

**Plant exudates may stabilize or weaken soil depending on species, origin and time**

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1 **Plant exudates may stabilize or weaken soil depending on species, origin**  
2 **and time**

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18 *Running title: Effect of plant exudates on rhizosphere formation*

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## 25 **Summary**

26 We hypothesized that plant exudates could either gel or disperse soil depending on their  
27 chemical characteristics. Barley (*Hordeum vulgare* L. cv. Optic) and maize (*Zea mays* L.  
28 cv. Freya) root exudates were collected using an aerated hydroponic method and compared  
29 to chia (*Salvia hispanica* L.) seed exudate, a commonly used root exudate analogue. Sandy  
30 loam soil passed through a 500- $\mu\text{m}$  mesh was treated with each exudate at a concentration  
31 of 4.6 mg exudate  $\text{g}^{-1}$  dry soil. Two sets of soil samples were prepared, One set of treated  
32 soil samples was maintained at 4°C to suppress microbial processes. To characterize the  
33 effect of decomposition, the second set of samples was incubated at 16°C for 2 weeks at –  
34 30 kPa matric potential. Gas chromatography–mass spectrometry (GC–MS) analysis of the  
35 exudates found that barley had the largest organic acid content and chia the largest content  
36 of sugars (polysaccharide-derived or free), and maize was in between barley and chia.  
37 Yield stress of amended soil samples was measured by an oscillatory strain sweep test with  
38 a cone plate rheometer. When microbial decomposition was suppressed at 4°C, yield stress  
39 increased 20-fold for chia seed exudate and two-fold for maize root exudate compared to  
40 the control, whereas for barley root exudate it decreased to half. The yield stress after 2  
41 weeks of incubation compared to soil with suppressed microbial decomposition increased  
42 by 85% for barley root exudate, but for chia and maize it decreased to by 87% and 54%,  
43 respectively. Barley root exudation might therefore disperse soil and this could facilitate  
44 nutrient release. The maize root and chia seed exudates gelled soil, which could create a  
45 more stable soil structure around roots or seeds.

46

## 47 *Keywords*

48 *Root exudate, seed exudate, viscosity, yield stress, soil dispersion, soil gelling and*

49 *microbial decomposition*

**50 Highlights**

- 51 • Rheological measurements quantified physical behaviour of plant exudates
- 52 and effect on soil stabilization
- 53 • Barley root exudates dispersed soil which could release nutrients and
- 54 carbon
- 55 • Maize root and chia seed exudates had a stabilizing effect on soil
- 56 • Physical engineering of soil in contact with plant roots depends on the
- 57 nature and origin of exudates

58

**59 Introduction**

60 Soil physical conditions, particularly in the rhizosphere, are continually modified by the  
61 release of plant root exudates and microbial metabolites (McCully, 1999; Hinsinger *et al.*,  
62 2009). Plants potentially benefit from this modification of the rhizosphere because of  
63 improved physical conditions for root penetration, and nutrient and water uptake by the  
64 roots (Read *et al.*, 2003; Barré & Hallett, 2009). However, the effect of biological exudates  
65 on soil physical properties might depend on their physicochemical characteristics (Czarnes  
66 *et al.*, 2000). The quantity and physicochemical characteristics of root exudates are  
67 determined by the plant species, the age of an individual plant and external factors such as  
68 biotic and abiotic stresses e.g. soil structure, presence of microorganisms, and nutritional  
69 status (Gransee & Wittenmayer, 2000; Jones *et al.*, 2004; Lesuffleur *et al.*, 2007). In  
70 general, root exudates are composed of an array of compounds such as carbohydrates,  
71 amino acids, organic acids, phenolic compounds, fatty acids, sterols, vitamins, enzymes  
72 and inorganic molecules. Among these, sugars (often polysaccharide-derived), amino acids  
73 and organic acids are usually released in the largest quantities (Dakora & Philips, 2002;  
74 Carvalhais *et al.*, 2011).

75           There is growing evidence to suggest that certain compounds present in root  
76 exudates are involved in engineering the rhizosphere by dispersion and gelling of soil  
77 (Barré & Hallett, 2009; Tarchitzky & Chen, 2002; Deng *et al.*, 2015), modulation of water  
78 and nutrient availabilities (Wang *et al.*, 2008; Ahmed *et al.*, 2014), and attraction of  
79 rhizobacteria (Bais *et al.*, 2006). The anions of organic acids in the rhizosphere may be  
80 adsorbed by soil mineral particles, thereby increasing the net negative charge of clays that  
81 would cause particles to disperse (Shanmuganathan & Oades 1983). Mucilages and other  
82 polysaccharides present in root exudates, which can function as stabilizing materials,  
83 might offset this effect (Oades, 1984). The pH of the exudates and thus rhizosphere will  
84 also affect soil structural stability if the change in pH results in dissolution or precipitation  
85 of stabilizing material such as Al (Yeoh & Oades, 1981) or other polyvalent ions (Oades,  
86 1984).

87           Root exudates and microbial metabolites can have a marked effect on soil stability  
88 and their resistance to disruption from both mechanical and hydraulic stresses. There is  
89 considerable evidence suggesting that root exudates improve soil stability. Morel *et al.*  
90 (1991) showed that incorporation of maize root exudate in soil resulted in an immediate  
91 increase in soil aggregate stability, followed by a decrease over time because of microbial  
92 degradation. Traoré *et al.* (2000) also observed a significant increase in soil aggregate  
93 stability of soil with different substrates such as polygalacturonic acid, modelled soluble  
94 exudates and maize root exudate. Czarnes *et al.* (2000) found that adding polygalacturonic  
95 acid and xanthan (a bacterial exudate) to soil increased tensile strength and stability  
96 against the disruptive effects of wetting and drying cycles. Peng *et al.* (2011) found  
97 improved aggregate stability for only certain biological exudates they studied, with large  
98 differences between soils containing swelling or non-swelling clay minerals. They  
99 observed improved tensile strength and aggregate stability for soil treated with xanthan,

100 polygalacturonic acid and dextran, but not for lecithin. Compared with the number of  
101 studies that reported an increase in soil stability from treatment with plant-derived  
102 biological exudates, those that showed the dispersion of soil with exudates are rare  
103 (Tarchitzky & Chen, 2002).

104 Most research to investigate soil stabilization by biological exudates has measured  
105 aggregate stability. Although soil aggregate stability is a relevant test for studying the  
106 resistance of soil to erosion, it does not provide a quantitative measure of particle bonding  
107 that underpins the formation of soil structure. Fracture tests on dry soil discs (Czarnes *et*  
108 *al.*, 2000) or notched bars (Zhang *et al.*, 2005) have quantified increased particle bond  
109 energy resulting from root exudate compounds, but such dry conditions are rarely met in  
110 reality (Hallett *et al.*, 2013). Formation of soil structure occurs when soil is wet and  
111 particles are mobile, therefore, rheological measures of wet soil movement under stress are  
112 more relevant physically to understanding how mechanical stresses from root growth and  
113 exudation affect soil structural development (Barre & Hallett, 2009). The mobility of soil  
114 when wet can be described with rheological measurements that provide controlled  
115 oscillatory shear stresses to quantify time dependent flow under stress i.e. viscosity and  
116 yield stress (Markgraf *et al.*, 2012). Tarchitzky & Chen (2002) found a 10-fold drop in the  
117 viscosity of a liquid suspension of clay minerals when treated with humic acid. The yield  
118 stress and viscosity of soil pastes increased markedly if treated with *Capsella bursa-*  
119 *pastoris* L. Medik (shepherd's purse) seed exudate (Deng *et al.*, 2015), the root exudate  
120 compound polygalacturonic acid and the fungal exudate scleroglucan (Barré & Hallett,  
121 2009). To our knowledge, no study has characterized the effect of natural root exudates on  
122 soil micromechanics, although Read & Gregory (1997) found that maize and lupin root  
123 exudates were viscoelastic and far more viscous than water. Such knowledge would

124 greatly improve the understanding of physical formation and stabilization of the  
125 rhizosphere.

126 In the present research we used chia (*Salvia hispanica* L.) seed, barley (*Hordeum*  
127 *vulgare* L. cv. Optic) and maize (*Zea mays* L. cv. Freya) root exudates to test the  
128 hypothesis that physical engineering of soil in contact with plants depends on the chemical  
129 characteristics of the exudates. Chia seed exudate has been used as a root exudate analogue  
130 (Kroener *et al.*, 2014), although its behaviour in comparison to natural root exudates is  
131 unknown. The first part of this study examined physicochemical characteristics of barley  
132 root, maize root and chia seed exudates. In the second part of the study, micromechanical  
133 characterization of soil treated with barley root, maize root and chia seed exudates was  
134 carried out both before and after decomposition of the exudates in soil. Overall, the  
135 research sought to address the following points:

- 136 1) How do the chemical characteristics of barley root, maize root and chia seed  
137 exudates differ from each other?
- 138 2) How do the chemical characteristics of exudates relate to their viscosities?
- 139 3) Does yield stress of soil treated with exudates depend on the chemical  
140 characteristics of exudates when microbial decomposition is suppressed?
- 141 4) How does yield stress of soil treated with exudates change following incubation  
142 and associated microbial decomposition of added exudates?

143

## 144 **Materials and Methods**

### 145 *Collection of exudates*

146 *Extraction of Chia seed exudate.* Chia seed exudate was extracted following Ahmed *et al.*  
147 (2014) by mixing 100 g of distilled water with 10 g of Chia seeds with a magnetic stirrer  
148 for 2 minutes at 50°C, followed by cooling to room temperature (20°C) and standing for

149 four hours. The exudate was separated from the seeds by pushing the mixture repeatedly  
150 through a 500- $\mu\text{m}$  sieve under pressure with a syringe that was cut at the end. This  
151 approach harvested the easily extracted seed exudate; the tightly bound exudate remained  
152 on the seeds after five repeated extraction attempts. Of  $0.13 \pm 0.03$  (mean  $\pm$  SE)  $\text{g g}^{-1}$  total  
153 exudate in seeds, only  $0.10 \pm 0.02$   $\text{g g}^{-1}$  of seed exudate was harvested, therefore, the  
154 extraction efficiency was  $77 \pm 8\%$ . The extracted exudates were then freeze-dried. One  
155 treatment of chia seed exudate was ball-milled after freeze-drying and denoted as BM  
156 throughout the paper. This treatment was intended to break up large polymers present in  
157 chia seed exudate.

158 *Collection of barley and maize root exudates.* Barley and maize root exudates were  
159 collected by an aerated hydroponic method adopted from Giles *et al.* (2017). Barley  
160 (*Hordeum vulgare* L., cv. Optic) and maize (*Zea mays* L. cv. Freya) seeds were surface-  
161 sterilized in sodium hypochlorite solution (2%) for 10 minutes, then rinsed thoroughly in  
162 sterile deionized water. Sterilized seeds were pre-germinated on 1% agar (Sigma-Aldrich,  
163 Gillingham, UK) until the radicals were approximately 1 cm long (2–3 days post  
164 germination). After discarding poorly germinated seeds, 180 individuals of barley or maize  
165 plants were grown successively in a 60-litre aerated hydroponic tank under the following  
166 conditions: illumination, 14 hours and a minimum  $200 \mu\text{mol quanta m}^{-2} \text{s}^{-1}$ ; temperature:  
167 day,  $25^\circ\text{C}$ , night  $22^\circ\text{C}$  for maize and day  $18^\circ\text{C}$ , night  $14^\circ\text{C}$  for barley growth. Nutrient  
168 solutions used in the aerated hydroponic tank were changed every 3 days beginning with  
169 0.25 strength, followed by 0.5 strength and continuing to full strength until harvest. The  
170 full strength standard nutrient solution (pH 5.5) contained 3 mM  $\text{NH}_4\text{Cl}$ , 4 mM  $\text{Ca}(\text{NO}_3)_2$ ,  
171 4 mM  $\text{KNO}_3$ , 1 mM  $\text{KH}_2\text{PO}_4$ , 3 mM  $\text{MgSO}_4$  and 0.1 mM Fe-EDTA with micronutrients (6  
172  $\mu\text{M}$   $\text{MnCl}_2$ , 23  $\mu\text{M}$   $\text{H}_3\text{BO}_3$ , 0.6  $\mu\text{M}$   $\text{ZnCl}_2$ , 1.6  $\mu\text{M}$   $\text{CuSO}_4$ , 1.0  $\mu\text{M}$   $\text{Na}_2\text{MoO}_4$  and 1.0  $\mu\text{M}$   
173  $\text{CoCl}_2$ ). Plants were harvested after 2 weeks of growth. Exudates were collected overnight



174 in 150 ml pots containing 75 ml distilled water with a set amount of plants per pot (barley  
175  $\times$  5 or maize  $\times$  3). Plants were removed from the pots the following morning (12-hour  
176 collection period) and the remaining liquid in the collection pots was first frozen at  $-20\text{ }^{\circ}\text{C}$   
177 and then freeze-dried for collection of the dry barley and maize root exudates. Exudates  
178 were collected in distilled water so that root exudates only could be collected after freeze-  
179 drying (in a trial run nutrient solution was used as an exudate collection medium, which  
180 produced a large exudate dry weight associated with nutrient salts after freeze-drying).  
181 Harvesting exudates after moving plants to distilled water might induce a change in  
182 exudation because of osmotic shock, but we assume here that this is of secondary  
183 importance. The average freeze-dried weight of root exudates collected from individual  
184 barley and maize plants was  $4.1 \pm 0.9$  (mean  $\pm$  SE) and  $6.4 \pm 1.7$  (mean  $\pm$  SE) mg  
185 individual<sup>-1</sup>, respectively.

186 Freeze-drying was essential so that the exudates could be concentrated from the  
187 dilute collection solutions. The amounts of carbon and nitrogen present in freeze-dried  
188 barley root, maize root and chia seed exudates were measured by CNS elemental analyser  
189 (CE Instruments, Wigan, UK). The pH of the exudates at a concentration of  $4.6\text{ mg}$   
190  $\text{exudate g}^{-1}$  water was measured with a pH meter (Hanna Instruments, Leighton Buzzard,  
191 UK).

#### 192 *Gas chromatography-mass spectrometry (GC-MS) analysis of exudates*

193 Analysis was carried out on an Agilent 5977B GC-MSD fitted with HP-5MS 5% phenyl  
194 95% dimethylpolysiloxane  $325^{\circ}\text{C}$  column (30-m long, 0.25-mm i.d., 0.25- $\mu\text{m}$  coating) at  
195 an inlet pressure of 68.63 kPa (Agilent, Santa Clara, CA, USA). The freeze-dried exudates  
196 were acid hydrolysed in 0.5 ml of trifluoroacetic acid (TFA) for 1 hour at  $70\text{ }^{\circ}\text{C}$ ; after this  
197 time the polymers present had degraded completely. The TFA was removed by drying  
198 under a stream of nitrogen and the freeze-dried exudates were derivatized first by mixing

199 with 0.1 ml of methoxyamine hydrochloride in pyridine (20 mg ml<sup>-1</sup>) in a glass GC sample  
200 vial. Vials were incubated at 37°C for 1 hour. After cooling to room temperature, samples  
201 were derivatized with 100 µl of N-Methyl-N-trimethylsilyltrifluoroacetamide (MSTFA)  
202 for 1 hour at 70°C. A 2-µl subsample was injected directly into the GC–MS for analysis  
203 under the following settings: an initial oven temperature of 70 °C for 1 minute, a ramp of 5  
204 °C minute<sup>-1</sup> to a temperature of 300 °C, which was held for 6 minutes. The total time for  
205 the run was 52 minutes. The chemicals relating to each peak detected in the  
206 chromatograms were determined with an ‘Agilent MSD Productivity Chemstation for GC  
207 and GC–MS Systems Data Analysis Application’ (Agilent, Santa Clara, CA, USA) by  
208 matching to the NIST 11 database (National Institute of Standards and Technology).  
209 Results are presented as the original chemicals present in the samples, with removal of the  
210 derivatization groups where possible. Chia seed exudate was analysed in triplicate to  
211 confirm the reproducibility of the results, and then barley and maize root exudates were  
212 analysed only once.

### 213 *Viscosity measurement of the exudates solution*

214 Freeze-dried barley root, maize root and chia seed exudates (freeze-dried and freeze-dried,  
215 ball-milled) were mixed into distilled water to achieve a concentration of 4.6 mg g<sup>-1</sup>. This  
216 is a realistic exudate concentration in the rhizosphere as shown in Zickenrott *et al.* (2016).  
217 Viscosity of these exudate solutions was then measured with a Discovery Hybrid  
218 Rheometer HR-3 (TA Instruments, New Castle, DE, USA) equipped with a cone-plate  
219 geometry (60 mm diameter, 1° angle). Stress sweep tests were carried out under the  
220 following conditions: a gap of 500 µm, normal force initially at 0 N and restricted to < 0.1  
221 N during testing, five measurement points for every order of magnitude of applied stress,  
222 test temperature 20°C and test duration 15 minutes. After placing enough exudate solution  
223 (1.5 ml) between the plates, the viscosity of the solution was measured in triplicate by

224 applying a sequence of constant stress values to the samples and measuring the  
225 corresponding shear rate. Two viscosities were derived from the apparent viscosity curves  
226 i.e. zero-shear viscosity and infinite-shear viscosity. Root exudates are shear-thinning  
227 materials, which means that as an increasing shear stress is applied, they become  
228 progressively weaker. Below the yield stress, shear stress has no effect on viscosity, and so  
229 the material is at the zero shear viscosity. The large shear rate limiting value of viscosity is  
230 called infinite shear viscosity. This is usually associated with shear thinning when liquids  
231 flow more easily under stress, and further increases in stress have little effect on viscosity.

### 232 *Selection and preparation of soil*

233 A Eutric Cambisol under barley production was collected from 0–100-mm depth in  
234 Bullion Field at the James Hutton Institute (JHI), Dundee (56° 27' 39" N & 3° 04' 11" W).  
235 The soil has a sandy loam texture (clay = 16%, silt = 24%, sand = 60%) determined by the  
236 combination of wet sieving and hydrometer methods. It had 22.5 g kg<sup>-1</sup> total carbon, 1.6 g  
237 kg<sup>-1</sup> total nitrogen and soil pH in CaCl<sub>2</sub> of 5.48. The soil was partially air-dried to 150 g  
238 kg<sup>-1</sup> and then passed through a 500- $\mu$ m sieve to decrease particle interlocking in the  
239 rheological tests. The sieved soil was then mixed with either distilled water (unamended)  
240 or each of the exudates: barley root, maize root, chia seed and chia seed exudates after  
241 ball-milling at a concentration of 4.6 mg exudate g<sup>-1</sup> dry soil.

242 Two separate experiments were carried out: experiment 1 explored the effect of  
243 exudates on the micromechanics of soil and experiment 2 explored the effect of  
244 decomposition of exudates on the micromechanics of soil. For experiment 1, six to seven  
245 subsamples for each treatment were prepared with increasing water contents from 350 to  
246 550 g kg<sup>-1</sup>. All the subsamples were incubated at 4 °C for 24 hours in sealed plastic bags to  
247 homogenize. Oscillatory strain sweep tests were then done on each subsample with the  
248 same rheometer and plate set-up used to characterize exudate viscosity. For experiment 2,

249 six subsamples for each treatment were prepared and incubated at  $-30$  kPa matric potential  
250 at  $16^{\circ}\text{C}$  for 2 weeks in Kilner Jars for decomposition. After incubation, individual  
251 subsamples were adjusted to increasing water contents from  $350$  to  $450$   $\text{g kg}^{-1}$ , and were  
252 again incubated at  $4^{\circ}\text{C}$  for 24 hours in sealed plastic bags to homogenize. Oscillatory  
253 strain sweep tests were then performed with the rheometer mentioned above on each  
254 subsample by placing the same amount of soil paste ( $7$  g) under the cone-plate for all the  
255 treatments.

#### 256 *Oscillatory strain sweep tests*

257 Pre-settings of the oscillatory strain sweep tests used are given in Table 1. An oscillatory  
258 sweep test stresses and then relaxes a specimen under shear; at each step an increased  
259 shear strain is applied. The elastic stress was plotted as a function of oscillation strain in  
260 Figure 1. The peak elastic stress was denoted as yield stress and corresponding strain was  
261 denoted as yield strain as suggested by Walls *et al.* (2003). The yield stress is the onset of  
262 soil structural collapse, which generally lies between the linear viscoelastic range and flow  
263 point. We reported yield stress instead of yield viscosity; they are directly correlated at an  
264 angular frequency of  $1$  Hz which was used here. Furthermore, we preferred yield stress to  
265 viscosity for soil pastes because it has a unique maximum point in elastic stress when  
266 plotted against oscillation strain where elastic stress starts to decrease with further increase  
267 in oscillation strain (Figure 1).

#### 268 *Statistical Analysis*

269 Soil yield stress was modelled using general linear regression analysis with logarithmically  
270 (base 10) transformed soil yield stress as the response variate, water content as the  
271 explanatory variate, and exudates and decomposition as factors (the regression intercept  
272 constants for yield stress were evaluated at  $350$   $\text{g kg}^{-1}$  water content). The same regression  
273 analysis was then repeated, but excluding chia seed mucilage treatments because it was

274 markedly different from the maize and barley exudate treatments. Summary tables for the  
275 accumulated analysis of variance (ANOVA) obtained with the general linear regression  
276 analysis are reported in the results section.

277 To facilitate interpretation of the regression coefficients with respect to decomposition, a  
278 new factor was formed with distinct levels for each combination of exudate and  
279 decomposition and a further regression analysis was performed on water content and this  
280 factor to estimate the intercept and slope for each treatment combination. Differences  
281 between intercept values for individual pairs of treatments of interest were determined  
282 using *t*-tests of pairwise differences. Statistical analyses were done with Genstat version 18  
283 (VSN International Limited, Oxford, UK).

284

## 285 **Results**

### 286 *Chemical characterization of the exudates*

287 Total carbon content of freeze-dried barley root, maize root and chia seed exudates were  
288 149, 166, and 407 g kg<sup>-1</sup>, respectively. Total nitrogen content of freeze-dried barley root,  
289 maize root and chia seed exudates were 62, 33, and 11 g kg<sup>-1</sup>, respectively. This resulted in  
290 C/N ratios of the exudates of 2.4 for barley root, 5.1 for maize root and 37.0 for chia seed.  
291 The pH of the aqueous exudate solutions at 4.6 mg g<sup>-1</sup> concentration was 8.9 for barley  
292 root, 9.35 for maize root and 6.7 for chia seed.

293 The major chemical groups identified in barley root, maize root and chia seed  
294 exudates by GC–MS are shown in Figure 2. Barley root exudate had 10.8% amino acids,  
295 47.2% organic acids, 2.8% fatty acids, 15% sugars, sugar acids and sugar alcohols (note  
296 that sugars are probably largely polysaccharide-derived following acid hydrolysis) and  
297 15% phosphoric acid. Maize root exudate had 5.7% amino acids, 27.8% organic acids,  
298 13% fatty acids, 17.8% sugars, sugar acids and sugar alcohols, 24% phosphoric acid and

299 9.6% urea. Chia seed exudate had 1.1% amino acids, 13.3% organic acids, 2% fatty acids  
300 and 64% sugars, sugar acids and sugar alcohols. Thus organic acids and sugars were the  
301 major compounds in all three exudates. Organic acids were present in the largest amount  
302 in barley root followed by maize root and chia seed exudates. It was the reverse for sugars,  
303 i.e. chia seed > maize root > barley root.

304 The number of different chemical compounds identified by GC-MS was 50 for  
305 barley root, 113 for maize root and 63 for chia seed exudates. Barley root exudates  
306 comprised seven amino acids, eight organic acids, 21 sugars and sugar acids, five fatty  
307 acids and phosphoric acid. Maize root exudates comprised eight amino acids, 18 organic  
308 acids, 52 sugars and sugar acids, 11 fatty acids and phosphoric acid together with urea.  
309 Chia seed exudates comprised three amino acids, eight organic acids, 29 sugars and sugar  
310 acids and 16 fatty acids. Major chemical compounds and their relative amounts present in  
311 barley root, maize root and chia seed exudates are listed in Table 2. Although there was  
312 more sugar in chia seed exudate, the diversity in sugar compounds was greater for maize  
313 root exudate. Similarly, although more organic acid was observed in barley root exudates,  
314 the diversity in organic acids was again more in maize root exudates.

#### 315 *Viscosity of the exudate solutions*

316 The apparent viscosity of barley root, maize root, chia seed and chia seed exudates after  
317 ball milling (BM) at 4.6 mg g<sup>-1</sup> concentration as a function of applied stress is shown in  
318 Figure 3. The small value of stress is associated with zero-shear viscosity, which for  
319 exudates was 95.1 Pa.s for chia seed, 12.1 Pa.s for chia seed (BM), 2.4 Pa.s for maize root  
320 and 0.4 Pa.s for barley root. Infinite-shear viscosity for chia seed, chia seed (BM), maize  
321 root and barley root exudates were 9, 8, 1.4 and 0.6 mPa.s, respectively. Exudates were  
322 composed mainly of sugars and organic acids, and both zero- and infinite-shear viscosities  
323 of the exudates were positively correlated with the amount of sugars and negatively

324 correlated with the amount of organic acids. More sugar was associated with the large  
325 viscosity of the chia seed followed by maize and then barley root exudate (Figure 3).

326 *Rheological characterization of exudate treated soil before decomposition*

327 Yield stress decreases significantly with increasing water content, regardless of exudate  
328 amendment (Figure 4, Tables 3 and 4). Yield stresses are significantly greater for soil  
329 treated with chia seed and maize root exudates and smaller for barley root exudate than for  
330 unamended soil over a range of water contents (Figure 4, Tables 3 and 4). In general linear  
331 regression analysis we evaluated the intercept yield stress at  $350 \text{ g kg}^{-1}$  water content, the  
332 minimum water content where an oscillation sweep test was practical to perform. Soil  
333 treated with chia seed ( $P < 0.01$ ) and maize root ( $P < 0.01$ ) exudates had significantly  
334 larger intercept yield stresses than for the unamended soil (Table 5). Soil treated with chia  
335 seed exudates had the greatest effect; the intercept yield stress increased 20-fold compared  
336 to the unamended soil. The intercept yield stress for soil treated with maize root exudate  
337 was twice that of unamended soil (Table 5). Our most surprising finding was the smaller  
338 yield stresses observed for soil treated with barley root exudate than for the unamended  
339 soil over a range of water contents (Figure 4). The intercept yield stress for soil treated  
340 with barley root exudate was significantly smaller ( $P < 0.01$ ) than that for the unamended  
341 soil. The intercept yield stress for soil treated with barley root exudate was almost half that  
342 of unamended soil (Table 5). The slope of the linear model for chia seed exudate was  
343 significantly less than for the unamended soil ( $P < 0.01$ ). The slopes of the linear model  
344 for barley and maize root exudates were not significantly different from that of unamended  
345 soil (Table 4 and 5). The yield stress of the samples treated with exudates was strongly  
346 related to the chemical characteristics of the exudates. There was a significant negative  
347 correlation between the amount of organic acids in the exudates and intercept yield stress  
348 of the exudate-treated soil (Figure 5a), but a positive correlation with the sugars in the

349 exudates (Figure 5b). Exudates were composed mainly of organic acids and sugars, and so  
350 the yield stress of the exudate-treated soils and the quantity of sugars in exudates appear to  
351 be positively correlated (Figure 5b).

#### 352 *Rheological characterization of exudate-treated soil after decomposition*

353 The aim was to test the role of microbial metabolites generated from the decomposition of  
354 plant exudates in the gelling or dispersion of the soil. After the 2-week incubation period  
355 used, almost all of the added exudates were decomposed (data not shown). The yield stress  
356 of soil treated with exudates after decomposition showed some interesting differences  
357 between the exudates (Figure 4). A significant effect of decomposition on soil yield stress  
358 was observed for all exudates treatments (Table 3). Significantly smaller intercept yield  
359 stresses ( $P < 0.01$ ) were observed after decomposition than before decomposition for soil  
360 treated with both chia seed and maize root exudates (Table 5). The intercept yield stress  
361 decreased by 87% for soil treated with chia seed exudate and 54% for maize root exudate  
362 after decomposition (Table 5). Barley root exudate initially decreased the yield stress,  
363 thereby weakening the soil but, after microbial decomposition, the yield stress increased to  
364 approach that of the untreated control (Figure 4). The intercept yield stress of soil for  
365 barley root exudate treatment was significantly increased (Tables 3 and 4). The intercept  
366 yield stress increased by 85% for soil treated with barley root exudate after decomposition  
367 compared to that before decomposition (Table 5). After decomposition, the slopes of the  
368 linear model for chia seed exudates increased significantly ( $P < 0.01$ ), whereas slopes for  
369 barley and maize root exudates did not differ significantly from those before  
370 decomposition (Table 5).

371

#### 372 **Discussion**



373 Various studies have reported a range of carbon (C) and nitrogen (N) contents in root  
374 exudates from the same crop. For example, Morel *et al.* (1986) measured 369 g kg<sup>-1</sup> C and  
375 35 g kg<sup>-1</sup> N in maize root exudates. They collected maize root exudates from aerated  
376 hydroponic growth followed by suction of exudates directly off roots. Pojasok & Key  
377 (1990) measured 110 to 460 g kg<sup>-1</sup> C in maize root exudates. The collection of exudate  
378 involved growing plants in sand followed by leaching. Traoré *et al.* (2000) found 345 g kg<sup>-1</sup>  
379 C and 4.3 g kg<sup>-1</sup> N in maize root exudates collected from plants grown in the field. The  
380 differences in C and N in maize root exudates between our study and earlier research could  
381 be a result of different growth conditions and methods of collecting root exudates. The pH  
382 of the maize root exudate solution was similar to that of Pojasok & Kay (1990). We also  
383 found that the organic acids and sugar compounds we recorded were similar to those of  
384 other studies, for example in root exudates from rice (Bacilio-Jiménez *et al.*, 2003), maize  
385 (Carvalhais *et al.*, 2011) and lettuce (Neumann *et al.*, 2014). However it is difficult to  
386 compare these chemical compounds quantitatively between different studies because  
387 exudates depend on many factors such as method of collection, plant species, the age of an  
388 individual plant and external factors such as biotic and abiotic stresses including soil  
389 structure, presence of microorganisms and nutrient status. The proportion of phosphoric  
390 acid in the root exudates was larger than expected. We ran a set of blanks again to check  
391 the source of phosphoric acid, but it was not detectable. This means that the source of  
392 phosphoric acid could be the cells that sloughed off at the root caps into the water during  
393 the collection period.

394 The physical characteristics of some of the exudates were in accord, like the  
395 chemical characteristics, with the limited body of research that provides comparable data.  
396 The viscosity of *Capsella* seed exudate measured by Deng *et al.* (2013) agreed well with  
397 the zero-shear viscosity of chia seed exudate at similar concentrations in the present study.

398 Bais *et al.* (2005) reported zero-shear and infinite-shear viscosities for scleroglucan (a  
399 fungal exudate) were 10 times greater than chia seed exudate at similar concentrations.  
400 Large standard errors observed for barley and maize root exudates (Figure 3) were  
401 probably because of (i) a sharp decrease in viscosity with increasing shear stress near the  
402 inflection point and (ii) water-insoluble material present in root exudates. The source of  
403 this water-insoluble material could be the cells that sloughed off the root caps into the  
404 water during exudate collection. The infinite-shear viscosities of maize root exudate were  
405 comparable to those of Read & Gregory (1997); they measured viscosity from flow  
406 characteristics through a capillary tube for exudates collected directly from root tips. The  
407 variation in viscosities between different exudates could be attributed to polysaccharide  
408 sugars in the exudates i.e. more polysaccharide sugars in the exudates resulted in greater  
409 viscosities (Read & Gregory, 1997). Greater zero-shear and infinite-shear viscosities for  
410 chia seed exudate are consistent with the presence of large amounts of sugars. The  
411 viscosity of the barley and maize root exudates represented relatively weak resistance  
412 against shear and would present only slight differences in capillary behaviour. Thus  
413 resistance to flow in soil pores because of increased viscosity of the soil solution might be  
414 minimal, although particles might gel to surrounding soil.

415 Both chia seed and maize root exudates gelled soil particles resulting in  
416 stabilization that might increase soil aggregation. The largest increase in yield stress was  
417 for soil treated with chia seed exudates compared to the unamended soil and was linked to  
418 the largest amount of sugars or polysaccharides in this exudate. This is consistent with the  
419 binding of mineral soil particles by polysaccharide sugars, which stabilizes the soil  
420 (Oades, 1984). The behaviour of soil treated with chia seed and maize root exudates in this  
421 study was consistent with the root exudate compound polygalacturonic acid (PGA), which  
422 also increased the viscosity of clays considerably (Trachitzky & Chen, 2002; Barré &

423 Hallett, 2009). An increase in the viscosity of soil treated with *Capsella* sp. seed exudate,  
424 similar to that of chia seed, was also reported by Deng *et al.* (2015). The smaller yield  
425 stresses for soil treated with barley root exudate than for unamended soil might arise from  
426 changes in interparticle bonding. The anions of organic acids, present in large amounts in  
427 barley root exudate, might be adsorbed on the mineral soil particles, which might in turn  
428 increase the net negative charge of clays and result in greater clay dispersibility  
429 (Shanmuganathan & Oades, 1983). This could potentially increase the release of nutrients  
430 and carbon from soil through the exposure of new particle surfaces, but it is in contrast to  
431 the widely measured increase in physical stability of rhizosphere soil (Morel *et al.*, 1991;  
432 Traoré *et al.*, 2000). In short, the relative amounts of sugars and organic acids in the  
433 exudates were consistent with changes in the mechanical stabilization of exudate-treated  
434 soil. For example, organic acids dominated the barley root exudate and probably resulted  
435 in dispersion of the soil treated with this exudate. Similarly, sugars that include  
436 polysaccharides dominated the chia seed exudate and presumably resulted in gelling of soil  
437 particles.

438 The rhizosphere contains abundant microbial communities that use root exudates  
439 and rhizodeposits as substrate (Bais *et al.*, 2006; Nihorimbere *et al.*, 2011). These  
440 organisms produce a range of microbial metabolites that provide a range of ecological  
441 functions including phytostimulation and a defence mechanism by serving as biocontrols  
442 (Badri & Vivanco, 2009). On decomposition, the yield stresses of soil treated with both  
443 chia seed and maize root exudates decreased significantly compared to those before  
444 decomposition. This might result from microbial metabolites that decrease gelling ability  
445 compared with both maize root and chia seed exudates. Furthermore, Morel *et al.* (1991)  
446 also showed that incorporation of maize root exudate into soil resulted in an immediate  
447 increase in soil aggregate stability, thereafter the percentage of water-stable aggregates

448 decreased rapidly with microbial degradation. The barley root exudates initially decreased  
449 yield stress of the soil, which was reversed with microbial decomposition. This suggests  
450 that the immediate effect of barley root exudate on the soil was particle movement that  
451 could release nutrients, whereas after decomposition a more stable physical structure in the  
452 rhizosphere results. Dorioz *et al.* (1993) provided visual evidence from scanning electron  
453 microscopy of soil dispersion followed by aggregation in the rhizosphere. In Figure 4b of  
454 Dorioz *et al.* (1993), clay plates are orientated parallel to the root surface and the onset of  
455 aggregation is evident slightly further away.

456 Our physical quantification of the micromechanics of soil has resolved some of the  
457 underlying physical processes involved in rhizosphere formation and soil aggregation.  
458 Exudates rich in organic acids, such as the barley root exudate in this research, have a net  
459 dispersing effect on soil in contact with roots. However, with microbial decomposition this  
460 dispersing effect decreased and the soil became more stable. The exudates rich in sugars,  
461 maize root and chia seed exudates in this study, have a net stabilizing effect on soil in  
462 contact with roots from the onset. There is considerable scope to extend this research to  
463 explore whether dispersion from particular root exudates might release physically  
464 protected carbon, causing a priming effect. Keiluweit *et al.* (2015) found that oxalic acid in  
465 root exudates liberates physically protected organic matter in soil, but they did not measure  
466 the physical mechanism. Moreover, root exudates from different genotypes of the same  
467 crop are known to cause large differences in microbial community structure and function  
468 of the rhizosphere (Mwafulirwa *et al.*, 2016; Pieterse *et al.*, 2016). Perhaps there is an  
469 opportunity to breed crops that could potentially manipulate the rhizosphere physically to  
470 improve resource availability and resist abiotic stresses (White *et al.* 2013).

471

472 **Conclusions**

473 The mechanical tests reported here show the effects of species and decomposition on the  
474 stability of exudate-amended soil. Barley root exudate weakened soil, followed by  
475 strengthening after biological decomposition. The initial weakening of soil by barley root  
476 exudate might help in releasing previously inaccessible nutrients from soil by dispersion.  
477 Maize root and chia seed exudates, on the other hand, strengthen soil from the onset, with  
478 biological decomposition decreasing strength. This strengthening of soil by maize root and  
479 chia seed exudates could increase the stable soil structure commonly observed near roots.

480 The chemical characteristics of barley root, maize root and chia seed exudates  
481 analysed by GC–MS were quite different from each other; barley had the largest amount  
482 of organic acids, but the least amount of (polysaccharide-derived or free) sugars. This was  
483 reflected in the yield stress of exudate-amended soil, which was negatively correlated with  
484 the amount of organic acids and positively correlated with the amount of (polysaccharide-  
485 derived or free) sugars in the exudates. Chia seed exudate has limitations as a model root  
486 exudate because of its different chemical characteristics and exaggerated effect on soil  
487 physical behaviour compared to that of barley and maize root exudates.

488 The use of root exudates collected by the aerated hydroponic method in this study  
489 is a considerable improvement on the use of model root exudate compounds in previous  
490 research. We appreciate that this approach might produce root exudates with different  
491 composition from what would be produced in a soil environment. It is almost impossible  
492 to collect exudates in the required amount for mechanical testing from plants grown in  
493 soil. Our next step is to develop a micromechanical indentation probe to measure soil  
494 strength at the root–soil interface so that the effect of soil conditions and different plants  
495 can be explored under more realistic conditions.

496

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- 621

622 **Table 1** Pre-settings of oscillatory strain sweep tests on exudate-amended soil.

Property	Symbol and value
Plate gap	$d = 2$ mm
Cone-plate radius	$R = 30$ mm
Cone-plate angle	$\theta = 1^\circ$
Oscillation strain	$\gamma = 0.001\text{--}1000\%$
Measured points for each test	30
Duration	approximately 15 minutes

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626 **Table 2** Major chemical compounds as a percentage of total dry mass by weight in barley  
 627 root, maize root and chia seed exudates. Compounds weighing  $> 0.5 \text{ g } 100\text{g}^{-1}$  only are  
 628 given here.

Chemical group	Compound / $\text{g } 100\text{g}^{-1}$					
	Barley		Maize		Chia	
Amino acid	Glycine	4.89	Valine	2.90	Threonine	1.27
	Alanine	4.61	Alanine	1.14	Ketobutyric acid	0.52
	Valine	0.51	Isoleucine	0.87		
			Glycine	0.67		
Organic acid	Butanoic acid	39.03	Butanoic acid	17.94	Oxalic acid	3.27
	Acetoacetic acid	5.55	Acetoacetic acid	2.02	Pentenimidic acid	3.05
	Succinic acid	1.13	Succinic acid	0.94	Allonic acid	1.44
			Lactic acid	0.91	Sebacic acid	1.36
			Malonic acid	0.68	Succinic acid	0.78
					Arachidonic acid	0.69
Fatty acid	Palmitic acid	2.05	Adipic acid	5.02	Palmitic acid	4.65
	Stearic acid	0.55	Palmitoleic acid	3.27	Adipic acid	4.20
			Oleic acid	2.15		
				Stearic acid	1.22	
				Linoleic acid	0.56	
Sugar	Gulose	2.18	Galactose	2.22	Ribose	21.03
	Galactose	0.59	Talose	1.32	Pentose	10.47
			Psicose	1.22	Ribitol	9.64
			Sorbose	1.15	Xylose	7.61
			Rhamnose	1.06	Arabinose	2.03
			Maltose	0.71	Galactofuranose	1.51
			Ribose	0.66	Mannose	1.19
			Fructose	0.58		
	Sugar acid	Ribonic acid	2.30	Threonic acid	1.22	D-Arabinonic acid
Gluconic acid		1.18	Gluconic acid	0.84	Glucaric acid	0.88
Threonic acid		0.88			Galactonic acid	0.74
					Glucuronic acid	0.70
					D-Galacturonic acid	0.69
				Gluconic acid	0.67	
Sugar alcohol	Myo-Inositol	6.86	Myo-Inositol	3.66	Myo-Inositol	8.20
			Xylitol	0.58	Threitol	1.36
Others	Phosphoric acid	21.0	Phosphoric acid	24.29		
			Urea	9.64		

629 Note that sugars listed are probably largely polysaccharide-derived, following acid  
 630 hydrolysis of the exudates.

631 **Table 3** Accumulated analysis of variance obtained by general linear regression analysis  
 632 for logarithmically (base 10) transformed soil yield stress as response variate, water  
 633 content as explanatory variate, exudates and decomposition as two factors. The intercept  
 634 was fixed at 350 g kg<sup>-1</sup> water content, the minimum water content where the oscillatory  
 635 strain sweep test was done for a given soil.

Source	df	SS	MS	Var.	<i>P</i>
Water content	1	4.12	4.12	1081	<0.001
Decomposition	1	2.46	2.46	644	<0.001
Water content • decomposition	1	0.18	0.18	48	<0.001
Exudates	4	10.89	2.72	715	<0.001
Water content • exudates	4	0.83	0.21	54.2	<0.001
Decomposition • exudates	4	3.76	0.94	246	<0.001
Water content • decomposition • exudates	4	0.11	0.03	7.2	<0.001
Residual	42	0.16	0.04		
Total	61	22.51	0.37		

636 Df, degrees of freedom; SS, sum of squares; MS, mean squares; Var., variance ratio; *P* =  
 637 *F*-probability  
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651 **Table 4** Accumulated analysis of variance as for Table 3 excluding chia seed exudate  
 652 treatments.

Source	df	SS	MS	Var.	<i>P</i>
Water content	1	7.12	7.12	2287	<0.001
Decomposition	1	0.014	0.014	4.46	0.045
Water content • decomposition	1	0.005	0.005	1.61	0.22
Exudates	2	0.73	0.36	118	<0.001
Water content • exudates	2	0.007	0.004	1.13	0.34
Decomposition • exudates	2	0.52	0.26	83.4	<0.001
Water content • decomposition • exudates	2	0.003	0.001	0.47	0.63
Residual	24	0.075	0.003		
Total	35	8.47	0.242		

653 Df, degrees of freedom; SS, sum of squares; MS, mean squares; Var., variance ratio; *P* =  
 654 *F*-probability  
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671 **Table 5** Intercept ( $c$ ) and slope ( $m$ ) were evaluated by general linear regression analysis  
 672 using logarithmically (base 10) transformed soil yield stress as response variate, water  
 673 content as explanatory variate and exudates and decomposition together as one factor.  
 674 Intercepts were evaluated at  $350 \text{ g kg}^{-1}$  gravimetric water content, the minimum water  
 675 content where the oscillatory strain sweep test was done for a given soil.

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Exudate treatment	$m$	$c$
Before decomposition		
$0 \text{ mg g}^{-1}$	$-0.12 \pm 0.009$	$2.19 \pm 0.045$
Barley root exudate, $4.6 \text{ mg g}^{-1}$	$-0.11 \pm 0.009$	$1.84 \pm 0.045$
Maize root exudate, $4.6 \text{ mg g}^{-1}$	$-0.12 \pm 0.009$	$2.52 \pm 0.050$
Chia seed exudate, $4.6 \text{ mg g}^{-1}$	$-0.10 \pm 0.008$	$3.39 \pm 0.054$
Chia seed exudate (BM), $4.6 \text{ mg g}^{-1}$	$-0.11 \pm 0.009$	$3.61 \pm 0.063$
After decomposition		
$0 \text{ mg g}^{-1}$	$-0.11 \pm 0.010$	$1.99 \pm 0.045$
Barley root exudate, $4.6 \text{ mg g}^{-1}$	$-0.11 \pm 0.007$	$2.11 \pm 0.045$
Maize root exudate, $4.6 \text{ mg g}^{-1}$	$-0.12 \pm 0.010$	$2.19 \pm 0.045$
Chia seed exudate, $4.6 \text{ mg g}^{-1}$	$-0.13 \pm 0.010$	$2.55 \pm 0.045$
Chia seed exudate (BM), $4.6 \text{ mg g}^{-1}$	$-0.15 \pm 0.010$	$2.65 \pm 0.045$

677 **Figure captions:**

678 **Figure 1** Analysis of an oscillatory strain sweep test; elastic stress was plotted as a  
679 function of oscillation strain. The dashed line shows the calculation of yield stress from the  
680 data.

681 **Figure 2** Chemical characterization of the barley root, maize root and chia seed exudates  
682 by gas chromatography–mass spectrometry (GC–MS). Error bars are the standard errors  
683 (SE). Note that sugars listed are probably largely polysaccharide-derived, following acid  
684 hydrolysis of the exudates.

685 **Figure 3** Apparent viscosity (mean + SE) plotted as a function of applied stress for chia  
686 seed, chia seed after ball milling (BM), barley root and maize root exudates at a  
687 concentration of 4.6 mg exudate g<sup>-1</sup> water.

688 **Figure 4** Yield stress of soil treated with (a) chia seed, (b) chia seed after ball-milling  
689 (BM), (c) maize root and (d) barley root exudates, together with unamended soil both  
690 before and after decomposition plotted as a function of water content. Fitted lines are  
691 shown for the data measured before decomposition only.

692 **Figure 5** Intercept yield stress (mean ± SE) obtained from general linear regression  
693 analysis at 350 g kg<sup>-1</sup> water content (see Table 5) of soil treated with barley root (circle),  
694 maize root (triangle), chia seed (square) and chia seed exudates after ball-milling  
695 (diamond) at 4.6 mg exudate g<sup>-1</sup> dry soil plotted as a function of (a) amount of organic  
696 acids and (b) amount of sugars (polysaccharide-derived or free) present in the exudates.

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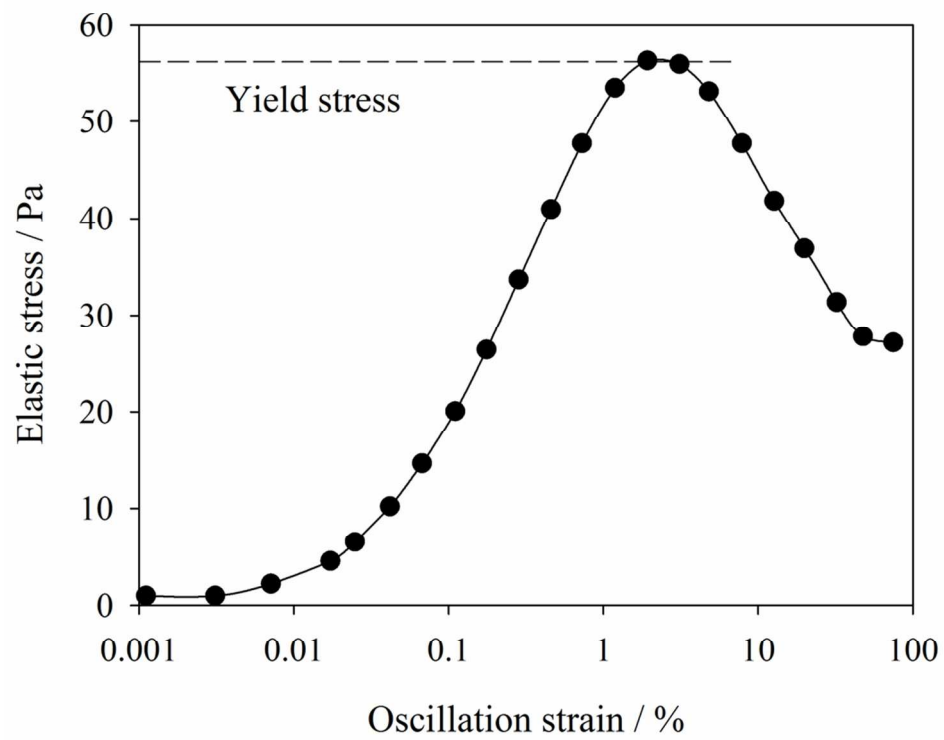


Figure 1

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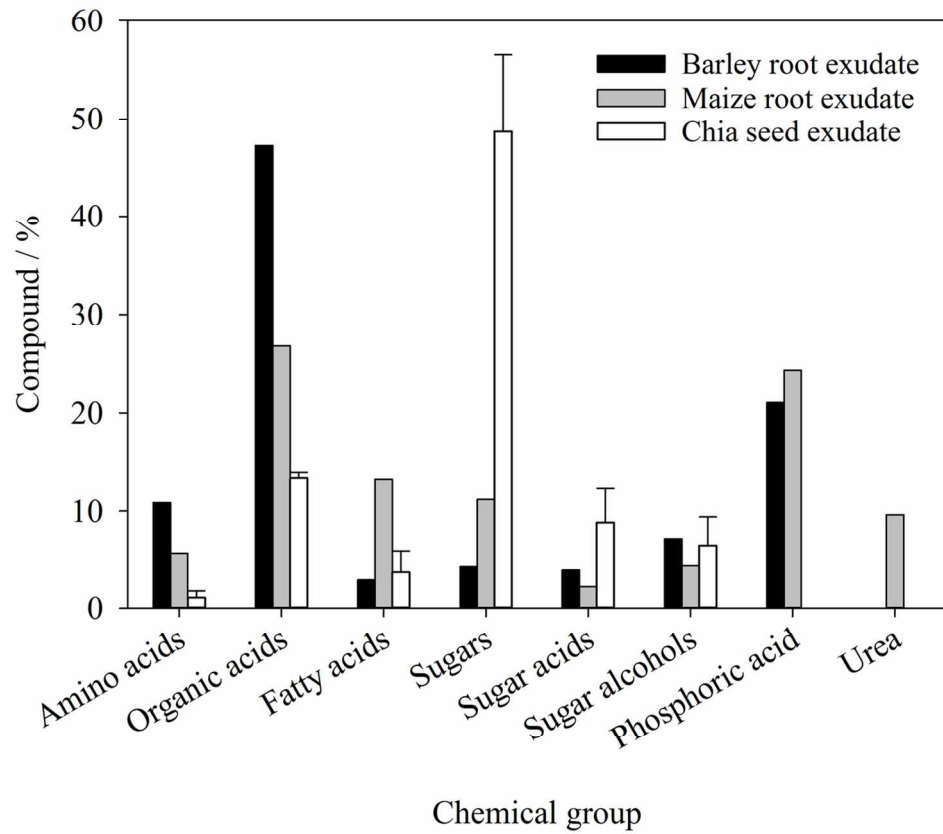


Figure 2

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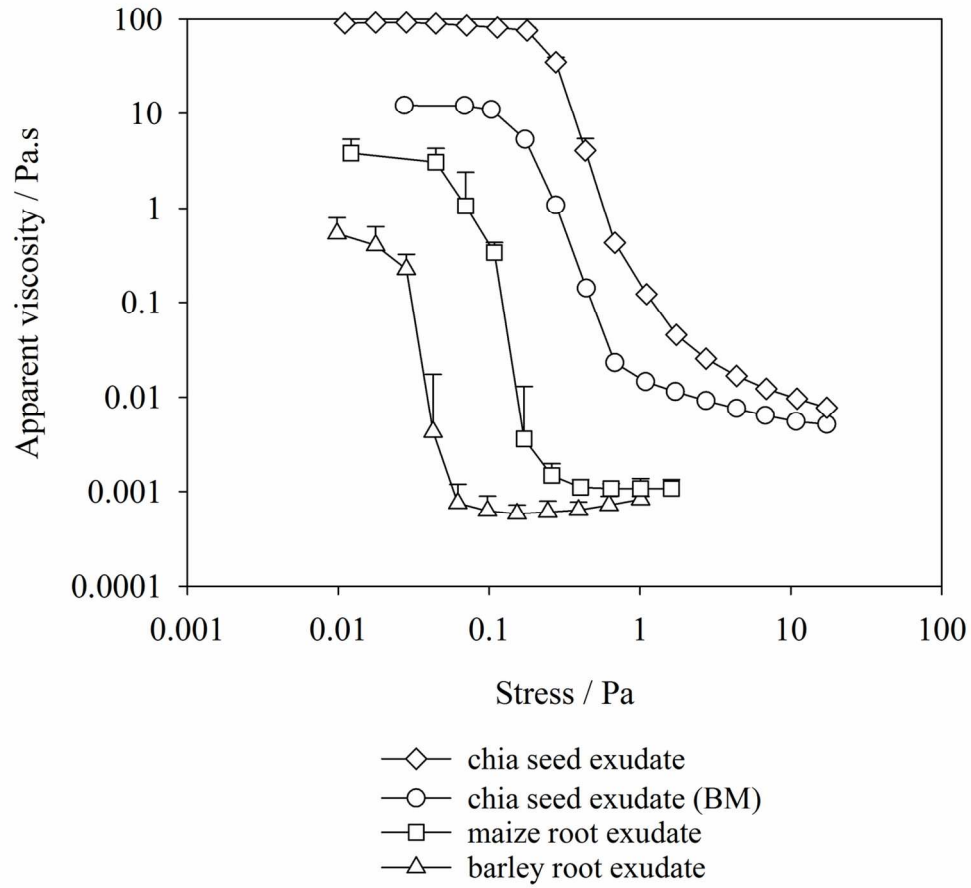


Figure 3

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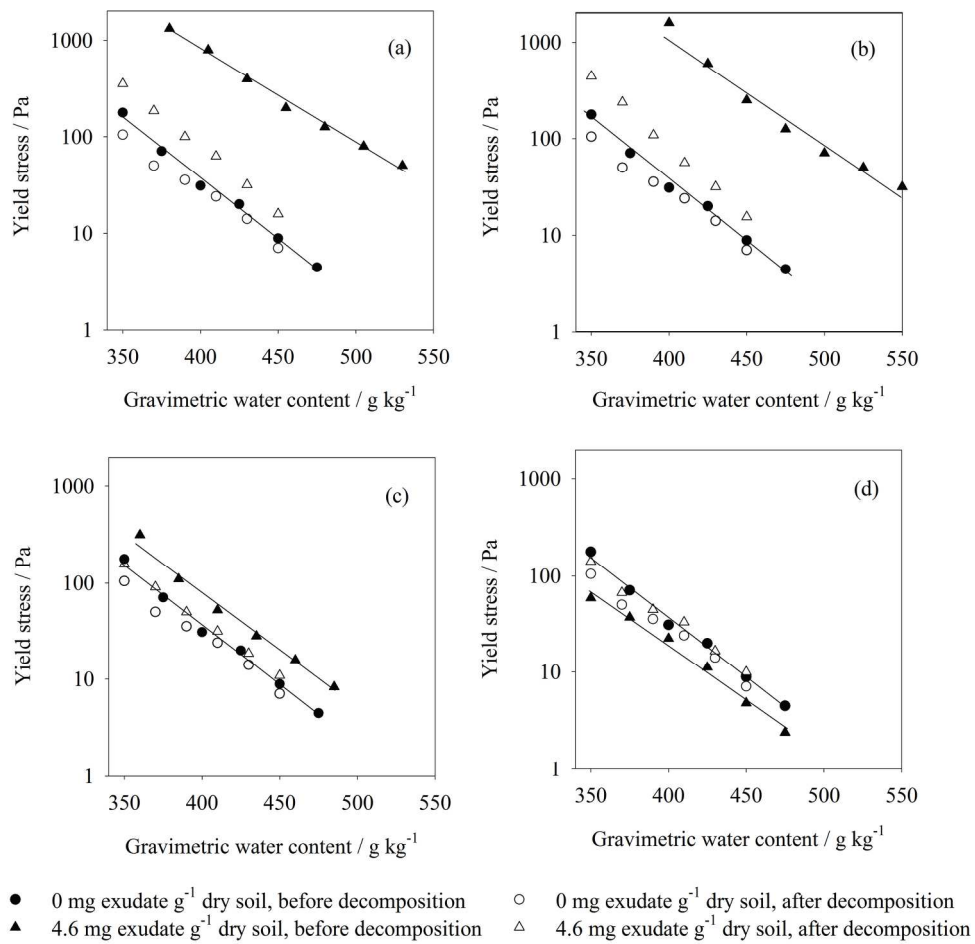


Figure 4

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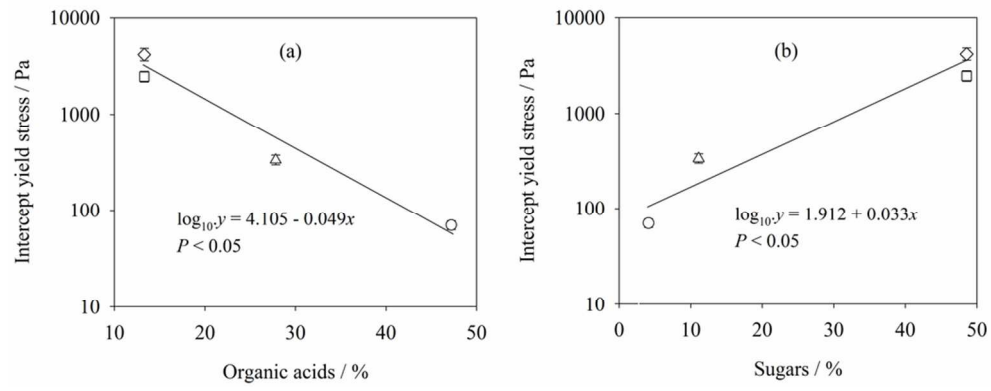


Figure 5

89x38mm (300 x 300 DPI)

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