# An examination of the superplastic characteristics of Al-Mg-Sc alloys after processing

Pedro H.R. Pereira<sup>1</sup>, Yi Huang<sup>1</sup>, Megumi Kawasaki<sup>2,3</sup>, Terence G. Langdon<sup>1,3\*</sup>

 <sup>1</sup> Materials Research Group, Faculty of Engineering and the Environment, University of Southampton, Southampton SO17 1BJ, U.K.
<sup>2</sup> Division of Materials Science & Engineering, Hanyang University, Seoul 133-791, South Korea
<sup>3</sup> Departments of Aerospace & Mechanical Engineering and Materials Science, University of Southern California, Los Angeles, CA 90089-1453, U.S.A.

## Abstract:

The Al-Mg-Sc alloys have become important materials in research conducted on superplasticity in aluminum-based alloys. Many results are now available and this provides an opportunity to examine the consistency of these data and also to make a direct comparison with the predicted rate of flow in conventional superplasticity. Accordingly, all available data were tabulated with divisions according to whether the samples were prepared without processing using severe plastic deformation (SPD) techniques or they were processed using the SPD procedures of equal-channel angular pressing or high-pressure torsion or they were obtained from friction stir processing. It is shown that all results are mutually consistent, the measured superplastic strain rates have no clear dependence on the precise chemical compositions of the alloys and there is general agreement, to within less than one order of magnitude of strain rate, with the theoretical prediction for superplastic flow in conventional materials.

*Keywords*: Al-Mg-Sc alloys; equal-channel angular pressing; friction stir processing; high-pressure torsion; superplasticity

\*Corresponding author: Terence G. Langdon ; e-mail: <u>langdon@soton.ac.uk</u> ; Tel: +44-2380-593766.

#### PREAMBLE

We appreciate this opportunity to prepare a report honoring Professor Hael Mughrabi on the occasion of his 80<sup>th</sup> birthday. The senior author (TGL) has had many enjoyable and fruitful discussions with Hael over a period of about 40 years with numerous meetings in Europe and California. A highlight occurred in 1994 at the ICSMA Conference in Haifa when he had the pleasure of accompanying Hael and his wife Sybille on a visit to Hael's birthplace in Jerusalem. With this report, all of the authors send warmest greetings to Hael with our hopes and best wishes for many more productive years.

## I. INTRODUCTION

When metals are pulled in tension, they generally break after pulling out to relatively low elongations. Nevertheless, under some limited experimental conditions it may be possible to achieve remarkably high neck-free elongations with samples pulling out to elongations up to and exceeding 1000%. This mode of flow is now called *superplasticity* and it was first demonstrated by Pearson in experiments conducted in the U.K. over 80 years ago when an elongation of 1950% was achieved in the tensile pulling of a Pb-Sn eutectic alloy [1]. Subsequently, there were many reports of superplastic flow in a range of metallic alloys and the ease of achieving high elongations led to the processing of these materials in metal forming applications and to the development of the important commercial superplastic forming industry. This industry is now used for the annual processing of thousands of tons of metals associated with the fabrication of complex shapes and curved parts for a wide range of uses in the aerospace and automotive sectors in addition to applications associated with architectural design and consumer products [2].

It is now recognized that two basic requirements must be fulfilled in order to achieve superplastic flow [3]. First, superplasticity occurs through the sliding of grains over each other within the polycrystalline matrix and this means the grain size must be very small and typically

less than ~10  $\mu$ m. Second, since superplasticity is a diffusion-controlled process, it requires a relatively high testing temperature that is typically above ~0.5*T*<sub>m</sub> where *T*<sub>m</sub> is the absolute melting temperature of the metal. Generally, these two requirements are incompatible because the grains in a polycrystalline matrix grow easily at elevated temperatures and therefore it is not easy to retain very small grains for high temperature applications. Traditionally, most superplastic alloys have been prepared through the use of appropriate thermo-mechanical processing which reduces the grain size to within the range of ~3-10  $\mu$ m. Generally, it has

An alternative approach has become available over the last two decades which is based on an early report, appearing in 1988, describing both the ability to produce a submicrometer grain size through the application of severe plastic deformation (SPD) to a bulk coarse-grained Al-4% Cu-0.5% Zr alloy and also the potential for using this ultrafine-grained (UFG) material to achieve superplastic properties [4]. This early result and subsequent research has demonstrated that SPD processing provides opportunities for achieving grain sizes in the submicrometer or even the nanometer range and it is important to note that grains of these ultrafine dimensions cannot be attained using conventional techniques [5]. Several different methods of SPD processing have been developed [6] but attention has focused primarily on the two relatively simple procedures of equal-channel angular pressing (ECAP) and high-pressure torsion (HPT) [7]. In ECAP a sample, in the form of a bar or rod, is pressed through a die constrained within a channel which is bent through a sharp angle [8] whereas in HPT the sample, generally in the form of a thin disc, is subjected to a high applied pressure and concurrent torsional straining [9]. Both of these processes are effective in achieving exceptional grain refinement but HPT generally leads to smaller grains [10] and a higher fraction of high-angle grain boundaries [11].

Sufficient information is now available in the literature that it has become feasible to make a comprehensive review of the superplastic properties of a single selected material. The following section describes the background to producing superplastic elongations in aluminum and the later sections tabulate and analyze the data now available for Al-Mg-Sc alloys.

### **II. ACHIEVING SUPERPLASTICITY IN ALUMINUM**

Aluminum is the most abundant metal in the Earth's crust and the most widely used non-ferrous metal. It has many applications including extensive use in the aerospace and transportation industries, in building construction and as a packaging material for a wide range of consumer products. Furthermore, early experiments showed that it was possible to process pure Al by ECAP to produce a grain size of  $\sim 1 \,\mu$ m which is within the range required for superplastic flow [12,13]. Nevertheless, superplasticity cannot be achieved in pure Al because the grains grow rapidly when the metal is heated to temperatures where diffusion occurs sufficiently rapidly. An alternative approach is to use an aluminum-based alloy such as the Al-3% Mg solid solution alloy which has also been studied extensively using SPD techniques [14,15]. This appears to be advantageous because the addition of Mg in solid solution in aluminum leads to smaller grain sizes such that the equilibrium grain size in an Al-3% Mg alloy is reduced to ~270 nm after processing by ECAP [16]. Nevertheless, superplasticity is again not easily achieved in an Al-3% Mg alloy because the UFG structure is stable only up to temperatures of ~500 K and at higher temperatures the grains grow very rapidly [17,18].

Early experiments showed that the advent of extensive grain growth may be delayed to ~600 K by adding Zr to the Al-Mg alloy [19,20] or to ~700 K through the addition of Sc [21]. Furthermore, very recent experiments on an Al-Mg-Sc-Zr alloy demonstrated excellent microstructural stability up to a temperature of ~800 K [22]. This suggests that Al-Mg-Sc alloys may be ideal candidate materials for achieving excellent superplastic properties after processing using SPD techniques.

An example of the superplastic properties of an Al-3% Mg-0.2% Sc alloy is shown in Fig. 1 where all tensile testing was conducted at 523 K using a constant rate of crosshead displacement with an initial strain rate of  $1.0 \times 10^{-3}$  s<sup>-1</sup>: the upper specimen shows the initial shape of each sample and the four tensile specimens were prepared by ECAP through 8 passes (8p) at 300 K, ECAP through 10 passes at 600 K, HPT through 10 turns (10t) at 300 K and HPT through 10 turns at 450 K, respectively. It is readily apparent that all of these samples exhibit excellent superplastic properties.

Inspection of the literature shows that a large volume of data is now available describing the occurrence of superplasticity in various Al-Mg-Sc alloys. Accordingly, the following sections tabulate and provide a detailed analysis of these results.

## III. SUPERPLASTICITY IN AI-Mg-Sc ALLOYS

In order to provide a meaningful tabulation of published data, it is first necessary to provide a precise definition of superplasticity. It was shown in very early work that the elongations achieved in tensile testing are directly proportional to the measured strain rate sensitivity, m [23,24]. Specifically, the ductility increases with increasing m and in superplastic flow the strain rate sensitivity is given by  $m \approx 0.5$  which corresponds to a stress exponent of n (= 1/m)  $\approx 2$ . In solid solution alloys the flow process is often controlled by viscous glide where the moving dislocations drag atmospheres of solute atoms so that glide is the rate-controlling process. Under these conditions, the measured stress exponent is  $n \approx 3$  so that  $m \approx 0.3$  and there are often transitions in metallic solid solutions between the regimes of dislocation glide and dislocation climb [25,26]. It was noted in early research that high ductilities will be achieved also in solid solution alloys undergoing creep by viscous glide where  $n \approx 3$  [27] and these high ductilities are now employed commercially in a hot blow-forming process to produce high volumes of panels of the AA5083 Al-Mg alloy for use in automotive applications

[28]. However, this behavior is outside of the regime of true superplasticity and it is better designated as "enhanced ductility" [29].

To avoid any overlap between results obtained in the viscous glide regime and in true superplastic flow, a critical review was undertaken of all available literature [30]. This review showed that elongations of up to and slightly exceeding 300% have been recorded during creep controlled by viscous glide [31] but there are no reports of any elongations at or above 400%. Accordingly, superplastic flow can be identified unambiguously as the achievement of tensile elongations of at least 400% and with an associated strain rate sensitivity of  $m \approx 0.5$  [30].

Using this definition, a series of tabulations was prepared to record all results published to date showing true superplasticity in Al-Mg-Sc alloys. First, it is important to recognize that there are numerous reports of superplasticity in Al-Mg-Sc alloys even without processing using SPD procedures. These results are summarized in Table 1 [32-48] and they cover various metal-working procedures based primarily on cold- or hot-rolling. Typically, the grain sizes in these materials are in the conventional superplastic range of  $\sim 1 - 10 \,\mu m$ . For processing by SPD, results from ECAP are given in Table 2 where the grain sizes are now generally in the submicrometer range [49-79], Table 3 shows results for HPT where again the grain sizes are generally small [66,79-83] and Table 4 gives results for the alternative procedure of friction stir processing (FSP) [84-90] where FSP is a solid-state joining technique developed for Al alloys and with the capability of producing a UFG microstructure over a limited region of the sample [91,92]. In Table 2 many of the materials were processed at room temperature (RT), all ECAP dies had channel angles of 90° so that a strain of ~1 was introduced on each separate pass [93] and the various processing routes are defined as route A in which there is no rotation of the sample between passes, route B<sub>C</sub> in which the rod is rotated by 90° around the longitudinal axis in the same sense between each pass and route C in which the rod is rotated by 180°, with route B<sub>CZ</sub> relating to plate samples in which the plates are rotated in the same

direction by 90° around the vertical axis between each pass [94]. All of the ECAP samples exhibit excellent superplasticity with elongations up to and exceeding 2000%. Table 3 shows the applied pressure used in HPT and the dimensions of the samples where this is a critical parameter because of the use of small disks and the inherent limitations on the sample size. Nevertheless, HPT processing produces good superplastic elongations up to >1000%. Finally, in Table 4 the processing by FSP lists the geometry of the FSP tool, the rotation rate of the tool, the traverse speed for the processing, and again there are again excellent superplastic elongations. There is also a single recent report of elongations up to 1950% in an Al-3% Mg-0.2% Sc alloy processed by the new technique of high-pressure sliding (HPS) which is based on the principles of HPT and relates to two sheets sliding over each other during the processing operation [95].

## **IV. A COMPARISON OF DIFFERENT PROCESSING TECHNIQUES**

The data summarized in Tables 1-4 represent four different processing procedures. In order to compare these different fabrication methods, it is appropriate to plot, for each separate processing technique, the results corresponding to the four highest reported elongations. These plots are shown in Figs 2 and 3 as the elongation versus temperature and the elongation versus the initial strain rate, respectively.

It is readily apparent from Fig. 2 that the elongations tend to increase with increasing temperature. Furthermore, excellent and similar results may be achieved using either ECAP or cold-rolling. Lower superplastic elongations are achieved when processing by HPT but this is attributed to the small size of the HPT specimens. Thus, although the measured elongations in tensile testing tend to increase with decreasing gauge length [96,97], the HPT specimens are cut from thin disks and their exceptionally reduced thicknesses of typically ~0.6 mm lead to easy and premature failure. This is evident from inspection of Table 3 where the maximum elongation of 1600% was achieved using a thicker specimen cut from a small cylindrical

sample [81]. The range of elongations achieved after FSP processing is due to the inhomogeneities that are an inherent feature of the localized and varying microstructural modifications that occur when using this type of processing.

Although the datum points in Fig. 3 are scattered, there is a general tendency to achieve higher elongations at faster strain rates. This is consistent with the very early demonstration that the exceptionally small grain sizes after SPD processing provide an opportunity for attaining superplasticity at high strain rates [98] where this is defined specifically as strain rates at and above  $10^{-2}$  s<sup>-1</sup> [99].

# V. THE RATE-CONTROLLING FLOW PROCESS IN THE SUPERPLASTICITY OF Al-Mg-Sc ALLOYS

It is now well established that superplasticity occurs through the relative motion of adjacent grains in grain boundary sliding (GBS) [100]. However, the occurrence of superplasticity in isolation in a polycrystalline matrix will open cavities leading to premature failure. Instead there is now very good experimental evidence showing that the GBS in superplasticity is accommodated by dislocation slip within the adjacent grains [101-103] and these dislocations pass through the grains without hindrance because the grain size is sufficiently small that it is not possible to form subgrains [104].

It is feasible to model the flow by GBS in superplasticity and this leads to an equation in the conventional form for the rate of flow under steady-state conditions,  $\dot{\epsilon}$ :

$$\dot{\varepsilon} = \frac{ADG\mathbf{b}}{kT} \left(\frac{\mathbf{b}}{d}\right)^p \left(\frac{\sigma}{G}\right)^n \tag{1}$$

where *D* is the appropriate diffusion coefficient, *G* is the shear modulus, **b** is the Burgers vector, *k* is Boltzmann's constant, *T* is the absolute temperature, *d* is the grain size,  $\sigma$  is the applied stress, *p* and *n* are the exponents of the inverse grain size and the stress, respectively, and *A* is a dimensionless constant [105]. In the theoretical model for GBS,  $D = D_{o(gb)} \exp(-Q_{gb}/kT)$  for the coefficient for grain boundary diffusion where  $D_{o(gb)}$  is the frequency factor and  $Q_{gb}$  is the activation energy for grain boundary diffusion, p = 2, n = 2 and A = 10 [105]. Several analyses have shown that eq. (1) is consistent with the rates of superplastic flow in numerous Al and Mg alloys after processing by ECAP or HPT [106-109].

In order to check the applicability of eq. (1) to the many superplastic results now available for the various Al-Mg-Sc alloys, similar analyses were conducted using the experimental data available in Tables 1, 2, 3 and 4. As in the earlier analyses [106-109], these analyses were performed using basic parameters for pure Al where  $D_{o(gb)} = 1.86 \times 10^{-4} \text{ m}^2 \text{ s}^{-1}$  [110],  $Q_{gb} = 86 \text{ kJ mol}^{-1}$  [110],  $\mathbf{b} = 2.86 \times 10^{-10} \text{ m}$  [110] and  $G = 3.022 \times 10^4 - 16T \text{ MPa}$  with the temperature expressed in degrees Kelvin [110].

Using eq. (1), the temperature and grain size compensated strain rate was plotted against the normalized stress as shown in Fig. 4 for results obtained without processing by SPD as listed in Table 1 [32-37,39-48], in Fig. 5 for results obtained after ECAP as listed in Table 2 [50,52-54,56,59-67,69,72,74,75,77-79], in Fig. 6 for results obtained after HPT as listed in Table 3 [66,80,82,83,79] and in Fig. 7 for results obtained after FSP as listed in Table 4 [84-90]. In each plot, the line labelled  $\dot{\varepsilon}_{sp}$  corresponds to the theoretical prediction of the rate of superplasticity in conventional superplastic materials.

Several conclusions may be drawn from inspection of Figs 4-7.

First, all of the results are mutually consistent. Although the analyses are based on more than forty different publications documenting data obtained in laboratories in many different countries, all results lie together to within less than one order of magnitude of strain rate.

Second, the precise chemical compositions of the alloy play no significant role in affecting the rate of superplastic flow even though there is recent evidence for significant precipitation in severely deformed Al-Mg alloys [111,112]. Thus, inspection of Tables 1-4

shows that there are large variations in the compositions of the various alloys with the Mg composition varying between 1 and 6%, the Sc content varying from 0.1 to 0.5% and with various additions of Zn, Cu, Mn, Zr and other elements. Nevertheless, all of the datum points are mutually consistent with no clear dependence on composition.

Third, there is a general consistency with the predicted stress exponent of n = 2. Although the results without SPD and after ECAP and HPT tend to lie below the predicted line for conventional superplasticity, the points are generally consistent with the predicted behavior to within one order of magnitude of strain rate and they suggest a stress exponent close to ~2. It is surprising to note that the results with the FSP specimens are in even better agreement with the theoretical model despite the inhomogeneities that are an inherent feature of these samples.

From this comprehensive analysis, it is therefore reasonable to conclude that the theoretical relationship developed originally for superplasticity in conventional alloys provides also an excellent prediction for the superplastic behavior of Al-Mg-Sc alloys prepared both without and with SPD processing.

#### VI. SUMMARY AND CONCLUSIONS

1. The Al-Mg-Sc alloys are excellent candidate materials for exhibiting high superplastic elongations when testing in tension at elevated temperatures. Results are now available for a very large number of Al-Mg-Sc alloys and these various results were tabulated according to whether the materials were processed without the use of any SPD technique, with SPD processing in the form of ECAP or HPT and through processing by FSP.

2. Analysis of the data shows that all results are mutually consistent and the rate of superplastic flow has no significant dependence on the precise chemical composition of the alloy.

3. The results confirm a stress exponent of n = 2 as predicted by the theoretical model for superplasticity in conventional materials and the various experimental results are

9

generally consistent with the theoretical model to within less than one order of magnitude of strain rate.

## ACKNOWLEDGEMENTS

This work was supported in part by the NRF Korea funded by MoE under Grant No. NRF-2016R1A6A1A03013422 and by MSIP under Grant No. NRF-2016K1A4A3914691, in part by the National Science Foundation of the United States under Grant No. DMR-1160966 and in part by the European Research Council under ERC Grant Agreement No. 267464-SPDMETALS.

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#### **Figure captions**

- FIG. 1 Examples of superplasticity in Al-3% Mg-0.2% Sc specimens after processing by ECAP or HPT.
- FIG. 2 Elongation versus temperature of testing for various superplastic Al-Mg-Sc alloys from different processing routes: Cold-rolling [34,37,39,46], ECAP [54,67,70,76], HPT [80-82,79], FSP [84,85,88.89].
- FIG. 3 Elongation versus initial strain rate for various superplastic Al-Mg-Sc alloys from different processing routes: Cold-rolling [34,37,39,46], ECAP [54,67,70,76], HPT [80-82,79], FSP [84,85,88.89].
- FIG. 4 Temperature and grain size compensated strain rate versus normalized stress for various superplastic Al-Mg-Sc alloys without SPD processing [32-37,39-48]: the solid line shows the theoretical prediction for superplastic flow in conventional metals not processed using SPD techniques.
- FIG. 5 Temperature and grain size compensated strain rate versus normalized stress for various superplastic Al-Mg-Sc alloys processed by ECAP [50,52-54,56,59-67,69,72,74,75,77-79]: the solid line shows the theoretical prediction for superplastic flow in conventional metals not processed using SPD techniques.
- FIG. 6 Temperature and grain size compensated strain rate versus normalized stress for various superplastic Al-Mg-Sc alloys processed by HPT [66,80,82,83,79]: the solid line shows the theoretical prediction for superplastic flow in conventional metals not processed using SPD techniques.
- FIG. 7 Temperature and grain size compensated strain rate versus normalized stress for various superplastic Al-Mg-Sc alloys processed by FSP [84-90]: the solid line shows the theoretical prediction for superplastic flow in conventional metals not processed using SPD techniques.



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Fig. 7 Temperature and grain size compensated strain rate versus normalized stress for various superplastic Al-Mg-Sc alloys processed by FSP [84-90]: the solid line shows the theoretical prediction for superplastic flow in conventional metals not processed using SPD techniques.

Table 1
Reports of superplasticity in Al-Mg-Sc alloys without SPD processing.

Alloy or	Metal-working	Grain	Superplastic	ity		Reference
composition (wt.%)	procedure	size (µm)	Testing temperature (K)	Strain rate (s <sup>-1</sup> )	Maximum elongation (%)	_
Al-4Mg-0.5Sc	Cold-rolling + Annealing at 672 K for 1h	~1 - 2	672	$1.0\times10^{\text{-}2}$	>1020 <sup>a</sup>	Sawtell et al. (1990) [32]
Al-5.76Mg-0.32Sc-0.3Mn	Cold-rolling + Annealing at 748 K for 45 min	~1	748	$1.4  imes 10^{-2}$	1130	Nieh et al. (1998) [33]
Al-5Mg-0.2Sc-0.18Mn-0.08Zr	Cold-rolling	$\sim 5-15$ b	793	$5.6\times10^{\text{-}2}$	2300	Kaibyshev et al. (2006) [34]
Al-6.2Mg-0.4Mn-0.25Sc-0.12Zr	Cold-rolling		813	$1.7  imes 10^{-3}$	690	Peng et al. (2007) [35]
Al-6.3Zn-2.3Mg-1.5Cu-0.23Sc-0.14Zr	Hot-rolling at 673 K	~10 <sup>b</sup>	748	$1.9\times10^{\text{-}2}$	650	Kumar et al. (2010) [36]
Al-4.5Mg-0.46Mn-0.44Sc	Cold-rolling + Annealing at 773 K for 2 h	~11	823	$5.0  imes 10^{-3}$	1960	Smolej et al. (2010) [37]
Al-6.3Zn-2.3Mg-1.5Cu-0.23Sc-0.14Zr	Hot-rolling at 673 K	~2.4	698	$(10^{-1} + 10^{-2})^{c}$	916	Mukhopadhyay et al. (2011) [38]
Al-6.1Mg-0.3Mn-0.25Sc-0.1Zr	Cold-rolling + Annealing at 573 K for 1 h	~2.5	798	$5.0  imes 10^{-3}$	3250	Cao et al. (2015) [39]
Al-5.4Zn-1.9Mg-0.32Mn-0.25Cu-0.1Sc-0.1Zr	Cold-rolling + Solution treatment at 743 K for 1 h + Ageing at 393 K for 12 h	~3.1	773	$5.0  imes 10^{-3}$	1050	Duan et al. (2015) [40]
Al-6.1Mg-0.3Mn-0.25Sc-0.1Zr	Free Forging	~3.7	748	$1.0  imes 10^{-3}$	1590	Duan et al. (2015) [41]
Al-5.4Zn-1.9Mg-0.33Mn-0.32Cu-0.25Sc-0.1Zr	Cold-rolling + Solution treatment at 743 K for 1 h + Ageing at 393 K for 12 h	~2.8	773	$1.0  imes 10^{-2}$	1520	Duan et al. (2015) [42]
Al-4.2Mg-3.7Zn-0.7Cu-0.2Zr-0.15Sc	Cold-rolling + Annealing at 693 K	~2	693	$2.0  imes 10^{-3}$	800	Kotov et al. (2016) [43]
Al-Zn-Mg-0.2Zr-0.1Sc-Fe-Nid	Cold-rolling + Annealing at 753 K for 20 min	~2.5	753	$1.0\times10^{\text{-}2}$	915	Mikhaylovskaya et al. (2016) [44]
Al-5.8Mg-0.40Mn-0.25Sc-0.10Zr	Cold-rolling + Annealing at 672 K for 1h	~5 <sup>b</sup>	773	$1.67\times10^{\text{-}3}$	740	Sun et al. (2016) [45]
Al-6.1Mg-0.3Mn-0.25Sc-0.1Zr	Asymmetrical rolling + Annealing at 573 K for 1 h	~1.5	773	$5.0\times10^{\text{-}2}$	3200	Xu et al. (2016) [46]
Al-5.4Zn-2Mg-0.35Cu-0.3Mn-0.25Sc-0.1Zr	Cold-rolling	~3	773	$1.0\times10^{\text{-}2}$	540	Xiang et al. (2016) [47]
Al-5.8Mg-0.4Mn-0.2Sc-0.1Zr (Al1570c)	Cold-rolling	~3.8 <sup>b</sup>	773	$6.7  imes 10^{-3}$	740	Li et al. (2017) [48]

<sup>a</sup> Maximum possible elongation detected by the tensile testing facility used in the referred study. <sup>b</sup> Grain size in the gauge area after tensile testing. <sup>c</sup> Tensile testing conducted at  $1.0 \times 10^{-1}$  s<sup>-1</sup> up to a true strain of 0.73 and at  $1.0 \times 10^{-2}$  s<sup>-1</sup> afterwards. <sup>d</sup> The Mg, Zn, Ni and Fe contents in this alloy were not reported.

# Table 2

Aller	ECAD		-	•	Matal and dain a	Cusin	C11	:4		Defemance
composition (wt.%)	Channel angle (°)	Processing temperature (K)	Processing route	N° of passes	procedure after ECAP	size (μm)	Testing temperature (K)	Strain rate (s <sup>-1</sup> )	Maximum elongation (%)	Kelerence
Al-3Mg-0.2Sc	90	RT	Bc	8		~0.2	673	$3.3\times10^{\text{-}2}$	1030	Komura <i>et al.</i> (1998) [49] <sup>a</sup>
Al-3Mg-0.2Sc	90	RT	Bc	8		~0.2	673	$3.3  imes 10^{-2}$	2280	Horita et al. (2000) [50]
Al-3Mg-0.2Sc	90	RT	Bc	8		~0.2	673	$3.3\times10^{\text{-}2}$	950	Komura et al. (2000) [51] <sup>b</sup>
Al-3Mg-0.2Sc	90	RT	Bc	8	Cold-rolling	~0.2 <sup>c</sup>	673	$3.3  imes 10^{-2}$	1860	Akamatsu et al.(2001) [52]
Al-1Mg-0.2Sc	90	RT	Bc	8		~0.36	673	$1.0  imes 10^{-3}$	580	Furukawa et al. (2001) [53]
Al-3Mg-0.2Sc	90	RT	Bc	8		~0.2	723	$3.3  imes 10^{-3}$	2580	Komura et al. (2001) [54]
Al-3Mg-0.2Sc	90	RT	А	8			673	$3.3\times10^{\text{-}2}$	1170	Komura et al. (2001) [55]
Al-3Mg-0.2Sc	90	RT	С	8			673	$3.3  imes 10^{-2}$	1370	Komura et al. (2001) [55]
Al-3Mg-0.2Sc-0.12Zr	90	RT	Bc	6		~0.3	773	$1.0  imes 10^{-2}$	1680	Lee et al.(2002) [56]
Al-4.5Mg-0.22Sc-0.15Zr	90	448	Bc	6		~0.1	723	$3.3\times10^{\text{-}2}$	2250	Perevezentsev et al. (2002) [57]
Al-3Mg-0.2Sc	90	RT	Bc	8		~0.2	523	$3.3  imes 10^{-4}$	640	Ota et al. (2002) [58]
Al-1.5Mg-0.22Sc-0.15Zr	90	448	Bc	$6-8^d$		~0.1	723	$1.0  imes 10^{-1}$	1590	Perevezentsev et al. (2002) [59]
Al-5.5Mg-2.2Li-0.2Sc-0.12Zr (Al1421)	90	643	Bc	12		~0.3 - 0.4	673	$1.0  imes 10^{-1}$	1500	Islamgaliev et al. (2003) [60]
Al-5.8Mg-0.3Mn-0.32Sc-0.2Si-0.1Fe	90	598	Bc	16		~1 <sup>e</sup>	723	$5.6  imes 10^{-2}$	2000	Musin et al. (2004) [61]
Al-4.5Mg-0.22Sc-0.15Zr	90	473	Bc	6		~0.5	723	$1.0  imes 10^{0}$	880	Perevezentsev et al. (2004) [62]
Al-1Mg-0.2Sc	90	RT	$\mathbf{B}_{cz}{}^{\mathrm{f}}$	4		~0.5	673	$1.0  imes 10^{-3}$	440	Kamachi et al. (2004) [63]
Al-3.2Mg-0.13Sc	90	473	Bc	4	Cold-rolling	~0.2-0.4	723	$5.0  imes 10^{-3}$	810	Park et al. (2004) [64]
Al-3Mg-0.2Sc	90	RT	Bc	8		~0.2	673	$3.3\times10^{\text{-}2}$	580	Sakai <i>et al.</i> (2004) [65] (2005) [66] <sup>g</sup>
Al-4.1Mg-2Li-0.16Sc-0.08Zr (Al1421)	90	673	$\mathbf{B}_{cz}{}^{\mathrm{f}}$	16		~2.6	723	$1.4  imes 10^{-2}$	3000	Kaibyshev et al. (2005) [67]
Al-1.5Mg-0.2Sc-0.18Zr	90	423	Bc	6		~1.4 - 1.6 <sup>h</sup>	<sup>1</sup> 710	$1.0  imes 10^{-2}$	>900 <sup>i</sup>	Málek et al. (2007) [68]
Al-4.5Mg-0.2Sc-0.2Zr	90	523	Bc	6		~0.3 - 1.0	773	$4.5\times10^{\text{-}2}$	2130	Turba et al. (2007) [69]
Al-5Mg-0.18Mn-0.2Sc-0.08Zr (Al1570c)	90	598	$\mathbf{B}_{cz}{}^{\mathrm{f}}$	10		~1	723	$5.6\times10^{\text{-}2}$	4100	Avtokratova et al. (2012) [70]
Al-5Mg-0.18Mn-0.2Sc-0.08Zr (Al1570c)	90	598	$\mathbf{B}_{cz}{}^{\mathrm{f}}$	8		~1	748	$5.6  imes 10^{-2}$	3300	Avtokratova et al. (2012) [71]
Al-5.4Mg-0.2Sc-0.07Zr (Al1570c)	90	573	$B_{cz}{}^{f}$	12		~0.6	723	$1.4  imes 10^{-1}$	2400	Kaibyshev et al. (2013) [72]
Al-5.8Mg-0.4Mn-0.32Sc-0.1Zr (Al1570c)	90	598	$B_{cz}{}^{\mathrm{f}}$	8	Warm-rolling at 598 K	~1	723	$1.4  imes 10^{-1}$	2330	Avtokratova et al. (2015) [73]

Reports of superplasticity in Al-Mg-Sc alloys after ECAP.

#### Table 2 continued

Alloy or composition (wt.%)	ECAP processing				Metal-working	Grain	Superplastici	ty		Reference
	Channel angle (°)	Processing temperature (K)	Processing route	N° of passes	procedure after ECAP	size (µm)	Testing temperature (K)	Strain rate (s <sup>-1</sup> )	Maximum elongation (%)	
Al-6Mg-0.5Mn-0.2Sc-0.07Zr (Al1570)	90	573	$B_{cz}{}^{f}$	12	Warm-rolling at 573 K	~0.3 - 0.6	723	$1.4  imes 10^{-1}$	1970	Dubyna et al. (2016) [74]
Al-4.6Mg-0.35Mn-0.2Sc-0.09Zr (Al5024)	90	573	$B_{cz}{}^{f}$	12	Cold-rolling	$\sim 0.3 - 1.8$	723	$1.4  imes 10^{-1}$	1440	Mogucheva et al. (2016) [75]
Al-4.6Mg-0.35Mn-0.2Sc-0.09Zr (Al5024)	90	573	$B_{cz}{}^{f}$	12		~0.7	548	$5.6\times10^{\text{-}3}$	1200	Yuzbekova et al. (2016) [76]
Al-4.6Mg-0.35Mn-0.2Sc-0.09Zr (Al5024)	90	573	$\mathbf{B}_{cz}^{\mathrm{f}}$	12		~0.7	723	$5.6\times10^{1}$	3300	Yuzbekova et al. (2016) [77]
Al-3Mg-0.2Sc	90	RT	Bc	8		~1.8 <sup>j</sup>	673	$3.3\times10^{\text{-}3}$	980	Pereira et al. (2017)g [78]
Al-3Mg-0.2Sc	90	RT	Bc	8		~1.1 <sup>j</sup>	523	$1.0  imes 10^{-3}$	540	Pereira et al. (2017) <sup>g</sup> [79]
Al-3Mg-0.2Sc	90	600	Bc	10		~1.4 <sup>j</sup>	523	$1.0  imes 10^{-3}$	560	Pereira et al. (2017) <sup>g</sup> [79]

<sup>a</sup> First report of superplasticity in Al-Mg-Sc alloys after SPD.

<sup>b</sup> Elongation after solution treatment at 873 K and further ECAP processing. At higher solution treatment temperatures, more particles are precipitated and the tensile elongations are superior. <sup>c</sup> Grain size measured after ECAP.

<sup>d</sup> The number of passes in which the maximum elongation was attained was not specified. Nevertheless, it was reported that ECAP processing was conducted for the total of 6 to 8 passes.

<sup>e</sup>Grain size measured after annealing at 443 K for 4 h.

<sup>f</sup> ECAP was conducted using plate samples. <sup>g</sup> Tensile testing conducted in miniature tensile specimens.

<sup>h</sup>Grain size measured after annealing at 710 K for 1 h.

<sup>i</sup>Maximum possible elongation detected by the tensile testing facility used in the referred study.

<sup>j</sup>Grain size in the gauge area after tensile testing.

# Table 3

Alloy or	HPT processing					Superplastic	ity	Reference		
composition (wt.%)	Geometry of the HPT sample (mm) <sup>a</sup>	Pressure (GPa)	Processing temperature (K)	Nº of turns	size (µm) t	Testing temperature (K)	Strain rate (s <sup>-1</sup> )	Maximum elongation (%)	Sample size (mm) <sup>b</sup>	
Al-3Mg-0.2Sc	Disc (10 × 0.8)	6	RT	5	~0.15	673	$3.3\times10^{\text{-}2}$	500	$1\times1\times0.6$	Sakai et al. (2005) [66]
Al-5.6Mg-0.4Mn-0.32Sc (Al1570)	Disc (20 × 1.5)	6	RT	5	~0.12	673	$1.0  imes 10^{-2}$	1460	2.8	Perevezentsev et al. (2007) [80]
Al-3Mg-0.2Sc	Bulk sample $(10 \times 8.57)$	1	RT	2	~0.13	573	$3.3\times10^{\text{-}3}$	1600	$1\times1\times1$	Horita and Langdon (2008) [81]
Al-3Mg-0.2Sc	Ring sample $(40 \times 3 \times 0.8)$	1.25	RT	1	~0.22	573	$3.3\times10^{\text{-}3}$	1510	$1\times1\times0.6$	Harai et al. (2009) [82]
Al-3Mg-0.2Sc	Disc $(10 \times 0.8)$	6	RT	10	~0.14	523	$4.5\times10^{\text{-}3}$	850	$1.1 \times 1 \times 0.6$	Pereira et al. (2015) [83]
Al-3Mg-0.2Sc	Disc $(10 \times 0.8)$	6	RT	10	~1.1 <sup>c</sup>	523	$1.0  imes 10^{-3}$	620	$1.1{\times}~1{\times}0.6$	Pereira et al.(2017) [79]
Al-3Mg-0.2Sc	Disc $(10 \times 0.8)$	6	450	10	~1.0 <sup>c</sup>	523	$1.0  imes 10^{-3}$	1020	$1.1 \times 1 \times 0.6$	Pereira et al.(2017) [79]

Reports of superplasticity in Al-Mg-Sc alloys after HPT.

<sup>a</sup> Diameter × thickness for the discs, diameter × height for the bulk samples and outer diameter × width × thickness for the rings. <sup>b</sup> Gauge length  $\times$  gauge width × thickness of the tensile specimens.

<sup>c</sup>Grain size in the gauge area after tensile testing.

# Table 4

Reports of superplasticit	y in Al-Mg-Sc alloys	s after friction stir	processing.

Alloy or	Friction stir processing - FSP				Superplasticity	у	Reference		
composition (wt.%)	Geometry of the FSP tool (mm) <sup>a</sup>	Rotation rate of the FSP tool (rpm)	Traverse speed (mm min <sup>-1</sup> )	size (µm)	Testing temperature (K)	Strain rate (s <sup>-1</sup> )	Maximum elongation (%)	Sample size (mm) <sup>b</sup>	
Al-8.9Zn-2.6Mg-0.09Sc	$11.4\times4.2\times3.2$	400	25.4	~0.68	583	$3.0  imes 10^{-2}$	1165	$1.3\times1\times0.5$	Charit and Mishra (2005) [84]
Al-5.3Mg-0.5Mn-0.23Sc-0.14Fe-0.06Zr	$14\times3.5\times4.5$	600	25	~2.6	723	$1.0  imes 10^{-1}$	2150	$2.5\times1.4\times0.8$	Liu and Ma (2008) [85]
Al-5.3Mg-0.49Mn-0.23Sc-0.14Fe-0.06Zr	$14\times 3.5\times 4.5$	400	100	~0.7	573	$3.0  imes 10^{-2}$	620	$2.5\times1.4\times0.8$	Liu et al. (2009) [86]
Al-5.3Mg-0.49Mn-0.23Sc-0.14Fe-0.06Zr	$14\times3.5\times4.5$	400	25	~0.6	573	$1.0  imes 10^{-2}$	560	$2.5\times1.4\times0.8$	Liu and Ma (2010) [87]
Al-5.3Mg-0.49Mn-0.23Sc-0.14Fe-0.06Zr	$14\times3.5\times4.5$	400	25	~2.2	748	$1.0  imes 10^{-1}$	1500	$2.5\times1.4\times0.8$	Liu et al. (2012) [88]
Al-4.7Mg-0.35Sc-0.17Zr	$16\times2.4\times3.9$	95	73	~1.3	773	$5.0  imes 10^{-2}$	>1900 <sup>c</sup>	$10\times5.4\times2$	Smolej et al. (2014) [89]
Al-5.16Mg-0.18Sc-0.15Zr	$16\times2.4\times3.9$	95	73	~1.7	773	$1.0  imes 10^{-1}$	>1900 <sup>c</sup>	$10\times 5.4\times 2$	Smolej et al. (2014) [90]

<sup>a</sup> Concave shoulder diameter × threaded pin diameter × threaded pin length.
<sup>b</sup> Gauge length × gauge width × thickness of the tensile specimens.
<sup>c</sup> Maximum possible elongation detected by the tensile testing facility used in the referred study.