

# Soft-Decision Multiple-Symbol Differential Sphere Detection and Decision-Feedback Differential Detection for Differential QAM Dispensing with Channel Estimation in the Face of Rapidly-Fading Channels

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**Abstract**—Turbo detection performed by exchanging extrinsic information between the soft-decision QAM detector and the channel decoder is beneficial for the sake of exploring the bit dependency imposed both by modulation and by channel coding. However, when the soft-decision coherent QAM detectors are provided with imperfect channel estimates in rapidly fading channels, they tend to produce potentially unreliable LLRs that deviate from the true probabilities, which degrades the turbo detection performance. Against this background, in this paper, we propose a range of new soft-decision Multiple-Symbol Differential Sphere Detection (MSDSD) and Decision-Feedback Differential Detection (DFDD) solutions for Differential QAM (DQAM), which dispense with channel estimation in the face of rapidly fading channels. Our proposed design aims for solving the two inherent problems in soft-decision DQAM detection design, which have also been the most substantial obstacle in the way of offering a solution for turbo detected MSDSD aided differential MIMO schemes using QAM: (1) how to facilitate the soft-decision detection of the DQAM’s amplitudes, which – in contrast to the DPSK phases – do not form a unitary matrix; (2) how to separate and streamline the DQAM’s soft-decision amplitude and phase detectors. Our simulation results demonstrate that our proposed MSDSD aided DQAM solution is capable of substantially outperforming its MSDSD aided DPSK counterpart in coded systems *without imposing a higher complexity*. Moreover, our proposed DFDD aided DQAM solution is shown to outperform the conventional solutions in literature. Our discussions on the important subject of coherent versus noncoherent schemes suggest that compared to coherent Square QAM relying on realistic imperfect channel estimation, MSDSD aided DQAM may be deemed as a better candidate for turbo detection assisted coded systems operating at high Doppler frequencies.

## I. INTRODUCTION

High-complexity joint channel- and data-estimation is capable of approaching the performance of perfect channel estimation in slowly fading channels [1]–[6], but both its complexity and pilot-overhead escalate in high-Doppler scenarios [7]. Moreover, in pursuit of an increased data-rate, the high-order 64QAM and 256QAM schemes have been included in

the ITU-R IMT Advanced 4G standards [8] and in IEEE 802.11ac [9]. As the modulation order increases, the dependency between the modulated bits is also increased. Therefore, it is beneficial to exchange extrinsic information between a soft-decision QAM detector and a channel decoder in turbo detection assisted coded systems [10]–[14], so that the capacity limits of the coded QAM systems may be closely approached. However, when the soft-decision coherent demodulators are provided with imperfect Channel State Information (CSI) in the face of rapidly fading channels, they tend to produce potentially unreliable LLRs that deviate from the true probabilities [14]. This degrades the performance of turbo detection. By contrast, the noncoherent detection of Differential QAM (DQAM) mitigates the above-mentioned problems, where the CSI does not have to be estimated.

More explicitly, as an instantiation of Star QAM, the differential encoding principle of DPSK was first applied to DQAM’s phase only, while DQAM’s data-carrying amplitude was directly transmitted in [15]. Without differential encoding on amplitude, this transmission mechanism may be termed as absolute-amplitude DQAM, which may include Absolute-amplitude Differential Phase Shift Keying (ADPSK) [15], Twisted ADPSK (TADPSK) [16] and TADPSK associated with Joint Mapping (TADPSK<sup>JM</sup>) [17], where TADPSK introduces a ring-amplitude-dependent phase rotation in order to increase the Star QAM constellation distances, while TADPSK<sup>JM</sup> jointly maps its bits to DQAM’s phase index and ring-amplitude index in order to increase the correlation between the two terms. Moreover, as a popular alternative, Differential Amplitude Phase Shift Keying (DAPSK) [18] applies differential encoding both to the phase and to the ring-amplitude, which constitutes the family of differential-amplitude DQAM schemes that may also include the constellation variants of Twisted DAPSK (TDAPSK) and TDAPSK associated with Joint Mapping (TDAPSK<sup>JM</sup>). In this paper, the notational form of  $M$ -DQAM( $M_A, M_P$ ) is used for all the DQAM schemes, where  $M$ ,  $M_A$  and  $M_P$  refer to the number of modulation levels, ring-amplitudes and phases, respectively. They have the relationship of  $M = M_A M_P$ .

In the absence of CSI, the DQAM’s Conventional Differential Detection (CDD) suffers from a performance erosion compared to its coherent counterparts [18], [19]. In order to improve the CDD’s performance, Multiple-Symbol Differ-

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ential Detection (MSDD) was conceived both for DPSK in [20]–[22] and for DQAM in [22]. More explicitly, the MSDD extends the CDD’s observation window width from  $N_w = 2$  to  $N_w \geq 2$ , where a total of  $(N_w - 1)$  data-carrying symbols are jointly detected. Consequently, the MSDD complexity may grow exponentially with  $N_w$ . In order to circumvent this problem, Decision-Feedback Differential Detection (DFDD) was conceived for DPSK in [23], [24] and for DQAM in [17], [25]–[27], where the decision feedbacks concerning a total of  $(N_w - 2)$  data-carrying symbols are obtained from the previous detection windows, so that only a single symbol has to be detected. However, the DFDD’s error propagation problem results in a performance loss. In order to retain the optimum MSDD performance, the concept of Multiple-Symbol Differential Sphere Detection (MSDSD) was proposed for DPSK in [28]–[30], where the Sphere Decoder (SD) was invoked for MSDD.

Inspired by the technical breakthrough of Turbo Code (TC) [31], [32], soft-decision DQAM detection has also been developed throughout the last two decades. Explicitly, Trellis decoded DQAM using the Viterbi algorithm was proposed in [33]. Then, MSDD was invoked for DQAM in the context of multilevel coding in [34]. Moreover, a low-complexity soft-decision CDD was conceived for DAPSK in Rayleigh fading channels in [35], where the ring-amplitude and phase are separately detected. However, no iteration was invoked between the channel decoder and the DQAM detector in these contributions. In [36], the CDD aided DAPSK was employed for turbo detection, where the ring-amplitude and phase are jointly detected. This soft-decision CDD conceived for DAPSK was further streamlined in [37], where the authors also discovered that completely separately detecting the DAPSK’s ring-amplitude and phase may impose a performance loss, which is more substantial in coded systems. Furthermore, in [38], a new MSDD/MSDSD arrangement was proposed for soft-decision DAPSK detection, which may be termed as MSDD using Iterative Amplitude/Phase processing (MSDD-IAP). Explicitly, the MSDD-IAP of [38] invokes MSDD and MSDSD for detecting the DAPSK’s ring-amplitudes and phases, and then the two detectors may iteratively exchange their decisions in order to achieve the near-optimum MSDD performance. Against this background, at the time of writing, the soft-decision SD has not been invoked for the DQAM’s ring-amplitude detection. Furthermore, more soft-decision MSDSD arrangements have to be conceived, because the MSDD-IAP of [38] cannot be directly applied to all the aforementioned DQAM constellations.

In recent years, the differential Multiple-Input Multiple-Output (MIMO) schemes have attracted a lot of attention. More explicitly, Differential Space-Time Modulation (DSTM) design based on group codes was proposed in [39]–[41]. Moreover, Differential Space-Time Block Codes (DSTBCs) were developed based on orthogonal code design principles in [42], [43]. The DSTBCs were further developed for using QAM in [44]–[46] and for employing both non-orthogonal as well as non-unitary designs in [47]. Moreover, in pursuit of a higher rate, the concept of Differential Linear Dispersion Code (DLDC) was proposed in [48], which disperses a total

of  $N_Q$  real-valued symbols with the aid of  $N_Q$  Hermitian dispersion matrices, and then the Cayley transform is used for converting the resultant Hermitian matrix into a unitary matrix. Inspired by the DLDC and the recently developed Spatial Modulation (SM) concept [49], Differential Space-Time Shift Keying (DSTSK) was proposed in [50], where a single one out of a total of  $N_Q$  unitary dispersion matrices is activated in order to disperse a single complex-valued PSK/QAM symbol [50]–[52]. Furthermore, the concept of Differential SM (DSM) was proposed in [53], which may be viewed as a special case of DSTSK [54], where only a single RF chain is employed at the DSM transmitter. The DSM was further developed for using QAM in [55].

Apart from these CDD aided differential MIMO schemes operating in slowly fading channels, the hard-decision MSDD aided DSTBC was developed in [56] for slowly fading channels, while the hard-decision MSDD aided DSTM was derived in [57] for rapidly fading channels. Moreover, the hard-decision DFDD aided DSTM and the hard-decision MSDSD aided DSTM/DSTBC using PSK operating in rapidly fading channels were proposed in [57] and [58], respectively.

At the time of writing, the employment of soft-decision MSDSD/DFDD aided differential MIMO using QAM would remain infeasible without solving the inherent soft-decision DQAM’s amplitude detection problems. Let us consider the hard-decision MSDSD aided DSTBC using QAM in [51] as an example. In uncoded systems the amplitude of the first DSTBC matrix transmitted in a MSDSD window, which does not carry source information is estimated based on the decision-feedback obtained from the previous MSDSD window in [51]. However, in coded systems we observe that the potential error propagation problem may severely erode the LLR reliability of the soft-decision DQAM detection, which degrades the turbo detection performance. Moreover, without reducing the complexity of soft-decision DQAM detection, its extension to differential MIMO schemes can hardly be affordable in turbo detection assisted coded systems.

Against this background, in this paper, we opt to develop a comprehensive solution for the soft-decision DQAM detection in rapidly fading Single-Input Multiple-Output (SIMO) channels, which aims for solving the following two major problems: (1) *how to facilitate the soft-decision detection of the DQAM’s amplitudes, which – in contrast to the DPSK phases – do not form a unitary matrix;* (2) *how to separate and streamline the DQAM’s soft-decision amplitude and phase detectors.* The soft-decision MSDSD arrangements proposed in this paper are summarized in Table I. More explicitly, the novel contributions of this paper are as follows:

- 1) First of all, we propose to invoke the soft-decision SD for both ring-amplitude and phase detection, which has not been presented in the open literature. Depending on the specific treatment of the first DQAM symbol’s amplitude  $\Gamma_1$  of each MSDSD window, we propose the original set, the Hard-Decision-Directed (HDD) set and the Soft-Decision-Directed (SDD) set of MSDSD arrangements of Table I, where  $\Gamma_1$  is either detected as an unknown variable or alternatively, it is estimated based on hard-decision feedback or soft-decision feedback

TABLE I  
THE SOFT-DECISION MSDSD ARRANGEMENTS PROPOSED FOR DQAM IN THIS PAPER.

	Original set	HDD set	SDD set
Type 1 (Generic Algorithm)	MSDSD	HDD-MSDSD	SDD-MSDSD
Type 2 (RC Algorithm)	RC MSDSD	RC HDD-MSDSD	RC SDD-MSDSD
Type 3 (RC & IAP Algorithm)	RC MSDSD-IAP	RC HDD-MSDSD-IAP	RC SDD-MSDSD-IAP

from the previous MSDSD window, respectively. We will demonstrate that both the original set and the SDD set are suitable for the differential-amplitude DQAM schemes of DAPSK, TDAPSK and TDAPSK<sup>JM</sup>, while the HDD set is a better choice for the absolute-amplitude schemes of ADPSK, TADPSK and TADPSK<sup>JM</sup>.

- 2) Secondly, depending on the DQAM mapping technique, we propose three types of MSDSD arrangements using three new MSDSD algorithms, as seen in Table I. Explicitly, the first type of generic MSDSD arrangements in Table I may be invoked by the joint-mapping based DQAM schemes of TADPSK<sup>JM</sup> and TDAPSK<sup>JM</sup>. The second type of Reduced-Complexity (RC) MSDSD arrangements in Table I may be employed by the twisted DQAM of TADPSK and TDAPSK. Moreover, the third type of RC MSDSD arrangements using IAP in Table I, which separately and iteratively detect the DQAM's ring-amplitudes and phases may be employed by ADPSK and DAPSK. Specifically, we will demonstrate that the RC HDD-MSDSD-IAP aided ADPSK is capable of outperforming its MSDSD aided DPSK counterpart [30] *without imposing a higher complexity*.
- 3) Furthermore, the important subject of coherent versus noncoherent detection is discussed. Our simulation results suggest that compared to the coherent Square QAM relying on the classic Pilot Symbol Assisted Modulation (PSAM) [7], the DQAM schemes employing MSDSD may be deemed as a better candidate for turbo detection aided coded systems operating at high Doppler frequencies.
- 4) Moreover, we further propose improved soft-decision DFDD solutions conceived for DQAM, which are equivalent to the MSDD/MSDSD operating in decision-feedback mode. We will demonstrate that the proposed DFDD solutions outperform the conventional prediction-based DFDD solutions of [17], [25]–[27].

The rest of this paper is organized as follows. The DQAM constellations and their notations are introduced in Sec. II. The soft-decision MSDD is configured for DQAM in Sec. III, where the concepts of HDD and SDD are introduced. The three new soft-decision MSDSD algorithms are proposed in Sec. IV, and the improved soft-decision DFDD solutions are developed in Sec. V. Our simulation results are provided in Sec. VI, while our conclusions are offered in Sec. VII.

## II. DQAM CONSTELLATIONS

The mapping of the DQAM data-carrying symbols  $x$  and transmitted symbols  $s$  is summarized in Table II, where the notations  $\gamma$ ,  $\omega$  and  $\psi$  represent the ring-amplitude, phase and ring-amplitude-dependent phase rotation of  $x$ , respectively. Hence we have  $x = \gamma\omega\psi$ . Similarly, the notations  $\Gamma$ ,  $\Omega$  and  $\Psi$  represent the ring-amplitude, phase and ring-amplitude-dependent phase rotation of  $s$ , respectively, where we have

$s = \Gamma\Omega\Psi$ . The modulation index  $m = \text{bin2dec}(b_1 \cdots b_{\text{BPS}})$ , ring-amplitude index  $a = \text{bin2dec}(b_{\text{BPS}+1} \cdots b_{\text{BPS}})$  and phase index  $p = \text{bin2dec}(b_1 \cdots b_{\text{BPS}_P})$  are Gray coded indices  $\tilde{m}$ ,  $\tilde{a}$  and  $\tilde{p}$ , respectively. Moreover, for Star QAM, the notations  $\alpha$  and  $\beta = \frac{\sum_{\mu=0}^{M_A-1} \alpha^{2\mu}}{M_A}$  respectively represent the ring ratio and constellation normalization factor. The advantageous choices in Rayleigh fading channels are  $\alpha = 2.0$  for twin-ring Star QAM [59], [60] and  $\alpha = 1.4$  for quadruple-ring Star QAM [33], respectively.

As seen in Table II, the ADPSK scheme [15], [16] invokes the absolute-amplitude differential encoding process as:

$$s_n = \frac{1}{|s_{n-1}|} x_{n-1} s_{n-1}, \quad (1)$$

which starts from  $s_1 = \frac{1}{\sqrt{\beta}}$ . More explicitly, the ADPSK's data-carrying symbols  $x_{n-1}$  in (1) are modulated as Star QAM symbols as seen in Table II, and then thanks to the normalization of  $\frac{1}{|s_{n-1}|}$  in (1), the transmitted symbols always have the absolute-amplitude of  $\Gamma_n = |s_n| = |x_{n-1}| = \gamma_{n-1}$ .

By contrast, the DAPSK scheme [18], [37] invokes the differential encoding process in the same way as the classic DPSK as:

$$s_n = x_{n-1} s_{n-1}, \quad (2)$$

which also starts from  $s_1 = \frac{1}{\sqrt{\beta}}$ . More explicitly, the DAPSK's transmitted symbols  $s_n$  in (2) are encoded to be Star QAM symbols as  $s_n = \Gamma_n \Omega_n = \frac{\alpha^{\mu_n}}{\sqrt{\beta}} \exp(j \frac{2\pi}{M_P} q_n)$ , where the transmitted symbol's ring-amplitude and phase indices are given by  $[\mu_n = (\tilde{a} + \mu_{n-1}) \bmod M_A]$  and  $[q_n = (\tilde{p} + q_{n-1}) \bmod M_P]$ , respectively. As a result, the modulation of the DAPSK's data-carrying symbol  $x_{n-1}$  in (2) is determined both by the data-carrying modulation index  $m$  as well as by the previous transmitted ring-amplitude  $\Gamma_{n-1} = \frac{\alpha^{\mu_{n-1}}}{\sqrt{\beta}}$ , as seen in Table II.

Based on the classic ADPSK and DAPSK, four DQAM variants in literature are also considered in Table II. More explicitly, the TADPSK and TDAPSK schemes impose a ring-amplitude-dependent phase rotation to the ADPSK and DAPSK schemes, respectively [16], [17]. Moreover, the TADPSK<sup>JM</sup> and TDAPSK<sup>JM</sup> schemes jointly modulate the ring-amplitude and phase for the TADPSK and TDAPSK schemes, respectively, as suggested in [16], [17]. We will demonstrate later that a higher correlation between the ring-amplitude and phase may improve the iteration gain on the EXIT charts, which may result in a performance advantage in specific coded systems.

## III. MULTIPLE-SYMBOL DIFFERENTIAL DETECTION

First of all, the signal received by the  $N_R$  antennas may be modelled as  $\mathbf{Y}_n = s_n \mathbf{H}_n + \mathbf{V}_n$ , where the  $N_R$ -element row-vectors  $\mathbf{Y}_n$ ,  $\mathbf{H}_n$  and  $\mathbf{V}_n$  model the received signal, the Rayleigh fading and AWGN, respectively. Then the  $N_w$  observations may be modelled by the MSDD as:

$$\mathbf{Y} = \mathbf{S}\mathbf{H} + \mathbf{V} = \mathbf{A}\mathbf{P}\mathbf{O}\mathbf{H} + \mathbf{V}, \quad (3)$$

TABLE II  
MODULATION OF THE DQAM'S DATA-CARRYING SYMBOLS.

(a) Absolute-Amplitude DQAM ( $s_n = \frac{1}{ s_{n-1} } x_{n-1} s_{n-1}$ )		(b) Differential-Amplitude DQAM ( $s_n = x_{n-1} s_{n-1}$ )	
ADPSK	$x^m = \gamma^a \omega^p = \frac{\alpha^a}{\sqrt{\beta}} \exp(j \frac{2\pi}{M_P} \tilde{p})$	DAPSK	$x^m = \gamma^a \omega^p = \frac{\alpha^{[(\tilde{a} + \mu_{n-1}) \bmod M_A]}}{\alpha^{\mu_{n-1}}} \exp(j \frac{2\pi}{M_P} \tilde{p})$
TADPSK	$x^m = \gamma^a \omega^p \psi^a = \frac{\alpha^a}{\sqrt{\beta}} \exp(j \frac{2\pi}{M_P} \tilde{p}) \exp(j \frac{2\pi}{M} \tilde{a})$	TDAPSK	$x^m = \gamma^a \omega^p \psi^a = \frac{\alpha^{[(\tilde{a} + \mu_{n-1}) \bmod M_A]}}{\alpha^{\mu_{n-1}}} \exp(j \frac{2\pi}{M_P} \tilde{p}) \exp(j \frac{2\pi}{M} \tilde{a})$
TADPSK <sup>JM</sup>	$x^m = \frac{\alpha^{(\tilde{m} \bmod M_A)}}{\sqrt{\beta}} \exp(j \frac{2\pi}{M} \tilde{m})$	TDAPSK <sup>JM</sup>	$x^m = \frac{\alpha^{\{[(\tilde{m} \bmod M_A) + \mu_{n-1}] \bmod M_A\}}}{\alpha^{\mu_{n-1}}} \exp(j \frac{2\pi}{M} \tilde{m})$

where  $\mathbf{Y} = [\mathbf{Y}_{N_w}^T, \mathbf{Y}_{N_w-1}^T, \dots, \mathbf{Y}_1^T]^T$ ,  $\mathbf{H} = [\mathbf{H}_{N_w}^T, \mathbf{H}_{N_w-1}^T, \dots, \mathbf{H}_1^T]^T$  and  $\mathbf{V} = [\mathbf{V}_{N_w}^T, \mathbf{V}_{N_w-1}^T, \dots, \mathbf{V}_1^T]^T$  are of size  $(N_w \times N_R)$ . Moreover,  $\mathbf{S} = \text{diag}\{s_{N_w}, s_{N_w-1}, \dots, s_1\}$ ,  $\mathbf{A} = \text{diag}\{\Gamma_{N_w}, \Gamma_{N_w-1}, \dots, \Gamma_1\}$ ,  $\mathbf{P} = \text{diag}\{\Omega_{N_w}, \Omega_{N_w-1}, \dots, \Omega_1\}$  and  $\mathbf{O} = \text{diag}\{\Psi_{N_w}, \Psi_{N_w-1}, \dots, \Psi_1\}$  in (3) are all of size  $(N_w \times N_w)$ . We note that  $\mathbf{O}$  is an identity matrix for the ADPSK and DAPSK schemes. The MSDD aims for detecting the  $(N_w - 1)$  data-carrying symbols  $\{x_v\}_{v=1}^{N_w-1}$ , rather than the  $N_w$  transmitted symbols  $\{s_v\}_{v=1}^{N_w}$ . Therefore, the reference symbol  $s_1 = \Gamma_1 \Omega_1 \Psi_1$  should be separated from the transmitted symbols seen in (3) following it, which leads to:

$$\mathbf{Y} = \bar{\mathbf{S}} \bar{\mathbf{H}} + \mathbf{V} = \bar{\mathbf{A}} \bar{\mathbf{P}} \bar{\mathbf{O}} \bar{\mathbf{H}} + \mathbf{V}, \quad (4)$$

where the  $v^{\text{th}}$  diagonal element in  $\bar{\mathbf{P}}$  is given by  $\bar{\Omega}_v = \Omega_v \Omega_1^*$ , which leads to  $\bar{\Omega}_1 = 1$  and  $\bar{\Omega}_v = \omega_{v-1} \Omega_{v-1} = \prod_{t=1}^{v-1} \omega_t$  for  $v > 1$ . Similarly, the  $v^{\text{th}}$  diagonal element in  $\bar{\mathbf{O}}$  is given by  $\bar{\Psi}_v = \Psi_v \Psi_1^*$ , which leads to  $\bar{\Psi}_1 = 1$  and  $\bar{\Psi}_v = \psi_{v-1} \bar{\Psi}_{v-1} = \prod_{t=1}^{v-1} \psi_t$  for  $v > 1$ . As a result, the  $v^{\text{th}}$  row in  $\bar{\mathbf{H}}$  is given by  $\bar{\mathbf{H}}_v = \Omega_1 \Psi_1 \mathbf{H}_v$ , where the constant phase  $\Omega_1 \Psi_1$  does not change the correlations between fading samples, i.e.  $\mathbb{E}\{\bar{\mathbf{H}}_v^H \bar{\mathbf{H}}_{v'}\} = \mathbb{E}\{\mathbf{H}_v^H \mathbf{H}_{v'}\}$ . However, unlike  $\Omega_1$  and  $\Psi_1$ , the value of  $\Gamma_1$  does affect the MSDD decision. Therefore, when  $\bar{\mathbf{A}}$  in (3) is detected by the MSDD,  $\Gamma_1$  is considered as a known term, which is either obtained based on previous MSDD decisions or detected separately as an unknown variable. As a result, there are  $M_A^{(N_w-1)}$  combinations for  $\bar{\mathbf{A}}$  in (3). Specifically, for the absolute-amplitude ADPSK/TADPSK/TADPSK<sup>JM</sup> using (1), the  $v^{\text{th}}$  diagonal element in  $\bar{\mathbf{A}}$  is given by  $\Gamma_v = \gamma_{v-1}$ . By contrast, for the differential-amplitude DAPSK/TDAPSK/TDAPSK<sup>JM</sup> using (2), we have  $\Gamma_v = \gamma_{v-1} \Gamma_{v-1} = \left(\prod_{t=1}^{v-1} \gamma_t\right) \Gamma_1$ .

Based on (4), the MSDD may invoke the optimum Log-MAP algorithm [14], [61] as:

$$\begin{aligned} L_p(b_k) &= \ln \frac{\sum_{\forall \Gamma_1 \in \{\frac{\alpha^{\mu_1}}{\sqrt{\beta}}\}_{\mu_1=0}^{M_A-1}} \sum_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=1}} p(\mathbf{Y}|\Gamma_1, \bar{\mathbf{S}}) p(\Gamma_1) p(\bar{\mathbf{S}})}{\sum_{\forall \Gamma_1 \in \{\frac{\alpha^{\mu_1}}{\sqrt{\beta}}\}_{\mu_1=0}^{M_A-1}} \sum_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=0}} p(\mathbf{Y}|\Gamma_1, \bar{\mathbf{S}}) p(\Gamma_1) p(\bar{\mathbf{S}})} \\ &= L_a(b_k) + L_e(b_k), \end{aligned} \quad (5)$$

where  $L_p(b_k)$ ,  $L_e(b_k)$  and  $L_a(b_k)$  represent the *a posteriori* LLR and the extrinsic LLR produced by the MSDD as well as the *a priori* LLR gleaned from a channel decoder, respectively, while the subsets  $\bar{\mathbf{S}}_{b_k=1}$  and  $\bar{\mathbf{S}}_{b_k=0}$  refer to the MSDD combination sets associated with  $\bar{\mathbf{S}} = \bar{\mathbf{A}} \bar{\mathbf{P}} \bar{\mathbf{O}}$  of (4), with the specific bit  $b_k$  being fixed to 1 and 0, respectively. Furthermore, the probability of receiving  $\mathbf{Y}$  given  $\Gamma_1$  and  $\bar{\mathbf{S}}$

is formulated as [21], [22], [28]:

$$p(\mathbf{Y}|\Gamma_1, \bar{\mathbf{S}}) = \frac{\exp\{-\text{rvec}(\mathbf{Y}) \mathbf{R}_{\mathbf{Y}\mathbf{Y}}^{-1} [\text{rvec}(\mathbf{Y})]^H\}}{\pi^{N_R N_w} \det(\mathbf{R}_{\mathbf{Y}\mathbf{Y}})}, \quad (6)$$

where the operation  $\text{rvec}(\mathbf{Y})$  forms a  $N_R N_w$ -element row-vector by taking the rows of  $\mathbf{Y}$  one-by-one. As a result, the correlation matrix seen in (6) is given by  $\mathbf{R}_{\mathbf{Y}\mathbf{Y}} = \mathbb{E}\{\text{rvec}(\mathbf{Y})^H \text{rvec}(\mathbf{Y})\} = (\bar{\mathbf{O}}^H \bar{\mathbf{P}}^H \mathbf{C} \bar{\mathbf{P}} \bar{\mathbf{O}}) \otimes \mathbf{I}_{N_R}$ , where both  $\bar{\mathbf{P}}$  and  $\bar{\mathbf{O}}$  are unitary matrices, while the operation  $\otimes$  represents the Kronecker product. Moreover, the  $(N_w \times N_w)$ -element channel correlation matrix  $\mathbf{C}$  is given by  $\mathbf{C} = \bar{\mathbf{A}}^H \mathbf{R}_{hh} \bar{\mathbf{A}} + \mathbf{R}_{vv}$ , where the fading correlation matrix  $\mathbf{R}_{hh}$  = Toeplitz( $[\rho_0 \ \rho_1 \ \dots \ \rho_{N_w-1}]$ ) and the AWGN correlation matrix  $\mathbf{R}_{vv} = N_0 \mathbf{I}_{N_w}$  are the same as in the case of DPSK using  $N_R = 1$  in [28], [29]. However, since  $\bar{\mathbf{A}}$  is not a unitary matrix, it cannot be separated from  $\mathbf{C}$  for DQAM detection.

The low-complexity Max-Log-MAP algorithm may be invoked by the MSDD for the sake of simplifying the Log-MAP of (5), and it is given by [61]:

$$\begin{aligned} L_p(b_k) &= \max_{\forall \Gamma_1 \in \{\frac{\alpha^{\mu_1}}{\sqrt{\beta}}\}_{\mu_1=0}^{M_A-1}} \max_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=1}} d(\Gamma_1, \bar{\mathbf{S}}) \\ &\quad - \max_{\forall \Gamma_1 \in \{\frac{\alpha^{\mu_1}}{\sqrt{\beta}}\}_{\mu_1=0}^{M_A-1}} \max_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=0}} d(\Gamma_1, \bar{\mathbf{S}}). \end{aligned} \quad (7)$$

Based on (6), the probability metric seen in (7) may be expressed as:

$$\begin{aligned} d(\Gamma_1, \bar{\mathbf{S}}) &= -\text{tr}(\mathbf{Y}^H \bar{\mathbf{P}} \bar{\mathbf{O}} \mathbf{C}^{-1} \bar{\mathbf{O}}^H \bar{\mathbf{P}}^H \mathbf{Y}) - N_R \ln[\det(\mathbf{C})] \\ &\quad + \sum_{\tilde{k}=1}^{(N_w-1)\text{BPS}} \tilde{b}_{\tilde{k}} L_a(b_{\tilde{k}}), \end{aligned} \quad (8)$$

where the determinant in (6) is given by  $\det(\mathbf{R}_{\mathbf{Y}\mathbf{Y}}) = \det(\mathbf{C})^{N_R}$ , while  $\{\tilde{b}_{\tilde{k}}\}_{\tilde{k}=1}^{(N_w-1)\text{BPS}}$  denotes the bit mapping corresponding to the MSDD candidate  $\bar{\mathbf{S}}$ .

Moreover, instead of assuming that  $\Gamma_1$  is an equiprobable variable in (7), soft-decision feedback on  $\{p(\Gamma_1)\}_{\forall \Gamma_1}$  may be obtained from the previous MSDD window, which leads us to a SDD-MSDD. In more detail,  $d(\Gamma_1, \bar{\mathbf{S}})$  of (8) may be modified to take  $\{p(\Gamma_1)\}_{\forall \Gamma_1}$  into account as:

$$\begin{aligned} d(\Gamma_1, \bar{\mathbf{S}}) &= -\text{tr}(\mathbf{Y}^H \bar{\mathbf{P}} \bar{\mathbf{O}} \mathbf{C}^{-1} \bar{\mathbf{O}}^H \bar{\mathbf{P}}^H \mathbf{Y}) - N_R \ln[\det(\mathbf{C})] \\ &\quad + \sum_{\tilde{k}=1}^{(N_w-1)\text{BPS}} \tilde{b}_{\tilde{k}} L_a(b_{\tilde{k}}) + \ln[p(\Gamma_1)]. \end{aligned} \quad (9)$$

The probabilities may be updated according to  $\{\ln[p(\Gamma_{N_w})]\} = \max_{\forall \bar{\mathbf{A}} \in \langle \forall \Gamma_1, \Gamma_{N_w} \rangle} d(\Gamma_1, \bar{\mathbf{S}})$ , where the ring-amplitudes  $\Gamma_1$  and  $\Gamma_{N_w}$  transmitted both at the start and end of the MSDD window may represent trellis states  $\langle \Gamma_1, \Gamma_{N_w} \rangle$ , while the data-carrying ring-amplitudes  $\bar{\mathbf{A}}$  govern the state transition. As a result, all initial states  $\forall \Gamma_1$  and all transitions  $\forall \bar{\mathbf{A}}$  that lead to the specific trellis termination state of  $\Gamma_{N_w}$  have to be taken into account for evaluating  $\ln[p(\Gamma_{N_w})]$ . Then the

newly updated  $\{\ln p(\Gamma_{N_w})\}_{\forall \Gamma_{N_w}}$  may be passed on to the next MSDD window as  $\{\ln p(\Gamma_1)\}_{\forall \Gamma_1}$ .

If the hard-decision on  $\hat{\Gamma}_1$  is fed back from the previous MSDD window, then a HDD-MSDD invoking the Max-Log-MAP may be simply formulated as:

$$L_p(b_k) = \max_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=1}} d(\hat{\Gamma}_1, \bar{\mathbf{S}}) - \max_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=0}} d(\hat{\Gamma}_1, \bar{\mathbf{S}}), \quad (10)$$

where the hard-decision concerning  $\hat{\Gamma}_{N_w}$  may be passed on to the next MSDD window as  $\hat{\Gamma}_1$ .

#### IV. MULTIPLE-SYMBOL DIFFERENTIAL SPHERE DETECTION

##### A. Generic MSDSD Algorithm

Similar to MSDSD aided DPSK of [29], [30], SD may be invoked for MSDD aided DQAM using the Max-Log-MAP of (7), where the maximization is converted to minimization as:

$$L_p(b_k) = \min_{\forall \Gamma_1 \in \{\frac{\alpha\mu_1}{\sqrt{\beta}}\}_{\mu_1=0}^{M_A-1}} \min_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=1}} d - \min_{\forall \Gamma_1 \in \{\frac{\alpha\mu_1}{\sqrt{\beta}}\}_{\mu_1=0}^{M_A-1}} \min_{\forall \bar{\mathbf{S}} \in \bar{\mathbf{S}}_{b_k=0}} d, \quad (11)$$

while the probability metrics should be guaranteed to have positive values as:

$$d = \sum_{v=1}^{N_w} \left\| \sum_{t=1}^v \tilde{l}_{v-t+1,1} \tilde{\Psi}_t^* \tilde{\Omega}_t^* \mathbf{Y}_t \right\|^2 + N_R \ln(\Gamma_1^2 \rho_0 + N_0) + N_R \sum_{v=2}^{N_w} \ln[(\Gamma_v^2 \rho_0 + N_0) - \tilde{\mathbf{e}}_v^T \tilde{\mathbf{C}}_{v-1}^{-1} \tilde{\mathbf{e}}_v] - \sum_{v=2}^{N_w} \xi_{v-1} - \sum_{v=2}^{N_w} \sum_{\bar{k}_v=1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}]. \quad (12)$$

In more detail, firstly, the trace term in (8) may be evaluated by  $\text{tr}(\mathbf{Y}^H \bar{\mathbf{P}} \bar{\mathbf{O}} \mathbf{C}^{-1} \bar{\mathbf{O}}^H \bar{\mathbf{P}}^H \mathbf{Y}) = \|\mathbf{L}^T \bar{\mathbf{O}}^H \bar{\mathbf{P}}^H \mathbf{Y}\|^2 = \sum_{v=1}^{N_w} \left\| \sum_{t=1}^v l_{N_w-t+1, N_w-v+1} \tilde{\Psi}_t^* \tilde{\Omega}_t^* \mathbf{Y}_t \right\|^2$ , where  $\{\{l_{N_w-t+1, N_w-v+1}\}_{t=1}^v\}_{v=1}^{N_w}$  are elements in the lower triangular matrix  $\mathbf{L}$ , which is obtained from the decomposition of  $\mathbf{C}^{-1} = \mathbf{L}\mathbf{L}^T$ . We note that both  $\mathbf{C} = \mathbf{A}^H \mathbf{R}_{hh} \mathbf{A} + \mathbf{R}_{vv}$  and  $\mathbf{L}$  remain unknown, until all ring-amplitudes in  $\mathbf{A}$  are detected. In order to solve this problem by invoking SD, we define the  $(v \times v)$ -element partial channel correlation matrix  $\tilde{\mathbf{C}}_v$ , which may be evaluated with the aid of the SD's previous decisions concerning  $\{\Gamma_t\}_{t=1}^{v-1}$  and a single variable  $\Gamma_v$  as:

$$\tilde{\mathbf{C}}_v = \begin{bmatrix} \Gamma_v^2 \rho_0 + N_0 & \Gamma_v \Gamma_{v-1} \rho_1 & \cdots & \Gamma_v \Gamma_1 \rho_{v-1} \\ \Gamma_{v-1} \Gamma_v \rho_1 & \Gamma_{v-1}^2 \rho_0 + N_0 & \cdots & \Gamma_{v-1} \Gamma_1 \rho_{v-2} \\ \vdots & \vdots & \ddots & \vdots \\ \Gamma_1 \Gamma_v \rho_{v-1} & \Gamma_1 \Gamma_{v-1} \rho_{v-2} & \cdots & \Gamma_1^2 \rho_0 + N_0 \end{bmatrix} = \begin{bmatrix} \Gamma_v^2 \rho_0 + N_0 & \tilde{\mathbf{e}}_v^T \\ \tilde{\mathbf{e}}_v & \tilde{\mathbf{C}}_{v-1} \end{bmatrix}, \quad (13)$$

while the  $(v-1)$ -element column-vector  $\tilde{\mathbf{e}}_v$  in (13) is given by  $\tilde{\mathbf{e}}_v = [\Gamma_v \Gamma_{v-1} \rho_1, \dots, \Gamma_v \Gamma_1 \rho_{v-1}]^T$ . It can be readily seen that  $\tilde{\mathbf{C}}_v$  is a submatrix of  $\mathbf{C}$ , but they become equal, when the SD index is increased to  $v = N_w$ . As a result, we also have the relationship of  $\{l_{N_w-t+1, N_w-v+1} = \tilde{l}_{v-t+1,1}\}_{t=1}^v$ , where  $\{\tilde{l}_{v-t+1,1}\}_{t=1}^v$  are elements in the  $(v \times v)$ -element lower triangular matrix  $\tilde{\mathbf{L}}_v$ , which is a submatrix of  $\mathbf{L}$ , and  $\tilde{\mathbf{L}}_v$  may be directly obtained from the submatrix decomposition of  $\tilde{\mathbf{L}}_v \tilde{\mathbf{L}}_v^T = \tilde{\mathbf{C}}_v^{-1}$ . Secondly, according to the Leibniz formula [62], the determinant of  $\tilde{\mathbf{C}}_v$  in

(13) may be evaluated by  $\det(\tilde{\mathbf{C}}_v) = \det(\tilde{\mathbf{C}}_{v-1})[(\Gamma_v^2 \rho_0 + N_0) - \tilde{\mathbf{e}}_v^T \tilde{\mathbf{C}}_{v-1}^{-1} \tilde{\mathbf{e}}_v]$ . Therefore, the complete determinant term  $N_R \ln[\det(\mathbf{C})]$  in (8) may be evaluated by  $N_R \ln[\det(\tilde{\mathbf{C}}_1)] + N_R \sum_{v=2}^{N_w} \ln[(\Gamma_v^2 \rho_0 + N_0) - \tilde{\mathbf{e}}_v^T \tilde{\mathbf{C}}_{v-1}^{-1} \tilde{\mathbf{e}}_v]$ , where the initial term is given by  $N_R \ln[\det(\tilde{\mathbf{C}}_1)] = N_R \ln(\Gamma_1^2 \rho_0 + N_0)$ , as seen in (12). Thirdly, the constant of  $\xi_{v-1} = \min_{\forall \Gamma_1 \dots \forall \Gamma_v} N_R \ln[(\Gamma_v^2 \rho_0 + N_0) - \tilde{\mathbf{e}}_v^T \tilde{\mathbf{C}}_{v-1}^{-1} \tilde{\mathbf{e}}_v]$  and the constant of  $\bar{C}_{a,\bar{k}_v} = \frac{1}{2} [L_a(b_{\bar{k}_v}) + L_a(b_{\bar{k}_v}^*)]$  are artificially added in order to maintain a non-negative Euclidean Distance (ED) in (13), as discussed in the context of (17) in [30]. We note that adding the constants of  $\sum_{v=2}^{N_w} (\xi_{v-1} - \bar{C}_{a,\bar{k}_v})$  to the MSDD metric of (8) does not impose any performance difference, and the constants  $\{\xi_{v-1}\}_{v=2}^{N_w}$  may be obtained by brute-force search in an off-line fashion before performing MSDSD.

As a result, based on (12), the SD's Partial ED (PED) may be defined as:

$$d_v = \|\mathbf{l}_{N_w, N_w} \mathbf{Y}_1\|^2 + N_R \ln(\Gamma_1^2 \rho_0 + N_0) + \sum_{v=2}^v \left\| \sum_{t=1}^v \tilde{l}_{v-t+1,1} \tilde{\Psi}_t^* \tilde{\Omega}_t^* \mathbf{Y}_t \right\|^2 + \sum_{v=2}^v \Xi_v - \sum_{v=2}^v \sum_{\bar{k}_v=1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}] = d_{v-1} + \Delta_{v-1}. \quad (14)$$

where the PED increment is given by:

$$\Delta_{v-1} = \left\| \tilde{l}_{1,1} \tilde{\Psi}_{v-1}^* \tilde{\Omega}_{v-1}^* \mathbf{Y}_v + \omega_{v-1} \psi_{v-1} \left( \sum_{t=1}^{v-1} \tilde{l}_{v-t+1,1} \tilde{\Psi}_t^* \tilde{\Omega}_t^* \mathbf{Y}_t \right) \right\|^2 + \Xi_v - \sum_{\bar{k}_v=1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}], \quad (15)$$

while the determinant term is defined as  $\Xi_v = N_R \ln[(\Gamma_v^2 \rho_0 + N_0) - \tilde{\mathbf{e}}_v^T \tilde{\mathbf{C}}_{v-1}^{-1} \tilde{\mathbf{e}}_v] - \xi_{v-1}$ .

Based on the PED of (14), the MSDSD algorithm of [29] may be invoked, but its "sortDelta" subfunction should be revised as summarized in Table III, where the subscript  $m \in \{0, \dots, M-1\}$  represents the data-carrying constellation point index which may be directly translated back to binary source bits as  $[b_1 \dots b_{\text{BPS}}] = \text{dec2bin}(m)$ . Furthermore, the subscript  $\bar{m} \in \{\bar{0}, \dots, \bar{M}-1\}$  represents the constellation point index ordered according to the increasing values of PED increment  $\Delta_{v-1}$ . We note that the MSDD model of (3) stores received signal vectors in a reverse order compared to the one seen in [29] in order to detect the phases according to  $\Omega_v = \omega_{v-1} \Omega_{v-1}$ , instead of detecting them backwards as  $\Omega_{v-1} = \omega_{v-1}^* \Omega_v$ . As a result, the MSDSD algorithm of [29] may now commence from index  $v = 2$  and update the sphere radius at index  $v = N_w$ . The child node counter  $n_{v-1}$  in Table III has the revised range of  $0 \leq n_{v-1} \leq (M-1)$  throughout the SD search, which accords with the range of the constellation point index  $m$ . Moreover, similar to the pseudo-code presented in [29], the MSDSD may initialize the PED as  $d_1 = 0$  for the sake of simplicity, but the  $\Gamma_1$ -related term  $d_1 = \|\mathbf{l}_{N_w, N_w} \mathbf{Y}_1\|^2 + N_R \ln(\Gamma_1^2 \rho_0 + N_0)$  in (14) should be added to the SD's output radius before comparing EDs over  $\Gamma_1$  as seen in (11). It is also worth noting that a total of  $\sum_{v=1}^{N_w} M_A^v$  candidates for  $\{\tilde{\mathbf{L}}_v\}_{v=2}^{N_w}$  and  $\{\Xi_v\}_{v=2}^{N_w}$  seen in (15) may be pre-evaluated and pre-stored in an off-line fashion, before performing MSDSD. The memory required for storing  $\{\tilde{\mathbf{L}}_v\}_{v=2}^{N_w}$  and  $\{\Xi_v\}_{v=2}^{N_w}$  is small compared to that of MSDD.

TABLE III  
PSEUDOCODE FOR THE SCHNORR-EUCHNER SEARCH STRATEGY TAILORED FOR SOFT-DECISION MSDSD AIDED DQAM.

<b>Subfunction:</b> $\{[\Delta_{v-1}^m]_{m=0}^{M-1}, \{x_{v-1}^m\}_{m=0}^{M-1}, n_{v-1}\} = \text{sortDelta}(\{\mathbf{Y}_t\}_{t=1}^v, \{\hat{\Gamma}_t\}_{t=1}^{v-1}, \{\hat{\Psi}_t\}_{t=1}^{v-1}, \{\hat{\Omega}_t\}_{t=1}^{v-1}, \{L_a(b_{\bar{k}_v})\}_{\bar{k}_v=1}^{\text{BPS}}, \{\bar{C}_{a,\bar{k}_v}\}_{\bar{k}_v=1}^{\text{BPS}})\}$	
<b>Requirements:</b> $\{\tilde{l}_{v-t+1,1}\}_{t=1}^v$ in (15) are taken from $\tilde{\mathbf{L}}(\{\hat{\Gamma}_t\}_{t=1}^{v-1}, \Gamma_v) = \tilde{\mathbf{L}}_v$ , which are pre-evaluated and pre-stored. $\Xi(\{\hat{\Gamma}_t\}_{t=1}^{v-1}, \Gamma_v) = \Xi_v$ in (15) are also pre-evaluated and pre-stored.	
1: <b>for</b> $m=0$ <b>to</b> $M-1$	//visit all $M$ child nodes.
2: (ADPSK/TADPSK/TADPSK <sup>JM</sup> ;) $\Gamma_v = \gamma_{v-1}$	//visit $x_{v-1}^m = \gamma_{v-1}\omega_{v-1}\psi_{v-1}$ .
(DAPSK/TDAPSK/TDAPSK <sup>JM</sup> ;) $\Gamma_v = \gamma_{v-1}\hat{\Gamma}_{v-1}$	
3: $\Delta_{v-1}^m = \ \tilde{l}_{1,1}\hat{\Psi}_{v-1}^*\hat{\Omega}_{v-1}^*\mathbf{Y}_v + \omega_{v-1}\psi_{v-1}(\sum_{t=1}^{v-1}\tilde{l}_{v-t+1,1}\hat{\Psi}_t^*\hat{\Omega}_t^*\mathbf{Y}_t)\ ^2$	//evaluate PED increments of (15).
$+ \Xi(\{\hat{\Gamma}_t\}_{t=1}^{v-1}, \Gamma_v) - \sum_{\bar{k}_v=1}^{\text{BPS}}[\tilde{b}_{\bar{k}_v}L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}]$	
4: <b>end for</b>	
5: $\{[\Delta_{v-1}^m]_{m=0}^{M-1}, \{x_{v-1}^m\}_{m=0}^{M-1}\} = \text{sort}(\{[\Delta_{v-1}^m]_{m=0}^{M-1}\})$	//rank PED increments in increasing order.
6: $n_{v-1} = 0$	//initialize child node counter.

Therefore, the optimum ED is given by  $d_{MAP} = \min_{\forall \Gamma_1} (\min_{\forall \bar{s}} d)$ , where  $(\min_{\forall \bar{s}} d)$  is found by the SD without the MSDSD full search, while the corresponding hard-bit decisions  $\{b_k^{MAP}\}_{k=1}^{(N_w-1)\text{BPS}}$  may also be obtained along with  $d_{MAP}$ . Following this, the Max-Log-MAP of (11) may now be completed by:

$$L_p(b_k) = \begin{cases} -d_{MAP} + \bar{d}_{MAP}, & \text{if } b_k^{MAP} = 1, \\ -\bar{d}_{MAP} + d_{MAP}, & \text{if } b_k^{MAP} = 0, \end{cases} \quad (16)$$

where  $\bar{d}_{MAP}$  is obtained by invoking the SD again for evaluating each  $L_p(b_k)$ , where the specific bit  $b_k$  is fixed to be the toggled MAP decision  $\bar{b}_k^{MAP}$ , i.e. we have  $\bar{d}_{MAP} = \min_{\forall \Gamma_1} (\min_{\forall \bar{s}} \{b_k = \bar{b}_k^{MAP}\} d)$ . As a result, the SD is invoked a total of  $M_A[1 + (N_w - 1)\text{BPS}]$  times for producing  $\{L_p(b_k)\}_{k=1}^{(N_w-1)\text{BPS}}$  of (16). As suggested in [30], the repeated SD calculations may be avoided by labelling the previously visited nodes and storing their PED metrics.

Moreover, both HDD-MSDD and SDD-MSDD of Sec. III may be implemented as HDD-MSDSD and SDD-MSDSD, respectively. For HDD-MSDSD,  $\hat{\Gamma}_1$  is known from previous MSDSD window. Therefore, HDD-MSDSD may also produce  $L_p(b_k)$  in the same way as MSDSD of (16), except that the comparisons over the different  $\Gamma_1$  values for obtaining  $d_{MAP}$  and  $\bar{d}_{MAP}$  in (16) may be eliminated, and there is no need to evaluate the  $\Gamma_1$ -related term  $d_1 = \|\mathbf{l}_{N_w, N_w} \mathbf{Y}_1\|^2 + \ln(\Gamma_1^2 \rho_0 + N_0)$ . By contrast, for SDD-MSDSD, the  $\Gamma_1$ -related term has to take  $p(\Gamma_1)$  into account as  $d_1 = \|\mathbf{l}_{N_w, N_w} \mathbf{Y}_1\|^2 + \ln(\Gamma_1^2 \rho_0 + N_0) + \ln[p(\Gamma_1)]$ . In order to obtain  $\{\ln[p(\Gamma_1)]\}_{\forall \Gamma_1}$ , the SD's visited EDs  $d$  may be utilized for evaluating  $\ln[p(\Gamma_{N_w})] = \max_{\forall \bar{A} \in \{\forall \Gamma_1, \Gamma_{N_w}\}} d(\Gamma_1, \bar{\mathbf{S}})$ , where we have  $\{d(\Gamma_1, \bar{\mathbf{S}}) = -d\}_{\forall \Gamma_1, \forall \bar{A}}$ . Following this,  $\{\ln[p(\Gamma_{N_w})]\}_{\forall \Gamma_{N_w}}$  may become  $\{\ln[p(\Gamma_1)]\}_{\forall \Gamma_1}$  for the next MSDSD window. Apart from the extra term of  $\{\ln[p(\Gamma_1)]\}_{\forall \Gamma_1}$ , SDD-MSDSD may proceed in the same way as MSDSD of (16).

### B. RC MSDSD Algorithm

It was proposed in [30] that by exploring the constellation symmetry provided by the  $M_P$ PSK scheme's Gray-coded labelling, the number of constellation points visited by the SD's Schnorr-Euchner search strategy may be significantly reduced for the soft-decision MSDSD aided DPSK. In this section, we further conceive RC design for a range of DQAM constellations, including DAPSK, TDAPSK, ADPSK and TADPSK, which modulate the ring-amplitude and phase separately, so

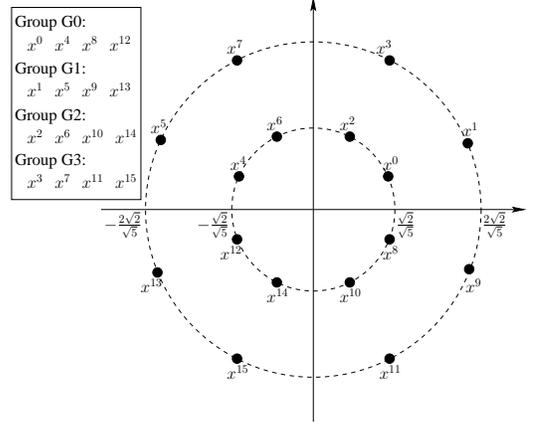


Fig. 1. Constellation diagram for detecting 16-ADPSK(2,8)'s data-carrying symbols, where the 8PSK phases are rotated anti-clockwise by  $\pi/8$  for the sake of reduced-complexity detection design.

that their  $M_P$ PSK phase detection may be performed in the same way as the RC DPSK detection of [30]. First of all, let us rewrite the PED increment of (15) as:

$$\Delta_{v-1} = \tilde{\Delta}_{v-1} + \bar{\Delta}_{v-1}, \quad (17)$$

where the ring-amplitude-related term  $\tilde{\Delta}_{v-1}$  derived from (15) is given by:

$$\tilde{\Delta}_{v-1} = \|\tilde{l}_{1,1} \mathbf{Y}_v\|^2 + \left\| \sum_{t=1}^{v-1} \tilde{l}_{v-t+1,1} \hat{\Psi}_t^* \hat{\Omega}_t^* \mathbf{Y}_t \right\|^2 + \Xi_v + \bar{C}_{a,v-1} - \frac{L_a(b_1) + L_a(b_2)}{2}, \quad (18)$$

while we have the constant  $\bar{C}_{a,v-1} = \sum_{\bar{k}_v=1}^{\text{BPS}} \bar{C}_{a,\bar{k}_v}$ . We note that  $\tilde{\Delta}_{v-1}$  of (18) is invariant over the different phase candidates for  $\omega_{v-1}$  in (15). Furthermore, the  $M_P$ PSK-related term  $\bar{\Delta}_{v-1}$  seen in (17) is given by:

$$\begin{aligned} \bar{\Delta}_{v-1} &= -2\Re[(\omega'_{v-1})^* z'_{v-1}] - \sum_{\bar{k}_v=1}^{\text{BPS}} \tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) \\ &\quad + \frac{L_a(b_1) + L_a(b_2)}{2} \\ &= -2\Re(\omega'_{v-1}) \Re(z'_{v-1}) - 2\Im(\omega'_{v-1}) \Im(z'_{v-1}) \\ &\quad - \sum_{\bar{k}_v=1}^{\text{BPS}} \tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) + \frac{L_a(b_1) + L_a(b_2)}{2}, \end{aligned} \quad (19)$$

where  $\Re(\cdot)$  and  $\Im(\cdot)$  take the real part and the imaginary part of a complex number. In (19), we deliberately rotate all the detected  $M_P$ PSK constellations (except for the special case of  $M_P = 2$ ) anti-clockwise by  $(\pi/M_P)$  as  $\omega'_{v-1} = \omega_{v-1} \exp(j\frac{\pi}{M_P})$ , so that there are exactly  $(M_P/4)$  PSK phases for  $\omega'_{v-1}$  in each quadrant [13], [30]. Accordingly, the decision variable  $z'_{v-1}$  used for detecting  $\omega'_{v-1}$  in (19) is given by:

$$z'_{v-1} = \mathbf{A}_{v-1}^{\text{SD}} (\mathbf{B}_{v-1}^{\text{SD}})^H \exp(j\frac{\pi}{M_P}), \quad (20)$$

where we have  $\mathbf{A}_{v-1}^{\text{SD}} = \tilde{t}_{1,1} \psi_{v-1}^* \tilde{\Psi}_{v-1}^* \tilde{\Omega}_{v-1}^* \mathbf{Y}_v$  and  $\mathbf{B}_{v-1}^{\text{SD}} = -\sum_{t=1}^{v-1} \tilde{t}_{v-t+1,1} \tilde{\Psi}_t^* \tilde{\Omega}_t^* \mathbf{Y}_t$ .

For a generic DQAM scheme, we may consider the  $M$  constellation points as  $M/4$  groups of symmetric QPSK-like constellation points that are associated with the same magnitudes but different polarities. Considering the 16-ADPSK(2,8) scheme as an example, the  $M = 16$  constellation points are assigned to  $M/4 = 4$  groups, as seen in Fig. 1. As a result, the four candidates for  $\bar{\Delta}_{v-1}$  of (19) corresponding to the four QPSK-like constellation points in each group may always be expressed in the following form:

$$\bar{\Delta}_{v-1} = \pm t_{v-1}^{\text{Re}_g} \pm t_{v-1}^{\text{Im}_g} - \sum_{\tilde{k}_v=3}^{\text{BPS}} \tilde{b}_{\tilde{k}_v} L_a(b_{\tilde{k}_v}), \quad (21)$$

where the real and imaginary parts of  $z'_{v-1}$  are associated with  $L_a(b_2)$  and  $L_a(b_1)$ , respectively:

$$\begin{aligned} t_{v-1}^{\text{Re}_g} &= \bar{A}^{\bar{g}} \Re(z'_{v-1}) - \frac{L_a(b_2)}{2}, \\ t_{v-1}^{\text{Im}_g} &= \bar{B}^{\bar{g}} \Im(z'_{v-1}) - \frac{L_a(b_1)}{2}. \end{aligned} \quad (22)$$

The coordinates of the rotated  $M_P$ PSK constellation points for  $\omega'_{v-1}$ , which are located in the first quadrant may be denoted by  $\{(A^{\bar{g}}, B^{\bar{g}})\}_{\bar{g}=0}^{M_P/4-1}$ , and we have  $\bar{A}^{\bar{g}} = 2A^{\bar{g}}$  as well as  $\bar{B}^{\bar{g}} = 2B^{\bar{g}}$  in (22). The relationship between the group index  $g = \text{bin2dec}(b_3 \cdots b_{\text{BPS}})$ , the PSK phase group index  $\bar{g} = \text{bin2dec}(b_3 \cdots b_{\text{BPS}_P})$  and the ring-amplitude index  $a = \text{bin2dec}(b_{\text{BPS}_P+1} \cdots b_{\text{BPS}})$  is given by  $\{g = \bar{g} \cdot M_A + a\}_{\bar{g}=0}^{M_P/4-1} \}_{a=0}^{M_A-1}$ .

Considering the 16-ADPSK(2,8) example of Fig. 1, the four candidates for  $\bar{\Delta}_{v-1}$  of (19) for Group G2 may be expressed as seen in (23), which may all be expressed in the form of (21) as  $\bar{\Delta}_{v-1}^{\pm 2,6,10,14} = \pm t_{v-1}^{\text{Re}_2} \pm t_{v-1}^{\text{Im}_2} - L_a(b_3)$ , where we have  $t_{v-1}^{\text{Re}_2} = 2 \sin(\frac{\pi}{8}) \Re(z'_{v-1}) - \frac{L_a(b_2)}{2}$  and  $t_{v-1}^{\text{Im}_2} = 2 \cos(\frac{\pi}{8}) \Im(z'_{v-1}) - \frac{L_a(b_1)}{2}$ .

It may be observed that the only difference between the four candidates of  $\bar{\Delta}_{v-1}$  in (21) is the polarity of  $t_{v-1}^{\text{Re}_g}$  and  $t_{v-1}^{\text{Im}_g}$ . This allows us to directly obtain the local minimum metric of Group Gg ( $g \in \{0 \cdots, M/4 - 1\}$ ) by simply evaluating:

$$\bar{\Delta}_{v-1}^g = - \left| t_{v-1}^{\text{Re}_g} \right| - \left| t_{v-1}^{\text{Im}_g} \right| - \sum_{\tilde{k}_v=3}^{\text{BPS}} \tilde{b}_{\tilde{k}_v} L_a(b_{\tilde{k}_v}). \quad (24)$$

As a result, instead of evaluating and comparing four metrics according to the four constellation points in each group, only the constellation point in the first quadrant is visited by the one-step evaluation of (24). Moreover, after obtaining the  $M/4$  local minima of each group according to (24), the global minimum PED increment candidate for  $\Delta_{v-1}$  of (17) may be simply given by:

$$\Delta_{v-1} = \min_{g \in \{0 \cdots, M/4-1\}} \bar{\Delta}_{v-1}^g + \tilde{\Delta}_{v-1}^a, \quad (25)$$

which is obtained by evaluating and comparing a reduced number of  $M/4$  metrics according to the  $M/4$  constellation points in the first quadrant.

Similar to [30], a Comparison Window (CW) may be introduced in order to invoke the Schnorr-Euchner search strategy, where the DQAM constellation points are visited in a zig-zag fashion. More explicitly, when the SD visits a specific index  $v$  for the first time, the CW stores the local minima  $\{\bar{\Delta}_{v-1}^g + \tilde{\Delta}_{v-1}^a\}_{g=0}^{M/4-1}$  from all groups and produces the

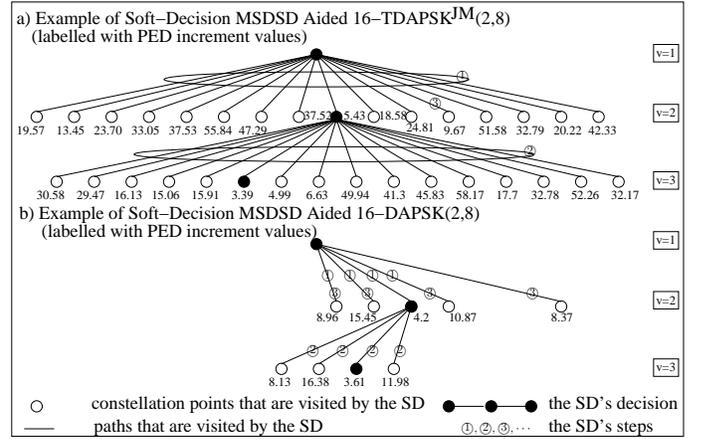


Fig. 2. Example of soft-decision MSDSD aided 16-TDAPSK<sup>JM</sup>(2,8) invoking the Schnorr-Euchner search strategy of Table III in comparison to soft-decision RC MSDSD aided 16-DAPSK(2,8) invoking Tables IV and V, which are recorded at SNR=9 dB,  $f_d = 0.03$  and  $I_A = 0.3$ ,  $N_R = 2$  and  $N_w = 3$ .

global minimum according to (25), which is represented by the “findBest” subfunction of Table IV. When the SD re-visits a specific index  $v$ , the CW has to update a new local child node for the group which is the one that produced the previous global child node. A group may be marked ‘completed’ when all its four QPSK-like child nodes have been tested using a zigzag pattern. By contrast, each incomplete group may provide a local child node candidate in the CW, and the CW may once again produce the global child node according to (25). This strategy is represented by the “findNext” subfunction of Table V. In summary, the soft-decision RC MSDSD algorithm designed for DPSK in Table I of [30] may be invoked for DQAM, but the subfunctions should be replaced by Tables IV and V of this paper.

Owing to the fact that only  $M/4$  candidates are evaluated and compared by the CW, up to 75% of the child nodes are avoided by our RC MSDSD design, which is verified by the examples portrayed in Fig. 2. It can be seen in Fig. 2 that with the same number of SD steps, the soft-decision RC MSDSD aided 16-DAPSK(2,8) using the Schnorr-Euchner algorithm of Tables IV and V visits a substantially reduced number of constellation points compared to the soft-decision MSDSD aided 16-TDAPSK<sup>JM</sup>(2,8) invoking Table III.

Moreover, as discussed in [30], the RC Schnorr-Euchner algorithm of Tables IV and V avoids to invoke the sorting algorithm as seen in line 5 of Table III, where the average number of comparisons required by the classic sorting algorithms (e.g. Bubble sort, Timsort, Library sort, etc.) [63], [64] is as high as  $O(M \log M)$ .

### C. RC MSDSD-IAP Algorithm

In order to further reduce the MSDSD complexity in coded DQAM systems, we propose the RC MSDSD-IAP algorithm as follows:

**Step 1:** An initial estimate of the phase matrix  $\bar{\mathbf{P}}$  of (4) may be obtained by the CDD as introduced in [37]. Explicitly, the data-carrying phases are given by  $\hat{\omega}_{v-1} = \exp(j \frac{2\pi}{M_P} \check{p}_{v-1})$ , where we have  $\check{p}_{v-1} = \lfloor \frac{M_P}{2\pi} \angle(\mathbf{Y}_v \mathbf{Y}_{v-1}^H) \rfloor$  for  $v \in \{2, \dots, N_w\}$ . Then the transmitted phases in  $\hat{\mathbf{P}}$  may be obtained by  $\hat{\Omega}_v = \hat{\omega}_{v-1} \hat{\Omega}_{v-1}$  commencing from  $\hat{\Omega}_1 = 1$ .

TABLE IV

PSEUDO-CODE FOR THE SCHNORR-EUCHNER SEARCH STRATEGY TAILORED FOR SOFT-DECISION RC MSDSD AIDED DQAM (PART I).

<b>Subfunction:</b>	$\{ \{  t_{v-1}^{Reg}  \}_{g=0}^{M/4-1}, \{  t_{v-1}^{Img}  \}_{g=0}^{M/4-1}, \{ \tilde{\Delta}_{v-1}^a \}_{a=0}^{M_A-1}, \{ \text{CW}_{v-1}^g \}_{g=0}^{M/4-1}, \{ \text{CW}m_{v-1}^g \}_{g=0}^{M/4-1}, \{ n_{v-1}^g \}_{g=0}^{M/4-1}, \Delta_{v-1}, m_{v-1}, n_{v-1} \} = \text{findBest}(\{ \mathbf{Y}_t \}_{t=1}^v, \{ \hat{\Gamma}_t \}_{t=1}^{v-1}, \{ \hat{\Psi}_t \}_{t=1}^{v-1}, \{ \hat{\Omega}_t \}_{t=1}^{v-1}, \{ L_a(b_{k_v}) \}_{k_v=1}^{\text{BPS}}, \bar{C}_{a,v-1})$
<b>Requirements:</b>	We define the <i>a priori</i> knowledge of group index as $\{ P^g = \sum_{k_v=3}^{\text{BPS}} \tilde{b}_{k_v} L_a(b_{k_v}) \}_{g=0}^{M/4-1}$ .
1:	<b>for</b> $a = 0$ <b>to</b> $M_A - 1$
2:	(ADPSK/TADPSK/TADPSK <sup>IM</sup> ): $\Gamma_v = \gamma_{v-1}^a$ //fix the specific $\Gamma_v$ for $\tilde{\mathbf{L}}_v$ and $\Xi_v$ (DAPSK/TDAPSK/TDAPSK <sup>IM</sup> ): $\Gamma_v = \gamma_{v-1}^a \hat{\Gamma}_{v-1}$
3:	$\mathbf{A}_{v-1}^{\text{SD}} = \tilde{l}_{1,1} \psi_{v-1}^* \tilde{\Psi}_{v-1}^* \tilde{\Omega}_{v-1}^* \mathbf{Y}_v$ //evaluate $\mathbf{A}_{v-1}^{\text{SD}}$ according to (20)
4:	$\mathbf{B}_{v-1}^{\text{SD}} = -\sum_{t=1}^{v-1} \tilde{l}_{v-t+1,1} \tilde{\Psi}_t^* \tilde{\Omega}_t^* \mathbf{Y}_t$ //evaluate $\mathbf{B}_{v-1}^{\text{SD}}$ according to (20)
5:	$z'_{v-1} = \mathbf{A}_{v-1}^{\text{SD}} (\mathbf{B}_{v-1}^{\text{SD}})^H \exp(j \frac{\pi}{M_P})$ //evaluate decision variable of (20)
6:	$\tilde{\Delta}_{v-1}^a = \ \mathbf{A}_{v-1}^{\text{SD}}\ ^2 + \ \mathbf{B}_{v-1}^{\text{SD}}\ ^2 + \Xi_v + \bar{C}_{a,v-1} - \frac{L_a(b_1) + L_a(b_2)}{2}$ //evaluate ring-amplitude-related term of (18)
7:	<b>for</b> $\hat{g} = 0$ <b>to</b> $M_P/4 - 1$
8:	$g = \hat{g} M_A + a$ //update group index
9:	$ t_{v-1}^{Reg}  =  \overline{A}^{\hat{g}} \Re(z'_{v-1}) - \frac{L_a(b_2)}{2} $ //associate $\Re(z'_{v-1})$ to $L_a(b_2)$ as defined in (22)
10:	$ t_{v-1}^{Img}  =  \overline{B}^{\hat{g}} \Im(z'_{v-1}) - \frac{L_a(b_1)}{2} $ //associate $\Im(z'_{v-1})$ to $L_a(b_1)$ as defined in (22)
11:	$\text{CW}_{v-1}^g = - t_{v-1}^{Reg}  -  t_{v-1}^{Img}  - P^g + \tilde{\Delta}_{v-1}^a$ //update local minimum of each group
12:	$b_1 = (t_{v-1}^{Img} < 0)$ //update local optimum child node index
13:	$b_2 = (t_{v-1}^{Reg} < 0)$
14:	$\text{CW}m_{v-1}^g = \text{bin2dec}(b_1 b_2 b_3 \cdots b_{\text{BPS}})$ //recall that we have $[b_3 \cdots b_{\text{BPS}}] = \text{dec2bin}(g)$
15:	$n_{v-1}^g = 0$ //initialize child node counter for each group
16:	<b>end for</b>
17:	<b>end for</b>
18:	$[\Delta_{v-1}, \hat{g}] = \min(\{ \text{CW}_{v-1}^g \}_{g=0}^{M/4-1})$ //update global minimum
19:	$m_{v-1} = \text{CW}m_{v-1}^{\hat{g}}$ //initialize global optimum child node index
20:	$n_{v-1} = 0$ //update global child node counter

TABLE V

PSEUDO-CODE FOR THE SCHNORR-EUCHNER SEARCH STRATEGY TAILORED FOR SOFT-DECISION RC MSDSD AIDED DQAM (PART II).

<b>Subfunction:</b>	$\{ \{ \text{CW}_{v-1}^g \}_{g=0}^{M/4-1}, \{ \text{CW}m_{v-1}^g \}_{g=0}^{M/4-1}, \{ n_{v-1}^g \}_{g=0}^{M/4-1}, \{ \text{cd}_{v-1}^g \}_{g=0}^{M/4-1}, \Delta_{v-1}, m_{v-1}, n_{v-1} \} = \text{findNext}(\{  t_{v-1}^{Reg}  \}_{g=0}^{M/4-1}, \{  t_{v-1}^{Img}  \}_{g=0}^{M/4-1}, \{ \tilde{\Delta}_{v-1}^a \}_{a=0}^{M_A-1}, \{ \text{CW}_{v-1}^g \}_{g=0}^{M/4-1}, \{ \text{CW}m_{v-1}^g \}_{g=0}^{M/4-1}, \{ n_{v-1}^g \}_{g=0}^{M/4-1}, \{ \text{cd}_{v-1}^g \}_{g=0}^{M/4-1}, \Delta_{v-1}, m_{v-1}, n_{v-1})$
1:	$b_1 \cdots b_{\text{BPS}} = \text{dec2bin}(m_{v-1})$ //obtain the previously tested child node
2:	$\hat{a} = \text{bin2dec}(b_{\text{BPS}_P+1} \cdots b_{\text{BPS}})$ //previously tested group's amplitude index
3:	$\hat{g} = \text{bin2dec}(b_3 \cdots b_{\text{BPS}})$ //update previously tested group's index
4:	$n_{v-1}^{\hat{g}}++$ //update child node counter
5:	<b>switch</b> $n_{v-1}^{\hat{g}}$
6:	<b>case 1:</b> $\text{cd}_{v-1}^{\hat{g}} = \text{sign}( t_{v-1}^{Reg}  -  t_{v-1}^{Img} )$ //update the condition of group $\hat{g}$
7:	<b>if</b> $\text{cd}_{v-1}^{\hat{g}} == 1$ //the case of $ t_{v-1}^{Reg}  >  t_{v-1}^{Img} $
8:	$\text{CW}_{v-1}^{\hat{g}} = - t_{v-1}^{Reg}  +  t_{v-1}^{Img}  - P^{\hat{g}} + \tilde{\Delta}_{v-1}^{\hat{a}}$ //alter the imaginary part of the local minimum
9:	$\text{CW}m_{v-1}^{\hat{g}} = \text{bin2dec}(\bar{b}_1 b_2 b_3 \cdots b_{\text{BPS}})$ //alter $b_1$ in the mapping of the local optimum
10:	<b>else</b>
11:	$\text{CW}_{v-1}^{\hat{g}} =  t_{v-1}^{Reg}  -  t_{v-1}^{Img}  - P^{\hat{g}} + \tilde{\Delta}_{v-1}^{\hat{a}}$ //alter the real part of the local minimum
12:	$\text{CW}m_{v-1}^{\hat{g}} = \text{bin2dec}(b_1 \bar{b}_2 b_3 \cdots b_{\text{BPS}})$ //alter $b_2$ in the mapping of the local optimum
13:	<b>end if</b>
14:	<b>break</b>
15:	<b>case 2:</b> $\text{CW}_{v-1}^{\hat{g}} = -\bar{\Delta}_{v-1} - 2P^{\hat{g}} + 2\tilde{\Delta}_{v-1}^{\hat{a}}$ //alter decision made in Case 1
16:	$\text{CW}m_{v-1}^{\hat{g}}(\hat{g}) = \text{bin2dec}(\bar{b}_1 \bar{b}_2 b_3 \cdots b_{\text{BPS}})$
17:	<b>break</b>
18:	<b>case 3:</b> $\text{CW}_{v-1}^{\hat{g}} =  t_{v-1}^{Reg}  +  t_{v-1}^{Img}  - P^{\hat{g}} + \tilde{\Delta}_{v-1}^{\hat{a}}$ //alter the local optimum child node
19:	<b>if</b> $\text{cd}_{v-1}^{\hat{g}} == 1$ $\text{CW}m_{v-1}^{\hat{g}} = \text{bin2dec}(\bar{b}_1 b_2 b_3 \cdots b_{\text{BPS}})$ //alter $b_1$ in the mapping decision maded in Case 2
20:	<b>else</b> $\text{CW}m_{v-1}^{\hat{g}} = \text{bin2dec}(b_1 \bar{b}_2 b_3 \cdots b_{\text{BPS}})$ //alter $b_2$ in the mapping decision maded in Case 2
21:	<b>break</b>
22:	<b>end switch</b>
23:	$\Delta_{v-1} = \text{inf}$ //initialize global minimum
24:	<b>for</b> $g = 0$ <b>to</b> $M/4 - 1$
25:	<b>if</b> $\text{CW}_{v-1}^g < \Delta_{v-1}$ <b>and</b> $n_{v-1}^g < 4$ //compare local minima from 'incompleted' groups
26:	$\Delta_{v-1} = \text{CW}_{v-1}^g$ //update global minimum
27:	$m_{v-1} = \text{CW}m_{v-1}^g$ //update global child node
28:	<b>end if</b>
29:	<b>end for</b>
30:	$n_{v-1}++$ //update global child node counter

$$\begin{aligned}
\bar{\Delta}_{v-1}^2 &= -2 \sin\left(\frac{\pi}{8}\right) \Re(z'_{v-1}) - 2 \cos\left(\frac{\pi}{8}\right) \Im(z'_{v-1}) - L_a(b_3) + \frac{L_a(b_1)+L_a(b_2)}{2} = -t_{v-1}^{Re_2} - t_{v-1}^{Im_2} - L_a(b_3), \\
\bar{\Delta}_{v-1}^6 &= 2 \sin\left(\frac{\pi}{8}\right) \Re(z'_{v-1}) - 2 \cos\left(\frac{\pi}{8}\right) \Im(z'_{v-1}) - L_a(b_2) - L_a(b_3) + \frac{L_a(b_1)+L_a(b_2)}{2} = t_{v-1}^{Re_2} - t_{v-1}^{Im_2} - L_a(b_3), \\
\bar{\Delta}_{v-1}^{10} &= -2 \sin\left(\frac{\pi}{8}\right) \Re(z'_{v-1}) + 2 \cos\left(\frac{\pi}{8}\right) \Im(z'_{v-1}) - L_a(b_1) - L_a(b_3) + \frac{L_a(b_1)+L_a(b_2)}{2} = -t_{v-1}^{Re_2} + t_{v-1}^{Im_2} - L_a(b_3), \\
\bar{\Delta}_{v-1}^{14} &= 2 \sin\left(\frac{\pi}{8}\right) \Re(z'_{v-1}) + 2 \cos\left(\frac{\pi}{8}\right) \Im(z'_{v-1}) - L_a(b_1) - L_a(b_2) - L_a(b_3) + \frac{L_a(b_1)+L_a(b_2)}{2} = t_{v-1}^{Re_2} + t_{v-1}^{Im_2} - L_a(b_3),
\end{aligned} \tag{23}$$

**Step 2:** Upon obtaining  $\hat{\mathbf{P}}$ , the transmitted ring-amplitudes  $\Gamma_1$  and  $\bar{\mathbf{A}}$  of (4) may be estimated by the Multiple-Symbol Differential Amplitude Sphere Detection (MSDASD) as:

$$\{\hat{\Gamma}_1, \hat{\bar{\mathbf{A}}}\} = \arg \min_{\Gamma_1} \min_{\bar{\mathbf{A}}} d^{MSDASD}. \tag{26}$$

where the MSDASD's ED of  $d^{MSDASD}$  is given by the MSDSD's ED of (12) associated with the fixed phases  $\{\hat{\Omega}_v\}_{v=1}^{N_w}$  as:

$$\begin{aligned}
d^{MSDASD} &= \sum_{v=1}^{N_w} \left\| \sum_{t=1}^v \tilde{l}_{v-t+1,1} \tilde{\Psi}_t^* \hat{\Omega}_t^* \mathbf{Y}_t \right\|^2 \\
&+ N_R \ln(\Gamma_1^2 \rho_0 + N_0) + \sum_{v=2}^{N_w} \Xi_v \\
&- \sum_{v=2}^{N_w} \sum_{\bar{k}_v=\text{BPS}_P+1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}].
\end{aligned} \tag{27}$$

We note that the phase-related term of  $\{-\sum_{v=2}^{N_w} \sum_{\bar{k}_v=\text{BPS}_P+1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}]\}$  in (12) is omitted from (27). Explicitly, the MSDASD of (26) may obtain  $(\min_{\bar{\mathbf{A}}} d^{MSDASD})$  with the aid of the SD algorithm introduced in Sec. IV-A. Since all phases  $\{\hat{\Omega}_v\}_{v=1}^{N_w}$  are known for the MSDASD, there are only a total of  $M_A$  candidates for the PED increment seen in line 3 of Table III, which may be expressed as  $\Delta_{v-1}^a = \left\| \sum_{t=1}^v \tilde{l}_{v-t+1,1} \tilde{\Psi}_t^* \hat{\Omega}_t^* \mathbf{Y}_t \right\|^2 + \Xi_v - \sum_{\bar{k}_v=\text{BPS}_P+1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}]$ .

**Step 3:** After estimating the ring-amplitudes  $\hat{\Gamma}_1$ ,  $\hat{\bar{\mathbf{A}}}$  and hence also obtaining  $\hat{\mathbf{O}}$  and  $\hat{\mathbf{C}} = \hat{\bar{\mathbf{A}}}^H \mathbf{R}_{hh} \hat{\bar{\mathbf{A}}} + \mathbf{R}_{vv}$ , the estimate of the  $M_P$ PSK candidates may be improved by the Multiple-Symbol Differential Phase Sphere Detection (MSDPSD) as:

$$\hat{\mathbf{P}} = \arg \min_{\bar{\mathbf{P}}} d^{MSDPSD}, \tag{28}$$

where the MSDPSD's ED of  $d^{MSDPSD}$  is given by the MSDSD's ED of (12) associated with the fixed  $\hat{\Gamma}_1$ ,  $\hat{\bar{\mathbf{A}}}$  and  $\{\hat{\Psi}_v\}_{v=1}^{N_w}$  as:

$$\begin{aligned}
d^{MSDPSD} &= \sum_{v=1}^{N_w} \left\| \sum_{t=1}^v l_{N_w-t+1, N_w-v+1} \hat{\Psi}_t^* \bar{\Omega}_t^* \mathbf{Y}_t \right\|^2 \\
&- \sum_{v=2}^{N_w} \sum_{\bar{k}_v=\text{BPS}_P+1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}].
\end{aligned} \tag{29}$$

In more detail,  $\{\{l_{N_w-t+1, N_w-v+1}\}_{t=1}^v\}_{v=1}^{N_w}$  are elements in the lower triangular matrix  $\mathbf{L}$ , which is decomposed from  $\mathbf{L}\mathbf{L}^H = \hat{\mathbf{C}}^{-1}$ . Moreover, the ring-amplitude-related term of  $\{N_R \ln(\Gamma_1^2 \rho_0 + N_0) + \sum_{v=2}^{N_w} \Xi_v - \sum_{v=2}^{N_w} \sum_{\bar{k}_v=\text{BPS}_P+1}^{\text{BPS}} [\tilde{b}_{\bar{k}_v} L_a(b_{\bar{k}_v}) - \bar{C}_{a,\bar{k}_v}]\}$  in the ED expression (12) is omitted from (29). It may be observed that the MSDPSD's ED (29) is in the same form as (17) in [30], where the vector in (17) of [30] is now given by  $\{\{\mathbf{U}_{t,v} = l_{N_w-t+1, N_w-v+1} \hat{\Psi}_t^* \mathbf{Y}_t\}_{t=1}^v\}_{v=1}^{N_w}$  according to (29), while the phase variables  $\{\tilde{s}_t\}_{t=1}^v$  in (17) of [30] correspond to  $\{\bar{\Omega}_t\}_{t=1}^v$  in (29). Therefore, the RC MSDSD aided DPSK of [30] may be directly invoked for the MSDPSD of (28).

**Step 4:** In order to achieve a near-optimum MSDD performance, Step 2 and Step 3 may be repeated  $IR_{AP}$  times.

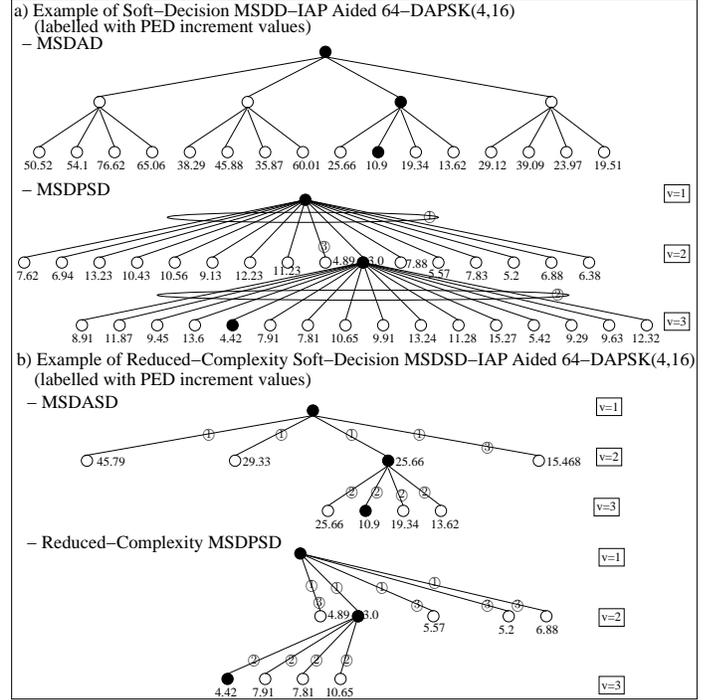


Fig. 3. Example of soft-decision MSDD-IAP of [38] and the proposed soft-decision RC MSDSD-IAP conceived for 64-DAPSK(4,16), which are recorded at SNR=9 dB,  $f_d = 0.03$  and  $I_A = 0.3$ ,  $N_R = 2$  and  $N_w = 3$ .

Finally, the ring-amplitude-related soft-bit decisions may be made by the MSDASD as:

$$L_p(b_k) = \begin{cases} -d_{MAP}^{MSDASD} + \bar{d}_{MAP}^{MSDASD}, & \text{if } b_k^{MAP} = 1, \\ -\bar{d}_{MAP}^{MSDASD} + d_{MAP}^{MSDASD}, & \text{if } b_k^{MAP} = 0, \end{cases} \tag{30}$$

where  $d_{MAP}^{MSDASD} = \min_{\Gamma_1} \min_{\bar{\mathbf{A}}} d^{MSDASD}$  is obtained by the MSDASD of (26) in Step 2, while the corresponding hard-bit decisions  $\{\{b_k^{MAP}\}_{k=(v-2)\text{BPS}+\text{BPS}_P+1}^{(v-1)\text{BPS}}\}_{v=2}^{N_w}$  are also recorded along with  $d_{MAP}^{MSDASD}$ . Then  $\bar{d}_{MAP}^{MSDASD} = \min_{\Gamma_1} \min_{\bar{\mathbf{A}}} d_{N_w}^{MSDASD}$  in (30) may be obtained by invoking the MSDASD algorithm again for each  $L_p(b_k)$ , where  $b_k$  is fixed to be the flipped MAP decision  $\bar{b}_k^{MAP}$ . Furthermore, the phase-related soft-bit decisions may be produced by the MSDPSD as:

$$L_p(b_k) = \begin{cases} -d_{MAP}^{MSDPSD} + \bar{d}_{MAP}^{MSDPSD}, & \text{if } b_k^{MAP} = 1, \\ -\bar{d}_{MAP}^{MSDPSD} + d_{MAP}^{MSDPSD}, & \text{if } b_k^{MAP} = 0, \end{cases} \tag{31}$$

where  $d_{MAP}^{MSDPSD} = \min_{\bar{\mathbf{P}}} d^{MSDPSD}$  and the corresponding  $\{\{b_k^{MAP}\}_{k=(v-2)\text{BPS}+\text{BPS}_P+1}^{(v-1)\text{BPS}}\}_{v=2}^{N_w}$  may be obtained by (28) in Step 3, while  $\bar{d}_{MAP}^{MSDPSD} = \min_{\bar{\mathbf{P}}} d_{N_w}^{MSDPSD}$  is obtained by invoking the MSDPSD algorithm again for soft-bit decisions  $\{\{L_p(b_k)\}_{k=(v-2)\text{BPS}+\text{BPS}_P+1}^{(v-1)\text{BPS}}\}_{v=2}^{N_w}$ , when the specific bit  $b_k$  is fixed to be the flipped MAP decision  $\bar{b}_k^{MAP}$ .

Fig. 3 exemplifies the comparison between the conventional MSDD-IAP of [38] and the RC MSDSD-IAP conceived for 64-DAPSK(4,16). It is evidenced by Fig. 3 that with the aid of

sphere decoding, the MSDASD effectively reduces the number of ring-amplitude candidates visited by the conventional Multiple-Symbol Differential Amplitude Detection (MSDAD), while with the aid of the RC  $M_P$ PSK phase detection of [30], the RC MSDPSD also successfully reduces the number of  $M_P$ PSK candidates visited by the conventional MSDPSD.

## V. DECISION-FEEDBACK DIFFERENTIAL DETECTION

In order to conceive the DFDD that is equivalent to MSDD/MSDSD operating in decision-feedback mode, the MSDD of (7) may be revised for DFDD as:

$$L_P(b_k) = \max_{\forall \{\gamma_{N_w-1}, \omega_{N_w-1}\}_{b_k=1}} d(\gamma_{N_w-1}, \omega_{N_w-1}) - \max_{\forall \{\gamma_{N_w-1}, \omega_{N_w-1}\}_{b_k=0}} d(\gamma_{N_w-1}, \omega_{N_w-1}), \quad (32)$$

where the DFDD's probability metric is given by toggling the polarity of the MSDSD's PED increment  $\Delta_{v-1}$  of (15) associated with the index  $v = N_w$  as:

$$d(\gamma_{N_w-1}, \omega_{N_w-1}) = -\|l_{1,1} \hat{\Psi}_{N_w-1}^* \hat{\Omega}_{N_w-1}^* \mathbf{Y}_{N_w} + \omega_{N_w-1} \psi_{N_w-1} \left( \sum_{t=1}^{N_w-1} l_{N_w-t+1,1} \hat{\Psi}_t^* \hat{\Omega}_t^* \mathbf{Y}_t \right) \|^2 - \tilde{\Xi}_{N_w} + \sum_{k=1}^{\text{BPS}} \tilde{b}_k L_a(b_k). \quad (33)$$

The variable ring-amplitude  $\gamma_{N_w-1}$  determines  $\{l_{N_w-t+1,1}\}_{t=1}^{N_w}$ ,  $\psi_{N_w-1}$  and  $\tilde{\Xi}_{N_w}$  in (33), where we have  $\tilde{\Xi}_{N_w} = \ln \left[ (\Gamma_{N_w}^2 + N_0) - \tilde{\mathbf{e}}_{N_w}^T \tilde{\mathbf{C}}_{N_w-1}^{-1} \tilde{\mathbf{e}}_{N_w} \right]$ . The constant  $\xi_{N_w}$  in  $\Xi_{N_w}$  as well as the constant  $\ln \bar{C}_A^{N_w-1}$  seen in (15) may be ignored by the DFDD.

Furthermore, the first transmitted ring-amplitude  $\Gamma_1$  of each DFDD window should still be treated as a separate variable for the differential-amplitude DQAM schemes. More explicitly, for the differential-amplitude DQAM using (2), any erroneous decisions concerning  $\Gamma_1$  and  $\{\gamma_t\}_{t=1}^{v-1}$  may degrade the decision reliability concerning  $\Gamma_v = \left( \prod_{t=1}^{v-1} \gamma_t \right) \Gamma_1$ . By contrast, according to (1), we always have  $\Gamma_v = \gamma_{v-1}$  for the absolute-amplitude DQAM schemes, which do not have the error propagation problem. Therefore, for the differential-amplitude DQAM of DAPSK, TDAPSK and TDAPSK<sup>JM</sup>, the DFDD using the Max-Log-MAP of (33) may be revised as (34), where the probability metric is given by the MSDSD's ED of (12) associated with decision feedback based on  $\{\hat{x}_v = \hat{\gamma}_v \hat{\omega}_v \hat{\psi}_v\}_{v=1}^{N_w-2}$  and hence also on  $\{\hat{s}_v = \hat{\Gamma}_v \hat{\Omega}_v \hat{\Psi}_v\}_{v=2}^{N_w-1}$  as (35), where the constant of  $\sum_{v=2}^{N_w-1} [\sum_{k=(v-2)\text{BPS}}^{(v-1)\text{BPS}} \tilde{b}_k L_a(b_k) - \ln \bar{C}_A^{v-1}] - \ln \bar{C}_A^{N_w-1}$  in the MSDSD's ED of Eq. (12) is ignored. In this way, the potentially erroneous decision concerning  $\Gamma_{N_w}$  made during the current DFDD window will not degrade the following DFDD windows.

We note that the conventional DFDD in [17], [26], [27] ignored the problem of having a ring-amplitude-dependent channel correlation matrix  $\mathbf{C} = \bar{\mathbf{A}}^H \mathbf{R}_{hh} \bar{\mathbf{A}} + \mathbf{R}_{vv}$ . More explicitly, the DFDD probability metric for absolute-amplitude DQAM is given by [17]:

$$d(x_{N_w-1}) = -\frac{\left\| \mathbf{Y}_{N_w} - \frac{x_{N_w-1} \hat{s}_{N_w-1}}{\Gamma_{N_w-1}} \left[ \sum_{t=1}^{N_w} \bar{w}_t \mathbf{Y}_t / (\hat{s}_t) \right] \right\|^2}{1 + N_0 - \mathbf{e}_{N_w}^T \bar{\mathbf{w}}} + \sum_{k=1}^{\text{BPS}} \tilde{b}_k L_a(b_k), \quad (36)$$

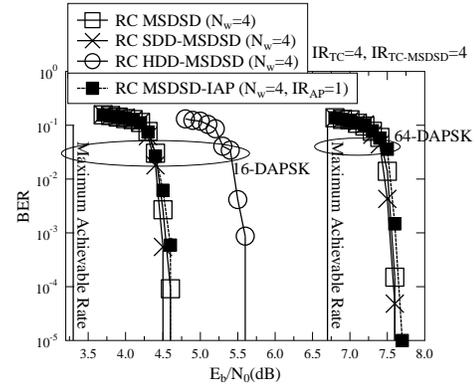


Fig. 5. BER performance comparison of RC MSDSD, RC SDD-MSDSD, RC HDD-MSDSD and RC MSDSD-IAP, when they are employed for DAPSK detection in TC coded system, where we have  $f_d = 0.03$  and  $N_R = 2$ .

while that for differential DQAM is formulated as:

$$d(x_{N_w-1}) = -\frac{\left\| \mathbf{Y}_{N_w} - x_{N_w-1} \hat{s}_{N_w-1} \left[ \sum_{t=1}^{N_w} \bar{w}_t \mathbf{Y}_t / (\hat{s}_t) \right] \right\|^2}{1 + N_0 - \mathbf{e}_{N_w}^T \bar{\mathbf{w}}} + \sum_{k=1}^{\text{BPS}} \tilde{b}_k L_a(b_k), \quad (37)$$

where the prediction-based filter taps are  $\bar{\mathbf{w}} = [\bar{w}_{N_w-1}, \dots, \bar{w}_1]^T = \{\text{Toeplitz}([\rho_0, \dots, \rho_{N_w-2}]) + N_0 \mathbf{I}_{N_w-1}\}^{-1} [\rho_1, \dots, \rho_{N_w-1}]$ , which are directly given by the filter taps of classic DPSK detection [24]. Consequently, a performance loss is inevitable, when they are compared to the proposed DFDD using (33) and (35).

## VI. PERFORMANCE RESULTS

In this section, the DQAM detection results are examined in RSC, TC as well as IRCC and URC coded systems, where the simulation parameters are the same as those summarized in Table V of [30]. We note that the MSDSD window-width is set to be  $N_w = 4$  in this paper. We will demonstrate that MSDSD associated with  $N_w = 4$  is a compelling choice for DQAM in terms of its performance advantage over its coherent QAM counterpart, when relying on realistic imperfect CSI estimation in rapidly fading channels.

### A. Suitable MSDSD Arrangements for Different DQAM Constellations

First of all, the EXIT charts of DAPSK employing RC MSDSD, RC SDD-MSDSD and RC HDD-MSDSD using the RC MSDSD algorithm of Sec. IV-B are portrayed in Fig. 4(a), which demonstrate that SDD-MSDSD exhibits a better performance compared to both MSDSD and HDD-MSDSD. However, considering that SDD-MSDSD has to produce a soft decision feedback, which only provides a small improvement over MSDSD in Fig. 4(a), we opted for invoking the IAP algorithm of Sec. IV-C for RC MSDSD in form of RC MSDSD-IAP associated with  $IR_{AP} = 1$ , which does not impose any noticeable performance difference for DAPSK, as evidenced by Fig. 4(a).

As a further insightful aspect, the accuracy of the extrinsic LLRs produced by the MSDSD algorithms seen in Fig. 4(a) are tested as portrayed in Fig. 4(b), where the two PDFs  $\{p(L_e|b)\}_{b=\{0,1\}}$  are obtained by estimating the histograms of  $L_e$ , with the source bits being  $b = \{0,1\}$ . If the LLR

$$L_p(b_k) = \max_{\forall \Gamma_1} \max_{\forall \{\gamma_{N_w-1}, \omega_{N_w-1}\}_{b_k}} d(\Gamma_1, \gamma_{N_w-1}, \omega_{N_w-1}) - \max_{\forall \Gamma_1} \max_{\forall \{\gamma_{N_w-1}, \omega_{N_w-1}\}_{b_k}} d(\Gamma_1, \gamma_{N_w-1}, \omega_{N_w-1}), \quad (34)$$

$$d(\Gamma_1, \gamma_{N_w-1}, \omega_{N_w-1}) = -\|l_{N_w, N_w} \mathbf{Y}_1\|^2 - \ln(\Gamma_1^2 \rho_0 + N_0) - \sum_{v=2}^{N_w-1} \left\| \sum_{t=1}^v l_{N_w-t+1, N_w-v+1} \hat{\Psi}_t^* \hat{\Omega}_t^* \mathbf{Y}_t \right\|^2 \\ - \left\| l_{1,1} \hat{\Psi}_{N_w-1}^* \hat{\Omega}_{N_w-1}^* \mathbf{Y}_{N_w} + \omega_{N_w-1} \psi_{N_w-1} \left( \sum_{t=1}^{N_w-1} l_{N_w-t+1,1} \hat{\Psi}_t^* \hat{\Omega}_t^* \mathbf{Y}_t \right) \right\|^2 - \sum_{v=2}^{N_w} \tilde{\Xi}_v + \sum_{k=1}^{\text{BPS}} \tilde{b}_k L_a(b_k), \quad (35)$$

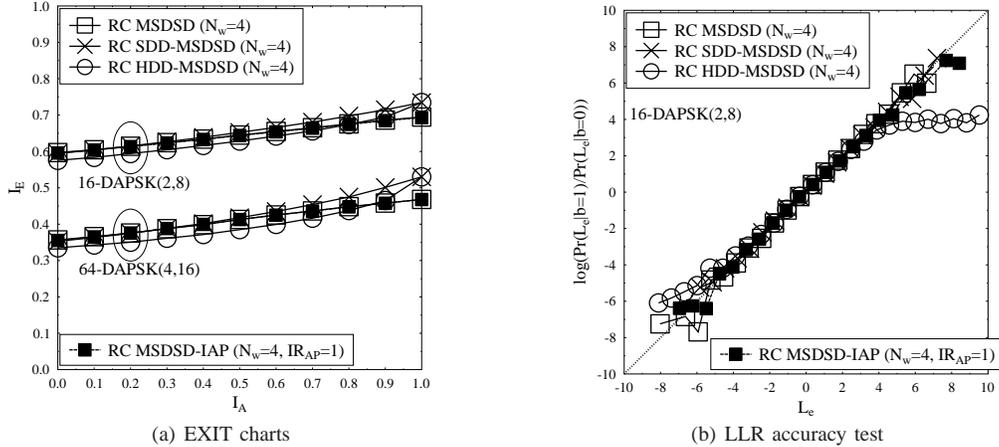


Fig. 4. EXIT charts and LLR accuracy test of DAPSK employing RC MSDSD, RC SDD-MSDSD, RC HDD-MSDSD and RC MSDSD-IAP recorded at SNR=9 dB and  $f_d = 0.03$ , where we have  $N_R = 2$ .

definition of  $L_e = \ln \frac{p(L_e|b=1)}{p(L_e|b=0)}$  is statistically accurate, then the LLRs accuracy test may result in a diagonal line. However, the LLRs produced by HDD-MSDSD aided DAPSK seen in Fig. 4(b) exhibit a poor integrity. This is because that according to the DAPSK's differential encoding of (2), the potentially erroneous hard-decision feedback concerning  $\Gamma_1$  and  $\{\gamma_t\}_{t=1}^{v-1}$  may degrade the accuracy of the following decisions on  $\Gamma_v = \left( \prod_{t=1}^{v-1} \gamma_t \right) \Gamma_1$ .

The EXIT charts and LLRs accuracy analysis of Fig. 4 are confirmed by the BER performance of Fig. 5, which demonstrates that RC MSDSD, RC SDD-MSDSD and RC MSDSD-IAP perform similarly, but RC HDD-MSDSD performs much worse than its counterparts, where the BER curve of TC coded 64-DAPSK(4,16) employing RC HDD-MSDSD cannot even be portrayed within our standard  $E_b/N_0$  range. Therefore, we conclude that RC MSDSD-IAP may be suggested for DAPSK.

Moreover, we note that the Discrete-input Continuous-output Memoryless Channel (DCMC) capacity [14] of the MSDSD aided DQAM systems is given by (38), where  $p(\mathbf{Y}|\bar{\mathbf{S}}^i, \Gamma^a)$  is given by (6), while we have  $\{p(\Gamma^{\bar{a}}) = p(\Gamma^{\bar{a}}) = \frac{1}{M_A}\}_{\forall \bar{a}, \forall a}$ . For the case of employing HDD-MSDD, the perfect decision feedback leads to  $\{p(\Gamma^{\bar{a}}) = p(\Gamma^{\bar{a}}) = 1\}_{\bar{a}=a}$  and  $\{p(\Gamma^{\bar{a}}) = p(\Gamma^{\bar{a}}) = 0\}_{\forall \bar{a} \neq a, \forall a \neq a}$ . The resultant DCMC capacity  $C_{DCMC}^{\text{HDD-MSDD}}$  may be revised from  $C_{DCMC}^{\text{MSDD}}$  of (38), where both  $\sum_{\bar{a}=0}^{M_A-1}$  and  $\sum_{\bar{a}=0}^{M_A-1}$  are omitted, while both  $p(\mathbf{Y}|\bar{\mathbf{S}}^i, \Gamma^{\bar{a}})$  and  $p(\mathbf{Y}|\bar{\mathbf{S}}^i, \Gamma^{\bar{a}})$  may be replaced by  $p(\mathbf{Y}|\bar{\mathbf{S}}^i, \Gamma^a)$ . According to the definitions of extrinsic information  $I_E = I(b; L_e)$  and DCMC capacity  $C_{DCMC} = I(\{\bar{\mathbf{S}}, \Gamma_1\}; \mathbf{Y})$ , the area property of the EXIT charts [65] may be expressed as  $\int_0^1 I_E(\text{SNR}, I_A) dI_A \approx \frac{C_{DCMC}(\text{SNR})}{\text{BPS}}$ , which implies that the area under the EXIT curve is approximately equal to the normalized DCMC capacity. The maximum achievable rates seen in Fig. 5 are obtained based on this property, which indicate the SNRs required for the half-rate channel coded DQAM systems to achieve their full capacity

TABLE VI  
SUMMARY OF SUITABLE MSDSD ARRANGEMENTS FOR DIFFERENT DQAM CONSTELLATIONS.

(a) Absolute-Amplitude DQAM		(b) Differential-Amplitude DQAM	
ADPSK	RC HDD-MSDSD-IAP	DAPSK	RC MSDSD-IAP
TADPSK	RC HDD-MSDSD	TDAPSK	RC MSDSD
TADPSK <sup>JM</sup>	HDD-MSDSD	TDAPSK <sup>JM</sup>	MSDSD

of 0.5BPS. It can be seen in Fig. 5 that the turbo detection performed by exchanging extrinsic information between the TC decoder and the MSDSD is capable of effectively exploiting the iteration gain of the MSDSD portrayed by Fig. 4(a), which results in a near-capacity performance.

The same analysis relying on EXIT charts, on the LLR accuracy test and on the BER performance may be carried out for all DQAM constellations. Due to the journal's space limit, we directly offer our conclusions in Table VI. More explicitly, the absolute-amplitude DQAM schemes of ADPSK, TADPSK and TADPSK<sup>JM</sup> may employ the HDD family of MSDSD arrangements. For example, the LLRs produced by RC HDD-MSDSD aided ADPSK seen in Fig. 6(a) exhibit a good integrity. This is because according to (1), the absolute-amplitude DQAM schemes have  $\Gamma_v = \gamma_{v-1}$ , which do not cause error propagation. Furthermore, owing to the amplitude-phase correlation, the twisted DQAM schemes of TADPSK and TDAPSK cannot employ the IAP algorithm of Sec. IV-C, which is exemplified by Fig. 6(b) for the case of RC HDD-MSDSD-IAP aided TADPSK. Moreover, the joint-mapping DQAM schemes of TADPSK<sup>JM</sup> and TDAPSK<sup>JM</sup> can only employ the generic MSDSD algorithm of Sec. IV-A, which jointly detect the ring-amplitude and phase.

### B. Comparison Between DQAM Constellations

First of all, Fig. 7 portrays comparison between ADPSK and DAPSK. More explicitly, the EXIT charts of Fig. 7(a) indicates that ADPSK outperforms DAPSK, which confirms the capacity results shown in [16]. Furthermore, the BER performance comparison of Fig. 7(b) explicitly demonstrates that

$$C_{DCMC}^{MSDD}(SNR) = \frac{\sum_{a=0}^{M_A-1} \sum_{i=0}^{M(N_w-1)-1} E \left\{ \log_2 \left[ \frac{M(N_w-1) \sum_{\bar{a}=0}^{M_A-1} p(\mathbf{Y}|\bar{\mathbf{S}}^i, \Gamma^{\bar{a}})}{\sum_{\bar{a}=0}^{M_A-1} \sum_{i=0}^{M(N_w-1)-1} p(\mathbf{Y}|\bar{\mathbf{S}}^i, \Gamma^{\bar{a}})} \right] \right\} \Big| \bar{\mathbf{S}} = \bar{\mathbf{S}}^i, \Gamma_1 = \Gamma^{\bar{a}} \right\}}{(N_w-1)M_A M(N_w-1)}, \quad (38)$$

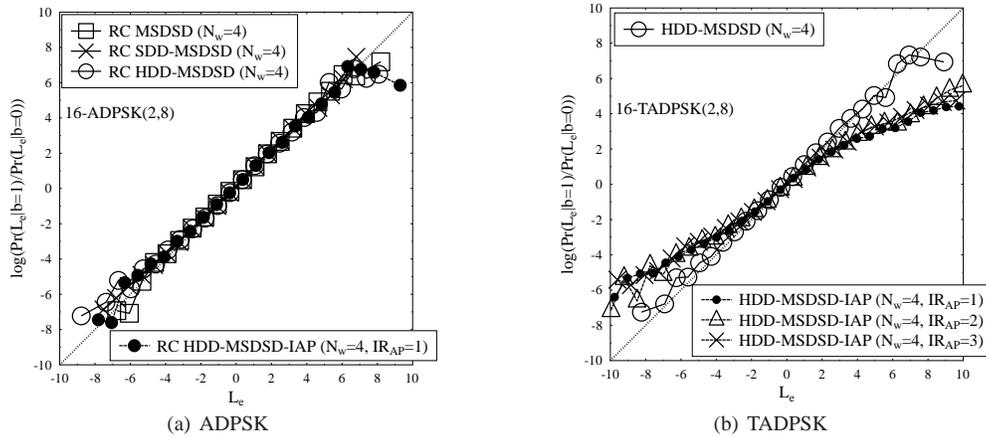


Fig. 6. LLR accuracy test of ADPSK and TADPSK employing different MSDSD arrangements recorded at SNR=9 dB,  $f_d = 0.03$  and  $N_R = 2$ .

16-ADPSK(2,8) outperforms its 16-DAPSK(2,8) counterpart in all three of the RSC, TC and RSC-URC coded systems.

Fig. 8 further portrays our performance comparison between the classic ADPSK and its twisted counterparts of TADPSK and TADPSK<sup>JM</sup>. More explicitly, the EXIT charts of Fig. 8(a) demonstrate that the ADPSK, TADPSK and TADPSK<sup>JM</sup> exhibit a similar achievable rate, which is reflected by the area under the EXIT curves [65]. Nonetheless, TADPSK exhibits a slightly higher iteration gain than ADPSK, while TADPSK<sup>JM</sup> achieves the highest iteration gain, as demonstrated by Fig. 8(a). As a result, it is demonstrated by the BER performance of Fig. 8(b) that 16-TADPSK<sup>JM</sup>(2,8) outperforms its counterparts in RSC coded system, while 16-ADPSK(2,8) performs the best in TC coded system. This is because that the steep EXIT curve of TADPSK<sup>JM</sup> matches better the EXIT curve shape of RSC, while the less steep EXIT curve of ADPSK matches better to the horizontal EXIT curve of TC [14]. Furthermore, Fig. 8(b) also shows that 16-TADPSK(2,8) may outperform its counterparts in IRCC and URC coded near-capacity system. Explicitly, the number of iterations between the URC and MSDSD is given by  $IR_{URC-MSDSD} = 2$ , which may not be sufficient for reaping the full benefit of the high iteration gain of TDAPSK<sup>JM</sup>, but unfortunately a higher  $IR_{URC-MSDSD}$  may not be affordable. As a result, 16-TADPSK<sup>JM</sup>(2,8) performs the worst in IRCC and URC coded system, as evidenced by Fig. 8(b).

In conclusion, ADPSK is a better choice than DAPSK in channel coded systems, according to Fig. 7. Moreover, the ADPSK's twisted modulated counterparts of TADPSK and TADPSK<sup>JM</sup> may exhibit their advantages in different coded systems, as suggested by Fig. 8.

### C. Comparison Between DQAM and DPSK

The performance comparison of Fig. 9 demonstrates that both ADPSK and DAPSK substantially outperform their DPSK counterparts. Explicitly, Fig. 9 shows that the RC HDD-MSDSD-IAP ( $N_w = 4$ ,  $IR_{AP} = 1$ ) aided 16-ADPSK(2,8) outperforms RC MSDSD ( $N_w = 4$ ) aided 16-DPSK of [30] by about **1.6 dB** in TC systems, where we have  $N_R = 2$

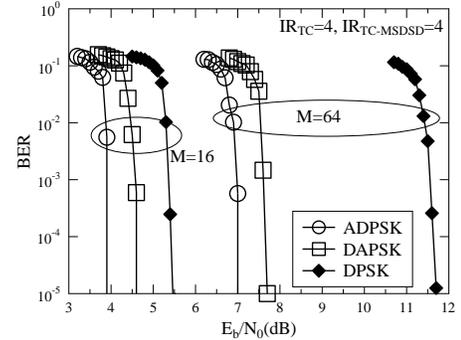


Fig. 9. BER comparison between ADPSK employing RC HDD-MSDSD-IAP ( $N_w = 4$ ,  $IR_{AP} = 1$ ), DAPSK employing RC MSDSD-IAP ( $N_w = 4$ ,  $IR_{AP} = 1$ ) and DPSK employing RC MSDSD ( $N_w = 4$ ) in TC coded systems, where we have  $f_d = 0.03$  and  $N_R = 2$ .

and  $f_d = 0.03$ . This performance difference becomes a more substantial **4.7 dB** for  $M = 64$ , as seen in Fig. 9.

Moreover, the complexity of our MSDSD algorithms is further quantified in terms of the total number of real-valued multiplications in Fig. 10. Explicitly, Fig. 10(a) demonstrates that the RC MSDSD algorithm of Sec. IV-B may offer a substantial 73.8% ~ 80.7% complexity reduction compared to the generic MSDSD algorithm of Sec. IV-A. As a result, the MSDSD complexity becomes as low as that of the MSDD-IAP of [38], as seen in Fig. 10(a). Furthermore, it is demonstrated by Fig. 10(b) that the RC MSDSD-IAP of Sec. IV-C achieves an additional 61.8% ~ 78.0% complexity reduction compared to the conventional MSDD-IAP of [38]. Consequently, the complexity of RC MSDSD-IAP aided DAPSK becomes comparable to that of the RC MSDSD aided DPSK of [30], as evidenced by Fig. 10(b).

Fig. 11 provides our complexity comparison of DQAM and DPSK schemes. Firstly, it is demonstrated by Fig. 11 that among the absolute-amplitude DQAM schemes of ADPSK, TADPSK and TADPSK<sup>JM</sup>, the HDD-MSDSD aided TADPSK<sup>JM</sup> of Sec. IV-A exhibits the highest complexity, which is followed by the RC HDD-MSDSD aided TADPSK of Sec. IV-B and then by the RC HDD-MSDSD-IAP aided ADPSK of Sec. IV-C. Secondly, Fig. 11 also confirms that RC MSDSD-IAP aided DAPSK exhibits a higher complexity than RC HDD-MSDSD-IAP aided ADPSK, which avoided

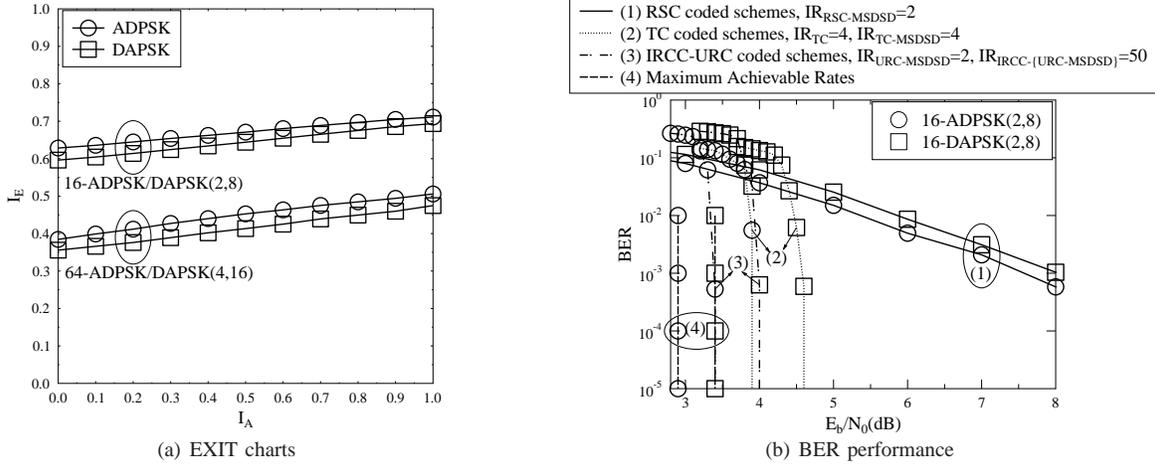


Fig. 7. EXIT charts and BER comparison between ADPSK employing RC HDD-MSDSD-IAP ( $N_w = 4$ ,  $IR_{AP} = 1$ ) and DAPSK employing RC MSDSD-IAP ( $N_w = 4$ ,  $IR_{AP} = 1$ ), where we have  $f_d = 0.03$  and  $N_R = 2$ .

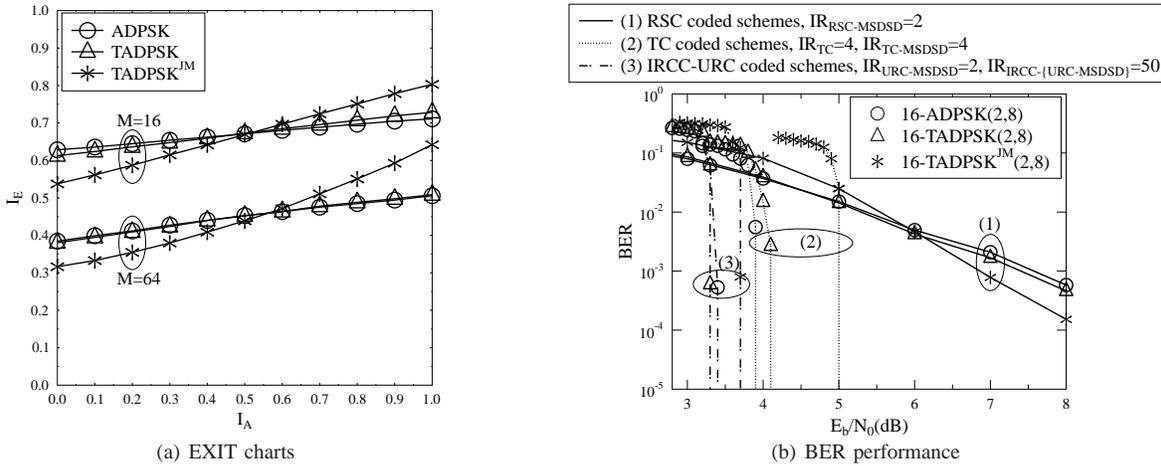


Fig. 8. EXIT charts and BER comparison between ADPSK employing RC HDD-MSDSD-IAP ( $N_w = 4$ ,  $IR_{AP} = 1$ ), TADPSK employing RC HDD-MSDSD ( $N_w = 4$ ) and TADPSK<sup>JM</sup> employing HDD-MSDSD ( $N_w = 4$ ), where we have  $f_d = 0.03$  and  $N_R = 2$ .

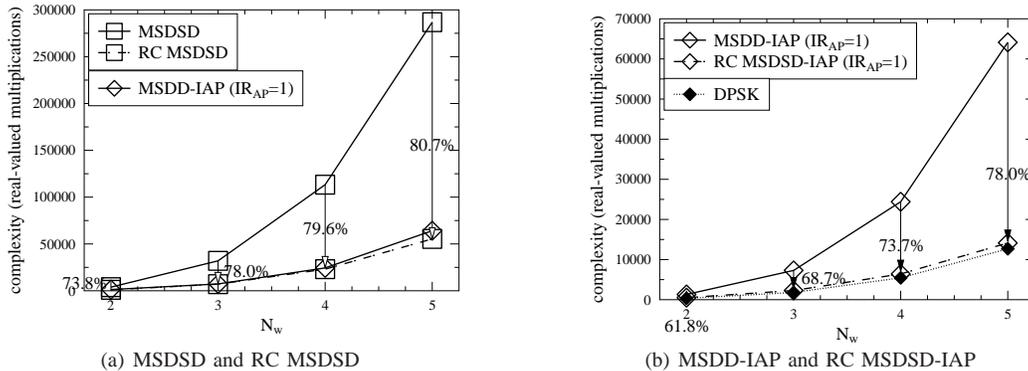


Fig. 10. Complexity (real-valued multiplications) comparison between MSDSD of Sec. IV-A, RC MSDSD of Sec. IV-B, MSDD-IAP of [38] and RC MSDSD-IAP of Sec. IV-C employed by 16-DAPSK(2,8). Complexity of RC MSDSD aided 16-DPSK of [30] is also portrayed. The results are recorded at  $SNR=0$  dB and  $I_A = 1$ , where we have  $f_d = 0.03$  and  $N_R = 2$ .

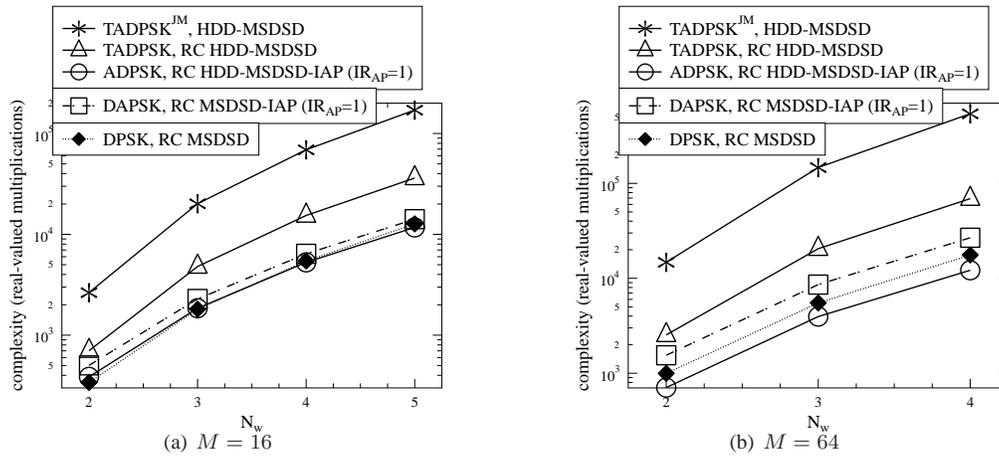


Fig. 11. Complexity (real-valued multiplications) comparison between DQAM schemes employing their suitable MSDSD arrangements. Complexity of RC MSDSD aided DPSK of [30] is also portrayed. The results are recorded at SNR=0 dB and  $I_A = 1$ , where we have  $f_d = 0.03$  and  $N_R = 2$ .

the comparison over  $\Gamma_1$ . Last but not least, Fig. 11(a) shows that the complexity of RC HDD-MSDSD-IAP ( $IR_{AP} = 1$ ) aided 16-ADPSK(2,8) is similar to that of RC MSDSD aided 16-DPSK of [30], while Fig. 11(b) demonstrates that the complexity of RC HDD-MSDSD-IAP ( $IR_{AP} = 1$ ) aided 64-ADPSK(4,16) is even lower than that of RC MSDSD aided 64-DPSK. This is because the complexity order of the Schnorr-Euchner search strategy of the RC MSDSD aided DPSK of [30] is given by  $O(M/4)$ , where only the  $M/4$  constellation points in the first quadrant are visited. Meanwhile, the complexity order for the case of RC HDD-MSDSD-IAP ( $IR_{AP} = 1$ ) aided ADPSK is given by  $O(M_A + M_P/4)$  according to Sec. IV-C. As a result, we have  $O(M_A + M_P/4) = O(M/4)$  for  $M = 16$  and  $O(M_A + M_P/4) < O(M/4)$  for  $M = 64$ , which verifies our complexity results of Fig. 11.

#### D. Comparison Between Coherent and Noncoherent Schemes

In this section, the important subject of coherent versus noncoherent schemes is discussed. For the noncoherent ADPSK scheme, RC HDD-Subset MSDSD-IAP is employed, where the consecutive MSDSD windows are overlapped by  $N_{OL} = 3$  observations and the  $(N_{OL} - 1 = 2)$  symbols detected at the edges may be discarded, as suggested by [58]. For the coherent Square QAM scheme, PSAM [7] associated with pilot spacing of  $N_{PS}$  and observation window width of  $N_{OW}$  (number of filter taps) is invoked for channel estimation.

Fig. 12 demonstrates that when  $f_d$  is increased from 0.001 to 0.03, a severe deviation from the LLR definition emerges for the extrinsic LLRs produced by the PSAM aided coherent 16QAM detector. This is because the coherent detectors assume having perfect knowledge of the CSI, which is especially unrealistic, when the fading channel fluctuates rapidly.

Finally, Fig. 13(a) demonstrates that when we have  $f_d = 0.001$ , PSAM aided coherent 16QAM outperforms RC HDD-Subset MSDSD-IAP assisted 16-ADPSK(2,8) in the RSC coded system, TC coded system as well as in the IRCC and URC coded system. However, when we have  $f_d = 0.03$ , 16-ADPSK(2,8) exhibits an impressive performance advantage over coherently detected Square 16QAM, which is 0.5 dB

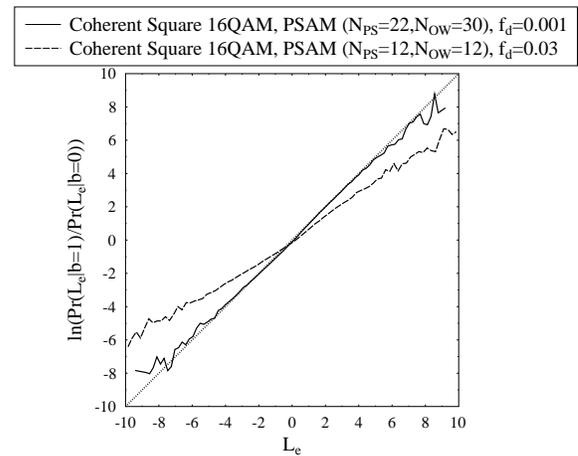


Fig. 12. LLR accuracy test of PSAM aided Square 16QAM recorded at  $E_b/N_0 = 2$  dB and  $I_A = 0$ , where we have  $N_R = 1$ . When we have  $f_d = 0.03$ , PSAM's  $N_{PS}$  is reduced to 12 in order to sample the channel more frequently, while  $N_{OW}$  is also reduced to 12, due to the weak temporal correlation.

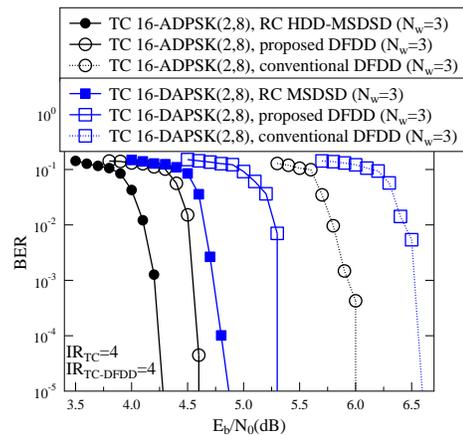


Fig. 14. BER performance results of TC coded DFDD ( $N_w = 3$ ) aided ADPSK and DAPSK, where we have  $N_R = 2$  and  $f_d = 0.03$ . The proposed DFDD solutions use the probability metrics of (33) and (35), while the conventional DFDD solutions [17], [26], [27] use (36) and (37).

and 0.9 dB in the context of our TC and IRCC-URC coded systems, respectively, as evidenced by Fig. 13(b).

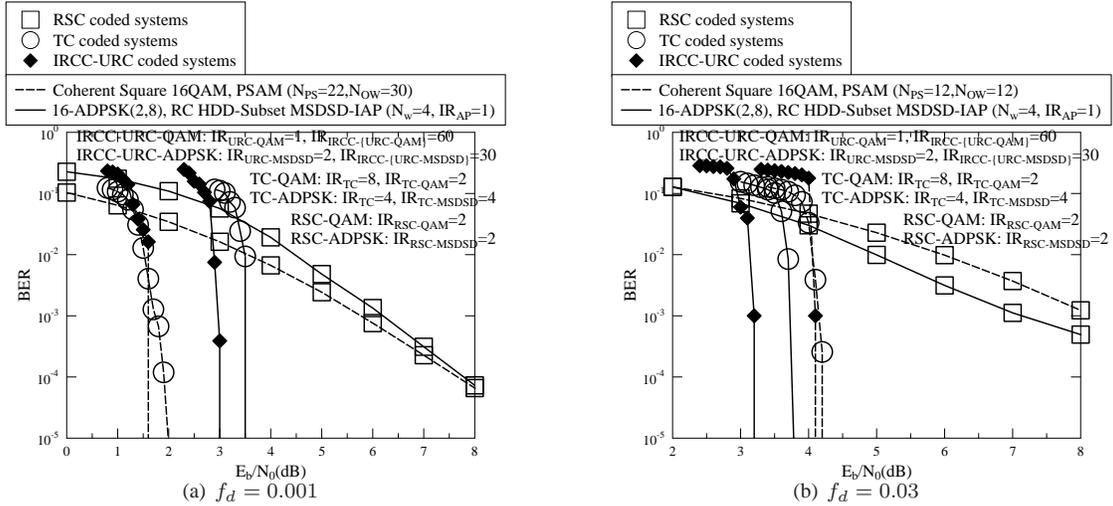


Fig. 13. BER performance comparison between RSC/TC/IRCC-URC coded PSAM aided Square 16QAM and its 16-ADPSK(2,8) counterpart employing RC HDD-Subset MSDSD-IAP ( $N_w = 4, I_{RAP} = 1$ ), where we have  $N_R = 2$ .

### E. Performance Results for DFDD

The BER performance results of the proposed DFDD solutions and the conventional DFDD solutions [17], [26], [27] are compared in Fig. 14 in the context of TC coded ADPSK and DAPSK systems. The DFDD window-width is set to  $N_w = 3$ , because any further performance improvement for  $N_w > 3$  was shown to become negligible by our results not shown in this paper due to the strict space limit. It can be seen in Fig. 14 that the MSDSD solutions generally outperform their DFDD counterparts. Nonetheless, Fig. 14 also demonstrates that the proposed DFDD solutions substantially improve the performance of the conventional DFDD solutions by 1.4 dB and 1.3 dB in TC coded ADPSK and DAPSK systems, respectively.

## VII. CONCLUSIONS

In this paper, we developed a comprehensive solution for the soft-decision DQAM detection in rapidly fading channels. First of all, we proposed the original set, the HDD set and the SDD set of MSDD/MSDSD solutions, which respectively correspond to having no decision feedback, hard-decision feedback and soft-decision feedback on the first DQAM symbol's ring-amplitude in each MSDD/MSDSD window. In this way, the potential error propagation problem in soft-decision DQAM's ring-amplitude detection may be avoided. Secondly, we proposed to invoke soft-decision SD for both DQAM's ring-amplitude and phase detection, which has not been seen in open literature. Thirdly, by exploring the symmetry provided by Gray-labelled DQAM constellations, we proposed a RC MSDSD algorithm, which visit a reduced number of constellation points without imposing any performance loss. Fourthly, we further proposed a RC MSDSD-IAP algorithm, which separately and iteratively detecting the DQAM's ring-amplitudes and phases by two soft-decision SDs. Fifthly, we developed the improved soft-decision DFDD solutions based on the MSDD/MSDSD operating in decision-feedback mode, which substantially outperform the existing prediction-based DFDD solutions that do not take into account the DQAM ring-amplitudes' effect on the channel's correlation matrix.

With the aid of EXIT charts and LLR accuracy test, the most suitable soft-decision MSDSD arrangements of different DQAM constellations were suggested. Our simulation results demonstrate that the absolute-amplitude ADPSK schemes outperform their differential-amplitude DAPSK counterparts in coded systems. Furthermore, among the absolute-amplitude DQAM schemes, ADPSK, TADPSK and TADPSK<sup>JM</sup> have a better BER performance in TC coded, IRCC-and-URC coded as well as RSC coded systems, respectively. Thanks to the proposed reduced-complexity design, the RC HDD-MSDSD-IAP aided ADPSK is capable of outperforming its MSDSD aided DPSK counterparts in coded systems *without imposing a higher complexity*. Moreover, our simulation results also verify that compared to coherent Square QAM relying on realistic imperfect channel estimation, MSDSD aided DQAM is a more suitable candidate for turbo detection assisted coded systems operating in rapidly fading channels.

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