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The Conceptualization of a Just-In-Time Adaptive Intervention (JITAI) for the Reduction of Sedentary Behavior in Older Adults

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Abstract

Low physical activity and high sedentary behavior in older adults can be addressed with interventions that are delivered through modern technology. Just-In-Time Adaptive Interventions (JITAIs) are an emerging technology-driven behavior-change intervention type and capitalize on data that is collected via mobile sensing technology (e.g., smartphones) to trigger appropriate support in real-life. In this paper we integrated behavior change and aging theory and research as well as knowledge around older adult's technology use to conceptualize a JITAI targeting the reduction of sedentary behavior in older adults. The JITAIs ultimate goal is to encourage older adults to take regular activity breaks from prolonged sitting. As a proximal outcome, we suggest the number of daily activity breaks from sitting. Support provided to interrupt sitting time can be based on tailored variables: a) the current accumulated sitting time, b) the location of the individual, c) the time of the day, d) the frequency of daily support prompts, and e) the response to previous support prompts. Data on these variables can be collected using sensors that are commonly inbuilt into smartphones (e.g., accelerometer, GPS). Support prompts might be best delivered via traditional text messages as older adults are usually familiar and comfortable with this function. The content of the prompts should encourage breaks from prolonged sitting by highlighting immediate benefits of sitting time interruptions. Additionally, light physical activities that could be done during the breaks should also be presented (e.g., walking into the kitchen to prepare a cup of tea). Although the conceptualized JITAI can be developed and implemented to test its efficacy, more work is required to identify ways to collect, aggregate,

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organize and immediately use dense data on the proposed and other potentially

important tailoring variables. Machine learning and other computational

modelling techniques commonly used by computer scientists and engineers

appear promising. With this, to develop powerful JITAIs and to actualize the full

potential of modern sensing technologies transdisciplinary approaches are

required.

Keywords: physical activity, eHealth, mHealth, behavior change, smartphone

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Introduction

Population aging is a phenomenon that can be observed in most regions of the world (1). According to the United Nations the proportion of older adults in the overall population will almost double from 12% in 2013 to 21% in 2050 (2). As older adults are more likely to develop chronic diseases such as diabetes, health care systems face an enormous challenge (3,4). However, many chronic diseases can be prevented and good health can be maintained if older adults adopt healthy lifestyles. This commonly includes engaging in regular physical activity and reducing sedentary behavior (5,6). For example, physical activity can lower the risk of cardiovascular diseases (7), improves memory and other cognitive functions (8–10), and increases wellbeing (11).

Unfortunately, older adults have low physical activity levels, which decline with increasing age (12). For example, recent accelerometer data from the United Kingdom suggests that only 13.5% of older adults meet the World Health Organizations recommendations for physical activity (150 minutes of moderate to vigorous physical activity per week) (13). In addition, older adults are the most sedentary age group who spend between 65% to 80% of their waking hours in sitting or reclining positions (14,15).

To address low physical activity and high sedentary behavior levels in older adults, an increasing number of researchers employ electronic and mobile health (e- & mHealth) approaches to deliver behavior change interventions (16–18). This is reasonable considering that older adults are increasingly keen to adopt modern technologies (19–21). For example, about 80% of older adults are regular mobile phone users (22). Research also shows that older adults are

especially interested in modern technologies if these can benefit important aspects of life, such as health (23,24). As further increases in uptake and use of (mobile) technologies by older adults are expected (25), new avenues to deliver interventions targeting physical activity and sedentary behavior are evolving rapidly.

In this paper, we will first provide a brief overview of existing e- & mHealth interventions that target physical activity and/or sedentary behavior in older adults before introducing a new intervention type, Just-In-Time Adaptive Interventions (JITAIs), which can enable sustainable health behavior change (26). The primary aim of this paper is to utilize theoretical and empirical evidence to conceptualize a JITAI that targets reduced sedentary behavior in older adults.

E- & mHealth to impact physical activity and sedentary behavior

The evidence base for e- & mHealth physical activity and sedentary behavior interventions in older adults is mixed. Some interventions delivered via mobile-phone text messaging (27,28), and the Internet (29–33) were successful in improving these health behaviors. In contrast, others, delivered via the Internet (34,35), tablet computers (36) and wearable activity trackers (37,38), were not. Even if positive changes were reported, these were not always maintained for a long period following the intervention (39). Hence, although it appears feasible to use modern technology to deliver physical activity and sedentary behavior interventions targeting older adults, the inconclusive evidence highlights that the full potential of e- & mHealth is yet to be actualized.

One way to create more successful interventions for reducing sedentary behavior and increase activity is to use sensors that are inbuilt into mobile devices such as smartphones (e.g., GPS, accelerometers). These sensors can capture behavioral and contextual data in ongoing interventions. This data can be aggregated and processed to inform and adapt behavior change support in real time (40,41). With this, the type, time, frequency and content of support is not only dependent on baseline characteristics (e.g., age, sex) and theory. In fact, temporal dynamics of various factors that are likely to influence behavior "in the wild" (e.g., location, time of the day) inform the choice of behavior change support (42). As a result, appropriate support can be provided when it is most beneficial. This will likely lead to improved adoption and maintenance of the health behavior in question (26). Interventions that utilize continuously collected data to adapt behavior change support in the moment are called Just-In-Time Adaptive Interventions (JITAIs) (43,44).

Just-In-Time Adaptive Interventions (JITAIs) to impact physical activity and sedentary behavior in older adults: Current state

There are only three JITAI studies that targeted physical activity and/or sedentary behavior which also included older adults (45–47). However, the researchers only used data from smartphone accelerometers to inform behavior change support. This is unsatisfying considering that a) various contextual factors (e.g., the current location) can have a profound impact on behavior in the moment, and b) data on these contextual factors can be collected and processed to identify moments where a certain type of behavioral support might be most beneficial (43,48).

To further advance the field, and to develop strong JITAIs, it is important to integrate theoretical and empirical evidence and, in the process, to answer four essential questions:

- 1. What behavioral and contextual data are needed to identify moments when the delivery of behavior change support will be most beneficial?
- 2. What level of the behavioral and contextual data indicates an opportunity to deliver behavior change support?
- 3. What is the best way to measure behavioral and contextual data?
- 4. What kind of support could be delivered in what way when opportune moments for behavior change support arise?

In this paper, we describe the integration of behavior change and aging theory as well as empirical data to inform the conceptualization of a JITAI for the reduction of sedentary behavior in older adults. We will also discuss older adults' adoption and use of technologies that are relevant for the JITAI.

Theoretical and empirical evidence-informed conceptualization of a JITAI for sedentary behavior reduction in older adults

A JITAI consists of six components: distal outcome, proximal outcome, tailoring variables, intervention options, decision points, and decision rules (26).

Distal outcome

The distal outcome is the long-term goal of a JITAI (49). For the purpose of this paper, the distal outcome is *regular interruptions of prolonged sitting with light physical activity*. We chose this outcome for four reasons.

First, although older adults have the lowest physical activity levels compared to other age groups and increasing moderate or vigorous activity is desirable (12,50), meaningful improvements in physical activity might not be feasible because older adults face various age-related limitations (10,51). Second, older adults are likely to be highly committed to less challenging behavior changes such as reducing sedentary behavior as these are in line with their current capabilities and competencies (52,53). Third, there is evidence highlighting that sedentary behavior is associated with many health risks (54,55), and that reducing sedentary behavior is beneficial for health (56,57). Finally, sitting is habitual and active breaks are opportunistic and can be promoted in the moment (with a JITAI) (58,59).

Proximal outcome

The proximal outcome is the short-term goal of a JITAI as it mediates the effect of the intervention on the distal outcome. Hence, the proximal outcome is at the center of a JITAI because it indicates progress towards the distal outcome (49).

There is only limited research on the mediators of sedentary behavior, especially in older adults (45,58,60). Theory and evidence suggests that the social-cognitive determinants of self-efficacy and social support are important for physical activity adoption and maintenance (61–65). However, these determinants are not likely to influence how frequently an (older) adult takes active breaks from prolonged sitting because sedentary behaviors are habitual (66).

A behavioral proximal outcome that captures small steps towards sustainable behavior change (distal outcome) seems most appropriate (26). An adequate proximal outcome that is likely to mediate the effect on regular activity breaks from sitting is the *number of active breaks from prolonged sitting over a day*.

Conceptually, when an older adult interrupts his/her sitting time throughout the day for a few weeks it is likely that breaks from sitting will soon become habitual. Figure 1 depicts the model.

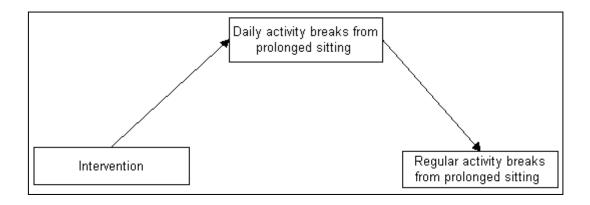


Figure 1: Intervention impact on distal outcome (regular activity breaks from prolonged sitting) via the proximal outcome (daily activity breaks from prolonged sitting)

Tailoring variables

To help an older adult to take active sitting breaks throughout the day it is important to collect data on tailoring variables that identify moments when behavioral support might be most beneficial (43). Sensors allow for the collection of behavioral and contextual data that can identify such moments. The choice of tailoring variables needs to be in line with theoretical and empirical evidence while also considering practical aspects (43).

The first tailoring variable is accumulated sitting time. This variable directly relates to the proximal outcome and was chosen in a JITAI that targeted sitting time reductions in office workers (67). The rationale behind choosing this tailoring variable is that after a longer time of sitting (e.g., 30 or 60 minutes) the

individual might be receptive to the suggestion to take an active sitting break (68) (see Figure 2).

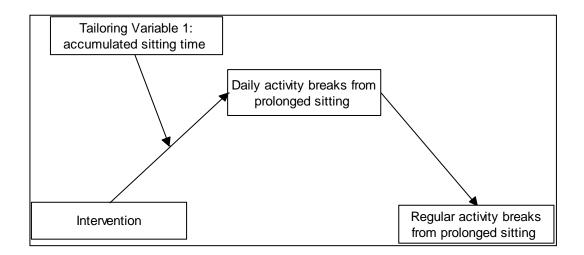


Figure 2: Relationship between the Tailoring Variable 1, the proximal outcome and the distal outcome

The second tailoring variable is the *location* of the individual. Collecting data on this variable is important because sitting time can also accumulate outside the private home, at places where it is not possible to take active breaks. For example, sitting time interruptions in a bus or restaurant might not be possible or desirable. With this, promoting active breaks at home is likely most acceptable. In addition, research suggests that during the initial stages of forming a behavioral habit it is important to execute the behavior in a consistent setting (69). As older adults spend a considerable amount of time at home, providing support in this setting is likely to lead to faster habit formation.

A third tailoring variable that can guide the identification of opportune moments for the delivery of behavior change support is the *time of day*. There is evidence suggesting that older adults accumulate most of their sitting time in the evening

(14,70–72). Older adults are also more inclined to take active sitting breaks in the evening hours compared to other parts of the day (73). Consequently, providing support in the evening is likely to be most effective.

The fourth tailoring variable is the *response to support prompts sent earlier*. If an older adult had an active sitting break following a support prompt it might not be desirable to send another one shortly afterwards. This is because the person might not be open to too many sitting time interruptions. It is important to consider that changes to habitual sedentary behaviors are difficult to achieve (52). It is likely that reducing sitting time in a step-by-step manner is more acceptable (74,75). If the older adult does not interrupt his/her sitting time following a few support prompts it might not be desirable to continue providing prompts as these could be disturbing.

The final tailoring variable is *frequency of support prompts*. In order to minimize burden only a few support prompts per day should be delivered. Sending a maximum of three prompts per day proved successful in a previous JITAI (67).

Following the choice of adequate tailoring variables, it is essential to identify the technologies that could be used to collect data on these variables. To reduce participant burden, increase data accuracy, and allow for flexible data collection the tailoring variables should be measured automatically using sensors inbuilt into smartphones (26,43). Although smartphone adoption among older adults is still lower compared to other age groups (76,77) and older adults only use a few functions (19) this is projected to change over the coming years (25).

Smartphone inbuilt accelerometers or inclinometers can measure the tailoring variables accumulated sitting time and responses to previous support prompts. It might be beneficial to additionally use wearable activity trackers because older adults are unlikely to carry their smartphone around throughout the day, especially when they are at home. Research suggests that older adults accept such trackers well (37,78) and that they find them easy to use (79). However, uptake of such devices is low (20) and it might be necessary to provide some training to familiarize older adults with this technology (46,47). The *location* can be measured with the inbuilt GPS and the *time of the day* with the inbuilt clock.

Intervention options

Once the tailoring variables identify an opportune moment for behavior change support, adequate intervention options (support prompts) need to be triggered (49). The Socioemotional Selectivity Theory suggests that older adults value immediate personally relevant benefits of behavior because the prominent perception is that their lifespan is limited (80,81). This was confirmed in studies on the motivators for increasing physical activity and reducing sedentary behavior; the researchers reported that long-term health outcomes were less relevant compared to immediate benefits such as joy and well-being (52,61). In addition to highlighting immediate benefits, it is important to provide activity options for the active breaks (e.g., walking to the kitchen to prepare a cup of tea) (52). Thus, *Intervention Option 1* should be to provide a *brief prompt that a*) highlights the immediate benefits of taking an active sitting break, and b) proposes an activity for the break.

If sitting time was interrupted successfully following an earlier prompt, then the next prompt should *provide positive feedback and encouragement to repeat the behavior (Intervention Option 2).* The *Provide Nothing Option (Intervention Option 3)* is important in case sitting time cannot be interrupted in the moment (e.g., person is traveling on a bus) or when the individual might be unmotivated to interrupt his/her sitting time (e.g., after many sitting time interruptions earlier on the same day).

Intervention Options 1 and 2 could be delivered via simple text messages because older adults are usually familiar with this less complex technology (22). Using more recent ways of delivery such as social media messengers might be problematic as most older adults are less comfortable using this technology (19,77). An audible signal should alert the individual to the arrival of a text message.

Decision points

The decision points mark the moments in time when a decision about an intervention option is made based on the level of the tailoring variables (26,49). Hence, decision points are intrinsically linked to the tailoring variables. As highlighted above, the evening hours are the time period when older adults accumulate most of their sitting time, and when they are most likely to be able and willing to take sitting breaks (71,73). Consequently, the decisions points should be in the *evening hours* (potentially *between 5pm to 9pm*). Tailoring Variables 1, 2, 4 and 5 will then determine when an intervention is triggered Figure 3 depicts the complete conceptual JITAI and a full description of the components can be found in the Supplementary Material.

Decision rules

Decision rules systematically link the components of a JITAI (26,49). Based on the five tailoring variables and the three intervention options we constructed seven decision rules. For example, if an older adult accumulates at least 30 minutes of uninterrupted sitting time but he/she is not at home, Intervention Option 3 should be triggered (*provide nothing, Decision Rule 2*). In contrast, if an older adult accumulates at least 30 minutes of uninterrupted sitting time and 1. he/she is at home, 2. it is evening, 3. the second support prompt of the day is due and 4. the person did not interrupt his/her sitting time following the previous prompt then *Intervention Option 1* should be delivered (support prompt, *Decision Rule 6*). See Figure 3 and the appendix for a detailed overview of the decision rules.

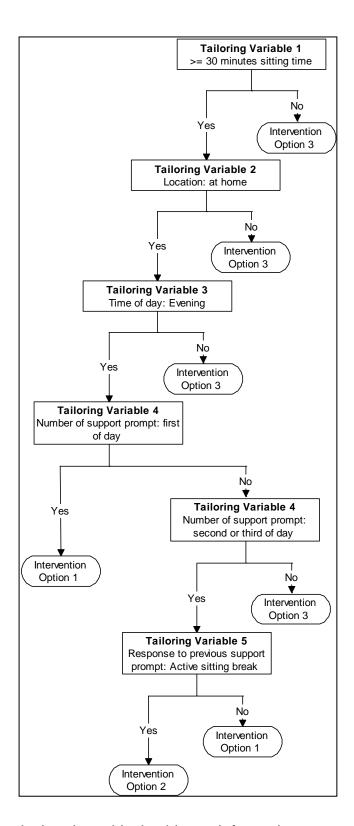


Figure 3: Theoretical and empirical evidence-informed conceptualization of a JITAI to reduce sitting time in older adults

Discussion

JITAIs are a novel intervention type that capitalize on sensing technology inbuilt into mobile devices (e.g., smartphones) to collect real-time behavioral and contextual data which is used to provide in-the-moment behavioral support (26,43,49). These interventions have been successful in increasing physical activity and/or reducing sedentary behavior in a few small-scale studies involving adults (67,82) and older adults (45–47). The changes in sedentary behavior were moderate and mostly comparable to the changes achieved in other interventions that did not apply a JITAI approach or were not delivered digitally (58,70,73,75,83). The shortcomings of the JITAI studies were that the integration of theory and empirical evidence to inform the development of the JITAIs was limited. As a result, the full potential of the JITAI approach was not actualized which might explain the modest effects.

In this paper we conceptualized a JITAI that targets reduced sitting time in older adults. For this, we integrated behavior change as well as aging theory and evidence, and also considered older adults' technology adoption and use. We hope our work can serve as a solid framework for the design of future JITAIs that could be effective in changing sedentary behavior.

Although we carefully integrated theory and empirical research to conceptualize the JITAI it is important to highlight that not all tailoring variables that might indicate opportune moments for behavior change support could be considered. We focused on tailoring variables that can be assessed in a passive and unobtrusive manner using smartphones and their inbuilt sensors (e.g., GPS). This choice was made because passive measurement minimizes burden and

allows for flexible as well as accurate assessments of the momentary context (43). We acknowledge that other tailoring variables than those included in our JITAI are likely to be also important. For example, the current internal state (happy, annoyed or energized) might be a promising tailoring variable to identify moments in which behavior support can be beneficial or, conversely, detrimental (43). If an older adult had some negative experiences he/she might have negative mood. In such situations providing behavior change support could be perceived negatively, even though all other tailoring variables point to an opportune moment for such support. However, assessing mood might not be desirable because the older adult would most likely be required to input some information into the mobile device. This would increase burden and might lead to disengagement with the intervention (49). Additionally, the complex and timevarying interactions between various behavioral and contextual tailoring variables which impact behavior were not considered in our work. This is mainly because these interactions and their implications are difficult to anticipate without dense data (41,49).

In addition to adaptations based on the momentary context, JITAIs are meant to adapt to the progress towards the proximal and distal outcome (43). For example, if an older adult successfully interrupted his/her sitting time in the evening hours for a few weeks, the frequency of support prompts could be increased to encourage further reductions in sitting time. That means that the decision rules proposed here will need to change over time (43). When such adaptations to decisions rules should be made is not clear. There is limited evidence originating from one study that suggests that the adoption of a healthy

habit can take between 18 and 254 days (median: 66 days) (69). This study provides little guidance on when decision rules should be adapted in a JITAI targeting sedentary behavior in older adults because a) the researchers included younger adults who were motivated to change their behaviors, and b) the reduction of sedentary behavior was not assessed. Older adults might need a longer time to adopt a new behavior due to long-established behavioral patterns. On the contrary, reducing sedentary time is not as complex as increasing physical activity. With this, habit formation might not take very long. The fact that the JITAI studies published to date described minimal (46,47) or even no adaptations (45,67,82) to decision rules highlights that not all opportunities that JITAIs offer are currently utilized.

As JITAIs capitalize on mobile technology, questions around feasibility and cost-effectiveness to implement large-scale interventions in older adults need to be answered. Although the 'digital divide' is narrowing, older adults show lower technology adoption and use compared to other age groups (22,79). This is especially true for recent technologies which bear most promise for JITAIs. This has implications for the design of JITAIs as it might be necessary to train older adults in using these technologies (24). In the three JITAI studies that included older adults, training sessions prior to the interventions were conducted to familiarize the participants with the technologies (45–47). For example, King and colleagues provided a 1-hour one-to-one training session for each participant (46,47). A helpline for participants was also established. Even more support might be necessary for the older adults who experience more barriers to technology use (79). Providing such support on a larger scale might be

impractical and cost-ineffective. On the contrary, if older adults successfully adopt modern mobile technologies, JITAIs might be a promising way to improve long-term impact on behavior. This is because older adults are less likely to abandon these technologies (79) and are more adherent to digital and other health interventions compared to younger age groups (29,84). There is another benefit of a face-to-face introductory session prior to a JITAI: Older adults can be made aware of their sedentary behavior and intentions to reduce time spent sedentary can be formed (58). The JITAI can then function as a bridge between intentions and behavior. This will likely increase the sustainability of behavior change.

Future work

JITAI research is in its infancy (43) and the JITAI conceptualized in this paper presents a necessary step for the development and implementation of such interventions. The JITAI proposed here is limited as it does not account for a) all potentially important factors that can impact behavior, b) time-varying changes to these factors, and c) complex and changing interactions between these factors.

Understanding these complexities and theorizing them solely from a behavioral science point of view is neither feasible nor fruitful as they vary from individual to individual. To refine the JITAI and to ensure effective behavior change, temporally dense longitudinal data of important variables is required that can be fed back into the JITAI to allow for ongoing adaptations at the individual level (feedback loop) (41). Such strategies of data aggregation, connection and organization for continuous model refinement are commonly applied in

computer science and engineering and are often referred to as computational modelling (such as machine learning or system identification) (40,41).

With advancing sensor technology that is increasingly integrated in smartphones and other consumer-based mobile devices, and the use of machine learning approaches, it is possible to understand and impact individual behavior in real-time (41). This is likely to significantly change behavioral health research and practice as it will allow for the generation of behavioral theories and models that are informed by classical theories (that are concerned with more stable factors), refined by data (of dynamic factors), and individually adaptable. Such work requires transdisciplinary teams involving behavioral health experts, engineers and computer specialists (40,43,85). Finally, it is essential to consider the ethical implications related to the collection of dense personal data necessary for adaptive interventions.

Conclusions

Health behavior change intervention research and practice is maturing rapidly. From the early days where interventions were generic and intended to help all people in all contexts, to interventions that were tailored based on baseline data, we are now approaching the era of behavior change interventions, such as JITAIs, that are continuously adapted based on data collected through modern sensing technology (42).

The primary merit of JITAIs is that they recognize and make use of the momentary context that can have a profound impact on the adoption and maintenance of health behaviors. Modern technology enables the collection of information of context; and this information can then be used to trigger

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immediate behavior change support. In this paper we integrated behavioral

health and aging theory as well as knowledge around the use of technology by

older adults to arrive at a conceptual JITAI targeting the replacement of sitting

time with light physical activity. This JITAI could be further refined before

piloting it. Advancements will be made if dense contextual data is collected and

fed back into the JITAI (i.e., via machine learning). This will only be possible if

transdisciplinary teams consisting of behavioral scientists, engineers and

computer specialists work together.

Finally, despite the great opportunities of JITAIs participants need to be inclined

to and experienced in using relevant technologies. This can be a barrier when

implementing JITAIs in older adults, and resource-intense measures might need

to be taken to familiarize older adults with the technologies.

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Footnote

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Supplementary Material

Full conceptualization of a JITAI to reduce sitting in older adults

Figure legends

Figure 1: Intervention impact on distal outcome (regular activity breaks from prolonged sitting) via the proximal outcome (daily activity breaks from prolonged sitting)

Figure 2: Relationship between the Tailoring Variable 1, the proximal outcome and the distal outcome

Figure 3: Theoretical and empirical evidence-informed conceptualization of a JITAI to reduce sitting time in older adults