First human impacts and responses of aquatic systems: a review of palaeolimnological records from around the world

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Abstract:	Lake sediments constitute natural archives of past environmental changes. Historically, research has focussed mainly on generating regional climate records, but records of human impacts caused by land-use and exploitation of freshwater resources are now attracting scientific and management interests. Long-term environmental records are useful to establish ecosystem reference conditions, enabling comparisons with current ones and potentially allowing future trajectories to be more tightly constrained. Here we review the timing and onset of human disturbance in and around inland water ecosystems as revealed through sedimentary archives from around the world. Palaeolimnology provides access to a wealth of information reflecting early human activities and their corresponding aquatic ecological shifts. First human impacts on aquatic systems and their watersheds are highly variable in time and space. Landscape disturbance often constitutes the first anthropogenic signal in palaeolimnological records. While the effects of humans at the landscape level are relatively easily demonstrated, the earliest signals of human-induced changes in the structure and functioning of aquatic ecosystems need to be investigated further. Additional studies will improve our understanding of linkages between human settlements, their exploitation of land and water resources, and the downstream effects on continental waters.

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45 Abstract

Lake sediments constitute natural archives of past environmental changes. Historically, research has focussed mainly on generating regional climate records, but records of human impacts caused by land-use and exploitation of freshwater resources are now attracting scientific and management interests. Long-term environmental records are useful to establish ecosystem reference conditions, enabling comparisons with current ones and potentially allowing future trajectories to be more tightly constrained.

Here we review the timing and onset of human disturbance in and around inland waterecosystems as revealed through sedimentary archives from around the world.

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and their corresponding aquatic ecological shifts. First human impacts on aquatic systems and

their watersheds are highly variable in time and space. Landscape disturbance often

57 constitutes the first anthropogenic signal in palaeolimnological records. While the effects of

- humans at the landscape level are relatively easily demonstrated, the earliest signals of
- 59 human-induced changes in the structure and functioning of aquatic ecosystems need to be

60 investigated further. Additional studies will improve our understanding of linkages between

61 human settlements, their exploitation of land and water resources, and the downstream effects

- 62 on continental waters.
- 63

64 Keywords

65 first human impacts, lakes, tropical wetlands, aquatic ecosystems, sediments, aquatic

- 66 transitions
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68 1. Introduction

Lake systems are fundamentally important to the environment, biosphere, and human 69 70 populations, but are under increasing stress from anthropogenic impacts, and vulnerable to 71 Earth's changing climate. Understanding how freshwater ecosystems change through space 72 and time is crucial to ensuring global-scale resource sustainability at a time when humans 73 increasingly drive environmental change. The timing and onset of human activities and how 74 these have modified aquatic ecosystems in the past are becoming of increasing scientific and 75 management concern (Dearing et al., 2006; Gell et al., 2007b; Saulnier-Talbot, 2016), especially as global aquatic ecosystems shift towards alternative Anthropocene states (Kopf 76 77 et al., 2015). Palaeolimnological analysis, the study of the physical, chemical and biological 78 information preserved in lake and wetland sediments, provides a valuable approach to 79 reconstruct natural and anthropogenic changes for periods pre-dating instrumental 80 observation. Long-term records of environmental change are of particular interest to establish 81 the range of natural variability (i.e. 'background' or 'reference' conditions of an ecosystem in 82 the absence of extensive human impact), from which the timing and magnitude of anthropogenic disturbance may be quantified. The identification of this discernible change 83 84 allows both the setting of management targets (Gell et al., 2013), and aids scientific 85 understanderstanding of the complex interactions between people, climate and the 86 environment. Such data are crucial for modelling future scenarios relating to water quality 87 and availability. Furthermore, knowing the timing of first impact provides data on the response and/or recovery of an aquatic system once the 'pressure' (e.g. point source 88 89 pollution) has been removed, and insight into the legacy and cumulative effects of early human impacts on contemporary (and future) aquatic systems. 90

91 People have affected natural environmental systems for millennia at local scales by 92 disturbing vegetation cover (Edwards and Whittington, 2001), but sustained land-use changes 93 began with the rise of Neolithic farming: the so-called 'Neolithic revolution'. According to 94 Ruddiman (2005), the global effects of early farming on the atmosphere are detectable some 8000 years ago when humans began to influence atmospheric CO₂ levels, although the extent 95 96 of human impact on the climate at this time remains controversial (Lewis and Maslin, 2015). Nonetheless, direct evidence of early human impacts on landscapes at a local scale is well 97 known through an extensive global array of archaeological investigations at local and 98 regional scales (e.g. Whittle and Bickle, 2014). 99

Today, the direct (e.g. through species introductions, water abstraction) and indirect
(e.g. through altering lake catchments) impact of people on lake systems is unquestionable

102 (Leavitt et al., 2009; Hering et al., 2015). The effects of early human activity on aquatic 103 ecosystems, typically driven by soil erosion, habitat modification or pollution, have been 104 demonstrated in many case studies. These studies show that human pressures on aquatic 105 systems can take many forms and are highly variable in time and space, but the timing and 106 magnitude of first detectable impacts at regional and continental scales remain ill-defined. In 107 order to contextualise the pace, direction and magnitude of recent aquatic transitions, and to 108 consider how reversible they may be, this paper provides a global synthesis of the earliest 109 drivers and responses of these vital ecosystems.

While lake sediment records can provide local and regional evidence about 110 111 environmental changes, detecting human influence throughout history can be challenging 112 because of the subtlety, complexity and variable nature of many early human impacts on the 113 environment (see Mills et al. 2017). The nature of disturbance, preservation of the impact signal and, not least, the provision of a reliable chronology all affect our ability to detect 114 115 changes. Moreover, palaeolimnological proxies are frequently used to indicate early human 116 terrestrial disturbances in a region (e.g. pollen assemblages, charcoals and sediment influx to infer deforestation in a catchment) but these are not necessarily accompanied by evidence of 117 118 a concurrent aquatic transition. By "aquatic transition", we refer to an episode during which 119 an aquatic ecosystem experiences a change in the way it is physico-chemically or biologically 120 structured or is affected in its functions, such as in passing from one trophic state to another. 121 Available research seemingly suggests that the first dectectable human impacts on the environment rarely lead to aquatic transitions, although future analysis focussing more on 122 123 aquatic variables may challenge this view.

124 Herein, we review evidence of early (pre-1850 CE) human pressure on inland aquatic 125 ecosystems around the globe as revealed through lake and (low-latitude) wetland sediment 126 records. Dates are reported using the CE (Common Era) notation for the last 2000 years, and 127 the b2k (before year 2000) notation for dates preceding the CE. We included low latitude 128 wetlands, such as swamps and marshes, as lakes can be geographically restricted (we exclude peatlands as their palaeoecology is less relevant to this study; generally they are archives of 129 130 terrestrial and atmospheric change, but can also record localized impacts such as fires). We 131 report the earliest sign of each major anthropogenic driver (i.e. soil erosion, habitat 132 modification, pollution, and species introduction) that led a system to move outside its previous range of variability. Our specific aim is to identify (a) the timing and geographical 133 134 distribution of the earliest sedimentary signals of human impacts on the environment and (b) 135 where possible, to report when these impacts first resulted in a shift in ecosystem structure

and function; i.e. a detectable aquatic transition. The changes as such are not necessarily
quantified but are recorded unambiguously. Reported sites are - to the best of our knowledge
those revealing the earliest signals identified to date in each region.

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140 2. Lake sensitivity to human impact

141 Early anthropogenic impact on aquatic systems is highly variable and can depend on 142 geographical location and duration of inhabitation. Figure 1 synthesizes the timing of arrival 143 of anatomically modern humans in various regions, migration routes, and global sea-level fluctuations (since lower sea-level during ice ages facilitated passage between land masses). 144 145 A more detailed description is provided in the Supplementary Material. However, the first 146 occurrence of humans in the archaeological/palaeolimnological record does not necessarily 147 correspond to a shift, or transition, in aquatic ecosystems (Dodson, 1979; Armesto et al., 2010; Bush et al., 2016). In fact, the first 'detectable' human impact within a lake catchment 148 149 may not force a response in the aquatic system, possibly due to the negligible degree of 150 impact caused by smaller population sizes, their culture, and less "sophisticated" technologies. 151

152 With a lower magnitude of stress, some lake ecosystems may have the capacity to adapt 153 rather than shift into an alternative state. This may also be true where technology has evolved 154 gradually through time, for example in Europe (Bradshaw et al., 2005b) and China (Shen et 155 al., 2006). In these regions, lake systems adapted to slow changes until ca 6000 b2k, when catchment modification and the intensification of agriculture increased to such an extent that 156 157 a distinct transition is observed in the function of aquatic ecosystems. In other parts of the world, where advanced technology and intensive agricultural practices were introduced more 158 159 recently (e.g. within the last two centuries in Australia and New Zealand), the effects on 160 ecosystems are far more acute, though this could also reflect the sensitivity of the systems 161 (Gell et al., 2005b; Kattel et al., 2014; Gell and Reid, 2014; 2016). Lakes in remote regions 162 have only been subjected to anthropogenic nutrient loading via atmospheric deposition as a result of transboundary pollution since the last few decades (Wolfe et al., 2001; Bergstrom et 163 164 al., 2005; Bergstrom and Jansson, 2006; Yang et al., 2010b; Brahney et al., 2015).

165 The sensitivity of lakes to any given driver not only depends on the intensity of the 166 perturbation, but also on how the lake system processes the environmental perturbation: some 167 lakes may exhibit an immediate response to a particular disturbance, whereas others may 168 appear unresponsive to the same change or pressure (see Scheffer et al., 2012). For example, 169 large and deep lakes show higher buffering capacity, expressed by a delayed response, against nutrient input from the catchment (Dearing and Jones, 2003), whereas smaller lakes
may show very little lag between the change in land use and the lake response (e.g. Heinsalu
and Veski 2007).

173

174 [Insert Figure 1]

175

176 **3. Detectable human impact relative to climate**

177 A major challenge in identifying human impact on the functioning of aquatic ecosystems is the ability to confidently separate aquatic responses driven by people from 178 179 those that can be attributed to natural climate change (Battarbee and Bennion, 2011; Mills et 180 al., 2014; Mills et al., 2017). Closely coupled with this is the need to understand potential 181 leads and lags within the system, hysteresis, loss of resilience, and the sensitivity of lake sites to a given perturbation (Magnuson et al., 2004), and whether lakes have crossed a tipping 182 183 point (Langdon et al., 2016). For example, lakes in the English Lake District (UK) have been shown to become increasingly sensitive to climate following recent eutrophication (Dong et 184 al., 2012a; b; Moorhouse et al., 2014). A number of methodological approaches can be 185 186 employed to tackle the question of what impacts or disturbances can be attributed to climate 187 and what can be attributed to people (Mills et al., 2017). These range from site selection 188 using a paired lake approach, such as non-impacted vs impacted sites (Moorhouse et al., 189 2014), to spatial scale (e.g. landscape-scale analyses; Keatley et al., 2008) and the careful selection of proxy indicators (Table 1). When undertaking studies to disentangle multiple 190 191 drivers on ecosystems, the use of a multi-proxy approach is invaluable, especially when 192 drivers operate at different frequencies. Using multiple lines of evidence can help overcome 193 ambiguity and ratify the identification of the vectors of change in a system (Table 1; Perga et 194 al., 2015; Mills et al., 2017). Furthermore, a number of advanced statistical methods (e.g. 195 multivariate regressions, Bayesian networks) allow apportionment of climate vs 196 anthropogenic impacts on lake systems (Simpson and Anderson, 2009; Birks et al., 2012; Simpson and Hall, 2012). 197 198

199 [Insert Table 1]

200

201 4. Anthropogenic drivers of catchment and lake changes

202 Vegetation clearance is often the first evidence of human activity recorded in sediments203 (typically observed through changes in the pollen and charcoal record), but this change in the

204 catchment does not necessarily trigger an abrupt response in the aquatic ecosystem itself, at 205 least not initially (Armesto et al., 2010; Barr et al., 2013), and may vary with the degree of 206 resistance of the lake ecosystem. Similarly, pollution, which often results from human 207 activities outside the lake, can be relatively easily detected in the sedimentary record, but its 208 ecological impacts are often variable. Conversely, some early human activities directly 209 affected lakes and their ecosystems, such as modification of the aquatic habitat (for instance 210 changes in lake level) or species introductions. Lakes are sensitive to various degrees to 211 elements that modify their physical and chemical structure. For example, increased input of 212 allochthonous matter tends to result in decreased water transparency, which can in turn affect 213 the depth and the frequency of mixing in the water column which regulate nutrient 214 distribution impacting the lake's biota.

Here we consider any non-natural change in the flows of energy and material between a lake and its surroundings (both atmospheric and terrestrial) as a potential driver of ecological impact. Key factors are split into two subgroups: first, the anthropogenic drivers which result from human activities in the catchment or beyond (atmospheric transport), including 1) soil erosion and 2) pollution; second, the anthropogenic drivers of changes in the lake itself: 3) habitat modification and 4) species introductions (see Figure 2 for a schematic illustration of these drivers and the associated lake responses).

222

223 [Insert Figure 2]

224

4.1 Soil erosion

226 Erosion is a natural process controlled globally by climate and tectonic cycles (Peizhen 227 et al., 2001). Anthropogenic modification of catchments, including vegetation clearance, 228 burning, agricultural and urban expansion leads to rapid fluctuations in soil erosion rates. 229 Increased soil erosion impacts freshwater ecosystems through the enhanced external loading 230 of sediment, nutrients and contaminants and can result in eutrophication, turbidity and/or flooding (Boardman, 2013). These fluctuations are often recorded in lake archives as 231 232 variations in sediment accumulation rates (SAR; Dearing and Jones, 2003) or geochemical 233 profiles (Boyle, 2001; Kylander et al., 2013) but concurrent ecological shifts have not been fully explored in many of these studies. Over the course of the Holocene, SAR in temperate 234 235 and high latitude regions generally show a gradual decline as soils began to stabilise over the postglacial period as a result of increasing vegetation cover (Dearing, 1991). Abrupt and 236

237 large perturbations to this pattern are often attributed to anthropogenic triggers whereas gradual changes in accumulation rates are attributed to climate forcing (Mills et al., 2017). 238 239 Basin morphometry and geology play key roles in determining the timing of initial 240 recording of a perturbation linked to soil erosion. Factors such as lake:catchment ratio 241 (Dearing and Jones, 2003), lake depth (Dearing, 1991; Chiverrell, 2006; Milan et al., 2015) 242 and the geology and erosive potential of the catchment (Koinig et al., 2003) are involved. 243 Few lakes studied to date contain an erosion signal linked to the rise of agriculture (12,000-4000 b2k, depending on the region). Those that do are primarily small, upland basins in 244 temperate Europe (Edwards and Whittingon, 2001). Later signals are more widely preserved, 245 246 relating to more intensive land use during the Bronze (5000-2500 b2k) or Iron Ages (3200-247 1200 b2k), population expansion and agricultural intensification (Roman occupation, 248 Mediaeval period), as a result of colonial activity (America and Oceania) or widespread impacts associated with industrialisation (Enters et al., 2010; Humane et al., 2016). Large 249 lakes and drainage basins (> 10^3 km²) tend to mask even a 20th-century acceleration in 250 251 accumulation rate (Dearing and Jones, 2003), however this is not true for large oligotrophic 252 lakes.

253

4.2 Pollution

Palaeolimnological evidence for pre-industrial contamination over millennial timescales is widespread, but careful analysis is required to distinguish early low-level
anthropogenic effects from natural variation (Lindeberg et al., 2006). Earliest available
records of human pressure from pollutants are generally from direct inputs at the site, while
in remote regions, such as the Arctic or mountain regions, the earliest evidence is more recent
(post-1850) and derives from atmospheric deposition (Wolfe et al., 2013).

Lake sediments provide evidence that numerous heavy metals have been in use for millennia around the globe. High levels of copper (Cu), lead (Pb) or mercury (Hg) resulting from smelting were observed in 3000-4000 year-old lake sediments from across Europe, China and Peru (see corresponding regional sections below).

While pre-industrial contamination was widespread, contaminant concentrations in lake sediments were generally low compared with those related to industrial emissions and concentrations considered likely to cause harm to aquatic biota (i.e. Probable Effect Concentrations - PECs) (Macdonald et al., 2000). For instance, metallic pollution is known to affect diatom assemblages and morphologies (e.g. Hamilton et al., 2015). One notable exception is the Pb concentration in Laguna Roya (NW Iberia, close to Las Médulas, the

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271 largest Roman gold mine), where the concentration during Iberian-Roman times (ca 300 BCE 272 to 120 CE) was of similar magnitude or even higher than during industrial times (Hillman et 273 al., 2017). Another example of very high levels of metals archived in lake sediments was observed in Diss Mere, UK. Low levels of Hg contamination (500 ng g⁻¹) started to be 274 recorded around 1200 CE, but deposits dating to 1850 CE reveal Hg concentrations > 50 μ g 275 g⁻¹ that were probably the result of the disposal of Hg-rich fungicides and dyes following the 276 collapse of the local hemp-weaving industry (Yang, 2010). Although this concentration is 277 278 more than 45 times higher than the Hg probable effect concentration (PEC), the possible effects on the aquatic community have not yet been investigated. A few studies on the 279 ecological impact of pollution address the problem of lake acidification in the 19th and 20th 280 centuries CE (e.g. Schindler, 1988; Monteith et al., 2005) and the issue of atmospheric 281 deposition of reactive nitrogen (Nr) since the start of the 20th century (e.g. Hastings et al., 282 2009). Unfortunately, few palaeoecological investigations on the biological effects of ancient 283 284 heavy metal contaminations have been conducted.

Nutrients can be considered as non-toxic pollutants, leading in the worst cases to eutrophication of aquatic ecosystems. Soil erosion (see section 4.1) is only one of the processes through which humans can increase nutrient delivery. Fiber processing operations, such as hemp retting, allow nutrients to be leached out directly into the water. Further, the use of phosphorus (P) in detergents and agricultural fertilisers led to a dramatic worldwide increase in P inputs into lakes during the 20th century (Jenny et al., 2016a).

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292 4.3 Habitat modification

Under the term "habitat modification" we include any human activity that has directly
affected the physical flow of water into the system. This includes changes in river flows such
as river engineering, groundwater manipulation, and water level management. The creation
of new aquatic systems through damming could also be considered as an habitat
modification, however we exclude artificial water bodies from this review (but see SaulnierTalbot and Lavoie, in review).

The dynamics of a lake and how this is reflected in its sediment record tend to be influenced by the nature of the local system (e.g. basin morphometry and presence of floating or submerged vegetation), including the situation of a lake within a catchment (throughflow lake, floodplain lake, exo- or endorheic), and climate. For example, all of these factors affect variability in water levels and surface area, which in turn influence the availability of aquatic habitats, such as deep water or littoral environments, and simple or complex substrates, to all 305 levels of the biota. The construction of impoundments raises water levels and rapidly 306 increases the availability of deeper, pelagic habitats (e.g. Reeves et al., 2016) while resulting 307 soil inundation can lead to increased mercury methylation and hence bioavailability. 308 Intensive water extraction or diversion of inflows can lower water levels, increasing the 309 proportion of shallow, littoral zones, as well as affecting the concentration of elements such 310 as metals or salts in the water (e.g. Verschuren, 2001). Aquatic plant habitats may be 311 impacted by the underwater light environment (e.g. inorganic or biogenic turbidity; Reid et 312 al., 2007) and plant loss may also be caused directly by the release of toxicants (e.g. Sayer et al., 2006). Such changes may lead to a state shift in shallow lakes (sensu Scheffer et al., 313 1993) whereby elevated nutrients and turbidity provide an advantage to phytoplankton over 314 macrophytes, which are shaded by suspended fine sediment and high phytoplankton biomass. 315 316 This new state is then reinforced by further sediment entrainment and nutrient release from 317 the sediments (Kattel et al., 2017). Furthermore, hydrological change can influence flushing 318 and the onset of stratification, which may affect the lake nutrient budget (Tolotti et al., 2010) 319 or exacerbate lake water anoxia causing plant loss (Dick et al., 2012). The loss of plant 320 habitat likely results in a simplification of aquatic habitat and leads to a reduction in the 321 diversity of dependent invertebrates (e.g. Davidson et al., 2013; Kattel et al., 2014) and 322 vertebrate fauna.

323

324 4.4 Species introductions

Freshwater ecosystems are considered to be particularly susceptible to biological 325 326 invasions because of relatively high levels of isolation and endemism (Vander Zanden and 327 Olden, 2008). Thus, the introduction of non-native species into lakes and wetlands can induce 328 important changes to their ecosystem structure and functioning (e.g. Schindler et al., 2001; 329 Gurevitch and Padilla, 2004; Pimentel et al., 2005; Kamenova et al., 2017). Given the long 330 history of biological invasions and their substantial effects, there is clear potential that 331 biological invasions were the result of significant early human impacts on freshwater ecosystems, especially in the Americas, Australia and on oceanic islands. 332

Not surprisingly, most examples of biological invasions in palaeoecological records come from among groups of indicators that readily preserve in taxonomically definable forms, such as diatoms (Brunel, 1956; Stoermer et al., 1985; Reavie et al., 1998) and cladocera (Hall and Yan, 1997, Hairston et al., 1999; Duffy et al., 2000). Given the ability of diatoms to disperse, it is still controversial whether or not they are truly non-native taxa, but the application of molecular work on these and other organisms might help to resolve thesequestions (e.g. Novis et al. 2017).

340 In some cases, invasions by less well-preserved taxa can be inferred by tracking the 341 patterns of change in other taxa. For example, the introduction of fish in formerly fishless 342 lakes can be tracked based on the abundance, size and morphology of the invertebrate predator Chaoborus and its prey Bosmina (Labaj et al., 2013). Determining the degree to 343 344 which biological invasions drive ecosystem change, or are a response to changes driven by other factors such as catchment disturbance, eutrophication or pollution, is an important 345 346 question for biological invasion science, and one that palaeoecology, with its capacity to 347 extend temporal perspectives, is in a strong position to answer (Willis and Birks, 2006; 348 Kamenova et al., 2017).

349 One of the key issues that in the past had limited the capacity of palaeoecology to track 350 biological invasions and identify examples where invasions have driven significant early 351 human impacts on lake and wetland ecosystems, is the small number of biological groups that 352 can reliably be identified to species levels from preserved remains. In addition, the ability of 353 small organisms (such diatoms) to disperse, makes the concept of non-native taxa rather 354 controversial. However, recent developments in the analysis of ancient DNA preserved in 355 lake sediments (see Anderson-Carpenter et al., 2011; Giguet-Covex et al., 2014; Domaizon et 356 al., 2017) may make it possible to trace the timing and extent of species introductions with 357 better accuracy, or to assess if certain species are in fact invasive or native (Preston et al., 2004; Stager et al., 2015). This powerful new tool in the palaeolimnological toolbox provides 358 359 the possibility of tracing a greater range of species introductions even further into the past 360 and to link them with early human presence.

361

362 5. Regional variation in first detectable human impact in and around aquatic

363 ecosystems

364 Human civilizations colonised different regions at different periods of their technological development. This had a considerable impact on the timing, magnitude and 365 366 nature of environmental change that they induced, on the landscape in general, and in lakes 367 and wetlands in particular. Figure 3 shows an overview of the timing and nature of the first 368 human impacts detected to date on lakes and tropical wetlands around the world. The first 369 detectable human impacts are most often linked to catchment deforestation and soil erosion 370 effects. Hydrological disturbance and metal contamination followed, leading to an array of 371 new impacts.

372

373 [Insert Figure 3]

374

375 **5.1 Africa**

376 5.1.1 Sub-Saharan Africa

377 The African continent has the longest history of human occupation, yet few studies 378 explicitly address the impacts of people on African lake sites (Gelorini and Verschuren, 379 2013). Historically, much of the research on African lakes has focussed on the large rift lakes and the long-term climatic records contained within (e.g. Finney and Johnson, 1991; Lærdal 380 381 et al., 2002; O'Reilly et al., 2003; Verburg et al., 2003; Olaka et al., 2010). However, the 382 sedimentary archives of the East African soda lakes (such as Naivasha, in Kenya; Verschuren 383 et al., 2000, and Manyara in Tanzania; Casanova and Hillaire-Marcel, 1992) and the western Ugandan crater lakes (e.g. Ssemmanda et al., 2005; Verschuren and Russell, 2009; Ryves et 384 al., 2011; Mills et al., 2014; Saulnier-Talbot et al., 2014) have also received considerable 385 attention in recent years, mainly directed at inferring past climate or vegetation changes over 386 387 various timescales. The same is true for the limited number of palaeoenvironmental studies of 388 Western African lakes, except for Lake Bosumtui (Ghana; e.g. Talbot and Johannessen, 389 1992; Russell et al., 2003; Shanahan et al., 2008), which has been extensively used as a 390 source of past climatic data for the region. The restricted number of lakes in Southern Africa 391 is likely a factor for the low number of palaeolimnological studies conducted in this region.

Ancient human impact (pre-dating the Common Era) on aquatic systems is not obvious 392 393 in Africa, despite the known presence of people. Given that many African regions experience 394 very strong climatic variability and that industrialization has developed slowly and gradually 395 on this continent, the evidence of human impact in lake sediments may be blurred. Evidence 396 from the pollen record suggests early human disturbance ca 3000 b2k (related to Bronze Age 397 iron ore smelting; Hamilton et al., 1986) - yet there is a lack of compelling evidence of 398 synchronous shifts in aquatic indicators reflecting impacts on lake water quality and/or 399 ecosystem function (or at least not as a signal that is distinguishable from the dominant 400 climate system). In tropical Africa, it appears that the 'first impacts' that are detectable in the 401 aquatic record are concurrent with colonial and/or post-colonial societal changes, even though we know modern humans have inhabited many parts of this region for thousands of 402 403 years. However, this may be revised once palaeolimnological records become more abundant. 404

405 Some of the earliest evidence of aquatic change in tropical Africa comes from the swamp sediments in the North Pare Mountains in north-eastern Tanzania. Analyses 406 407 conducted by Heckmann et al. (2014) provided evidence for strong anthropogenic landscape transformations beginning in the 7th century CE and demonstrated that, shortly after the 408 arrival of Early Iron Age farmers, agricultural land use became the main driving force of 409 410 vegetation and landscape change, predominating over external climatic factors. Accelerated 411 soil erosion and rapid alluvial burial of the wetland between 1200 and 1500 CE represent an environmental tipping point with important repercussions for present day land degradation 412 413 and resource restraints (Heckmann et al., 2014).

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415 **5.1.2 North Africa**

There are several notable issues that have made the study of North African lake systems difficult for detecting early human impacts. In particular, sediment records in North African lakes are often incomplete, as a seasonally hot dry climate, shallow brackish water and high pH combined with rapid sediment accumulation are inimical to the preservation of some sediment microfossils (Flower et al., 2006). Nonetheless, there are numerous ephemeral lakes (sebkhas), as well as several coastal wetland lakes and lagoons, with sediments suitable for palaeolimnological analyses (e.g. Soulié-Märsche 2008; Marquer et al., 2008).

Humans have been present in North Africa since at least the middle Pleistocene
(780,000-125,000 b2k) and climate variation is closely linked to cultural development
(Hassan, 1988). The first detectable impacts on lake sediment records occurred after the
early-Neolithic when farming practises diffused from the Iberian Peninsula and the Near East
around 7000 b2k (Tassie, 2014; Linseele et al., 2016).

428 Considerable palaeolimnological evidence from the Maghreb region indicates that 429 human impacts on lake systems were slight until at least the middle Holocene. Human 430 activities were first detected by vegetation indicators (including wetland plants) at several 431 lowland sites in Morocco around 6000 to 7600 b2k (Reille, 1979; Zapata et al., 2013). Major vegetation disturbances began several thousand years later when farming, forest clearance 432 433 and soil disturbance began under the Phoenician-Roman occupations of North Africa ca 2100 434 b2k. Pollen records from Atlas Mountain Lakes, Tunisian Lakes and sebkhas indicate 435 anthropogenic forest disturbance and evidence for cultivation (Cerealia-type pollen) at that 436 time (Mikesell, 1960; Reille, 1976; Stevenson et al., 1993; Marquer et al., 2008; Cheddadi et 437 al., 2015). Early cultural interventions likely had only marginal or faltering effects on the lake 438 ecosystems but multi-proxy studies are scarce.

439 Land-use changes promoted soil erosion, as evidenced in a number of North African lakes. An early soil erosion signal was reported in two Middle Atlas lakes beginning about 440 441 3000 b2k, possibly as pastoralism increased (Lamb et al., 1991). Alluviation, around what is 442 now the lagoon of Ghar El Melh (Tunisia), occurred rapidly between 2400 and 1500 b2k, 443 partly coinciding with the Punic-Roman period (Delile et al., 2015). Baioumy et al. (2010) 444 attributed lithological variations in a Lake Qarun sediment core to lake level lowering by 445 people regulating the lake inflow around the beginning of the Current Era and Keatings et al. (2010) showed that the ostracod species changed around this time. 446

Biological remains of aquatic macrophytes, diatoms and micro-invertebrates in North 447 African aquatic ecosystems have provided insights into regional salinity variation. However, 448 clear evidence for major anthropogenic impacts are only found for the 20th century in 449 Tunisia, Morocco and Egypt, and are linked to large-scale hydrological modifications for 450 451 irrigation and agricultural development (Stevenson et al., 1993; Barker et al., 1994; Birks et al., 2001). Foraminiferan records from the inland Egyptian Lake Qarun began in the 16th 452 century CE as a result of hydrological changes and salt flushing from newly irrigated land 453 454 (Abou Zeid et al., 2011). This follows earlier salinity changes (Flower et al., 2006; Keatings 455 et al., 2010), and thus suggests that in Northern Africa first intervention signals sensu lato 456 fluctuated according to relationships between human activities and climate (e.g. Kuper and 457 Kröpelin, 2006) nevertheless people have been hydrologically active in Egypt for over 5k 458 years.

459

460 **5.2 Europe**

Holocene sediment records from European lakes provide evidence of eutrophication
resulting from human activity dating as far back as the Neolithic period, >5000 b2k. Lakes in
catchments with fertile soils have the longest history of human impact, dating from the start
of primitive agriculture (following forest clearance), which is typically reflected by a
decrease in tree pollen relative to herb and crop taxa (Birks et al., 1988; Ruddiman, 2003;
Räsänen et al., 2006).

In a number of agriculturally-rich lowland regions of Europe, the apparent pressure of
nutrient enrichment on lakes extends back to the Bronze Age (circa 4000-2500 b2k; e.g.
Fritz, 1989; Bradshaw et al., 2006; Dressler et al., 2011). A multi-proxy study of Dallund Sø,
Denmark (Bradshaw et al., 2005b) provides one of the best examples of early enrichment
during the forest clearances of the Late-Bronze Age (3000–2500 b2k) and the expansion of
arable agriculture during the Iron Age (500 BCE to 1050 CE). Correlations between

terrestrial pollen types and variation in diatom data demonstrated a strong link betweeninferred changes in the catchment and in-lake development (Bradshaw et al., 2005b).

Across numerous European sites, there is a close correspondence in the timing of
vegetation shifts associated with the onset of agriculture and aquatic indicators. For example,
in northern Germany, lake trophic indicators and pollen data clearly reflect the onset of
agriculture (6000 b2k) and the middle Neolithic (5400 b2k) expansion of agricultural activity
(Dreibrodt and Wiethold, 2015). Kalis et al. (2003) describe the onset of late Neolithic human
impact as "amazingly" contemporaneous in the Juessee, Steisslinger See, Schleinsee and
Degersee in northern and southern Germany, dating to around 6300 b2k.

Several Finnish lakes exhibit first signs of early land-use influences associated with
Neolithic (5000-3500 b2k) and clear signs of enrichment in the Iron Age (around 2500 b2k)
(Tolonen et al., 1976; Räsänen et al., 2006). Likewise, Bronze Age (3500-2500 b2k) forest
clearance and cultivation activities inferred from a study of Diss Mere, England, were linked
closely in time with changes in the diatom record (Fritz, 1989). In Italy, a close link was
observed between forest clearance and the cladocera assemblages of lakes Albano and Nemi,
which shifted from *Daphnia* to *Bosmina* around 3500 b2k (Guilizzoni et al., 2002).

In southwestern Sweden, the lake Lilla Öresjön recorded the expansion of agriculture (as seen by the appearance of cereal pollen) as a period with higher pH (as indicated by the diatom assemblage) starting in 2350 b2k (Renberg, 1990). In Kassjön, a small northern Swedish lake, Anderson et al. (1995) argue that the diatom assemblage is more sensitive to the start of agriculture than the pollen record. The varved record allows the changes to be determined very precisely, with dramatic changes in the diatom assemblages observed in 1268 CE (Anderson et al., 1995).

496 Further east, Heinsalu and Veski (2007) reported palaeolimnological evidence of 497 permanent rural land-use around Rõuge Tõugjärv, Estonia, from the onset of the Bronze Age 498 (ca 3800 b2k). Diatom species shifts indicate a switch from a mesotrophic to eutrophic state, 499 with no lag between the change in land use and the lake response. In Latvia, the palaeovegetation records from Lake Trikāta show increasing signs of human impact from 500 501 2500 b2k, with the beginning of continuous cereal cultivation (Stivrins et al., 2016). The most significant changes are, however, recorded much later, in the early 13th century CE. A 502 similar timeline is observed in Lake Gosciaz (Poland), where intensification of farming and 503 504 land fertilization caused eutrophication from ca 1000 CE, as observed in the fossil akinetes 505 record (van Geel et al., 1994).

506 Even in regions that have been assumed to be naturally eutrophic, palaeolimnological 507 records have shown that landscape changes elicited responses in aquatic ecosystems. In 508 particular, Boyle et al. (2015) coupled changes in sediment flux, Ti concentrations (as an 509 indicator for soil erosion) and the P yield to change in population density, which in turn 510 provided a quantitative record of human impacts spanning the last 6000 years in northwest 511 England. The concurrent decline in arboreal pollen and doubling of P yield at ca 5300 b2k 512 revealed an early influence of Neolithic farming on the aquatic ecosystem in the Cheshire and 513 Shropshire meres that were assumed for decades to be naturally eutrophic.

514 From the Iberian Peninsula, pollen records dating back to Neolithic times (ca 6000 b2k) 515 reflected first human impacts (Carrión et al., 2010 and references therein), but the first clear 516 changes in erosion rates were detected during the Iron and Bronze Ages (starting at ca 4400 517 b2k; Martínez-Cortizas et al., 2005; Carrión et al., 2007; 2010). However, it is during the Iberian Roman period (500 BCE – 500 CE) that most sites in this region showed the first 518 significant impacts in response to expanded agricultural activity in the watersheds (Martín-519 520 Puertas et al., 2008; Pérez-Sanz et al., 2013) and increased erosion (Fletcher and Zielhofer, 521 2013).

522 In the Northern French peri-alpine region (Lake La Thuile, above 800 m a.s.l.), 523 anthropogenically-driven erosive phases began approximately 550 BCE (during the Roman 524 period) and accelerated after 350 CE (in the Middle Ages; Bajard et al., 2015). In Lake 525 d'Annecy (600 m a.s.l.) the pollen record showed progressively increasing forest disturbance 526 starting at 3500 b2k, along with increasing numbers of detrital layers. A significant increase 527 in deep-soil derived organic matter was recorded at 250 CE, reflecting the first substantial soil erosion in the catchment caused by deforestation (Noël et al., 2001). At a higher 528 529 elevation site (Lake Anterne, located at 2063 m a.s.l.), fluctuations in sedimentation are 530 dominated by the terrigenous fraction, and follow roughly regional climate signals since at 531 least 4000 b2k, but sedimentary ancient DNA (aDNA) demonstrated that the most intense 532 erosion period was caused by deforestation and overgrazing by sheep and cattle during the Late Iron Age and Roman Period (400 BCE - 200 CE; Giguet-Covex et al., 2014). In a high 533 534 alpine Lake in Switzerland (Sägistalersee, located at 1935 m a.s.l.), human-driven catchment 535 erosion starts already ca 4300 b2k (Ohlendorf et al., 2003).

Soil erosion induced by agricultural activities is thus clearly the first human impact
recorded in palaeolimnological records throughout Europe, but additional activities were also
detected early on, such as mining or the processing of fibers. Local pollution derived from
copper (Cu) smelting was for instance detected around 4000 b2k in Wales (Mighall et al.,

540 2002). Almost simultaneously, an 'ancient period of atmospheric lead (Pb) pollution' was detected in multiple Swedish lakes (Renberg et al., 2000). Early mining impacts associated 541 542 with Roman activities (2100 – 1800 b2k) were found throughout Europe as both an increase 543 in heavy metal atmospheric deposition and run-off (Renberg et al., 2001, Guyard et al., 544 2007), although the impact on the aquatic systems remains unclear (Camarero et al., 1998; 545 Hillman et al., 2017; Camarero et al., 2017). The processing of fibers, especially hemp 546 retting, on the other hand, had clear impacts on some aquatic systems, even though only nutrients (i.e. non toxic pollutants) were released. Grönlund et al. (1986) show that the 547 548 beginning of intensive hemp retting in ca 1590 CE resulted in the eutrophication of 549 Likolampi, a small lake in Eastern Finland, with the deposition of varves and the

550 disappearance of spores of cf. *Drepanocladus fluitans*, an oligotrophic water-moss.

551

552 5.3 Asia, Southeast Asia and Oceania

553 **5.3.1** Asia

In China, first signs of human impact are again linked to catchment processes. Pollution 554 and agricultural activities are both recorded around 3000 b2k. For instance, in the Yunnan 555 556 region (China), local pollution derived from copper (Cu) smelting started ca 3400 b2k 557 (Dearing et al., 2008; Hillman et al., 2015). Increases in lead (Pb), silver (Ag), zinc (Zn) and 558 cadmium (Cd) were observed only from 1100 CE (Hillman et al., 2015). Interestingly, Sun et 559 al. (2006) associated Hg concentrations of seal hairs extracted from a lake sediment core taken from King George Island, Antarctica, with the rise and fall of various Chinese 560 dynasties since 700 CE. The use of vermillion for painting, decoration and traditional 561 medicines has been linked to Hg contamination in the 17th-18th centuries CE in sediment 562 cores from Tibet (Yang et al., 2010b). 563

Human-induced soil erosion of large river basins in China has been detected by the volume of deltaic-marine sediment deposition, starting 3000 years ago and accelerating 1000 years ago (e.g. the Yellow River; Wang et al., 2007; Syvitski and Kettner, 2011). In the Erhai lake-catchment system, southwest China, Dearing et al. (2008) identified a period of agricultural expansion around the 6th century CE during the Tang Dynasty that triggered rapid gully erosion, and then possible alternative steady states in the landscape, as expressed by the relationship between land use and erosion.

571 Lakes across the lower Yangtze River Basin in China have shown long-term
572 eutrophication with substantial loss of biological diversity and deterioration of the lake
573 ecosystems. Diatom proxies such as *Cyclotella meneghiniana*, *C. atomus* and *Cyclostephanos*

- 574 dubius, that are responsive to total P enrichment, suggest that increased nutrient
- concentrations in these lakes began around the late-1700s to the early-1800s CE (Yang et al., 575
- 576 2008). For instance, sedimentary diatom assemblages and diatom-inferred total P revealed the
- 577 first phase of eutrophication in Chaohu around ca 1740–1820 CE. Both enhanced agricultural
- 578 activities and a drier and warmer climate led to a decrease in water level and water exchange
- 579 volume with the Yangtze River, causing the eutrophication.
- 580 Further to the west, the Aral Sea (Uzbekistan) is well known as an example of anthropogenic desiccation. Intensive irrigation in the 20th century CE lead to a ca 30 m drop in water levels,
- 581
- which not only increased salinity from 10 to 100 ‰ in less than 50 years (1961-2007; Aladin 582
- 583 et al., 2009), but also exposed ancient irrigation systems, indicating previous major
- 584 regressions starting in ca 600 CE (Boroffka et al., 2006). Aladin et al. (2009) showed that the 585 biodiversity of the lake was deeply impacted by, and responded rapidly to, the recent changes in lake levels and salinity. Unfortunately, no investigations on the ecosystem shifts caused by 586 587 these early anthropogenic regressions have been conducted so far.
- 588

589 5.3.2 South and Southeast Asia

590 The Sundarban (Bangladesh and India) foothills of the Nepalese and Indian Himalayas, 591 the Mekong River delta (Vietnam) and the lower Yangtze River basin are examples of major 592 wetland systems where the effects of humans and climate change are now evolving 593 simultaneously (Erwin 2009; Khadka and Ramanathan, 2013; Mushtaq et al., 2015; Kattel et al., 2016). Stratigraphic deposits from lakes, ponds and palaeochannels across the central 594 595 Gangetic plains in India indicate strong climate-induced fluvial activity in the region until 7000-5000 b2k, followed by aeolian aggradation. Humans substantially accentuated these 596 597 conditions by mobilizing the water resources since they began practising agriculture in the 598 region, at the beginning of the Common Era (Srivastavaa et al., 2003).

599 In central India, the invasion of ruderal plants such as Artemisia, or Cannabis sativa in 600 the open mixed deciduous forest from 7500 to 6250 b2k reflects the first detectable human impacts on the wetlands (Chauhan et al., 2013). Further west, several palaeolimnological 601 602 studies related waxing and waning of the Harappan Civilization (aka the Indus Valley 603 Civilisation) with drier and wetter climatic periods, albeit with conflicting interpretations (Singh et al., 1990; Enzel et al., 1999; Menzel et al., 2014). Singh et al. (1990) provided 604 605 evidence for forest clearance and commencement of agricultural practices during Harappan 606 times (ca 7000 b2k) on the basis of the presence of *Cerealia*-type palynomorphs, along with 607 fine fragments of charcoal in several lakes (Didwana, Lunkarsar, Sambhar and Pushkar) of

18

608 Rajasthan (western India). Fragments of charred grass epidermis with clear evidence for

agro-pastoralism appeared in the high-altitude lake Tso Moriri (NW India) ca 2700 b2k

610 (Leipe et al., 2014). At the same time, the first significant human impact on the landscape

611 was detected in Lake Rukche (3500 m a.s.l. in central Nepal) consisting of a charcoal layer

and a marked emergence of fire-induced pollen communities (Schültz and Zech, 2004).

No research specifically into human-induced aquatic ecological transitions has yet been
undertaken in the Indian sub-continent. Given the pressing need to manage water resources in
this part of the world, it should be a priority region for palaeolimnological and

616 palaeoecological investigations.

617 Integrated palaeolimnological records and archaeological sequences covering the mid-

to late-Holocene were recently synthesized in Northeast Thailand (Wohlfarth et al., 2016;

Chawchai et al., 2013; 2015; 2016; Yamoah et al., 2016). Archaeological information found

around the sites provides a chronological framework, from the initial Neolithic settlements by

rice farmers (ca 3700 b2k) to the end of the prehistoric Iron Age around 700 CE (Wohlfarth

et al., 2016). A palynological study of wetlands in the Song Hong (Red River) Delta

623 (Vietnam) suggests that cultivation intensified in this region from 3340 b2k, as revealed by
624 high abundance of cultivated rice (*Oryza sativa*) pollen (Li et al., 2006).

Evidence for historical water resource management practices in regions such as Cambodia and Vietnam was dated as far back as the 13th century CE (Day et al., 2012). Such practices were fundamental for sustainable agriculture and household water consumption for this civilization.

629

630 **5.3.3 Oceania**

631 The antiquity of humans in Australia extends over 45,000 years (Figure 1a). However, 632 other than the still contentious nature of the use of fire or the role in the extinction of 633 megafauna (Field et al., 2013), there is little evidence of human impact on lakes and wetlands 634 at this time scale. It is recognized that native populations increased over recent millennia and that their technologies evolved. In the Lake Condah region of Victoria, they are known to 635 636 have built eel traps and smokehouses from 6600 b2k (McNiven et al., 2012). Palynological 637 evidence suggests the traps modified the local hydrology sufficiently to increase the abundance of aquatic plants, but there is little evidence for the impact of this on the lake 638 639 ecosystems through increased fish predation. It is only following the arrival of European colonizers in the 18th century that significant changes in the aquatic ecosystems are apparent 640 641 in the palaeolimnological record (Gell et al., 2005a). For instance, land clearance, drainage

practices and river regulation post-European settlement led to a suite of widespread impacts
evident through the Murray River system (Australia; Gell and Reid, 2016), and associated
impacts such as the dramatic salinization of Lake Curlip (Gell et al., 2005b; MacGregor et al.,
2005) following the transfer of Snowy River water into the Murray.

Papua New Guinea is known as one of the independent birthplaces of agriculture, specifically Pacific agriculture (Bellwood 1990; Kirch, 2000). This technological leap – the transformation of plant exploitation to agriculture – shaped the numbers of humans, their food supply, and their cultures throughout Oceania. Early forest clearance and agriculture induced a sharp increase in erosion rates between 9000 and 6000 b2k in a highland catchment, but with no obvious damage or land degradation in the catchment (Hughes and Sullivan, 1991).

653 Lakes are rare on small oceanic islands, where rivers and groundwater provide most 654 freshwater resources. During colonization of the Pacific Islands, humans often settled on 655 islands and atolls devoid of lakes. On some islands, however, a few lakes provide palaeolimnologists with the possibility of investigating the timing of human arrival and the 656 extent to which they transformed their constrained environment. For example, three crater 657 658 lakes on Rapa Nui (Easter Island; the furthest outpost of Polynesian settlement) show that 659 humans arrived there around 1200 CE (Hunt and Lipo, 2006) and that ecological 660 transformation began swiftly thereafter, resulting in numerous terrestrial species extirpations 661 (e.g. Mann et al., 2008). Increased sediment delivery caused by palm tree forest clearance and 662 human activities in the watersheds occurred relatively soon after settlement (Cañellas-Boltà 663 et al., 2013). However, there is surprisingly little information available on how humans 664 affected the aquatic ecosystems themselves.

665 New Zealand is the last landmass of considerable size to have been settled by humans 666 (Figure 1a). The Maori arrived there around 1300 CE and rapidly spread across the two main 667 islands of the archipelago (Wilmhurst et al., 2008; McWethy et al., 2010). Despite low initial 668 numbers (it is estimated that between 50 and 100 founding females account for the modern Māori population; Penny and Murray-McIntosh, 2002), their impact on the landscape was 669 670 quick, significant and long-lasting. The first detectable impact of the Māori on aquatic 671 ecosystems is traceable only 200 years following their arrival (McWethy et al., 2010), through shifts in diatom and chironomid community structure in several small South Island 672 673 lakes, concomitant with deforestation proxies linked to burning (inferred from the abundance 674 and size of charcoals) and replacement of forests with shrubland (inferred from changes in 675 pollen assemblages) that is still in place today (McWethy et al., 2014).

676

677 **5.4 Americas**

678 5.4.1 North America

Across North America, many palaeoecological studies have shown the evolution of
post-glacial vegetation and aquatic change, but many of these were executed at a fairly coarse
temporal resolution and thus do not always allow for the detection of pre-European human
impact by First Nation inhabitants to lake ecology. Nevertheless, early human activities (e.g.
agriculture, deforestation) have been noted in several studies starting around 1100 CE
(Deevey et al., 1979; Munoz and Gajewski, 2010).

685 An example of a First Nations' occupation around a temperate lake was provided by 686 Ekdahl et al. (2004; 2007) who demonstrated that a 200 year-long Iroquoian settlement next 687 to Crawford Lake (Ontario, Canada) permanently altered the ecosystem. In particular, these studies showed increases in the accumulation rates of Al, Na, K, Fe, and Ti, which reflected 688 689 an influx of allochthonous siliciclastic material in sediments and were associated with increased erosion rates related to farming and deforestation during the Iroquoian occupation 690 691 of the watershed (1268–1486 CE) (Ekdahl et al., 2004; 2007). Pollen was used to define the 692 Iroquoian village settlement periods and the first signatures of human activity in the lake 693 were the abrupt changes in diatom assemblages (e.g. appearance of Stephanodiscus spp., 694 typically found in nutrient-rich waters) and increases in the abundance of rotifers. Changes in 695 lake productivity were also indicated by increased CaCO₃ accumulation, lowered values of organic C/N, increased total organic carbon (TOC) accumulation and increased carbonate 696 δ^{13} C values. Numerous cases of first impacts on lakes in Arctic North America are discussed 697 698 below.

699

700 5.4.2 Central America and Mexico

701 Several studies from southwest Mexico down to Panama report that lake edge settings 702 were being exploited by 7000 b2k through burning and farming, as shown by the presence of phytoliths, chipped stone artefacts, charcoal, maize and squash pollen (Piperno, 2006; 703 704 Piperno et al., 2007; Ranere et al., 2009). However, no palaeolimnological evidence for the 705 impact on the lake ecosystem has been described for this interval. The first ecosystem impact was detected around 3500 b2k in lakes in the volcanic highlands of Central Mexico: multi-706 707 proxy investigations of their sediment records clearly associated human activity such as 708 forest clearance and agricultural expansion with aquatic degradation, including 709 eutrophication (Metcalfe et al., 1989).

710 In Central America, large-scale human impacts on lakes started with the Mayan culture 711 (Curtis et al., 1998; Beach et al., 2015). Pollen data from Lake Cobá, on the Yucatán 712 Peninsula, indicates land clearing at 3600 b2k, with first maize pollen detected at 2800 b2k 713 (Leyden et al., 1998). Economic, political, and demographic changes were recorded in the 714 archaeological record of the Mayan Lowlands region during the Terminal Classic (800-1000 715 CE) and Early Post-Classic (1000-1200 CE) Maya Periods. These changes were tied to 716 population growth and social integration, which in turn were associated with the expansion of 717 both intensive agriculture and extensive slash-and-burn clearance of tropical forest (Beach et al., 2015). Such deforestation promoted increased soil erosion, leading to a characteristic 718 inorganic detrital deposit, termed Mayan Clay, in most lakes and wetlands in Petén (Deevey 719 720 et al., 1979; Brenner et al., 1990; Rosenmeier et al., 2002, Beach et al., 2006; Anselmetti et 721 al., 2007). Sedimentological, geochemical and palynological data from Lake Peten Itza 722 showed that tropical forest recovered in less than 300 years, following major demographic 723 decline and abandonment of regional agricultural systems (Mueller et al., 2009). Signs of 724 chemical pollution measured as P and heavy metal enrichment in sediments (e.g. mercury) 725 have also been linked to Mayan activities (Beach et al., 2015). Indeed, P increased 3 or 4 726 times in a landscape with poor P bedrock content (Beach et al., 2006).

727

728 5.4.3 South America

Micro-charcoal deposits in lakes, reservoirs and bog sediments have been used to infer early human presence in South America (Supplementary Material S1.4). A common pattern in South American fire histories is that they start with a large charcoal signature indicating initial burning, followed by a stepwise decay in charcoal abundance (Bush et al., 2007). The pre-Hispanic period from 3000 BCE to 500 CE was characterized by farming and land clearing especially on the coasts and in major river basins (Reyes et al., 2009).

735 Several palaeolimnological studies from the northern region of South America revealed 736 anthropogenic landscape disturbances. In Amazonia, human signatures of disturbance were shown by pollen, phytolith and charcoal data in continuous lake sediment records pointing to 737 discrete periods of high and low levels of human activity from 7500 to 5500 b2k (Bush et al., 738 739 2016). In the Colombian savannas, Behling and Hooghiemstra (2000) attributed a marked increase of palm pollen at ca 3800 b2k (under the wettest Holocene climate regime) to human 740 741 activities. In Bolivia, the first occurrence of maize pollen (a key crop for the region in pre-Columbian times) was taken as an indication of the start of agriculture: at ca 6500 b2k in the 742

sediments of the Llanos de Moxos in the lowlands of northern Bolivia (Brugger et al., 2016),
and at ca 2500 b2k in the Bolivian Amazon (Carson et al., 2015).

The only regional study that investigated aquatic ecosystem responses comes from Lake Sauce (Peru), where intense human activity between ca 2000 BCE and 1300 CE led to erosion and first signs of eutrophication. A shift in the diatom community from a *Discostella stelligera*-dominated to an *Ulnaria* spp-dominated community indicated elevated nutrient availability after the peak of *Zea mays* pollen. By the 13th century CE, the disappearance of *Nitzschia amphibia* was interpreted as reduced eutrophication, consistent with reduced agriculture and erosion (Bush et al., 2016).

Peruvian lakes have also been investigated for early pollution. Mercury (Hg) pollution
in Peruvian lakes was first detected starting around 3300 b2k (Cooke et al., 2009). It was
attributed to cinnabar (HgS) extraction by the Chavín culture for the production of
vermillion. Pb contamination, on the other hand, is evident from ca 400 CE as a result of
smelting by the pre-Inca Tiwanaku and Wari empires (Cooke et al., 2008).

757

758 **5.5 Arctic**

The small lakes and ponds that occur extensively in arctic and subarctic landscapes are 759 760 highly sensitive ecosystems to environmental change (Korhola and Weckström, 2004). 761 However, due to low population densities, traces of past human presence and impact in 762 Arctic lake sediments are generally scarce. There are some indications that humans, either sedentary or semi-nomadic, were among the drivers of slight changes in lacustrine 763 764 ecosystems in Finland around the beginning of the CE, attested by changes in the thickness of 765 the organic component of the varve record (Ojala et al., 2008). In other instances, though, 766 even small nomadic communities with limited technological development significantly, albeit 767 locally, impacted on high-latitude freshwater ecosystems. Some of the best examples that 768 illustrate this are the studies by Douglas et al. (2004) and Hadley et al. (2010a; b), which 769 showed that seasonal whaling activities by small groups of Thule Inuit led to the 770 eutrophication of arctic ponds across their known historical range in Nunavut, Canada. These 771 palaeolimnological studies identified unprecedented ecological changes in and around the 772 ponds that coincided with the onset and duration of Thule occupation at the sites (dated 1200-1670 CE), which included shifts in diatom community composition, increased primary 773 production (inferred through chl a) and changes in δ^{15} N reflecting the input of marine-774 derived nutrients from sea mammal carcases. 775

At other sites in the High Canadian Arctic, the first detectable impacts of humans in the form of cultural eutrophication of lakes are much more recent (mid-20th century), such as those associated with military presence (e.g. Meretta Lake, Cornwallis Island, where hypolimnetic hypoxia developed rapidly in response to sewage enrichment; see Antoniades et al., 2011 and citations therein).

781 In Greenland, there is currently little evidence that the first inhabitants, of various 782 Palaeo-Eskimo cultures, had any impact on aquatic ecosystems. Palaeolimnological 783 investigations showed that the agro-pastoral lifestyle of the early Norse settlers increased 784 erosion rates in a few lake basins (thereby increasing sediment accumulation rates in lakes) through the clearing of vegetation and grazing pressure between ca 985 and 1450 CE. 785 786 However, they did not significantly affect water quality as only very slight changes in diatom community composition and a small rise in $\delta^{15}N$ were apparent in the sediment record 787 788 (Bichet et al., 2013). For the vast majority of Greenland lakes, the first human impacts on water quality appeared in the mid- to late 20th century when sheep farming was re-introduced, 789 790 but this time with modern farming practices. Nutrient input from sheep herding changed lake 791 trophic status from oligotrophic to mesotrophic (indicated by diatom assemblage composition and δ^{15} N). 792

Recent climatic change and increased atmospheric deposition of pollutants such as 793 794 metals and N have already significantly impacted physicochemical and biotic components of 795 isolated high-altitude and high-latitude lakes (e.g. Hobbs et al., 2016; Catalan et al., 2013; 796 Chen et al., 2013). Some even argue that no entirely pristine lakes remain, even in remote 797 regions such as the Arctic (Wolfe et al., 2013). For the great majority of arctic lakes and 798 ponds, these recent changes in their structure and functioning constitute the first detectable 799 trace of human impact and reflect anthropogenic modification of global biogeochemical 800 cycles.

801

802 6. Synthesis of lake responses to early human impacts

803 6.1 Sediment accumulation rates and turbidity

804 Sediment load entering a lake and lake-water turbidity are influenced by complex 805 natural processes: the susceptibility of regional soils to erosion; hydrological regimes and 806 residence time; exposure and sensitivity to wind-disturbance and re-suspension as well as 807 external nutrient loading and internal productivity. Human pressures, including land-use 808 change, have increased river-borne sediment and sediment accumulation rates at many sites 809 over millennia (Dearing and Jones, 2003; Gell et al., 2009; Rose et al., 2011). Such high sediment loading is a pervasive type of pollution that can impede the ecological functioningand primary productivity of a lake (Wood and Armitage, 1997; Donohue and Molinos, 2009),

- 812 which may trigger or be exacerbated by turbidity and large algal blooms associated with
- 813 cultural eutrophication (section 7.2).

814 Many palaeolimnological studies from regions of the world that experienced a shift 815 from hunter-gatherer to sedentary farming show early evidence of accelerated sediment 816 accumulation rate (SAR) (ca 5200 b2k; Edwards and Whittington, 2001; Dearing and Jones, 817 2003; Chiverrell, 2006), although the biological effects on lake ecosystems at that time are rarely explicitly investigated. Mayan colonisation around Lake Salpeten during the Middle 818 Preclassic (2500 b2k; Rosenmeier et al., 2002), the Norse arrival on Greenland (around 1000 819 820 CE; Massa et al., 2012), and the manipulation of soils to improve agricultural yields in North 821 America after colonisation (ca 1700 CE) produced an equivalent SAR response (Davis, 822 1976). The abruptness of slope change in the SAR profile from a lake is often an effective 823 diagnostic tool of an anthropogenic trigger as opposed to climatic forcing (Dearing and 824 Jones, 2003), while some shifts in sediment composition or granulometry (especially 825 excessive loading of fine particles; Richards and Bacon, 1994) have also been attributed to 826 human pressures. An increase in SAR often coincides with higher concentrations of 827 terrigenous elements such as Rb, Ti or Zr (Boyle, 2001; Arnaud et al., 2012; Ahlborn et al., 828 2014) although exceptions exist where the geochemical indicators of agricultural expansion 829 are not reflected in higher sediment accumulation rates (Arnaud et al., 2012). The ecological effects of higher sedimentation have also been directly detected from biological evidence, 830 831 especially subfossil chironomids (Eggermont and Verschuren, 2003; Zhang et al., 2013). 832 Reduced deposition of organic material and greater allogenic sediment accumulation lead to 833 an overall decline of chironomid abundance, but the abundance of some filter feeder species 834 (e.g. *Tanytarsus mendax*) increases rapidly. Removal of littoral vegetation and macrophytes 835 from a lake for human exploitation can also increase turbidity and macrofossil evidence may be preserved (Hengstum et al., 2006). Similarly, greater turbidity in lakes is typically 836 associated with higher nutrient concentrations, and equivalent palaeolimnological signatures 837 838 have been noted: *n*-alkanes that point towards cyanobacteria, disappearance of planktonic and 839 epiphytic diatom taxa (Randsalu-Wendrup et al., 2014), and decrease in planktonic filter 840 feeders (Milan et al., 2016).

841

842 6.2 Eutrophication and anoxia

843 While lakes naturally lie along a spectrum of nutrient loading (primarily N and P) from oligotrophic (clear water, low nutrient) to eutrophic (elevated nutrient supply, high primary 844 845 productivity), cultural eutrophication of aquatic ecosystems is an acute anthropogenic impact 846 (Smith, 2003). Excessive nutrient supply from domestic (e.g. sewage disposal), industrial 847 (e.g. chemical discharge) and agricultural (e.g. fertiliser application) sources has triggered algal blooms, altered species composition, depleted deep water oxygen levels and reduced 848 849 water quality and local aesthetic valuations in numerous lakes and wetlands worldwide 850 (Pretty et al., 2003; Smith and Schindler, 2009). Unfortunately, the impacts of eutrophication on the ecology of lakes have many similarities with those of climate change, making it 851 difficult to disentangle the impact of one from the other, in particular where the 852 853 eutrophication impacts are greatest (Davidson and Jeppesen, 2013).

854 Cultural eutrophication of lakes and wetlands is strongly associated with industrialisation and urbanisation of catchments, with most European studies showing the 855 first clear evidence of nutrient enrichment linked to human activity around the mid-19th 856 century CE (Battarbee et al., 2011; Keatley et al., 2011). In the North American Great Lakes, 857 19th century settlement increased P loading over an equivalent timeframe (Schelske, 1991). 858 859 However, some Holocene sediment records provide evidence of eutrophication resulting from 860 early agriculture that date back several millennia, inferred from long-term reconstructions of 861 nutrient concentrations (e.g. Bradshaw et al., 2005a; Boyle et al., 2015; Figure 3).

862 The biological effects of eutrophication can be inferred from sedimentary organism assemblages; certain diatom taxa, in particular, are sensitive to specific nutrient 863 864 concentrations (e.g. Hall and Smol, 2010; Tremblay et al., 2014). An abrupt appearance of abundant diatoms amenable to higher P and/or N loading is thus reliable evidence of lake 865 866 eutrophication, especially after a period of landscape stability. For instance, the transition 867 from a Cyclotella-dominated to a Stephanodiscus-dominated assemblage, or the appearance 868 of species with high nutrient optima, like Fragilaria crotonensis, is a widely-employed 869 indicator (Fritz, 1989; Ekdahl et al., 2004). Other biotic proxies can also be used to indicate

eutrophication and associated aquatic transitions, such as chironomids (e.g. Brooks et al.,

871 2001; Langdon et al., 2006; Zhang et al., 2012); and changes in plant macrofossil

872 communities (e.g. Sayer et al., 2010). Sedimentary pigments are also a reliable proxy for

873 pinpointing the onset of eutrophication through the detection of past changes in algal and

bacterial community composition (e.g. Leavitt and Findlay, 1994). Anthropogenically-driven

875 changes in algal productivity are further indicated by increased CaCO₃ accumulation, higher

876 TOC and lower C/N values. A signal of historical nutrient status can be detected in δ^{15} N

877 (McLauchlan et al., 2013) and δ^{13} C (Hollander and Smith 2001) sedimentary ratios as well as 878 other macrofossil records, such as the disappearance of plant-associated cladocerans from 879 Australian wetlands (Ogden, 2000; Kattel et al., 2014).

880 A potentially severe consequence of intensifying eutrophic conditions is the reduction 881 (hypoxia) or disappearance of dissolved oxygen (anoxia) in the hypolimnion (Diaz 2001; 882 Friedrich et al., 2014). Whereas certain basin configurations are susceptible to aquatic anoxia 883 through natural processes (e.g. where deep, confined zones preclude mixing; Davison, 1993; 884 Zolitschka et al., 2015) excessive human-sourced nutrient loading and man-made alterations to hydrological flows have led to more frequent anoxic events of greater spatial extent 885 (Vitousek et al., 1997; Jenny et al., 2016b). For example, bottom-water anoxia has been 886 887 ascribed to a human trigger where sediment records show a shift from naturally well-888 oxygenated conditions that coincide with proxy evidence of cultural eutrophication (Giguet-889 Covex et al., 2010).

890 Stratigraphic inspection has highlighted the presence of anoxia by an absence of 891 bioturbation, due to the disappearance of benthic fauna under deoxygenated conditions 892 (Meyers and Ishiwatari, 1993; van Geen et al., 2003; Zolitschka and Enters, 2009). The appearance of seasonal laminations (i.e. varves) can pinpoint the onset of anthropogenically-893 894 induced anoxic conditions to a particular year, as in Lake Le Bourget, France (Giguet-Covex 895 et al., 2010; Jenny et al., 2013) or in Baldeggersee, Switzerland (Lotter and Birks, 1997). A 896 recent synthesis of laminated records from Europe demonstrated that lake water hypoxia 897 started spreading across sites affected by urbanization (Jenny et al., 2016b).

Anoxia also leads to the diagenetic loss of sediment P (Rippey and Anderson, 1996;
Boyle, 2001), as well as the dissolution of several trace metals, an imprint detectable in core
sequences (Belzile and Morris, 1995; Tribovillard et al., 2006; Naeher et al., 2013). Anoxic
conditions have also been characterised by higher TOC content of sediment (Giguet-Covex et
al., 2010), while certain biomarkers, including the 5β configuration of stenol or perylene,
have been shown to form diagenetically under anoxic conditions (Meyers and Ishiwatari,
1993).

Multiple biological sediment proxies have been used to pinpoint the appearance of anoxic
conditions. Overall, benthic invertebrate populations are likely to decline in deoxygenated
water: Clerk et al. (2000) showed that during a phase of expanding human activity in
Peninsula Lake (Ontario, Canada) beginning in ca 1870, there was an overall shift to
chironomid taxa that characterise oxygen-depleted conditions (*Chironomus, Procladius*) and

910 concurrent change from a benthic-dominated diatom assemblage to one dominated by911 mesotrophic planktonic species.

912

913 6.3 Salinization

Anthropogenic salinization can occur from a range of human interventions such as
vegetation clearance in recharge zones (Tweed et al., 2011), excessive application of
irrigation water and diversion of freshening surface waters (Jeppesen et al., 2015), but also
through salt-incursion from rising sea levels (Herbert et al., 2015). In most cases, the main
reason for increased salinity is the changing hydrological balance of the lake, by the diversion
of inflowing river waters for agriculture and other uses.

920 Increased lake salinity was and is a threat to shallow lakes in a wide variety of localities 921 (including coastal wetlands), but particularly in semi-arid and arid regions with seasonally 922 hot dry climates. The ecological consequences of this were reviewed by Herbert et al. (2015) 923 and include erosion of ecosystem services like the loss of productive aquatic plants and 924 associated fauna, and reduction in the amenity of the water resource for human use. 925 Economic losses include a loss in their ability to serve as supplies for domestic, agricultural 926 and other uses. For many large lakes, rising salinity is associated with falling water levels, a 927 decreased water surface area and the exposure of extensive areas of the lake bed, leading to 928 an increase in the frequency of dust storms and a decrease in agricultural productivity due to 929 salt deposition.

Changes to flow by human activity began on a small scale with modification of 930 931 channels to trap fish, but expanded greatly with the emergence of agriculture, most notably in 932 Mesopotamia and the Indus Valley (Battarbee et al., 2012). With decreased inflows, the 933 hydrological balance is changed and lake volume (and water level) decreases with 934 consequent rises in salinity due to ion concentration. Such hydrological change is often 935 linked to the development of agriculture in the catchment (Williams, 1999). Human-induced 936 salinization through deforestation can also be an important environmental management issue in many semi-arid regions. With the removal of deep-rooted trees, the elevation of the water 937 938 table will eventually transport naturally occurring salts to the surface. In southern Australia, 939 many formerly freshwater lakes (e.g. Lake Curlip) have experienced substantial increases in salinity (Gell et al., 2005b; Fluin et al., 2007). Generally, the transition from vegetation 940 941 clearance to salinization of the landscape (including shallow lakes) may take more than a century (Gell et al., 2007a). Other human impacts, including inflowing river diversion (e.g. 942

MacGregor et al., 2005) and water abstraction, may have a quicker impact (e.g. Hulun Lakeand Boston Lake, Yang et al., 2010a).

945 In addition to diatom species composition (Fritz et al., 2000; Gell et al., 2005a;

946 MacGregor et al., 2005, Schallenberg & Saulnier-Talbot, 2016), shifts in palaeosalinity have

947 also been inferred from strontium content of ostracods (Chivas et al., 1985), chironomid

948 species composition (Eggermont et al., 2003), and cladocerans, in particular *Alona*

949 *circumfibriata*, an indicator of enhanced salinity (Graham et al., 2016).

950

951 6.4 Acidification

Lake acidification is commonly associated with marked ecological impacts, such as 952 953 changes in biological composition, water transparency and stratification. Timing for initial ecological change resulting from acidification follows historical industrial emissions, with 954 earliest effects observed in the mid-19th century CE in sensitive lakes of the UK, late-19th 955 century CE in Norway and in the early decades of the 20th century CE, in Sweden, Finland 956 and the USA (Gin et al., 2007; Battarbee et al., 2011). Exceptions include the atmospheric 957 contamination from Falun copper mine in central Sweden, which triggered one of the world's 958 earliest acidification episodes in the 17th century CE (Ek and Renberg, 2001) and the higher 959 pH recorded in Lilla Öresjön, also in Sweden, during the expansion of agriculture in 2350 960 961 b2k (Renberg, 1990; see section 5.2).

962 Palaeoecological proxies have proven to be a key tool for reconstructing anthropogenically-induced acidification, because of species-specific pH preferences and 963 964 tolerances (Charles et al., 1990). Diatoms have been widely used, owing to the high sensitivity to pH of certain taxa (e.g. Battarbee and Charles 1986; Charles et al., 1990; 965 966 Battarbee et al., 2010). The diversity of diatoms implies that some region- and site-specific 967 indicators of acidification exist, though some, such as Tabellaria species, are a widespread 968 indicators (e.g. Flower and Battarbee, 1985). Diatom-pH transfer functions, which utilise the 969 modern diatom subfossil assemblages to generate long-term quantitative reconstructions from core sequences of diatom assemblages, have been widely employed (e.g. Birks et al., 1990; 970 971 Ginn et al., 2007; Simpson and Hall, 2012). The composition of cladoceran assemblages can 972 similarly be ascribed to particular water pH (e.g. Paterson, 1994), while members of the genus Daphnia are known to be particularly sensitive to lake acidification (Keller et al., 973 974 1990). Similarly, certain species of chironomids are rare at low pH levels, offering potential as indicators of accelerated acidification, but uncertainties remain (Brodin and Gransberg, 975 976 1993). The multi-proxy approach of Arseneau et al. (2011) at Big Moose Lake (New York),

977 which integrated diatoms, chrysophytes and cladoceran data, is an important means of

- 978 verifying trends of lake acidification in light of varied response rates to pH fluctuations. In
- addition, a contemporaneous decline in dissolved organic carbon (DOC) content is often
- 980 observed in acidifying lakes (Donahue et al., 1998) and vice versa during their recovery

981 (Monteith et al., 2007).

982 Lakes may also acidify through the oxygenation of sulphides when coastal salt field 983 sediments are exposed to air. The impact of acid sulphate soils in coastal zones have come to 984 prominence particularly in regions where canals are constructed through coastal sediments 985 for navigation, or for the development of residential resort complexes. In some circumstances, salinization and river regulation have combined to form sulphidic sediments 986 987 that owe their origin to processes over decades rather than millennia. Exposure of these sediments through direct drawdown or drought similarly leads to wetland acidification (Gell 988 989 et al., 2002; Hall et al., 2006).

990

991 7. The Anthropocene in palaeolimnological records

992 Lake sediment archives constitute an important element putting into perspective 993 the magnitude of anthropogenic changes that occurred before/during the yet-to-bedefined start of the Anthropocene. Based on lake sediment archives, the most intense 994 phase of human impact worldwide undoubltly started in the mid-20th century (Wolfe et 995 al., 2013, Waters et al., 2016), and many lakes located in remote regions have 996 997 irrefutably recorded the signal of planet-wide human influence on the atmosphere, 998 hydrosphere and biosphere since the beginning of the Great Acceleration (Catalan et al., 999 2013). However, the evidence presented in this review shows that the initial impact of humans on continental aquatic ecosystems (including their catchments) pre-dates the 1000 mid-20th century in most regions of the world. Although major restructuring of aquatic 1001 ecosystem function, or transitions away from their natural state, have been especially 1002 widespread since the mid-19th century, we have shown through this review that the scale 1003 1004 of human impact on these systems has been spatially and temporally 1005 heterogeneous across the world, even going back several millennia in certain cases, 1006 depending on the timing of the arrival of humans and their degree of technical 1007 advancement. As these fist human impacts were not globally synchronous, they cannot 1008 be considered to define a new geological Epoch. However, our review supports the 1009 concept of the 'pre- (or proto-) Anthropocene', as a period of flexible - region1010 dependant – duration, during which human impacts can aready be detected but are not1011 globally synchronous.

1012

1013 8. Conclusion

1014 First human impacts to aquatic systems and their watershed have been highly variable 1015 in time and space. The first detectable impacts of human activity on lakes and wetlands often 1016 appear only after thousands of years of human presence in any given region (see Figures 1 1017 and 3), but the time lapse between initial human presence and first impact shortens as the 1018 timing of initial settling approaches the present (e.g. New Zealand, see section 5.3). First 1019 anthropogenic landscape changes often predate by a few millennia (1000-4000 yr, e.g. Morocco, Spain, Mexico; see Fig. 3) the first detectable response in aquatic systems. 1020 1021 However exceptions exists, especially in Europe, where initial landscape modifications are 1022 synchronous with the first aquatic response (e.g. UK, Germany, Italy; Fig. 3). Each region 1023 has its own environmental setting (e.g. geology, vegetation, climate) and history of human 1024 disturbances, which influences the susceptibility of its lakes to various threats (e.g. 1025 eutrophication in Europe, salinization and aridity in Asia). In addition, the source of impacts 1026 can be local, regional or global. Only in the industrial period have human impacts on lakes 1027 become widespread and severe through generalized air, water and soil pollution, and 1028 increasingly through species introductions and extirpations.

1029 Identifying first impacts and associated aquatic responses are not without 1030 challenges. Detection of anthropogenic impacts leading to a change in ecosystem 1031 structure or function depends on lake characteristics, human activities conducted in the 1032 catchment and environmental proxies considered. Poor dating control and 1033 discrimination of appropriate sedimentary proxies remain major constraints for detecting the subtle effects of early human activities on lake ecosystems. Furthermore, 1034 1035 many case studies reporting anthropogenic landscape disturbance did not look for 1036 corresponding ecological shifts. Until recently, lake sediment research focused strongly 1037 on generating climate records and on reconstructing regional changes in terrestrial 1038 vegetation rather than on identifying human-mediated changes caused by exploitation of 1039 land and water resources. However, while detecting human impacts at local scales can 1040 be challenging for the stated reasons, recent techniques are promising, including 1041 specific biomolecular markers (see Dubois and Jacob, 2016, for a review), ancient DNA 1042 (e.g. Anderson-Carpenter et al., 2011; Giguet-Covex et al., 2014; Domaizon et al., 1043 2017), high resolution stratigraphy and sediment scanning techniques (e.g. Marquer et

1044 al., 2008; Arnaud et al., 2012; Flower et al., 2012) as well as wider use of macrofossils 1045 combined with stable isotope studies (e.g. Li et al., 2008; Hassan et al., 2012). 1046 Palaeolimnology has an important role to play in understanding ways in which 1047 people have brought about changes in freshwater ecosystems. Although landscape and 1048 environmental impacts of emerging civilizations may still be best realized by formulating and testing time-based hypotheses (cf. Deevey, 1969) predicated on the 1049 1050 wealth of information that resides in cultural history and geoarchaeology, palaeolimnology provides a wealth of reliable data linked with environmental response 1051 1052 to human activities. Given the paucity of published data in many regions (e.g. Asia, America, Africa, Australia), further studies are clearly necessary to improve our 1053 1054 confidence in linkages between human settlements, their activities, and the downstream effects on lakes. It is further necessary to underscore that, while the effects of humans at 1055 the landscape level are relatively easily demonstrated, effects of human disturbance on 1056 1057 structure and function of aquatic ecosystems need to be investigated further. To mitigate this deficiency, further palaeolimnological studies should be conducted with the specific 1058 1059 aim of detecting the effects of human-induced changes on the structure and functioning 1060 of aquatic ecosystems.

1061

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1068 11. References

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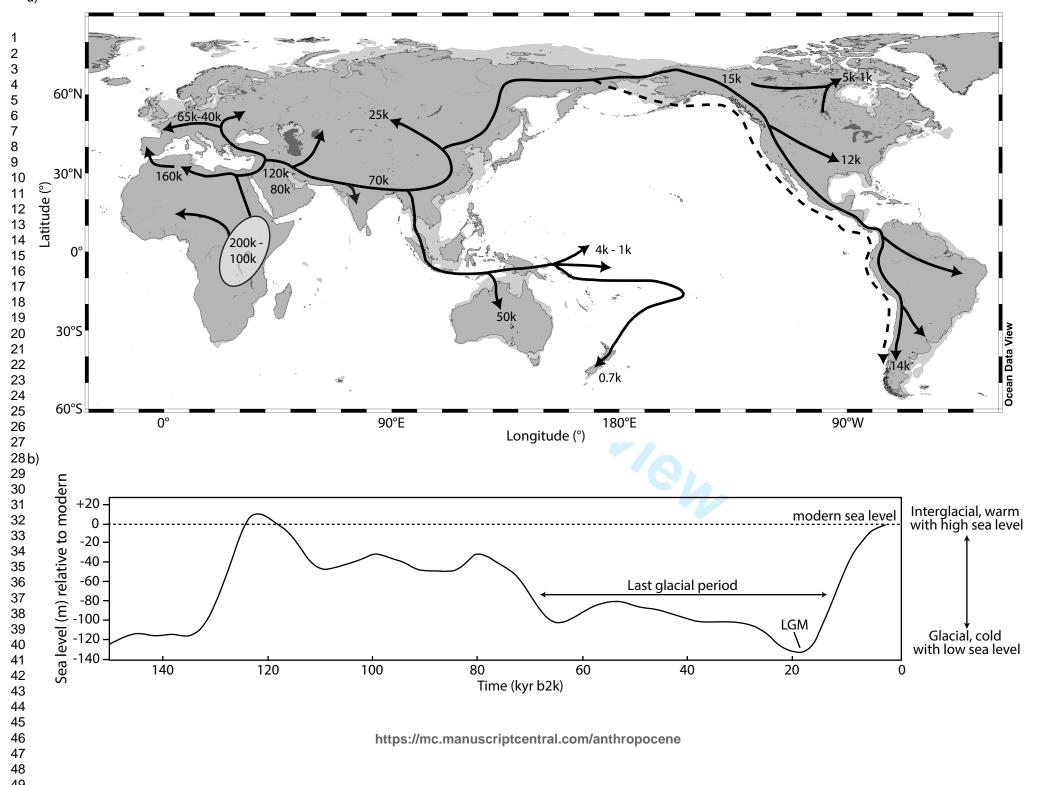
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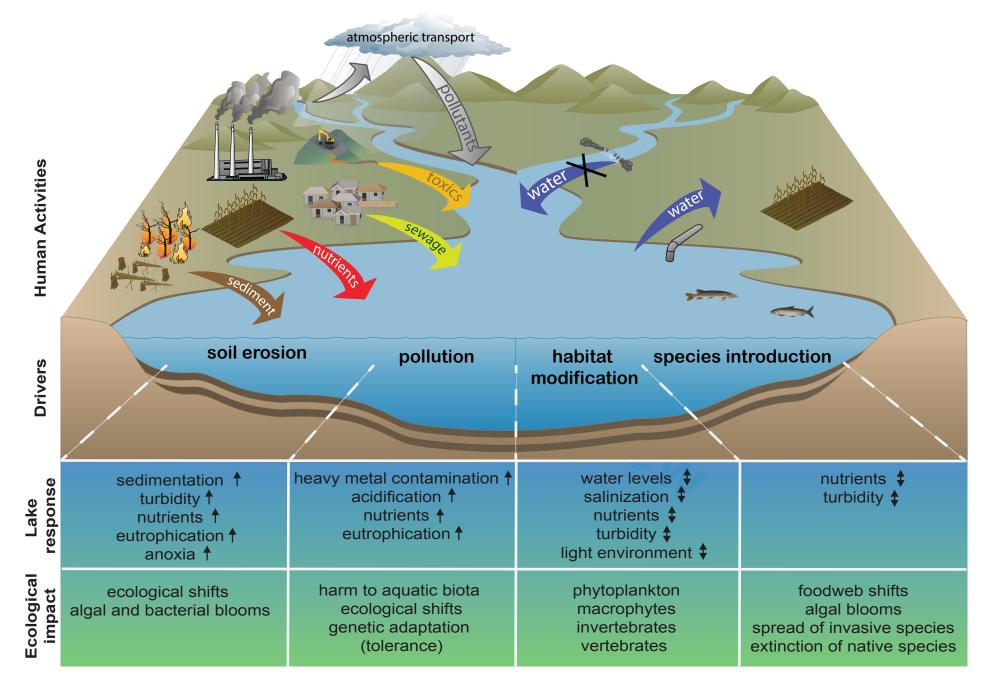
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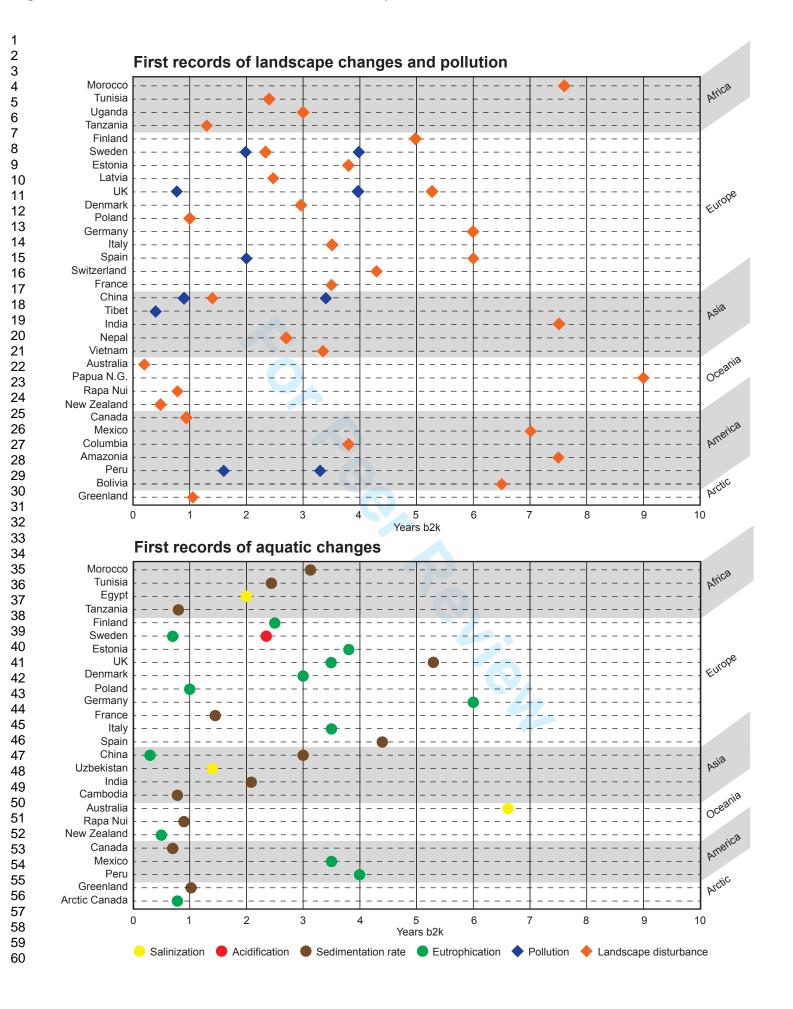
1798 Figure captions

- 1. (a) Map showing temporal and directional markers (arrows) of early human 1799 1800 migrations across continents (k = 1000 years before year 2000), based on the 1801 literature cited in the text. Oval indicates origin of anatomically modern humans 1802 (AMH) in eastern Africa. Pale grey indicates emerging land contours at lower sea 1803 levels (-100 m), facilitating access to land masses. (b) Sea level change over the last 1804 150 kyr (based on Waelbroeck et al., 2002). 1805 2. Diagram illustrating the four main anthropogenic drivers (upper drawing) and their associated lake-ecological responses (lower table). The drivers on the left (soil 1806 erosion, pollution) reflect human activities occurring in the catchment, whereas the 1807 drivers on the right are human activities affecting directly the aquatic system. 1808 1809 Diagram created using vector illustrations by Jane Hawkey, Ian Image Library 1810 (ian.umces.edu/imagelibrary/). 3. Top: Timing of the first anthropogenic landscape disturbance (orange) and pollution 1811 1812 (blue) recorded in palaeolimnological archives around the world (b2k = time based on 1813 years before year 2000). Bottom: First records of aquatic changes worldwide. Saliniziation (yellow), acidification (red), sedimentation rate (brown), eutrophication 1814
- 1815 (green). Only the first signal for each country (region) and each impact is shown.

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Table 1 Proxies used to c	distinguish climatic	from anthropogenic impacts in lake and wetland ecosystems
Proxy method	Indicator	Anthropogenic enviro

Proxy method	Indicator	Anthropogenic environmental change reflected	Reference
	Increasing count of non-arboreal taxa (Cerealia, Poacae, Asteracae)	Expansion of grassland or cultivation	Rosenmeier et al., 2002 Dearing et al., 2008
Pollen	Decline in arboreal pollen taxa (<i>Pinus, Quercus, Abies</i>)	Woodland clearance for habitation and agriculture	Massa et al., 2012
	Appearance of exotic pollen taxa during past two centuries (<i>Pinus</i> in Australia, <i>Cupressus</i>)	Introduction of decorative plants	Gell et al., 2009
Charcoal	Increased concentration of macro and micro fragments	Woodland clearance, although fire regimes also have a climatic control over Holocene timescales	Whitlock and Larsen, 2001
Diatoms	Increasing concentrations of genera or species with narrow ecological preferences (highly sensitive), or decreasing concentrations of disturbance sensitive	Species better adapted (tolerant) to saline, acidic or culturally- eutrophic conditions, respectively	Anderson, 1990; Battarbee et al., 1984; Battarbee et al., 2002
	species.	Pollution tolerance	
	Higher P inferred from a transfer function	Greater nutrient input from livestock or human settlement	Bennion et al., 2005
	Community composition	Lake level	Brodin and Gransberg, 1993
		Lake transparency and littoral/pelagic ratios	Arseneau et al., 2011
Cladocera	Relative abundance	Fish presence/absence	Di Veroli et al., 2014
&		Trophic level	
Chironomids	Deform	Anoxia	
	_	Trace metal contamination	
Sedimentary DNA	Presence of livestock DNA	Expansion of pastoralism	Giguet-Covex et al., 2014
Metals	Increasing concentrations of As, Cd, Cu, Hg, Ni, Pb, Zn	Emissions from mining, smelting or industrial activity. May be transported atmospherically or discharged directly into watercourses	Yang and Rose, 2005; Bindler et al., 2011; Schillereff et al., 2016
Pb isotopes	²⁰⁶ Pb: ²⁰⁷ Pb; ²⁰⁶ Pb: ²⁰⁴ Pb	Differentiates particulate emissions during mining from coal and fossil fuel combustion and from naturally-weathered bedrock	Renberg et al., 2002; Yang et al., 2007
Terrigenous elements	Increasing concentrations of Rb, Ti, Zr	Erosion in the catchment, although local geology must be considered	Koinig et al., 2003; Boyle et al., 2015

Table 1 continued Proxies used to distinguish climatic from anthropogenic impacts in lake and wetland ecosystems

Proxy method	Indicator	Anthropogenic environmental change reflected	Reference
C & N isotopes	δ13C	Relative contribution of terrestrial input versus internal productivity	Perga et al., 2010; Massa et al., 2012
	δ15N	Abundance of algae (productivity driven by nutrient input)	Dean et al., 2014
C:N atomic ratio	C:N ratio >10-20	Organic matter sourced predominantly from terrestrial environment	Meyers and Terranes, 2001
Biomarkers	Appearance of exotic biomarkers (e.g. miliacin for millet)	Introduction of new cultures	Jacob et al., 2008
e de	Increasing concentration of cannabinol	Hemp retting	Lavrieux et al., 2013
Biomarkers	Appearance or substantial increase of faecal biomarker concentration (coprostanol, bile acids)	Pastoral activities	Guillemot et al., 2015
	Higher concentration of fire biomarkers (levoglucosan, polycyclic aromatic hydrocarbons)	Woodland clearance through fire	Schüpbach et al., 2015
Artificial contaminants	Presence and trajectory of SCPs, PCBs, POPs	Incomplete combustion of fossil fuels (SCP); release of toxic substances into aquatic ecosystems	Rose and Monteith, 2005; Heim and Schwarzbauer, 2013
Sedimentation rate	High-magnitude and/or abrupt increase	Soil destabilisation, more intensive erosion, river channel modification	Dearing and Jones, 2003
Dry bulk density	Higher values	Greater contribution from erosive sources in the catchment	Massa et al., 2012
tolog	Deposition of lighter or darker material	Elevated flux of terrigenous material	Giguet-Covex et al., 2010
Dry bulk density Lithology (colour)	Onset of varve formation	Could reflect culturally eutrophic or anoxic conditions	Jenny et al., 2013
	Varve thickness	High detritus input linked to soil erosion caused by deforestation	Kienel et al., 2005
Magnetic susceptibility	Higher magnetic susceptibility Peaks in 'Hard' remanence materials	Greater contribution from erosive sources in the catchment	Oldfield et al., 2003

First human impacts and responses of aquatic systems: a review of palaeolimnological records from around the world

Supplementary Material

S1 PATTERNS OF MIGRATION

S1.1 INITIAL MIGRATIONS WITHIN AND OUT OF AFRICA

Humans first evolved in Africa. The oldest traces of *Homo sapiens* to be discovered to date appear in the fossil record around 200,000 b2k, at Omo Kibish (Ethiopia; McDougall et al., 2005). Different regions of the African continent were occupied by modern humans between roughly 200,000 b2k (East Africa) and 100,000 b2k (Southern and West Africa) while there is evidence for anatomically modern human (AMH) presence in Northern Africa as early as 160,000 b2k (Smith et al., 2007). The oldest known traces of human presence in the Near East have been dated to around 120,000-80,000 b2k at Qafzeh and Skhul (Israel; Derricourt, 2005), and to 125,000 b2k at Jebel Faya (United Arab Emirates; Armitage et al., 2011). A subsequent pulse out of Africa between 65,000 and 40,000 b2k led to further colonization into Europe and Asia (Mellars, 2006a).

Human migrations occurred throughout prehistory, often triggered by major climatic and environmental change (deMenocal and Stringer, 2016). Cooler temperatures and increased aridity across North, West and East Africa resulting from the onset of the last Ice Age constitute one such event that would have incited dispersal out of Africa. As ice sheets progressively stored an increasing amount of the globe's water, sea-levels dropped and made passage between land masses more straightforward (Figure 1). A consensus has yet to be reached on the timing of the first successful expansion of AMH out of Africa, but the most recently published evidence points to around 120,000 to 90,000 b2k (Groucutt et al., 2015). Specific migration routes out of Africa remain speculative, although it is believed that AMH first spread east into the Levant, branching north into Asia Minor and the Caucasus, then further west into Europe and east into the steppes of Central Asia from where they would later cross over into the Americas via Beringia. Simultaneously, other populations followed the eastern and southern route ever further: to the Indian subcontinent, East and Southeast Asia, Australia and the islands of the Pacific (see below and Figure 1). As they spread, they gradually replaced (with or without interbreeding) the preceding "archaic" human

 populations, such as Neanderthals and Denisovans, that already occupied some of these

regions (Mellars, 2006b).

S1.2 Asia and Australasia

Archaeological excavation at Jwalapuram, Kurnool district, Andhra Pradesh, Southern India revealed that a hominid habitation having affinities with Homo sapiens bracketed the Toba event at 73,000 b2k (Petraglia, 2007). The appearance of micro-lithic technology in South Asia is evident at Jwalapuram (Clarkson et al., 2009). However, absence of fossil evidence of modern humans at Jwalapuram restrict linking the human species to AMH merely on the basis of micro-lithic technology (Balter, 2010; Haslam, 2012). Linking the Middle Pleistocene hominid from Hathnora (Narmada Basin), district Sehore, Madhya Pradesh, India to *Homo erectus/H. sapiens* with modern human ancestry is highly contentious (Sankhyan, 1999; Sonakiya, 1999). Stanyon et al. (2009) suggest that modern humans would have migrated from Africa through a coastal route to the Indian subcontinent about 70,000 years ago.

The oldest traces of AMH outside of Africa and the Middle East are located at Lake Mungo, in Southern Australia, and are dated to at least 46,000 b2k (Bowler et al., 2003). The first AMH to reach Australia, likely traveling across Southern Asia, are thus believed to have arrived on the island around 50,000 b2k (Roberts et al., 1990), a time when sea levels were about 80 metres lower than today, shortening the distance of the open-water crossing from South East Asia.

The presence of pre-Polynesians in eastern islands of South-East Asia and in Melanesia is dated to about 4000 b2k (Kirch, 1997). From there, humans moved east across the Pacific over an extended period, from ca 3000 to 700 b2k. Polynesians developed highly advanced navigational skills and managed to reach and settle islands over an ocean area as vast as North America. They occupied the Solomon Islands from ca 4000 b2k, and Tonga and Samoa ca 3200-3000 b2k (Wilmshurst et al., 2011). Later migrations led them to the Society Islands (ca 1025-1120 CE), then in one great colonization pulse during the early second millennium CE (ca 1190-1290 CE), they reached all of Eastern Polynesia (including Tahiti, Easter Island, Hawaii, Marquesas, Cook Islands, Pitcarin and New Zealand; Wilmshurst et al., 2011). New modelling approaches suggest that the structure of ancient Pacific voyaging was highly influenced by seasonal and semi-annual climatic changes (Montenegro et al., 2016).

67 S1.3 Europe and Western Asia

The major dispersal of AMH into Europe and Western Asia is centred around 47,000-41,000 b2k (Mellars, 2006b). They rapidly spread all the way to the western limits of the European continent (Kent's cavern site, UK; dated to about 45,000 b2k; Higham et al., 2011). It is estimated that they lived alongside Neanderthals for a few thousand years (2600-5400; Higham et al., 2014), a significant overlap from which cultural and genetic exchanges ensued until Neanderthals became extinct. As climate deteriorated and ice sheets grew at high latitudes and altitudes, living space became restricted to areas south of the continuous permafrost zone (see Clark et al., 2009 for the extent of the global cryosphere at the last glacial maximum).

78 S1.4 The Americas

The timing of human arrival and the route taken for initial entry into North America is still hotly debated. Most archaeological and environmental records point to human colonization of the Americas after 15,000 b2k, immediately following deglaciation of the Pacific coastal corridor (Dixon, 2001; Goebel et al., 2008). However, there are sites associated with human presence that pre-date this, in the Yukon (e.g. Bourgeon et al., 2017), and on the Atlantic seaboard of the United States (Bradley and Stanford, 2004). The latter have given rise to the Solutrean hypothesis, stating that human populations could have colonised North America from southwestern Europe around the Last Glacial Maximum (Oppenheimer et al., 2014). Following their arrival, Native Americans diversified into two basal genetic branches: one that is now dispersed across North and South America and the other restricted to North America (Raghavan et al., 2015). Migration and colonization routes throughout the Americas remain largely unknown. First Americans could have arrived on foot as well as by boat, chasing large prey such as mammoth and whale, but what makes the peopling of the Americas unique is that within just a few millennia, between 15,000 and 11,000 b2k, a wave of people spread rapidly throughout previously uninhabited landscapes, establishing diverse lifestyles and broad-spectrum diets, including the domestication of plants (Dillehay, 2009).

96 The Isthmus of Panama was an obligatory route of entry for the first human migrants *en*97 *route* to South America between 14,000 and 11,500 b2k (Cooke et al., 2013).
98 Palaeoenvironmental data suggest widespread forest-dwelling settlements soon after the
99 Pleistocene/Holocene boundary. The Late Pleistocene extinction of megafauna after 8000
100 b2k occurs coevally with incipient cultivators and may be causally related. By this time,

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 small communities with mixed economies (hunting, fishing and farming) were scattered
across the central and western sectors of the Pacific coast. Changes in human culture, from
diverse populations living synchronously in different geographic areas were responsible for
socio-economic diversification and a gradual shift from mobile hunting and gathering to a
more sedentary lifestyle (Cooke et al., 2013).

The oldest traces of humans in the extreme south of the American continent have
been well studied, especially in Patagonia. Indeed, artefacts from the Monte Verde site
(southern Chile) set the arrival of the Pleistocene hunter-gatherers around 14,600 b2k
(Dillehay et al., 2008, Goebel et al., 2008). In addition, increasing charcoal in patagonian lake
sediments between 11,500 to 6000 b2k was partly attributed to human presence (Armesto et al., 2010; Iglesias and Whitlock, 2014).

Overcoming challenging environmental conditions, small populations of humans became established in Arctic regions, where they adapted their way of life in order to survive the harsh climate and limited resources. Early humans roamed the Arctic regions of the Old World in very ancient times. Northern Eurasia is known to have been populated by modern humans as early as the Upper Paleolithic. One of the oldest archaeological sites in the Russian Far East is dated to >32,000 b2k (Derevyanko, 1998) and there are indications that humans occupied the Kamchatka Peninsula and Beringia during the late Pleistocene and Early Holocene (Kuzmin et al., 2008), en route to the Americas. But the oldest documented human presence in the Arctic is dated to nearly 40,000 b2k, at the site of Mamontovaya Kurya, in the European Russian Arctic (Pavlov et al., 2001). However, it is undetermined whether these traces of ancient human occupation are associated with Neanderthals or with modern humans.

In circumpolar Fennoscandia, where remnants of Weichselian ice endured until at least 9000 b2k (Fjeldskaar et al., 2000), human settlement north of the 60th parallel is dated to as early as 8600 b2k (Bergman et al., 2004). This signals rapid human colonization of newly-deglaciated territories, where early successional ecosystem structure of soils and vegetation could have presented advantageous conditions for human foraging (Bergman et al., 2004). On the other hand, many northern high latitude regions, including the Canadian High Arctic, Greenland and the Islands of the North Atlantic, were settled only in the Mid- to Late-Holocene period, i.e. relatively late in human history (Hoffecker, 2005). Moving eastward from Siberia through Beringia, successive waves of Paleo-Eskimo, followed by Inuit,

populated the Far North of the American continent between 5000 - 1000 years ago (Raghavan et al., 2014), keeping pace with retreating Ice Sheets and the movements of their prey. They eventually met the more technologically advanced, but less cold-adapted Norse, who around 1000 years ago, sailed west along the Arctic Circle from Scandinavia. During this slightly warmer climatic episode in the North Atlantic, known as the Medieval Climate Anomaly (e.g. Graham et al., 2011), they established settlements (permanent or not) along the way, on little- or non-inhabited islands, including the Faroe Islands, Iceland, Greenland and Newfoundland.

High northern latitudes overall remained thinly populated until recent times, but 20th
century technological innovation has brought increasing numbers of humans to these remote
and harsh regions. As such, the human footprint on Arctic ecosystems has been growing
exponentially over the past 70 years, since the beginning of the Great Acceleration.

25 147

S2 TECHNOLOGICAL CHANGE AND CULTURAL DEVELOPMENT

The size and technological advancement of human populations and their impacts on aquatic ecosystems vary greatly across regions and through time. By the early Holocene, humans had spread across a large portion of the globe and hunter-gatherer populations subsequently developed different types of agricultural systems (farming and herding) of various complexity and intensity. Demographic expansion was rapid in many regions following the advent of agriculture. Using genetic data, Gignoux et al. (2011) found strong evidence for distinct demographic expansion over the past 10,000 years in Europe, South East Asia, and sub-Saharan Africa. They estimate that the invention of agriculture facilitated a five-fold increase in population growth relative to more ancient expansions of hunter-gatherers. Altered fire regimes and transformed soils (anthrosols) testify to widespread land-use by increasing human populations on most continents by the mid-Holocene (see Ellis et al., 2013 and citations therein).

Progress in navigation led to the European colonisation of much of the world between the 15th and 20th centuries CE, markedly impacting landscapes and ecosystems globally. Not only did Europeans introduce diseases, pests and other non-native species to other parts of the world (e.g. Lewis and Maslin, 2015), they also introduced several technologies that rapidly transformed both pristine environments and those previously impacted by other cultures (Diamond, 1997). Peat and lake sediment archives from regions that were settled by European colonizers consistently show a distinct environmental transition, both terrestrial and aquatic, at the time of their arrival.

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