaction

### 1. Introduction

Nowadays, wind energy has been extensively utilized in suburban in the world. Many windmills have greatly facilitated people's life. However, wind energy nearly has not been used yet in urban. The existed wind energy harvester cannot be directly used to cover the outside-surface of building, such as windmill or turbine [1-5], due to huge volume, expensive cost and complex design. Recently, several kinds of miniaturized wind energy harvesters have been developed such as the cm-scale shrouded wind turbine [6], the wake galloping energy harvesting system [7], arc-shaped piezoelectric generator [8], piezoelectric reed and resonant cavity device, piezoelectric windmill and piezo-leaf [9-12]. But they are too crisp or too complicated to use. As the skin of building the wind energy harvester should be cost-effective for large-area covering. If a kind of wind energy harvester, which can be used on the outside-surface of building, can be developed it will greatly promote the utilization of wind energy in city.

MEMS (Microelectromechanical systems) technology merely meets the requirement of developing cost-effective wind energy harvester due to the advantages of batch fabrication, good consistency and more convenience. In the MEMS zone the wind energy should be transformed to vibration energy before it can be used. Therefore, the design of vibrated structure becomes the critical part of developing a wind energy harvester. Normally, cantilever/beam [13-16], coil and film are common used in a vibration energy harvester. But cantilever/beam is too crisp to apply in outside-surface of building. And, the coil principle vibration harvester is complex to convert wind energy into vibration energy. Thus, film structure becomes the best choice for energy harvester as building skin. But a robust structure is still critical.

The piezoelectric materials of energy harvester are important in developing a wind energy harvester as well. Traditionally, zinc oxide (ZnO) [17], lead zirconate titanate (PZT) [18], lead magnesium niobate-lead titanate

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(PMN-PT) [19], barium titanate (BaTiO<sub>3</sub>) [20], zinc stannate (ZnSnO<sub>3</sub>) [21], alkaline niobate-based particles (KNLN) with copper (Cu) nanorod filler [22], have been used as inorganic piezoelectric material due to high piezoelectric coefficient. Meanwhile, organic piezoelectric materials are used as well because of the enhanced efficient of energy harvester such as polyvinylidenefluoride (PVDF) based polymer materials [23-27], Na<sub>0.47</sub>K<sub>0.47</sub>Li<sub>0.06</sub>NbO<sub>3</sub> (NKLN) based polydimethylsiloxane (PDMS) polymer [28] and biomaterial based polydimethylsiloxane (PDMS) polymer [29]. However, ZnO is one of the most common used among these material due to abundant in nature, low cost and easy to fabricate. Thus, ZnO becomes the best material for energy harvester as building skin.

In our previous work [30], MEMS based device with ZnO thin film has been developed to meet the requirement of wind energy harvesting on outside surface of building. The developed harvester is designed as a circular silicon plate with several symmetrically distributed rectangular cavities which were covered with ZnO thin film. When the flow blows to the harvester, it can produce energy. In this paper, based on the previous results the principle is totally established and the results are specifically analyzed. It is verified whether the designed harvester can function in turbulence flow by theoretical analysis, finite element analysis. Meanwhile, the results are verified and explained by simulation and the Experiments in wind tunnel and acoustic tube.

## 2. Principle and Fabrication

## 2.1 Principle design

According to R.D. Blevins [31], structure will perform at its resonant state when it is immersed into turbulence. The resonant frequency will be modulated by the interaction between structure and the turbulence. Thus it is feasible to develop a wind energy harvester based on this phenomenon. With the increasing of flow speed, the shear stress on the structure will increase. It will eventually increase the resonant frequency. Basically, it is reasonable that the harvester efficiently functions at the fundamental resonant frequency. But, the efficiency of energy harvesting will decrease when the resonant frequency exceeds second mode. That is, harvester should avoid to work on the second-mode resonant frequency. On the other hand, harvester should be strong enough to resist the disturbance of ambient dust and have high sensitivity and energy capacity. However, robustness, sensitivity and energy capacity are mutually restrained. Larger and thinner film, which has high energy capacity and large flexibility, can enable high sensitivity to the wind. But, the larger and thinner film device has poor robustness. Fortunately, thin film array is suitable to meet the requirements of robustness, sensitivity and energy capacity. Therefore, in this paper we design a harvester based on a circular silicon plate structure on which several rectangular cavities are symmetrically distributed. The thin film array is formed by these cavities which are covered with ZnO thin film. In this structure, the total effective energy-harvesting area is increased; single film could be small enough to ensure stability; and the silicon frame between films could also enhance the robustness of the device. That means the mutual restraint can be resolved effectively. The principle of harvester, which is based on the designed structure, is shown in Fig. 1.

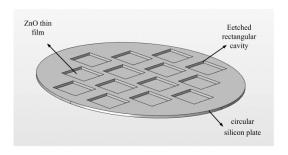
The harvester is mainly effected by the flow-drag force, which will cause the change of shear stress, when it is immersed in wind flow. Furthermore, the shear stress will affect the resonant frequency. Thus it is significant to figure out the relationship between the flow-drag force and resonant frequency.

Pressure distribution, which depends on flow, can be expressed by equation (1) [32].

$$P_{fluid} = \frac{1}{2}\rho_F V^2 \tag{1}$$

Where  $\rho_F$  and V are fluid density and flow velocity. When Re, which is the Reynolds Number, is higher than 1000, the  $C_D$ , which is the coefficient of drag force, is between 1.28~2.0. When the flow-drag force is exerted on a harvester, there will be a pressure distribution around the harvester which will lead to the bend of thin film in harvester. Thus shear stress occurs on the thin film. Shear stress can be calculated by the pressure distribution [33].

$$\sigma_{max} = 0.31 P \frac{a^2}{h^2} \tag{2}$$



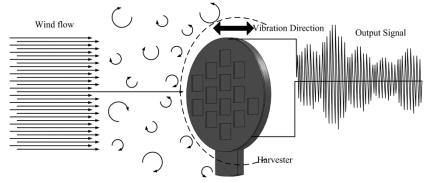


Fig. 1 The principle of harvester

Where P,  $A_{film}$ , a and h are the pressure, thin film area, length of rectangle cavity and thickness of thin film. Substituting equation (1) into equation (2), the relationship between shear stress and flow-drag force can be deduced by equation (3).

$$\sigma_{max} = 0.155 \rho_F V^2 \frac{a^2}{h^2} \tag{3}$$

The dependence of resonance frequencies on stress has been extensively studied and simulated [34]. Several methods have been proposed to solve the problem of determining the natural frequencies and mode shapes of thin film [35, 36]. Resonant frequency of square thin film can be expressed by [36]

$$f_{n,m} = \frac{1}{2} \sqrt{\frac{\delta_{max}}{\rho}} \sqrt{\frac{n^2 + m^2}{a^2}} \tag{4}$$

When n=m=1,  $f_{11}$  is the fundamental resonant frequency. Substituting equation (3) into equation (4), the resonant frequencies with residential shear stress can be expressed by equation (5).

$$f_{n,m} = \frac{1}{2} \sqrt{\frac{\delta_{max}}{\rho_S}} \sqrt{\frac{n^2 + m^2}{a^2}} = \frac{1}{2} \sqrt{\frac{0.155V^2(n^2 + m^2)}{h^2} \frac{\rho_F}{\rho_S}}$$
(5)

When the wind velocity and thickness of the thin film are specific the resonant frequency will be explicit. In the designed device structure, the thickness of ZnO thin film is much less than that of circular silicon plate. Therefore, it is believed that the energy is harvested due to the vibration of ZnO thin film. In a word, from the theoretical point of view the designed harvester can function well.

### 2.2 Fabrication

The fabrication schematics and thin film array are illustrated in Fig. 2. The fabrication processes are based on a standard 4 inch MEMS streamline. The thin film of device includes  $SiO_2$  (Silicon dioxide) layer,  $Si_3N_4$  (Silicon nitride) layer, bottom platinum layer, ZnO layer, and up platinum layer in turn from the bottom to top.  $SiO_2$  and  $Si_3N_4$  layers are grown on the surfaces of silicon wafer at the beginning of the fabrication which thickness are 300nm and 200nm respectively. Both of them will play a role of insulator and match thermal residential stress. Then lithography and wet etching processes are conducted to produce square cavity arrays from the backside of the wafer. Afterward a bottom platinum layer with 300nm thickness is sputtered as the electrode and pads on front side

of the wafer. Subsequently ZnO layer with thickness 300nm is sputtered with MSPVD (Magnetron Sputtering Physical Vapor Deposition) process. After that, up platinum layer is sputtered onto the ZnO layer. At last, the DRIE (deep iron reactive etching) process is adopted to release the films by removing the residual silicon in the square cavities from the backside.

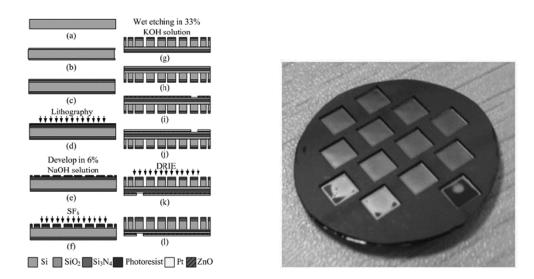


Fig. 2 The fabrication schematics and thin films array

It is important to select the highest piezoelectric constant of ZnO layer in designed harvester. For ZnO material the c-axis is chose for best piezoelectric properties due to the highest piezoelectric constant among all the crystal orientation. As shown in Fig. 3, the ZnO film obtained highly c-axis-oriented polycrystalline structure.

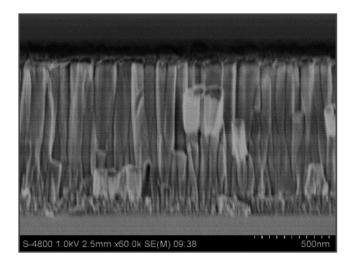


Fig. 3 Scanning electron microscope (SEM) photo of the ZnO film

The Profiler is used to measure the precise thickness of thin film. It is shown that the thickness is about 2um due to error of LPCVD (Low pressure chemical vapor deposition), sputter and DIRE process.

# 2.3 Experimental design

First of all, in order to validate the performance of the device with the variation of frequency, the developed harvester will be tested in an acoustic-electric measurement system AWA6122A which is supplied by Hangzhou AiHua Instruments Company with an acoustic noise source AWA77 speaker which frequency ranges from 1500 Hz

to 6500 Hz.

Secondly, the wind fluid experiments will be carried out in self-manufactured small wind tunnel which is shown in Fig. 4. In the setup, the wind velocity is measured by an emometer KANOMAX 6004, and the wind energy harvesting device is placed above the anemometer.

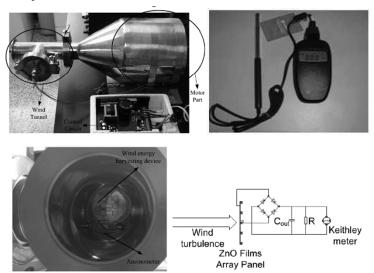


Fig. 4 Wind flow test equipment and energy convert circuit

It is important how the small wind tunnel can work in a turbulent state. Reynolds Number is an important factor for judgement which can be calculated with the following equation (6).

$$Re = U\frac{d}{\theta} \tag{6}$$

The parameter v is the air viscous friction coefficient. The diameter d of wind tunnel is equal to 45.2mm. The Reynolds Number of small wind tunnel is more than 2300 when the mean speed of the wind flow is more than 0.799m/s. It is suggested that the equipment mainly works in turbulence state.

#### 3. Simulation

In order to prove the effectiveness of harvester, it is also important to run simulations in finite element analysis field. Some important parameters, which are listed in table 1, should be fixed during the FEA (Finite element analysis) simulations.

item	Silicon	ZnO	Platinum	SiO <sub>2</sub>	Si <sub>3</sub> N <sub>4</sub>	air
Density (Kg/m³)	2331	5676	21450	2200	3100	1.29
Young's modulus	130E9	210E9	168E9	70E9	250E9	
Poisson's ratio	0.27	0.33	0.39	0.17	0.23	
Thickness of square thin film		0.3μm	0.3µm	0.3μm	0.2μm	
Length of square ZnO thin film	4mm					
Thickness of circular silicon plate	350μm					
Diameter of circular silicon plate	14mm					

Table 1. The parameters using in calculation and simulation

The first step of FEA simulation is to obtain the resonant frequency in different mode without residential stress. The structure module in COMSOL software is used to run eigenfrequency simulation which is shown in Fig. 5. It is shown the fundamental resonant frequency is 725.44 Hz and the second mode resonant frequency 3640.9 Hz. Such frequency range enables the thin film easy to vibrate. It is also suggested that the harvested energy will

drastically plummet when the resonant frequency is more than the second resonant frequency.

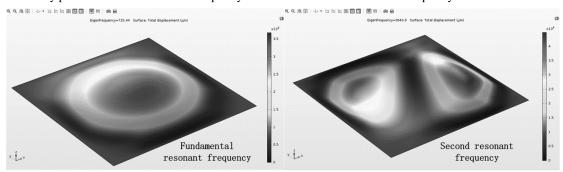


Fig. 5 Eigenfrequency simulation in COMSOL

According to the theoretical analysis resonant frequency will be affected by stress. And the incident flow will lead to the deflection of thin film and induce stress on the thin film. Therefore, it is indispensable to simulate the variation of resonant frequency under variation of flow velocity. But it is impossible to directly simulate the resonant frequency with change of flow velocity in COMSOL. However, it is feasible to simulate the variation of stress under the variation of flow velocity by the flow-structure interaction module in COMSOL. When the stress results are obtained the resonant frequency can be calculated by equation (4). During the simulation the effective Young's modulus, Poisson's ratio and density are used in order to simplify the simulation of the multilayer of the thin film.

The effective density can be deduced by equation (7).

$$\rho_{eff} = \frac{\sum_{k} \rho_{kh_k}}{h_{total}} \tag{7}$$

The effective Young's modulus can be approximately deduced by equation (8) [37]. Where  $V_k$  is the volume of each layer  $V_{total}$  is the total volume of thin film.

$$E_{eff} = \sum_{k} E_k \frac{V_k}{V_{total}} \tag{8}$$

The value of effective Poisson's ratio is set to 0.23 which is between the minimal and maximal values of the Poisson's ratio of the individual components [38]. The effective young's modulus and density is set to 167.7E9Pa and 11388Kg/m³, respectively. These three values are also validated by eigenfrequency simulation in COMSOL software. It is suggested by the simulation results that the fundamental resonant frequency of single layer, which uses the three effective value, is 794.28 which is close to the fundamental resonant frequency of five-layer thin film 725.44.

The fluid-structure interaction simulations are conducted with those three effective parameters. During the simulation the transient flow is turn on and k-ε model is used in simulation model to mock the turbulence flow environment. At first, a 2D stationary and time-depend simulation are conducted which are shown in Fig. 6. In the model the flow channel shape is the cross-section view of test equipment which is shown in Fig. 4. The device was set at the location according to the test experiment. The fluid is air with standard density and dynamic viscosity in COMSOL. The rectangle structure in the middle of flow channel is the device with the effective Poisson's ratio, density and Young's modulus which were calculated before. The top and bottom edges of the rectangle are fixed to the flow channel that is oriented perpendicular to the fluid flow streamline. The outflow surface of the flow channel is set to a zero pressure. The velocity of flow channel is a mean value in stationary simulation and a step input in time-depend simulation. Compared the results of stationary and time-depend simulation, the designed device can definitely function in turbulence situation. Then the time-depend simulations were conducted with the wind velocity 1m/s, 4m/s, 6m/s, 8m/s and 10m/s which are shown in Fig. 7. The pressure distribution shows that

the turbulence will enhance with the increase of wind velocity. That is the device can work in turbulence within the velocity range.

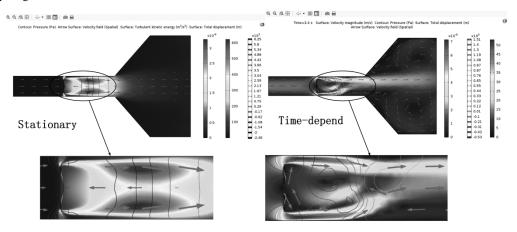


Fig. 6 2D stationary and time-depend simulation in COMSOL

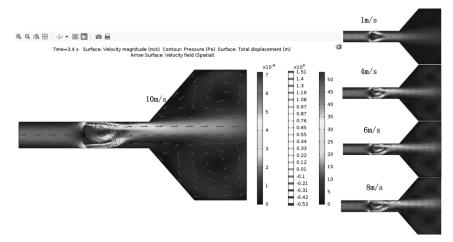


Fig. 7 Time-depend simulation with different wind velocity in COMSOL

Then the flow-structure interaction simulations were conducted which is shown in Fig. 8. The cylinder represents the flow channel. The fluid is air with standard density and dynamic viscosity in COMSOL. The plate structure is the solid part with the effective Poisson's ratio, density and Young's modulus which were calculated before. The edges of the plate are fixed to the flow channel that is oriented perpendicular to the fluid flow streamline. All other boundaries of the plate experience the load from the fluid. At the mean time, the outflow surface of the flow channel is set to a zero pressure. The velocity of flow channel is a mean wind velocity. And the turbulence conditions are exerted with intensity 0.05 and turbulence length scale 0.01m. The rest four surfaces of the fluid channel are defined as "no slip" condition, which means these surfaces serve like walls that confine the fluid flow between them. By conducting a series simulation under wind velocity range from 0.1m/s to 15 m/s, the maximum stresses on the thin film can be obtained and are listed in table 2. Then the maximum stresses were transferred to resonant frequency by equation (4).

At the same time, the theoretical resonant frequency is calculated which are listed in table 2 as well. It is shown that the theoretical results are less than the simulation results. It is mainly because that the FSI simulation is more depended on the dimensions of wind flow channel. The maximum stress will vary with the dimension. But it is also shown in table 2 that the resonant frequency will greatly change with the wind velocity variation. At this point of view, the simulation and theoretical calculation is consistent.

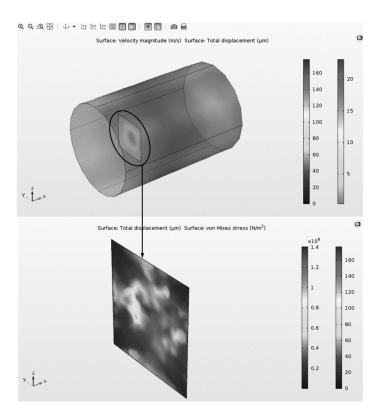


Fig. 8 Fluid-structure interaction simulation in COMSOL

Table 2 maximum stress on the thin film, deduce Resonant frequency and theoretically calculated Resonant frequency

<b>V</b> 7-1	Simula	Theoretical calculated results			
Velocity (m/s)	Maximum stress (Pa) Resonant frequency (Hz)		Resonant frequency (Hz)		
0.1	1E4	154	122		
1	5.5E5	1263	1218		
2	2.13E6	2484	2437		
3	4.84E6	3745	3655		
4	8.34E6	4918	4874		
5	1.38E7	6317	6092		
6	1.92E7	7459	7310		
7	2.54E7	8589	8529		
8	3.34E7	9836	9747		
9	4.17E7	10997	10966		
10	5.17E7	12246	12184		
11	6.17E7	13379	13402		
12	7.42E7	14672	14621		

# 5. Results and Analysis

First of all, the acoustic experiments were conducted by the acoustic-electric measurement system. The open circuit voltage of device ramps with the increasing of the frequency and the sounder voltage. The maximum voltage is 69.41 mV at the largest frequency and sounder voltage given by the experiment which is shown in Fig. 9. It is clearly illustrated that the output of designed device is linear to the frequency and also linear to the magnitude of incident source. The highest frequency 6500 in the test is more than the fundamental and second resonant

frequency simulated with COMSOL. Therefore, it can be drawn the designed device can function at stress modulated fundamental resonant frequency.

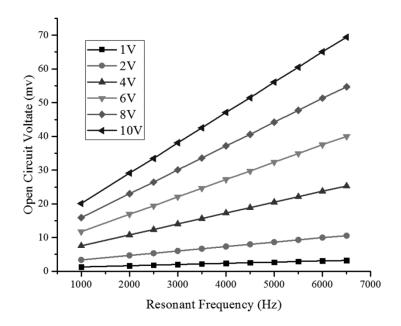


Fig. 9 Experimental results in acoustic-electric measurement system

Secondly, the device is tested in the wind tunnel. During the experiments it is necessary to convert alternative voltage into direct voltage. Thus, Wheatstone bridge circuit is used to convert voltage; capacitor is applied to store the energy produced by the films array; and Kiteley meter is applied for voltage monitoring which is shown in Fig. 4. The characteristics between wind velocity and open circuit voltage, which are shown in Fig. 10, exhibit that the maximum open circuit output voltage of the device is about 2.7V when the wind velocity is more than 1m/s. It is also illustrated that output voltage rises slowly along with the increase of wind velocity while decreases when the velocity more than 9.1m/s.

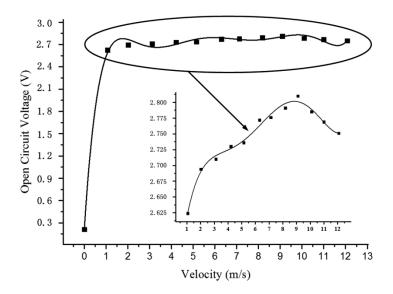


Fig. 10 The characteristic of wind velocity and open circuit voltage

The most important factor, which can be followed to analyze the problem of voltage decreasing, is the resonant frequency. It is shown in table 2 when the velocity is 9 m/s the fundamental resonant frequency of thin

film is about 11000Hz. It can be obtained by simulating the eigenfrequency of whole circular silicon device in COMSOL that the fundamental frequency of whole device is 11267Hz which is shown in Fig.11. Compared two number it is found that the circular silicon plate begins to vibrate when the wind velocity is more than 9m/s. At this moment the ZnO thin film lost their strong frame. When circular silicon plate deflected the surface of the circular silicon plate will increase. It means that the length of each frame of ZnO thin film will increase which will result in the decrease of the stress on ZnO thin film. According to equation (4), length increase and stress decrease will lead to decrease of fundamental resonant frequency. Therefore, the output voltage will reduce.



Fig. 11 The fundamental frequency of whole energy harvester

The performance of designed harvester is also illustrated by characteristics curve of load voltage of device under different wind velocity and characteristics curve of output power density of device with different load resist which are shown in Fig. 12 (a) and (b). It is observed that output voltage increases with the load resistance increasing. The trend is similar to the open circuit voltage under the fixed load resist. It is also revealed that more power will be obtain under higher load resistance. That is the device has good load capacity which the maximum output power density reaches 23.39nW/cm<sup>2</sup>.

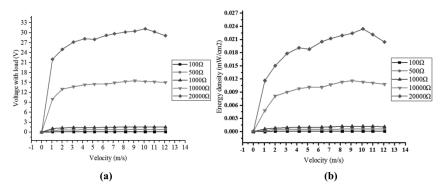


Fig. 12 Characteristics curve (a) load voltage of device in different wind velocity; (b) output power density of device with different load resist

In a conclusion, it is proved by the experimental results that the thin films can vibrate under a low wind velocity 1m/s and are easy to vibrate in wind turbulence. After the harvester beginning vibration the output voltage will change slowly with the variation of wind velocity.

In real scenario, wind flow is mainly existed as turbulent in nature because the Reynolds Number is more than 2300 due to the diameter is very large according to equation (6). The designed device is more easy to meet the vibration condition to generate energy. However, it cannot always generate power when the wind changes its

direction. But it is true that there is definitely a building wall on which the wind directly blew. Hence, the designed device can function as the skin of building to generate energy. From the robustness point of view, the plate structure which is more robust than cantilever/beam structure, is more suitable to use as the building skin to generate energy. In a word, these characteristics of designed harvester enable it to be used as the building skin to harvest wind energy.

#### 6. Conclusion

A wind energy harvester is developed with a circular silicon plate with several symmetrically distributed rectangular cavities which were covered with ZnO thin films based on MEMS technology. It can easily function when the wind velocity is more than 1m/s. The maximum output power density of it reaches 23.39nW/cm<sup>2</sup>. All of characteristics of designed harvester enable it to be used as the building skin to harvest wind energy. The relevant technology is promising for ambient wind energy harvesting on high buildings in city blocks. But there are more chances to enhance the performance of harvester. Firstly, material with higher piezoelectric coefficient (like PZT) can effectively increase the power density. Secondly, thicker silicon wafer as the frame of thin film can enhance the robust of harvester. All of them can extent the velocity ranges in which the output voltage will always increase.

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