

1 **Title:**

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3 Secular change and inter-annual variability of the Gulf Stream position, 1993-2013, 70°-55° W

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20

20 **Abstract:**

21

22 The Gulf Stream (GS) is the northeastward-flowing surface limb of the Atlantic Ocean's
23 meridional overturning circulation (AMOC) "conveyor belt" that flows towards Europe and the
24 Nordic Seas. Changes in the GS position after its separation from the coast at Cape Hatteras, i.e.,
25 from 75°W to 50°W, may be key to understanding the AMOC, sea level variability and
26 ecosystem behavior along the east coast of North America. In this study we compare secular
27 change and inter-annual variability (IAV) of the Gulf Stream North Wall (GSNW) position with
28 equator-ward Labrador Current (LC) transport along the southwestern Grand Banks near 52° W
29 using 21 years (1993-2013) of satellite altimeter data. Results at 55°, 60°, and 65° W show a
30 significant southward (negative) secular trend for the GSNW, decreasing to a small but
31 insignificant southward trend at 70° W. IAV of de-trended GSNW position residuals also
32 decreases to the west. The long-term secular trend of annual mean upper layer (200 m) LC
33 transport near 52° W is positive. Furthermore, IAV of LC transport residuals near 52° W along
34 the southwestern Grand Banks are significantly correlated with GSNW position residuals at 55°
35 W at a lag of +1-year, with positive (negative) LC transport residuals corresponding to
36 southward (northward) GSNW positions one year later. The Taylor-Stephens index (TSI)
37 computed from the first principal component of the GSNW position from 79° to 65° W shows a
38 similar relationship with a more distal LC index computed along altimeter ground track 250
39 located north of the Grand Banks across Hamilton Bank in the western Labrador Sea. Increased
40 (decreased) sea height differences along ground track 250 are significantly correlated with a
41 more southward (northward) TSI two years later (lag of +2-years). Spectral analysis of IAV
42 reveals corresponding spectral peaks at 5-7 years and 2-3 years for the North Atlantic Oscillation
43 (NAO), GSNW (70°-55°W) and LC transport near 52° W for the 1993-2013 period suggesting a
44 connection between these phenomena. An upper-layer (200 m) slope water volume calculation
45 using the LC IAV rms residual of +1.04 Sv near 52° W results in an estimated GSNW IAV
46 residual of 79 km, or 63% of the observed 125.6 km (1.13°) rms value at 55° W. A similar
47 upper-layer slope water volume calculation using the positive long-term, upper-layer LC
48 transport trend accounts for 68% of the mean observed secular southward shift of the GSNW
49 between 55° and 70°W over the 1993-2013 period. Our work provides additional observational
50 evidence of important interactions between the upper layers of the sub-polar and sub-tropical
51 gyres within the North Atlantic over both secular and inter-annual time scales as suggested by
52 previous studies.

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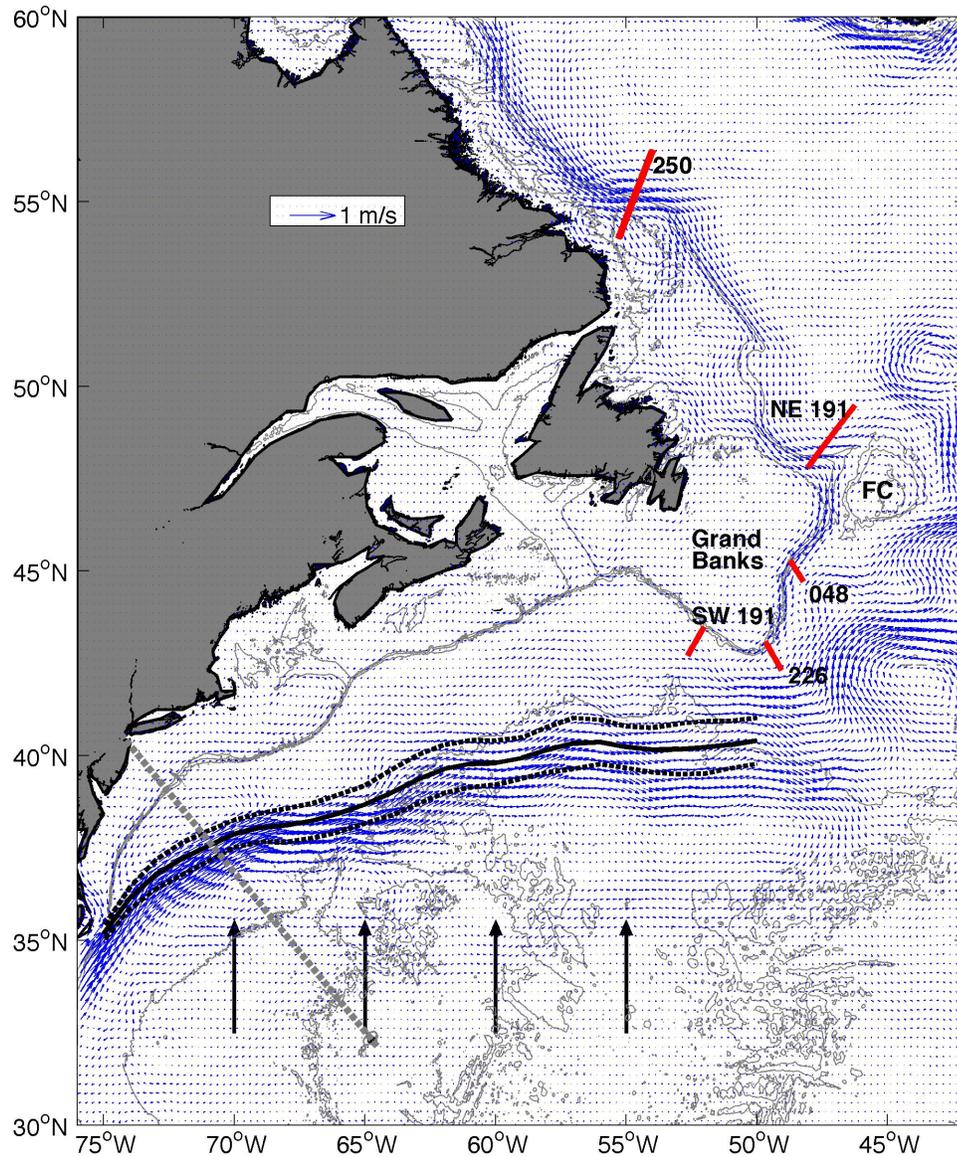
53 **Introduction:**

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55 The Gulf Stream (GS) and Labrador Current (LC) form the western boundary currents of
56 the sub-tropical and sub-polar gyres of the North Atlantic, respectively, meeting near the Tail of
57 the Grand Banks (TGB). At the TGB, a large fraction of the LC turns eastward and joins the GS
58 to form the North Atlantic Current (NAC) that continues flowing towards Europe and the Nordic
59 Seas. The remaining LC fraction flows equator-ward around the TGB along the seaward edge of
60 the Canadian and U.S. continental shelves. An examination of geostrophic surface velocity
61 vectors (**Fig. 1**) (calculated from 1993-2013, Ssalto/Duacs altimetry products, *in situ* data,
62 MSS_CNES-CLS11 Mean Sea Surface, and the EGM-DIR-R4 geoid model, combining data
63 from both GOCE and GRACE geoid models), clearly shows this general large-scale circulation
64 in the western North Atlantic: the northeastward-flowing GS from Cape Hatteras to south of the
65 TGB, its mean location observed in the large velocities located between the anti-cyclonic sub-
66 tropical gyre and the Northern Recirculation Gyre (NRG). Moving north, at the TGB, the GS
67 evolves into the meandering NAC, distending in and out of the Northwest Corner. Patterns of
68 low dynamic height within the Labrador Basin are characteristic of the cyclonic sub-polar gyre
69 with the LC flowing southeast and equatorward around the Grand Banks. The strongest LC
70 signal is located along the 500 m isobath from the north just off Labrador near altimeter ground
71 track 250, southward to the west of the Flemish Cap, and then around the Grand Banks (**Fig. 1**).
72 Sinking of cold dense water in the Labrador Sea flows southward along the outer continental
73 slope and rise (not shown) to form the Deep Western Boundary Current (DWBC), forming the
74 southward-flowing subsurface limb of the Atlantic Ocean's meridional overturning circulation
75 (AMOC), with the GS forming the AMOC's northward-flowing surface limb. Inputs from the
76 Greenland, Iceland, and Norwegian Sea (not shown) also form an important southward-flowing
77 sub-surface portion of the AMOC described in summary by Yashayaev *et al.* (2015).

78 The space-time variability of the latitudinal excursion of the GS "north wall" (GSNW) has
79 been shown by combined observational and modeling studies to be an important diagnostic
80 variable and indicator of the AMOC's amplitude (Joyce and Zhang, 2010; Sanchez-Franks and
81 Zhang, 2015). A stronger (weaker) AMOC corresponded to a more southerly (northerly) GSNW
82 using a GFDL climate model and satellite-derived data, respectively (Joyce and Zhang, 2010).
83 Cooler temperatures, lower salinities, and low planetary potential vorticity characteristic of
84 Labrador Sea Water (LSW), along with stronger southwestward flow, were in phase within
85 Slope Waters located between the shelf break and the GSNW from 1993-2007, and preceded a
86 southward shift of the GSNW by 6 months (Peña-Molino and Joyce, 2008) in agreement with
87 Rossby (1999), Rossby and Benway (2000), and Flagg *et al.* (2006). Direct observations of the
88 DWBC along the "Line-W" array located northwest of Bermuda show similar results with
89 stronger DWBC transport when the GSNW is displaced to the south (Toole *et al.*, 2011). More
90 recent studies have shown that in addition to seasonal and short timescale variability, strong
91 inter-annual variability (IAV) of the AMOC has also occurred, with a 30% reduction in AMOC
92 transport between 1 April 2009 and 31 March 2010 along the RAPID/WATCH 26°N
93 measurement array (McCarthy *et al.*, 2012; Smeed *et al.*, 2014). This reduction in the AMOC
94 was accompanied by a stronger Slope Water current, but does not support the Slope Current as a
95 significant driver of GS position by itself, which may also partly result from the supply of source
96 waters from the Labrador Sea and the sub-polar gyre (Rossby, 1999; Ezer and Atkinson, 2014;
97 Ezer, 2015).

98



134 Figure 1. Mean geostrophic surface velocities showing the general large scale circulation and
135 both the northeast-flowing Gulf Stream and southward-flowing Labrador Current (see text for
136 explanation). Long-term mean position of the monthly-mean Gulf Stream North Wall (black
137 solid line) and standard deviation (black dashed lines) are estimated from SST anomalies at
138 every degree of longitude between 75° W and 50° W from the Canadian Marine Environmental
139 Data Service. Also shown are the locations of: four longitude lines (black vertical arrows) on
140 which annual mean positions of the Gulf Stream North Wall were measured, five outer-shelf
141 altimeter along-track segments (red lines) for measuring upper-layer Labrador Current transport
142 or sea height, the *M/V Oleander* transect (gray dashed line), the location of the Grand Banks, the
143 Flemish Cap (FC), and the 500 m, 1000 m and 5000 m isobaths.

144

144 Changes in the latitudinal excursion of the GS path and their impact on its cross-stream
145 sea-level gradient have also been linked to sea level rise along the Canadian and U.S. east coasts,
146 a possible “slowing” of the GS, and increased frequency of coastal flooding (Boon, 2012; Ezer
147 and Corlett, 2012; Sallenger *et al.*, 2012; Ezer *et al.*, 2013; Ezer and Atkinson, 2014). The
148 extreme sea level rise noted using tide gauge data for a northeast region located between Cape
149 Hatteras and Newfoundland may result from remote wind forcing (Andres *et al.*, 2013) but also
150 corresponded to the period of the 30% reduction in the AMOC from 2009-2010 (Goddard *et al.*,
151 2015). Although both the GS and LC are driven by large-scale wind patterns over their
152 respective gyres, with variability attributed to the North Atlantic Oscillation (NAO) (Taylor and
153 Stephens, 1998; Marshall *et al.*, 2001) studies suggest that thermohaline interactions between the
154 GS, LC, DWBC, recirculation gyres, and shelf waters may also be important (Rossby, 1999;
155 Rossby and Benway, 2000; Marshall *et al.*, 2001, Chaudhuri *et al.*, 2011). A significant part of
156 this thermohaline interaction may result directly from the remaining equator-ward-flowing
157 fraction of the surface LC and shelf waters releasing varying amounts of less-saline waters into
158 the Slope Sea.

159 Evidence from low-frequency variations of sea surface salinity (SSS) shows large IAV (± 1 -
160 2 PSU) that is coherent along the *M/V Oleander* line between New Jersey and Bermuda (Fig. 1)
161 across both the continental shelf and slope water regions, supporting the hypothesis of a release
162 of less-saline waters from the shelf into the Slope Sea (Rossby and Benway, 2000). Earlier work
163 by Rossby (1999) suggests further that the well-noted annual shifting of the axis of the GS and
164 its seasonal transport variations may result from annual variations of this “overflow” of
165 freshwater from the north from an examination of seasonal and low frequency changes in
166 dynamic height anomaly and transport of the GS (Sato and Rossby, 1995). Rossby (1999)
167 speculates that such so-called “gyre interactions” may be operating on inter-annual time scales as
168 well. Velocity observations at 52 m depth, just seaward of the shelf break along the *M/V*
169 *Oleander* line, show a significant annual cycle of equator-ward transport with higher (lower)
170 velocities during winter (summer) and are consistent with the GS displacement to the south by
171 April (Rossby and Benway, 2000). IAV of the GS position shows similar behavior, with a
172 southward displacement of the GS corresponding to time periods of higher equator-ward
173 transport and lower SSS within both shelf and slope waters (Rossby and Benway, 2000). They
174 suggested that since a larger volume flux along the shelf from the east into the Slope Sea must be
175 accommodated without a significant thermocline depth increase, the GS must be displaced
176 southward. In summary, these and other observations suggest the existence of an important
177 upper-layer thermohaline mechanism that may partly determine the GS path over both annual
178 and inter-annual time scales.

179 In work we present below, we examine long-term secular changes in the position of the GS
180 “north wall” (GSNW) along with its IAV from 55° to 70° W longitude using satellite altimeter-
181 derived data from 1993-2013 and compare our results with another published GSNW position
182 index. We also examine long-term secular changes and IAV of upper layer LC transport and LC-
183 related sea height variability at the shelf break in the western Labrador Sea and Grand Banks
184 region for multi-year periods also using satellite altimeter data (following Han and Wang, 2006).
185 Lastly, we compare the secular and inter-annual changes of LC transport with noted changes for
186 the GSNW in both the time and frequency domains to test the thermohaline “overflow”
187 hypothesis described above.

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189

189 **Data and Methods:**

190 Merged satellite altimeter data were obtained from the Archiving Validation and
 191 Interpretation of Satellite Oceanographic (AVISO) data center (<http://www.aviso.altimetry.fr>) for
 192 the 21-year (1993-2013) period of record for this study. Mapped AVISO satellite altimeter data
 193 (daily, $\frac{1}{4}^\circ \times \frac{1}{4}^\circ$) were used to compute the annual mean position of the GSNW using the 50-cm
 194 sea surface height anomaly contour measured along four longitude lines (**Fig. 1**) including 55° ,
 195 60° , 65° , and 70° W (Gangopadhyay *et al.*, 2016). Gridded SSH data have been used here
 196 following Perez-Hernandez and Joyce (2014) and Gangopadhyay *et al.* (2016) to understand
 197 IAV of the GS path, because the latitudinal excursion of the GS between 75° and 55° W ranges
 198 between 100-300 km which is significantly larger than the error in averaging the fields from the
 199 $\frac{1}{4}^\circ$ resolution altimeter values. We compare our method to Taylor and Stephens (1998), who
 200 employed sea surface temperature (SST) oceanographic charts to detect the GSNW at six
 201 longitudes from 79° to 65° W and then computed a principal component Taylor-Stephens index
 202 (TSI) across all six longitudes for the 1966-2012 period. We note our current analysis extends
 203 farther to the east than Taylor and Stephens (1998) but not as far to the west. Other work by
 204 Joyce *et al.* (2000) used the location of the 15° C isotherm at 200-m depth to determine their GS
 205 path index from 75° to 50° W for the 1954-1989 period, thus bracketing our study longitudes. A
 206 recent study by Perez-Hernandez and Joyce (2014) used altimeter-derived monthly sea level
 207 anomalies determined along 16 points between 72° to 52° W to examine GS path changes.

208 Along-track AVISO satellite altimeter data were used to estimate annual mean LC
 209 transport across four outer-shelf altimeter track segments surrounding the Grand Banks (**Fig. 1**)
 210 after addition of model mean values computed using linear finite element solutions that excluded
 211 the Ekman surface current as described by Han and Wang (2006). The four segments cross the
 212 LC at nearly perpendicular angles (Han, 2006; Han *et al.*, 2014): track 191NE in the
 213 southwestern Labrador Sea, track 048 along the southeastern Grand Banks, track 226 at the
 214 TGB, and track 191SW along the southwestern Grand Banks near 52° W ([http://www.meds-](http://www.medsdmm.dfo-mpo.gc.ca/isdm-gdsi/azmp-pmza/climat/labrador/transport-eng.htm)
 215 [sdmm.dfo-mpo.gc.ca/isdm-gdsi/azmp-pmza/climat/labrador/transport-eng.htm](http://www.medsdmm.dfo-mpo.gc.ca/isdm-gdsi/azmp-pmza/climat/labrador/transport-eng.htm)). The lengths of
 216 the altimeter sections were based upon the width of the mean LC at each location (Han, 2006). A
 217 fifth altimeter track segment, track 250, extends northeastward across Hamilton Bank (**Figs. 1**)
 218 and was used to compute a separate LC index upstream of the Grand Banks from sea height
 219 differences measured along-track between 54° N and 56.5° N. All along-track 250 passes were
 220 annually averaged for each year to compute the LC sea height index for the 1995-2010 time
 221 period. In summary, satellite altimeter data were used to examine the GSNW position, LC
 222 transport, and LC sea height changes for this study.

223 Spectral analyses of the relatively short, 21-year (1993-2013) GSNW and LC time series
 224 required use of an autoregressive (AR) modeling technique (Gangopadhyay *et al.*, 2016). The
 225 AR spectral method has been shown to provide superior performance for short time series
 226 (Gangopadhyay *et al.*, 1989). Each time series had the mean and trend subtracted, and
 227 normalized by their respective standard deviation prior to analysis using a sixth-order AR model;
 228 the order was chosen based on our experience of similar length time-series analyses
 229 (Gangopadhyay *et al.*, 1989 (see Case study V, Fig. 5) ; Gangopadhyay *et al.*, 2016). The
 230 confidence interval for spectral estimates by the AR methodology is based on approximate
 231 statistics (Kay, 1988) and remains constant across each spectrum because of the form of
 232 variance.

233

234

234 **Results:**

235 *Gulf Stream North Wall (GSNW) Analysis*

236 Each of the four GSNW annual mean position time series for the 1993-2013 period of
 237 record is shown along with its corresponding linear trend (Fig. 2). IAV of the GSNW position
 238 decreases westward from 55° to 70° W, along with the magnitude of the linear trend fitted to
 239 the data at each of the four longitudes that were analyzed (Table 1). A maximum linear
 240 southward secular trend of $-0.10^{\circ} \text{ y}^{-1}$ or -11.08 km y^{-1} was measured at 55° W, decreasing
 241 westward to a small but insignificant trend at 70° W. While only the GSNW time series trend
 242 from 55°W (Fig. 2) displays a statistical significance of better than 95%, the significance at 60°
 243 and 65° W are just slightly lower at approximately 88%. Therefore, the tendency for both IAV
 244 and the magnitude of the computed (negative) trends to decrease rapidly in a westward
 245 direction is readily apparent. Thus, the computed secular trends signal a consistent southward
 246 movement of the GSNW between 55° and 65° W over the 21-year (1993-2013) period of
 247 record. We note again the lack of any trend of the GSNW at 70° W (Fig. 2), in agreement with
 248 Rossby *et al.*, (2014) who found an insignificant long-term decrease in GS layer transport near
 249 70° W along the *M/V Oleander* transect for a nearly identical 21-year period (1992-2012).
 250 However, additional analysis of the same data show weakening of the flux along the entire *M/V*
 251 *Oleander* transect in agreement with a weakening AMOC (Ezer, 2015). The co-variation of
 252 high (low) transport and northward (southward) position of the GS eastward of Cape Hatteras
 253 is supported by earlier modeling work by Chaudhuri *et al.* (2011).

254 Subtraction of the secular trend of GSNW movement for each of the time series located
 255 at 55°, 60°, 65°, and 70° W, results in time series of IAV of GSNW position residuals at each
 256 longitude. Comparisons between GSNW residuals (Fig. 3a, Table 1) show that the largest
 257 values consistently occur along eastern-most longitudes at 55° W (rms 1.13°) and at 60° W
 258 (rms 0.60°) with much-reduced values along western-most longitudes at 65° W (rms 0.31°) and
 259 70° W (rms 0.24°). Furthermore, GSNW residuals at all four longitudes clearly show shorter
 260 period fluctuations and appear out-of-phase prior to 2003, with longer period fluctuations
 261 beginning during 2003 that appear largely in-phase across all four longitudes (Fig. 3a).
 262 Corresponding AR power spectra for GSNW residual time series at 55°, 60°, and 65° W
 263 clearly show both the shorter period (~ 2.5 year) and longer period (~ 5 year) fluctuation peaks
 264 (Fig. 3b) readily apparent in the time series. GSNW power spectra at 65° and 70° W show
 265 additional longer period peaks at ~ 10 years and ~ 7 years, respectively, with both an absence of
 266 the ~ 5 year peak and a shift of the shorter period peak to near ~ 3.5 years at 70° W (Fig. 3b).

267
 268 *Labrador Current (LC) Analysis*

269 Annual mean along-stream LC transport time series for the 1993-2013 period of record
 270 measured across two outer-shelf altimeter track segments show highly significant decreasing
 271 linear trends of about -0.5 Sv per decade north of Flemish Pass (191NE) and along the eastern
 272 flank of the Grand Banks (048) (Fig. 4, Table 1). Further downstream, the trend of LC transport
 273 time series along track segment 226 near the TGB, while still decreasing (-0.2 Sv per decade), is
 274 not highly significant. However, the trend of LC transport time series for segment 191SW near
 275 52° W along the southwestern flank of the Grand Banks increases at about $+0.4 \text{ Sv}$ per decade
 276 with somewhat higher significance (Fig. 4, Table 1).

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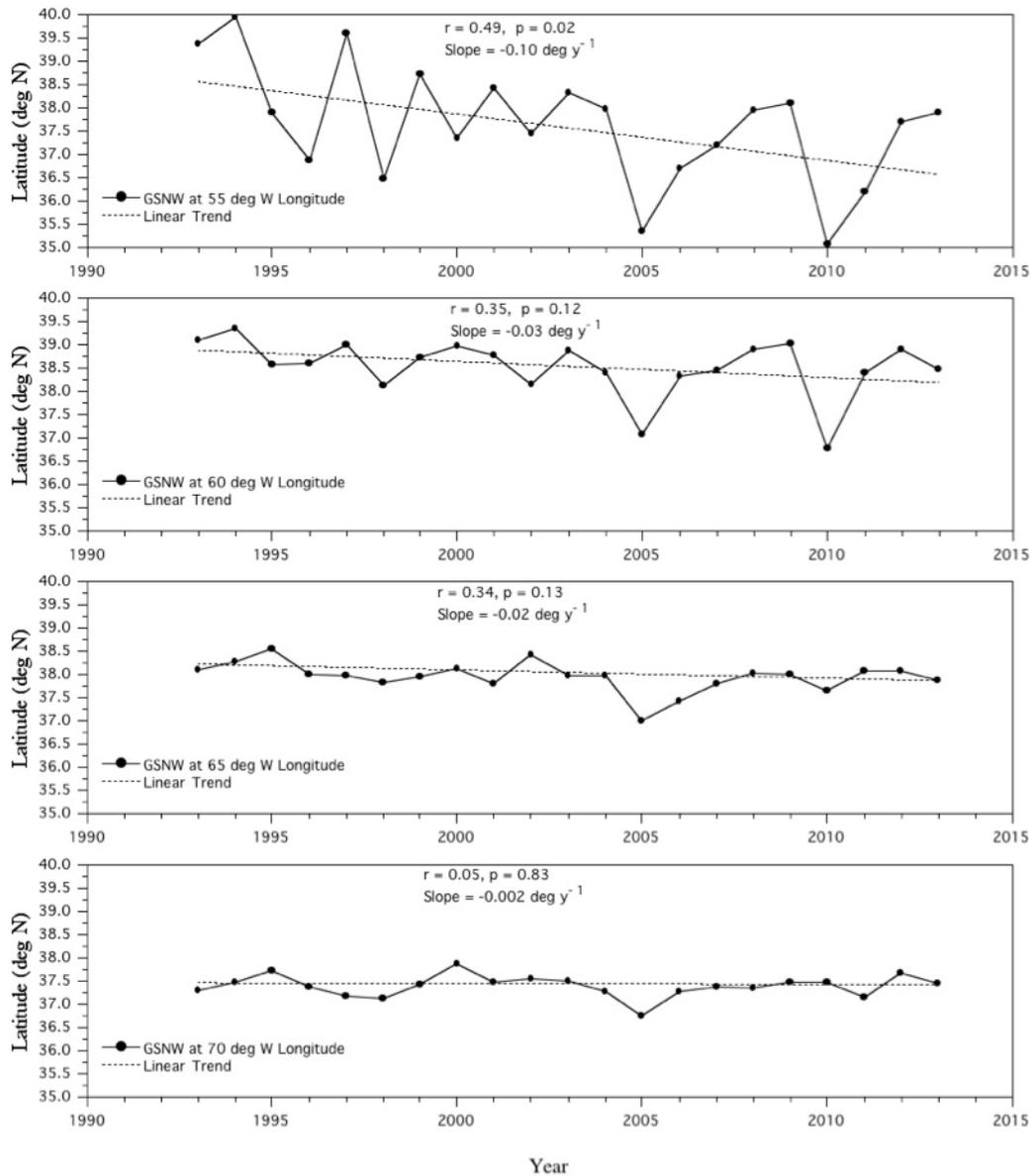


Figure 2. Annual mean latitude of the GSNW position computed along 55°, 60°, 65°, and 70° W from AVISO mapped altimeter data from 1993-2013, along with computed linear trends.

After removal of linear trends, IAV of LC transport residuals (Fig. 3a, Table 1) is large along downstream segments 226 near the TGB (rms 1.02 Sv), and 191SW near 52° W along the southwestern Grand Banks (rms 1.05 Sv). IAV is much reduced further upstream along segments 048 (rms 0.41 Sv) and 191NE (rms 0.29 Sv) along the eastern Grand Banks and north of Flemish Pass, respectively (Fig. 3a, Table 1). In addition, reduced-magnitude LC transport residuals along upstream segments 191NE and 048 appear to be in phase over most of the 21-year period of record, while the much larger residuals along downstream segments 226 and 191SW appear to be largely out-of-phase for the same period (Fig. 3a).

Table 1. Statistics of GSNW and LC Secular Trends and Inter-Annual ResidualsSignificant correlation values ($p \leq 0.05$) are shown in bold.

N = 21 for all statistics shown.

GSNW Longitude	Y-intercept ($^{\circ}$ N), Slope ($^{\circ}$ Lat y^{-1}) Residual rms ($^{\circ}$ Lat)	r (p-value)
55 $^{\circ}$ W	38.16, -0.10 1.13	-0.49 (0.02)
60 $^{\circ}$ W	38.87, -0.03 0.60	-0.35 (0.12)
65 $^{\circ}$ W	38.22, -0.02 0.31	-0.34 (0.13)
70 $^{\circ}$ W	37.45, 0.00 0.24	-0.05 (0.83)
LC Altimeter Segment	Y-intercept (Sv), Slope (Sv y^{-1}) Residual rms (Sv)	r (p-value)
191NE	6.55, -0.05 0.29	-0.74 (0.001)
048	2.89, -0.05 0.41	-0.65 (0.001)
226	1.80, -0.02 1.02	-0.10 (0.65)
191SW	0.64, 0.04 1.05	+0.22 (0.35)

Corresponding AR power spectra of LC transport residuals along upstream altimeter track segment 191NE, just north of Flemish pass, and downstream segments 226 at the TGB and 191SW near 52 $^{\circ}$ W on the southwestern flank of the Grand Banks show both shorter period (~ 3 year) and longer period ($\sim 6-8$ years) peaks (Fig. 3b). Upstream segment 048 located along the

370 eastern flank of the Grand Banks only shows the longer period peak (~8 years), with no evidence
 371 of the shorter period (~3 year) peak (Fig. 3b). Three of the eastern GSNW locations (65°W,
 372 60°W and 55° W) peak at 5 years, while the southward flowing LC transport (across 191NE)
 373 shows a distinct 6-year peak (Fig. 3b). A number of GS and LC locations show peaks in the 2-to-
 374 3-year range. While these are individually significant, a coherence analysis could not be
 375 performed due to lack of degrees of freedom for the cross spectra for these short time-series.
 376 Note that these two periods (2-3 years, 5-6 years) also coincide with the characteristic periods for
 377 the atmospheric NAO forcing described by Gangopadhyay *et al.* (2016).

378

379 **Discussion:**

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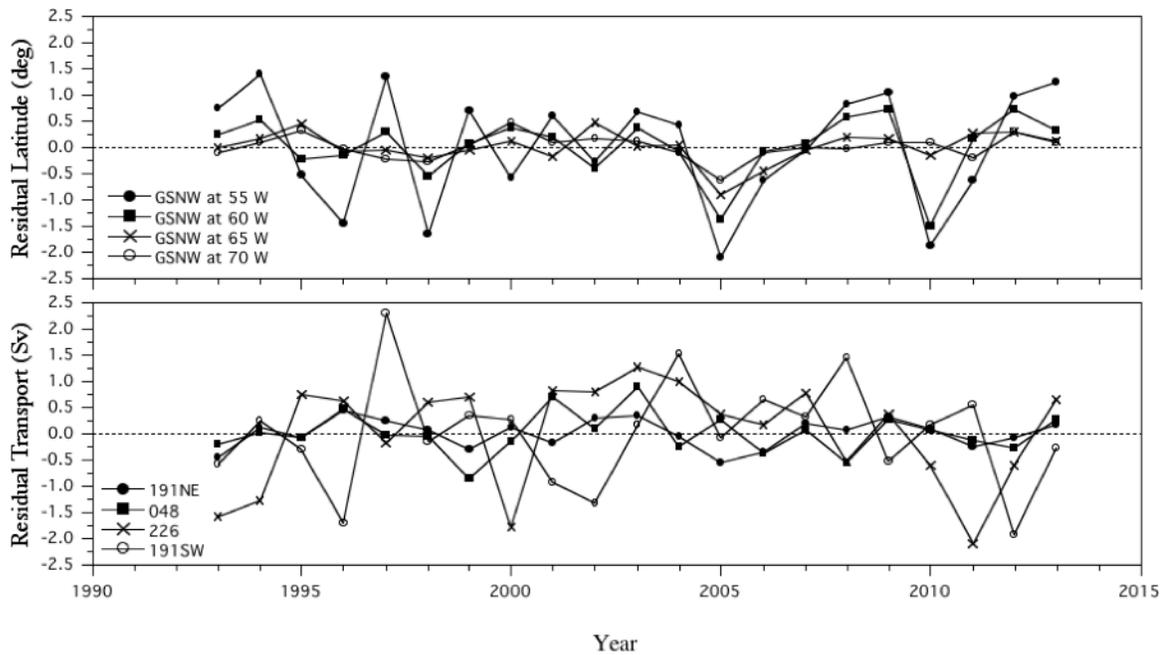
381 *Combined Gulf Stream North Wall (GSNW) and Labrador Current (LC) Analysis*

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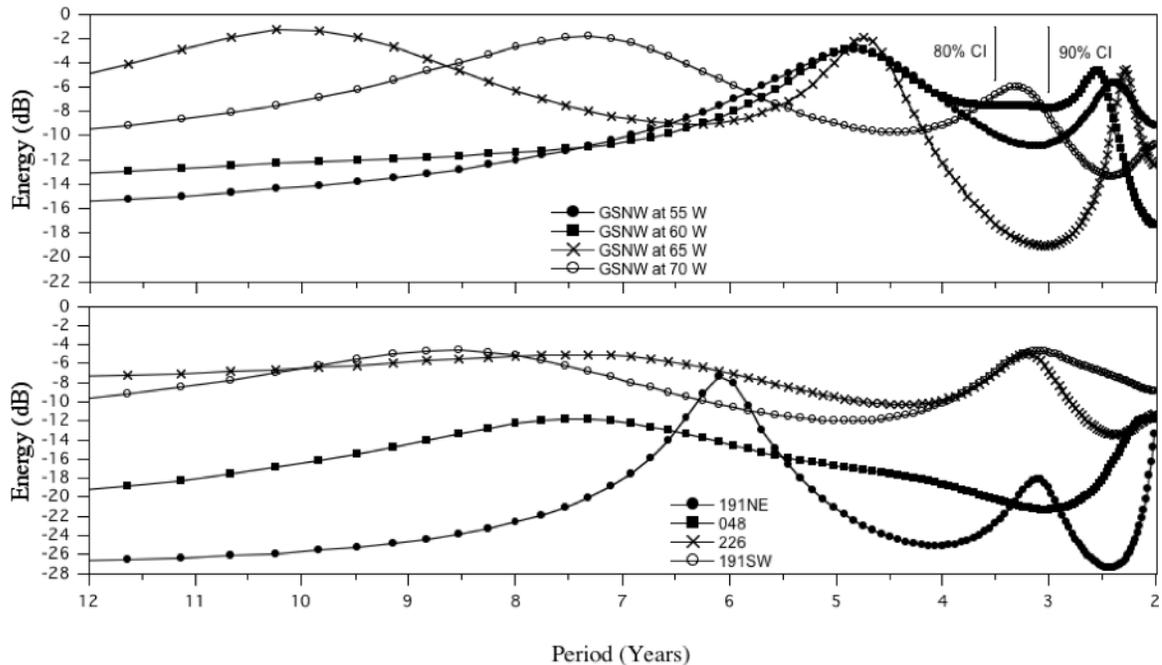
383 Inter-comparison of our GSNW position and LC transport time series allows the covariance
 384 of secular trends and IAV of the two western boundary currents to be examined in both the time
 385 and frequency domains. Subsequently, we also expand on a simplified test of the thermohaline
 386 “overflow” hypothesis described above using some basic assumptions and a simplified volume
 387 calculation methodology (Rossby, 1999; Rossby and Benway, 2000).

388 GSNW results from this study show a consistent long-term southward-directed secular
 389 trend for the GSNW at all four study longitudes that decreases westward from 55° to 70° W with
 390 a maximum (minimum) southward secular movement of approximately 220 km (4 km) at 55° W
 391 (70° W) for the 21-year (1993-2013) study period. However, although our results are in
 392 agreement with the southward shift reported by Ezer *et al.* (2013) between 70° and 74° W, they
 393 observed a northward shift between 68° and 70° W, showing that long-term shifts of the GS can
 394 be complex. Nevertheless, the large east-to-west difference in the secular movement of the
 395 GSNW over the two decades of this study suggests a mechanism that is maximal in the eastern
 396 portion of our study domain (near 55° W) such as southward LC transport. Other mechanisms,
 397 e.g., changes over the sub-tropical gyre may be important farther to the west (See Fig. 4 in Ezer,
 398 2015). Interestingly, studies have shown that annual shifts of the GSNW are also maximal (~70
 399 km) farthest east, near 63° W (secondary maximum of 30 km near 67° W) but are much smaller
 400 west of 70° W, using eight years of satellite-derived infrared SST imagery (Lee and Cornillon,
 401 1995). In a recent study, Perez-Hernandez and Joyce (2014) show from monthly sea level
 402 anomalies that the leading mode of IAV of GS position are meridional shifts of approximately
 403 100 km using their 16-point index, with higher modes representing GS meandering that vary
 404 with GS strength (Kelly *et al.*, 2010). Their typical meridional shift of 100 km compares
 405 favorably with our mean RMS value of approximately 63 km computed as the average of the
 406 four RMS values shown in Table 1 after conversion to kilometers. Comparison of their highly-
 407 resolved monthly GS position time series with our annual mean GSNW position residuals shows
 408 some agreement, with extreme northward (southward) excursions during 1994-1995, 2000,
 409 2006-2008, 2012 (1996, 1998, 2005, 2010). Similarly, GSNW path variability from this analysis
 410 at 65° and 70° W (Figs. 2 & 3a top panel) also shows agreement with the GS position determined
 411 along the *M/V Oleander* line as shown in Figure 3c by Ezer (2015). In addition, extreme
 412 southward GS excursions shown for 2005 and 2010 (Fig. 2 & 3a top panel) also coincide with
 413 extreme negative NAO states for those years, with 2010 corresponding to the period of weak
 414 AMOC noted in other studies (McCarthy *et al.*, 2012; Ezer, 2015; Goddard *et al.*, 2015)

415



433 Figure 3a. (Top Panel) Annual mean GSNW position residuals along 55°, 60°, 65°, and 70° W
 434 from AVISO mapped altimeter data from 1993-2013, after subtraction of computed linear trends.
 435 (Bottom Panel) Annual mean Labrador Current transport residuals computed along outer-shelf
 436 altimeter track segments 191NE, 048, 226, and 191SW from AVISO along-track altimeter data
 437 from 1993-2013, after subtraction of linear trends.



457 Figure 3b. (Top Panel) Normalized AR power spectra (order 6) of GSNW position residual time
 458 series from 55°, 60°, 65°, and 70° W in Fig. 3a above. (Bottom Panel) Normalized AR power
 459 spectra (order 6) of Labrador Current transport residual time series shown for altimeter track
 460 segments (191NE, 048, 226, and 191SW) in Fig. 3a above. Also shown are the 80% and 90%
 461 spectral confidence intervals (CI).

462 A recent study shows that during the last decade, SST in the Gulf of Maine (GOM) has
463 increased at a rate faster than 99% of the global oceans (Pershing *et al.*, 2015) and partially
464 attributes this warming to a northward excursion of the GS, along with changes in both the
465 Atlantic Multi-decadal Oscillation and Pacific Decadal Oscillation. Furthermore, they suggest
466 that such changes may be partly responsible for the collapse of the cod fishery in New England
467 waters, including the GOM. However, as shown in our analysis, the GSNW displays a clear
468 southward trend over the past two decades at 55°, 60°, and 65° W, although displaying recent
469 (2012-2013) large northward-directed residuals following the extreme southward GSNW
470 position residuals for 2010 (Figs. 2 & 3a top panel). Specifically, while the long-term (21 years)
471 southward trend at 70°W is not significant; it is significant at 85% or more at both 65° and
472 60°W. From 2005-2013 (period of study by Pershing *et al.* (2015)) our analysis does show a
473 small northward trend in the GSNW at 70°W and 65°W, due largely to the extreme southward
474 excursion of 2005, small northward shift during 2006-2009, southward movement for 2010
475 (65°W) or 2011 (70°W), followed by northward movement for 2012 (Fig. 2). In contrast, the
476 eastern GS region at 60° and 55°W exhibits a clear periodicity of five years added to the
477 significant long-term southward trend-line from 2005-2013 (Fig. 2). In any case, shelf SST north
478 of Cape Hatteras, including the GOM, is warming at between 1.8-2.5 times faster than regional
479 atmospheric trends and is thus similar to atmospheric trends over Labrador and the Arctic,
480 supporting advection from the north (Shearman and Lentz, 2010), with noted sub-surface
481 warming as well along the *M/V Oleander* expendable bathythermograph transect between 1977-
482 2013 (Forsyth *et al.*, 2015).

483 An examination of LC transport trends for the same 21-year (1993-2013) study period
484 shows that they differ markedly from north-to-south along segments 191NE, 048, 226 and
485 191SW, with trends that transition from strongly negative in the north (191NE and 048) to
486 positive in the south (191SW) on the southwestern flank of the Grand Banks in agreement with
487 an analysis of data from some of the same altimeter sections (Han *et al.*, 2014). Both our long-
488 term secular analysis and the earlier trend analysis by Han *et al.*, (2014) show that the LC
489 transport off the northeastern Newfoundland slope is out-of-phase with that over the Scotian
490 slope for nearly identical ~20-year periods of record. In addition, the increasing trend computed
491 for segment 191SW near 52° W from our study (Fig. 4, Table 1) agrees with LC transport from
492 segment 176 near 61° W off the central Scotian shelf (not shown) reported by Han *et al.*, (2014).
493 Furthermore, results from Han *et al.*, (2014) also show that LC transport over the Newfoundland
494 slope (Scotian slope) is positively (negatively) correlated with the winter North Atlantic
495 Oscillation (NAO) index for inter-annual through decadal time scales, with the Grand Banks
496 being a region of transition.

497 A shelf-wide near-surface salinity analysis (Bisagni, 2016) shows that large inter-annual
498 anomalies are ubiquitous along the entire eastern seaboard of both the United States' and
499 Canada's continental shelf, with strong variability located west of the TGB between 1973-2013.
500 The same analysis shows near-surface salinity anomaly magnitudes increasing steadily from the
501 Eastern Scotian Shelf to the DelMarVa/Hatteras shelf over a distance of ~1400 km and are
502 synchronous (coherent at 0-year lag). These observations suggest that an along-shelf, wind-
503 modulated, flux-variation model (Sundby and Drinkwater, 2007; Li *et al.*, 2014), i.e., a varying
504 flux across the mean along-shelf salinity gradient, as the most likely mechanism (Bisagni, 2016).
505 In addition, *M/V Oleander* SSS data across the Middle Atlantic Bight region from New Jersey to
506 Bermuda over a 21-year period (1978-1998) show synchronous salinity anomalies extending
507 across both the shelf and Slope Sea regions (Rossby and Benway, 2000) also supporting large-
508

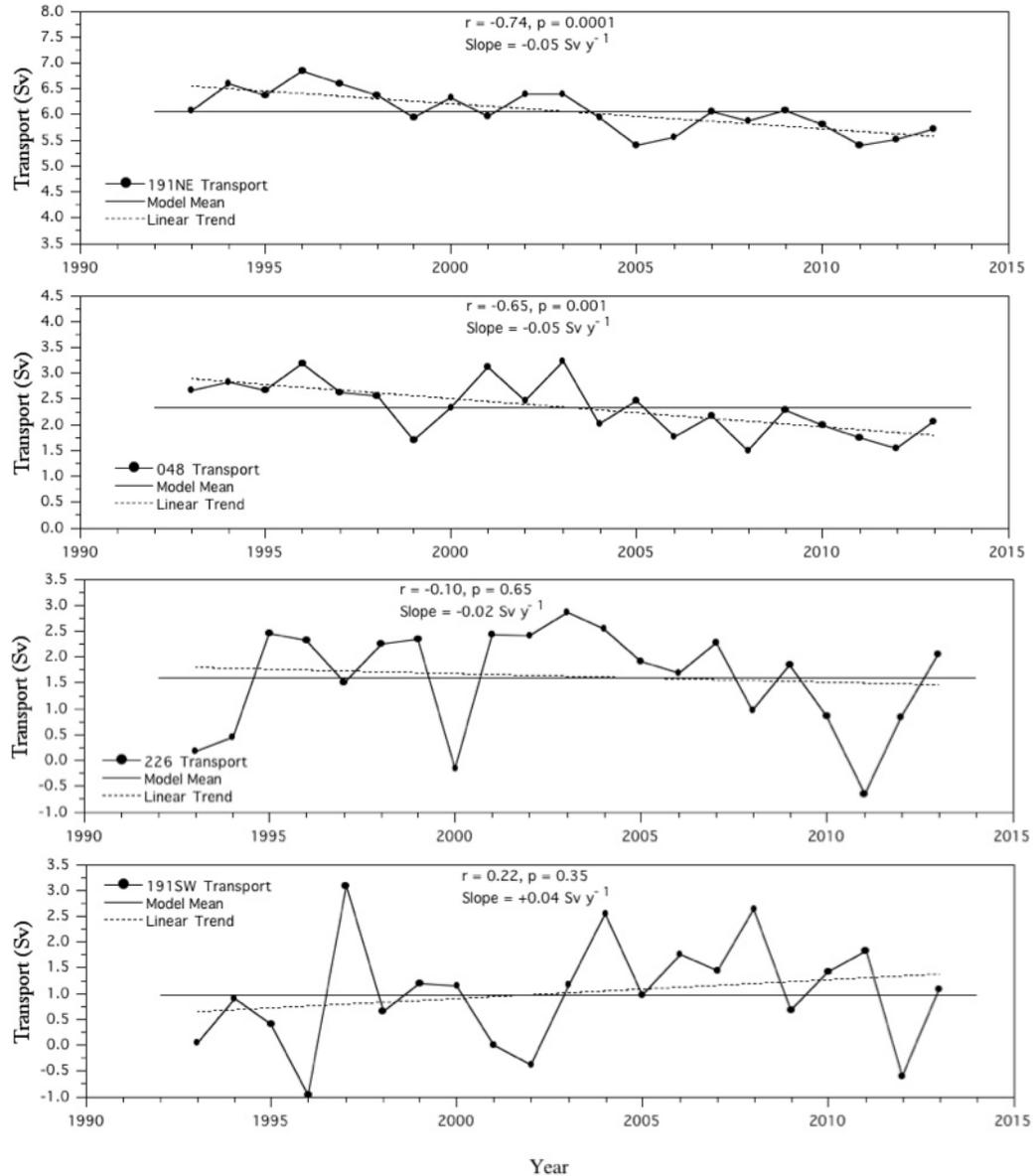


Figure 4. Annual mean Labrador Current transport computed across four outer-shelf altimeter track segments (191NE, 048, 226, and 191SW) shown in Figure 1 from AVISO along-track altimeter data from 1993-2013, along with the regression model mean across each segment, and computed linear trends. Positive (+) values signify equator-ward transport.

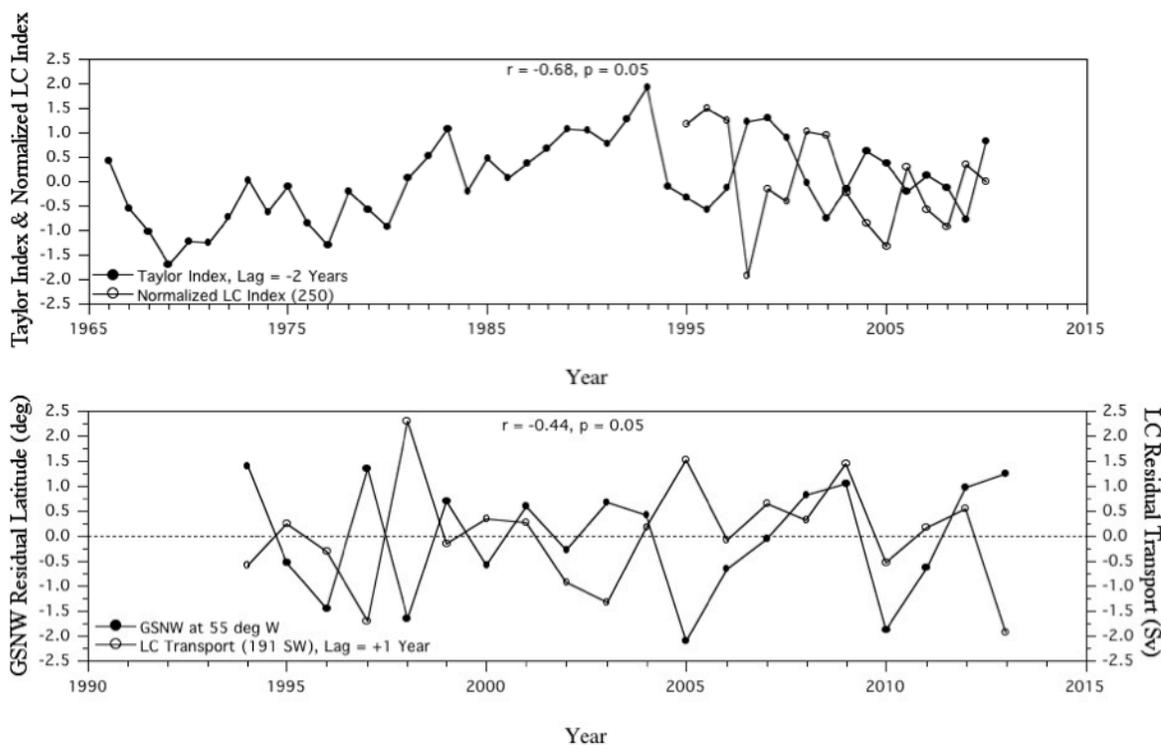
scale flux and temperature variations that may extend into the Slope Sea as discussed for slope water velocity anomalies (Peña-Molino and Joyce, 2008).

IAV of LC transport at the shelf break along segment 191SW near 52° W from this study is large (Fig. 4), with a strong negative (positive) transport anomaly corresponding to strong positive (negative) salinity anomalies as shown by other studies for 1996 and 1997, (Rossby and Benway, 2000; Flagg *et al.*, 2006; Bisagni, 2016). Sea surface height along ground track segment 250 is chosen as representative of upstream LC transport within the Labrador Sea. This new upstream LC index is constructed along segment 250 between 54° and 56.5° N, using both ERS-

587 2 and ENVISAT satellite missions, with the general structure of the SSH along this segment
 588 being high to low SSH going in the offshore direction (towards higher latitudes), consistent with
 589 the Labrador Current flow at this location (Fig. 1). The LC index is computed from the height
 590 difference between 54° N and 56.5° N from each 250 pass and then annually averaged for the
 591 1995 to 2010 time period.

592 Results from a comparison between annual TSI values with the more distal upstream
 593 segment 250 LC index within the Labrador Sea from 1995-2010 show the “broad scale” TSI of
 594 GS position to be highly correlated with the LC index ($r=-0.68$, significant at 95% level), with
 595 the LC index leading the TSI by 2 years (Fig. 5, top panel). More specifically, the LC index
 596 shows high values for 1996, 2001, 2006, and 2009 followed two years later by low TSI values;
 597 signifying that increases in our LC index along segment 250 are followed two years later by
 598 southward shifts in GS position. Similarly, lower values of our LC index observed for 1998,
 599 2005, and 2008 are followed two years later by northward shifts in GS position (as represented
 600 by the TSI). The anomalously high LC index for 1996, high transport to the south, has been
 601 previously described as the strong LC ‘pulse’ in previous studies (e.g., Han, 2006). Farther to the
 602 south and near the TGB, comparison of the GSNW position residuals at 55° W with the
 603 downstream LC transport residuals along segment 191SW near 52° W at 0-year lag (not shown)
 604 does not show a significant relationship between the two signals ($r = 0.18$, $p = 0.43$). However,
 605 when the LC residual signal is lagged by +1 year (Fig. 5, lower panel), a significant negative
 606 correlation results ($r = -0.44$, $p = 0.05$), meaning that the previous year’s LC transport residual
 607 near 52° W is related to the current year’s GSNW position residual at 55° W, similar to the
 608 maximum 12 month (1-year) lag reported by Peña-Molino and Joyce (2008) for the relationship
 609 between cooler (warmer) slope water SST anomalies and more-southerly (northerly) shifts of the
 610 GS. A 1-year delay for the effect of changes in annual mean LC transport near 52° W on the
 611 GSNW position at 55° W does not seem unreasonable given the 2-year delay we find between
 612 the more-distal upstream segment 250 LC index and the broad scale TSI. This 1-year delay is in
 613 agreement with the 1-year delay reported earlier related to the Icelandic Low (Sanchez-Franks *et*
 614 *al.*, 2016). Lastly, a significant correlation ($r = 0.48$, $p \leq 0.05$) was computed between the nearly
 615 contiguous *M/V Oleander*-derived southward-directed slope current (a LC extension)
 616 fluctuations and GS position values at 0-year lag for 1993-2012 (Ezer, 2015), and supports our
 617 correlation results near 52° W after consideration of the sign conventions used for each analysis.

618 A recent study by Gangopadhyay *et al.*, (2016) has shown that the GS has behaved
 619 differently along its path from 75° W to 55° W over the last four decades. Specifically, the
 620 GSNW latitudinal excursion variability west of 60° W, exhibits a dominant time scale of 8-10
 621 years, while eastward from 65° W, a 4-5 year time scale was also present, with a 2.5-3.5 year
 622 time scale being present at all four longitudes from this study (Figs. 3a and 3b). The 8-10 year
 623 time scale present from 70° W to 65° W is clearly related to the NAO signal as shown by other
 624 investigators (Cook *et al.*, 1998; Wunsch, 1999) and also GS intensity and coastal sea level (Ezer
 625 *et al.*, 2013). We can also speculate further that both the 4-5 year and the 2.5-3.5 year IAV may
 626 also be related to the NAO due to possible interactions between the NAO and the LC as
 627 described by Han *et al.* (2014), caused by variations in the strength and location of the Icelandic
 628 Low for a lag of +1 year (Sanchez-Franks *et al.*, 2016). The co-plot of the GSNW residual time
 629 series at 55° W with the LC residual time series along segment 191SW near 52° W does show a
 630 significant relationship between the two signals when the LC residual signal is lagged by +1
 631 year, i.e., changes in the LC transport near 52° W lead the GSNW position changes by one year
 632 (Fig. 5, lower panel).



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664 Figure 5 (Top Panel) Annual mean TSI (-2-year lag) along with annual mean LC index for pass
 665 250 in the Labrador Sea from Fig. 1. Correlation coefficient and p-value ($n = 16$) for TSI at -2-
 666 year lag. Note that the -2-year lag applied to the TSI as shown above is equivalent to a +2-year
 667 lag applied to the LC index as described in the text. (Bottom Panel) Annual mean GSNW
 668 position residuals along 55° W along with annual mean LC transport residuals (+1-year lag)
 669 computed along segment 191SW near 52° W. Correlation coefficient and p-value ($n = 20$) for
 670 LC at +1-year lag.

671

672 *A Possible Model*

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674 A volume flux calculation by Rossby (1999) was used to estimate the annual movement of
 675 the GSNW along the southern boundary of the Slope Sea due to a hypothesized annual baroclinic
 676 transport cycle of 0.5 Sv across the shelf-slope front, i.e., a “spilling” of less-saline waters into
 677 the Slope Sea from the shelf. Assuming no change in the depth of the Slope Sea upper layer (200
 678 m), the volume flux calculation resulted in an annual GS movement of 42 km, a number that is
 679 midway between the two maximum annual values reported using satellite-derived SST data by
 680 Lee and Cornillon (1995). Alternatively, the annual baroclinic transport could also have been
 681 accommodated by an increase in Slope Sea upper layer depth of 29 m. Rossby (1999) further
 682 describes how both the annual cycle in the position of the GS, i.e., north during fall and south
 683 during spring (Iselin, 1940; Watts, 1983) and the 8 Sv annual cycle in GS transport (maximal
 684 during early summer as reported by Sato and Rossby (1995)) may be related to the June timing
 685 of the maximum difference in the Fofonoff potential energy anomaly (PEA) values. Rossby’s
 686 hypothesis is based upon changes in layer depth across the GS measured from the Slope Sea to
 687 the Sargasso Sea resulting in the annual GS cycles described above (Sato and Rossby, 1995).

688 We extended the volume flux calculation methodology described by Rossby (1999),
689 assuming a simplified length and depth (2000 km × 200 m) for the Slope Sea located north of the
690 GS. Integrating the calculated positive long-term trend of LC transport into the Slope Sea at 52°
691 W (segment 191SW) over the 1993-2013 period (Table 1), we can account for 68.4% (0.53°
692 latitude) of the mean southward-directed secular shift of the GSNW (0.77° latitude) averaged
693 across all four GSNW longitudes used herein. A second volume flux calculation using the
694 measured inter-annual LC rms residual of +1.04 Sv at 52° W integrated over one year results in a
695 corresponding southward residual for the GSNW of 79 km, or 63% of the observed 125.6 km
696 (1.13°) GSNW rms residual at 55° W. We speculate that the remaining 37% of the unexplained
697 GSNW rms residual may result from IAV of shelf water volume (Mountain, 2003) and position
698 of the shelf-slope front separating shelf and slope waters (Bisagni *et al.*, 2009).

699 In summary, our secular and inter-annual volume flux calculations using measured LC
700 transport numbers, although crude, result in plausible secular and inter-annual GSNW fluctuation
701 magnitudes. This level of agreement supports direct interaction between the upper layers of the
702 sub-polar and sub-tropical gyres within the North Atlantic over secular and inter-annual time
703 scales as suggested by Rossby (1999) and Rossby and Benway (2000). However, the proposed
704 simple volume flux mechanism, although plausible, should be compared with future long-term
705 analyses of computed Fofonoff PEA values in both the Slope Sea and Sargasso Sea as computed
706 by Sato and Rossby (1995) in their dynamical analysis. While the secular and inter-annual time
707 scales of GSNW variability are most likely due to NAO modulation of the North Atlantic
708 circulation including LC transport as suggested by Marshall *et al.*, (2001), this study shows that
709 additional research is needed to confirm the actual dynamical mechanism related to gyre
710 interactions. Important needs are much longer records of GSNW positions and LC transports to
711 determine if the southward secular trend of the GSNW observed from 1993-2013 will continue
712 and if these changes are related to variations in LC transport from the north.

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733 **Summary and Conclusions:**

734 Recent work has shown that changes in the GS position after its separation from the coast
 735 at Cape Hatteras may be a key to the understanding of changes in the AMOC, sea level
 736 variability and coastal flooding along the eastern seaboard of North America, and recently
 737 observed changes in coastal and offshore ecosystems. In this study we compared secular change
 738 and IAV of the GSNW position between 55° and 70° W with equator-ward LC transport along
 739 the southwestern Grand Banks near 52° W and a LC index in the western Labrador Sea using
 740 approximately two decades of satellite altimeter data.

- 741 1) Results at 55°, 60°, and 65° W show a significant southward (negative) secular trend for the
 742 GSNW, decreasing to a small but insignificant southward trend at 70° W, with IAV of de-
 743 trended GSNW position residuals also decreasing to the west, but largely in phase, especially
 744 from 2003-2013.
- 745 2) The long-term secular trend of annual mean upper layer (200 m) LC transport near 52° W is
 746 positive with a transition to negative trends near the Tail of the Grand Banks (TGB) and into
 747 the Labrador Sea along the eastern Grand Banks in agreement with previous work.
- 748 3) Secular changes we report for the GSNW and LC have occurred during the time of a
 749 weakening AMOC over the past decade and that both past and ongoing AMOC monitoring
 750 efforts (RAPID and OSNAP) will continue to provide a more complete picture of the total
 751 AMOC over time.
- 752 4) IAV of the Taylor-Stephens Index (TSI) computed from the first principal component of the
 753 GSNW position measured from 79° to 65° W shows a significant relationship with IAV of
 754 our LC Index computed along altimeter ground track 250 located across Hamilton Bank
 755 (north of the Grand Banks) in the western Labrador Sea from 1995-2010. Increased
 756 (decreased) sea height differences along altimeter ground track 250 are significantly
 757 correlated ($r = -0.68$, $p = 0.05$) with a more southward (northward) TSI two years later (a LC
 758 index lag of +2-years).
- 759 5) IAV of LC transport residuals near 52° W along the southwestern Grand Banks are
 760 significantly correlated at a lag of +1-year ($r = -0.44$, $p = 0.05$) with IAV of GSNW position
 761 residuals at 55° W, with positive (negative) LC transport residuals corresponding to
 762 southward (northward) GSNW positions, i.e., changes in the LC transport lead the GSNW
 763 position changes by one year.
- 764 6) Spectral analysis of IAV reveals corresponding spectral peaks at 5-7 years and 2-3 years for
 765 the North Atlantic Oscillation (NAO), GSNW (70°-55°W) and LC transport near 52° W for
 766 the 1993-2013 period suggesting a connection between these phenomena.
- 767 7) An upper-layer (200 m) slope water volume calculation using the LC IAV rms residual of
 768 +1.04 Sv near 52° W results in an estimated GSNW IAV position residual of 79 km, or 63%
 769 of the observed 125.6 km (1.13°) rms value at 55° W.
- 770 8) A similar upper-layer slope water volume calculation using the positive long-term, upper-
 771 layer LC transport trend accounts for 68% of the mean observed secular southward shift of
 772 the GSNW between 55° and 70°W over the 1993-2013 period.
- 773 9) Our work provides additional observational evidence supporting interactions between the
 774 upper layers of the sub-polar and sub-tropical gyres within the North Atlantic over both
 775 secular and inter-annual time scales as suggested in previous studies. This interaction may be
 776 in addition to and a direct result of wind-forcing supplied by changes in the NAO over the
 777 entire North Atlantic Ocean as described by others (Marshall *et al.*, 2001; Chaudhuri *et al.*,
 778 2011).
- 779

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780

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