

1 **Abstract**

2 *Study Design*

3 Descriptive study, proof of concept.

4 *Background*

5 Our hands constantly handle objects throughout our lives, where a crucial component of this
6 interaction is the detection of grasping (pressure) and slipping (shear) of the object. While
7 there have been a large amount of studies using pressure sensors for grasping detection,
8 synchronised pressure and shear detection at the finger/object interface is still needed.

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10 *Objectives*

11 This study aims to assess the feasibility of a sensor system, designed to detect both pressure
12 and shear at the fingertip-object interface via a single subject test.

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14 *Methods*

15 One healthy subject participated in the study and was asked to perform a single finger test
16 protocol and a simple hand test protocol. The corresponding multi-directional loads at the
17 fingertip/object interface were measured in real time using a pressure and shear sensor
18 system.

19

20 *Results*

21 Results from the finger test protocol show peak values of up to approx. 50kPa (5N) and
22 30kPa (3N) of pressure for each test respectively. Results from the hand test protocol show a

23 pressure and shear profile that shows a large increase in grip force during the initial grasping
24 of the object, with a peak pressure of approx. 50kPa (5N). The pressure and shear profile
25 demonstrates that the load is not evenly distributed across all digits.

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27 *Conclusions*

28 This study provides evidence that the reported sensor system has sufficient resolution,
29 dynamic response and load capability to capture biomechanical information during basic
30 protocols and hand-grasping tasks.

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39 **Introduction**

40 Human hands display a vast number of movements, gestures, poses and fine motor skills,
41 while constantly interacting with the environment and objects around us. This dexterity is
42 dependent on our ability to sense the environment through touch. Our sense of touch is
43 provided by mechanoreceptors in the glabrous skin (i.e the smooth skin side of the fingertip),
44 with the highest density of these being at the fingertips¹, providing us with an incredibly

45 sensitive array of organic sensors. These provide both somatosensory and proprioceptive
46 feedback, enabling us to perform complex tasks and handle objects in a natural way. Control
47 of finger/object interaction relies on real-time sensing of both pressure and shear, referring to
48 the forces normal and tangential to the fingertip/object interface, respectively, which exist
49 during these tasks.^{2,3} Moreover, the transduction of shear stresses is critical for slip
50 detection and maintaining a stable grasp on an object.⁴ Measurement of shear forces would
51 also allow grip compensation before the object slips. The ability to measure dynamic
52 changes in both pressure and shear would facilitate an in-depth understanding of the kinetics
53 at the fingertip/object interface.⁵ Such sensing capability, in combination with clinical hand
54 function assessments,⁶ could potentially provide a more objective approach and thus aid
55 upper limb rehabilitation in, e.g. stroke patients and amputees. Recognising the long standing
56 need, many works have been reported to evaluate multidirectional forces at the finger-object
57 interface during object or weight handling tasks. This includes the design and exploitation of
58 custom built simulators or handles, where large rigid force transducers were employed at five
59 finger digits.⁷⁻¹⁰ However, rigid sensors can affect the contact and interaction between the
60 fingertip and object and thus not suitable for practical hand applications. Vogt et al.¹¹
61 reported a soft multi-axis sensor based on microfluidic principles. However, these sensors
62 are much larger (i.e. 50mm x 60mm x 7mm) than the area of a finger tip and also require
63 complex fabrication procedures. No participant based results were reported using these
64 microfluidic sensors¹¹. Thus, there is an unmet need to develop a fingertip interface sensor
65 system which not only can measure multidirectional forces during object handling, but also
66 utilises thin and flexible sensor units to allow direct applications at finger and object
67 interface during dynamic hand actions.

68

69 This paper presents a novel pressure and shear sensor system, which is capable of measuring
70 three-directional forces. The primary aim of this paper is to study the feasibility of this sensor
71 system to detect multi-directional mechanical interaction at the fingertip/object interface during
72 daily actions such as a single finger touching surface, and a hand action. In particular, for the
73 hand test protocol, we adopted a simple grasp and lift hand action using a cube shaped object.
74 Similar hand actions have been previously reported in evaluating prosthetic hand function
75 using the Southampton Hand Assessment Procedure (SHAP).⁶

76

77 **Method**

78 *Subjects*

79 A healthy volunteer (male, 28 years) with no known hand function deficiencies, took part in an
80 experiment to provide preliminary hand testing data for this study. This study was approved
81 by the University of Southampton Ethics and Research Governance Committee, with
82 submission ID: 20847.

83 *Apparatus*

84 The sensor system comprises a flexible capacitive based sensor of dimensions of 10mm x
85 10mm in area and a thickness of 1mm. The sensor output is captured by a small data acquisition
86 device and data is transmitted wirelessly via Bluetooth, as shown in Figure 1(a). Sensors were
87 placed at the fingertips to collect real-time pressure and shear data during two specific test
88 protocols. Sensor system design details and calibration are detailed elsewhere.^{12, 13}

89 *Procedures*

90 First, a finger test was designed and implemented by mounting a single sensor to the face of
91 the index finger on the subject's right hand, shown in Figure 1(b). The subject was then asked

92 to repeatedly perform a press-drag-lift action and a press-push-lift action on a hard surface,
93 respectively, at the subject's natural speed and a self-selected force. These one-finger protocols
94 were designed to provide an initial insight into the pressure and shear ranges at the finger/object
95 interface during very basic tasks. For instance, a press-drag-lift action could occur when a
96 finger interacts with a touch screen or feeling the texture of a surface. A press-push-lift action
97 could occur when a finger operates a laptop touchpad or pressing a light switch. For the press-
98 drag-lift action, the finger was dragged in the lateral direction, i.e. dragged from the subject's
99 left to right, aligning with the positive shear X ($+S_x$) direction as shown in Figure 1(b). For the
100 press-push-lift action, the finger was pushed in the longitudinal direction, i.e. pushing away
101 from the subject, aligning with the positive shear Y ($+S_y$) direction shown in Figure 1(b).

102 The hand test protocol involved mounting five sensors to the faces of all five fingertips of the
103 subject's right hand. The subject was asked to repeatedly perform a simple grasp-lift-hold-put
104 down action on a square block which was approximately 0.8kg in weight and measured 60mm
105 x 60mm x 75mm, as shown in Figure 1(c). This activity was chosen as it utilises the
106 tripod/power grip, one of the most common actions our hands perform on a daily basis. ⁶

107 *Data Analysis*

108 Synchronous pressure (P) and shear (S_x and S_y) data from all these tests was collected and
109 analysed as a function of time. Standard deviation (SD) values were calculated over 5 cycles
110 for the peak values of pressure, S_x and S_y , respectively.

111

112 [insert Figure 1]

113 *Figure 1(a): Sensor system overview and sensor dimensions, (b): Sensor attached to the right*
114 *hand index finger, showing directions of pressure, $+S_x$, and $+S_y$ for the finger test actions (c):*
115 *Sensors attached to the right hand, showing directions of pressure, $+S_x$, and $+S_y$ for the thumb*

116 *sensor and the other four fingers, respectively, for the hand test action, i.e. grasping and lifting*
117 *the block.*

118 **Results**

119 *One finger Test Protocols*

120 Figure 2(a) and Figure 2(b) show the typical pressure and shear response as a function of time
121 during the one finger press-drag-lift and press-push-lift actions, respectively. The insets show
122 the respective directions of finger movement aligning with those for $+S_x$ and $+S_y$.

123 For Figure 2(a), initial contact was established at $t \approx 0.2s$, followed by the press phase, the drag
124 phase and the lift phase, as highlighted in Figure 2(a). During the press phase there is a sharp
125 increase in pressure shown, with S_x and S_y showing a gradual increase. Pressure, S_x and S_y
126 increase to a peak value of approximately 50kPa, 32kPa and 18kPa in the drag phase,
127 respectively. Pressure, S_x and S_y decreased during the lift phase, with pressure showing a
128 sharper decline than S_x and S_y . Over five repeated actions, the SD values for peak pressure, S_x
129 and S_y are 7.6kPa, 3.6kPa and 3.8kPa, respectively.

130 For the press-push-lift action in Figure 2(b), during the press phase, there is an increase in
131 pressure and S_y , while S_x increased less rapidly. During the push phase, pressure, S_x and S_y
132 increased to approximately 30kPa, 10kPa and 24kPa, respectively. S_y shows fluctuations of up
133 to approx. 5kPa during the push phase. During the lift phase, pressure, S_x and S_y decreased.
134 At $t \approx 1.2s$, finger contact ceased and pressure, S_x and S_y return to baselines. Over five repeated
135 actions, the SD values for peak pressure, S_x and S_y are 4.9kPa, 2.7kPa and 3.3kPa, respectively.

136

137 [insert Figure 2]

138 *Figure 2: Pressure, S_x and S_y measured at the index fingertip when performing (a) press-drag-*
139 *lift and (b) press-push-lift test.*

140

141 *Hand test protocol*

142 Figure 3(a) to 3(c) show the pressure, S_x and S_y response as a function of time for the grasp-
143 lift-hold-put down action, respectively. In particular, Figure 3(a) shows that the contact was
144 initiated at $t \approx 0.8s$, followed by the grasp-lift phase, hold phase and the put down phase, as
145 highlighted in Figure 3. It was observed that pressure obtained from the thumb, index and ring
146 fingers increased at the point of contact, while pressure from the middle and little finger only
147 started to show at $t \approx 1.2s$ and $t \approx 1.4s$, respectively. Throughout the hold phase, pressure from
148 the index, middle, ring and little fingers stabilised while pressure from the thumb decreased
149 from approximately 20kPa to 10kPa. Pressure from all fingers show a decrease until the release
150 of the object at $t \approx 6.3s$.

151

152 Figure 3(b) shows that S_x obtained from the index finger started to increase at the point of
153 contact and increased to approximately 4kPa, while S_x from the thumb and middle finger
154 started to increase later at $t \approx 1.25s$ to approximately 6kPa and 1.5kPa, respectively. The ring
155 and little finger show negligible change during the grasp-lift phase. Throughout the hold phase,
156 S_x from the thumb, index and middle finger remained relatively stable, while S_x from the ring
157 and little finger increased to approximately 1kPa and 2kPa, at $t \approx 2s$.

158

159 Figure 3(c) shows that S_y obtained from the thumb changed from approximately -4kPa at $t \approx 1.2s$
160 to 5kPa at $t \approx 1.7s$. S_x obtained from the index and middle finger increased to approximately

161 6kPa and 7kPa, respectively. S_x from the middle finger shows a change of approximately 5kPa
162 of at $t \approx 1.2s$, to -4kPa at $t \approx 1.75s$. S_x from the little finger shows negligible change during the
163 grasp-lift phase. During the hold phase, S_x at the little finger shows a small change of
164 approximately 1kPa at $t \approx 2.5s$, while S_x outputs from all other fingers remained relatively stable.
165 In the put down phase, peak S_y values of 13kPa and 12kPa are shown for the thumb and ring
166 finger respectively. The index and middle finger show peak S_y values of approximately -2kPa
167 and -6kPa, before S_y from all fingers decreased to baseline at $t \approx 6.3s$. The total contact time is
168 approximately 5.5s. Over five cycles, the peak pressure SD values are 3.4kPa, 11.7kPa,
169 12.2kPa, 6.9kPa and 6.0kPa for the thumb, index, middle, ring and little finger respectively.
170 Peak SD values for S_x are 4.0kPa, 2.5kPa, 3.0kPa, 2.1kPa and 1.4kPa. Peak SD values for S_y
171 are 4.8kPa, 6.6kPa, 0.8kPa, 2.3kPa and 3.9kPa.

172

173 [insert Figure 3]

174 *Figure 3(a): Pressure, (b): Transverse shear (S_x) and (c): Longitudinal shear (S_y) measured at*
175 *all five fingertip surfaces, when the grasp-lift-hold-put down action was performed.*

176

177 **Discussion**

178 *One finger test protocols*

179 In order to assess the sensor system response, resolution and the timing, output from a single
180 sensor during a press-push-lift and press-drag-lift has been analysed. The profiles and peak
181 values displayed in Figure 2 align with values exhibited by Su et al.,¹⁴ where approx. 10N of
182 F_z (pressure) and 5N of F_x and F_y (shear) were observed during a press-drag-lift test, conducted
183 on a force-plate. This indicates that the sensor system is capable of measuring dynamic changes

184 in pressure and shear at the fingertip/object interface, with minimal delay. The resolution of
185 the sensor system is approx. 0.5kPa (approximately 0.05N) for pressure and 0.25kPa
186 (approximately 0.025N) for shear measurements when calibrated up to approx. 100kPa (10N)
187 and 25kPa (2.5N), respectively.²

188 *Hand Protocol*

189 The hand protocol was used to examine the sensor systems' capability to capture synchronised
190 data from five fingers and therefore capture the inter-digit coordination during a simple
191 grasping task. Figure 3(a) shows that, during the initial grasping phase, peak pressure values
192 reached approximately 50kPa and 45kPa at the thumb and index finger respectively, equating
193 to approximately 5N of normal force and 4.5N of tangential shear force. These values align
194 with values reported by Landsmeer et al,¹⁵ where approx. 5N of normal force was observed at
195 the index, middle and ring finger and approx. 4-6N of tangential force was observed across all
196 digits, when the hand was lifting an object equating to 10.4N. Furthermore, pressure peaks
197 occur at 0.8s and 0.6s after initial contact at $t \approx 0.8$ s. Subsequently, this grip force reduced to
198 approximately 20kPa and 5kPa for the thumb and index finger, respectively, at $t \approx 1.9$ s and
199 sustained at that level throughout the hold phase. The 'over-estimation' of the required
200 grasping force at initial contact has also been observed by others^{16, 17} and demonstrates the
201 sensor systems' capability to capture synchronised biomechanical information. During the
202 grasp-lift phase, S_x from the thumb showed a sharp increase, as shown in Figure 3(b) and
203 remained almost constant at approximately 5kPa, while S_x from the remaining fingers showed
204 comparatively lower values, up to 2kPa, throughout the hold phase. This may suggest that, in
205 comparison with the index, middle, ring and little fingers, the thumb sustained a large amount
206 of pressure and shear forces, while securing the object. The importance of the thumb for object
207 handling has also been previously reported.^{15, 18} During the grasp-lift-hold-put down action,
208 the majority of shear expected was in $+S_x$ as shown in Figure 3(b); however, shear in $+S_y$

209 direction was observed with a peak value seen at the thumb interface of approximately 12kPa,
210 as shown in Figure 3(c). The coexistence of S_x and S_y indicates that, although this particular
211 hand action was to grasp and then lift the object in S_x direction; at each fingertip, there was a
212 combination of multidirectional forces, reflecting the complex and dynamic interactions
213 between fingers and the object.

214 In order to study the time difference and coordination among different fingers during the grasp-
215 lift-hold-put down action, results have been analysed from the thumb, index and middle finger,
216 respectively, because the results in Figure 3 indicate that these three digits are more dominant
217 for this action. Contact between the subject's hand and the object was initiated by the index
218 finger at initial contact, when pressure obtained from the index finger started to increase, as
219 shown in Figure 3(a). Initial contact by the index finger has been commonly observed and
220 reported.¹⁹ It was only after the subject has begun to lift the object, that the middle finger was
221 recruited to help grasp, thus the increase of pressure to 6kPa. This grasp adjustment during
222 this task has been previously observed by Flanagan.¹⁶ Fluctuations in shear were observed at
223 the thumb, index and middle finger, which could be attributed to the subject stabilising the
224 block during the put down part of the action.

225

226 **Conclusion**

227 A dynamic, real-time pressure and shear sensor system for the fingertip/object interface has
228 been deployed in preliminary tests using a healthy subject, as a proof of concept. Both the one-
229 finger and the hand test protocols were conducted to perform a finger press-drag-lift and press-
230 push-lift tests on a surface, as well as a hand grasp-lift-hold-put down action on an object, with
231 a view to measuring pressure, transverse shear and longitudinal shear at the fingertip/object
232 interface during these typical hand tasks. The ability to measure real-time pressure and shear

233 at the fingertip/object interface could lead to a deeper understanding of the finger and hand
234 kinetics during daily hand tasks. This biomechanical information could also be used to provide
235 an objective hand-function assessment tool, which could be potentially exploited to assist upper
236 limb rehabilitation. It is worth noting that the primary aim of this study is to demonstrate the
237 feasibility of the developed interface sensor system for fingertip-object applications. As such,
238 proof of concept tests with a single subject have been presented. This leads to inevitable
239 limitations for the assessment of fingertip and hand biomechanics.

240 The presented results suggest that the sensor system is sensitive enough to detect dynamic
241 changes in pressure and shear at the fingertip-object interface during basic object handling
242 actions. The results are encouraging and suggest that the sensor system could be potentially
243 used for applications such as, providing tactile feedback for prosthetic hand users and to
244 facilitate biomechanical studies as a research tool in combination with existing hand functional
245 assessments, e.g. SHAP.⁶

246 For future work, this study would benefit from tests with a variety of daily hand actions, such
247 as lateral grip actions, inclusion of objects with different weight and shape, as well as tests
248 involving multiple participants including those with hand deficiencies and users of prosthetic
249 hands. This would give an insight into biomechanical interaction at the fingertip/object
250 interface during daily hand actions.

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260 **Conflict of interest**

261 None declared.

262

263 **Author Contribution**

264 All authors contributed equally in the preparation of this manuscript.

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267 **Reference**

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